

Psychological Science

<http://pss.sagepub.com/>

Continuous Perception and Graded Categorization: Electrophysiological Evidence for a Linear Relationship Between the Acoustic Signal and Perceptual Encoding of Speech

Joseph C. Toscano, Bob McMurray, Joel Dennhardt and Steven J. Luck

Psychological Science 2010 21: 1532 originally published online 8 October 2010

DOI: 10.1177/0956797610384142

The online version of this article can be found at:

<http://pss.sagepub.com/content/21/10/1532>

Published by:



<http://www.sagepublications.com>

On behalf of:



[Association for Psychological Science](#)

Additional services and information for *Psychological Science* can be found at:

Email Alerts: <http://pss.sagepub.com/cgi/alerts>

Subscriptions: <http://pss.sagepub.com/subscriptions>

Reprints: <http://www.sagepub.com/journalsReprints.nav>

Permissions: <http://www.sagepub.com/journalsPermissions.nav>

>> [Version of Record](#) - Oct 11, 2010

[OnlineFirst Version of Record](#) - Oct 8, 2010

[What is This?](#)

Continuous Perception and Graded Categorization: Electrophysiological Evidence for a Linear Relationship Between the Acoustic Signal and Perceptual Encoding of Speech

Joseph C. Toscano¹, Bob McMurray¹, Joel Dennhardt^{1,2}, and Steven J. Luck³

¹Department of Psychology, University of Iowa; ²University of Tennessee Health Science Center; and ³Department of Psychology and Center for Mind & Brain, University of California, Davis

Abstract

Speech sounds are highly variable, yet listeners readily extract information from them and transform continuous acoustic signals into meaningful categories during language comprehension. A central question is whether perceptual encoding captures acoustic detail in a one-to-one fashion or whether it is affected by phonological categories. We addressed this question in an event-related potential (ERP) experiment in which listeners categorized spoken words that varied along a continuous acoustic dimension (voice-onset time, or VOT) in an auditory oddball task. We found that VOT effects were present through a late stage of perceptual processing (N1 component, ~100 ms poststimulus) and were independent of categorization. In addition, effects of within-category differences in VOT were present at a postperceptual categorization stage (P3 component, ~450 ms poststimulus). Thus, at perceptual levels, acoustic information is encoded continuously, independently of phonological information. Further, at phonological levels, fine-grained acoustic differences are preserved along with category information.

Keywords

speech perception, perceptual encoding, spoken-word recognition, event-related potentials

Received 9/28/09; Revision accepted 3/30/10

The acoustics of speech are characterized by immense variability. Individual speakers differ in how they produce words, and even the same speaker will produce different acoustic patterns across repetitions of a word. Despite this variability, listeners can accurately recognize speech. Thus, a central question in spoken-language comprehension is how listeners transform variable acoustic signals into less variable, linguistically meaningful categories. This process is fundamental for basic language processing, but is also relevant to other areas, such as language and reading impairment (Thibodeau & Sussman, 1979; Werker & Tees, 1987) and automatic speech recognition.

Speech perception has been framed in terms of two levels of processing (Pisoni, 1973): the perceptual encoding¹ of continuous acoustic cues and the subsequent mapping of this information onto categories such as phonemes or words. Theories of speech perception differ in the nature of representations at both levels and in the transformations that mediate them (Goldinger, 1998; Liberman & Mattingly, 1985; Oden &

Massaro, 1978). Historically, a dominant question was whether perception is graded or categorical (discrete, nonlinear) with respect to the continuous input (Liberman, Harris, Hoffman, & Griffith, 1957; Schouten, Gerrits, & van Hessen, 2003). Discreteness could arise from several sources: an inherent, nonlinear encoding of speech into articulatory gestures (Liberman & Mattingly, 1985), the learned influence of phonological categories (Anderson, Silverstein, Ritz, & Jones, 1977), or discontinuities in low-level auditory processing (Kuhl & Miller, 1975; Sinex, MacDonald, & Mott, 1991).

If perception is nonlinear in one of these ways, listeners will be less sensitive to differences within a category than to differences between categories (or completely insensitive to differences within a category). Consider voice-onset time

Corresponding Author:

Joseph C. Toscano, Department of Psychology, E11 SSH, University of Iowa, Iowa City, IA 52242
 E-mail: joseph-toscano@uiowa.edu

(VOT), the time difference between the release of constriction and the onset of voicing. VOT leaves an acoustic trace that serves as a continuous cue distinguishing voiced (/b/, /d/, /g/) from voiceless (/p/, /t/, /k/) stops. If perception of VOT is categorical, then VOTs between 0 and 20 ms (e.g., /b/) may be encoded as more similar to each other than to VOTs greater than 20 ms (e.g., /p/), even if the acoustic distance between them is the same.

Early behavioral work suggested that perception is categorical: Listeners are poor at discriminating acoustic differences within the same category and good at discriminating equivalent distances spanning a boundary (Liberman et al., 1957; Repp, 1984). Such findings supported claims that early perceptual processes encode speech in terms of categories and abstract away from fine-grained detail in the signal. Subsequent research challenged this latter claim, demonstrating that within-category differences are discriminable (Carney, Widin, & Viemeister, 1977; Massaro & Cohen, 1983; Pisoni & Tash, 1974) and that such differences are meaningful: Phonemic categories (McMurray, Aslin, Tanenhaus, Spivey, & Subik, 2008; Miller, 1997) and lexical categories (Andruski, Blumstein, & Burton, 1994; McMurray, Tanenhaus, & Aslin, 2002) show a graded structure that is sensitive to within-category distinctions.

