## 1 Convext Sets

# 2 Convex Optimization

#### 2.1 Motivation

**Definition:**  $\mathbb{T}^n = (\mathbb{G}_m)^n = (\mathbb{C}^{\times})^n$ 

**Remark 1.** This torus is noncompact (i.e. not proper) so we compactify it to recover a toric variety. The compactification  $X_{\Sigma} = \overline{\mathbb{T}}^{\Sigma}$  is given by a fan  $\Sigma \subset N \otimes_{\mathbb{Z}} \mathbb{R}$  where  $N = \text{Hom}(\mathbb{G}_m, \mathbb{T}) \cong \mathbb{Z}^n$  is a lattice.

**Remark 2.** Consider an ample line bundle  $L \to X_{\Sigma}$  which is compatible with the toric structure. We can associate each line bundle L with a convex polytope  $\Delta_{L,X_{\Sigma}}$  in the vectorspace  $N^{\vee} \otimes_{\mathbb{Z}} \mathbb{R} = (N \otimes_{\mathbb{Z}} \mathbb{R})^*$ . Furthermore,

$$\langle L \cdot L \cdot \cdot \cdot L \rangle = \text{Vol}(L) = \deg_L X_{\Sigma} = n! \text{ Vol}_n(\Delta_{L,X_{\Sigma}})$$

**Remark 3.** Given line bundles  $L_i \to X_{\Sigma}$  over a toric variety then we have many polytopes  $\Delta_i \subset N^{\vee} \otimes_{\mathbb{Z}} \mathbb{R}^n$ . Consider the mixed intersection numbers,

$$\langle L_{i_1} \cdot L_{i_2} \cdots L_{i_n} \rangle$$

give mixed volumes of the polytopes  $\Delta_i$ . Look for inequalities between mixed intersection numbers. Thus we need to study inequalities for mixed volumes of convext polytopes.

### 2.2 Convex Functions

**Definition:** A function  $f: V \to \mathbb{R}$  is convex if it satisfies Jensen's inequality:

$$\forall x, y \in V : \forall \lambda \in [0, 1] : f(\lambda x + (1 - \lambda)y) \le \lambda f(x) + (1 - \lambda)f(y)$$

In general, if  $K \subset V$  is convex then we may define a function  $f: K \to \mathbb{R}$  to be convex.

Remark 4. We can relate convext functions and sets to eachother.

On a convex set  $K \subset V$ , a function  $f: K \to \mathbb{R}$  is convex iff

$$\operatorname{epigraph}(f) = \{(x, r) \mid x \in K \text{ and } r \ge f(x)\}$$

is convex in  $V \times \mathbb{R}$ .

Futhermore, given a convex function  $f: K \to \mathbb{R}$  then the set,

$$L(f,t) = \{x \in K \mid f(x) \le t\} \subset K$$

is convex in V.

Let  $K \subset V$  be convex then the function,

$$-\log\left(1_K\right) = \begin{cases} +\infty & V \setminus K \\ 0 & K \end{cases}$$

is convex.

**Definition:** A nonegative function  $f: K \to \mathbb{R}$  is log-convex (concave) if  $\log f$  is convex (concave). Equivalently,

$$\forall x, y \in K : \forall \lambda \in [0, 1] : f(\lambda x + (1 - \lambda)y) < f(x)^{\lambda} \cdot f(y)^{1 - \lambda}$$

**Definition:** A supporting hyperplane for  $f: K \to \mathbb{R}$  given by  $\xi \in V^*$  is such that,

$$\forall y \in K : f(y) - f(x) \ge \langle \xi, y - x \rangle$$

**Lemma 2.1.** The function f is convex iff  $\forall x \in K : f$  has a supporting hyperplane at x.

**Lemma 2.2** (Operations on Convex Functions). Let  $f_1, f_2 : V \to \mathbb{R}$  be convex. Then the following functions are convex,

- 1.  $\max\{f_1, f_2\}$
- 2.  $f_1 + f_2$
- 3.  $g(f_1)$  for g any increasing function
- 4.  $f_1 \boxplus f_2(z) = \sup\{\lambda f_1(x) + (1 \lambda)f_2(y) \mid \lambda x + (1 \lambda)y = z\}$  here, epigraph  $(f_1 \boxplus f_2) = \text{epigraph } (f_1) + \text{epigraph } (f_2)$

# 2.3 Legendre - Fenchel Transform

**Definition:** Let  $f:V\to\mathbb{R}$  be any function. Then we define the convex dual  $f^\vee:V^*\to\mathbb{R}$  via,

$$f^{\vee}(\xi) = \sup_{x} (\langle \xi, x \rangle - f(x))$$

**Remark 5.** If f is differentiable at x then  $x_{\xi}$  is such that,

$$\nabla_x \left( \langle \xi, x \rangle - f(x) \right) \Big|_{x = x_{\xi}} = 0$$

meaning that,

$$\nabla f(x_{\xi}) = \xi$$

in  $V^*$  where we have defined the gradient as a dual vector via,

$$x \mapsto \nabla f(x) : v \mapsto \langle \nabla f(x), v \rangle = \nabla_v f(x)$$

**Lemma 2.3.** Suppose that  $f: K \to \mathbb{R}$  is lower-semicontinuous on a compact convex set K. Then  $f^{\vee}: V^* \to \mathbb{R}$  is convex and lower-semicontinuous.

*Proof.* For 
$$\lambda \in [0,1]$$
.

Corollary 2.4. The double dual  $f^{\vee\vee}$  is convex.

**Proposition 2.5.** In general,  $f^{\vee\vee}(x) \leq f(x)$  and  $f^{\vee\vee}$  is the largest convex function below f i.e. the convex envelope of f.

**Theorem 2.6.**  $f^{\vee\vee}(x) = f(x) \iff f$  has a supporting hyperplane at x.

*Proof.* f has a supporting hyperplane at x given by  $\xi$  iff  $f^{\vee}$  has a supporting hyperplane at  $\xi$  given by x since,

$$f^{\vee}(\eta) = \sup_{y} (\langle \eta, y \rangle - f(y)) \ge \langle \eta, x_{\xi} \rangle - f(x_{\xi})$$
$$= \langle \eta - \xi, x_{\xi} \rangle + \langle \eta, x_{\xi} \rangle - f(x_{\xi})$$
$$= \langle \eta - \xi, x_{\xi} \rangle + f^{\vee}(\xi)$$

Therefore,

$$f^{\vee}(\eta) - f^{\vee}(\xi) \ge \langle \eta - \xi, x_{\xi} \rangle$$

Corollary 2.7.  $f^{\vee\vee} = f \iff f \text{ is convex.}$ 

**Remark 6.** The involution  $f^{\vee\vee} = f$  encodes the convexity of f in the convexity of  $f^{\vee}$ . If f is strictly convex and differentiable then the map  $\xi \to x_{\xi}$  is bijective.

**Remark 7.** Otherwise then corners of f are sent to affine parts of  $f^{\vee}$  i.e. dualizing replaces failure to be differentiable with failure to be strictly convex.

**Proposition 2.8.**  $\forall x \in V, \xi \in V^* : \langle \xi, x \rangle \leq f(x) + f^{\vee}(\xi)$ 

# 2.4 Some Inequalities

**Theorem 2.9** (Pakopa - Lindler Inequality). Consider positive  $f, g, h : V \to \mathbb{R}$  such that,

$$\forall x, y \in V : h(\lambda x + (1 - \lambda)y) \ge f(x)^{\lambda} \cdot g(y)^{1 - \lambda}$$

for a fixed  $\lambda \in [0, 1]$ . Then,

$$\int_{V} h(z) dz \ge \left( \int_{V} f(x) dx \right)^{\lambda} \cdot \left( \int_{V} g(y) dy \right)^{1-\lambda}$$

**Theorem 2.10** (Brunn-Minkowski Inequality I). Let  $X,Y\subset V$  be convex bodys then,

$$\operatorname{Vol}_{n}(\lambda X + (1 - \lambda)Y) \ge \operatorname{Vol}_{n}(X)^{\lambda} \cdot \operatorname{Vol}_{n}(Y)^{1-\lambda}$$

**Theorem 2.11** (Brunn-Minkowski Inequality II). Let  $X, Y \subset V$  be convex bodys then,

$$\operatorname{Vol}_{n}(\lambda X + (1 - \lambda)Y)^{1/n} \ge \lambda \operatorname{Vol}_{n}(X)^{1/n} + (1 - \lambda)\operatorname{Vol}_{n}(Y)^{1/n}$$

Remark 8. We will prove these innequalities in the following way,

$$1D BM \implies 1D PL \implies nD PL \implies nD BM$$

*Proof of 1D BM.* Consider the n=1 case. We need to prove that,

$$\operatorname{Vol}_n(\lambda X + (1 - \lambda)Y) \ge \lambda \operatorname{Vol}_n(X) + (1 - \lambda)Y$$

Suppose that X and Y are compact then X + Y is compact. We may translate without changing the volumes such that  $X \subset \mathbb{R}_{\leq 0}$  and  $Y \subset \mathbb{R}_{\geq 0}$  and  $X \cap Y = \{0\}$ . Now,

$$\lambda X + (1 - \lambda)Y \supset \lambda X \cup (1 - \lambda)Y$$

which implies that,

$$Vol_{n}\left(\lambda X+(1-\lambda)Y\right)\geq Vol_{n}\left(\lambda X\right)+Vol_{n}\left((1-\lambda)Y\right)=\lambda Vol_{n}\left(X\right)+(1-\lambda)Vol_{n}\left(Y\right)$$

Proof of 1D PL. Via Lebesgue integration,

$$\int_{\mathbb{R}} h(z) dz = \int_{0}^{\infty} \mu(\{z \in \mathbb{R} \mid h(z) \ge t\}) dt$$

However,  $\Box$ 

Proof of nD PL. Assume for induction that PL is true in  $\mathbb{R}^n$ . Now,  $\mathbb{R}^{n+1} = \mathbb{R}^n \times \mathbb{R}$ . Define  $h_c(z) = h(c, z)$  for  $c \in \mathbb{R}$  and  $z \in \mathbb{R}^n$ . Then,

$$h(\lambda(a,x) + (1-\lambda)(b,y)) = h_{\lambda a + (1-\lambda)b}(\lambda x + (1-\lambda)y)$$

However, by assumption,

$$h(\lambda(a,x) + (1-\lambda)(b,y)) \ge f(a,x)^{\lambda} \cdot g(b,y)^{1-\lambda} = f_a(x)^{\lambda} \cdot g_b(y)^{1-\lambda}$$

By nD PL we have,

$$\int_{\mathbb{R}^n} h_{\lambda a + (1-\lambda)b}(z) dz \ge \left( \int_{\mathbb{R}^n} f_a(x) dx \right)^{\lambda} \cdot \left( \int_{\mathbb{R}^n} g_b(y) dy \right)^{1-\lambda}$$

Denote,

$$H(c) = \int_{\mathbb{R}^n} h_c(z) dz$$
  $F(a) = \int_{\mathbb{R}^n} f_a(z) dz$   $G(b) = \int_{\mathbb{R}^n} g_b(y) dy$ 

Thus we have shown that,

$$H(\lambda a + (1 - \lambda)b) \ge F(a)^{\lambda} \cdot G(b)^{1-\lambda}$$

Therefore, by 1D PL we have,

$$\int_{\mathbb{R}} H(c) dc \ge \left( \int_{\mathbb{R}} F(a) da \right)^{\lambda} \cdot \left( \int_{\mathbb{R}} G(b) db \right)^{1-\lambda}$$

Then, reparametrizing the integrals by Fubini's theorem,

$$\int_{\mathbb{R}^{n+1}} h(z) dz \ge \left( \int_{\mathbb{R}^{n+1}} f(x) dz \right)^{\lambda} \cdot \left( \int_{\mathbb{R}^{n+1}} g(y) dy \right)^{1-\lambda}$$

Proof of nD BM.

Theorem 2.12 (Isoperimetric Inequality).

$$\frac{1}{n} \lim_{\epsilon \to 0} \frac{\operatorname{Vol}_{n}\left(X + \epsilon Y\right) - \operatorname{Vol}_{n}\left(X\right)}{\epsilon} \ge \operatorname{Vol}_{n}\left(X\right)^{\frac{n-1}{n}} \cdot \operatorname{Vol}_{n}\left(Y\right)^{\frac{1}{n}}$$

## 3 Mixed Volumes

### 3.1 Scaling Volumes

Consider a measureable set  $S \subset V$  with measure  $\operatorname{Vol}_n(S)$ . Given  $\lambda \in \mathbb{R}_{\geq 0}$  then  $\operatorname{Vol}_n(\lambda \cdot S) = \lambda^n \operatorname{Vol}_n(S)$ . We want to understand the volume of the Minkowski sum of two shapes. We define,

$$\operatorname{Vol}_{n}(S,T) = \frac{1}{2} \left[ \operatorname{Vol}_{n}(S+T) - \operatorname{Vol}_{n}(S) - \operatorname{Vol}_{n}(T) \right]$$

In general,  $\operatorname{Vol}_n(\lambda S + \mu T)$  is a homogeneous polynomial of degree n.

**Theorem 3.1.** Let  $S_1, \ldots, S_r \subset V$  be compact convex measureable subsets and  $\lambda_1, \ldots, \lambda_n \in \mathbb{R}_{\geq 0}$ . Then,  $\operatorname{Vol}_n(\lambda_1 S_1 + \cdots + \lambda_r S_n)$  is a homogeneous polynomial of degree n i.e.

$$\operatorname{Vol}_{n}(\lambda_{1}S_{1} + \dots + \lambda_{r}S_{r}) = \sum_{i_{1},\dots,i_{n}=1}^{r} \operatorname{Vol}_{n}(S_{i},\dots,S_{i_{n}}) \lambda_{i_{1}} \dots \lambda_{i_{n}}$$

*Proof.* Assume for now that  $S_1, \ldots, S_r$  are polytopes and by shifting that each contains the origin in its interior. Set  $K_{\lambda} = \lambda_1 S_1 + \cdots + \lambda_r S_r$ . We proceed by induction on dim V = n. First, for n = 1 polytopes are intervals  $S_i = [a_i, b_i]$  for  $a_i \leq 0 \leq b_i$ . Then,  $K_{\lambda} = [\lambda_1 a_1 + \cdots + \lambda_r a_r, \lambda_1 b_1 + \cdots + \lambda_r b_r]$  so we find,

$$Vol_1(K_{\lambda}) = (\lambda_1 b_1 + \dots + \lambda_r b_r) - (\lambda_1 a_1 + \dots + \lambda_r b_r) = \sum_{i=1}^r (b_i - a_i) \lambda_r$$

proving the theorem in the case n = 1.

For n > 1, we write the boundary of  $K_{\lambda}$  as the union of the facets. Let  $pyr_0(F_i)$  be the convex hull of  $F_i \cup \{0\}$  which is the pyramid with base  $F_i$  and apex 0. Thus,

$$K_{\lambda} = \bigcup_{i=1}^{s} \operatorname{pyr}_{0}(F_{i})$$

Furthermore, the pyramids intersect in lower dimensional faces and thus their intersections have zero Lebesgue measure. Therefore,

$$Vol_n(K_{\lambda}) = \sum_{i=1}^{s} \frac{1}{n} h_i Vol_{n-1}(F_i)$$

Now, if  $K = S_1 + \cdots + S_r$  then the faces of K are  $F = F_1 + \cdots + F_r$  are sums of faces  $F_i \subset S_i$ . Furthermore, the heights from  $0 \in K$  to F decomposes as  $h = h_1 + \cdots + h_r$  where  $h_i$  is the height from  $0 \in S_i$  to  $F_i$ . Therefore,

$$Vol_{n}(K_{\lambda}) = \sum_{i=1}^{s} \frac{1}{n} h_{i} Vol_{n-1}(F_{i}) = \frac{1}{n} \sum_{i=1}^{n} (\lambda_{1} h_{i_{1}} + \dots + \lambda_{r} h_{i_{r}}) Vol_{n-1}(\lambda_{1} F_{i_{1}} + \dots + \lambda_{r} F_{i_{r}})$$

By the induction hypothesis,  $\operatorname{Vol}_{n-1}(\lambda_1 F_{i_1} + \cdots + \lambda_r F_{i_r})$  is a homogeneous polynomial of degree n-1. Therefore,  $\operatorname{Vol}_n(K_\lambda)$  is a homogeneous polynomial of degree n.  $\square$ 

**Example 3.2.** Let  $\lambda_1, \ldots, \lambda_r \in \mathbb{R}_{\geq 0}$  s.t.  $\lambda_1 + \cdots + \lambda_r = 1$  then,

$$\operatorname{Vol}_{n}(S) = \operatorname{Vol}_{n}(\lambda_{1}S + \dots + \lambda_{r}S) = \sum_{i_{1},\dots,i_{n}=1}^{r} \operatorname{mVol}(S,\dots,S) \lambda_{i_{1}} \dots \lambda_{i_{n}}$$
$$= \operatorname{mVol}(S,\dots,S) (\lambda_{1} + \dots + \lambda_{r})^{n} = \operatorname{mVol}(S,\dots,S)$$

Therefore,  $mVol(S, ..., S) = Vol_n(S)$ .

**Proposition 3.3.** Properties of Mixed Volumes:

- 1.  $\operatorname{mVol}(S, \ldots, S) = \operatorname{Vol}_n(S)$
- 2. Symmetric,  $mVol(S_1, \ldots, S_n) = mVol(S_{\pi(1)}, \ldots, S_{\pi(n)})$
- 3. Multilinear:  $\text{mVol}(\lambda S + \lambda' S', S_2, \dots, S_n) = \lambda \text{mVol}(S, S_2, \dots, S_n) + \lambda' \text{mVol}(S', S_2, \dots, S_n)$

- 4. Nonegative:  $mVol(S_1, ..., S_n) \ge 0$
- 5. Monotonic: if  $S \subset S'$  then  $mVol(S, S_2, \ldots, S_n) \leq mVol(S', S_2, \ldots, S_n)$

*Proof.* To prove (3), consider,  $K_{\lambda} = \lambda_1(\lambda S + \lambda' S') + \lambda_2 S_2 + \cdots + \lambda_r S_n$ . Thus we find,

$$\operatorname{Vol}_{n}(K_{\lambda}) = \sum_{i_{1},\dots,i_{n}=1}^{n} \operatorname{mVol}(S_{i_{1}},\dots,S_{i_{n}}) \lambda_{i_{1}} \cdots \lambda_{i_{n}}$$

Consider the  $\lambda_1 \dots \lambda_n$  term which has coefficient  $n! \, \text{mVol}(S_1, \dots, S_n)$ . Furthermore,

$$\operatorname{Vol}_{n}(K_{\lambda}) = \sum_{i_{1}, \dots, i_{n}=0}^{n} \operatorname{mVol}(S_{i_{1}}, \dots, S_{i_{n}}) \alpha_{i_{1}} \cdots \alpha_{i_{n}}$$

where now  $\alpha_0 = \lambda_1 \lambda$  and  $\alpha_1 = \lambda_1 \lambda'$  and for i > 2,  $\alpha_i = \lambda_i$ .

**Theorem 3.4** (Alexandrov-Fenchel Inequality). Let  $A, B, S_3, \ldots, S_n \subset V$  be compact convex measurable sets. Then,

$$\operatorname{mVol}(A, B, S_3, \dots, S_n)^2 \ge \operatorname{mVol}(A, A, S_3, \dots, S_n) \cdot \operatorname{mVol}(B, B, S_3, \dots, S_n)$$

**Definition:** A sequence  $\{a_n\}$  is log-concave iff  $a_i^2 \geq a_{i-1}a_{i+1}$  for all i > 0.

**Lemma 3.5.** Fix  $1 \leq m \leq n$  and take some compact convex measurable sets  $A, B, S_{m+1}, \ldots, S_n \subset V$ . Then, let  $a_i = \text{mVol}(A^{m-i}B^i, S_{\bullet})$  for  $i = 0, 1, \ldots, m$ . Then the sequence  $\{a_n\}$  is log-concave.

Proof. By AF,

$$\begin{aligned} a_i^2 &= \text{mVol}\left(A^{m-i}B^i, S_{\bullet}\right)^2 = \text{mVol}\left(A, B, A^{m-i-1}B^{i-1}, S_{\bullet}\right)^2 \\ &\geq \text{mVol}\left(A^{m-i+1}, B^{i-1}, S_{\bullet}\right) \cdot \text{mVol}\left(A^{m-i-1}, B^{i+1}, S_{\bullet}\right) = a_{i-1} \cdot a_{i+1} \end{aligned}$$

**Theorem 3.6** (Generalized Brunn–Minkowski inequality). Fix  $1 \leq m \leq n$  and convex compact measureable bodies  $A, B, S_{m+1}, \ldots, S_n \subset V$ . Set  $S_{\lambda} = (1 - \lambda)A + \lambda B$  for  $\lambda \in [0, 1]$  and consider the function  $f : [0, 1] \to \mathbb{R}$  given via,

$$f(\lambda) = \text{mVol}(S_{\lambda}^m, S_{\bullet})^{\frac{1}{m}}$$

then f is concave on [0,1].

Proof. Consider,

$$f''(0) = (m-1) \text{ mVol } (B, B, B^{m-2}, S_{\bullet})^{\frac{1}{m}-2} \cdot \left( \text{mVol } (A, A, B^{m-2}, S_{\bullet}) \text{ mVol } (B, B, B^{m-2}, S_{\bullet}) - \text{mVol } (A, B, B^{m-2}, S_{\bullet})^{2} \right)$$

$$= (m-1) a_{0}^{\frac{1}{m}-2} \left( a_{0}a_{2} - a_{1}^{2} \right) \leq 0$$

via the previous lemma. Thus  $f'' \leq 0$  so f is concave.

Corollary 3.7.  $\operatorname{Vol}_n(A+B)^{\frac{1}{n}} \geq \operatorname{Vol}_n(A)^{\frac{1}{n}} + \operatorname{Vol}_n(B)^{\frac{1}{n}}$ 

**Definition:** Let  $S \subset V$  be a compact convex set. The *support function* of S is  $h_S: V^* \to \mathbb{R}$  via  $u \mapsto \sup_{x \in S} \langle u, x \rangle$ .

**Remark 9.** For each  $u \in V^*$  the set  $\{v \in V \mid \langle u, v \rangle = h_S(u)\}$  is a supporting hyperplane for S and all such supporting hyperplanes arise this way.

**Lemma 3.8.**  $h_{A+B} = h_A + h_B$ .

Proof.

$$h_{A+B}(u) = \sup_{\substack{x \in A \\ y \in B}} \langle u, x + y \rangle$$
$$= \sup_{x \in A} \langle u, x \rangle + \sup_{y \in B} \langle u, y \rangle = h_A(u) + h_B(u)$$

### 4 Sheaves

### 4.1 Categories

**Definition:** A class of of objects  $\mathbb{C}$  and for each  $X, Y \in \mathcal{C}$  a set of morphisms  $\mathcal{C}(X, Y)$  and  $\forall X, Y, Z \in \mathcal{C}^3$  a map  $\mathbb{C}(X, Y) \times \mathcal{C}(Y, Z) \to \mathcal{C}(X, Z)$  via  $(f, g) \mapsto g \circ f$  such that,

1.  $\forall X \in \mathcal{C} \exists 1_X \in \mathbb{C}(X, X)$  such that,

$$\forall f \in \mathcal{C}(X,Y) : f \circ 1_X = f$$
 and  $\forall g \in \mathcal{C}(Y,X) : 1_X \circ g = g$ 

2. for 
$$f \in \mathcal{C}(X,Y), g \in \mathbb{C}(Y,Z), h \in \mathcal{C}(Z,W) : h \circ (g \circ f) = (h \circ g) \circ f$$

# 5 Scheme Theory

**Theorem 5.1.** If X is a locally ringed space and A a ring then,

$$\operatorname{Hom}_{\mathbf{LRS}}(X, \operatorname{Spec}(A)) \cong \operatorname{Hom}_{\mathbf{Ring}}(A, \mathcal{O}_X(X))$$

Corollary 5.2. In particular, for X = Spec(B) we have,

$$\operatorname{Hom}_{\mathbf{LRS}}\left(\operatorname{Spec}\left(B\right),\operatorname{Spec}\left(A\right)\right)\cong\operatorname{Hom}_{\mathbf{Ring}}\left(A,B\right)$$

and thus the Spec functor is fully faithful giving an antiequivalence of functors between the category of rings and the category of affine schemes.

