

# Commutative Algebra Facts for Algebraic Geometry

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*Remark.* Unless otherwise stated, all rings are commutative and unital.

## 1 Definitions

**Definition 1.0.1.** An element  $p \in A$  is prime if  $(p)$  is a prime ideal. Equivalently  $p$  is prime if whenever  $p \mid xy$  either  $p \mid x$  or  $p \mid y$ .

**Definition 1.0.2.** An element  $r \in A$  which is nonzero and not a unit is irreducible if whenever  $r = xy$  either  $x \in A^\times$  or  $y \in A^\times$ .

## 2 Domains

**Definition 2.0.1.** A ring  $A$  is a domain if  $A$  has no zero divisors i.e. if  $ab = 0$  then  $a = 0$  or  $b = 0$ .

**Proposition 2.0.2.** Let  $A$  be a domain then any nonzero prime element is irreducible.

*Proof.* Let  $p \in A$  be a prime. Now suppose that  $p = xy$  for  $x, y \in A$ . Thus,  $p \mid xy$  so (WLOG) we have  $p \mid x$  so  $x = pz$  and thus  $p = pzy$ . However,  $p$  is nonzero and  $A$  is a domain so  $zy = 1$  and thus  $y \in A^\times$  proving that  $p$  is irreducible.  $\square$

## 3 Principal Ideal Domains

**Definition 3.0.1.** A principal ideal domain (PID) is a domain  $A$  such that every ideal is principal.

**Lemma 3.0.2.** If  $A$  is a PID then  $A$  is Noetherian.

*Proof.* Every ideal is principal and thus finitely generated.  $\square$

**Lemma 3.0.3.** Let  $A$  be a PID and  $r \in A$  irreducible then  $(r)$  is maximal and thus  $r$  is prime.

*Proof.* Consider an intermediate ideal  $(r) \subset J \subset A$  then since  $A$  is a PID we have  $J = (a)$  so  $r \in (a)$  and thus  $r = ac$  so either  $a \in A^\times$  in which case  $J = A$  or  $c \in A^\times$  in which case  $J = (r)$  so  $(r)$  is maximal and thus a prime ideal.  $\square$

**Theorem 3.0.4.** Let  $A$  be a PID and not a field then  $\dim A = 1$ .

*Proof.* Any prime ideal  $\mathfrak{p} \subset A$  is principal so  $\mathfrak{p} = (p)$  and  $p$  is prime. Either  $p = 0$  which is prime since  $A$  is a domain or  $p$  is irreducible and so we have shown  $(p)$  is maximal. So every prime ideal is zero or maximal and thus  $\dim A \leq 1$ . If  $\dim A = 0$  then  $(0)$  is maximal so  $A$  is local and any nonzero element is thus invertible so  $A$  is a field.  $\square$

**Theorem 3.0.5** (Kaplansky). Let  $A$  be Noetherian then  $A$  is a principal ideal ring iff every maximal ideal is prime.

**Theorem 3.0.6** (Cohen). A ring  $A$  is Noetherian iff every prime ideal is finitely generated.

**Corollary 3.0.7.** A ring  $A$  is a principal ideal ring iff every prime ideal is principal.

## 4 Unique Factorization Domains

**Definition 4.0.1.** A domain  $A$  is a unique factorization domain (UFD) if every nonzero element has a unique factorization into irreducible elements.

**Definition 4.0.2.** A factorization ring  $A$  is a ring such that every nonzero element has a factorization into irreducible elements.

**Lemma 4.0.3.** If  $A$  is a Noetherian domain then it is a factorization domain.

*Proof.* Take  $a_0 \in A$ . If  $a$  is irreducible, zero, or a unit then we are done. Then we can write,  $a = a_1^{(1)} a_2^{(1)}$  for  $a_1, a_2 \notin A^\times$ . Continuing in this manner we get,

$$(a) \subsetneq (a_1^{(1)}, a_2^{(1)}) \subsetneq (a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, a_4^{(3)}) \subsetneq \dots$$

(CHECK THIS) This sequence is proper since if  $a = bc$  and  $b \in (a)$  then  $a = arc$  so  $rc = 1$  and thus  $c \in A^\times$  contradicting our construction. However,  $A$  is Noetherian then the sequence must terminate so at some point the factorization must become irreducible.  $\square$

**Theorem 4.0.4.** Let  $A$  be a factorization domain. Then  $A$  is a UFD iff every irreducible is prime.

*Proof.* If  $A$  is a UFD and  $p$  an irreducible. Let  $x, y \in A$  and  $p \mid xy$  then  $p$  is in the factorization of  $xy$  and thus, by uniqueness must be in the factorization of either  $x$  or  $y$  so  $p \mid x$  or  $p \mid y$ .

Conversely, if  $A$  is a factorization domain and every irreducible is prime then given two factorizations of  $x$  each irreducible must, by primality, divide an irreducible in the other factorization so they are equal. (DO THIS BETTER)  $\square$

**Corollary 4.0.5.** If  $A$  is a PID then  $A$  is a UFD.

*Proof.* If  $A$  is a PID then it is Noetherian and thus a factorization domain. Furthermore, every irreducible is prime so  $A$  is a UFD.  $\square$

## 4.1 Height One Prime Ideals

**Proposition 4.1.1.** Let  $A$  be Noetherian. Then any principal prime ideal has height at most one.

*Proof.* Let  $\mathfrak{p} = (p) \subset A$  be a principal prime ideal. Then consider the localization which is  $A_{(p)}$  Noetherian and the unique maximal ideal  $pA_{(p)}$  is principal. Take  $N = \text{nilrad}(A_{(p)})$  then,

$$\dim A_{(p)}/N = \dim A_{(p)} = \text{ht}(\mathfrak{p})$$

but  $A_{(p)}/N$  is a Noetherian domain and the unique maximal ideal  $pA_{(p)}$  is principal so  $A_{(p)}/N$  is a PID and thus  $\dim A_{(p)}/N \leq 1$ .  $\square$

**Proposition 4.1.2.** If  $A$  is a UFD then every prime ideal of height one is principal.

*Proof.* Let  $\mathfrak{p} \subset A$  be a prime ideal with  $\text{ht}(\mathfrak{p}) = 1$ . Take any nonzero element  $x \in \mathfrak{p}$  and consider its factorization into irreducibles. Since  $\mathfrak{p}$  is prime some irreducible factor  $p \mid x$  must be in  $\mathfrak{p}$  so  $(p) \subset \mathfrak{p}$ . Since  $A$  is a UFD all irreducibles are prime so  $(p) \subset \mathfrak{p}$  is prime. However  $\text{ht}(\mathfrak{p}) = 1$  and  $(p) \neq (0)$  so  $(p) = \mathfrak{p}$  and thus  $\mathfrak{p}$  is principal.  $\square$

**Theorem 4.1.3.** Let  $A$  be a Noetherian domain. Then  $A$  is a UFD iff every height one prime ideal is principal.

*Proof.* We showed one direction above. Conversely, suppose every height one prime ideal is principal. Since  $A$  is a Noetherian domain, it suffices to show that each irreducible is prime. Let  $r$  be irreducible and consider a minimal prime  $\mathfrak{p} \supset (r)$ . Then by Krull's Hauptidealsatz,  $\mathfrak{p}$  has height one so by our assumption  $\mathfrak{p} = (p)$  is principal. However,  $(r) \subset (p)$  so  $p \mid r$  but  $r$  is irreducible so we must have  $(r) = (p) = \mathfrak{p}$  and thus  $r$  is prime.  $\square$

**Theorem 4.1.4** (Krull's Hauptidealsatz). Let  $I \subset A$  be an ideal in a Noetherian ring  $A$  with  $n$  generators then any minimal prime ideal  $\mathfrak{p} \supset I$  has height at most  $n$ .

## 5 Simple Modules

**Definition 5.0.1.** A nonzero  $R$ -module is *simple* if it has no nontrivial submodules.

**Proposition 5.0.2.** Let  $R$  be a ring and  $M$  an  $R$ -module. Then the following are equivalent,

- (a)  $M$  is simple
- (b)  $\ell_R(M) = 1$
- (c)  $M = R/\mathfrak{m}$  for some maximal ideal  $\mathfrak{m} \subset R$ .

*Proof.* The first two are equivalent by definition. Clearly if  $\mathfrak{m} \subset R$  is maximal then  $R/\mathfrak{m}$  is simple. Now suppose that  $M$  is simple and take a nonzero  $x \in M$ . Then  $(x) = M$  by simplicity so consider  $I = \ker(R \xrightarrow{x} M) = \text{Ann}_A(x) = \{r \in R \mid rx = 0\}$ . Since  $M = Rx$  we know that  $M \cong R/I$ . However, by the lattice isomorphism theorem, submodules of  $R/I$  correspond to ideals above  $I$  so since  $M$  is simple we must have  $I$  maximal.  $\square$

## 6 Artinian Modules

**Definition 6.0.1.** An  $R$ -module  $M$  is *noetherian/artinian* if it satisfies the ascending/descending chain condition on submodules.

**Theorem 6.0.2.** An  $R$ -module  $M$  has finite length iff it is both noetherian and artinian.

*Proof.* If  $M$  has finite length then clearly it is noetherian and artinian since chains of submodules are bounded in length. Alternatively, simple modules are noetherian and artinian so given a composition series we see that  $M$  is noetherian and artinian by repeated extension. Now, conversely, assume that  $M$  is noetherian and artinian. By the artinian property we can take a minimal nonzero submodule  $M_1 \subset M$ . Then  $M_1$  is simple. Either  $M/M_1$  is simple or we may repeat to get  $M_2 \supset M_1$  and  $M_2/M_1$  is simple. Thus we get an ascending chain  $0 = M_0 \subset M_1 \subset M_2 \subset M_3 \subset \cdots$  with  $M_{i+1}/M_i$  simple. Since  $M$  is Noetherian, this must terminate at  $M_n = M$  so we get a finite length composition series showing that  $M$  has finite length.  $\square$

## 7 Artinian Rings

**Definition 7.0.1.** A ring  $A$  is *artinian* if it satisfies the descending chain condition on ideals: given a chain of ideals,

$$I_0 \supset I_1 \supset I_2 \supset \cdots$$

the chain stabilizes  $I_{n+i} = I_n$ .

*Remark.*  $A$  is artinian iff it is artinian as a module over itself.

**Proposition 7.0.2.** An artinian ring has finitely many maximal ideals.

*Proof.* Let  $\mathfrak{m}_1, \mathfrak{m}_2, \mathfrak{m}_3, \dots$  be a list of maximal ideals. Then consider the chain,

$$\mathfrak{m}_1 \supset \mathfrak{m}_1 \mathfrak{m}_2 \supset \mathfrak{m}_1 \mathfrak{m}_2 \mathfrak{m}_3 \supset \cdots$$

By the artinian condition, we must have  $\mathfrak{m}_1 \cdots \mathfrak{m}_n = \mathfrak{m}_1 \cdots \mathfrak{m}_n \mathfrak{m}_{n+1}$  for some  $n$ . But then by prime avoidance  $\mathfrak{m}_{n+1}$  must be one of  $\mathfrak{m}_1, \dots, \mathfrak{m}_n$  since  $\mathfrak{m}_{n+1} \supset \mathfrak{m}_1 \cdots \mathfrak{m}_n$  so  $\mathfrak{m}_{n+1} \supset \mathfrak{m}_i$  and  $\mathfrak{m}_i$  is maximal.  $\square$

**Proposition 7.0.3.** Let  $A$  be an artinian ring. Then every prime ideal is maximal so  $\dim A = 0$ .

*Proof.* Let  $\mathfrak{p}$  be prime and  $x \notin \mathfrak{p}$ . Consider the chain,

$$(x) \supset (x^2) \supset (x^3) \supset \cdots$$

By the artinian condition  $(x^n) = (x^{n+1})$  for some  $n$  so  $x^n = rx^{n+1}$  for some  $r \in A$ . Thus,

$$x^n(rx - 1) = 0$$

However,  $x^n \notin \mathfrak{p}$  so  $rx - 1 \in \mathfrak{p}$  and thus  $x \in A/\mathfrak{p}$  is invertible so  $A/\mathfrak{p}$  is a field and thus  $\mathfrak{p}$  is maximal.  $\square$

**Proposition 7.0.4.** Let  $A$  be artinian. Then  $\text{nilrad}(A)$  is a nilpotent ideal.

*Proof.* Let  $I = \text{nilrad}(A)$ . Consider the chain of ideals,

$$I \supset I^2 \supset I^3 \supset \dots$$

By the artinian condition,  $I^{n+1} = I^n$  for some  $n$ . Consider  $J = \{x \in A \mid xI^n = 0\}$ . If  $J \neq R$  we can choose  $J' \supsetneq J$  minimal (using the artinian property). Then take  $y \in J'$  so by minimality  $J' = J + (y)$ . Suppose  $J + I(y) = J'$  then, since  $J \subset \text{Jac}(A)$  and  $(y)$  is finitely generated, by Nakayama,  $J' = J + I(y) = J$  which is false so  $J \subset J + I(y) \subsetneq J'$  and thus  $J = J + I(y)$  by minimality so  $I(y) \in J$ . Therefore,  $y \cdot I^{n+1} = 0$  but  $I^{n+1} = I^n$  so  $y \cdot I^n = 0$  and thus  $y \in J$  contradicting our situation so  $J = R$  and thus  $I^n = 0$ .  $\square$

**Proposition 7.0.5.** Every artinian ring is a product of local artinian rings:  $A_{\mathfrak{m}_i} = A/\mathfrak{m}_i^n$ .