Thus, the issue of within-category sensitivity has been settled: Listeners are sensitive to fine-grained acoustic information. However, it is not known whether perceptual encoding itself is linear or nonlinear, a critical distinction for determining what information listeners have access to when dealing with variability in the speech signal. To assess the linearity of perceptual encoding, one must examine the perceptual representations of acoustic cues. Perhaps these vary linearly with the acoustic input, and category boundaries are established at a later stage. Alternatively, perceptual encoding may be nonlinear for one of the reasons mentioned earlier.

This question is difficult to answer with behavioral techniques because they reflect the combined influence of perceptual and categorization processes and are sensitive to task characteristics (Carney et al., 1977; Gerrits & Schouten, 2004; Massaro & Cohen, 1983). Some studies have shown that discrimination is independent of categories, but this has been observed only with unnatural tasks, not in situations that reflect real-world language processing (Gerrits & Schouten, 2004; Massaro & Cohen, 1983; Schouten et al., 2003).

Measurements of neural activity may allow researchers to observe perceptual representations more directly. Blumstein, Myers, and Rissman (2003), for example, used functional magnetic resonance imaging to assess within-category sensitivity, but differences were examined with respect to the phonological category, rather than raw VOT. Thus, this study does not address the question of perceptual encoding.

Event-related potentials (ERPs) offer a useful tool for isolating perceptual activity from categorization during real-time processing. Numerous ERP experiments have used the mismatch negativity (MMN) as a measure of either change detection or discrimination (Näätänen & Picton, 1987; Pratt, in

press), but they have obtained conflicting results. Several studies have suggested that phonological categories influence the MMN (Dehaene-Lambertz, 1997; Sams, Aulanko, Aaltonen, & Näätänen, 1990; Sharma & Dorman, 1999),² whereas other studies have suggested that they do not (Joanisse, Robertson, & Newman, 2007; Sharma, Kraus, McGee, Carrell, & Nicol, 1993). More important, the MMN is defined as a difference between responses to a rare stimulus and a frequent stimulus and therefore requires the use of contrived tasks that make it difficult to assess how each stimulus is represented independently.

Neurophysiological work has also examined responses to individual stimuli, allowing a better comparison to natural language processes. Many of these studies suggest discontinuous encoding of continuous cues (Sharma & Dorman, 1999; Sharma, Marsh, & Dorman, 2000; Steinschneider, Volkov, Noh, Garell, & Howard, 1999). Studies measuring the auditory N1 ERP component have found a single peak for short VOTs and a double peak for long VOTs. However, if the first peak is driven by the release burst and the second peak by voicing onset, the two peaks may merge when they occur close in time (i.e., at short VOTs; Sharma et al., 2000). Frye et al. (2007) reported a single peak for the M100 magnetoencephalogram component (an analogue of the N1) for both short and long VOTs, a result consistent with continuous encoding. However, they examined only four stimulus conditions, making it difficult to assess whether the response is linear across the entire VOT continuum and to rule out variation between participants' categories as a source of this result. Thus, the N1 may be sensitive to within-category differences, but observing this sensitivity may be difficult in stimuli with a high-amplitude burst.

Therefore, it is not known whether there is a level of processing at which speech cues are encoded linearly. Data showing sensitivity to fine-grained detail at later stages do not address this issue *per se*, and the neural evidence regarding early processing has been inconclusive.

We assessed sensitivity at perceptual levels to determine if we could find a linear relationship between an acoustic cue (VOT) and brain responses. We used the fronto-central auditory N1, which has been shown to respond to a wide range of stimulus types (Näätänen & Picton, 1987), as a measure of perceptual-level processing. This component is generated in auditory cortex within Heschl's gyrus approximately 50 ms after the initial response of primary auditory cortex (Pratt, *in press*) and, thus, originates late in perceptual processing but early in language processing. Our stimuli did not contain high-amplitude bursts, thereby minimizing the problem of overlapping N1s described by Sharma et al. (2000).

We also assessed gradiency at the level of phonological categories using the P3 component, which has been shown to reflect categorization in a number of domains, including speech (Maiste, Wiens, Hunt, Scherg, & Picton, 1995), and should reflect phonological categorization of the stimuli. This measurement was intended to confirm behavioral experiments'

suggestion that fine-grained detail is preserved until postperceptual stages in language processing.

We expected that if listeners are sensitive to within-category acoustic variation, we would observe this sensitivity in both the N1 and the P3 components. More important, if listeners encode perceptual information linearly at early stages of processing, the N1 would not show effects of phonological category information or auditory discontinuities.

Method

Design

Participants performed an auditory oddball task in which they heard four equiprobable words (*beach*, *peach*, *dart*, *tart*) over Sennheiser (Old Lyme, CT) 570 headphones while we recorded ERPs from scalp electrodes. VOT was manipulated between 0 ms (prototypical for *beach* and *dart*) and 40 ms (prototypical for *peach* and *tart*) in nine steps. Each word was designated the target in a different block. Participants were instructed to press one button for the target word and a different button for any other stimulus. Thus, approximately 25% of the stimuli were categorized by participants as targets (sufficient to produce a P3 wave), but the actual probability of the target category depended on the participant's VOT boundary and generally varied between 17% and 33% across participants and continua (see Fig. S1 in the Supplemental Material available online).

Behavioral task

In each of the four blocks, one of the two continua was task relevant, and the other was task irrelevant, depending on which word was designated the target for that block (e.g., when *dart* was the target, the *dart-tart* continuum was task relevant, and the *beach-peach* continuum was task irrelevant). Block order varied between participants with the requirement that the same continuum could not be task relevant on successive blocks.

