



COMP4121 Advanced Algorithms

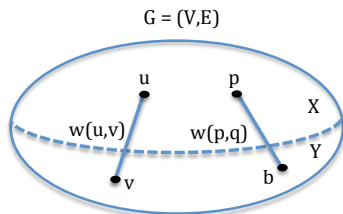
Aleks Ignjatović

School of Computer Science and Engineering
University of New South Wales

More randomized algorithms:
Karger's MinCut Algorithm

Karger's MinCut Algorithm

- Assume you are given an undirected, connected weighted graph $G = (V, E)$, with weights of all edges positive reals.
- A *cut* $T = (X, Y)$ in G is any partition of the set of vertices V into two non empty disjoint subsets X and Y such that $V = X \cup Y$.
- The capacity of a *cut* $T = (X, Y)$ in G is the total sum of weights of all edges which have one end in X and the other in Y .



Cut $T = (X, Y)$;

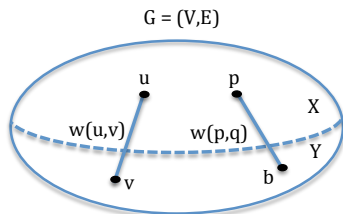
Capacity $C(T)$ of T is:

$$C(T) = \sum \{w(u, v) : u \in X \text{ \& } v \in Y\}$$

- A cut $T = (X, Y)$ in G is a *minimal cut* if it has the lowest capacity among all cuts in G .
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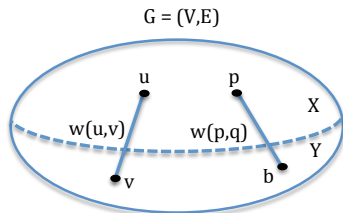
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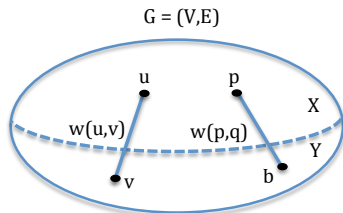
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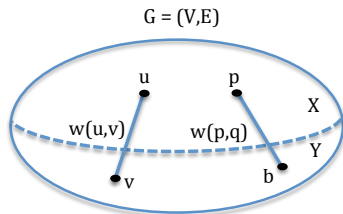
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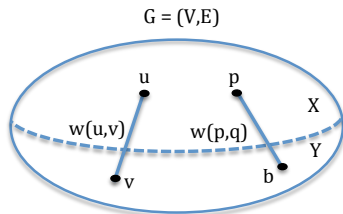
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- The task is, given a connected graph G find a cut of minimal possible capacity.
- Let (X, Y) be a cut in G of the minimal possible capacity, let x be any vertex in X and y any vertex in Y .
- Since G is undirected, if we replace every edge of G with two directed edges in opposite directions, in such flow network this cut (X, Y) would also be the smallest capacity cut with x as the source and y as the sink.
- Thus, we could fix one arbitrary vertex x of G as the source and try all other vertices as possible sinks and run a Max Flow algorithm on such flow networks to find the corresponding Min Cuts and then among such produced cuts pick the one with the smallest capacity.
- However, this results in a very slow algorithm – the fastest Max Flow algorithm to date runs in time $O(|V|^3)$ so such algorithm would run in time $O(n^4)$ which is very slow for large n .

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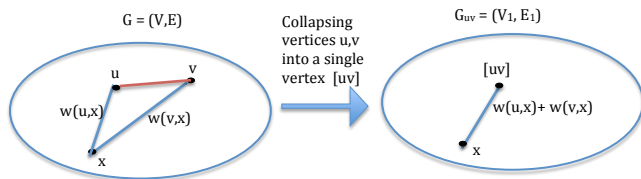
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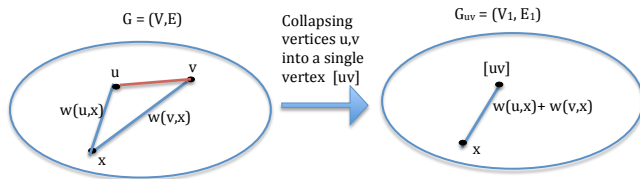
- We now design a much faster randomised algorithm in two stages, refining in the second stage the algorithm designed in the first stage.
- The basic operation: contracting an edge $e(u, v)$ by fusing the two vertices u and v into a single vertex $[uv]$ and replacing edges $e(u, x)$ and $e(v, x)$ by a single edge $e([uv], x)$ of weight $w([uv], x) = w(u, x) + w(v, x)$:



