Buffer BUILDING INDEXES B+ TREES SIZE Build an index for any attribute (collection of attributes) that The average number of rids per data entry □ DBMS stores information persistently on ("hard") disks. • Number of tuples / different values (if uniform) (Example is frequently used in gueries: □ Unit of transfer main-memory/disk: disk blocks or pages. 200,000/20,000 = 10) Additional information that helps finding specific tuples faster The average length per data entry: We call the collection of attributes over which the index is • Key value + #rids * size of rid (Example: 6 + 10*10 = 106)
• The average number of data entries per leaf page: ☆ 2- 20 msec for random data block (bad seek time) built the search key attributes for the index. ☆ If blocks are sequentially on disk, only +1ms per block Any subset of the attributes of a relation can be the search key ☆ Compare main memory access: in nanoseconds • Fill-rate * page-size / length of data entry for an index on the relation. Basic operations (READ/WRITE from/to disk) • Example: 0.75*4000 / 106 = 28 entries per page Search key is not the same as primary key / key candidate □ Why disks? The estimated number of leaf pages: ☆ Cheaper than Main Memory • Number of entries = number of different values / #entries per CREATE INDEX ind1 ON Skaters(sid); ⇔ Higher Capacity DROP INDEX ind1: ☆ Main Memory is volatile • Example 20000 / 28 = 715 R+ TRFFS Number of entries intermediate page: □ When loading a page from disk: □ height-balanced: Each path from root to tree has the same height • Fill-rate * page-size /length of index entry Replacement frame must have "pin counter" of 0 □ F = fanout = number of children for each node • Min fill-rate: 0.5, max fill rate: 1 When requesting a page that is in the buffer (~ number of index entries stored in node) • Example: 0.5 * 4000 / 14 = 143 entries : 1* 4000/14 = 285 ☼ Increment pin counter □ N = # leaf pages □ After operation has finished □ Insert/delete at log F N cost: Height is 3: the root has between three and four children ☼ Decrement pin counter □ Minimum 50% occupancy (except for root). • Three children: each child has around 715/3 = 238 entries ☆ Set dirty bit if page has been modified: INSERT □ Frame is chosen for replacement by a replacement policy: • Four children: each child has around 715/4 = 179 entrie □ Find correct leaf L. □ Put data entry onto L. B+ TREE COST ☆ If L has enough space, done ! Relation R(A.B.C.D.E.F) must split L (into L and a new node L2) If requested is not in pool: A and B are int (each 6 Bytes), C-F is char[40] (160 Bytes) Redistribute entries evenly, copy up middle key. • Size of tuple: 172 Bytes ☆ If there is an empty frame, use it Insert index entry pointing to L2 into parent of L . 200,000 tuples Else choose an empty frame for replacement. If the frame is ☐ This can happen recursively

