

# 1 Introduction

Assume that  $X$  is a connected  $n$ -dimensional smooth manifold. And  $A, B \subset X$  oriented closed submanifolds with  $\dim A + \dim B = \dim X$ . Assume that  $A, B$  intersect transversally menaing,

$$\forall x \in A \cap B : T_x X = T_x A \oplus T_x B$$

Then we can consider,

$$[A] \in H_a(A) \rightarrow H_a(X) \rightarrow H^{n-a}(X)$$

and

$$[B] \in H_b(B) \rightarrow H_b(X) \rightarrow H^{n-b}(X)$$

then,

$$\deg([A] \smile [B]) = \sum_{x \in A \cap B} \text{or}_x(x, A, B)$$

This requires the orientations.

If  $V$  is a  $\mathbb{C}$ -vectorspace then  $V$  has a canonical orientation. Therefore if  $X$  is a complex manifold and  $A, B$  are complex submanifolds then,

$$\text{or}_x(X, A, B) = 1$$

Therefore, intersection numbers are allways positive. This is very useful. For example:

**Proposition 1.0.1.** Let  $C$  be an integral curve in  $\mathbb{CP}^n$  and  $[C] = [L]$  for  $L$  a line. Then  $C$  is a line.

*Proof.* Choose two points on  $C$  and consider hyperplane  $H$  through those two points. Then,

$$\deg([C] \cdot [H]) = 1$$

becuase  $[C] = [L]$ . If  $H$  is transverse to  $C$  then this would be a contradiction. Therefore  $H$  is not transverse to  $C$ . Therefore  $C \subset H$ . Therefore  $C$  is contained in all hyperplanes through those two points and thus  $C$  is a line.  $\square$

**Proposition 1.0.2.** Let  $X$  be smooth and  $Y \subset X$  is smooth and  $Z \subset X$  is CM and  $Y \cap Z$  is pure of the expected dimension. Then,

$$[Y] \smile [Z] = \sum_{C \subset Y \cap Z} a_C [C]$$

where  $C \subset Y \cap Z$  are components and  $a_C > 0$ .

## 1.1 Main Theorems

Let  $X$  be a variety (not necessarily smooth) over  $k$  (not necessarily  $k = \bar{k}$ ). Then we define Chow,

$$A_i(X) = \mathbb{Z}[\text{prime divisors of dim} = i] / \text{rational equivalence}$$

Given a proper map  $f : X \rightarrow Y$  there is a pushforward  $f_* : A_i(X) \rightarrow A_i(Y)$ . If  $f : X \rightarrow Y$  is flat and  $X, Y$  are integral then there is a pullback  $f^* : A_{\dim X - i}(Y) \rightarrow A_{\dim X - i}(X)$ .

Gysin map for an lci map  $X \hookrightarrow Y$  (e.g. a smooth variety embedding in a smooth variety). Then there is a map,

$$i^! : A_{\dim Y - i}(Y) \rightarrow A_{\dim X - i}(X)$$

In particular, if  $X$  is smooth then applying this to the diagonal  $\Delta : X \hookrightarrow X \times X$  this gives,

$$A(X) \otimes A(X) \rightarrow A(X \times X) \xrightarrow{i^!} A(X)$$

which is the intersection product.

## 2 Feb. 8

Agenda

- (a) Definition of Chow groups
- (b) proper pushforward
- (c) flat pullback

**Definition 2.0.1.** Let  $X/k$  be a scheme over  $k$ . Define the group,

$$Z_q(X) := \mathbb{Z}[\{Z \subset X \mid \text{integral subschemes of dim } q\}]$$

### 2.1 Length

**Proposition 2.1.1.** Let  $A$  be a ring and  $M$  a finite  $A$ -module. Then the following are equivalent,

- (a)  $M$  has finite length
- (b)  $\text{Supp}_A(M)$  consists of finitely many maximal ideals
- (c) there exists a filtration,

$$0 = M_0 \subsetneq M_1 \subsetneq \cdots \subsetneq M_n = M$$

such that  $M_i/M_{i-1} \cong A/\mathfrak{m}_i$  for some maximal ideal  $\mathfrak{m}_i$  (equivalently  $M_i/M_{i-1}$  is simple). An in this case  $\ell_A(M) = n$ .

**Proposition 2.1.2.** Length satisfies,

- (a) additive in exact sequences
- (b) computed in terms of localization,

$$\ell_A(M) = \sum_{\mathfrak{m}} \ell_{A_{\mathfrak{m}}}(M_{\mathfrak{m}})$$

- (c) if  $A \rightarrow B$  is a local map of local rings and  $B$  is an  $M$ -module then,

$$\ell_A(M) = [\kappa(B) : \kappa(A)] \ell_B(M)$$

### 2.2 Orders of Vanishing

Let  $X$  be a variety and  $V \subset X$  a codimension 1 subvariety and  $f \in K(X)^\times$  then we can define an order of vanishing. Let  $\xi \in V$  be the generic point. Then we write  $f = \frac{a}{b}$  for  $a, b \in \mathcal{O}_{X, \xi}$  and define,

$$\text{ord}_V(f) = \text{ord}_V(a) - \text{ord}_V(b)$$

where,

$$\text{ord}_V(a) = \ell_A(A/aA)$$

*Remark.* If  $V$  is generically regular then  $\mathcal{O}_{X, \xi}$  is a DVR and  $\text{ord}_V(a) = \nu_V(a)$ .

**Definition 2.2.1.** For  $f \in K(X)^\times$  define,

$$\text{div} f = \sum_V [V] \text{ord}_V(f)$$

**Definition 2.2.2.** Then the Chow group,

$$A_q(X) = Z_q(X) / \langle \text{div} f \mid f \in K(X)^\times \quad Z \subset X \text{ of dim } q+1 \rangle$$

## 2.3 Proper Pushforward

Let  $f : X \rightarrow Y$  be proper and  $V \subset X$  a subvariety of  $X$  then  $f(V) \subset Y$  is also a subvariety. Let  $W = f(V)$ . The map  $f : V \rightarrow W$  is dominant so we get a map  $K(V) \rightarrow K(W)$ .

**Definition 2.3.1.** We say the degree of  $f : V \rightarrow W$  is,

$$\deg(V/W) = \begin{cases} 0 & \dim W < \dim V \\ [K(V) : K(W)] & \dim V = \dim W \end{cases}$$

We then define the pushforward map,

$$f_* : Z_q(X) \rightarrow Z_q(Y)$$

via the formula,

$$f_*[V] \mapsto \deg(V/f(V))[f(V)]$$

**Theorem 2.3.2.** This descends to a map  $f_* : A_q(X) \rightarrow A_q(Y)$ .

*Proof.* Reduce to  $q = \dim X - 1$  and  $Y$  is a variety. Suppose that  $\alpha = \text{div} r$  with  $r \in K(V)^\times$  we need to show that  $f_*\alpha = \text{div} r'$  for  $r' \in K(Y)^\times$ . We reduce to  $f : X \rightarrow Y$  proper surjective morphism of varieties and we show,

- (a) if  $\dim Y < \dim X$  then  $f_*\text{div} r = 0$
- (b) if  $\dim Y = \dim X$  then  $f_*\text{div} r = \text{div} N_{K(X)/K(Y)}(r)$ .

Consider  $Y = \text{Spec}(k)$  and  $X = \mathbb{P}_k^1$ . Then

Next  $f : X \rightarrow Y$  finite. Assume that  $X, Y$  are normal. Then we get a map  $\mathcal{O}_{Y, f(\xi)} \rightarrow \mathcal{O}_{X, \xi}$  which is the standard norm map for DVRs. Then we finish the proof. For each  $\xi \in Y$  height one  $\square$

**Lemma 2.3.3.** Let  $A$  be a domain and  $M$  an  $A$ -module with  $r = \text{rank}_A(M)$ . Then there exists a free submodule  $N \subset M$  of rank  $r$ .