- We denote thus obtained graph as G_{uv}

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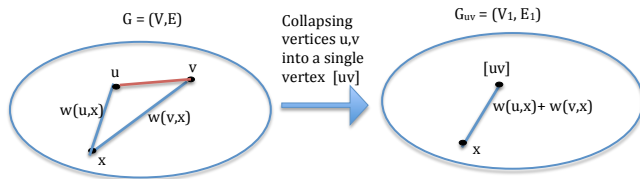
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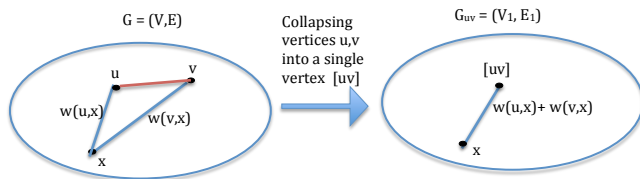
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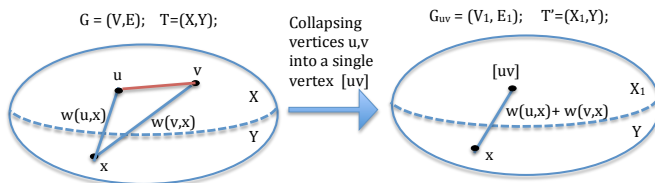
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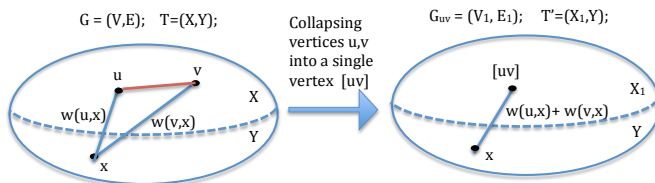
Karger's MinCut Algorithm

- Claim1:** If two vertices u and v belong to the same side of a minimal cut (X, Y) then after collapsing u and v into a single vertex the capacity of the minimal cut in G_{uv} is the same as the capacity of the minimal cut in G .



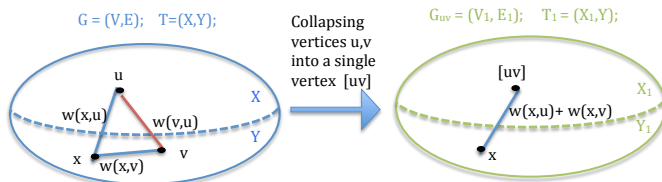
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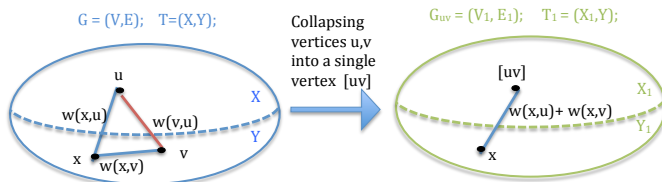
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- **Claim2:** If two vertices u and v belong to the opposite sides of a minimal cut (X, Y) in G then after collapsing u and v into a single vertex the capacity of the minimal cut in G_{uv} is larger or equal to the capacity of the minimal cut in G .

