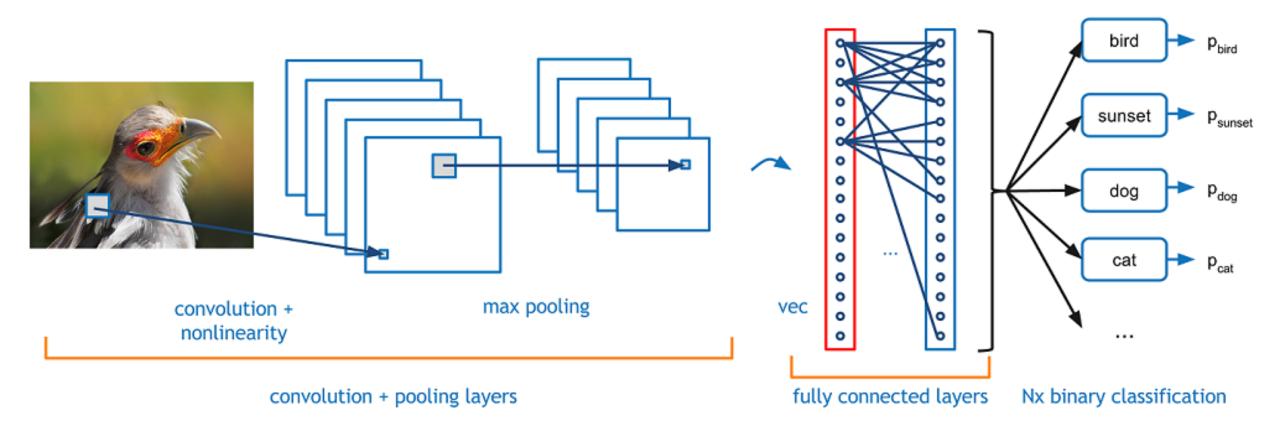


#### Convolutional Neural Networks (CNN)

A.k.a. CNN or ConvNet



Adit Deshpande, A Beginner's Guide To Understanding Convolutional Neural Networks.

#### Digital Images

- Input array: an image's height × width × 3 (RGB)
- Value of each pixel: 0 255



What We See

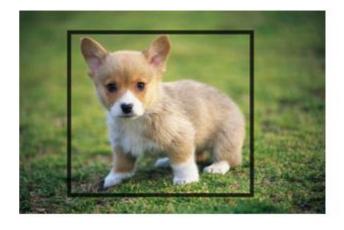
```
08 02 22 97 38 15 00 40 00 75 04 05 07 78 52 12 50 77 91 08 49 49 99 40 17 81 18 57 60 87 17 40 98 43 69 48 04 56 62 00 81 49 31 73 55 79 14 29 93 71 40 67 53 88 30 03 49 13 36 65 52 70 95 23 04 60 11 42 69 24 68 56 01 32 56 71 37 02 36 91 22 31 16 71 51 67 63 89 41 92 36 54 22 40 40 28 66 33 13 80 24 47 32 60 99 03 45 02 44 75 33 53 78 36 84 20 35 17 12 50 32 98 81 28 64 23 67 10 26 38 40 67 39 54 70 66 18 38 64 70 67 26 20 68 02 62 12 20 95 63 94 39 63 08 40 91 66 49 94 21 24 55 58 05 66 73 99 26 97 17 78 78 96 83 14 88 34 89 63 72 21 36 23 09 75 00 76 44 20 45 35 14 00 61 33 97 34 31 33 95 78 17 53 28 22 75 31 67 15 94 03 80 04 62 16 14 09 53 56 92 16 39 05 42 96 35 31 47 55 58 88 24 00 17 54 24 36 29 85 57 86 56 00 48 35 71 89 07 05 44 46 37 44 60 21 58 51 54 17 58 19 80 81 68 05 94 47 69 28 73 92 13 86 52 17 77 04 89 55 40 04 52 08 83 97 35 39 16 07 97 57 32 16 26 26 79 33 27 98 66 88 36 68 87 57 62 20 72 03 46 33 67 46 55 12 32 63 93 53 69 04 42 16 73 38 25 39 11 24 94 72 18 08 46 29 32 40 62 76 36 20 69 36 41 72 30 23 88 34 62 99 69 82 67 59 85 74 04 36 16 20 73 35 29 78 31 90 01 74 31 49 71 48 86 81 16 23 57 05 54 01 70 54 71 83 51 54 69 16 92 33 48 61 43 52 01 89 19 67 48
```

What Computers See

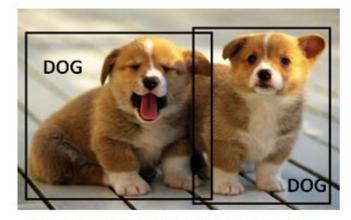
#### Classification, Localization, Detection, Segmentation



Object Classification is the task of identifying that picture is a dog



Object Localization involves the class label as well as a bounding box to show where the object is located.



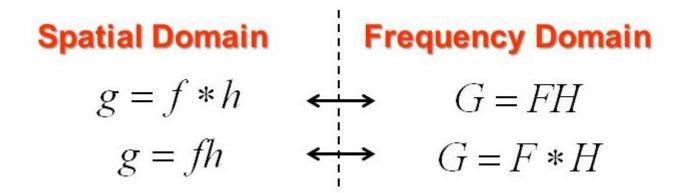
Object Detection involves localization of multiple objects (doesn't have to be the same class).

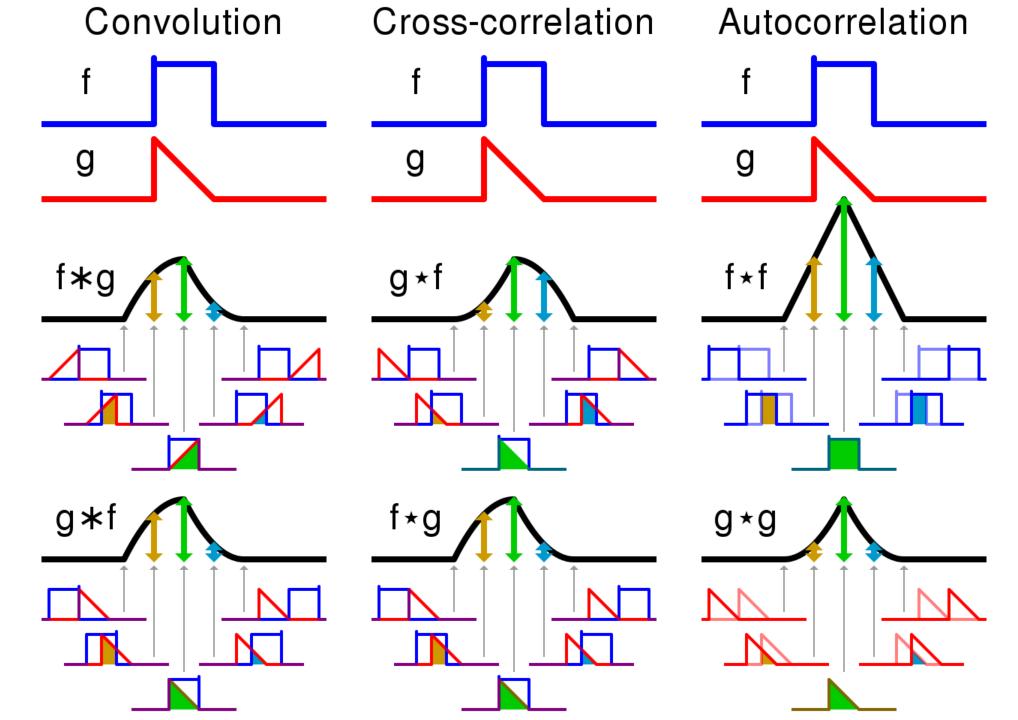


Object Segmentation involves the class label as well as an outline of the object in interest.

