

1 Covering Degree

Definition 1.0.1. Let (X, \mathcal{L}) be a polarized projective variety. The *covering degree* $\text{cov.deg}(X, \mathcal{L})$ is the minimal d such that there exists a family of proper geometrically reduced and connected curves $\mathcal{C} \rightarrow T$ over an irreducible base T and a surjective morphism $f : \mathcal{C} \rightarrow X$ such that $\deg f^* \mathcal{L}|_{\mathcal{C}_t} = d$.

Lemma 1.0.2. Let X be a variety. In the definition of covering degree, we can reduce to checking the degrees of stable maps whose generic curve is smooth and irreducible.

Proof. Consider $\mathcal{C} \rightarrow T$ and $\mu : \mathcal{C} \rightarrow X$ surjective. Since X is irreducible, we can pass to an irreducible component of \mathcal{C} and hence assume that \mathcal{C} and T are irreducible retaining surjectivity of μ . We need to show there is a surjective family of semistable curves of the same degree whose generic member is smooth and irreducible. First, notice that if $g : C_1 \rightarrow C_2$ is a birational finite map of curves of a field k and \mathcal{L} is a line bundle on C_2 then $\deg \mathcal{L} = \deg g^* \mathcal{L}$. Indeed, let $\mathcal{Q} = (g_* \mathcal{O}_{C_2}) / \mathcal{O}_{C_1}$ then

$$\begin{aligned} \deg g^* \mathcal{L} &= \chi(C_1, g^* \mathcal{L}) - \chi(C_1, \mathcal{O}_{C_1}) = \chi(C_2, \mathcal{L} \otimes g_* \mathcal{O}_{C_1}) - \chi(C_2, g_* \mathcal{O}_{C_2}) \\ &= [\chi(C_2, \mathcal{L} \otimes \mathcal{Q}) - \chi(C_2, \mathcal{Q})] + \deg \mathcal{L} \\ &= \deg \mathcal{L} \end{aligned}$$

because \mathcal{Q} has zero dimensional support so $\mathcal{L} \otimes \mathcal{Q} \cong \mathcal{Q}$.

Let K be the function field of T and $C := \mathcal{C}_{\bar{K}}$ the geometric generic curve. Let $C' \rightarrow C$ be the normalization. There is a component $C'' \subset C'$ whose image $C'' \rightarrow C' \xrightarrow{\mu} X$ hits the generic point. Since $C'' \rightarrow \text{Spec}(\bar{K})$ is a smooth proper curve we get a map $\text{Spec}(\bar{K}) \rightarrow \overline{\mathcal{M}}_g(X, d)$ which produces a family $C' \rightarrow T'$ compactifying C' by taking the scheme theoretic closure¹ of $\text{Spec}(\bar{K}) \rightarrow \overline{\mathcal{M}}_g(X, d)$. \square

Definition 1.0.3. For $n \in \mathbb{Z}_+$ and $1 \leq r < n$ and $\vec{e} \in \mathbb{Z}_+^r$ we define

$$\text{cd}_n(\vec{e}) := \max_{X_{e_1}, \dots, X_{e_r}} \text{cov.deg}(X_{e_1} \cap \dots \cap X_{e_r})$$

where $X_{e_1}, \dots, X_{e_r} \subset \mathbb{P}_{\mathbb{C}}^n$ are taken over hypersurfaces such that the intersection is smooth of dimension $n - r$.

Lemma 1.0.4. TODO Covering degree drops under specialization in flat families.

Corollary 1.0.5. $\text{cd}_n(\vec{e})$ may be computed as $\text{cov.deg}(X_{e_1} \cap \dots \cap X_{e_r})$ for a very general complete intersection $X_{e_1} \cap \dots \cap X_{e_r} \subset \mathbb{P}^n$.

Proof. The locus in a flat family $\mathcal{X} \rightarrow T$ in \mathbb{P}_T^n where $\text{cov.deg}(\mathcal{X}_t) > d$ is closed. Indeed, the locus in T where $\overline{\mathcal{M}}_{g,1}(\mathcal{X}/T, d) \rightarrow \mathcal{X}$ is not surjective on a fiber is closed for fixed d and there are only finitely many g that can appear for fixed d . TODO \square

¹Really, unless I allow stacky T' I can take the closure in $\overline{\mathcal{M}}_{g,0}(X, d)$ and take a further extension $T'' \rightarrow T'$ such that the universal family exists over T''

2 The Main Induction

Theorem 2.0.1. Suppose $(\mathcal{X}, \mathcal{L}) \rightarrow \text{Spec}(R)$ is a flat proper family over a dvr R with $K = \text{Frac}(R)$ such that,

- (a) \mathcal{X} is regular and irreducible
- (b) $\mathcal{X}_{\bar{K}} = X_1 \cup_Z X_2$ with X_1, X_2 irreducible varieties of the same dimension and Z a divisor in each X_1, X_2 .

