

Hello,

My name is Ben Church and I am applying for the mathematics Ph.D. program at NYU Courant. For a long time I've known that I wanted to be an academic and to devote myself to doing mathematics. I want to be an academic not just to do research, teaching is also very important to me. In fact, Courant helped instill my admiration for excellent teaching since I was deeply inspired by attending New York math circle hosted by Courant. More recently, delving into the research problem I am about to describe, I began to read about Prof. Bogomolov's techniques and results. Having been inspired in these two critical junctures, I hope to attend Courant institute for my graduate studies.

Now I would like to describe a piece of math I find particularly fascinating. It concerns a particular sequence: given a variety  $X$  with integer coefficients, for simplicity we assume it is smooth and proper over  $\text{Spec}(\mathbb{Z})$  minus finitely many primes. Now for a prime power  $q = p^k$ , consider the sequence  $a_n = \#X(\mathbb{F}_{q^n})$  counting solutions over successively larger finite fields. We would be interested in knowing the asymptotics of this series. To do this we might consider the generating function,

$$f(t) := \sum_{n=1}^{\infty} a_n t^{n-1}$$

Since we expect  $a_n$  to grow exponentially, we would like there to exist some constants  $c_i$  and  $r_i$  controlling the growth,

$$a_n \sim \sum_{i=1}^g c_i r_i^n$$

This would imply that,

$$f(t) \sim \sum_{i=1}^g c_i (r_i + r_i^2 t + r_i^3 t^2 + \dots) = \sum_{i=1}^g \frac{c_i r_i}{1 - r_i t}$$

However, notice that,

$$\frac{c_i r_i}{1 - c_i r_i} = \frac{d}{dt} \log (1 - r_i t)^{c_i}$$

Therefore, we would want to show that,

$$f(t) := \sum_{n=1}^{\infty} a_n t^{n-1} \sim \frac{d}{dt} \sum_{i=1}^g \log (1 - r_i t)^{c_i} = \frac{d}{dt} \log \left( \prod_{i=1}^g (1 - r_i t)^{c_i} \right)$$

This requirement is much simpler if we introduce a new function  $\zeta_X(t)$  such that,

$$f(t) = \frac{d}{dt} \log \zeta_X(t)$$

Explicitly,

$$\zeta_X(t) := \exp \left( \sum_{n=1}^{\infty} \frac{a_n}{n} t^n \right)$$

Then our hypothesis about asymptotics becomes,

$$\zeta_X(t) \sim \prod_{i=1}^g (1 - r_i t)^{c_i}$$

so we are looking for a rational function asymptotic to  $\zeta_X(t)$ . The amazing fact is that  $\zeta_X(t)$  exactly equals a rational function! Weil conjectured that  $\zeta_X$  has the precise form,

$$\zeta_X(t) = \prod_{i=0}^{2d} P_i(t)^{(-1)^{i+1}} = \frac{P_1(t) \cdots P_{2d-1}(t)}{P_0(t) \cdots P_{2d}(t)}$$

where  $P_i(t)$  is an integer polynomial of degree  $b_i$  factoring as  $(1 - \alpha_{i1}t) \cdots (1 - \alpha_{ib_i}t)$  with  $P_0(t) = 1 - t$  and  $P_{2d}(t) = 1 - q^d t$ . In analogy with the Riemann zeta function, Weil conjectured two additional properties,

(a) The functional equation: there should exist an integer  $\chi$  such that,

$$\zeta_X(q^{-d}t^{-1}) = \pm q^{\frac{\chi d}{2}} t^\chi \zeta_X(t)$$

(b) The Riemann hypothesis:  $|\alpha_{ij}| = q^{i/2}$

Therefore we get not an asymptotic but an exact formula,

$$a_n = \sum_{i=1}^{2d} (-1)^i \sum_{j=1}^{b_i} \alpha_{ij}^n$$

and the Riemann hypothesis precisely controls this growth. For example, if  $X$  is an elliptic curve then,

$$\zeta_X(t) = \frac{1 - a_p t + p t^2}{(1 - t)(1 - p t)}$$

and  $1 - a_p t + p t^2 = (1 - \alpha t)(1 - \beta t)$  such that  $|\alpha_i| = \sqrt{p}$ . Then,

$$\#X(\mathbb{F}_{p^n}) = p^n + 1 - \alpha^n - \beta^n$$

so we find,

$$a_p = p + 1 - \#X(\mathbb{F}_{p^n})$$

and for  $q = p^n$ ,

$$|\#X(\mathbb{F}_q) - (q + 1)| = |\alpha^n + \beta^n| \leq |\alpha|^n + |\beta|^n = 2\sqrt{q}$$

giving Hasse's celebrated theorem. Finally, the complex variety  $X_{\mathbb{C}}$  is smooth and proper giving the complex points  $Y = X(\mathbb{C})$  the structure of a compact complex manifold. Amazingly,  $d$  is the dimension  $Y$ ,  $\chi$  is the Euler characteristic of  $Y$  and  $b_i$  are the Betti number of  $Y$ . Thus  $\zeta_X$ , defined arithmetically, "knows" about the geometry of  $X(\mathbb{C})$ .

