

01.31.23

We are interested in relating matrix element  
 $\langle n_1, \dots | F^{(1)} | n'_1, \dots \rangle$  to the matrix elements of  $\langle i | f^{(a)} | k \rangle$

$$\langle i | f^{(a)} | k \rangle = \int d\mathbf{r}_a \psi_i^*(\mathbf{r}_a) f^{(a)}(\mathbf{r}_a, \hat{\mathbf{p}}_a) \psi_k(\mathbf{r}_a)$$

(example :  $n^{(a)}(\mathbf{R}) = \delta(\mathbf{R} - \mathbf{r}_a) \Rightarrow \langle i | n^{(a)}(\mathbf{R}) | k \rangle = \int d\mathbf{r}_a \psi_i^*(\mathbf{r}_a) \delta(\mathbf{R} - \mathbf{r}_a) \psi_k(\mathbf{r}_a)$   
 $\langle i | n^{(a)}(\mathbf{R}) | k \rangle = \psi_i^*(\mathbf{R}) \psi_k(\mathbf{R})$ )

Only one single-particle wave function, each term  
 $\langle \dots |$  and  $| \dots \rangle$  is involved non-trivially in the integration  
 (once one uses

$$| n_1, n_2, \dots \rangle = \sqrt{\frac{\prod n_k!}{N!}} \sum_{\{\mathbf{p}\}} \psi_{p_1}(\mathbf{r}_1) \dots \psi_{p_N}(\mathbf{r}_N)$$

to evaluate  $\langle \dots | F | \dots \rangle$ ). This is why at most one particle "moves" from one state ( $k$ ) to another ( $i$ )  
 The only non-zero matrix elements :

$$n'_k = n_k - 1, \quad n'_i = n_i + 1, \quad n'_l = n_l \text{ for } l \neq i, k$$

or

$$n'_l = n_l \quad \forall l$$

Consider first the matrix elements with two of the

occup. #s changing:

$$\langle n_1, \dots, n_i, \dots, n_k-1, \dots | \hat{F}^{(a)} | n_1, \dots, n_i-1, \dots, n_k, \dots \rangle = \sqrt{n_i n_k} f_{ik}$$

where  $\langle i | f^{(a)} | k \rangle = \int d\mathbf{r}_a \Psi_i^*(\mathbf{r}_a) f^{(a)}(\hat{\mathbf{r}}_a, \hat{p}_a) \Psi_k(\mathbf{r}_a)$

An example of  $\hat{n}(R)$  to see the origin of  $\sqrt{n_i n_k}$  factor.

$$\hat{n}(R) = \sum_a \hat{n}^a(R), \quad \hat{n}^a(R) = \delta(R - r_a); \quad f_{ik} = \Psi_i^*(R) \Psi_k(R).$$

$$\begin{aligned} & \langle n_1, \dots, n_i, \dots, n_k-1, \dots | \hat{n}(R) | n_1, \dots, n_i-1, \dots, n_k, \dots \rangle \\ &= \frac{1}{N!} \cdot \prod_{l \neq i, k} n_l^a! \cdot N \cdot \underbrace{\sqrt{n_i! (n_k-1)!} \sqrt{(n_i-1)! n_k!}}_{\text{from } \sum_a \dots} \Psi_i^*(R) \Psi_k(R) \sum_{\substack{\{P\} \in \Omega \\ \text{over } N-1 \text{ particles}}} \sum_{\substack{\{P\} \in \Omega \\ \text{over } N-1 \text{ particles}}} \delta_{\text{bra, ket}} \end{aligned}$$

$$\frac{1}{N!} \cdot \prod_{l \neq i, k} n_l^a! \cdot N \cdot \sqrt{n_i! (n_k-1)!} \sqrt{(n_i-1)! n_k!} = \prod_{l \neq i, k} n_l^a! \cdot \frac{(n_k-1)! (n_i-1)!}{(N-1)!} \cdot \sqrt{n_i n_k}$$

$$\prod_{l \neq i, k} n_l^a! \cdot \frac{(n_k-1)! (n_i-1)!}{(N-1)!} \sum_{\substack{\{P\} \in \Omega \\ \text{over } N-1 \text{ particles}}} \sum_{\substack{\{P\} \in \Omega \\ \text{over } N-1 \text{ particles}}} \delta_{\text{bra, ket}} = \prod_{l \neq i, k} n_l^a! \cdot \frac{(n_k-1)! (n_i-1)!}{(N-1)!} \cdot \frac{(N-1)!}{\prod_{l \neq i, k} n_l^a! (n_k-1)! (n_i-1)!} = 1$$