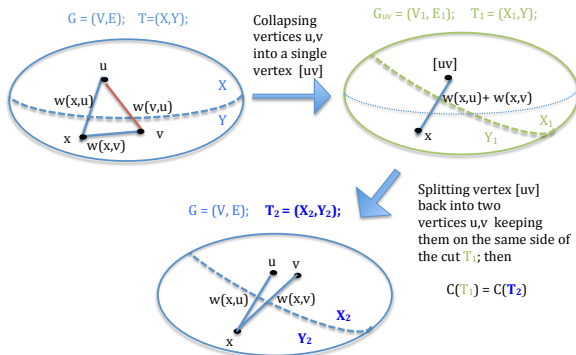


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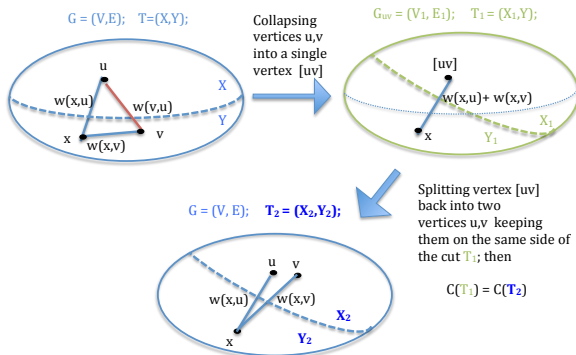
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Proof:

- Let $T_1 = (X_1, Y_1)$ be a minimal cut in G_{uv} (T_1 can be completely unrelated to the minimal cut T in G).
- Split vertex $[uv]$ back into two vertices u and v but keep them on the same side of the minimal cut T_1 . This produces a cut T_2 in G of the same capacity as the minimal cut T_1 in G_{uv} . Thus, the capacity of the minimal cut in G can only be smaller than the capacity of the minimal cut T_1 in G_{uv} .

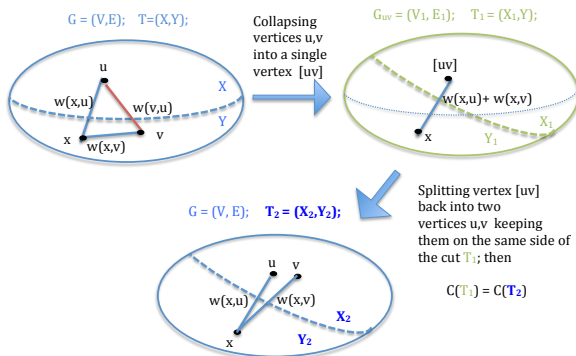
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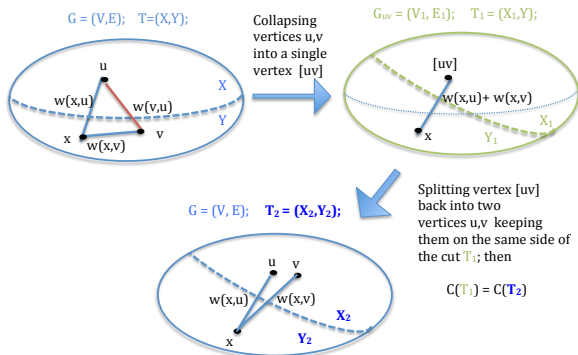
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Karger's MinCut Algorithm - first attempt

Algorithm 1:

- Pick an edge to contract with a probability proportional to the weight of that edge:

$$P(e(u, v)) = \frac{w(u, v)}{\sum_{e(p, q) \in E} w(p, q)}$$

- Continue until only one edge is left (we are assuming that the graph is connected).
- Take the capacity of that last edge to be the estimate of the capacity of the minimal cut in G .

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- **Theorem 1:** Let G_{uv} the graph obtained from a graph G with n vertices by contracting an edge $e(u, v) \in E$. Then the probability that the capacity of a minimal cut in G_{uv} is larger than the capacity of a minimal cut in G is smaller than $2/n$:

$$P\left(\text{MIN-CUT-CAPACITY}(G_{uv}) > \text{MIN-CUT-CAPACITY}(G)\right) < \frac{2}{n} \quad (1)$$

- **Proof:** As we have shown, the capacity of the min cut can increase only if the vertices collapsed are on the opposite sides of every min cut in G .
- Let also $M = \{e(x, y) : x \in X, y \in Y\}$ be a minimum capacity cut in G ; then

$$P\left(\text{MIN-CUT-CAPACITY}(G_{uv}) > \text{MIN-CUT-CAPACITY}(G)\right) \leq P\left(e(u, v) \in M\right) \quad (2)$$

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- **Claim:**

$$2 \sum_{e \in E} w(e) = \sum_{v \in V} \sum_{u : e(v,u) \in E} w(v,u) \quad (4)$$

- **Proof:** In the sum on the right every edge is counted twice, once for each of its vertices.