#### Convolution Theorem

 Fourier transform of a convolution of two signals is the pointwise product of their Fourier transforms

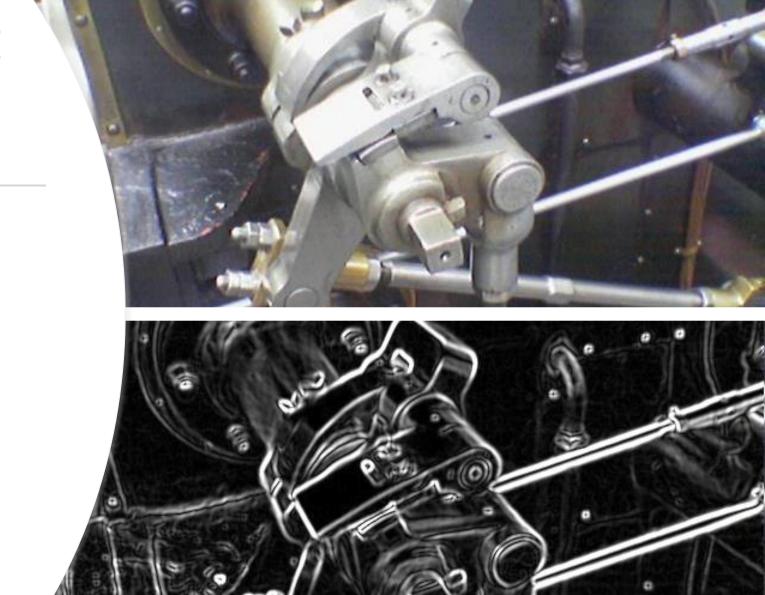




# 2D Convolution: Sobel Filter

$$\mathbf{G}_x = egin{bmatrix} +1 & 0 & -1 \ +2 & 0 & -2 \ +1 & 0 & -1 \end{bmatrix} * \mathbf{A}$$

$$\mathbf{G}_y = egin{bmatrix} +1 & +2 & +1 \ 0 & 0 & 0 \ -1 & -2 & -1 \end{bmatrix} * \mathbf{A}$$



0	0	0	0	0	0	
0	105	102	100	97	96	
0	103	99	103	101	102	P
0	101	98	104	102	100	
0	99	101	106	104	99	7
0	104	104	104	100	98	
						9

.,			
Kernel	I IVI	ati	CIX
		~ ~ ~ .	1/\

0	-1	0
-1	5	-1
0	-1	0

320				
		1		
	8			

Image Matrix

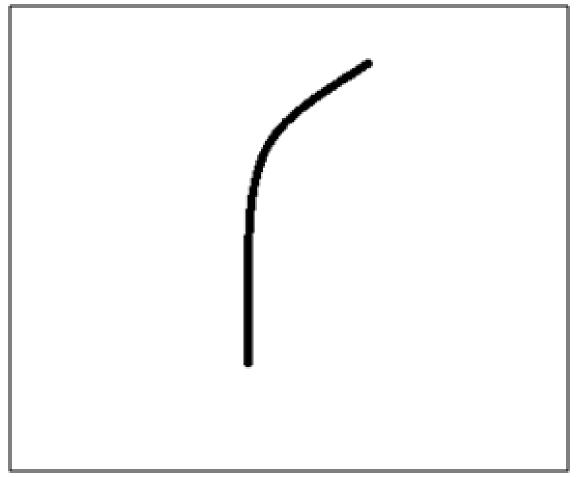
$$0*0+0*-1+0*0$$
  
+0\*-1+105\*5+102\*-1  
+0\*0+103\*-1+99\*0 = 320

Output Matrix

# Convolution with horizontal and vertical strides = 1

## Example: A Curve Filter

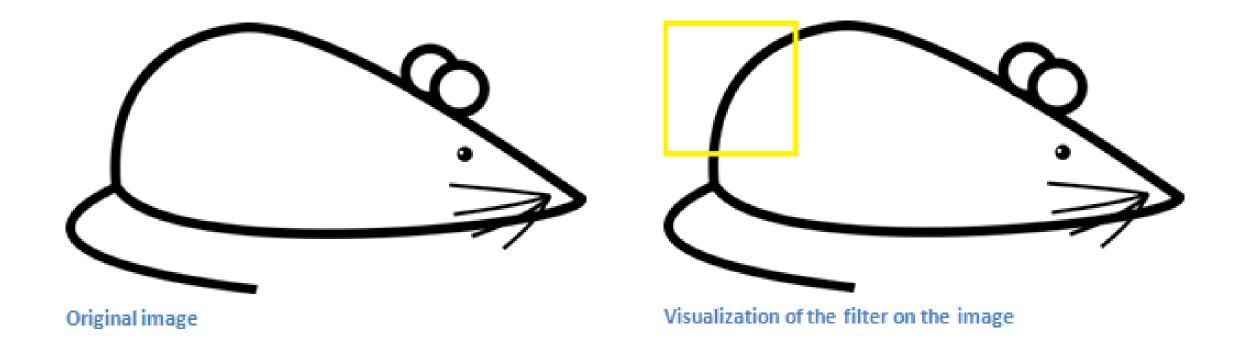
0	0	0	0	0	30	0
0	0	0	0	30	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	0	0	0	0



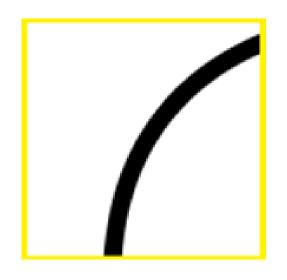
Pixel representation of filter

Visualization of a curve detector filter

### Scan the Image to Detect an Edge



#### Edge Detected!



Visualization of the receptive field

0	0	0	0	0	0	30
0	0	0	0	50	50	50
0	0	0	20	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0
0	0	0	50	50	0	0

Pixel representation of the receptive field

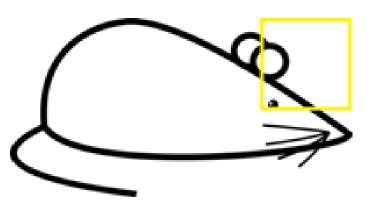


0	0	0	0	0	30	0
0	0	0	0	30	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	0	0	0	0

Pixel representation of filter

Multiplication and Summation = (50\*30)+(50\*30)+(50\*30)+(20\*30)+(50\*30)=6600 (A large number!)

#### Continue Scanning (No edge)



0	0	0	0	0	0	0
0	40	0	0	0	0	0
40	0	40	0	0	0	0
40	20	0	0	0	0	0
0	50	0	0	0	0	0
0	0	50	0	0	0	0
25	25	0	50	0	0	0



0	0	0	0	0	30	0
0	0	0	0	30	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	30	0	0	0
0	0	0	0	0	0	0

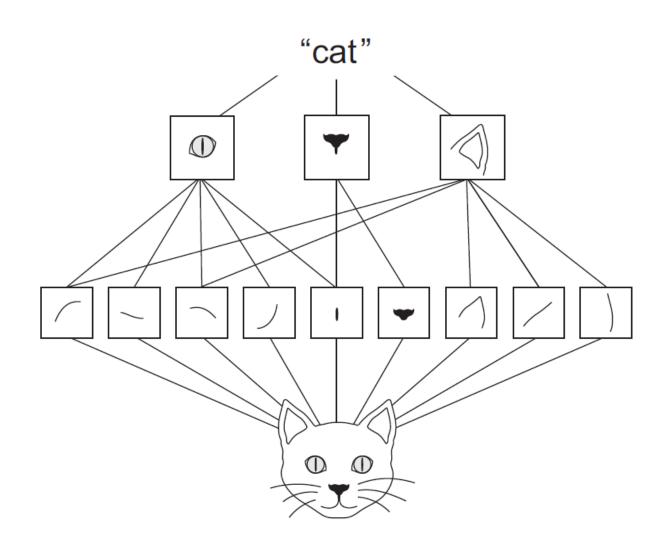
Visualization of the filter on the image

Pixel representation of receptive field

Pixel representation of filter

Multiplication and Summation = 0

## Spatial Hierarchy of Features



#### Create First ConvNet

Create a CNN to classify MNIST digits

```
from keras import layers
from keras import models

model = models.Sequential()
model.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(28, 28, 1)))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(64, (3, 3), activation='relu'))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(64, (3, 3), activation='relu'))
```

### Model Summary

model.summary()

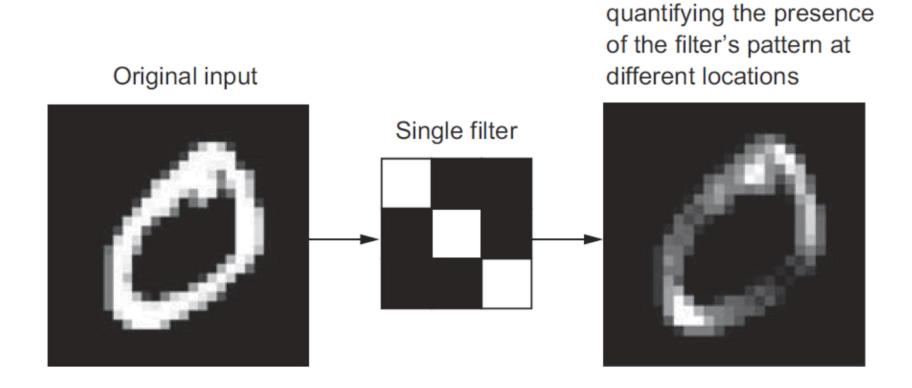
```
Layer (type) Output Shape Param #
conv2d 1 (Conv2D) (None, 26, 26, 32) 320
maxpooling2d 1 (MaxPooling2D) (None, 13, 13, 32) 0
conv2d 2 (Conv2D) (None, 11, 11, 64) 18496
maxpooling2d 2 (MaxPooling2D) (None, 5, 5, 64) 0
conv2d 3 (Conv2D) (None, 3, 3, 64) 36928
```

#### Feature Map

- Outputs of a Convolution Layer is also called as Feature Map
- =>layers.Conv2D(32, (3, 3), activation='relu', input\_shape=(28, 28, 1))

