Children's sensitivity to graded uncertainty

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7 Abstract

A growing body of research shows that children between 3 and 5 years old show an emerging ability to monitor their own epistemic uncertainty. However, little is known about children's sensitivity to graded uncertainty and how they adjust their information-seeking behaviors in 10 situations other than those of complete certainty or complete uncertainty. Here, I 11 investigated children's spontaneous social information-gathering behaviors under various 12 levels of graded category uncertainty. Children ages 3-5 (n = 30) were asked to categorize 13 images of creatures which varied in their distance from two prototype images, such that 14 uncertainty about category membership was greater when distance from a prototype was 15 greater. A live eye-tracking paradigm was used to measure children's levels of looking to the 16 experimenter on a trial-by-trial basis. Children looked at the experimenter at the same rate 17 regardless of an item's category ambiguity, suggesting that they did not adjust their 18 information-seeking based on graded category uncertainty. 19

20 Keywords: information gathering; graded uncertainty; category discrimination

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#### Children's sensitivity to graded uncertainty

23 Introduction

Early in life, children are presented with a great deal of social information from which 24 they can learn about the world around them. They overhear conversations, are spoken to 25 directly, and interact with other people nonverbally. But when do they actively seek out 26 information from their social partners? Specifically, do children "know what they don't 27 know" well enough to seek information on the basis of their own subjective uncertainty, or do they learn more often by passively absorbing information from other people? 29 While it may seem intuitive that a child will seek help from a conversational partner 30 when they lack enough information to make a decision, the questions posed above are 31 non-trivial. Prior research which shows that children actively explore their environments and 32 guide their own learning processes (e.g., Schulz & Bonawitz, 2007) is somewhat at odds with 33 a separate body of research that highlights young children's deficits when it comes to monitoring their own knowledge states and acting on their own uncertainty (e.g., Markman, 1977). In light of this apparent tension, the current study seeks to better understand to what degree children's information-gathering behaviors – in this case, gaze to a knowledgeable social partner – are driven by the child's epistemic uncertainty. Building on prior work that suggests that children's social gaze may be a sensitive measure of epistemic uncertainty (Hembacher & Frank, n.d.), this study uses a categorization task to examine whether children's social information-gathering behaviors are modulated by graded uncertainty. Below, I outline research that highlights differing accounts of children's metacognition 42 which show that children both engage in spontaneous information-seeking behaviors to explore their environments actively and guide their own learning, and that children also have a limited capacity to explicitly report on their own uncertainty. I then review studies demonstrating children's ability to seek information selectively from social partners who could provide helpful information when uncertainty is high.

#### 48 Uncertainty Monitoring and Active Learning in Early Childhood

Prior work on children's uncertainty monitoring has yielded mixed evidence as to 49 whether young children can successfully recognize when they lack information and 50 subsequently act on this knowledge of their own ignorance to make a decision (Sodian, 51 Thoermer, Kristen, & Perst, 2012). Early studies investigating metacognitive development 52 indicated that children's competence in assessing and acting on their own epistemic ignorance is limited. Markman (1977) explained a card game to first and third grade children with ambiguous language such that understanding the object of the game was impossible without seeking clarification. An experimenter then prompted the child to seek this clarification with scripted statements such as "What do you think?", "Do you have any questions?" and "Did I tell you everything you need to know to play the game?" In this study, Markman found that the younger children required more prompts before seeking out additional information, which she argues is an indication that young elementary school children lack the capacity to introspect on their own non-understanding. Similarly, Flavell, Speer, Green, August, & Whitehurst (1981) asked kindergarten and 62 second-grade children to reconstruct block structures built by a confederate based on a tape of instructions from the confederate, which were not sufficiently clear to successfully recreate the structure. The researchers found that older children were more likely to indicate the need for additional clarifying information by replaying the tape, asking questions explicitly, or generally looking puzzled, while kindergarteners typically did not display these behaviors. This showed that older children were more adept at seeking information explicitly, but it also demonstrated that younger children were less likely to spontaneously seek information from the environment (for example, by replaying the tape). Below, I discuss later research which shows that young children do engage in spontaneous information-gathering, a finding which is in conflict with this early study, indicating that perhaps recognizing insufficient instructions is more demanding for children than monitoring epistemic uncertainty in other contexts such as incomplete memory. While these studies suggest that young children

struggle to articulate their uncertainty through explicit responses, these and other initial investigations of children's uncertainty monitoring required verbal responses from children, a task demand which may systematically underestimate children's metacognitive abilities.

More recent research has examined children's metacognition without requiring children 78 to respond verbally. When asked to report confidence in their answers on a memory task, 79 3-year-olds were equally confident about correct and incorrect responses, while 4- and 80 5-year-olds showed a more adult-like pattern of lower confidence for incorrect 81 responses (Hembacher & Ghetti, 2014). In a perceptual identification task, 3- to 5-year-olds 82 reported being less confident when they provided incorrect responses, although overall they 83 were still overconfident (Coughlin, Hembacher, Lyons, & Ghetti, 2015). However, the possibility exists that even these studies underestimate children's ability to monitor their 85 own uncertainty because they require children to explicitly report their confidence (even if not in an open-ended verbal response), and that children can act on their own uncertainty before they can communicate it through explicit responses or reflect on it consciously.