☐ To split index node, redistribute entries evenly, Each data page has 4 K and is around 80% full dirty (page was modified), write it to disk \bullet 200,000*172/(0.8*4000) = 10750 pages Read requested page into chosen frame but push up middle key. (Contrast with leaf splits.) Values of B are within [0;19999] uniform distribution Splits "grow" tree; root split increases height Non-clustered B-tree for attribute B, indirect indexing (2) Buffer management in DBMS requires ability to: Tree growth: gets wider or one level taller at top. An index page has 4K and intermediate pages filled [50%-100%] pin a page in buffer pool, force a page to disk (important for The size of an rid = 10 Bytes implementing CC & recovery). INDIRECT INDEXING The size of a pointer in intermediate pages: 8 Bytes adjust replacement policy, and pre-fetch pages based on (10, rid1), (10, rid2), ... vs. (10, rid1, rid2, rid3), ... Index entry size in root and intermediate pages: access patterns in typical DB operations. first requires more space (search key repeated) • size(key)+size(pointer) = 6 Bytes + 8 Bytes = 14 Bytes second has variable length data entries Indexina second can have large data entries that span a page B+ TREES STATS Height 4: $133 \ 4 = 312.900.721$ records COST MODEL DIRECT INDEXING Height 3: $133 \ 3 = 2,352,637$ records Measure performances by simplifying the parameters (IO focused): Instead of data-entries in index leaves containing rids, they only consider disk reads (ignore writes) Height 2: $133\ 2 = 17,689$ records could contain the entire tuple. This is kind of a sorted file with Typical order d of inner nodes: 100 (I.e., an inner node has only consider number of I/Os and not the individual time for an index on top. between 100 and 200 index entries) each read (ignores page pre-fetch) data-entry = tuple Tvpical fill-factor: 67% Average-case analysis; based on several simplistic assumptions. no extra data pages average fanout = 133 HEAP ETLES Can often hold up top levels in buffer pool: CLASSIFICATION Linked, unordered list of all pages of the file Level 1 (root) = 1 page = 4 Kbvtes Primary vs. Secondary Is it good for: Level 2 = 133 pages = 0.5 Mbyte Primary Index if contains primary key. Unique Index. • scan retrieving all records (SELECT *)? ⇒ Level 3 = 17,689 pages = 70 MBytes Clustered vs. Unclustered ▲ yes, you have to retrieve all pages anyway A file can be clustered on at most one search key. XML equality search on primary key ▲ not great: have to read on avg half the pages for 1 record UNCLUSTERED <bibliography> • range search or equality search on non-primary key CLUSTERED Index entries on sid ▲ not great, all pages need to be read on age <books> insert <book ISBN="23456" year="1995"> ▲ yes, can insert anywhere <title> Foundations ... </title> Data entries delete/update <author> Hull </author> ▲ depends on where <author> Abiteboul </author> (Data file) SORTED FILES <publ> Addison Wesley </publ> Data Records Records are ordered according to one or more attributes of the Data Records </book> relation <book> ...</book> INDEX ON MULTIPLE ATTRIBUTES </hooks> • scan retrieving all records (SELECT *)? CREATE INDEX ind1 ON Skaters(age, rating): ▲ yes, you have to retrieve all pages anyway <iournals> Order is important: • equality search on sort attribute • Here data entries are first ordered by age <journal> ▲ good: find first qualifying page with binary search (log2) • Skaters with the same age are then ordered by rating <title> ... </title> range search on sort attribute Supports: <article> ... </article> ▲ good: find first qualifying page with binary search (log2): • SELECT * FROM Skaters WHERE age = 20; adjacent pages might have additional matching records • SELECT * FROM Skaters WHERE age = 20 AND rating < 5: • insert Does not support </iournal> ▲ not good: have to find proper page; overflow possible • SELECT * FROM Skaters WHERE rating < 5: <journal> ... </journal> • delete/update </iournal> ▲ finding tuples is fast. ▲ BUT updading might lead to restructuring of pages. </bibliography> • Sorted output: (ORDER BY) ▲ good if on sorted attribute



```
Return the province with the largest number of cities
                                                                      <!DOCTYPE people [
LET $m := max(/Politics/Province/COUNT(City))
                                                                      <!ELEMENT people (person*)>
LET $c := /Politics/Province/[COUNT(City) = $m]
RETURN $c
List the name of all Mps in Quebec
OR $r in /Politics/Province[@pname = "Quebec"]/Riding
FOR $p in /Politics/Politician
WHERE $r/@rname = $p/CurrentMoP/@rname
RETURN $p/@pname
□ DOM: Language neutral API with implementations in Java, C++,etc.
□ Functionality
  © Construct a DOM tree from an XML document
 ☆ Traverse and read a DOM tree
 ☆ Construct an empty DOM tree

☆ Copy subtrees

□ Nodes in DOM tree:
  ☆ Document, element, attribute, text
<!DOCTYPE Politics [
<!ELEMENT Politics (Politician*, Province*)>
<!ELEMENT Politician ((CurrentMayor | CurrentMop)?, address?)>
<!ELEMENT CurrentMayor (since?)>
<!ELEMENT CurrentMoP (since?)>
<!ELEMENT Province (City+, Riding+, population?)>
<!ELEMENT City (population?)>
<!ELEMENT Riding (population?)>
<!ELEMENT address (#PCDATA) >
<!ELEMENT since (#PCDATA) >
<!ELEMENT population (#PCDATA) >
<!ATTLIST Politician pname ID REQUIRED
website CDATA IMPLIED
friends IDREFS IMPLIED>
<!ATTLIST CurrentMayor cityID IDREF REQUIRED>
<!ATTLIST CurrentMoP rname IDREF REOUIRED>
<!ATTLIST Province pname ID REQUIRED>
<!ATTLIST City cityID ID REQUIRED
cname CDATA RÉOUIRED>
<!ATTLIST Riding rname CDATA REQUIRED>
                                friend
                                        address
                           pname
                                    Politician
                         website
                                        non-covering
                                         non-over-
                                     isa
                                          lapping
                                               since
                       CurrentM avor
                                         CurrentMoP
                                   mame
                                                population
              population
                                          Ridina
                 name
```