Thus,

$$\langle n_1, \dots, n_i, \dots, n_k-1, \dots | \hat{n}(R) | n_1, \dots, n_i-1, \dots, n_k, \dots \rangle = \sqrt{n_i n_k} \Psi_i^*(R) \Psi_k(R).$$

$$\left\{ \begin{array}{l} \langle n_1, \dots, n_i, \dots, n_k-1, \dots | \hat{F}^{(a)} | n_1, \dots, n_i-1, \dots, n_k, \dots \rangle = \sqrt{n_i n_k} f_{ik}^{(a)} \\ \langle n_1, \dots, n_i, \dots, n_k, \dots | \hat{F}^{(a)} | n_1, \dots, n_i, \dots, n_k, \dots \rangle = \sum_{i=1}^{\infty} n_i f_{ii}^{(a)} \\ f_{ik}^{(a)} = \int d\mathbf{r} \Psi_i^*(\mathbf{r}) f^{(a)}(\mathbf{r}) \Psi_k(\mathbf{r}) \equiv \langle i | f^{(a)} | k \rangle \end{array} \right.$$

Reading:

Eq.(64.2) n LL v.3

details: Fetter & Waleck, Ch.1

Introduce annihilation operator  $\hat{a}_i$  acting on  $|n_1, n_2, \dots, n_i, \dots\rangle$ :

$$\hat{a}_i |n_1, n_2, \dots, n_i, \dots\rangle = \sqrt{n_i} |n_1, n_2, \dots, n_i-1, \dots\rangle$$

The only non-zero matrix element of  $\hat{a}_i$  is

$$\langle n_1, \dots, n_i-1, \dots | \hat{a}_i | n_1, \dots, n_i, \dots \rangle = \sqrt{n_i}$$

abbreviate to

$$\begin{cases} \langle n_i-1 | \hat{a}_i | n_i \rangle = \sqrt{n_i} \\ \langle n_i | \hat{a}_i^+ | n_i-1 \rangle = \langle n_i-1 | \hat{a}_i | n_i \rangle^* = \sqrt{n_i} \end{cases}$$

$\hat{a}_i^+$ : creation operator (of a particle in state  $i$ )

$$\hat{a}_i^+ |n_1, n_2, \dots, n_i, \dots\rangle = \sqrt{n_i+1} |n_1, n_2, \dots, n_i+1, \dots\rangle$$

Consider

$$\hat{a}_i^+ \hat{a}_i |n_1, \dots, n_i, \dots\rangle = \hat{a}_i^+ \sqrt{n_i} |n_1, \dots, n_i-1, \dots\rangle = n_i |n_1, \dots, n_i, \dots\rangle$$

( $\hat{a}_i^+ \hat{a}_i$ : operator of particle  $\pm$  in state  $i$ )

$$\hat{a}_i \hat{a}_i^+ |n_1, \dots, n_i, \dots\rangle = (n_i+1) |n_1, \dots, n_i, \dots\rangle$$

$$\hat{a}_i \hat{a}_i^+ - \hat{a}_i^+ \hat{a}_i = 1 \quad (\text{regardless } n_i)$$

Generalizing for  $i \neq k$ :

$$\hat{a}_i \hat{a}_k^+ - \hat{a}_k^+ \hat{a}_i = 0 \quad (i \neq k)$$

$$\hat{a}_i \hat{a}_k - \hat{a}_k \hat{a}_i = 0 \quad (\text{any } i, k)$$

$$[\hat{a}_i, \hat{a}_k^+] = \delta_{ik}, \quad [\hat{a}_i, \hat{a}_k] = 0 \quad (\forall i, k)$$

Using the defns. of  $a_i, \hat{a}_i^\dagger$  we may re-write  $\hat{F}^{(1)}$  so its action on Fock space becomes evident:

$$\hat{F}^{(1)} = \sum_{ik} f_{ik}^{(1)} \hat{a}_i^\dagger \hat{a}_k, \quad f_{ik}^{(1)} = \langle ik | \hat{f}^{(1)} | ik \rangle$$