In contrast to the explicit confidence measurements used in the studies discussed above, 89 some research has shown that children engage in spontaneous information-gathering 90 behaviors – that is, behaviors that are not prompted explicitly by an experimenter – more 91 often when they are faced with uncertainty. These studies demonstrate that children engage in active learning, in which the learner decides what information they want to be exposed to, rather than having the decision made for them by a teacher (Gureckis & Markant, 2012). In one study, when 2-year-old children were asked to find a sticker hidden inside of one of several tubes, they peeked inside the tubes more when they had not seen the experimenter place the sticker, indicating that they spontaneously sought out more information when deprived of sufficient information to make a decision (Call & Carpenter, 2001). In another study, young children were shown a group of toys that either differed in their color or in how they felt to the touch (Robinson, Haigh, & Pendle, 2008). All of the toys were put into a bag 100 by the experimenter, who mixed them up and then picked out a toy. In the condition where 101

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more often reached out and touched the toy more when its distinctive quality was texture 103 than when it was color. In contrast, when the experimenter handed the object to the child, 104 thus giving the child visual and tactile access to the toy simultaneously, children still 105 correctly were able to identify whether the toy was soft or hard, but unable to accurately 106 report that they had arrived at this conclusion by touching the toy. This result demonstrates 107 that children interact with the environment using sensory modalities that will most 108 effectively fill in gaps in uncertainty, even if they are unable to explicitly report doing so. 109 Schulz and Bonawitz (2007) also found that when young children were presented with 110 a causally-confounded toy (i.e.,, a toy that exhibited a behavior whose causal structure could 111 not be deduced) and could choose between playing with that toy or a novel toy, they chose 112 to further explore the causally-confounded toy. However, when the choice was between 113 continuing to play with a causally-unconfounded toy and playing with a new toy, children 114 preferred the novelty of exploring the new toy, demonstrating that children play 115 spontaneously in order to maximize learning based on uncertainty about the world. Goupil 116

the experimenter put the toy on the table and ask the child, "Which one is it?", children

# 20 Children's Social Referencing and Social-Information Gathering

Taken together, these studies provide compelling evidence that children choose to seek more information specifically to resolve uncertainty. In this study, I examine the selectivity of children's spontaneous searches for social information. In order to do this, children must consider other people to be helpful sources of information.

& Kouider (2016) found that even preverbal infants faced with an object-retrieval task

are sensitive to their own likely accuracy and flexibly adjust their actions accordingly.

persisted on their answers more when they were correct than incorrect, showing that children

Evidence in a number of experimental contexts suggests that infants and young children reference trusted adults for disambiguating information in situations of variable outcomes and potential danger. Sorce, Emde, Campos, and Klinnert (1985) showed that

infants look to their mothers to determine whether it is safe to proceed crawling over a

"visual-cliff", a glass table that is actually safe to walk on but which looks like a daunting
drop-off to an infant. When infants' caregivers showed an encouraging expression, infants
tended to crawl onto the glass surface, whereas they avoided doing so when their mother
showed a frightened facial expression. Other studies have shown that children use caregivers'
emotional appraisals of novel toys (Feinman, 1982; Hornik, Risenhoover, & Gunnar, 1987; M.
Klinnert, 1981) and recently-met strangers (Feinman & Lewis, 1983) (Feinman & Lewis,
1983) to inform their own interactions with their environments.

The above studies provide strong evidence that children, starting in the early stages of 136 infancy, use others' appraisals of the world around them to disambiguate uncertainty about 137 the safety or physical affordances of the environment. However, there is only limited prior 138 research that sheds light on whether children's searches for social information are driven by 139 gaps in the child's knowledge and the child's own awareness of these gaps. While prior 140 research has demonstrated that children selectively seek out social information in certain 141 contexts (i.e., ones in which their physical safety is in question), it is unclear to what extent 142 children will engage in these information-gathering behaviors across other cognitive domains, 143 particularly given the research discussed above which demonstrates that young children struggle to report their own ignorance. If uncertainty about the world did not play a role in 145 children's decision to gather information from the people around then, children would not be selective in when they seek information. Below, I review studies that demonstrate that children are selective when choosing their informants, and that they more heavily weight social information in situations of uncertainty.

## Selective trust in testimony

Some evidence suggests that young children are selective social learners in terms of whom they choose as their informants. Younger children tend to use an informant's familiarity as a cue to the trustworthiness of the information being offered, but children

gradually shift to depend more on an informant's demonstrated competence and expertise as 154 they grow older (Corriveau & Harris, 2009; Lucas, Lewis, Pala, Wong, & Berridge, 2013). In 155 one study, children were found to generally be proficient at tracking the accuracy of an 156 informant's information when explicitly probed. Furthermore, children who showed this 157 proficiency selectively learned novel object labels from an accurate informant, while those 158 who failed to discriminate between an accurate and inaccurate informant likewise did not 159 selectively use information from the accurate informant (Koenig, Clément, & Harris, 2004). 160 Children also show selective learning from an informant who is uncertain versus one who is 161 clearly knowledgeable, and are able to assess someone else's knowledge on a particular topic 162 beyond perceptual cues such as facial expression (Sabbagh & Baldwin, 2001). Taken 163 together, these studies show that children, beginning in the preschool years, choose their 164 information sources in order to maximize knowledge gain. However, it remains unclear whether children are similarly selective in their social information-gathering depending on their own level of epistemic ignorance.