*Proof.* Choose  $x_1, \dots, x_r \in M$  which form a  $K$ -basis of  $M \otimes_A K$  and consider  $\varphi : A^r \rightarrow M$ . Since localization is exact,  $\ker \varphi \otimes_A K = 0$  so  $\ker \varphi$  is torsion. However,  $\ker \varphi \subset A^r$  is torsion-free so  $\ker \varphi = 0$ .  $\square$

**Lemma 2.3.4.** Let  $A$  be a Noetherian domain with  $K = \text{Frac}(A)$  and  $\dim A = 1$ . Let  $M$  be a finite torsion-free  $A$ -module. Then for any  $a \in A$ ,

$$\ell_A(M/aM) = \ell_A(A/aA) \cdot \text{rank}_A M$$

*Proof.* Choose  $x_1, \dots, x_r \in M$  which form a  $K$ -basis of  $M \otimes_A K$  and consider the submodule (by above)  $A^r \hookrightarrow M$  giving an exact sequence,

$$0 \longrightarrow A^r \longrightarrow M \longrightarrow Q \longrightarrow 0$$

$A^r \rightarrow M$  is an isomorphism after tensoring with  $K$  we have  $Q \otimes_A K = 0$  and thus  $Q$  is torsion. Since  $M$  is a finite  $A$ -module so is  $Q$  so there is some nonzero  $t \in A$  such that  $tQ = 0$ . By Noetherianity, there is a filtration of  $Q$  whose quotients are  $A/\mathfrak{p}_i$  and thus  $t \in \mathfrak{p}_i$  so because  $\dim A = 1$  the primes  $\mathfrak{p}_i$  are maximal so this filtration are composition series proving that  $Q$  has finite length. Applying  $- \otimes_A (A/a^n)$  we get an exact sequence,

$$0 \longrightarrow \operatorname{Tor}_1^A(Q, A/a^n) \longrightarrow (A/a^n)^r \longrightarrow M/a^n M \longrightarrow Q/a^n Q \longrightarrow 0$$

Therefore,

$$\ell_A(M/a^n M) - r\ell_A(A/a^n) = \ell_A(Q/a^n Q) - \ell_A(\operatorname{Tor}_1^A(Q, A/a^n))$$

Furthermore,

$$\operatorname{Tor}_1^A(Q, A/a^n) = \ker(Q \xrightarrow{a^n} Q) \subset Q$$

so  $\ell_A(\operatorname{Tor}_1^A(Q, A/a^n)) \leq \ell_A(Q)$  and likewise  $\ell_A(Q/a^n Q) \leq \ell_A(Q)$ . Thus,

$$|\ell_A(M/a^n M) - r\ell_A(A/a^n)| \leq \ell_A(Q)$$

Now, notice that  $a^n A/a^{n+1} A \cong A/a$  since  $A$  is a domain and  $a^n M/a^{n+1} M \cong M/aM$  because  $M$  is torsion-free. Indeed the kernel of  $M \rightarrow a^n M/a^{n+1} M$  are elements  $m$  such that  $a^n m \in a^{n+1} M$  so  $a^n m = a^{n+1} m'$  so  $a^n(m - am')$  so by torsion-freeness  $m = am'$  proving that the kernel is  $aM$ . Therefore by induction we have,

$$\ell_A(A/a^n) = n\ell_A(A/a) \quad \text{and} \quad \ell_A(M/a^n M) = n\ell(M/aM)$$

and thus,

$$|\ell_A(M/aM) - r\ell_A(A/a)| \leq \frac{\ell_A(Q)}{n}$$

Taking the limit as  $n \rightarrow \infty$  proves the claim.  $\square$

**Corollary 2.3.5.** Let  $A, B$  be  $\dim = 1$ , noetherian, domains. Let  $A \rightarrow \tilde{A}$  be the normalization in  $K = \operatorname{Frac}(A)$ . Then,

$$\ell_A(A/rA) = \ell_A(B/rB)$$

## 2.4 Cycles of Subschemes

Let  $X$  be a scheme,  $X_i$  is irreducible components. Let,

$$[X] = \sum_i m_i [X_i] \quad m_i = \ell_{\mathcal{O}_{X,x}}(\mathcal{O}_{X,x})$$

For a subscheme  $V \subset X$  we make the same definition.

## 2.5 Flat Pullback

If  $f : X \rightarrow Y$  is flat then  $f$  is equidimensional. Then we will define,

$$A_k(Y) \rightarrow A_{n+k}(X)$$

where  $n$  is the relative dimension. Then we define,

$$f^*[V] = [f^{-1}(V)]$$

where  $f^{-1}(V)$  is not necessarily a variety so we need to use the previous construction to form the cycle  $[f^{-1}(V)]$ . Then extending linearly we have the following.

**Lemma 2.5.1.** For any subscheme  $Z \subset X$  then,

$$f^*[Z] = [f^{-1}(Z)]$$

*Proof.* Let  $W$  be an irreducible component of  $f^{-1}(Z)$ . Then  $\overline{f(W)}$  is a component of  $Z$  □

**Theorem 2.5.2.** flat pullback is compatible with rational equivalence.

*Proof.* Let  $X \dashrightarrow \mathbb{P}^1$  consider  $V \subset X \times \mathbb{P}^1$  the closure of its graph which is a subvariety.

$$\begin{array}{ccc} X \times \mathbb{P}^1 & \longrightarrow & Y \times \mathbb{P}^1 \\ \downarrow & & \downarrow \\ X & \longrightarrow & Y \end{array}$$

with rightward maps flat and downward map proper. □

**Proposition 2.5.3.** If  $\iota : Y \hookrightarrow X$  is closed subscheme  $U = X \setminus Y$  then there exists a right exact sequence,

$$A_q(Y) \xrightarrow{\iota_*} A_q(X) \xrightarrow{j^*} A_q(U) \longrightarrow 0$$

*Proof.* We just need to show that if  $\alpha \in A_q(X)$  has  $j^*\alpha = 0$  then it is in the image of  $\iota_*$ . Indeed, suppose  $j^*\alpha = \text{div} r$  with  $r \in K(U)^\times$ . If  $X$  is a variety then  $K(X) = K(U)$ . Then,

$$j^*(\alpha - \text{div} r) = 0$$

identically in  $Z_q$  so it arises as  $\iota_*$  of a cycle from  $Z_q(Y)$  and thus from  $A_q(Y)$  so we win. □

**Theorem 2.5.4.** Let  $E \rightarrow X$  be an affine bundle then  $A_q(X) \rightarrow A_{q+n}(E)$  is surjective.

*Proof.* First, reduce to the trivial bundle. Suppose that  $E|_U$  is trivial. Then we consider,

$$\begin{array}{ccccccc} A_{q+n}(Y) & \longrightarrow & A_{q+n}(E) & \longrightarrow & A_{q+n}(E|_U) & \longrightarrow & 0 \\ \uparrow & & \uparrow & & \uparrow & & \\ A_q(Y) & \longrightarrow & A_q(X) & \longrightarrow & A_q(U) & \longrightarrow & 0 \end{array}$$

and use noetherian induction. WLOG  $E \rightarrow X$  is trivial. □

## 2.6 Exterior Product

$[V] \times [W] = [V \times W]$  is well-defined for rational equivalence.

## 3 Feb. 15 Intersecting with Divisors

Let time we defined for  $X/k$  a scheme the groups,

$$A_k(X) = Z_k(X) / \sim_{\text{rat}}$$

and we showed that these have nice functorial properties. We want to define an intersection product on these rings. This is hard but we will be able to define things for divisors and then use blowups to reduce to the divisor case.

### 3.1 Divisors and Pseudodivisors

**Definition 3.1.1.** Let  $X$  be an  $n$ -dimensional variety, a *Weil divisor* is an element of  $Z_{n-1}(X)$  and a *Cartier divisor* is a global section of  $K^\times/\mathcal{O}_X^\times$ . Write,

$$\text{Div}(\cdot)(X) = H^0(X, K^\times/\mathcal{O}_X^\times)$$

**Proposition 3.1.2.** There exists a group map,

$$\text{Div}(\cdot)(X) \rightarrow Z_{n-1}(X)$$

given by,

$$r \mapsto \sum_{Z \subset X} [Z] \text{ord}_Z(r)$$

*Remark.* This is neither surjective or injective in general. If  $X$  is normal it is an injection, if  $X$  is locally factorial it is an isomorphism.

*Remark.* We will define an intersection product against elements of  $\text{Div}(\cdot)(X)$ .

*Remark.* This map naturally descends to a map,

$$\begin{array}{ccc} \text{Div}(X) & \longrightarrow & Z_{n-1}(X) \\ \downarrow & & \downarrow \\ \text{Pic}(X) & \dashrightarrow & A_{n-1}(X) \end{array}$$

Notice that  $\ker(\text{Div}(X) \rightarrow \text{Pic}(X)) \rightarrow \ker(Z_{n-1}(X) \rightarrow A_{n-1}(X))$  is not necessarily a bijection.

**Definition 3.1.3.** A *pseudo-divisor* is a triple  $(\mathcal{L}, Z, s)$  where  $\mathcal{L}$  is a line bundle  $Z \subset X$  is a closed subset and,

$$s \in \Gamma(X \setminus Z, \mathcal{L})$$

is nowhere vanishing.

