

Intramolecular Hole–Transfer in Protonated Anthracene

Benjamin A. Laws,[†] Olha Krechkivska,[†] Callan M. Wilcox,[†] Klaas Nauta,[†] Scott H. Kable,[†] and Timothy W. Schmidt^{*,†,‡}

[†]*School of Chemistry, University of New South Wales, Sydney NSW 2052, Australia*

[‡]*ARC Centre of Excellence in Exciton Science, Australia*

E-mail: timothy.schmidt@unsw.edu.au

Abstract

doo doo doo

Introduction

Charge transfer between two different molecules in an excited electronic state is at the heart of photosynthesis. This process is also mimicked in artificial devices which generate solar energy. In both organic photovoltaics (OPV) and dye-sensitized solar cells, following photon absorption, the excited state migrates and undergoes a charge transfer event. A detailed understanding of charge separation in OPV is hampered, in part, by the impossibility of detailed quantum chemical calculations on such a large system. In OPV devices, the electrons and holes are then conducted to metallic electrodes through a series of inter and intramolecular electron and hole-transfer processes.

Gas-phase laser spectroscopy offers the possibility to interrogate a well-defined, cold, isolated chemical system which is tractable at quantitative levels of electronic structure theory. To study photo-induced hole-transfer, a charged species must be studied, which engenders further experimental difficulties. Cations can be introduced into the gas phase by electrospray ionization, but the resulting species are not cold. Jet-cooled molecules can be ionized in the expansion region, but unless the ionization is at threshold, requiring tunable vacuum ultraviolet radiation, excess energy can be deposited in the cation. Techniques capable of studying jet-cooled, isomer-selected, cold cations are thus desirable.

The C_{60}^+ cation was recently studied by the photodissociation of its adduct with helium atoms in a 22-pole cryogenic ion trap. This technique affords a spectrum with a very small shift from the true gas phase spectrum. For C_{60}^+ cation this was sufficiently small to confirm the presence of C_{60}^+ in interstellar space.¹ Recently, we reported a triple-resonance technique whereby nascent cations were resonantly photodissociated following preparation by a resonant 2-colour 2-photon ionization process, at threshold. The result was an unam-

ambiguous spectrum of protonated naphthalene, isomer selected and vibrationally cold.² Here we extend this treatment to protonated anthracene, which demonstrates symmetry-breaking in the excited state along a Marcus-Hush-like charge transfer coordinate.

Protonated anthracene has been studied previously, as its relatively high photostability³ and abundance in the interstellar medium (ISM) suggest it is a promising diffuse interstellar band (DIB) carrier candidate.⁴ However, previous reports are neither at rigorously low vibrational temperature, nor isomer-selected. Alata *et al.* measured the photo-fragmentation spectra of protonated anthracene, which was created (along with other PAHs) in an electrical discharge containing H₂.⁵ Through comparison to RI-MP2 calculations, structure observed around 491 nm was assigned to the S₀ → S₁ transition of the 9H-An+ isomer. A subsequent absorption measurement of protonated anthracene held in a cryogenic matrix by Garkusha *et al.* exhibited several spectral bands across the 400-500nm region.⁶ They instead assigned the structure around 491 nm to the S₀ → S₂ transition of the 1H-An+ isomer, based on TD DFT B3LYP calculations. A recent computational study has suggested the initial assignment to the 9H-An+ isomer was correct, however this discrepancy highlights the need for isomer selectivity.⁷ Electronic spectra of protonated anthracene have also been measured via helium-tagging action spectroscopy, however this technique is also limited by isomer non-selectivity and spectral resolution.⁴

In this study, we prepare 9-hydroanthracenium cations exclusively in their vibrational ground state by 2-color resonant ionization of the corresponding 9-dihydroanthracenyl radical. Subsequent resonant photodissociation of the cation reveals the isomer-selected S₀ → S₁ spectrum of 9-hydroanthracenium. We show that the C_{2v} ground state undergoes symmetry breaking in the excited state along a b₂ (in-plane) mode, effectively localizing the positive charge on one end of the molecule. This coordinate thus represents the abscissa of a Marcus diagram, and the excitation spectrum is interpreted from this standpoint.

