## **ER** Diagram

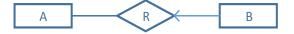
#### Many to Many

A can have multiple B and B can have multiple A



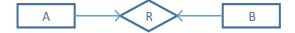
#### One to Many

A can have multiple B but B can only have one A



#### • One to One

A can have only one B and B can have only one B



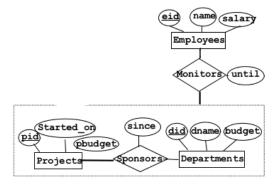
#### At least one

Bold arrow specify there is at least one element.

A R	A have at least one
$A \longrightarrow R$	A have exactly one

#### Aggregation

Allows us to treat a reltionship set R as an entity set so that R can participate in other relationships



# Relational algebra

Selection	$\sigma_{condition}(Element)$
Projection	$\pi_{attributes}(Element)$
Renaming	$\rho(R(A_1,,A_n),R_{alias}(B_1,,B_n)$
Cross product	×
Join	M
Division	\

#### 260447866

Intersection	Λ
Union	U
Set different	_

- Condition/Theta Join  $Rout = Rin1 \bowtie cRin2 = \sigma c(Rin1 \times Rin2)$
- Equi Join:  $Rout = Rin1 \bowtie Rin1a1 = Rin2b1, ..., Rin1an = Rin2bnR$ in2 Condition join where condition contains ONLY equalities
- Natural Join: Equijoin on all common attribute

## Sql

#### Datatype

Char(n)	A character string of fixed length n
VarChar(n)	Denotes a string of up to n charaters
INT or INTEGER	An integer
SHORTINT	Smaller integer
FLOAT or REAL	Float number
DOUBLE PRECISION	Double
DATE	Date format YYYY-MM-DD
TIME	Time format: hh:mm:ss

#### Table operations

```
--Create table
CREATE TABLE Students
(
    id INT NOT NULL,
    name VARCHAR(20),
    login CHAR(10),
    major VARCHAR(20) DEFAULT 'undefined',
    school_id INT,
    PRIMARY KEY(id),
    FOREIGN KEY(school_id) REFERENCES School(id)
)

--Drop table
DROP TABLE Students
--Alter table
ALTER TABLE Students ADD COLUMN firstyear:integer
```

#### Row operation

```
--INSERT INTO Students (id, name, faculty) VALUES (8908998, 'Dupont', 'Science')

--Delete
DELETE FROM Students WHERE id = 0894984

--Update
UPDATE Students SET faculty = 'Arts' WHERE id = 9849849
```