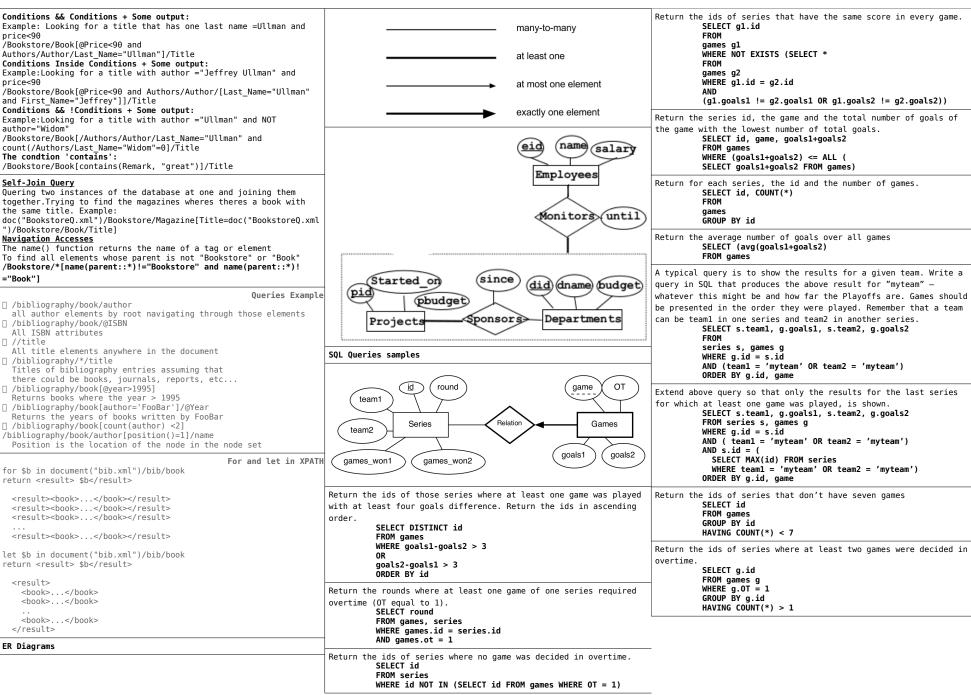
pname

population

Province

```
<!ELEMENT person (name*, (lastname|familyname)?)>
<!ATTLIST person PID ID #REOUIRED
  age CDATA #IMPLIED
  children IDREFS #IMPLIED
  mother IDREFS #IMPLIED
<!ELEMENT name (#PCDATA)>
<!ELEMENT lastname (#PCDATA)>
<!ELEMENT familyname (#PCDATA)>
                                              For and let in XPATH
Data types: PCDATA (parsed character data) or CDATA (unparsed)
Attributes
 ID unique identifier (similar to primary key)
  IDREF: reference to single ID
  IDREFS: space-seperated list of references
/alues
  can give a default value
  #REOUIRED must exist
 #IMPLIED optional
Specified in an XML file with <!DOCTYPE name SYSTEM
"path/to/thing.dtd">
Can use regex style things too. * is 0 or more. + is 1 or more, (a
| b)? is one or the other
INSERT INTO MyXML(id. INFO) VALUES (1000.
  '<customerinfo cid="1000">
  <name>Kathy Jones</name>
  <addr country =Canada">
   <street>123 fake</street>
   <city>0ttawa</city>
   o
   <pcode-zip>HOH OHO</pcode-zip>
  </addr>
  </customerinfo>')
XPATH
```

```
-Basic Queries: '/'
                             to navigate one path at a time
Example:/Bookstore/Book/Title
         all paths following this
Example://Title
When wanting to access an attribute of an element use @
Example:/Bookstore/Book/data(@ISBN)
'|' OR operator ONLY USED INSIDE CONDITIONS
Example:/Bookstore/Book|Magazine/Title
         can act like == like in Self-Join Queries below
Navigation accesses:
Example: parent::* *::child following-silbling::*
-Queries involving CONDITIONS
1condition:
Example:/Bookstore/Book[@Price<30]
Example:/Bookstore/Book/Authors/Author[2]
the 2nd author of each element
2conditions:
To write a condition, it needs to be inside '[...]' then followed
by the output that we are looking for
Example:/Bookstore/Book[@Price<30]/Title
Condition to find elements that contain other elements:
Example:/Bookstore/Book[Remark]/Title
```



```
Return the ids of series that have the same score in every game.
          SELECT al.id
          FROM
         games q1
          WHERE NOT EXISTS (SELECT *
          games g2
          WHERE g1.id = g2.id
          (q1.qoals1 != q2.qoals1 OR q1.qoals2 != q2.qoals2))
Return the series id, the game and the total number of goals of
the game with the lowest number of total goals.
          SELECT id, game, goals1+goals2
          FROM games
          WHERE (goals1+goals2) <= ALL (
          SELECT goals1+goals2 FROM games)
Return for each series, the id and the number of games.
          SELECT id, COUNT(*)
          FROM
          games
          GROUP BY id