Theoretical Considerations

Anthracene protonated at the 9-position is considered C_{2v} until proven otherwise. If the sp^3 carbon is considered as an insulator, the chromophore will consist of two aromatic rings linked by an sp^2 -hybridized bridging carbon. The frontier orbitals of the aromatic rings can be taken in even and odd combinations, resulting in sets of b_1 and a_2 symmetry. Only the b_1 set can interact with the bridge, which is also b_1 . Of the highest occupied aromatic b_1 orbitals, one will have a node at the tertiary carbon atom adjacent to the bridge, and is not expected to be strongly perturbed. The other mixes with the bridging p-orbital and is lowered in energy. This results in two relatively unperturbed aromatic, symmetry-adapted orbitals of a_2 and b_1 symmetry. The lowest-energy unoccupied orbital is largely located on the bridge. The frontier orbitals are shown in Figure 1.

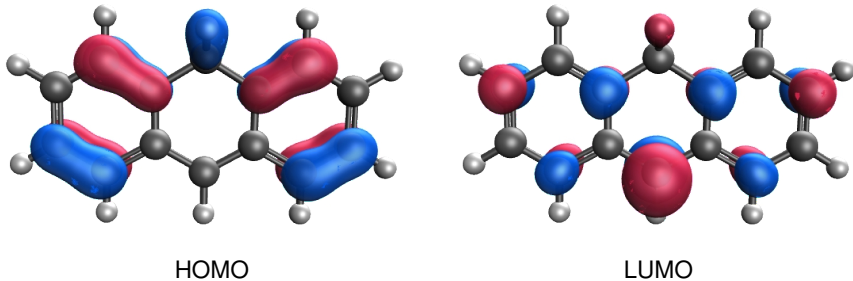


Figure 1: Frontier orbitals of 9H-An⁺ calculated at the M06-2X/cc-pvtz level. The HOMO has a node at the tertiary carbon adjacent to the sp^2 bridge, with electron density largely localised on the aromatic rings. Conversely, the LUMO is largely located on the bridging carbon. Therefore, the HOMO-LUMO transition represents electron transfer from the aromatic rings to the bridge.

The HOMO-LUMO transition of the cation is thus electron transfer from the aromatic rings to the bridge, corresponding to hole-transfer from the bridge onto the aromatic rings. This brings about two near-degenerate transitions to states of A_1 and B_2 symmetry. Distortion along a b_2 mode will reduce the point group to C_s and render both these excited states

A' . The interaction between these two states could potentially push the lower state to an energy below that of the C_{2v} geometry.

This was confirmed by quantum chemical calculations presented in this work. The ground state geometry was calculated at both the M06-2X/cc-pvtz and CCSD/cc-pvdz levels of theory, with both calculations converging to a planar C_{2v} geometry. All frequencies were found to be real, indicating a true minimum energy. Corresponding TDDFT and EOM-CCSD excited state calculations were also computed for the S_1 state, which converged to a planar C_s geometry. This geometry arises from the charge transfer from the bridge onto one of the aromatic rings, causing an in-plane b_2 distortion. To interrogate this charge transfer process, a transition state search on the S_1 surface was also carried out at the M06-2X/cc-pvtz level using the Berny algorithm of Gaussain16. This found a C_{2v} geometry with one imaginary frequency, 35 cm^{-1} above the C_s ground state.

The pathway from the C_{2v} to C_s geometry can be described by the intrinsic reaction coordinate (IRC). This was calculated in both the forward and reverse directions using Gaussian16 software and the HPC algorithm, calculating force constants at each step along the path. This produced a shallow double well potential, as shown in Figure 2(a). The potential energy surface is shown across both the forward and reverse IRC directions, highlighting the C_{2v} saddle point and the two C_s global minima. The coordinate between the minima represents the charge hopping between the two aromatic rings. The higher excited S_2 and S_3 electronic state energies were also calculated along the IRC coordinate, as shown in Figure 2(b). The surfaces resemble an avoided crossing, which suggests there may be a strong adiabatic interaction between the $S1$ (1A_1) and $S2$ (1B_2) states.