A gamepad recorded behavioral responses. Participants pressed one button with either their left or their right hand (alternated as participants were run) to make a "target" response and another button with the opposite hand to make a "nontarget" response. Eighteen practice trials were presented at the beginning of each block. Participants were given the opportunity to take a short break every 35 trials, and there was a longer break halfway through the experiment. In total, 630 trials (not including practice trials) were presented in each block, and each of the nine steps of the two continua was presented approximately 35 times.³

After the main experiment, participants performed a two-alternative, forced-choice labeling task in which they categorized each token of each continuum as starting with "b" or "p" (for the *beach-peach* continuum) or as starting with "d" or "t" (for the *dart-tart* continuum). Each continuum was presented

in a separate block, and stimuli were randomly presented six times within each block. We computed participants' boundaries by fitting logistic functions to these data.

Participants

Participants were recruited from the University of Iowa community, provided informed consent, and were compensated \$8 per hour. The final sample included 17 participants (12 female, 5 male; approximate age range: 18–30 years). Data from 3 of these were excluded from the P3 analyses because of problems with the labeling task that was run at the end of the experiment. All participants were included in the N1 analyses, as they did not rely on this task.

Stimuli

Stimuli were constructed using the KlattWorks front-end (McMurray, 2009) to the Klatt (1980) synthesizer. Stimuli began with a 5-ms burst of low-amplitude frication. To create the VOT continua, we cut back AV (amplitude of voicing) in 5-ms increments and replaced it with 60 dB of AH (aspiration). All other parameters were constant across VOT steps. For the *beach-peach* continuum, F1, F2, and F3 transitions had rising frequencies, and for the *dart-tart* continuum, F2 and F3 transitions had falling frequencies, and F1 had a rising frequency. Formant frequencies for vowels were based on spectrographic analysis of natural tokens.

Electroencephalogram (EEG) recordings

ERPs were recorded from standard electrode sites over both hemispheres (International 10–20 System sites F3, F4, Fz, C3, Cz, C4, P3, Pz, P4, T3, T4, T5, and T6), referenced to the left mastoid during recording, and rereferenced offline to the average of the left and right mastoids. Horizontal electrooculogram (EOG) recordings were obtained using electrodes located 1 cm lateral to the external canthus for each eye, and the vertical EOG was recorded using an electrode beneath the left eye. Impedance was 5 k Ω or less at all sites. The signal was amplified using a Grass (West Warwick, RI) Model 15 Neurodata Amplifier System with a notch filter at 60 Hz, a high-pass filter at 0.01 Hz, and a low-pass filter at 100 Hz. Data were digitized at 250 Hz.

Data processing

Data were processed using the EEGLAB toolbox for MATLAB (Delorme & Makeig, 2004). Trials containing ocular artifacts, movement artifacts, or amplifier saturation were rejected. Artifact rejection was performed in two stages. In the first stage, trials were automatically marked if they contained voltages that exceeded a threshold of 75 μ V in any of the EOG channels or 150 μ V in any of the EEG channels. In the second stage, the data were visually inspected, and trials with any

additional artifacts were rejected. The baseline for each epoch was computed as the mean voltage 200 ms before the onset of the stimulus.

Results

Behavioral responses

Participants' behavioral responses reflected standard categorization functions for both continua, though boundaries were affected by whether the target began with a voiced or voiceless consonant (Fig. 1a). Participants' responses in the labeling task performed after ERP recording also reflected standard categorization functions (Fig. 1b). (See Additional Results in the Supplemental Material available online.)

N1 amplitude

N1 amplitude was measured as the mean voltage from 75 to 125 ms poststimulus, averaged across the three frontal channels (Figs. 2a and 2b). N1 amplitude decreased with increasing VOT, and this effect was observed for both the relevant and the irrelevant continua.

The data were analyzed with a linear mixed-effects model (LMM) using the lme4 package in R (Bates, 2005); the within-subjects factors of VOT, stimulus continuum (*beach-peach* or *dart-tart*), target voicing (voiced or voiceless), and task relevance (relevant or irrelevant) were treated as fixed effects (see Additional Results in the Supplemental Material for results of analyses of variance). In all LMM analyses reported, participant was entered as a random effect, and the Markov chain Monte Carlo (MCMC) procedure was used to estimate p values for the coefficients. In the analysis of N1 amplitude, the main effect of VOT was significant ($b = 0.215$, $p_{\text{MCMC}} < .001$),⁴ which confirmed our prediction that VOT would affect the magnitude of the N1 (see Figs. 2a and 2b). The main effect of stimulus continuum was also significant ($b = 0.744$, $p_{\text{MCMC}} < .001$), with N1 amplitudes being larger for *beach-peach* than for *dart-tart* (see Fig. 2b). Thus, the N1 encodes not only VOT, but also differences in acoustic information more broadly. All other main effects and interactions were nonsignificant.

We next asked whether the effect of VOT on N1 amplitude could be fit just as well by a categorical model in which the category boundary varied across individuals (which could produce results mimicking linearity across participants). We directly compared two mixed-effects models relating N1 amplitude to VOT (similar to the two models used by McMurray, Tanenhaus, & Aslin, 2009): a linear model defined by two parameters (slope and intercept) and a categorical model defined as a step function with three parameters (the lower bound, the upper bound, and the crossover point). In both models, parameters were fit to each participant's data to ensure that linear results were not an artifact of averaging.