Return the average number of goals over all games
          SELECT (avg(goals1+goals2)
          FROM games
A typical guery is to show the results for a given team. Write a
query in SQL that produces the above result for "myteam" -
whatever this might be and how far the Playoffs are. Games should
be presented in the order they were played. Remember that a team
can be team1 in one series and team2 in another series.
          SELECT s.team1, g.goals1, s.team2, g.goals2
          series s, games g
          WHERE q.id = s.id
          AND (team1 = 'myteam' OR team2 = 'myteam')
          ORDER BY g.id. game
Extend above guery so that only the results for the last series
for which at least one game was played, is shown.
          SELECT s.team1, g.goals1, s.team2, g.goals2
          FROM series s, games g
          WHERE g.id = s.id
          AND ( team1 = 'mvteam' OR team2 = 'mvteam')
          AND s.id = (
           SELECT MAX(id) FROM series
           WHERE team1 = 'myteam' OR team2 = 'myteam')
         ORDER BY g.id, game
Return the ids of series that don't have seven games
          SELECT id
          FROM games
          GROUP BY id
          HAVING COUNT(*) < 7
```

SELECT g.id

FROM games g

WHERE q.0T = 1

GROUP BY g.id

HAVING COUNT(*) > 1

```
Return the ids of series of the Montreal Canadiens that don't have
seven games
         SELECT g.id FROM games g, series s
         WHERE s.id = g.id
         AND ( s.team1 = 'Montreal Canadiens'
           OR s.team2 = 'Montreal Canadiens'
          GROUP BY a.id
         HAVING COUNT(*) < 7
          SELECT id FROM series
         WHERE ( team1 = 'Montreal Canadiens'
           OR team2 = 'Montreal Canadiens'
         AND games won1 + games won2 < 7
```

Write a row-level trigger that guarantees that for each web-page only the latest 20 requests are recorded. That is, once there are 20 requests for a web-page and a new request for this web-page is entered into the Request table, then the entry with the smallest request id for that page should be removed from the table. Assume that an insert inserts only a single tuple.

```
CREATE TRIGGER tr
AFTER INSERT ON Request
REFERENCING NEW AS n
FOR EACH ROW
WHEN (20 < (SELECT COUNT(*) FROM Request WHERE page-id = n.page-
id))
DELETE FROM Request
WHERE request-id = (SELECT MIN(request-id) FROM request where
page-id = n.page-id)
```

TRIGGER TEMPLATE

```
CREATE TRIGGER trigger-name
/* tiggering event
AFTER/BEFORE INSERT/DELETE ON table-name
REFERENCING NEW AS n
FOR EACH ROW
WHEN condition /* as in WHERE-clause
/* action */
SQL-statement
```

WorksFor (pid, eid, since)

