ArchaeoGLOBE Analysis

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Analysis code for the ArchaeoGlobe database. Here we use Generalized Additive Models (GAMs), a flexible form of nonlinear regression model capable of fitting smooth, time-varying trends to the ordered categorical ArchaeoGLOBE response data.

We model ordered categorical data using a latent variable following a logistic distribution. The model identifies a series of cut points, which correspond the probabilities of the latent variable falling within each of our categories.

We fit two sets of trends. One trend is fitted to all the data simultaneously, representing the global trend across all archaeological regions. Then we fit region-level trends, which represent the deviation of each region from the global trend. By penalizing the "wiggliness" of the trend lines, we allow regional trends that don't significantly deviate from the global trend to be penalized to 0, effectively reducing that particular region to the global trend. This is a form of partial pooling, allowing the model to share information between groups and in so doing make the results less sensitive to regions with exceptionally low response rates.

After fitting the model, we can extract the region-specific trends, use a k-means clustering algorithm to group together regions with similar trends, and map the results. We repeat this analysis for both self-reported expertise and perceived data quality.

Setup

Import packages needed for analysis. We'll use packages from the tidyverse, such as readr, dplyr, and ggplot2 for data import, processing, and plotting. We'll also use mgcv for fitting nonlinear trends to the data. We'll use the sf package to help us plot shapefiles in a tidy context. The dataverse and osfr packages allows us to pull the raw survey data and precomputed analysis files from their online repositories. Finally, we'll use patchwork (installed from GitHub) to combine multiple ggplots in the same image.

```
library(tidyverse)
library(mgcv)
library(sf)
library(ggplot2)
library(dataverse)

#install patchwork and osfr from github if needed
#devtools::install_github('thomasp85/patchwork')
library(patchwork)
# devtools::install_github('centerforopenscience/osfr')
library(osfr)
```