Generalization on a "2-particle" operator, such as pair interaction potential ( $\hat{f}_{ab}^{(2)} = V(r_a - r_b)$ )

$$\hat{F}^{(2)} = \sum_{ab} \hat{f}_{ab}^{(2)} = \frac{1}{2} \sum_{a,b} \hat{f}_{bab}^{(2)} - \frac{1}{2} \sum_a \hat{f}_{aaa}^{(2)}$$

$$F^{(2)} = \frac{1}{2} \sum_{iklm} \langle ikl | f^{(2)} | lkm \rangle a_i^\dagger a_k^\dagger a_m a_l$$

$$\langle ikl | f^{(2)} | lkm \rangle = \int d\mathbf{r}_1 \int d\mathbf{r}_2 \Psi_i^*(\mathbf{r}_1) \Psi_k^*(\mathbf{r}_2) \hat{f}^{(2)}(\bar{\mathbf{r}}_1, \bar{\mathbf{p}}_1; \bar{\mathbf{r}}_2, \bar{\mathbf{p}}_2) \Psi_l(\mathbf{r}_1) \Psi_m(\mathbf{r}_2)$$

$$\hat{\mathcal{H}} = \sum_a \hat{\mathcal{H}}_a^{(1)} + \sum_{ab} V(\bar{\mathbf{r}}_a, \bar{\mathbf{r}}_b), \text{ with } \hat{\mathcal{H}}_a^{(1)} = -\frac{\hbar^2}{2m} \nabla_a^2 + U(\bar{\mathbf{r}}_a)$$

In second quantization:

$$\hat{\mathcal{H}} = \sum_{ik} \langle i | \hat{\mathcal{H}}^{(1)} | ik \rangle a_i^\dagger a_k + \frac{1}{2} \sum_{iklm} \langle ikl | \hat{V} | lkm \rangle a_i^\dagger a_k^\dagger a_m a_l$$

$$\hat{N} = \sum_i a_i^\dagger a_i ; \quad [\hat{N}, \hat{\mathcal{H}}] = 0 \quad (\text{particle # conservation})$$

The wave function  $|n_1, n_2, \dots \rangle$  can be represented by action of creation operators on vacuum  $|0\rangle$

$$|n_1, n_2, \dots \rangle = \frac{1}{\sqrt{n_1! n_2! \dots}} (a_1^\dagger)^{n_1} (a_2^\dagger)^{n_2} \dots |0\rangle$$

(recall that  $a_i^\dagger |n_1, \dots, n_i, \dots\rangle = \sqrt{n_i+1} |n_1, \dots, n_i+1, \dots\rangle$

$$(a_i^\dagger)^{n_i} |0\rangle = (a_i^\dagger)^{n_i-1} a_i^\dagger |0\rangle = (a_i^\dagger)^{n_i-1} \sqrt{1} |1\rangle = (a_i^\dagger)^{n_i-2} \sqrt{2} \cdot \sqrt{1} |2\rangle = \dots = \sqrt{n_i!} |n_i\rangle$$

$$|N, 0, \dots 0\rangle = \frac{1}{\sqrt{N!}} (a_0^\dagger)^N |0\rangle$$

In real space :  $\Psi = \psi(r_1) \psi(r_2) \dots \psi(r_N)$

02.02.23

### 3.2. Fermions (LL v.3 § 65)

Order one-particle states once and for all.

Consider a "single-body" operator,  $\hat{F}^{(1)} = \sum_a \hat{f}_a^{(1)} = \sum_a f(\hat{r}_a, \hat{p}_a)$

The only non-zero elements of  $\hat{F}$  in the basis of Fock states involve  $\{n'_i\} = \{n_i\}$  (no change in occupations) or shift one particle from a state  $i$  to a state  $k$ :  $n'_i = n_i \pm 1$ ,  $n'_k = n_k \mp 1$  (all  $n$ 's are 0 or 1)

Direct calculation shows:

$$\begin{cases} \langle \dots, 1_i, \dots, 0_k, \dots | \hat{F}^{(1)} | \dots, 0_i, \dots, 1_k, \dots \rangle = \langle i | f^{(1)} | k \rangle \cdot (-1)^{\sum_{i < k} (i+1, k-1)}, \\ \langle \dots, 0_k, \dots, 1_i, \dots | \hat{F}^{(1)} | \dots, 1_k, \dots, 0_i, \dots \rangle = \langle i | f^{(1)} | k \rangle \cdot (-1)^{\sum_{i > k} (k+1, i-1)} \end{cases}$$

Here  $| \dots, 0_i, \dots, 1_k, \dots \rangle = |n_1, n_2, \dots, n_i=0, \dots, n_k=1, \dots\rangle$

(One may use properties of det to check the relations, and figure out  $\Sigma(m, q)$ )

$$\Sigma(m, q) = \sum_{\lambda=m}^q n_\lambda, \quad q \geq m$$

$$\Sigma(m, q) \stackrel{\text{def}}{=} 0 \quad \text{for } q < m$$

det :

$$\begin{array}{c} 1 \quad \dots \quad i \leftarrow k \quad \dots \quad N \\ \hline r_1 \quad | \quad - \quad - \quad - \quad - \quad - \quad - \\ r_2 \quad | \quad + \quad - \quad - \quad - \quad - \quad - \\ \vdots \quad | \quad | \quad | \quad | \quad | \quad | \\ r_m \quad | \quad - \quad - \quad - \quad - \quad - \quad - \end{array}$$

