

# Assignment 6

## 1 Solution Set

### 1.1 Q1

We assume the syntax and semantics for TAE as defined in the slides for topic 4 and 7. We define the syntax for a *logical and* operator, and *arithmetic addition* operator with the following syntax in EBNF:

```
t ::= ...
    | and t t
    | plus t t
```

```
v ::= ...
nv ::= ...
T ::= ...
```

Where **t** defines terms, **v** values, **nv** numerical values and **T** the set of types for TAE as given in the slides. We add our logical and operator **and**, as well as our arithmetic addition operator **plus** to the definition of **t**.

We use the same set of types **T** as defined in slide set 7 and assume any previously defined typing rules hold.

(a) We define the following typing rules for our logical and operator **and**:

$$\frac{t_1 : \text{Bool} \quad t_2 : \text{Bool}}{\text{and } t_1 \ t_2 : \text{Bool}} \quad (\text{T-And})$$

(b) We define the following typing rules for our arithmetic addition operator **plus**:

$$\frac{t_1 : \text{Nat} \quad t_2 : \text{Nat}}{\text{plus } t_1 \ t_2 : \text{Nat}} \quad (\text{T-Plus})$$

## 1.2 Q2

We will prove the property that every subterm of a well-typed term is also well-typed, for all terms in TAE. Formally, if  $t_1$  is a subterm of term  $t : \alpha$ , then we have  $t_1 : \beta$  with  $\alpha, \beta \in \mathbf{T}$ ,  $\mathbf{T}$  the set of types in TAE. We will prove this property holds by induction on the typing derivation  $t : \alpha$ . We consider the typing derivations defined in the slides for topic 7.

*Base case.* The base case denotes typing derivations on terms for which there does not exist any sub-typing derivations. The possible typing derivations are of the following:

**Case:** T-True, T-False, T-Zero

If T-True is the final typing derivation we know  $t = \mathbf{true}$  and  $\mathbf{T} = \mathbf{Bool}$ . Since  $\mathbf{true}$  does not have any subterms, the left hand side of our implication is false, thus our property is vacuously true. We can apply the same argument for T-False and T-Zero.

Thus, our base case holds.

*Induction step.* We assume that for all typing sub-derivations the above property holds. We will prove that for the final typing derivation our property holds. The typing derivation must be one of the following:

**Case:** T-If

If T-If was the last typing derivation we know  $t = \mathbf{if } t_1 \mathbf{ then } t_2 \mathbf{ else } t_3 : \mathbf{T}$ , and have the premises that  $t_1 : \mathbf{Bool}$ ,  $t_2 : \mathbf{T}$  and  $t_3 : \mathbf{T}$ . By our induction hypothesis we know that if  $t_1$  is well typed then all of its subterms are well-typed. Since  $t_1 : \mathbf{Bool}$  we have that all subterms of  $t_1$  are well-typed. We can apply the same argument for  $t_2$  and  $t_3$ . Thus, all subterms of  $t_1$ ,  $t_2$  and  $t_3$  are well-typed. Therefore for our term  $t : \mathbf{T}$  we've shown  $t_1$ ,  $t_2$  and  $t_3$  are well-typed by our premise, and all subterms of  $t_1$ ,  $t_2$  and  $t_3$  are well-typed, thus all subterms of  $t$  must be well-typed. Therefore our property holds for the T-If case.

**Case:** T-Pred

If T-Pred was the last typing derivation we know  $t = \mathbf{pred } t_1 : \mathbf{Nat}$ , and have the premise that  $t_1 : \mathbf{Nat}$ . By our induction hypothesis and since we know that  $t_1$  is well-typed because of our premise, we know that all subterms of  $t_1$  are also well-typed. Thus, for our term  $t : \mathbf{Nat}$  we've shown that  $t_1$  is well-typed and all subterms of  $t_1$  are well-typed, therefore all subterms of  $t$  are well typed. Therefore our property holds for the T-Pred case.

**Case:** T-Succ

We can prove the T-Succ case similar to T-Pred. If T-Succ is the last typing derivation we know  $t = \mathbf{succ } t_1 : \mathbf{Nat}$ , and we have the premise that  $t_1 : \mathbf{Nat}$ . By supplying our induction hypothesis with our premise that  $t_1$  is well-typed we have that all subterms of  $t_1$  are also well-typed. Thus, for our term  $t : \mathbf{Nat}$  we've shown that  $t_1$  is well-typed and all subterms of  $t_1$  are well-typed, therefore all subterms of  $t$  are well typed. Therefore our property holds for the T-Succ case.

