

# 1 The Chow Group

## 1.1 Flat Pullback

## 1.2 Proper Pushforward

# 2 Introduction to Intersection

Let  $X$  be an integral scheme proper over  $S = \operatorname{Spec}(R)$  of dimension 2 with  $R$  noetherian. Given integral closed subschemes  $C, D \subset X$  we want to make sense of the intersection  $C \frown D$ . For simplicity, suppose that  $C, D$  are prime Cartier divisors. Then we would want the intersection multiplicity at  $x \in X$  to be,

$$\iota_x(C, D) = \ell_{\mathcal{O}_{X,x}}(\mathcal{O}_{X,x}/(f, g))$$

where  $f, g$  are the local equations cutting out  $C$  and  $D$  i.e. there is an affine open neighborhood  $x \in U = \operatorname{Spec}(A)$  with  $C \cap U = V(f)$  and  $D \cap U = V(g)$ . I claim these intersection multiplicities piece together to give a meaningful cycle in  $A_0(X)$ .

**Definition 2.0.1.** Let  $X$  be a noetherian scheme and  $Z_i \subset X$  its irreducible components. Then the fundamental class of  $X$  is,

$$[X] := \sum_{i=1}^n m_i [Z_i] \in A_*(X)$$

where the multiplicities are,

$$m_i = \ell_{\mathcal{O}_{X,\xi_i}}(\mathcal{O}_{X,\xi_i})$$

where  $\xi_i$  is the generic point of  $Z_i$ .

**Example 2.0.2.** Let  $X = \operatorname{Spec}(k[x, y]/(xy^2))$ . Then the irreducible components are  $Z_1 = V(x)$  and  $Z_2 = V(y)$  with generic points  $\xi_1 = (x)$  and  $\xi_2 = (y)$ . However, notice that,

$$\mathcal{O}_{X,\xi_1} = k(y)$$

is a field so  $m_1 = 1$  but,

$$\mathcal{O}_{X,\xi_2} = k(x)[y]/(y^2)$$

has length 2 over itself with submodule  $(0) \subset (y) \subset \mathcal{O}_{X,\xi_2}$  reflecting the doubling of the  $x$ -axis. Therefore,

$$[X] = [Z_1] + 2[Z_2]$$

**Example 2.0.3.** Suppose that  $X$  is noetherian dimension zero scheme. Then  $X = \operatorname{Spec}(A)$  for an artinian ring  $A$ . Then,

$$[X] = \sum_{\mathfrak{m} \subset A} \ell_{A_{\mathfrak{m}}}(A_{\mathfrak{m}}) \cdot [V(\mathfrak{m})]$$

and we see that,

$$\deg [X] = \sum_{\mathfrak{m} \subset A} \ell_{A_{\mathfrak{m}}}(A_{\mathfrak{m}}) = \ell_A(A)$$

*Remark.* When  $C$  and  $D$  intersect properly, i.e.  $\dim(C \cap D) = 0$ , we might define the intersection class of  $C, D \subset X$  as follows. Let  $(C \cap D) \subset X$  be the scheme theoretic intersection,

$$\begin{array}{ccc}
C \cap D & \hookrightarrow & D \\
\downarrow & \lrcorner & \downarrow \\
C & \hookrightarrow & X
\end{array}$$

then define  $C \frown D = \iota_*[C \cap D]$  where  $\iota : C \cap D \rightarrow X$  is the inclusion. If we take a point  $x \in C \cap D$  and a sufficiently small open neighborhood  $U = \text{Spec}(A)$  then notice  $C \cap D \cap U = \text{Spec}(A/(f, g))$  which is artinian so,

$$\begin{aligned}
[C \cap D \cap U] &= \sum_{\mathfrak{m} \in V(f, g)} \ell_{A_{\mathfrak{m}}/(f, g)}(A_{\mathfrak{m}}/(f, g)) \cdot [\mathfrak{m}] = \sum_{\mathfrak{m} \in V(f, g)} \ell_{A_{\mathfrak{m}}}(A_{\mathfrak{m}}/(f, g)) \cdot [\mathfrak{m}] \\
&= \sum_{x \in C \cap D \cap U} \ell_{\mathcal{O}_{X, x}}(\mathcal{O}_{X, x}/(f, g)) \cdot [x]
\end{aligned}$$

which agrees with our definition of the intersection multiplicity.