Suppose $\text{cov.deg}(\mathcal{X}_{\bar{K}}, \mathcal{L}_{\bar{K}}) \leq d$ then either

- (a) $\text{cov.deg}(X_1, \mathcal{L}|_{X_1}) + \text{cov.deg}(X_2, \mathcal{L}|_{X_2}) \leq d$ or
- (b) $\text{cov.deg}(Z, \mathcal{L}|_Z) \leq d$.

must hold.

Proof. By assumption, there is a semistable curve $\pi : \mathcal{C} \rightarrow T$ and a stable map $\mu : \mathcal{C} \rightarrow \widetilde{\mathcal{X}}_{\bar{K}}$ so that $\deg \mu^* \mathcal{L} \leq d$ fiberwise. This gives a map $T \rightarrow \overline{\mathcal{M}}_g(\mathcal{X}_K)$. Let $W \subset \overline{\mathcal{M}}_g(\mathcal{X}/R)$ be the scheme (stack) theoretic image which is a closed substack. Notice W is integral and hence flat over $\text{Spec}(R)$. Therefore, we get a diagram,

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\mu} & \mathcal{X} \\ \downarrow \pi & & \downarrow \\ W & \longrightarrow & \text{Spec}(R) \end{array}$$

with μ surjective because it is surjective over \bar{K} and \mathcal{X} is irreducible and \mathcal{C} is proper over R . Specializing to the geometric special fiber we get a stable map

$$\begin{array}{ccc} \mathcal{C}_0 & \xrightarrow{\mu} & \mathcal{X}_0 \\ \downarrow \pi & & \\ W_0 & & \end{array}$$

with $\mu : \mathcal{C}_0 \rightarrow \mathcal{X}_0$ surjective and $\deg \mu^* \mathcal{L} \leq d$ by flatness. Therefore, there is some component $S \subset W_0$ such that $\mu(\mathcal{C}_S) \supset X_2$. Moreover, there is an irreducible component $\mathcal{C}^\circ \subset \mathcal{C}_S$ of the total curve so that $\mu(\mathcal{C}^\circ) = X_2$.

Let $z \in Z$ be a general point. By assumption there is some $s \in S$ such that $z \in \mu(\mathcal{C}_s)$. Suppose that z lies on a component of $\mu(\mathcal{C}_s)$ entirely inside Z . Then if we pass to the closed subscheme $S_Z \subset S$ of curves one of whose components maps entirely inside Z there is a component $\mathcal{C}' \subset \mathcal{C}_{S_Z}$ such that $\mu(\mathcal{C}') = Z$ since we know that the general point of Z lies on a component of some curve contained entirely in Z . Since $\deg \mu^* \mathcal{L}|_{\mathcal{C}'} \leq d$ we win in case (b). Otherwise, though the general point $z \in Z$ there is no component of \mathcal{C}_s meeting z that lies inside Z so by [CITE Jun Li](#) $\mu(\mathcal{C}_s) \not\subset X_2$. Therefore, the components of \mathcal{C}_S mapping into X_1 cover Z . If any of these components had image contained in Z then we would be in case (b) so assume no component has image contained in Z . Hence, there is a component $\mathcal{C}' \subset \mathcal{C}_S$ such that $\mu(\mathcal{C}') \cap X_1 \supsetneq Z$ and since \mathcal{C}' and X_1 are irreducible, $\mu(\mathcal{C}') = X_1$. Therefore, $\mu(\mathcal{C}_S) = \mathcal{X}_0$ so we have a covering family of curves over an irreducible base. Since

$$d \geq \deg \mu^* \mathcal{L} \geq \deg \mu^* \mathcal{L}|_{\mathcal{C}^\circ} + \deg \mu^* \mathcal{L}|_{\mathcal{C}'}$$

and \mathcal{C}' is a covering family of X_1 and \mathcal{C}° is a covering family of X_2 so we conclude (a). \square