The linear model provided a better overall fit, as measured both by mean R^2 values (linear: .430; categorical: .343) and by

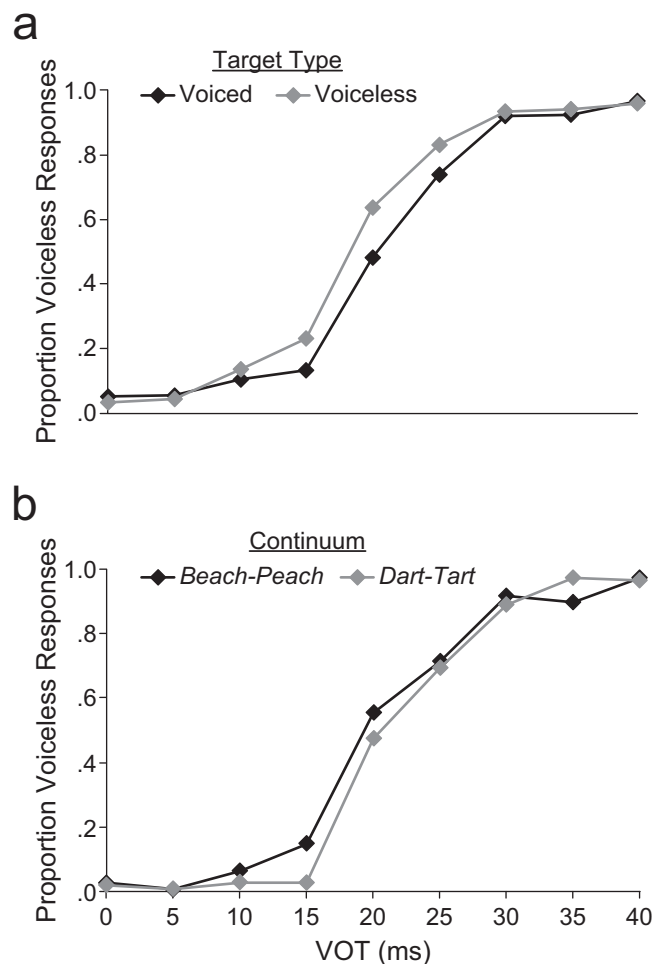


Fig. 1. Behavioral responses in (a) the main experiment and (b) the labeling task. Both graphs show the proportion of responses indicating that the initial consonant of the stimulus was voiceless as a function of voice-onset time (VOT). In (a), results are shown separately for targets beginning with voiced consonants and targets beginning with voiceless consonants, and in (b), results are shown separately for the two stimulus continua.

the Bayesian information criterion (BIC; linear: 645.2; categorical: 745.6). BIC scores favored the linear model for 16 of the 17 participants (binomial test: $p < .001$). Thus, even though the categorical model had more free parameters, the linear model provided a better fit. These results suggest that responses to acoustic cues are predominantly linear.⁵

The final analysis was intended to examine potential influences of phonological categories on the N1. That is, we asked whether, for a given VOT, the N1 differed on the basis of how the stimulus was classified. Thus, in addition to looking at differences between stimuli (i.e., effects of VOT), we looked at whether the way listeners categorized the stimuli (voiced or voiceless) had an effect on the N1. For this analysis, we restricted the data set to include only trials in which participants made a "target" response. When either *beach* or *dart* was the target, all the "target"-response trials were trials on which the participant categorized the stimulus as having a voiced onset; when either *peach* or *tart* was the target, the participant