**Case: T-IsZero**

We can prove the T-IsZero case similar to T-Pred. If T-IsZero was the last typing derivation we know  $t = \text{iszero } t_1 : \text{Bool}$ , and have the premise that  $t_1 : \text{Nat}$ . By our induction hypothesis and since we know that  $t_1$  is well-typed because of our premise, we know that all subterms of  $t_1$  are also well-typed. Thus, for our term  $t : \text{Bool}$  we've show that  $t_1$  is well-typed and all subterms of  $t_1$  are well-typed, therefore all all subterms of  $t$  are well typed. Therefore our property holds for the T-IsZero case.

We have shown that for all possible typing derivations over  $t$  over property holds. Therefore our induction step holds.

Thus, we've shown that every subterm of a well-typed term is also well-typed, for all terms in TAE.  $\square$

### 1.3 Q3

We will prove the property of preservation holds for all terms in TAE. Formally, for terms  $t, t'$  and type  $T$  in TAE the following holds:

$$t : T \wedge t \rightarrow t' \implies t' : T$$

We will prove the property of preservation holds by induction on the derivation  $t \rightarrow t'$ .

*Base case.* The base case denotes the final derivation where  $t \rightarrow t'$  will yield a value instead of a term evaluatable by a sub-derivation. The possible derivations that yield a value are of the following:

**Case: E-IfTrue, E-IfFalse**

If E-IfTrue was the last derivation and yields a value, we know  $t = \text{if true then } t_2 \text{ else } t_3$  and  $t' = t_2$  with  $t_2$  being some value. The only typing rule we can apply to  $t$  is T-If, thus we know  $t : T$ ,  $t_2 : T$  and  $t_3 : T$  with  $T$  a type in TAE. Since  $t : T$  and  $t_2 = t' : T$  for the derivation  $t \rightarrow t'$  of E-IfTrue our, property holds. We can prove E-IfFalse using the same argument, swapping  $t' = t_3$ . Thus, our property of preservation holds for E-IfTrue and E-IfFalse.

**Case: E-PredZero**

If E-PredZero was the last derivation we immediately know  $t = \text{pred } 0$  and  $t' = 0$ , with  $t'$  a value. By T-Pred we immediately know  $t : \text{Nat}$ , and by T-Zero we know  $t' : \text{Nat}$ . Thus, our property of preservation holds for the E-PredZero case.

**Case: E-PredSucc**

If E-PredSucc was the last derivation we know  $t = \text{pred } (\text{succ } t_2)$  and  $t' = t_2$ , where  $t_2$  is a numerical value. The only typing rule we can apply to  $t$  is T-Pred, thus we know  $t : \text{Nat}$

and  $\text{succ } t_2 : \text{Nat}$ . By the inversion lemma we know  $\text{succ } t_2 : R \implies R = \text{Nat} \wedge t_2 : \text{Nat}$ . Therefore we know  $t : \text{Nat}$  and  $t_2 = t : \text{Nat}$  for the derivation  $t \rightarrow t'$  of E-PredSucc. Thus, our property holds.

**Case:** E-IsZeroZero

If E-IsZeroZero was the last derivation we immediately know  $t = \text{iszero } 0$  and  $t' = \text{true}$ , with  $t'$  a value. We can only apply T-IsZero to  $t$ , thus we know  $t : \text{Bool}$ , and by T-True we know  $t' : \text{Bool}$  as well. Thus, our property of preservation holds for the E-IsZeroZero case.

**Case:** E-IsZeroSucc

If E-IsZeroSucc was the last derivation we know  $t = \text{iszero } (\text{succ } t_2)$  with  $t_2$  a numerical value, and we know  $t' = \text{false}$ . The only typing rule we can apply to  $t$  is T-IsZero, thus we know  $t : \text{Bool}$ , and by T-False we know  $t' : \text{Bool}$  as well. Therefore we know  $t : \text{Bool}$  and  $t' : \text{Bool}$  for the derivation  $t \rightarrow t'$  of E-IsZeroSucc. Thus, our property holds.

Thus, our base case holds.