Diagonal elements :

$$\langle n_1, \dots | F^{(1)} | n_1, \dots \rangle = \sum_{i=1}^{\infty} \langle i | f^{(1)} | i \rangle n_i$$

↑ position of state  
 (ordered once and for all)

Introduce operators  $a^+, a$  as matrices between the basis Fock states with the non-zero matrix elements:

$$\langle 0_i | \hat{a}_i^\dagger | 1_i \rangle = \langle 1_i | \hat{a}_i^\dagger | 0_i \rangle = (-1)^{\sum (\Delta_i i - 1)}$$

Use this relation to evaluate

$$\left\{ \begin{array}{l} \langle 1_i, q_k | \hat{a}_i^+ \hat{a}_k | 0_{i,k} \rangle = (-1)^{\sum (i+l, k-l)} \\ i < k \end{array} \right.$$

$$\left\{ \langle 1_i 0_k | \hat{Q}_k \hat{Q}_i^+ | 0_i, 1_e \rangle = -(-1)^{\sum (i+j, k-i)}, \quad i < k \right.$$

Similar, at  $k < i$  one gets these relations with  $\sum_{(i+k-1)} \rightarrow \sum_{(k+i-1)}$

$$c_i^+ c_k + c_k c_i^+ = 0, \quad i \neq k$$

$$\langle n_i | \hat{c}_i^+ \hat{c}_i^- | n_i \rangle = n_i, \quad \langle n_i | a_i^\dagger a_i^+ | n_i \rangle = 1 - n_i \quad (\text{check directly from def of } a_i^\dagger \text{ and } \hat{c}_i^-)$$

$$\hat{a}_i \hat{a}_i^+ + \hat{a}_i^+ \hat{a}_i = 1$$

Therefore

$$Q_i^+ Q_k + Q_k Q_i^+ = \delta_{ik}, \text{ or } \{Q_i^+, Q_k\} = \delta_{ik}$$

(Hence  $\{\hat{A}, \hat{B}\} = \hat{A}\hat{B} - \hat{B}\hat{A}$ , anticommutator)

Similarly one gets:

$$a_i a_k + a_k a_i = 0 \text{ , or } \{a_i, a_k\} = 0 \quad (\forall i, k)$$

Using the creation and annihilation operators we may write  $\hat{F}^{(1)}$  in the second-quant. rep.:

$$\hat{F}^{(1)} = \sum_{i,k} \langle i | f^{(1)} | k \rangle a_i^+ a_k$$

Now we derive for 2-Body operators:

$$\hat{F}^{(2)} = \sum_{a>b} \hat{f}_{ab}^{(2)} \Rightarrow \hat{F}^{(2)} = \frac{1}{2} \sum_{iklm} \langle i k | f^{(2)} | l m \rangle a_i^+ a_k^+ a_m^+ a_l$$

(normal order of operators  $a_i^+, a_l$ )

with

$$\langle i k | f^{(2)} | l m \rangle = \int d\mathbf{r}_1 \int d\mathbf{r}_2 \Psi_i^*(\mathbf{r}_1) \Psi_k^*(\mathbf{r}_2) \hat{f}^{(2)}(\hat{\mathbf{r}}_1, \hat{\mathbf{p}}_1; \hat{\mathbf{r}}_2, \hat{\mathbf{p}}_2) \Psi_l(\mathbf{r}_1) \Psi_m(\mathbf{r}_2)$$

The "two-body" operators in second quantization:

$$\hat{F}^{(2)} = \sum_{a>b} \hat{f}_{ab}^{(2)} = \frac{1}{2} \sum_{a,b} f^{(2)}(r_a, r_b) - \frac{1}{2} \sum_a f(r_a, r_a)$$

$$\hat{F}^{(2)} = \frac{1}{2} \sum_{a,b} \underbrace{\int dR_1 \int dR_2}_{\sum_a} \delta(R_1 - r_a) f(R_1, R_2) \delta(R_2 - r_b)$$

$$- \frac{1}{2} \left( \sum_a \int dR \delta(R - r_a) f(R, R) \right)$$

$$= \frac{1}{2} \int dR_1 \int dR_2 f(R_1, R_2) \sum_a \delta(R_1 - r_a) \sum_b \delta(R_2 - r_b) - \frac{1}{2} \int dR f(R, R) \sum_a \delta(R - r_a)$$

$$= \frac{1}{2} \int dR_1 \int dR_2 f(R_1, R_2) \hat{n}(R_1) \hat{n}(R_2) - \frac{1}{2} \int dR f(R, R) \hat{n}(R)$$