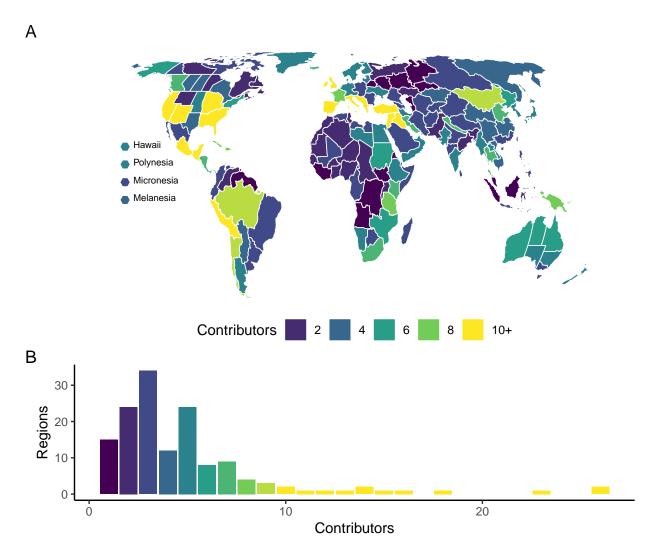
Data import

Read in the latest version of the ArchaeoGLOBE database and the consensus assessment from the Dataverse repository.

```
Sys.setenv('DATAVERSE_SERVER' = 'dataverse.harvard.edu')
```

```
# get data frame of files on dataverse
ArchaeoGLOBE_Data_DOI <- 'doi:10.7910/DVN/CNCANQ'</pre>
ArchaeoGLOBE_Data_df <- get_dataset(ArchaeoGLOBE_Data_DOI)</pre>
# Only download the file we need here
ArchaeoGLOBE Data df files <- ArchaeoGLOBE Data df$files[</pre>
  grep1('ARCHAEOGLOBE_PUBLIC_DATA|ARCHAEOGLOBE_CONSENSUS_ASSESSMENT',
        ArchaeoGLOBE_Data_df$files$filename), ]
# read into local dir
walk(ArchaeoGLOBE_Data_df_files$label,
     ~get_file(.x, ArchaeoGLOBE_Data_DOI) %>%
       writeBin(paste0('data/raw-data/', .x)))
# read into the current environment
archaeoglobe <- read_csv('data/raw-data/ARCHAEOGLOBE_PUBLIC_DATA.tab')</pre>
consensus <- read_csv('data/raw-data/ARCHAEOGLOBE_CONSENSUS_ASSESSMENT.tab')</pre>
Repeat for the archaeological regions shapefile.
# repeat for shapefile
ArchaeoGLOBE_Regions_DOI <- 'doi:10.7910/DVN/CQWUBI'</pre>
# get data frame of files on DV
ArchaeoGLOBE_Regions_df <- get_dataset(ArchaeoGLOBE_Regions_DOI)</pre>
# just download the shapefile we want
ArchaeoGLOBE_Regions_df_files <- ArchaeoGLOBE_Regions_df$files[</pre>
  ArchaeoGLOBE_Regions_df$files$filename == 'ArchaeoGLOBE_Simplified_Regions.zip', ]
# read into local dir
walk(ArchaeoGLOBE_Regions_df_files$label,
    ~get_file(.x, ArchaeoGLOBE_Regions_DOI) %>%
      writeBin(paste0('data/raw-data/', .x)))
unzip('data/raw-data/ArchaeoGLOBE Simplified Regions.zip',
      overwrite = TRUE,
      exdir = 'data/raw-data/ArchaeoGLOBE_Simplified_Regions')
# read into the current environment, and simplify the polygons for faster plotting
regions <- st_read('data/raw-data/ArchaeoGLOBE_Simplified_Regions/ArchaeoGLOBE_Simplified_Regions.shp',
          quiet = TRUE) %>%
  # add labels for just the islands, will make plotting easier in the future
  mutate(region_label = replace(Archaeo_RG, !(Archaeo_RG %in% c('Hawaii', 'Polynesia', 'Micronesia', 'Mela
Exploratory plots
Before running any analyses, let's look at the data. How many responses do we have per region?
```

```
response_counts <- archaeoglobe %>%
  group_by(REGION_ID) %>%
  count %>%
  mutate(n10 = replace(n, n > 10, 10))
```



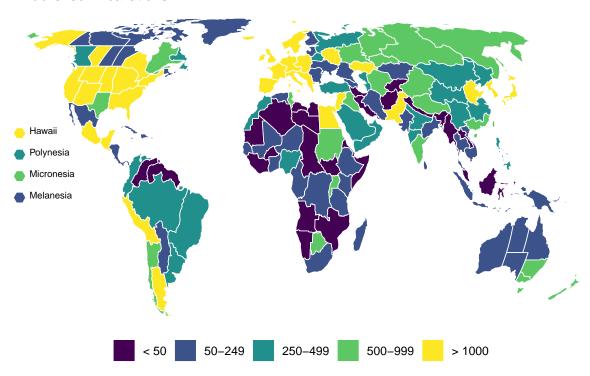
How many published archaeolgical excavations are estimated for each region?

```
# a function for calculating the mode, from https://stackoverflow.com/a/46846474
calculate_mode <- function(x) {
   uniqx <- unique(x)
   uniqx[which.max(tabulate(match(x, uniqx)))]
}

# use this vector to order the RN_SITES variable by increasing number of sites
site_order <- c('< 50', '50-249', '250-499', '500-999', '> 1000')

# find the modal response for the number of published excavations in each region
site_counts <- archaeoglobe %>%
   select(REGION_ID, RN_SITES) %>%
   group_by(REGION_ID) %>%
   summarise(sites = calculate_mode(RN_SITES)) %>%
   mutate(sites = ordered(sites, levels = site_order))
```

Published Excavations



Analysis functions

Define some analysis functions that we'll be using repeatedly in the analysis, so that we don't have to keep copying and pasting the same lines of code.

This function subsets the data to highlight a variable of interest, and converts it from a wide to a long "tidy" format to make analysis and plotting easier.

```
preprocess <- function(prefix, categories){
   archaeoglobe %>% # start with the full ArcheoGlobe data
   # drop columns not related to the variable of interest
   select(c(CONTRIBUTR:LAND_AREA, starts_with(prefix))) %>%
   gather(time, value, starts_with(prefix)) %>% # one value per row
   mutate(time = parse_number(time) * -1, # convert time period labels to years
        value = ordered(value, levels = categories),
        cat_num = as.numeric(value)) %>%
   mutate_if(is.character, as.factor) # convert characters to factors
}
```

This function takes a data frame produced by the above function and fits GAM to the global trend and local deviations for each region, accounting for inter-observer variability. This function takes as arguments a preprocessed data frame containing time slices, regions, contributors, and the ordered categorical response variable transformed to a numeric vector.

```
cores <- max(parallel::detectCores() / 2, 1) # physical cores for parallelization
cl <- parallel::makeCluster(cores)</pre>
```

```
fit_gam <- function(x, n_cats){</pre>
  bam(cat num ~
        # this spline is for the global trend
        s(time, bs = 'cr', m = 2) +
        # region-specific trends. bs = 'ts' and m = 1
        # help penalize deviation from the global model
        s(time, by = REGION LAB, bs = 'cs', m = 1) +
        # add back in region-specific intercepts
        REGION_LAB +
        # model contributor as a random effect
        s(CONTRIBUTR, bs = 're'),
      data = x, # data frame to analyize
      family = ocat(R = n_cats), # ordered categorical with n levels
      # final 3 arguments just speed up the model fitting
      method = 'fREML',
      discrete = TRUE,
      cluster = cl)
}
```