**Proposition 2.0.4.** Suppose that  $C, D \subset X$  are prime Cartier divisors intersecting properly. Then,

$$C \frown D = (\iota_C)_*[c_1(\iota_C^* \mathcal{O}_X(D))] = \iota_*[C \cap D]$$

where  $\iota_C : C \rightarrow X$  and  $\iota : C \cap D \rightarrow X$  are the inclusions.

*Proof.* Since  $C$  is a curve, to compute  $c_1$  of the line bundle  $\mathcal{L} = \iota_C^* \mathcal{O}_X(D)$  we need a nonzero section. The effective divisor  $D$  corresponds to a section  $s_D \in \Gamma(X, \mathcal{O}_X(D))$  which pulls back to  $s = \iota_C^* s_D$ . Since the intersection is proper,  $s$  is not identically zero and therefore,

$$c_1(\mathcal{L}) = \sum_{p \in C} \text{ord}_p(s/s_{\mathcal{L}}) \cdot [p]$$

where  $s_{\mathcal{L}}$  is a local trivializing section of  $\mathcal{L}$ . Choose a sufficiently small affine open  $U \subset X$  with  $p \in U$  trivializing  $\mathcal{O}_X(D)$  then  $\mathcal{O}_X(D)|_U = g^{-1} \mathcal{O}_U$  and  $s_D$  corresponds to 1. Then we can take  $s_{\mathcal{L}} = g^{-1}$  and  $s_D = 1$  which gives,

$$\text{ord}_p(s/s_{\mathcal{L}}) = \text{ord}_p(g) = \ell_{\mathcal{O}_{C, p}}(\mathcal{O}_{C, p}/(g)) = \ell_{\mathcal{O}_{X, p}}(\mathcal{O}_{X, p}/(f, g))$$

Therefore,

$$c_1(\mathcal{L}) = \sum_{p \in C} \text{ord}_p(s/s_{\mathcal{L}}) \cdot [p] = [C \cap D]_C$$

and pushing forward by  $\iota_C$  gives the desired result. □

*Remark.* This result proves the symmetry,

$$(\iota_C)_*[c_1(\iota_C^* \mathcal{O}_X(D))] = (\iota_D)_*[c_1(\iota_D^* \mathcal{O}_X(C))]$$

*Remark.* Notice that even when  $C$  and  $D$  do not intersect properly the quantity,

$$C \frown D = (\iota_C)_*[c_1(\iota_C^* \mathcal{O}_X(D))]$$

is well-defined. In particular, the self-intersection equals,

$$C^2 := C \frown C = (\iota_C)_*[c_1(\iota_C^* \mathcal{O}_X(C))] = (\iota_C)_*[c_1(\mathcal{N}_{C/X})]$$

where  $\mathcal{N}_{C/X} = \iota_C^* \mathcal{O}_X(C) = \mathcal{O}_C \otimes \mathcal{O}_X(C) = \mathcal{O}_X/\mathcal{I} \otimes \mathcal{I}^\vee = (\mathcal{I}/\mathcal{I}^2)^\vee = \mathcal{C}_{C/X}^\vee$  is the normal bundle. In particular,

$$\deg C^2 = \deg \mathcal{N}_{C/X}$$

*Remark.* There is a more general formula due to Serre for the intersection multiplicity. Suppose that  $C, D \subset X$  are closed subschemes. Let  $Z \subset C \cap D$  be an irreducible component with generic point  $\xi \in Z$ . Then the multiplicity of  $Z$  in  $C \cap D$  is defined to be,

$$\iota(Z; C, D) := \sum_{i=0}^{\infty} (-1)^i \ell_{\mathcal{O}_{X,\xi}} \left( \text{Tor}_i^{\mathcal{O}_{X,\xi}} (\mathcal{O}_{X,\xi}/I, \mathcal{O}_{X,\xi}/J) \right)$$

where  $I$  and  $J$  are the ideals defining  $C$  and  $D$  in  $\mathcal{O}_{X,\xi}$  and then the intersection cycle is,