*Proof.* Let  $\mathfrak{m}_1, \dots, \mathfrak{m}_r$  be the maximal ideals. Then we know that  $\mathfrak{m}_1^{n_1} \cdots \mathfrak{m}_r^{n_r} = 0$  for some integers  $n_1, \dots, n_r \in \mathbb{Z}$ . Therefore, by the Chinese remainder theorem,

$$A = A/(\mathfrak{m}_1^{n_1} \cdots \mathfrak{m}_r^{n_r}) = \prod_{i=1}^r A/\mathfrak{m}_i^{n_i}$$

Furthermore,  $A/\mathfrak{m}_i^{n_i}$  is local because  $\mathfrak{m}_i$  is the only maximal ideal above  $\mathfrak{m}_i^{n_i}$ . Furthermore,

$$A_{\mathfrak{m}_i} = (A/\mathfrak{m}_i^{n_i})_{\mathfrak{m}_i} = A/\mathfrak{m}_i^{n_i}$$

since  $A \setminus \mathfrak{m}_i$  is not contained in any maximal ideal of  $A/\mathfrak{m}_i^{n_i}$  and thus is invertible.  $\square$

**Proposition 7.0.6.** A ring  $A$  is artinian iff it has finite length as a module over itself.

*Proof.* If  $A$  has finite length as an  $A$ -module then it satisfies both the ascending and descending chain conditions on  $A$ -submodules i.e. ideals thus  $A$  is both noetherian and artinian. Conversely, let  $A$  be artinian. Since  $A$  is a finite product of local artinian rings we may reduce to the case that  $A$  is local artinian with maximal ideal  $\mathfrak{m}$ . Since  $\text{nilrad}(A) = \mathfrak{m}$  then  $\mathfrak{m}^n = 0$  for some  $n$  so we get a series,

$$0 = \mathfrak{m}^n \subset \mathfrak{m}^{n-1} \subset \cdots \subset \mathfrak{m} \subset A$$

Then  $\mathfrak{m}^i/\mathfrak{m}^{i+1}$  is a  $A/\mathfrak{m}$ -module and,

$$\ell_A(\mathfrak{m}^i/\mathfrak{m}^{i+1}) = \ell_{A/\mathfrak{m}}(\mathfrak{m}^i/\mathfrak{m}^{i+1}) = \dim_{A/\mathfrak{m}} \mathfrak{m}^i/\mathfrak{m}^{i+1}$$

which must be finite since  $\mathfrak{m}^i/\mathfrak{m}^{i+1}$  is an artinian module and thus must have finite dimension else there would be a nonterminating descending chains. Thus from the series  $A$  has finite length.  $\square$

**Theorem 7.0.7.** A ring  $A$  is artinian iff  $A$  is noetherian and  $\dim A = 0$ .

*Proof.* If  $A$  is artinian then it has finite length over itself and thus is noetherian. Also every prime is maximal so  $\dim A = 0$ . Conversely, suppose that  $A$  is noetherian and  $\dim A = 0$ . Then  $\text{Spec}(A)$  is a noetherian topological space which has finitely many irreducible components so  $A$  has finitely many minimal primes which are also maximal since  $\dim A = 0$ . Thus  $A$  has finitely many primes all of which are maximal. Since  $\dim A = 0$  we have  $I = \text{Jac}(A) = \text{nilrad}(A)$  so any  $f \in I$  is nilpotent so  $I$  is nilpotent because  $A$  is noetherian so  $I$  is finitely generated. Thus by the Chinese remainder theorem  $A$  is a finite product of local rings so we reduce to the case that  $A$  is local with maximal ideal  $\mathfrak{m}$ . Then we get a series,

$$0 = \mathfrak{m}^n \subset \mathfrak{m}^{n-1} \subset \cdots \subset \mathfrak{m} \subset A$$

but  $\mathfrak{m}^i/\mathfrak{m}^{i+1}$  is a finite  $A/\mathfrak{m}$ -module since  $A$  is noetherian so,

$$\ell_A(\mathfrak{m}^i/\mathfrak{m}^{i+1}) = \ell_{A/\mathfrak{m}}(\mathfrak{m}^i/\mathfrak{m}^{i+1}) = \dim_{A/\mathfrak{m}} \mathfrak{m}^i/\mathfrak{m}^{i+1}$$

is finite and thus  $\ell_A(A)$  is finite from the series showing that  $A$  is artinian.  $\square$

**Proposition 7.0.8.** Let  $A$  be an artinian ring. Then,

$$\ell_A(A) = \sum_{i=1}^r \ell_{A_{\mathfrak{m}_i}}(A_{\mathfrak{m}_i})$$

*Proof.* We can write,  $A = A_{\mathfrak{m}_1} \times \cdots \times A_{\mathfrak{m}_r}$  and thus the formula immediately follows.  $\square$

**Proposition 7.0.9.** Any finite dimensional  $k$ -algebra is artinian.

*Proof.* By dimensionality arguments every descending chain stabilizes.  $\square$

**Proposition 7.0.10.** Let  $A \rightarrow B$  be a local map and  $M$  an  $B$ -module of finite length. Then,

$$\ell_A(M) = \ell_B(M) \cdot [\kappa(\mathfrak{m}_B) : \kappa(\mathfrak{m}_A)]$$

and in particular  $\ell_A(M)$  is finite if  $\kappa(\mathfrak{m}_B)$  is a finite extension of  $\kappa(\mathfrak{m}_A)$ .

*Proof.* Consider a composition series,

$$0 = M_0 \subset M_1 \subset \cdots \subset M_n = M$$

Then  $M_i/M_{i-1}$  is a simple  $B$ -module so  $M_i/M_{i-1} \cong B/\mathfrak{m}_B = \kappa(\mathfrak{m}_B)$  since  $B$  is local. Therefore,

$$\ell_A(M) = \sum_{i=1}^n \ell_A(M_i/M_{i-1}) = \sum_{i=1}^n \ell_A(\kappa(\mathfrak{m}_B)) = n \cdot [\kappa(B_{\mathfrak{m}}) : \kappa(A_{\mathfrak{m}})]$$

where  $\ell_A(\kappa(\mathfrak{m}_B)) = \ell_{\kappa(\mathfrak{m}_A)}(\kappa(\mathfrak{m}_B))$  because  $A \rightarrow B$  is local and,

$$\ell_{\kappa(\mathfrak{m}_A)}(\kappa(\mathfrak{m}_B)) = \dim_{\kappa(\mathfrak{m}_A)}(\kappa(\mathfrak{m}_B)) = [\kappa(\mathfrak{m}_B) : \kappa(\mathfrak{m}_A)]$$

$\square$

**Corollary 7.0.11.** If  $A$  is a local artinian finite type  $k$ -algebra. Then,

$$\dim_k A = \ell_A(A) \cdot \dim_k (A/\mathfrak{m})$$

in particular  $A$  is a finite  $k$ -module.

*Proof.* Viewing  $A$  as a module over itself we know it has finite length since  $A$  is artinian. Furthermore,  $A/\mathfrak{m}$  is a field finitely generated over  $k$  and thus a finite extension of  $k$  by the Nullstellensatz. Then applying the previous result we conclude.  $\square$

**Corollary 7.0.12.** Let  $A$  be an artinian finite type  $k$ -algebra. Then,

$$\dim_k A = \sum_{i=1}^r \ell_{A_{\mathfrak{m}_i}}(A_{\mathfrak{m}_i}) \cdot \dim_k (A/\mathfrak{m}_i)$$

*Proof.* Since  $A$  is artinian we can write,

$$A = \prod_{i=1}^r A_{\mathfrak{m}_i}$$

where  $A_{\mathfrak{m}_i}$  are the local artinian factors associated to the finitely many prime ideals  $\mathfrak{m}_1, \dots, \mathfrak{m}_r$ . The result follows from above by additivity of the dimensions.  $\square$

*Remark.* We can generalize this to the following proposition.

**Proposition 7.0.13.** Let  $A$  be local with maximal ideal  $\mathfrak{m}$  and  $B$  be semi-local with maximal ideals  $\mathfrak{m}_i$ . Let  $A \rightarrow B$  be a homomorphism of rings such that  $\mathfrak{m}_i$  lie over  $\mathfrak{m}$  and  $[\kappa(\mathfrak{m}_i) : \kappa(\mathfrak{m})]$  is finite. Let  $M$  be a finite length  $B$ -module. Then,

$$\ell_A(M) = \sum_{i=1}^n \ell_{B_{\mathfrak{m}_i}}(M_{\mathfrak{m}_i}) \cdot [\kappa(\mathfrak{m}_i) : \kappa(\mathfrak{m})]$$

## 8 Weakly Associated Points

### 8.1 Weakly Associated Primes

**Definition 8.1.1.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then a prime  $\mathfrak{p} \subset A$  is *weakly associated* to  $M$  if  $\mathfrak{p}$  is minimal over  $\text{Ann}_A(m)$  for some  $m \in M$ . We denote these primes  $\text{WAss}_A(M)$ .

**Lemma 8.1.2.** Let  $M$  be an  $A$  module then the natural map,

$$M \rightarrow \prod_{\mathfrak{p} \in \text{WAss}_A(M)} M_{\mathfrak{p}}$$

is injective.

*Proof.* Suppose that  $m \in M$  maps to zero. Then  $\mathfrak{p} \not\subset \text{Ann}_A(m)$  for each  $\mathfrak{p} \in \text{WAss}_A(M)$  which implies  $\text{Ann}_A(m) = A$  since otherwise some associated prime will be minimal over  $\text{Ann}_A(m)$ . Thus  $m = 0$ .  $\square$

**Lemma 8.1.3.** Let  $M$  be an  $A$ -module. Then,

$$M = (0) \iff \text{WAss}_A(M) = \emptyset$$

*Proof.* If  $M = (0)$  then this is clear. Otherwise, by the previous lemma  $M \hookrightarrow (0)$  is injective so  $M = (0)$ .  $\square$

**Lemma 8.1.4.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then,

$$\mathfrak{p} \in \text{WAss}_A(M) \iff \mathfrak{p}A_{\mathfrak{p}} \in \text{WAss}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}})$$

*Proof.* Consider the exact sequence for each  $m \in M$ ,

$$0 \longrightarrow \text{Ann}_A(m) \longrightarrow A \xrightarrow{m} M$$

Since localization is exact, we get an exact sequence,

$$0 \longrightarrow (\text{Ann}_A(m))_{\mathfrak{p}} \longrightarrow A_{\mathfrak{p}} \xrightarrow{m} M_{\mathfrak{p}}$$



Therefore,  $\text{Ann}_{A_{\mathfrak{p}}}(m) = (\text{Ann}_A(m))_{\mathfrak{p}}$ . If  $\mathfrak{p} \supset \text{Ann}_A(m)$  is minimal then  $\mathfrak{p}A_{\mathfrak{p}} \supset (\text{Ann}_A(m))_{\mathfrak{p}} = \text{Ann}_{A_{\mathfrak{p}}}(m)$  is minimal. Conversely, if  $\mathfrak{p}A_{\mathfrak{p}} \supset \text{Ann}_{A_{\mathfrak{p}}}(m/s)$  is minimal then,

$$\text{Ann}_{A_{\mathfrak{p}}}(m/s) = \text{Ann}_{A_{\mathfrak{p}}}(m) = (\text{Ann}_A(m))_{\mathfrak{p}}$$

which implies that  $\mathfrak{p} \supset \text{Ann}_A(m)$  is minimal because if  $x \in \text{Ann}_A(m)$  and  $x \notin \mathfrak{p}$  then  $(\text{Ann}_A(m))_{\mathfrak{p}} = A_{\mathfrak{p}}$  and any prime  $\mathfrak{q}$  such that  $\mathfrak{p} \subset \mathfrak{q} \subset \text{Ann}_A(m)$  implies that  $\mathfrak{q}A_{\mathfrak{p}}$  is intermediate.  $\square$

**Lemma 8.1.5.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then  $\text{WAss}_A(M) \subset \text{Supp}_A(M)$  furthermore any minimal element of  $\text{Supp}_A(M)$  is an element of  $\text{WAss}_A(M)$ .

*Proof.* Since  $\mathfrak{p} \supset \text{Ann}_A(m)$  we know  $M_{\mathfrak{p}} \neq 0$  since  $m$  is nonzero in  $M_{\mathfrak{p}}$ . Furthermore, suppose that  $\mathfrak{p} \in \text{Supp}_A(M)$  is minimal. Then  $\text{Supp}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}) = \{\mathfrak{p}A_{\mathfrak{p}}\}$  and  $M_{\mathfrak{p}} \neq 0$  so  $\text{WAss}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}) = \{A_{\mathfrak{p}}\}$  and thus  $\mathfrak{p} \in \text{WAss}_A(M)$ .  $\square$

**Proposition 8.1.6.** Let  $M$  be finite or  $A$  finite-dimensional. Every element of  $\text{Supp}_A(M)$  is contained in a minimal element. Likewise for  $\text{WAss}_A(M)$  and the sets of minimal elements coincide.

*Proof.* For Zorn's lemma, we need to show that every downward chain in  $\text{Supp}_A(M)$  has a lower bound. If  $\dim A < \infty$  then any downward chain of primes stabilizes. Alternatively, assume that  $M$  is finite and consider a chain  $\{\mathfrak{p}_i\}_{i \in I}$  then I claim that,

$$\mathfrak{q} = \bigcap_{i \in I} \mathfrak{p}_i \in \text{Supp}_A(M)$$

First,  $\mathfrak{q}$  is prime because if  $xy \in \mathfrak{q}$  then  $xy \in \mathfrak{p}_i$  at each stage so either  $x \in \mathfrak{p}_i$  or  $y \in \mathfrak{p}_i$  but because  $I$  is totally ordered either there is a maximal  $i \in I$  at which  $x$  appears in which case  $y \in \mathfrak{q}$  or  $x$  lies in  $\mathfrak{p}_i$  for arbitrarily large  $i$  meaning that  $x \in \mathfrak{p}_i$  for all  $i$  so  $x \in \mathfrak{q}$ . Now I claim that  $M_{\mathfrak{q}} \neq 0$ . Let  $m_1, \dots, m_r \in M$  generate. It suffices to show that  $\mathfrak{q} \supset \text{Ann}_A(m_j)$  for some  $j$  or equivalently that  $\mathfrak{p}_i \supset \text{Ann}_A(m_j)$  for some fixed  $j$  and all  $i$ . Indeed for each  $i$  there is some  $j$  so that  $\mathfrak{p}_i \supset \text{Ann}_A(m_j)$ . Therefore, at least one  $j$  must satisfy  $\mathfrak{p}_i \supset \text{Ann}_A(m_j)$  for unbounded  $i$  and hence  $\mathfrak{p}_i \supset \text{Ann}_A(m_j)$  for all  $i$ .

