

# 1 Prismatic Cohomology

Our goal will be the following theorem about the topology of algebraic varieties.

**Theorem 1.0.1.** Let  $X$  be a smooth, proper,  $\mathbb{C}$ -variety with unramified good reduction at  $p$ . Let  $i < p - 2$  and  $W \subset X$  and Zariski open. Then the image of the restriction map,

$$H^i(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)$$

has dimension at least  $h_X^{0,i} := \dim H^0(X, \Omega_X^i)$ .

This statement amounts to showing that certain cohomology classes are not  $p$ -divisible.

There is a version with  $\mathbb{Q}$ -coefficients that follows from Hodge theory.

**Theorem 1.0.2.** Let  $X$  be a smooth, proper, complex variety and  $W \subset X$  any Zariski open. Then the image of the restriction map,

$$H^i(X, \mathbb{Q}) \rightarrow H^i(W, \mathbb{Q})$$

has dimension at least  $h_X^{0,i} := \dim H^0(X, \Omega_X^i)$ .

*Proof.* The map  $H^i(X, \mathbb{Q}) \rightarrow H^i(W, \mathbb{Q})$  is a morphism of mixed Hodge structures. Possibly passing to a log resolution  $\pi : \tilde{X} \rightarrow X$  of  $Z = X \setminus W$  we may assume that  $\pi^{-1}(Z) = D$  is an snc divisor (note the birational modification does not change  $h_X^{0,i}$  and the map  $H^i(\tilde{X}, \mathbb{Q}) \rightarrow H^i(W, \mathbb{Q})$  factors through  $H^i(X, \mathbb{Q})$  so its image is the same). Then there is a commutative diagram,

$$\begin{array}{ccc} H^0(\tilde{X}, \Omega_{\tilde{X}}^i) & \longrightarrow & H^0(\tilde{X}, \Omega_{\tilde{X}}^i(\log D)) \\ \downarrow & & \downarrow \\ H^i(\tilde{X}, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{C} & \longrightarrow & \mathrm{Gr}_i^W H^i(W, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{C} \end{array}$$

where the top map is injective and the downward maps are injective. This immediately implies the claim.  $\square$

The real power of our main result is that it works integrally. This has applications to essential dimension to be discussed later.

## 1.1 Mod- $p$ Cohomology

We need the following about Deligne-Illusie's treatment of de Rham cohomology and basics of prismatic cohomology.

### 1.1.1 Log de Rham cohomology

Let  $k$  be a perfect field of characteristic  $p$ , and let  $X$  be a smooth  $k$ -scheme. Suppose that  $X$  is equipped with a normal crossings divisor  $D \subset X$ . Let  $\Omega_{X/k}^\bullet(\log D)$  denote the de Rham complex with log poles in  $D$ .

Let  $(X^1, D^1)$  be the base change by Frobenius  $F_k : \mathrm{Spec}(k) \rightarrow \mathrm{Spec}(k)$  and  $F_{X/k} : X \rightarrow X^1$  denote the relative Frobenius. It is a finite flat map (since  $X$  is smooth) of  $k$ -schemes such that  $F_{X/k} : D \rightarrow D^1$ .

**Lemma 1.1.1.** Suppose that  $(X, D)$  admits a lift to  $W_2(k)$  called  $(\widetilde{X}, \widetilde{D})$  with  $\widetilde{D}$  a snc divisor flat over  $W_2(k)$ . Then for  $j < p$ ,

$$H^0(X^1, \Omega_{X^1/k}^j(\log D^1)) \hookrightarrow H^j(X, \Omega_{X/k}^\bullet(\log D))$$

is canonically a direct summand.

*Proof.* This follows from the existence of the Cartier operator in the same way as in Deligne-Illusie.  $\square$

### 1.1.2 Prisms

Let  $K$  be a field of characteristic 0. By a *p-adic valuation* on  $K$  we mean a rank one valuation  $\nu$  on  $K$ , with  $\nu(p) > 0$ . We suppose that  $K$  is complete with respect to  $\nu$  with ring of integers  $\mathcal{O}_K$  and perfect residue field  $k$ . We will only recall exactly as much about prismatic cohomology as necessary.

**Definition 1.1.2.** A  $\delta$ -ring is a pair  $(R, \delta)$  where  $R$  is a commutative ring and  $\delta : R \rightarrow R$  is a set map such that,

- (a)  $\delta(0) = \delta(1) = 0$
- (b)  $\delta(xy) = x^p \delta(y) + y^p \delta(x) + p\delta(x)\delta(y)$
- (c)  $\delta(x + y) = \delta(x) + \delta(y) + \frac{x^p + y^p - (x+y)^p}{p}$

Note that the last term exists as some universal polynomial with integer coefficients.