$$C \frown D = \sum_{Z \subset C \cap D} \iota(Z; C, D)$$

Notice that when  $C$  and  $D$  are prime Cartier divisors we get  $I = (f)$  and  $J = (g)$  and thus,

$$\text{Tor}_i^{\mathcal{O}_{X,\xi}} (\mathcal{O}_{X,\xi}/I, \mathcal{O}_{X,\xi}/J) = \begin{cases} \mathcal{O}_{X,\xi}/(f, g) & i = 0 \\ \ker (\mathcal{O}_{X,\xi}/(g) \xrightarrow{f} \mathcal{O}_{X,\xi}/(g)) & i = 1 \\ 0 & i > 1 \end{cases}$$

because  $f$  is a nonzerodivisor since we assumed that  $C$  is Cartier. Furthermore, since the intersection is proper, we cannot have  $f \in (g)$  and since  $(g)$  is prime ( $Z$  is a prime Cartier divisor) the map  $\mathcal{O}_{X,\xi}/(g) \xrightarrow{f} \mathcal{O}_{X,\xi}/(g)$  is injective. Therefore,

$$\text{Tor}_i^{\mathcal{O}_{X,\xi}} (\mathcal{O}_{X,\xi}/I, \mathcal{O}_{X,\xi}/J) = \begin{cases} \mathcal{O}_{X,\xi}/(f, g) & i = 0 \\ 0 & i > 0 \end{cases}$$

giving,

$$\iota(Z; C, D) = \ell_{\mathcal{O}_{X,\xi}} (\mathcal{O}_{X,\xi}/(f, g))$$

which agrees with our previous formula.

## 2.1 Adjunction

Given a smooth subvariety  $Z \subset X$  of a smooth variety  $X$ , we know

$$\omega_Z = \omega_X|_Z \otimes \bigwedge^{\text{top}} \mathcal{N}_{Z|X}$$

Therefore, taking chern classes,

$$c_1(\omega_Z) = \iota^* c_1(\omega_X) + c_1(\mathcal{N}_{Z|X})$$

and thus we find,

$$K_Z = K_X|_Z + c_1(\mathcal{N}_{Z|X})$$

### 2.1.1 Divisors

In particular, if  $Z = V(D)$  for some divisor  $D$  then if  $Z$  is smooth,

$$\mathcal{N}_{Z|X} = \iota^* \mathcal{O}_X(D)$$

and therefore,

$$\omega_Z = \iota^*(\omega_X \otimes \mathcal{O}_X(D)) = \omega_X|_Z \otimes \mathcal{O}_D(D)$$

meaning,

$$K_Z = (K_X + D)|_Z$$

In fact, even when  $Z$  is not smooth we can compute,

$$\omega_Z = \iota_* \mathcal{E}xt_{\mathcal{O}_X}^1(\iota_* \mathcal{O}_Z, \omega_X)$$

However, there is a locally-free resolution,

$$0 \longrightarrow \mathcal{O}_X(-D) \longrightarrow \mathcal{O}_X \longrightarrow \iota_* \mathcal{O}_Z \longrightarrow 0$$

and then we get an exact sequence,

$$0 \longrightarrow \mathcal{H}om_{\mathcal{O}_X}(\iota_* \mathcal{O}_Z, \omega_X) \longrightarrow \omega_X \longrightarrow \omega_X(D) \longrightarrow \iota_* \omega_Z \longrightarrow 0$$

Therefore,  $\iota_* \omega_Z$  is the cokernel of the map  $\omega_X \rightarrow \omega_X(D)$  defined by  $\text{id}_{\omega_X} \otimes s_D$  where  $s_D : \mathcal{O}_X \rightarrow \mathcal{O}_X(D)$  is the canonical section corresponding to the inclusion  $\mathcal{O}_X(-D) \rightarrow \mathcal{O}_X$ . Therefore,

$$\iota_* \omega_Z = \omega_X \otimes \iota_* \mathcal{O}_Z \otimes \mathcal{O}_X(D) = \omega_X(D) \otimes \iota_* \mathcal{O}_Z$$

because  $\omega_X$  is locally free. By the projection formula,

$$\iota_* \iota^* \omega_X(D) = \iota_*(\mathcal{O}_Z \otimes \iota^* \omega_X(D)) = \iota_* \mathcal{O}_Z \otimes \omega_X(D)$$

