A communicative framework for early word learning

Benjamin C. Morris<sup>1</sup> & Daniel Yurovsky<sup>1,2</sup>

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<sup>1</sup> University of Chicago

<sup>2</sup> Carnegie Mellon University

Author Note

- 6 Correspondence concerning this article should be addressed to Benjamin C. Morris,
- <sup>7</sup> Department of Psychology, University of Chicago, 5848 S University Ave, Chicago, IL 60637.
- E-mail: benmorris@uchicago.edu

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Abstract

Children do not learn language from passive observation of the world, but from interaction 10 with caregivers who want to communicate with them. These communicative exchanges are 11 structured at multiple levels in ways that support support language learning. We argue this 12 pedagogically supportive structure can result from pressure to communicate successfully with a linguistically immature partner. We first characterize one kind of pedagogically supportive structure in a corpus analysis: caregivers provide more information-rich referential 15 communication, using both gesture and speech to refer to a single object, when that object is 16 rare and when their child is young. In an iterated reference game experiment on Mechanical 17 Turk (n = 480), we show how this behavior can arise from pressure to communicate 18 successfully with a less knowledgeable partner. Then, we show that speaker behavior in our 19 experiment can be explained by a rational planning model, without any explicit teaching 20 goal. Lastly, in a series of simulations, we explore the language learning consequences of 21 having a communicatively-motivated caregiver. In sum, this perspective offers the first steps 22 toward a unifying, formal account of both the child's learning and the parents' production: 23 Both are driven by a pressure to communicate successfully.

Keywords: language learning; communication; computational modeling; child-directed 25 speech 26

Word count: X 27

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# A communicative framework for early word learning

One of the most striking aspects of children's language learning is just how quickly
they master the complex system of their natural language (Bloom, 2000). In just a few short
years, children go from complete ignorance to conversational fluency in a way that is the
envy of second-language learners attempting the same feat later in life (Newport, 1990).
What accounts for this remarkable transition?

Distributional learning presents a unifying account of early language learning: Infants 34 come to language acquisition with a powerful ability to learn the latent structure of language 35 from the statistical properties of speech in their ambient environment (Saffran, 2003). Distributional learning mechanisms can be seen in accounts across language including 37 phonemic discriminitation (Maye, Werker, & Gerken, 2002), word segmentation (Saffran, 2003), learning the meanings of both nouns (Smith & Yu, 2008) and verbs (Scott & Fisher, 2012), learning the meanings of words at multiple semantic levels (Xu & Tenenbaum, 2007), and perhaps even the grammatical categories to which a word belongs (Mintz, 2003). A number of experiments clearly demonstrate both the early availability of distributional learning mechanisms and their potential utility across these diverse language phenomena (DeCasper & Fifer, 1980; DeCasper & Spence, 1986; Gomez & Gerken, 1999; Graf Estes, Evans, Alibali, & Saffran, 2007; Maye, Werker, & Gerken, 2002; Saffran, Newport, & Aslin, 1996; Smith & Yu, 2008; Xu & Tenenbaum, 2007).

However, there is reason to be suspicious about just how precocious statistical learning abilities are in early development. Although these abilities are available early, they are highly constrained by limits on other developing cognitive capacities. For example, infants' ability to track the co-occurrence information connecting words to their referents is constrained significantly by their developing memory and attention systems (Smith & Yu, 2013; Vlach & Johnson, 2013). Computational models of these processes show that the rate

of acquisition is highly sensitive to variation in environmental statistics (e.g., Vogt, 2012).

Models of cross-situational learning have demonstrated that the Zipfian distribution of word

frequencies and word meanings yields a learning problem that cross-situational learning alone

cannot explain over a reasonable time frame (Vogt, 2012). Further, a great deal of empirical

work demonstrates that cross-situational learning even in adults drops off rapidly when

participants are asked to track more referents, and also when the number of intervening

trials is increased (e.g., Yurovsky & Frank, 2015). Thus, precocious unsupervised statistical

learning appears to fall short of a complete explanation for rapid early language learning.

Even relatively constrained statistical learning could be rescued, however, if caregivers 61 structured their language in a way that simplified the learning problem and promoted learning. For example, in phoneme learning, infant-directed speech provides examples that seem to facilitate the acquisition of phonemic categories (Eaves et al., 2016). In word segmentation tasks, infant-directed speech facilitates infant learning more than matched adult-directed speech (Thiessen, Hill, & Saffran, 2005). In word learning scenarios, caregivers produce more speech during episodes of joint attention with young infants, which uniquely 67 predicts later vocabulary (Tomasello & Farrar, 1986). Child-directed speech even seems to support learning at multiple levels in parallel—e.g., simultaneous speech segmentation and word learning (Yurovsky et al., 2012). For each of these language problems faced by the developing learner, caregiver speech exhibits structure that seems uniquely beneficial for 71 learning. 72

Under distributional learning accounts, the existence of this kind of structure is a
theory-external feature of the world that does not have an independently motivated
explanation. Such accounts view the generative process of structure in the language
environment as a problem separate from language learning. However, across a number of
language phenomena, the language environment is not merely supportive, but seems
calibrated to children's changing learning mechanisms. For example, across development,

caregivers engage in more multimodal naming of novel objects than familiar objects, and rely on this synchrony most with young children (Gogate, Bahrick, & Watson, 2000). The role of 80 synchrony in child-directed speech parallels infant learning mechanisms: young infants 81 appear to rely more on synchrony as a cue for word learning than older infants, and language 82 input mirrors this developmental shift (Gogate, Bahrick, & Watson, 2000). Beyond age-related changes, caregiver speech may also support learning through more local 84 calibration to a child's knowledge; caregivers have been shown to provide more language to 85 refer to referents that are unknown to their child, and show sensitivity to the knowledge their child displays during a referential communication game (Leung et al., 2019). The calibration of parents production to the child's learning suggests a co-evolution such that these processes should not be considered in isolation.

What then gives rise to structure in early language input that mirrors child learning 90 mechanisms? Because of widespread agreement that parental speech is not usually motivated 91 by explicit pedagogical goals (Newport et al., 1977), the calibration of speech to learning mechanisms seems a happy accident; parental speech just happens to be calibrated to 93 children's learning needs. Indeed, if parental speech was pedagogically-motivated, we would have a framework for deriving predictions and expectations (e.g., Shafto, Goodman, & Griffiths, 2014). Models of optimal teaching have been successfully generalized to phenomena as broad as phoneme discrimination (Eaves et al., 2016) to active learning (Yang 97 et al., 2019). These models take the goal to be to teach some concept to a learner and attempt to optimize that learner's outcomes. While these optimal pedagogy accounts have proven impressively useful, such models are theoretically unsuited to explaining parent 100 language production where there is widespread agreement that caregiver goals are not 101 pedagogical (e.g., Newport et al., 1977). 102

Instead, the recent outpouring of work exploring optimal communication (the Rational Speech Act model, see Frank & Goodman, 2012) provides another framework for

understanding parent production. Under optimal communication accounts, speakers and 105 listeners engage in recursive reasoning to produce and interpret speech cues by making 106 inferences over one another's intentions (Frank & Goodman, 2012). These accounts have 107 made room for advances in our understanding of a range of language phenomena previously 108 uncaptured by formal modeling, notably a range of pragmatic inferences (e.g., Frank & 109 Goodman, 2012; other RSA papers). In this work, we consider the communicative structure 110 that emerges from an optimal communication system across a series of interactions where 111 one partner has immature linguistic knowledge. This perspective offers the first steps toward 112 a unifying account of both the child's learning and the parents' production: Both are driven 113 by a pressure to communicate successfully (Brown, 1977). 114

Early, influential functionalist accounts of language learning focused on the importance of communicative goals (e.g., Brown, 1977). Our goal in this work is to formalize the intuitions in these accounts in a computational model, and to test this model against experimental data. We take as the caregiver's goal the desire to communicate with the child, not about language itself, but instead about the world in front of them. To succeed, the caregiver must produce the kinds of communicative signals that the child can understand and respond contingently, potentially leading caregivers to tune the complexity of their speech as a byproduct of in-the-moment pressure to communicate successfully (Yurovsky, 2017).