To visualise the charge transfer, electrostatic potential surfaces were evaluated at both the C_{2v} and C_s geometries, as shown in Figure 3. The surfaces show that the positive charge largely resides on the sp^2 bridge at the ground state C_{2v} geometry. During excitation to the $S1$ state, the hole hops onto one of the aromatic rings, where it remains localised at the excited C_s geometry. This demonstrates that the shallow double well represents the abscissa

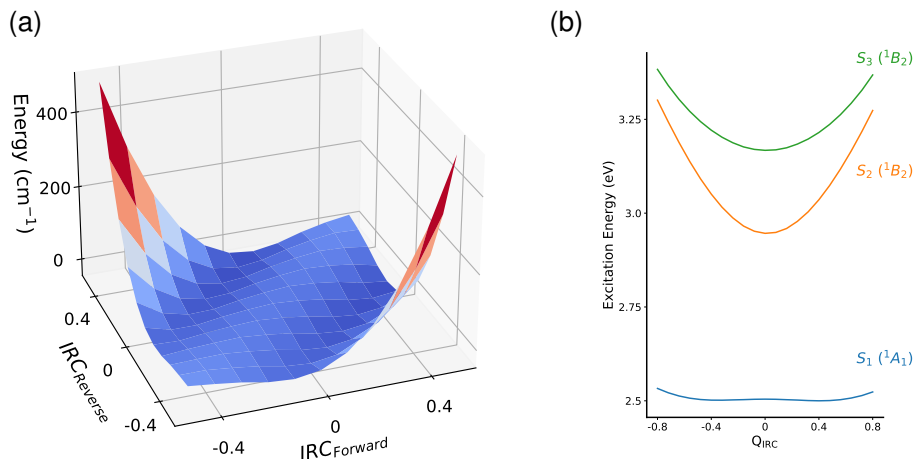


Figure 2: (a) A 2D representation of the S_1 excited state surface of 9H-An⁺, along the forward and reverse IRC coordinates. The surface consists of 100 grid points calculated at the M06-2X/cc-pvtz level. The surface shows the two global minima, connected by a shallow (35 cm⁻¹) saddle point. (b) Higher excited state potential energy curves along a single IRC coordinate. The large energy separation between the S_1 and S_2 states, combined with the double well potential, reflects a two-state avoided crossing model. This suggests the presence of a strong adiabatic interaction between the S_1 and S_2 surfaces.

of a Marcus charge transfer diagram, making protonated anthracene an excellent prototype for the study of intramolecular hole–transfer.

Results and Discussion

9H-An⁺

The triple resonant photodissociation spectrum of protonated anthracene is shown in Figure 4. The cations were prepared by resonantly ionizing the neutrals at threshold, and as such we can be certain that the spectra correspond to protonation (deuteronation) at the 9-position, the C_{2v} species. The spectra reveal a number of progressions, possibly indicating a large geometry change between the ground and excited states of the cation. While the lowest energy geometry for the excited state possess C_s symmetry, we may treat the excited state as approximately C_{2v} as the transition state barrier (35 cm⁻¹) is below the zero point energy.

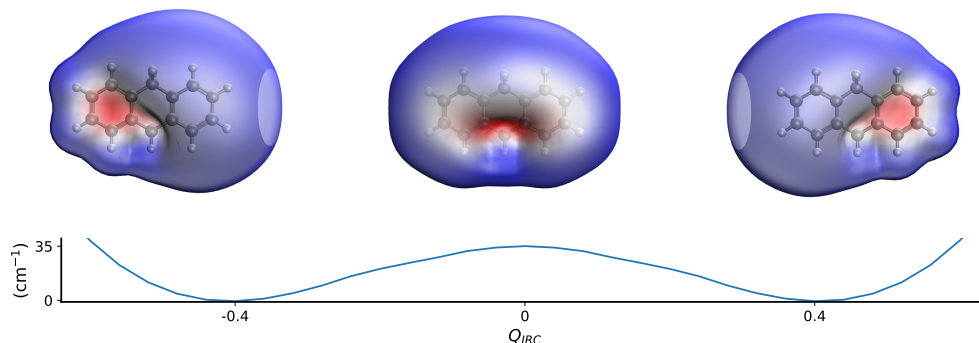


Figure 3: Calculated charge density plots of 9H-An⁺ at the C_{2v} transition state geometry reveals that the hole is largely located on the bridge. Conversely, density plots at either of the C_s excited geometries show the hole remains largely localised on one of the aromatic rings. Therefore, the 9H-An⁺ cation represents an example of photo-induced charge transfer, as excitation of the molecule will result in the hole hopping from the central bridge onto one of the terminal rings.

