- 1 Children's social information-seeking as an index of sensitivity to graded uncertainty
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7 Abstract

A growing body of research shows that children between 3 and 5 years old show an emerging ability to monitor their own epistemic uncertainty. However, little is known about children's sensitivity to graded uncertainty and how they adjust their information-seeking behaviors in 10 situations other than those of complete knowledge and complete ignorance. Here, I 11 investigated children's spontaneous social information-gathering behaviors under various 12 levels of graded category uncertainty. Children ages 3-5 (n = 30) were asked to categorize 13 images of creatures which varied in their distance from two prototype images, such that 14 uncertainty about category membership was greater when distance from a prototype was 15 greater. A live eye-tracking paradigm was used to measure children's levels of looking to the 16 experimenter on a trial-by-trial basis. Children looked at the experimenter at the same rate 17 regardless of an item's category ambiguity, suggesting that they did not adjust their 18 information-seeking based on graded category uncertainty. 19

20 Keywords: information gathering; uncertainty monitoring; category discrimination

Word count: X

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23 Introduction

22

Early in life, children are presented with a great deal of social information from which 24 they can learn about the world around them. They overhear conversations, are spoken to 25 directly, and interact with other people nonverbally. But when do they actively seek out information from their social partners? Specifically, do children "know what they don't know" well enough to seek information on the basis of their own subjective uncertainty, or do they learn more often by passively absorbing information from other people? While it may seem intuitive that a child will seek help from a conversational partner 30 when they lack enough information to make a decision, the questions posed above are 31 non-trivial. Prior research which shows that children actively explore their environments and 32 guide their own learning processes (e.g., Schulz & Bonawitz, 2007) is somewhat at odds with 33 a separate body of research that highlights young children's deficits when it comes to 34 monitoring their own knowledge states and acting on their own uncertainty (e.g., Markman, 35 1977). In light of this apparent tension, the current study seeks to better understand to what degree children's information-gathering behaviors – in this case, gaze to a knowledgeable 37 social partner – are driven by the child's epistemic uncertainty. Building on prior work that suggests that children's social gaze may be a sensitive measure of epistemic uncertainty (Hembacher & Frank, n.d.), this study uses a categorization task to examine whether children's social information-gathering behaviors are modulated by graded uncertainty. 41 Below, I outline research that highlights differing accounts of children's metacognition 42 which show that children both engage in spontaneous information-seeking behaviors in response to epistemic uncertainty in order to explore their environments actively and guide their own learning, and that children also have a limited capacity to explicitly report on their own uncertainty. I then review studies demonstrating children's ability to seek information selectively from social partners who could provide helpful information when uncertainty is high.

49 Uncertainty Monitoring and Active Learning in Early Childhood

Prior work on children's uncertainty monitoring has yielded mixed evidence as to 50 whether young children can successfully recognize when they lack information and 51 subsequently act on this knowledge of their own ignorance to make a decision (Sodian, Thoermer, Kristen, & Perst, 2012). Early studies investigating metacognitive development indicated that children's competence in assessing and acting on their own epistemic ignorance is limited. Markman (1977) explained a card game to first and third grade 55 children with ambiguous language such that understanding the object of the game was impossible without seeking clarification. An experimenter then prompted the child to seek 57 this clarification with scripted statements such as "What do you think?", "Do you have any questions?" and "Did I tell you everything you need to know to play the game?" In this study, Markman found that the younger children required more prompts before seeking out additional information, which she argues is an indication that young elementary school 61 children do not engage in "constructive processing,"; that is, they process the instructions being given by the experimenter superficially without executing these instructions mentally, and are consequently unaware of gaps in their own knowledge. Similarly, Flavell, Speer, Green, August, & Whitehurst (1981) asked kindergarten and 65 second-grade children to reconstruct block structures built by a confederate based on a tape 66 of instructions from the confederate, which were not sufficiently clear to successfully recreate 67 the structure. The researchers found that older children were more likely to indicate the need for additional clarifying information by replaying the tape, asking questions explicitly, or generally looking puzzled. Conversely, kindergarteners typically did not display these behaviors. This result demonstrated that older children were more adept at seeking 71 information explicitly, but it also showed that younger children were less likely to spontaneously seek information from the environment (for example, by replaying the tape). 73 Rather than measure children's spontaneous information-seeking behaviors, some more 74 recent research has gauged children's uncertainty monitoring capacities by asking them to

report on their decision confidence in various contexts. When asked to report confidence in their answers on a memory task, 3-year-olds were equally confident about correct and 77 incorrect responses, while 4- and 5-year-olds showed a more adult-like pattern of lower 78 confidence for incorrect responses (Hembacher & Ghetti, 2014). In a perceptual identification task, 3- to 5-year-olds reported being less confident when they provided incorrect responses, although overall they were still overconfident (Coughlin, Hembacher, Lyons, & Ghetti, 2015). 81 In order to avoid requiring a verbal response from children, which may obscure children's capacity to reflect on their own ignorance, these studies used a pictorial confidence scale when prompting children to report on their uncertainty. However, the possibility exists that even these studies underestimate children's ability to monitor their own uncertainty because they require children to explicitly report their confidence (even if not in an open-ended verbal response), and that children can act on their own uncertainty before they can communicate it through explicit responses or reflect on it consciously.