Theorem 2.0.2. Let $e_j = a + b$ for $a, b \in \mathbb{Z}_+$. Then **NEED BETTER NOTATION**

$$\text{cd}(\vec{e}) \geq \min\{\text{cd}((\vec{e} \setminus \{e_j\}) \cup \{a\}) + \text{cd}((\vec{e} \setminus \{e_j\}) \cup \{b\}), \text{cd}(\vec{e} \setminus \{e_j\} \cup \{a, b\})\}$$

Proof. Since X_{e_1} is a very general hypersurface there exists a degeneration to $X_a \cup X_b$ a union of two very general hypersurfaces in \mathbb{P}^n . Explicitly, we can find equations F, G, H of degree e_1, a, b such that

$$\mathcal{X} = V(GH - tF) \cap X_{e_2} \cap \cdots \cap X_{e_r} \subset \mathbb{P}^n \times \mathbb{A}^1$$

so that $V(G)$ and $V(H)$ are isomorphic to the geometric generic hypersurface of degree a and b respectively and that the geometric generic fiber of \mathcal{X} is isomorphic to the geometric generic hypersurface of degree e_1 .

We perform an explicit small resolution of singularities $\tau : \widetilde{\mathcal{X}} \rightarrow \mathcal{X}$. The resolution τ is an isomorphism over the generic fiber. Over the special fiber we get an explicit description as follows:

$$\widetilde{\mathcal{X}}_0 := \widetilde{X}_1 \cup_Z X_2 = (\widetilde{X}_a \cup X_b) \cap X_{e_2} \cap \cdots \cap X_{e_r}$$

where $\widetilde{X}_a \rightarrow X_a$ is the of X_a along $X_a \cap X_b \cap X_{e_1}$ and these are glued along the strict transform

$$Z := X_a \cap X_b \cap X_{e_2} \cap \cdots \cap X_{e_r}$$

This is equal to the blowup of the complete intersections along

$$Y = X_a \cap X_b \cap X_{e_1} \cap \cdots \cap X_{e_r}$$

Since $Y \subset Z$ is a Cartier divisor, the strict transform of Z in each blowup are isomorphic to Z hence $X_1 \cap X_2 = Z$. Notice that $Z \subset X_2$ is an ample divisor.

Let $R = \mathbb{C}[t]_{(t)}$ and $K = \mathbb{C}(t)$ then by the previous discussion

- (a) $\text{cd}_n(\vec{e}) = \text{cov.deg}(\widetilde{\mathcal{X}}_{\overline{K}})$
- (b) $\text{cd}_n((\vec{e} \setminus \{e_j\}) \cup \{a\}) = \text{cov.deg}(X_1)$
- (c) $\text{cd}_n((\vec{e} \setminus \{e_j\}) \cup \{b\}) = \text{cov.deg}(X_2)$
- (d) $\text{cd}_n(\vec{e} \setminus \{e_j\} \cup \{a, b\}) = \text{cov.deg}(Z)$

Therefore, we apply Theorem 5.1.5 to conclude. □

3 Asymtotics

Proposition 3.0.1. Let $\text{cd}_n(\vec{e})$ be any function satisfying the inductive bound of Theorem 2.0.2 and the base case if $\#\vec{e} \geq n - 2$ then

$$\text{cd}_n(\vec{e}) \geq \begin{cases} e_1 \cdots e_r & e_1 + \cdots e_r \geq n + 1 \\ 1 & \text{else} \end{cases}$$

Then,

$$\lim_{e_1, \dots, e_r \rightarrow \infty} \frac{\text{cd}_n(\vec{e})}{e_1 \cdots e_r} \geq 1$$

Proof. Perform induction on n . For $n = 3$ the base cases make this clear. Assume the statement for $n - 1$. Choose $\epsilon > 0$. Let $\vec{r} = (e_2, \dots, e_r)$ and $\vec{m} = (e_1 - 1, e_2, \dots, e_r)$ therefore,

$$\text{cd}_n(\vec{e}) \geq \min\{\text{cd}_{n-1}(\vec{r}) + \text{cd}_n(\vec{m}), \text{cd}_{n-1}(\vec{m})\}$$

By the inductive hypotheses, for $e_1, \dots, e_r \geq N_\epsilon$ we have

$$\text{cd}_{n-1}(\vec{e}) \geq (1 - \epsilon)e_1 \cdots e_r$$

Therefore,

$$\text{cd}_n(\vec{e}) \geq \min\{(1 - \epsilon)e_2 \cdots e_r + \text{cd}_n(\vec{m}), (1 - \epsilon)(e_1 - 1)e_2 \cdots e_r\}$$