The $S_0 \rightarrow S_1$ origin is at 20,341 cm⁻¹, in agreement with the previous PIMS measurement by Alata *et al.*,⁵ confirming their assignment to the 9H-An⁺ isomer. Multiple narrow transitions are observed up to 1,400 cm⁻¹ above the origin transition, that were not resolved in any of the previous studies. Spectral features below ~ 800 cm⁻¹ appear sharp, with an average FWHM of ~ 2.6 cm⁻¹, while peaks at higher energies appear broader. This is likely due to the shorter dissociation lifetime of these excited vibrational states. It should also be noted that the relative intensity of peaks may be limited by saturation effects, where all of the resonant ground state cation signal is depleted.

As shown in Figure 4, most of the structure observed in the experimental spectrum may be accounted for by a standard Franck-Condon (FC) simulation. Vibrational frequency calculations were computed at the M06-2X/cc-pvtz level for both the Ground C_{2v} and excited transition state C_{2v} geometries. Overlaps between the ground and excited state vibrational wavefunctions were then calculated, including Duschinsky rotations, to simulate the vibronic spectrum. The resulting spectrum was dominated by progressions in the a_1 symmetric 1^n , 2^n , and $4^1 1^n$ modes. Excellent agreement between the simulation and experiment was obtained for the 1^n and $4^1 1^n$ progressions, however the pure FC simulation could not account for the splitting observed near ~ 230 cm⁻¹.