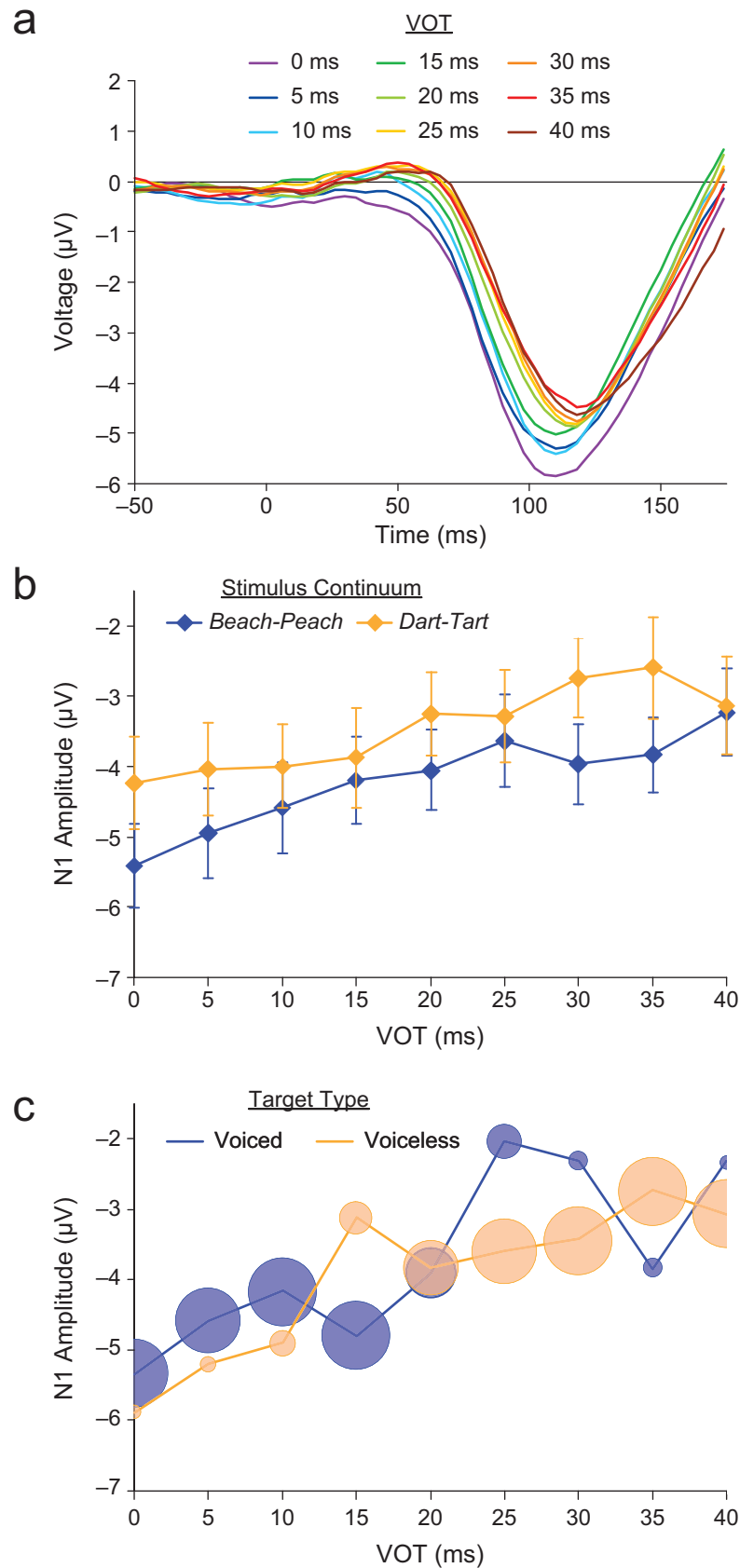


Fig. 2. N1 results: (a) grand-average event-related potential waveforms, averaged across frontal electrodes, for each voice-onset time (VOT); (b) mean N1 amplitude as a function of VOT and stimulus continuum; and (c) mean N1 amplitude as a function of VOT and target voicing for trials in which participants made “target” responses. In (b), error bars represent standard errors. In (c), the size of each data point is proportional to the number of trials for that condition.

categorized the stimulus as having a voiceless onset. This allowed us to confirm that listeners' voicing categories for the stimuli could not account for the linear effect observed earlier, as all stimuli within a given target-voicing condition were identified as part of the same phonological category (voiced for the voiced blocks and voiceless for the voiceless blocks). The use of only trials with "target" responses meant that some conditions included more trials than others (e.g., participants indicated a voiced target on few trials with VOTs of 40 ms), so we weighted each data point by the number of trials in that condition. Figure 2c shows N1 amplitudes for the different conditions in this data set.

An LMM analysis with VOT and target voicing as fixed factors showed a significant main effect of VOT ($b = 0.317$, $p_{\text{MCMC}} < .001$); neither target voicing nor the interaction was significant.⁶ Thus, there was no evidence that phonological category information influenced N1 amplitude.

P3 amplitude

P3 amplitudes were measured from the average of the three parietal channels by computing the mean voltage between 300 and 800 ms after stimulus onset. Figure 3 shows the ERP waveforms as a function of VOT distance from the target (i.e., the number of VOT steps from the target) for the task-relevant continuum (e.g., *beach-peach* when *beach* was the target; Fig. 3a) and the task-irrelevant continuum (e.g., *beach-peach* when *dart* was the target; Fig. 3b). Regardless of which word served as the target, P3 amplitude was larger at the target end of the relevant continuum than at the nontarget end; P3 amplitude did not vary with distance from the target along the irrelevant continuum.

As with the N1, P3 amplitude was analyzed using an LMM with VOT, stimulus continuum, target voicing, and task relevance as fixed factors (see Additional Results in the