This function extracts the estimated trends for each region, incorporating the global and regional splines as well as the region and contributor specific intercepts. Then it clusters these trends into 6 discrete clusters using k-means. The choice of 6 clusters is somewhat arbitrary, and is made simply based on visual comparisons of different cluster solutions with the goal of ensuring visually interpretable results.

```
extract_trends <-function(mod, n_clusters = 6){</pre>
  set.seed(1000) # set seed for reproducability of clusters
  archaeoglobe %% # create dummy data for prediction in the following lines
    select(REGION LAB) %>%
    group_by(REGION_LAB) %>%
    slice(1) %>%
    slice(rep(1:n(), each = 198)) \%%
   ungroup %>%
   mutate(time = rep_len(seq(-10000, -150, 50), n()),
           CONTRIBUTR = 'CYRBU') %>% # select an arbitrary contributor
   mutate(preds = predict(mod, .)) %>% # estimate trend lines
    mutate(preds = plogis(preds)) %>% # transform responses to [0,1] scale
    spread(time, preds) %>%
    # next is the actual kmeans clustering code
   mutate(cluster = kmeans(.[,-c(1,2)], n_clusters, iter.max = 100, nstart = 100)$cluster)
}
```

Analysis

GAMM trends

Now we use the functions defined above to estimate trends in ArchaeoGlobe data. For convenience, first define a data frame that lists the prefixes of the variables we are interested in (e.g. "EXP" for expertise) and the levels of the ordered factors associated with each variable. This will make it easier to quickly focus on a specific variable. The tribble command is simply a way to make a data frame by row rather than column, which makes the code easier to read.

Now map each of the above functions to each variable. This allows us to run the analysis for all variables of interest in a single step, and save all the outputs in a tibble format for easy plotting. If you're running this for the first time, it should take about 40 minutes to run on a Intel NUC with a 5th-gen Intel Core i7-5557U processor and 16gb of RAM running Linux. By default, we pull pre-computed results from a repository rather than running the time consuming analysis.

```
trend dat <- response levels %>%
  mutate(data = map2(prefix, categories, ~preprocess(.x,.y)),
         n_cats = map_dbl(categories, length),
         mod = map2(data, n_cats, fit_gam),
         trends = map(mod, extract_trends))
# save to disk
saveRDS(trend_dat, file = 'data/derived-data/trend_dat.rda')
# write a note to indicate the provenance of this file
this_commit <- git2r::revparse_single(git2r::repository('.'), "HEAD")
writeLines(pasteO('trend_dat generated on ',
                  Sys.Date() , ' from archaeoglobe.Rmd at git commit ',
                  this_commit$sha, ' made by ',
                  this_commit$author$name, ' on ',
                  this_commit$author$when, " with the message '",
                  this commit$summary, "'"),
           con = 'data/derived-data/README.md',
message('Done.')
```

Results

First we plot out the global trends for each land use type, and compare them to the consensus estimates. Then we plot the local (regional trends) for all land use types, and map out their associated clusters. Please refer to the .rmd source file for the code to make the plots.

The global trend in foraging shows constant high prevalence until around 6,000 years ago, after which there is a smooth decline until the present day when it is very rare. Mapping out the clusters reveals a clear east-west divide, which regions in Afro-eurasia seeing foraging earlier then the global mean, and regions in the Americas and Oceania seeing later peaks in foraging.