Now let  $\mathfrak{p} \in \text{WAss}_A(M)$  then  $\mathfrak{p} \in \text{Supp}_A(M)$  so choose  $\mathfrak{q} \subset \mathfrak{p}$  minimal in  $\text{Supp}_A(M)$  then we have shown that  $\mathfrak{q} \in \text{WAss}_A(M)$  and is minimal in  $\text{WAss}_A(M)$  because  $\text{WAss}_A(M) \subset \text{Supp}_A(M)$  and it is minimal in  $\text{Supp}_A(M)$ . We have shown that any minimal element of  $\text{Supp}_A(M)$  is in  $\text{WAss}_A(M)$  and hence is minimal in  $\text{WAss}_A(M)$ . This discussion shows the converse.  $\square$

*Remark.* The condition that  $M$  is finite is necessary if  $A$  is not finite dimensional (in which case downward chains of primes always stabilize). For example, let  $A = k[x_0, x_1, \dots]$  and,

$$M = \bigoplus_{i=0}^{\infty} A/\mathfrak{p}_i \text{ where } \mathfrak{p}_i = (x_i, x_{i+1}, \dots)$$

Then,

$$\text{Supp}_A(M) = \bigcup_{i=0}^{\infty} V(\mathfrak{p}_i)$$

Thus if  $\mathfrak{q} \in \text{Supp}_A(M)$  then  $\mathfrak{q} \supset \mathfrak{p}_i$  for some  $i$  but then  $\mathfrak{q} \supset \mathfrak{p}_i \supsetneq \mathfrak{p}_{i+1}$  so  $\text{Supp}_A(M)$  has no minimal elements.

*Remark.* The set  $\text{WAss}_A(M)$  need not be a downward set (even when every element is contained in a minimal element) even in the best situations of  $A$  a finite-dimensional noetherian ring and  $M$  a finite  $A$ -module. For example let  $A = k[x, y, z]/(x^2, xy, xz)$  and  $M = A$  then  $\text{WAss}_A(M) = \{(x), (x, y, z)\}$  so the intermediate prime  $(x, y)$  is not associated.

**Lemma 8.1.7.** Let  $A$  be a ring and  $M$  an  $A$ -module and  $S \subset A$  a multiplicative subset. Then.

- (a)  $\text{WAss}_A(S^{-1}M) = \text{WAss}_{S^{-1}A}(S^{-1}M)$
- (b)  $\text{WAss}_A(M) \cap \text{Spec}(S^{-1}A) = \text{WAss}_A(S^{-1}M)$ .

*Proof.* We have,

$$\mathfrak{p} \in \text{WAss}_A(S^{-1}M) \iff \mathfrak{p}A_{\mathfrak{p}} \in \text{WAss}_{A_{\mathfrak{p}}}(S^{-1}M_{\mathfrak{p}})$$

For  $\mathfrak{p} \in \text{Spec}(S^{-1}A)$  (i.e.  $S \subset A \setminus \mathfrak{p}$ ) we have  $S^{-1}M_{\mathfrak{p}} = M_{\mathfrak{p}}$  and  $(S^{-1}A)_{\mathfrak{p}} = A_{\mathfrak{p}}$  so both equalities hold. Otherwise,  $\mathfrak{p}A_{\mathfrak{p}}$  contains an element of  $S$  so  $\mathfrak{p}A_{\mathfrak{p}}$  has some nonzero divisor on  $S^{-1}M_{\mathfrak{p}}$  and thus  $\mathfrak{p} \notin \text{WAss}_A(S^{-1}M)$ .  $\square$

**Proposition 8.1.8.** Let  $A$  be a ring  $M$  an  $A$ -module then  $\mathfrak{p} \in \text{Supp}_A(M)$  if and only if there exists  $\mathfrak{q} \subset \mathfrak{p}$  with  $\mathfrak{q} \in \text{WAss}_A(M)$ . Therefore,

$$\bigcap_{\mathfrak{p} \in \text{Supp}_A(M)} \mathfrak{p} = \bigcap_{\mathfrak{p} \in \text{WAss}_A(M)} \mathfrak{p} \quad \text{and} \quad \text{Supp}_A(M) = \bigcup_{\mathfrak{p} \in \text{WAss}_A(M)} V(\mathfrak{p})$$

*Proof.* Take  $\mathfrak{p} \in \text{Supp}_A(M)$  so  $M_{\mathfrak{p}} \neq 0$  and then  $\text{Ass}_A(M_{\mathfrak{p}}) \neq \emptyset$ . Using the previous lemma, there exists  $\mathfrak{q} \in \text{Ass}_A(M_{\mathfrak{p}}) = \text{Ass}_A(M) \cap \{\mathfrak{q} \mid \mathfrak{q} \subset \mathfrak{p}\}$ . Furthermore, the support is an upward set (if  $\mathfrak{q} \subset \mathfrak{p}$  and  $M_{\mathfrak{q}} \neq 0$  then  $M_{\mathfrak{p}} \neq 0$  since  $M_{\mathfrak{p}} \rightarrow M_{\mathfrak{q}}$  is localization). Thus, if we have  $\mathfrak{q} \subset \mathfrak{p}$  with  $\mathfrak{q} \in \text{Ass}_A(M) \subset \text{Supp}_A(M)$  then  $\mathfrak{p} \in \text{Supp}_A(M)$ .  $\square$

**Lemma 8.1.9.** Let  $M \hookrightarrow N$  be an injection of  $A$ -modules. Then  $\text{WAss}_A(M) \subset \text{WAss}_A(N)$ .

*Proof.* This follows because the set of annihilators of elements of  $M$  is a subset of the set of annihilators of elements of  $N$ .  $\square$

**Lemma 8.1.10.** Consider an exact sequence of  $A$ -modules,

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3$$

then,

$$\text{WAss}_A(M_2) \subset \text{WAss}_A(M_1) \cup \text{WAss}_A(M_3)$$

*Proof.* Let  $\mathfrak{p} \in \text{WAss}_A(M_2)$  and  $\mathfrak{p} \notin \text{WAss}_A(M_1)$ . Using the previous lemma it suffices to consider the case that  $A$  is local with maximal ideal  $\mathfrak{p}$  (since we may localize the exact sequence at  $\mathfrak{p}$ ). Then  $\mathfrak{p}$  is minimal over  $\text{Ann}_A(m)$  for some  $m \in M_2$  not in the image of  $M_1 \rightarrow M_2$  (else  $\mathfrak{p} \in \text{WAss}_A(M_1)$ ). Therefore  $\bar{m} \in M_3$  is nonzero and  $\text{Ann}_A(\bar{m}) \supset \text{Ann}_A(m)$  but  $\text{Ann}_A(\bar{m})$  is proper since  $\bar{m}$  is nonzero and thus contained in  $\mathfrak{p}$ . Since  $\mathfrak{p}$  is minimal over  $\text{Ann}_A(m)$  it must also be minimal over  $\text{Ann}_A(\bar{m})$  and thus we conclude that  $\mathfrak{p} \in \text{WAss}_A(M_3)$ .  $\square$

**Lemma 8.1.11.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then,

$$\bigcup_{\mathfrak{p} \in \text{WAss}_A(M)} \mathfrak{p} = \{\text{zero divisors on } M\}$$

*Proof.* Let  $m \in M$  have zero divisors then there exists a minimal prime (by Zorn's Lemma) above  $\text{Ann}_A(m)$  which must be associated. Conversely, if  $f \in \mathfrak{p} \in \text{WAss}_A(M)$  then  $\mathfrak{p}$  is minimal over  $\text{Ann}_A(m)$  for some  $m \in M$ . Then  $R = (A/\text{Ann}_A(m))_{\mathfrak{p}}$  has a unique minimal prime  $\mathfrak{p}$  so  $\mathfrak{p} = \text{nilrad}(R)$  and thus  $gf^n \in \text{Ann}_A(m)$  for some least  $n > 0$  and  $g \notin \mathfrak{p}$ . Thus  $gf^n m = 0$  so  $f(gf^{n-1}m) = 0$  but  $gf^{n-1}m \neq 0$  because  $n$  is minimal so  $f$  is a zero divisor.  $\square$

**Proposition 8.1.12.** Let  $A$  be reduced then  $\text{WAss}_A(A)$  are exactly the minimal primes of  $A$ .

*Proof.* The minimal primes are in  $\text{WAss}_A(A)$  by Lemma 8.1.5. Because  $\mathfrak{p} \in \text{WAss}_A(A) \iff \mathfrak{p}A_{\mathfrak{p}} \in \text{WAss}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}})$  it suffices to consider the case of a reduced local ring  $(R, \mathfrak{m})$  and  $\mathfrak{m} \in \text{WAss}_R(R)$ . Then  $\mathfrak{m}$  is minimal over  $\text{Ann}_R(x)$  for some  $x \in \mathfrak{m}$  so  $\mathfrak{m} = \sqrt{\text{Ann}_R(x)}$ . Thus  $x^n \in \text{Ann}_R(x)$  so  $x^{n+1} = x^n \cdot x = 0$  so  $x = 0$  because  $R$  is reduced a contradiction unless  $\mathfrak{m} = 0$  so  $R$  is a field so  $\mathfrak{m}$  is minimal showing that  $\mathfrak{p}A_{\mathfrak{p}} \subset A_{\mathfrak{p}}$  and thus  $\mathfrak{p} \subset A$  are minimal primes and that  $A_{\mathfrak{p}}$  is a field.  $\square$

**Lemma 8.1.13.** Let  $A$  be a ring and  $\mathfrak{p} \subset A$  a prime then  $\text{WAss}_A(A/\mathfrak{p}) = \{\mathfrak{p}\}$ .

*Proof.* For nonzero  $a \in A/\mathfrak{p}$  (i.e.  $a \notin \mathfrak{p}$ ) the set  $\text{Ann}_A(a) = \{r \in A \mid ra \in \mathfrak{p}\} = \mathfrak{p}$  since  $\mathfrak{p}$  is prime and therefore  $\mathfrak{p}$  is the unique minimal prime over an annihilator.  $\square$

**Proposition 8.1.14.** Let  $A$  be a ring and  $M$  a Noetherian  $A$ -module. Then,

(a) there exists a finite filtration,

$$(0) = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots \subsetneq M_n = M$$

such that each  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$  for some  $\mathfrak{p}_i \in \text{Supp}_A(M)$

(b) for any such filtration,  $\text{WAss}_A(M) \subset \{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_n\}$

(c)  $\text{WAss}_A(M)$  is finite.

*Proof.* Since  $M \neq (0)$  there is some  $\mathfrak{p} \in \text{WAss}_A(M)$  so we have an injection  $A/\mathfrak{p} \rightarrow M$  let  $M_1 \subset M$  be the image of this map so  $M_1/M_0 \cong A/\mathfrak{p}_1$ . Now take  $M/M_1$  and  $\mathfrak{p}_2 \in \text{WAss}_A(M/M_1)$  then we have an injection  $A/\mathfrak{p}_2 \rightarrow M/M_1$  so take  $M_2$  to be the image inside  $M/M_1$  and  $M_2$  its preimage in  $M$ . Then  $M_2/M_1 \cong A/\mathfrak{p}_2$  and continuing by induction we construct a sequence,

$$0 \subsetneq M_1 \subsetneq M_2 \subsetneq M_3 \subsetneq \cdots$$

with  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$  and

$$\mathfrak{p}_i \in \text{WAss}_A(M/M_{i-1}) \subset \text{Supp}_A(M/M_{i-1}) \subset \text{Supp}_A(M)$$

However,  $M$  is Noetherian so this sequence must stabilize but it is strictly increasing when  $M_i \subset M$  is proper. Thus,  $M_n = M$  for some  $n$ .

For any such filtration we get exact sequences,

$$0 \longrightarrow M_i \longrightarrow M_{i+1} \longrightarrow A/\mathfrak{p}_{i+1} \longrightarrow 0$$

Assume for induction that  $\text{Ass}_A(M_i) \subset \{\mathfrak{p}_1, \dots, \mathfrak{p}_i\}$  then, by Lemma 8.1.10,

$$\text{WAss}_A(M_{i+1}) \subset \text{WAss}_A(M_i) \cup \text{WAss}_A(A/\mathfrak{p}_{i+1}) \subset \{\mathfrak{p}_1, \dots, \mathfrak{p}_{i+1}\}$$

proving (b) by induction. (c) follows directly from (a) and (b).  $\square$

## 8.2 Associated Primes

**Definition 8.2.1.** Let  $A$  be a ring and  $M$  an  $A$ -module. We say that  $\mathfrak{p} \subset A$  is an *associated prime* of  $M$  if  $\mathfrak{p} = \text{Ann}_A(m)$  for some  $m \in M$ . We write  $\text{Ass}_A(M)$  for the set of associated primes of  $M$ .

*Remark.* Note  $\mathfrak{p} = \text{Ann}_A(m) \iff A/\mathfrak{p} \hookrightarrow M$  via  $a \mapsto a \cdot m$ .

*Remark.* Clearly  $\text{Ass}_A(M) \subset \text{WAss}_A(M)$ . We will see equality holds when  $A$  is Noetherian.

**Lemma 8.2.2.** Given an exact sequence of  $A$ -modules,

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3$$

we have,

$$\text{Ass}_A(M_2) \subset \text{Ass}_A(M_1) \cup \text{Ass}_A(M_3)$$

*Proof.* If  $\mathfrak{p} \in \text{Ass}_A(M)$  then we have an embedding

$$A/\mathfrak{p} \hookrightarrow M_2$$

which is injective and  $\iota(A/\mathfrak{p}) \cap N_1 = (0)$  then we get an injective map  $A/\mathfrak{p} \rightarrow M_3$  so  $\mathfrak{p} \in \text{Ass}_A(M_3)$ . If  $\iota(A/\mathfrak{p}) \cap M_1 \neq (0)$  then take nonzero  $n \in \iota(A/\mathfrak{p}) \cap M_1$ . Then  $\text{Ann}_A(n) = \text{Ann}_A(\iota(x))$  for  $x \in A/\mathfrak{p}$  nonzero. However, if  $a \cdot \iota(x) = 0$  then  $\iota(a \cdot x) = 0$  but  $\iota$  is injective so  $a \cdot x = 0$  and thus  $\text{Ann}_A(\iota(x)) = \text{Ann}_A(x) = \mathfrak{p}$  because if  $a \cdot x \in \mathfrak{p}$  for  $x \notin \mathfrak{p}$  then  $a \in \mathfrak{p}$ .  $\square$

**Lemma 8.2.3.** Let  $S_{M,\mathfrak{p}} = \{\text{Ann}_A(m) \subset \mathfrak{p} \mid m \in M \setminus \{0\}\}$  then any maximal element in  $S_{M,\mathfrak{p}}$  is a prime ideal.