Let $M \geq N_\epsilon$ be the minimal integer such that the second term is larger than the first for the above inequality applied any vector $\vec{e}_q := (q, e_2, \dots, e_r)$ for $q > M$. Therefore,

$$\text{cd}_n(\vec{e}) \geq \sum_{j=M}^{e_1} (1 - \epsilon)e_2 \cdots e_r + \text{cd}_n(\vec{e}_M)$$

If $M = N_\epsilon$ we conclude that

$$\text{cd}_n(\vec{e}) \geq \sum_{j=N_\epsilon}^{e_1} (1 - \epsilon)e_2 \cdots e_r = (1 - \epsilon)(e_1 - N_\epsilon)e_2 \cdots e_r$$

and therefore we conclude that

$$\lim_{e_1 \rightarrow \infty} \frac{\text{cd}_n(\vec{e})}{e_1 \cdots e_r} \geq 1 - \epsilon$$

for all ϵ and $e_2, \dots, e_r \geq N_\epsilon$ thus proving the claim. Otherwise, we have,

$$\text{cd}_n(\vec{e}) \geq \sum_{j=M}^{e_1} (1 - \epsilon)e_2 \cdots e_r + (1 - \epsilon)(M - 1)e_2 \cdots e_r = (1 - \epsilon)(e_1 - 1)e_2 \cdots e_r$$

so again we conclude. □

4 Covering Degree Optimal

Theorem 4.0.1. Let $n \geq 3$ be an integer. Then if d is an integer such that

- (a) d is coprime to $n!$
- (b) the largest prime power q dividing d satisfies

$$\left(\binom{n}{2} - 1 \right) \cdot q^n + \left(n! - \binom{n}{2} \right) \cdot q^{n-1} + (2^n + 1) \cdot n! \geq d$$

then every curve C on a very general hypersurface $X_d \subset \mathbb{P}^{n+1}$ of degree d and dimension n satisfies $d \mid \deg C$.

Proof of OPTIMAL RESULT. Now we will that for fixed (n, r) there is $N := N(n, r)$ such that for all $d_1, \dots, d_r \geq N$ we have $\text{cd}_{n,r}(d_1, \dots, d_r) = d_1 \cdots d_r$. To do this we will check that the set of positive integers S_n defined as the set of d in the hypothesis of Theorem satisfies the following condition

for all positive intergers k, r there exists $N := N(S, k, r)$ such that for all $d_1, \dots, d_r \geq N$ there exists a finite array $\{a_1^i, \dots, a_r^i\}_i$ of elements $a_j^i \in S$ such that

- (a) all $a_j^i \geq k$
- (b) any pair of elements a_j^i and $a_{j'}^{i'}$ are coprime when $j \neq j'$
- (c) for all $1 \leq j \leq r$ we have

$$d_j = \sum_i a_j^i$$

Granting (*) we prove the result. Suppose that we choose $k(n, r) > 5$ so that $\text{cd}_{n-1, r+1}(d_1, \dots, d_{r+1}) \geq (1 - \frac{1}{2})d_1 \cdots d_r$ whenever $d_1, \dots, d_r \geq k$ (using CITE PROPERLY). This condition implies that if we split $d_1 = a + b$ for $a, b \geq k$ then

$$\begin{aligned} \text{cd}_{n,r}(d_1, \dots, d_r) &\geq \min\{\text{cd}_{n,r}(a, d_2, \dots, d_r) + \text{cd}_{n,r}(b, d_2, \dots, d_r), \text{cd}_{n-1, r+1}(a, b, d_2, \dots, d_r)\} \\ &\geq \text{cd}_{n,r}(a, d_2, \dots, d_r) + \text{cd}_{n,r}(b, d_2, \dots, d_r) \end{aligned}$$

since the second term is automatically $\geq \frac{1}{2}abd_2 \cdots d_r \geq d_1 \cdots d_r$ since $\frac{1}{2}ab \geq a + b$ for $a, b > 5$. Therefore, when all entries are $\geq k$ we see that $\text{cd}_{n,r}(d_1, \dots, d_r)$ is super-multilinear.

