

# 1 The Formal Immersion Step (the new hotness on tiktak)

**Theorem 1.0.1.** Let  $N$  be a prime, either 11 or  $\geq 17$  (ensuring that  $X_0(N)$  has genus  $> 0$ ) then there are no elliptic curves over  $\mathbb{Q}$  with a torsion point of order  $N$ .

Kep points,

- (a) if  $E$  has good reduction at 3 then  $E[N](\mathbb{Q}) \hookrightarrow \overline{E}(\mathbb{F}_3)$  which has order at most 9 by Hasse so  $N < 9$ .
- (b) if  $E$  has multiplicative reduction we can get crazy polygons so no control on  $N$
- (c) if  $E$  has additive reduction: what can the special fiber of the minimal regular proper model be? From Kodaia classification, there are a bounded number of components and hence a bound on  $\#\overline{E}(\mathbb{F}_3) \leq 12$ .

Assume from now on that  $N = 11$  or  $N > 17$ .

**Proposition 1.0.2.** If  $(E, C)$  is a pair of an elliptic curve over  $\mathbb{Q}$  and a cyclic subgroup scheme  $C \subset E$  of order  $N$ . Then  $E$  has potentially good reduction away from  $2N$ .

*Remark.* This implies you can't have multiplicative reduction because potentially good reduction means the semistable reduction is good but multiplicative reduction is also semistable.

*Remark.* Recall that,

$$\text{good reduction} \iff T_\ell E \text{ is unramified}$$

$$\text{mult. reduction} \iff I \rightarrow \text{GL}(V_\ell E) \text{ is (nontrivial) unipotent}$$

**Proposition 1.0.3.** Let  $\mathcal{A}$  be the Neron model over  $\mathbb{Z}[1/2N]$  of the Eisenstein quotient  $A$  of  $J = \text{Jac}(X_0(N))$ . Define,

$$X_0(N)_\mathbb{Q} \longrightarrow J \longrightarrow A$$

$f : X_0(N) \rightarrow \mathcal{A}$  over  $\mathbb{Z}[1/2N]$  sends  $\infty \mapsto 0$ . Then if  $p \nmid 2N$  then  $\infty \in X_0(N)(\mathbb{Z}_{(p)})$  is the only  $\mathbb{Z}_{(p)}$ -point of  $X_0(N)$  mapping to  $0 \in \mathcal{A}(\mathbb{Z}_{(p)})$  which reduces to  $\infty \in X_0(N)(\mathbb{F}_p)$ .

**Definition 1.0.4.** Let  $f : Y \rightarrow Z$  is lft and  $Y, Z$  are locally noetherian. If  $y \in U$  say  $f$  is a *formal immersion at  $y$*  if  $\mathcal{O}_{Z, f(y)}^\wedge \twoheadrightarrow \mathcal{O}_{Y, y}^\wedge$  is surjective.

**Definition 1.0.5.**  $Y, Z$  are ft + sep over a locally noetherian base  $S$ . If  $f$  is an  $S$ -morphism and  $y \in Y(S)$  is a section then  $f$  is a *formal immersion along  $y$*  if,

- (a)  $f$  is a formal immersion along all points of  $y$
- (b)  $f_s$  is a formal immersion at  $y_s$  for all  $s \in S$ .

*Remark.* This is supposed to be equivalent to  $\hat{Y}_y \hookrightarrow \hat{Z}_{f(y)}$ .

**Lemma 1.0.6.** Let  $A, B$  be complete noeth. local rings and  $f : A \rightarrow B$  is a local map such that  $f : A/\mathfrak{m}_A \rightarrow B/\mathfrak{m}_B$  and  $f : \mathfrak{m}_A/\mathfrak{m}_A^2 \twoheadrightarrow \mathfrak{m}_B/\mathfrak{m}_B^2$  is surjective.

*Proof.* Approximate. □

**Proposition 1.0.7.** Let  $Y$  be separated and  $f : Y \rightarrow Z$  be a formal immersion at  $y \in Y$ . Let  $T$  be an integral noetherian scheme with  $p_1, p_2 \in Y(T)$  are s.t.  $y = p_1(t) = p_2(t)$  at some  $t \in T$  and  $f \circ p_1 = f \circ p_2$  then  $p_1 = p_2$ .

**Lemma 1.0.8.** Let  $A, B$  be complete noetherian local rings flat over a dvr  $(R, \pi)$  with a map  $A \rightarrow B$  such that  $A/\mathfrak{m}_A \rightarrow B/\mathfrak{m}_A$  is an isomorphism. Then  $A \rightarrow B$  is surjective iff  $A/\pi \rightarrow B/\pi$  is surjective.