Use the "one-body" operators  $\hat{n}(R)$  in second-quantized form:

$$\hat{n}(R_1) = \sum_{m,n} \Psi_m^*(R_1) \Psi_n(R_1) a_m^+ a_n \quad (\text{recall } \langle m | \hat{n}(R) | n \rangle = \Psi_m^*(R) \Psi_n(R))$$

$$\hat{n}(R_2) = \sum_{k,l} \Psi_k^*(R_2) \Psi_l(R_2) a_k^+ a_l$$

$$\hat{n}(R_1) \hat{n}(R_2) \rightarrow a_m^+ a_n a_k^+ a_l$$

① Check that  $a_m^+ a_n a_k^+ a_l = a_m^+ a_k^+ a_l a_n + \delta_{nk} a_m^+ a_l$  (for bosons or fermions)

② use  $\sum_{nk} \delta_{nk} \Psi_k^*(R_2) \Psi_n(R_1) = \delta(R_1 - R_2)$

③ see the cancellation of  $\int dR f(R, R) \hat{n}(R)$  upon normal ordering.

$$\hat{H} = \sum_a \hat{H}_a^{(0)} + \sum_{a \neq b} V(\vec{r}_a, \vec{r}_b), \text{ with } \hat{H}_a^{(0)} = -\frac{\hbar^2}{2m} \nabla^2 + U(\vec{r}_a)$$

In second quantization:

$$\hat{H} = \sum_{ik} \langle i | \hat{H}^{(0)} | k \rangle a_i^* a_k + \frac{1}{2} \sum_{ijklm} \langle ikl | \hat{V} | lkm \rangle a_i^* a_k^* a_m a_l$$

↑↑ order matters!

$$\langle i | \hat{H}^{(0)} | k \rangle = \int d\mathbf{r}_i \Psi_i^*(\mathbf{r}_i) \left( -\frac{\hbar^2}{2m} \nabla^2 + U(\vec{r}_a) \right) \Psi_i(\mathbf{r}_i)$$

$$\langle ikl | \hat{V} | lkm \rangle = \int d\mathbf{r}_i \int d\mathbf{r}_l \Psi_i^*(\mathbf{r}_i) \Psi_k^*(\mathbf{r}_l) V(\mathbf{r}_i, \mathbf{r}_l) \Psi_l(\mathbf{r}_l) \Psi_m(\mathbf{r}_k)$$

$$\hat{N} = \sum_i a_i^* a_i, \quad [\hat{N}, \hat{H}] = 0$$

GS wave function for non-interacting fermions:

$$|GS\rangle = |\underbrace{1,1,1 \dots 1}_{N \text{ (total # of particles)}}\rangle = \prod_{E_i \leq E_F} a_i^* |0\rangle$$

02.03.23

### 3.3. Field operators

We may justify the term "second quantization".

Introduce:

$$\hat{\Psi}(\vec{r}) = \sum_i \Psi_i(\vec{r}) \hat{a}_i, \quad \hat{\Psi}^+(\vec{r}) = \sum_i \Psi_i^*(\vec{r}) \hat{a}_i^\dagger \quad (\text{field operators})$$

( $\Psi_i(\vec{r})$  form full orthonormal basis)

Commutation relations for  $\hat{\Psi}$ ,  $\hat{\Psi}^+$ :

$$\begin{aligned} \hat{\Psi}(\vec{r}_1) \hat{\Psi}^+(\vec{r}_2) &\stackrel{\substack{\text{fermions} \\ \uparrow \\ \text{bosons}}}{=} \hat{\Psi}^+(\vec{r}_2) \hat{\Psi}(\vec{r}_1) = \sum_i \Psi_i(\vec{r}_1) \hat{a}_i \sum_j \Psi_j^*(\vec{r}_2) \hat{a}_j^\dagger \stackrel{\text{fermions}}{=} \sum_j \Psi_j^*(\vec{r}_2) \hat{a}_j^\dagger \\ &\times \sum_i \hat{\Psi}_i(\vec{r}_1) \hat{a}_i = \sum_{ij} \Psi_i(\vec{r}_1) \Psi_j^*(\vec{r}_2) (\hat{a}_i \hat{a}_j^\dagger \stackrel{\text{fermions}}{=} \hat{a}_j^\dagger \hat{a}_i) = \sum_{ij} \delta_{ij} \Psi_i(\vec{r}_1) \Psi_j^*(\vec{r}_2) \\ &= \sum_i \Psi_i(\vec{r}_1) \Psi_i^*(\vec{r}_2) = \delta(\vec{r}_1 - \vec{r}_2) \end{aligned}$$