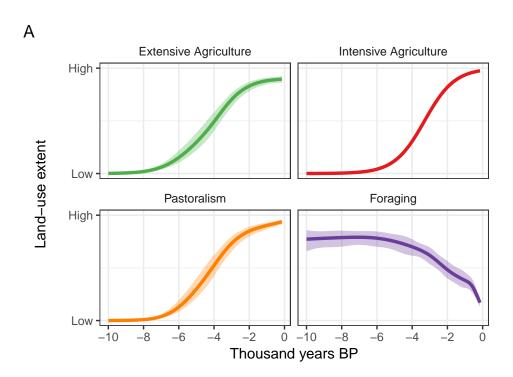
The global trends in the prevalence of pastoralism, extensive and intensive agriculture, and urbanism all follow a sigmoidal curve, which means the trend is linear on the scale of the linear predictor (the ordered

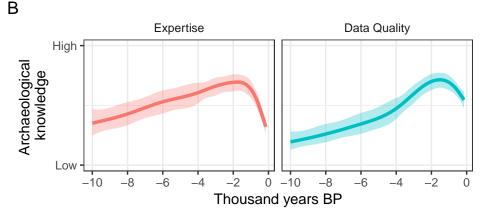
categorical GAM uses a logit transform as a latent link function). This means that there is a simple increase in the probability of each land use type being prevalent over time.

How does self-professed level of expertise vary in each region over time? The global trend is a roughly linear increase in self-reported expertise from 10ka BP up to 2ka BP, then a falloff continuing to the present day. The present day expertise values are approximately the same as at 10ka BP. This makes sense, as it points to both the increased frequency of preserved archaeological materials with time as well as the reduction in archaeological attention in periods with extensive historical records.

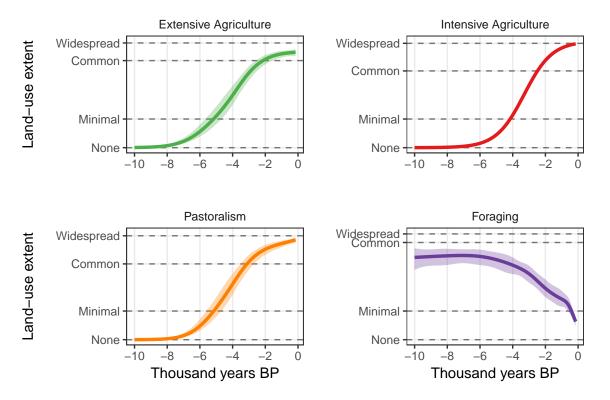
The global trend in data quality is more or less the same as the expertise data, with the peak in data quality occurring more recently than for expertise and with a less dramatic falloff leading to the present day. Unlike expertise, which reaches the same values at 10ky BP and present, data quality in the present day remains high in spite of the falloff in the last 2 millennia. Also note the confidence interval for the global trend is generally wider than for the expertise responses.