89 Children's Spontaneous Information-seeking Behaviors

In contrast to the explicit confidence measurements used in the studies discussed above,
some research has shown that children engage in spontaneous information-gathering
behaviors – that is, behaviors that are not prompted explicitly by an experimenter – more
often when they are faced with uncertainty. These studies demonstrate that children engage
in active learning, in which the learner decides what information they want to be exposed to,
rather than having the decision made for them by a teacher (Gureckis & Markant, 2012).
While early research on children's metacognitive development also measured unprompted
information-seeking behaviors and found that younger children do not engage in spontaneous
information-seeking, these early studies often required an explicit verbal response from
children, as in Markman (1977). Furthermore, studies such as Flavell, et al. (1981) showed
children's deficit in realizing when instructions are insufficient, which may be more difficult
for children than realizing they lack other types of knowledge, such as memory of an event.

Specifically, it may be particulary difficult for children to realize they lack understanding or knowledge of instructions, since doing so requires that children realize that they may encounter an obstacle in the future that may not be immediately apparent to them at the moment the instructions are given.

Recent research which at least partially alleviated these task demands has found 106 evidence of children's engagement in spontaneous information-seeking. In one study, when 107 2-year-old children were asked to find a sticker hidden inside of one of several tubes, they 108 peeked inside the tubes more when they had not seen the experimenter place the sticker, 109 indicating that they spontaneously sought out more information when deprived of sufficient 110 information to make a decision (Call & Carpenter, 2001). In another study, young children 111 were shown a group of toys that either differed in their color or in how they felt to the touch 112 (Robinson, Haigh, & Pendle, 2008). All of the toys were put into a bag by the experimenter, 113 who mixed them up and then picked out a toy. In the condition where the experimenter put 114 the toy on the table and ask the child, "Which one is it?", children more often reached out 115 and touched the toy more when its distinctive quality was texture than when it was color. In 116 contrast, when the experimenter handed the object to the child, thus giving the child visual 117 and tactile access to the toy simultaneously, children still correctly were able to identify whether the toy was soft or hard, but unable to accurately report that they had arrived at 119 this conclusion by touching the toy. This result demonstrates that children interact with the environment using sensory modalities that will most effectively fill in gaps in uncertainty, 121 even if they are unable to explicitly report doing so. 122

Schulz and Bonawitz (2007) also found that when young children were presented with a causally-confounded toy (i.e., a toy that exhibited a behavior whose causal structure could not be deduced) and could choose between playing with that toy or a novel toy, they chose to further explore the causally-confounded toy. However, when the choice was between continuing to play with a causally-unconfounded toy and playing with a new toy, children preferred the novelty of exploring the new toy, demonstrating that children play

spontaneously in order to maximize learning based on uncertainty about the world. Goupil & Kouider (2016) found that even preverbal infants faced with an object-retrieval task persisted on their answers more when they were correct than incorrect, showing that children are sensitive to their own likely accuracy and flexibly adjust their actions accordingly.

133 Children's Social Referencing and Social-Information Gathering

Taken together, these studies provide compelling evidence that children choose to seek
more information specifically to resolve uncertainty, and that they sample sources of
evidence that are most likely to provide disambiguating information. In this study, I examine
the selectivity of children's spontaneous sampling social information. In order to do this,
children must consider other people to be helpful sources of information.

Evidence in a number of experimental contexts suggests that infants and young 139 children reference trusted adults for disambiguating information in situations of uncertain 140 outcomes and potential danger. Sorce, Emde, Campos, and Klinnert (1985) showed that infants look to their mothers to determine whether it is safe to proceed crawling over a "visual-cliff", a glass table that is actually safe to walk on but which looks like a daunting drop-off to an infant. When infants' caregivers showed an encouraging expression, infants 144 tended to crawl onto the glass surface, whereas they avoided doing so when their mother 145 showed a frightened facial expression. Other studies have shown that children use caregivers' 146 emotional appraisals of novel toys (Feinman, 1982; Hornik, Risenhoover, & Gunnar, 1987; M. 147 Klinnert, 1981) and recently-met strangers (Feinman & Lewis, 1983) (Feinman & Lewis, 148 1983) to inform how they interact with newly-encountered aspects of their environments. 149 The above studies provide strong evidence that children, starting in the early stages of 150 151

The above studies provide strong evidence that children, starting in the early stages of infancy, use others' appraisals of the world around them to disambiguate uncertainty about the safety or physical affordances of the environment. However, there is only limited prior research that sheds light on whether children's searches for social information are driven by gaps in the child's knowledge and the child's own awareness of these gaps. There are several

reasons to suspect that children may be less selective with their social information-seeking 155 than they are with information-seeking in non-social contexts. Some forms of social 156 information-seeking, such as looking at others' faces, are less costly than other forms of 157 manual exploration of the environment such as tactile play, in that they may require less 158 time and cognitive resources. Additionally, social information may be sufficiently salient such 159 that children sample it regardless of the uncertainty associated with a particular situation. 160 This is particularly plausible given research which demonstrates that children focus more 161 attention to faces and other socially informative aspects of complex scenes beginning as early 162 as infancy (Frank, Vul, & Johnson, 2009; Frank, Vul, & Saxe, 2012). Nevertheless, prior 163 research has shown that children show selectivity in some of their social 164 information-gathering behaviors. Below, I review studies that demonstrate that children are 165 selective when choosing their informants, and that they more heavily weight social information in situations of uncertainty.