- **Claim:** For every $v \in V$,

$$\sum_{u : e(v,u) \in E} w(v,u) \geq \text{MIN-CUT-CAPACITY}(G) \quad (5)$$

- **Proof:** If we let $X = \{v\}$ and $Y = V \setminus \{v\}$ we get a cut $T = (X, Y)$ whose capacity must be larger or equal to the capacity of the minimal cut M .
- Since $|V| = n$, summing the inequalities (5) over all $v \in V$ and using (4) we now obtain

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- **Proof:** If we let $X = \{v\}$ and $Y = V \setminus \{v\}$ we get a cut $T = (X, Y)$ whose capacity must be larger or equal to the capacity of the minimal cut M .
- Since $|V| = n$, summing the inequalities (5) over all $v \in V$ and using (4) we now obtain

$$\sum_{e \in E} w(e) \geq \frac{n}{2} \cdot \text{MIN-CUT-CAPACITY}(G) \quad (6)$$

Karger's MinCut Algorithm - first attempt

- **Claim:**

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Karger's MinCut Algorithm - first attempt

- From (3) and (6) we now obtain

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- **Theorem 2:** If we run edge contraction procedure until we get a single edge, then the probability π that the capacity of that final edge is equal to the capacity of a minimal cut in G is $\Omega\left(\frac{1}{n^2}\right)$.
- **Proof:** Let G_i for $0 \leq i \leq n-2$, be the sequence of graphs obtained by successive edge contractions, starting from $G_0 = G$. The probability π that the capacity of the final edge is equal to the capacity of a minimal cut in G is greater or equal to the probability that we never contracted an edge belonging to M .
- Thus, (7) implies

$$\begin{aligned}\pi &= P\left(\text{MIN-CUT-CAPACITY}(G) = \text{MIN-CUT-CAPACITY}(G_{n-2})\right) \\&= \prod_{i=1}^{n-2} P\left(\text{MIN-CUT-CAPACITY}(G_i) = \text{MIN-CUT-CAPACITY}(G_{i-1})\right) \\&\geq \left(1 - \frac{2}{n}\right) \left(1 - \frac{2}{n-1}\right) \left(1 - \frac{2}{n-2}\right) \cdots \left(1 - \frac{2}{3}\right) \\&= \frac{n-2}{n} \cdot \frac{n-3}{n-1} \cdot \frac{n-4}{n-2} \cdot \frac{n-5}{n-3} \cdots \frac{4}{6} \cdot \frac{3}{5} \cdot \frac{2}{4} \cdot \frac{1}{3} \\&= \frac{2}{n(n-1)}, \quad \text{which implies the claim of the theorem.}\end{aligned}$$

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- This shows that the probability of not picking an edge which belongs to a min cut M is fairly large after $n/2$ many contractions, but drops fast afterwards. This suggests the following algorithm:

4-CONTRACT(G)