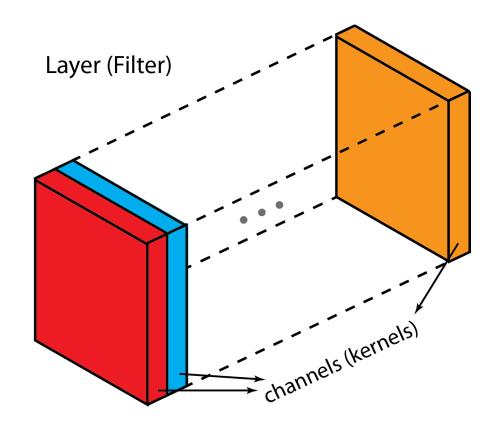
Response map,

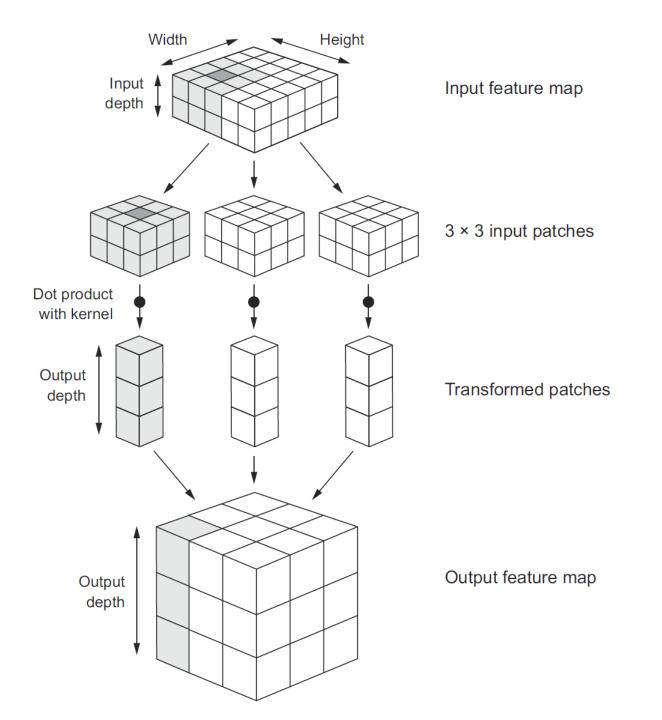
- Receive a 28x28 input image and computes 32 filters over it
- Each filter has size 3x3



### Kernel and Filter in Deep Learning

- "Kernel" refers to a 2D array of weights.
- "filter" is for 3D structures of multiple kernels stacked together.





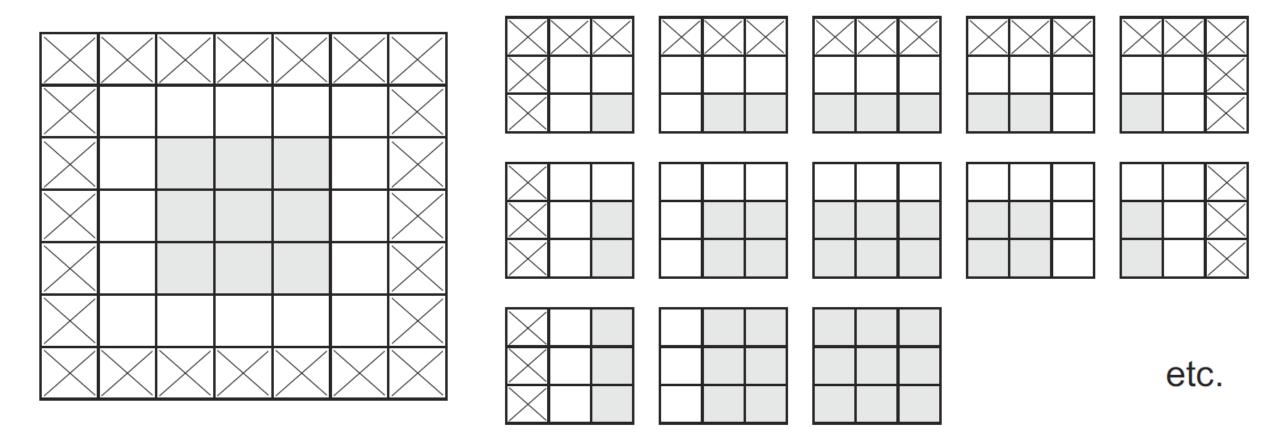
#### Add a Classifier on Top of ConvNet

```
model.add(layers.Flatten())
model.add(layers.Dense(64, activation='relu'))
model.add(layers.Dense(10, activation='softmax'))
```

```
Layer (type) Output Shape Param #
conv2d 1 (Conv2D) (None, 26, 26, 32) 320
max pooling2d 1 (MaxPooling2 (None, 13, 13, 32) 0
conv2d 2 (Conv2D) (None, 11, 11, 64) 18496
max pooling2d 2 (MaxPooling2 (None, 5, 5, 64) 0
conv2d 3 (Conv2D) (None, 3, 3, 64) 36928
flatten 1 (Flatten) (None, 576) 0
dense 1 (Dense) (None, 64) 36928
dense 2 (Dense) (None, 10) 650
Total params: 93,322 Trainable params: 93,322 Non-trainable params: 0
```

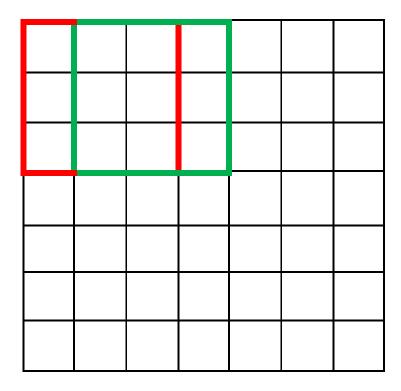
### Padding

• Padding a 5x5 input to extract 25 3x3 patches

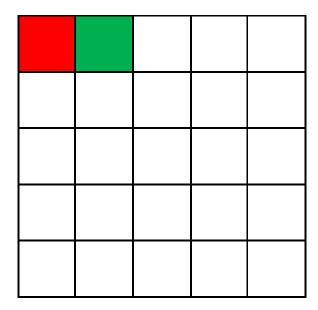


### Stride=1

#### 7 x 7 Input Volume

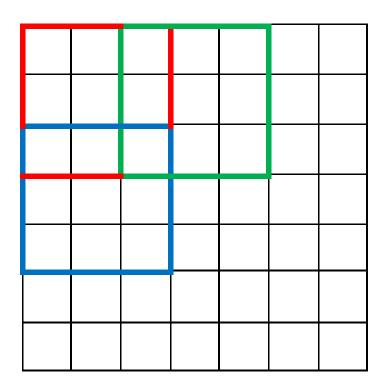


#### 5 x 5 Output Volume

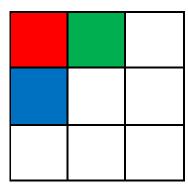


### Stride=2

#### 7 x 7 Input Volume

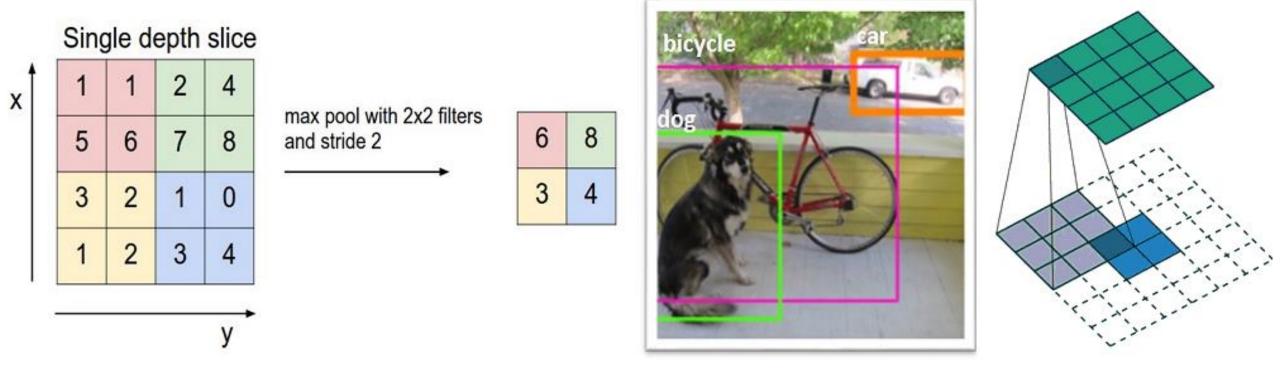


#### 3 x 3 Output Volume



### Max Pooling

- Downsampling an image
- Better than average pooling and strides



#### Train a Model to Classify Cats & Dogs

- www.kaggle.com/c/dogs-vs-cats/data
- 2000 cat and 2000 dog images













#### Create a CNN Model for Binary Classification

```
from keras import layers
from keras import models
model = models.Sequential()
model.add(layers.Conv2D(32, (3, 3), activation='relu',
input_shape=(150, 150, 3)))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(64, (3, 3), activation='relu'))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(128, (3, 3), activation='relu'))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Conv2D(128, (3, 3), activation='relu'))
model.add(layers.MaxPooling2D((2, 2)))
model.add(layers.Flatten())
model.add(layers.Dense(512, activation='relu'))
model.add(layers.Dense(1, activation='sigmoid'))
```