168 Selective trust in testimony

A large body of evidence suggests that young children are selective social learners in 169 terms of whom they choose as their informants. Younger children tend to use an informant's 170 familiarity as a cue to the trustworthiness of the information being offered, but children 171 gradually shift to depend more on an informant's demonstrated competence and expertise as 172 they grow older (Corriveau & Harris, 2009; Lucas, Lewis, Pala, Wong, & Berridge, 2013). In 173 one study, children were found to generally be proficient at tracking the accuracy of an 174 informant's information when explicitly probed. Furthermore, children who showed this proficiency selectively learned novel object labels from an accurate informant, while those 176 who failed to discriminate between an accurate and inaccurate informant likewise did not selectively use information from the accurate informant (Koenig, Clément, & Harris, 2004). 178 Children also show selective learning from an informant who appears uncertain versus one 179 who is clearly knowledgeable, and are able to assess someone else's knowledge on a particular 180

topic beyond perceptual cues such as facial expression (Sabbagh & Baldwin, 2001). Taken together, these studies show that children, beginning in the preschool years, choose their information sources in order to maximize knowledge gain. However, it remains unclear whether children are similarly selective in their social information-gathering depending on their own level of epistemic ignorance.

86 Selective social learning on the basis of uncertainty

Despite young children's apparent lack of ability to explicitly report their own 187 uncertainty, a few studies indicate that young children may seek and use information 188 selectively from other people on the basis of epistemic uncertainty. Tamis-LeMonda et al. 180 (2008) extended the finding of Sorce et al. (Sorce et al., 1985) in order to determine whether 190 children consider the expressions of their caregivers more when the safety of the environment 191 is more uncertain versus when it is completely certain. In this study, researchers tested 192 whether 18-month-old infants would walk down slopes of varying risk levels when given 193 either encouraging or discouraging signals from their mothers. The researchers found that children tended to ignore their mothers' encouraging messages when faced with a slope that was clearly too steep to walk down safely, and similarly ignored mothers' discouraging 196 messages when the slope was obviously safe. At borderline slopes, when it was unclear to 197 infants whether the slope was safe to walk down or not, children tended to comply much 198 more consistently with the encouragement or discouragement provided by mother, showing 199 that even infants selectively give more weight to social information when perceptual input is 200 not enough to make a decision. 201

While the study by Tamis-LeMonda et al. examined children's selective integration of social information, it did not include children's sampling (e.g., through gaze shifts) of social information as a dependent measure. Some recent research has begun to address the question of whether children actively seek out information from their social partners when uncertainty is high. Vredenburgh and Kushnir (2016) investigated children's propensity to

ask questions when faced with toy-assembly tasks of differential levels of difficulty. They 207 found that preschoolers were significantly more likely to ask an experimenter for help on 208 tasks that were more difficult for them, indicating that children adjust their social 209 information-gathering behavior to optimize their learning. Another study examined whether 210 infants selectively reference their social partners' gaze when their social partners has uttered 211 an ambiguous object label (Vaish, Demir, & Baldwin, 2011). In this study, infants heard an 212 experimenter produce a novel label when there were either two or one single objects present. 213 Infants looked up more at the experimenter when there were two objects present and the 214 referent of the experimenter's label was thus ambiguous. 215

A later study by Hembacher, deMayo, and Frank (2017) sought to replicate and extend 216 the finding of Vaish et al. with preschool-aged children. In this study, children aged 2 - 5 217 were presented with either one or two objects, heard a label produced by the experimenter, 218 and asked to put the labeled item in a bucket. The number of objects (1 vs. 2) and their 219 familiarity to the child were varied to manipulate referential ambiguity. Across two 220 experiments, they found that children looked up at the experimenter more as they were 221 making their choice when referential ambiguity was present. Specifically, children did the 222 most looking at the experimenter when both objects were novel, did the least looking when 223 both objects were familiar, and did an intermediate amount when one of the objects was 224 familiar and one was novel. Additionally, when helpful gaze from the experimenter was 225 included as a between-subjects manipulation, children looked up at the experimenter more when one object was familiar and one was novel, but only when helpful gaze was absent, 227 suggesting that children's social referencing might be sensitive to graded levels of epistemic uncertainty. Thus, children are not only sensitive to states of complete ignorance and 229 complete knowledge, but may also be sensitive to intermediate levels of evidence for a 230 hypothesis. 231

32 Sensitivity to graded uncertainty

Although these results provide initial evidence that children seek social information on
the basis of graded uncertainty, this study did not manipulate ambiguity in a continuous
fashion, but rather presented children with only one intermediate level of uncertainty
between complete certainty and complete ambiguity. In many learning contexts (i.e.,
category learning) many more degrees of ambiguity exist than complete certainty and
complete ambiguity.

It is unclear whether young children are sensitive to intermediate levels of epistemic 239 uncertainty, but some research suggests that their capacity to monitor graded uncertainty 240 may be limited. One body of research has shown that children over the age of 3 can report 241 on states of complete ignorance or complete knowledge when asked about an object that is being hidden (e.g., Wimmer, Hogrefe, & Perner, 1988), meaning that when they are asked if they know which object has been hidden, they can accurately report on their own knowledge state if they have seen the hiding event (complete certainty) or have not seen any objects at 245 all (complete ignorance). However, children of the same age struggle in partial exposure 246 tasks, in which they are shown two objects and told one of them will be hidden, but are not able to see which one ends up being hidden (Kloo & Rohwer, 2012; Sodian & Wimmer, 248 1987). In these situations, children tend to erroneously report that they know which object 249 has been hidden, even though they had no visual access to the hiding event. Some studies, 250 however, show that children as young as 2 or 3 years old engage in spontaneous 251 information-seeking when faced with ambiguity in partial exposure tasks (Call & Carpenter, 252 2001; Kloo, Rohwer, & Perner, 2017). In sum, while 3 to 6-year-old children struggle to 253 demonstrate explicit or verbal reasoning about their own ignorance in partial exposure tasks, 254 some studies demonstrate that children may engage in spontaneous information-gathering 255 when they lack sufficient knowledge to make a decision. 256