Global Trends

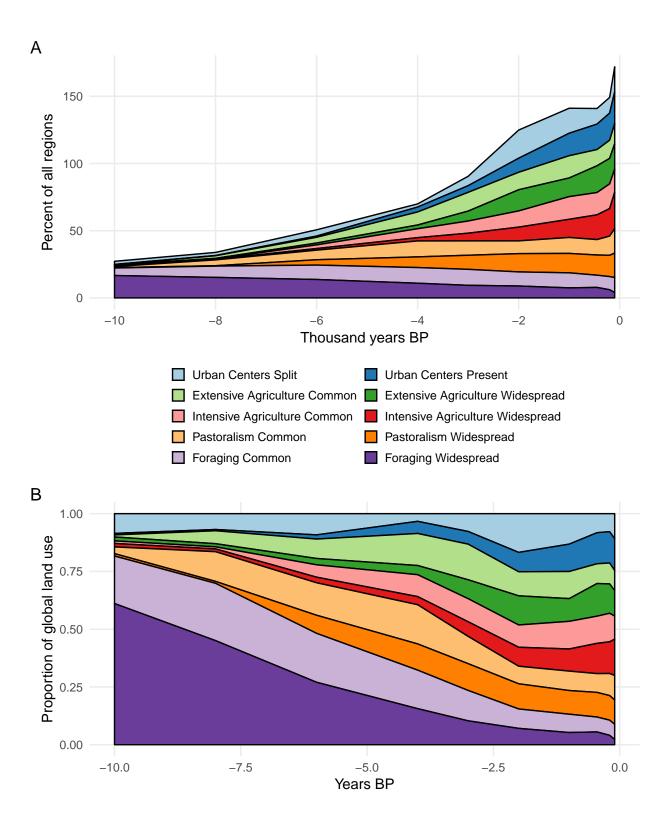




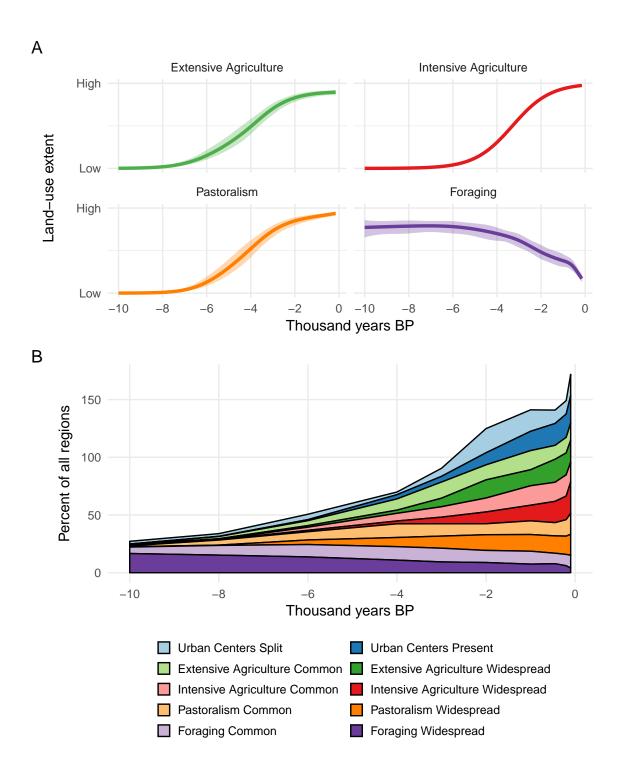
The numerical cutpoints between the ordered categorical response levels estimated by the model vary across land-use type. This is a normal result of the ordered categorical regression, and basically means that different sources of error/uncertainty impact how contributors translate their mental models of areal extent (the latent, "real" value the regression is trying to estimate) into discrete categories across the different land use types.



Compare the global trends to the consensus assessments.

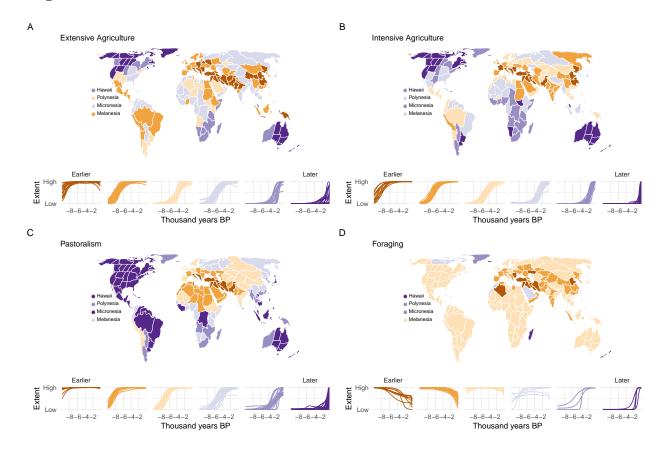


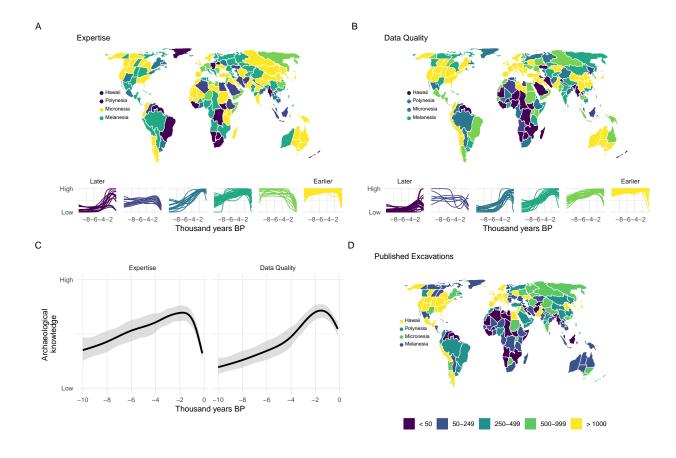
(g1 + theme_minimal()) / cs1 + plot_annotation(tag_levels = 'A')



ggsave('figures/3_trends_global.png', height = 7.5, width = 6.5)

Regional Trends





Was the abandonment of widespread foraging more correlated closely with the spread of pastoralism than crop agriculture?

