

#### MONASH INFORMATION TECHNOLOGY

# FIT2004 Algorithms and Data Structures

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Ready?

# Agenda

- Complexity Analysis
  - Time
  - Space





Let us begin...



- Correctness
- Complexity



- Correctness
  - Loop invariant
  - Termination
- Last lecture
- Complexity



- Correctness
  - Loop invariant
  - Termination
- Complexity
  - Time
  - Space



#### Correctness

- Loop invariant
- Termination

# Complexity

- Time
  - Best
  - Worst (big focus here)
  - Lower bound aka big Omega
  - Output sensitive
- Space
  - Total
  - Auxiliary



# Questions?



- Best
- Worst



- Best
- Worst
- You know what are they



- Best
- Worst
  - Focus!
- You know what are they

#### Time



Now let us have some recap with some functions



- Now let us have some recap with some functions
  - Minimum
  - Binary search
  - Heap sort



- Consider the code
- What is the time complexity?



- Consider the code
- What is the time complexity?
  - Best
  - Worst



- Consider the code
- What is the time complexity?
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  - Both are O(N) because...



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  - Both are O(N) because...
     need to go through entire list
     no matter what (can't terminate earlier)



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- What is the time complexity?
  - Best
  - Worst
  - Both are O(N) because...
     need to go through entire list
     no matter what (can't terminate earlier)



- Remember we can't say best O(1) when list have 1 item
  - Need to be for a list of size N



- Consider the code
- What is the time complexity?

```
def binary_search(my_list, key):
    lo = 0
    hi = len(my_list) - l
    while lo <= hi:
        mid = (lo + hi) // 2
        if key == my_list[mid]:
            print("found")
            return
        elif key > my_list[mid]:
            lo = mid+l
        else:
            hi = mid-l
        print("not found")
```



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- Consider the code
- What is the time complexity?
  - Best O(1)
  - Worst O(log N)

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def binary_search(my_list, key):
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- Consider the code
- What is the time complexity?
  - Best O(1)
  - Worst O(log N)
  - How can we show worst is O(log N)?

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- How can we show worst is O(log N)?
- Search space

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def binary_search(my_list, key):
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```



- How can we show worst is O(log N)?
- Search space
  - Initially N

```
def binary_search(my_list, key):
    lo = 0
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    while lo <= hi:
        mid = (lo + hi) // 2
        if key == my_list[mid]:
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        else:
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        print("not found")
```

# Binary search



- How can we show worst is O(log N)?
- Search space

```
- Initially = N
```

-1<sup>st</sup> iteration = N/2

 $-2^{nd}$  iteration = N/4

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# Binary search



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- Search space

```
- Initially = N
```

-1<sup>st</sup> iteration = N/2

 $-2^{nd}$  iteration = N/4

**—** ...

– Last iteration = 1

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def binary_search(my_list, key):
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```

# Binary search



How can we show worst is O(log N)?

#### Search space

```
- Initially = N/2^0
```

-1<sup>st</sup> iteration = N/2^1

 $-2^{nd}$  iteration =  $N/2^2$ 

**—** ...

- Last iteration =  $N/2^k = 1$ 

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def binary_search(my_list, key):
    lo = 0
    hi = len(my_list) - l
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# Binary search