- 1 $G_0 = (V_0, E_0) \leftarrow G = (V, E)$
- 2 **while** $|V_0| > 2$
- 3 **for** $i = 1$ to 4
- 4 run the randomised edge contraction algorithm on G_0
 until you get a graph $G_i = (V_i, E_i)$ with $|V_i| = |V_0|/2$
 many vertices;
- 5 **end for**
- 6 4-CONTRACT(G_1)
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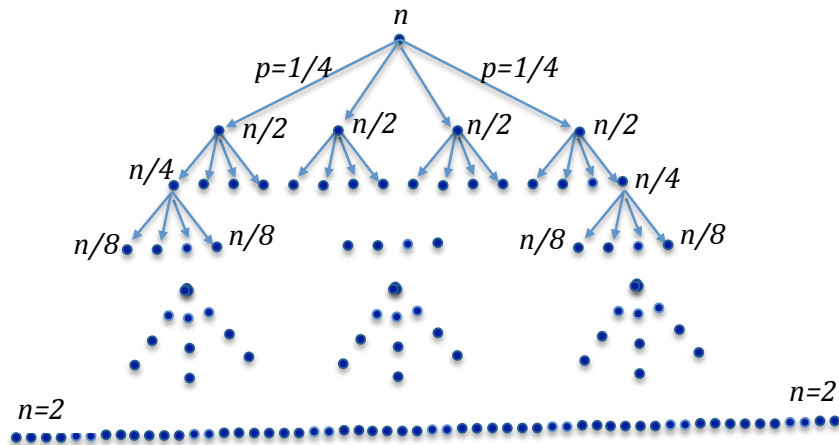
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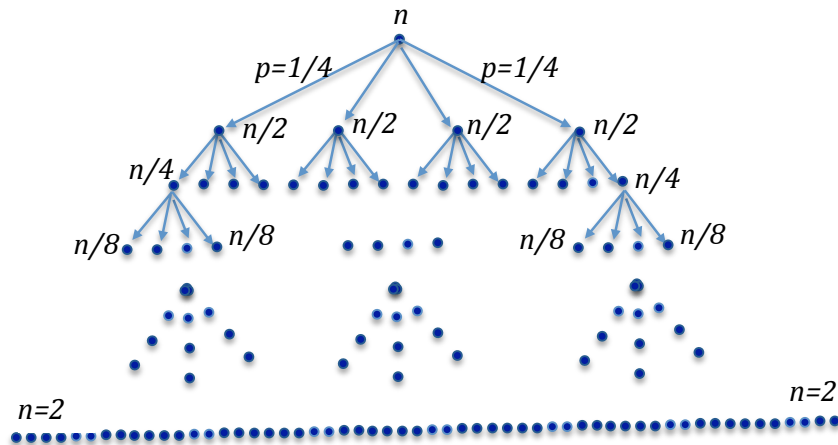
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- By the Master Theorem (case 2), $T(n) = O(n^2 \log n)$.

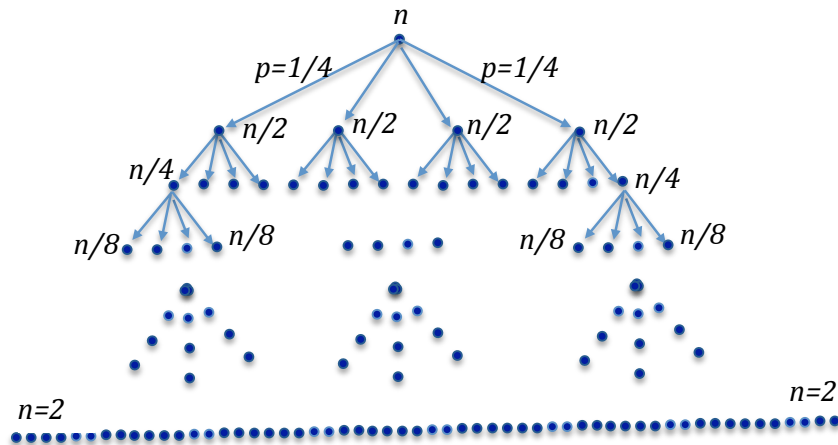
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$$\begin{aligned} P(\text{success for a graph of size } n) &= 1 - P(\text{failure on all 4 branches}) \\ &= 1 - P(\text{failure on one branch})^4 = 1 - (1 - P(\text{success on one branch}))^4 \\ &= 1 - \left(1 - \frac{1}{4}P\left(\text{success for a graph of size } \frac{n}{2}\right)\right)^4 \end{aligned}$$

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- We now use an induction of type

$$\phi(1) \wedge \forall n (\phi(\lfloor n/2 \rfloor) \rightarrow \phi(n)) \rightarrow \forall n \phi(n)$$

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- Thus, if we run our 4-CONTRACT(G) algorithm $(\log n)^2$ many times and take the smallest capacity estimate produced, probability π that this estimate will be correct is

$$\pi = 1 - \left(1 - \frac{1}{\log n}\right)^{(\log n)^2}$$

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- Thus,

$$\pi \approx 1 - e^{-\log n} = 1 - 1/n$$

- So, for large n (which is when other algorithms for Min Cut are slow) we get the correct value with probability $1 - 1/n$, i.e., almost certainly!
- To run our algorithm $(\log n)^2$ times it takes the total number of steps of only

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