*Proof.* This follows from the fact that  $\mathfrak{m}_A/(\mathfrak{m}_A^2 + \pi A) \twoheadrightarrow \mathfrak{m}_B/(\mathfrak{m}_B^2 + \pi B)$  being surjective implies that it was surjective before modding by  $\pi$ .  $\square$

**Corollary 1.0.9.** We can check formal immersions at the special fiber of a DVR.

*Proof of Proposition.*  $A = \{x \in T \mid p_1(x) = p_2(x)\}$  then  $Y$  is separated implies  $A \subset T$  closed and  $T$  is integral so suffices to show  $\text{Spec}(\mathcal{O}_{T,t}) \rightarrow T$  factors through  $A \hookrightarrow T$ . So assume  $T$  is local with closed point  $t$ . Can assume  $Y$  is local with closed point  $y$ .

$$\begin{array}{ccc} \mathcal{O}_{T,t} & \hookrightarrow & \widehat{\mathcal{O}}_{T,t} \\ \uparrow \uparrow & & \uparrow \uparrow \\ \mathcal{O}_{Y,y} & \longrightarrow & \widehat{\mathcal{O}}_{Y,y} \longleftarrow \widehat{\mathcal{O}}_{Z,f(y)} \end{array}$$

thus the maps must agree on the local rings since they agree after composing with the surjection.  $\square$

Goal show that if  $T_{\mathbb{Q}} \twoheadrightarrow A$  is any surjection of abelian varieties with connected kernel (what we call an optimal quotient) then  $X_0(N) \rightarrow J \rightarrow \mathcal{A}$  over  $\mathbb{Z}[1/2N]$  is a formal immersion.

Setup  $N$  is prime  $> 2$  and  $S = \text{Spec}(\mathbb{Z}[1/2N])$  and  $X = X_0(N)$  then  $J = J_0(N)$  and  $\mathbb{T} \hookrightarrow \text{End}(J)$  the Hecke algebra.

*Remark.* all optimal quotients of  $J$  are of the form  $J/IJ$  where  $I \subset \mathbb{T}$  is a *saturated* ideal ( $\mathbb{T}/I$  is torsion-free). Then  $J_{\mathbb{Q}} = J_0(N)_{\mathbb{Q}}^{\text{new}}$  so everything in Daniel's talk applies. In particular,

$$J_{\mathbb{Q}} \sim \prod_{f \in C} A_f$$

with  $C$  Galois orbits of cusp forms. Also,

$$\text{End}_{\mathbb{Q}}(A_f) = K_f = \text{im } \mathbb{T}$$

with  $[K_f : \mathbb{Q}] = \dim A_f$ . Then any optimal quotient of  $J_{\mathbb{Q}}$  is  $\prod_{g \in C'} A_g$  with  $C' \subset C$ .

**Theorem 1.0.10.** The tangent space  $T_0(F)$  is a free  $\mathcal{T}_{\mathbb{Z}[1/2N]}$ -module of rank 1 generated by  $\frac{d}{dq}|_0$ .

*Remark.* This is saying,

$$S_2(N)_R \cong H^0(J_R, \Omega_{J_R/R}^1) = T_0^*(J_R)$$

for any ring  $R$ . This is because level  $N$  cusp 2-forms are exactly given by forms on  $X_0(N)$  and these are the same as forms on its Jacobian.

**Corollary 1.0.11.** If  $A$  is an optimal quotient of  $J$  then  $X \rightarrow \mathcal{A}$  sending  $\infty \mapsto 0$  is a formal immersion over  $S$ .

*Proof.* It suffices to show that  $T_{\infty}X \hookrightarrow T_0\mathcal{A}$  over each prime. Then in the a sequence,

$$0 \longrightarrow B \longrightarrow J \longrightarrow A \longrightarrow 0$$

since  $J$  and  $A$  have good reduction so does  $B$  by Neron-Ogg-Shafarevich. Then Raynaud's theorem gives an exact sequence,

$$0 \longrightarrow T_0(\mathcal{B}) \longrightarrow T_0(J) \longrightarrow T_0(\mathcal{A}) \longrightarrow 0$$

(HMMM) □

Reduction,  $M' = T_0(T)/(\mathbb{T}_{\mathbb{Z}[1/2N]} \frac{d}{dq})$ . But  $T_0(J)$  is finite over  $\mathbb{Z}[1/2N]$  hence also  $\mathbb{T}_{\mathbb{Z}[1/2N]}$ . Suffices to show that  $M'/\mathfrak{m}M' = 0$  for all  $\mathfrak{m} \subset \mathbb{T}_{\mathbb{Z}[1/2N]}$  i.e.  $\frac{d}{dq}$  generated  $T_0(T)/\mathfrak{m}T_0(J)$ .