To examine this hypothesis, we focus on ostensive labeling (i.e. using both gesture and speech in the same referential expression) as a case-study phenomenon of information-rich structure in the language learning environment. We first analyze naturalistic parent communicative behavior in a longitudinal corpus of parent-child interaction in the home (Goldin-Meadow et al., 2014). We investigate the extent to which parents tune their ostensive labeling across their child's development to align to their child's developing linguistic knowledge (Yurovsky, Doyle, & Frank, 2016).

We then experimentally induce this form of structured language input in a simple

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model system: an iterated reference game in which two players earn points for 131 communicating successfully with each other. Modeled after our corpus data, participants are 132 asked to make choices about which communicative strategy to use (akin to modality choice). 133 In an experiment on Mechanical Turk using this model system, we show that 134 pedagogically-supportive input can arise from a pressure to communicate. We then show 135 that participants' behavior in our game conforms to a model of communication as rational 136 planning: People seek to maximize their communicative success while minimizing their 137 communicative cost over expected future interactions. Lastly, we demonstrate potential 138 benefits for the learner through a series of simulations to show that communicative pressure 139 facilitates learning compared with various distributional learning accounts.

# Corpus Analysis

We first investigate parent referential communication in a longitudinal corpus of parent-child interaction. We analyze the production of multi-modal cues (i.e. using both gesture and speech) to refer to the same object, in the same instance. While many aspects of child-directed speech support learning, multi-modal cues (e.g., speaking while pointing or looking) are particularly powerful sources of data for young children (e.g., Baldwin, 2000; Gogate, Bahrick, & Watson, 2000). We take multi-modal cues to be a case-study pheonmenon of pedagogically supportive language input. While our account should hold for other language phenomena, by focusing on one phenomenon we attempt to specify the dynamics involved in the production of such input.

In this analysis of naturalistic communication, we examine the prevelance of multi-modal cues in children's language environment, to demonstrate that it is a viable, pedagogically supportive form of input. Beyond being a prevelant form of communication, multi-modal reference may be especially pedagogically supportive if usage patterns reflect adaptive linguistic tuning, with caregivers using this information-rich cue more for young children and infrequent objects. The amount of multi-modal reference should be sensitive to
the child's age, such that caregivers will be more likely to provide richer communicative
information when their child is younger (and has less linguistic knowledge) than as she gets
older (Yurovsky, Doyle, & Frank, 2016).

#### 160 Methods

We used data from the Language Development Project—a large-scale, longitudinal 161 corpus of naturalistic parent child-interaction in the home (Goldin-Meadow et al., 2014). 162 The Language Development Project corpus contains transcription of all speech and 163 communicative gestures produced by children and their caregivers over the course of the 164 90-minute home recordings. An independent coder analyzed each of these communicative 165 instances and identified each time a concrete noun was referenced using speech, gesture, or 166 both in the same referential expression (so called ostenstive labeling). In these analyses, we 167 focus only caregiver's productions of ostenstive labeling. 168

Participants. The Language Development Project aimed to recruit a sample of families who are representative of the Chicago community in socio-economic and racial diversity (Goldin-Meadow et al., 2014). These data are drawn from a subsample of 10 families from the larger corpus. Our subsample contains data taken in the home every 4-months from when the child was 14-months-old until they were 34-months-old, resulting in 6 timepoints (missing one family at the 30-month timepoint). Recordings were 90 minute sessions, and participants were given no instructions.

Of the 10 target children, 5 were girls, 3 were Black and 2 were Mixed-Race. Families spanned a broad range of incomes, with 2 families earning \$15,000 to \$34,999 and 1 family earning greater than \$100,000. The median family income was \$50,000 to \$74,999.

**Procedure.** From the extant transcription and gesture coding, we specifically coded 179 all concrete noun referents produced in either the spoken or gestural modality (or both). 180 Spoken reference was coded only when a specific noun form was used (e.g., "ball"), to 181 exclude pronouns and anaphoric usages (e.g., "it"). Gesture reference was coded only for 182 deitic gestures (e.g., pointing to or holding an object) to minimize ambiguity in determining 183 the intended referent. In order to fairly compare rates of communication across modalities, 184 we need to examine concepts that can be referred to in either gesture or speech (or both) 185 with similar ease. Because abstract entities are difficult to gesture about using deitic gestures, 186 we coded only on references to concrete nouns. 187

Reliability. To establish the reliability of the referent coding, 25% of the transcripts were double-coded. Inter-rater reliability was sufficently high (Cohen's  $\kappa = 0.76$ ).

Disagreements in coding decisions were discussed and resolved by hand.

To ensure that our each referent could potentially be refered to in gesture or speech, we focused on concrete nouns. We further wanted to ensure that the referents were physically present in the scene (and thus accessible to deitic gestures). Using the transcripts, a human rater judged whether the referent was likely to be present, primarily relying on discourse context (e.g., a referent was coded as present if the deitic gesture is used or used at another timepoint for the reference, or if the utterance included demonstratives such as "This is an X"). A full description of the coding criterea can be found in the Supporting Materials.

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To ensure our transcript-based coding of presentness was sufficiently accurate, a subset of the transcripts (5%) were directly compared to corresponding video data observation.

Reliability across the video data and the transcript coding was sufficiently high ( $\kappa = 0.72$ ).

Based on transcript coding of all the referential communication about concrete nouns, 90% of the references were judged to be about referents that were likely present. All references are included in our dataset for further analysis.

#### Results

These corpus data were analyzed using a mixed effects regression to predict parent use 206 of multi-modal reference for a given referent. The model included fixed effects of age in 207 months, frequency of the referent, and the interaction between the two. The model included 208 a random intercept and random slope of frequency by subject and a random intercept for 209 each unique referent. Frequency and age were both log-scaled and then centered both 210 because age and frequency tend to have log-linear effects and to help with model convergence. 211 The model showed that parents teach less to older children ( $\beta = -0.78$ , t = -7.88, p < .001), 212 marginally less for more frequent targets ( $\beta = -0.08$ , t = -1.81, p = .071), and that parents 213 teach their younger children more often for equally frequent referents ( $\beta = 0.18$ , t = 3.25, p =214 .001). Thus, in these data, we see early evidence that parents are providing richer, structured 215 input about rarer things in the world for their younger children (Figure \ref{fig:corpus-plot}). 216

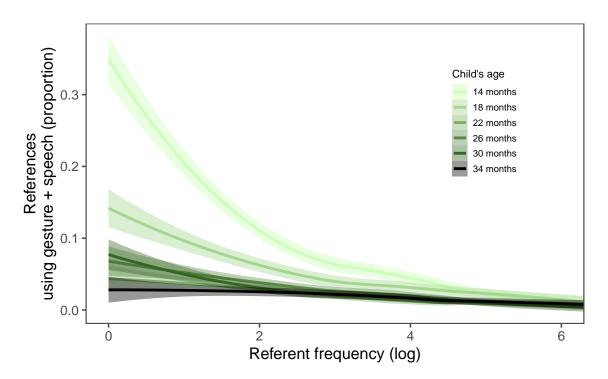


Figure 1. Proportion of parent multi-modal referential talk across development. The log of a referent's frequency is given on the x-axis, with less frequent items closer to zero.

