

# 1 Localization

**Definition:** Let  $R$  be an integral domain, then  $S \subset R$  is multiplicative if  $\forall s, s' \in S : ss' \in S$  and  $1 \in S$  but  $0 \notin S$ .

**Definition:** Let  $R$  be an integral domain and the subset  $S \subset R$  be multiplicative, then the *localization* of  $R$  at  $S$ , denoted by  $S^{-1}R \subset Q_R$  is the ring,

$$S^{-1}R = \left\{ \frac{r}{s} \mid r \in R \text{ and } s \in S \right\}$$

**Definition:** A *discrete valuation ring (DVR)* is a Dedekind domain with a unique maximal ideal.

**Lemma 1.1.** The map  $I \mapsto S^{-1}I$  is a surjection from ideals of  $R$  to ideals of  $S^{-1}R$ .

*Proof.* Let  $D$  be the map from ideals of  $R$  to ideals of  $S^{-1}R$  given by  $D : I \mapsto S^{-1}I$ . Now if  $J \subset S^{-1}R$  is an ideal then consider  $R \cap J \subset R$ . This is an ideal of  $R$  because if  $x, y \in R \cap J$  then  $xy \in R$  and  $xy \in J$  so  $xy \in R \cap J$  and for  $r \in R$ ,  $r = \frac{r}{1} \in S^{-1}R$  so  $rx \in J$  so  $rx \in R \cap J$ .

Take  $x \in D(R \cap J)$  then  $x = \frac{r}{s}$  with  $r \in J$  and since  $\frac{1}{s} \in S^{-1}R$ , by absorption,  $\frac{r}{s} = x \in J$ . Take  $\frac{r}{s} \in J$  with  $r \in R$  then  $r = s \frac{r}{s} \in J$  by absorption so  $r \in R \cap J$  thus  $\frac{r}{s} \in D(R \cap J)$ . Therefore,  $D(R \cap J) = J$  so  $D$  is surjective.

□

**Lemma 1.2.** The map  $\mathfrak{p} \mapsto S^{-1}\mathfrak{p}$  is a bijection between the prime ideals of  $R$  which do not intersect  $S$  and prime ideals of  $S^{-1}R$ .

*Proof.* Restrict  $D$  to the set of prime ideals of  $R$  which do not intersect  $S$ . Let  $P$  be a prime ideal of  $R$  and  $P \cap S = \emptyset$ . Take  $\frac{r_1 r_2}{s_1 s_2} = \frac{r}{s} \in S^{-1}P$  for  $r_1, r_2 \in P$ . Then  $r_1 r_2 s = s_1 s_2 r \in P$ .  $P$  is prime so either  $r_1 \in P$  or  $r_2 s \in P$ . If  $r_2 s \in P$  then  $r_2 \in P$  because  $s \notin P$ . Therefore,  $r_1 \in P$  or  $r_2 \in P$  so  $\frac{r_1}{s_1} \in S^{-1}P$  or  $\frac{r_2}{s_2} \in S^{-1}P$  and therefore  $S^{-1}P$  is prime. Thus,  $\text{Im}(D)$  is contained within the set of prime ideals of  $S^{-1}R$ .

Let  $P$  and  $Q$  be prime ideals of  $R$  s.t.  $P \cap S = Q \cap S = \emptyset$ . Then suppose that  $D(P) = D(Q)$  i.e.  $S^{-1}P = S^{-1}Q$ . Then  $\frac{p}{s_1} = \frac{q}{s_2}$  for any  $p \in P$  and  $q \in Q$ . Thus,  $s_2 p = s_1 q$  so  $s_2 p \in Q$  and  $s_1 q \in P$  by absorption. The ideals are prime so  $p \in Q$  and  $q \in P$  since  $s_2 \notin Q$  and  $s_1 \notin P$ . Therefore,  $P \subset Q$  and  $P \supset Q$  so  $P = Q$ . Therefore,  $D$  is injective.

Let  $J \in S^{-1}R$  be prime then take  $xy \in R \cap J$  with  $x, y \in R$ . Now  $xy \in J$  so  $x \in J$  or  $y \in J$ . Therefore, since both  $x, y \in R$  then  $x \in R \cap J$  or  $y \in R \cap J$  so  $R \cap J$  is a prime ideal in  $R$ . Suppose that  $\exists s \in S \cap (R \cap J)$  then  $s \in J$  so by absorption,  $\frac{1}{s} \in J$  since  $\frac{1}{s} \in S^{-1}R$  thus  $1 \in J$  so  $J = S^{-1}R$  which contradicts  $J$  being a prime ideal. Thus,  $(R \cap J) \cap S = \emptyset$  so  $D$  is surjective in the set of prime ideals of  $S^{-1}R$ .