**Lemma 1.0.12.**  $S_2(N)_{\mathbb{Q}}^{\text{new}}$  is a free  $\mathbb{T}_{\mathbb{Q}}$ -module of rank 1 generated by  $\frac{d}{dq}|_0$ .

**Lemma 1.0.13.** For  $\mathfrak{m} \subset \mathbb{T}_{\mathbb{Z}[1/2N]}$  and  $T_0(J)/\mathfrak{m}T_0(J) = 0$ .

*Proof.* Finiteness of  $T_0(J)$  and NAK and  $T_0(J) \otimes_{\mathbb{Z}} \mathbb{Q} \neq 0$ . □

**Lemma 1.0.14.** For  $\mathfrak{m} \subset \mathbb{T}_{\mathbb{Z}[1/2N]}$  then  $\frac{d}{dq}$  has nonzero image in  $T_0(J)/\mathfrak{m}T_0(J)$ .

*Proof.* If  $f \in S_2(N)_{\overline{\mathbb{F}}_\ell}$  has a  $q$ -expansion,

$$f = \sum_{n=1}^{\infty} a_n q^n$$

then  $\frac{d}{dq}(f) = a_1$  and we win by showing that if  $f$  is an eigenform with  $a_1 = 0$  then  $f = 0$ . This is because  $\frac{d}{dq}(T_n f) = a_n$  so if  $T_n f = \lambda f$  for  $\lambda \neq 0$  then we also have all  $a_n = 0$ .

Let's do this in more detail. Let  $\ell$  be the characteristic of  $F = (\mathbb{T} \otimes \mathbb{Z}[1/2N])/\mathfrak{m}$  and  $R = (\mathbb{T} \otimes \mathbb{Z}[1/2N]) \otimes_{\mathbb{Z}} \overline{\mathbb{F}}_\ell$ . And let  $M = T_0(J) \otimes_{\mathbb{Z}} \overline{\mathbb{F}}_\ell$ . Then there is an exact sequence,

$$\begin{array}{ccccccc} \mathfrak{m} \otimes_{\mathbb{Z}} \overline{\mathbb{F}}_\ell & \longrightarrow & R & \longrightarrow & F \otimes_{\mathbb{Z}} \overline{\mathbb{F}}_\ell & \longrightarrow & 0 \\ & & & & \parallel & & \\ & & & & \prod_{i \in I} \overline{\mathbb{F}}_\ell & & \\ & & & & \downarrow & & \\ 0 & \longrightarrow & \mathfrak{m}R & \longrightarrow & R & \longrightarrow & \overline{\mathbb{F}}_\ell \longrightarrow 0 \\ & & & & & & \uparrow \\ & & & & & & F \end{array}$$

by tensoring the inclusion  $F \hookrightarrow \overline{\mathbb{F}}_\ell$  we get  $T_0(J)/\mathfrak{m}T_0(J) \hookrightarrow M/\mathfrak{m}M$ . As  $R$ -modules,

$$(M/\mathfrak{m}M)^\vee \cong M^\vee[\mathfrak{m}] \cong H^0(X_{\overline{\mathbb{F}}_\ell}, \Omega_{X/\overline{\mathbb{F}}_\ell}^1)[\mathfrak{m}]$$

□

**Theorem 1.0.15.** if  $f : X \rightarrow S$  is a smooth proper relative curve then  $R^i f_* \Omega_{X/S}$  commutes with all base change.

*Proof.* If  $S$  is reduced this comes from Grauert. Otherwise use cohomology and base change. □

In particular: if  $f \in S_2(N)_{\overline{\mathbb{F}}_\ell}[\mathfrak{m}]$  is nonzero can lift to char 0 and then  $\frac{d}{dq}(T_n f) = a_n(f)$  follows from analysis.

**Lemma 1.0.16.** For every  $\mathfrak{m} \subset \mathbb{T}_{\mathbb{Z}[1/2N]}$ . Then  $T_0(J)/\mathfrak{m}T_0(J)$  is free over  $\mathbb{T}_{\mathbb{Z}[1/2N]}/\mathfrak{m}$  generated by  $\frac{d}{dq}$ .

*Proof.*  $\dim_F T_0(J)/\mathfrak{m}T_0(J) = \dim_{\overline{\mathbb{F}}_\ell} M^\vee[\mathfrak{m}]$  then let  $a_n$  be the image of  $T_n$  in  $R/\mathfrak{m} = \overline{\mathbb{F}}_\ell$  then if  $f \in S_2(N)_{\overline{\mathbb{F}}_\ell}[\mathfrak{m}]$  and  $T_n(f) = a_n(F)$  so  $f$  is a multiple of  $q + a_2 q^2 + \cdots$ .  $\square$