#### Image Generator

- 1. Read the picture files.
- 2. Decode the JPEG content to RGB grids of pixels.
- 3. Convert these into floatingpoint tensors.
- 4. Rescale the pixel values(between 0 and 255) to the [0, 1] interval

```
from keras.preprocessing.image import
ImageDataGenerator
train datagen =
ImageDataGenerator(rescale=1./255)
test_datagen =
ImageDataGenerator(rescale=1./255)
train generator =
train datagen.flow from directory(
                    train dir,
                    target size=(150, 150)
                    batch size=20,
                    class mode='binary')
validation generator =
test_datagen.flow_from_directory(
                        validation dir,
                        target_size=(150, 150),
                        batch_size=20,
                        class mode='binary')
```

### Python Generator

- Use *yield* operator
- Note that the generator loops endlessly

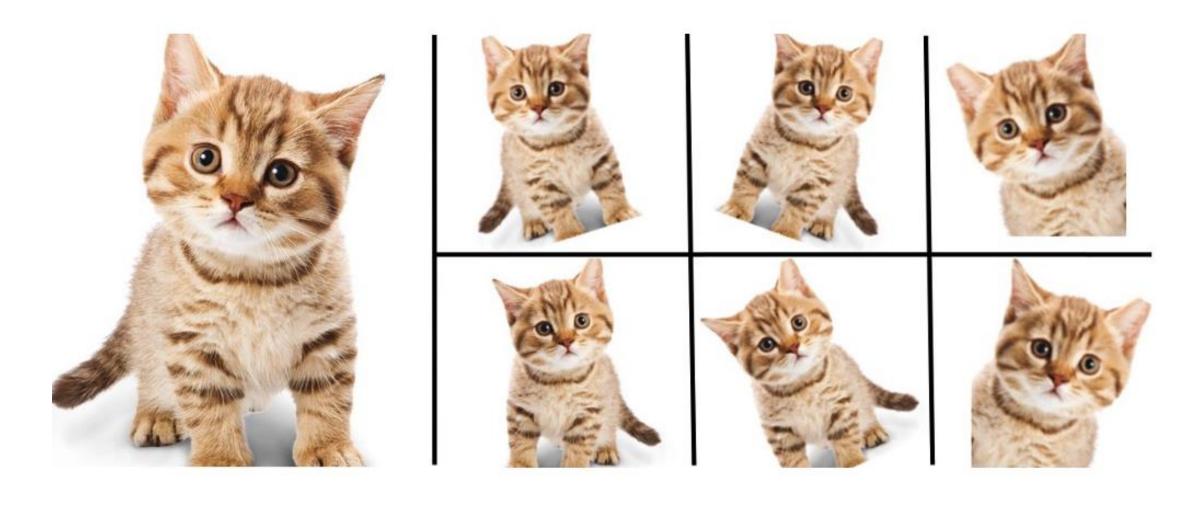
```
Here is an example of a generator that yields integers:
def generator():
    i = 0
    while True:
        i += 1
        yield i
for item in generator():
    print(item)
    if item > 4:
        break
It prints this:
```

#### Fitting the Model using a Batch Generator

```
history = model.fit_generator(
    train_generator,
    steps_per_epoch=100,
    epochs=30,
    validation_data=validation_generator,
    validation_steps=50)

# Save the model
model.save('cats_and_dogs_small_1.h5')
```

## Data Augmentation



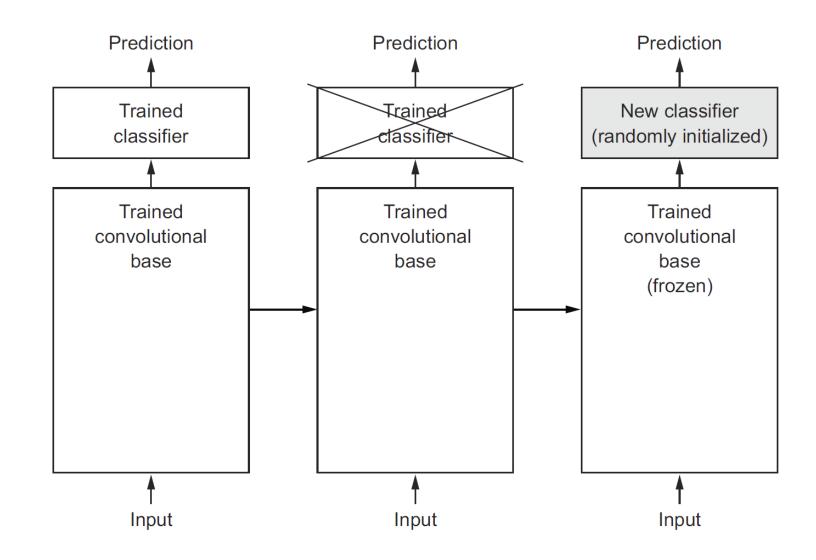
#### Data Augmentation via ImageDataGenerator

- rotation\_range is a value in degrees (0–180)
- width\_shift and height\_shift are ranges (as a fraction of total width or height) within which to randomly translate pictures vertically or horizontally.
- shear\_range is for randomly applying shearing transformations.
- zoom\_range is for randomly zooming inside pictures.
- horizontal\_flip is for randomly flipping half the images horizontally
- fill\_mode is the strategy used for filling in newly created pixels, which can appear after a rotation or a width/height shift.

```
datagen = ImageDataGenerator(
    rotation_range=40,
    width_shift_range=0.2,
    height_shift_range=0.2,
    shear_range=0.2,
    zoom_range=0.2,
    horizontal_flip=True,
    fill_mode='nearest')
```

#### Using Pre-trained Models

- Xception
- VGG16
- <u>VGG19</u>
- ResNet, ResNetV2, ResNeXt
- InceptionV3
- InceptionResNetV2
- MobileNet
- MobileNetV2
- DenseNet
- NASNet



#### Example: Using Pre-trained VGG16

- weights specifies the weight checkpoint from which to initialize the model.
- include\_top refers to including (or not) the densely connected classifier on top of the network (1,000 classes output).
- input\_shape the network will be able to process inputs of any size it the argument is omitted.

#### Adding a Classifier on Top of a Pre-trained Model

```
from keras import models
from keras import layers

model = models.Sequential()
model.add(conv_base)
model.add(layers.Flatten())
model.add(layers.Dense(256, activation='relu'))
model.add(layers.Dense(1, activation='sigmoid'))
```

#### Freezing Trainable Parameters

conv\_base.trainable = False

```
[4] print('This is the number of trainable weights '
   'before freezing the conv base:', len(model.trainable_weights))

This is the number of trainable weights before freezing the conv base: 30

[5] conv_base.trainable = False

print('This is the number of trainable weights '
   'after freezing the conv base:', len(model.trainable_weights))

This is the number of trainable weights after freezing the conv base: 4
```

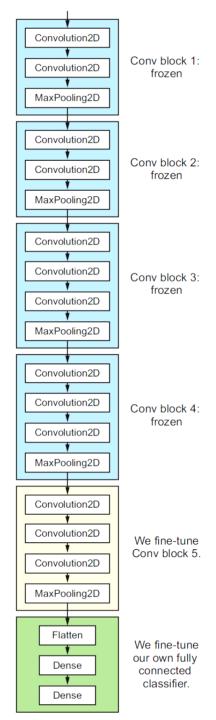
#### Fine-Tuning Top Few Layers

Freezing all layers up to a specific one

```
conv_base.trainable = True
set_trainable = False

for layer in conv_base.layers:
    if layer.name == 'block5_conv1':
        set_trainable = True

    if set_trainable:
        layer.trainable = True
    else:
        layer.trainable = False
```



#### Summary

- Convnets are the best for Computer Vision (and maybe all the other tasks)
- Data augmentation is a powerful way to fight overfitting
- We can use pre-trained model for feature extraction
- We can further improve the pre-trained model on our dataset by fine-tuning

#### Visualizing What Convnets Learn

#### 1. Visualizing Intermediate ConvNet Outputs (Intermediate Activations)

- Understand how successive convnet layers transform their input
- Get a first idea of the meaning of individual convnet filters

#### 2. Visualizing ConvNets Filters

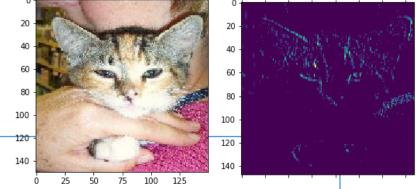
 Understand precisely what visual pattern or concept each filter in a convnet is receptive to

#### 3. Visualizing Heatmaps of Class Activation in an Image

- See which parts of an image were identified as belonging to a given class
- Can localize objects in images.