$$\left\{ \begin{array}{l} \hat{\Psi}(\vec{r}_1) \hat{\Psi}^+(\vec{r}_2) \stackrel{\text{fermions}}{=} \hat{\Psi}^+(\vec{r}_2) \hat{\Psi}(\vec{r}_1) = \delta(\vec{r}_1 - \vec{r}_2) \\ \hat{\Psi}(\vec{r}_1) \hat{\Psi}(\vec{r}_2) \stackrel{\text{bosons}}{=} \hat{\Psi}(\vec{r}_2) \hat{\Psi}(\vec{r}_1) = 0 \end{array} \right.$$

$$\hat{\mathcal{H}} = \int d\vec{r} \left\{ -\frac{\hbar^2}{2m} \hat{\Psi}^+(\vec{r}) \nabla^2 \hat{\Psi}(\vec{r}) + \hat{\Psi}^+(\vec{r}) U(\vec{r}) \hat{\Psi}(\vec{r}) \right\}$$

$$+ \frac{1}{2} \int d\vec{r}_1 d\vec{r}_2 \hat{\Psi}^+(\vec{r}_1) \hat{\Psi}^+(\vec{r}_2) V(\vec{r}_1, \vec{r}_2) \hat{\Psi}(\vec{r}_2) \hat{\Psi}(\vec{r}_1)$$

$$\hat{n}(\vec{r}) = \hat{\Psi}^+(\vec{r}) \hat{\Psi}(\vec{r}) ; \quad \hat{N} = \int d\vec{r} \hat{n}(\vec{r}) = \int d\vec{r} \hat{\Psi}^+(\vec{r}) \hat{\Psi}(\vec{r})$$

Particles with spin:

$$\hat{\Psi}(\vec{r}) \rightarrow \hat{\Psi}(\vec{r}, \sigma) \equiv \hat{\Psi}_{\sigma}(\vec{r})$$

$$V = \frac{1}{2} \sum_{\sigma_1 \sigma_2} \int d\vec{r}_1 \int d\vec{r}_2 \hat{\Psi}_{\sigma_1}^+(\vec{r}_1) \hat{\Psi}_{\sigma_2}^+(\vec{r}_2) V(\vec{r}_1, \vec{r}_2) \hat{\Psi}_{\sigma_2}(\vec{r}_2) \hat{\Psi}_{\sigma_1}(\vec{r}_1)$$

↑  
(spin-conserving interaction)

### 3.4. Change of the one-particle basis in second quantization

Two bases:  $|\psi_{\mu}\rangle$  and  $|\tilde{\psi}_i\rangle$

The matrix elements of a single-particle operator:

$$f_{\mu\nu}^{(1)} = \langle \psi_{\mu} | \hat{f}^{(1)} | \psi_{\nu} \rangle ; \quad \tilde{f}_{ik}^{(1)} = \langle \tilde{\psi}_i | \hat{f}^{(1)} | \tilde{\psi}_k \rangle$$

The requirement that for any operator  $\hat{F}^{(1)}$ :

$$\hat{F}^{(1)} = \sum_{\mu\nu} f_{\mu\nu}^{(1)} \underbrace{a_{\mu}^+}_{\text{operators defined in old basis}} Q_{\nu} = \sum_{ik} \tilde{f}_{ik}^{(1)} \underbrace{\tilde{a}_i^+}_{\text{operators defined in new basis}} \tilde{a}_k \quad \text{is valid}$$

Satisfied with:

$$\tilde{a}_i = \sum_{\nu} \langle \tilde{\psi}_i | \psi_{\nu} \rangle Q_{\nu} ; \quad \tilde{a}_k^+ = \sum_{\mu} \langle \tilde{\psi}_k | \psi_{\nu} \rangle^* a_{\mu}^+$$

(Use  $\sum_k |\tilde{\Psi}_k\rangle \langle \tilde{\Psi}_k| = \hat{I}$  to show this)