# Selective social learning on the basis of uncertainty

Despite young children's apparent lack of ability to explicitly report their own 169 uncertainty, a few studies indicate that young children may seek and use information 170 selectively from other people on the basis of epistemic uncertainty. Tamis-LeMonda et al. 171 (2008) extended the finding of Sorce et al. (Sorce et al., 1985) in order to determine whether 172 children consider the expressions of their caregivers more when the safety of the environment 173 is more uncertain versus when it is completely certain. In this study, researchers tested whether 18-month-old infants would walk down slopes of varying risk levels when given 175 either encouraging or discouraging signals from their mothers. The researchers found that children tended to ignore their mothers' encouraging messages when faced with a slope that 177 was clearly too steep to walk down safely, and similarly ignored mothers' discouraging 178 messages when the slope was obviously safe. At borderline slopes, when it was unclear to 179

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infants whether the slope was safe to walk down or not, children tended to comply much more consistently with the encouragement or discouragement provided by mother, showing that even infants selectively give more weight to social information when perceptual input is not enough to make a decision.

While the study by Tamis-LeMonda et al. examined children's selective integration of social information, it did not include children's solicitations (e.g., through gaze shifts) of social information as a dependent measure. This leaves open the question of whether children actively seek out information from their social partners when uncertainty is high, but some recent work has begun to answer this question.

Vredenburgh and Kushnir (2016) investigated children's propensity to ask questions 189 when faced with toy-assembly tasks of differential levels of difficulty. They found that 190 preschoolers were significantly more likely to ask an experimenter for help on tasks that were 191 more difficult for them, indicating that children adjust their social information-gathering 192 behavior to optimize their learning. Another study examined whether infants selectively 193 reference their social partners' gaze when their social partners has uttered an ambiguous 194 object label. In this study, infants heard an experimenter produce a novel label when there 195 were either two or one single objects present (Vaish, Demir, & Baldwin, 2011). Infants 196 looked up more at the experimenter when there were two objects present and the referent of 197 the experimenter's label was thus ambiguous. 198

A later study by Hembacher, deMayo, and Frank (2017) sought to replicate and extend
the finding of Vaish et al. with preschool-aged children. In this study, children aged 2 - 5
were presented with either one or two objects, heard a label produced by the experimenter,
and asked to put the labeled item in a bucket. The number of objects (1 vs. 2) and their
familiarity to the child were varied to manipulate referential ambiguity. Across two
experiments, they found that children looked up at the experimenter more as they were
making their choice when referential ambiguity was present. Specifically, children did the
most looking at the experimenter when both objects were novel, did the least looking when

both objects were familiar, and did an intermediate amount when one of the objects was
familiar and one was novel. Additionally, when helpful gaze from the experimenter was
included as a between-subjects manipulation, children looked up at the experimenter more
when one object was familiar and one was novel, but only when helpful gaze was absent,
suggesting that children's social referencing might be sensitive to graded levels of epistemic
uncertainty. Thus, children are not only sensitive to states of complete ignorance and
complete knowledge, but may also be sensitive to intermediate levels of evidence for a
hypothesis.

#### 215 Sensitivity to intermediate and graded uncertainty

Although these results provide initial evidence that children seek social information on
the basis of graded uncertainty, this study did not manipulate ambiguity in a continuous
fashion, but rather presented children with only one intermediate level of uncertainty
between complete certainty and complete ambiguity. In many learning contexts (i.e.,
category learning) many more levels of ambiguity exist than complete certainty and complete
ambiguity.

It is unclear whether young children are sensitive to intermediate levels of epistemic 222 uncertainty, but some research suggests that their capacity to monitor graded uncertainty 223 may be limited. One body of research has shown that children over the age of 3 can report 224 on states of complete ignorance or complete knowledge when asked about an object that is 225 being hidden (e.g., Wimmer, Hogrefe, & Perner, 1988), but struggle in partial exposure tasks, 226 in which they are shown several objects but are not shown which one is hidden (Kloo & Rohwer, 2012; Sodian & Wimmer, 1987). However, some studies show that children as young 228 as 2 or 3 years old engage in spontaneous information-seeking when faced with ambiguity in partial exposure tasks (Call & Carpenter, 2001; Kloo, Rohwer, & Perner, 2017). In sum, 230 while 3 to 6-year-old children struggle to demonstrate explicit or verbal reasoning about their 231 own ignorance in partial exposure tasks, some studies demonstrate that may engage in 232

spontaneous information-gathering when they lack sufficient knowledge to make a decision.

Morgan, Laland and Harris (2015) also investigated young children's sensitivity to 234 graded uncertainty in a different paradigm. In their study, the researchers asked children 235 between the ages of 3 and 7 to determine which of two illustrated quantities was bigger; on some trials, the quantities were more similar to each other (i.e., the task was more difficult) 237 than in other trials. The children then received feedback on their choice from a group of ten 238 informants whose level of consensus was manipulated as an independent variable in the 230 experiment. After receiving feedback the group of ten informants, children could either stick 240 with their initial answer or change it. Children of all ages in the study seemed to be 241 generally insensitive to their own uncertainty, evidenced by the fact that they tended to stick 242 with their original answers regardless of the initial difficulty of the task. When they did 243 switch their answers, however, children were more likely to switch to the choice of the group 244 when consensus was high, especially among older children. Thus, although children seemed 245 to not adjust their usage of social information when they faced a more ambiguous problem, 246 they were sensitive to the graded strength of social information when they did decide to use 247 it. This result may have been specific to the task at hand: this study measured children's 248 awareness of graded uncertainty based on whether they were willing to break from their 249 original choice, a metric which may underestimate children's metacognitive reasoning. 250 Furthermore, the studies discussed above show that, even before they are able to report on 251 graded levels of epistemic uncertainty explicitly, preschool-age children spontaneously seek 252 information more often in situations of greater uncertainty. Building off these findings, the current study was uses a categorization paradigm to determine whether children's social information-gathering is driven by graded uncertainty.