### 5.1 Geometric Realization of Functors

**Definition:** Let X be a locally ringed sapce. We define a functor,

$$\mathfrak{S}_X : \mathbf{Ring} \to \mathbf{Set}$$
  
 $A \mapsto \mathrm{Hom}_{\mathbf{LRS}} \left( \mathrm{Spec} \left( A \right), X \right)$ 

**Remark 10.** By Yoneda's lemma  $\mathfrak{S}_X(A) = \operatorname{Hom}_{\mathbf{Set}^{\mathbf{Ring}}}(h_A, \mathfrak{S}_X)$ 

**Theorem 5.3.** The functor  $X \mapsto \mathfrak{S}_X$  admits a left adjoint  $Rg : \mathbf{Set}^{\mathbf{Ring}} \to \mathbf{LRS}$  such that,

$$\operatorname{Hom}_{\mathbf{LRS}}(\operatorname{Rg}(F), X) \cong \operatorname{Hom}_{\mathbf{Set}^{\mathbf{Ring}}}(F, \mathfrak{S}_X)$$

Furthermore,  $Rg(h_A) = Spec(A)$ .

*Proof.* Define,

$$I_F = \{(A, \rho) \mid A \in \mathbf{Ring} \text{ and } \rho \in F(A)\}$$

Furthermore, let,

$$I_F((A_1, \rho_1), (A_2, \rho_2)) = \{f : A_1 \to A_2 \mid F(f)(\rho_1) = \rho_2\}$$

Now,

$$\operatorname{Hom}_{\mathbf{Set}^{\mathbf{Ring}}}(F,\mathfrak{S}_{X}) = \{\alpha_{A} : F(A) \to \mathfrak{S}_{X}(A) \mid \alpha_{A'} = \mathfrak{S}_{X}(f)(\alpha_{A}(\rho)) \text{ for } f : (A,\rho) \to (A',\rho')\}$$

$$= \varprojlim_{(A,\rho)\in I_{F}} \operatorname{Hom}_{\mathbf{Set}^{\mathbf{Ring}}}(h_{A},\mathfrak{S}_{X}) = \varprojlim_{(A,\rho)\in I_{F}} \mathfrak{S}_{X}(A)$$

$$= \varprojlim_{(A,\rho)\in I_{F}} \operatorname{Hom}_{\mathbf{LRS}}(\operatorname{Spec}(A),X) = \operatorname{Hom}_{\mathbf{LRS}}\left(\varprojlim_{(A,\rho)\in I_{F}^{\mathrm{op}}} \operatorname{Spec}(A),X\right)$$

Thus the functor  $\operatorname{Hom}_{\mathbf{Set}^{\mathbf{Ring}}}(F,\mathfrak{S}_{-})$  is representable and thus  $\mathfrak{S}_{-}$  admits a left adjoint.

### 5.2 Schemes

**Definition:** We call an *affine scheme* any locally ringed space isomorphic to the spectrum of a ring. We call a *scheme* any locally ringed space which admits an open cover by affine schemes i.e.  $\forall x \in X$  there exists an open neighborhood U of x such that  $(U, \mathcal{O}_X|_U)$  is an affine scheme. Finally, denote the category of schemes with morphisms of locally ringed spaces by **Sch**.

**Proposition 5.4.** If X is a scheme then  $Rg(\mathfrak{S}_X) = X$ .

Corollary 5.5. The functor,

$$\mathbf{Sch} \to \mathbf{Set}^{\mathbf{Ring}}$$
$$X \mapsto \mathfrak{S}_X$$

is fully faithfull because,

$$\operatorname{Hom}_{\operatorname{\mathbf{Sch}}}(X,Y) \cong \operatorname{Hom}_{\operatorname{\mathbf{Set}}^{\operatorname{\mathbf{Ring}}}}(\mathfrak{S}_X,\mathfrak{S}_Y)$$

**Definition:** If a functor  $F : \mathbf{Ring} \to \mathbf{Set}$  is isomorphic to  $\mathfrak{S}_X$  for some scheme X then we say that X represents F or F is represented by X.

**Remark 11.** The functor F above is not necessarily representable in the category of rings unless X is an affine scheme.

#### 5.3 Toric Varieties

Let N be a fixed free  $\mathbb{Z}$ -module of finite rank n and  $M = N^{\vee} = \operatorname{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$ . Now, consider the rational polyhedral cone,

$$\sigma = \mathbb{R}_+ v_1 + \dots + \mathbb{R}_+ v_s$$

for  $v_1, \ldots, v_s \in \mathbb{N}$ . Then,

$$\dim \sigma_r = \dim_{\mathbb{R}}(\operatorname{Span}_{\mathbb{R}}(\sigma))$$

and we may define the dual cone,

$$\sigma^{\vee} = \{ \alpha \in M_{\mathbb{R}} = N_{\mathbb{R}}^{\vee} \mid \forall x \in \sigma \quad \alpha(x) \ge 0 \}$$

A face is,

$$\tau = \sigma \cap \alpha^{\perp} = \{ x \in \sigma \mid \alpha(x) = 0 \}$$

for  $\alpha \in \sigma^{\vee}$ .

**Lemma 5.6** (Gordon).  $S_{\sigma} = \sigma^{\vee} \cap M$  is a finitely-generated moniod.

**Definition:** Let k be a ring and  $\sigma$  a strongly convex rational polyhedral cone. Let  $X_{\sigma} \to \operatorname{Spec}(k)$  be a scheme over  $\operatorname{Spec}(k)$  such that,

$$\mathfrak{S}_{X_{\sigma}}: \mathbf{Alg}_k \to \mathbf{Set}$$
  
 $(k \to A) \mapsto \mathrm{Hom}_k(k[S_{\sigma}], A) = \mathrm{Hom}_{\mathbf{Mon}}((S_{\sigma}, +), (A, \times))$ 

where the functor is represented by,

$$k[S_{\sigma}] = \bigoplus_{m \in \sigma} k \cdot x^m$$

Then  $X_{\sigma} = \operatorname{Spec}(k[S_{\sigma}]) \to \operatorname{Spec}(k)$  corresponds to  $k \to k[S_{\sigma}]$ . We call  $X_{\sigma}$  an affine toric variety.

**Remark 12.** If  $\tau$  is a face of  $\sigma$  then  $S_{\tau} \supset S_{\sigma}$  induces  $k[S_{\sigma}] \to k[S_{\tau}]$  and thus a morphism  $X_{\tau} \to X_{\sigma}$  which is an open embedding because it at the level of rings it is injective.

**Remark 13.** The smallest face  $\{0\}$  has  $\{0\}^{\vee} = M$  has,

$$X_{\{0\}} = \operatorname{Spec}(k[M]) \cong \operatorname{Spec}(k[\mathbb{Z}^n]) = \mathbb{G}^n_{m,k}$$

which is a torus.

**Remark 14.** If  $\sigma$  and  $\tau$  intersect in a common face  $S_{\sigma \cap \tau} = S_{\sigma} + S_{\tau}$  then the embeddings  $X_{\sigma \cap \tau} \to X_{\sigma}, X_{\tau}$  allow gluing.

**Definition:** A fan is a collection  $\Sigma$  of strongly convex rational polyhedral cones in  $N_{\mathbb{R}}$  such that,

- 1.  $\forall \sigma \in \Sigma$  and any face  $\tau$  of  $\sigma$  then  $\tau \in \Sigma$
- 2.  $\forall \sigma, \tau \in \Sigma$  the intersection  $\sigma \cap \tau$  is a common face of  $\sigma$  and  $\tau$  and  $\sigma \cap \tau \in \Sigma$ .

**Definition:** Given a fan  $\Sigma$  we define the toric variety  $X_{\Sigma}$  via gluing  $X_{\sigma}$  for each  $\sigma \in \Sigma$ . To see that this gluing works, consider the functor,

$$\mathfrak{S}_{X_{\Sigma} o \operatorname{Spec}(k)} : \mathbf{Alg}_k o \mathbf{Set}$$

$$A \mapsto \left\{ \bigcup_{\sigma \in \Sigma} S_{\sigma} \to A \quad \middle| \quad \forall \sigma \in \Sigma : f|_{S_{\sigma}} \to (A, \times) \text{ is a morphism of monoids} \right\}$$

This functor is represented by the scheme  $X_{\Sigma}$ .

#### 5.4 Divisors

### 5.5 Toric Divisors

Let  $X_{\Sigma} \supset X_{\{0\}} = \operatorname{Spec}(k[M])$  be a toric variety. Then,

$$\operatorname{Rat}(X_{\Sigma}) = \operatorname{Frac}(k[M])$$

**Definition:** We call toric Cartier divisors of  $X_{\Sigma}$  and Cartier divisor D which is locally defined by some  $\chi^m \in \operatorname{Frac}(k[M])$  for  $m \in M$ .

#### 5.5.1 Combinatorial Interpretation

First consider the support of the fan,

$$|\Sigma| = \bigcup_{\sigma \in \Sigma} \sigma$$

We define the notion of a vertual support function  $\psi : |\Sigma| \to \mathbb{R}$  such that  $\psi|_{\sigma}$  identifies with the restriction of some  $m_{\sigma} \in M$  for any  $\sigma \in \Sigma$ .

**Remark 15.**  $m_{\sigma}$  is unique up to an element of  $\sigma^{\perp}$ .

**Definition:**  $D_{\psi} = \{(X_{\sigma}, \chi^{-m_{\sigma}})\}$ 

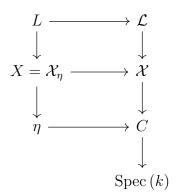
**Theorem 5.7.**  $\psi \mapsto D_{\psi}$  defines a bijection between toric Cartier divisors on  $X_{\Sigma}$  and vertual support functions on  $\Sigma$ .

# 6 The Open Problem

Let  $X \to \operatorname{Spec}(k)$  be a projective scheme of dimension d over k. Given a line bundle L on X we may construct the Okounkov body  $\Delta_L \subset \mathbb{R}^d$  a convex body. Then,

$$\int_{\Delta_d} 1 \, \mathrm{d}x = \lim_{n \to \infty} \frac{\dim_k H^0(X, L^{\otimes n})}{n^d}$$

In particular, given a scheme  $\mathcal{X}$  over a projective curve C over k with a line bundle  $\mathcal{L}$  on L then condier the diagram,



with  $L = \mathcal{L}|_X$  and  $\eta$  is the generic point of the curve C. Then there is a function on the Okounkov body  $G_{\mathcal{L}} : \Delta_L \to \mathbb{R}$  such that,

$$\int_{\Delta_L} \max\{(G_{\mathcal{L}}(x), 0)\} = \lim_{n \to \infty} \frac{\dim_k H^0(\mathcal{X}, \mathcal{L}^{\otimes n})}{n^{d+1}}$$

For two line bundles  $\mathcal{L}_1$  and  $\mathcal{L}_2$  form  $\mathcal{L}_1 \otimes \mathcal{L}_2$ . We have,

$$\Delta_{L_1} + \Delta_{L_2} \subset \Delta_{L_1 \otimes L_{\odot}}$$

and also

$$\forall (x,y) \in \Delta_{L_1} \times \Delta_{L_2} : G_{\mathcal{L}_1 \otimes \mathcal{L}_2}(x+y) \ge G_{\mathcal{L}_1}(x) + G_{\mathcal{L}_2}(y)$$

## 7 Line Bundles

**Definition:** Let R be a ring and M an R-module. We say that M is invertible if there exists an R-module N such that  $M \otimes_R N \cong R$ . This is equivalent to the statement that the functor  $M \otimes_R (-)$  is an equivalence of categories.

**Definition:** Let X be a locally ringed space and  $\mathcal{L}$  an  $\mathcal{O}_X$ -module. We say that  $\mathcal{L}$  is an invertible  $\mathcal{O}_X$ -module if there exists an  $\mathcal{O}_X$ -module  $\mathcal{L}'$  such that  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}' \cong \mathcal{O}_X$  which is equivalent to the statment that the functor  $\mathcal{L} \otimes_{\mathcal{O}_X} (-)$  is an equivalence of cateogries.

**Proposition 7.1.** Let  $\mathcal{L}$  be an invertable  $\mathcal{O}_X$ -module. Then,

- 1.  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}^{\vee} \cong \mathcal{O}_X$  where  $\mathcal{L}^{\vee} = \operatorname{Hom}_{\mathcal{O}_X} (\mathcal{L}, \mathcal{O}_X)$  is the dual sheaf
- 2. given a morphism of locally ringed space  $f: X \to Y$  and  $\mathcal{L}$  is an invertible  $\mathcal{O}_Y$ -module then  $f^*\mathcal{L}$  is an inertible  $\mathcal{O}_X$ -module
- 3.  $\mathcal{L}$  is locally-free of rank 1 i.e. there exits an open cover of X such that on each open set  $\mathcal{L}|_U \cong \mathcal{O}_X|_U$
- 4. on stalks,  $\mathcal{L}_x \cong \mathcal{O}_{X,x}$

**Definition:** A line bundle on a locally ringed space is an invertible sheaf on X.

**Proposition 7.2.** Given two invertible sheaves of  $\mathcal{O}_X$ -modules  $\mathcal{L}$  and  $\mathcal{L}'$  on X their tensor product  $\mathcal{L} \otimes_{\mathcal{O}_X} L'$  is an invertible  $\mathcal{O}_X$ -module.

Corollary 7.3. Isomorphism classes of line bundles on X form a group under tensor product which we denote Pic(X).

#### 7.1 Divisors and Line Bundles

Given a Cartier divisor on an integral scheme X which we realize as  $D = \{(U_i, f_i)\}$  where  $\{U_i\}$  is an open cover of X and  $f_i \in \text{Rat}(X)$  such that  $f_i f_j^{-1} \in \mathcal{O}_X^{\times}(U_i \cap U_j)$ . Then we may form an invertible sheaf  $\mathcal{L}(D)$  as the subsheaf of  $K^{\times}/\mathcal{O}_X^{\times}$  generated by  $\{(U_i, f_i)\}$ .

**Proposition 7.4.** In the previous situation,

- 1.  $\mathcal{L}(D)$  is an invertible sheaf
- 2.  $D_1 \sim D_2 \iff \mathcal{L}(D_1) \cong \mathcal{L}(D_2)$
- 3.  $CaCl(X) \hookrightarrow Pic(X)$  as groups
- 4.  $Cl(X) \cong Pic(X)$  when X is integral

Remark 16. Consider the exact sequence of sheaves,

$$0 \longrightarrow \mathcal{O}_X^{\times} \longrightarrow \mathcal{K}^{\times} \longrightarrow K^{\times}/\mathcal{O}_X^{\times} \longrightarrow 0$$

then taking cohomology,

$$0 \longrightarrow H^{0}(X, \mathcal{O}_{X}^{\times}) \longrightarrow H^{0}(X, \mathcal{K}_{X}^{\times}) \longrightarrow H^{0}(X, \mathcal{K}_{X}^{\times}/\mathcal{O}_{X}^{\times}) \longrightarrow H^{1}(X, \mathcal{O}_{X}^{\times}) \longrightarrow H^{1}(X, \mathcal{K}_{X}^{\times})$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \parallel \qquad \qquad \parallel$$

$$0 \longrightarrow \mathcal{O}_{X}(X)^{\times} \longrightarrow K(X)^{\times} \longrightarrow \operatorname{Ca}(X) \longrightarrow \operatorname{Pic}(X) \longrightarrow 0$$

Therefore, we get an isomorphism,

$$\operatorname{CaCl}(X) \xrightarrow{\sim} \operatorname{Pic}(X)$$

where  $\operatorname{CaCl}(X) = \operatorname{coker}(K(X)^{\times} \to H^0(X, \mathcal{K}_X^{\times}/\mathcal{O}_X^{\times})$ . To see why  $H^0(X, \mathcal{K}_X^{\times}) = 0$ , recall that on an integral scheme (actualy any irreducible topological space) all open sets are connected and thus all constant sheaves are flasque and thus have trivial higher cohomology.

## 7.2 Examples

Consider the toric variety  $X_{\Sigma}$  then the picard group of  $\Sigma$  is given by virtual support functions modulo global linear functions. Let h be a virtual support function represented by elements  $\{m_{\sigma}\}_{{\sigma}\in\Sigma}$  then we may construct  $\mathcal{L}(h)$  an  $\mathcal{O}_X$ -module satisfying,

- 1.  $\mathcal{L}(\varphi) = \{0\}$
- 2.  $\mathcal{L}(U) = z^{m_{\tau}} \mathcal{O}_X(U)$  for  $U \subset X_{\tau^{\vee}}$
- 3.  $\mathcal{L}(U_1 \cup \cdots \cup U_s) = z^{m_1} \mathcal{O}_X(U_1) \cap \cdots \cap z^{m_s} \mathcal{O}_X(U_s)$

Theorem 7.5. We have,

- 1.  $\mathcal{L}_h \cong \mathcal{L}_{h'} \iff h h'$  is global linear
- 2. every invertible sheaf of  $X_{\Sigma}$  is isomorphic to  $\mathcal{L}_h$  for some virtual support function h.

Corollary 7.6. For a toric variety  $X_{\Sigma}$  we have,

$$\operatorname{Pic}(X) = \operatorname{Pic}(\Sigma) = \frac{\operatorname{VS}(\Sigma)}{\Sigma} = \operatorname{CaCl}(X)$$

### 7.3 The Case of Noetherian Domains

**Remark 17.** In the affine case  $X = \operatorname{Spec}(R)$  then any invertible sheaf is coherent since it is locally  $\widetilde{R}$ . Therefore it must be  $\widetilde{M}$  for some R-module M which must be invertible as an R-module. Therefore,  $\operatorname{Pic}(X) = \operatorname{Pic}(R)$  where  $\operatorname{Pic}(R)$  is the group of invertible R-modules under tensor product.

**Remark 18.** Now restrict to the case of R a Noetherian domain.

**Definition:** A fractional ideal of R is is a f.g. submodule of Frac (R).

**Theorem 7.7.** Every invertible module is isomorphic to some fractional ideal. The invertable fractional ideals is free abelian group generated by height 1 prime ideas.

# 8 Cohomology of Sheaves

## 8.1 Derived Category of an Abelian Category

**Definition:** Let  $\mathcal{A}$  be an abelian category and  $\mathbf{Ch}(A)$  denote the category of cocomplexes in  $\mathcal{A}$  i.e. diagrams,

$$\cdots \longrightarrow A^{n-1} \xrightarrow{d^{n-1}} A^n \xrightarrow{d^n} A^{n+1} \longrightarrow \cdots$$

such that  $d^n \circ d^{n-1} = 0$ . A morphism  $f: A \to B$  in the category  $\mathbf{Ch}(A)$  is a commutative diagram,

$$\cdots \longrightarrow A^{n-1} \xrightarrow{d_A^{n-1}} A^n \xrightarrow{d_A^n} A^{n+1} \longrightarrow \cdots$$

$$\downarrow^{f_{n-1}} \qquad \downarrow^{f_n} \qquad \downarrow^{f_{n+1}}$$

$$\cdots \longrightarrow B^{n-1} \xrightarrow{d_B^{n-1}} B^n \xrightarrow{d_B^n} B^{n+1} \longrightarrow \cdots$$

i.e. such that  $f_{n+1} \circ d_A^n = d_B^n \circ f_n$ .

**Definition:** For any cocomplex A we may shift by m to form the complex A[m] such that  $A[m]^n = A^{n-m}$  and  $d_{A[m]}^n = (-1)^m d_A^n$ .

**Definition:** There exists a cohomology functor  $H^n: \mathbf{Ch}(A) \to \mathcal{A}$  defined as follows. Since  $d^{n+1} \circ d^n = 0$  we have that  $d^n$  factors through the kernel of  $d^{n+1}$  to give a map  $d^n: A^n \to \ker d^{n+1}$ . Then we define  $H^n(A) = \operatorname{coker} d^n: (A^n \to \ker d^{n+1})$ .

**Definition:** The homotopy category  $\mathbf{K}(\mathcal{A})$  is the quotient of  $\mathbf{Ch}(\mathcal{A})$  by chain homotopy where chain maps  $f, g: A \to B$  are chain homotopic via a homotopy s if there exists a diagram,

$$\cdots \longrightarrow A^{n-1} \xrightarrow{d_A^{n-1}} A^n \xrightarrow{d_A^n} A^{n+1} \longrightarrow \cdots$$

$$\downarrow^{\Delta_{n-1}} \xrightarrow{s_n} \downarrow^{\Delta_n} \xrightarrow{s_{n+1}} \downarrow^{\Delta_{n+1}} \downarrow^{\Delta_{n+1}} \cdots$$

$$\cdots \longrightarrow B^{n-1} \xrightarrow{d_B^{n-1}} B^n \xrightarrow{d_B^n} B^{n+1} \longrightarrow \cdots$$

such that  $s_{n+1} \circ d_A^n + d_B^{n-1} \circ s_n = \Delta_n = f_n - g_n$ .

**Proposition 8.1.** The cohomology functor  $H^n: \mathbf{Ch}(\mathcal{A}) \to \mathcal{A}$  factors through the quotient functor  $\mathbf{Ch}(\mathcal{A}) \to \mathbf{K}(\mathcal{A})$ .

**Definition:** We define the categories  $\mathbf{Ch}^+(\mathcal{A})$  of bounded below cocomplexes i.e. cocomplexes A such that  $A^n = 0$  for all sufficiently small n and the category  $\mathbf{Ch}^b(\mathcal{A})$  of bounded cocomplexes i.e. cocomplexes A such that  $A^n = 0$  for all but finitely many n.

Remark 19. These chain categories are not abelian. We want to fix this issue.

**Definition:** Let  $A, B \in \mathbf{Ch}(A)$  and  $f : A \to B$ . We construct the cone  $c(f) \in \mathbf{Ch}(A)$  via  $c(f)^n = A^{n+1} \oplus B^n$  with a boundary map,

$$d_c^n = (-d_A^{n+1}, -f_{n+1} + d_B^n)$$

Then we may check,

$$d_c^{n+1} \circ d_c^n = (d_A^{n+1} \circ d_A^n, f_{n+1} \circ d_A^{n+1} - d_A^{n+2} \circ f_{n+1} + d_B^{n+1} \circ d_B^n) = 0$$

Proposition 8.2. There exists an exact sequence,

$$0 \longrightarrow B \stackrel{g}{\longrightarrow} c(f) \stackrel{\delta}{\longrightarrow} A[-1] \longrightarrow 0$$

where g = (0, id) and  $\delta = -pr_1$ . Such an exact sequence induces a long exact sequence of cohomology,

$$\cdots \longrightarrow H^{n-1}(c(f)) \longrightarrow H^{n-1}(A[-1]) \longrightarrow H^n(B) \longrightarrow H^n(c(f)) \longrightarrow H^{n+1}(A) \longrightarrow \cdots$$

however,  $H^{n-1}(A[-1]) = H^n(A)$  and the map  $H^{n-1}(A[-1]) \to H^n(B)$  is simply the map induced by  $f: A \to B$ . Thus we find,

$$\cdots \longrightarrow H^{n-1}(c(f)) \longrightarrow H^n(A) \stackrel{f}{\longrightarrow} H^n(B) \longrightarrow H^n(c(f)) \longrightarrow H^{n+1}(A) \longrightarrow \cdots$$

Corollary 8.3. We say that f is a quasi-isomorphism if  $H^n(f)$  is an isomorphism for each n iff c(f) is exact i.e. acyclic.

**Definition:** In general, a diagram,

$$A' \xrightarrow{\ u \ } B' \xrightarrow{\ v \ } C' \xrightarrow{\ w \ } A'[-1]$$

is called an *exact triangle* if there exists  $f: A \to B$  in  $\mathbf{Ch}(A)$  and  $\alpha, \beta, \gamma$  isomorphisms in  $\mathcal{K}(A)$  such that the following diagram commutes in  $\mathcal{K}(A)$ ,

$$A' \xrightarrow{u} B' \xrightarrow{v} C' \xrightarrow{w} A'[-1]$$

$$\downarrow^{\alpha} \qquad \downarrow^{\beta} \qquad \downarrow^{\gamma} \qquad \downarrow^{\alpha}$$

$$A \xrightarrow{f} B \xrightarrow{g} c(f) \xrightarrow{\delta} A[-1]$$

**Definition:** The derived category  $\mathfrak{D}(A)$  is the category obtained from  $\mathcal{K}(A)$  by inverting all quasi-isomorphisms.