We think of this as a sort of “derivation along the  $p$ -direction”. It is also related to lifting Frobenius on  $R/p$ . Indeed, if  $\phi(x) = x^p + p\delta(x)$  then  $\phi : R \rightarrow R$  is a ring map by property (c) and obviously it lifts  $x \mapsto x^p$  on  $R/p$ . In fact, if  $R$  is  $p$ -torsionfree then lifts of Frobenius are exactly the same as  $\delta$ -ring structures.

**Definition 1.1.3.** Let  $(A, I)$  be a pair where  $A$  is a  $\delta$ -ring and  $I \subset A$  is an ideal. The pair is a *prism* if

- (a)  $I \subset A$  is invertible (defines a Cartier divisor on  $\text{Spec}(A)$ )
- (b)  $A$  is derived  $(p, I)$ -complete
- (c)  $p \in I + \phi(I)A$

**Example 1.1.4.** Let  $A$  be a  $p$ -torsionfree and  $p$ -complete  $\delta$ -ring then  $(A, (p))$  is a prism.

**Example 1.1.5.** The *Breuil-Kisin* prism. Assume that  $\nu$  on  $K$  is discrete. Set  $A = W(k)[[u]]$  equipped with Frobenius  $\varphi$  extending Frobenius on  $W(k)$  by  $u \mapsto u^p$ . Equip  $A$  with the map  $A \rightarrow \mathcal{O}_K$  sending  $u \mapsto \pi$  some uniformizer. Its kernel is generated by an Eisenstein polynomial  $E(u) \in W(k)[u]$  for  $\pi$ . In fact, in applications we will assume  $\mathcal{O}_K = W(k)$  and  $\pi = p$ . Then  $(A, E(u)A)$  is the Breuil-Kisin prism.

**Example 1.1.6.** Suppose that  $K$  is algebraically closed. Let  $R = \varprojlim \mathcal{O}_K/p$  taking the limit over Frobenius. We take  $A = W(R)$ . Any element  $(x_0, x_1, \dots) \in R$  lifts uniquely to a sequence  $(\hat{x}_0, \hat{x}_1, \dots) \in \mathcal{O}_K$  with  $\hat{x}_i^p = \hat{x}_{i-1}$ . Then there is a natural surjective map of rings  $\theta : A \rightarrow \mathcal{O}_K$  sending a Teichmüller element  $x$  as above to  $\hat{x}_0$ . The kernel of  $\theta$  is principal, generated by  $\xi = p - [p]$  where  $\underline{p} = (p, p^{1/p}, \dots)$  then  $(A, \xi A)$  is an example of a perfect prism.

### 1.1.3 Logarithmic Cohomology

We will use logarithmic formal schemes over  $\mathcal{O}_K$ . We will consider logarithmic étale cohomology meaning the natural cohomology on the site of log étale covers of logarithmic schemes. The main fact we will use is the following comparison result:

**Theorem 1.1.7.** Let  $k$  be an algebraically closed field and  $X$  a smooth  $k$ -scheme. Let  $D \subset X$  be an snc divisor and  $X_D^{\log}$  the log structure induced by  $D$ . Then there is a canonical isomorphism,

$$H_{\text{ét}}^i(X_D^{\log}, \mu) \xrightarrow{\sim} H^i(X \setminus D, \mu)$$

### COEFFICIENTS

*Proof.* Idea: show that any finite étale map  $Y \rightarrow X \setminus D$  extends canonically to a finite log-étale map  $\bar{Y} \rightarrow X_D$  which proves the statment for  $i = 1$  then use dimension shifting and some spectral sequence. To show the claim, take the normalization of  $Y$  in  $X$  which gives a finite map  $Y \rightarrow X$  ramified only over  $D$  by Zariski nagata purity. Then a local check shows that this map is log-étale **WHY?**  $\square$

### 1.1.4 Prismatic Cohomology

Let  $K$  be either discretely valued or algebraically closed. Let  $X$  be a formal smooth  $\mathcal{O}_K$ -scheme equipped with a relative normal crossings divisor  $D$ . Write  $X_D$  for log structure induced by  $D$ . We will denote by  $X_{D,K}$  the associated log adic space giving by analytification.