#### 7 Discussion

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Caregivers are not indiscriminate in their use of multi-modal reference; in these data, 218 they provided more of this support when their child was younger and when discussing less 219 familiar objects. These longitudinal corpus findings are consistent with an account of 220 parental alignment: parents are sensitive to their child's linguistic knowledge and adjust 221 their communication accordingly (Yurovsky et al., 2016). Ostensive labeling is perhaps the 222 most explicit form of pedagogical support, so we chose to focus on it for our first case study. 223 We argue that these data could be explained by a simple, potentially-selfish pressure: to communicate successfully. The influence of communicative pressure is difficult to draw in naturalistic data, so we developed a paradigm to try to experimentally induce richly-structured, aligned input from a pressure to communicate in the moment.

# **Experimental Framework**

To study the emergence of pedagogically supportive input from communicative pressure, we developed a simple reference game in which participants would be motivated to communicate successfully. After giving people varying amounts of training on novel names for 9 novel objects, we asked them to play a communicative game in which they were given one of the objects as their referential goal, and they were rewarded if their partner successfully selected this referent from among the set of competitors (Figure ??).

Participants could choose to refer either using the novel labels they had been exposed to, or they could use a deictic gesture to indicate the referent to their partner. The gesture was unambiguous, and thus would always succeed. However, in order for language to be effective, the participant and their partner would have to know the correct novel label for the referent.

Across conditions, we manipulated the relative costs of these two communicative

methods (gesture and speech), as we did not have a direct way of assessing these costs in our naturalistic data, and they likely vary across communicative contexts. In all cases, we assumed that gesture was more costly than speech. Though this need not be the case for all gestures and contexts, our framework compares simple lexical labeling and unambiguous deictic gestures, which likely are more costly and slower to produce (see Yurovsky, 2018) (fix citation). We set the relative costs by explicitly implementing strategy utility, assigning point values to each communicative method.

If people are motivated to communicate successfully, their choice of referential modality should reflect the tradeoff between the cost of producing the communicative signal with the likelihood that the communication would succeed. We thus predicted that peoples' choice of referential modality would reflect this tradeoff: People should be more likely to use language if they have had more exposures to the novel object's correct label, and they should be more likely to use language as gesture becomes relatively more costly.

Critically, participants were told that they will play this game repeatedly with their 254 partner. In these repeated interactions, participants are then able to learn about an 255 interlocutor and potentially influence their learning. Thus, there is a third type of message: 256 using both gesture and speech within a single trial to effectively teach the listener an 257 object-label mapping. This strategy necessitates making inferences about the listener's 258 knowledge state, so we induced knowledge asymmetries between speaker and listner. To do 259 so, we manipulated how much training they thought their partner had received. Our 260 communicative game was designed to reward in-the-moment communication, and thus teaching required the speaker pay a high cost upfront. However, rational communicators may understand that if one is accounting for future trials, paying the cost upfront to teach the listener allows a speaker to use a less costly message strategy on subsequent trials (namely, speech). Manipulating the listner knowledge and the utility of communicative strategies, we 265 aimed to experimentally determine the circumstances under which richly-structured input 266

emerges, without an explicit pedagogical goal.

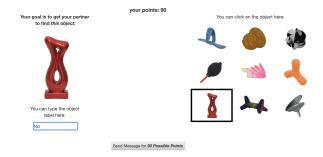


Figure 2. (#fig:exp screenshot)Screenshot of speaker view during gameplay.

### Method

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In this experiment, participants were recruited to play our reference game via Amazon 269 Mechanical Turk, an online platform that allows workers to complete surveys and short tasks 270 for payment. In this study, all participants were placed in the role of speaker and listener 271 responses were programmed. 272

Participants. 480 participants were recruited though Amazon Mechanical Turk and received \$1 for their participation. Data from 51 participants were excluded from subsequent analysis for failing the critical manipulation check and a further 28 for producing pseudo-English labels (e.g., "pricklyyone"). The analyses reported here exclude the data 276 from those participants, but all analyses were also conducted without excluding any participants and all patterns hold (ps < 0.05). 278

**Design and Procedure.** Participants were told they would be introduced to novel 279 object-label pairs and then asked to play a communication game with a partner wherein they 280 would have to refer to a particular target object. Participants were exposed to nine novel 281 objects, each with a randomly assigned pseudo-word label. We manipulated the exposure 282 rate within-subjects: during training participants saw three of the nine object-label 283 mappings four times, two times, or just one time, yielding a total of 21 training trials. 284

Participants were then given a simple recall task to establish their knowledge of the novel lexicon (pretest).

During gameplay, speakers saw the target object in addition to an array of all six
objects. Speakers had the option of either directly selecting the target object from the array
(deictic gesture)- a higher cost cue but without ambiguity- or typing a label for the object
(speech)- a lower cost cue but contingent on the listener's knowledge. After sending the
message, speakers are shown which object the listener selected.

We also manipulated participants' expectations about their partner's knowledge to 292 explore the role of knowledge asymmetries. Prior to beginning the game, participants were 293 told how much exposure their partner had to the lexicon. Across 3 between subjects 294 conditions, participants were told that their partner had either no experience with the 295 lexicon, had the same experience as the speaker, or had twice the experience of the speaker. 296 As a manipulation check, participants were then asked to report their partner's level of 297 exposure, and were corrected if they answer incorrectly. Participants were then told that 298 they would be asked to discuss each object three times during the game. 299

Listeners were programmed with starting knowledge states initialized according to the partner knowledge condition. Listeners with no exposure began the game with knowledge of 0 object-label pairs. Listeners with the same exposure of the speaker began with knowledge of five object-label pairs (3 high frequency, 1 mid frequency, 1 low frequency), based average retention rates found previously. Lastly, the listener with twice as much exposure as the speaker began with knowledge of all nine object-label pairs.

To simulate knowledgable listener behavior when the speaker typed an object label, the listener was programmed to consult their own knowledge. Messages were evaluate by taking the Levenshtein distance (LD) between the typed label and each possible label in the listener's vocabulary. Listeners then selected the candidate with the smallest edit distance

(e.g., if a speaker entered the message "tomi", the programmed listener would select the
referent corresponding to "toma", provided toma was found in its vocabulary). If the speaker
message had an LD greater than two with each of the words in the listener's vocabulary, the
listener selected an unknown object. If the speaker clicked on object (gesture message), the
listener was programmed to simply make the same selection.

Speakers could win up to 100 points per trial if the listener correctly selected the target 315 referent based on their message. If the listener failed to identify the target object, the 316 speaker received no points. We manipulated the relative utility of the speech cue 317 between-subjects across two conditions: low relative cost ("Low Relative Cost") and higher 318 relative cost ("Higher Relative Cost"). In the "Low Relative Cost" condition, speakers 319 received 30 points for gesturing and 100 points for labeling, and thus speech had very little 320 cost relative to gesture and pariticipants should be highly incentivized to speak. In the 321 "Higher Relative Cost" condition speakers received 50 points for gesturing and 80 points for labeling, and thus gesturing is still costly relative to speech but much less so and 323 pariticipants should be less incentivized to speak.

