- 1 Children's social information-seeking as an index of sensitivity to graded uncertainty
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2

7 Abstract

Children between 3 and 5 years old show an emerging ability to monitor their own epistemic uncertainty. However, little is known about children's sensitivity to graded uncertainty and how they adjust their information-seeking behaviors in situations other than those of 10 complete knowledge and complete ignorance. Here, I investigated children's spontaneous 11 social information-gathering behaviors under various levels of graded category uncertainty. 12 Children ages 3-5 (n = 29) were asked to categorize images of creatures which varied in their 13 distance from two prototype images, such that uncertainty about category membership was 14 greater when distance from a prototype was greater. A live eye-tracking paradigm was used 15 to measure children's levels of looking to the experimenter on a trial-by-trial basis. Children 16 looked at the experimenter at the same rate regardless of an item's category ambiguity, 17 suggesting that they did not adjust their information-seeking based on graded category 18 uncertainty. 19

20 Keywords: information gathering; uncertainty monitoring; category discrimination

Word count: X

22 Children's social information-seeking as an index of sensitivity to graded uncertainty

Early in life, children are presented with a great deal of social information from which 23 they can learn about the world around them. They overhear conversations, are spoken to directly, and interact with other people nonverbally. But when do they actively seek out information from their social partners? Specifically, do children "know what they don't know" well enough to seek information on the basis of their own subjective uncertainty, or do they learn more often by passively absorbing information from other people? 28 While it may seem intuitive that a child will seek help from a conversational partner 29 when they lack enough information to make a decision, the questions posed above are 30 non-trivial. Prior research which shows that children actively explore their environments and 31 guide their own learning processes (e.g., Schulz & Bonawitz, 2007) is somewhat at odds with a separate body of research that highlights young children's deficits when it comes to monitoring their own knowledge states and acting on their own uncertainty (e.g., Markman, 1977). In light of this apparent tension, the current study seeks to better understand to what degree children's information-gathering behaviors – in this case, gaze to a knowledgeable social partner – are driven by the child's epistemic uncertainty. Building on prior work that suggests that children's social gaze may be a sensitive measure of epistemic uncertainty (Hembacher, deMayo, & Frank, 2017), this study uses a categorization task to examine 39 whether children's social information-gathering behaviors are modulated by graded uncertainty. 41 Below, I review prior research that demonstrates children's capacities to engage in the 42 various pregrequisite behaviors that are necessary to show selective social information-seeking on the basis of graded uncertainty. I begin by outlining research on children's metacognitive development which, due to its reliance on verbal and/or explicit responses from children,

may have underestimated children's uncertainty monitoring capacities. Secondly, I discuss
more recent work, which has established that children spontaneously seek information, and
that they do it *selectively*, indicating that this information-seeking serves the specific purpose

of filling in gaps in uncertainty and maximizing the child's learning about the world. I then review work pertaining to children's referencing of social partners in situations of uncertain outcomes, potential danger, and epistemic uncertainty, and cite prior work that demonstrates that children show some patterns of selectivity in how they sample information from the social world. Finally, I review research concerning children's sensitivity - or potentially, lack thereof - towards graded uncertainty, and describe my study designed to understand more about children's social information-seeking behaviors under conditions of graded uncertainty.

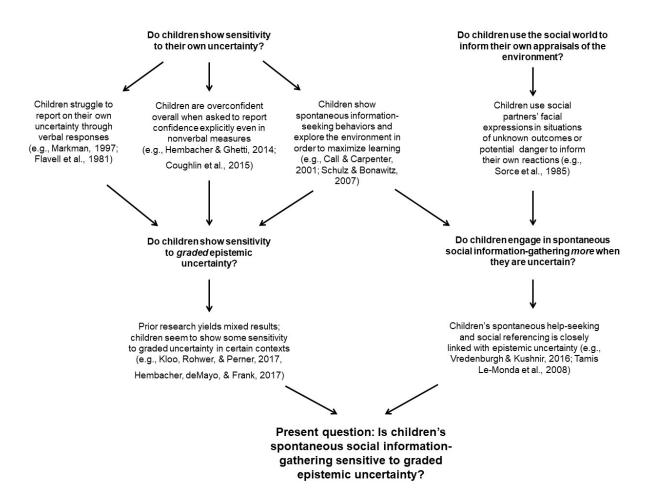


Figure 1. Schematic representation of theoretical background and prior research informing the current study.

Uncertainty Monitoring and Active Learning in Early Childhood

Prior work on children's uncertainty monitoring has yielded mixed evidence as to 57 whether young children can successfully recognize when they lack information and 58 subsequently act on this knowledge of their own ignorance to make a decision (Sodian, Thoermer, Kristen, & Perst, 2012). Early studies investigating metacognitive development indicated that children's competence in assessing and acting on their own epistemic 61 ignorance is limited. Markman (1977) explained a card game to first and third grade 62 children with ambiguous language such that understanding the object of the game was impossible without seeking clarification. An experimenter then prompted the child to seek this clarification with scripted statements such as "What do you think?", "Do you have any questions?" and "Did I tell you everything you need to know to play the game?" In this study, Markman found that the younger children required more prompts before seeking out 67 additional information, which she argues is an indication that young elementary school 68 children do not engage in "constructive processing". That is, they process the instructions being given by the experimenter superficially without simulating the process of trying to execute them, and are consequently unaware of gaps in their own knowledge. 71 Similarly, Flavell, Speer, Green, August, & Whitehurst (1981) asked kindergarten and 72 second-grade children to reconstruct block structures built by a confederate based on a tape 73 of instructions from the confederate, which were not sufficiently clear to successfully recreate 74 the structure. The researchers found that older children were more likely to indicate the 75 need for additional clarifying information by replaying the tape, asking questions explicitly, 76 or generally looking puzzled. Conversely, kindergarteners typically did not display these behaviors. This result demonstrated that older children were more adept at seeking information explicitly, but it also showed that younger children were less likely to spontaneously seek information from the environment (for example, by replaying the tape). 80 Rather than measure children's spontaneous information-seeking behaviors, some more 81 recent research has gauged children's uncertainty monitoring capacities by asking them to

report on their decision confidence in various contexts. When asked to report confidence in their answers on a memory task, 3-year-olds were equally confident about correct and incorrect responses, while 4- and 5-year-olds showed a more adult-like pattern of lower 85 confidence for incorrect responses (Hembacher & Ghetti, 2014). In a perceptual identification task, 3- to 5-year-olds reported being less confident when they provided incorrect responses, although overall they were still overconfident (Coughlin, Hembacher, Lyons, & Ghetti, 2015). In order to avoid requiring a verbal response from children, which may obscure children's capacities to reflect on their own ignorance, these studies used a pictorial confidence scale when prompting children to report on their uncertainty. However, the possibility exists that 91 even these studies underestimate children's ability to monitor their own uncertainty because they require children to explicitly report their confidence (even if not in an open-ended 93 verbal response), and that children can act on their own uncertainty before they can communicate it through explicit responses or reflect on it consciously. Thus, although these studies did not hinge on an verbal report of uncertainty from children (which may be the most difficult response for a child to provide), they nonetheless required children to explicitly report on their confidence - a measure of uncertainty which may be still more difficult than more implicit, spontaneous and action-based measures.

children's Spontaneous Information-Seeking Behaviors

In contrast to the explicit confidence measurements used in the studies discussed above, some research has shown that children engage in spontaneous information-gathering behaviors – that is, behaviors that are not prompted explicitly by an experimenter – more often when they are faced with uncertainty. These studies demonstrate that children engage in active learning, in which the learner decides what information they want to be exposed to, rather than having the decision made for them by a teacher (Gureckis & Markant, 2012). While early research on children's metacognitive development also measured unprompted information-seeking behaviors and found that younger children do not engage in spontaneous

information-seeking, these early studies often required an explicit verbal response from
children, as in Markman (1977). Furthermore, studies such as Flavell, et al. (1981) showed
children's deficit in realizing when instructions are insufficient, which may be more difficult
for children than realizing they lack other types of knowledge, such as memory of an event.
Specifically, it may be particularly difficult for children to realize they lack understanding or
knowledge of instructions, since doing so requires that children recognize that they may
encounter an obstacle in the future which may not be immediately apparent to them at the
moment the instructions are given.