### 66 Current Study

Children completed a category discrimination game in which they were introduced to two creatures, and told that each creature had a specific box that it was supposed to live in.

They were then handed a number of images depicting creatures at various points on the
perceptual continuum between the two prototype images to which they had initially been
exposed, and were asked to put each creature in its correct box. The critical dependent
measure was the duration of children's looks to the experimenter seated across the table
from them, which I measured with an SMI RED-n eye-tracker. I predicted that children
would look longer to the experimenter when attempting to categorize stimuli closer to the
center of the perceptual continuum. This design allowed me to examine the degree to which
children's social referencing is sensitive to graded uncertainty.

A categorization task was used here in part because category learning is a critical 267 learning context in early childhood. Young children possess many mechanisms that facilitate 268 category learning, including sensitivity to statistical regularities in the environment (Saffran, 269 Aslin, & Newport, 1996), sensitivity towards correlations of shared attributes among objects 270 (Younger, 1985), and acute attention to perceptual features such as shape (Imai, Gentner, & 271 Uchida, 1994). Selectively seeking social information on the basis of graded uncertainty 272 could be another such mechanism that aids in children's category learning. It is an open 273 question whether children seek out disambiguating evidence when there is less evidence for 274 an object's category membership.

In this study, I introduced children to two different types of "imaginary creatures"
based on stimuli created by Havy and Waxman (2016). These stimuli sets consisted of two
different perceptual continua, such that an image's category identity was more ambiguous as
the center of the perceptual continuum is approached. I then asked children to categorize
each image. I was interested in whether children's looks to the experimenter would reflect
graded levels of category uncertainty, such that children would spend more time looking at
the experimenter when the target creature was closer to the center of continuum. I predicted
that children's looking would show this pattern.

Eye-tracking technology was used in this study to allow me to measure the duration of children's looks to the experimenter with greater precision than that afforded by

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hand-coding. Typically, research in social cognition that uses eye-tracking (particularly when it involves children) measures children's gaze patterns on a digital stimulus display such as a 287 television screen which shows still or moving images (Gredebäck, Johnson, & Hofsten, 2009). 288 This is partially due to the fact that live-eye tracking with both children and adults is more 289 difficult than conventional eye-tracking displays which use a digital stimulus display. 290 Nevertheless, some research suggests that social looking behaviors may differ slightly when a 291 participant is engaged in a real social interaction as opposed to viewing a screen image of the 292 same interaction (Risko, Laidlaw, Freeth, Foulsham, & Kingstone, 2012). One study in 293 particular suggests that merely the potential for social interaction that exists with a live 294 partner draws social gaze more than video images of the same social partner (K. E. Laidlaw. 295 Foulsham, Kuhn, & Kingstone, 2011). 296

Despite the methodological challenges associated with live eye tracking, its use with 297 children and infants is not unprecedented. This technique has mostly been used to examine 298 social gaze irregularities in children with Autism Spectrum Disorder (ASD), and has been 299 used with children of preschool and primary school age (Falck-Ytter, Carlström, & 300 Johansson, 2015; Nadig, Lee, Singh, Bosshart, & Ozonoff, 2010; Noris, Nadel, Barker, 301 Hadjikhani, & Billard, 2012). At least one study has also extended this type of eye-tracking 302 to typically-developing infants. Gredeback, Fikke, and Melinder examined the development of infants' gaze-following behaviors between 2 and 8 months and using a live eye-tracking 304 paradigm (Gredebäck, Fikke, and Melinder (2010)). In this study, infants began showing 305 gaze-following behaviors between 2 to 4 months of age, and were more likely to follow the 306 gaze of a stranger than the gaze of their mother. 307

In sum, since prior research indicated that such a live eye-tracking approach was
possible, and since using an eye tracker decreases the potential for human error involved in
hand-coding, I measured gaze shifts to a real-life social partner.

311 Methods

## 312 Participants

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I recruited a sample of 30 children aged 3 to 5 at the Bing Nursery School at Stanford
University in Stanford, CA. Children were asked by the experimenter if they wanted to play
a short game during their regularly scheduled free-play. Children were predominantly white
and Asian and generally had highly-educated parents. All children were tested by the same
experimenter and were randomly assigned to one of four counterbalance conditions.

#### Stimuli, Design and Procedure

a) Stimuli. Stimuli were slightly altered versions of those created by Havy and Waxman (2016). Havy and Waxman created their stimulus sets by rendering two novel prototype images and using Norrkross MorphX to create a perceptual continuum of stimulus items that were different morph combinations of the two prototypes. Since pilot testing revealed that the current task was too easy for children when the prototypes varied in color, we transformed them to be monochromatic blue (Figure 1). The images were printed and laminated to make rectangular cards that children could insert into one of the two boxes.