Participants were told about a third type of possible message using both gesture and 325 speech within a single trial to effectively teach the listener an object-label mapping. This action directly mirrors the multi-modal reference behavior from our corpus data—it presents the listener with an information-rich, potentially pedagogical learning moment. In order to produce this teaching behavior, speakers had to pay the cost of producing both cues (i.e. both gesture and speech). Note that, in all utility conditions, teaching yielded 330 participants 30 points (compared with the much more beneficial strategy of speaking which 331 yielded 100 points or 80 points across our two utility manipulations). Listeners were 332 programmed to integrate new taught words into their knowledge of the lexicon, and check 333 those taught labels on subsequent trials when evaluating speaker messages. 334

Crossing our 2 between-subjects manipulations yielded 6 conditions (2 utility

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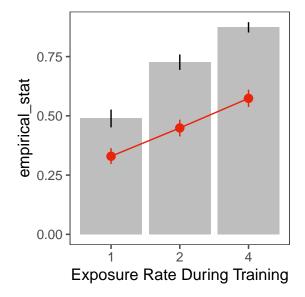
manipulations: "Low Relative Cost" and "Higher Relative Cost"; and 3 levels of partner's
exposure: None, Same, Double), with 80 participants in each condition. We expected to find
results that mirrored our corpus findings such that rates of teaching would be higher when
there was an asymmetry in knowledge where the speaker knew more (None manipulation)
compared with when there was equal knowledge (Same manipulation) or when the listener
was more familiar with the language (Double manipulation). We expected that participants
would also be sensitive to our utility manipulation, such that rates of labeling and teaching
would be higher in the "Low Relative Cost" conditions than the other conditions.

#### 344 Results

In each trial, participants are able to choose one of 3 communicative strategies: gesture,
speech, or teaching. We primarily expect flexible trade-off between the use of each strategy
given their relative utilities, participant's knowledge of the lexicon, and the listener's
knowledge of the lexicon. To test our predictions about each communicative behavior
(gesture, speech, and teaching), we conducted separate logisitoc mixed effects models for
each behavior, reported below. It should be noted that these three behaviors are mutually
exhaustive. First, we establish how well participants learned our novel lexicon during
training.

Learning. As an initial check of our exposure manipulation, we first conducted a logistic regression predicting accuracy at test from a fixed effect of exposure rate and random intercepts and slopes of exposureRate by participant as well as random intercepts by item.

We found a reliable effect of exposure rate, indicating that participants were better able to learn items that appear more frequently in training ( $\beta = 1.08$ , t = 13.71, p < .001). On average, participants knew at least 6 of the 9 words in the lexicon (mean = 6.28, sd = 2.26). There were no significant differences between any of our between subjects manipulations for baseline learning (ps > 0.05).



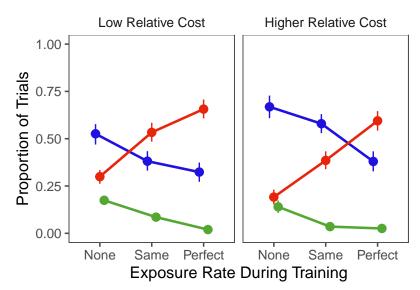


Figure 3. Speaker communicative method choice as a function of exposure and the utility manipulation.

Gesture. When should we expect participants to rely on gesture? Gesturing has the highest utility for words you failed to learn during training, words you think your partner is unlikely to know (i.e., for lower partner knowledge conditions), and when utility scheme is relatively biased toward gesturing (i.e., the "Higher Relative Cost" condition). To test these predictions, we ran a mixed effects logistic regression to predict whether speakers chose to gesture during a given trial as a function of the target object's exposure rate during training,

object instance in the game (first, second, or third), utility manipulation, and partner manipulation. Random effects terms for subject and object were included in the model.

Consistent with our predictions, exposure rate during training was a significant 370 negative predictor of gesturing during the game (see Figure 3), such that participants were 371 less likely to rely on gesture for well trained (and thus well learned) objects ( $\beta = -0.50, p <$ 372 .001). Additionally, participants were significantly more likely to gesture in the Higher 373 Relative Cost condition where gesture is relatively less costly, compared with the Low 374 Relative Cost condition ( $\beta = 1.20, p < .001$ ) (see Figure 3). We also found a significant 375 negative effect of partner's knowledge, such that participants used gesture more for partners 376 with less knowledge of the lexicon ( $\beta = -0.81, p < .001$ ) (see Figure 3). 377

Note that these effects cannot be explained by solely speaker knowledge; all patterns above hold when looking *only* at words known by the speaker at pretest (ps < 0.01).

Further, these patterns directly mirror previous corpus analyses demonstrating adult's use of gesture in naturalistic parental communicative behaviors, and parents likely have lexical knowledge of even even the least frequent referent (see Yurovsky, 2018).

Speech. When should we expect participants to use speech? Speech has the highest utility for words you learned during training, words you think your partner is likely to know (i.e., for higher partner knowledge conditions), when utility scheme is relatively biased toward speech (i.e., the "Low Relative Cost" condition). To test these predictions, we ran a mixed effects logistic regression to predict whether speakers chose to speak during a given trial as a function of the target object's exposure rate during training, object instance in the game (first, second, or third), utility manipulation, and partner manipulation. Random effects terms for subjects and object were included in the model.

Consistent with our predictions, speech seemed to largely tradeoff with gesture.

Exposure rate during training was a signficant positive predictor of speaking during the

game, such that participants were more likely to utilize speech for well trained (and thus well 393 learned) objects ( $\beta = 0.35, p < .001$ ). Additionally, participants were signfinatly less likely 394 to speak in the High Relative Cost condition where speech is relatively more costly, 395 compared with the Low Relative Cost condition ( $\beta = -0.87$ , p.001). We also found a 396 significant positive effect of partner's knowledge, such that participants used speech more for 397 partners with more knowledge of the lexicon ( $\beta = 1.95, p < .001$ ). Unlike for gesture, there 398 is a significant effect of object instance in the game (i.e., whether this is the first, second, or 399 third trial with this target object) on the rate of speaking, such that later trials are more 400 likely to elicit speech ( $\beta = 0.72$ , p < .001). This effect of order likely stems from a trade-off 401 with the effects we see in teaching (described below); after a speaker teaches a word on the 402 first or second trial, the utility of speech is much higher on subsequent trials. 403

Emergence of Teaching. Thus far, we have focused on relatively straightforward scenarios to demonstrate that a pressure to communicate successfully in the moment can lead speakers to trade-off between gesture and speech sensibly. Next, we turn to the emergence of teaching behavior.

When should we expect participants to teach? Teaching has the highest utility for
words you learned during training, words you think your partner is unlikely to know (i.e., for
lower partner knowledge conditions), when utility scheme is relatively biased toward speech
(i.e., the "Low Relative Cost" condition). To test these predictions, we ran a mixed effects
logistic regression to predict whether speakers chose to teach during a given trial as a
function of the target object's exposure rate during training, object instance in the game
(first, second, or third), utility manipulation, and partner manipulation. Random effects
terms for subjects and object were included in the model.