#### XMI

#### WHAT DAFUQ?!!@#!@#!?

#### DTD

```
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<!ELEMENT reservation (cname, caddress, cost, special*)>
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```

Data types: PCDATA (parsed character data) or CDATA (unparsed)

#### **Attributes**

- ID unique identifier (similar to primary key)
- IDREF: reference to single ID

• IDREFS: space-seperated list of references

#### **Values**

- can give a default value
- #REQUIRED must exist
- #IMPLIED optional

Specified in an XML file with <!DOCTYPE name SYSTEM "path/to/thing.dtd">

Can use regex style things too. \* is 0 or more. + is 1 or more, (a | b)? is one or the other

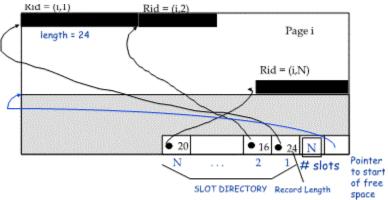
#### **XPATH**

- /bibliography/book/author all author elements by root navigating through those elements
- /bibliography/book/@ISBN All ISBN attributes
- //title all title elements anywhere in the document
- /bibliography/\*/title titles of bibliography entries assuming that there could be books, journals, reports, etc...
- /bibliography/book[@year>1995] returns books where the year > 1995
- /bibliography/book[author='FooBar']/@Year returns the years of books written by FooBar
- /bibliography/book[count(author) <2]</li>
- /bibliography/book/author[position()=1]/name position is the location of the node in the node set

#### XQuery

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count//Authors/Last_Name="Widom"=0]/Title		
The condtion 'contains':		
	The condtion 'contains':	



- Record id (rid) = internal identifier of a record: <page id, slot #>.
- Can move records on page without changing rid;

### XML in DB@^%\$^\$#

## Buffer

DBMS stores information persistently on	When loading a page from disk:	If requested is not in pool:
("hard") disks.	☆ Replacement frame must have "pin counter"	☆ If there is an empty frame, use it
☐ Unit of transfer main-memory/disk: disk	of 0	☆ Else choose an empty frame for replacement.
blocks or pages.	☐ When requesting a page that is in the buffer	If the frame is dirty (page was modified), write
☐ Timing:	☆ Increment pin counter	it to disk
☆ 2- 20 msec for random data block (bad seek	☐ After operation has finished	☆ Read requested page into chosen frame
time)	☆ Decrement pin counter	Buffer management in DBMS requires ability to:
☆ If blocks are sequentially on disk, only	☆ Set dirty bit if page has been modified:	☆ pin a page in buffer pool, force a page to
+1ms per block	☐ Frame is chosen for replacement by a	disk (important for
☆ Compare main memory access: in nanoseconds	replacement policy:	implementing CC & recovery),
☐ Basic operations (READ/WRITE from/to disk)	☆ Only unpinned page can be chosen (pin count	☆ adjust replacement policy, and pre-fetch
☐ Why disks?	= 0)	pages based on
☆ Cheaper than Main Memory	☆ Least-recently-used (LRU), Clock, MRU etc.	access patterns in typical DB operations.
☆ Higher Capacity		
☆ Main Memory is volatile		

# Indexing

COST MODEL	HEAP FILES	SORTED FILES
Measure performances by simplifying the	☆ Linked, unordered list of all pages of the	☆ Records are ordered according to one or more

parameters (IO focused):	file	attributes of the relation		
☆ only consider disk reads (ignore writes)	☆ Is it good for:	☆ Is it good for:		
	scan retrieving all records (SELECT *)?	scan retrieving all records (SELECT *)?		
individual time for each read (ignores page pre-	▲ yes, you have to retrieve all pages	▲ yes, you have to retrieve all pages		
fetch)	anyway	anyway		
☆ Average-case analysis; based on several	<ul><li>equality search on primary key</li></ul>	<ul><li>equality search on sort attribute</li></ul>		
simplistic assumptions. • delete/update	▲ not great: have to read on avg half the	▲ good: find first qualifying page with		
	pages for 1 record	binary search (log2)		
▲ depends on where	<ul> <li>range search or equality search on non-</li> </ul>	<ul> <li>range search on sort attribute</li> </ul>		
	primary key	▲ good: find first qualifying page with		
	▲ not great, all pages need to be read	binary search (log2):		