## 8.2 Injective Objects

**Definition:** In an abeian cateogory  $\mathcal{A}$  we call an object I injective if the contravariant hom functor  $\operatorname{Hom}_{\mathcal{A}}(-,I):\mathcal{A}^{\operatorname{op}}\to \mathbf{Ab}$  is exact.

**Remark 20.** This condition is equivalent to the following. For any monomorphism  $f: A \to B$  in  $\mathcal{A}$  and a morphism  $\alpha: A \to I$  there exists a unique extension  $\beta: B \to I$  such that  $\beta \circ f = \alpha$ .

**Definition:** We say that  $\mathcal{A}$  has *enough injectives* if for any  $A \in \mathcal{A}$  there exists an injective object  $I \in \mathcal{A}$  and a monomorphism  $\alpha : A \to I$ .

**Theorem 8.4.** Let  $A, B \in \mathcal{A}$  be objects and  $f : A \to B$  a morphism. Consider two complexes in  $\mathcal{K}(A)$ ,

$$0 \longrightarrow A \longrightarrow \mathbf{M}^{\bullet}$$

$$\downarrow^{f} \qquad \downarrow^{\downarrow}$$

$$0 \longrightarrow B \longrightarrow \mathbf{I}^{\bullet}$$

such that the first is exact and  $I^{\bullet}$  is injective. Then there exists a unique morphism in K(A) between these complexes.

**Corollary 8.5.** Let  $I \in \mathbf{Ch}^+(A)$  consist of injectives. If  $f: I \to M$  is a quasi-isomorphism then f admits an inverse of the left in the category  $\mathbf{K}(A)$ . Furthermore,  $\operatorname{Hom}_{\mathfrak{D}(A)}(M,I) = \operatorname{Hom}_{\mathbf{K}(A)}(M,I)$ .

#### 8.3 Derived Functors

Let  $\mathcal{A}$  be an abelian category with enough injectives. Let  $\mathbf{K}^+(\mathfrak{I})$  be the full subcategory of  $\mathbf{K}^+(\mathcal{A})$  consisting of complexes of injective objects.

**Theorem 8.6.** There is an equivalence of categories  $\mathfrak{D}^+(\mathcal{A}) \cong \mathbf{K}^+(\mathfrak{I})$ .

Proof. Consider the inclusion functor  $\mathbf{K}^+(\mathfrak{I}) \to \mathfrak{D}^+(\mathcal{A})$  which is fully faithfull because  $\operatorname{Hom}_{\mathfrak{D}^+(\mathcal{A})}(I,J) = \operatorname{Hom}_{\mathbf{K}^+(\mathfrak{I})}(I,J)$  when  $I,J \in \mathbf{K}^+(\mathfrak{I})$  are injective complexes. Thus it suffices to show that the functor is essentially surjective i.e. that for any complex  $M \in \mathfrak{D}^+(\mathcal{A})$  there exists an injective resolution  $I \in \mathfrak{D}^+(\mathcal{A})$  with a quasi-isomorphism  $g: M \to I$ . This is true when  $\mathcal{A}$  has enough injectives.

**Definition:** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories and  $F: \mathbf{K}(\mathcal{A}) \to \mathbf{K}(\mathcal{B})$  a functor preserving exact triangles. A right derived function of F is a functor  $RF: \mathfrak{D}(\mathcal{A}) \to \mathfrak{D}(\mathcal{B})$  and a morphism of functors  $\xi$  from  $\mathbf{K}(\mathcal{A}) \xrightarrow{F} \mathbf{K}(\mathcal{B}) \to \mathfrak{D}(\mathcal{B})$  to  $\mathbf{K}(\mathcal{A}) \to \mathfrak{D}(\mathcal{A}) \xrightarrow{RF} \mathfrak{D}(\mathcal{B})$  which satisfies the following universal property. If  $G: \mathfrak{D}(\mathcal{A}) \to \mathfrak{D}(\mathcal{B})$  is another functor preserving exact triangles with a morphism of functors  $\zeta: q \circ F \to G \circ q$  then there exists a unique morphism of functors  $\eta: RF \to G$  such that  $\zeta = \eta \circ \xi$ .

**Theorem 8.7.** If  $\mathcal{A}$  has enough injectives then  $R^+F: \mathfrak{D}^+(\mathcal{A}) \to \mathfrak{D}(B)$  exists. Moreover, for any comlex consisting of injective objects  $R^+F(I) = q \circ F(I) \in \mathfrak{D}(B)$ .

**Definition:** We say that  $A \in \mathbf{K}(A)$  is F-acyclic if F(A) is exact.

**Example 8.8.** Let X be a topological space and  $\mathcal{A} = \mathbf{Ab}(X)$  the category of sheaves of abelian groups on X. If  $\mathcal{F} \in \mathcal{A}$  is a sheaf then consider  $\mathcal{F}_x$ 

## 9 $\delta$ -functors

**Definition:** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories. A (cohomological)  $\delta$ -functor is a sequence of additive functors  $T^n: \mathcal{A} \to \mathcal{B}$  and associated to each short exact sequence,

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

in  $\mathcal{A}$  a family of morphisms  $\delta^n: T^n(C) \to T^{n+1}(A)$  such that there is a long exact sequence,

$$0 \longrightarrow T^{0}(A) \longrightarrow T^{0}(B) \longrightarrow T^{0}(C) \stackrel{\delta^{0}}{\longrightarrow} T^{1}(A) \longrightarrow T^{1}(B) \longrightarrow T^{1}(C) \longrightarrow T^{2}(A) \longrightarrow T^{2}(B) \longrightarrow T^{2}(C) \stackrel{\delta^{2}}{\longrightarrow} T^{3}(A) \longrightarrow T^{3}(B) \longrightarrow T^{3}(C) \longrightarrow \cdots$$

Furthermore, associated to each morphism of short exact sequences,

the induced squares,

$$T^{n}(C) \xrightarrow{\delta^{n}} T^{n+1}(A)$$

$$\downarrow \qquad \qquad \downarrow$$

$$T^{n}(C') \xrightarrow{\delta^{n}} T^{n+1}(A')$$

commute such that there is a morphism of long exact sequences,

$$0 \longrightarrow T^{0}(A) \longrightarrow T^{0}(B) \longrightarrow T^{0}(C) \xrightarrow{\delta^{0}} T^{1}(A) \longrightarrow T^{1}(B) \longrightarrow T^{1}(C) \longrightarrow \cdots$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow T^{0}(A') \longrightarrow T^{0}(B') \longrightarrow T^{0}(C') \xrightarrow{\delta^{0}} T^{1}(A') \longrightarrow T^{1}(B') \longrightarrow T^{1}(C') \longrightarrow \cdots$$

**Definition:** A morphism of  $\delta$ -functors  $f: S \to T$  is a sequence of natural transformations  $f^n: S^n \to T^n$  which, for each short exact sequence,

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

commutes with the connecting maps as follows,

$$S^{n}(C) \xrightarrow{\delta^{n}} S^{n+1}(A)$$

$$\downarrow f_{C}^{n} \qquad \qquad \downarrow f_{A}^{n+1}$$

$$T^{n}(C) \xrightarrow{\delta^{n}} T^{n+1}(A)$$

such that there is a morphism of long exact sequences,

$$0 \longrightarrow S^{0}(A) \longrightarrow S^{0}(B) \longrightarrow S^{0}(C) \xrightarrow{\delta^{0}} S^{1}(A) \longrightarrow S^{1}(B) \longrightarrow S^{1}(C) \longrightarrow \cdots$$

$$\downarrow f_{A}^{0} \qquad \downarrow f_{B}^{0} \qquad \downarrow f_{C}^{0} \qquad \downarrow f_{A}^{1} \qquad \downarrow f_{B}^{1} \qquad \downarrow f_{C}^{1}$$

$$0 \longrightarrow T^{0}(A) \longrightarrow T^{0}(B) \longrightarrow T^{0}(C) \xrightarrow{\delta^{0}} T^{1}(A) \longrightarrow T^{1}(B) \longrightarrow T^{1}(C) \longrightarrow \cdots$$

**Remark 21.** Note that, by definition, if  $T: \mathcal{A} \to \mathcal{B}$  is a cohomological  $\delta$ -functor than  $T^0: \mathcal{A} \to \mathcal{B}$  is a left-exact additive functor. A homological  $\delta$ -functor would give a left-exact additive functor  $T^0: \mathcal{A} \to \mathcal{B}$ .

**Definition:** We call a  $\delta$ -functor  $S: \mathcal{A} \to \mathcal{B}$  universal if for any other  $\delta$ -functor  $T: \mathcal{A} \to \mathcal{B}$  with a natural transformation  $\alpha: S^0 \to T^0$  it extends to a unique morphism of  $\delta$ -functors  $f: S \to T$  with  $f^0 = \alpha$ .

**Proposition 9.1.** Universal  $\delta$ -functors with a given fixed initial additive functor  $S^0: \mathcal{A} \to \mathcal{B}$  are unique up to unique isomorphism.

Proof. Let S and T be two universal  $\delta$ -functors with a natural isomorphism  $\alpha^0: S^0 \to T^0$ . Applying the universal properties of S and T give morphism of  $\delta$ -functors  $f: S \to T$  and  $g: T \to S$  such that  $f^0 = \alpha$  and  $g^0 = \alpha^{-1}$ . Thus  $g \circ f: S \to S$  is a morphism of  $\delta$ -functors lifting  $\mathrm{id}_{S^0}: S^0 \to S^0$  and thus  $g \circ f = \mathrm{id}_S$  by the uniqueness of lifts in the universal property for S. Similarly,  $f \circ g: T \to T$  is a morphism of  $\delta$ -functors lifting  $\mathrm{id}_{T^0}: T^0 \to T^0$  and thus  $f \circ g = \mathrm{id}_T$  applying the uniqueness in the universal property for T.

**Definition:** Given a left-exact additive functor  $F : \mathcal{A} \to \mathcal{B}$  if there exists a universal  $\delta$ -functor  $S : \mathcal{A} \to \mathcal{B}$  such that  $S^0 = F$  then we call  $S^n$  the right-sattelite functors associated to F.

**Definition:** An additive functor  $F: \mathcal{A} \to \mathcal{B}$  is called *effaceable* if for each  $A \in \mathcal{A}$  there exists a monomorphism  $a: A \to M$  for some  $M \in \mathcal{A}$  such that F(a) = 0. In particular, this is satisfied if F(M) = 0.

**Theorem 9.2.** Let  $S: \mathcal{A} \to \mathcal{B}$  be a  $\delta$ -functor. If  $S^n$  is effaceable for all  $n \geq 1$ , then S is universal.

*Proof.* Suppose that  $T: \mathcal{A} \to \mathcal{B}$  is a  $\delta$ -functor and  $\alpha: S^0 \to T^0$  is a natural transformation. We construct the morphism of  $\delta$ -functors  $f: S \to T$  by induction. Such a natural transformation is given for n=0 so assume we have constructed  $f^n: S^n \to T^n$ . Now for any  $A \in \mathcal{A}$  since  $S^{n+1}$  is effaceable we may choose a monomorphism  $a: A \hookrightarrow M$  such that  $S^{n+1}(a) = 0$ . Now consider the short exact sequence,

$$0 \longrightarrow A \stackrel{a}{\longrightarrow} M \longrightarrow K \longrightarrow 0$$

which gives rise to long exact sequences,

$$S^{n}(A) \xrightarrow{S^{n}(a)} S^{n}(M) \longrightarrow S^{n}(K) \xrightarrow{\delta^{n}} S^{n+1}(A) \xrightarrow{S^{n+1}(a)} S^{n+1}(M)$$

$$\downarrow f_{A}^{n} \qquad \downarrow f_{K}^{n} \qquad \downarrow f_{A}^{n+1}$$

$$T^{n}(A) \xrightarrow{T^{n}(a)} T^{n}(B) \longrightarrow T^{n}(K) \xrightarrow{\delta^{n}} T^{n+1}(A) \xrightarrow{T^{n+1}(a)} T^{n+1}(B)$$

Since the morphism  $S^{n+1}(a): S^{n+1}(A) \to S^{n+1}(M)$  is zero then  $S^{n+1}(A)$  is the cokernel of the morphism  $S^n(M) \to S^n(K)$ . By commutativity and exactness of the lower sequence, the morphism  $S^n(M) \to S^n(K) \to T^n(K) \to T^{n+1}(A)$  is zero and thus factors uniquely through  $f_A^{n+1}: S^{n+1}(A) \to T^{n+1}(A)$  this defines a morphism  $f_A^{n+1}: S^{n+1} \to T^{n+1}$ . It suffices to prove that  $f_A^{n+1}$  is natural and well-defined. (SHOW THIS)

Corollary 9.3. Let  $S, T : A \to B$  be effaceable  $\delta$ -functors which agree in degree zero i.e.  $S^0 \cong T^0$  naturally. Then  $S \cong T$  by a unique isomorphism lifting  $S^0 \cong T^0$ .

**Theorem 9.4.** Let  $\mathcal{A}$  be an abelian category with enough injectives and  $F: \mathcal{A} \to \mathcal{B}$  an additive functor. Then the right-derived functors  $R^iF: \mathcal{A} \to \mathcal{B}$  form a universal  $\delta$ -functor.

Proof. We have already proven that given an additive functor  $F: \mathcal{A} \to \mathcal{B}$  on an abelian category  $\mathcal{A}$  having enough injectives, the derived functors form a  $\delta$ -functor. For each  $A \in \mathcal{A}$  because  $\mathcal{A}$  has enough injectives there exists an injective object  $I \in \mathcal{A}$  and a monomorphism  $a: A \to I$ . Since I is injective  $R^i F(I) = 0$  for any  $i \geq 1$  and thus  $R^i F(a): R^i F(A) \to R^i F(I)$  is the zero morphism. Thus for each  $i \geq 1$ , the derived functor  $R^i F$  is effaceable. Therefore, right-derived functors  $R^i F: \mathcal{A} \to \mathcal{B}$  form a universal  $\delta$ -functor.

Corollary 9.5. Let  $\mathcal{A}$  be an abelian category with enough injectives and  $F: \mathcal{A} \to \mathcal{B}$  a left-exact additive functor. Then the right-satellite functors of F exist and are canonically isomorphic to the right-derived functors  $R^iF: \mathcal{A} \to \mathcal{B}$ .

Corollary 9.6. Let  $\mathcal{A}$  be an abelian category with enough injectives and  $\mathcal{B}$  be an abelian category. Suppose that  $S, T : \mathcal{A} \to \mathcal{B}$  are  $\delta$ -functors such that  $F = S^0 \cong T^0$  naturally and for each injective  $I \in \mathcal{A}$  we have  $S^n(I) = T^n(I) = 0$  for all  $n \geq 1$ . Then there are canonical isomorphism of  $\delta$ -functors,  $S \cong T \cong RF$ .

**Definition:** Let  $T: \mathcal{A} \to \mathcal{B}$  be a  $\delta$ -functor. We say that  $A \in \mathcal{A}$  is T-acyclic if  $T^n(A) = 0$  for all  $n \geq 1$ .

**Proposition 9.7.** Let  $T: \mathcal{A} \to \mathcal{B}$  be a  $\delta$ -functor and,

$$0 \longrightarrow A \longrightarrow C^0 \longrightarrow C^1 \longrightarrow \cdots \longrightarrow C^n \longrightarrow K \longrightarrow 0$$

be an exact sequence in which the  $C^i$  are T-acyclic. Then we have,

$$\forall i > n+1 : T^i(A) = T^{i-n}(K)$$
  $T^{n+1}(A) = \operatorname{coker}(T^0(C^n) \to T^0(K))$ 

*Proof.* We proceed by induction. First, consider the case n=0 in which we have an exact sequence,

$$0 \longrightarrow A \longrightarrow C \longrightarrow K \longrightarrow 0$$

where C is T-acyclic. This short exact sequence gives a long exact sequence,

$$0 \longrightarrow T^0(A) \longrightarrow T^0(C) \longrightarrow T^0(K) \longrightarrow T^1(A) \longrightarrow 0 \longrightarrow T^1(K) \longrightarrow 0$$

$$\longrightarrow T^2(A) \longrightarrow 0 \longrightarrow \cdots \longrightarrow 0 \longrightarrow T^i(K) \longrightarrow T^{i+1}(A) \longrightarrow 0 \longrightarrow \cdots$$

Thus we find isomorphism  $T^{i+1}(A) = T^i(K)$  for  $i \ge 1$  and furthermore,

$$T^1(A) = \operatorname{coker} (T^0(C) \to T^0(K))$$

Now assume the statement holds for fixed n and consider the case n + 1. We may split the exact sequence,

 $0 \longrightarrow A \longrightarrow C^0 \longrightarrow C^1 \longrightarrow \cdots \longrightarrow C^n \longrightarrow C^{n+1} \longrightarrow K \longrightarrow 0$  into a pair of exact sequences,

$$0 \longrightarrow A \longrightarrow C^0 \longrightarrow C^1 \longrightarrow \cdots \longrightarrow C^n \longrightarrow N \longrightarrow 0$$

and

$$0 \longrightarrow N \longrightarrow C^{n+1} \longrightarrow K \longrightarrow 0$$

where  $N = \ker (C^{n+1} \to K)$ . By the induction hypothesis applied to the first sequence we have,

$$\forall i > n+1 : T^i(A) = T^{i-n}(N)$$
  $T^{n+1}(A) = \operatorname{coker} (T^0(C^n) \to T^0(N))$ 

and from the n=0 case applied to the second short exact sequence we find,

$$\forall i > 1 : T^{i}(N) = T^{i-1}(K)$$
  $T^{1}(N) = \operatorname{coker} (T^{0}(C^{n+1}) \to T^{0}(K))$ 

Therefore, for i > n + 2 applying the first and then second result we find,

$$T^{i}(A) = T^{i-n}(N) = T^{i-n-1}(K)$$

which holds since i - n > 1. Furthermore, setting i = n + 2 we find,

$$T^{n+2}(A) = T^1(N) = \operatorname{coker} (T^0(C^{n+1}) \to T^0(K))$$

proving the result by induction.

**Proposition 9.8.** Let  $T: A \to B$  be  $\delta$ -functor and for  $A \in A$  let,

$$0 \longrightarrow A \longrightarrow \mathbf{C}^{\bullet}_{A}$$

be an T-acylic resultion of A i.e. an exact complex such that  $C^i$  is T-acylic for each  $C^i$ . Then for all  $n \geq 0$  we may compute the satellite functors as the cohomology,

$$T^n(A) = H^n(T^0(\mathbf{C}_A^{\bullet}))$$

*Proof.* First, since  $A = \ker(C^0 \to C^1)$  and since  $T^0$  is left-exact it preserves kernels so  $T^0(A) = \ker(T^0(C^0) \to T^0(C^1)) = H^0(T^0(\mathbf{C}_A^{\bullet}))$ . For  $n \ge 1$  we may terminate the acyclic resolution at  $C^{n-1}$  by adding  $K = \operatorname{coker}(C^{n-2} \to C^{n-1})$  to form an exact sequence,

$$0 \longrightarrow A \longrightarrow C^0 \longrightarrow C^1 \longrightarrow \cdots \longrightarrow C^{n-1} \longrightarrow K \longrightarrow 0$$

By the previous proposition  $T^n(A) = \operatorname{coker}(T^0(C^{n-1}) \to T^0(K))$ . However, by the exactnes of the resolution  $K = \ker(C^n \to C^{n+1})$  and thus, again by left-exactness,  $T^0(K) = \ker(T^0(C^n) \to T^0(C^{n+1}))$ . Therefore,

$$T^{n}(A) = \operatorname{coker} (T^{0}(C^{n-1}) \to \ker (T^{0}(C^{n}) \to T^{0}(C^{n+1})) = H^{n}(T^{0}(\mathbf{C}_{A}^{\bullet}))$$

**Remark 22.** Now we return to the situation of an abelian category  $\mathcal{A}$  with enough injectives and an additive functor  $F: \mathcal{A} \to \mathcal{B}$  between abelian categories. We say that  $C \in \mathcal{A}$  is F-acylic if  $R^iF(C) = 0$  for all i > 0 i.e. if "all higher-derived vanish".

Corollary 9.9. The derived functors of F can be computed as the cohomology of F applied to any F-acyclic resolution i.e. if

$$0 \longrightarrow A \longrightarrow \mathbf{C}_{A}^{\bullet}$$

is an exact sequence such that  $C^n$  is F-acyclic for each  $n \ge 0$  then, for all  $n \ge 0$ ,

$$R^n F(A) = H^n(F(\mathbf{C}_A^{\bullet}))$$

**Theorem 9.10** (de Rham). Let M be a smooth manifold then for each  $n \geq 0$  there is a natural isomorphism,

$$H^n_{\mathrm{sing}}(M;\mathbb{R}) = H^n_{\mathrm{dR}}(M)$$

**Theorem 9.11.** Consider the complex of sheaves on M,

$$0 \longrightarrow \mathbb{R} \longrightarrow \Omega^0 \stackrel{d^0}{\longrightarrow} \Omega^1 \stackrel{d^1}{\longrightarrow} \Omega^2 \longrightarrow \cdots$$

where  $\Omega^k$  is the sheaf of differential k-forms on M. The above is clearly a complex because  $d^{n+1} \circ d^n = 0$  by the definition of the exterior derivative. Furthermore, by the Poincare lemma this complex of sheaves is exact since every k-form is locally exact. Furthermore, by taking partitions of unity, we may extend any locally defined k-form to a globally defined k-form meaning that the restriction maps are surjective. Thus the sheaves  $\Omega^k$  are flasque and thus  $\Gamma$ -acyclic so the sheaves of differential forms form a  $\Gamma$ -acyclic resolution of  $\mathbb{R}$ . Therefore, by the above propositions,

$$H^n_{\mathrm{sing}}(X;\mathbb{R}) = H^n_{\mathrm{sheaf}}(X,\underline{\mathbb{R}}) = R^n\Gamma(X,\underline{\mathbb{R}}) = H^n(\Gamma(X,\Omega^{\bullet})) = H^n(\Omega^{\bullet}(M)) = H^n_{\mathrm{dR}}(M)$$

## 10 Cartier Divisors

**Definition:** Let X be a locally ringed space and  $S_X$  the sheaf on X defined by,

$$S_X(U) = \{ s \in \mathcal{O}_X(U) \mid \mathcal{O}_X|_U \xrightarrow{s} \mathcal{O}_X|_U \text{ is a monomorphism} \}$$

Then let  $\mathcal{K}_X = (U \mapsto S_X(U)^{-1} \mathcal{O}_X(U))^{++}$  be the sheafification.

**Definition:** The sheaf of divisors is defined as the  $\mathcal{O}_X$ -module,

$$\mathfrak{Div}_X = \mathcal{K}_X^{ imes}/\mathcal{O}_X^{ imes}$$

Then the Cartier divisors on X are the group  $\operatorname{Ca}(X) = \operatorname{Div}_X(X) = \Gamma(X, \mathfrak{Div}_X)$ . Furthermore, we define the Cartier divisor class group to be the quotient of Cartier divisors by global invertible rational sections i.e. sheaf map  $\mathcal{K}_X^{\times} \to \mathcal{K}_X^{\times}/\mathcal{O}_X^{\times}$  giving a map on global sections gives a cokernel sequence,

$$\Gamma(X, \mathcal{K}_X^{\times}) \longrightarrow \Gamma(X, \mathcal{K}_X^{\times}/\mathcal{O}_X^{\times}) \longrightarrow \operatorname{CaCl}(X) \longrightarrow 0$$

**Proposition 10.1.** There is a monomorphism  $\operatorname{CaCl}(X) \to \operatorname{Pic}(X)$  which is an isomorphism whenever  $H^1(X, \mathcal{K}_X^{\times}) = 0$ .