Consistent with our predictions, rates of teaching were higher for better trained words, less knowledgeable partners, and when speech had the highest utility. Exposure rate during training was a significant positive predictor of teaching during the game, such that

participants were more likely to teach for well trained (and thus well learned) objects ( $\beta =$ 419 0.14, p.001). While costly in the moment, teaching can be a beneifical strategy in our 420 reference game because it subsequently allows for lower cost strategy (i.e. speaking), thus 421 when speaking has a lower cost, participants should be more incentivized to teach. Indeed, 422 participants were significantly less likely to teach in the High Relative Cost condition where 423 speech is relatively more costly, compared with the Low Relative Cost condition ( $\beta = -0.96$ , 424 p. 001). We also found a significant negative effect of partner's knowledge, such that 425 participants taught more with partners that had less knowledge of the lexicon ( $\beta = -2.23$ , p 426 < .001). There was also a significant effect of object instance in the game (i.e., whether this 427 is the first, second, or third trial with this target object) on the rate of teaching. The 428 planned utility of teaching comes from using another, cheaper strategy (speech) on later 429 trials, thus the expected utility of teaching should decrease when there are fewer subsequent trials for that object, predicting that teaching rates should drop dramatically across trials for a given object. Participants were significantly less likely to teach on the later appearances of 432 the target object ( $\beta = -1.09$ , p < .001). 433

#### 434 Discussion

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As predicted, the data from our paradigm corroborate our findings from the corpus
analysis, demonstrating that pedagogically supportive behavior emerges despite the initial
cost when there is an asymmetry in knowledge and when speech is less costly than other
modes of communication. While this paradigm has stripped away much of the interactive
environment of the naturalistic corpus data, it provides important proof of concept that the
structured and tuned language input we see in those data could arise from a pressure to
communicate. The paradigm's clear, quantitative predictions also allow us to build a formal
model to predict our empirical results.

The results from this experiment are qualitatively consistent with a model in which

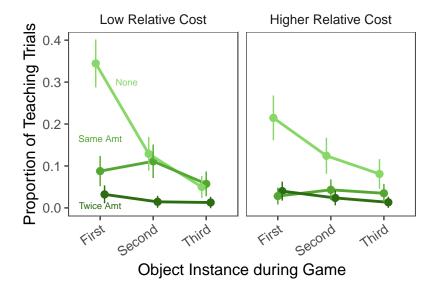


Figure 4. Rates of teaching across the 6 conditions, plotted by how many times an object had been the target object.

participants make their communicative choices to maximize their expected utility from the reference game. We next formalize this model to determine if these results are predicted quantitatively as well.

### Model: Communication as planning

In order to model when people should speak, point, or teach, we begin from the 448 problem of what goal people are trying to solve (Marr, 1982). Following a long history of 449 work in philosophy of language, we take the goal of communication to be causing an action 450 in the world by transmitting some piece of information to one's conversational partner (e.g. 451 Wittgenstein, 1953; Austin, 1975). If people are near-optimal communicators, they should choose communicative signals that maximize the probability of being understood while 453 minimizing the cost of producing the signal (Clark, 1996; Grice, 1975). In the special case of 454 reference, solving this problem amounts to producing the least costly signal that correctly 455 specifies one's intended target referent in such a way that one's conversational partner can 456 select it from the set of alternative referents. 457

Recently, Frank & Goodman (2012) developed the Rational Speech Act framework—a 458 formal instantiation of these ideas. In this model, speakers choose from a set of potential 459 referential expressions in accordance to a utility function that maximizes the probability that 460 a listener will correctly infer their intended meaning while minimizing the number of words 461 produced. This framework has found successful application in a variety of linguistic 462 applications such as scalar implicature, conventional pact formation, and production and 463 interpretation of hyperbole (Goodman & Frank, 2016; see also related work from Franke, 464 2013). These models leverage recursive reasoning–speakers reasoning about listeners who are 465 reasoning about speakers-in order to capture cases in which the literal meaning and the 466 intended meaning of sentences diverge. 467

To date, this framework has been applied primarily in cases where both communicative 468 partners share the same linguistic repertoire, and thus communicators know their probability 469 of communicating successfully having chosen a particular signal. This is a reasonable 470 assumption for pairs of adults in contexts with shared common ground. But what if partners 471 do not share the same linguistic repertoire, and in fact do not know the places where their 472 knowledge diverges? In this case, communicators must solve two problems jointly: (1) Figure 473 out what their communicative partner knows, and (2) produce the best communicative 474 signal they can given their estimates of their partner's knowledge. If communicative partners 475 interact repeatedly, these problems become deeply intertwined: Communicators can learn 476 about each-other's knowledge by observing whether their attempts to communicate succeed. 477 For instance, if a communicator produces a word that they believe identifies their intended 478 referent, but their partner fails to select that referent, the communicator can infer that their 479 partner must not share their understanding of that word. They might then choose not to use 480 language to refer to this object in the future, but choose to point to it instead. 481

Critically, communicators can also change each-other's knowledge. When a
communicator both points to an object and produces a linguistic label, they are in effect

teaching their partner the word that they use to refer to this object. While this this behavior 484 is costly in the moment, and no more referentially effective than pointing alone, it can lead to 485 more efficient communication in the future—instead of pointing to this referent forever more, 486 communicators can now use the linguistic label they both know they share. This behavior 487 naturally emerges from a conception of communication as planning: Communicators' goal is 488 to choose a communicative signal today that will lead to efficient communication not just in 480 the present moment, but in future communications as well. If they are likely to need to refer 490 to this object frequently, it is worth it to be inefficient in this one exchange in order to be 491 more efficient future. In this way, pedagogically supportive behavior can emerge naturally 492 from a model with no separate pedagogical goal. In the following section, we present a 493 formal instantiation of this intuitive description of communication as planning and show that 494 it accounts for the behavior we observed in our experiments.

Alternatively, pedagogically-supportive input could emerge from an explicit 496 pedagogical goal. Shafto, Goodman, & Griffiths (2014) have developed an framework of 497 rational pedagogy built on the same recursive reasoning principles as in the Rational Speech 498 Act Framework: Teachers aim to teach a concept by choosing a set of examples that would 490 maximize learning for students who reason about the teachers choices as attempting to 500 maximize their learning. Rafferty, Brunskill, Griffiths, & Shafto (2016) et al. expanded this 501 framework to sequential teaching, in which teachers use students in order to infer what they 502 have learned and choose the subsequent example. In this case, teaching can be seen as a 503 kind of planning where teachers should choose a series of examples that will maximize 504 students learning but can change plans if an example they thought would be too hard turns out too easy-or vice-versa. In the case of our reference game, this model is indistinguishable form a communicator who seeks to maximize communicative success but is indifferent to communicative cost. A cost-indifferent model makes poor predictions about parents' 508 behavior in our corpus, and also adults' behavior in our experiments, but we return to it in 500 the subsequent section to consider how differences in parents' goals and differences in

children's learning contribute to changes in the rate of language acquisition.

#### $_{^{12}}$ Formal Model

We take as inspiration the idea that communication is a kind of action—e.g. talking is a 513 speech act (Austin, 1975). Consequently, we can understand the choice of which 514 communicative act a speaker should take as a question of which act would maximize their 515 utility: achieving successful communication while minimizing their cost (Frank & Goodman, 516 2012). In this game, speakers can take three actions: talking, pointing, or teaching. In this 517 reference game, these Utilities (U) are given directly by the rules. Because communication is 518 a repeated game, people should take actions that maximize their Expected Utility (EU) over 510 not just for the current round, but for all future communicative acts with the same 520 conversational partner. We can think of communication, then as a case of recursive planning. 521 However, people do not have perfect knowledge of each-other's vocabularies (v). Instead, 522 they only have uncertain beliefs (b) about these vocabularies that combine their expectations 523 about what kinds of words people with as much linguistic experience as their partner are 524 likely to know with their observations of their partner's behavior in past communicative 525 interactions. This makes communication a kind of planning under uncertainty well modeled 526 as a Partially Observable Markov Decision Process (POMDP, Kaelbling, Littman, & 527 Cassandra, 1998).

Optimal planning in a Partially Observable Markov Decision Process involves a cycle of three phases: (1) Plan, (2) Act, and (3) Update beliefs. We describe those in turn and finally define how people form initial beliefs about their partner's language now.