**Lemma 3.1.4.** For any pseudo-divisor  $(\mathcal{L}, Z, s)$  there exists a Cartier divisor  $D$  with,

$$\mathcal{L} \cong \mathcal{O}_X(D) \quad Z \supset |D|$$

such that  $s$  corresponds to  $s_D|_{X \setminus Z}$ . Moreover  $D$  is uniquely determined if  $Z \neq X$ .

*Proof.* Choose some  $D'$  such that  $\mathcal{L} \cong \mathcal{O}_X(D')$ . Then  $s : \mathcal{O}_X \rightarrow \mathcal{L}$  gives an isomorphism on  $X \setminus Z$  therefore its kernel ... (FINISH)  $\square$

**Definition 3.1.5.** For  $D = (\mathcal{L}, Z, s)$  write  $Z = |D|$  then,

$$[D] \in A_{n-1}(|D|)$$

defined as follows. If  $\dim X = n$  then we set,

$$[D] = \begin{cases} [D'] & \dim |D| = n - 1 \text{ using previous construction to get divisor } D' \\ c_1(\mathcal{L}) & \dim |D| = n \\ 0 & \text{else} \end{cases}$$

**Definition 3.1.6.**  $D + D' = (\mathcal{L} \otimes \mathcal{L}', Z \cup Z', s \otimes s')$  and  $-D = (\mathcal{L}^\vee, Z, s^\vee)$  and for  $f : X \rightarrow Y$ ,

$$f^*D = (f^*\mathcal{L}, f^{-1}(Z), f^*s)$$

for any  $f$  not necessarily flat.

### 3.2 Intersecting with pseudo-divisors

**Definition 3.2.1.** For  $V \subset X$  subvariety of dimension  $k$ ,

$$D \cdot [V] := [\iota^* D] \in A_{k-1}(V \cap |D|)$$

For  $\alpha \in Z_k(X)$  can define,

$$D \cdot \alpha \in A_{n-1}(|D| \cap |\alpha|)$$

*Remark.* There is no moving lemma because we actually have a line bundle which can be pulled back unambiguously along the map  $\iota : V \hookrightarrow X$ .

**Proposition 3.2.2.** (a)  $D \cdot (\alpha + \alpha') = D \cdot \alpha + D \cdot \alpha' \in A_{k-1}(|D| \cap (|\alpha| \cup |\alpha'|))$

(b)  $(D + D') \cdot \alpha = D \cdot \alpha + D' \cdot \alpha \in A_{k-1}((|D| \cup |D'|) \cap |\alpha|)$

(c) if  $f : X' \rightarrow X$  is proper and  $\alpha \in Z_k(X')$  then,

$$f_*(f^* D \cdot \alpha) = D \cdot f_* \alpha \in A_{k-1}(|D| \cap f(|\alpha|))$$

(d) If  $f : X' \rightarrow X$  is flat relative dimension  $n$  then,

$$f^* D \cdot f^* \alpha = f^*(D \cdot \alpha) \in A_{n(k-1)}(f^{-1}(|D| \cap |\alpha|))$$

*Proof.* These are all formal from the definition except for (c). Reduce to  $\alpha = [V]$  then reduce to  $X' = V$  and reduce to  $X = f(V)$  and then reduce to  $D$  is a Cartier divisor. Want to show,

$$f_*[f^* D] = \deg(X'/X) \cdot [D]$$

at the level of cycles. This is local, so  $X = \text{Spec}(A)$  and  $D = \text{div} r$  for  $r \in K(A)^\times$  then,

$$f_*(f^*[\text{div}(r)]) = [\text{div}(N(r))] = [\text{div}(r^{\deg X'/X})] = \deg(X'/X) \cdot [\text{div} r]$$

□

**Theorem 3.2.3.** If  $X$  is a variety of dimension  $n$  and,  $D, D'$  are pseudo-divisors, then,

$$D \cdot [D'] = D' \cdot [D] \in A_{n-2}(|D| \cap |D'|)$$

*Proof.* Can assume that  $D, D'$  are Cartier divisors. Assume  $D, D'$  are effective and intersect properly meaning  $\dim(|D| \cap |D'|) = n - 2$ . We're comparing intersection multiplicity for a codim 2 subset  $W \subset X$  so look at the local ring,

$$A = \mathcal{O}_{X,W}$$

of dimension 2. Then  $D, D'$  correspond to  $a, a' \in A$ . Then multiplicity for  $D \cdot [D']$  is,

$$[D'] = \sum_{\text{ht}(\mathfrak{p})=1} \ell_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}/a'A_{\mathfrak{p}})[A/\mathfrak{p}]$$

and therefore,

$$(D \cdot [D'])_W = \sum_{\text{ht}(\mathfrak{p})=1} \ell_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}/a'A_{\mathfrak{p}}) \cdot \ell_{A_{\mathfrak{p}}}(A/(\mathfrak{p} + aA))$$

Claim this number is the alternating sum of lengths of the cohomologies of,

$$(A/aA) \otimes_A^{\mathbb{L}} (A/a'A)$$

Indeed, consider a filtration,

$$0 = M_0 \subsetneq M_1 \subsetneq \cdots \subsetneq M_{x-1} \subsetneq M_x = A/aA$$

for each  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$ . Therefore, for  $\mathbf{ht}(\mathfrak{p}) = 1$ ,

$$\ell_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}/a'A_{\mathfrak{p}}) = \#\{i \mid \mathfrak{p} = \mathfrak{p}_i\}$$

Consider each sequence,

$$0 \longrightarrow M_{i-1} \longrightarrow M_i \longrightarrow M_i/M_{i-1} \longrightarrow 0$$

therefore,

$$\chi((M_i/M_{i-1}) \otimes_A^{\mathbb{L}} A/aA) + \chi(M_{i-1} \otimes_A^{\mathbb{L}} A/aA) = \chi(M_i \otimes_A^{\mathbb{L}} A/aA)$$

If  $\mathbf{ht}(\mathfrak{p}) = 2$  then,

$$(A/\mathfrak{p} \otimes_A^{\mathbb{L}} A/aA) = [A/\mathfrak{p} \xrightarrow{a} A/\mathfrak{p}]$$

and therefore  $\chi = 1 - 1 = 0$ . If  $\mathbf{ht}(\mathfrak{p}) = 1$  then,

$$A/\mathfrak{p} \otimes_A^{\mathbb{L}} A/aA = [A/(\mathfrak{p} + aA)][0]$$

because  $a$  is nonzero in  $A/\mathfrak{p}$  using proper intersection (EXPLAIN THIS BETTER).

Step 2,  $D, D'$  are effective and not necessarily intersecting properly. Idea: “reduce number of non-properly intersecting stuff by blowups”. Take  $D \cap D'$  and blow up  $X$  at  $D \cap D'$  giving,

$$\begin{array}{ccc} E & \hookrightarrow & \widetilde{X} \\ \downarrow & & \downarrow \pi \\ D \cap D' & \longrightarrow & X \end{array}$$

so can write  $\pi^*D = E + C$  and  $\pi^*D' = E + C'$  for effective Cartier divisors  $C, C' \subset \widetilde{X}$ . We claim that  $C, C'$  is simpler. Indeed, define,

$$\varepsilon(D, D') = \max\{\text{ord}_V(D) \cdot \text{ord}_V(D')\}$$

so that  $\varepsilon(D, D') = 0 \iff D$  and  $D'$  intersect properly.

We claim that  $C$  and  $C'$  are disjoint. If  $\varepsilon(D, D') > 0$  then  $\varepsilon(C, E), \varepsilon(E, C') < \varepsilon(D, D')$ . This follows from just the structure of the blowup.

If we know the result holds for  $\pi^*D = B + C$  and  $\pi^*D' = B' + C'$  and know the theorem for each pair then we know it for  $(D, D')$ . Therefore, we finish step 2 by induction.

Step 3, if  $D$  is not effective then define  $\mathcal{I}$  to be the “sheaf of ideals of denominators” then blowup at  $\mathcal{I}$  and  $\pi^*D + E$  is effective.  $\square$

**Corollary 3.2.4.** For  $Y \subset X$  then we get a map,

$$D \cdot (-) : A_k(Y) \rightarrow A_{k-1}(Y \cap |D|)$$

*Remark.* If  $[D] = [D'] \in A_{n-1}(X)$  then  $D \cdot (-) = D' \cdot (-)$ .



## 4 Feb 22

**Corollary 4.0.1.** Let  $D$  be a pseudo-divisor on  $X$  and  $\alpha \in A_k(X)$  and  $\alpha \sim 0$  then  $D \cdot \alpha = 0$  in  $A_{kn}(\text{Supp}_D())$ .