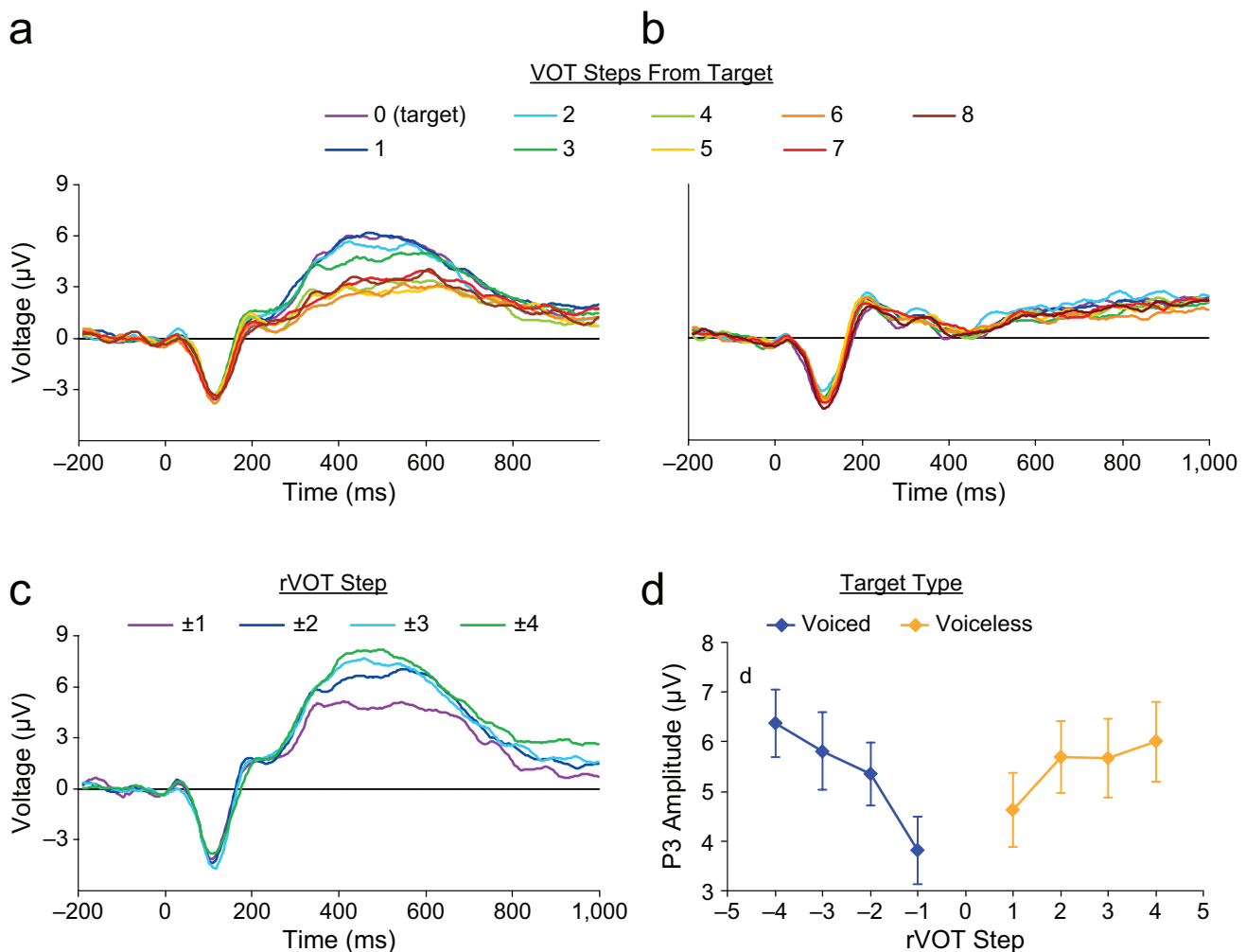


Fig. 3. P3 results. The top row shows grand-average event-related potential (ERP) waveforms, averaged across parietal electrodes, as a function of distance (in 5-ms steps) from the target's endpoint voice-onset time (VOT; i.e., 0 ms if the target was voiced and 40 ms if the target was voiceless). Results are shown separately for (a) the task-relevant continuum and (b) the task-irrelevant continuum. The bottom row shows results from trials on which participants made "target" responses: (c) grand-average ERP waveforms as a function of distance from the individual participant's category boundary (relative VOT, or rVOT, step) and (d) mean P3 amplitude as a function of rVOT step (negative for voiced targets, positive for voiceless targets). Error bars represent standard errors.

Supplemental Material for results of analyses of variance). There was a significant main effect of task relevance ($b = 2.92$, $p_{\text{MCMC}} < .001$), which was due to the presence of a P3 for the task-relevant but not the task-irrelevant continuum. There was a significant Target Voicing \times VOT interaction ($b = 0.373$, $p_{\text{MCMC}} < .001$), with the P3 being larger for short VOTs in the case of voiced targets and for long VOTs in the case of voiceless targets. This result is consistent with the prediction that the P3 is sensitive to the category of the target. The Stimulus Continuum \times Task Relevance interaction was also significant ($b = -0.893$, $p_{\text{MCMC}} < .001$), with the effect of task relevance being larger for the *beach-peach* continuum than for the *dart-tart* continuum. A follow-up analysis found a significant effect of task relevance for both continua (*beach-peach*: $b = 3.36$, $p_{\text{MCMC}} < .001$; *dart-tart*: $b = 2.47$, $p_{\text{MCMC}} < .05$). In addition, the Target Voicing \times Task Relevance \times VOT interaction was significant ($b = 0.599$, $p_{\text{MCMC}} < .001$), as the interaction between VOT and target voicing was observed only for the relevant continuum. A follow-up analysis including only the task-relevant trials showed a significant VOT \times Target Voicing interaction ($b = 0.672$, $p_{\text{MCMC}} < .001$), confirming the predicted effect of distance from the target's endpoint VOT on P3 amplitude; other effects were nonsignificant. All other main effects and interactions in the main analysis were nonsignificant.

We next asked whether the P3 exhibited a gradient pattern within a category, controlling for the possibility that such patterning was an artifact of averaging across different category boundaries. For each participant, we determined relative VOT (rVOT) values (i.e., the number of steps each VOT was from that participant's category boundary, as measured from responses in the labeling task) and examined the effects of within-category rVOT differences, excluding all trials that the participant categorized as nontarget (McMurray et al., 2008). Thus, the participant's behavioral responses indicated that all the VOT steps on either side of the boundary fell within the same category. These analyses included data from the relevant continuum only, given that no P3 was observed for the task-irrelevant continuum. Figure 3c shows the grand-average ERP waveform for the rVOT closest to the boundary and the three within-category rVOT steps away from the boundary and toward the target endpoint of the continuum.⁷ Figure 3d shows mean P3 amplitudes for these data.

Because LMMs assume linear effects, we excluded the most extreme rVOT values, as we did not expect much variation in P3 amplitude for stimuli located well within participants' categories. Thus, we analyzed only trials in which the absolute value of rVOT was less than 4.5, excluding 18 out of 252 data points.⁸ An LMM analysis with rVOT (absolute value), stimulus continuum, and target voicing as fixed effects showed a significant effect of rVOT ($b = 0.508$, $p_{\text{MCMC}} < .001$), with P3 amplitude decreasing as rVOT approached the category boundary; other main effects and all interactions were nonsignificant. Thus, listeners showed gradient sensitivity to VOT relative to their own boundary within each phonological category.

Discussion

Our results indicate that (a) both N1 and P3 amplitude reflect listeners' sensitivity to fine-grained differences in VOT, and (b) whereas P3 amplitude is influenced by phonological categories, N1 amplitude is not. The N1 shows a one-to-one correspondence with VOT even when participants indicate that stimuli belong to different phonological categories and when differences in individual category boundaries are accounted for. Further, this effect is not specific to VOT, as N1 amplitude varies with place of articulation as well.

This experiment provides strong evidence that nonphonological representations of speech are maintained until late (> 100 ms) stages of perceptual processing and that listeners encode acoustic cues linearly prior to categorization. Our results fit with the hypothesis that speech perception is fundamentally continuous (Massaro & Cohen, 1983) and that effects of phonological information are a product of categorization and task demands, not perceptual encoding.