Plan. When people plan, they compute the expected utility of each possible action (a) by combining the expected utility of that action now with the Discounted Expected Utility they will get in all future actions. The amount of discounting ( $\gamma$ ) reflects how much

people care about success now compared to success in the future. Because utilities depend on the communicative partner's vocabulary, people should integrate over all possible vocabularies in proportion to the probability that their belief assigns to that vocabulary  $(\mathbb{E}_{v\sim b})$ .

$$EU[a|b] = \mathbb{E}_{v \sim b} \left( U(a|v) + \gamma \mathbb{E}_{v',o',a'} \left( EU[a'|b'] \right) \right)$$

Act. Next, people take an action as a function of its expected utility. Following other models in the Rational Speech Act framework, we use the Luce Choice Axiom, in which each choice is taken in probability proportional to its exponentiated utility (Frank & Goodman, 2012; Luce, 1959). This choice rule has a single parameter  $\alpha$  that controls the noise in this choice—as  $\alpha$  approaches 0, choice is random and as  $\alpha$  approaches infinity, choice is optimal.

$$P(a|b) \propto \alpha e^{EU[a|b]}$$

After taking an action, people observe (o) their partner's Update beliefs. 544 choice—sometimes they correctly select the intended object, and sometimes they do not. People then update their beliefs about the partner's vocabulary based on this observation. For simplicity, we assume that people think their partner should always select the correct target if they point to it, or if they teach, and similarly should always select the correct target if they produce its label and the label is in their partner's vocabulary. Otherwise, they assume that their partner will select the wrong object. People could of course have more complex inferential rules, e.g. assuming that if their partner does know a word they will 551 choose among the set of objects whose labels they do not know (mutual exclusivity, 552 Markman & Wachtel, 1988). Empirically, however, our simple model appears to accord well 553 with people's behavior. 554

$$b'(v') \propto P(o|v', a) \sum_{v \in V} P(v'|v, a) b(v)$$

The critical feature of a repeated communication game is that people can change their partner's vocabulary. In teaching, people pay the cost of both talking and pointing together,

but can leverage their partner's new knowledge on future trials. Note here that teaching has 557 an upfront cost and the only benefit to be gained comes from using less costly 558 communication modes later. There is no pedagogical goal—the model treats speakers as 559 selfish agents aiming to maximize their own utilities by communicating successfully. We 560 assume for simplicity that learning is approximated by a simple Binomial learning model. If 561 someone encounters a word w in an unambiguous context (e.g. teaching), they add it to their 562 vocabulary with probability p. We also assume that over the course of this short game that 563 people do not forget-words that enter the vocabulary never leave, and that no learning 564 happens by inference from mutual exclusivity. 565

$$P(v'|v,a) = \begin{cases} 1 & \text{if } v_w \in v \& v' \\ p & \text{if } v_w \notin v \& a = \text{point+talk} \\ 0 & otherwise \end{cases}$$

The final detail is to specify how people estimate their partner's Initial Beliefs. 566 learning rate (p) and initial vocabulary (v). We propose that people begin by estimating 567 their own learning rate by reasoning about the words they learned at the start of the task: 568 Their learning rate (p) is the rate that maximizes the probability of them having learned their initial vocabularies from the trials they observed. People can then expect their partner to have a similar p (per the "like me" hypothesis, Meltzoff, 2005). Having an estimate of 571 their partner's p, they can estimate their vocabulary by simulating their learning from the 572 amount of prior exposure to language their partner had before the game. In our experiments, 573 we explicitly manipulated this expectation by telling participants how much exposure their 574 partner had relative to their own exposure. 575

#### Method

We implemented the planning model using the WebPPL—a programming language
designed for specifying probabilistic models (Goodman & Stuhlmüller, 2014). To derive
predictions from the model, we exposed it to the same trial-by-trial stimuli as the
participants in our experiment, and used the probabilistic equations defined above to
determine the likelihood of choosing each behavior (e.g. "speak", "point", or "teach") on
every trial. Separate predictions were made for each trial for each participant on the basis of
all of the information available to each participant at that point in time (e.g. how many
words they had learned, their partner's observed behavior previously, etc).

The model's behavior is contingent on two parameters-discounting  $(\gamma)$ , and it's 585 rationality  $(\alpha)$ . In order to determine the values of these parameters that best characterize 586 human participants, we used Bayesian inference to estimate the posterior means of both. 587 Using estimates rather than the maximum likelihood estimates naturally penalizes the 588 models for their ability to predict patterns of data that were not observed, applying a kind of 589 Bayesian Ockham's razor (MacKay, 1992). Because of we found substantial variability in the 590 best parameter estimates across individual participants, we estimated parameters 591 hierarchically, with group-level parameters forming the priors for individual participants' 592 parameters. This hierarchical estimation process achieves the same partial pooling as as 593 subject-level random effects in mixed-effects models, giving estimates of the group-level 594 parameters (Gelman & Hill, 2006). Details of the estimation procedure can be found in the 595 Supplemental Materials. 596

#### 97 Model Results

In line with previous work on rational speech act models, and decision making, we expected rationality ( $\alpha$ ) to be around 1 or 2 (Frank & Goodman, 2012, Frank and Goodman

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(2014)). We estimated the posterior mean rationality ( $\alpha$ ) to be 0.44 with 95% credible intervals of [1.10, 1.26]. We did not have strong expectations for the value of the discounting parameter ( $\gamma$ ), but estimated it to be 0.41 [0.41, 0.47], suggesting that on average participants weighed the next occurrence of a referent as slightly less than half as important as the current occurrence.

# Consequences for Learning

In the model and experiments above, we asked whether the pressure to communicate successfully with a linguistically-naive partner would lead to pedagogically supportive input.

These results confirmed its' sufficiency: As long as linguistic communication is less costly than deictic gesture, speakers should be motivated to teach in order to reduce future communicative costs. Further, the strength of this motivation is modulated by predictable factors (speaker's linguistic knowledge, listener's linguistic knowledge, relative cost of speech and gesture, learning rate, etc.), and the strength of this modulation is well predicted by a rational model of planning under uncertainty about listner's vocabulary.

In this final section, we take up the consequences of communicatively-motivated 614 teaching for the listener. To do this, we adapt a framework used by Blythe, Smith, & Smith 615 (2010) and colleagues to estimate the learning times for an idealized child learning language 616 under a variety of models of both the child and their parent. We come to these estimates by 617 simulating exposure to successive communicative events, and measuring the probability that 618 successful learning happens after each event. The question of how different models of the 619 parent impact the learner can then be formalized as a question of how much more quickly 620 learning happens in the context of one model than another. 621

We consider three parent models:

1. Teacher - under this model, we take the parents' goal to be maximizing the child's

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- linguistic development. Each communicative event in this model consists of an
  ostensive labelling event (Note: this model is equivalent to a *Communicator* that
  ignores communicative cost).
- 2. Communicator under this model, we take the parents' goal to be maximizing
  communicative success while minimizing communicative cost. This is the model we
  explored in the previous section.
  - 3. *Indifferent* under this model, the parent produces a linguistic label in each communicative event regardless of the child's vocabulary state. (Note: this model is equivalent to a *Communicator* who ignores communicative success).

### SOME STUFF ABOUT CROSS SITUATIONAL LEARNING

One important point to note is that we are modeling the learning of a single word 634 rather than the entirety of a multi-word lexicon (as in Blythe et al., 2010). Although 635 learning times for each word could be independent, an important feature of many models of 636 word learning is that they are not (Frank, Goodman, & Tenenbaum, 2009; Yu, 2008; 637 Yurovsky, Fricker, Yu, & Smith, 2014; although c.f. McMurray, 2007). Indeed, positive 638 synergies across words are predicted by the majority of models and the impact of these synergies can be quite large under some assumptions about the frequency with which 640 different words are encountered (Reisenauer, Smith, & Blythe, 2013). We assume independence primarily for pragmatic reasons here—it makes the simulations significantly more tractable (although it is what our experimental participants appear to assume about learners). Nonetheless, it is an important issue for future consideration. Of course, synergies that support learning under a cross-situational scheme must also support learning from communcators and teachers (Frank et al., 2009; Markman & Wachtel, 1988; Yurovsky, Yu, & Smith, 2013). Thus, the ordering across conditions should remain unchanged. However, the 647 magnitude of the difference sacross teacher conditions could potentially increase or decrease.