Figure 1. Stimulus sets provided to children. Images on the ends of the spectrums are prototypes which morph into each other an a perceptual continuum.

b) Experimental Setup and Eye-tracking Mechanism. Children were seated
in a small chair in front of a table which contained two boxes, one red and one green, both
placed within their reach. The boxes had openings in the lids to allow children to deposit the
stimulus cards into them. When children entered the room, they sat across an

X-centimeter-wide table from a large poster-board which displayed the images for the
eye-tracking calibration procedure. After the calibration phase had ended and the
experimental trials began, the poster-board was replaced with the experimenter sitting in a
chair across the table from the child.

I used an SMI REDn corneal reflection eye-tracker to measure children's gaze shifts to
the experimenter throughout the task. The eye-tracking device was placed on two magnetic
mounts in the middle of the table, approximately X centimeters in front of the child and set
an angle of X degrees to the child's face. Critically, the eye-tracker in this case was
measuring the child's gaze shifts to the face of a real person instead of an image on a screen.
The child's field of vision was captured by an external-viewing webcam situated directly
behind and slightly above the child's head.

- c) Calibration. Children were directed to look at each of the 5 calibration images
  on the poster-boarded situated across the table from them while the experimenter approved
  each point one-by-one. The calibration display consisted of a large white poster board with
  five cartoon images (a smiling star, a puppy, a blue fish, a flower, and a red apple) as
  illustrated in Figure 3. After the experimenter had approved each calibration point, the child
  was asked to follow the experimenter's finger as it moved across the calibration display while
  the experimenter checked to see whether the eye-tracker was accurately representing the gaze
  location of the child. If the experimenter deemed this informal test of calibration accuracy to
  be sufficient, the experiment continued; if not, calibration was repeated. (Limitations of this
  sort of accuracy check are addressed in the Discussion).
- d) Procedure. Upon entering, children were first asked to write their name on a
  sticker chart that would be used throughout the task to keep them motivated and prevent
  them from getting fatigued during the experiment, which lasted about 12 minutes. After
  they had written their name, the calibration phase began.

Once the calibration phase was finished, the experimenter removed the poster board and sat across from the child. The experimenter then explained to the child that he had

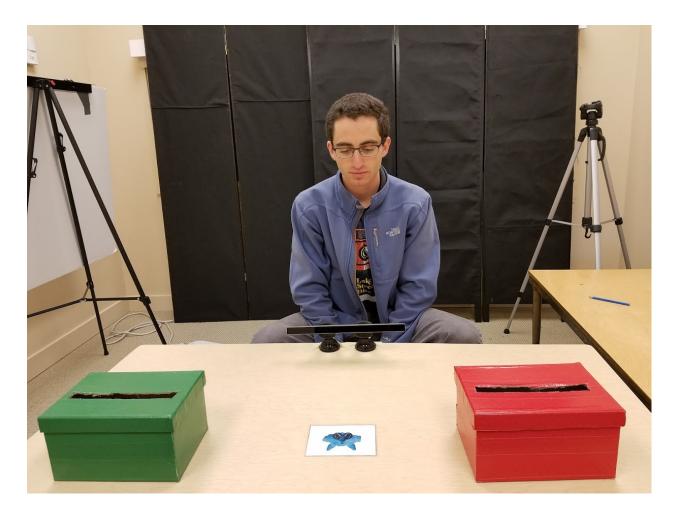


Figure 2. Experimental setup from child's perspective. The eye-tracker across the table recorded gaze shifts to the experimenter throughout the task.

brought pictures of imaginary creatures with him, and that he needed the child's help 357 putting them back in their boxes. The child then categorized six practice items: two items of 358 a 90% morph, two items of a 55% morph, and two items of a 95% morph. After the practice 359 trials, the child completed 2 blocks of 7 test trials, each of which consisted of a 50% morph (completely ambiguous) and two each of 60%, 70%, and 100% morphs (identical to the prototypes). The morphs were presented in one of four pseudo-random orders that were 362 counterbalanced across participants. After the first two test blocks, the child was introduced 363 to a new pair of prototype creatures and asked to complete the same task with these new 364 creatures. They then completed two new test blocks with the new set of creatures, consisting 365



Figure 3. Calibration images.

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of the same ambiguity levels as the first two test blocks. In total, there were 6 practice and 28 test trials per child. In between each test or practice block, children got to choose a sticker for the chart they had made at the beginning of the experiment and were then reminded of the two prototype creatures at each end of the perceptual continuum. Pilot testing indicated that this procedure invoked differential levels of accuracy based on stimulus ambiguity and avoided performance degradation by continually re-introducing the prototypes and offering a motivational reward after each block of 7 trials.

Analysis. Each session was videotaped on a camera behind the experimenter's seat, allowing for view of the entire experimental setup. Accuracy and trial onsets and offsets were hand-coded using DataVyu software (http://datavyu.org). A trial was defined as the span

of time between when the stimulus item became available to the child (i.e., the experimenter let go of it) and when the item was deposited in a box. All stimuli, data, and analyses are available at https://github.com/benjamindemayo/soc\_ref\_category.