*Proof.* If  $\alpha = \text{div} r$  then  $D \cdot [\text{div}(r)] = \text{div}(r)[D] = \text{div}(r|_\infty) = 0$ .  $\square$

**Definition 4.0.2.** Let  $X$  be a variety and  $A^k(X)$  the Chow ring. Then  $A^\bullet(X)$  is a graded ring wrt composition.

**Definition 4.0.3.** Let  $X$  be a variety and  $\mathcal{L}$  a line bundle then  $c_1(\mathcal{L}) \in A^1(X)$  is the intersection with pseudo-divisor  $(\mathcal{L}, X, 0)$ .

**Proposition 4.0.4.**

**Example 4.0.5.** Let  $H_1, \dots, H_n$  be hypersurfaces in  $\mathbb{P}^n$  of degrees  $d_1, \dots, d_k$ . Then,

$$H_1 \cdots H_k = (d_1 \cdots d_k)[*]$$

This is because of symmetry and intersection with divisors working as intended.

### 4.1 Segre Classes

**Definition 4.1.1.** Let  $X$  be a variety and  $\mathcal{E}/X$  a vector bundle of rank  $e + 1$ . Let  $P = \mathbb{P}(\mathcal{E}) = \text{Proj}(\text{Sym}_\bullet(\mathcal{E}^\vee))$  be the corresponding projective bundle. Then there is a canonical subline bundle  $\gamma \subset \pi^*\mathcal{E}$  which is the dual of  $\mathcal{O}_{\mathbb{P}}(1)$ . Then the *Segre classes* are,

$$s_i(\mathcal{E}) \in A^i(X)$$

defined by,

$$s_i(\mathcal{E}) \cdot \alpha = \pi_*(c_1(\mathcal{O}(1)))^{e+i} \cdot \pi^*\alpha$$

**Proposition 4.1.2.** Properties of the Segre classes,

- (a)  $s_i(\mathcal{E}) = 0$  for  $i < 0$
- (b) if  $f$  is proper then  $f_*(s_i(f^*\mathcal{E}) \cdot \alpha) = s_i(\mathcal{E}) \cdot f_*\alpha$
- (c) if  $f$  is flat then  $s_i(f^*\mathcal{E}) \cdot f^*\alpha = f^*(s_i(\mathcal{E}) \cdot \alpha)$
- (d) If  $\mathcal{L} \in \text{Pic}((X))$  then  $s_1(\mathcal{L}) = -c_1(\mathcal{L})$ .

*Proof.* Consider the diagram,

$$\begin{array}{ccc} \mathbb{P}(f^*\mathcal{E}) & \longrightarrow & \mathbb{P}(\mathcal{E}) \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & Y \end{array}$$

which is Cartesian and linear meaning  $f^*\mathcal{O}(1) = \mathcal{O}(1)$  or equivalently it is given by a graded map “downstairs”.  $\square$

## 4.2

$c_1(\mathcal{O}(1))$  acts on  $A_\bullet(P)$  induces an action of,

$$\mathbb{Z}[c_1(\mathcal{O}(1))]/(P(c_1(\mathcal{O}(1))))$$

**Theorem 4.2.1.** There exists an isomorphism,

$$\mathbb{Z}[c_1(\mathcal{O}(1))]/P \otimes_{\mathbb{Z}} A_\bullet(X) \rightarrow A_\bullet(P)$$

sending,

$$\sum m_i c_1(\mathcal{O}(1))^i \otimes \alpha_i \mapsto \sum_i c_1(\mathcal{O}(1))^i \pi^* \alpha$$

*Proof.* We call this map  $\theta$ . Consider,

$$\beta = \sum_{i=0}^e c_1(\mathcal{O}(1))^i \pi^* \alpha_i = 0$$

let  $\ell$  be minimal st  $\alpha_\ell \neq 0$ . (INJECTIVITY)

Now for surjectivity we consider an open  $U \subset X$  such that  $\mathcal{E}$  is trivial. Then consider,

$$\begin{array}{ccccccc} A_\bullet(P|_U) & \longrightarrow & A_\bullet(P) & \longrightarrow & A_\bullet(P_{X \setminus X}) & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \\ A_\bullet(U) & \longrightarrow & A_\bullet(X) & \longrightarrow & A_\bullet(X \setminus U) & \longrightarrow & 0 \end{array}$$

□

**Corollary 4.2.2.**  $A_k(X) \rightarrow A_{k+n}(X, \mathbb{A}^n)$  is an isomorphism.

*Proof.* If  $\alpha \neq 0$  then  $\pi^* \alpha = 0$  then  $j^* \rho^* \alpha = 0$  (WHAT) but them

$$\rho^* \alpha = i_k(\sum$$

□

**Definition 4.2.3.** Let  $X$  be a variety and  $\mathcal{E}$  a vector bundle of rank  $r$ . Let  $E = \mathbb{V}_X(\mathcal{E})$  be the total space  $E = \mathbf{Spec}_X(\mathrm{Sym}_\bullet(\mathcal{E}^\vee))$ . Let  $s : X \rightarrow E$  be the zero section. Define the Gysin map  $s^! : A_k(E) \rightarrow A_{k-r}(X)$  via  $s^!(\beta) = (\pi^*)^{-1}(\beta)$ .

*Remark.* In topology, the Gysin map is defined in terms of intersection theory. We will invert the logic and use the Gysin map to define intersections. Indeed, if  $\iota : Y \hookrightarrow X$  is a closed embedding then  $[Y] \cdot \alpha = i^!(\alpha)$  for  $s : Y \rightarrow N_{X/Y}$ .

## 5 Chern Classes

*Remark.* I am following Grothendieck's treatment of Chern classes see *La théorie des classes de Chern* for details.

**Definition 5.0.1.** Let  $\mathcal{V}$  be the category of smooth projective varieties over  $k$ . An *algebraic cohomology theory* is the following data,

(a) a contravariant functor,

$$A : \mathcal{V} \rightarrow \mathbf{GrdComRing}$$

(b) functorial homomorphisms of abelian groups  $p_X : \mathrm{Pic}(X) \rightarrow A^2(X)$  for  $X \in \mathcal{V}$ .

(c) for closed subvarieties  $\iota_* : Y \hookrightarrow X$  of pure codimension  $p$  with  $Y \in \mathcal{V}$  there is a group homomorphism,

$$\iota_* : A(Y) \rightarrow A(X)$$

of degree  $2p$ . We write  $p_X(Y) = [Y] \in A(X)$  for  $\iota_*(1_Y)$ .

such that the following axioms hold,

A1 For  $X \in \mathcal{V}$  and  $\mathcal{E}$  a rank  $r$  vector bundle on  $X$  let  $\xi_{\mathcal{E}} = p_{\mathbb{P}_X(\mathcal{E})}(\mathcal{O}_{\mathbb{P}_X(\mathcal{E})}(1)) \in A^2(\mathbb{P}_X(\mathcal{E}))$  then,

$$1, \xi_{\mathcal{E}}, \xi_{\mathcal{E}}^2, \dots, \xi_{\mathcal{E}}^{r-1}$$

forms a basis of  $A(\mathbb{P}_X(\mathcal{E}))$  as a free  $A(X)$ -module

A2 For  $X \in \mathcal{V}$  and  $\mathcal{L} \in \mathrm{Pic}(X)$  and  $s$  a regular section of  $\mathcal{L}$ ,

$$[V(s)] = p_X(\mathcal{L})$$

A3 For  $\iota : Z \hookrightarrow Y$  and  $j : Y \hookrightarrow X$  closed embeddings with  $X, Y, Z \in \mathcal{V}$  then,

$$(j \circ \iota)_* = j_* \circ \iota_*$$

A4 For  $\iota : Z \hookrightarrow X$  a closed embedding with  $Z, X \in \mathcal{V}$  we have,

$$\iota_*(\alpha \cdot \iota^* \beta) = \iota_*(\alpha) \cdot \beta$$

for all  $\alpha \in A(Z)$  and  $\beta \in A(X)$ .

*Remark.* In our definition of a graded commuative ring,

$$x \cdot y = (-1)^{\deg x \deg y} y \cdot x$$

Some examples,

(a)  $A^{2i}(X) = \mathrm{CH}^i(X)$  and  $A^{2i+1}(X) = 0$

(b)  $A^i(X) = H_{\acute{e}t}^i(X_{\bar{k}}, \mathbb{Q}_{\ell})$  for  $\mathrm{char} k \neq \ell$

(c)  $A^i(X) = H_{\mathrm{dR}}^i(X)$  for  $\mathrm{char} k = 0$

(d)  $A^i(X) = H_{\mathrm{sing}}^i(X(\mathbb{C}))$  for  $k \subset \mathbb{C}$ .