$\langle \tilde{\Psi}_i | \tilde{\Psi}_j \rangle = S_{ij}$  - unitary matrix  $\Rightarrow$  the commutation relations are preserved in the new basis

$$[\tilde{a}_i, \tilde{a}_j^+]_{\pm} = \sum_{\substack{i, j \\ \text{fermions}}} s_{ij} s_{ij}^+ [a_{\nu_1}, a_{\nu_2}^+]_{\pm} = \sum_{\nu_1 \nu_2} s_{ij} \delta_{\nu_1 \nu_2} s_{ij}^+$$

$$= \sum_{\nu_1} s_{ij} s_{ij}^+ = \delta_{ij}, \text{ same as } [a_{\nu_1}, a_{\nu_2}^+]_{\pm} = \delta_{ij}.$$

The particle # is conserved:

$$\sum_i \tilde{a}_i^+ \tilde{a}_i = \sum_{\mu} a_{\mu}^+ a_{\mu}$$

(canonical transformation)

### 3.5. Momentum representation of field operators

(use of plane-wave basis)

$$\hat{\psi}^+(\vec{r}) = \frac{1}{\sqrt{L^3}} \sum_{\vec{k}} e^{-i\vec{k}\vec{r}} a_{\vec{k}}^+, \quad \hat{\psi}(\vec{r}) = \frac{1}{\sqrt{L^3}} \sum_{\vec{k}} e^{i\vec{k}\vec{r}} a_{\vec{k}}$$

(periodic boundary conditions for a cube  $L \times L \times L$ )

#### Operator of particle density

$$\hat{n}(\vec{r}) = \hat{\psi}^+(\vec{r}) \hat{\psi}(\vec{r}) = \frac{1}{L^3} \sum_{\vec{k} \vec{k}_2} e^{i(\vec{k}_2 - \vec{k}_1) \vec{r}} a_{\vec{k}_1}^+ a_{\vec{k}_2}$$

$$= \frac{1}{L^3} \sum_{\vec{q}} e^{i\vec{q}\vec{r}} \sum_{\vec{k}} a_{\vec{k}}^+ a_{\vec{k} + \vec{q}} = \frac{1}{L^3} \sum_{\vec{q}} e^{i\vec{q}\vec{r}} \hat{n}(\vec{q}) \quad (\text{introduced } \vec{q} = \vec{k}_2 - \vec{k}_1)$$

#### Fourier component of the particle density operator

$$\hat{n}(\vec{q}) \equiv \sum_{\vec{k}} a_{\vec{k}}^+ a_{\vec{k} + \vec{q}}$$

(Particles with spin; operator of density of particles in the spin state  $\sigma$ :

$$\hat{n}_\sigma(\vec{r}) = \frac{1}{L^3} \sum_{\vec{q}} e^{i\vec{q}\vec{r}} n_\sigma(\vec{q}), n_\sigma(\vec{q}) = \sum_{\vec{k}} a_{\vec{k}\sigma}^+ a_{\vec{k}+\vec{q}\sigma}$$

(useful if spin is a conserved quantity)

Next we look at the pair interaction in a transl.-inv. system and show that

$$\hat{V} = \frac{1}{2L^3} \sum_{\vec{k}_1 \vec{k}_2 \vec{q}} V(\vec{q}) a_{\vec{k}_1 + \vec{q}}^+ a_{\vec{k}_2 - \vec{q}}^+ a_{\vec{k}_1} a_{\vec{k}_2}; \quad V(\vec{q}) \equiv V_{\vec{q}} = \int d\vec{r} e^{i\vec{q}\vec{r}} V(\vec{r})$$

$$\hat{V} = \frac{1}{2} \int d\vec{r}_1 d\vec{r}_2 \hat{\psi}^+(\vec{r}_1) \hat{\psi}^+(\vec{r}_2) V(\vec{r}_1 - \vec{r}_2) \hat{\psi}(\vec{r}_2) \hat{\psi}(\vec{r}_1)$$

$$= \frac{1}{2} \cdot \frac{1}{L^6} \cdot \sum_{\substack{\vec{k}_1 \vec{k}_2 \\ \vec{k}_3 \vec{k}_4}} \int d\vec{r}_1 d\vec{r}_2 V(\vec{r}_1 - \vec{r}_2) e^{i(\vec{k}_1 \vec{r}_1 + \vec{k}_2 \vec{r}_2 - \vec{k}_3 \vec{r}_1 - \vec{k}_4 \vec{r}_2)} a_{\vec{k}_3}^+ a_{\vec{k}_4}^+ a_{\vec{k}_1} a_{\vec{k}_2}$$

$$\begin{aligned} \vec{k}_1 \vec{r}_1 + \vec{k}_2 \vec{r}_2 - \vec{k}_3 \vec{r}_1 - \vec{k}_4 \vec{r}_2 &= (\vec{k}_1 - \vec{k}_3) \vec{r}_1 + (\vec{k}_2 - \vec{k}_4) \vec{r}_2 = (\vec{k}_1 - \vec{k}_3 + \vec{k}_2 - \vec{k}_4) \vec{r}_1 \\ &\quad + (\vec{k}_2 - \vec{k}_4) (\vec{r}_2 - \vec{r}_1) \end{aligned}$$