To investigate weather the abandonment of widespread foraging was more correlated closely with the spread of pastoralism than crop agriculture, we computed an odds ratio using the consensus responses for foraging, pastoralism and crop agriculture for all regions during the middle and late Holocene. Odds ratios are used to compare the relative odds of the occurrence of an outcome of interest (i.e spread of pastoralism), given a condition of the variable of interest (i.e. abandonment of widespread foraging) (Szumilas 2010). We created a table of counts of regions that show a decline in foraging over time, and counts of regions where pastoralism is more widespread than intensive agriculture at an arbitrary time point, such as 2 k BP. We then computed an odds ratio for this table, and if the result is greater than one, we can conclude that the outcome of pastoralism more widespread than crop agriculture after widespread foraging is abandoned is more likely that an alternative outcome.

```
consensus_cat_df <-
consensus_cat %>%
  # label those regions that show a decline in foraging over time
  mutate(shows_decline_in_foraging = as.numeric((FHG_10KBP > FHG_2KBP)) ) %>%
  # label those regions that show pastoralism more widespread than crop agriculture
  mutate(shows more pastoralism than crop = as.numeric(PAS 2KBP > INAG 2KBP )) %>%
  # check
  select( shows_decline_in_foraging,
          shows_more_pastoralism_than_crop) %>%
  group_by(shows_decline_in_foraging,
           shows_more_pastoralism_than_crop) %>%
  tally() %>%
  spread(shows_more_pastoralism_than_crop, n, fill = 0) %>%
  arrange(desc(shows_decline_in_foraging)) %>%
  select(shows_decline_in_foraging, `1`, `0`)
# show a table
consensus_cat_df_show <- consensus_cat_df</pre>
names(consensus_cat_df_show) <- c(" ",</pre>
                                   "pastoralism more widespread than crops",
                                  "pastoralism less widespread than crop")
consensus_cat_df_show$` ` <- c('shows a decline in foraging over time',</pre>
                               'shows no decline in foraging over time')
knitr::kable(consensus_cat_df_show)
```

	pastoralism more widespread than crops	pastoralism less widespread than crop
shows a decline in foraging over time	28	39
shows no decline in foraging over time	19	60

```
# get odds ratio and p-value
tab <- as.matrix(consensus_cat_df[,2:3])</pre>
ft <- fisher.test(tab)</pre>
# another way
d <- data.frame(g=factor(1:2),</pre>
                 s=tab[c(1,3)],
                 f=tab[c(2,4)])
g \leftarrow glm(s/(s+f) \sim g,
         weights = s + f,
         data = d,
          family="binomial")
\# coef(summary(g))["g2", c("Estimate", "Pr(>|z|)")]
# To get the likelihood ratio test (slightly more accurate
# than the Wald -value shown above), do
lrt <- anova(g,test="Chisq")</pre>
p_value <- round(lrt $`Pr(>Chi)`[2], 3)
# odds ratio, check it by hand
A <- tab[1]
B <- tab[3]
C <- tab[2]</pre>
D <- tab[4]
```

```
or \leftarrow (A/B) / (C/D)
```

The odds ratio for this table is 2.267, with a p-value of 0.022. This indicates that that claim of pastoralism being more widespread than crop agriculture after widespread foraging is abandoned is supported by the data.

Szumilas, Magdalena (2010). "Explaining Odds Ratios". Journal of the Canadian Academy of Child and Adolescent Psychiatry. 19 (3): 227–229

```
# temporary stopping point
# knitr::knit_exit()
```

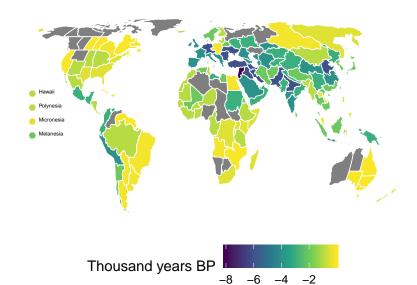
HYDE Comparison

Plot the onset time for intensive agriculture worldwide, based on ArchaeoGLOBE consensus assessments, at the Common and Widespread levels.

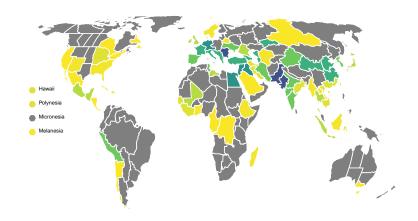
```
inag_onset <- consensus %>%
  select(Region, Label, INAG 10KBP:INAG 1850CE) %>%
  gather(time, value, INAG_10KBP:INAG_1850CE) %>%
  filter(value %in% c('Common', 'Widespread')) %>%
  mutate(time = parse_number(time),
         time = case_when(time == 1500 ~ .5,
                          time == 1750 \sim .25,
                          time == 1850 \sim .15,
                          time \leq 10 ~ time),
         time = time * -1) %>%
  group_by(Region, value) %>%
  summarise(onset = min(time)) %>%
  spread(value, onset) %>%
  mutate(Common = if_else(is.na(Common), Widespread, Common))
on1 <- inag_onset %>%
  left_join(regions, ., by = c('Archaeo_ID' = 'Region')) %>%
  ggplot() +
  geom_sf(aes(fill = Common), size = .3, color = 'white') +
  scale_fill_viridis_c(name = 'Thousand years BP', guide = 'colorbar', limits = c(-8, -.1)) +
  geom_sf_text(aes(label = region_label), nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
  coord sf(datum = NA) +
  labs(subtitle = 'Onset of common (> 1% land area) intensive agriculture') +
  theme void() +
  theme(legend.position='bottom')
on2 <- inag_onset %>%
  left_join(regions, ., by = c('Archaeo_ID' = 'Region')) %>%
  ggplot() +
  geom_sf(aes(fill = Widespread), size = .3, color = 'white') +
  scale_fill_viridis_c(guide = 'none', limits = c(-8, -.1)) +
  geom_sf_text(aes(label = region_label), nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
  coord_sf(datum = NA) +
  labs(subtitle = 'Onset of widespread (> 20% land area) intensive agriculture') +
  theme_void()
(on1 / on2) + plot_annotation(tag_levels = 'A')
```