*Remark.* You may be disturbed that were only supposed to apply pullback on Chow rings to *flat* maps. To the contrary, for any map  $f : X \rightarrow Y$  of smooth varieties we can define  $f^* \alpha = \Gamma_f \cdot (\alpha \times [Y])$  giving a pullback via,

$$A(Y) \xrightarrow{- \times [Y]} A(X \times Y) \xrightarrow{\Gamma_f \frown -} A(\Gamma_f) \xrightarrow{\sim} A(X)$$

One then checks that for  $f$  flat this pullback coincides with flat pullback and that this is compatible (in the projection formula) with proper pushforward.

**Theorem 5.0.2.** For each algebraic cohomology theory,  $A$  there exists a unique natural map

$$c : \text{Vect}_X \rightarrow A(X)$$

called the *total Chern class* such that,

- (a) for any  $f : X \rightarrow Y$  morphism in  $\mathcal{V}$  and  $\mathcal{E}$  a vector bundle on  $Y$ ,

$$c(f^*\mathcal{E}) = f^*(c(\mathcal{E}))$$

- (b) let  $\mathcal{L}$  be a line bundle on  $X \in \mathcal{V}$  then,

$$c(\mathcal{L}) = 1 + p_X(\mathcal{L})$$

- (c) for  $X \in \mathcal{V}$  and an exact sequence,

$$0 \longrightarrow \mathcal{E}_1 \longrightarrow \mathcal{E}_2 \longrightarrow \mathcal{E}_3 \longrightarrow 0$$

of vector bundles on  $X$  then,

$$c(\mathcal{E}_2) = c(\mathcal{E}_1) \cdot c(\mathcal{E}_3)$$

*Proof.* We apply the so called "splitting principle". Consider the projective bundle  $\pi : \mathbb{P}_X(\mathcal{E}) \rightarrow X$  then by definition there is an exact sequence,

$$0 \longrightarrow \mathcal{E}_1 \longrightarrow \pi^*\mathcal{E} \longrightarrow \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1) \longrightarrow 0$$

Repeating inductively, I get a morphism  $\pi : \widetilde{X} \rightarrow X$  such that there is a filtration,

$$\pi^*\mathcal{E} = \mathcal{E}_0 \supset \mathcal{E}_1 \supset \cdots \supset \mathcal{E}_r = (0)$$

where  $\mathcal{E}_i/\mathcal{E}_{i+1} \cong \mathcal{O}_{\mathbb{P}(\mathcal{E}_i)}(1)$  is a line bundle. Then the exact sequences show that,

$$\pi^*c(\mathcal{E}) = c(\pi^*\mathcal{E}) = \prod_{i=0}^r c(\mathcal{E}_i/\mathcal{E}_{i+1}) = \prod_{i=0}^r (1 + p_{\widetilde{X}}(\mathcal{E}_i/\mathcal{E}_{i+1}))$$

Because  $\pi^* : A(X) \rightarrow A(\widetilde{X})$  is injective this proves uniqueness and also provides a formula for computing Chern classes. Thus we define  $c$  via this construction and prove the required properties.  $\square$

**Lemma 5.0.3** (Projective Bundle Formula). Let  $X \in \mathcal{V}$  and  $\mathcal{E}$  a rank  $r$  vector bundle on  $X$  let  $\xi_{\mathcal{E}} = p_{\mathbb{P}_X(\mathcal{E})}(\mathcal{O}_{\mathbb{P}_X(\mathcal{E})}(1)) = c_1(\mathcal{O}_{\mathbb{P}_X(\mathcal{E})}(1))$ . Then in  $A(\mathbb{P}_X(\mathcal{E}))$  we have the relation,

$$\sum_{i=0}^r \pi^*c_i(\mathcal{E}) \cdot (-\xi_{\mathcal{E}})^{r-i} = 0$$

## 5.1 Where we Are

However, recall where we currently are in our construction. We have intersection with divisors and we have Segre classes. However, we don't know how to define arbitrary intersection products so we cannot yet apply the previous construction to Chow cohomology. Furthermore, we only did the above for smooth projective varieties (although I don't think there is any obstruction to extending this). Instead we give a direct definition of the Chern classes in terms of Segre classes which are themselves defined in terms of the splitting principle. First, recall:

**Definition 5.1.1.** Let  $\mathcal{E}$  be a vector bundle on  $X$  of rank  $e+1$ . Then the *Segre classes*  $s_i(\mathcal{E}) \in A_i(X)$  are defined via their action  $s_i(\mathcal{E}) \cdot (-) : A_k(X) \rightarrow A_{k-i}(X)$  by,

$$s_i(\mathcal{E}) \cdot \alpha = p_*(c_1(\mathcal{O}(1))^{e+i} \cdot p^*\alpha)$$

**Definition 5.1.2.** Let  $\mathcal{E}$  be a vector bundle on a scheme  $X$ . Consider the formal power series,

$$s_t(\mathcal{E}) = \sum_{i=0}^{\infty} s_i(\mathcal{E}) t^i$$

then the *Chern polynomial* is the inverse power series,

$$c_t(\mathcal{E}) = s_t(\mathcal{E})^{-1}$$

These are regarded as endomorphisms of  $A_{\bullet}(X)$ . The *total Chern class*  $c(\mathcal{E})$  is the sum,

$$c(\mathcal{E}) = 1 + c_1(\mathcal{E}) + \cdots + c_r(\mathcal{E})$$

where  $r = \text{rank } \mathcal{E}$ . Explicitly, this means,

$$c(\mathcal{E}) \cdot \alpha = \sum_{i=0}^r c_i(\mathcal{E}) \cap \alpha$$

for all  $\alpha \in A_{\bullet}(X)$ .

**Theorem 5.1.3.** The Chern classes satisfy the following properties,

vanishing for all vector bundles  $\mathcal{E}$  on  $X$  and  $i > \text{rank } \mathcal{E}$ ,

$$c_i(\mathcal{E}) = 0$$

commutativity for all vector bundles  $\mathcal{E}, \mathcal{F}$  on  $X$  and  $i, j$  and cycles  $\alpha$ ,

$$c_i(\mathcal{E}) \cdot (c_j(\mathcal{F}) \cdot \alpha) = c_j(\mathcal{F}) \cdot (c_i(\mathcal{E}) \cdot \alpha)$$

projection Let  $\mathcal{E}$  be a vector bundle on  $X$  and  $f : X' \rightarrow X$  proper. Then,

$$f_*(c_i(f^*\mathcal{E}) \cdot \alpha) = c_i(\mathcal{E}) \cdot f_*(\alpha)$$

for all cycles  $\alpha$  on  $X'$

pull-back Let  $\mathcal{E}$  be a vector bundle on  $X$  and  $f : X' \rightarrow X$  a flat morphism. Then,

$$c_i(f^*\mathcal{E}) \cdot f^*\alpha = f^*(c_i(\mathcal{E}) \cdot \alpha)$$

for all cycles  $\alpha$  on  $X$ .

Whitney sum for any exact sequence,

$$0 \longrightarrow \mathcal{E}' \longrightarrow \mathcal{E} \longrightarrow \mathcal{E}'' \longrightarrow 0$$

of vector bundles on  $X$  then,

$$c_t(\mathcal{E}) = c_t(\mathcal{E}') \cdot c_t(\mathcal{E}'')$$

and therefore,

$$c_k(\mathcal{E}) = \sum_{i+j=k} c_i(\mathcal{E}') \cdot c_j(\mathcal{E}'')$$

normalization If  $\mathcal{E}$  is a line bundle on a variety  $X$  and  $D$  a Cartier divisor on  $X$  with  $\mathcal{E} = \mathcal{O}_X(D)$  then,

$$c_1(\mathcal{E}) \cdot [X] = [D]$$

*Proof.* We will prove (a) and (e) from the splitting principle. The others follow directly from our discussion of Segre classes last time.

Splitting construction: given a finite collection  $\mathcal{V}$  of vector bundles on  $X$  there exists a flat morphism  $f : X' \rightarrow X$  such that,

- (a)  $f^* : A_\bullet(X) \rightarrow A_\bullet(X')$  is injective
- (b) for each  $\mathcal{E} \in \mathcal{V}$  then  $f^*\mathcal{E}$  has a filtration by subbundles,

$$f^*\mathcal{E} = \mathcal{E}_r \supset \mathcal{E}_{r-1} \supset \cdots \supset \mathcal{E}_1 \supset \mathcal{E}_0 = (0)$$

such that  $\mathcal{L}_i = \mathcal{E}_i / \mathcal{E}_{i-1}$  is a line bundle.