*Proof.* Let  $\mathfrak{q} \in S_{M,\mathfrak{p}}$  be maximal with  $\mathfrak{q} = \text{Ann}_A(m)$  for  $m \neq 0$ . Suppose  $ab \in \mathfrak{q}$  and  $a, b \notin \mathfrak{q}$ . Then  $\mathfrak{q} \subsetneq \text{Ann}_A(am)$  since  $b \in \text{Ann}_A(am) \setminus \text{Ann}_A(m)$  so by maximality  $\text{Ann}_A(am) \not\subset \mathfrak{p}$ . Choose  $s \in \text{Ann}_A(am) \setminus \mathfrak{p}$ . Then  $a \in \text{Ann}_A(sm)$  so  $\text{Ann}_A(m) \subsetneq \text{Ann}_A(sm)$  and thus by maximality we can choose  $t \in \text{Ann}_A(sm) \setminus \mathfrak{p}$  so  $st \in \text{Ann}_A(m) \subset \mathfrak{p}$  but  $s, t \notin \mathfrak{p}$  contradicting the primality of  $\mathfrak{p}$ . Thus  $\mathfrak{q}$  is prime.  $\square$

**Proposition 8.2.4.** Let  $A$  be Noetherian and  $M$  be an  $A$ -module. Then,

$$\text{Ass}_A(M) = \text{WAss}_A(M)$$

In particular,  $\text{Ass}_A(M) \neq \emptyset$  and all other properties of  $\text{WAss}_A(M)$  apply to  $\text{Ass}_A(M)$ .

*Proof.*  $\text{Ass}_A(M) \subset \text{WAss}_A(M)$  is obvious. If  $\mathfrak{p} \in \text{WAss}_A(M)$  then  $\mathfrak{p} \supset \text{Ann}_A(m)$  for some  $m \in M$  and thus  $m$  is nonzero in  $M_{\mathfrak{p}}$  so  $\mathfrak{p} \in \text{Supp}_A(M)$ . Let  $A$  be Noetherian then ascending chains in  $S_{M,\mathfrak{p}}$  stabilize and thus by Zorn's Lemma every annihilator  $\text{Ann}_A(m) \subset \mathfrak{p}$  is contained in some maximal  $\text{Ann}_A(m') \subset \mathfrak{p}$ . Thus, if  $\mathfrak{p} \in \text{WAss}_A(M)$  then  $\mathfrak{p}$  is a minimal prime over some  $\text{Ann}_A(m)$  so  $\mathfrak{p} = \text{Ann}_A(m')$  since  $\text{Ann}_A(m')$  is prime and  $\text{Ann}_A(m) \subset \text{Ann}_A(m') \subset \mathfrak{p}$ .  $\square$

**Lemma 8.2.5.** Let  $A$  be a ring and  $M$  an  $A$ -module and  $S \subset A$  a multiplicative subset. Then.

$$(a) \text{ Ass}_A(S^{-1}M) = \text{Ass}_{S^{-1}A}(S^{-1}M)$$

$$(b) \text{ Ass}_A(M) \cap \text{Spec}(S^{-1}A) \subset \text{Ass}_A(S^{-1}M) \text{ with equality when } A \text{ is Noetherian.}$$

*Proof.* Tag 05BZ.  $\square$

**Proposition 8.2.6.** Let  $A$  be a Noetherian ring and  $M$  a finite  $A$ -module. Then,

(a) there exists a finite filtration,

$$(0) = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots \subsetneq M_n = M$$

such that each  $M_i/M_{i-1} \cong A/\mathfrak{p}_i$  for some  $\mathfrak{p}_i \in \text{Supp}_A(M)$

(b) for any such filtration,  $\text{Ass}_A(M) \subset \{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_n\}$

(c)  $\text{Ass}_A(M)$  is finite.

*Proof.*  $M$  is a Noetherian module so this applies directly from Prop. 8.1.14.  $\square$

**Proposition 8.2.7.** Let  $A$  be a Noetherian ring and  $I \subset A$  an ideal and  $M$  a finite  $A$ -module. Then the following are equivalent,

(a)  $I \subset \mathfrak{p}$  for some  $\mathfrak{p} \in \text{Ass}_A(M)$

(b)  $I \subset \{\text{zero divisors on } M\}$

*Proof.* If  $I \subset \mathfrak{p}$  for  $\mathfrak{p} \in \text{Ass}_A(M)$  then,

$$I \subset \mathfrak{p} \subset \{\text{zero divisors on } M\}$$

Conversely, if  $I \subset \{\text{zero divisors on } M\}$  then,

$$I \subset \{\text{zero divisors on } M\} = \bigcup_{\mathfrak{p} \in \text{Ass}_A(M)} \mathfrak{p}$$

By Proposition 8.2.6, the set  $\text{Ass}_A(M)$  is finite so by prime avoidance  $I \subset \mathfrak{p}$  for some  $\mathfrak{p} \in \text{Ass}_A(M)$ .  $\square$

**Corollary 8.2.8.** Let  $\mathfrak{m} \subset A$  be a maximal ideal with  $A$  noetherian and  $M$  a finite  $A$ -module. Then  $\mathfrak{m} \in \text{Ass}_A(M)$  if and only if  $\mathfrak{m} \subset \{\text{zero divisors on } M\}$ .

**Corollary 8.2.9.** Let  $(A, \mathfrak{m})$  be a noetherian local ring then  $\mathfrak{m} \in \text{Ass}_A(A)$  iff  $\mathfrak{m} = \{\text{zero divisors}\}$ .

*Proof.* Immediate from the above since zero divisors are not units and thus contained in  $\mathfrak{m}$ .  $\square$

**Corollary 8.2.10.** Let  $A$  be noetherian and  $M$  be a finite  $A$ -module then for all  $\mathfrak{p} \in \text{Spec}(A)$ ,

$$\mathfrak{p} \in \text{Ass}_A(M) \iff \mathfrak{p}A_{\mathfrak{p}} = \{\text{zero divisors on } M_{\mathfrak{p}}\}$$

### 8.3 Primary Decomposition

*Remark.* In this section we let  $A$  be a Noetherian ring.

**Definition 8.3.1.** An  $A$ -module  $M$  is called coprimary if  $\text{Ass}_A(M) = \{\mathfrak{p}\}$  and if  $N \subset M$  we say that  $N$  is  $\mathfrak{p}$ -primary if  $M/N$  is coprimary with  $\text{Ass}_A(M/N) = \{\mathfrak{p}\}$ .

**Lemma 8.3.2.**  $M$  is coprimary iff any zero divisor of  $M$  is locally nilpotent i.e. if  $a \cdot m = 0$  for some  $m \in M \setminus \{0\}$  then  $\forall m' \in M : a^n \cdot m' = 0$  for some  $n$ .

*Proof.* Assume that  $M$  is coprimary,  $\text{Ass}_A(M) = \{\mathfrak{p}\}$ . If  $x \in M$  is nonzero then  $Ax$  is a nonzero submodule of  $M$  so  $\text{Ass}_A(Ax) = \{\mathfrak{p}\}$  since it is nonempty. Therefore,  $\mathfrak{p}$  is a minimal element in  $\text{Supp}_A(Ax) = V(\text{Ann}_A(x))$  because  $Ax \cong A/\text{Ann}_A(x)$ . Thus,  $\sqrt{\text{Ann}_A(x)} = \mathfrak{p}$ . If  $a$  is a zero divisor of  $M$  then  $a \in \mathfrak{p}$  so  $a^n \in \text{Ann}_A(x)$  so  $a$  is locally nilpotent. Conversely, assume that the set of zero divisors equals the set of locally nilpotent elements. Take  $\mathfrak{p}$  to be the ideal of all locally nilpotents. Take  $\mathfrak{q} \in \text{Ass}_A(M)$  then  $\mathfrak{q} = \text{Ann}_A(x)$  for some  $x$ . If  $a \in \mathfrak{p}$  then  $a^n \cdot x = 0$  for some  $n$  implies that  $a^n \in \mathfrak{q}$  so  $a \in \mathfrak{q}$ . so  $\mathfrak{p} \subset \mathfrak{q}$ . Furthermore,

$$\bigcup_{\mathfrak{q} \in \text{Ass}_A(M)} \mathfrak{q} = \{\text{zero divisors}\} = \mathfrak{p}$$

so for any  $\mathfrak{q} \in \text{Ass}_A(M)$  we have  $\mathfrak{q} \subset \mathfrak{p}$ . Thus,  $\mathfrak{p} = \mathfrak{q}$  so  $\text{Ass}_A(M)$  contains a unique prime.  $\square$

**Corollary 8.3.3.** If  $I \subset A$  is an ideal then  $\text{Ass}_A(A/I) = \{\mathfrak{p}\}$  if and only if  $I$  is a primary ideal and in that case  $\sqrt{I} = \mathfrak{p}$ .

*Proof.* Consider  $I \subset A$  and  $A/I$  is coprimary then take  $x, y \in A$  such that  $y \notin I$  and  $\bar{x} \cdot \bar{y} = 0$  in  $A/I$ . Then  $\bar{x}$  is a zero divisor of  $A/I$  so it is locally nilpotent by the above. Thus,  $\bar{x}^n \cdot 1 = 0$  for some  $n$  so  $x^n \in I$  so  $x \in \sqrt{I}$  and thus  $I$  is primary. Furthermore,

$$\sqrt{I} = \bigcap_{\mathfrak{p} \in V(I)} \mathfrak{p} = \bigcap_{\mathfrak{p} \in \text{Supp}_A(A/I)} \mathfrak{p} = \bigcap_{\mathfrak{p} \in \text{Ass}_A(A/I)} \mathfrak{p} = \mathfrak{p}$$

since  $\text{Ass}_A(M)$  is the set of minimal primes of  $\text{Supp}_A(M)$  and  $\text{Ass}_A(A/I) = \mathfrak{p}$ .  $\square$

**Definition 8.3.4.** Let  $M$  be an  $A$ -module and  $N \subset M$ . We say  $N$  has a primary decomposition if,

$$N = Q_1 \cap Q_2 \cap \cdots \cap Q_n$$

where each  $Q_i$  is primary. Moreover, we say that this decomposition is irredundant if

- (a) if  $i \neq j$  then  $\text{Ass}_A(M/Q_i) \neq \text{Ass}_A(M/Q_j)$
- (b) we cannot remove any  $Q_j$  from the intersection.

**Lemma 8.3.5.** Let  $M$  be an  $A$ -module then,

- (a) If  $Q_1, Q_2 \subset M$  are  $\mathfrak{p}$ -primary then  $Q_1 \cap Q_2$  is  $\mathfrak{p}$ -primary.
- (b) If  $N = Q_1 \cap \cdots \cap Q_n$  is a irredundant primary decomposition and for each  $i$ ,  $Q_i$  is  $\mathfrak{p}_i$ -primary then,

$$\text{Ass}_A(M/N) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$$

*Proof.* Consider the injection,

$$0 \longrightarrow M/Q_1 \cap Q_2 \hookrightarrow M/Q_1 \oplus M/Q_2$$

which implies that,

$$\text{Ass}_A(M/Q_1 \cap Q_2) \subset \text{Ass}_A(M/Q_1 \oplus M/Q_2) = \text{Ass}_A(M/Q_1) \cup \text{Ass}_A(M/Q_2) = \{\mathfrak{p}\}$$

proving the first. For the second, consider the injection,

$$M/N \hookrightarrow M/Q_1 \oplus \cdots \oplus M/Q_n$$

which implies that,

$$\text{Ass}_A(M/N) \subset \text{Ass}_A(M/Q_1) \cup \cdots \cup \text{Ass}_A(M/Q_n) \subset \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$$

We need to show that  $\mathfrak{p}_i \in \text{Ass}_A(M/N)$  for each  $i$ . We have the exact sequence,

$$0 \longrightarrow N \longrightarrow Q_2 \cap \cdots \cap Q_n \longrightarrow M/Q_1$$

and therefore,

$$(Q_2 \cap \cdots \cap Q_n)/N \hookrightarrow M/Q_1$$

which implies that,

$$\text{Ass}_A((Q_2 \cap \cdots \cap Q_n)/N) \subset \text{Ass}_A(M/Q_1) = \{\mathfrak{p}_1\}$$

so since it is nonempty we have,

$$\{\mathfrak{p}_1\} = \text{Ass}_A((Q_2 \cap \cdots \cap Q_n)/N) \subset \text{Ass}_A(M/N)$$

where the inclusion holds via the exact sequence,

$$0 \longrightarrow N \longrightarrow Q_2 \cap \cdots \cap Q_n \longrightarrow M/N$$

The same argument holds for each  $i$ . □

**Theorem 8.3.6.** Let  $M$  be Noetherian. For each  $\mathfrak{p} \in \text{Ass}_A(M)$ , there exist  $Q_{\mathfrak{p}} \subset M$  which are  $\mathfrak{p}$ -primary such that,

$$\bigcap_{\mathfrak{p} \in \text{Ass}_A(M)} Q_{\mathfrak{p}} = 0$$

*Proof.* Fix  $\mathfrak{p} \in \text{Ass}_A(M)$  and consider the set  $S_{\mathfrak{p}} = \{Q \subset M \mid \mathfrak{p} \notin \text{Ass}_A(Q)\} \neq \emptyset$  since the zero module is contained in this set. Since  $M$  is Noetherian ascending chains stabilize so by Zorn's lemma there exists a maximal element  $Q_{\mathfrak{p}} \in S_{\mathfrak{p}}$ . We know,

$$\text{Ann}_A(M/Q_{\mathfrak{p}}) \neq \emptyset$$

since we have  $M/Q_{\mathfrak{p}} \neq (0)$ . Otherwise,  $M = Q_{\mathfrak{p}}$  which implies  $\mathfrak{p} \in \text{Ass}_A(Q_{\mathfrak{p}})$  but  $Q_{\mathfrak{p}} \in S_{\mathfrak{p}}$ . Let  $\mathfrak{p}' \in \text{Ass}_A(M/Q_{\mathfrak{p}})$  and suppose that  $\mathfrak{p}' \neq \mathfrak{p}$  then we have,

$$A/\mathfrak{p}' \hookrightarrow M/Q_{\mathfrak{p}}$$

The image of this embedding is a submodule,  $Q_{\mathfrak{p}} \subsetneq Q' \subset M$  such that  $Q'/Q_{\mathfrak{p}} \cong A/\mathfrak{p}'$  implying that,

$$\text{Ass}_A(Q'/Q_{\mathfrak{p}}) = \{\mathfrak{p}'\}$$

Thus we have an exact sequence,

$$0 \longrightarrow Q_{\mathfrak{p}} \longrightarrow Q' \longrightarrow A/\mathfrak{p}' \longrightarrow 0$$