Recent research which at least partially alleviated these task demands has found 117 evidence of children's engagement in spontaneous information-seeking. In one study, when 118 2-year-old children were asked to find a sticker hidden inside of one of several tubes, they 119 peeked inside the tubes more when they had not seen the experimenter place the sticker, 120 indicating that they spontaneously sought out more information when deprived of sufficient 121 information to make a decision (Call & Carpenter, 2001). In another study, young children 122 were shown a group of toys that either differed in their color or in how they felt to the touch 123 (Robinson, Haigh, & Pendle, 2008). All of the toys were put into a bag by the experimenter, 124 who mixed them up and then picked out a toy. In the condition where the experimenter put 125 the toy on the table and asked the child, "Which one is it?", children more often reached out and touched the toy more when its distinctive quality was texture than when it was color. In 127 contrast, when the experimenter handed the object to the child, thus giving the child visual 128 and tactile access to the toy simultaneously, children still correctly were able to identify 129 whether the toy was soft or hard, but unable to accurately report that they had arrived at 130 this conclusion by touching the toy. Thus, in this particular context, children interacted with 131 the environment using sensory modalities that most effectively filled in gaps in uncertainty, 132 even if they were unable to explicitly report doing so. 133

Schulz and Bonawitz (2007) also found that when young children were presented with a causally-confounded toy (i.e., a toy that exhibited a behavior whose causal structure could not be deduced) and could choose between playing with that toy or a novel toy, they chose
to further explore the causally-confounded toy. However, when the choice was between
continuing to play with a causally-unconfounded toy and playing with a new toy, children
preferred the novelty of exploring the new toy, demonstrating that children play
spontaneously in order to maximize learning based on uncertainty about the world.

141 Children's Social Referencing and Social-Information Gathering

Taken together, these studies provide compelling evidence that children choose to seek information specifically to resolve uncertainty, and that they sample sources of evidence that are most likely to provide disambiguating information. In this study, I examine the selectivity of children's spontaneous sampling of social information. In order to do this, children must consider other people to be helpful sources of information.

Evidence in a number of experimental contexts suggests that infants and young 147 children reference trusted adults for disambiguating information in situations of uncertain outcomes and potential danger. Sorce, Emde, Campos, and Klinnert (1985) showed that infants look to their mothers to determine whether it is safe to proceed crawling over a "visual-cliff", a glass table that is actually safe to walk on but which looks like a daunting 151 drop-off to an infant. When infants' caregivers showed an encouraging expression, infants 152 tended to crawl onto the glass surface, whereas they avoided doing so when their mother 153 showed a frightened facial expression. Other studies have shown that children use caregivers' 154 emotional appraisals of novel toys (Feinman, 1982; Hornik, Risenhoover, & Gunnar, 1987; M. 155 Klinnert, 1981) and recently-met strangers (Feinman & Lewis, 1983) to inform how they 156 interact with newly-encountered aspects of their environments. 157

The above studies provide strong evidence that children, starting in the early stages of infancy, use others' appraisals of the world around them to resolve uncertainty about the safety or physical affordances of the environment. Specifically, they use information gleaned by looking at their social partners' faces to guide their own actions. Children, beginning in

infancy, also reference speakers' gaze in order to determine the referent of a utterance,
suggesting that looking to others' faces is a critical form of minimal infomation-seeking in
early childhood (D. A. Baldwin, 1991). However, there is only limited prior research that
sheds light on whether children's searches for social information are driven by gaps in the
child's knowledge and their own awareness of these gaps.

There are several reasons to suspect that children may be less selective with their 167 social information-seeking than they are with information-seeking in non-social contexts. 168 Some forms of social information-seeking, such as looking at others' faces, may be less costly 169 than other forms of manual exploration of the environment such as tactile play, in that they 170 may require less time and cognitive resources. Additionally, social information may be 171 sufficiently salient such that children sample it regardless of the uncertainty associated with 172 a particular situation. This possibility is plausible given research which demonstrates that 173 children focus more attention to faces and other socially informative aspects of complex 174 scenes beginning as early as preverbal infancy (Frank, Vul, & Johnson, 2009; Frank, Vul, & 175 Saxe, 2012). Nevertheless, prior research has shown that children show selectivity in some of 176 their social information-gathering behaviors. Below, I review studies that demonstrate that 177 children are selective when choosing their informants, and that they more heavily weight 178 social information in situations of uncertainty. 179

180 Selective trust in testimony

A large body of evidence suggests that young children are selective social learners in terms of whom they choose as their informants. Younger children tend to use an informant's familiarity as a cue to the trustworthiness of the information being offered, but children gradually shift to depend more on an informant's demonstrated competence and expertise as they grow older (Corriveau & Harris, 2009; Lucas, Lewis, Pala, Wong, & Berridge, 2013). In one study, children were found to generally be proficient at tracking the accuracy of an informant's information when explicitly probed. Furthermore, children who showed this

proficiency selectively learned novel object labels from an accurate informant, while those 188 who failed to discriminate between an accurate and inaccurate informant likewise did not 189 selectively use information from the accurate informant (Koenig, Clément, & Harris, 2004). 190 Children also show selective learning from an informant who appears uncertain versus one 191 who is clearly knowledgeable, and are able to assess someone else's knowledge on a particular 192 topic beyond perceptual cues such as facial expression (Sabbagh & Baldwin, 2001). Taken 193 together, these studies show that children, beginning in the preschool years, choose their 194 information sources in order to maximize knowledge gain. However, it remains unclear 195 whether children are similarly selective in their social information-gathering depending on 196 their own level of epistemic ignorance. 197

Selective social learning on the basis of uncertainty

Despite young children's apparent lack of ability to explicitly report their own 199 uncertainty, a few studies indicate that young children may seek and use information 200 selectively from other people on the basis of epistemic uncertainty. Tamis-LeMonda et al. 201 (2008) extended the finding of Sorce et al. (1985) in order to determine whether children 202 consider the expressions of their caregivers more when the safety of the environment is more 203 uncertain versus when it is completely certain. In this study, researchers tested whether 204 18-month-old infants would walk down slopes of varying risk levels when given either 205 encouraging or discouraging signals from their mothers. The researchers found that children 206 tended to ignore their mothers' encouraging messages when faced with a slope that was 207 clearly too steep to walk down safely, and similarly ignored mothers' discouraging messages when the slope was obviously safe. At borderline slopes, when it was unclear to infants whether the slope was safe to walk down or not, children tended to comply much more consistently with the encouragement or discouragement provided by their mother, showing 211 that even infants selectively give more weight to social information when perceptual input is 212 not enough to make a decision. 213