We have seen how to produce this inductively by taking  $\pi : \mathbb{P}_X(\mathcal{E}) \rightarrow X$  which gives an exact sequence,  $0 \longrightarrow \mathcal{E}' \longrightarrow \pi^*\mathcal{E} \longrightarrow \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1) \longrightarrow 0$  and we proved that  $\pi^* : A_\bullet(X) \rightarrow A_\bullet(\mathbb{P}(X))$  is injective previously. Therefore, to prove (a) it suffices to show that,

$$f^*c_t(\mathcal{E}) = c_t(f^*\mathcal{E}) = \prod_{i=1}^r (1 + c_1(\mathcal{L}_i)t)$$

using the injectivity. We need a lemma first. □

**Proposition 5.1.4.** Let  $\mathcal{E}$  has a filtration by line bundles  $\mathcal{L}_1, \dots, \mathcal{L}_r$ . Let  $s$  be a section of  $\mathcal{E}$  and  $Z$  the subset of  $X$  where  $s$  vanishes. Then for any  $k$ -cycle  $\alpha$  on  $X$ , there is a  $(k - r)$ -cycle  $\beta$  on  $Z$  with,

$$\prod_{i=1}^r c_1(\mathcal{L}_i) \cdot \alpha = \beta$$

in  $A_{k-r}(X)$ . In particular, if  $s$  is nowhere vanishing then,

$$\prod_{i=1}^r c_1(\mathcal{L}_i) = 0$$

*Proof.*  $s$  determines a section  $\bar{s}$  of the quotient  $\mathcal{L}_r$  and let  $Y$  be the zero scheme. Then  $D_r = (\mathcal{L}_r, Y, \bar{s})$  is a pseudo-divisor on  $X$ . Then  $D_r \cdot \alpha \in A_{k-1}(Y)$  is such that,

$$c_1(\mathcal{L}_r) \cdot \alpha = j_*(D_r \cdot \alpha)$$

where  $j : Y \hookrightarrow X$  is the inclusion. Then the projection formal says,

$$\prod_{i=1}^r c_1(\mathcal{L}_i) \cdot \alpha = j_* \left( \prod_{i=1}^{r-1} c_1(j^* \mathcal{L}_i) \cdot (D_r \cdot \alpha) \right)$$

The bundle  $j^* \mathcal{E}_{r-1}$  has a section, induced by  $s$ , whose zero set is  $Z$ . By induction on  $r$  we conclude.  $\square$

## 5.2 Completing the Proof

Let  $\pi : \mathbb{P}(\mathcal{E}) \rightarrow X$  be the associated projective bundle. The universal subbundle  $\mathcal{O}_{\mathbb{P}(\mathcal{E})}(-1)$  of  $\pi^* \mathcal{E}$  corresponds to a trivial line subbundle of  $\pi^* \mathcal{E} \otimes \mathcal{O}(1)$  meaning a nowhere vanishing section. Since  $\pi^* \mathcal{E} \otimes \mathcal{O}(1)$  has a filtration with quotient bundles  $\pi^* \mathcal{L}_i \otimes \mathcal{O}(1)$  the lemma implies that,

$$\prod_{i=1}^r c_1(\pi^* \mathcal{L}_i \otimes \mathcal{O}(1)) = 0$$

Let  $\zeta = c_1(\mathcal{O}(1))$  and let  $\sigma_i$  be the elementary symmetric polynomials of  $c_1(\mathcal{L}_i)$  and  $\bar{\sigma}_i = \pi^* \sigma_i$ . Then  $c_1(\pi^* \mathcal{L}_i \otimes \mathcal{O}(1)) = c_1(\pi^* \mathcal{L}_i) + \zeta$  so the above is,

$$\zeta^r + \bar{\sigma}_1 \zeta^{r-1} + \cdots + \bar{\sigma}_r = 0$$

Therefore, with  $e = r - 1$  we have,

$$\zeta^{e+i} + \bar{\sigma}_i \zeta^{e+i-1} + \cdots + \bar{\sigma}_r \zeta^{i-1} = 0$$

for all  $i \geq 1$ . It follows that for all  $\alpha \in A_\bullet(X)$ ,

$$\pi_*(\zeta^{e+i} \cdot \pi^* \alpha) + \pi_*(\bar{\sigma}_i \zeta^{e+i-1} \cdot \pi^* \alpha) + \cdots + \pi_*(\bar{\sigma}_r \zeta^{r-1} \cdot \pi^* \alpha) = 0$$

But this is the definition for Segre classes so using the projection formula,

$$s_i(\mathcal{E}) \cdot \alpha + \sigma_1 s_{i-1}(\mathcal{E}) \cdot \alpha + \cdots + \sigma_r s_{i-r}(\mathcal{E}) \cdot \alpha = 0$$

which implies that,

$$(1 + \sigma_1 t + \cdots + \sigma_r t^r) s_t(\mathcal{E}) = 1$$

and therefore,

$$c_t(\mathcal{E}) = 1 + \sigma_1 t + \cdots + \sigma_r t^r$$

proving the claim. The Whitney sum formula (e) also follows from this. Given an exact sequence,

$$0 \longrightarrow \mathcal{E}' \longrightarrow \mathcal{E} \longrightarrow \mathcal{E}'' \longrightarrow 0$$

then we choose  $f : X' \rightarrow X$  so that  $f^* : A_\bullet(X) \rightarrow A_\bullet(X')$  is injective and both  $f^* \mathcal{E}'$  is filtered by line bundle quotients  $\mathcal{L}'_i$  and  $f^* \mathcal{E}''$  is filtered by line bundle quotients  $\mathcal{L}''_i$  which induces a filtration on  $f^* \mathcal{E}$  with line bundle quotients  $\mathcal{L}'_i$  and  $\mathcal{L}''_j$ . Therefore,

$$c_t(f^* \mathcal{E}) = \left( \prod_{i=1}^r (1 + c_1(\mathcal{L}'_i) t) \right) \cdot \left( \prod_{i=1}^r (1 + c_1(\mathcal{L}''_i) t) \right) = c_t(f^* \mathcal{E}') \cdot c_t(f^* \mathcal{E}'')$$

and therefore we get,

$$c_t(\mathcal{E}) = c_t(\mathcal{E}') \cdot c_t(\mathcal{E}'')$$

by the injectivity of  $f^*$ .

### 5.3 Some Remarks

By the commutativity, any polynomial in the Chern classes of vector bundles on  $X$  operates on  $A_\bullet(X)$ . If,

$$p = P(c_{i_1}(\mathcal{E}_1), \dots, c_{i_m}(\mathcal{E}_m))$$

for vector bundles  $\mathcal{E}_1, \dots, \mathcal{E}_m$  on  $X$  and  $\alpha \in A_\bullet(X)$  we write,

$$p \cdots \alpha = P(c_{i_1}(\mathcal{E}_1), \dots, c_{i_m}(\mathcal{E}_m)) \cdot \alpha$$

If  $p$  is homogeneous of degree  $d$  where  $c_i(\mathcal{E})$  has weight  $i$  and  $\alpha \in A_k(X)$  then  $p \cdot \alpha \in A_{k-d}(X)$ .

We often abuse notation by writing  $p \in A_\bullet(X)$  in place of  $p \cdot [X]$  where as strictly  $p$  represents a morphism  $A_\bullet(X) \rightarrow A_\bullet(X)$ . When  $X$  is non-singular, we will prove that we can fully recover the action of  $p$  on any cycle  $\alpha$  from the class  $p \cdot [X]$ . Indeed, once we have an intersection product we will have  $p \cdot \alpha = (p \cdot [X]) \cdot \alpha$ .

(FIND AN EXAMPLE WHERE THIS INFO IS NOT CONTAINED IN TOP!!)

### 5.4 Rational Equivalence of Bundles

**Theorem 5.4.1.** Let  $\mathcal{E}$  be a vector bundle of rank  $r = e + 1$  on a scheme  $X$ . Then,

- (a) then  $\pi^* : A_{k-r}(X) \rightarrow A_k(\mathbb{P}(\mathcal{E}))$  is an isomorphism for all  $k$
- (b) the map  $\pi^* : A_\bullet(X) \rightarrow A_\bullet(\mathbb{P}(\mathcal{E}))$  exhibits  $A_\bullet(\mathbb{P}(\mathcal{E}))$  as a free graded  $A_\bullet(X)$ -module meaning each  $\beta \in A_k(\mathbb{P}(\mathcal{E}))$  is uniquely of the form,

$$\beta = \sum_{i=0}^r c_1(\mathcal{O}(1))^i \cdot \pi^* \alpha_i$$

for  $\alpha_i \in A_{k-e+i}(X)$  meaning there is a canonical isomorphism,

$$A_k(\mathbb{P}(\mathcal{E})) \cong \bigoplus_{i=0}^e A_{k-e+i}(X)$$

*Proof.* DO THIS!!