which implies that  $\text{Ass}_A(Q') \subset \text{Ass}_A(Q_{\mathfrak{p}}) \cup \text{Ass}_A(A/\mathfrak{p}') = \text{Ass}_A(Q_{\mathfrak{p}}) \cup \{\mathfrak{p}'\}$ . However, this contradicts the fact that  $Q_{\mathfrak{p}}$  is maximal in  $S_{\mathfrak{p}}$  since  $Q' \in S_{\mathfrak{p}}$  as long as  $\mathfrak{p}' \neq \mathfrak{p}$ . Therefore,  $\mathfrak{p}' = \mathfrak{p}$  so  $\text{Ass}_A(A/Q_{\mathfrak{p}}) = \{\mathfrak{p}\}$ . Now consider,

$$\text{Ass}_A \left( \bigcap_{\mathfrak{p} \in \text{Ass}_A(M)} Q_{\mathfrak{p}} \right) \subset \bigcap_{\mathfrak{p} \in \text{Ass}_A(M)} \text{Ass}_A(Q_{\mathfrak{p}}) = \emptyset$$

because for any  $\mathfrak{p}$  we know  $\mathfrak{p} \notin \text{Ass}_A(Q_{\mathfrak{p}})$ . Therefore,

$$\bigcap_{\mathfrak{p} \in \text{Ass}_A(M)} Q_{\mathfrak{p}} = (0)$$

since it has no associated primes. □

**Corollary 8.3.7.** If  $M$  is a finite  $A$ -module then any submodule has a primary decomposition.

*Proof.* Let  $N \subset M$  be a submodule. Apply the theorem to  $\bar{M} = M/N$  which has finite type so  $\text{Ass}_A(M/N)$  is finite. Write,  $\text{Ass}_A(M/N) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$ . Therefore, there exist primary ideals  $Q_i$  such that,

$$Q_{\mathfrak{p}_1} \cap \dots \cap Q_{\mathfrak{p}_r} = (0)$$

in  $M/N$ . Take  $Q_i$  to be the preimage of  $Q_{\mathfrak{p}_i}$ . Thus,

$$Q_1 \cap \dots \cap Q_r = N$$

and

$$M/Q_i \cong \bar{M}/Q_{\mathfrak{p}_i} \implies \text{Ass}_A(M/Q_i) = \{\mathfrak{p}_i\}$$

□

## 8.4 Weakly Associated Points

**Definition 8.4.1.** Let  $X$  be a scheme and  $\mathcal{F}$  a quasi-coherent  $\mathcal{O}_X$ -module. Then we define,

- (a)  $x \in X$  is *weakly associated* to  $\mathcal{F}$  if  $\mathfrak{m}_x \subset \mathcal{O}_{X,x}$  is weakly associated to  $\mathcal{F}_x$
- (b)  $\text{WAss}_{\mathcal{O}_X}(\mathcal{F})$  is the set of weakly associated points of  $\mathcal{F}$
- (c) the (weakly) associated points of  $X$  are  $\text{WAss}_{\mathcal{O}_X}(\mathcal{O}_X)$ .

**Proposition 8.4.2.** Let  $X = \text{Spec}(A)$  and  $\mathcal{F} = \bar{M}$  be a quasi-coherent  $\mathcal{O}_X$ -module then we have,

$$\text{WAss}_{\mathcal{O}_X}(\mathcal{F}) = \text{WAss}_A(M)$$

*Proof.* Immediate consequence of Lemma 8.1.4. □

**Proposition 8.4.3.** Let  $X$  be a scheme and  $\mathcal{F}$  a quasi-coherent sheaf. Then,

$$\mathcal{F} = 0 \iff \text{WAss}_{\mathcal{O}_X}(\mathcal{F}) = \emptyset$$

*Proof.* Choose an affine open cover  $U_i = \text{Spec}(A_i)$  such that  $\mathcal{F}|_{U_i} = \bar{M}_i$ . Then  $\text{WAss}_A(M_i) = \text{WAss}_{\mathcal{O}_X}(\mathcal{F}) \cap U_i = \emptyset$  so  $M_i = 0$  and thus  $\mathcal{F} = 0$ . □

**Proposition 8.4.4.** Let  $X$  be a scheme and  $\mathcal{F} \rightarrow \mathcal{G}$  a morphism of quasi-coherent  $\mathcal{O}_X$ -modules. If  $\mathcal{F}_x \rightarrow \mathcal{G}_x$  is injective for each  $x \in \text{WAss}_{\mathcal{O}_X}(\mathcal{F})$  then  $\mathcal{F} \rightarrow \mathcal{G}$  is injective.

*Proof.* Consider the sequence,

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{F} \longrightarrow \mathcal{G}$$

Since  $\mathcal{F}_x \rightarrow \mathcal{G}_x$  is an injection  $\mathcal{K}_x = 0$  for each  $x \in \text{WAss}_{\mathcal{O}_X}(\mathcal{F})$ . Furthermore,  $\text{WAss}_{\mathcal{O}_X}(\mathcal{K}) \subset \text{WAss}_{\mathcal{O}_X}(\mathcal{F})$  and thus  $\text{WAss}_{\mathcal{O}_X}(\mathcal{K}) = \emptyset$  so  $\mathcal{K} = 0$ . □



## 8.5 Associated Points: the Noetherian Case

*Remark.* By analogy, we might define an *associated point* of  $\mathcal{F}$  on  $X$  to be a point  $x \in X$  such that  $\mathfrak{m}_x \subset \mathcal{O}_{X,x}$  is an associated prime of  $\mathcal{F}_x$ . However, this definition is problematic because, in general, associated primes do not play nicely with localization. In particular  $\mathfrak{p} \in \text{Ass}_A(M) \implies \mathfrak{p}A_{\mathfrak{p}} \in \text{Ass}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}})$  but the converse may not hold. Therefore, we may have a scheme  $X$  and a quasi-coherent sheaf  $\mathcal{F}$  such that on an affine open  $U = \text{Spec}(A)$  with  $\mathcal{F}|_U = \widetilde{M}$  we have  $\mathfrak{p} \in \text{Ass}_A(M)$  but  $\mathfrak{p} = x \in X$  is not an associated point of  $\mathcal{F}$  on  $X$ . To rectify this pathology, we only consider associated points on locally noetherian schemes in which case there is no difference between weakly associated points and associated points.

**Definition 8.5.1.** Let  $X$  be a locally noetherian scheme and  $\mathcal{F}$  a quasi-coherent  $\mathcal{O}_X$ -module. We say  $x \in X$  is an *associated point* of  $\mathcal{F}$  if  $x$  is a *weakly associated point*. Likewise we write,

$$\text{Ass}_{\mathcal{O}_X}(\mathcal{F}) = \text{WAss}_{\mathcal{O}_X}(\mathcal{F})$$

*Remark.* Notice this definition is purely notational. In the locally noetherian case we simply will write  $\text{Ass}_{\mathcal{O}_X}(\mathcal{F})$  for  $\text{WAss}_{\mathcal{O}_X}(\mathcal{F})$  as a reminder that these sets behave as expected for associated points in the case of Noetherian rings.

**Proposition 8.5.2.** Let  $X$  be noetherian and  $\mathcal{F}$  a coherent  $\mathcal{O}_X$ -module. Then  $\text{Ass}_{\mathcal{O}_X}(\mathcal{F})$  is finite.

*Proof.* Since  $X$  is quasi-compact we may choose a finite open cover  $U_i = \text{Spec}(A_i)$  with  $A_i$  Noetherian on which  $\mathcal{F}|_{U_i} = \widetilde{M_i}$  for finite  $A_i$ -modules. Then  $\text{Ass}_{\mathcal{O}_X}(\mathcal{F}) \cap U = \text{Ass}_{A_i}(M_i)$  each of which is finite since  $M_i$  is a Noetherian module.  $\square$

## 9 Depth

### 9.1 Definitions

**Definition 9.1.1.** Let  $A$  be a ring  $I \subset A$  an ideal and  $M$  a finite  $A$ -module. Then  $x_1, \dots, x_r \in I$  are an  *$M$ -regular sequence in  $I$*  if

- (a)  $x_i$  is a nonzerodivisor on  $M/(x_1, \dots, x_{i-1})M$  for each  $i \in \{1, \dots, r\}$
- (b)  $M/(x_1, \dots, x_r)M$  is nonzero.

We say that  $\text{depth}_I(M)$  is the supremum of the lengths of  $M$ -regular sequence in  $I$  unless  $IM = M$  in which case  $\text{depth}_I(M) = \infty$ .

*Remark.* If  $IM \subsetneq M$  then  $\text{depth}_I(M) = 0$  iff  $I \subset \{\text{zero divisors on } M\}$ .

*Remark.* If  $(A, \mathfrak{m})$  is a local ring then we define  $\text{depth}(M) := \text{depth}_{\mathfrak{m}}(M)$ .

### 9.2 The Cohomological Criterion

**Lemma 9.2.1.** Let  $A$  be a Noetherian ring,  $I \subset A$  an ideal, and  $M$  a finite  $A$ -module with  $IM \neq M$ . Then the following are equivalent,

- (a)  $\text{Ext}_A^i(N, M) = 0$  for all  $i < n$  and all finite  $A$ -modules  $N$  with  $\text{Supp}_A(N) \subset V(I)$
- (b)  $\text{Ext}_A^i(A/I, M) = 0$  for all  $i < n$

- (c) there exists a finite  $A$ -module  $N$  with  $\text{Supp}_A(N) = V(I)$  and  $\text{Ext}_A^i(N, M) = 0$  for all  $i < n$
- (d) there exists an  $M$ -regular sequence  $x_1, \dots, x_n \in I$  of length  $n$

and therefore  $\text{depth}_I(M) = \inf\{n \in \mathbb{Z} \mid \text{Ext}_A^i(A/I, M) \neq 0\}$ .

*Proof.* Clearly (a)  $\implies$  (b)  $\implies$  (c). Now we show that (c)  $\implies$  (d).

Finally, we need to show that (d)  $\implies$  (a). (DOOOOOOOOOOOOOOOOOOOOOOOOOOOOO!! OR SPLIT UP THIS PROOF!!)  $\square$

*Remark.* From here on, let  $A$  be a Noetherian ring and  $I \subset A$  an ideal and  $M$  a finite  $A$ -module with  $IM \neq M$ .

**Lemma 9.2.2.** Consider an exact sequence of finite  $A$ -modules such that  $IM_i \neq M_i$ ,

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3 \longrightarrow 0$$

Then the following hold,

- (a)  $\text{depth}_I(M_2) \geq \min\{\text{depth}_I(M_1), \text{depth}_I(M_3)\}$
- (b)  $\text{depth}_I(M_1) \geq \min\{\text{depth}_I(M_2), \text{depth}_I(M_3) + 1\}$
- (c)  $\text{depth}_I(M_3) \geq \min\{\text{depth}_I(M_1) - 1, \text{depth}_I(M_2)\}$

*Proof.* Apply the functor  $\text{Hom}_A(A/I, -)$  to give the long exact sequence,

$$\text{Ext}_A^i(A/I, M_1) \longrightarrow \text{Ext}_A^i(A/I, M_2) \longrightarrow \text{Ext}_A^i(A/I, M_3) \longrightarrow \text{Ext}_A^{i+1}(A/I, M_1)$$

If  $i < n = \min\{\text{depth}_I(M_1), \text{depth}_I(M_3)\}$  then  $\text{Ext}_A^i(A/I, M_2) = 0$  applying the cohomological criterion and the exact sequence so  $\text{depth}_I(M_3) \geq n$ . The other parts follow similarly.  $\square$

**Lemma 9.2.3.** Let  $x$  be a nonzerodivisor on  $M$  then  $\text{depth}_I(M/xM) = \text{depth}_I(M) - 1$ .

*Proof.* Applying the previous Lemma to the exact sequence,

$$0 \longrightarrow M \xrightarrow{\times x} M \longrightarrow M/xM \longrightarrow 0$$

gives  $\text{depth}_I(M/xM) \geq \text{depth}_I(M) - 1$ . However, for any  $M/xM$ -regular sequence  $x_1, \dots, x_n \in I$  we get a  $M$ -regular sequence  $x, x_1, \dots, x_n \in I$  and thus  $\text{depth}_I(M) \geq \text{depth}_I(M/xM) + 1$ .  $\square$

**Corollary 9.2.4.** Any  $M$ -regular sequence  $x_1, \dots, x_r \in I$  can be extended to a regular sequence of length  $\text{depth}_I(M)$  and thus all maximal regular sequences have the same length.

*Proof.* Given an  $M$ -regular sequence  $x_1, \dots, x_r \in I$  we apply the previous Lemma to show that,

$$\text{depth}_I(M/(x_1, \dots, x_r)M) = \text{depth}_I(M) - r$$

and thus there exists a regular sequence  $x_{r+1}, \dots, x_d \in I$  for  $M/(x_1, \dots, x_r)M$  meaning that  $x_1, \dots, x_r, \dots, x_d \in I$  gives a  $M$ -regular sequence of length  $\text{depth}_I(M)$  extending  $x_1, \dots, x_r$ .  $\square$

### 9.3 Vanishing Criteria on Ext

(GRADE AND (Ischebeck))

## 9.4 Locality of Depth

**Proposition 9.4.1.** Let  $A$  be a noetherian ring,  $I \subset A$  an ideal, and  $M$  a finite  $A$ -module. Then,

$$\text{depth}_I(M) = \inf\{\text{depth}(M_{\mathfrak{p}}) \mid \mathfrak{p} \in V(I)\}$$

*Proof.* Doooooooooooooooo!!!! □

## 9.5 Additional Lemmas

**Proposition 9.5.1.** Let  $A$  be Noetherian ring,  $I \subset A$  an ideal, and  $M$  a finite  $A$ -module. Then there exists an exact sequence of finite  $A$ -modules,

$$0 \longrightarrow K \longrightarrow F_{r-1} \longrightarrow \cdots \longrightarrow F_1 \longrightarrow F_0 \longrightarrow M \longrightarrow 0$$

where  $F_i$  are finite free  $A$ -modules and  $r = \text{depth}(A) - \text{depth}(M)$ . Furthermore, given any such sequence,  $\text{depth}(K) = \text{depth}(A)$ .