Results Results

# 90 Procedural and Manipulation checks

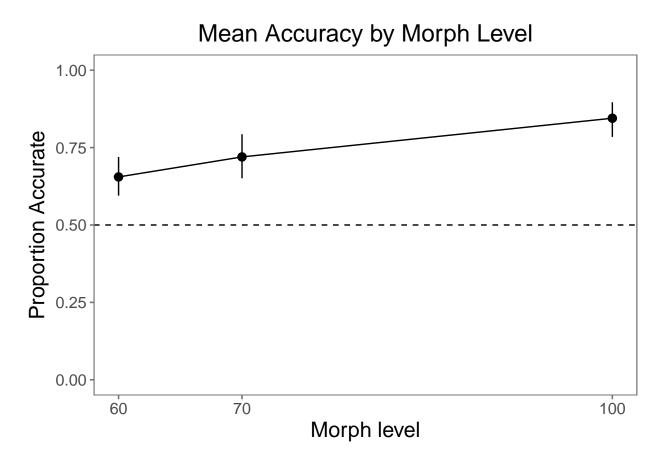


Figure 4. Categorization accuracy for each morph level. Error bars are 95 percent confidence intervals.

To validate the manipulation of category ambiguity in my procedure, I first examined whether children's accuracy on the categorization task (i.e., whether they put each item in the correct box) corresponded to the category ambiguity of each stimulus item (Figure 4).

Evidence from pilot testing indicated that children's accuracy on the task was highest for the

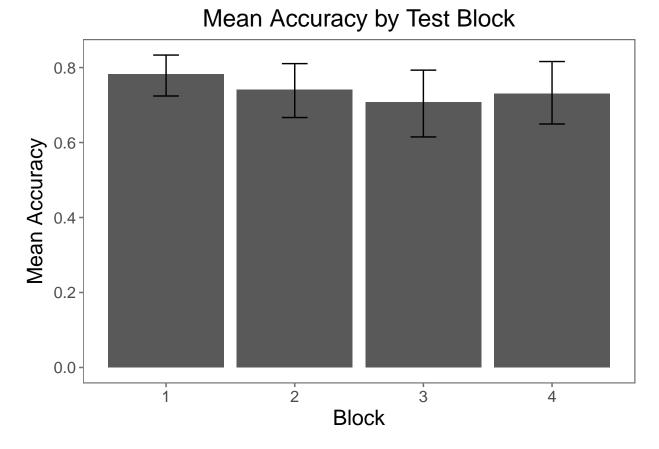


Figure 5. Categorization accuracy for each of four experimental blocks, suggesting that children's accuracy did not degrade throughout the task. Error bars are 95 percent confidence intervals.

least ambiguous stimuli, while children were least accurate when categorizing most 385 ambiguous stimuli. To confirm this finding of the effect of morph level on accuracy, I used the 386 following logistic regression model, which accounted for random effects (such as participant 387 and trial number): accuracy ~ morph \* centered age + (morph | participant) + (1 trial). I found a significant difference in children's accuracy on trials of morph level 60 and trials of morph level 100 ( $\beta = 1.25$ , p < .001). The difference in accuracy between trials 390 of morph level 60 and trials of morph level 70 trended towards, but did not reach, 391 significance,  $\beta = 0.40$ , p = 0.09. These results demonstrate that the task was more difficult 392 for children when they were tasked with categorizing more ambiguous stimuli. Children's 393

performance on the task also remained consistent throughout the approximately 6 minute duration of test trials (Figure 5), indicating that children remained engaged and motivated throughout the experiment.

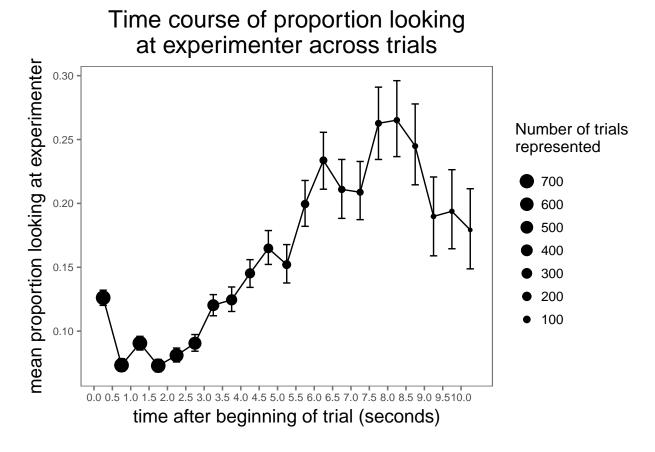


Figure 6. Timecourse of proportion looking at experimenter, in half-second intervals. Size of points indicates amount of trials represented in each point. Error bars are 95 percent confidence intervals.

Since this analysis method required the integration of data acquired from various
devices, I examined the average timecourse of children's looking patterns during trials to
ensure that data output from these devices was aligning appropriate. Figure 6 shows, for
each half-second interval after the beginning of the trial, the average proportion of that
interval that children spent looking at the experimenter. Since the eye-tracking data
collection method in this paradigm was coarse, any looks captured by the eye-tracker were

considered to be a look in the general direction of the experimenter; thus, the "Area of Interest" in this design was defined as the entire spatial area for which the eye-tracker was calibrated.

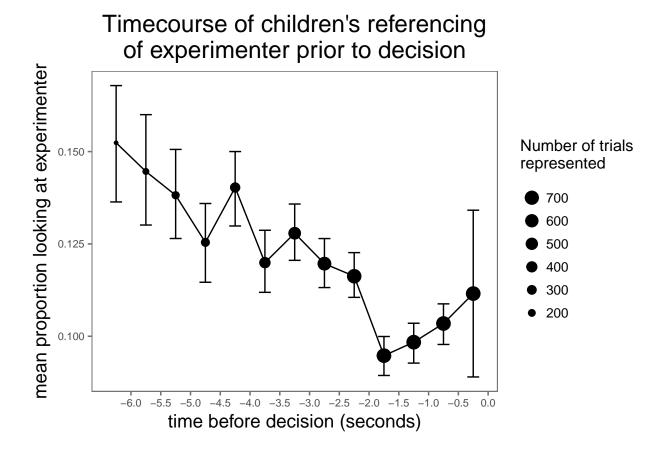


Figure 7. Timecourse of children's looking at experimenter prior to decision, in half-second intervals. Size of points represents amount of trials included in each data point. Error bars are 95 percent confidence intervals.