□

## 6 Deformation to the Normal Cone

Let  $X \hookrightarrow Y$  be a closed subscheme, and  $C = C_X Y$  be the normal cone of  $X$  inside  $Y$ . Here we construct a scheme  $M^\circ = M_X^\circ Y$  with a flat morphism  $q : M^\circ \rightarrow \mathbb{P}^1$  which is a deformation of  $X \hookrightarrow Y$  to the zero section embedding  $X \hookrightarrow C$ . Explicitly, this means there is an embedding  $X \times \mathbb{P}^1 \hookrightarrow M$  fitting into the diagram,

$$\begin{array}{ccc} X \times \mathbb{P}^1 & \hookrightarrow & M \\ & \searrow & \swarrow q \\ & \mathbb{P}^1 & \end{array}$$

such that,



(a) Over  $\mathbb{A}^1 = \mathbb{P}^1 \setminus \{0\}$  then  $q^{-1}(\mathbb{A}^1) \cong Y \times \mathbb{A}^1$  and the embedding is the trivial,

$$X \times \mathbb{A}^1 \hookrightarrow Y \times \mathbb{A}^1$$

(b) over  $\infty$  the fiber of  $X \times \mathbb{P}^1 \rightarrow M^\circ$  is isomorphic to the embedding  $X \hookrightarrow C$ .

The construction proceeds as follows:

First, we construct a scheme  $M = M_X(Y)$  with a flat morphism  $q : M \rightarrow \mathbb{P}^1$  and an embedding  $X \times \mathbb{P}^1 \hookrightarrow M$  fitting into the diagram,

$$\begin{array}{ccc} X \times \mathbb{P}^1 & \hookrightarrow & M \\ & \searrow & \swarrow q \\ & \mathbb{P}^1 & \end{array}$$

such that,

(a) Over  $\mathbb{A}^1 = \mathbb{P}^1 \setminus \{0\}$  then  $q^{-1}(\mathbb{A}^1) \cong Y \times \mathbb{A}^1$  and the embedding is the trivial,

$$X \times \mathbb{A}^1 \hookrightarrow Y \times \mathbb{A}^1$$

(b) over  $\infty$  the divisor  $M_\infty = q^*([\infty])$  is the sum of two effective Cartier divisors,

$$M_\infty = [\mathbb{P}(C \oplus 1)] + [\widetilde{Y}]$$

where  $\widetilde{Y}$  is the blowup of  $Y$  along  $X$ . The embedding of  $X \times \{\infty\}$  in  $M_\infty$  is the zero-section of  $X$  in  $C$  folowed by the canonical open  $C \hookrightarrow \mathbb{P}(C \oplus 1)$ .

The point is that in  $M_\infty$  the two components  $\widetilde{Y}$  and  $X \hookrightarrow \mathbb{P}(C \oplus 1)$  are disjoint since the divisors  $\mathbb{P}(C \oplus 1)$  and  $\widetilde{Y}$  intersect in the subscheme  $\mathbb{P}(C) \hookrightarrow \mathbb{P}(C \oplus 1)$  embedded in the hyperplane at infinity which intersects the exceptional divisor of  $\widetilde{Y}$ . Therefore,  $\widetilde{Y}$  does not intersect  $X \hookrightarrow \mathbb{P}(C \oplus 1)$ . Then we define  $M^\circ = M \setminus \widetilde{Y}$  and  $M^\circ \rightarrow \mathbb{P}^1$  remains flat so we get a family of embeddings,

$$\begin{array}{ccc} X \times \mathbb{P}^1 & \hookrightarrow & M^\circ \\ & \searrow \text{pr} & \swarrow \rho^\circ \\ & \mathbb{P}^1 & \end{array}$$

*Proof.* Let  $M$  be the blowup of  $Y \times \mathbb{P}^1$  along  $X \times \{0\}$ . Since the normal cone of  $X \times \{\infty\} \hookrightarrow Y \times \mathbb{P}^1$  is  $C \oplus 1$  the exceptional divisor in this blow-up is  $\mathbb{P}(C \oplus 1)$ .

From the embeddings,

$$X = X \times \{\infty\} \hookrightarrow X \times \mathbb{P}^1 \hookrightarrow Y \times \mathbb{P}^1$$

the blow-up of  $X \times \mathbb{P}^1$  along  $X \times \{\infty\}$  is embeded as a closed subscheme of  $M$ . But  $X \times \{\infty\}$  is a Cartier divisor on  $X \times \mathbb{P}^1$ , the blow-up of  $X \times \mathbb{P}^1$  along  $X \times \{\infty\}$  is  $X \times \mathbb{P}^1$  giving a closed embedding,

$$X \times \mathbb{P}^1 \hookrightarrow M$$

Similarly, from,

$$X \times \{\infty\} \hookrightarrow Y \times \{\infty\} \hookrightarrow Y \times \mathbb{P}^1$$

we get an embedding of  $\mathrm{Bl}_X(Y) \hookrightarrow M$ .

Since the projection  $Y \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is flat, then,

$$M \rightarrow Y \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$$

is also flat (flatness over  $\mathbb{P}^1$  is equivalent to torsion-freeness of the structure sheaf).

Now  $M \rightarrow Y \times \mathbb{P}^1$  is an isomorphism away from  $Y \times \{\infty\}$  so we just need to determine the fiber over  $\infty$ .

First we work locally. Let  $Y = \mathrm{Spec}(A)$  and  $I \subset A$  an ideal. Let  $\mathbb{P}^1 \setminus \{0\} = \mathrm{Spec}(K[T])$ . Then  $\mathrm{Bl}_{X \times \{0\}}(Y \times \mathbb{A}^1)$  is  $\mathrm{Proj}(S^\bullet)$  where,

$$S^\bullet = \mathrm{Bl}_I(A) \otimes K[T] = \bigoplus_{n \geq 0} \bigoplus_{i=0}^n I^{n-i} T^i A[T]$$

This scheme  $\mathrm{Proj}(S^\bullet)$  is covered by affine open sets  $\mathrm{Spec}(S_{(a)}^\bullet)$  where,

$$S_{(a)}^\bullet = \{s/a^n \mid s \in S^n\}$$

for some set of generators of  $I + (T) \subset A[T]$ . For each  $a \in I$ , the divisor  $\mathbb{P}(C \oplus 1)$  is defined by  $a/1$  for  $a \in S^0$  while  $\widetilde{Y}$  is defined by  $T/a$ . However,  $T = (a/1) \cdot (T/a)$  so the fiber over  $\infty$  is the union of  $\mathbb{P}(C \oplus 1)$  and  $\widetilde{Y}$ .  $\square$

## 6.1 Gysin Map for Divisors

Let  $D$  be an effective Cartier divisor on a scheme  $X$ , and  $\iota : D \rightarrow X$  the inclusion. Then recall we defined the Gysin homomorphisms,

$$\iota^* : A_k(X) \rightarrow A_{k-1}(D)$$

defined by,

$$\iota^*(\alpha) = D \cdot \alpha$$

and as we defined the intersection for pseudo-divisors we take the target to be supported on  $D$ .

**Proposition 6.1.1.** The Gysin map satisfies,

(a) if  $\alpha$  is a  $k$ -cycle on  $X$  then,

$$\iota_* \iota^*(\alpha) = c_1(\mathcal{O}_X(D)) \frown \alpha$$

(b) if  $\alpha$  is a  $k$ -cycle on  $D$ , then,

$$\iota^* \iota_*(\alpha) = c_1(N) \frown \alpha$$

where  $N = \iota^* \mathcal{O}_X(D)$  is the normal bundle

(c) If  $X$  is pure dimension  $n$ , then,

$$\iota^*[X] = [D]$$

in  $A_{n-1}(D)$ .

(d) If  $L$  is a line bundle on  $X$ , then,

$$\iota^*(c_1(L) \frown \alpha) = c_1(\iota^* L) \frown \iota^*(\alpha)$$

in  $A_{k-2}(D)$  for any  $k$ -cycle  $\alpha$  on  $X$ .

## 6.2 Specialization to the Normal Cone

Let  $X \hookrightarrow Y$  be closed and  $C = C_X(Y)$  the normal cone. Define the *specialization* map,

$$\sigma : Z_k(X) \rightarrow Z_k(C)$$

by the formula,

$$\sigma[V] = [C_{V \cap X}(V)]$$

for any  $k$ -dimensional subvariety  $V \subset Y$  extended linearly to all  $k$ -cycles. Note that  $C_{V \cap X}(V)$  is pure of dimension  $k$ .