The *prismatic cohomology* of  $X_D$  is the complex of  $A$ -modules  $R\Gamma_{\Delta}(X_D/A)$  equipped with a  $\varphi$ -semi-linear map  $\varphi$ . The mod  $p$  cohomology is given by setting,

$$\overline{R\Gamma_{\Delta}(X_D/A)} = R\Gamma_{\Delta}(X_D/A) \otimes_A^{\mathbb{L}} A/pA$$

and we will denote by  $\overline{H_{\Delta}^i(X_D/A)}$  the cohomology of  $\overline{R\Gamma_{\Delta}(X_D/A)}$ . Then we have the following properties:

- (a) There is a canonical isomorphism of commutative algebras in  $D(A)$

$$R\Gamma(\Omega_{X_k/k}^{\bullet}(\log D_k)) \cong \overline{R\Gamma_{\Delta}(X_D/A)} \otimes_{A/pA, \varphi}^{\mathbb{L}} l$$

- (b) If  $K$  is algebraically closed then there is an isomorphism of commutative algebras in  $D(A)$

$$R\Gamma_{\text{ét}}(X_{D,K}, \mathbb{F}_p) \cong \overline{R\Gamma_{\Delta}(X_D/A)}[1/\xi]^{\varphi=1}$$

- (c) the linear map,

$$\varphi^* \overline{R\Gamma_{\Delta}(X_D/A)} \rightarrow \overline{R\Gamma_{\Delta}(X_D/A)}$$

becomes an isomorphism in  $D(A)$  after inverting  $u$  (resp  $\xi$ ) if  $K$  is discrete (resp. algebraically closed). For each  $i \geq 0$ , there is a canonical map,

$$V_i : \overline{H_{\Delta}^i(X_D/A)} \rightarrow H^i(\varphi^* \overline{R\Gamma_{\Delta}(X_D/A)})$$

- (d) Let  $K'$  be a field complete with respect to a  $p$ -adic valuation, and which is either discrete or algebraically closed. Let  $B \rightarrow \mathcal{O}_{K'}$  be the corresponding prism, as defined above. Suppose  $K \rightarrow K'$  is a map of valued field and  $A \rightarrow B$  is compatible with the projection to  $\mathcal{O}_K \rightarrow \mathcal{O}_{K'}$  and Frobenius. Then there is a canonical isomorphism

$$\overline{R\Gamma_{\Delta}(X_D/A)} \otimes_A^{\mathbb{L}} B \cong \overline{R\Gamma_{\Delta}(X_{D, \mathcal{O}_{K'}}/B)}$$

- (e) When  $X$  is proper over  $\mathcal{O}_K$  then  $\overline{R\Gamma_{\Delta}(X_D/A)}$  is a perfect complex of  $A/p$ -modules.
- (f) Suppose that  $K$  is algebraically closed, and that  $X$  is proper over  $\mathcal{O}_K$  then for each  $i \geq 0$  there are natural isomorphisms

$$H_{\text{ét}}^i(X_{D,K}, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A/pA[1/\xi] \cong \overline{H_{\Delta}^i(X_D/A)}[1/\xi]$$

## 1.2 Main Result

Let  $k$  be a perfect field of characteristic  $p$ . Here we can take  $K$  to be a complete  $p$ -adic field with discrete valuation such that  $\mathcal{O}_K = W(k)$ .

**Proposition 1.2.1.** Let  $X$  be a proper smooth scheme over  $\mathcal{O}_K$  equipped with a relative normal crossings divisor  $D \subset X$ . Set  $U = X \setminus D$  and  $W \subset U_C$  be a dense open subscheme. If  $0 \leq i < p - 2$  then,

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(U_C, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq h_{(X_C, D_C)}^{0,i}$$

Let's see how this implies the theorem. Let  $Y$  be a proper smooth scheme over  $\mathbb{C}$  and  $D \subset Y$  a normal crossings divisor. We say that  $(Y, D)$  has *good reduction at  $p$*  if there exists an algebraically closed field  $C \hookrightarrow \mathbb{C}$  over which  $(Y, D)$  is defined and a  $p$ -adic valuation on  $C$  with ring of integers  $\mathcal{O}_C$  and an extension to a smooth proper  $\mathcal{O}_C$ -scheme  $Y^\circ$  with a relative normal crossings divisor  $D^\circ \subset Y^\circ$  over  $\mathcal{O}_C$  extending  $D$ . We say that  $(Y, D)$  has *unramified good reduction at  $p$*  if in addition  $(Y^\circ, D^\circ)$  can be chosen so that it descends to an absolutely unramified<sup>1</sup> dvr  $\mathcal{O} \subset \mathcal{O}_C$ .

*Remark.* This condition is actually easily checkable. Indeed if  $Y$  is a smooth proper finite type  $\mathbb{C}$ -scheme then it spreads out to a smooth proper scheme  $\mathcal{Y} \rightarrow \text{Spec}(A)$  over some finite type  $\mathbb{Z}$ -algebra  $A \subset \mathbb{C}$ . Now suppose there exists  $\mathfrak{p} \subset A$  such that  $\text{Spec}(A) \rightarrow \text{Spec}(\mathbb{Z})$  is smooth at  $\mathfrak{p}$  and  $\mathfrak{p} \mapsto (p)$ . This is nothing more than saying that  $p$  is not contained in the Jacobian ideal. Then choose a minimal prime  $\xi$  over  $pA$  since  $\xi \rightsquigarrow \mathfrak{p}$  we see that  $\text{Spec}(A) \rightarrow \text{Spec}(\mathbb{Z})$  is smooth at  $\xi$  and hence  $A_\xi \subset \mathbb{C}$  is a  $p$ -adic dvr unramified over  $\mathbb{Z}_{(p)}$  by smoothness. Then we extend this  $p$ -adic valuation to  $\mathbb{C}$  and  $\mathcal{O} = A_\xi$  is our requisite unramified dvr.