Now using property (*) for any $d_1, \dots, d_r \geq N(S_n, k(n, r), r)$ we can find a matrix $\{a_j^i\}$ satisfying (a) and (b) so that $d_j = \sum_i a_j^i$. Since all $a_j^i \geq k$, using the super-multilinearity we get

$$\text{cd}_{n,r}(d_1, \dots, d_r) \geq \sum_{i_1, \dots, i_r} \text{cd}_{n,r}(a_1^{i_1}, \dots, a_r^{i_r})$$

Since $a_1^{i_1}, \dots, a_r^{i_r}$ are elements of S , any curve C on a general complete intersection $X \subset \mathbb{P}^{n+r}$ general of type (a_1^1, \dots, a_r^1) satisfies $a_j^1 \mid \deg C$. Furthermore, because $a_1^{i_1}, \dots, a_r^{i_r}$ are pairwise coprime, $a_1^1 \cdots a_r^1 \mid \deg C$. Therefore,

$$\text{cd}_{n,r}(d_1, \dots, d_r) \geq \sum_{i_1, \dots, i_r} a_1^{i_1} \cdots a_r^{i_r} = d_1 \cdots d_r$$

Now we prove that S_n satisfies (*). We first claim that a set S of positive intergers satisifes (*) if it contains arbitrarily long sequences of pairwise coprime integers. Indeed, let $g_1, \dots, g_r, g'_1, \dots, g'_r$ be such a sequence of length $2r$ with all entries $\geq k$. Then I claim that the requisite matrices can be built so that a_j^i is either g_j or g'_j for each i . Clearly, such a matrix satifies (a) and (b) so it suffices to show that all sufficiently large sequences (d_1, \dots, d_r) are representable. This uses nothing more than the claim that if g, g' are coprime then $d > (g-1)(g'-1)$ can be written as $gx + g'y$ for $x, y > 0$ which is Sylvester's answer to the well-known "postage stamp" or "coin problem."

Finally, we show that S_n contains arbitrarily long sequences of coprime intergers. Let p_1, \dots, p_s be an increasing sequence of primes with $p_1 > \max\{n, 2^n\}$. Then $d = p_1 \cdots p_\ell \in S_n$ if

$$C_n p_r^n \leq p_1 \cdots p_\ell$$

where C_n is a constant depending only on n (given explicitly in Theorem 4). By Bertrand's postulate we can choose $p_r \leq 2^\ell p_1$ then if

$$2^{n\ell} C_n p_1^n \leq p_1^\ell$$

we win. Since $p_1 > 2^n$ as $\ell \rightarrow \infty$ this holds and since $\gcd(p_1, \dots, p_\ell, n!) = 1$ because $p_1 > n$ we see that $d = p_1 \cdots p_\ell \in S_n$. By starting at the next largest prime after p_ℓ we can construct a new element of S_n sharing no primes in common. Repeating this, we see that S_n contains an arbitrarily long sequence of pairwise coprime integers. \square

5 Covering Degree

Here we record the well-known result that covering degree is lower semi-continuous, i.e. it goes down under specialization.

Lemma 5.0.1 (lower semi-continuity). Let $(\mathcal{X}, \mathcal{L}) \rightarrow \operatorname{Spec}(R)$ be a flat proper family of varieties over a DVR R with $K = \operatorname{Frac}(R)$. Then

$$\operatorname{cov.deg}(\mathcal{X}_\kappa, \mathcal{L}_\kappa) \leq \operatorname{cov.deg}(\mathcal{X}_{\overline{K}}, \mathcal{L}_{\overline{K}}).$$

Proof. Let $b : \operatorname{cov.deg}(\mathcal{X}_{\overline{K}}, \mathcal{L}_{\overline{K}})$. A family computing the covering degree of $\mathcal{X}_{\overline{K}}$ gives a covering family of curves:

$$\begin{array}{ccc} \mathcal{C}_{\overline{K}} & \xrightarrow{f} & \mathcal{X}_{\overline{K}} \\ \pi \downarrow & & \\ T_{\overline{K}} & & \end{array}$$

where f is surjective and we may assume that π is a stable family of curves whose general fiber is smooth (cf. Remark ??). The base of the family admits a morphism $T_{\overline{K}} \rightarrow \overline{\mathcal{M}}_g(\mathcal{X}_{\overline{K}}, b)$ (where g is the genus of the generic fiber), with image $V_{\overline{K}} \subseteq \overline{\mathcal{M}}_g(\mathcal{X}_{\overline{K}}, b)$. Passing to the closure gives a substack

$$V \subseteq \overline{\mathcal{M}}_g(\mathcal{X}/T, b).$$

By [?, Prop 2.6], there is a finite surjective morphism from a scheme $V' \rightarrow V$. Pulling back the universal family, we obtain a diagram

$$\begin{array}{ccc} \mathcal{C}_{V'} & \xrightarrow{f'} & \mathcal{X}_{\overline{K}} \\ \pi' \downarrow & & \\ V' & & \end{array}$$