#### 1. Visualizing Intermediate Activations

• Show the feature maps that are output by various convolution and pooling layers in a network



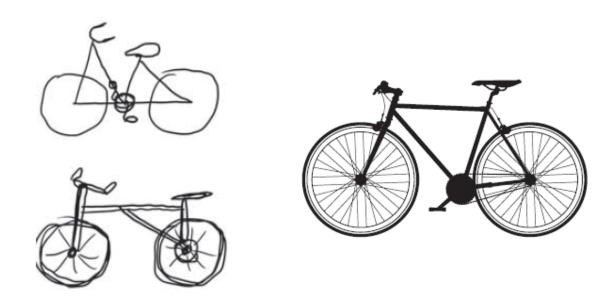
```
from keras.preprocessing import image
import numpy as np
img = image.load_img('./test1/1700.jpg', target_size=(150, 150))
img_tensor = image.img_to_array(img)
img_tensor = np.expand_dims(img_tensor, axis=0)/255.
from keras import models
model = load_model('cats_and_dogs_small_1.h5')
layer_outputs = [layer.output for layer in model.layers[:8]]
activation_model = models.Model(inputs=model.input, outputs=layer_outputs)
activations = activation_model.predict(img_tensor)
first layer activation = activations[0]
import matplotlib.pyplot as plt
plt.matshow(first_layer_activation[0, :, :, 3], cmap='viridis')
```

#### Visualizing Every Channel in Every Intermediate Activation

```
layer names = []
                                                      Names of the layers, so you can
             for layer in model.layers[:8]:
                                                      have them as part of your plot
                 layer_names.append(layer.name)
                                                                        Displays the feature maps
             images_per_row = 16
             for layer_name, layer_activation in zip(layer_names, activations):
              n_features = layer_activation.shape[-1]
                                                                   The feature map has shape
   Number of
                                                                   (I, size, size, n features).
features in the
                 size = layer_activation.shape[1]
  feature map
              n cols = n features // images per row
                 display_grid = np.zeros((size * n_cols, images_per_row * size))
     Tiles the
                 for col in range(n_cols):
    activation
                                                                        Tiles each filter into
                      for row in range(images_per_row):
   channels in
                                                                        a big horizontal grid
                          channel_image = layer_activation[0,
   this matrix
                                                              :, :,
                                                              col * images_per_row + row]
                          channel_image -= channel_image.mean()
Post-processes
                          channel_image /= channel_image.std()
 the feature to
                          channel_image *= 64
make it visually
                          channel image += 128
    palatable
                          channel_image = np.clip(channel_image, 0, 255).astype('uint8')
                          display grid[col * size : (col + 1) * size,
                                        row * size : (row + 1) * size] = channel_image
                 scale = 1. / size
                                                                               Displays the grid
                 plt.figure(figsize=(scale * display_grid.shape[1],
                                       scale * display_grid.shape[0]))
                 plt.title(layer_name)
                 plt.grid(False)
                 plt.imshow(display_grid, aspect='auto', cmap='viridis')
```

#### Things to Note

- The first layer acts as a collection of various edge detectors
- As you go deeper, the activations become increasingly abstract and less visually interpretable
- The sparsity of the activations increases with the depth of the layer, more and more filters are blank



### 2. Visualizing ConvNet Filters

• Gradient ascent: applying *gradient descent* to the value of the input image of a convnet so as to *maximize* the response of a specific filter

#### Loss Maximization Via Stochastic Gradient Descent

#### Convert a Tensor into a Valid Image

```
def deprocess_image(x):
    x -= x.mean()
    x /= (x.std() + 1e-5)
    x *= 0.1

    x += 0.5
    x = np.clip(x, 0, 1)

    x *= 255
    x = np.clip(x, 0, 255).astype('uint8')
    return x

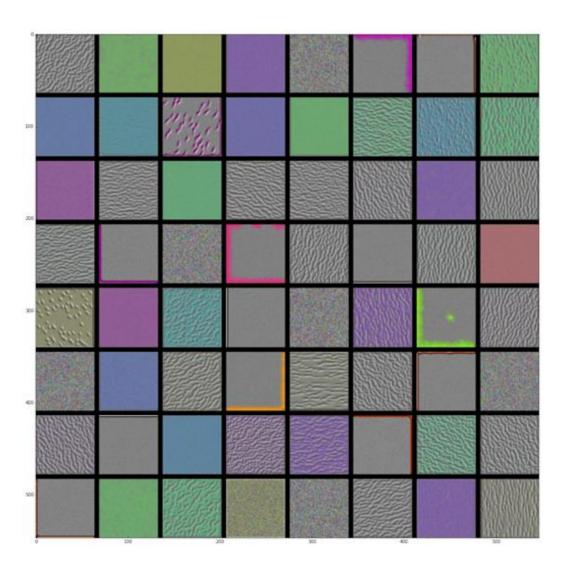
    Normalizes the tensor:
    centers on 0, ensures
    that std is 0.1

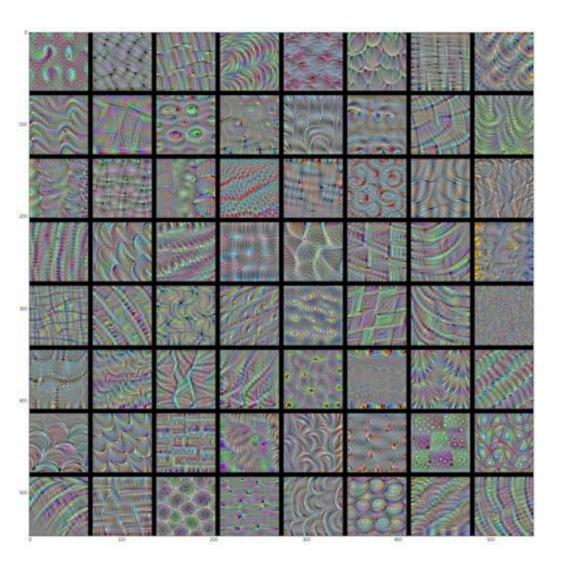
Clips to [0, 1]

Converts to an RGB array
    return x
```

```
model = VGG16(weights='imagenet', include top=False)
layer_name = 'block3 conv1'
filter index = 0
def generate_pattern(layer_name, filter_index, size=150):
    layer output = model.get layer(layer name).output
    loss = K.mean(layer_output[:, :, :, filter_index])
    grads = K.gradients(loss, model.input)[0] # Keep only the first tensor
   grads /= (K.sqrt(K.mean(K.square(grads))) + 1e-5) # 1e-5 avoids divided by zero
    # Fetching Numpy output values given Numpy input values
    iterate = K.function([model.input], [loss, grads])
    loss value, grads value = iterate([np.zeros((1, 150, 150, 3))])
    # Loss maximization via stochastic gradient descent
    input img data = np.random.random((1, size, size, 3)) * 20 + 128.
    step = 1.
    for i in range(40):
        loss_value, grads_value = iterate([input_img_data])
        input_img_data += grads_value * step
    img = input_img_data[0]
    return deprocess image(img)
```