Α

Onset of common (> 1% land area) intensive agriculture



B
Onset of widespread (> 20% land area) intensive agriculture

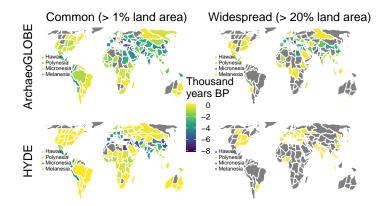


Alternative approach, using EE's ag_join .csv file.

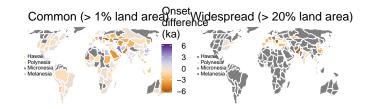
```
ag_join_maps <-
ag join %>%
  left_join(regions, ., by = c('Archaeo_ID' = 'RegionID')) %>%
  ggplot() +
  geom sf(aes(fill = time), size = .3, color = 'white') +
  scale_fill_viridis_c(name = 'Thousand\nyears BP', guide = 'colorbar') +
  geom_sf_text(aes(label = region_label), nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
  coord sf(datum = NA) +
  theme_void() +
  facet_grid(source ~ level, switch = 'y') +
  theme(legend.position = c(0.52, 0.5),
        legend.title=element_text(size=8),
        legend.text=element_text(size=6),
        legend.text.align = 1,
        legend.key.size = unit(0.5,"line"),
        strip.text.y = element_text(angle = -90))
Differences between HYDE and ArchaeoGLOBE onset times.
\verb"onset_difference <- ag_join \%>\%
  spread(source, time) %>%
  mutate(diff = ArchaeoGLOBE - HYDE,
         diff = round(diff))
onset_difference_barplot <-</pre>
ggplot(onset_difference, aes(diff, group = diff)) +
  geom_bar(aes(fill = diff)) +
  scale_fill_distiller(palette = 'PuOr',
                       limits = c(-6,6),
                       name = 'Onset\ndifference (ka)') +
  facet_wrap(~level) +
  theme_minimal() +
  labs(x = 'Onset\ndifference (ka)',
       y = '\% Regions') +
  coord flip() +
  theme(legend.position = c(0.95, 0.2),
        legend.title=element text(size=8),
        legend.text=element_text(size=6),
        legend.text.align = 1,
        legend.direction="horizontal",
        legend.key.size = unit(0.5,"line")) +
  guides(fill = guide_colorbar(title.position = "top"))
onset_difference_maps <-</pre>
onset_difference %>%
  left_join(regions, ., by = c('Archaeo_ID' = 'RegionID')) %>%
  ggplot() +
  geom_sf(aes(fill = diff), size = .3, color = 'white') +
  scale_fill_distiller(name = 'Onset\ndifference\n(ka)',
                       guide = 'colorbar',
                       palette = 'PuOr',
                       direction = 1,
                       limits = c(-6, 6)) +
```

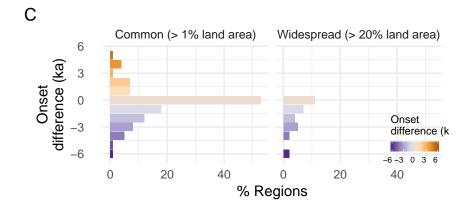
```
geom_sf_text(aes(label = region_label),
              nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
 coord_sf(datum = NA) +
 theme_void() +
 facet_wrap(~ level) +
 theme(legend.position = c(0.50, 0.70),
       legend.title=element_text(size=8),
       legend.text=element_text(size=6),
       legend.text.align = 1,
       legend.key.size = unit(0.5,"line")) +
 guides(fill = guide_colorbar(title.position = "top"))
# put the figs together for the ArchaeoGLOBE-HYDE comparison
# looks good when run interactively, but notwhen knit
(ag_join_maps / onset_difference_maps / onset_difference_barplot) +
 plot_layout(heights = c(2, 1, 1)) +
 plot_annotation(tag_levels = "A")
```