*Induction step.* We assume that for all sub-derivations of  $t \rightarrow t'$  the property of preservation holds. We will prove that for all possible derivations  $t \rightarrow t'$  the property holds. The derivation  $t \rightarrow t'$  must be one of the following:

**Case:** E-IfTrue, E-IfFalse

We can prove the cases of E-IfTrue and E-IfFalse in exactly the same manner as for the base case, this time assuming that the derivation  $t \rightarrow t'$  for E-IfTrue and E-IfFalse do not yield a value, thus  $t'$  is not a value. Thus, our property holds for the case E-IfTrue and E-IfFalse

**Case:** E-If

If E-IF was the last derivation, we know  $t = \text{if } t_1 \text{ then } t_2 \text{ else } t_3$ ,  $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$  and we have the premise that  $t_1 \rightarrow t'_1$ . The only typing rule we can apply to  $t$  is T-If. From T-If we know  $t_1 : \text{Bool}$ ,  $t_2 : T$ ,  $t_3 : T$  and  $t : T$  with  $T$  a type in TAE. By our induction hypothesis we have  $t_1 : T \wedge t_1 \rightarrow t'_1 \implies t'_1 : T$ . Since we have the premises  $t_1 : \text{Bool}$  and  $t_1 \rightarrow t'_1$  we can conclude  $t'_1 : \text{Bool}$ . Since  $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$ , with  $t'_1 : \text{Bool}$ ,  $t_2 : T$  and  $t_3 : T$ , by T-If we can show  $t' : T$ . Thus, we've shown the property of preservation holds if the last derivation is E-If.

**Case:** E-Succ

If E-Succ was the last derivation, we know  $t = \text{succ } t_1$ ,  $t' = \text{succ } t'_1$  and have the premise that  $t_1 \rightarrow t'_1$ . Since  $t = \text{succ } t_1$ , we can only apply the typing derivation T-Succ. From T-Succ we know  $t : \text{Nat}$  and have the premise  $t_1 : \text{Nat}$ . By our induction hypothesis we have  $t_1 : T \wedge t_1 \rightarrow t'_1 \implies t'_1 : T$ . Since we have the premises  $t_1 : \text{Nat}$  and  $t_1 \rightarrow t'_1$  we can conclude  $t'_1 : \text{Nat}$ . We now know  $t_1 : \text{Nat}$  and  $t'_1 = \text{succ } t'_1$ , then by T-Succ we can

show  $t' : \mathbf{Nat}$ . Thus, we've shown the property of preservation holds if the last derivation is E-Succ.

**Case:** E-Pred

We proceed in the same manner as with E-Succ. If E-Pred was the last derivation, we know  $t = \mathbf{pred} \, t_1$ ,  $t' = \mathbf{pred} \, t'_1$  and have the premise that  $t_1 \rightarrow t'_1$ . Since  $t = \mathbf{succ} \, t_1$ , we can only apply the typing derivation T-Pred. From T-Pred we know  $t : \mathbf{Nat}$  and have the premise  $t_1 : \mathbf{Nat}$ . By our induction hypothesis we have  $t_1 : \mathbf{T} \wedge t_1 \rightarrow t'_1 \implies t'_1 : \mathbf{T}$ . Since we have the premises  $t_1 : \mathbf{Nat}$  and  $t_1 \rightarrow t'_1$  we can conclude  $t'_1 : \mathbf{Nat}$ . We now know  $t_1 : \mathbf{Nat}$  and  $t'_1 = \mathbf{pred} \, t'_1$ , then by T-Pred we can show  $t' : \mathbf{Nat}$ . Thus, we've shown the property of preservation holds if the last derivation is E-Pred.

**Case:** E-IsZero

We can prove the E-IsZero case in similar fashion to the E-Succ case. If E-IsZero was the last derivation, we know  $t = \mathbf{iszero} \, t_1$ ,  $t' = \mathbf{iszero} \, t'_1$  and have the premise that  $t_1 \rightarrow t'_1$ . Since  $t = \mathbf{iszero} \, t_1$ , we can only apply the typing derivation T-IsZero. From T-IsZero we know  $t : \mathbf{Bool}$  and have the premise  $t_1 : \mathbf{Nat}$ . By our induction hypothesis we have  $t_1 : \mathbf{T} \wedge t_1 \rightarrow t'_1 \implies t'_1 : \mathbf{T}$ . Since we have the premises  $t_1 : \mathbf{Nat}$  and  $t_1 \rightarrow t'_1$  we can conclude  $t'_1 : \mathbf{Nat}$ . We now know  $t_1 : \mathbf{Nat}$  and  $t'_1 = \mathbf{pred} \, t'_1$ , then by T-IsZero we can show  $t' : \mathbf{Bool}$ . Thus, we've shown the property of preservation holds if the last derivation is E-IsZero.

We've shown our property holds over all cases. Therefore our induction step holds.

We have shown that for all possible derivations  $t \rightarrow t'$  our property of preservation holds, thus we've shown the property of preservation holds for all terms in TAE.  $\square$