where f' is surjective since $\mathcal{C}_{V'}$ is proper and f' is dominant. This implies that on the central fiber \mathcal{X}_κ , the map $f'_\kappa : \mathcal{C}_{V'_\kappa} \rightarrow \mathcal{X}_\kappa$ is also surjective. By irreducibility, some component of $\mathcal{C}_{V'_\kappa}$ surjects onto \mathcal{X}_κ . The inequality

$$\operatorname{cov.deg}(\mathcal{X}_\kappa, \mathcal{L}_\kappa) \leq \operatorname{cov.deg}(\mathcal{X}_{\overline{K}}, \mathcal{L}_{\overline{K}}).$$

follows from the fact that the restriction $\pi'_\kappa : \mathcal{C}_{V'_\kappa} \rightarrow V'_\kappa$ is a component of some family pulled back from $\overline{\mathcal{M}}_g(\mathcal{X}_\kappa, d)$ \square

Lemma 5.0.2 (constructibility). The covering degree is a constructible function in flat families of polarized varieties.

Proof. For any fixed degree $d > 0$, we prove that the locus of $t \in T$ for a flat family $\mathcal{X} \rightarrow T$ in \mathbb{P}_T^N at which $\operatorname{cov.deg}(\mathcal{X}_t) < d$ is closed. It suffices to show that the locus in T over which $\bigcup_g \overline{\mathcal{M}}_{g,1}(\mathcal{X}/T, d) \rightarrow \mathcal{X}$ is surjective is closed² Note that for any stable map $f : C \rightarrow \mathbb{P}^n$ of degree d if C' is a component of genus $g > \frac{1}{2}(d-1)(d-2)$ then either $f|_{C'}$ is constant or must factor through a nontrivial finite covering of a curve of genus at most $\frac{1}{2}(d-1)(d-2)$. Therefore, if $\overline{\mathcal{M}}_{g,1}(\mathcal{X}_t, d) \rightarrow \mathcal{X}_t$

²Alternatively one could use the Hilbert scheme of curves $\operatorname{Hilb}^{d,\chi}(\mathcal{X}/T)$ with Hilbert polynomial $dt + \chi$ such that $|\chi| \leq d(d-3)$. This contains all reduced curves in \mathcal{X}_t but also disjoint unions of curves with points. To ensure the surjectivity is not caused by zero-dimensional components, we must pass to $\operatorname{Hilb}_{\operatorname{conn}}^{d,\chi}(\mathcal{X}/T)$ the Hilbert scheme of *connected* subschemes. This is a union of irreducible components of $\operatorname{Hilb}^{d,\chi}$ and hence proper by [?, Tag 0BUI]

is surjective, passing to the normalization of the image of some nonconstant component of a generic curve dominating \mathcal{X}_t we see that $\overline{\mathcal{M}}_{g,1}(\mathcal{X}_t, d) \rightarrow \mathcal{X}_t$ is surjective for some $g' \leq \frac{1}{2}(d-1)(d-2)$. Hence the union over g can be assumed to be finite, and we have a proper scheme mapping to \mathcal{X} over T . Therefore, the locus on which the map is surjective is closed. \square

5.1 The Breaking Lemma

First we recall some terminology and results from [?]. The goal is to study which curves on the central fiber of a semistable degeneration of varieties deform to nearby fibers.

Definition 5.1.1. Let R be a DVR, and $0, \eta \in \operatorname{Spec}(R)$ be the closed point and generic point, respectively. An *SNC degeneration of varieties* over R is a flat proper family $f : \mathcal{X} \rightarrow \operatorname{Spec}(R)$ such that \mathcal{X}_η is a smooth variety and \mathcal{X}_0 is reduced with simple normal crossing (SNC) singularities.

To fix notation throughout this section we will work in the following situation:

Let R be a DVR and $f : \mathcal{X} \rightarrow \operatorname{Spec}(R)$ a SNC degeneration of varieties such that $\mathcal{X}_0 = X_1 \cup_Z X_2$ is the union of two smooth irreducible varieties along a smooth divisor Z .

It will be convenient to label certain types of components of a stable map:

Definition 5.1.2. Let $\mu : C \rightarrow X_1 \cup_Z X_2$ be a stable curve whose target has two smooth components glued along Z as in situation 5.1. A component $C' \subset C$ is said to be of

- (a) *ghost type* if $\mu(C')$ is a point in Z ;
- (b) *type Z* if it is not of ghost type and $\mu(C') \subseteq Z$;
- (c) *type X_i* (for $i = 1$ or 2) if it is neither of ghost type nor of type Z , and $\mu(C') \subseteq X_i$.