Therefore,  $D$  is a bijection from the set of prime ideals of  $R$  which are disjoint with  $S$  and the prime ideals of  $S^{-1}R$ .  $\square$

**Theorem 1.3.** If  $R$  is a Dedekind domain with  $S \subset R$  then  $S^{-1}R$  is Dedekind.

*Proof.* Let  $R$  be a Dedekind domain. By Lemma ??, the map  $I \mapsto S^{-1}I$  is a surjection. If  $J_1 \subset J_2 \subset \dots$  is an increasing chain of ideals of  $S^{-1}R$  then  $J_i = S^{-1}I_i$ . Suppose that  $I_i \supset I_{i+1}$ , then  $S^{-1}I_i \supset S^{-1}I_{i+1}$  so if  $J_i \subsetneq J_{i+1}$  then  $I_i \subsetneq I_{i+1}$ . Therefore,  $I_1 \subset I_2 \subset \dots$  is an increasing chain of ideals of  $R$ . Since  $R$  is Noetherian, the chain of  $I_i$  terminates i.e. after some  $n$ ,  $I_n = I_{n+1} = \dots$  so  $I_n \supset I_{n+1} \supset \dots$  and therefore,  $J_n \supset J_{n+1} \supset \dots$ . Thus, the chain of  $J_i$  also terminates at  $n$  so  $S^{-1}R$  is Noetherian.

Suppose that  $\alpha$  is integral over  $S^{-1}R$ . Then, for some monic polynomial  $Q \in S^{-1}R[x]$ ,  $Q(\alpha) = \alpha^n + c_{n-1}\alpha^{n-1} + \dots + c_0 = 0$ . But each  $c_i \in S^{-1}R$  so  $c_i = \frac{r_i}{s_i}$  for  $r_i \in R$  and  $s_i \in S$ . Multiply through by  $s^n = (s_{n-1}s_{n-2}\dots s_0)^n$ ,

$$Q(\alpha)s^n = (s\alpha)^n + r_{n-1}(s_{n-2}\dots s_0)(s\alpha)^{n-1} + \dots + s^{n-1}(s_{n-1}s_{n-2}\dots s_1)r_0 = 0$$

Thus,  $s\alpha$  is integral over  $R$ . However,  $R$  is Dedekind and thus integrally closed so  $s\alpha \in R$ . Since  $s\alpha \in R$  and  $s \in S$  then  $\frac{s\alpha}{s} = \alpha \in S^{-1}R$  so  $S^{-1}R$  is integrally closed.

Let  $J \subset S^{-1}R$  be a non-zero prime ideal of  $S^{-1}R$ . By Lemma ??,  $J = S^{-1}I$  where  $I$  is a non-zero prime ideal which is disjoint with  $S$ . Since  $I$  is a non-zero prime ideal of  $R$  and  $R$  is Dedekind, then  $I$  is maximal. Suppose that  $J \subsetneq L \subset S^{-1}R$ . Then  $L = S^{-1}F$  for an ideal  $F$ . Then  $I \subsetneq F$  so  $F = R$  and thus  $L = S^{-1}F = S^{-1}R$  so  $J$  is maximal. Thus,  $S^{-1}R$  is Dedekind.  $\square$

**Definition:** Let  $R$  be a Dedekind domain and  $\mathfrak{p} \subset R$  be a prime ideal then the *localization* of  $R$  at  $\mathfrak{p}$  is  $R_{\mathfrak{p}} = S_{\mathfrak{p}}^{-1}R$  for  $S_{\mathfrak{p}}^{-1} = R \setminus \mathfrak{p}$ .

**Theorem 1.4.** Let  $R$  be a Dedekind domain and  $\mathfrak{p} \subset R$  be a prime ideal then  $S_{\mathfrak{p}}^{-1} = R \setminus \mathfrak{p}$  is multiplicative and  $R_{\mathfrak{p}} = S_{\mathfrak{p}}^{-1}R$  is a DVR.