## Filter Patterns for Each Layer

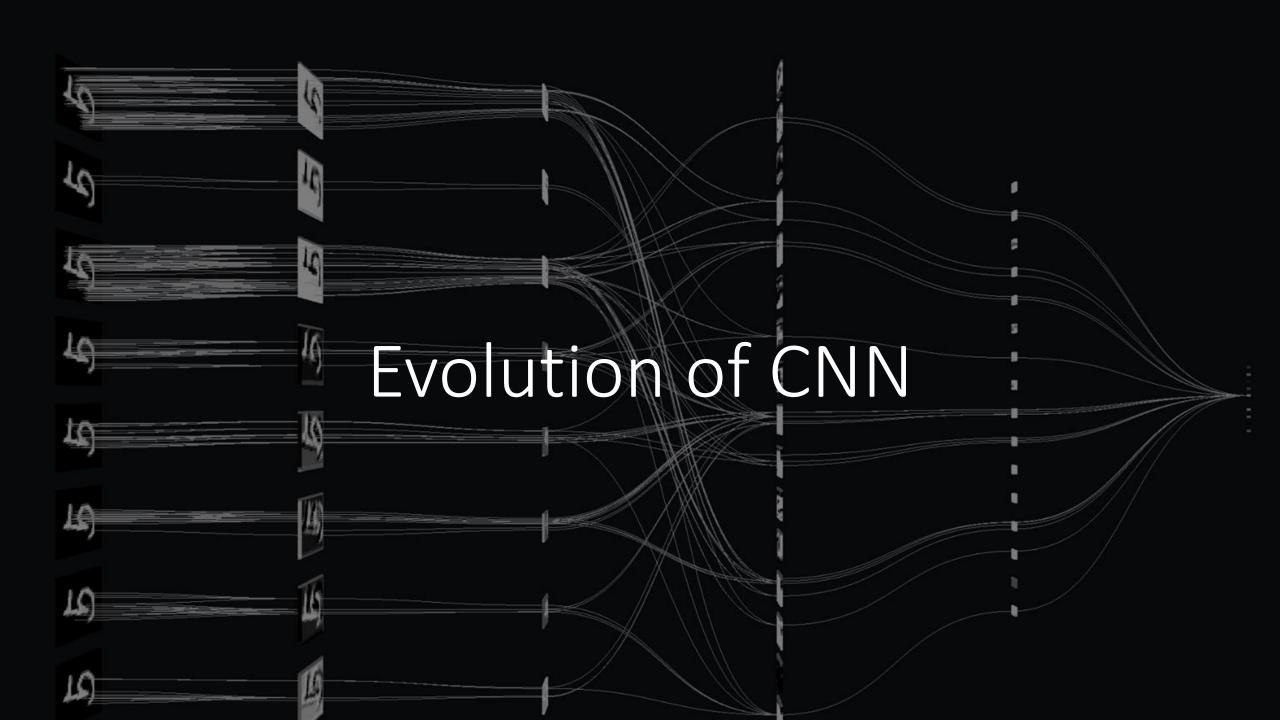




#### 3. Visualizing Heatmaps of Class Activation

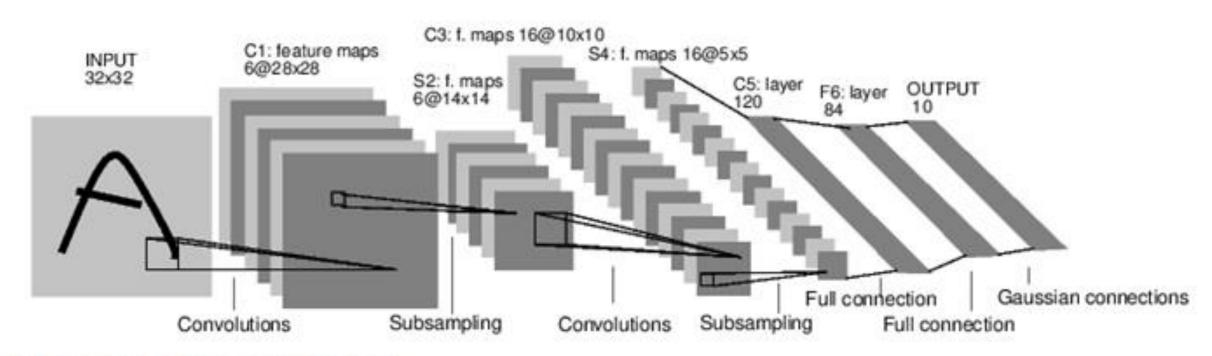
 Ramprasaath R. Selvaraju et al., "Grad-CAM: Visual Explanations from Deep Networks via Gradient-based Localization." arXiv (2017), <a href="https://arxiv.org/abs/1610.02391">https://arxiv.org/abs/1610.02391</a>.





### Convolutional Neural Network (LeNet-5)

• <a href="https://medium.com/@sh.tsang/paper-brief-review-of-lenet-1-lenet-4-lenet-5-boosted-lenet-4-image-classification-1f5f809dbf17">https://medium.com/@sh.tsang/paper-brief-review-of-lenet-1-lenet-4-lenet-5-boosted-lenet-4-image-classification-1f5f809dbf17</a>



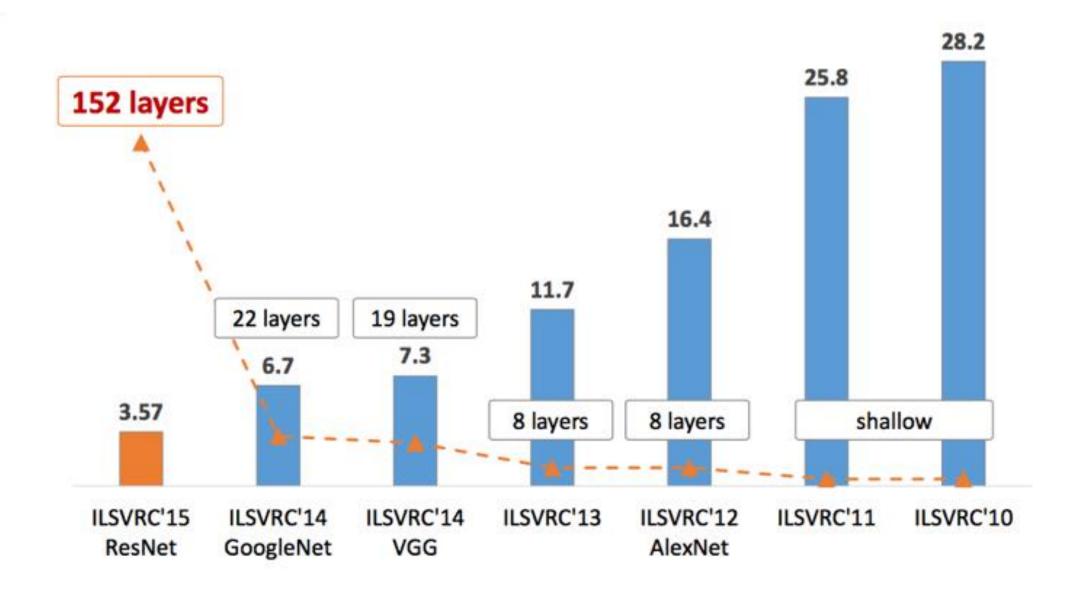
A Full Convolutional Neural Network (LeNet)



# ImageNet Large Scale Visual Object Recognition Challenge (ILSVRC)

- 1000 categories
- For ILSVRC 2017
  - Training images for each category ranges from 732 to 1300
  - 50,000 validation **images** and 100,000 test **images**.
- Total number of images in ILSVRC 2017 is around 1,150,000

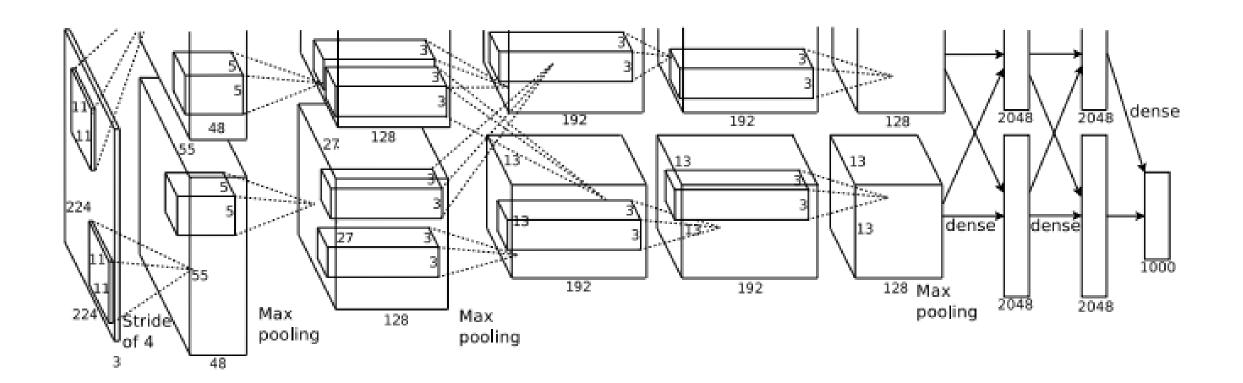
#### Error Rate on ImageNet Challenge





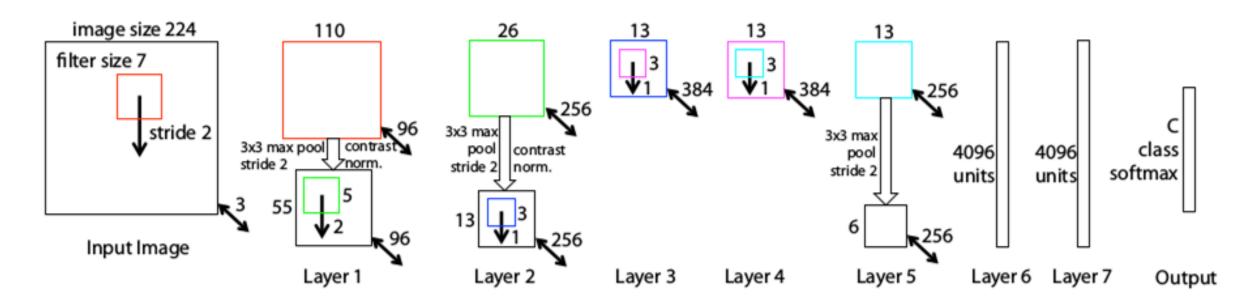
## AlexNet (2012)

- <u>AlexNet</u> significantly outperformed previous models (e.g. SVM)
- Include convolutions, max-pooling, dropout, ReLU, SGD with momentum
- Use 2 Nvidia GeForce GTX 580 GPU