In general, Figure 6 shows that children tend to look slightly more at the experimenter at the very beginning of the trial, perhaps since this is when they are receiving the stimulus item from the experimenter. As trial length increases, so does the proportion of time in each half-second interval that children spend looking at the experimenter, which may be in part because longer trials frequently featured children asking questions and thus making eye-contact with the experimenter. Figure 7 shows the timecourse of children's looking in

half-second intervals before the end of the trial, which corresponds to the child's decision to
place the stimulus item in one box or another. This plot shows a peak in children's looking
behavior approximately 2.5 - 3 seconds before a decision, which is slightly after the
beginning of the trial (median trial length = 3.20 seconds). Taken together, these plots show
a plausible timecourse of children's looking throughout trials which lends confirmatory
evidence that data from various devices was integrated and aligned correctly.

## Proportion of trial looking by morph

Did children selectively seek out more social information when trying to categorize 419 more ambiguous stimulus items? I fit the data to a linear mixed-effects model to quantify 420 the effect of category ambiguity on proportion of trial spent looking at the experimenter, 421 along with any possible developmental trends. I ran a linear mixed-effects model with the 422 following preregistered structure: proportion of trial spent looking ~ morph \* 423 centered age + (morph | participant) + (1 | trial). This model allowed me to 424 quantify the effects of fixed factors and random factors, including child participants and 425 individual stimulus items. Random effects are denoted in parentheses. 426 I found no significant effects of stimulus ambiguity or children's centered age in months 427 on the proportion of trial that children spent looking at the experimenter (all p-values for 428

fixed effects > 0.10), indicating that children did not selectively look longer at the

## Proportion of trial looking by task accuracy

exprimenter when faced with making a more uncertain decision.

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I also examined whether children's accuracy on the task had an effect on the
proportion of trials spent looking at the experimenter (Figure 9). To answer this question, I
used a linear mixed-effects model with the following structure, with random effects denoted
in parentheses: proportion of trial spent looking ~ accuracy \* centered age +

(accuracy | participant) + (1 | trial). I found no effect of accuracy on proportion

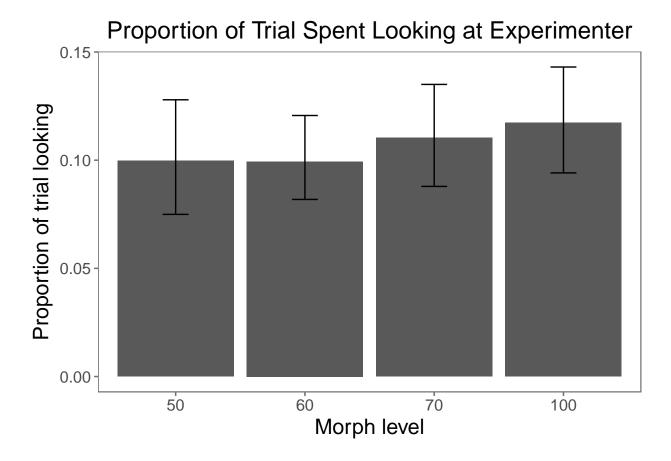


Figure 8. Proportion of trial time spent looking at experimenter by morph level. Error bars are 95 percent confidence intervals.

of trials spent looking at the experimenter (p = 0.31), indicating that children did not look 437 at the experimenter more on trials when they were inaccurate versus inaccurate. 438

Discussion 439

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In this study, I set out to understand whether children are sensitive to graded levels of 440 uncertainty, and whether they would adjust their social-information seeking on the basis of this uncertainty. Previous research had suggested that children explore environments in order to maximize their learning about the world (Schulz & Bonawitz, 2007), that they have a limited but emerging capacity to monitor epistemic states and uncertainty (Coughlin et al., 2015; Hembacher & Ghetti, 2014), and that they selectively seek out information from people who they deem to be knowledgeable and helpful in the immediate learning context

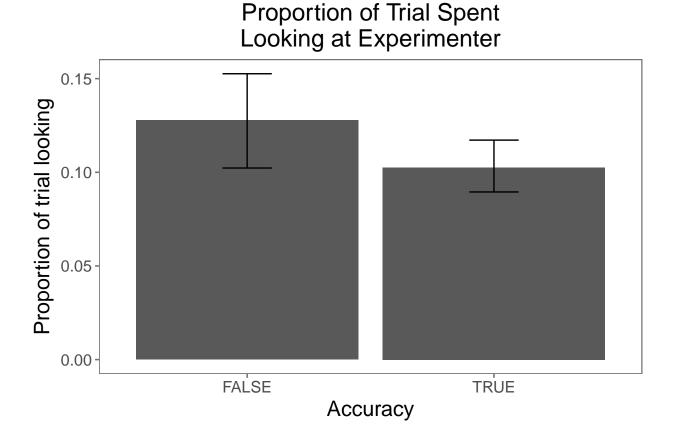


Figure 9. Proportion of trials spent looking at experimenter by children's category accuracy. Error bars are 95 percent confidence intervals.