*Proof.* Consider the exact sequence of sheaves,

$$0 \longrightarrow \mathcal{O}_X^{\times} \longrightarrow \mathcal{K}_X^{\times} \longrightarrow \mathfrak{Div}_X \longrightarrow 0$$

Taking the long exact sequence of cohomology we find,

$$1 \longrightarrow H^0(X, \mathcal{O}_X^\times) \longrightarrow H^0(X, \mathcal{K}_X^\times) \longrightarrow H^0(X, \mathfrak{Div}_X) \longrightarrow H^1(X, \mathcal{O}_X^\times) \longrightarrow H^1(X, \mathcal{K}_X^\times)$$

$$\parallel$$

$$\operatorname{Pic}(X)$$

By exactness,

$$\ker\left(H^1(X,\mathcal{O}_X^\times)\to H^1(X,\mathcal{K}_X^\times)\right)=\operatorname{coker}\left(H^0(X,\mathcal{K}_X^\times)\to H^0(X,\mathfrak{Div}_X)\right)=\operatorname{CaCl}(X)$$

so we have an exact sequence,

$$1 \longrightarrow \operatorname{CaCl}(X) \longrightarrow \operatorname{Pic}(X) \longrightarrow H^1(X, K_X^{\times})$$

**Proposition 10.2.** Let X is a reduced scheme with finitely many irreducible components then  $H^1(X, \mathcal{K}_X^{\times}) = 0$ .

**Corollary 10.3.** On a reduced scheme X with finitely many irreducible components, the natural monomorphism  $\operatorname{CaCl}(X) \xrightarrow{\sim} \operatorname{Pic}(X)$  is an isomorphism.

**Definition:** On a locally ringed space X we define the sheaf of *effective divisors* by the "positive subsheaf"  $\mathfrak{Div}_X^+ = S_X/\mathcal{O}_X^{\times}$  of  $\mathfrak{Div}_X$ . Furthermore, we define the *effective Cartier divisors*  $\operatorname{Ca}^+(X) = H^0(X, \mathfrak{Div}_X^+)$ .

**Remark 23.** A Cartier divisor D is effective  $\Longrightarrow \mathcal{O}_X(D)$  admits a global nonzero section. If X is an integral scheme and  $\mathcal{O}_X(D)$  has a non-zero global section then D is equivalent to an effective divisor. There is a non-canonical bijection,

$$H^0(X, \mathcal{O}_X(D)) = \{ f \in \operatorname{Rat}(X)^{\times} \mid \operatorname{div}(f) + D \text{ is effective} \} \cup \{0\}$$

### 10.1 Dimension and Length

**Definition:** Let X be a noetherian scheme. For any  $k \in \mathbb{N}$  define,

$$X^{(k)} = \{ x \in X \mid \dim \mathcal{O}_{X,x} = k \}$$

and let  $Z^k(X)$  be the free abelian group generated by  $X^{(k)}$ .

**Definition:** Let A be a ring and M an A-module. Then length<sub>A</sub> (M) is the largest n such that ther exists a proper chain of submodules,

$$0 = M_0 \subsetneq M_1 \subsetneq \cdots \subsetneq M_n = M$$

such that each  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$  with  $\mathfrak{p}_i \in \operatorname{Spec}(A)$  which we call a composition sequence.

**Proposition 10.4.** If A is Noetherian and M is finite type then M admits a composition sequence.

**Proposition 10.5.** If there is an exact sequence,

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$$

if M has finite length then so does M' and M'' and furthermore,

$$\operatorname{length}_{A}(M) = \operatorname{length}_{A}(M') + \operatorname{length}_{A}(M'')$$

**Proposition 10.6.** If A is noetherian and M is finitely generated then the following are equivalent,

- 1. M is of finite length
- 2. M is artinian
- 3. the associate prime ideals of M are maximal
- 4. the spectrum of M consists of maximal prime ideals

Corollary 10.7. Let A be a noetherian domain with dim A = 1. For any nonzero  $a \in A$  then any prime containing a is maximal so A/(a) has finite length.

Remark 24. The above obervation allows us to define.

**Definition:**  $\operatorname{ord}_{A}(a) = \operatorname{length}_{A}(A/(a))$ 

**Proposition 10.8.** For any  $a, b \in (A \setminus \{0\})^2$  then  $\operatorname{ord}_A(ab) = \operatorname{ord}_A(a) + \operatorname{ord}_A(b)$ .

*Proof.* Consider the exact sequence,

$$0 \longrightarrow (b)/(ab) \longrightarrow A/(ab) \longrightarrow A/(b) \longrightarrow 0$$

Furthermore, since A is a domian,  $(b)/(ab) \cong A/(a)$  as A-modules so we have an exact sequence of A-modules,

$$0 \longrightarrow A/(a) \longrightarrow A/(ab) \longrightarrow A/(b) \longrightarrow 0$$

which proves that,

$$\operatorname{ord}_A(ab) = \operatorname{length}_A\left(A/(ab)\right) = \operatorname{length}_A\left(A/(a)\right) + \operatorname{length}_A\left(A/(b)\right) = \operatorname{ord}_A(a) + \operatorname{ord}_B(b)$$

**Definition:** We extend  $\operatorname{ord}_A(\cdot)$  to  $\operatorname{Frac}(A)^{\times} \to \mathbb{Z}$  via  $\operatorname{ord}_A(\frac{a}{b}) = \operatorname{ord}_A(a) - \operatorname{ord}_A(b)$ .

**Definition:** Let X be a noetherian integral scheme and  $\operatorname{Rat}(X) = \operatorname{Frac}(\mathcal{O}_{X,x})$  the rational functions on X for any  $x \in X$ . If  $x \in X^{(1)}$  is a codimension 1 point then  $\mathcal{O}_X x$  is a Noetherian integral scheme with  $\dim \mathcal{O}_{X,x} = 1$ . Therefore, there is a valuation  $\operatorname{ord}_x : \operatorname{Rat}(X)^{\times} \to \mathbb{Z}$  via  $f \mapsto f_x \mapsto \operatorname{ord}_{\mathcal{O}_{X,x}}(f)$  since  $f_x \in \operatorname{Frac}(\mathcal{O}_{X,x})$ .

**Proposition 10.9.** If D is a Cartier divisor on X then locally on  $x \in X^{(1)}$  the divisor D is defined by a rational function  $f_{D,x} \in \text{Rat}(X)^{\times}$  up to a section  $s \in \mathcal{O}_X^{\times}$ . However,  $s_x \in \mathcal{O}_{X,x}^{\times}$  so  $\text{ord}_x(sf_{D,x}) = \text{ord}_x(s) + \text{ord}_x(f_{D,x}) = \text{ord}_x(f_{D,x})$  since  $s_x$  is invertible. Therefore,  $\text{ord}_x(D)$  is well-defined.

**Definition:** Each Cartier divisor D defines a cycle in  $\mathbb{Z}^1$ ,

$$[D] = \sum_{x \in X^{(1)}} \operatorname{ord}_x(f_{D,x}) \cdot [x]$$

### 10.2 General Intersection

Let X be a Noetherian scheme  $k \in \mathbb{Z}^+$  and  $y \in X^{(k-1)}$ . If  $x \in X^{(k)}$  such that  $x \in \overline{\{y\}} = Y$  then  $x \in Y^{(1)}$ . Here we consider Y as an integral closed subscheme of X. For any  $f \in \operatorname{Rat}(Y)^{\times}$  we define a k-cycle on X as follows,

$$\operatorname{div}(f) = \sum_{x \in X^{(k)} \cap Y} \operatorname{ord}_x(f) \cdot [x] \in Z^k(X)$$

Then we define,

$$R^{k}(X) = \sum_{y \in X^{(k-1)}} \operatorname{Im}((\operatorname{Rat}\left(\overline{\{y\}}\right)^{\times} \to Z^{k}(X)))$$

And funally, we define the Chow group of codimension k,

$$CH^k(X) = Z^k(X)/R^k(X)$$

**Proposition 10.10.** Let X be a noetherian integral scheme. Then there is a canonical map  $CaCl(X) \xrightarrow{\sim} CH^1(X)$ .

*Proof.* Consider the map  $CaX \to Z^1(X)$  via  $D \mapsto [D]$ . Then  $\forall f \in Rat(X)^{\times}$  we have  $[f] \in R^1(X)$ . Thus the map factors through the quotient  $CaCl(X) \to CH^1(X)$ .  $\square$ 

**Proposition 10.11.** Assume  $\forall x \in X$  that  $\mathcal{O}_X x$  is integrally closed. Then the map  $[\cdot] : \operatorname{Pic}(X) \to CH^1(X)$  is injective and so is the map  $[\cdot] : \operatorname{Ca}(X) \to Z^1(X)$ .

**Proposition 10.12.** Assume  $\forall x \in X$  then  $\mathcal{O}_X x$  is a UFD. Then the map  $[\cdot]$ :  $\operatorname{Pic}(X) \to CH^1(X)$  an isomorphism and so is the map  $[\cdot]$ :  $\operatorname{Ca}(X) \to Z^1(X)$ .

#### 10.3 Relative Constructions

Let X, Y be noetherian schemes and  $f: X \to Y$  proper. Then we may define,

$$f_*: Z(X) = \bigoplus_{k \in \mathbb{N}} Z^k(X) \to Z(Y)$$

via  $f_*([x]) = \deg(x/f(x)) \cdot [f(x)]$  where,

$$\deg(x/f(x)) = \begin{cases} [\kappa(x) : \kappa(f(x))] & \text{finite} \\ 0 & \text{otherwise} \end{cases}$$

**Remark 25.** Assume that X and Y are integral,  $f: X \to Y$  is proper and surjective, and  $r \in \text{Rat}(X)^{\times}$  then d = [R(X): R(Y)]. If d is infinite then  $f_*(\text{div}(r)) = 0$ . If d is finite then  $f_*(\text{div}(r)) = \text{div} N_{R(X)/R(Y)}(r)$ .

Corollary 10.13. If  $f: X \to Y$  is proper and surjective then  $f_*$  induces a morphism  $f_*: CH(X) \to CH(Y)$ .

Corollary 10.14. Let k be a field and  $\pi: X \to \operatorname{Spec}(k)$  be a proper scheme over k then we get a degree map,

$$\deg = \pi_* : Z(X) \to Z(\operatorname{Spec}(k)) = \mathbb{Z}$$

### 11 Intersections

**Remark 26.** Let X be a Noetherian integral scheme and D a Cartier divisor on X. Take  $V \in Z^k(X)$  and  $x \in X^{(k)}$ . Locally, D is defined by  $f \in \operatorname{Rat}(X)^{\times}$ . When  $f \in \mathcal{O}_{X,x}^{\times}$  then f defines an elment  $\bar{f}$  of  $\kappa(x)$  so let  $Y = \overline{\{x\}}$  be an integral closed subvariety. Take  $\operatorname{div}(\bar{f})$  as  $D \cdot x$ . In general, this does not work unless we pass to the Chow group.

**Definition:** Let  $\mathcal{L}$  be a line bundle on X representing the class [D] in  $\operatorname{Pic}(X)$ . Let  $\mathcal{L}|_{Y}$  be its restriction as a line bundle on Y. Take a nonzero section  $s \in \mathcal{L} \otimes_{\mathcal{O}_{Y}} \mathcal{K}(Y)$  then,

$$\operatorname{div}(s) = \sum_{y \in Y^{(1)}} \operatorname{ord}_r(s) \cdot [y]$$

This divisor class is independent of the choice of section s in the Chow group. Thus we define  $D \cdot [x] = [\operatorname{div}(s)]$  giving a map  $D \cdot : CH(X) \to CH(X)$  given by,

$$[v] = \left[\sum_{x \in X} \alpha_{V,x} x\right] \mapsto \sum_{x \in X} \alpha_{V,x} D \cdot [x]$$

### 11.1 The Toric Case

Let  $\Sigma$  be a fan i.e. a collection of strictly convext rational polyhedral cones such that  $\sigma, \tau \in \Sigma \implies \sigma \cap \tau \in \Sigma$  and is a face of each. Let k be a field. Then we may construct the toric variety  $X_{\Sigma}$ . Now,

$$\operatorname{Rat}(X_{\Sigma}) = \operatorname{Frac}(k[M]) \cong k(T_1, \dots, T_n)$$

We have the notion of a toric divisor,  $D_{\psi}$  where  $\psi$  is a vertual support functo  $\psi$ :  $|\Sigma| \to \mathbb{R}$ . For  $\sigma \in \Sigma$  we have  $\psi|_{\sigma} = m_{\sigma} \in M_{\sigma}$ . On  $X_{\sigma}$  the divisor  $D_{\psi}$  is defined by  $\chi^{-m_{\sigma}}$ . Then we may construct a line bundle  $L_{\psi} = \mathcal{O}_{X}(D)$  and furthermore,  $D_{\psi_{1}} \sim D_{\psi_{2}} \iff \psi_{1} - \psi_{2} = m|_{|\Sigma|}$  for  $m \in M$ .

Now consider,

$$\Sigma^{(k)} = \{ \sigma \in \Sigma \mid \sigma \text{ has dimension } k \}$$

For each  $\tau \in \Sigma^{(1)}$  we can choose a vector  $v_{\tau} \in N$  which generates  $\tau \subset N_{\mathbb{R}}$  and is of minimal length. Consider  $V(\tau) = X_{\Sigma} \setminus X_{\tau} \subset X_{\Sigma}$  is irreducible and closed so take  $x_{\tau}$  its generic point. There is a map,

$$\operatorname{Div}(X_{\Sigma}) \to Z^{1}(X_{\Sigma})$$
$$D_{\psi} \mapsto \sum_{\tau \in \Sigma} -\psi(v_{\tau}) \cdot x_{\tau}$$

For  $\sigma \in \Sigma$  we choose  $m_{\sigma} \in M$  such that  $\psi|_{\sigma} = m_{\sigma}|_{\sigma}$ . Take  $\psi' = \psi - m_{\sigma}|_{|\Sigma|}$  then clearly  $D_{\psi} \sim D_{\psi'}$  furthermore for any face  $\tau \in \Sigma^{(1)}$  we have  $\psi'|_{\sigma} = 0$  and thus  $\psi'(v_{\tau}) = 0$ .

### 11.2 NEEDS Work

**Theorem 11.1.**  $\dim_k H^0(D_{\psi}) = \# (\Delta_{\psi} \cap M)$ 

Corollary 11.2. For higher twists,

$$\dim_k H^0(n\Delta_{\psi}) = \# (n\Delta_{\psi} \cap M) = \# \left(\Delta_{\psi} \cap \frac{1}{n}M\right) \to n^d \operatorname{Vol}_d(\Delta_{\psi})$$

**Theorem 11.3.** We have the following properties. If  $d = \operatorname{rk}_{\mathbb{Z}}(N)$  then,

1. For higher tensor powers,

$$\lim_{n \to \infty} \frac{\dim_k(H^0(nD_{\psi}))}{n^d} = \operatorname{Vol}_d(\Delta_{\psi})$$

- 2. The bundle  $\mathcal{O}_{X_{\Sigma}}(D_{\psi})$  is generated by global sections iff  $\psi$  is convave.
- 3. The bundle  $\mathcal{O}_{X_{\Sigma}}(D_{\psi})$  is ample iff  $\psi$  is strictly concave.
- 4. By Riemann-Roch,

$$\operatorname{Vol}_d(\Delta_{\psi}) = \frac{1}{d!} \operatorname{deg} \left( D_{\psi}^d[X_{\Sigma}] \right)$$

#### 11.3 Absolute Value

**Definition:** Let K be a field. A *absolute value* on K is a map  $| \bullet | : K \to \mathbb{R}_{\geq 0}$  such that,

- 1.  $|x| = 0 \iff x = 0$
- $2. |x \cdot y| = |x| \cdot |y|$
- 3. there exists c > 0 s.t.  $|1 + x| \le c$  for all  $|x| \le 1$ .

**Remark 27.** If  $| \bullet | : K \to \mathbb{R}_{\geq 0}$  is an absolute value then so is  $| \bullet |^{\alpha}$  for any positive real number  $\alpha \in \mathbb{R}_+$ .

**Example 11.4.** The following are absolute values,

- 1. Trivial: |0| = 0 and |x| = 1 for  $x \in K^{\times}$ .
- 2. Real  $K = \mathbb{R}$  we have,

$$|x| = \begin{cases} x & x \ge 0 \\ -x & x < 0 \end{cases}$$

3. p-adic for  $K = \mathbb{Q}$  write,

$$x = \prod_{p \in \text{Spec}(\mathbb{Z})} p^{v_p(x)}$$

with  $v_p(x) \in \mathbb{Z}$ . Then  $v_p(x)$  has finite support on Spec ( $\mathbb{Z}$ ) (it is the closed set V((x)) which is always finite) then take,

$$|x|_p = p^{-v_p(x)}$$

**Remark 28.** By definition  $|1| = |1 \cdot 1| = |1| \cdots |1|$  so |1| = 1. Let  $x \in K^{\times}$  such that  $x^n = 1$  then  $|x|^n = |x^n| = |1| = 1$ . In particular, there is only the trivial absolute value on finite fields.

**Definition:** The pair  $(K, | \bullet |)$  is called a valued field. If  $k \subset K$  is a subfield then we may restrict he absolute value to  $(k, | \bullet |)$  to make k a valued field.

**Definition:** Let  $|\bullet|_1$  and  $|\bullet|_2$  be absolute values on K. We say these absolute values are equivalent if there exists a positive real constant  $c \in \mathbb{R}_+$  such that,

$$|\bullet|_1 = |\bullet|_2$$

**Definition:** Let  $(K, | \bullet |)$  be a valued field. The norm of  $| \bullet |$  is,

$$N(|\bullet|) = \sup_{|x| \le 1} |1 + x|$$

Note that for x = 0 we fine |1 + x| = 1 so  $N(| \bullet |) \ge 1$ .

**Proposition 11.5.** Let a map  $| \bullet | : K \to \mathbb{R}_+$  verify (1) and (2) in the definition. Then following are equivalent,

- 1.  $\exists c \in \mathbb{R}_+ : \forall |x| \le 1 : |1 + x| \le c$
- 2.  $\forall x, y \in K : |x + y| \le c \max\{|x|, |y|\}$

In particular, if  $| \bullet |$  is an absolute value then,

$$\forall x, y \in K : |x + y| \le N(|\bullet|) \max\{|x|, |y|\}$$

**Definition:** A map  $| \bullet | : K \to \mathbb{R}_+$  satisfies the triangle innequality if,

$$\forall x, y \in K : |x + y| \le |x| + |y|$$

**Remark 29.** If  $| \bullet | : K \to \mathbb{R}_+$  satisfing (1) an (2) satisfies the triangle innequality then  $| \bullet |$  is an absolute value.

**Proposition 11.6.** Let  $(K, | \bullet |)$  be a valued field. Then  $N(| \bullet |) \leq 2 \iff | \bullet |$  satisfies the triangle innequality.

Corollary 11.7. Every absolute value on K is equivalent to one which satisfies the trangle inequality.

### 11.4 Topology on Valued Fields

**Definition:** Let  $(K, | \bullet |)$  be a valued field. Then d(x, y) = |x - y| is a metric on K which thus induces the metric (in this case value) topology. A basis for this topology is the set of open balls,

$$\mathcal{B} = \{ B_{\epsilon}(x) \mid \epsilon \in \mathbb{R}_+ \text{ and } x \in K \}$$

where,

$$B_{\epsilon}(x) = \{ y \in K : d(x, y) < \epsilon \}$$

Under this topology, the maps,

$$(x,y) \mapsto x + y$$
$$(x,y) \mapsto xy$$
$$x \mapsto x^{-1}$$
$$x \mapsto -x$$
$$x \mapsto |x|$$

are continuous.

### 11.5 Archemedean and Non-Archemedean Absolute Values

**Definition:** Let  $M_K$  be the equivalence classes of absolute values on K each class is called a *place* of K. In each class choose a representative for the place which satisfies the triangle inequality.

**Definition:** An absolute value  $| \bullet |$  is nonarchemedean if it satisfies the ultrametric inequality,

$$\forall x, y : |x + y| \le \max\{|x|, |y|\}$$

This is equivalent to  $N(| \bullet |) = 1$ . Otherwise, if  $N(| \bullet |) > 1$  then we say that  $| \bullet |$  is archemedean.

**Remark 30.** If  $| \bullet |$  is nonarchemedean then we have,

$$|x + y| = \max\{|x|, |y|\}$$

Example 11.8. For the following absolute values,

- 1.  $(\mathbb{R}, |\bullet|)$  is archemedean with  $N(|\bullet|) = 2$
- 2.  $(K, | \bullet |_{\text{triv}})$  is nonarchemedean.
- 3.  $(\mathbb{Q}, |\bullet|_p)$  is nonarchemedean.

**Definition:** Let  $(K, | \bullet |)$  be a valued nonarchemedean field. Then define,

$$A = \{x \in K \mid |x| \le 1\}$$

$$\mathfrak{m} = \{x \in L \mid |x| < 1\}$$

$$U = \{x \in K \mid |x| = 1\}$$

**Proposition 11.9.**  $A = U \cup \mathfrak{m}$  is a subring of K with  $K = \operatorname{Frac}(A)$  called the valuation ring which is local with maximal ideal  $\mathfrak{m}$ . Thus  $A^{\times} = U$  and finally, A is integrally closed. Finally define the residue field  $k = A/\mathfrak{m}$ .

#### 11.6 Valuations

**Definition:** Let A be a commutative ring. Then a valuation on A is a map  $v: A \to \mathbb{R} \cup \{\infty\}$  satisfing,

- 1.  $v(x) = \infty \iff x = 0$
- 2. v(xy) = v(x) + v(y)
- 3.  $v(x+y) \ge \min \{v(x), v(y)\}$

**Remark 31.** v(1) = 0 and we may extend  $v : \operatorname{Frac}(A) \to \mathbb{R}$  via v(x/y) = v(x) - v(y).

**Proposition 11.10.** Let K be a field. Then there is bijection between valuations on K and nonarchemedean absolute values on K by the mappings,

$$v \mapsto \exp \circ (-v)$$
  $| \bullet | \mapsto -\log \circ | \bullet |$ 

**Remark 32.** Given a nonarchemedean valued field  $(K, | \bullet |)$  with corresponding valuation v then we have,

$$A = \{x \in K \mid v(x) \ge 0\}$$

$$\mathfrak{m} = \{x \in L \mid v(x) > 0\}$$

$$U = \{x \in K \mid v(x) = 0\}$$

**Remark 33.** We have a submonoid  $v(K^{\times}) \subset (\mathbb{R}, +)$ . If this submonoid is discrete then we may normalize such that  $v(K^{\times}) = \mathbb{Z}$ .

**Definition:** Let  $(K, | \bullet |)$  be a nonarchemedean valued field. Then,  $| \bullet |$  is discrete iff  $\mathfrak{m}$  is a principal ideal. In that case  $\mathfrak{m} = (\varpi)$  and thus is A is a discrete valuation ring.

**Theorem 11.11.** A is a discrete valuation ring iff A is a local Dedekind domain.

### 11.7 Completion of Valued Fields

**Theorem 11.12.** Let M be a metric space. Then there exists a completion  $\hat{M}$  and a continuous isometric embedding  $M \hookrightarrow \hat{M}$  such that  $\hat{M}$  is a complete metric space and  $M \hookrightarrow \hat{M}$  is dense.

**Definition:** Let  $(K, | \bullet |)$  be a valued field. Then there exists a complete valued field  $(\hat{K}, | \bullet |)$  containing it isometrically. If  $v \in M_v$  then we denote this completion as  $K_v$ .

**Definition:** We say that a valued field K is a local field if it is a locally compact topological fiel.

**Theorem 11.13.** Every local field is complete.

**Theorem 11.14.** Let  $(k, | \bullet |)$  be a local field and [K : k] is finite then there exists a unique absolute value on K which extends  $| \bullet |$  defined by,

$$|x|_K = |N_{K/k}(x)|^{1/[K:k]}$$

# 12 Isodida's Theorem and the Todd genus

## 12.1 Polyhedral Laurent Series

**Definition:** Let A be a unital commutative ring and M a free  $\mathbb{Z}$ -module of rank r. Let  $N = M^{\vee}$  and  $M_{\mathbb{R}} = M \otimes_{\mathbb{Z}} \mathbb{R}$  and  $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$ . Also denote A[M] to be the A-algebra generated by M as a monoid and A(M) its qutient ring. Finally, we define the laurent series  $A[[M]] = \operatorname{Hom}_{\operatorname{Mod}_A}(A[M], A)$ .