	<ul><li>insert</li></ul>	adjacent pages might have additional		
	▲ yes, can insert anywhere	matching records		
	<ul><li>delete/update</li></ul>			
	▲ depends on where			

Let suppose we have a relation R (A, B, C, D, F) such that:

- A and B are int (6 byte)
- C-F are char [40] (10 byte per char).
- Tuple = 172 bytes. 200,000 tuples
- Each data page has 4000 bytes and is around 80% full
- B values are uniformly distributed
- Rid = 10 bytes
- Size of pointer in intermediate page = 8 bytes
- Index pages are 4K and between 50%-100% full

Goal	Formula	With this example
Number of pages	number of tuples * tuple size	$\frac{172 * 200000}{100000} = 10750$
1 0	fill rate * page size	$\frac{10750}{40000 * 0.80} = 10750$
Index entry size in root and intermediate pages	size of key + size of pointer	$6 + 8 = 14 \ bytes$
Average number of rids per data entry	number of tuples different values (if uniform)	$\frac{200,000}{20,000} = 10$
Average length per data entry	size of key + (number of rids * size of rid)	6 + 10 * 10 = 106
Average number of data entries per leaf page	fillrate * page size length of data entry	$\frac{0.75 * 4000}{106} = 28 \text{ entries per page}$
Estimate number of leaf page	number of different values number of entrier per page	$\frac{20,000}{28} = 715$
Number of entries in intermediate pages	fillrate * page size lenght of index enty	$min = \frac{0.5 * 4000}{14} = 143, \max = \frac{1 * 4000}{14} = 285$
Height of tree	Number of leaf pages	

Non-clustered index B-tree with <k, list of rid>

Height of tree = Number of leaf pages / (min | max)? number of entries in intermediate pages

Give the pids of all projects within department D2 that started in 2014.

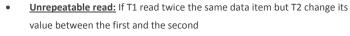
$$\pi_{pid}\left(\sigma_{dep_{id}=D2 \land start_{date}=2014}(Project)\right)$$

#### Give the pids of all projects that have at least one excellent evaluation

 $\pi_{Project.pid} \left( \sigma_{Evaluation.grade='execlent'}(Project \bowtie Evaluation) \right)$ 

# Join cost on relation R1 and R2: • Block oriented nested loop join: - Smaller relation fits in main memory+2extra buffer page: cost = page(R1) + page(R2)- No relation fits in main memory(B join frame): $cost = page(R1) + \frac{page(R2) * page(R1)}{B - 2}$ • Index nested loop join - Index on the join column of one of the relation(R2): $cost = page(R1) + card(R1) * cost\_finding\_index(R2)$

Force Flush strategy     All changes are flush to disk BEFORE commit	Completed transaction need not action     Active transaction might have partial changes on disk(Need undone)	Append to log file log record before flushing     At commit/abort append to log file commit/abort log record     When recovering from crash: Scan log backward for each record if commited ignore otherwise install Before-Image of the record
Changes might be flushed at any time(BEFORE/AFTER commit)	Done transaction might have missing changes (must be redone)     Active/Aborted transaction might have been flushed before crash(Must be undone)	<ul> <li>For each write(x) of a transaction T with x being on page P: Log record with before AND after image of x(Before so you can undo changes, After so you can redo changes)</li> <li>Flush before-image to disk before flushing the P</li> <li>Flush after-image to disk before commit of T</li> <li>At commit/abort append commit/abort record to log file and flush</li> </ul>



- Dirty read: If T2 read from T1 before T1 commit.
- Lost update: If T2 modify a data item modified by T1 without taking in account the value modified by T1.

#### Schedule

- Serial schedule: All transaction one after the other
- Non-serial schedule: Transaction overlap
- <u>Serializable:</u> Dependency graph has no cycle(T1 always does action before T2)

#### Schedule examples:

Strict and serializable

- Avoids cascading aborts, non-strict, serializable
- Recoverable, not avoiding cascade aborts, serializable

r1(x), w2(y), w2(x), r1(y), c2, c1

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- Recoverable schedule: If transaction  $T_i$  reads a value written by transaction  $T_j$  then  $T_i$  commit only after  $T_j$  committed