*Proof.* There always exists a surjection  $F_0 \twoheadrightarrow M$  from a finite free module  $F_0$  because  $M$  is finite. Extending to an exact sequence,

$$0 \longrightarrow K_1 \longrightarrow F_0 \longrightarrow M \longrightarrow 0$$

gives  $\text{depth}_I(K) \geq \min\{\text{depth}_I(A), \text{depth}_I(M) + 1\}$  because  $F_0$  is free so clearly  $\text{depth}_I(F_0) = \text{depth}_I(A)$  by the cohomological criterion. Thus either  $\text{depth}_I(K) \geq \text{depth}_I(A)$  already or  $\text{depth}_I(K) \geq \text{depth}_I(M) + 1$ . Therefore, repeating this process  $r$  times we see that  $\text{depth}_I(K_r) \geq \text{depth}_I(M)$  □

## 9.6 Cohen-Macaulay Rings

(IS THIS CORRECT AS STATED!!)

**Proposition 9.6.1.** Let  $A$  be a ring,  $I \subset A$  an ideal, and  $M$  a finite  $A$ -module. Then,

$$\text{depth}_I(M) \leq \min_{\mathfrak{p} \in \text{WAss}_A(M)} \dim A/\mathfrak{p} \leq \dim \text{Supp}_A(M)$$

**Definition 9.6.2.** Let  $A$  be a Noetherian local ring. A finite  $A$ -module  $M$  is *Cohen-Macaulay* if,

$$\text{depth}(M) = \dim \text{Supp}_A(M)$$

We say that  $A$  is Cohen-Macaulay if it is Cohen-Macaulay as an  $A$ -module i.e. if  $\text{depth}(A) = \dim A$ .

**Lemma 9.6.3.** If  $A$  is a Cohen-Macaulay Noetherian local ring then for any prime  $\mathfrak{p} \in \text{Spec}(A)$  the local ring  $A_{\mathfrak{p}}$  is Cohen-Macaulay.

*Proof.* Tag 0AAG □

*Remark.* This Lemma allows for the following definition.

**Definition 9.6.4.** A ring  $A$  is Cohen-Macaulay if  $A$  is Noetherian and  $A_{\mathfrak{p}}$  is Cohen-Macaulay for each  $\mathfrak{p} \in \text{Spec}(A)$ .

(UNIVERSALLY CATENARY ETC..)  
(FIX THIS STATEMENT!!)

**Proposition 9.6.5.** Let  $R$  be a regular local ring and  $M$  a finite  $A$ -module. Then any exact sequence of finite  $A$ -modules

## 9.7 Dimension

**Proposition 9.7.1.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and  $f \in \mathfrak{m}$ . Then,

$$\dim A/(f) \geq \dim A - 1$$

with equality iff  $f$  is a nonzero divisor.

*Proof.* <https://math.stackexchange.com/questions/2085779/the-dimension-modulo-a-principal-ideal-in-a-noetherian-local-ring>  $\square$

## 9.8 Properties

**Proposition 9.8.1.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and  $f \in \mathfrak{m}$  a nonzero divisor. Then  $A$  is Cohen-Macaulay iff  $A/(f)$  is Cohen-Macaulay.

*Proof.* We have  $\text{depth}(A/(f)) = \text{depth}(A) - 1$  and  $\dim A/(f) = \dim A - 1$ .  $\square$

## 10 Finite Projective Modules over Local Rings

*Remark.* It is well known that if  $\phi : M \rightarrow M$  is an endomorphism of Noetherian  $R$ -modules which is surjective then it is injective. However, we can remove the Noetherian hypothesis and only require  $M$  to be finitely generated (which does not imply Noetherian unless  $R$  is Noetherian).

*Remark.* The following proposition crucially only holds for *commutative* rings.

**Theorem 10.0.1.** Let  $M$  be a finite  $R$ -module and  $\phi : M \rightarrow M$  a surjective endomorphism then  $\phi$  is injective.

*Proof.* We consider  $M$  as a  $R[X]$ -module with  $X \cdot m = \phi(m)$ . Let  $I = (X) \subset R[X]$  then  $I \cdot M = M$  since  $\phi$  is surjective. Thus, by Nakayama,  $\exists P(X) \in I$  such that  $(1 - P(X)) \cdot M = 0$ . Thus, for all  $m \in M$  we have  $P(X) \cdot m = m$  i.e.  $m = P(\phi)(m)$  so if  $\phi(m) = 0$  then  $m = 0$  since  $P(X) \in I$  and thus has no constant terms.  $\square$

**Lemma 10.0.2.** Let  $(R, \mathfrak{m}, \kappa)$  be a local ring and  $M$  a finite  $R$ -module with  $M \otimes_R \kappa = 0$ . Then  $M = 0$ .

*Proof.* If  $M \otimes_R \kappa = M/\mathfrak{m}M = 0$  then  $\mathfrak{m}M = M$ . However, since  $R$  is local  $\mathfrak{m} = \text{Jac}(R)$  and  $M$  is finite so by Nakayama,  $M = 0$ .  $\square$

**Lemma 10.0.3.** Let  $(R, \mathfrak{m}, \kappa)$  be a local ring and  $\phi : M \rightarrow N$  a map of  $R$  modules with  $N$  finite such that  $\phi \otimes \text{id}_\kappa : M \otimes_R \kappa \rightarrow N \otimes_R \kappa$  is surjective. Then  $\phi$  is surjective.

*Proof.* Consider the exact sequence,

$$M \xrightarrow{\phi} N \longrightarrow \text{coker } \phi \longrightarrow 0$$

Since  $- \otimes_R \kappa$  is right-exact, we get an exact sequence,

$$M \otimes_R \kappa \xrightarrow{\phi \otimes \text{id}_\kappa} N \otimes_R \kappa \longrightarrow \text{coker } \phi \otimes_R \kappa \longrightarrow 0$$

However,  $\phi \otimes \text{id}_\kappa$  is surjective so by exactness  $\text{coker } \phi \otimes_R \kappa = 0$ . However, since  $N$  is finite so is  $\text{coker } \phi$  and thus  $\text{coker } \phi = 0$  by the lemma showing that  $\phi$  is surjective.  $\square$

**Lemma 10.0.4.** Let  $(R, \mathfrak{m}, \kappa)$  be a local ring. Suppose that  $M$  is a finite  $R$ -module with an endomorphism  $\phi : M \rightarrow M$  such that  $\phi \otimes \text{id} : M \otimes_R \kappa \rightarrow M \otimes_R \kappa$  is an isomorphism then  $\phi$  is an isomorphism.

*Proof.* Consider the exact sequence,

$$M \xrightarrow{\phi} M \longrightarrow \text{coker } \phi \longrightarrow 0$$

and apply the right-exact functor  $(-) \otimes_R \kappa$  to get,

$$M \otimes_R \kappa \xrightarrow{\phi \otimes \text{id}} M \otimes_R \kappa \longrightarrow (\text{coker } \phi) \otimes_R \kappa \longrightarrow 0$$

But  $\phi \otimes \text{id}$  is an isomorphism and the sequence is exact so  $(\text{coker } \phi) \otimes_R \kappa = 0$  and thus, by the previous lemma,  $\text{coker } \phi = 0$  so  $\phi$  is surjective. Now we apply the previous theorem to get that  $\phi$  is an isomorphism.  $\square$

**Lemma 10.0.5.** Let  $M$  be a finite module over  $R$  a local ring then bases of  $M \otimes_R \kappa$  lift to generating sets  $R^n \rightarrow M$  giving,

$$\text{rank}(M) = \dim_{\kappa}(M \otimes_R \kappa)$$

*Proof.* If  $M$  is generated by  $m_1, \dots, m_n$  then  $M \otimes_R \kappa = M/\mathfrak{m}M$  is generated by  $\bar{m}_1, \dots, \bar{m}_n$  over  $\kappa = R/\mathfrak{m}R$  since surjectivity of  $R^n \rightarrow M$  is preserved after applying  $(-) \otimes_R \kappa$ . Thus,

$$\text{rank}(M) = \dim_{\kappa} M \otimes_R \kappa \leq n$$

Now suppose that  $v_1, \dots, v_n$  is a  $\kappa$ -basis of  $M \otimes_R \kappa = M/\mathfrak{m}M$  then choose lifts  $m_1, \dots, m_n \in M$ . I claim that  $m_1, \dots, m_n$  generate  $M$  as an  $R$ -module. Let  $N \subset M$  be the  $R$ -submodule generated by the  $m_1, \dots, m_n$  and let  $K = M/N$ . Then I claim that  $\mathfrak{m}K = K$ . To see this it suffices to show that  $K \subset \mathfrak{m}K$ . For any  $m \in M$  we know that its image  $\bar{m} \in M/\mathfrak{m}M$  is in the span of the basis  $v_1, \dots, v_n$  so,

$$\bar{m} = r_1 v_1 + \dots + r_n v_n$$

for  $r_i \in R$ . Thus,

$$m - (r_1 m_1 + \dots + r_n m_n) \in \mathfrak{m}M$$

This implies that in  $K$  we have  $m \in \mathfrak{m}K$  so  $K = \mathfrak{m}K$ . Then since  $\text{Jac}(R) = \mathfrak{m}$  (because  $R$  is local) by Nakayama  $K = 0$  so  $M$  is generated by  $m_1, \dots, m_n$ .  $\square$

**Theorem 10.0.6.** Every finite projective module over a local ring is free.

*Proof.* Let  $P$  be a finite projective  $R$ -module where  $(R, \mathfrak{m}, \kappa)$  is a local ring. Then there is a surjection  $R^n \rightarrow P$  which we may assume gives a basis  $\kappa^n \xrightarrow{\sim} P \otimes_R \kappa$ . We extend to a short exact sequence,

$$0 \longrightarrow K \longrightarrow R^n \longrightarrow P \longrightarrow 0$$

but  $P$  is projective so the sequence splits giving  $R^n \cong K \oplus P$  and a surjection  $R^n \rightarrow K$  making  $K$  finitely generated. Since split exact sequences are preserved under additive functors,

$$0 \longrightarrow K \otimes_R \kappa \longrightarrow \kappa^n \longrightarrow P \otimes_R \kappa \longrightarrow 0$$

but the second map is an isomorphism so  $K \otimes_R \kappa = 0$  and  $K$  is finite so by the lemma  $K = 0$ . Thus  $R^n \xrightarrow{\sim} P$  is an isomorphism so  $P$  is free.  $\square$

**Lemma 10.0.7.** Let  $P$  be a projective  $R$ -module and  $S \subset R$  a multiplicative subset. Then  $S^{-1}P$  is a projective  $S^{-1}R$ -module.

*Proof.* Let  $M, N$  be  $S^{-1}R$ -modules and consider a diagram in the category of  $R$ -modules,

$$\begin{array}{ccccc} & & & & M \\ & & \nearrow \phi & & \downarrow \\ P & \longrightarrow & S^{-1}P & \longrightarrow & N \end{array}$$

then  $P \rightarrow N$  lifts to  $\phi : P \rightarrow M$  since  $P$  is projective. Now we define  $\tilde{\phi} : S^{-1}P \rightarrow M$  via  $\tilde{\phi}(x \otimes r/s) = (r/s) \cdot \phi(x)$  using the decomposition  $S^{-1}P = P \otimes_R S^{-1}R$ . This makes the diagram commute.  $\square$

*Remark.* We can also use the fact that (See Tag 05G3),

$$\mathrm{Hom}_{S^{-1}R}(S^{-1}P, -) = \mathrm{Hom}_{S^{-1}R}(P \otimes_R S^{-1}R, -) = \mathrm{Hom}_R(P, \mathrm{Res}_R^{S^{-1}R}(-))$$

and that projectivity of  $P$  is equivalent to  $\mathrm{Hom}_R(P, \mathrm{Res}_R^{S^{-1}R}(-))$  being exact showing that  $S^{-1}P$  is  $S^{-1}R$ -projective.

**Lemma 10.0.8.** Let  $M$  be a finitely-presented  $R$ -module such that  $M_{\mathfrak{p}}$  is a free  $R_{\mathfrak{p}}$ -module at each prime  $\mathfrak{p} \in \mathrm{Spec}(R)$ . Then  $M$  is a locally free  $R$ -module.

*Proof.* Take a prime  $\mathfrak{p} \in \mathrm{Spec}(R)$  then  $M_{\mathfrak{p}}$  is a finite free  $R_{\mathfrak{p}}$ -module say  $M_{\mathfrak{p}} \cong R_{\mathfrak{p}}^n$ . Lift the basis to give a map  $R^n \rightarrow M$  and an exact sequence,

$$0 \longrightarrow C \longrightarrow R^n \longrightarrow M \longrightarrow K \longrightarrow 0$$

Since  $M$  is finitely-presented, both  $K$  and  $C$  are finitely generated. Furthermore, localizing at  $\mathfrak{p}$  gives,

$$0 \longrightarrow C_{\mathfrak{p}} \longrightarrow R_{\mathfrak{p}}^n \longrightarrow M_{\mathfrak{p}} \longrightarrow K_{\mathfrak{p}} \longrightarrow 0$$

but  $R_{\mathfrak{p}}^n \rightarrow M_{\mathfrak{p}}$  is an isomorphism so  $C_{\mathfrak{p}} = 0$  and  $K_{\mathfrak{p}} = 0$ . Since they are finitely generated, there is an element  $f \notin \mathfrak{p}$  killing both generating sets and thus  $C_f = 0$  and  $K_f = 0$ . Therefore,

$$0 \longrightarrow C_f \longrightarrow R_f^n \longrightarrow M_f \longrightarrow K_f \longrightarrow 0$$

is exact so  $R_f^n \xrightarrow{\sim} M_f$  is an isomorphism so  $M$  is free on  $D(f) \subset \mathrm{Spec}(R)$  for  $\mathfrak{p} \in D(f)$  so  $M$  is locally free.  $\square$

**Theorem 10.0.9.** Let  $R$  be a ring. Then finite projective  $R$ -modules are exactly the finite locally free  $R$ -modules.

*Proof.* If  $P$  is finite projective then  $P_{\mathfrak{p}}$  is finite projective over  $R_{\mathfrak{p}}$  and thus free. Furthermore,  $P$  is finitely presented because there is an exact sequence,

$$0 \longrightarrow K \longrightarrow R^n \longrightarrow P \longrightarrow 0$$

which splits  $R^n \cong K \oplus P$  since  $P$  is projective giving a surjection  $R^n \rightarrow K$  thus showing that  $K$  is finite and giving a finite presentation,

$$R^n \longrightarrow R^n \longrightarrow P \longrightarrow 0$$

Therefore, by the previous lemma,  $P$  is locally free.