**Definition:** Let  $\sigma$  be a polyhedral cone in  $M_{\mathbb{R}}$ . Then  $\sigma$  is nonsingular if  $\sigma = \mathbb{R}_+ m_1 + \cdots + \mathbb{R}_+ m_r$  such that  $\{m_1, \cdots m_r\}$  is a mass for M. Then gen  $(\sigma) = \{m_1, \ldots, m_r\}$ .

**Definition:** Let  $\sigma$  be a nonsingular cone in  $M_{\mathbb{R}}$ . Then,

$$q_0(\sigma) = \sum_{m \in \iota(\sigma) \cap M} \chi^m$$

Then  $PL_A(M)$  is generated by,

 $\{q_0(\sigma) \mid \sigma \text{ is a nonsingular cone}\}\$ 

Then,

$$Q_0(\sigma) = \prod_{m \in \text{gen}(\sigma)} \frac{\chi^m}{1 - \chi^m}$$

**Remark 34.** Let  $\sigma$  be a nonsingular cone then,

$$\prod_{m \in \text{gen}(\sigma)} (1 - \chi^m) \cdot q_0(\sigma) = \prod_{m \in \text{gen}(\sigma)} \chi^m$$

**Theorem 12.1.** There exists a unique map  $\psi : PL_A(M) \to A(M)$  sendig  $q_0(\sigma) \mapsto Q_0(\sigma)$ .

**Definition:** For  $S \subset M_{\mathbb{R}}$  and,

$$q(S) = \sum_{m \in M \cap S} \chi^m$$

if  $q(S) \in PL_A(M)$  then we define  $Q(S) = \psi(q(S))$ 

### 12.2 Brion's Inequality

Let  $\Sigma$  be a fan in  $N_{\mathbb{R}}$  and  $\Sigma(n) = \{ \sigma \in \Sigma \mid \dim \sigma = n \}$ . Let  $\psi$  be a support function of  $\Sigma$ .

**Remark 35.** We want to show that  $q(\Delta_{\psi}) \sim q(\Delta_{\psi}(\sigma))$ 

**Lemma 12.2.** Let c be a rational polyhedral cone which is not strongly convex  $(\dim(c \cap (-c)) > 0)$ . Then Q(c) = 0.

Proof. Take  $m \in M \cap (c \cap (-c))$ . Then m + c = c so q(m + c) = q(c). However,  $q(m + c) = \chi^m q(c)$ . Thus  $\chi^m Q(c) = Q(c)$  so Q(c) = 0.

**Theorem 12.3.** If  $\pi \subset \Sigma$  is a rational polyhedral cone of dimension r we define,

$$K(\Sigma, \pi) = \{ \sigma \in \Sigma \mid \sigma \cap \pi^{\circ} \neq \varphi \}$$

Then,

$$\sum_{\sigma \in K(\Sigma, \pi)} (-1)^{\dim \sigma} = (-1)^r$$

**Definition:** The support function  $\psi$  is convex if  $\psi(a) + \psi(b) \leq \psi(a+b)$ . Furthermore,

$$\Delta_{\psi}(\sigma) = \{ x \in M_{\mathbb{R}} \mid \forall u \in \sigma, x(y) \ge \psi(y) \}$$

Furthermore,

$$\Delta_{\psi} = \bigcap_{\sigma \in \Sigma} \Delta_{\psi}(\sigma)$$

**Remark 36.**  $\Delta_{\psi}(\sigma) = m + \sigma^{\vee}$  for some  $m \in M$ .

**Lemma 12.4.** Suppose that  $\Sigma$  is convex (i.e.  $|\Sigma|$  is convex) of dimension r an  $\psi$  is a convex support function then,

$$q(\Delta_{\psi}) = \sum_{\sigma \in K(\Sigma, |\Sigma|)} (-1)^{r - \dim \sigma} q(\Delta_{\psi}(\sigma))$$

**Theorem 12.5.** Let  $\Sigma$  be convex and dim  $\Sigma = r$ . Let  $\psi$  be a convex support function. Then,

$$Q(\Delta_{\psi}) = \sum_{\sigma \in \Sigma(r)} Q(\Delta_{\psi}(\sigma))$$

*Proof.* By the lemma,

$$q(\Delta_{\psi}) = \sum_{\sigma \in K(\Sigma, |\Sigma|)} (-1)^{r - \dim \sigma} q(\Delta_{\psi}(\sigma))$$

We need to show that if dim  $\sigma < r$  then  $\sigma^{\vee}$  is not strongly convex then  $Q(\sigma^{\vee}) = 0$  and thus, since  $\Delta_{\psi}(\sigma) = m + \sigma^{\vee}$  so  $Q(\Delta_{\psi}(\sigma)) = \chi^{m}Q(\sigma^{\vee}) = 0$ . Therefore,

$$Q(\Delta_{\psi}) = \sum_{\sigma \in \Sigma(r)} Q(\Delta_{\psi}(\sigma))$$

Corollary 12.6. For  $\psi = 0$  then,

$$Q(|\Sigma|^{\vee}) = \sum_{\sigma \in \Sigma(r)} Q(\sigma^{\vee})$$

In particular, if  $\Sigma$  is complete then  $|\Sigma|^{\vee} = 0$  thus,

$$Q(|\Sigma|^{\vee}) = 1 = \sum_{\sigma \in \Sigma(r)} Q(\sigma^{\vee})$$

### 12.3 Ishida's Theorem

Let  $A = \mathbb{Q}$  a toric variety above a field  $k, \Sigma$  a finite nonsingular fan in  $N_{\mathbb{R}}$ . Notation,

$$\Sigma[\rho] = \{ \sigma \in \Sigma \mid \sigma \supset \rho \}$$

**Definition:** Let  $\sigma$  be a nonsingular cone in  $\Sigma$  such that dim  $\sigma = r$ . Then consider the map  $x(\sigma, -) : \text{gen}(\sigma) \to \text{gen}(\sigma^{\vee})$  such that  $x(\sigma, a)(b) = \delta(a, b)$ .

**Remark 37.** Let  $\rho$  be a nonsingular cone in  $M_{\mathbb{R}}$  then,

$$Q(\rho) = \prod_{a \in \text{gen}(\rho)} \frac{1}{1 - \chi^a}$$
$$Q_0(\rho) = \prod_{a \in \text{gen}(\rho)} \frac{\chi^a}{1 - \chi^a}$$

Now consider the map  $\mathcal{E}: \mathbb{C} \otimes_{\mathbb{Z}} N \to \mathbb{C}^{\times} \otimes_{\mathbb{Z}} N$  via  $z \otimes m \mapsto \exp(-z) \otimes m$ . Then,

$$\mathcal{E}^*Q(\rho) = \prod_{a \in \rho \cap M} \frac{1}{1 - \exp -a}$$
$$\mathcal{E}^*Q_0(\rho) = \prod_{a \in \rho \cap M} \frac{1}{\exp -a - 1}$$

Remark 38.

$$\frac{1}{1 - \exp x} = \sum_{n=0}^{\infty} \frac{B_n}{n!} x^{n-1}$$

where  $B_n$  is the  $n^{\text{th}}$  Bernuli number.

**Definition:** Let  $\sigma \in \Sigma$  then define,

$$V(\gamma) = \operatorname{Im}((X_{\Sigma[\gamma]} \to X_{\Sigma}))$$

which is a closed subvariety.

**Theorem 12.7.** If  $\Sigma$  is a complete fan then,

$$\prod_{\sigma \in \Sigma(1)} \frac{V(\sigma)}{1 - \exp(-V(\sigma))} = \prod_{\sigma \in \Sigma(1)} \sum_{n=0}^{\infty} \frac{B_n}{n!} V(\sigma)^n$$

and,

$$\left[\prod_{\sigma \in \Sigma(1)} \frac{V(\sigma)}{1 - \exp(-V(\sigma))}\right]_r = 1$$

# 13 Vanishing of Cohomology

Let N be a lattice and  $\Delta \subset N \otimes_{\mathbb{Z}} \mathbb{R}$  be a fan whose cones are generated by lattice points i.e. are rational conces. Let  $M = N^{\vee}$  be the dual lattice. Then  $\mathbb{C}[M]$  is the character algebra. Then the dual cone is,

$$\sigma^{\vee} = \{ u \in M \otimes_{\mathbb{Z}} \mathbb{R} \mid \forall v \in \sigma : \langle u, v \rangle \ge 0 \}$$

Next, the semigroup algebra is,

$$A_{\sigma} = \mathbb{C}[M \cap \sigma^{\vee}]$$

and the open set  $X_{\sigma} = \operatorname{Spec}(A_{\sigma})$  with the torus  $T_N = \operatorname{Spec}(\mathbb{C}[M])$ . Then the toric variety  $X_{\Delta}$  is obtained by gluing these open sets  $X_{\sigma}$  for all  $\sigma \in \Delta$ .

To each  $\rho \in \Delta(1)$  we can associate a torus-invariant Weil divisor  $V(\rho) \subset X_{\Delta}$ .

**Proposition 13.1.** Consider a ray  $\rho \in \Delta(1)$  with minimal generator  $n_{\rho}$  in N then,

$$\operatorname{ord}_{V(\rho)}(\chi^u) = \langle u, n_\rho \rangle$$

*Proof.* If  $n_{\rho}$  is minimap then  $\mathbb{Z}[n_{\rho}]$  is a direct summand of N so  $n_{\rho}$  can be extended to a basis  $\{e_1, \ldots, e_n\}$  of N with  $e_1 = n_{\rho}$ . Take the dual basis  $\{e_1^*, \ldots, e_n^*\}$ . Then  $\rho^{\vee}$  is the half space defined by the line  $\rho$  such that the semi-group algebra can be written as,

$$A_{\rho} = \mathbb{C}[x_1, x_2^{\pm 1}, \cdots, x_n^{\pm 1}]$$

Then  $X_{\rho} = \mathbb{A}^{1}_{\mathbb{C}} \times \mathbb{G}^{n-1}_{m,\mathbb{C}}$ . Then  $V(\rho)$  is the closure of the orbit under T(N) of the distinguished point  $x_{\rho} \in X_{\rho}$  equal to  $(0,1,\ldots,1)$ . Thus,  $V(\rho) = \overline{\{x_{1}=0\}}$ . For a function  $f \in \mathbb{C}(x_{1},\ldots,x_{n})^{\times}$  then  $\operatorname{ord}(f)$  in the local ring  $\mathbb{C}[x_{1},\ldots,x_{n}]_{(x_{1})}$  of  $V(\rho)$ . Thus,

$$\operatorname{ord}(f) = v \iff f = x_1^v \frac{g}{h} \quad \text{where} \quad g, h \in \mathbb{C}[x_1, \cdots, x_n] \text{ are coprime to } x_1$$

In particular,  $\chi^u = x_1^{u_1} \cdots x_n^{u_n}$  and thus,

$$\operatorname{ord}\chi^u = u_1 = \langle u, e_1 \rangle = \langle u, n_\rho \rangle$$

**Proposition 13.2.** Let D be a Weil divisor which is  $T_N$ -stable. Consider the action of  $T_N$  on  $H^0(X, \mathcal{O}_X(D))$  by composition. Then  $H^0(X, \mathcal{O}_X(D))$  is  $T_N$ -invariant.

*Proof.* Let  $t \in T_N$  and  $f \in H^0(X, \mathcal{O}_X(D))$ . We need to show that  $t \cdot f \in H^0(X, \mathcal{O}_X(D))$ . It suffices to prove this holds for each affine open  $X_{\sigma}$ . Let,

$$D|_{X_{\sigma}} = \sum_{\rho \in \sigma(1)} -a_{\rho}V(\rho)$$

We need to show that  $t \cdot f$  has vanishing order at least  $a_{\rho}$  on  $V(\rho)$ . Choose  $u \in M$  such that  $\operatorname{ord}_{V(\rho)}(f) = \frac{1}{\ell} \langle u, n_{\rho} \rangle$  for some  $\ell \in \mathbb{Z}$  because  $N_{\mathbb{Q}}$  and  $M_{\mathbb{Q}}$  are dual as vectorspaces. Then consider the function  $\chi^{-u} f^{\ell}$  which has no zeros nor poles on  $V(\rho)$ . Thus  $t \cdot \chi^{-u} f^{\ell}$  has no zeros nor poles on  $V(\rho)$  becaue the action of t is an automorphism of  $V(\rho)$ . Thus  $\operatorname{ord}_{V(\rho)}(f \cdot \chi^{-u} f^{\ell}) = 0$ . Furthermore,  $t \cdot \chi^{u}(p) = \chi^{u}(t \cdot p) = \chi^{u}(t)\chi^{u}(p)$  which is a scalar multiple and thus has the same order of vanishing on any divisor. Thus,

$$\ell \operatorname{ord}_{V(\rho)}(t \cdot f) = \operatorname{ord}_{V(\rho)}(t \cdot f^{\ell}) = \operatorname{ord}_{V(\rho)}(t \cdot (\chi^{u} \chi^{-u} f^{\ell}))$$

$$= \operatorname{ord}_{V(\rho)}(t \cdot \chi^{u}) + \operatorname{ord}_{V(\rho)}(t \cdot \chi^{-u} f^{\ell}) = \operatorname{ord}_{V(\rho)}(t \cdot \chi^{u})$$

$$= \operatorname{ord}_{V(\rho)}(\chi^{u}) = \langle u, n_{\rho} \rangle = \ell \operatorname{ord}_{V(\rho)}(f)$$

Thus  $\operatorname{ord}_{V(\rho)}(t \cdot f) = \operatorname{ord}_{V(\rho)}(f)$  proving the claim.

**Proposition 13.3.** Let D be a T(N)-invariant Weil divisor on  $X = X_{\Delta}$ . Then we may decompose the  $T_N$ -module  $H^0(X, \mathcal{O}_X(D))$  as,

$$H^0(X, \mathcal{O}_X(D)) = \bigoplus_{\chi^u \in H^0(X, \mathcal{O}_X(D))} \mathbb{C} \cdot \chi^u$$

We write formally that  $\mathbb{C} \cdot \chi^u = H^0(X, \mathcal{O}_X(D))_u$ .

**Proposition 13.4.** A Cartier divisor D which is invariant by T(N) is equal to  $\operatorname{div}(\chi^{-u(\sigma)})$  on each  $X_{\sigma}$  where  $u(\sigma)$  is well-defined up to  $M(\sigma) = \sigma^{\perp} \cap M$ .

**Definition:** A support function is a continuous map  $\psi: |\Delta| \to \mathbb{R}$  such that  $\psi$  takes rational values on lattice points and is linear on each cone of  $\Delta$ . Let D be a  $T_N$ -invariant Cartier divisor then there is a collection  $u(\sigma) \in M/M(\sigma)$  then we get a collection of  $\psi_{\sigma} = \langle u(\sigma), - \rangle$  defined on  $|\sigma| \subset |\Delta|$  (well-defined because  $M(\sigma) \subset \sigma^{\perp}$ ) which agree on the overlaps and thus glue. Indeed, consider the characters  $\chi^{-u(\sigma)}|_{X_{\tau}}$  and  $\chi_{X_{\sigma}}^{-u(\tau)}$  and they conicde up to  $M(\sigma \cap \tau) = M(\sigma) = M(\tau)$  thus on  $\sigma \cap \tau$  we have  $\langle u(\sigma), - \rangle = \langle u(\tau), - \rangle$ . Thus these glue to the support function  $\psi_D$ . This correspondence is a bijection. The inverse take,

$$\psi \mapsto \sum_{\rho \in \Delta(1)} -\psi(n_{\rho})V(\rho)$$

Corollary 13.5.  $\chi^u \in H^0(X, \mathcal{O}_X(D)) \iff \langle u, n_\rho \rangle \geq \psi_D(n_\rho)$ 

**Definition:** Take a fixed divisor D. For each  $u \in M$  then,

$$Z_D(u) = \{ v \in |\Delta| \mid \langle u, v \rangle \ge \psi_D(v) \}$$

is a closed cone equal to a hull of cones in  $\Delta$ .

Corollary 13.6.  $\chi^u \in H^0(X, \mathcal{O}_X(D)) \iff Z_D(u) = |\Delta|$ 

**Example 13.7.** If  $\Delta = \sigma$  then

$$H^0(X_{\sigma}, \mathcal{O}_{X_{\sigma}}(D)) = \bigoplus \mathbb{C} \cdot \chi^u$$

where u is such that  $Z_D(u) \cap |\sigma| = |\sigma|$ .

**Definition:** Let M be a topological space and  $\mathcal{F}$  a sheaf on M. For  $Z \subset M$  define the sections over U of  $\mathcal{F}$  with support in Z is,

$$H_Z^0(U,\mathcal{F}) = \{ s \in H^0(U,\mathcal{F}) \mid \forall V \subset U \cap (M \setminus Z) : s|_V = 0 \}$$

If  $Z \subset M$  is closed then  $H_Z^0(U, \mathcal{F}) = \ker (H^0(U, \mathcal{F}) \to H^0(U \setminus Z, \mathcal{F}))$ .

**Example 13.8.** f  $M = |\Delta|$  and  $\mathcal{F} = \underline{\mathbb{C}}$  then either,

- 1.  $Z \subseteq |\Delta|$  then let  $s \in H^0(|\Delta|, \underline{\mathbb{C}})$  but since  $|\Delta|$  is path-connected (since it is star shaped at zero) so  $H^0(|\Delta|, \underline{\mathbb{C}}) = \mathbb{C}$ . Thus if  $s|_V = 0$  then s = 0 for any  $V \neq \emptyset$ . Thus  $H_Z^0(|\Delta|, \underline{\mathbb{C}}) = 0$ .
- 2.  $Z = |\Delta|$  in which case  $H_Z^0(|\Delta|, \underline{\mathbb{C}}) = H^0(|\Delta|, \underline{\mathbb{C}}) = \mathbb{C}$ .

**Proposition 13.9.**  $H^0(X, \mathcal{O}_X(D))_u = H^0_{Z_D(u)}(|\Delta|, \underline{\mathbb{C}})$ 

**Definition:** Consider the functor  $H_Z^0(U, -)$  which has  $p^{\text{th}}$ -derived functors  $H_Z^p(U, -)$  called cohomology with support in Z.

**Theorem 13.10.** There is a canonical decomposition,

$$H^p(X, \mathcal{O}_X(D)) = \bigoplus_{u \in M} H^p_{Z_D(u)}(|\Delta|, \underline{\mathbb{C}})$$

we notate,  $H^p(X, \mathcal{O}_X(D))_u = H^p_{Z(u)}(|\Delta|, \underline{\mathbb{C}}).$ 

Corollary 13.11. If  $|\psi|$  is concave then  $H^i(X, \mathcal{O}_X(D)) = 0$  for all i > 0.

Proof. The set  $|\Delta| \setminus Z_D(u) = \{v \in |\Delta| \mid \langle u, v \rangle < \psi_D(v)\}$  is convex because  $\langle u, - \rangle$  is convex and  $-\psi$  is convex. Now apply the long exact sequence noting that  $H^i(|\Delta|, \underline{\mathbb{C}}) = 0$  and  $H^i(|\Delta| \setminus Z_D(u), \underline{\mathbb{C}}) = 0$  for i > 0 since both are contractible and  $H^1_Z(|\Delta|, \underline{\mathbb{C}}) = 0$  since the map  $H^0(|\Delta|, \underline{\mathbb{C}}) \to H^0(|\Delta| \setminus Z, \underline{\mathbb{C}})$  is surjective since both sets are connected.

**Proposition 13.12.**  $\mathcal{O}_X(D)$  is generated by global sections iff  $\psi_D$  is concave and  $\mathcal{O}_X(D)$  is ample iff  $\psi_D$  is strictly concave

**Theorem 13.13** (Demazure). If  $\mathcal{O}_X(D)$  is generated by global sections (in particular ample) then,

$$\forall p > 0 : H^p(X, \mathcal{O}_X(D)) = 0$$

### 14 Cohen's Structure Theorem

Remark 39. All rings are commutative and with identity.

#### 14.1 Topological Rings

**Definition:** We say a ring A is noetherian if it the satisfies one of the following equivalent conditions,

- 1. any ascending chain of ideals  $I_0 \subset I_1 \subset I_2 \subset \cdots$  must satbiliize
- 2. every nonempty set of ideals has a maximal element (w.r.t. inclusion)
- 3. every ideal of A is finitely generated as an A-module

**Theorem 14.1** (Hilber). If A is noetherian then A[x] is noetherian.

**Definition:** A ring A is local if it has a unique maximal ideal  $\mathfrak{m} \subset A$ . We denote the local ring by  $(A, \mathfrak{m}, \kappa)$  where  $\kappa = A/\mathfrak{m}$ .

**Theorem 14.2** (Krull Intersection). Let A be noetherian with an ideal  $I \subset A$  and M an A-module. Then consider the submodule,

$$N = \bigcap_{n=0}^{\infty} I^n \cdot M$$

Then  $I \cdot N = N$ .

Corollary 14.3. If  $I \subset rad(A)$  then N = 0. Furthermore, in the case M = A and A is a domain then we find,

$$\bigcap_{n=0}^{\infty} I^n = (0)$$

for any proper ideal  $I \subset A$  by Nakayama.

**Lemma 14.4.** Let  $M = M_0 \supset M_1 \supset M_2 \supset M_3 \supset \cdots$  be a filtration. Then the sets  $\{x + M_n \mid n \in \mathbb{N} \mid x \in M\}$  form the basis for a topology on M.

Proof. Consider  $z \in (x + M_n) \cap (y + M_m)$  and  $r = \max\{n, m\}$ . Then  $z - x \in M_n$  and  $z - y \in M_m$ . Consider  $p \in (z + M_r)$  then  $p - z \in M_r \subset M_n$ ,  $M_m$  so  $(p - z) - (z - x) = p - x \in M_n$  and  $(p - z) - (z - y) \in M_m$  thus  $p \in (x + M_n) \cap (x + M_m)$ . Therefore,  $(z + M_r) \subset (x + M_n) \cap (y + M_m)$ . Furthermore,  $x \in (x + M_n)$  so the sets clearly cover M prving that they form a basis for a topology.

**Definition:** Let A be a ring and M an A-module. We set that a sequence  $(u_n)$  of M is Cauchy if  $\forall n \in \mathbb{N} : \exists N \in \mathbb{N} : \forall i, j > N : u_i - u_j \in M_n$ . We say that M is complete if every Cauchy sequence is convergent.

**Proposition 14.5.** The topology induced on M by a filtration is Haudorff iff,

$$\bigcap_{n=0}^{\infty} M_n = (0)$$

in which case we say the filtration is seperated.

**Remark 40.** Given a filtration  $M = M_0 \supset M_1 \supset M_2 \supset M_3 \supset \cdots$  then the function  $d': M \times M \to \mathbb{N}$  via  $d'(x,y) = \operatorname{argmax} n \in \mathbb{N}(x-y \in M_n)$  defines a pseudo-ultrametric on M via  $d(x,y) = 1/N^{d'(x,y)}$  and we set,

$$d(x,y) = 0 \iff x - y \in \bigcap_{n \in \mathbb{N}} M_n$$

whose metric topology coincides with the topology defined above.

- 1. d(x,y) = d(y,x) since  $x y \in M_n \iff y x \in M_n$
- 2. Let d(x, z) = n and d'(z, y) = m then  $(x-z) \in M_n$  and  $(z, y) \in M_m$ . Therefore,  $(x-z) (z-y) = x y \in M_{\min\{n,m\}}$  so  $d'(x,y) \ge \min\{n,m\}$  which implies that,

$$d(x,y) \le \max \{d(x,z), d(z,y)\}$$

**Remark 41.** This pseudometric is a metric iff the filtration is separated.

**Definition:** Given a filtration and the induced topology, the completion of M with respect to this completion is,

$$\hat{M} = \varprojlim_{n \in \mathbb{N}} M / M_n$$

with respect to projection maps  $M/M_{n+1} \to M/M_n$ . Giving each quotient  $M/M_n$  the discrete topology (which is the topology induced by the filtration) makes  $\hat{M}$  a topological A-module whose topology agrees with the completion of M with respect to the above metric topology. Furthermore, there is a continuous map  $M \to \hat{M}$  with kernel  $\bigcap M_n$ .

**Definition:** The completion of A with respect to I is,

$$\hat{A}^I = \varprojlim_{n \in \mathbb{N}} A/I^n$$

This is the completion of A with respect to the I-adic topology defined by the filtration  $A \supset I \supset I^2 \supset I^3 \supset \cdots$ .