*Proof.* Let  $R$  be a Dedekind domain and  $\mathfrak{p}$  be a prime ideal of  $R$ . Define  $S_{\mathfrak{p}} = R \setminus \mathfrak{p}$  and  $R_{\mathfrak{p}} = S_{\mathfrak{p}}^{-1}R$ . If  $s, s' \in S_{\mathfrak{p}}$  then if  $ss' \in \mathfrak{p}$  then either  $s \in \mathfrak{p}$  or  $s' \in \mathfrak{p}$  because  $\mathfrak{p}$  is a prime ideal. However,  $s, s' \in S_{\mathfrak{p}}$  so neither are in  $\mathfrak{p}$ . Thus,  $ss' \notin \mathfrak{p}$  so  $ss' \in S_{\mathfrak{p}}$ . Also,  $1 \notin \mathfrak{p}$  because a prime ideal cannot be the entire ring thus  $1 \in S_{\mathfrak{p}}$ . By Lemma ??, there is a bijection between the prime ideals of  $R$  which do not intersect with  $S_{\mathfrak{p}}$  and the prime ideals of  $R_{\mathfrak{p}}$ . If  $\mathfrak{q} \subset R$  is a non-zero prime ideal and  $\mathfrak{q} \cap S_{\mathfrak{p}} = \mathfrak{q} \cap (R \setminus \mathfrak{p}) = \emptyset$  then  $\mathfrak{q} \subset \mathfrak{p}$  but  $R$  is a Dedekind domain so every non-zero prime ideal is maximal and thus  $\mathfrak{q} = \mathfrak{p}$  since  $\mathfrak{p} \neq R$ . Thus  $\mathfrak{p}$  is the unique non-zero prime ideal of  $R$  that is disjoint with  $S_{\mathfrak{p}}$ . Using the bijection,  $S_{\mathfrak{p}}^{-1}\mathfrak{p}$  is the unique prime ideal of  $R_{\mathfrak{p}}$ . Furthermore, because  $R$  is Dedekind the ring  $R_{\mathfrak{p}} = S_{\mathfrak{p}}^{-1}R$  is also Dedekind. Thus,  $R_{\mathfrak{p}}$  has a unique maximal ideal  $S_{\mathfrak{p}}^{-1}\mathfrak{p}$  since an ideal in a Dedekind domain is maximal if and only if it is prime.  $\square$

**Theorem 1.5.** Let  $R$  be a Dedekind domain and  $\mathfrak{p} \subset R$  a prime ideal and  $S \subset R$  a multiplicative set such that  $S \cap \mathfrak{p} = \emptyset$  then  $S^{-1}R/S^{-1}\mathfrak{p}^k \cong R/\mathfrak{p}^k$ .

*Proof.* Consider the map  $\pi : S^{-1}R \rightarrow R/\mathfrak{p}^k$  given by  $\pi\left(\frac{r}{s}\right) = (r + \mathfrak{p}^k)(s + \mathfrak{p}^k)^{-1}$ . If  $s \in S$  then  $s \notin \mathfrak{p}$  but  $(s) + \mathfrak{p}^k \subset \mathfrak{p}^k$  so by the uniqueness of Dedekind factorization,  $(s) + \mathfrak{p}^k = \mathfrak{p}^r$  but  $s \notin \mathfrak{p}^r$  for  $r > 0$  so  $(s) + \mathfrak{p}^k = R$ . Therefore, there exists  $r \in R$  such that  $rs - 1 \in \mathfrak{p}^k$  and thus  $(s + \mathfrak{p}^k)$  is invertible. Furthermore, if  $\frac{r}{s} = \frac{r'}{s'}$  then  $rs' = r's$  so  $rs' + R = (r + \mathfrak{p}^k)(s' + \mathfrak{p}^k) = r's = (r' + \mathfrak{p}^k)(s + \mathfrak{p}^k)$  and thus  $\pi\left(\frac{r}{s}\right) = \pi\left(\frac{r'}{s'}\right)$ . Clearly,  $\pi$  is a surjective homomorphism. Now,  $\frac{r}{s} \in \ker \pi$  if and only if  $r + \mathfrak{p} = 0$  or equivalently  $\frac{r}{s} \in S^{-1}\mathfrak{p}^k$  thus  $\ker \pi = S^{-1}\mathfrak{p}^k$ . Therefore,  $S^{-1}R/S^{-1}\mathfrak{p}^k \cong R/\mathfrak{p}^k$ .  $\square$

## 2 Properties of Discrete Valuation Rings

**Theorem 2.1.** Any discrete valuation ring is a principal ideal domain which admits unique factorization of the form  $a = u\varpi^k$  where  $u$  is a unit and  $\varpi$  is a uniformizer i.e.  $(\varpi) = \mathfrak{m}$  the unique maximal ideal.

*Proof.* Let  $R$  be a DVR with maximal ideal  $\mathfrak{m}$ . Since  $R$  is Noetherian and  $\mathfrak{m}$  is an ideal of  $R$  then  $\mathfrak{m}$  is an  $R$ -submodule of finite type. Let  $\mathfrak{m} = c_1R + \cdots + c_nR$ . Then  $(c_i)$  is an ideal of  $R$  which is a Dedekind domain so it has a unique prime factorization. Since there is only one prime ideal,  $(c_1) = \mathfrak{m}^{k_i}$ . Take  $\varpi$  to be the  $c_i$  with the least  $k_i$  then  $(c_i) = \mathfrak{m}^{k_i} \subset \mathfrak{m}^{k_\varpi} = (\varpi)$  so  $c_i \in (\varpi)$ . Therefore,  $c_i = r\varpi$  so  $\mathfrak{m} = \varpi R = (\varpi)$ .

