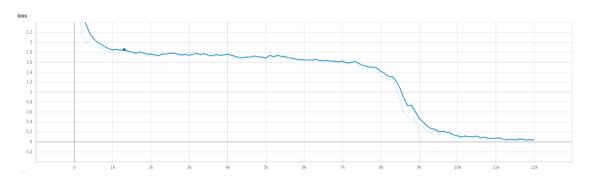
## Homework 1: End-to-end Speech Recognition

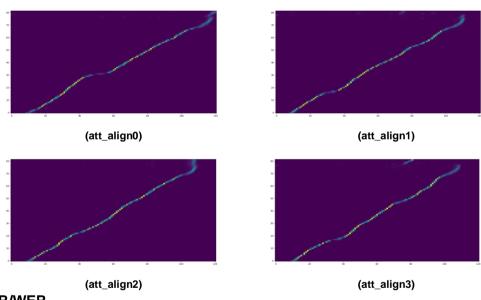
學號: R08942087, R08921040 系級: 電信一, 電機一 姓名: 吳彬睿, 徐均筑

1. (2%) Train a seq2seq attention-based ASR model. Paste the learning curve and alignment plot from tensorboard. Report the CER/WER of dev set and kaggle score of testing set.

#### Learning curve



## Alignment plot



CER/WER

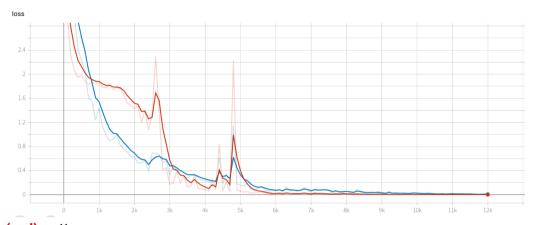
| Error Rate (%) | )  Mean | Std. | Min./Max.   |
|----------------|---------|------|-------------|
| Character      | 3.1271  | 5.08 | 0.00/134.15 |
| Word           | 9.7909  | 9.03 | 0.00/131.82 |

#### Kaggle score

| Submission and Description            | Public Score | Use for Final Score |
|---------------------------------------|--------------|---------------------|
| answer.csv<br>4 days ago by BinRay Wu | 2.20400      |                     |

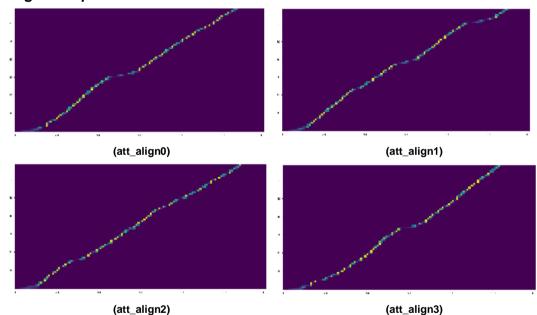
# 2. (2%) Repeat 1. by training a joint CTC-attention ASR model (decoding with seq2seq decoder). Which model converges faster? Explain why.

- ctc\_weight is set to 0.1.
- Learning curve



(red): att (blue): ctc

## Alignment plot



#### CER/WER

| Error Rate (%)  Mean | Std. | Min./Max.  | ۱. |
|----------------------|------|------------|----|
| Character   1.9435   | 2.20 | 0.00/19.44 |    |
| Word   6.5480        | 6.84 | 0.00/50.00 |    |

#### Kaggle score

Submission and Description

Public Score

Use for Final Score

submit\_ctc.csv

1 minutes ago by jjsyu0304

train ctc=0.1, test Im=0.1 Hello

Which model converges faster? Explain why.

The attention model is easily affected by noises, and generates misalignments because the model does not have any constraint that guides the alignments be monotonic as in CTC. Compared with the attention model, a joint CTC-attention model is more robust and achieves fast convergence.

3. (2%) Use the model in 2. to decode only in CTC (ctc\_weight=1.0). Report the CER/WER of dev set and kaggle score of testing set. Which model performs better in 1. 2. 3.? Explain why.

#### CER/WER

| Character   3 8035   1 2 08   1 0 00/16 67 | Error Rate          | (%)  Mean           | Std.           | Min./Max.                    |  |
|--|---------------------|---------------------|----------------|------------------------------|--|
| Word   13.4826   9.94   0.00/61.11         | Character<br>  Word | 3.8035<br>  13.4826 | 2.98<br>  9.94 | 0.00/16.67  <br>  0.00/61.11 |  |

Kaggle score



• Which model performs better in 1. 2. 3.? Explain why.

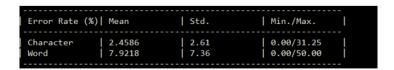
The model in 2. achieves the best performance. Due to the lack of left-toright constraints as used in CTC, the attention model is too flexible to predict proper alignments. The CTC objective helps guide the attention model during training to be more robust and effective, and produce a better model for speech recognition.

4. (2%) Train an external language model. Use it to help the model in 1. to decode. Report the CER/WER of dev set and kaggle score of testing set.

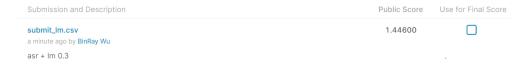
Parameter

Im weight = 0.3

CER/WER



Kaggle score



5. (2%) Try decoding the model in 4. with different beam size (e.g. 2, 5, 10, 20, 50). Which beam size is the best?

Parameters

 $Im_weights = 0.3$ 

Experiments

| Beam size | CER(%)   | WER(%)   |
|-----------|----------|----------|
| 2         | 2.7140 % | 8.3334 % |
| 5         | 2.6484 % | 8.1146 % |
| 10        | 2.6295 % | 8.0646 % |
| 20        | 2.6269 % | 8.0596 % |
| 30        | 2.6269 % | 8.0596 % |

• Which beam size is the best?

Beam size = 20/30 results in the best CER/WER.

**Bonus: (1%)** 

#### References

- [1] T. Hori, S. Watanabe, Y. Zhang, and W. Chan, "Advances in jointCTC-attention based end-to-end speech recognition with a deep CNN encoder and RNN-LM," in Interspeech, 2017
- [2] Suyoun Kim, Takaaki Hori, and Shinji Watanabe, "Joint ctc-attention based endto-end speech recognition using multi-task learning," in Acoustics, Speech and Signal Processing (ICAS-SP), 2017 IEEE International Conference on. IEEE, 2017