(Kushnir, Vredenburgh, & Schneider, 2013; Vredenburgh & Kushnir, 2016). Empirical evidence also suggested that children may possess some sensitivity to graded evidence and intermediate levels of uncerainty in the environment, in such contexts as "partial exposure" tasks (Call & Carpenter, 2001; Kloo et al., 2017) and word learning situations (Hembacher & Frank, n.d.). Thus, I hypothesized that children would show a looking pattern that would indicate sensitivity to graded uncertainty, and would selectively seek more social information on trials in which they were tasked with categorizing more ambiguous stimuli.

Contrary to my hypothesis, I found that children did not differentiate between trials of different morph levels in their levels of looking. A linear mixed-effects model demonstrated that stimlus ambiguity had no effect at any level of ambiguity on the proportion of each trial

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that children spent looking at the experimenter. Thus the data do not explicitly show that 457 children possess sensitivity to graded levels of uncertainty, nor do they show that children 458 strategically adjust the amount of social information they seek out on the basis of subjective 459 uncertainty. Interestingly, I found no difference in looking behaviors even between trials 460 which were completely ambiguous (morph level 50) and trials which were identical to the 461 prototypes shown to children at the beginning of each experimental block (morph level 100). 462 This result is particularly striking given that children were significantly less accurate in 463 categorizing more ambiguous items, indicating that task difficulty was not uniform across 464 trials. This finding contrasts that of Hembacher, deMayo, and Frank (n.d.), who found that, 465 in a word-learning task, children's social information gathering was closely linked to 466 referential ambiguity. What might be the origin of the discrepancy between these two 467 findings?

One possibility is that this task, even during the most unambiguous trials, was more 469 challenging for children, since the task required keeping the protoype images and their 470 correct locations in memory. Thus, even though children's differential levels of accuracy on 471 the various trial types indicate that the task was not uniformly difficult across all trials, it 472 may have been too demanding throughout all the trials to be able to invoke a pattern of 473 differential looking. Another possibility is that the task proceeded too swiftly to facilitate 474 children's looking at the experimenter during individual trials. Other studies that have measured children's visual solicitations for social information (e.g., Vaish et al., 2011) have 476 measured children's looking patterns over longer spans of time, whereas my design measured children's looking patterns during trials that had a median length of 3.20 seconds. Below, I discuss methodological limitations of the current work that may have impacted my ability to 479 detect an effect of graded category ambiguity on children's social information-gathering.

#### Limitations

The current work has several limitations that should encourage caution against 482 interpreting this null result as an indication of children's inability to monitor graded levels of 483 category uncertainty and seek out social information accordingly. First and foremost, my data collection method – the use of an eye-tracker to measure children's gaze patterns in a live person-to-person interaction – provided coarse data. This type of eye-tracking method is 486 challenging to execute because it requires children to be very still during an extended 487 calibration phase, and is particularly sensitive to children's body movements and any small 488 fluctuations in the experimental setup. Future work should strive for greater precision from 489 this type of data-collection method. Furthermore, as mentioned above, this task moved 490 quickly; a task that proceeds a slower pace may be optimize the researcher's ability to detect 491 an effect of category ambiguity on children's social gaze patterns. 492

Additionally, it is not possible to know with complete certainty what type of information children were seeking or expecting when they shifted their gaze to the experimenter. We operate under the assumption that children's foremost reason for looking was a search for disambiguating information, but children may also have looked at the experimenter because they were expecting a certain action (i.e., being handed the next item in the game), asking a question unrelated to their own uncertainty, or were simply compelled to look at their social partner because faces are interesting to look at.

Finally, this study was performed with a convenience sample of children from a preschool in an upper-middle/upper class neighborhood consisting of mostly white and Asian families. Since this sample is not demographically representative of the broader population, it is possible that some of the eye-gaze patterns I observed were sample-specific, given that eye contact between social partners has been shown to be modulated by cultural norms (Akechi et al. (2013)). Nevertheless, the preschool from which I recruited this sample makes a concerted effort to reach out to underrepresented families in order to maintain a diverse classroom environment.

#### 8 Conclusion and Future Work

The results of the current study do not provide a conclusive answer to the question I posed at the outset of whether children are sensitive to graded levels of uncertainty and adjust their social information-gathering according to this uncertainty. Contrary to my initial hypothesis, children did not show differential levels of looking to the experimenter when categorizing items whose category ambiguity varied along a spectrum. However, this could have been a task-specific result given the pace and difficulty of the procedure children were being asked to complete. Future work can improve on this design by allowing for a larger temporal observation window through which to gauge children's social-information gathering, removing some of the memory demands present throughout this task to exagerrate the difference between "difficult" and "easy" trial items, and by implementing a method of tracking gaze to a social partner in a more precise manner than what was achieved here.

In sum, the current work illustrates that, in a category-discrimination context, children do not show obvious signs of adjusting their social-information gathering behaviors on the basis of graded uncertainty. However, the possibility still exists that children do possess a sensitivity to intermediate levels of category ambiguity, and would show this sensitivity in different contexts. This study therefore provides a theoretical and methodological framework from which other researchers can expand in order to better understand the development of children's uncertainty monitoring and social information-seeking in early childhood.

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