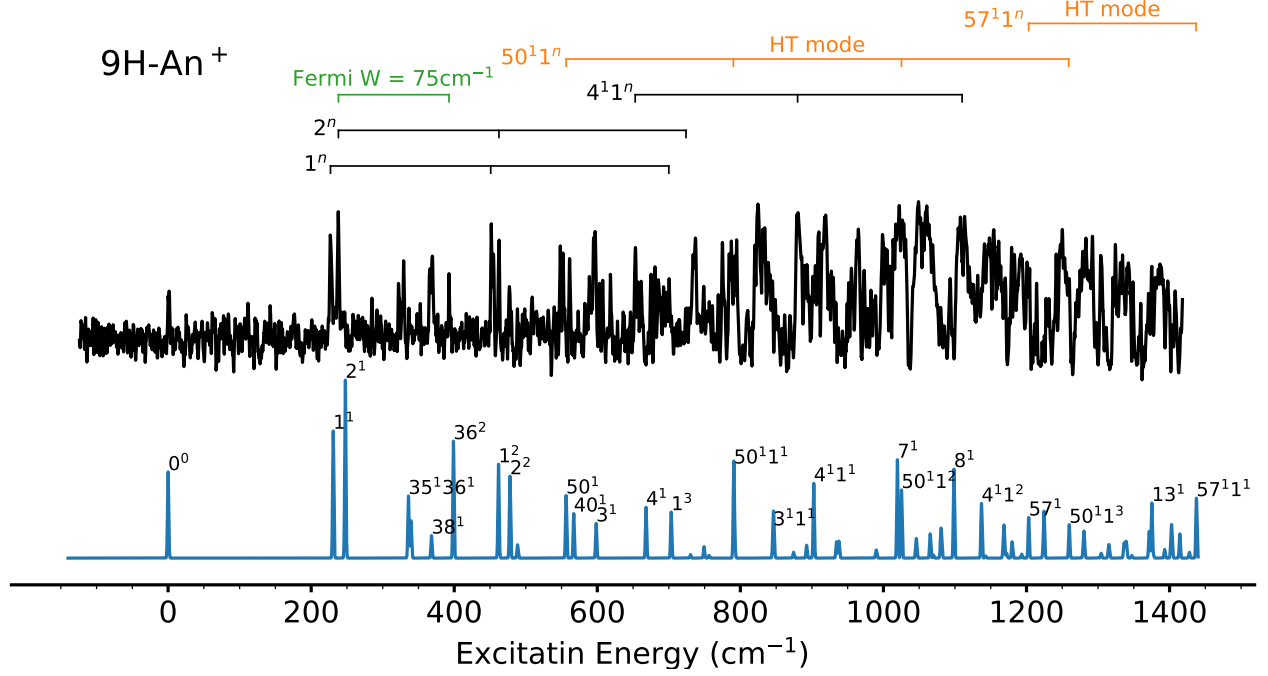


Figure 4: dog

To evaluate whether the splitting could be caused by a 1-2 Fermi resonance with the 1^1 transition, anharmonic frequency analysis was performed using Generalised 2nd-order Vibrational Perturbation Theory, with 3rd and 4th derivatives also calculated at the M06-2X/cc-pvtz level. This revealed a large Fermi resonance between modes ν_2 (366 cm^{-1}) and $2 \times \nu_{36}$ (186 cm^{-1}), with a coupling strength

$$W = \frac{\sqrt{\Delta^2 - \Delta_0^2}}{2} = 75.5 \text{ cm}^{-1}, \quad (1)$$

where Δ is the splitting with coupling, and Δ_0 is the transition separation without coupling. Hence, the doublet feature at $\sim 230 \text{ cm}^{-1}$ is not from a Fermi resonance with transition 1^1 as was expected, but instead from a large interaction between transitions 2^1 and 36^2 . The relative intensity of the resonant peaks are given by,

$$\frac{I_{\nu_2}}{I_{2 \times \nu_{36}}} = \frac{(\Delta + \Delta_0)}{(\Delta - \Delta_0)} = 1.08. \quad (2)$$

Further features missing from the pure FC simulation may be accounted for by considering potential Herzberg-Teller vibronic coupling between the $S_1(^1A_1)$ and $S_2(^1B_2)$ excited states, as predicted from Figure 2(b). Coupling would occur through b_2 vibrational promoter modes, as for C_{2v} symmetry

$$^1A_1 \otimes b_2 = ^1B_2. \quad (3)$$

To account for the nuclear-electronic interactions, the Herzberg-Teller expansion may be applied to the vibronic wavefunction of the S_1 state $\Psi_{S_1j}(r, Q)$. By expanding around the equilibrium geometry Q_0 , the wavefunction may be rewritten as

$$|\Psi_{S_1j}\rangle = |\psi_{S_1}\chi_{S_1j}\rangle + \gamma_{S_2k, S_1j} Q_n |\psi_{S_2}\chi_{S_2k}\rangle, \quad (4)$$

where ψ_{S_n} is the electronic wavefunction of the S_n state, χ_{S_nj} is the corresponding vibrational wavefunction, Q_n is the coupling coordinate, and γ_{S_2k, S_1j} is the vibronic mixing coefficient.

The strength of any vibronically coupled transitions may be determined by examining the transition dipole moment. Substituting the S_1 state wavefunction from Eq. (4) into the $S_0 \rightarrow S_1$ transition dipole moment gives,

$$\mu_\alpha = \langle \Psi_{S_0} | \vec{\mu}_\alpha | \Psi_{S_1} \rangle \quad (5)$$

$$= \langle \psi_{S_0} | \vec{\mu}_\alpha | \psi_{S_1} \rangle \langle \chi_{S_0m} | \chi_{S_1j} \rangle + \gamma_{S_2k, S_1j} \langle \psi_{S_0} | \vec{\mu}_\alpha | \psi_{S_2} \rangle \langle \chi_{S_0m} | Q_n | \chi_{S_2k} \rangle \quad (6)$$

$$= \mu_\alpha^{S_1-S_0} \langle \chi_{S_0m} | \chi_{S_1j} \rangle + \gamma_{S_2k, S_1j} \mu_{\alpha:0}^{S_2-S_0} \langle \chi_{S_0m} | Q_n | \chi_{S_2k} \rangle, \quad (7)$$

where the term $\mu_\alpha^{S_1-S_0} = \langle \psi_{S_0} | \vec{\mu}_\alpha | \psi_{S_1} \rangle$ represents the $S_0 \rightarrow S_1$ electronic transition moment at the ground state geometry along axis α .