**Example 14.6.** We may complete the following rings,

- 1. take  $A = k[x_1, \dots, x_n]$  then with respect to  $I = (x_1, \dots, x_n)$  the completion is  $\hat{A}^I = k[[x_1, \dots, x_n]]$
- 2. take  $A = \mathbb{Z}$  then with respect to I = (p) the competion is  $\hat{A}^I = \mathbb{Z}_p$ .

#### 14.2 Power Series Rings

**Lemma 14.7.** Let A be a ring and  $a \in A[[X]]$ . Then  $a \in A[[X]]^{\times} \iff a_0 \in A^{\times}$ .

**Corollary 14.8.** The units of  $A[[X_1, \ldots, X_n]]$  are exactly those whose image in  $A = A[[X_1, \cdots, X_n]] \to A[X_1, \ldots, X_n]/(X_1, \ldots, X_n) = A$  is a unit.

Example 14.9. Power series does not preserve many nice properties,

- 1.  $\mathbb{Z}$  is a PID but  $\mathbb{Z}[[x]]$  is not a PID since (2, x) is not principle.
- 2.  $\mathbb{Z}$  is euclidean but  $\mathbb{Z}[[x]]$  is not euclidean (since it is not a PID).

#### 14.3 Field of Representatives

**Definition:** Let  $(A, \mathfrak{m}, \kappa)$  be a local ring. Then A is regular if dim  $A = \dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2$ .

Example 14.10. The following are regular local rings,

- 1. any field k since dim k=0 and  $\mathfrak{m}=0$  so dim<sub>k</sub>  $\mathfrak{m}/\mathfrak{m}^2=0$ .
- 2. any DVR R since dim R=1 and  $\mathfrak{m}=(\varpi)$  so  $\dim_{\kappa}(\varpi)/(\varpi^2)=\dim_{\kappa}A/(\varpi)=1$

**Definition:** A local ring  $(A, \mathfrak{m}, \kappa)$  is *equicharacteristic* if A and  $\kappa$  have the same characteristic otherwise it has *mixed characteristic*.

**Example 14.11.** The following local rings satisfy,

- 1. k is equicharacteristic since  $\kappa = k$
- 2.  $\mathbb{Z}_p$  has mixed characteristic since  $\kappa = \mathbb{F}_p$
- 3.  $k[[X_1, \ldots, X_n]]$  is equicharacteristic since  $\kappa = k$

**Definition:** Let  $(A, \mathfrak{m}, \kappa)$  be a local ring and  $\pi : A \to A/\mathfrak{m}$  the projection. Suppose that there exists a subring  $L \subset A$  s.t.  $\pi|_L : L \to A/\mathfrak{m}$  is an isomorphism then L is the field of representatives of A.

**Theorem 14.12** (Cohen 1). Let  $(A, \mathfrak{m}, \kappa)$  be a noetherian, complete, equicharacteristic, local ring then  $A \cong \kappa[[X_1, \ldots, X_n]]/I$  for some ideal I.

*Proof.* Since A is equicharacteristic, A is a  $\kappa$  algebra (PROVE THIS). Let  $\mathfrak{m} = (a_1, \ldots, a_n)$ . Consider the map  $\kappa[X_1, \ldots, X_N] \to A$  via  $X_i \mapsto a_i$  which is continuous since it preserves the valuation. Since A is complete this extends to a map on the completion  $\kappa[[X_1, \ldots, X_N]] \to A$ . I claim that this map is surjective proving the theorem.

**Theorem 14.13.** In the case above that A is regular then I = (0) so we have  $A \cong \kappa[[X_1, \ldots, X_n]]$  where  $n = \dim A$ .

Corollary 14.14. If A is noetherian, complete, equicharacteristic regular local ring, then A is a unique factorization domain.

**Theorem 14.15** (Cohen 2). Let  $(A, \mathfrak{m}, \kappa)$  be a noetherian, complete, local ring of dimension d. Then there exists a Cohen ring B s.t.  $A \cong B[[X_1, \dots, X_d]]/I$  for some ideal I. In particular, when A is equicharacteristic, then  $B = \kappa$ . If A is regular then  $A = B[[X_1, \dots, X_d]]$ .

### 15 Okunkov Bundles

**Remark 42.** Let X be a smooth projective variety of dimension d.

#### 15.1 Positivity

**Definition:** Let  $\mathcal{L} \to X$  be a line bundle. We say that,

- 1.  $\mathcal{L}$  is very ample if there exists a closed embedding  $\iota: X \hookrightarrow \mathbb{P}^N$  such that  $\mathcal{L} = \iota^* \mathcal{O}(1)$ .
- 2.  $\mathcal{L}$  is ample if  $\mathcal{L}^{\otimes n}$  is very ample for some  $n \in \mathbb{Z}^+$

We also say that a divisor D is (very) ample when  $\mathcal{O}_X(D)$  is (very) ample.

**Theorem 15.1.** A line bundle  $\mathcal{L} \to X$  is ample iff for every positive dimension subvariety  $V \subset X$  that  $V \cdot \mathcal{L}^{\otimes \dim V} = 0$ .

**Theorem 15.2.** A line bundle  $\mathcal{L} \to X$  is ample iff for every coherent sheaf  $\mathcal{F}$  on X there exists  $n \in \mathbb{Z}^+$  s.t.  $H^i(X, \mathcal{F} \times \mathcal{L}^{\otimes n}) = 0$  for all i > 0.

**Definition:** Two divisors  $D, D' \in \text{Div}X$  are numerically equivalent if for every curce  $C \subset X$  then  $D \cdot C = D' \cdot C$ . We say a divisor  $D \in \text{Div}X$  is nef (numerically effective) if  $C \cdot D \geq 0$  for each curve  $C \subset X$ . The Neron-Severi group of X is  $N^1(X) = \text{Div}X/\{\text{numverically trivial divisors}\}.$ 

**Definition:** A divisor D is big if there exists C > 0 such that  $h^0(X, \mathcal{O}_X(mD)) \ge C \cdot m^d$ .

**Theorem 15.3.** If D is big then there exists an ample divisor A and m > 0 and an effective divisor N such that  $mD \sim A + N$ . The bigness depends only on numerical equivalence class.

**Definition:** We define K-numverical equivalence classe  $N^1(X)_K = N^1(X) \times_{\mathbb{Z}} \mathbb{Q}$ . A K-divisor  $D \in \text{Div}(X)_K$  is big it it can be written as  $\sum a_i D_i$  for  $a_i > 0$  and  $D_i$  big integral divisor. Then  $\text{Big}(X) \subset N^!(X)_{\mathbb{R}}$  is the convx cone of all big  $\mathbb{R}$ -divisor classes on X. Furthermore, the effective cone,

$$\overline{\mathrm{Eff}}(X) \subset N^1(X)_{\mathbb{R}}$$

is the closure of cone spanned by the classes of effective  $\mathbb{R}$ -divisors.

Theorem 15.4.  $\operatorname{Big}(X) = (\overline{\operatorname{Eff}}(X))^{\circ}$ 

**Definition:** Let D be a divisor and  $\mathcal{L} = \mathcal{O}_X(D)$ . Then the volume of  $\mathcal{L}$  is,

$$\operatorname{Vol}_{X}(L) = \limsup_{m \to \infty} \frac{h^{0}(X, \mathcal{L}^{\otimes m})}{m^{d}/d!}$$

**Theorem 15.5.** Let D be a nef  $\mathbb{Q}$ -divisor. Then  $\operatorname{Vol}_X(D) = D^d$  in the sence of intersection number.

**Definition:** Let D be a divisor. A complete linear system |D| is the set of effective divisors linearly equivalent to D.

**Definition:** Let  $\mathcal{L}$  be a line bundle on X and  $s \in H^0(X, \mathcal{L}) \setminus \{0\}$ . The divisor of zeros  $D = (s)_0$  of s is defined as follows: on each open  $U \subset X$  such that  $\mathcal{L}|_U \cong \mathcal{O}_X|_U$  let  $(s)_0|_U = \operatorname{div}(s)$  viewing  $s|_U \in \mathcal{O}_X(U)$ .

**Proposition 15.6.** Furthermore, there is a bijection  $|D| \to (H^0(X, \mathcal{O}_X(D)) \setminus \{0\}) / \mathbb{C}^{\times}$  given by sending a section to its vanish divisor.

**Definition:** The base locus Bs(D) of a divisor D is defined as,

$$Bs(D) = \bigcap_{D_{\text{eff}} \in |D|} Supp(D_{\text{eff}})$$

The stable base locus if,

$$B(D) = \bigcap_{m \ge 1} Bs(mD)$$

The augmented base locus of a  $\mathbb{Q}$ -divisor is  $B_+(D) = B(D-A)$  is a sufficiently small ample  $\mathbb{Q}$ -divisor.

#### 15.2 Construction

**Definition:** An admissible flag  $Y_{\bullet}$  of X is a sequence  $X = Y_0 \supset Y_1 \supset \cdots \supset Y_d$  of irreducible closed subvarieties of X s.t.  $\operatorname{codim}_X(Y_i) = i$  and each i is smooth at the point  $Y_d$ .

**Definition:** Let X be a locally noetherian integral scheme,  $\mathcal{L} \to X$  a line bundle, and  $s \in H^0(X, \mathcal{L}) \setminus \{0\}$ . Let Z be a prime divisor on X (i.e. a integral irreducible closed subscheme). Then the order of vanishing of s along Z is  $\operatorname{ord}_{\mathcal{L},Z}(s) = \operatorname{ord}_{\mathcal{O}_{X,\eta}}(s/s_{\eta})$  where  $\eta \in Z$  is the generic point of Z and  $s_{\eta} \in \mathcal{L}_{\eta}$  generates it as a  $\mathcal{O}_{X,\eta}$ -module.

**Remark 43.** For any  $s \in H^0(X, \mathcal{O}_X(D)) \setminus \{0\}$  then  $s/s_{\eta} \in \mathcal{O}_{X,\eta}$  so  $\operatorname{ord}_Z(s) \geq 0$ .

**Definition:** Given an admissible flag and a divisor D we define a valuation  $\nu_{Y_{\bullet},D} = H^0(X, \mathcal{O}_X(D)) \setminus \{0\} \to \mathbb{Z}^d$  as follows. First let  $v_1(s) = \operatorname{ord}_{D,Y_1}(s)$ . Choosing a local equition f for  $Y_1$  in X we get a section  $\tilde{s}_1 = s/f^{v_1(s)} \in H^0(X, \mathcal{O}_X(D - v_1Y_1)) \setminus \{0\}$ . Then consider  $s_1 \in H^0(Y_1, \mathcal{O}_{Y_1}(D - v_1Y_1))$  and  $v_2(s) = \operatorname{ord}_{D,Y_2}(s_1)$ . Repeating this process we get a sequence  $v_1, v_2, \ldots, v_d$ .

**Remark 44.** Generally, for any integres  $a_1, \ldots, a_i \geq 0$  we let,

$$\mathcal{O}_{Y_i}(D - (a_1Y_1 + \dots + a_iY_i)) = \mathcal{O}_X(D)|_{Y_i} \otimes \mathcal{O}_X(-a_1Y_1)|_{Y_i} \otimes \dots \otimes \mathcal{O}_{Y_{i-1}}(-a_iY - i)$$

**Proposition 15.7.** The above valuation satisfies the properties,

- 1.  $v_{Y_{\bullet}}(s) \in \mathbb{Z}_{\geq 0}^d$
- 2. Ordering  $\mathbb{Z}^d$  lexicographically we have  $v_{Y_{\bullet}}(s_1 + s_2) \geq \min\{v_{Y_{\bullet}}(s_1), v_{Y_{\bullet}}(s_2)\}$
- 3. If nonvero  $s_1, s_2, s_3$  are linearly independent and  $s_i \neq cs_j$  (for  $i \neq j$ ) then then smallest two values of  $v_{Y_{\bullet}}(s_i)$  are equal.
- 4. For each  $s \in H^0(X, \mathcal{O}_X(D_1)) \setminus \{0\}$  and  $t \in H^0(X, \mathcal{O}_X(D_2)) \setminus \{0\}$  then,

$$v_{Y_{\bullet},D_1+D_2}(s\otimes t) = v_{Y_{\bullet},D_1}(s) + v_{Y_{\bullet},D_2}(t)$$

**Example 15.8.** Let  $X = P^d$  and  $Y_{\bullet}$  the flag defined as  $Y_i = \{X_0 = \cdots = X_i = 0\}$ . Then D is a degree m divisor implies that,

$$v_{Y_{\bullet}}(X_0^{a_1}\cdots X_d^{a_d})=(a_0,\ldots,a_d)$$

Thus,

$$v_{Y_{\bullet}}(\sum c_a X^a) = \min\{\{a \mid c_a \neq 0\}\}$$

**Lemma 15.9.** Let  $W \subset H^0(X, \mathcal{O}_X(D))$  be a subspace. Fix  $a = (a_1, \dots, a_d) \in \mathbb{Z}^d$ . Then let  $W_{\geq a} = \{s \in W \mid v_{Y_{\bullet}}(s) \geq a\}$  and likewise for  $W_{>a}$ . Then,

$$\dim(W_{\geq a}/W_{\geq a}) \leq 1$$

In particular, if W is finite dimensional then  $\#\left(\operatorname{Im}(W\setminus\{0\})\xrightarrow{\nu}\mathbb{Z}^d\right)$ .

**Definition:** The graded semigroup of D is the subsemigroup,

$$\Gamma_{Y_{\bullet}}(D) = \{(v_{Y_{\bullet}}(s), m) \mid s \in H^0(X, \mathcal{O}_X(mD) \text{ and } m \in \mathbb{Z}_{>0}\} \subset N^d \times \mathbb{N} = \mathbb{N}^{d+1}$$

Then let  $\Sigma(\Gamma_{Y_{\bullet}}(D))$  be the closed convex cone of  $\Gamma_{Y_{\bullet}}(D)$  in  $\mathbb{N}^{d+1} \otimes_{\mathbb{Z}} \mathbb{R} = \mathbb{R}^{d+1}_{\geq 0}$ .

**Definition:** The Okounkov body of D is is the conpact convex set,

$$\Delta_{Y_{\bullet}}(D) = \Sigma(\Gamma_{Y_{\bullet}}(D)) \cap (\mathbb{R}^d \times \{1\})$$

This is equivalent to the closed covex hull of,

$$\bigcup_{m=1}^{\infty} \frac{1}{m} \Gamma_{Y_{\bullet}}(D)_m \quad \text{where} \quad \Gamma_{Y_{\bullet}}(D)_m = \operatorname{Im}((H^0(X, \mathcal{O}_X(mD)) \setminus \{0\} \xrightarrow{v_{Y_{\bullet}}} \mathbb{Z}^d))$$

**Remark 45.** The Okounkov body  $\Delta(D)$  lies in the nongeative orthant of  $\mathbb{R}^d$ . For a fixed divisor D, for very "general" choices of  $Y_{\bullet}$  the the Okounkov bodies correspond.

**Proposition 15.10.** The body  $\Delta(D)$  is bounded and thus compact.

*Proof.* It suffices to show that  $\exists b > 0$  s.t.

$$\forall i : \forall m > 0 : \forall s \in H^0(X, \mathcal{O}_X(mD)) \setminus \{0\} : v_i(s) < mb$$

Fix an ample divisor H then  $Y \cdot H^{d-1} > 0$ . Thus, there exists  $b_1 > 0$  such that  $(D - b_1 Y_1) \cdot H^{d-1} < 0$ . Therefore,  $v_1(s) < mb_1$ . (READ THIS CLAIM)

**Example 15.11.** Let  $X = \mathbb{P}^d$  and D the hyperplane divisor and  $Y_{\bullet}$  as before. Then,

$$\Delta(D) = \Sigma \left( \bigcup_{m \ge 1} \left\{ \frac{1}{m} (a_1, \dots, a_d) \mid a_i \ge 0, a_1 + \dots + a_d = m \right\} \right)$$
$$= \left\{ (\xi_1, \dots, \xi_d) \in \mathbb{R}^d \mid \xi_i \ge 0, \xi_1 + \dots + \xi_d \le 1 \right\}$$

so  $\Delta(D) = \Delta^d$ , the standard d-simplex.

#### 15.3 Properties

Let  $\Gamma \subset \mathbb{N}^{d+1}$  be a semigroup and  $\Sigma = \Sigma(\Gamma)$  the closed cone then

#### 16 Okounkov Bodies in the Toric Case

#### 16.1 Review

We fix d-dimensional lattice  $N \cong \mathbb{Z}^d$  and let  $\Sigma$  be a fan in  $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$ . That is a set  $\Sigma$  such that,

- 1. each  $\sigma \in \Sigma$  is a strongly convex  $(\sigma \cap (-\sigma) = \{0\})$  rational polyhedral cone in  $N_{\mathbb{R}}$
- 2. if  $\tau \subset \sigma \in \Sigma$  is a face then  $\tau \in \Sigma$
- 3.  $\forall \sigma, \tau \in \Sigma$  their intersection  $\sigma \cap \tau \in \Sigma$  and is a shared face of both  $\sigma$  and  $\tau$ .

Then set  $M = N^{\vee} = \operatorname{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$ .

Recall the following notation,

$$\Sigma(k) = \{ \sigma \in \Sigma \mid \dim \sigma = k \}$$

and the affine open sets,

$$U_{\sigma} = \operatorname{Spec}\left(\mathbb{C}[\sigma^{\vee} \cap M]\right)$$

glue to form the toric variety  $X_{\Sigma}$  with the torus  $T(N) = N \otimes_{\mathbb{Z}} \mathbb{C}^{\times} \subset X_{\Sigma}$  corresponding to the open set  $U_0 = \operatorname{Spec}(\mathbb{C}[M])$ .

Recall that there is a correspondence between cones  $\sigma \in \Sigma$  and T-orbits  $O \subset X_{\Sigma}$  such that,

- 1.  $\sigma \subset \tau$  iff  $\overline{O_{\tau}} \subset \overline{O_{\sigma}}$
- 2.  $\dim \sigma + \dim O_{\sigma} = d$

Furthermore, taking the closure  $\sigma \mapsto V(\sigma) = \overline{O_{\sigma}}$  gives a correspondence between cones of dimension i and closed T(N)-invariant codimension i, subvarieties (i.e. toric subvarieties of codimension i). For  $\sigma \in \Sigma(1)$  then  $D_{\sigma} = V(\sigma)$  gives the set of T(N)-invariant prime divisors on  $X_{\Sigma}$ .

**Theorem 16.1.** The following hold,

- 1.  $X_{\Sigma}$  is normal and Cohen-Macaulay
- 2.  $X_{\Sigma}$  is complete (i.e. proper) iff  $\Sigma$  is complete i.e.  $|\Sigma| = N_{\mathbb{R}}$
- 3.  $X_{\Sigma}$  is smooth iff  $\Sigma$  is smooth i.e. each cone  $\sigma \in \Sigma$  is has gerators which may be extended to

**Remark 46.** Recall the relationships between various notions of divisors, line bundles, and support funtions.

**Definition:** Let X be any scheme. Then a  $Cartier\ divisor$  on X is a section of the quotient,  $\xi \in H^0(X, \mathcal{K}_X^\times/\mathcal{O}_X^\times)$  which is a set of pairs  $\{(U_i, f_i)\}$  where  $U_i$  cover X and  $f_i \in \mathcal{K}_X(U_i)$  s.t.  $f_i/f_j \in \mathcal{O}_X(U_i \cap U_j)^\times$ . The epimorphism of sheaves  $K_X^\times \to \mathcal{K}_X^\times/\mathcal{O}_X^\times$  defines the Cartier class group as the cokernel on global sections i.e. Cartier divisors modulo global rational functions,

$$H^0(X, \mathcal{K}_X^{\times}) \longrightarrow H^0(X, \mathcal{K}_X^{\times}/\mathcal{O}_X^{\times}) \longrightarrow \operatorname{CaCl}(X) \longrightarrow 0$$

**Proposition 16.2.** There is an injective homomorphism  $CaCl(X) \to Pic(X)$  wich can be described by sedning a Cartier divisor  $D = \{(U_i, f_i)\} \mapsto \mathcal{O}_X(D)$  the invertible subsheaf of  $\mathcal{K}_X$  generated on  $U_i$  by  $f_i^{-1}$  i.e.

$$\mathcal{O}_X|_{U_i} \xrightarrow{f_i^{-1}} \mathcal{O}_X(D)|_{U_i}$$

is an isomorphism. This is well-defined because  $f_i/f_j$  is a unit on  $U_i \cap U_j$  so they generate the same sheaf. When X is integral,  $\operatorname{CaCl}(X) \to \operatorname{Pic}(X)$  is an isomorphism.

**Definition:** Let X be an integral noetherian scheme. Then a *prime divisor* on X is an integral closed subscheme  $Y \subset X$  of codimension 1 and a *Weil divisor* is a finite formal sum of prime divisors,

$$D = \sum_{Y \subset X} n_Y Y$$

Principal divisors correspond to rational functions  $f \in \operatorname{Rat}(X)^{\times}$  where we set,

$$\operatorname{div}(f) = \sum_{Y \subset X} \operatorname{ord}_Y(f) Y$$

The map  $\operatorname{Rat}(X)^{\times} \to \operatorname{Div}(X)$  defines the Weil class group as the cokernel i.e. divisors modulo principal divisors,

$$\operatorname{Rat}(X)^{\times} \longrightarrow \operatorname{Div}(X) \longrightarrow \operatorname{Cl}(X) \longrightarrow 0$$

**Proposition 16.3.** Let X be an integral noetherian scheme. There is a homomorphism  $Ca(X) \to Div(X)$  descending to  $CaCl(X) \to Cl(X)$  given by mapping,

$$\{(U_i, f_i)\} \mapsto \sum_{Y \subset X} \frac{1}{\# \{U_i \cap Y \neq \varnothing\}} \sum_{U_i \cap Y \neq \varnothing} \operatorname{ord}_Y(f_i) Y$$

This is well-defined because  $f_i/f_j \in \mathcal{O}_X^{\times}(U_i \cap U_j)$  so  $\operatorname{ord}_Y(f_i) = \operatorname{ord}_Y(f_j)$  since they differ by a unit.

If X is locally factorial (in particular if X is smooth) then this map is an isomorphism.

**Proposition 16.4.** Given a Weil divisor D there is a corresponding reflexive sheaf  $\mathcal{O}_X(D)$  via,

$$\mathcal{O}_X(D)(U) = \{ f \in \text{Rat}(X) \mid (D + \text{div}(f))|_U \ge 0 \}$$

Conversely, given a line bundle  $\mathcal{L}$  we may assign a Weil divisor  $c_1(\mathcal{L})$  to it via,

$$c_1(\mathcal{L}) = \sum_{Y \subset X} \operatorname{ord}_{\mathcal{L},Y}(s) Y$$

for some nonzero meromorphic section s of  $\mathcal{L}$ . This is independent of the choice of section s.

**Definition:** A support function is a continous function  $\psi : |\Sigma| \to \mathbb{R}$  such that on each cone  $\sigma \in \Sigma$  the restriction  $\psi|_{\sigma}(x) = \langle m_{\sigma}, x \rangle$  is linear. A global support function is a function of the form  $\langle m, - \rangle$  for a global choice of  $m \in M$ . We define the Picard group of the fan to be the quotient by global support functions  $\operatorname{Pic}(\Sigma) = SF(\Sigma)/M$ .

**Proposition 16.5.** On a toric variety  $X_{\Sigma}$ , there is a correspondence between T(N)invariant Cartier divisors D and support functions  $\psi_D$ . Given by,

$$D \mapsto \psi_D$$
 such that  $\psi|_{\sigma} = \langle u(\sigma), - \rangle$  where  $D|_{U_{\sigma}} = \operatorname{div}(\chi^{-u(\sigma)})$ 

and

$$\psi \mapsto \{(U_{\sigma}, \chi^{-m_{\sigma}}) \mid \sigma \in \Sigma\}$$

We may furthermore assign a Weil divisor to  $\psi$  via the map  $Ca(X) \to Div(X)$ ,

$$\psi \mapsto \sum_{\rho \in \Sigma(1)} \operatorname{ord}_Y(\chi^{-m_\rho}) V(\rho) = \sum_{\rho \in \Sigma(1)} -\langle m_\rho, n_\rho \rangle V(\rho) = \sum_{\rho \in \Sigma(1)} -\psi(n_\rho) V(\rho)$$

where we recall that  $\Sigma(1)$  corresponds to the set of T(N)-invariant prime divisors.