Even more amazing than these conjectures are the methods that Grothendieck and Deligne used to prove them. What was needed was a cohomology theory like singular cohomology but for varieties over  $\overline{\mathbb{F}}_q$ . Given such a theory, because  $X(\mathbb{F}_{q^n})$  are the fixed point of  $n^{\text{th}}$ -Frobenius  $F^n : X_{\overline{\mathbb{F}}_q} \rightarrow X_{\overline{\mathbb{F}}_q}$ , a Lefschetz trace formula would tell us that,

$$\#X(\mathbb{F}_{q^n}) = \sum_{i=0}^{2d} (-1)^i \text{tr}(F^n | H^i(X_{\overline{\mathbb{F}}}))$$

Therefore, plugging in,

$$\begin{aligned} \zeta_X(t) &= \exp \left( \sum_{n=1}^{\infty} \frac{\#X(\mathbb{F}_{q^n})}{n} t^n \right) = \exp \left( \sum_{n=1}^{\infty} \sum_{i=0}^{2d} (-1)^i \text{tr}(F^n | H^i(X_{\overline{\mathbb{F}}_q})) \frac{t^n}{n} \right) \\ &= \prod_{i=0}^{2d} \exp \left( \sum_{n=1}^{\infty} \frac{t^n}{n} \text{tr}(F^n | H^i(X_{\overline{\mathbb{F}}_q})) \right)^{(-1)^i} \end{aligned}$$

However,

$$\sum_{n=1}^{\infty} \frac{t^n}{n} \text{tr}(F^n | H^i(X_{\overline{\mathbb{F}}_q})) = -\log \det(1 - Ft | H^i(X_{\overline{\mathbb{F}}_q}))$$

Therefore,

$$\zeta_X(t) = \prod_{i=0}^{2d} \det(1 - Ft | H^i(X_{\overline{\mathbb{F}}_q}))^{(-1)^{i+1}}$$

proving the formula where,

$$P_i(t) = \det(1 - Ft | H^i(X_{\overline{\mathbb{F}}_q}))$$

is the characteristic polynomial. Then the functional equation would follow from Poincare duality. Invented by Grothendieck,  $\ell$ -adic étale cohomology  $H_{\text{ét}}^i(X, \mathbb{Q}_\ell)$  provides such a theory proving rationality and the functional equation. Deligne then proved the Riemann hypothesis inductively by building up varieties successively in Lefschetz pencils.

Finally, we want to know why  $\zeta_X(t)$  can compute invariants of  $X(\mathbb{C})$ . This follows from deep theorems about étale cohomology: smooth and proper base change and Artin comparison. If we have a proper morphism  $f : X \rightarrow S$  base change allows us to conclude that  $(R^i f_* \mathbb{Q}_\ell)_{\bar{s}} \xrightarrow{\sim} H_{\text{ét}}^i(X_{\bar{s}}, \mathbb{Q}_\ell)$  for any geometric point  $\bar{s}$  of  $S$ . If  $f : X \rightarrow S$  is additionally smooth (and  $\ell$  is invertible on  $S$ ) then  $f_* \mathbb{Q}_\ell$  is lcc meaning that, for connected  $S$ , the stalks of  $f_* \mathbb{Q}_\ell$  are constant. We apply this to  $X \rightarrow U \subset \text{Spec}(\mathbb{Z})$  and conclude that  $H_{\text{ét}}^i(X_{\overline{\mathbb{F}}_q}, \mathbb{Q}_\ell) \cong H_{\text{ét}}^i(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_\ell)$ . Furthermore, smooth base change and the Artin comparison theorem tell us that,

$$H_{\text{ét}}^i(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_\ell) \cong H_{\text{ét}}^i(X_{\mathbb{C}}, \mathbb{Q}_\ell) \cong H_{\text{sing}}^i(X(\mathbb{C}), \mathbb{Q}_\ell)$$

proving that  $b_i$  and  $\chi$  give the correct Betti numbers and Euler characteristic.