Another way to describe the vibronic coupling dependence on the transition moment would be to expand the general $\mu_\alpha^{S_1-S_0}$ transition dipole function as a Taylor series in Q_n

about the ground state geometry,

$$\mu_\alpha = \mu_\alpha^{S_1-S_0} \langle \chi_{S_0m} | \chi_{S_1j} \rangle + \left(\frac{\partial \mu_\alpha^{S_1-S_0}}{\partial Q_n} \right)_0 \langle \chi_{S_0m} | Q_n | \chi_{S_2k} \rangle + \dots \quad (8)$$

Comparing Eq. (7) and Eq. (8) one obtains,

$$\left(\frac{\partial \mu_\alpha^{S_1-S_0}}{\partial Q_n} \right)_0 = \gamma_{S_2k, S_1j} \mu_\alpha^{S_2-S_0}. \quad (9)$$

This shows that the strength of the possible Herzberg-Teller transitions may be estimated by calculating the derivative of the transition dipole moment along each b_2 normal mode coordinate. This was calculated for all 22 B_2 modes in protonated anthracene, along each axis, with the dipole derivatives given in the Supplementary information. Two vibrational modes were found to have large transition dipole moment slopes, v_{50} and v_{57} . The transition strengths of the Herzberg-Teller coupled modes can be quantified from the TDDFT calculations by implementing a linear variation of the dipole moment to include the first order term of the Taylor expansion about the equilibrium geometry. The resulting transition intensities were added to the simulation in Figure 4, which included two dominant progressions $50^1 1^n$ and $57^1 1^n$.

By combining the information from the harmonic Franck-Condon, anharmonic resonances, and Herzberg-Teller calculations, the complete $S_0 \rightarrow S_1$ spectrum could be simulated, as was shown in Figure 4. The calculated spectrum resembles a close match to the experimental triple resonance spectrum, validating the shallow double well framework and C_{2v} approximation used in this work to describe the system. The majority of the spectral structure can be accounted for by a series of combination bands involving the $v_1(a_1)$ symmetric in-plane wag mode, which is possibly involved in the initial excitation from the C_{2v} ground state to the C_{2v} excited transition state geometry.

Charge-Transfer Coordinate

The photo-induced charge-transfer process may be understood by considering the vibrational motion involved in the symmetry-breaking geometry change from C_{2v} to C_s . The normal mode coordinates that point in the same direction as the intrinsic reaction coordinate can be identified by calculating the cosine similarity

$$\cos(\theta) = \frac{Q_n \cdot Q_{\text{IRC}}}{\|Q_n\| \|Q_{\text{IRC}}\|} = \frac{1}{25} \sum_{j=1}^{25} \frac{q_j(x, y, z) \cdot r_j(x, y, z)}{\|q_j(x, y, z)\| \|r_j(x, y, z)\|}, \quad (10)$$

where Q_n is the normal mode coordinate and Q_{IRC} is the intrinsic reaction coordinate from Figure 2. Cosine Similarities were calculated for each normal mode coordinate of the C_{2v} excited transition state by summing over the 3-D vector overlaps for each atom in the molecule. The resulting similarities are shown for each vibrational mode in Figure 5.