Morgan, Laland and Harris (2015) also investigated young children's sensitivity to graded uncertainty in a different paradigm. In their study, the researchers asked children

between the ages of 3 and 7 to determine which of two illustrated quantities was bigger; on some trials, the quantities were more similar to each other (i.e., the task was more difficult) 260 than in other trials. The children then received feedback on their choice from a group of ten 261 informants whose level of consensus was manipulated as an independent variable in the 262 experiment. After receiving feedback the group of ten informants, children could either stick 263 with their initial answer or change it. Children of all ages in the study seemed to be 264 generally insensitive to their own uncertainty, evidenced by the fact that they tended to stick 265 with their original answers regardless of the initial difficulty of the task. When they did 266 switch their answers, however, children were more likely to switch to the choice of the group 267 when consensus was high, especially among older children. Thus, although children seemed 268 to not adjust their usage of social information when they faced a more ambiguous problem, 269 they were sensitive to the graded strength of social information when they did decide to use it. This result may have been specific to the task at hand: this study measured children's 271 awareness of graded uncertainty based on whether they were willing to break from their original choice, a metric which may underestimate children's metacognitive reasoning. Furthermore, the studies discussed above show that, even before they are able to report on graded levels of epistemic uncertainty explicitly, preschool-age children spontaneously seek information more often in situations of greater uncertainty. Building off these findings, the 276 current study was uses a categorization paradigm to determine whether children's social 277 information-gathering is driven by graded uncertainty. 278

279 Current Study

Children completed a category discrimination game in which they were introduced to
two creatures, and told that each creature had a specific box that it was supposed to live in.
They were then handed a number of images depicting creatures at various points on the
perceptual continuum between the two prototype images to which they had initially been
exposed, and were asked to put each creature in its correct box. The critical dependent

measure was the duration of children's looks to the experimenter seated across the table
from them, which I measured with an SMI RED-n eye-tracker. I predicted that children
would look longer to the experimenter when attempting to categorize stimuli closer to the
center of the perceptual continuum. This design allowed me to examine the degree to which
children's social referencing is sensitive to graded uncertainty.

A categorization task was used here in part because category learning is a critical 290 learning context in early childhood. Young children possess many mechanisms that facilitate 291 category learning, including sensitivity to statistical regularities in the environment (Saffran, 292 Aslin, & Newport, 1996), sensitivity towards correlations of shared attributes among objects 293 (Younger, 1985), and acute attention to perceptual features such as shape (Imai, Gentner, & 294 Uchida, 1994). Selectively seeking social information on the basis of graded uncertainty 295 could be another such mechanism that aids in children's category learning. It is an open 296 question whether children seek out disambiguating evidence when there is less evidence for 297 an object's category membership. 298

In this study, I introduced children to two different types of "imaginary creatures"
based on stimuli created by Havy and Waxman (2016). These stimuli sets consisted of two
different perceptual continua, such that an image's category identity was more ambiguous as
the center of the perceptual continuum is approached. I then asked children to categorize
each image. I was interested in whether children's looks to the experimenter would reflect
graded levels of category uncertainty, such that children would spend more time looking at
the experimenter when the target creature was closer to the center of continuum. I predicted
that children's looking would show this pattern.

Eye-tracking technology was used in this study to allow me to measure the duration of children's looks to the experimenter with greater precision than that afforded by hand-coding. Typically, research in social cognition that uses eye-tracking (particularly when it involves children) measures children's gaze patterns on a digital stimulus display such as a television screen which shows still or moving images (Gredebäck, Johnson, & Hofsten, 2009).

This is partially due to the fact that live-eye tracking with both children and adults is more difficult than conventional eye-tracking displays which use a digital stimulus display. 313 Nevertheless, some research suggests that social looking behaviors may differ slightly when a 314 participant is engaged in a real social interaction as opposed to viewing a screen image of the 315 same interaction (Risko, Laidlaw, Freeth, Foulsham, & Kingstone, 2012). One study in 316 particular suggests that merely the potential for social interaction that exists with a live 317 partner draws social gaze more than video images of the same social partner (K. E. Laidlaw, 318 Foulsham, Kuhn, & Kingstone, 2011). 319

Despite the methodological challenges associated with live eye tracking, its use with 320 children and infants is not unprecedented. This technique has mostly been used to examine social gaze irregularities in children with Autism Spectrum Disorder (ASD), and has been 322 used with children of preschool and primary school age (Falck-Ytter, Carlström, & 323 Johansson, 2015; Nadig, Lee, Singh, Bosshart, & Ozonoff, 2010; Noris, Nadel, Barker, 324 Hadjikhani, & Billard, 2012). At least one study has also extended this type of eye-tracking 325 to typically-developing infants. Gredeback, Fikke, and Melinder examined the development 326 of infants' gaze-following behaviors between 2 and 8 months and using a live eye-tracking 327 paradigm (Gredebäck, Fikke, and Melinder (2010)). In this study, infants began showing 328 gaze-following behaviors between 2 to 4 months of age, and were more likely to follow the 329 gaze of a stranger than the gaze of their mother. 330

In sum, since prior research indicated that such a live eye-tracking approach was 331 possible, and since using an eye tracker decreases the potential for human error involved in hand-coding, I measured gaze shifts to a real-life social partner. 333

Methods 334

Participants 335

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I recruited a sample of 30 children aged 3 to 5 at the Bing Nursery School at Stanford 336 University in Stanford, CA. Children were asked by the experimenter if they wanted to play 337

a short game during their regularly scheduled free-play. Children were predominantly white and Asian and generally had highly-educated parents. All children were tested by the same experimenter and were randomly assigned to one of four counterbalance conditions.