While the study by Tamis-LeMonda et al. examined children's selective integration of 214 social information, it did not include children's sampling (e.g., through gaze shifts) of social 215 information as a dependent measure. Some recent research has begun to address the 216 question of whether children actively seek out information from their social partners when 217 uncertainty is high. Vredenburgh and Kushnir (2016) investigated children's propensity to 218 ask questions when faced with toy-assembly tasks of differential levels of difficulty. They 219 found that preschoolers were significantly more likely to ask an experimenter for help on 220 tasks that were more difficult for them, indicating that children adjust their social 221 information-gathering behavior to optimize their learning. Another study examined whether 222 infants selectively reference their social partners' gaze when their social partner has uttered 223 an ambiguous object label (Vaish, Demir, & Baldwin, 2011). In this study, infants heard an 224 experimenter produce a novel label when there were either two or one novel objects present. 225 Infants looked up more at the experimenter when there were two objects present and the 226 referent of the experimenter's label was thus ambiguous.

A later study by Hembacher, deMayo, and Frank (2017) sought to replicate and extend 228 the finding of Vaish et al. with preschool-aged children. In this study, children aged 2 - 5 229 years were presented with either one or two objects, heard a label produced by the 230 experimenter, and asked to put the labeled item in a bucket. The number of objects (1 vs. 2) 231 and their familiarity to the child were varied to manipulate referential ambiguity. Across two 232 experiments, they found that children looked up at the experimenter more as they were 233 making their choice when referential ambiguity was present. Specifically, children did the 234 most looking at the experimenter when both objects were novel, did the least looking when 235 both objects were familiar, and did an intermediate amount when one of the objects was 236 familiar and one was novel. Additionally, when helpful gaze from the experimenter was included as a between-subjects manipulation, children looked up at the experimenter more when one object was familiar and one was novel, but only when helpful gaze was absent, 230 suggesting that children's social referencing might be sensitive to graded levels of epistemic uncertainty. Thus, children are not only sensitive to states of complete ignorance and complete knowledge, but may also be sensitive to intermediate levels of evidence for a hypothesis.

Sensitivity to Graded Uncertainty

Although these results provide initial evidence that children seek social information on
the basis of graded uncertainty, this study did not manipulate ambiguity in a continuous
fashion, but rather presented children with only one intermediate level of uncertainty
between complete certainty and complete ambiguity. In many learning contexts (i.e.,
category learning) many more degrees of ambiguity exist than complete certainty and
complete ambiguity.

It is unclear whether young children are sensitive to intermediate levels of epistemic 251 uncertainty, but some research suggests that their capacity to monitor graded uncertainty 252 may be limited. Morgan, Laland and Harris (2015) asked children between the ages of 3 and 253 7 to determine which of two illustrated quantities was bigger; on some trials, the quantities were more similar to each other (i.e., the task was more difficult) than in other trials. After receiving feedback on their choice, children could either stick with their initial answer or 256 change it. Children of all ages in the study seemed to be generally insensitive to their own 257 uncertainty, evidenced by the fact that they tended to stick with their original answers 258 regardless of the initial difficulty of the task. A possible explanation for this result is that 259 children may have more trouble showing sensitivity to graded uncertainty when doing so 260 requires that they break from a decision they already committed to, as compared to 261 engaging in other forms of spontaneous information-seeking. 262

Another body of research has shown that children over the age of 3 can report on states of complete ignorance or complete knowledge when asked about an object that is being hidden (e.g., Wimmer, Hogrefe, & Perner, 1988), meaning that when they are asked if they know which object has been hidden, they can accurately report on their own knowledge

state if they have seen the hiding event (complete certainty) or have not seen any objects at 267 all (complete ignorance). However, children of the same age struggle in partial exposure tasks, 268 in which they are shown two objects and told one of them will be hidden, but are not able to 269 see which one ends up being hidden (Kloo & Rohwer, 2012; Sodian & Wimmer, 1987). In 270 these situations, children tend to erroneously report that they know which object has been 271 hidden, even though they had no visual access to the hiding event. Some studies, however, 272 show that children as young as 2 or 3 years old engage in spontaneous information-seeking 273 when faced with ambiguity in partial exposure tasks (Call & Carpenter, 2001; Kloo, Rohwer, 274 & Perner, 2017). 275

In sum, while 3 to 6-year-old children struggle to demonstrate explicit or verbal reasoning about their own ignorance in partial exposure tasks, some studies show that children may engage in spontaneous information-gathering when they lack sufficient knowledge to make a decision. This prior research leaves open the question of whether children engage in selective social-information gathering that reflects a sensitivity to graded uncertainty.

82 Current Study

Building off the findings discussed above, the current study used a categorization 283 paradigm to determine whether children's social information-gathering is driven by graded 284 uncertainty. Children completed a category discrimination game in which they were 285 introduced to two creatures, and told that each creature had a specific box that it was 286 supposed to live in. They were then handed a number of images depicting creatures at various points on the perceptual continuum between the two prototype images to which they had initially been exposed, and were asked to put each creature in its correct box. The critical dependent measure was the duration of children's looks to the experimenter seated across the table from them, which I measured with an SMI RED-n eye-tracker. I predicted 291 that children would look longer to the experimenter when attempting to categorize stimuli 292

closer to the center of the perceptual continuum.

A categorization task was used here in part because category learning is a critical 294 learning context in early childhood. Young children possess many mechanisms that facilitate 295 category learning, including sensitivity to statistical regularities in the environment (Saffran, 296 Aslin, & Newport, 1996), sensitivity towards correlations of shared attributes among objects 297 (Younger, 1985), and acute attention to perceptual features such as shape (Imai, Gentner, & 298 Uchida, 1994). Selectively seeking social information on the basis of graded uncertainty 299 could be another such mechanism that aids in children's category learning. It is an open 300 question whether children seek out disambiguating evidence when there is less evidence for 301 an object's category membership. 302

Eye-tracking technology was used in this study to allow me to measure the duration of 303 children's looks to the experimenter with greater precision than that afforded by 304 hand-coding. Typically, research in social cognition that uses eye-tracking (particularly when 305 it involves children) measures children's gaze patterns on a digital stimulus display such as a 306 television screen which shows still or moving images (Gredebäck, Johnson, & Hofsten, 2009). 307 This is partially due to the fact that live-eye tracking with both children and adults is more 308 difficult than using conventional eye-tracking displays. Nevertheless, some research suggests 300 that social looking behaviors may differ slightly when a participant is engaged in a real social 310 interaction as opposed to viewing a screen image of the same interaction (Risko, Laidlaw, 311 Freeth, Foulsham, & Kingstone, 2012). One study in particular suggests that an interaction 312 with a live conversant draws more social gaze than a video display of a similar interaction, 313 simply because a live conversation creates the potential for social interaction in ways taht videos cannot (K. E. Laidlaw, Foulsham, Kuhn, & Kingstone, 2011). A separate line of 315 research also shows that children learn more effectively when interacting with a social 316 partner whose actions are contingent on the child's actions, as compared to watching a video 317 of a person acting as a teacher (Roseberry, Hirsh-Pasek, & Golinkoff, 2014; Troseth, Saylor, 318 & Archer, 2006). As a result, it may be that children shift gaze to a socially contingent 319

partner in a different way than they would to a prerecorded social partner who does not actually interact with the child.