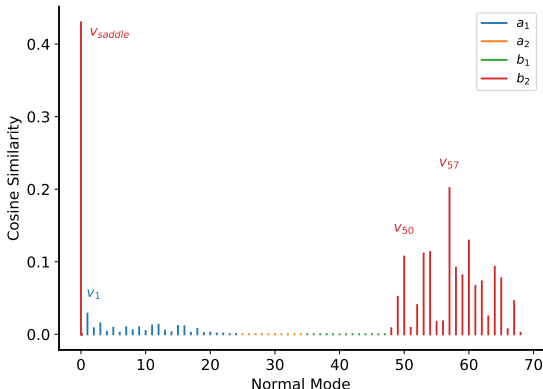


Figure 5: Cosine Similarity measurements between the IRC coordinate and each normal mode of the C_{2v} excited transition state of 9H-An⁺. The normal mode coordinates were calculated at the M06-2X/cc-pvtz level. The corresponding cosine similarities were then calculated for each mode by summing the vector overlaps for each atom in the molecule. Similarities were calculated in both the forward and reverse direction, with the largest similarity for each mode shown in the Figure. This highlights that the symmetry breaking coordinate is described by in-plane b_2 motion. Prominent modes in the triple resonance spectrum, all have relatively large Similarities, confirming that the active modes point in the same direction as the charge-transfer coordinate.

Unsurprisingly, the imaginary b_2 saddle point frequency was the closest to the IRC co-

ordinate. Other in-plane b_2 modes also point in the same direction, including modes v_{50} and v_{57} . These HT coupled modes are active in the triple resonance spectrum in Figure 4, confirming that they are likely involved in the hole-transfer process. Notably, no single mode lies predominately along the IRC, instead it must be described by a combination of different in-plane b_2 vibrations. Therefore, the charge-transfer process is not mediated by a single vibrational excitation.

9D-An⁺

To investigate the system further, the deuterated cation 9D-An⁺ was also studied. The cations were prepared by resonantly ionizing the dihydroanthracenyl neutral radicals at threshold, generating cold cations deuterated exclusively at the 9-position. The resulting triple-resonant photodissociation spectrum of 9D-An⁺ is shown in Figure 6. The experimental spectrum is shown alongside a simulated spectrum that was constructed through the combination of FC, anharmonic, and HT vibronic coupling calculations, using the same method that was described for 9H-An⁺ above.