Employees work for projects

```
Employee (eid, ename, location, sid)
Employees have an id, a name, a location where they work, and a
supervisor who is also an employee
(foreign key to Employees)
Project (pid, start-year, cid)
Projects have an id. a start year and a coordinator who is an
employee (foreign key to Employees)
```

1. Give the pids of all projects that started in 2010. Πpid(σ start-vear=2010(Project)) 2. Give the pids of all projects that started either in 2007 or in

Πpid(σ start-vear=2007 ∧ start-vear=2008(Project))

3. Give the pids of all projects for which employees at the Montreal location work.

Πpid (WorksFor ⋈ σ location='Montreal'(Employees))

4. Give the pids of all projects for which employees at the Montreal and the Toronto locations work.

Πpid (WorksFor ⋈ σ location='Montreal'(Employees))

∩ ∏pid (WorksFor⋈ σ location='Montreal'(Employees))

SOL

```
Tours(TourID, type, start date, duration, price)
Specials(SpecID, name, price)
Reservations(ResID, TourID, cname, caddress, cost)
SpecialRes(ResID, SpecID)
```

Return ResID and cname for reservations that neither include the special "vegetarian" nor the special "single"

```
SELECT resID. cname FROM Reservations
WHERE resID NOT IN (
 SELECT resID FROM SpecialRes SR
   JOIN Special S ON SR.SpecID=S.SpecID
 WHERE name='vegetarian' OR name='single'
```

Return the resID and the number of specials of Reservations that have booked at least two specials.

SELECT resID, COUNT(*) FROM Specials GROUP BY resID HAVING COUNT(*) >= 2

Query Optimisation

REDUCTION FACTOR Pushing down projections will not reduce the number of tuples but the SIZE of the intermediate results.

Result sizes: number of input tuples * reduction factor. Selections with low reduction factor (high selectivity) should be executed as fast as possible (WHERE sid = 5, WHERE age = 6)

JOIN COST ESTIMATION

2009 03

|Skaters Participates| = |Participates|

- Join attribute is primary key for Skaters
- Each Participates tuple matches exactly with one Skaters tuple

- Cross product is always the product of individual relation sizes
- For other joins more difficult to estimate (Continues in next episode...)

JOIN cost on relation R1 and R2

```
BLOCK ORIENTED NESTED LOOP JOIN
```

```
Smaller relation fits in main memory+2extra buffer page:
 cost = page(R1) + page(R2)
No relation fits in main memory(B join frame):
```

page(R2) * page(R1)

cost = page(R1) +B-2

INDEX NESTED LOOP JOIN

Index on the join column of one of the relation(R2): cost = page(R1) + card(R1) * cost finding index(R2)

If the join attribute is primary key in inner relation

SORT MERGE JOIN

- Sort P and S on the join column, then scan them to do a
- 'merge'' (on join col.), and output result tuples
- Advance scan of P until current P-tuple >= current S tuple, then advance scan of S until current S-tuple >= current P tuple: do

this until current P tuple = current S tuple. - At this point, all P tuples with same value in Pi (current P

group) and all S tuples with same value in Si (current S group) match; output <p, s> for all pairs of such tuple 1s.

P is scanned once: each S group is scanned once per matching P tuple. (Multiple scans of an S group are likely to find needed pages in buffer.)

- Simple nested loop join: For each tuple in the outer relation P, we scan the entire inner relation S

cost = PartPages + CARD(P) * SkaterPages = 1000 + 100000 *

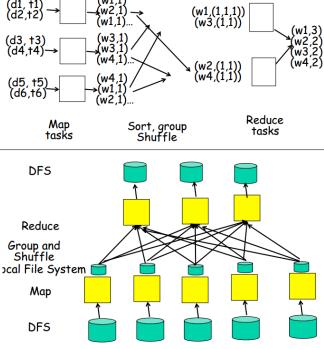
- Page-oriented Nested Loops join: For each page of P, get each page of S, and write out matching pairs of tuples <p. s>, where p is in P-page and s is in S-page.