Despite the methodological challenges associated with live eye tracking, its use with 322 children and infants is not unprecedented. This technique has mostly been used to examine 323 social gaze irregularities in children with Autism Spectrum Disorder (ASD), and has been 324 used with children of preschool and primary school age (Falck-Ytter, Carlström, & Johansson, 325 2015; Nadig, Lee, Singh, Bosshart, & Ozonoff, 2010; Noris, Nadel, Barker, Hadjikhani, & Billard, 2012). At least one study has also extended this type of eye-tracking to typically-developing infants. Gredeback, Fikke, and Melinder examined the development of infants' gaze-following behaviors between 2 and 8 months using a live eye-tracking paradigm (2010). In this study, infants began showing gaze-following behaviors between 2 to 4 months 330 of age, and were more likely to follow the gaze of a stranger than the gaze of their mother. 331 In sum, since prior research indicated that such a live eye-tracking approach was 332 possible, and since using an eye tracker decreases the potential for human error involved in 333 hand-coding, I used an eye-tracking setup to measure gaze shifts to an in-person social 334

336 Methods

Pilot Testing

partner.

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I developed the experimental procedure descibed below over a 5-week pilot testing
period. During this period, I recruited 40 child participants at the Children's Discovery
Museum in San Jose, CA who participated in various preliminary versions of the task. The
primary purpose of pilot testing was to arrive at a version of the test that (a) showed levels
of accuracy on the task that indicated differential levels of uncertainty for children, and (b)
kept children engaged and (c) was enjoyable to them. Several facets of the experimental
design were adjusted throughout the pilot-testing process, including the color of the stimuli,
the number of trials, the presentation of motivational rewards (stickers) throughout the task,

and whether children had visual access to prototype images of stimuli they were being asked to categorized during test trials.

48 Participants

I recruited a sample of 37 children aged 3 to 5 years (mean age = 4.61) at the Bing 349 Nursery School at Stanford University in Stanford, CA. Seven children were dropped from the analyses because of issues with their data (e.g., incomplete video data or insufficient 351 eye-tracker calibration). One child's data was formatted incorrectly, though I was not able to 352 identify the source of the issue, so I had to drop the participant, leaving me with a final 353 sample size of 29 participants (1 fewer than I specified in my preregistration, which can be 354 found at https://aspredicted.org/see one.php?a id=8960). I asked all participants if 355 they wanted to play a short game during their regularly scheduled free-play. Children were 356 predominantly white and Asian and generally had highly-educated parents. All children were 357 tested by the same experimenter (myself) and were randomly assigned to one of four 358 counterbalance conditions. 359

360 Stimuli, Design and Procedure

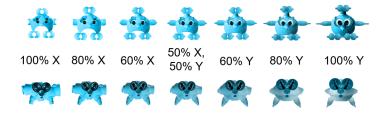


Figure 2. Stimulus sets provided to children. Images on the ends of the spectrums are prototypes which morph into each other on a perceptual continuum.

a) Stimuli. Stimuli were slightly altered versions of those created by Havy and
Waxman (2016). Havy and Waxman created their stimulus sets by rendering two novel
prototype images and using Norrkross MorphX to create a perceptual continuum of stimulus
items that were different morph combinations of the two prototypes. Since pilot testing

revealed that the current task was too easy for children when the prototypes varied in color,

I transformed them to be monochromatic blue (Figure 2). The images were printed and

laminated to make rectangular cards that children could insert into one of the two boxes.



Figure 3. Experimental setup from child's perspective. The eye-tracker across the table recorded gaze shifts to the experimenter throughout the task.

b) Experimental Setup and Eye-tracking Mechanism. Children were seated in a small chair in front of a table which contained two boxes, one red and one green, both placed within their reach (Figure 3). The boxes had openings in the lids to allow children to deposit the stimulus cards into them. When children entered the room, they sat across a 60-centimeter-wide table from a large poster-board which displayed the images for the eye-tracking calibration procedure. After the calibration phase had ended and the

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experimental trials began, the poster-board was replaced with the experimenter sitting in a chair across the table from the child.

I used an SMI REDn corneal reflection eye-tracker to measure children's gaze shifts to the experimenter throughout the task. The eye-tracking device was placed on two magnetic mounts in the middle of the table, approximately 58 centimeters in front of the child. The child's field of vision was captured by an external-viewing webcam situated directly behind and slightly above the child's head.



Figure 4. Calibration display. During the calibration phase, children were directed to look at each image as the experimenter approved each calibration point one by one.

c) Calibration. Children were directed to look at each of the 5 calibration images
on the poster-board situated across the table from them while I approved each point

one-by-one (Figure 4). The calibration display consisted of a large white poster board with five cartoon images: a smiling star, a puppy, a blue fish, a flower, and a red apple. After I had approved each calibration point, the child was asked to follow my finger as it moved across the calibration display while I checked to see whether the eye-tracker was accurately representing the gaze location of the child. If I deemed this informal test of calibration accuracy to be sufficient, the experiment continued; if not, calibration was repeated.

d) Procedure. Upon entering, I introduced children to a "sticker chart" that would be used throughout the task to keep them motivated and prevent them from getting fatigued during the experiment, which lasted about 12 minutes. Each child was asked if they preferred to write their name or whether they wanted my help in doing so; this introductory sequence was used as an opportunity to build rapport with each individual child. After the name was written on the chart, the calibration phase began.

Once the calibration phase was finished, I removed the poster board and sat across 395 from the child. I then explained to the child that I had brought pictures of imaginary 396 creatures with me, and that I needed the child's help putting them back in their boxes. The 397 child then categorized six practice items: two items of a 90% morph, two items of a 55% 398 morph, and two items of a 95% morph. After the practice trials, the child completed 2 390 blocks of 7 test trials, each of which consisted of a 50% morph (completely ambiguous) and 400 two each of 60%, 70%, and 100% morphs (identical to the prototypes). The morphs were 401 presented in one of four pseudo-random orders that were counterbalanced across participants. 402 After the first two test blocks, the child was introduced to a new pair of prototype creatures 403 and asked to complete the same task with these new creatures. They then completed two new test blocks with the new set of creatures, consisting of the same ambiguity levels as the first two test blocks. In total, there were 6 practice and 28 test trials per child. In between each test or practice block, children got to choose a sticker for the chart they had made at the beginning of the experiment and were then reminded of the two prototype creatures at 408 each end of the perceptual continuum. Pilot testing indicated that this procedure invoked 400

differential levels of accuracy based on stimulus ambiguity and avoided performance
degradation by continually re-introducing the prototypes and offering a motivational reward
after each block of 7 trials.