Remark 47. The scheme  $X_{\Sigma}$  is a variety so, in particular, it is noetherian and integral so Weil divisors are defined and  $\operatorname{CaCl}(X_{\Sigma}) \xrightarrow{\sim} \operatorname{Pic}(X_{\Sigma})$  is an isomorphism. However, unless  $X_{\Sigma}$  is locally factorial (in particular when  $X_{\Sigma}$  is not smooth) then the canonical map  $\operatorname{Ca}(X_{\Sigma}) \to \operatorname{Div}(X_{\Sigma})$  may not be surjective i.e. they can be Weil divisors which do not correspond to a Cartier divisor and T(N)-invariant Weil divisors which do not correspond to a support function.

**Remark 48.** Recall the following properties of sections of toric line bundles which we will make repeated use of in the following sections.

**Proposition 16.6.** Consider a ray  $\rho \in \Sigma(1)$  with minimal generator  $n_{\rho}$  in N then,

$$\operatorname{ord}_{V(\rho)}(\chi^u) = \langle u, n_\rho \rangle$$

**Proposition 16.7.** Let D be a T(N)-invariant Weil divisor on  $X = X_{\Sigma}$ . Then we may decompose the T(N)-module  $H^0(X, \mathcal{O}_X(D))$  as,

$$H^0(X, \mathcal{O}_X(D)) = \bigoplus_{\chi^u \in H^0(X, \mathcal{O}_X(D))} \mathbb{C} \cdot \chi^u$$

We write formally that  $\mathbb{C} \cdot \chi^u = H^0(X, \mathcal{O}_X(D))_u$ .

**Proposition 16.8.** 
$$\chi^u \in H^0(X, \mathcal{O}_X(D)) \iff \langle u, n_\rho \rangle \geq \psi_D(n_\rho)$$
 for each  $\rho \in \Sigma(1)$ 

*Proof.* The chacters  $\chi^u$  are invertible rational functions  $\chi^u \in \operatorname{Rat}(X_{\Sigma})^{\times}$ . By definition  $\chi^u \in H^0(X, \mathcal{O}_X(D)) \iff \operatorname{div}(\chi^u) + D \geq 0$ . However, by above,

$$\operatorname{div}(\chi^u) = \sum_{\rho \in \Sigma(1)} \langle u, n_\rho \rangle V(\rho)$$

so by the definition of  $\psi_D$  we have,

$$\operatorname{div}(\chi^{u}) + D = \sum_{\rho \in \Sigma(1)} \langle u, n_{\rho} \rangle V(\rho) + \sum_{\rho \in \Sigma(1)} -\psi_{D}(n_{\rho}) V(\rho) \ge 0 \iff \langle u, n_{\rho} \rangle \ge \psi_{D}(n_{\rho})$$

# 16.2 Construction of the Rational Polytope Corresponding to a Toric Divisor

**Definition:** Let  $X_{\Sigma}$  be a toric variety and D a T(N)-invariant divisor on  $X_{\Sigma}$ . Then we construct the set,

$$P_D = \{ x \in M_{\mathbb{R}} \mid \forall \rho \in \Sigma(1) : \langle x, n_{\rho} \rangle \ge \psi_D(n_{\rho}) \} = \bigcap_{\rho \in \Sigma(1)} H^+(n_{\rho}, \psi_D(n_{\rho}))$$

Since this set is a finite intersection of integral halfspaces, it is clearly a rational polyhedron.

**Proposition 16.9.** If  $X_{\Sigma}$  is complete then  $P_D$  is bounded and thus a rational polytope.

*Proof.*  $X_{\Sigma}$  is complete exactly when  $|\Sigma| = N_{\mathbb{R}}$  in which case,

$$\operatorname{Cone}(\{n_{\rho} \mid \rho \in \Sigma(1)\}) = N_{\mathbb{R}}$$

Therefore, the vectors  $n_{\rho}$  span N with positive coefficients implies that  $P_D$  is bounded.

**Proposition 16.10.** For a T(N)-invariant divisor, the polytopes  $P_D$  satisfy the following properties,

- 1.  $P_{D+\operatorname{div}(\chi^u)} = P u$
- $P_{nD} = nP_D$
- 3.  $\dim_{\mathbb{C}} H^0(X, \mathcal{O}_X(D)) = \# (P \cap M)$

*Proof.* We know that  $\psi_D|_{\rho} = \langle u(\rho), - \rangle$  where  $u(\rho)$  is such that  $D|_{U(\rho)} = \operatorname{div}(\chi^{-u(\rho)})$ . Let  $D' = D + \operatorname{div}(\chi^u)$ . Then,

$$D'|_{U(\rho)} = \operatorname{div}(\chi^{-u(\rho)}) + \operatorname{div}(\chi^u) = \operatorname{div}(\chi^{-u(\rho)+u})$$

so  $u'(\sigma) = u(\sigma) - u$  meaning that  $\psi_{D'}(n_{\rho}) = \langle u(\sigma) - u, n_{\rho} \rangle = \psi_{D}(n_{\rho}) - \langle u, n_{\rho} \rangle$ . Therefore,

$$x \in P_{D'} \iff \forall \rho \in \Sigma(1) : \langle x, n_{\rho} \rangle \ge \psi_{D'}(n_{\rho}) = \psi_{D}(n_{\rho}) - \langle u, n_{\rho} \rangle$$
  
$$\iff \forall \rho \in \Sigma(1) : \langle x + u, n_{\rho} \rangle \ge \psi_{D}(n_{\rho}) \iff x + u \in P_{D}$$

Next, consider  $\psi_{nD} = n\psi_D$  since on each cone  $\psi_D|_{U_\sigma} = \langle nu(\sigma), -\rangle = n \langle n(\sigma, -) \rangle$  where  $D|_{U_\sigma} = \text{div}(\chi^{-nu(\sigma)})$ . Therefore,

$$x \in P_{nD} \iff \forall \rho \in \Sigma(1) : \langle x, n_{\rho} \rangle \ge n \psi_D(n_{\rho})$$
  
$$\iff \forall \rho \in \Sigma(1) : \langle x/n, n_{\rho} \rangle \ge \psi_D(n_{\rho}) \iff x/n \in P_D \iff x \in nP_D$$

Now finally, we use the decomposition,

$$H^0(X, \mathcal{O}_X(D)) = \bigoplus_{\chi^u \in H^0(X, \mathcal{O}_X(D))} \mathbb{C} \cdot \chi^u$$

to show that,

$$\dim_{\mathbb{C}} H^0(X, \mathcal{O}_X(D)) = \#\{u \in M \mid \chi^u \in H^0(X, \mathcal{O}_X(D))\}\$$

However, we have shown that,

$$\chi^u \in H^0(X, \mathcal{O}_X(D)) \iff \forall \rho \in \Sigma(1) : \langle u, n_\rho \rangle \ge \psi_D(n_\rho) \iff u \in P_D$$

Therefore,

$$\dim_{\mathbb{C}} H^0(X, \mathcal{O}_X(D)) = \#\{u \in M \mid u \in P_D\} = \#(P \cap M)$$

#### 16.3 Identification with the Okounkov Body

**Remark 49.** To consider the Okounkov body we need to fix a abmissible flag on  $X_{\Sigma}$ . In this section, we assume that  $X_{\Sigma}$  is smooth.

**Definition:** Because  $X_{\Sigma}$  is smooth, we can fix some ordering of  $\rho \in \Sigma(1)$  i.e. order the T(N)-invariant prime divsors  $D_i = V(\rho_i)$  such that the minimal generators  $n_i = n_{\rho_i}$  for  $i = 1, \ldots, d$  form a bais of N. Then the cones  $\rho_i$  generate a maximal cone  $\sigma_m$ . Then we define a flag,

$$Y_i = D_1 \cap \cdots \cap D_i$$
  $X = Y_0 \supset Y_1 \supset \cdots \supset Y_d$ 

Furthermore, the basis  $n_1, \ldots, n_d$  defines an isomorphism  $N \cong \mathbb{Z}^d$  and a dual isomorphism  $\phi: M \to \mathbb{Z}^d$  given by  $m \mapsto (\langle m, n_i \rangle)_i$ .

**Theorem 16.11.** Let  $X_{\Sigma}$  be a smooth projective toric variety and let  $\mathcal{L} \to X$  be a big line bundle on X. Let D be the unique T(N)-invariant divisor D on X such that  $\mathcal{L} \cong \mathcal{O}_{X_{\Sigma}}(D)$  and  $D|_{U_{\sigma_m}} = 0$ . Then,

$$\Delta_{Y_{\bullet}}(D) = \phi(P_D)$$

*Proof.* Recall that given a section of a line bundle  $s \in \Gamma(X, \mathcal{L})$  there is a divisor of zero  $(s)_0$  defined as follows. Let  $U_i$  be a cover of X such that  $\mathcal{O}_X|_{U_i} \xrightarrow{f_i} \mathcal{L}|_{U_i}$  is an isomorphism. Then  $\{(U_i, s|_{U_i}/f_i)\}$  is the Cartier divisor  $(s)_0$ . Now this Cartier divisor defines the Weil divisor,

$$(s)_0 = \sum_{Y \subset X_{\Sigma}} \operatorname{ord}_Y(s|_{U_i}/f_i) Y$$

Then  $v_{Y_{\bullet}}(s) = (a_1, \ldots, a_d)$  where  $a_i = (s)_0|_{D_i}$ . In our case,  $\mathcal{L} = \mathcal{O}_{X_{\Sigma}}(D)$  then  $s \in \Gamma(X_{\Sigma}, \mathcal{O}_{X_{\Sigma}}(D)) \subset \operatorname{Rat}(X_{\Sigma})$ . Now,

$$(s)_0 = \sum_{Y \subset X_{\Sigma}} \operatorname{ord}_Y(s/f_i) Y = \sum_{Y \subset X_{\Sigma}} \operatorname{ord}_Y(s) Y - \sum_{Y \subset X_{\Sigma}} \operatorname{ord}_Y(f_i) Y = \operatorname{div}(f) + D$$

since the bundle  $\mathcal{O}_{X_{\Sigma}}(D)$  is generated locally by  $f_i$  where  $D = \{(U_i, f_i^{-1})\}$ . In particular, consider the T(N)-invariant sections  $\chi^u \in H^0(X_{\Sigma}, \mathcal{O}_{X_{\Sigma}}(D))$  then,

$$(\chi^u)_0 = D + \sum_{\rho \in \Sigma(1)} \langle u, n_\rho \rangle D_\rho$$

However,  $D|_{U_{\sigma_m}} = 0$  and  $D_i \subset U_{\sigma}$  for i = 1, ..., d implying that,

$$v_{Y_{\bullet}}(\chi^u) = (\langle u, n_i \rangle)_i = \phi(u)$$

Now recall that  $\chi^u \in H^0(X_{\Sigma}, \mathcal{O}_{X_{\Sigma}}(mD)) \iff u \in mP_D \cap M$  implying that,

$$\Gamma(D)_m = \operatorname{Im}((H^0(X_{\Sigma}, \mathcal{O}_{X_{\Sigma}}(mD)) \setminus \{0\} \xrightarrow{v_{Y_{\bullet}}} \mathbb{Z}^d)) \supset \phi(mP_D \cap M)$$

However, because  $\phi$  is injective and  $mP_D \cap M$  contains precisely  $h^0(X_{\Sigma}, \mathcal{O}_{X_{\Sigma}}(mD))$  lattice points, this inclusion is an equality,

$$\Gamma(D)_m = \phi(mP_D \cap M)$$

Therefore,

$$\Delta_{Y_{\bullet}}(D) = \Sigma \left( \bigcup_{m \ge 1} \frac{1}{m} \Gamma(D)_m \right) = \Sigma \left( \bigcup_{m \ge 1} \frac{1}{m} \phi(mP_D \cap M) \right)$$

Let m be any positive integer such that  $mP_D$  has all its vertices on lattice points in which case  $\phi_{\mathbb{R}}(mP_D)$  is also a lattice polytope because  $\phi_{\mathbb{R}}: M_{\mathbb{R}} \to \mathbb{R}^d$  takes lattice points to integer points. Thus the convex hull of  $\phi(mP_D \cap M)$  is  $\phi_{\mathbb{R}}(mP_D) = m\phi_{\mathbb{R}}(P_D)$  meaning that  $\phi_{\mathbb{R}}(P_D)$  is the convex hull of the subset  $\frac{1}{m}\phi(mP_D \cap M)$ . Furthermore, for any m we have,

$$mP_D \cap M \subset mP_D \implies \frac{1}{m}\phi(mP_D \cap M) \subset \phi_{\mathbb{R}}(P_D)$$

Therefore, since it is the convex hull of a subset of the points and contains all of them,  $\phi_{\mathbb{R}}(P_D)$  is the smallest closed convex set containing,

$$\bigcup_{m\geq 1} \frac{1}{m} \phi(mP_D \cap M)$$

meaning that,

$$\Delta_{Y_{\bullet}}(D) = \phi(P_D)$$

Remark 50. We can think of the condition  $D|_{U_{\sigma_m}} = 0$  as centering the body  $P_B$  such that it lies in the positive orthant. It corresponds to multipling the Cartier divisor  $D = \{(U_i, f_i)\}$  by the global section  $f_{\sigma_m}^{-1}$  i.e. subtracting  $\operatorname{div}(f_{\sigma_m})$ . This corresponds to subtracting a suitable global support function to set a given support function equal to zero on the distinguished maximal cone  $\sigma_m$ .

# 16.4 Construction of a Toric Divisor from a Rational Polytope

**Definition:** There are a few equivalent characterizations of integral or lattice polytopes. Given a lattice M we say that a lattice polytope  $P \subset M \otimes_{\mathbb{Z}} \mathbb{R}$  is one of,

- 1. the convext hull of a finite subset of M
- 2. a finite intersection of integral halfspaces,

$$P = \bigcap_{F} \{ m \in M \mid \langle n_F, m \rangle \ge -a_F \}$$

where F are the facets of P and  $u_F \in M^{\vee}$  and  $a_F \in \mathbb{Z}$ . We may assume that  $u_F$  is the minimal inward normal in  $M^{\vee}$ .

**Definition:** Given a lattice polytope  $P \subset M_{\mathbb{R}}$  we define the normal fan  $\Sigma_P \subset N_{\mathbb{R}}$  as follows. For each face  $A \subset P$  (not necessarily a facet, not including A = P but including  $A = \emptyset$ ) define,

$$\sigma_A = \operatorname{Cone}(\{n_F \mid F \subset P \text{ is a facet s.t. } A \subset F\})$$

Then let  $\Sigma_P = {\sigma_A \mid A \subset P \text{ is a face}}.$ 

**Proposition 16.12.** Given a lattice polytope P, the set  $\Sigma_P$  is a fan in  $N_{\mathbb{R}}$ .

**Proposition 16.13.** There is a duality between P and  $\Sigma_P$  given the inclusion reversing correspondence  $A \subset P \leftrightarrow \sigma_A \in \Sigma_P$  satisfying,

- 1. inclusion reversing,  $A \subset B \iff \sigma_B \subset \sigma_A$
- 2.  $\dim A + \dim \sigma_A = \dim P$

*Proof.*  $A \subset B$  implies that if F is a face containing B then F contains A so  $\sigma_B \subset \sigma_A$ . Furthermore, a face  $A \subset P$  is contained in exactly dim P – dim A facets giving the second property.

**Definition:** Let P be a lattice polytope. Define the proper toric variety  $X_P = X_{\Sigma_P}$ . Via the above correspondence and the cone - orbit correspondence there is an inclusion preserving correspondence between dimension i faces  $A \subset P$  and dimension i torus orbits. In particular,

- 1. vertices of  $P \leftrightarrow$  fixed points of the torus action on  $X_P$
- 2. facets of  $P \leftrightarrow \text{T-invariant}$  irreducible divisors in  $X_P$

**Remark 51.** Therefore we have a construction, given a lattice polytope P, of a proper toric variety  $X_P = X_{\Sigma_P}$  of the normal fan. In fact, the following theorem classifies toric varieties arrising from a normal fan.

**Theorem 16.14.** A toric variety X is projective iff  $X = X_P$  for some lattice polytope P i.e. if  $X = X_{\Sigma}$  where  $\Sigma = \Sigma_P$  is a normal fan of some lattic polytope P.

**Definition:** Given a lattice polytope P, we construct a toric variety - toric divisor pair  $(X_P, D_P)$  via  $X_P = X_{\Sigma_P}$  and summing over the facets  $F \subset P$  take,

$$D_P = \sum_{\substack{F \subset P \\ \text{a facet}}} a_F V(\sigma_F)$$

Recall that if F is a facet then  $\sigma_F \in \Sigma_P(1)$  so the above definition makes sense.

**Proposition 16.15.** The divisor  $D_P$  is an ample Cartier divisor (and thus big) divisor on  $X_P$ .

*Proof.* Let m be a vertex of P and  $\sigma_m$  the corresponding maximal cone. Now I claim that for any facet F,

$$D_F \cap U_{\sigma_m} \neq \varnothing \iff m \in F$$

Indeed,

$$m \in F \iff \sigma_F \subset \sigma_m \iff \sigma_m \in \Sigma[\sigma_F] \iff D_F \cap U_{\sigma_m} \neq \varnothing$$

Therfore,

$$\operatorname{div}(\chi^{-m})|_{U_{\sigma_m}} = \sum_{m \in F} -\langle m, n_F \rangle D_F = \sum_{m \in F} a_F D_F = -D_P|_{U_{\sigma_m}}$$

because  $\langle m, n_F \rangle = -a_F$  by the defining representation of P since m is a vertex and F is a facet containing m. Thus,  $D_P$  is Cartier since it is principal on the open cover of maximal conces. Therefore, we may consider  $\psi_D$  which satisfies  $\psi_{D_P}|_{\sigma_m} = \langle m, - \rangle$ . Finally,  $\psi_{D_P}$  is strictly concave meaning that  $D_P$  is ample.

**Theorem 16.16.** The polytope associated to the divisor  $D_P$  on  $X_P$  is  $P_{D_P} = P$  therefore the mapping,

$$\{(X, D) \mid \dim X = d\} \to \{\text{integral polytopes of dimension } d\}$$

sending projective toric varieties of dimension d with T-invariant divisors to integral polytopes is surjective.

*Proof.* Recall that the cones  $\rho \in \Sigma_P(1)$  correspond to facets  $F \subset P$ . The divisor  $D_P$  corresponds to the support function  $\psi_{D_P}$  with  $\psi_{D_P}(n_\rho) = -a_F$ . Therefore,

$$P_{D_P} = \bigcap_{\substack{F \subset P \\ \text{a facet}}} H^+(n_F, -a_F) = P$$

**Remark 52.** We can use the theory of toric geometry to give a highly amusing proof of a powerful elementary result in convex geometry.

**Theorem 16.17** (Ehrhart Polynomial). Let P be an d-dimensionally lattice polytope in  $M_{\mathbb{R}}$ . Then there exists a unique polynomial with rational coefficients  $E_P \in \mathbb{Q}[x]$  ssatsfying:

1. For any integer  $\nu \in \mathbb{N}$ ,

$$E_P(\nu) = \# ((\nu P) \cap M)$$

- 2. The leading coefficient of  $E_P$  is  $\operatorname{Vol}_M(P)$  i.e. the volume of P normalized to the lattice cell volume of M.
- 3. There is a reciprocity law for positive integers  $\nu > 0$ ,

$$E_P(-\nu) = (-1)^d \# (\nu P^{\circ} \cap M)$$

*Proof.* Given the lattice polyheron P we have constructed a toric variety  $X_P$  with an ample divisor  $D_P$ . Furthermore, the lattice polyope of  $D_P$  is exactly P. Therefore,

$$\dim_{\mathbb{C}} H^0(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \# (\nu P \cap M)$$

Recall that the Euler characteristic of the cohernt sheaf  $\mathcal{O}_{X_P}(\nu D_P)$  is,

$$\chi(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \sum_{i=0}^d (-1)^i \dim_{\mathbb{C}} H^i(X_P, \mathcal{O}_{X_P}(\nu D_P))$$

By the Hirzbruch-Riemann-Roch theorem we have,

$$\chi(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \int_{X_P} \operatorname{ch}(\mathcal{O}_{X_P}(\nu D_P)) \operatorname{Td}(\mathcal{T}_{X_P})$$

Recall that the Chern character is,

$$\operatorname{ch}(\mathcal{O}_{X_P}(\nu D_P)) = \exp\left(c_1(\mathcal{O}_{X_P}(\nu D_P))\right) = \sum_{m=0}^d \frac{c_1(\mathcal{O}_{X_P}(\nu D_P))^m}{m!}$$

where the sum terminates at  $d = \dim X_P$  since higher intersections vanish. Recall that the Chern class  $c_1$  is a homomorphism  $c_1(\mathcal{L}_1 \otimes \mathcal{L}_2) = c_1(\mathcal{L}_1) + c_1(\mathcal{L}_2)$ . Thus, since  $\mathcal{O}_{X_P}(\nu D_P) = \mathcal{O}_{X_P}(D_P)^{\otimes \nu}$ ,

$$\operatorname{ch}(\mathcal{O}_{X_P}(\nu D_P)) = \sum_{m=0}^d \frac{c_1(\mathcal{O}_{X_P}(D_P)^{\otimes \nu})^m}{m!} = \sum_{m=0}^d c_1(\mathcal{O}_{X_P}(D_P))^m \frac{\nu^m}{m!}$$

Therefore,

$$\chi(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \int_{X_P} \left( \sum_{m=0}^d c_1(\mathcal{O}_{X_P}(D_P))^m \frac{\nu^m}{m!} \right) \operatorname{Td}(\mathcal{T}_{X_P})$$
$$= \sum_{m=0}^d \frac{\nu^m}{m!} \left( \int_{X_P} c_1(\mathcal{O}_{X_P}(D_P))^m \operatorname{Td}(\mathcal{T}_{X_P}) \right) = h(\nu)$$

is a degree at most d polynomial in  $\nu$ . Now recall Demazure's theorem on the vanishing of cohomology on toric varieties which states that if  $\mathcal{L}$  is ample or generated by global sections then,

$$\forall p > 0 : H^p(X_P, \mathcal{L}) = 0$$

Since  $\mathcal{O}_{X_P}$  is generated by global sections and  $\mathcal{O}_{X_P}(\nu D_P)$  is ample for  $\nu > 0$  we have shown that for  $\nu \geq 0$  that,

$$\chi(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \dim_{\mathbb{C}} H^0(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \# (\nu P \cap M)$$

This implies that for  $\nu \in \mathbb{N}$  we have proven there is a polynomial,

$$E_P(\nu) = h(\nu) = \chi(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \dim_{\mathbb{C}} H^0(X_P, \mathcal{O}_{X_P}(\nu D_P)) = \#(\nu P \cap M)$$

Furthermore, since  $D_P$  is big and  $E_P(m)$  counts sections of  $\mathcal{O}_{X_P}(mD_P)$ , we know that the leading term must be  $m^d$  so deg  $E_P = d$ . Writing,

$$E_P(x) = a_n x^n + \dots + a_0$$

we may isolate the leading coefficient as follows,

$$a_n = \lim_{\nu \to \infty} \frac{E_P(\nu)}{\nu^d} = \lim_{\nu \to \infty} \frac{\# (\nu P \cap M)}{\nu^d} = \operatorname{Vol}_M(P)$$

Lastly, to prove the duality property, we apply Serre duality. On  $X_P$ , the dualizing sheaf is equal to the canonical sheaf,

$$\omega_{X_P} = \mathcal{O}_{X_P}(-\sum_F D_F)$$

where  $D_F$  is the divisor  $V(\sigma_F)$  for each facet  $F \subset P$ . Since  $X_P$  is a projective Cohen–Macaulay variety (and thus irreducible over k), Serre duality sates that, for any locally free sheaf  $\mathcal{F}$  on  $X_P$ ,

$$H^{i}(X_{P}, \mathcal{F}^{\vee}) = H^{d-i}(X_{P}, \mathcal{F} \otimes_{\mathcal{O}_{X_{P}}} \omega_{X_{P}})^{\vee}$$

which, by computing dimensions and reordering, implies that,

$$\chi(X_P, \mathcal{F}^{\vee}) = (-1)^d \chi(X_P, \mathcal{F} \otimes_{\mathcal{O}_{X_P}} \omega_{X_P})$$

In particular, for  $\mathcal{F} = \mathcal{O}_{X_P}(\nu D_P)$  we have,

$$E_P(-\nu) = \chi(X_P, \mathcal{O}_{X_P}(-\nu D_P)) = (-1)^d \chi(X_P, \mathcal{O}_{X_P}(\nu D_P) \otimes_{\mathcal{O}_{X_P}} \omega_{X_P})$$

By the Kodaria vanishing theorem, since  $\nu D_P$  is ample for  $\nu > 0$ ,

$$\chi(X_P, \mathcal{O}_{X_P}(\nu D_P) \otimes_{\mathcal{O}_{X_P}} \omega_{X_P}) = \dim_{\mathbb{C}} H^0(X_P, \mathcal{O}_{X_P}(\nu D_P) \otimes_{\mathcal{O}_{X_P}} \omega_{X_P})$$

Now we consider the invertible sheaf,

$$\mathcal{O}_{X_P}(\nu D_P) \otimes_{\mathcal{O}_{X_P}} \omega_{X_P} = \mathcal{O}_{X_P}(\nu D_P - \sum_F D_F) = \mathcal{O}_{X_P}(\sum_F (\nu a_F - 1)D_F)$$

which means we should consider the divisor,

$$D' = \sum_{F} (\nu a_F - 1) D_F$$

which corresponds to the support function  $\psi_{D'}$  satisfying  $\psi_{D'}(n_F) = -(\nu a_F - 1)$  (recall that cones  $\rho \in \Sigma_P(1)$  correspond to facets  $F \subset P$ ). Therefore, the polytope for the divisor D' is,

$$P_{D'} = \bigcap_{\substack{F \subset P \\ \text{a facet}}} H^+(n_F, \psi_{D'}(n_F)) = \bigcap_{\substack{F \subset P \\ \text{a facet}}} H^+(n_F, 1 - \nu a_F)$$

Recall that,

$$\nu P = \bigcap_{\substack{F \subset P \\ \text{a facet}}} H^+(n_F, -a_F) = \bigcap_{\substack{F \subset P \\ \text{a facet}}} \{x \in M_{\mathbb{R}} \mid \forall F : \langle x, n_F \rangle \ge -\nu a_F \}$$

Therefore, the interior is,

$$\nu P^{\circ} = \bigcap_{\substack{F \subset P \\ \text{a foort}}} \{ x \in M_{\mathbb{R}} \mid \forall F : \langle x, n_F \rangle > -\nu a_F \}$$

Therefore, intersecting with the lattice,

$$\nu P^{\circ} \cap M = \bigcap_{\substack{F \subset P \\ \text{a facet}}} \{ m \in M \mid \forall F : \langle m, n_F \rangle \ge -\nu a_F + 1 \} = P_{D'} \cap M$$

because the inner product is integer valued on the lattice so,

$$\langle m, n_F \rangle > -\nu a_F \iff \langle n, n_F \rangle \ge -\nu a_F + 1$$

Thus,

$$E_P(-\nu) = (-1)^d \dim_{\mathbb{C}} H^0(X_P, \mathcal{O}_{X_P}(D')) = (-1)^d \# (P_{D'} \cap M) = (-1)^d \# (\nu P^{\circ} \cap M)$$

**Remark 53.** Note that  $E_P(0) = \#((0 \cdot P) \cap M) = 1$  so the constant term is 1. Furthermore, in the limit  $\nu \to \infty$  if dim P = d then  $E_P(\nu) \in O(\nu^d)$  so deg  $E_P = d$ .