This conclusion contrasts with earlier claims that the morphology of the N1 reflects categorical perception (Sharma & Dorman, 1999; Sharma et al., 2000). However, as we noted earlier, differences in the construction of stimuli allowed us to observe effects that may have been masked in previous studies. Our study also contrasts with work suggesting an auditory discontinuity in VOT encoding near the phonological boundary (Kuhl & Miller, 1975; Sinex et al., 1991), which would lead to a nonlinearity in perceptual encoding. However, the evidence for such a fixed discontinuity is mixed, with estimates of its location ranging from 20 to 70 ms, depending on the specific characteristics of the stimuli and range of VOTs tested (Ohlemiller, Jones, Heidebreder, Clark, & Miller, 1999). Further, given that listeners must use VOT information flexibly in both developmental time (because the VOT categories for a particular language must be learned) and real-time speech processing (e.g., because of variation in speaking rate), such an auditory discontinuity could make speech perception a much more difficult task.

The P3 results demonstrate that graded acoustic detail is also preserved at postperceptual levels. The P3 occurs too late (~ 450 ms) to be an indicator of phonological processing per se (though we refer to phonological categories here, because they were the relevant distinction in this task). Thus, acoustic detail is maintained even at postphonological stages, which is consistent with behavioral and neuroimaging work showing graded phonetic categorization (Andruski et al., 1994; Blumstein et al., 2003; McMurray et al., 2002, 2009).

These results offer a basis for examining the nature of early processing of acoustic cues, and current work is extending this approach to other cues. The results may also have practical implications. Work on specific language impairment and dyslexia has suggested that impaired listeners show less categorical perception than nonimpaired listeners (Thibodeau & Sussman, 1979; Werker & Tees, 1987). However, if perceptual encoding is continuous and categorical effects emerge as an

effect of task differences, researchers may need to use other measures as a benchmark for understanding speech perception in this group (e.g., McMurray, Samelson, Lee, & Tomblin, 2010).

Together, the N1 and P3 results support a model of spoken-word recognition in which perceptual processing is continuous and categorization is graded. More important, this linear encoding of the acoustic input is exactly what is needed for processes that use such detail to facilitate language comprehension (Goldinger, 1998; McMurray et al., 2009). These results support an emerging view that language processing is based on continuous and probabilistic information at multiple levels.

Acknowledgments

We would like to thank Margot Schneider and Kelli Kean for assistance with data processing and Susan Wagner Cook for help with the statistical analyses.

Declaration of Conflicting Interests

The authors declared that they had no conflicts of interest with respect to their authorship or the publication of this article.

Funding

This research was supported by National Institutes of Health Grant DC008089 to B.M.

Supplemental Material

Additional supporting information may be found at <http://pss.sagepub.com/content/by/supplemental-data>

Notes

1. We use the term perceptual encoding to refer to the process by which continuous acoustic information (e.g., a particular voice-onset time) is converted to a representation usable by the system. Categorization refers to the process that uses the information provided by perceptual encoding to identify a phonological category.
2. Using the M100 magnetoencephalography component, Phillips et al. (2000) also obtained results suggestive of this conclusion.
3. A bug in the randomization software prevented this value from being perfectly equivalent across conditions. The average standard deviation in the number of repetitions was 4.3.
4. The model coefficients we report are unstandardized, so the numbers reflect values in microvolts per unit of the factor.
5. The linear model also showed a better fit for each stimulus continuum individually.
6. The unweighted model produced the same pattern of results.
7. Some participants had only three steps on one side of the category boundary for one continuum. Thus, each waveform contains data from every participant, but some participants contributed more data to the ± 4 conditions than others did.
8. An analysis including the extreme rVOT values still produced a marginal main effect of rVOT ($b = 0.249$, $p_{\text{MCMC}} \approx .054$), with no other significant effects.

References

- Anderson, J.A., Silverstein, J.W., Ritz, S.A., & Jones, R.S. (1977). Distinctive features, categorical perception, and probability learning: Some applications of a neural model. *Psychological Review*, 84, 413–451.
- Andruski, J.E., Blumstein, S.E., & Burton, M. (1994). The effect of subphonetic differences on lexical access. *Cognition*, 52, 163–187.
- Bates, D. (2005). Fitting linear mixed models in R. *R News*, 5, 27–30.
- Blumstein, S.E., Myers, E.B., & Rissman, J. (2003). The perception of voice onset time: An fMRI investigation of phonetic category structure. *Journal of Cognitive Neuroscience*, 17, 1353–1366.
- Carney, A.E., Widin, G.P., & Viemeister, N.F. (1977). Noncategorical perception of stop consonants differing in VOT. *Journal of the Acoustical Society of America*, 62, 961–970.
- Dehaene-Lambertz, G. (1997). Electrophysiological correlates of categorical phoneme perception in adults. *NeuroReport*, 8, 919–924.
- Delorme, A., & Makeig, S. (2004). EEGLAB: An open source toolbox for analysis of single-trial EEG dynamics including independent component analysis. *Journal of Neuroscience Methods*, 134, 9–21.
- Frye, R.E., McGraw Fisher, J., Coty, A., Zarella, M., Liederman, J., & Halgren, E. (2007). Linear coding of voice onset time. *Journal of Cognitive Neuroscience*, 19, 1476–1487.
- Gerrits, E., & Schouten, M.E.H. (2004). Categorical perception depends on the discrimination task. *Perception & Psychophysics*, 66, 363–376.
- Goldinger, S.D. (1998). Echoes of echoes? An episodic theory of lexical access. *Psychological Review*, 105, 251–279.
- Joanisse, M.F., Robertson, E.K., & Newman, R.L. (2007). Mismatch negativity reflects sensory and phonetic speech processing. *NeuroReport*, 18, 901–905.
- Klatt, D.H. (1980). Software for a cascade/parallel formant synthesizer. *Journal of the Acoustical Society of America*, 67, 971–995.
- Kuhl, P.K., & Miller, J.D. (1975). Speech perception by the chinchilla: Voiced-voiceless distinction in alveolar plosive consonants. *Science*, 190, 69–72.
- Lieberman, A.M., Harris, K.S., Hoffman, H.S., & Griffith, B.C. (1957). The discrimination of speech sounds within and across phoneme boundaries. *Journal of Experimental Psychology*, 54, 358–368.
- Lieberman, A.M., & Mattingly, I.G. (1985). The motor theory of speech perception revised. *Cognition*, 21, 1–36.
- Maiste, A.C., Wiens, A.S., Hunt, M.J., Scherg, M., & Picton, T.W. (1995). Event-related potentials and the categorical perception of speech sounds. *Ear and Hearing*, 16, 68–89.
- Massaro, D.W., & Cohen, M.M. (1983). Categorical or continuous speech perception: A new test. *Speech Communication*, 2, 15–35.
- McMurray, B. (2009). *KlattWorks: A [somewhat] new systematic approach to formant-based speech synthesis for empirical research*. Manuscript in preparation.
- McMurray, B., Aslin, R.N., Tanenhaus, M.K., Spivey, M., & Subik, D. (2008). Gradient sensitivity to within-category variation in speech: Implications for categorical perception. *Journal of*