Analysis. Each session was videotaped on a camera behind the experimenter's seat, 413 allowing for view of the entire experimental setup. I hand-coded accuracy and trial 414 onsets/offsets using DataVyu software (http://datavyu.org). I defined a trial as the span 415 of time between when the stimulus item became available to the child (i.e., the experimenter 416 let go of it) and when the item was deposited in a box. Accuracy was determined by whether 417 a child placed the stimulus item in the box corresponding to the prototype it was closer to 418 on the spectrum; therefore, stimuli that were an exact 50% morph of both prototypes were 419 not coded for accuracy. All stimuli, data, and analyses are available at https://github.com/benjamindemayo/soc ref category.

Results

23 Procedural and Manipulation checks

Table 1

Results from logistic regression model predicting children's categorization accuracy.

Predictor	Estimate	Std. Error	z value	p value	
Intercept	-1.00	0.47	-2.14	0.03	*
Morph	0.03	0.01	4.68	< .001	***
Age	-0.95	0.87	-1.10	0.27	
Morph * Age	0.02	0.01	1.43	0.15	

To validate the manipulation of category ambiguity in my procedure, I first examined
whether children's accuracy on the categorization task (i.e., whether they put each item in
the box of the prototype it was closer to) corresponded to the category ambiguity of each
stimulus item (Figure 5). Evidence from pilot testing indicated that children's accuracy on

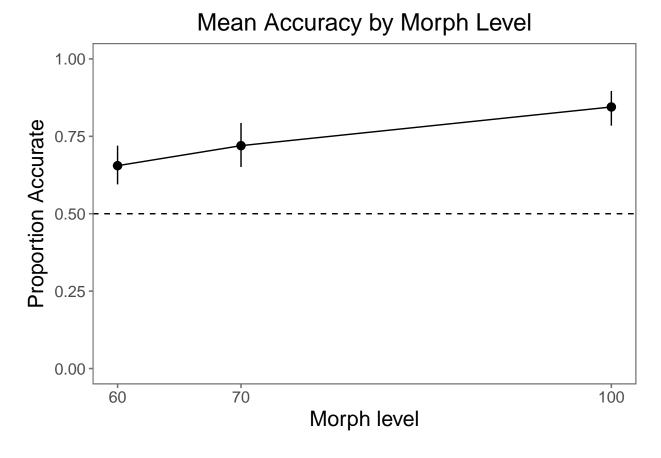


Figure 5. Categorization accuracy for each morph level. Error bars are 95 percent confidence intervals.

the task was highest for the least ambiguous stimuli, while children were least accurate when 428 categorizing most ambiguous stimuli. To confirm this finding of the effect of morph level on 429 accuracy, I first tried to fit the following logistic regression model to the data, which 430 accounted for random effects (such as participant and trial number) denoted here and 431 throughout the remainder of this report in parentheses: accuracy ~ morph * centered 432 age + (morph | participant) + (1 | trial). Because this model did not converge, I 433 pruned the model to remove the interaction effect between morph and participant, leaving 434 me with the following model structure: accuracy ~ morph * centered age + (1 | 435 participant) + (1 | trial). 436

I found morph to be a significant predictor of children's accuracy such that stimulus

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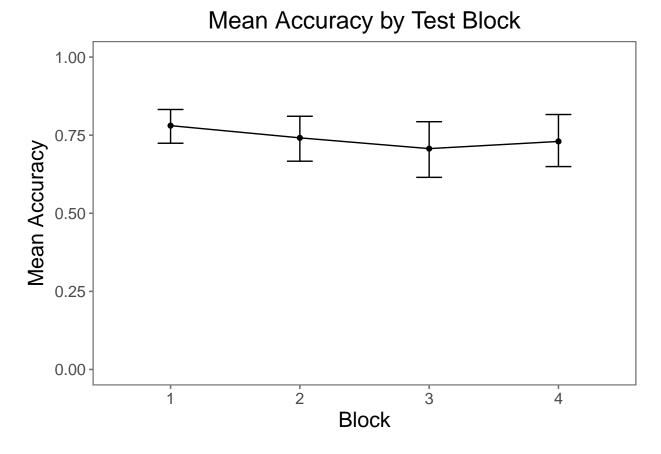


Figure 6. Categorization accuracy for each of four experimental blocks, suggesting that children's accuracy did not degrade throughout the task. Error bars are 95 percent confidence intervals.

items closer to the ends of the perceptual continuum were more likely to be categorized accurately, ($\beta = 0.03$, p < .001). These results demonstrate that the task was more difficult for children when they were tasked with categorizing more ambiguous stimuli.

Additionally, as shown in Figure 6, children's performance on the task remained consistent throughout the approximately 6 minute duration of test trials, indicating that children stayed engaged and motivated throughout the experiment. This is confirmed by the use of a logistic regression model of the structure accuracy ~ block * centered age + (1 | participant) + (1 | trial), which found no significant effects of block on children's accuracy (p values for each level of block > 0.13).

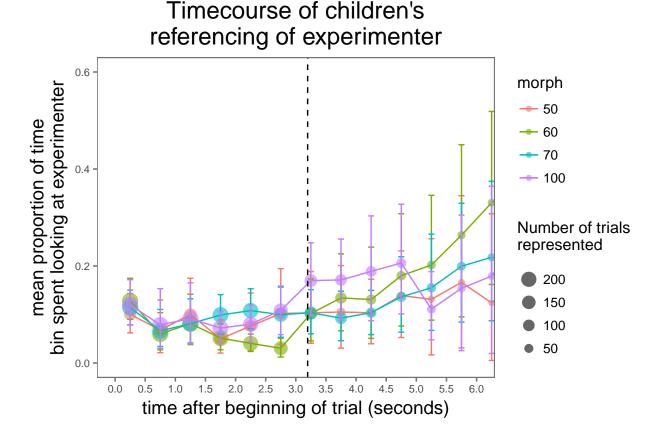


Figure 7. Timecourse of proportion looking at experimenter, in half-second intervals. Size of points indicates amount of trials represented in each point. Dashed vertical line is median trial length. Error bars are 95 percent confidence intervals.

I examined the average timecourse of children's looking patterns during trials to ensure 447 that data output from the eye-tracker was aligning appropriately with video data used to 448 hand-code the onsets and offsets of each trial. Figure 7 shows, for each half-second interval 449 after the beginning of the trial, the average proportion of that interval that children spent looking at the experimenter, split by morph level (median trial length = 3.20 seconds). Since the eye-tracking data collection method in this paradigm was relatively imprecise due to the 452 in-person interactive setup, any looks captured by the eye-tracker were considered to be a 453 look in the general direction of the experimenter; thus, the "Area of Interest" in this design 454 was defined as the entire spatial area for which the eye-tracker was calibrated. 455

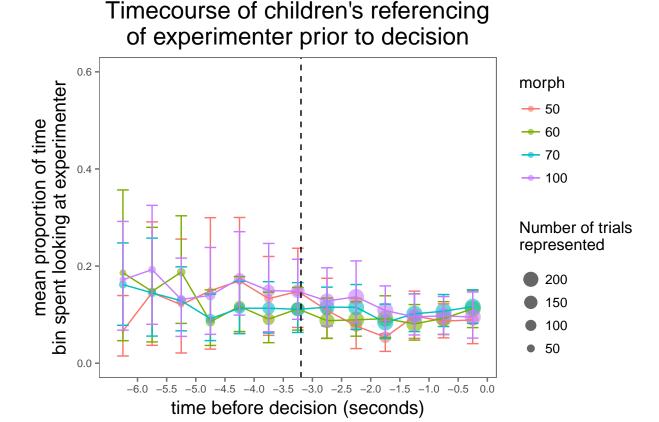


Figure 8. Timecourse of children's looking at experimenter prior to decision, in half second intervals. Error bars are 95 percent confidence intervals.