Conversely, if  $P$  is locally free so there exists a finite ( $\text{Spec}(R)$  is quasi-compact) open cover  $D(f_i)$  of  $\text{Spec}(R)$  such that  $P_{f_i} \cong R_{f_i}^n$ . Then we need to show that  $\text{Hom}_R(P, -)$  is exact. We use that  $\text{Hom}_R(P, -)_{f_i} = \text{Hom}_{R_{f_i}}(P_{f_i}, (-)_{f_i})$  which is exact since  $P_{f_i}$  is free and localization  $(-)_{f_i}$  is an exact functor. Then  $\text{Hom}_R(P, -)$  is exact since we can check exactness of the hom sequence locally.  $\square$

*Remark.* Look at Tag 00NV for more detailed version.

## 11 Integral and Finite Extensions

**Definition 11.0.1.** Let  $\varphi : A \rightarrow B$  be a map of rings. We say that an element  $x \in B$  is *integral* over  $A$  if it satisfies a monic polynomial,

$$x^n + \varphi(a_{n-1})x^{n-1} + \cdots + \varphi(a_0) = 0$$

for  $a_i \in A$ . We say that  $\varphi$  is *integral* if every element  $x \in B$  is integral over  $A$ .

(DO THIS [STUFF](#)).

## 12 Normal Domains

**Definition 12.0.1.** Let  $R$  be a domain. We say that  $R$  is *normal* if  $R$  is integrally closed in  $\text{Frac}(R)$ .

**Lemma 12.0.2.** Let  $R$  be a domain. The following are equivalent,

- (a)  $R$  is a normal domain
- (b) for each multiplicative subset  $S \subset R$ , the localization  $S^{-1}R$  is a normal domain
- (c) for each prime  $\mathfrak{p} \subset R$  the localization  $R_{\mathfrak{p}}$  is a normal domain
- (d) for each maximal ideal  $\mathfrak{m} \subset R$  the localization  $R_{\mathfrak{m}}$  is a normal domain.

*Proof.* Let  $R$  be a normal domain and  $x \in K = \text{Frac}(R)$  satisfying the monic polynomial,

$$x^n + \frac{r_{n-1}}{s_{n-1}}x^{n-1} + \cdots + \frac{r_0}{s_0}$$

for  $\frac{r_i}{s_i} \in S^{-1}R$ . Then let  $s = s_{n-1} \cdots s_0$  and,

$$(sx)^n + s_0 \cdots s_{n-2}r_{n-1}(sx)^{n-1} + \cdots + s^{n-1}s_1 \cdots s_{n-1}r_0 = 0$$

and therefore  $sx \in K$  is integral over  $R$  so  $sx \in R$  and thus  $x \in S^{-1}R$  showing that  $S^{-1}R$  is integrally closed.

Clearly, (b)  $\implies$  (c)  $\implies$  (d). Finally, suppose that each  $R_{\mathfrak{m}}$  is integrally closed. Then,

$$R = \bigcap R_{\mathfrak{m}}$$

inside  $K$ . Suppose that  $x \in K$  is integral over  $R$  then  $x$  is integral over each  $R_{\mathfrak{m}}$  and thus  $x \in R_{\mathfrak{m}}$  for each  $\mathfrak{m}$  by integral closure so  $x \in R$  proving that  $R$  is an integrally closed domain.  $\square$

## 12.1 Normalization

**Lemma 12.1.1.** Let  $\varphi : A \rightarrow B$  be a ring map. Then,

$$B' = \{b \in B \mid b \text{ is integral over } A\}$$

is an integrally closed  $A$ -subalgebra of  $B$  called the integral closure of  $A$  in  $B$ .

*Proof.* (DO THIS!!!) □

**Proposition 12.1.2.** Let  $A$  be a noetherian normal domain with  $K = \text{Frac}(A)$  and  $L/K$  a finite separable extension. Let  $A'$  be the normalization of  $A$  in  $L$ . Then  $A \subset A'$  is a finite extension of rank  $n = [L : K]$ .

*Proof.* Consider the trace pairing,

$$L \times L \rightarrow K \quad (x, y) \mapsto \langle x, y \rangle := \text{Tr}_{L/K}(xy)$$

Since  $L/K$  is separable this is nondegenerate (see algebra review). Furthermore, if  $x \in L$  is integral over  $A$  then  $\text{Tr}_{L/K}(x) \in K$  is integral over  $A$  so because  $A$  is normal  $\text{Tr}_{L/K}(x) \in A$ . Therefore, choosing an integral  $K$ -basis  $x_1, \dots, x_n \in L$  (which we can always do by clearing denominators since  $L/K$  is algebraic) then  $A' \subset L$  is contained in,

$$M = \{\alpha \in L \mid \langle \alpha, x_i \rangle \in A \text{ for all } i\}$$

which is an  $A$ -module because  $\langle -, x_i \rangle$  is linear. However,  $M \cong A^{\oplus n}$  via choosing the dual basis of  $x_1, \dots, x_n$ . Thus  $A' \subset A^{\oplus n}$  so  $A'$  is a finite  $A$ -module since  $A$  is noetherian. Furthermore,

$$N = Ax_1 \oplus \dots \oplus Ax_n \subset A'$$

by definition because each  $x_i \in L$  is integral. Therefore,  $A^{\oplus n} \subset A' \subset A^{\oplus n}$  so by tensoring with  $K$  we see that  $\text{rank}(A') = n$ . □

## 13 Projective and Global Dimension

### 13.1 Projective Dimension

**Definition 13.1.1.** Let  $M$  be an  $A$ -module. Then the projective dimension  $\text{pd}_A(M)$  is the minimal length  $r$  of a projective resolution of  $M$ ,

$$0 \longrightarrow P_r \longrightarrow \dots \longrightarrow P_1 \longrightarrow P_0 \longrightarrow M \longrightarrow 0$$

and  $\text{pd}_A(M) = \infty$  if there does not exist a finite-length projective resolution of  $M$ .

**Lemma 13.1.2** (Schanuel's lemma). Let  $A$  be a ring and  $M$  an  $A$ -module. Let,

$$0 \longrightarrow K \xrightarrow{c_1} P_1 \xrightarrow{p_1} M \longrightarrow 0 \qquad 0 \longrightarrow L \xrightarrow{c_2} P_2 \xrightarrow{p_2} M \longrightarrow 0$$

be two short exact sequences of  $A$ -module where  $P_i$  are projective. Then there exists an isomorphism of short exact sequences,



$$\begin{array}{ccccccc}
0 & \longrightarrow & K \oplus P_2 & \xrightarrow{(c_1 \text{ id})} & P_1 \oplus P_2 & \xrightarrow{(p_1 \ 0)} & M \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \parallel \\
0 & \longrightarrow & P_1 \oplus L & \xrightarrow{(\text{id } c_2)} & P_1 \oplus P_2 & \xrightarrow{(p_2 \ 0)} & M \longrightarrow 0
\end{array}$$

*Proof.* Using projectivity of  $P_1$  and  $P_2$  we get maps  $a : P_1 \rightarrow P_2$  and  $P_2 \rightarrow P_1$  over  $M$  meaning that  $p_2 \circ a = p_1$  and  $p_1 \circ b = p_2$ . Therefore, we get a diagram,

$$\begin{array}{ccccccc}
0 & \longrightarrow & K \oplus P_2 & \xrightarrow{(c_1 \text{ id})} & P_1 \oplus P_2 & \xrightarrow{(p_1 \ 0)} & M \longrightarrow 0 \\
& & \uparrow \text{---} & & \uparrow t & & \parallel \\
0 & \longrightarrow & N & \longrightarrow & P_1 \oplus P_2 & \xrightarrow{(p_1 \ p_2)} & M \longrightarrow 0 \\
& & \downarrow \text{---} & & \downarrow s & & \parallel \\
0 & \longrightarrow & P_1 \oplus L & \xrightarrow{(\text{id } c_2)} & P_1 \oplus P_2 & \xrightarrow{(p_2 \ 0)} & M \longrightarrow 0
\end{array}$$

where  $t(x, y) = (x + b(y), y)$  and  $s(x, y) = (x, y + a(x))$  such that,

$$(p_1, 0) \circ t = p_1 \circ (\text{id} + b) = p_1 + p_2 \quad \text{and} \quad (0, p_2) \circ s = p_2 \circ (\text{id} + a) = p_1 + p_2$$

so the diagram commutes inducing maps  $N \rightarrow K \oplus P_2$  and  $N \rightarrow P_1 \oplus L$  where  $N = \ker(P_1 \oplus P_2 \rightarrow M)$ . It is clear that  $t$  and  $s$  are isomorphisms and thus the induced maps are also isomorphisms proving the claim.  $\square$

**Lemma 13.1.3.** Let  $A$  be a ring and  $M$  an  $A$ -module with finite projective dimension. Then for any projective resolution,

$$\cdots \longrightarrow P_2 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow M \longrightarrow 0$$

the module  $\ker(P_k \rightarrow P_{k-1})$  is projective for  $k \geq \text{pd}_A(M) - 1$ .

*Proof.* We proceed by induction on  $\text{pd}_A(M)$ . For the case  $\text{pd}_A(M) = 0$  then  $M$  is projective so the exact sequence,

$$0 \longrightarrow K \longrightarrow P_0 \longrightarrow M \longrightarrow 0$$

splits so  $P_0 = K \oplus M$  proving that  $K$  is also projective giving the case  $k = 0$ . Replacing  $M$  by  $K = \ker(P_0 \rightarrow M)$  we prove  $\ker(P_k \rightarrow P_{k-1})$  is projective for all  $k$ .

Now for induction suppose  $\text{pd}_A(M) = d + 1$  and let,

$$0 \longrightarrow \tilde{P}_{d+1} \longrightarrow \cdots \longrightarrow \tilde{P}_1 \longrightarrow \tilde{P}_0 \longrightarrow M \longrightarrow 0$$

be a minimal length projective resolution. By Schanuel's lemma,

$$\tilde{P}_0 \oplus \ker(P_0 \rightarrow M) \cong P_0 \oplus \ker(\tilde{P}_0 \rightarrow M)$$

If  $\text{pd}_A(M) = 1$  and  $k = 0$  then  $\ker(\tilde{P}_0 \rightarrow M)$  is projective meaning that  $\ker(P_0 \rightarrow M)$  is projective as well. Otherwise let  $k > 0$  and consider the projective resolutions,

$$\cdots \longrightarrow P_3 \longrightarrow P_2 \longrightarrow P_1 \longrightarrow \ker(P_0 \rightarrow M) \longrightarrow 0$$

$$0 \longrightarrow \tilde{P}_{d+1} \longrightarrow \cdots \longrightarrow \tilde{P}_1 \longrightarrow \ker(\tilde{P}_0 \rightarrow M) \longrightarrow 0$$

We cannot directly apply induction because these are not resolutions of the same module. However, applying  $-\oplus \tilde{P}_0$  to the first sequence and  $-\oplus P_0$  to the second we get projective resolutions of  $M' = \tilde{P}_0 \oplus \ker(P_0 \rightarrow M) \cong P_0 \oplus \ker(\tilde{P}_0 \rightarrow M)$

$$\cdots \longrightarrow P_3 \oplus \tilde{P}_0 \longrightarrow P_2 \oplus \tilde{P}_0 \longrightarrow P_1 \oplus \tilde{P}_0 \longrightarrow M' \longrightarrow 0$$

$$0 \longrightarrow \tilde{P}_{d+1} \oplus P_0 \longrightarrow \cdots \longrightarrow \tilde{P}_1 \oplus P_0 \longrightarrow M' \longrightarrow 0$$

because direct sum is exact and preserves projectives. From the second sequence  $\text{pd}_A(M') \leq d$  so we may apply induction and find that  $\ker(P_k \oplus \tilde{P}_0 \rightarrow P_{k-1} \oplus \tilde{P}_0) = \ker(P_{k+1} \rightarrow P_k) \oplus \tilde{P}_0$  is projective for  $k \geq d-1$  and thus  $\ker(P_k \rightarrow P_{k-1})$  is projective for  $k \geq d$  completing the proof.  $\square$

**Lemma 13.1.4.** Let  $A$  be a Noetherian ring and  $M$  a finite  $A$ -module. Then the following are equivalent,

- (a)  $\text{pd}_A(M) \leq d$
- (b) there exists a resolution of  $M$  by finite modules  $F_i$  and  $P_d$ ,

$$0 \longrightarrow P_d \longrightarrow F_{d-1} \longrightarrow \cdots \longrightarrow F_1 \longrightarrow F_0 \longrightarrow M \longrightarrow 0$$

where the  $F_i$  are finite free and  $P_d$  is finite projective.

*Proof.* Clearly the second implies the first since  $F_i$  are projective. Given  $\text{pd}_A(M) \leq d$  we know  $d-1 \geq \text{pd}_A(M) - 1$ . Since  $A$  is Noetherian and  $M$  is finite we can build a finite free resolution,

$$0 \longrightarrow P_d \longrightarrow F_{d-1} \longrightarrow \cdots \longrightarrow F_1 \longrightarrow F_0 \longrightarrow M \longrightarrow 0$$

by taking a generating set for  $M$  and the kernel  $\ker(F_k \rightarrow F_{k-1})$  is again a finite  $A$ -module by the Noetherian property. Then let  $P_d = \ker(F_{d-1} \rightarrow F_{d-2})$ . Since the  $F_k$  are projective, by the previous lemma  $P_d$  is projective and finite as a submodule of a finite module.  $\square$

**Lemma 13.1.5.** Let  $A$  be a Noetherian local ring and  $M$  a finite  $A$ -module. Then the following are equivalent,

- (a)  $\text{pd}_A(M) \leq d$
- (b) there exists a resolution of  $M$  by finite free modules  $F_i$ ,

$$0 \longrightarrow F_d \longrightarrow F_{d-1} \longrightarrow \cdots \longrightarrow F_1 \longrightarrow F_0 \longrightarrow M \longrightarrow 0$$

*Proof.* This follows from above noting that finite projective  $A$ -modules are free because  $A$  is local.  $\square$

**Proposition 13.1.6.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then the following are equivalent,

- (a)  $\text{pd}_A(M) \leq n$
- (b)  $\text{Ext}_A^i(N, M) = 0$  for all  $A$ -modules  $A$  and all  $i \geq n+1$
- (c)  $\text{Ext}_A^{n+1}(N, M) = 0$  for all  $A$ -modules.