**Proposition 6.2.1.** If  $\alpha \in Z_k(Y)$  has  $\alpha \sim 0$  on  $Y$  then  $\sigma(\alpha) \sim 0$  on  $C$  meaning that  $\sigma$  descends to a map,

$$\sigma : A_k(Y) \rightarrow A_k(C)$$

*Proof.* This requires the deformation  $M^\circ = M_X^\circ(Y)$  to the normal cone. Let  $\iota : C \rightarrow M^\circ$  and  $j : Y \times \mathbb{A}^1 \rightarrow M$  the inclusions and consider the diagram,

$$\begin{array}{ccccc} A_{k+1}(C) & \xrightarrow{\iota_*} & A_{k+1}(M^\circ) & \xrightarrow{j^*} & A_{k+1}(Y \times \mathbb{A}^1) & \longrightarrow & 0 \\ & & \downarrow \iota^* & & \uparrow \text{pr}^* & & \\ & & A_k(C) & \longleftarrow & A_k(Y) & & \end{array}$$

The top row is exact and  $\iota^*$  is the Gysin map for divisors. The composite  $\iota^* \iota_* = 0$  since the normal bundle to  $C$  in  $M^\circ$  is trivial since it is a fiber.

There is therefore, an induced morphism,

$$\text{coker } \iota_* = A_{k+1}(Y \times \mathbb{A}^1) \rightarrow A_k(C)$$

and therefore, a morphism,

$$A_k(Y) \rightarrow A_{k+1}(Y \times \mathbb{A}^1) \rightarrow A_k(C)$$

It suffices to verify that this composite agrees with the map,

$$[V] \mapsto [C_{V \cap X}(V)]$$

First,  $\pi^*[V] = [V \times \mathbb{A}^1]$  and  $M_{V \cap X}^\circ(V)$  is a closed subvariety of  $M_X^\circ(Y)$  which restricts to  $V \times \mathbb{A}^1$ . Therefore,

$$j^*[M_{V \cap X}^\circ(V)] = \text{pr}^*[V]$$

The Cartier divisor  $C = M^\circ \cap q^{-1}(\infty)$  intersects  $M_{V \cap X}^\circ(V)$  in  $C_{V \cap X}(V)$  so,

$$\iota^*[M_{V \cap X}^\circ(V)] = [C_{V \cap X}(V)]$$

which concludes the proof. □

### 6.3 Gysin Maps and Intersection Products

Recall for a vector bundle  $\pi : E \rightarrow X$  we defined a Gysin map.

**Definition 6.3.1.** Let  $\pi : E \rightarrow X$  be a vector bundle of rank  $r$ . Let  $s_E : X \rightarrow E$  be the zero section. Recall that the flat pullback,

$$\pi^* : A_{k-r}(X) \rightarrow A_k(E)$$

is an isomorphism for all  $k$ . Therefore we can define,

$$s_E^* : A_k(E) \rightarrow A_{k-r}(X)$$

as the inverse  $s_E^* = (\pi^*)^{-1}$ .

This along with the deformation to the normal cone allows us to produce a Gysin map for regular embeddings.

**Definition 6.3.2.** Let  $\iota : X \rightarrow Y$  be a regular embedding of codimension  $d$  and  $N = N_X(Y)$  the normal bundle. The *Gysin map*

$$\iota^* : A_k(Y) \rightarrow A_{k-d}(X)$$

is the composite,

$$A_k(Y) \xrightarrow{\sigma} A_k(N) \xrightarrow{s_N^*} A_{k-d}(X)$$

where  $s_N^*$  is the Gysin map for a vector bundle.

*Remark.* Note, we need  $\iota : X \rightarrow Y$  be a regular embedding such that the normal bundle  $N_X(Y)$  is a vector bundle. We needed this in the above definition for the map  $s_N^* : A_k(N) \rightarrow A_{k-d}(X)$  to exist.

**Example 6.3.3.** (a) if  $d = 1$  this Gysin map agrees with  $s_N^*$

(b) for all  $\alpha \in A_*(X)$  then  $\iota^* \iota_*(\alpha) = c_d(N) \frown \alpha$

(c) For any  $k$ -dimensional subvariety  $V \subset X$ ,

$$\iota^*[V] = \{c(N) \frown s(V \cap X, V)\}_{k-d}$$

(d) If  $X$  is an  $n$ -dimensional variety which is smooth over  $K$ , then  $\delta : X \rightarrow X \times X$  is a regular embedding of codimension  $n$ . This defines an intersection product on  $A_*(X)$ ,

$$A_p(X) \otimes A_q(X) \rightarrow A_{p+q}(X \times X) \xrightarrow{\Delta^*} A_{p+q-n}(X)$$

### 6.4 Intersection Products

Given a (closed) *regular embedding*  $\iota : X \rightarrow Y$  of dimension  $d$ , and let  $N_X(Y)$  be the normal bundle. Let  $V$  a purely  $k$ -dimensional scheme and let  $f : V \rightarrow Y$  be a morphism. Consider the fiber square,

$$\begin{array}{ccc} W & \xrightarrow{j} & V \\ \downarrow g & & \downarrow f \\ X & \xrightarrow{\iota} & Y \end{array}$$

Let  $N = g^*N_X(Y)$  and  $\pi : N \rightarrow W$  the projection. Since the ideal sheaf  $\mathcal{I}_{X|Y}$  generates the ideal sheaf  $\mathcal{I}_{W|V}$  there is a surjection,

$$\bigoplus_{n \geq 0} f^*(\mathcal{I}_{X|Y}^n / \mathcal{I}_{X|Y}^{n+1}) \twoheadrightarrow \bigoplus_{n \geq 0} \mathcal{I}_{W|V}^n / \mathcal{I}_{W|V}^{n+1}$$

This determines a closed embedding of the normal cone  $C = C_W(V)$  as a subcone of  $N$ ,

$$\begin{array}{ccc} C & \hookrightarrow & N \\ & \searrow & \swarrow \pi \\ & W & \end{array}$$

Since  $C$  is purely  $k$ -dimensional, it determines a  $k$ -cycle  $[C]$  on  $N$ . Let  $s$  be the zero section of  $N$ . Then we define the *intersection product*,

$$X \cdot_Y V := \iota^![V] := s^*[C]$$

in  $A_{k-d}(W)$  where  $s^* : A_k(N) \rightarrow A_{k-d}(W)$  is the Gysin map. This means  $X \cdot_Y V$  is the unique class such that,

$$\pi^*(X \cdot_Y V) = [C]$$

in  $A_k(N)$ .

*Remark.* Notice that we only defined the intersection product for a *regular embedding*. Indeed, this is required to make sense of the Gysin map.

**Proposition 6.4.1.** With the above notation,

- (a)  $X \cdot_Y V = \{c(N) \cap s(W, V)\}_{k-d}$
- (b) If  $\xi$  is the universal rank  $d$  quotient bundle on  $\mathbb{P}(N \oplus 1)$  and  $q : \mathbb{P}(N \oplus 1) \rightarrow W$  the projection then,

$$X \cdot_Y V = q_*(c_d(\xi) \cap [\mathbb{P}(C \oplus 1)])$$

- (c) If  $d = 1$ , i.e.  $X$  is a Cartier divisor on  $Y$ , and  $f : V \rightarrow Y$  is a closed embedding of a subvariety then  $X \cdot_Y V$  agrees with our previous definition of the intersection class.

*Remark.* We have the following uniqueness result for the intersection product.

**Proposition 6.4.2.** The intersection product  $X \cdot_Y V \in A_{k-d}(X)$  is defined for any regular embedding  $X \hookrightarrow Y$  of codimension  $d$  and any pure  $k$ -dimensional subscheme  $V \hookrightarrow Y$  is uniquely characterized by the following properties,

- (a) If  $\pi : Y \rightarrow X$  is a vector bundle and  $X \hookrightarrow Y$  is the zero section and  $V = \pi^{-1}(W)$  then  $X \cdot_Y V = [W]$
- (b) Let  $\mathcal{Y} \rightarrow \mathbb{P}^1$  be a flat family and  $\mathcal{V} \subset \mathcal{Y}$  a subscheme flat over  $\mathbb{P}^1$ . If  $X \times \mathbb{P}^1 \rightarrow \mathcal{Y}$  is a family of regular embeddings, then the classes  $X \cdot_{\mathcal{Y}_t} \mathcal{V}_t$  are constant.

*Proof.* Indeed, we apply the second property to the deformation to the normal cone  $\mathcal{Y} = M_X^\circ(Y)$  and  $\mathcal{V} = M_{X \cap V}^\circ(V)$ . This deforms the intersection  $X \cdot_Y V$  to the type of intersection considered in (i).  $\square$