In general, Figure 7 shows that children tend to look slightly more at the experimenter 456 at the very beginning of the trial, perhaps since this is when they are receiving the stimulus 457 item from the experimenter. As trial length increases, so does the proportion of time in each 458 half-second interval that children spend looking at the experimenter, which may be in part 459 because longer trials frequently featured children asking questions and thus making eye-contact with the experimenter. Figure 8 shows the timecourse of children's looking in half-second intervals in relation to the end of the trial, which corresponds to the child's decision to place the stimulus item in one box or another. This plot shows a slight peak in 463 children's looking behavior approximately 2 - 3 seconds before a decision. The general 464 trajectories of children's eye-gaze in Figures 7 and 8 are similar for all levels of morph,

suggesting that differences in stimulus ambiguity did not lead to different patterns of looking
during trials (see section on Proportion of Trial Looking by Morph for statistical tests of this
result). Taken together, these plots provide some evidence that my data collection method
captured a systematic pattern of looking with respect to the onsets and offsets of trials.

Response Latencies

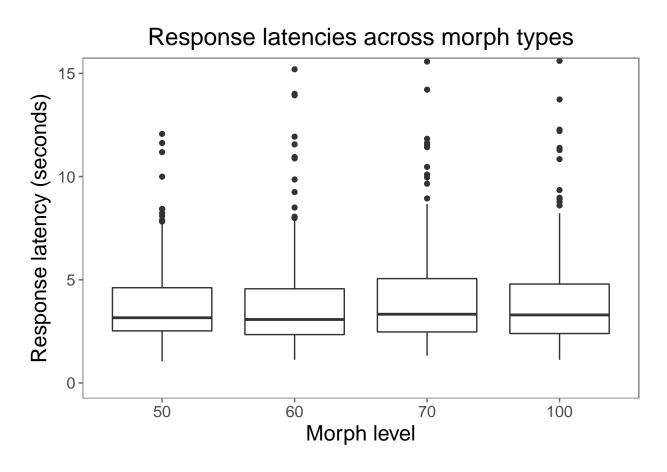


Figure 9. Response latencies (trial length), which did not differ across stimulus items of different morph levels.

I examined the distribution of children's response latencies across each of the four morph conditions as a potential measure of children's uncertainty on the task. Response latency is defined here as the amount of time between the child gaining access to the stimulus item and their action of depositing it in one of the boxes. Figure 9 illustrates that

there are no obvious differences between the distributions of response latencies for stimuli of different ambiguity levels. To confirm this finding, I used a linear mixed-effects model of the 476 following structure to examine whether morph was related to response latency: 477 trial length ~ morph * centered age + (morph | participant) + (1 | trial). I 478 found no effect of morph on response latency ($\beta = 0.00$, p = 0.60), indicating that children 479 did not differ in their response latency depending on the ambiguity of the stimulus item they 480 were asked to categorize. 481

Proportion of Trial Looking by Morph

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Did children selectively seek out more social information when tasked with categorize 483 more ambiguous stimulus items? I fit the data to a linear mixed-effects model to quantify 484 the effect of category ambiguity on proportion of trial spent looking at the experimenter, 485 along with any possible developmental trends. I first attempted to fit a linear mixed-effects 486 model with the following preregistered structure: proportion of trial spent looking ~ 487 morph * centered age + (morph | participant) + (1 | trial), with morph as a 488 continuous variable. This model failed to converge, and so was pruned to remove the 489 interaction effect between morph and participant. The resulting model had the following 490 structure: proportion of trial spent looking ~ morph * centered age + (1 | 491 participant) + (1 | trial). 492 I found no effect of stimulus ambiguity (morph level) on the proportion of trial that 493 children spent looking at the experimenter ($\beta = 0.00$, p = 0.26), indicating that children did 494 not selectively look longer at the exprimenter when faced with a more uncertain decision 495 (Figure 10). 496 As an exploratory analysis, I removed the age effect and interaction from the model, 497 leaving me with the following model structure: proportion of trial spent looking ~ 498 morph + (1 | participant) + (1 | trial). I again found no effect of morph on 490 proportion of trial duration spent looking ($\beta = 0.00$, p = 0.26).

Table 2
Results from linear mixed-effects model predicting the proportion of trial duration children spent looking at the experimenter.

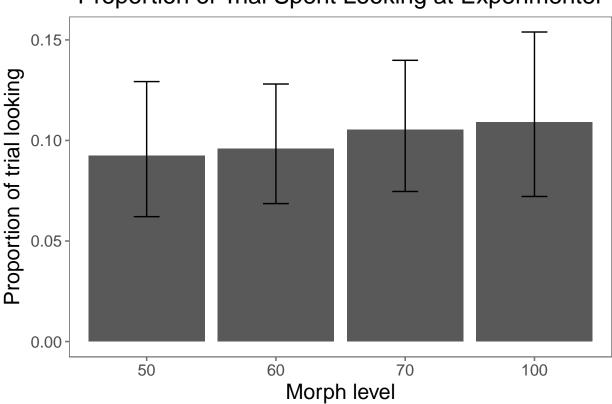
Predictor	Estimate	Std. Error	t value	p value	
Intercept	0.08	0.02	3.27	0	**
Morph	0.00	0.00	1.14	0.26	
Age	-0.04	0.05	-0.85	0.4	
Morph * Age	-0.00	0.00	-0.04	0.97	

To verify that the results were not being skewed by a few extremely long trials, I also visualized the same analysis while excluding trials that were two standard deviations longer or shorter than the mean trial length. The looking pattern across various morph levels when excluding extremely long trials (Figure 11) is almost identical to the looking pattern observed without excluding any trials (Figure 10), indicating that the presence of a few very long trials did not obscure a clearer pattern of referencing based on stimulus category ambiguity.

Narrowing the Area of Interest. As an exploratory analysis, I narrowed the dimensions of the area-of-interest (AOI) to only include looks to the middle half of the calibration area (in the horizontal direction) and in the top two-thirds of the calibration area (in the vertical direction) in the analysis (Figure 12). I used a linear mixed-effects model with the same structure as the previous analysis and found no effect of morph level on proportion of trial duration children spent looking to the experimenter within the constrained area of interest ($\beta = 0.00$, p = 0.18).

14 Proportion of Trial Looking by Task Accuracy

I also examined whether children's accuracy on the task was related to the proportion of time during trials children spent looking at the experimenter (Figure 13). To answer this question, I used a linear mixed-effects model with the following structure: proportion of



Proportion of Trial Spent Looking at Experimenter

Figure 10. Proportion of trial time spent looking at experimenter by morph level. Error bars are 95 percent confidence intervals.

trial spent looking ~ accuracy * centered age + (accuracy | participant) + (1 | trial). I found no effect of accuracy on proportion of time during trials spent looking at the experimenter ($\beta = -0.01$, p = 0.32), indicating that children overall did not look at the experimenter more on trials when they were inaccurate versus accurate.