#### Method

Because the teaching model is indifferent to communicative cost, it 650 engages in ostensive an ostensive labeling (pointing + speaking) on each communicative 651 event. Consequently, learning on each trial occurs with a probability that depends entirely 652 on the learner's learning rate  $(P_k = p)$ . Because we do not allow forgetting, the probability 653 that a learner has failed to successfully learn after n trials is equal to the probability that 654 they have failed to learn on each of n successive independent trials (The probability of zero 655 successess on n trials of a Binomial random variable with parameter p). The probability of 656 learning after n trials is thus: 657

$$P_k(n) = 1 - (1 - p)^n$$

The expected probability of learning after n trials was thus defined analytically and required no simulation. For comparison to the other models, we computed  $P_k$  for values of p that ranged from .1 to 1 in increments of .1.

Communication. To test learner under the communication model, we implemented 661 the same model described in the paper above. However, because our interest was in 662 understanding the relationship between parameter values and learning outcomes rather than 663 inferring the parameters that best describe people's behavior, we made a few simplifying assumptions to allow many runs of the model to complete in a more practical amount of time. First, in the full model above, speakers begin by inferring their own learning parameters  $(P_s)$  from their observations of their own learning, and subsequently use their 667 maximum likelihood estimate as a standin for their listener's learning parameter  $(P_l)$ . 668 Because this estimate will converge to the true value in expectation, we omit these steps and 669 simply stipulate that the speaker correctly estimates the listener's learning parameter. 670

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Second, unless the speaker knows apriori how many times they will need to refer to a 671 particular referent, the planning process is an infinite recursion. However, each future step in 672 the plan is less impactful than the previous step (because of exponential discounting), this 673 infinite process is in practice well approximated by a relatively small number of recursive 674 steps. In our explorations we found that predictions made from models which planned over 3 675 future events were indistinguishable from models that planned over four or more, so we 676 simulated 3 steps of recursion<sup>1</sup>. Finally, to increase the speed of the simulations we 677 re-implemented them in the R programming language. All other aspects of the model were 678 identical. 679

The literature on cross-situational learning is rich with a Hypothesis Testing. 680 variety of models that could broadly be considered to be "hypothesis testers." In an 681 eliminative hypothesis testing model, the learner begins with all possible mappings between 682 words and objects and prunes potential mappings when they are inconsistent with the data 683 according to some principe. A maximal version of this model relies on the principle that 684 every time a word is heard its referent must be present, and thus prunes any word-object 685 mappings that do not appear on the current trial. This model converges when only one 686 hypothesis remains and is probably the fastest learner when its assumed principle is a correct assumption (Smith, Smith, & Blythe, 2011).

A positive hypothesis tester begins with no hypotheses, and on each trial stores one ore more hypotheses that are consistent with the data, or alternatively strengthens one or more hypotheses that it has already stored that are consistent with the new data. A number of such models have appeared in the literature, with different assumptions about (1) how many hypotheses a learner can store, (2) existing hypotheses are strengthened, (3) how existing

<sup>&</sup>lt;sup>1</sup> It is an intersting empirical question to determine how the level of depth to which that people plan in this and similar games (see e.g. bounded rationality in Simon, 1991; resource-rationality in Griffiths, Lieder, & Goodman, 2015). This future work is outside the scope of the current project.

hypotheses are pruned, and (4) when the model converges (Siskind, 1996; Smith et al., 2011;
Stevens, Gleitman, Trueswell, & Yang, 2017; Trueswell, Medina, Hafri, & Gleitman, 2013; Yu
& Smith, 2012).

Finally, Bayesian models have been proposed that leverage some of the strengths of
both of these different kinds of model, both increasing their confidence in hypotheses
consisten with the data on a given learning event and decreasing their confidence in
hypotheses inconsistent with the event (Frank et al., 2009).

Because of its more natural alignment with the learning models we use Teaching and 701 Communication simulations, we implemented a positive hypothesis testing model<sup>2</sup>. In this 702 model, learners begin with no hypotheses and add new ones to their store as they encounter 703 data. Upon first encountering a word and a set of objects, the model encodes up to h704 hypothesized word-object pairs each with probability p. On subsequent trials, the model 705 checks whether any of the existing hypotheses are consistent with the current data, and 706 prunes any that are not. If no current hypotheses are consistent, it adds up to h new 707 hypotheses each with probability p. The model has converged when it has pruned all but the 708 one correct hypothesis for the meaning of a word. This model is most similar to the Propose 709 but Verify model proposed in Trueswell et al. (2013), with the exception that it allows for 710 multiple hypotheses. Because of the data generating process, storing prior disconfirmed 711 hypotheses (as in Stevens et al., 2017), or incrementing hypotheses consistent with some but 712 not all of the data (as in Yu & Smith, 2012) has no impact on learner and so we do not 713 implement it here. We note also that, as described in Yu & Smith (2012), hypothesis testing 714 models can mimic the behavior of associative learning models given the right parameter 715

 $<sup>^2</sup>$  Our choice to focus on hypothesis testing rather than other learning frameworks is purely a pragmatic choice—the learning parameter p in this models maps cleanly onto the learning parameter in our other models. We encourage other researchers to adapt the code we have provided to estimate the long-term learning for other models.

rie settings (Townsend, 1990).

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In contrast to the Teaching and Communication simulations, the behavior of the 717 Hypothesis Testing model depends on which particular non-target objects are present on 718 each naming event. We thus began each simulation by generating a copus of 100 naming 719 events, on each sampling the correct target as well as (C-1) competitors from a total set of 720 M objects. We then simulated a hypothesis tester learning over this set of events as 721 described above, and recorded the first trial on which the learner converged (having only the 722 single correct hypothesized mapping between the target word and target object). We 723 repeated this process 1000 times for each simulated combination of M = (16, 32, 64, 128)724 total objects, C = (1, 2, 4, 8) objects per trial, h = (1, 2, 3, 4) concurrent hypotheses, as the 725 learning rate p varied from .1 to 1 in increments of .1.

#### General Discussion

Across naturalistic corpus data, experimental data, and model predictions, we see 728 evidence that pressure to communicate successfully with a linguistically immature partner 729 could fundamentally structure parent production. In our experiment, we showed that people 730 tune their communicative choices to varying cost and reward structures, and also critically to 731 their partner's linguistic knowledge-providing richer cues when partners are unlikely to know 732 the language and many more rounds remain. These data are consistent with the patterns 733 shown in our corpus analysis of parent referential communication and demonstrate that such pedagogically supportive input could arise from a motivation to maximize communicative 735 success while minimizing communicative cost—no additional motivation to teach is necessary. 736 In simulation, we demonstrate that such structure could have profound implications for child 737 language learning, simplifying the learning problem posed by most distributional accounts of 738 language learning. 739

Accounts of language learning often aim to explain its striking speed in light of the 740 sheer complexity of the language learning problem itself. Many such accounts argue that 741 simple (associative) learning mechanisms alone seem insufficient to explain the rapid growth 742 of language skills and appeal instead to additional explanatory factors, such as the so-called 743 language acquisition device, working memory limitations, word learning biases, etc. (e.g., 744 Chomsky, 1965; Goldowsky & Newport, 1993; Markman, 1990). While some have argued for 745 the simplifying role of language distributions (e.g., McMurray, 2007), these accounts largely 746 focus on learner-internal explanations. For example, Elman (1993) simulates language 747 learning under two possible explanations to intractability of the language learning problem: 748 one environmental, and one internal. He first demonstrates that learning is significantly 749 improved if the language input data is given incrementally, rather than all-at-once (Elman, 750 1993). He then demonstrates that similar benefits can arise from learning under limited 751 working memory, consistent with the "less-is-more" proposal (Elman, 1993; Goldowsky & 752 Newport, 1993). Elman dismisses the first account arguing that ordered input is implausible, while shifts in cognitive maturation are well-documented in the learner (Elman, 1993); 754 however, our account's emphasis on changing calibration to such learning mechanisms 755 suggests the role of ordered or incremental input from the environment may be crucial.