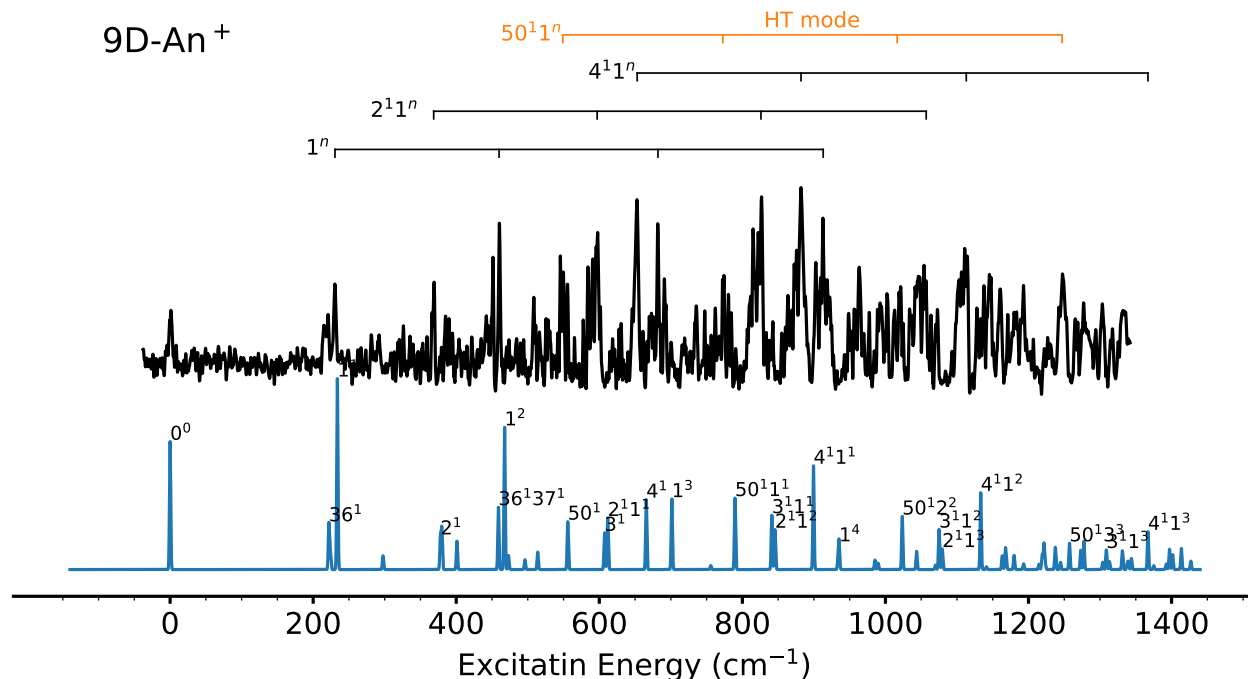


Figure 6: dog

Only small changes are observed between the 9H-An⁺ (Figure 4) and the 9D-An⁺ (Figure 6) spectra. In the deuterated spectrum, the origin transition is shifted by xx cm⁻¹ to zz cm⁻¹. Again, the structure is dominated by progressions involving the $v_1(a_1)$ symmetric in-plane wag mode, including progressions 1^n , 2^11^n , and 4^11^n . No Fermi resonance is observed in 9D-An⁺, due to the shifts in the vibrational frequencies. A second peak is still observed near the 1^1 transition, however it is much lower in intensity. This is assigned to the anharmonically coupled transition 36^1 . HT coupled transitions are also observed, including the prominent progression 50^11^n .

The effect of deuteration is much smaller than what has been observed previously for the neutral analogous dihydroanthracenyl radicals. Resonant two-colour two-photon ionisation (R2C2PI) spectra of isomer selected 9H-An and 9D-An reported previously by our group revealed a large change after deuteration, with a doubling of the number of spectral lines observed. This was attributed to quantum-induced symmetry breaking, where the addition of a deuterium atom causes an imbalance in the zero point energy, generating an asymmetric well potential where the effective (vibrationally averaged) geometry of the excited state is bent. Therefore, the absence of a similar effect in the cation spectra of this work confirms that the 9H-An⁺ and 9D-An⁺ excited state geometries are both planar.

Conclusions

Acknowledgement

This research was supported by the Australian Research Council Discovery Project Grant DP190103151.

References

- (1) Campbell, E. K.; Holz, M.; Gerlich, D.; Maier, J. P. Laboratory confirmation of C60+ as the carrier of two diffuse interstellar bands. *Nature* **2015**, *523*, 322–323.
- (2) Krechkivska, O.; Liu, Y.; Lee, K. L. K.; Nauta, K.; Kable, S. H.; Schmidt, T. W. Triple-Resonance Spectroscopy Reveals the Excitation Spectrum of Very Cold, Isomer-Specific Protonated Naphthalene. *The Journal of Physical Chemistry Letters* **2013**, *4*, 3728–3732.
- (3) Jochims, H. W.; Baumgartel, H.; Leach, S. Structure-dependent Photostability of Polycyclic Aromatic Hydrocarbon Cations: Laboratory Studies and Astrophysical Implications. *The Astrophysical Journal* **1999**, *512*, 500–510.
- (4) Meyer, M.; Martini, P.; Schiller, A.; Zappa, F.; Krasnokutski, S. A.; Scheier, P. Electronic Spectroscopy of Anthracene Cations and Protonated Anthracene in the Search for Carriers of Diffuse Interstellar Bands. *The Astrophysical Journal* **2021**, *913*, 136.
- (5) Alata, I.; Dedonder, C.; Broquier, M.; Marceca, E.; Jouvet, C. Role of the Charge-Transfer State in the Electronic Absorption of Protonated Hydrocarbon Molecules. *Journal of the American Chemical Society* **2010**, *132*, 17483–17489.
- (6) Garkusha, I.; Fulara, J.; Nagy, A.; Maier, J. P. ELECTRONIC ABSORPTION SPECTRA OF PROTONATED ANTHRACENES AND PHENANTHRENES, AND THEIR NEUTRALS IN NEON MATRICES. *The Astrophysical Journal* **2011**, *728*, 131.
- (7) Li, J.; Luo, Y. The correct assignment of vibrationally-resolved absorption spectra of protonated anthracene isomers. *Spectrochimica Acta Part A: Molecular and Biomolecular Spectroscopy* **2021**, *244*, 118832.

TOC Graphic - For Table of Contents Only