**Remark 54.** To prove the power of this theorem, we can easily derive the classical Pick's theorem as a special case.

**Theorem 16.18** (Pick). Let dim M=2 and  $P\subset M_{\mathbb{R}}$  be a lattice polygon. Then,

$$\#(P \cap M) = \operatorname{Vol}_M(P) + \frac{1}{2}\#(\partial P \cap M) + 1$$

*Proof.* Consider the Ehrhart polynomial which takes the form,

$$E_P(x) = \operatorname{Vol}_M(P) x^2 + Bx + 1$$

Now we can decompose  $P = P^{\circ} \cup \partial P$  which implies that,

$$E_P(1) = \# (P \cap M) = \# (P^{\circ} \cap M) + \# (\partial P \cap M)$$

Furthermore, by the reciprocity law,

$$E_P(-1) = \# (P^{\circ} \cap M)$$

Putting these together, we find,

$$E_P(1) - E_P(-1) = \# (\partial P \cap M)$$

However, applying the polynomial form,

$$E_P(1) - E_p(-1) = 2B \implies B = \frac{1}{2} \# (\partial P \cap M)$$

Thus the Ehrhart polynomial is,

$$E_P(x) = \operatorname{Vol}_M(P) x^2 + \frac{1}{2} \# (\partial P \cap M) x + 1$$

Which, for x = 1 we find,

$$E_P(1) = \#(P \cap M) = \text{Vol}_M(P) + \frac{1}{2}\#(\partial P \cap M) + 1$$

giving Pick's formula.

## 16.5 Examples

### 16.6 The Picard Group of a Toric Variety

**Theorem 16.19.** Let  $X_{\Sigma}$  be a smooth toric variety and  $\#(\Sigma(1)) = s$ . Then there is an exact sequence,

$$0 \longrightarrow M \longrightarrow \mathbb{Z}^s \longrightarrow \operatorname{Pic}(X_{\Sigma}) \longrightarrow 0$$

and  $Pic(X_{\Sigma})$  is torsion free so the sequence splits.

Proof. The map  $\mathbb{Z}^s \to \operatorname{Pic}(X_{\Sigma})$  sends  $v \mapsto \mathcal{O}_{X_{\Sigma}}(\sum v_i V(\rho_i))$  where  $\phi_i \in \Sigma(1)$  ranges over the rays of  $\Sigma$ . This map is surjective because  $X_{\Sigma}$  is smooth and integral so  $\operatorname{Cl}(X) \xrightarrow{\sim} \operatorname{Pic}(X)$  is an isomorphism and  $\operatorname{Cl}(X)$  is generated by T(N)-invariant prime divisors. Furthermore, the kernel  $\mathbb{Z}^s \to \operatorname{Div}(X) \to \operatorname{Cl}(X)$  are the T(N)-invariant principal divisors i.e. the characters,

$$\operatorname{div}(\chi^u) \in \operatorname{Div}(X)$$

Therefore, this kernel is  $\iota: M \to \mathbb{Z}^s$  via,

$$\iota(u) = \operatorname{div}(\chi^u) = \sum_{i=1}^s \langle u, n_i \rangle \ D_i$$

since the map  $n \mapsto (\langle u, n_i \rangle)_i$  is injective since  $\{n_i\}$  forms a basis of N. (FINISH PROOF)

## 17 Hodge Index Theorem for Surfaces

**Definition:** Denote a nonsigular projective variety of dimension two over an algebraically closed field as a *surface* and effective divisor on a surface as a *curve*.

**Definition:** Let X be a surface and C, C' curves on X. For  $p \in X$ , choose an open neighborhood of U of p such that C, C' are the vanishing of (f, g) on U. Then consider  $A = (f_p, g_p) \subset \mathcal{O}_{X,p}$ . I claim that  $\mathcal{O}_{X,p}/A$  is finite dimensional. Then we define the intersection multiplicity,

$$\iota(C, C', p) = \dim (\mathcal{O}_{X,p}/A)$$

We define the intersection number,

$$C \cdot C' = \sum_{p \in X} \iota(C, C', p)$$

Remark 55. Consider the exact sequence,

$$0 \longrightarrow \mathcal{O}_X(-C) \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_C \longrightarrow 0$$

Then there is a sequence,

$$0 \longrightarrow \mathcal{O}_X(-C) \oplus \mathcal{O}_X(-C') \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_{C \cap C'} \longrightarrow 0$$

Taking the stalk at p and summing over the two curves gives an exact sequence,

$$0 \longrightarrow A \longrightarrow \mathcal{O}_{X,p} \longrightarrow \mathcal{O}_{C \cap C',p} \longrightarrow 0$$

Remark 56. Note that,

$$h^{0}(\mathcal{O}_{C \cap C'}) = \sum_{p \in X} \iota(C, C', p) = C \cdot C'$$

Since  $C \cdot C'$  is zero dimensional its higher cohomology vanishes so,

$$C \cdot C' = \chi(C \cap C', \mathcal{O}_{C \cap C'})$$

**Definition:** The intersection from  $Pic(X) \times Pic(X) \to \mathbb{Z}$  is,

$$\xi \cdot \xi' = \chi(\mathcal{O}_X) - \chi(-\xi) - \chi(-\xi') + \chi(-\xi - \xi')$$

**Definition:** Let C be a smooth irreducible curve on S. For any line bundle  $\xi$  then,

$$\mathcal{O}_X(C) \cdot \xi = \deg(\xi|_U)$$

**Theorem 17.1.** If  $\xi = \mathcal{O}_X(C)$  and  $\xi' = \mathcal{O}_X(C')$  then,

$$\xi \cdot \xi' = C \cdot C'$$

**Remark 57.** Consider the self-intersection  $D^2 = D \cdot D$ . The self-intersection of  $C \subset X$  can be given the following interpretation. Let  $N_{X/C}$  be the normal bundle which fits in the exact sequence,

$$0 \longrightarrow T_C \longrightarrow (T_X)|_C \longrightarrow N_{C/X} \longrightarrow 0$$

Then  $N_{C/X}$  is the self-intersection of  $\xi|_C = \mathcal{O}_C(C)$  i.e.  $C^2 = \deg(\xi|_C) = \deg(N_{C/X})$ .

**Example 17.2.** Let C, C' be two plane curves of degree m and n. Take a line  $\ell$  and  $C \sim \ell m$  and  $C' \sim \ell n$  with  $\ell^2 = 1$ . Then  $C \cdot C' = mn$ .

**Theorem 17.3** (Hodge Index). Let H be an ample divisor  $D \cdot H = 0$  and  $D \neq 0$  then  $D^2 < 0$ .

**Definition:** Two divisors D, D' are numberically equivalent if for all divisors H we have  $D \cdots H = D' \cdots H$ . Then  $N^1(X)$  is Pic(X) modulo numerical equivalence.

**Lemma 17.4.** Let H be an ample divisor. For any effective divisor D we have  $D \cdot H > 0$ .

**Lemma 17.5.** Let H be an ample divisor on X. Then  $\exists m_0 \in N$  s.t. for any D if  $DH > m_0$  then  $H^2(X, \mathcal{O}_X(D)) = 0$ .

**Lemma 17.6.** Let H be an ample divisor and D such that  $D \cdot H > 0$  and  $D^2 > 0$ . Then for all  $m \gg 0$  we have mD is linearly equivalent to an effective divisor.

Proof of Theorem. Suppose not i.e.  $D^2 \ge 0$ ,

First case,  $D^2 > 0$ . Let H' = D + mH for sufficiently large m. Then H' is ample. Now,

$$H' \cdot D = D^2 + mH \cdot D = D^2 > 0$$

Then mD is effective by previous case. But  $md \cdot H > 0$ . so  $D \cdot H > 0$  which is a contradiction.

Second case,  $D^2=0$ . Since  $D\neq 0$  there is a divisor E s.t.  $D\cdot E\neq 0$ . Let  $E'=(H^2)E-(E\cdot H)H$ . Then  $E'\cdot H=0$ . In addition, D'=mD+E' and  $D'\cdot H=mD'+E'$ . Then

$$D' \cdot H = mD + E' \cdot H = 0$$

Furthermore,

$$(D')^2 = m^2 D^2 + 2mD \cdot E' + (E')^2 = 2mD \cdot E' + (E')^2$$

Choose m s.t.  $(D')^2 > 0$ . We apply the first case to D' and get a contradiction.  $\square$ 

## 18 Alexandrov - Fenchel Inequality

#### 18.1 Review

Fix  $n \in \mathbb{Z}^+$  and let  $\kappa$  be the set of convex bodies in  $\mathbb{R}^n$  and  $\kappa_V$  the set of integral polytopes. Take scalars  $\lambda_1, \lambda_2, \ldots, \lambda_s > 0$  and  $\Delta_1, \Delta_2, \ldots, \Delta_s \in \kappa$ . Then,

$$\operatorname{Vol}_{n}(\lambda_{1}\Delta_{1}+\cdots+\lambda_{s}\Delta_{s})=\sum_{i_{1},\dots,i_{s}=1}^{s}\operatorname{mVol}(\Delta_{1},\dots,\Delta_{s})\,\lambda_{i_{1}}\cdots\lambda_{i_{n}}$$

For any convext sets  $\S_1, \ldots, \S_n$  the mixed volume satisfies,

Proposition 18.1. Properties of Mixed Volumes:

- 1.  $\operatorname{mVol}(S, \ldots, S) = \operatorname{Vol}_n(S)$
- 2. Symmetric,  $\operatorname{mVol}(S_1, \ldots, S_n) = \operatorname{mVol}(S_{\pi(1)}, \ldots, S_{\pi(n)})$
- 3. Multilinear:  $mVol(\lambda S + \lambda' S', S_2, \dots, S_n) = \lambda mVol(S, S_2, \dots, S_n) + \lambda' mVol(S', S_2, \dots, S_n)$
- 4. Nonegative:  $mVol(S_1, ..., S_n) \ge 0$
- 5. Monotonic: if  $S \subset S'$  then  $mVol(S, S_2, \ldots, S_n) \leq mVol(S', S_2, \ldots, S_n)$

**Theorem 18.2** (Alexandrov - Fenchel). For any  $\Delta_1, \ldots, \Delta_s \in \kappa$  we have,

$$mVol(\Delta_1, \ldots, \Delta_s) \ge mVol(\Delta_1, \Delta_1, \Delta_3, \ldots, \Delta_s) \cdots mVol(\Delta_2, \Delta_2, \Delta_3, \ldots, \Delta_s)$$

**Definition:** A bilinear form  $B: V \times V \to \mathbb{R}$  is hyperbolic if there exists  $v \in V$  s.t. B(v,v) > 0 but there does not exist a subspace  $W \subset V$  s.t.  $B|_W \ge 0$  and dim W > 1.

**Proposition 18.3.** Let  $B: V \times V \to \mathbb{R}$  be a hyperbolic form and  $v \in V$  s.t. B(v,v) > 0. Then for any  $y \in V$ ,

$$B(x,y)^2 \ge B(x,x)B(y,y)$$

**Theorem 18.4** (Hodge Index). The intersection form  $\langle -, - \rangle : \operatorname{Pic}(X) \times \operatorname{Pic}(X) \to \mathbb{Z}$  is hyperbolic.

#### 18.2 Hausdorff Distance

**Definition:** Let  $B \subset \mathbb{R}^n$  denote the unit ball and  $K, L \in \kappa_n$  convex bodies in  $\mathbb{R}^n$ . Then consider the  $\lambda$ -parallet body  $K + \lambda B$ . We define the Hausdroff distance,

$$d(K, L) = \inf\{\lambda \ge 0 \mid L \subset K + \lambda B \text{ and } K \subset L + \lambda B\}$$

**Lemma 18.5.** The Hausdorff distance is a metric.

**Remark 58.** The Hausdorff distance induces a topology on the space of convex bodies  $\kappa_n$ .

**Proposition 18.6.** Mixed volumes are continuous functions in the Hausdorff topology.

**Theorem 18.7.** For any covex body  $K \in \kappa_n$  there exists an increasing sequence  $\Delta_1 \subset \Delta_2 \subset \Delta_3 \subset \cdots$  of polytopes which converge to K in the Hausdorff topology.

#### 18.3 Proof of the Main Theorem

Consider some integral polytopes  $\Delta_1, \ldots, \Delta_n \in \kappa_n$ .

**Remark 59.** An integral polytope  $\Delta$  is exactly the convex hull of its vertices  $\{v^1, \ldots, v^s\}$  which is a finite set. To this set we may associate a Laurent polynomial,

$$p_{\Delta}(X_1,\ldots,X_n) = \sum_{i=1}^{s} X_1^{v_1^i} \cdots X_n^{v_n^i}$$

**Theorem 18.8** (Khovanski). If we consider a general system if polynomial equaltions  $p_1 = \cdots = p_n = 0$  whose newton polytopes are  $\Delta_1, \ldots, \Delta_n$  then the number of complex solutions equals  $n! \, \text{mVol}(\Delta_1, \ldots, \Delta_n)$ .

Remark 60. Now we prove the theorem.

*Proof.* Consider  $\Delta_1, \ldots, \Delta_n$  and  $f_1, \ldots, f_n$  their associated Laurent polynomials. Then let  $M_{\Sigma}$  be the toric compactification under the fan,

$$\Sigma = \left\{ \sum_{i=1}^{n} \lambda_i \Delta_i \quad \middle| \quad \lambda_i \ge 0 \right\}$$

We construct a surface F and a family of curves  $\Gamma_f$  on F. First consider the affine surface,

$$F' = \operatorname{Spec}\left(\mathbb{C}[X_1, \dots, X_n]/(f_3, \dots, f_n)\right)$$

Then we let F be its toric closured. Then F is a connected and nonsingular surface so we may apply hodge theory. The curves are constructed via the closure in F of the affine curve,

$$\Gamma'_f = \operatorname{Spec}\left(\mathbb{C}[X_1, \dots, X_n]/(f, f_3, \dots, f_n)\right)$$

If the Newton polytope associated to f is contained in  $\Sigma$  then the curve  $\Gamma_f$  is non-singular.

**Proposition 18.9.** Let g, h be Laurent polynomials. If  $\Delta_g$  and  $\Delta_h$  are non-singular then  $\langle \Gamma_g, \Gamma_h \rangle = n! \text{ mVol } (\Delta_g, \Delta_h, \Delta_3, \dots, \Delta_n)$ .

*Proof.* All the roots of  $g = h = f_3 = \cdots = f_n = 0$  are contained in  $\mathbb{C}^{\times}$  (why?) so we conclude that,

$$\langle \Gamma_g, \Gamma_h \rangle = n! \, \text{mVol} (\Delta_g, \Delta_h, \Delta_3, \dots, \Delta_n)$$

being the number of intersection points.

Therefore, for the surface F and the curves  $\Gamma_f$  and  $\Gamma_f$  associated to  $\Delta_1$  and  $\Delta_2$ . Applying the Hodge index theorem,

$$\langle \Gamma_{f_1}, \Gamma_{f_2} \rangle \ge \langle \Gamma_{f_1}, \Gamma_{f_1} \rangle \cdot \langle \Gamma_{f_2}, \Gamma_{f_1} \rangle$$

Therefore we get,

$$\operatorname{mVol}(\Delta_1, \Delta_2, \dots, \Delta_n)^2 \ge \operatorname{mVol}(\Delta_1, \Delta_1, \Delta_3, \dots, \Delta_n) \cdot \operatorname{mVol}(\Delta_2, \Delta_2, \Delta_3, \dots, \Delta_n)$$

Then by using the continuity of the mixed volumes we can apply this to arbitrary convex bodies by approximation via a convergent sequence of polytopes.

## 19 Brenier Maps

**Definition:** Given two measure spaces  $(X, \Sigma_X, \mu_X)$  and  $(Y, \Sigma_Y, \mu_Y)$ , a transfer problem consider the set of measures,

$$\Pi(\mu_X, \mu_Y) = \{ \nu \mid \text{ measure on } X \times Y \text{ s.t. } (\pi_X)_* \nu = \mu_X \text{ and } (\pi_Y)_* \nu = \nu_Y \}$$

on the product measureable space  $(X \times Y, \Sigma_X \times \Sigma_Y)$  with marginals  $\mu_X$  and  $\mu_Y$ . For example, we might consider the Kantorovich transport problem which is to attain the infimum.

$$\inf \left\{ \int_{X \times Y} c(x, y) \, d\nu \quad \middle| \quad \nu \in \Pi(\mu_X, \mu_Y) \right\}$$

for some cost function c(x, y). Our problem in question is to achieve the infimum,

$$\inf \left\{ \operatorname{ess\,sup} \left( \frac{\mathrm{d} s_*(\nu)}{\mathrm{d} \mu} \right) \quad \middle| \quad \nu \in \Pi(\mu_X, \mu_Y) \right\}$$

where X and Y are convex bodies and  $\mu_X$  and  $\mu_Y$  and  $\mu$  are the Lebesgue measures on X, Y, and X + Y respectively and  $S : X \times Y \to X + Y$  is the sum map.

**Definition:** A Monge transport problem is a specialization of the Kantorovich formulation in which we restrict the allowed measures on the product to be diagonal. In particular, we are asked to acheive the infimum,

$$\inf \left\{ \int_X c(x, T(y)) \, d\mu_X \quad \middle| \quad T: X \to Y \text{ measureable and } T_*(\mu_X) = \mu_Y \right\}$$

This is equivalent to restricting to measures on  $X \times Y$  of the form  $\nu = (\mathrm{id} \times T)_*(\mu_X)$ .

**Remark 61.** Given two convex bodies,  $\Delta_1, \Delta_2$ , we are interested in measure-preserving bijections  $f: \Delta_1 \to \Delta_2$  which have "nice" extensions  $\mathrm{id} + f: \Delta_1 \to \Delta_1 + \Delta_2$ . Ideally, such an extension would also be a measure-preserving bijection. In such a case we make take the transer measure  $\nu = (\mathrm{id} \times f)_* \mu_1$  have  $\rho(\Delta_1, \Delta_2) = 1$ .

**Proposition 19.1.** If  $id + f : \Delta_1 \to \Delta_1 + \Delta_2$  is measure-preserving then

$$\rho(\Delta_1, \Delta_2) = 1$$

is achieved by  $\nu = (\mathrm{id} \times f)_*(\mu_X)$ .

*Proof.* Consider the measure  $\nu = (\mathrm{id} \times f)_*(\mu_X)$ . Then we have  $(\pi_X)_*\nu = \mu_X$  since  $\pi_X \circ (\mathrm{id} \times f) = \mathrm{id}$  and  $(\pi_Y)_*\nu = \mu_Y$  since  $\pi_Y \circ (\mathrm{id} \times f) = f$  and  $f_*(\mu_X) = \mu_Y$ . Finally,

$$s_*(\nu) = (s \circ (\operatorname{id} \times f))_*(\mu_X) = (\operatorname{id} + f)_*(\mu_X) = \mu$$

**Theorem 19.2** (Knothe). Let  $\Delta_1$  and  $\Delta_2$  be convex bodies. Then there exists a measure-preserving bijection  $f_K: \Delta_1 \to \Delta_2$  s.t. det  $\mathrm{d}f = |\Delta_2|/|\Delta_1|$  is constant everywhere and  $\mathrm{d}f$  is upper triangular and  $\mathrm{id} + f$  is injective.

Theorem 19.3 (Brenier). Let  $\Delta_1$  and  $\Delta_2$  be convex bodies and consider the quadratic cost  $c(x,y) = |x-y|^2$  via the Euclidean norm. If  $\mu_X$  is compactly supported and absolutly continuous with respect to the Lebesgue measure then the Monge problem has a solution  $T: \Delta_1 \to \Delta_2$  called the Brenier map which is characterized as the unique measure-preserving bijection s.t. there exists a convex function  $\phi: \Delta_1 \to \mathbb{R}$  with  $T = \nabla \phi$ .

**Theorem 19.4.** Given convex bodies  $\Delta_1$  and  $\Delta_2$ , there exists a measure preserving bijection  $\Phi : \Delta_1 \to \Delta_2$  s.t. id  $+\Phi : \Delta_1 \to \Delta_1 + \Delta_2$  is surjective.

**Definition:** Let  $\Omega \subset \mathbb{R}^n$  be open. Then a Monge-Ampere equation is of the form,

$$\det\left(D^2 u\right) = f(x, u, \nabla u)$$

for a given function  $f: \Omega \times \mathbb{R} \times \mathbb{R}^n \to \mathbb{R}$  and some  $u: \Omega \to \mathbb{R}$  convex.

**Remark 62.** Finding the Brenier map  $T: \Delta_1 \to \Delta_2$  is equivalent to solving the Monge-Ampere equation,

$$\det\left(D^2\phi\right) = \frac{|\Delta_2|}{|\Delta_1|}$$

where  $T = \nabla \phi$ .

**Remark 63.** Monge-Ampere theory can thus bound the Jacobian of id  $+\nabla\phi$ .