This account is consonant with work in other areas of development, such as recent 757 demonstrations that the infant's visual learning environment has surprising consistency and 758 incrementality, which could be a powerful tool for visual learning. Notably, research using 759 head mounted cameras has found that infant's visual perspective privileges certain scenes 760 and that these scenes change across development (Fausey, Jayaraman, & Smith, 2016). In early infancy, the child's egocentric visual environment is dominated by faces, but shifts 762 across infancy to become more hand and hand-object oriented in later infancy (Fausey et al., 2016). This observed shift in environmental statistics mirrors learning problems solved by infants at those ages, namely face recognition and object-related goal attribution respectively 765 (Fausey et al., 2016). These changing environmental statistics have clear implications for

learning and demonstrate that the environment itself is a key element to be captured by
formal efforts to evaluate statistical learning (Smith et al., 2018). Frameworks of visual
learning must incorporate both the relevant learning abilities and this motivated, contingent
structure in the environment (Smith et al., 2018).

By analogy, the work we have presented here aims to draw a similar argument for the 771 language environment, which is also demonstrably beneficial for learning and changes across 772 development. In the case of language, the contingencies between learner and environment are 773 even clearer than visual learning. Functional pressures to communicate and be understood 774 make successful caregiver speech highly dependent on the learner. Any structure in the 775 language environment that is continually suited to changing learning mechanisms must come 776 in large part from caregivers themselves. Thus, a comprehensive account of language 777 learning that can successfully grapple with the infant curriculum (Smith et al., 2018) must 778 explain parent production, as well as learning itself. In this work, we have taken first steps 779 toward providing such an account. 780

Explaining parental modification is a necessary condition for building a complete theory 781 of language learning, but modification is certainly not a sufficient condition for language 782 learning. No matter how callibrated the language input, non-human primates are unable to 783 acquire language. Indeed, parental modification need not even be a necessary condition for 784 language learning. Young children are able to learn novel words from (unmodified) overheard 785 speech between adults (Foushee & Xu, 2016), although there is reason to think that overheard sources may have limited impact on language learning broadly (e.g., Schniedman & Goldin-Meadow, 2012). Our argument is that the rate and ultimate attainment of 788 language learners will vary substantially as a function of parental modification, and that 780 describing the cause of this variability is a necessary feature of models of language learning.

Generalizability and Limitations. Our account aims to think about parent production and child learning in the same system, putting these processes into explicit

dialogue. While we have focused on ostensive labeling as a case-study phenomenon, our
account should reasonably extend to the changing structure found in other aspects of
child-directed speech—though see below for important limitations to this extension. Some
such phenomena will be easily accounted for: aspects of language that shape communicative
efficiency should shift in predictable patterns across development.

While these language phenomena can be captured by our proposed framework, incorporating them will likely require altering aspects of our account and decisions about which alterations are most appropriate. For example, the exaggerated pitch contours seen in infant-directed speech could be explained by our account if we expand the definition of communicative success to include the goal of maintaining attention. Alternatively, one could likely accomplish the same goal by altering the cost structure to penalize loss of engagement. Thus, while this account should generalize to other modifications found in child-directed speech, such generalizations will likely require non-trivial alterations to the extant structure of the framework.

Of course, not all aspects of language should be calibrated to the child's language 807 development. Our account also provides an initial framework for explaining aspects of 808 communication that would not be modified in child-directed speech: namely, aspects of 809 communication that minimally effect communicative efficiency. In other words, 810 communication goals and learning goals are not always aligned. For example, children 811 frequently overregularize past and plural forms, producing incorrect forms such as "runn-ed" 812 (rather than the irregular verb "ran") or "foots" (rather than the irregular plural "feet") (citation on overregularization). Mastering the proper tense endings (i.e. the learning goal) 814 might be aided by feedback from parent; however, adults rarely provide corrective feedback for these errors (citation for lack of correction), perhaps because incorrect grammatical forms 816 are often sufficient to allow for successful communication (i.e. the communicative goal). The 817 degree of alignment between communication and learning goals should predict the extent to

which a linguistic phenomenon is modified in child-directed speech. Fully establishing the
degree to which modification is expected for a given language phenomena will likely require
working through a number of limitations in the generalizability of the framework as it stands.

Some aspects of parent production are likely entirely unrepresented in our framework, 822 such as aspects of production driven by speaker-side constraints. Furthermore, our account is 823 formulated primarily around concrete noun learning and future work must address its 824 viability in other language learning problems. We chose to focus on ostensive labeling as a 825 case-study phenomenon because it is an undeniably information-rich cue for young language learners, however ostensive labeling varies substantially across socio-economic status and cross-linguistically (citation for SES + lang ostensive labeling). This is to be expected to the extent that parent-child interaction is driven by different goals (or goals given different 829 weights) across these populations—variability in goals could give rise to variability in the 830 degree of modification. Nonetheless, the generalizability of our account across populations 831 remains unknown. Indeed, child-directed speech itself varies cross-linguistically, both in its 832 features (citation) and quantity (citation). There is some evidence that CDS predicts 833 learning even in cultures where CDS is qualitatively different and less prevalent than in 834 American samples (Schneidman & Goldin-Meadow, 2012). Future work is needed to 835 establish the generalizability of our account beyond the western samples studied here. 836

We see this account as building on established, crucial statistical learning skills—
distributional information writ large and (unmodified) language data from overheard speech
are undoubtedly helpful for some learning problems (e.g., phoneme learning). There is likely
large variability in the extent to which statistical learning skills drive the learning for a given
learning problem. The current framework is limited by its inability to account for such
differences across learning problems, which could derive from domain or cultural differences.
Understanding generalizability of this sort and the limits of statistical learning will likely
require a full account spanning both parent production and child learning.

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A full account that explains variability in modification across aspects of language will rely on a fully specified model of optimal communication. Such a model will allow us to determine both which structures are predictably unmodified, and which structures must be modified for other reasons. Nonetheless, this work is an important first step in validating the hypothesis that language input that is structured to support language learning could arise from a single unifying goal: The desire to communicate effectively.

851 Conclusion

Building off of early functional account of language learning (e.g., Brown, 1977), our 852 account emphasizes the importance of communicative success in shaping language input and 853 language learning. We have developed an intitial formal framework for jointly considering 854 parent productions and child language learning within the same system. We showed that 855 such an account helps to explain parents' naturalistic communicative behavior and 856 participant behavior in an iterated reference game. Formalized model predictions explain 857 these behaviors without an explicit teaching goal, and show demonstrable effects on learning 858 in model simulations. In sum, this work demonstrates that the pressure to communicate sucessfully may sturcture language input and language tuning in ways that support eventual language learning.

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