Introduce  $\vec{r} = \vec{r}_2 - \vec{r}_1$

$$\begin{aligned} &\int d\vec{r}_1 d\vec{r}_2 V(\vec{r}_1 - \vec{r}_2) e^{i(\vec{k}_1 \vec{r}_1 + \vec{k}_2 \vec{r}_2 - \vec{k}_3 \vec{r}_1 - \vec{k}_4 \vec{r}_2)} \\ &= \int_{L^3} d\vec{r}_1 e^{i(\vec{k}_1 - \vec{k}_3 + \vec{k}_2 - \vec{k}_4) \vec{r}_1} \int d\vec{r} V(\vec{r}) e^{i(\vec{k}_2 - \vec{k}_4) \vec{r}} = L^3 \delta_{\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4} \cdot V(\vec{q}) \end{aligned}$$

We introduced here the transferred momentum:  $\vec{q} = \vec{k}_2 - \vec{k}_4 = \vec{k}_3 - \vec{k}_1$ ,  
 $V(\vec{q}) \equiv V_{\vec{q}} = \int d\vec{r} e^{i\vec{q}\vec{r}} V(\vec{r})$

$$\hat{V} = \frac{1}{2L^3} \sum_{\vec{k}_1 \vec{k}_2 \vec{q}} V(\vec{q}) a_{\vec{k}_1 + \vec{q}}^+ a_{\vec{k}_2 - \vec{q}}^+ a_{\vec{k}_1} a_{\vec{k}_2}.$$

Example: two-body scattering amplitude

$$T\text{-matrix: } \hat{T} = \hat{V} + \hat{V} \hat{G}_0 \hat{V} + \dots, \quad G_0 = \frac{1}{E - \hat{H}_0}; \quad \hat{H} = \hat{H}_0 + \hat{V}$$

Born approx.:  $\hat{T}^{(1)} = \hat{V}$

Initial state: two particles with momenta  $\vec{P}_1, \vec{P}_2$  (and  $\vec{P} \neq \vec{P}_2$ )

$$|\vec{P}_1, \vec{P}_2\rangle = a_{\vec{P}_1}^+ a_{\vec{P}_2}^+ |0\rangle$$

Final state:

$$|\vec{P}_1 + \vec{Q}, \vec{P}_2 - \vec{Q}\rangle = a_{\vec{P}_1 + \vec{Q}}^+ a_{\vec{P}_2 - \vec{Q}}^+ |0\rangle$$

Scattering amplitude

$$\langle \vec{P}_1 + \vec{Q}, \vec{P}_2 - \vec{Q} | \hat{T}^{(1)} | \vec{P}_1, \vec{P}_2 \rangle$$

$$= \langle \vec{P}_1 + \vec{Q}, \vec{P}_2 - \vec{Q} | \frac{1}{2L^3} \sum_{\vec{q} \in E_2 \vec{q}} V(\vec{q}) a_{\vec{q} + \vec{q}}^+ a_{E_2 - \vec{q}}^+ a_{E_2} a_{\vec{q}} | \vec{P}_1, \vec{P}_2 \rangle$$

$$= \frac{1}{2L^3} \sum_{\vec{q} \in E_2 \vec{q}} \langle 0 | a_{\vec{P}_2 - \vec{Q}}^+ a_{\vec{P}_1 + \vec{Q}}^+ V(\vec{q}) a_{\vec{q} + \vec{q}}^+ a_{E_2 - \vec{q}}^+ a_{E_2} a_{\vec{q}} a_{\vec{P}_1}^+ a_{\vec{P}_2}^+ | 0 \rangle$$

$$= \frac{1}{2L^3} \sum_{\vec{q} \in E_2 \vec{q}} V(\vec{q}) \langle 0 | a_{\vec{P}_2 - \vec{Q}}^+ a_{\vec{P}_1 + \vec{Q}}^+ a_{\vec{q} + \vec{q}}^+ a_{E_2 - \vec{q}}^+ a_{E_2} \underbrace{a_{\vec{q}}}_{\boxed{a_{\vec{P}_1}^+ a_{\vec{P}_2}^+}} | 0 \rangle$$

We will finish the evaluation of the amplitude  
on Tuesday, 02.07.23