В

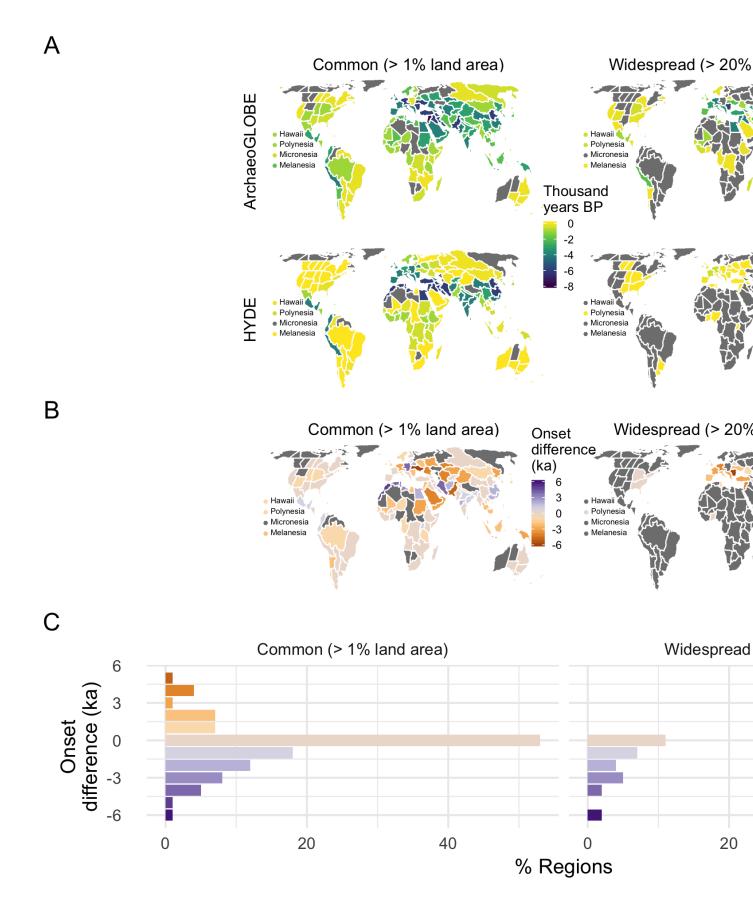




ggsave("figures/ArchaeoGLOBE-HYDE-comparison.png", width = 183, units = "mm")

doesn't look good when knit

knitr::include_graphics("figures/ArchaeoGLOBE-HYDE-comparison.png")



```
ag_join_maps <-
ag_join %>%
 left_join(regions, ., by = c('Archaeo_ID' = 'RegionID')) %>%
  ggplot() +
  geom_sf(aes(fill = time), size = .3, color = 'white') +
  scale fill viridis c(name = 'Thousand\nyears BP', guide = 'colorbar') +
  geom_sf_text(aes(label = region_label), nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
  coord sf(datum = NA) +
  theme void() +
  facet_grid(source ~ level, switch = 'y') +
  theme(legend.position = c(0.52, 0.5),
        legend.title=element_text(size=8),
        legend.text=element_text(size=6),
        legend.text.align = 1,
        legend.key.size = unit(0.5,"line"),
        strip.text.y = element_text(angle = -90))
onset difference maps <-
onset_difference %>%
  left_join(regions, ., by = c('Archaeo_ID' = 'RegionID')) %>%
  ggplot() +
  geom_sf(aes(fill = diff), size = .3, color = 'white') +
  scale_fill_distiller(name = 'Onset\ndifference\n(ka)',
                       guide = 'colorbar',
                       palette = 'PuOr',
                       direction = 1,
                       limits = c(-6, 6)) +
  geom_sf_text(aes(label = region_label),
              nudge_x = 500000, size = 1.5, hjust = 0, expand = TRUE) +
  coord_sf(datum = NA) +
  theme_void() +
  facet_wrap(~ level) +
  theme(legend.position = c(0.50, 0.70),
        legend.title=element_text(size=8),
        legend.text=element text(size=6),
        legend.text.align = 1,
        legend.key.size = unit(0.5,"line")) +
  guides(fill = guide_colorbar(title.position = "top"))
```