The following lemma is the critical input that allows us to force certain curves of moderately low degree to break into reducible curves whose image lies on both components of the degeneration.

Lemma 5.1.3. In situation 5.1, suppose there is a family of nonconstant stable maps

$$\begin{array}{ccc} \mathcal{C}_A & \xrightarrow{\mu} & \mathcal{X} \\ \downarrow & & \downarrow \\ \operatorname{Spec}(A) & \longrightarrow & \operatorname{Spec}(R) \end{array}$$

with $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(R)$ surjective and $x \in \operatorname{Spec}(A)$ in the fiber over 0. Let $E \subset (\mathcal{C}_A)_x$ be a connected component of $\mu_x^{-1}(Z)$ that is contracted to a point $z = \mu(E) \in \mathcal{X}$ of the regular locus of the total space. Then let C_1 be the union of all components of type X_1 meeting E and C_2 the union of all components of type X_2 meeting E . Then

$$\sum_{p \in E \cap C_1} [p] m_p(C_1; Z) - \sum_{p \in E \cap C_2} [p] m_p(C_2; Z) \sim 0$$

is linearly trivial as a divisor on E where $m_p(C_1; Z)$ is the multiplicity at which C_1 intersects $Z \subset X_1$ at the point p and similarly $m_p(C_2; Z)$ is the multiplicity at which C_2 intersects $Z \subset X_2$ at the point p .

In particular,

$$\sum_{p \in E \cap C_1} m_p(C_1; Z) = \sum_{p \in E \cap C_2} m_p(C_2; Z)$$

The only actual input we need is that there exists at least one component of type X_1 meeting E and at least one component of type X_2 meeting E . This result follows from the multiplicity matching statement in the predeformability condition of Jun Li's relative stable maps formalism as well as the geometry of expanded degenerations. This result is implicit in [?, S2], [?], and [?]. **We refer to Appendix ?? for the proof and comparisons of notations between the referenced authors.** A consequence of this result, or rather the degree part, is the following lemma.

Lemma 5.1.4. In situation 5.1 let $W \subset \mathcal{X}_0$ be the singular locus of the total space. Suppose there is a family of nonconstant stable maps:

$$\begin{array}{ccc} \mathcal{C}_A & \xrightarrow{\mu} & \mathcal{X} \\ \downarrow & & \downarrow \\ \text{Spec}(A) & \longrightarrow & \text{Spec}(R) \end{array}$$

with $\text{Spec}(A) \rightarrow \text{Spec}(R)$ surjective. Suppose $z \in Z \setminus W$ is in the image of μ . Then one of the following holds,

- (a) z lies on the image of a component of type Z
- (b) z lies on the image of a component of type X_1 and also on the image of a component of type X_2 .

Proof. Since $\mu_0: (\mathcal{C}_A)_0 \rightarrow \mathcal{X}_0$ is nonconstant, there must be a component $C \subset (\mathcal{C}_A)_0$ meeting $\mu^{-1}(z)$ that is either of type Z or (without loss of generality) of type X_1 . In the former situation we arrive at case (a), so let us now assume that C is of type X_1 . Let $p \in C$ be a point mapping to z . If p lies on a component of type Z then we are in case (a) otherwise $p \in E$ where E is a connected component of $\mu^{-1}(z)$ and $\mu(E) = z$. Since $z \in Z \setminus W$ we can apply Lemma 5.1.3 to conclude that E meets a component C_1 of type X_1 and a component C_2 of type X_2 . Hence the images of C_1 and C_2 contain z (since they meet E and $\mu(E) = z$) and satisfy the conditions of case (b). \square

PLACEHOLDER FIGURE

Theorem 5.1.5. In situation 5.1 let \mathcal{L} be a line bundle on \mathcal{X} and suppose that $\mathcal{X} \cap Z$ is nonempty. Suppose that $\text{cov.deg}(\mathcal{X}_{\bar{\eta}}, \mathcal{L}_{\bar{\eta}}) \leq d$. Then either

- (a) $\text{cov.deg}(X_1, \mathcal{L}|_{X_1}) + \text{cov.deg}(X_2, \mathcal{L}|_{X_2}) \leq d$, or
- (b) $\text{cov.deg}(Z, \mathcal{L}|_Z) \leq d$.