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- Search space

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- Initially = N/2^0
```

-1<sup>st</sup> iteration = N/2^1

 $-2^{nd}$  iteration =  $N/2^2$ 

**—** ...

- Last iteration =  $N/2^k = 1$ 

- Thus  $N = 2^k$ 
  - Which give us k = log N
  - Worst case is when we reach height k, which is log N

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- So we know time complexity pretty well now
  - Best case
  - Worst case



- So we know time complexity pretty well now
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- But we have more!



- So we know time complexity pretty well now
  - Best case
  - Worst case
- But we have more!
  - Lower bound (big omega)
  - Output-sensitive



# Questions?

#### Time - Lower Bound



 We know for a given problem, there can be a lot of solutions or algorithms....

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- We know for a given problem, there can be a lot of solutions or algorithms....
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- If we are to print items in a list, we don't have a choice but to print through every item in the list. Thus  $\Omega(N)$  for list printing.

#### Time - Lower Bound



- We know for a given problem, there can be a lot of solutions or algorithms....
  - Known or unknown
- The lower bound (aka big-omega) is the best complexity we can achieve for a given problem irregardless of the solution or algorithm...
  - Opposite of big-O
- If we are to print items in a list, we don't have a choice but to print through every item in the list. Thus  $\Omega(N)$  for list printing.

#### Time - Lower Bound



- So... what is the lower bound for the sorting algorithms that we have learnt?
  - Bubble
  - Insertion
  - Selection
  - Quick
  - Merge

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- These are all comparison based
- Ω(N log N)

#### Time – Lower Bound



- So... what is the lower bound for the sorting algorithms that we have learnt?
  - Bubble
  - Insertion
  - Selection
  - Quick
  - Merge
- These are all comparison based
- Ω(N log N)
- We will see more of this later



# Questions?

# Time – Output Sensitive



What is it?



- What is it?
- The complexity depends on the output instead of the input!



- What is it?
- The complexity depends on the output instead of the input!
- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y



- What is it?
- The complexity depends on the output instead of the input!
- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- What is our complexity here?



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- Approach 01
  - Loop through the entire list
  - If item > x and item < y, print item</p>



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- Approach 01
  - Loop through the entire list
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  - This gives O(N) complexity
    - Looping through the list



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- Approach 01
  - Loop through the entire list
  - If item > x and item < y, print item</p>
  - This gives O(N) complexity
    - Looping through the list
  - This isn't output sensitive, x and y value doesn't matter



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- Approach 02
  - Binary search to find smallest number greater than x
  - Linear search from x till reach a greater number or equal than y

## Time – Output Sensitive



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y

- Binary search to find smallest number greater than x
- Linear search from x till reach a greater number or equal than y
- Complexity?



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y
- Approach 02
  - Binary search to find smallest number greater than x
  - Linear search from x till reach a greater number or equal than y
  - Complexity?
    - O(log N) for binary search

## Time – Output Sensitive



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y

- Binary search to find smallest number greater than x
- Linear search from x till reach a greater number or equal than y
- Complexity?
  - O(log N) for binary search
  - O(W) for printing the values where O(W) is O(y-x)

## Time – Output Sensitive



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y

- Binary search to find smallest number greater than x
- Linear search from x till reach a greater number or equal than y
- Complexity? O(W + log N)
  - O(log N) for binary search
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## Time – Output Sensitive



- Given a sorted array of unique numbers
- Given two values x and y
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- Binary search to find smallest number greater than x
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- Complexity? O(W + log N)
  - O(log N) for binary search
  - O(W) for printing the values where O(W) is O(y-x)
  - Why?

## Time – Output Sensitive



- Given a sorted array of unique numbers
- Given two values x and y
- Find all numbers greater than x but smaller than y

- Binary search to find smallest number greater than x
- Linear search from x till reach a greater number or equal than y
- Complexity? O(W + log N)
  - O(log N) for binary search
  - O(W) for printing the values where O(W) is O(y-x)
  - Why? W can be as big as N!



- Output-sensitive complexity is only relevant when the output-size may vary
  - Not sorting
  - Not finding minimum



- Output-sensitive complexity is only relevant when the output-size may vary
  - Not sorting
  - Not finding minimum
- If you look at your assignment, certain question have additional complexity – that is dependent on the output!



# Questions?

# Space



What is it?

# Space



How much space is used

# Space



- How much space is used
- Consider our functions earlier...

## Space -- minimum



- How much space is used
- Consider our functions earlier...

```
def find minimum(my list):
    minimum = None
    for i in range(0, len(my list)):
        if minimum is None:
            minimum = my list[i]
        else:
             if minimum > my list[i]:
                 minimum = my list[i]
    return minimum
    def binary search(my list, key):
        10 = 0
       hi = len(my list) - 1
       while lo <= hi:
            mid = (lo + hi) // 2
            if key == my list[mid]:
                print ("found")
                return
            elif key > my_list[mid]:
                lo = mid+1
            else:
                hi = mid-1
        print("not found")
```

## Space



- How much space is used
- Consider our functions earlier...
- We need O(N) space to for the input list



```
def find minimum(my list):
    minimum = None
    for i in range(0, len(my list)):
        if minimum is None:
            minimum = my list[i]
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             if minimum > my list[i]:
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    def binary search (my list, key):
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# Questions?

# **Auxiliary Space**



What is this now then?



- What is this now then?
- Additional space required in addition to the input



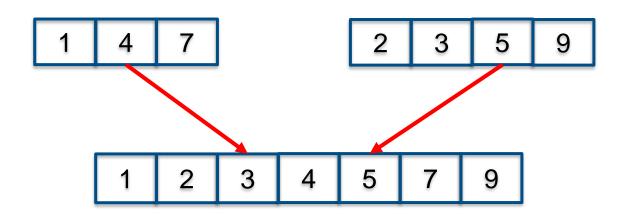
- What is this now then?
- Additional space required in addition to the input
- Remember the merge sort's merge operation?



3 2 9 5
---------

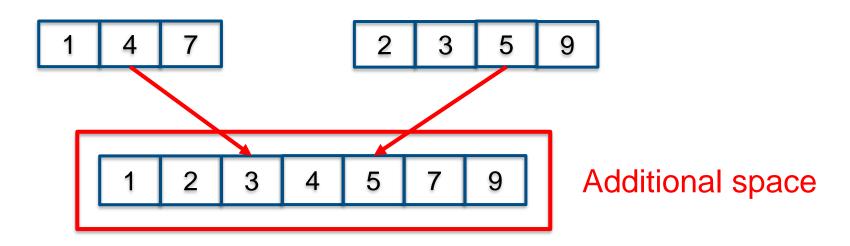


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- What is this now then?
- Additional space required in addition to the input
- Remember the merge sort's merge operation?
  - Space complexity = 2N = O(N)
  - Auxiliary space = 2N N = O(N)

## **Auxiliary Space**



So what is the auxiliary space complexity for these then?

```
def find minimum(my list):
    minimum = None
    for i in range(0, len(my list)):
        if minimum is None:
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             if minimum > my list[i]:
                 minimum = my list[i]
    return minimum
    def binary search(my list, key):
        10 = 0
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- So what is the auxiliary space complexity for these then?
  - Both are O(1)
  - Do not require additional space

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```



- So what is the auxiliary space complexity for these then?
  - Both are O(1)
  - Do not require additional space
- Known as in-place
  - Can process in the input itself!

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- So what is the auxiliary space complexity for these then?
  - Both are O(1)
  - Do not require additional space
- Known as in-place
  - Can process in the input itself!
  - Auxiliary space of O(1)

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# Questions?



# Thank You