341 Stimuli, Design and Procedure

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a) Stimuli. Stimuli were slightly altered versions of those created by Havy and
Waxman (2016). Havy and Waxman created their stimulus sets by rendering two novel
prototype images and using Norrkross MorphX to create a perceptual continuum of stimulus
items that were different morph combinations of the two prototypes. Since pilot testing
revealed that the current task was too easy for children when the prototypes varied in color,
we transformed them to be monochromatic blue (Figure 1). The images were printed and
laminated to make rectangular cards that children could insert into one of the two boxes.



Figure 1. Stimulus sets provided to children. Images on the ends of the spectrums are prototypes which morph into each other an a perceptual continuum.

b) Experimental Setup and Eye-tracking Mechanism. Children were seated
in a small chair in front of a table which contained two boxes, one red and one green, both
placed within their reach. The boxes had openings in the lids to allow children to deposit the
stimulus cards into them. When children entered the room, they sat across an
X-centimeter-wide table from a large poster-board which displayed the images for the
eye-tracking calibration procedure. After the calibration phase had ended and the
experimental trials began, the poster-board was replaced with the experimenter sitting in a
chair across the table from the child.

I used an SMI REDn corneal reflection eye-tracker to measure children's gaze shifts to

the experimenter throughout the task. The eye-tracking device was placed on two magnetic mounts in the middle of the table, approximately X centimeters in front of the child and set an angle of X degrees to the child's face. Critically, the eye-tracker in this case was measuring the child's gaze shifts to the face of a real person instead of an image on a screen. The child's field of vision was captured by an external-viewing webcam situated directly behind and slightly above the child's head.



Figure 2. Experimental setup from child's perspective. The eye-tracker across the table recorded gaze shifts to the experimenter throughout the task.

c) Calibration. Children were directed to look at each of the 5 calibration images
on the poster-boarded situated across the table from them while the experimenter approved
each point one-by-one. The calibration display consisted of a large white poster board with

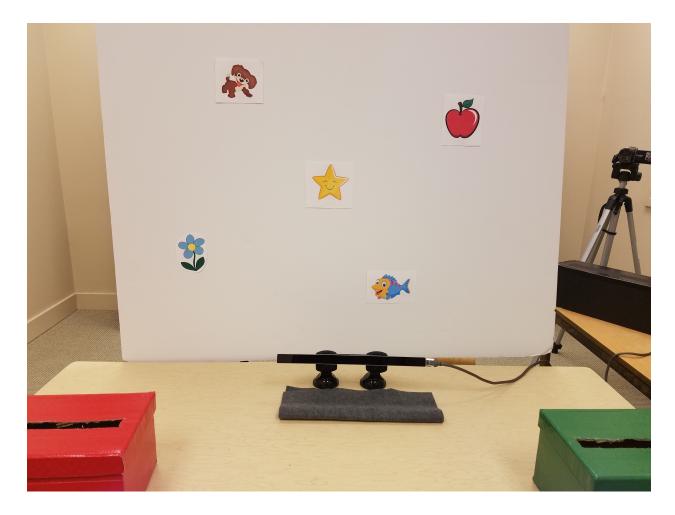


Figure 3. Calibration images.

five cartoon images (a smiling star, a puppy, a blue fish, a flower, and a red apple) as
illustrated in Figure 3. After the experimenter had approved each calibration point, the child
was asked to follow the experimenter's finger as it moved across the calibration display while
the experimenter checked to see whether the eye-tracker was accurately representing the gaze
location of the child. If the experimenter deemed this informal test of calibration accuracy to
be sufficient, the experiment continued; if not, calibration was repeated. (Limitations of this
sort of accuracy check are addressed in the Discussion).

d) Procedure. Upon entering, children were first asked to write their name on a sticker chart that would be used throughout the task to keep them motivated and prevent them from getting fatigued during the experiment, which lasted about 12 minutes. After

they had written their name, the calibration phase began.

Once the calibration phase was finished, the experimenter removed the poster board 378 and sat across from the child. The experimenter then explained to the child that he had 379 brought pictures of imaginary creatures with him, and that he needed the child's help 380 putting them back in their boxes. The child then categorized six practice items: two items of 381 a 90% morph, two items of a 55% morph, and two items of a 95% morph. After the practice 382 trials, the child completed 2 blocks of 7 test trials, each of which consisted of a 50% morph 383 (completely ambiguous) and two each of 60%, 70%, and 100% morphs (identical to the 384 prototypes). The morphs were presented in one of four pseudo-random orders that were 385 counterbalanced across participants. After the first two test blocks, the child was introduced 386 to a new pair of prototype creatures and asked to complete the same task with these new 387 creatures. They then completed two new test blocks with the new set of creatures, consisting 388 of the same ambiguity levels as the first two test blocks. In total, there were 6 practice and 389 28 test trials per child. In between each test or practice block, children got to choose a 390 sticker for the chart they had made at the beginning of the experiment and were then 391 reminded of the two prototype creatures at each end of the perceptual continuum. Pilot testing indicated that this procedure invoked differential levels of accuracy based on stimulus ambiguity and avoided performance degradation by continually re-introducing the prototypes and offering a motivational reward after each block of 7 trials. 395

Analysis. Each session was videotaped on a camera behind the experimenter's seat, allowing for view of the entire experimental setup. Accuracy and trial onsets and offsets were hand-coded using DataVyu software (http://datavyu.org). A trial was defined as the span of time between when the stimulus item became available to the child (i.e., the experimenter let go of it) and when the item was deposited in a box. All stimuli, data, and analyses are available at https://github.com/benjamindemayo/soc_ref_category.