- <u>Avoiding cascading aborts</u>: A transaction reads only values written by committed transactions.
- <u>Strict:</u> A transaction only read or overwrite value written by committed
- Not recoverable, serializable

Not recoverable, Not-serializable

Unrecove	Unrecoverable Recoverable schedule with cascading abort		schedule with schedule with		Non strict Strict			Strict and seriazble					
T1	T2	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2
R(A)		R(A)		R(A)		R(A)		W(A)		W(A)		R(x)	
W(A)		W(A)		W(A)		W(A)			W(A)	abort			W(x)
	R(A)		R(A)		R(A)	abort		abort			W(A)		commit
	commit	abort		commit			R(A)		commit		commit	W(x)	
commit			abort		commit		commit					commit	

Lock request:	Lock release:		
If lock is S, no X lock is active and the request queue is empty:	Remove the lock from the granted lock queue		
- Add the lock to the granted lock queue and set the lock type to S	If this was the only lock granted on this object:		
If lock is X and no lock active(request queue is also empty):	- Grant one X lock(If the first of the request is a X lock)		
- Add the lock to the granted lock queue and set the lock type to X	- Grant n S lock(If the first n element are S lock)		
Otherwise			
- Add the lock to the request lock queue			
Deadlocks:	Solve Deadlock:		
• Make the wait-for graph( $T_i$ need ressource lock by $T_j$ )	Add a timeout for each transaction and abort if transaction timeout.		
If cycle then we have a deadlock (Nooooooooooooo)	Problem on what timeout value to choose		
	Request all the lock at the beginning of the transcation		

#### Predicate locking:

- Grant lock on all records that satisfies logical predicates(e.g. depid>5, age > 2\*salary)
- More bullshit

#### Predicate looking example:

- Assume 2 tranascrtions:
- UPDATE Skaters set rating = 7 WHERE sid = 123
- SELECT max(age) FROM Skaters WHERE rating = 5
- Assume: T1 execute first then it has a X-lock on Skaters with sid=123
- Assume: T2 has to scan the entire table to get skater with rating=5
  - For each tuple
    - set S-lock on tuple
    - Check condition
    - If condition TRUE keep lock and return value
    - If condition FALSE release lock
  - It need to read the tuple where sid=123 and rating= 5 but block has T1 has a lock on it.
- T2 is block by T1 although there is no conflict

#### **Problems of strict 2PL locking:**

- Very restrictive, low concurrency, problem with long query
- More and more exception

# In order to allow for more concurrency, SQL2 defines various levels of isolation

- Assumed to be implemented by different forms of locking
- · Avoid different levels of anomalies
- Used for non-critical transactions or read-only transactions
- Lower levels of isolation do NOT provide serializability

#### **Problem**

- Definitions are no more appropriate if systems do not use locking but other forms of concurrency control
- For instance, Oracle's "serializable" level does not provide serializable schedule as defined in the literature

#### Isolation level:

- In principle isolation levels are independent of concurrency control
  mechanics
- In reality they were defined with locking in mind

Isolation level/Anomaly	Dirty read	Unrepeatable	Phantom
		read	
Read uncommitted	Maybe	Maybe	Maybe
Read committed	No	Maybe	Maybe
Repeated reads	No	No	Maybe
Serializable	No	No	No

#### · Read uncommitted:

- Read op. do not set locks; can read not-committed updates
- Read committed:
- Read op. set short S locks; have to wait for X locks to be released
- release lock immediately after execution of op
- Repeated reads:
- Read operations set standard lock S locks; standard 2PL
- Serializable:
- Read op. must set S locks that cover all objects that are read
- predicate locks or coarse locks (e.g., lock on entire relation)

## Big data

#### Some bullshit info:

- Hardware
- CPU does not increase
- Instead muticode
- Usage
- Astronomy: high-resolution, high-frequency sky surveys
- Medicine: digital records, MRI, ultrasound
- Biology: sequencing data