- Experimental Psychology: Human Perception and Performance*, 34, 1609–1631.
- McMurray, B., Samelson, V., Lee, S., & Tomblin, J.B. (2010). Individual differences in online spoken word recognition: Implications for SLI. *Cognitive Psychology*, 60, 1–39.
- McMurray, B., Tanenhaus, M.K., & Aslin, R.N. (2002). Gradient effects of within-category phonetic variation on lexical access. *Cognition*, 86, B33–B42.
- McMurray, B., Tanenhaus, M.K., & Aslin, R.N. (2009). Within-category VOT affects recovery from “lexical” garden-paths: Evidence against phoneme-level inhibition. *Journal of Memory and Language*, 60, 65–91.
- Miller, J.L. (1997). Internal structure of phonetic categories. *Language and Cognitive Processes*, 12, 865–870.
- Näätänen, R., & Picton, T.W. (1987). The N1 wave of the human electric and magnetic response to sound: A review and an analysis of the component structure. *Psychophysiology*, 24, 375–425.
- Oden, G.C., & Massaro, D.W. (1978). Integration of feature information in speech perception. *Psychological Review*, 85, 172–191.
- Ohlemiller, K.K., Jones, L.B., Heidbreder, A.F., Clark, W.W., & Miller, J.D. (1999). Voicing judgments by chinchillas trained with a reward paradigm. *Behavioural Brain Research*, 100, 185–195.
- Phillips, C., Pellathy, T., Marantz, A., Yellin, E., Wexler, K., Poeppel, D., et al. (2000). Auditory cortex accesses phonological categories: An MEG mismatch study. *Journal of Cognitive Neuroscience*, 12, 1038–1055.
- Pisoni, D.B. (1973). Auditory and phonetic memory codes in the discrimination of consonants and vowels. *Perception & Psychophysics*, 13, 253–260.
- Pisoni, D.B., & Tash, J. (1974). Reaction times to comparisons within and across phonetic categories. *Perception & Psychophysics*, 15, 285–290.
- Pratt, H. (in press). Sensory ERP components. In S.J. Luck & E.S. Kappenman (Eds.), *Oxford handbook of event-related potential components*. New York, NY: Oxford University Press.
- Repp, B.H. (1984). Categorical perception: Issues, methods and findings. In N. Lass (Ed.), *Speech and language: Advances in basic research and practice* (pp. 244–335). New York, NY: Academic Press.
- Sams, M., Aulanko, R., Aaltonen, O., & Näätänen, R. (1990). Event-related potentials to infrequent changes in synthesized phonetic stimuli. *Journal of Cognitive Neuroscience*, 2, 344–357.
- Schouten, E., Gerrits, B., & van Hessen, A. (2003). The end of categorical perception as we know it. *Speech Communication*, 41, 71–80.
- Sharma, A., & Dorman, M.F. (1999). Cortical auditory evoked potential correlates of categorical perception of voice-onset time. *Journal of the Acoustical Society of America*, 106, 1078–1083.
- Sharma, A., Kraus, N., McGee, T., Carrell, T., & Nicol, T. (1993). Acoustic versus phonetic representation of speech as reflected by the mismatch negativity event-related potential. *Electroencephalography and Clinical Neurophysiology*, 88, 64–71.
- Sharma, A., Marsh, C.M., & Dorman, M.F. (2000). Relationship between N1 evoked potential morphology and the perception of voicing. *Journal of the Acoustical Society of America*, 108, 3030–3035.
- Sinex, D.G., McDonald, L.P., & Mott, J.B. (1991). Neural correlates of nonmonotonic temporal acuity for voice onset time. *Journal of the Acoustical Society of America*, 90, 2441–2449.
- Steinschneider, M., Volkov, I.O., Noh, M.D., Garell, P.C., & Howard, M.A. (1999). Temporal encoding of the voice onset time phonetic parameter by field potentials recorded directly from human auditory cortex. *Journal of Neurophysiology*, 82, 2346–2357.
- Thibodeau, L.M., & Sussman, H.M. (1979). Performance on a test of categorical perception of speech in normal and communication disordered children. *Journal of Phonetics*, 7, 375–391.
- Werker, J.F., & Tees, R.C. (1987). Speech perception in severely disabled and average reading children. *Canadian Journal of Psychology*, 41, 48–61.