Proof. The idea is that through a general point $z \in Z$ there is a curve in the specialization of the covering family passing through z . Then we apply Lemma 5.1.4 to conclude that either z lies on a component of type Z or it lies on two components, one of type X_1 and one of type X_2 . Since z was a general point, in the former case, the specialization of the covering family contains a covering family of curves of Z so we conclude (b), in the latter case, there is a component covering X_1 and a component covering X_2 so we conclude (a). We now fill in the details of this argument.

By assumption, there is a family of stable curves $\pi : \mathcal{C} \rightarrow T$ over an integral base T and a stable dominant map $\mu : \mathcal{C} \rightarrow \widetilde{\mathcal{X}}_{\overline{K}}$ such that $\deg_{\mu^*\mathcal{L}} \mathcal{C}_t \leq d$ fiberwise. This gives a map $T \rightarrow \overline{\mathcal{M}}_g(\mathcal{X}_\eta)$. Let $\subset \overline{\mathcal{M}}_g(\mathcal{X}/R)$ be the stack theoretic closure of the image of T . By [?, Proposition 2.6], one can choose a finite cover $W \rightarrow$ by an integral scheme. Since W is integral and dominates $\text{Spec}(R)$ it is flat over $\text{Spec}(R)$. Therefore, pulling back to W we have the following diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\mu} & \mathcal{X} \\ \pi \downarrow & & \downarrow \\ W & \longrightarrow & \text{Spec}(R), \end{array}$$

where μ is surjective because it is surjective over η , the family \mathcal{C} is proper over R , and \mathcal{X} is irreducible. Specializing to the geometric special fiber, we get a stable map

$$\begin{array}{ccc} \mathcal{C}_0 & \xrightarrow{\mu_0} & \mathcal{X}_0 \\ \pi_0 \downarrow & & \\ W_0 & & \end{array}$$

with $\mu : \mathcal{C}_0 \rightarrow \mathcal{X}_0$ surjective and $\deg_{\mu^*\mathcal{L}} \mathcal{C}_0 \leq d$ by flatness. Therefore, there is an irreducible component $S \subset W_0$ such that $\mu(\mathcal{C}_S) \supset Z$ (in fact, we can assume $\mu(\mathcal{C}_S) \supset X_1$ since the union of all images is $X_1 \cup_Z X_2$ and the X_i are irreducible).

Let $\xi \in Z$ be the generic point. Since μ is surjective, there is $\delta \in \mathcal{C}_S$ mapping to ξ . Restrict to the image $S' \subset S$ of the irreducible component of $\mu_0^{-1}(Z)$ containing δ so that every fiber of $\mathcal{C}_{S'}$ meets Z . Let $\sigma \in S'$ be the generic point, since $\mu : \mathcal{C}_\sigma \rightarrow \mathcal{X}_0$ is a stable map that deforms to \mathcal{X}_η (since W was irreducible there is a specialization from its generic point to) we may apply Lemma 5.1.4. Since $\pi : \mathcal{C}_{S'} \rightarrow S'$ is flat, the irreducible components of $\mathcal{C}_{S'}$ are the same as those of \mathcal{C}_σ . Hence, either there is a component $\mathcal{C}_Z \subset \mathcal{C}_\sigma$ with $(\mathcal{C}_Z)_\sigma$ of type Z and hitting ξ in which case $\mathcal{C}_Z \rightarrow S'$ is a covering family of Z so we are in case (b), or there is a connected component of $\mu_0^{-1}(Z) \cap \mathcal{C}_\sigma$ that gets contracted to ξ (a ghost type component) it must meet at least one irreducible component $\mathcal{C}_1 \subset \mathcal{C}_S$ of type X_1 and at least one irreducible component $\mathcal{C}_2 \subset \mathcal{C}_S$ of type X_2 . Hence $\mu : \mathcal{C}_i \rightarrow \mathcal{X}_0$ hit ξ but are type X_i respectively. Since $\mu(\mathcal{C}_i)$ are irreducible and properly contain Z we must have $\mu(\mathcal{C}_i) = X_i$ since the X_i are irreducible. Therefore, $\mathcal{C}_{S'} \rightarrow S'$ is a covering family of $X_1 \cup_Z X_2$ over an irreducible base and we have,

$$d \geq \deg_{\mathcal{L}} \mathcal{C} = \deg_{\mathcal{L}} \mathcal{C}_{S'} \geq \deg_{\mathcal{L}} \mathcal{C}_1 + \deg_{\mathcal{L}} \mathcal{C}_2 \geq \text{cov.deg}(X_1, \mathcal{L}|_{X_1}) + \text{cov.deg}(X_2, \mathcal{L}|_{X_2})$$

giving case (b). □