#### ZF Net (2013)

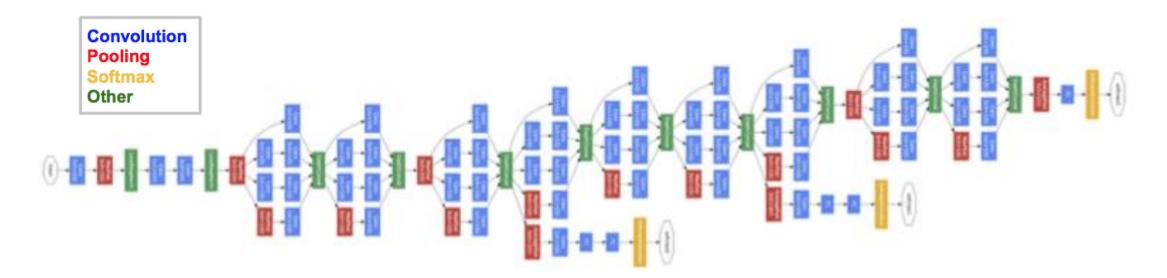
Parameter tuning of AlexNet



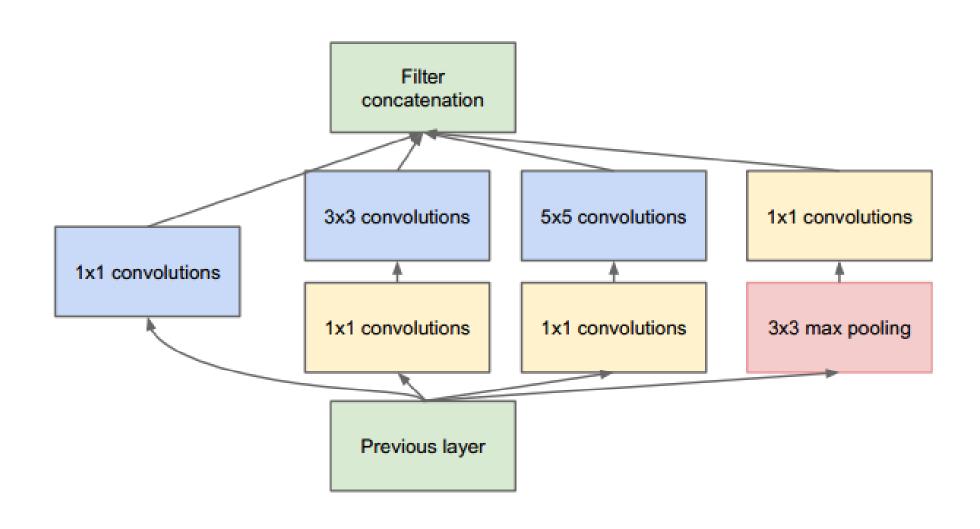
**ZF Net Architecture** 

## GoogLeNet (2014)

- Achieved a top-5 error rate of 6.67%! This was very close to human level performance
- Propose inception module, batch normalization, image distortions, and RMSprop
- 22 layers but reduced parameters from 60 million (AlexNet) to 4 million



## Inception Module



#### VGG Net (2014)

- Very uniform architecture
- Preferred choice in the community for extracting features from images

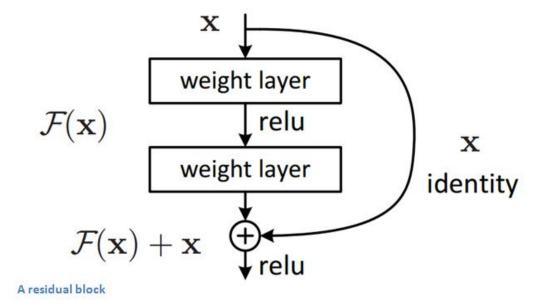
ConvNet Configuration									
A	A-LRN	В	С	D	E				
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight				
layers	layers	layers	layers	layers	layers				
(1 to 1 to 1 to 1	i	)							
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64				
	LRN	conv3-64	conv3-64	conv3-64	conv3-64				
1121001 121001									
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128				
		conv3-128	conv3-128	conv3-128	conv3-128				
	72								
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
			conv1-256	conv3-256	conv3-256				
					conv3-256				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
				111111111111111111111111111111111111111	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
					conv3-512				
			pool						
FC-4096									
FC-4096									
FC-1000									
soft-max									
000 00000 200 -2 10 12 20 000 10									

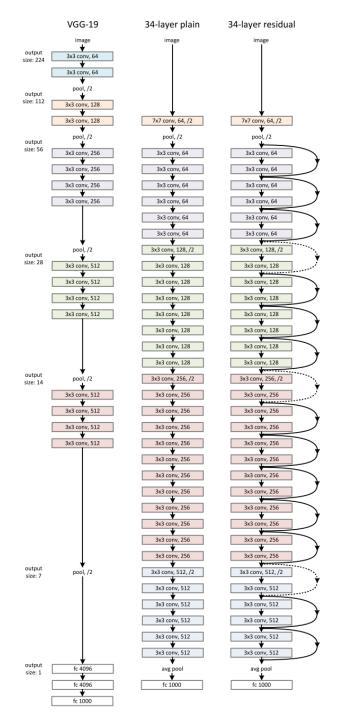
The 6 different architecures of VGG Net. Configuration D produced the best results



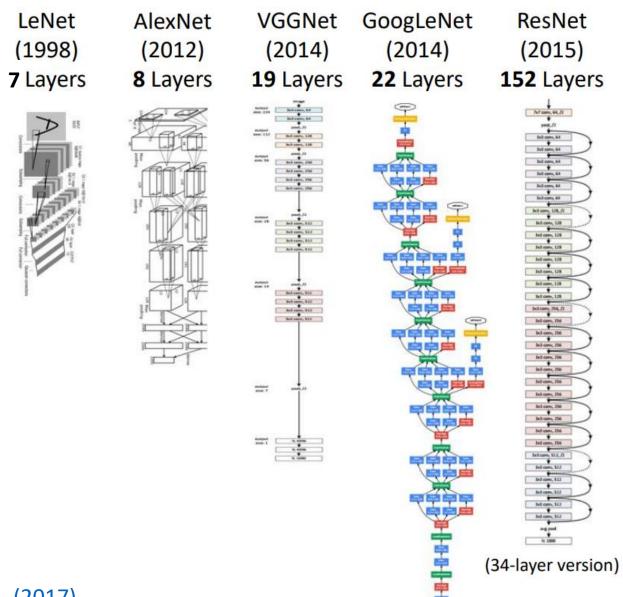
## ResNet (2015)

- Residual Neural Network
- Proposed "skip connection"
- 152-layer with 3.57% error rate