and therefore,

$$\omega_Z = \iota^* \omega_X(D)$$

## 2.2 Adjunction for Surfaces

Let  $X$  be a smooth surface which is a complete intersection in  $P = \mathbb{P}^{c+2}$ . Then  $X \subset \mathbb{P}^{c+2}$  is cut out by  $r$  equations  $f_1, \dots, f_r$  of degrees  $d_1, \dots, d_c$ . Because  $\dim X = 2$  these form a regular sequence meaning the Kozul complex is exact,

$$0 \longrightarrow \mathcal{O}_P(-\sum_{i=1}^c d_i) \longrightarrow \bigoplus_{i=1}^c \mathcal{O}_P(-\sum_{j \neq i} d_j) \longrightarrow \dots \longrightarrow \bigoplus_{i=1}^c \mathcal{O}_P(-d_i) \longrightarrow \mathcal{O}_P \longrightarrow \mathcal{O}_X \longrightarrow 0$$

which gives a locally free resolution of  $\mathcal{O}_X$ . Therefore, we can compute,

$$\iota_* \omega_X = \mathcal{E}xt_{\mathcal{O}_P}^c(\mathcal{O}_X, \omega_P)$$

using this resolution via,

$$\iota_* \omega_X = H^c(\mathcal{H}om_{\mathcal{O}_P}(K_\bullet, \omega_P)) = \text{coker} \left( \bigoplus_{i=1}^c \omega_P(\sum_{j \neq i} d_j) \xrightarrow{f_1, \dots, f_c} \omega_P(\sum_{i=1}^c d_i) \right) = \omega_P(d_1 + \dots + d_c) \otimes_{\mathcal{O}_P} \mathcal{O}_X$$

Therefore, we find that,

$$\omega_X = \omega_P(d_1 + \dots + d_c)|_X = \mathcal{O}_X(d - c - 3)$$

where  $d = d_1 + \dots + d_c$  is the total degree. Therefore, when  $X$  is smooth we find,

$$K_X = (d - c - 3)H$$

where  $H = \iota^* c_1(\mathcal{O}_P(1))$  is the hyperplane class of the embedding  $X \hookrightarrow P$ .

Now consider a divisor  $C \subset X$ . By the adjunction formula,

$$\omega_C = \omega_X(C)|_C$$

Therefore, by Riemann-Roch for singular curves,

$$2p_a - 2 = \deg \omega_C = \deg \omega_X(C)|_C$$

However, we have shown,

$$D \frown (K_X + C) = c_1(\omega_X(C)|_C)$$

and therefore,

$$D \cdot (K_X + C) = \deg [D \frown (K_X + C)] = \deg \omega_X(C)|_C$$

so we find that,

$$2p_a - 2 = C \cdot (K_X + C)$$

However,

$$C \cdot K_X = \deg \omega_X|_C = \deg \mathcal{O}_P(d - c - 3)|_C = (d - c - 3) \deg \mathcal{O}_P(1)|_C$$

we define  $\deg C = \deg \mathcal{O}_P(1)|_C$  which implies that,

$$C \cdot K_X = (d - c - 3) \deg C$$

Alternatively, we can use adjunction,

$$C \cdot K_X = C \cdot (d - c - 3)H = (d - c - 3)C \cdot H$$

and we write  $\deg C = C \cdot H$  for the intersection of  $C$  with a generic hyperplane. Therefore,

$$2p_a - 2 = C^2 + (d - c - 3) \deg C$$

In particular, consider the case of  $(-1)$ -curves i.e. rational curves with  $C^2 = -1$ . Then we find,

$$\deg C = \frac{1}{3 + c - d}$$

Therefore, we can only have  $(-1)$ -curves when  $d = c + 2$  i.e. when  $\omega_X = \mathcal{O}_X(-1)$ . In this case,  $\deg C = 1$  meaning that the  $(-1)$ -curves are lines in  $P$ . Furthermore, lines in  $P$  have  $\deg C = 1$  and  $p_a = 0$  meaning that for a line  $L \subset X$  it has self-intersection,

$$L^2 = c + 1 - d$$

### 3 General Intersection Theory

### 4 Chern Classes