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Figure 14 shows an exploratory analysis that illustrates an interesting trend: on trials of morph level 100, children who categorized the item incorrectly seemed to look more at the experimenter than those who categorized the item correctly. To confirm the presence of this pattern, I used the same linear mixed-effects model as described above to determine whether accuracy is related to the proportion of trial duration that children spent looking at the experimenter, but I limited the analyses to trials of morph level 100. When the model was

Proportion of Trial Spent Looking at Experimenter

Trials which are 2 standard deviations longer or shorter than mean trial length are excluded

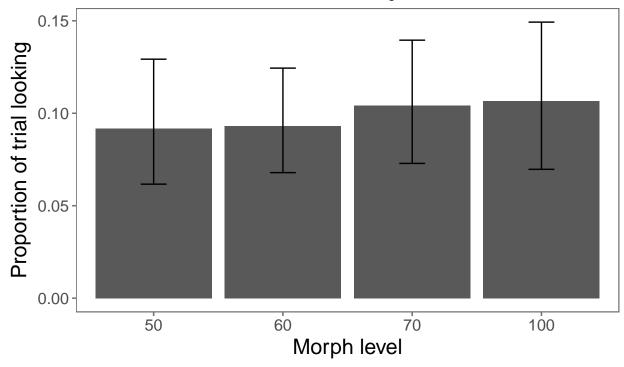


Figure 11. Proportion of trial time spent looking at experimenter by morph level, excluding trials that are 2 standard deviations longer or shorter than the mean trial length. Error bars are 95 percent confidence intervals.

restricted to these morph-level-100 trials, I found that children looked at the experimenter for a significantly higher proportion of the trial when categorizing the items inaccurately versus accurately ($\beta = -0.07$, p = 0.02). However, this result should be interpreted with caution, since there were relatively very few trials in which participants inaccurately categorized stimuli of morph level 100 (n = 36).

Discussion

In this study, I set out to understand whether children are sensitive to graded levels of uncertainty, and whether they would adjust their social-information seeking on the basis of this uncertainty. Previous research suggested that, while young children typically fail

Proportion of Trial Spent Looking at Experimenter (Constrained Area of Interest)

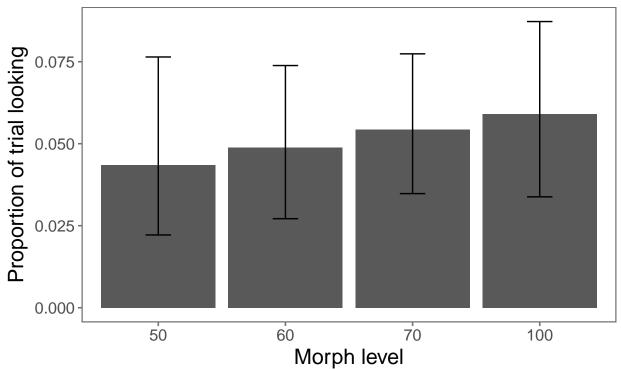


Figure 12. Proportion of trial time spent looking at experimenter by morph level, constraining the area of interest to the middle half of the calibration area in the horizontal direction and the top two-thirds of the calibration area in the vertical direction. Error bars are 95 percent confidence intervals.

uncertainty monitoring tests that require explicit or verbal responses (Flavell et al., 1981;
Markman, 1977), they explore environments in order to maximize their learning about the
world (Schulz & Bonawitz, 2007) and ask questions in order to extract useful information
from knowledgeable social partners (Chouinard, Harris, & Maratsos, 2007). These seemingly
conflicting findings indicate that children's uncertainty monitoring capacities can be
observed through spontaneous information-seeking behaviors before children can explicitly
report on these capacities.

Indeed, several studies have shown that, beginning in infancy, children seek out

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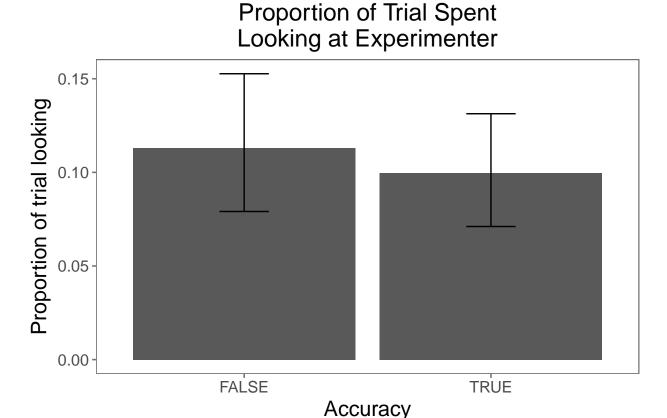


Figure 13. Proportion of time during trials spent looking at experimenter by children's category accuracy. Error bars are 95 percent confidence intervals.

information in ways that seem to be driven by uncertainty (Goupil, Romand-Monnier, & 545 Kouider, 2016; Vaish et al., 2011). Of particular interest to the current work, several studies 546 have shown children's engagement in selective information-gathering when other people are 547 the source of disambiguating information. When children seek information from the social 548 world, the resulting interactions seem to reflect that the child is sensitive to their own 549 uncertainty; moreover, prior research suggests that they leverage this sensitivity in order to 550 strategically reference others when they need information, and do so less when they do not 551 need information (Kushnir, Vredenburgh, & Schneider, 2013; Vredenburgh & Kushnir, 2016). 552

While prior work has shown that children spontaneously seek out social information on 553 the basis of epistemic uncertainty, I aimed here to understand more about the relationship

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Table 3

Results from linear mixed-effects model predicting the proportion of trial duration children spent looking at the experimenter from trial accuracy.

Predictor	Estimate	Std. Error	t value	p value	
Intercept	0.11	0.02	6.60	< .001	***
Accuracy (True)	-0.01	0.01	-1.01	0.32	
Age	-0.09	0.03	-2.58	0.02	*
Morph * Age	0.05	0.02	1.98	0.06	

between children's social-information gathering and their sensitivity to graded levels of 555 uncertainty. Empirical evidence has suggested that children may possess some sensitivity to 556 graded evidence and intermediate levels of uncerainty in the environment, in such contexts 557 as "partial exposure" tasks (Call & Carpenter, 2001; Kloo et al., 2017) and word learning 558 situations (Hembacher et al., 2017). Overall, however, very little is known about children's 550 sensitivity to graded levels of uncertainty. It could be that children only show differential 560 levels of spontaneous information-seeking from the social world when faced with situations of 561 complete certainty versus complete ignorance. 562

Several aspects of this study design differ from previous research which investigated children's social information-gathering and uncertainty monitoring. Although this type of live-eye tracking paradigm has been used in numerous studies, particularly those investigating social gaze patterns in children with autism, it is still exceedingly rare among empirical research in developmental psychology. My principal motivation for using this eye-tracking setup here was to obtain information about the duration of children's gaze shifts to a social partner in a continuous interaction, which is difficult to quantify solely through a hand-coding mechanism. Moreover, to my knowledge, children's uncertainty as it relates to graded category ambiguity has not been previously investigated. In the current study, asking children to categorize ambiguous stimuli allowed me to experimentally induce conditions of