- User behavior data: click streams, search logs

#### Parallel Query Evaluation:

- Inter-query parallelism
- Different queries run in parallel on different processors; each query is executed sequentially
- Inter-operator parallelism
- Different operator within the same execution tree run on different processors
- Intra-operator parallelism
  - A single operator(JOIN, GROUP, ...) runs on many processor

#### **Horizontal data Partitioning:**

- Data
- Large table R(K, A, B, C)
- Key value store KV(K, V)
- Goal
- Partition into chunks  $c_1, \dots c_n$  of records stored at N nodes
- Range partition
- Equal size of each chunk
- Hash partitioned on attribute X
- Record r goes to chunk i, according to hash function
- xample: hash function H(r.X) mod P+1
- Range partitioned on attribute X
- Partition range of X into:  $-\infty = v_0 < v_1 < \cdots < v_p = \infty$
- Record r goes to chunk i, if  $v_{i-1} < t . X < v_i$

#### Vertical Partitioning:

- Column stores
- Data: relation R(K, A, B, C)
- Partition into RA(K, A), RB(K, B), RC(K, C)
- Query:
  - SELECT A FROM R
- Query only needs to access partition RA
- Much less IO

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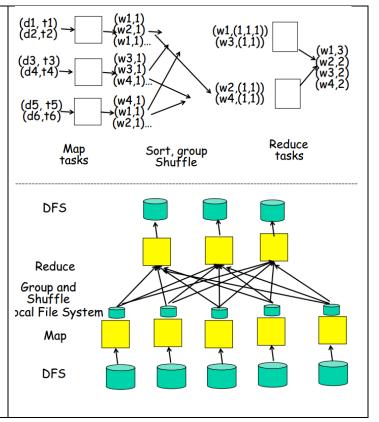
#### **Execution steps:**

- User indicates m (number of map tasks), r (number of reduce tasks), key/value set = document Set DS
- System creates m map tasks and splits input set of 1key/value pairs into m partitions and gives each map task one partition as input
- Each Map task executes user written map function
- WordCountMap:
- For each input key/value pair (dkey, dtext)

For each word w of dtext

Output key-value pair (w, 1)

- Next step only completes once all map tasks have completed
- System sorts map outputs by key and transforms all key/value pairs  $(k, v1), (k, v2), \dots (k, vn)$  with same key k to one key/value-list pair  $(k, (v1, v2, \dots vn))$
- For Word count: all ('and', 1), ('and', 1), ('and', 1) ... are transformed into one ('and', (1,1,1,...))
- System partitions output by key into r partitions and assigns these partitions as inputs to the r reduce tasks
- Each reduce task executes user written reduce function
- WordCountReduce:
- For each input key/value-list pair  $(k, (v1, v2, \dots vn)$ Output (k, n)



## Map reduce

#### Relational Operators with Map/reduce

- Assume R(A, B, C) relation (no duplicates)
- Selection with condition c on R
- for each tuple t of R for which condition c holds, output (t, t)
- Reduce: identity, that is output (t,t)
- Projection on A, B, of R
- <u>Map:</u> transform each tuple t = (a, b, c) of R into tuple t' = (a, b) of R, and output (t', t')
- There might now be duplicates, that is several (t',t') tuples, the group function will aggregate them to (t',(t',...,t'))
- Reduce: for each tuple (t', (t', ..., t')) output (t', t')
- Natural Join R(A,B,C) with Q(C,D,E) via hash-join(SELECT FROM R1,R2)
- <u>Map:</u>

For each tuple (a, b, c) of R, output (c, (R, (a, b)))

For each tuple (c, d, e) of Q, output (c, (Q, (d, e)))

- Group and shuffle will aggregate all key/value pairs with same c-value
- Reduce:

For each tuple (c, value-list), example:

$$(value-list \,=\, (R,(a1,b1)),(R,(a2,b2)),\dots(Q,(d1,e1)),\dots))$$

Rt = Qt = empty;

for each v=(rel,tuple) in value-list

if v.rel = R: insert tuple into Rt else insert tuple into Qt

for v1 in Rt, for v2 in Qt, output(c,v1,v2)

Basically produces all combinations (c, ai,bi,dj,ej)

#### Grouping: SELECT a, sum(b) GROUP by (a)

- Map: for each tuple (a, b,c) of R output (a,b)
- Group and shuffle will create for each value a a key/value-list (a, (b1, b2, ...)
- Reduce: for each (a, (b1, b2, ...)) perform aggregation (e. g., b1 + b2, ...)

#### Pig latin:

- Users = LOAD 'users' AS (name,age);
- Filtered = FILTER Users BY age >= 18 AND age <= 25;
- Pages = LOAD 'pages' AS (uname, url);
- Joined = JOIN Fltrd BY name, Pages BY uname;
- Grouped = GROUP Jnd BY url;
- Smmd = FOREACH Grpd GENERATE (\$0), COUNT(\$1) AS clicks;
- Srtd = ORDER Smmd BY clicks desc;
- Top5 = LIMIT Srtd 5;
- STORE Top5 INTO 'top5sites'