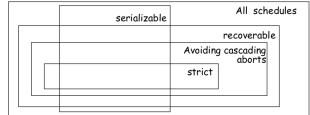
cost = PartPage + PartPage * SkaterPage = 1000 + 1000 * 500)

Big Data

SORT MERGE JOIN

```
Users = load 'users' as (name.age):
Fltrd = filter Users by age >= 18 and age <= 25;
Pages = load 'pages 'as (uname, url);
Jnd = join Fltrd by name, Pages by uname;
Grpd = group Jnd by url;
Smmd = foreach Grpd generate ($0), COUNT($1)
as clicks;
Srtd = order Smmd by clicks desc;
Top5 = limit Srtd 5;
store Top5 into 'top5sites '
```





Isolation Level\Anomaly	Dirty Read	Unrepeatable Read	Phantom
Read Uncommitted	maybe	maybe	maybe
Read Committed	no	maybe	maybe
Repeatable Reads	no	no	maybe
Serializable	no	no	no

NON SERIAL SCHEDULE

Serialisable: Dependency graph has no cycle Recoverable Schedule: If transaction T_i reads a value written by transaction T_j then T_i commits only after T_j has commited.

Avoid Cascading Abort: A transaction reads only values written by comitted transactions.

Strict: A transaction only reads or overwrite values written by commited transactions.

	Unrecover	able	Recover schedule cascadir	with	Recoverab schedule v commit		Avoids c	ascading	Non stric	t	Strict		Strict and s	erializable
l	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2
l	R(A)		R(A)		R(A)		R(A)		W(A)		W(A)		R(x)	
l	W(A)		W(A)		W(A)		W(A)			W(A)	abort			W(x)
l		R(A)		R(A)		R(A)	abort		abort			W(A)		commit
l		commit	abort		commit			R(A)		commit		commit	W(x)	
l	commit			abort		commit		commit					commit	

Unrepeatable read: If T1 read twice the same data item but T2

change its value between the first and the second

Dirty read: If T2 read from T1 before T1 commit.
Lost update: If T2 modify a data item modified by T1 without

taking in account the value modified by T1.

FORCE FLUSH STRATEGY

- All changes are flush to disk BEFORE commit
- ☐ Completed transaction need not action
- ☐ Active transaction might have partial changes on disk(Need
- Append to log file log record before flushing
- At commit/abort append to log file commit/abort log record
- ☐ When recovering from crash: Scan log backward for each record if committed ignore otherwise install Before-Image of the record

NO FORCE FLUSH STRATEGY Changes might be flushed at any time(BEFORE/AFTER commit)
☐ Done transaction might have missing changes (must be redone) ☐ Active/Aborted transaction might have been flushed before crash(Must be undone)
☐ For each write(x) of a transaction T with x being on page P: Log record with before AND after image of x(Before so you can redo changes) ☐ Flush before-image to disk before flushing the P ☐ Flush after-image to disk before commit of T ☐ At commit/abort append commit/abort record to log file and flush
Let suppose we have a relation R (A, B, C, D, F) such that: A and B are int (6 byte) C-F are char [40] (10 byte per char). Tuple = 172 bytes. 200,000 tuples Each data page has 4000 bytes and is around 80% full

☐ B values are uniformly distributed

☐ Size of pointer in intermediate page = 8 bytes ☐ Index pages are 4K and between 50%-100% full

☐ Rid = 10 bytes

Goal	Formula	With this example
Number of pages	number of tuples * tuple size fill rate * page size	$\frac{172 * 200000}{40000 * 0.80} = 10750$
Index entry size in root and	size of key + size of pointer	6 + 8 = 14 bytes
intermediate pages		
Average number of rids per data	number of tuples	$\frac{200,000}{20,000} = 10$
entry	minor one remove (a minorial)	
Average length per data entry	size of key + (number of rids * size of rid)	6 + 10 * 10 = 106
Average number of data entries	fillrate * page size	0.75 * 4000 = 20
per leaf page	length of data entry	106 – 20 enii ies pei puge
Estimate number of leaf nage	number of different values	20,000 _ 715
Estillate liailibet of leaf base	number of entrier per page	28 = /13
Number of entries in	fillrate * page size).5 * 40
intermediate pages	lenght of index enty	14 - 170, max - 14 - 200
Height of tree	(nb of entry in intermediate page) ^{h-1} > nb of leaf page	3