402 Results

Procedural and Manipulation checks

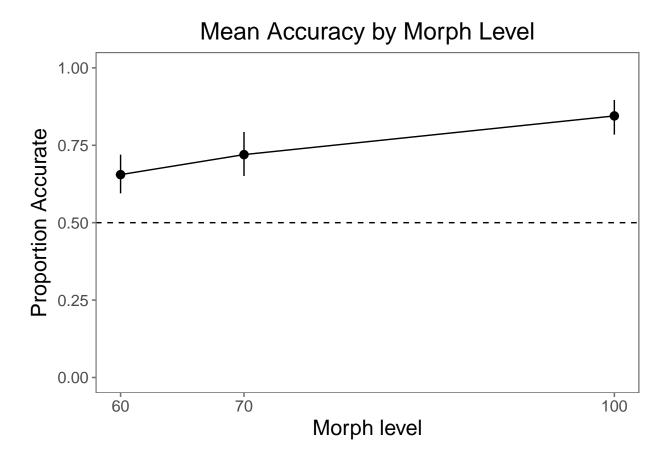


Figure 4. Categorization accuracy for each morph level. Error bars are 95 percent confidence intervals.

To validate the manipulation of category ambiguity in my procedure, I first examined whether children's accuracy on the categorization task (i.e., whether they put each item in the correct box) corresponded to the category ambiguity of each stimulus item (Figure 4). Evidence from pilot testing indicated that children's accuracy on the task was highest for the least ambiguous stimuli, while children were least accurate when categorizing most ambiguous stimuli. To confirm this finding of the effect of morph level on accuracy, I used the following logistic regression model, which accounted for random effects (such as participant and trial number): accuracy ~ morph * centered age + (morph | participant) + (1

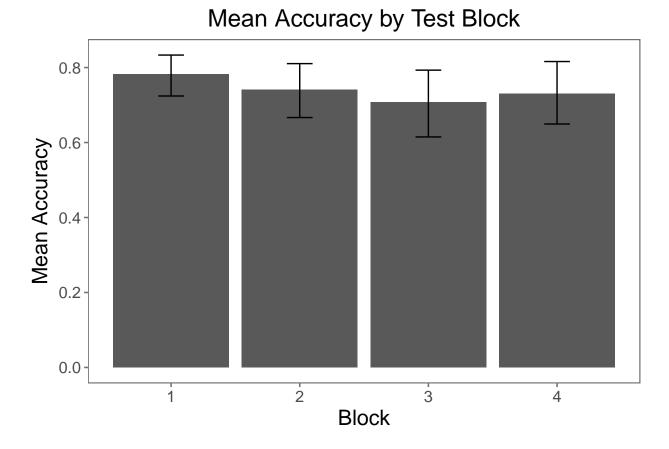


Figure 5. Categorization accuracy for each of four experimental blocks, suggesting that children's accuracy did not degrade throughout the task. Error bars are 95 percent confidence intervals.

trial). I found a significant difference in children's accuracy on trials of morph level 60 412 and trials of morph level 100 ($\beta = 1.25$, p < .001). The difference in accuracy between trials 413 of morph level 60 and trials of morph level 70 trended towards, but did not reach, 414 significance, $\beta = 0.40$, p = 0.09. These results demonstrate that the task was more difficult 415 for children when they were tasked with categorizing more ambiguous stimuli. Children's 416 performance on the task also remained consistent throughout the approximately 6 minute 417 duration of test trials (Figure 5), indicating that children remained engaged and motivated 418 throughout the experiment. 419

Since this analysis method required the integration of data acquired from various

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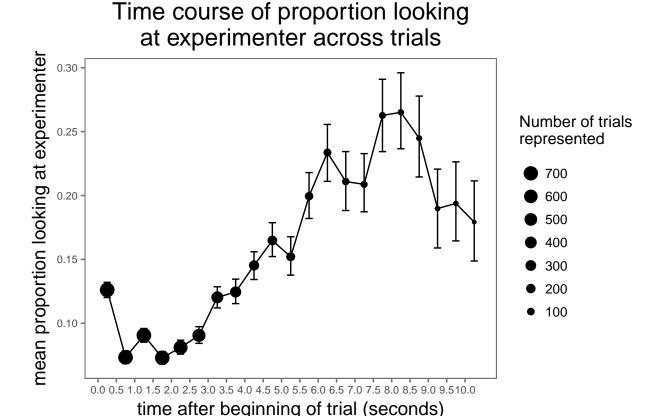


Figure 6. Timecourse of proportion looking at experimenter, in half-second intervals. Size of points indicates amount of trials represented in each point. Error bars are 95 percent confidence intervals.

devices, I examined the average timecourse of children's looking patterns during trials to 421 ensure that data output from these devices was aligning appropriate. Figure 6 shows, for 422 each half-second interval after the beginning of the trial, the average proportion of that 423 interval that children spent looking at the experimenter. Since the eye-tracking data 424 collection method in this paradigm was coarse, any looks captured by the eye-tracker were 425 considered to be a look in the general direction of the experimenter; thus, the "Area of 426 Interest" in this design was defined as the entire spatial area for which the eye-tracker was 427 calibrated. 428

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In general, Figure 6 shows that children tend to look slightly more at the experimenter