For any  $a \in R$ , the ideal  $(a)$  has a prime factorization because  $R$  is a Dedekind domain. Thus,  $(a) = \mathfrak{m}^k = (\varpi)^k = (\varpi^k)$ . Thus,  $a = u\varpi^k$  where  $u$  is a unit.  $\square$

## 3 Silverman and Tate Exercises

### Exercise 2.7

For a prime  $p$ , define,

$$R = \{r \in \mathbb{Q} \mid v_p(r) \geq 0\}$$

Therefore, an arbitrary element of  $R$  can be written as  $R = \frac{a}{b}$  where  $p \nmid b$  which is equivalent to  $R = S_{(p)}^{-1}\mathbb{Z} = \mathbb{Z}_{(p)}$  the localization of  $\mathbb{Z}$  at the prime ideal  $(p)$ . Therefore,  $R$  is a subring of  $Q_{\mathbb{Z}} = \mathbb{Q}$  and  $R$  is a DVR with uniformizer  $p$  i.e.  $pR$  is the unique maximal ideal. We have shown that any DVR is a PID and thus a UFD. Since  $S_{(p)} \cap pR = \emptyset$ , by Theorem ??, the field  $R/pR = \mathbb{Z}_{(p)}/p\mathbb{Z}_{(p)} \cong \mathbb{Z}/p\mathbb{Z} \cong \mathbb{F}_p$ . By Dedekind prime factorization, every ideal can be factored into prime ideals,  $I = \mathfrak{p}^k$  since there is a unique prime ideal. Lastly, let  $\frac{a}{b} \in R^\times$  be a unit then there exists  $\frac{x}{y} \in R$  such that  $\frac{a}{b} \cdot \frac{x}{y} = \frac{ax}{by} = 1$  then  $ax = by$ . However,  $p \nmid by$  so  $p \nmid ax$  and thus  $p \nmid a$ . Therefore,  $p \nmid ab$ .

### Exercise 2.8

Consider the map  $\phi : R \rightarrow p^\nu R/p^\sigma R$  given by  $\phi(r) = p^\nu r + p^\sigma R$  which is clearly surjective. Now,  $r \in \ker \phi$  if and only if  $p^\nu r \in p^\sigma R$  or equivalently  $r \in p^{\sigma-\nu}R$ .

Thus,  $\ker \phi = p^{\sigma-\nu}R$ . Therefore,  $p^\nu R/p^\sigma R \cong R/p^{\sigma-\nu}R$ . Since  $R = S_{(p)}^{-1}\mathbb{Z}$  and  $S_{(p)} \cap (p) = \emptyset$ , by Theorem ??,  $R/p^{\sigma-\nu}R = S_{(p)}^{-1}\mathbb{Z}/S_{(p)}^{-1}p^{\sigma-\nu}\mathbb{Z} \cong \mathbb{Z}/p^{\sigma-\nu}\mathbb{Z}$ . Therefore,  $p^\nu R/p^\sigma R \cong \mathbb{Z}/p^{\sigma-\nu}\mathbb{Z}$ .

### Excercise 2.9

Let  $S_p = \{p^k \mid k \in \mathbb{N}\}$  then take  $R = S_p^{-1}\mathbb{Z} \subset Q_{\mathbb{Z}} \cong \mathbb{Q}$  which is a Dedekind domain. Any element of  $S^{-1}\mathbb{Z}$  can be written as  $ap^\nu$  for  $p \nmid a$  and  $\nu \in \mathbb{Z}$ . Thus, if  $ap^\nu \in R$  is a unit then there must exist  $bp^\sigma \in R$  such that  $abp^{\nu-\sigma} = 1$ . Therefore,  $ab = \pm 1$  so  $a, b = \pm 1$ . Therefore,  $ap^\nu = \pm p^\nu$ . Finally, we know that there is a one-to-one correspondence between the prime ideals of  $\mathbb{Z}$  which do not intersect  $S_p$ , i.e.  $(q)$  for  $q \neq p$ , and the ideals of  $R = S_p^{-1}\mathbb{Z}$ . For any prime  $q \in \mathbb{Z}$  we have that  $S_p^{-1}(q) = qR$  is a prime ideal of  $R$  and every prime ideal of  $R$  is of this form. Furthermore, since  $R$  and  $\mathbb{Z}$  are Dedekind, the maximal and prime ideals are equivalent. Finally, since  $(q) \cap S_p = \emptyset$ , by Theorem ??,  $R/qR \cong S_p^{-1}\mathbb{Z}/S_p^{-1}(q) \cong \mathbb{Z}/(q) = \mathbb{F}_q$ .