*Proof.* (DO THIS!!!) □

**Lemma 13.1.7.** Consider an exact sequence of  $A$ -modules,

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3 \longrightarrow 0$$

- (a) if  $\text{pd}_A(M_2) \leq n$  then  $\text{pd}_A(M_1) \leq n$  and  $\text{pd}_A(M_3) \leq n + 1$
- (b) if  $\text{pd}_A(M_1) \leq n$  and  $\text{pd}_A(M_3) \leq n$  then  $\text{pd}_A(M) \leq n$
- (c) if  $\text{pd}_A(M_1) \leq n$  and  $\text{pd}_A(M) \leq n + 1$  then  $\text{pd}_A(M_3) \leq n + 1$ .

*Proof.* Combine the long exact sequence of Ext groups and the previous result. □

## 13.2 Global Dimension

**Definition 13.2.1.** Let  $A$  be a ring. The global dimension  $\text{gldim}(A)$  is the supremum of  $\text{pd}_A(M)$  over all  $A$ -modules  $M$ .

**Theorem 13.2.2.** Let  $A$  be a ring. The following are equivalent,

- (a)  $\text{gldim}(A) \leq n$
- (b)  $\text{pd}_A(M) \leq n$  for all  $A$ -modules  $M$
- (c)  $\text{pd}_A(M) \leq n$  for all finite  $A$ -modules  $M$
- (d)  $\text{pd}_A(M) \leq n$  for all cyclic  $A$ -modules  $M$ .

*Proof.* Tag 065T. □

**Lemma 13.2.3.** Let  $A$  be a ring,  $M$  an  $A$ -module, and  $S \subset A$  a multiplicative subset then,

- (a)  $\text{pd}_{S^{-1}A}(S^{-1}M) \leq \text{pd}_A(M)$
- (b)  $\text{gldim}(S^{-1}A) \leq \text{gldim}(A)$

*Proof.* The functor  $S^{-1}(-) : \mathbf{Mod}_A \rightarrow \mathbf{Mod}_{S^{-1}A}$  is exact and preserves projectives because it is left-adjoint to restriction which is also exact. Therefore, if  $M$  has a projective  $A$ -resolution of length  $n$  then  $S^{-1}M$  has a projective  $S^{-1}A$ -resolution of length at most  $n$  so  $\text{pd}_{S^{-1}A}(S^{-1}M) \leq \text{pd}_A(M)$ . Notice that for any  $S^{-1}A$ -module  $M$ , we have  $M = S^{-1}M_A$  viewing  $M_A$  as an  $A$ -module under the restriction function. Thus, applying the first part

$$\begin{aligned} \text{gldim}(S^{-1}A) &= \sup\{\text{pd}_{S^{-1}A}(M) \mid M \in \mathbf{Mod}_{S^{-1}A}\} \leq \sup\{\text{pd}_A(M_A) \mid M \in \mathbf{Mod}_{S^{-1}A}\} \\ &\leq \sup\{\text{pd}_A(M) \mid M \in \mathbf{Mod}_A\} = \text{gldim}(A) \end{aligned}$$

□

**Proposition 13.2.4.** Let  $R$  be a Noetherian ring. Then,

$$\text{gldim}(R) = \sup\{\text{gldim}(R_{\mathfrak{p}}) \mid \mathfrak{p} \in \text{Spec}(R)\} = \sup\{\text{gldim}(R_{\mathfrak{m}}) \mid \mathfrak{m} \in \text{mSpec}(R)\}$$

*Proof.* DOO!!!!!!!!!!!! □

### 13.3 Auslander-Buchsbaum

(MOST GENERAL VERSION!!)

### 13.4 Regular Rings

*Remark.* Throughout let  $(R, \mathfrak{m}, \kappa)$  be a noetherian local ring.

**Lemma 13.4.1.** We always have,

$$\dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2 \geq \dim R$$

*Proof.* By Nakayma,  $n = \dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2$  is the minimal number of generators of  $\mathfrak{m}$ . Then by Krull's ideal theorem,  $\dim R = \text{ht}(\mathfrak{m}) \leq n$ .  $\square$

**Corollary 13.4.2.** When  $R$  is a Noetherian local ring,  $\dim R$  is finite.

*Proof.*  $\dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2$  is finite because  $\mathfrak{m}$  is finitely generated since  $R$  is Noetherian.  $\square$

**Definition 13.4.3.** We say that  $R$  is a *regular local ring* if  $\dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2 = \dim R$ .

**Proposition 13.4.4.** Let  $R$  be a regular local ring. Then  $\text{gldim}(R) \leq \dim R$ .

*Proof.* DO!!!!  $\square$

**Proposition 13.4.5.** Let  $(R, \mathfrak{m}, \kappa)$  be a noetherian local ring then  $\text{pd}_R(\kappa) \geq \dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2$ .

*Proof.* Tag 00OA.  $\square$

**Proposition 13.4.6.** If  $\text{pd}_R(\kappa) < \infty$  then  $\dim R \geq \text{pd}_R(\kappa)$ .

*Proof.* Tag 00OB.  $\square$

**Proposition 13.4.7.** Let  $R$  be a Noetherian local ring. If  $\text{pd}_R(\kappa) < \infty$  then  $R$  is a regular local ring.

*Proof.* The above propositions give  $\dim R \geq \text{pd}_R(\kappa) \geq \dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2$  but  $\dim_{\kappa} \mathfrak{m}/\mathfrak{m}^2 \geq \dim R$ .  $\square$

**Proposition 13.4.8.** Let  $(R, \mathfrak{m}, \kappa)$  be a noetherian local ring. Then  $\text{gldim}(R) < \infty$  if and only if  $R$  is a regular local ring in which case  $\text{gldim}(R) = \dim R$ .

*Proof.* If  $R$  is regular local then  $\text{gldim}(R) \leq \dim R$ . Conversely, if  $\text{gldim}(R)$  is finite then  $\text{pd}_R(\kappa) < \infty$  so  $R$  is regular local. In this case,  $\text{pd}_R(\kappa) = \dim R$  and  $\text{gldim}(R) \leq \dim R$  so  $\text{gldim}(R) = \dim R$ .  $\square$

**Lemma 13.4.9.** If  $R$  is regular local then  $R_{\mathfrak{p}}$  is regular local for each prime  $\mathfrak{p} \in \text{Spec}(R)$ .

*Proof.* If  $R$  is regular local then  $\text{gldim}(R) < \infty$  and thus  $\text{gldim}(R_{\mathfrak{p}}) \leq \text{gldim}(R) < \infty$ . Since  $R_{\mathfrak{p}}$  is local and noetherian,  $R_{\mathfrak{p}}$  is regular local as well.  $\square$

**Definition 13.4.10.** A noetherian ring  $R$  is *regular* if  $R_{\mathfrak{p}}$  is regular local for each  $\mathfrak{p} \in \text{Spec}(R)$ .

*Remark.* The preceding Lemma says that a regular local ring is regular.

*Remark.* It suffices to check regularity at  $R_{\mathfrak{m}}$  for maximal ideals  $\mathfrak{m} \in \text{mSpec}(R)$  since  $R_{\mathfrak{p}}$  is a localization of some  $R_{\mathfrak{m}}$  and we have shown that localization preserves being regular local.

**Proposition 13.4.11.** Let  $R$  be a Noetherian ring. The following are equivalent for each  $n \in \mathbb{N}$ ,

- (a)  $\text{gldim}(R) \leq n$
- (b) for each  $\mathfrak{m} \in \text{mSpec}(R)$  the ring  $R_{\mathfrak{m}}$  is regular and  $\dim R_{\mathfrak{m}} \leq n$
- (c) for each  $\mathfrak{p} \in \text{mSpec}(R)$  the ring  $R_{\mathfrak{p}}$  is regular and  $\dim R_{\mathfrak{p}} \leq n$ .

Therefore, if  $\text{gldim}(R) < \infty$  then  $R$  is regular and if  $R$  is regular then

$$\text{gldim}(R) = \sup\{\dim R_{\mathfrak{m}} \mid \mathfrak{m} \in \text{mSpec}(R)\} = \sup\{\dim R_{\mathfrak{p}} \mid \mathfrak{p} \in \text{Spec}(R)\}$$

*Proof.* This follows from,

$$\text{gldim}(R) = \sup\{\text{gldim}(R_{\mathfrak{m}}) \mid \mathfrak{m} \in \text{mSpec}(R)\}$$

and the fact that  $\text{gldim}(R_{\mathfrak{m}}) < \infty$  is equivalent to regularity of  $R_{\mathfrak{m}}$  in which case  $\text{gldim}(R_{\mathfrak{m}}) = \dim R_{\mathfrak{m}}$ .  $\square$

*Remark.* Notice that even when  $R$  is regular  $\text{gldim}(R)$  may be infinite simply because the dimensions of  $R_{\mathfrak{m}}$  for  $\mathfrak{m} \in \text{mSpec}(R)$  may be unbounded even when  $R$  is Noetherian. In this case,  $\dim R = \infty$  so if  $\dim R$  is finite then  $\text{gldim}(R)$  is finite iff  $R$  is regular.

## 14 Pseudomorphisms

**Lemma 14.0.1.** Let  $f : X \rightarrow Y$  be a morphism of schemes such that for each weakly associated point  $y \in Y$  there exists a point  $x \in X$  such that  $f(x) = y$  and  $\mathcal{O}_{Y,y} \rightarrow \mathcal{O}_{X,x}$  is injective. Then the map on sheaves  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is injective.

*Proof.* To show that  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is injective, it suffices to show that  $\mathcal{O}_{Y,y} \rightarrow (f_*\mathcal{O}_X)_y$  is injective on each weakly associated point  $y \in Y$ . Furthermore, we know there exists  $x \in X$  with  $f(x) = y$  and the composition  $\mathcal{O}_{Y,y} \rightarrow (f_*\mathcal{O}_X)_y \rightarrow \mathcal{O}_{X,x}$  is injective and thus  $\mathcal{O}_{Y,y} \rightarrow (f_*\mathcal{O}_X)_y$  is injective.  $\square$

*Remark.* In particular, if  $f : X \rightarrow Y$  is a dominant map of integral schemes then  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is injective.

**Example 14.0.2.** Consider the map  $\text{Spec}(k[x]) \rightarrow \text{Spec}(k[x, y]/(xy, y^2))$ . Although this map hits the generic point  $(y)$ , it does not hit the embedded associated point  $(x, y^2)$  at the origin and thus  $k[x, y]/(xy, y^2) \rightarrow k[x]$  is not injective since  $y \mapsto 0$ .

**Definition 14.0.3.** We say an immersion  $\iota : Y \hookrightarrow X$  is *scheme theoretically dense* if the scheme theoretic image is  $X$ .

**Lemma 14.0.4.** An open immersion  $\iota : U \rightarrow X$  is scheme theoretically dense iff  $U$  contained all weakly associated points of  $X$ .

*Proof.*  $\square$

When can we ensure that the coker of  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is supported in codimension one.

## 14.1 Annihilators

*Remark.* Here we let  $X$  be a scheme. Warning: many of these results do not hold for arbitrary locally ringed spaces. In particular, the kernel of quasi-coherent sheaves need not be quasi-coherent on an arbitrary locally ringed space. However, this holds locally on schemes because kernels and cokernels of sheaves associated to modules are associated to modules.

**Definition 14.1.1.** Let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -modules. Then we define the sheaf of annihilators:

$$\mathcal{Ann}_{\mathcal{O}_X}(\mathcal{F}) = \ker(\mathcal{O}_X \rightarrow \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \mathcal{F}))$$

**Lemma 14.1.2.** Let  $\mathcal{F}, \mathcal{G}$  be quasi-coherent  $\mathcal{O}_X$ -modules with  $\mathcal{F}$  finitely presented. Then  $\mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is quasi-coherent.

*Proof.* Locally on  $U \subset X$  we have a presentation,

$$\bigoplus_{i=1}^m \mathcal{O}_U \longrightarrow \bigoplus_{j=1}^n \mathcal{O}_U \longrightarrow \mathcal{F}|_U \longrightarrow 0$$

Applying the functor  $\mathcal{H}om_{\mathcal{O}_U}(-, \mathcal{G})$  gives,

$$0 \longrightarrow \mathcal{H}om_{\mathcal{O}_U}(\mathcal{F}|_U, \mathcal{G}|_U) \longrightarrow \bigoplus_{j=1}^n \mathcal{G}|_U \longrightarrow \bigoplus_{i=1}^m \mathcal{G}|_U$$

Since  $\mathcal{G}$  is quasi-coherent and finite sums and kernels of quasi-coherent sheaves are quasi-coherent we see that  $\mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is locally quasi-coherent and thus quasi-coherent.  $\square$

**Lemma 14.1.3.** If  $\mathcal{F}$  is finitely presented then  $\mathcal{Ann}_{\mathcal{O}_X}(\mathcal{F})$  is quasi-coherent.

*Proof.* From the previous lemma,  $\mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \mathcal{F})$  is quasi-coherent. Therefore, the kernel,

$$\mathcal{Ann}_{\mathcal{O}_X}(\mathcal{F}) = \ker(\mathcal{O}_X \rightarrow \mathcal{H}om_{\mathcal{O}_X}(\mathcal{F}, \mathcal{F}))$$

is quasi-coherent.  $\square$

**Proposition 14.1.4.** Let  $\mathcal{F}$  be finitely presented. Then  $\text{Supp}_{\mathcal{O}_X}(\mathcal{F})$  is closed and the quasi-coherent sheaf of ideals  $\mathcal{Ann}_{\mathcal{O}_X}(\mathcal{F})$  gives a scheme structure on  $\text{Supp}_{\mathcal{O}_X}(\mathcal{F})$ . Furthermore,  $\mathcal{F}$  is naturally a  $\mathcal{O}_X / \mathcal{Ann}_{\mathcal{O}_X}(\mathcal{F})$  - module.

**Lemma 14.1.5.** Let  $f : X \rightarrow Y$  be a morphism of schemes. Assume that  $\mathcal{O}_Y$  and  $f_*\mathcal{O}_X$  are coherent on  $Y$ . Furthermore, for each generic point of an irreducible component  $\xi \in Y$  assume that there exists some  $x \in X$  with  $f(x) = \xi$  and  $\mathcal{O}_{Y,\xi} \rightarrow \mathcal{O}_{X,x}$  surjective. Then  $\mathcal{C} = \text{coker}(\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X)$  has  $Z = \text{Supp}_{\mathcal{O}_Y}(\mathcal{C})$  in positive codimension.

## 15 Singularities of Curves

**Definition 15.0.1.** NORMALIZATION

**Proposition 15.0.2.** Normalization of a curve exists and is regular.

(CAN WE GET  $H^0(\mathcal{O}_X)$  is the same?)