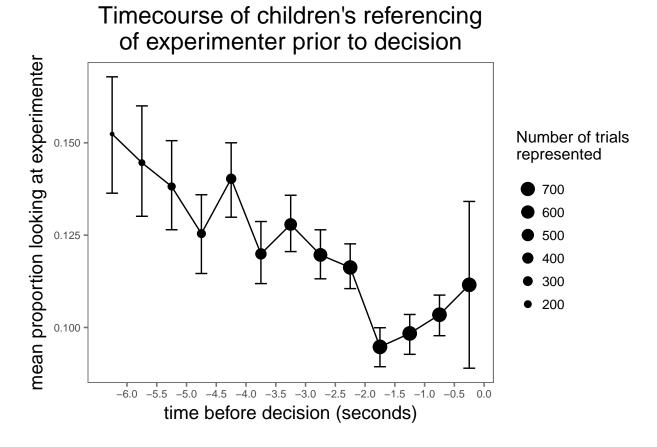


Figure 7. Timecourse of children's looking at experimenter prior to decision, in half-second intervals. Size of points represents amount of trials included in each data point. Error bars are 95 percent confidence intervals.

at the very beginning of the trial, perhaps since this is when they are receiving the stimulus 430 item from the experimenter. As trial length increases, so does the proportion of time in each 431 half-second interval that children spend looking at the experimenter, which may be in part 432 because longer trials frequently featured children asking questions and thus making 433 eye-contact with the experimenter. Figure 7 shows the timecourse of children's looking in half-second intervals before the end of the trial, which corresponds to the child's decision to 435 place the stimulus item in one box or another. This plot shows a peak in children's looking 436 behavior approximately 2.5 - 3 seconds before a decision, which is slightly after the 437 beginning of the trial (median trial length = 3.20 seconds). Taken together, these plots show a plausible timecourse of children's looking throughout trials which lends confirmatory evidence that data from various devices was integrated and aligned correctly.

Proportion of trial looking by morph

Did children selectively seek out more social information when trying to categorize
more ambiguous stimulus items? I fit the data to a linear mixed-effects model to quantify
the effect of category ambiguity on proportion of trial spent looking at the experimenter,
along with any possible developmental trends. I ran a linear mixed-effects model with the
following preregistered structure: proportion of trial spent looking ~ morph *

centered age + (morph | participant) + (1 | trial). This model allowed me to
quantify the effects of fixed factors and random factors, including child participants and
individual stimulus items. Random effects are denoted in parentheses.

I found no significant effects of stimulus ambiguity or children's centered age in months on the proportion of trial that children spent looking at the experimenter (all p-values for fixed effects > 0.10), indicating that children did not selectively look longer at the exprimenter when faced with making a more uncertain decision.

Proportion of trial looking by task accuracy

I also examined whether children's accuracy on the task had an effect on the proportion of trials spent looking at the experimenter (Figure 9). To answer this question, I used a linear mixed-effects model with the following structure, with random effects denoted in parentheses: proportion of trial spent looking ~ accuracy * centered age + (accuracy | participant) + (1 | trial). I found no effect of accuracy on proportion of trials spent looking at the experimenter (p = 0.31), indicating that children did not look at the experimenter more on trials when they were inaccurate versus inaccurate.

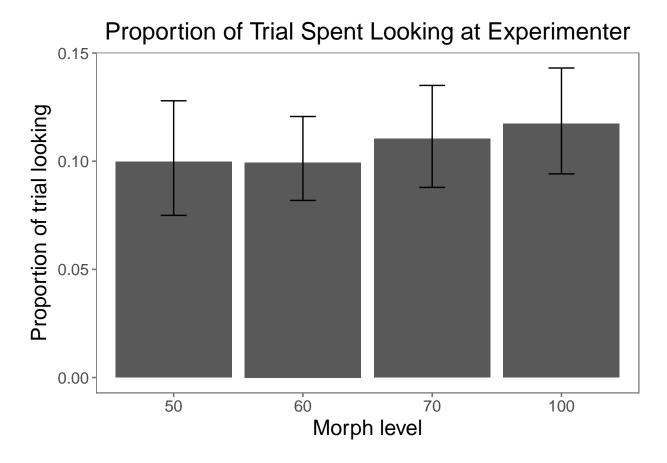


Figure 8. Proportion of trial time spent looking at experimenter by morph level. Error bars are 95 percent confidence intervals.

462 Discussion

In this study, I set out to understand whether children are sensitive to graded levels of uncertainty, and whether they would adjust their social-information seeking on the basis of this uncertainty. Previous research had suggested that children explore environments in order to maximize their learning about the world (Schulz & Bonawitz, 2007), that they have a limited but emerging capacity to monitor epistemic states and uncertainty (Coughlin et al., 2015; Hembacher & Ghetti, 2014), and that they selectively seek out information from people who they deem to be knowledgeable and helpful in the immediate learning context (Kushnir, Vredenburgh, & Schneider, 2013; Vredenburgh & Kushnir, 2016). Empirical evidence also suggested that children may possess some sensitivity to graded evidence and

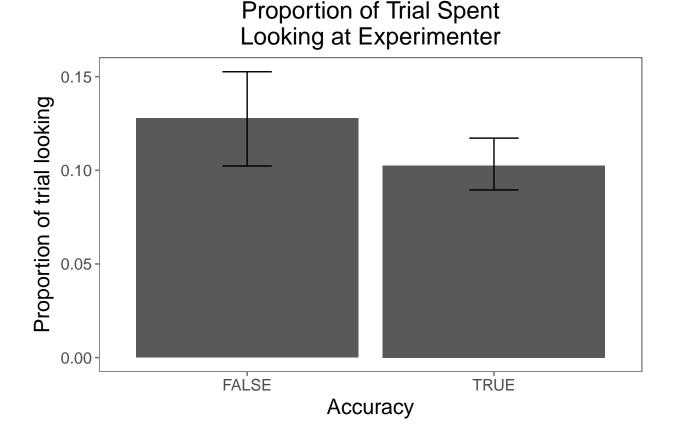


Figure 9. Proportion of trials spent looking at experimenter by children's category accuracy. Error bars are 95 percent confidence intervals.