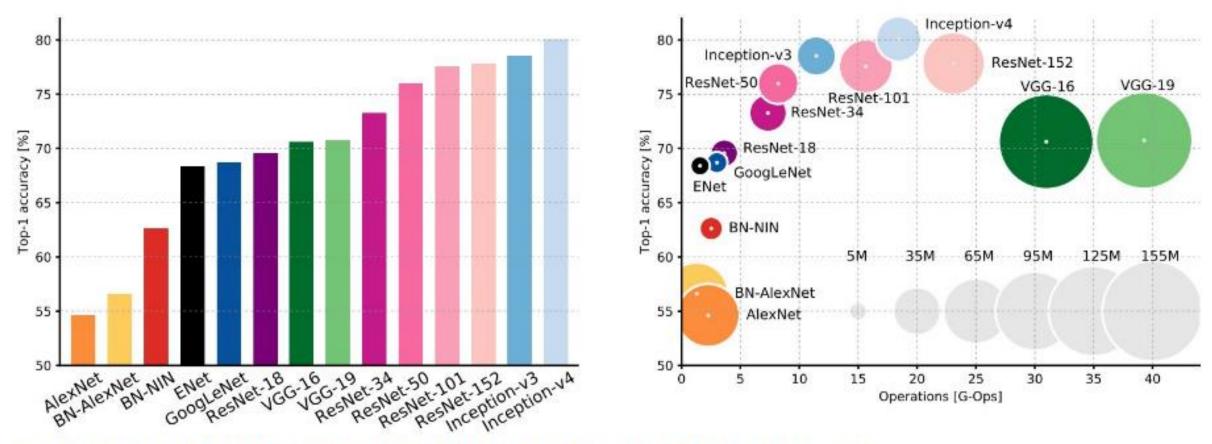




## Visualizing CNN Side-by-Side



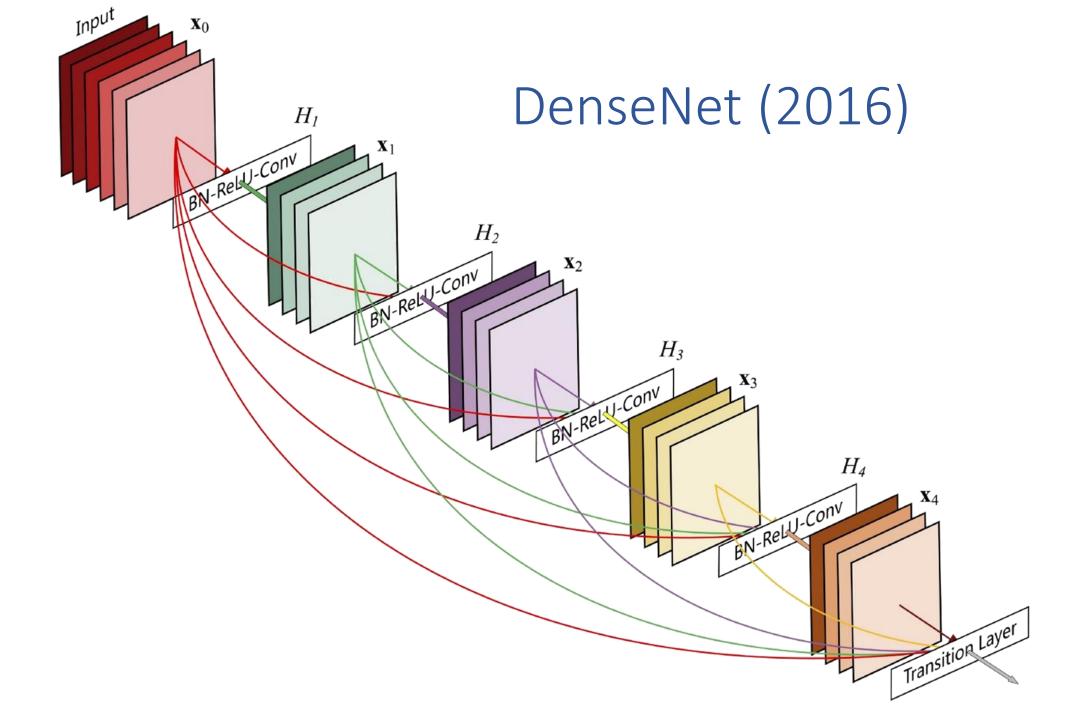
#### Statistics



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

# Summary Table

Year	CNN	Developed by	Place	Top-5 error rate	No. of parameters
1998	LeNet(8)	Yann LeCun et al			60 thousand
2012	AlexNet(7)	Alex Krizhevsky, Geoffrey Hinton, Ilya Sutskever	1st	15.3%	60 million
2013	ZFNet()	Matthew Zeiler and Rob Fergus	1st	14.8%	
2014	GoogLeNet(1 9)	Google	1st	6.67%	4 million
2014	VGG Net(16)	Simonyan, Zisserman	2nd	7.3%	138 million
2015	ResNet(152)	Kaiming He	1st	3.6%	

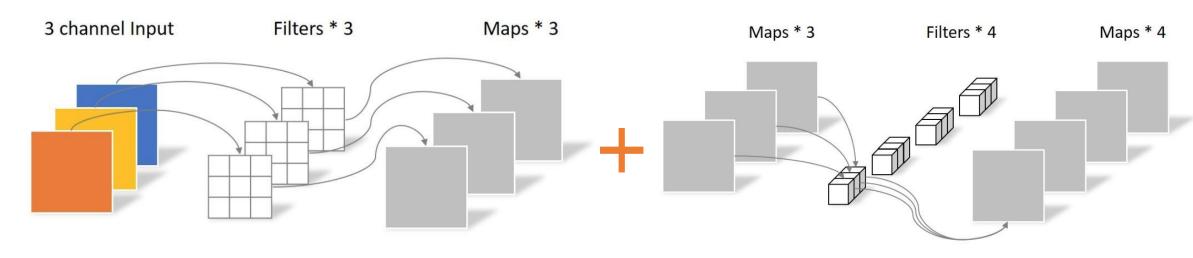


## Xception - Separable Convolution (2017)

- Chollet, "Xception: Deep Learning with Depthwise Separable Convolutions," CVPR, 2017
- Example

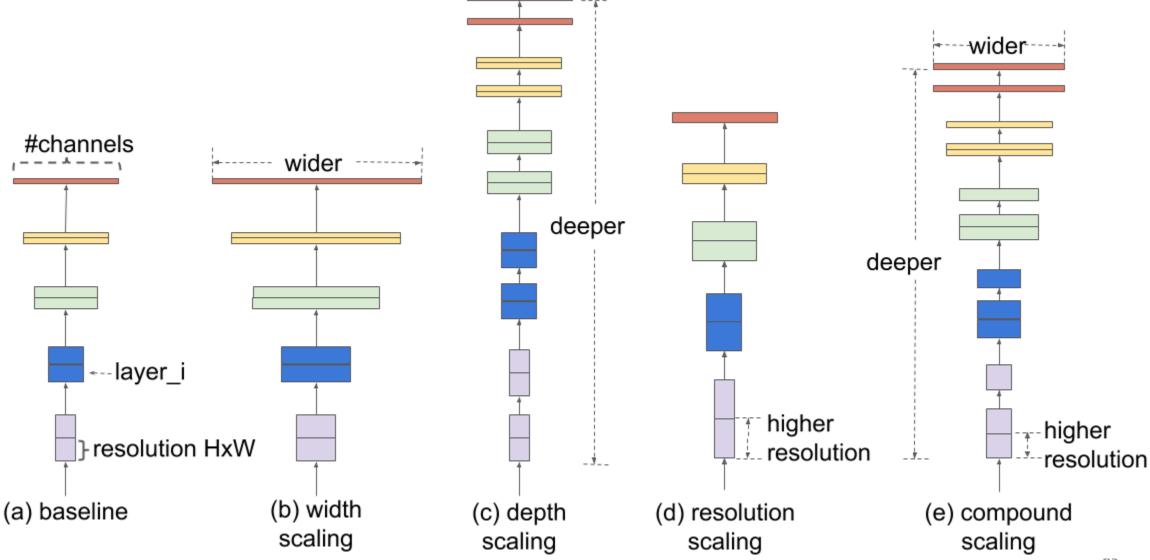
#### **Depthwise Convolution**

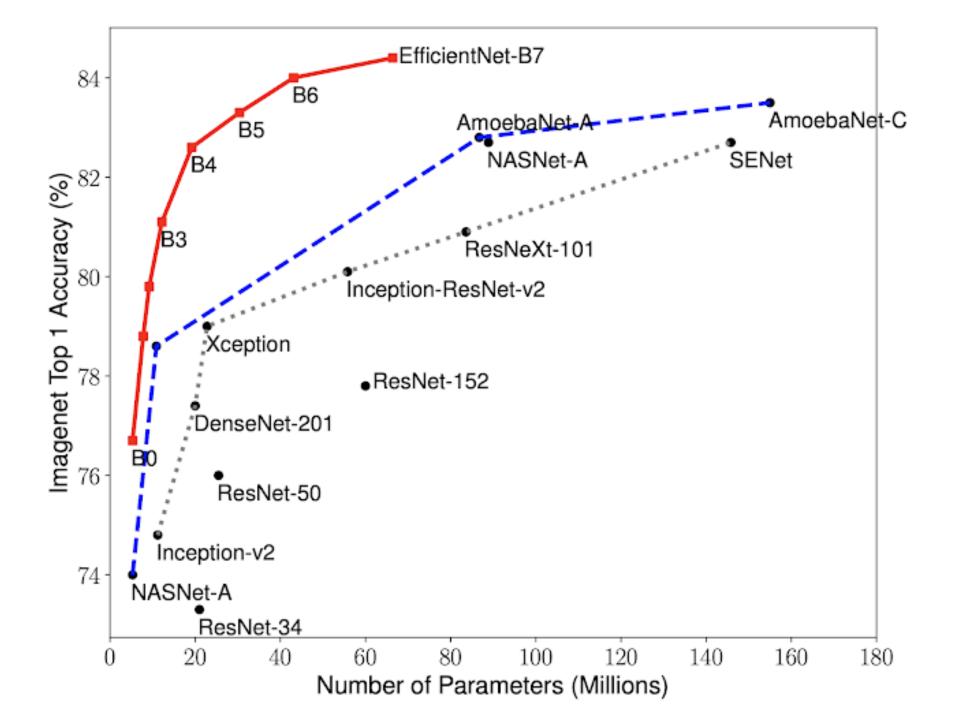
#### **Pointwise Convolution**



https://towardsdatascience.com/a-basic-introduction-to-separable-convolutions-b99ec3102728

## EfficientNet (May, 2019)





#### References

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- Adit Deshpande, A Beginner's Guide To Understanding Convolutional Neural Networks.
- Machine Learning Guru. <u>Understanding Convolutional Layers in Convolutional Neural Networks (CNNs)</u>
- CNN Architectures: LeNet, AlexNet, VGG, GoogLeNet, ResNet and more ....
- Wikipedia. Convolution
- https://cv-tricks.com/cnn/understand-resnet-alexnet-vgg-inception/
- http://neuralnetworksanddeeplearning.com/
- Stanford's CS231N
- Kunlun Bai, A Comprehensive Introduction to Different Types of Convolutions in Deep Learning