Proportion of Trial Spent Looking at Experimenter by Accuracy and Morph

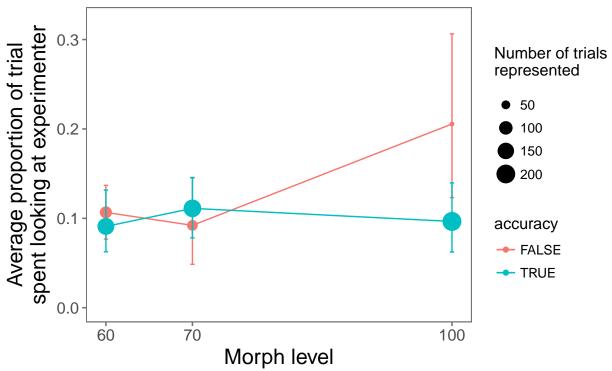


Figure 14. Proportion of trial duration spent looking at the experimenter, by morph level and accuracy. When categorizing items of morph level 100 (exact prototypes), children who were inaccurate tended to look at the experimenter more.

573 graded uncertainty.

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Given existing evidence of children's sensitivity to their own uncertainty, and their tendency to adjust their social interactions to selectively seek out information when faced with uncertainty, I hypothesized here that children would show a looking pattern that would indicate sensitivity to graded uncertainty, and would selectively seek more social information on trials in which they were tasked with categorizing more ambiguous stimuli. Contrary to my hypothesis, I found that children did not differentiate between trials of different morph levels in their amount of looking to the experimenter. A linear mixed-effects model demonstrated that stimlus ambiguity had no effect on the proportion of each trial that

children spent looking at the experimenter. Thus, the data do not explicitly show that 582 children possess sensitivity to graded levels of uncertainty, nor do they show that children 583 strategically adjust the amount of social information they seek out on the basis of subjective 584 uncertainty. If either of these constructs were visible in the data, then I would have observed 585 a larger proportion of trial time spent looking at the experimenter when the stimulus items 586 were more ambiguous. I did find a small difference in looking behaviors when children were 587 categorizing the most unambiguous stimuli, such that children looked longer when they 588 categorized these items incorrectly than they did when they categorized them correctly. I 589 have no strong hypothesis as to why this trend appears in the data, although it may be 590 related to the fact that children who categorized these items incorrectly were overall more 591 uncertain during the task, and therefore engaged with the experimenter more often to ask for 592 help. Regardless of the explanation for this finding, I encourage interpreting it with caution, since such a small number of children miscategorized the morph-100 level stimuli.

Interestingly, I also found no difference in looking behaviors even between trials which
were completely ambiguous (morph level 50) and trials which were identical to the prototypes
shown to children at the beginning of each experimental block (morph level 100). This result
is particularly striking given that children were significantly less accurate in categorizing
more ambiguous items, indicating that task difficulty was not uniform across trials. This
finding contrasts that of Hembacher, deMayo, and Frank (2017), who found that, in a
word-learning task, children's social information gathering was closely linked to referential
ambiguity. What might be the origin of the discrepancy between these two findings?

One possibility is that in this task, given the novelty and peculiarity of the stimulus items, children found the most fruitful source of disambiguating information to be the stimulus items themselves, as opposed to an experimenter seated across from them who gave no feedback on their performance. In this study, children may have found that looking at the images of the stimuli could be potentially useful towards the goal of accurately categorizing them. Conversely, in the paradigm used by Hembacher, et al. (2017), it was unlikely that

extensive visual examination of two completely novel objects would yield any helpful clues to
the child as to which of the objects was the referent of a novel label that they had just heard
produced moments before. Thus, children may have been seeking visual information from
other, more informative sources than the experimenter's face in this task.

Another possibility is that the task proceeded too swiftly to facilitate children's looking
at the experimenter during individual trials. Other studies that have examined children's
visual sampling for social information (e.g., Vaish et al., 2011) have measured children's
looking patterns over longer spans of time, whereas my design measured children's looking
patterns during trials that had a median length of 3.20 seconds. Below, I discuss the broader
methodological limitations of the current work that may have impacted my ability to detect
an effect of graded category ambiguity on children's social information-gathering.

620 Limitations

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The current work has several limitations that should encourage caution against 621 interpreting this null result as an indication of children's inability to monitor graded levels of category uncertainty and seek out social information accordingly. First and foremost, my data collection method – the use of an eye-tracker to measure children's gaze patterns in a 624 live person-to-person interaction – provided imprecise data that only allowed me to make a 625 rough estimate of whether or not the child was looking at the experimenter. This type of 626 eye-tracking method is challenging to execute because it requires children to be very still 627 during an extended calibration phase, and is particularly sensitive to children's body 628 movements and any small fluctuations in the experimental setup. Future work should strive 629 for greater precision from this type of data-collection method. Furthermore, as mentioned 630 above, this task moved quickly; a task that proceeds a slower pace may optimize the 631 researcher's ability to detect an effect of category ambiguity on children's social gaze 632 patterns. 633

Additionally, it is not possible to know with complete certainty what type of

information children were seeking or expecting when they shifted their gaze to the
experimenter. I operate under the assumption that children's foremost reason for looking
was a search for disambiguating information, but children may also have looked at the
experimenter because they were expecting a certain action (i.e., being handed the next item
in the game), asking a question unrelated to their own uncertainty, or were simply compelled
to look at their social partner because faces are interesting to look at and particularly salient
in social scenes.

Finally, this study was performed with a convenience sample of children from a preschool in an upper-middle/upper class neighborhood consisting of mostly white and Asian families. Since this sample is not demographically representative of the broader population, it is possible that some of the eye-gaze patterns I observed were sample-specific, given that eye contact between social partners has been shown to be modulated by cultural norms (Akechi et al., 2013). Nevertheless, the preschool from which I recruited this sample makes a concerted effort to reach out to underrepresented families in order to maintain a diverse classroom environment.

50 Conclusion and Future Work

The results of the current study do not provide a conclusive answer to the question I 651 posed at the outset of whether children are sensitive to graded levels of uncertainty and 652 adjust their social information-gathering according to this uncertainty. Contrary to my 653 initial hypothesis, children did not show differential levels of looking to the experimenter when categorizing items whose category ambiguity varied along a spectrum. However, this could have been a task-specific result given the pace and novelty of the procedure children were being asked to complete. Future work can improve on this design by allowing for a 657 larger temporal observation window through which to gauge children's social-information 658 gathering, removing some of the memory demands present throughout this task to exagerrate 659 the difference between "difficult" and "easy" trial items, and by implementing a method of

tracking gaze to a social partner in a more precise manner than what was achieved here.

In sum, the current work illustrates that, in a category-discrimination context, children do not show obvious signs of adjusting their social-information gathering behaviors on the basis of graded uncertainty. However, the possibility still exists that children do possess a sensitivity to intermediate levels of category ambiguity, and would show this sensitivity in different contexts. This study therefore provides a theoretical and methodological framework from which other researchers can expand in order to better understand the development of children's uncertainty monitoring and social information-seeking in early childhood.

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