intermediate levels of uncerainty in the environment, in such contexts as "partial exposure" tasks (Call & Carpenter, 2001; Kloo et al., 2017) and word learning situations (Hembacher & Frank, n.d.). Thus, I hypothesized that children would show a looking pattern that would indicate sensitivity to graded uncertainty, and would selectively seek more social information on trials in which they were tasked with categorizing more ambiguous stimuli.

Contrary to my hypothesis, I found that children did not differentiate between trials of
different morph levels in their levels of looking. A linear mixed-effects model demonstrated
that stimlus ambiguity had no effect at any level of ambiguity on the proportion of each trial
that children spent looking at the experimenter. Thus the data do not explicitly show that
children possess sensitivity to graded levels of uncertainty, nor do they show that children

strategically adjust the amount of social information they seek out on the basis of subjective 482 uncertainty. Interestingly, I found no difference in looking behaviors even between trials 483 which were completely ambiguous (morph level 50) and trials which were identical to the 484 prototypes shown to children at the beginning of each experimental block (morph level 100). 485 This result is particularly striking given that children were significantly less accurate in 486 categorizing more ambiguous items, indicating that task difficulty was not uniform across 487 trials. This finding contrasts that of Hembacher, deMayo, and Frank (n.d.), who found that, 488 in a word-learning task, children's social information gathering was closely linked to 480 referential ambiguity. What might be the origin of the discrepancy between these two 490 findings? 491

One possibility is that this task, even during the most unambiguous trials, was more 492 challenging for children, since the task required keeping the protoype images and their 493 correct locations in memory. Thus, even though children's differential levels of accuracy on 494 the various trial types indicate that the task was not uniformly difficult across all trials, it 495 may have been too demanding throughout all the trials to be able to invoke a pattern of 496 differential looking. Another possibility is that the task proceeded too swiftly to facilitate 497 children's looking at the experimenter during individual trials. Other studies that have 498 measured children's visual solicitations for social information (e.g., Vaish et al., 2011) have 499 measured children's looking patterns over longer spans of time, whereas my design measured children's looking patterns during trials that had a median length of 3.20 seconds. Below, I discuss methodological limitations of the current work that may have impacted my ability to detect an effect of graded category ambiguity on children's social information-gathering.

04 Limitations

The current work has several limitations that should encourage caution against interpreting this null result as an indication of children's inability to monitor graded levels of category uncertainty and seek out social information accordingly. First and foremost, my data collection method – the use of an eye-tracker to measure children's gaze patterns in a
live person-to-person interaction – provided coarse data. This type of eye-tracking method is
challenging to execute because it requires children to be very still during an extended
calibration phase, and is particularly sensitive to children's body movements and any small
fluctuations in the experimental setup. Future work should strive for greater precision from
this type of data-collection method. Furthermore, as mentioned above, this task moved
quickly; a task that proceeds a slower pace may be optimize the researcher's ability to detect
an effect of category ambiguity on children's social gaze patterns.

Additionally, it is not possible to know with complete certainty what type of information children were seeking or expecting when they shifted their gaze to the experimenter. We operate under the assumption that children's foremost reason for looking was a search for disambiguating information, but children may also have looked at the experimenter because they were expecting a certain action (i.e., being handed the next item in the game), asking a question unrelated to their own uncertainty, or were simply compelled to look at their social partner because faces are interesting to look at.

Finally, this study was performed with a convenience sample of children from a 523 preschool in an upper-middle/upper class neighborhood consisting of mostly white and Asian 524 families. Since this sample is not demographically representative of the broader population, 525 it is possible that some of the eye-gaze patterns I observed were sample-specific, given that 526 eye contact between social partners has been shown to be modulated by cultural norms 527 (Akechi et al. (2013)). Nevertheless, the preschool from which I recruited this sample makes 528 a concerted effort to reach out to underrepresented families in order to maintain a diverse 529 classroom environment. 530

Conclusion and Future Work

The results of the current study do not provide a conclusive answer to the question I posed at the outset of whether children are sensitive to graded levels of uncertainty and

adjust their social information-gathering according to this uncertainty. Contrary to my 534 initial hypothesis, children did not show differential levels of looking to the experimenter 535 when categorizing items whose category ambiguity varied along a spectrum. However, this 536 could have been a task-specific result given the pace and difficulty of the procedure children 537 were being asked to complete. Future work can improve on this design by allowing for a 538 larger temporal observation window through which to gauge children's social-information 530 gathering, removing some of the memory demands present throughout this task to exagerrate 540 the difference between "difficult" and "easy" trial items, and by implementing a method of tracking gaze to a social partner in a more precise manner than what was achieved here. 542

In sum, the current work illustrates that, in a category-discrimination context, children do not show obvious signs of adjusting their social-information gathering behaviors on the basis of graded uncertainty. However, the possibility still exists that children do possess a sensitivity to intermediate levels of category ambiguity, and would show this sensitivity in different contexts. This study therefore provides a theoretical and methodological framework from which other researchers can expand in order to better understand the development of children's uncertainty monitoring and social information-seeking in early childhood.

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