**Corollary 1.2.2.** Let  $Y$  be a proper smooth connected  $\mathbb{C}$ -scheme and  $D \subset Y$  a normal crossing divisor and  $W \subset U := Y \setminus D$  a dense open subscheme. Suppose that  $(Y, D)$  has unramified good reduction at  $p$ . If  $0 \leq i < p - 2$  then,

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(U, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq h_{(X, D)}^{0,i}$$

This proves the main theorem if we take  $D = \emptyset$ .

*Proof.* Since the étale cohomology groups do not change upon base change to algebraically closed fields. By assumption, we may assume that  $(Y, D)$  is defined over  $\mathcal{O}$  unramified. Then taking the  $p$ -adic completion  $C \subset C'$  we get  $\mathcal{O} \subset \mathcal{O}'$  which is unramified and  $p$ -adically complete so we reduce to the previous case.  $\square$

*Proof of Proposition 4.4.1.* Let  $k_C$  be the residue field of  $C$ . We may replace  $X$  by it base change to  $W(k_C)$  and assume that  $C$  and  $K$  have the same residue field. Denote by  $\widehat{X}$  and  $\widehat{D}$  the formal completions of  $X$  and  $D$ . Let  $\widehat{W} \subset \widehat{X}$  be the formal open subscheme, which is the complement of  $\widehat{Z}_k$ . Note that we have  $\widehat{W}_C \subset W^{\text{ad}}$  so there is a commutative diagram,

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<sup>1</sup>meaning unramified over  $\mathbb{Z}_{(p)}$

$$\begin{array}{ccc}
H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) & \longrightarrow & H_{\text{ét}}^i(W, \mathbb{F}_p) \\
\downarrow \alpha & & \downarrow \\
H_{\text{ét}}^i(W^{\text{ad}}, \mathbb{F}_p) & & \\
\downarrow & & \\
H^i(\widehat{X}_{D,C}, \mathbb{F}_p) & \xrightarrow{\beta} & H_{\text{ét}}^i(\widetilde{X}_C, \mathbb{F}_p)
\end{array}$$

We need to show the following facts,

- (a)  $\alpha$  is an isomorphism
- (b)  $\dim_{\mathbb{F}_p} \text{im } \beta \geq h_{(X,D)}^{0,i}$
- (c)  $H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \cong H_{\text{ét}}^i(U_C, \mathbb{F}_p)$

□

### WHY IS THE FIRST LEMMA 2.2.10

Now we will prove these three facts.

The only hard one:

Let  $X$  be a proper, smooth formal scheme over  $\mathcal{O}_K$  equipped with a relative normal crossing divisor  $D \subset X$ . Let,

$$h_{(X,D)}^{0,i} := \dim_K H^0(X_K, \Omega_{X_K/K}^i(\log D))$$

**Proposition 1.2.3.** Let  $W \subset X \setminus D$  be a dense open formal subscheme. Then for  $0 \leq i < p - 2$

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq h_{(X,D)}^{0,i}$$

*Proof.* Take the prism  $A$  to be  $W(k)[[u]]$  with  $E(u) = u - p$ . We obtain a prism  $A_C \rightarrow \mathcal{O}_C$ . There is a Frobenius compatible map  $A \rightarrow A_C$  sending  $u \mapsto [p]$ . Set,

$$M_{\Delta} = \text{im} (\overline{H_{\Delta}^i(X_D/A)} \rightarrow \overline{H_{\Delta}^i(W/A)})$$

which is a finitely generated  $A/pA = k[[u]]$ -module. There is an isomorphism,

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

and similarly for the open  $W$ . Therefore, by **PROPERTY** there is an isomorphism

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

Then there are maps,

$$\begin{aligned}
\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C[1/\xi] &\cong H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \\
&\rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_A A_C[1/\xi]
\end{aligned}$$

the composite is the natural map. Hence,

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq \dim_{k((u))} M_{\Delta}[1/u]$$

By **LEMMA**  $M_{\Delta}$  is a finitely generated free  $k[[u]]$ -module. Hence it suffices to show  $\dim_k M_{\Delta}/uM_{\Delta} \geq h_{(X,D)}^{0,i}$ .

Hence using Lemma 2.2.1 again, we see that  $\overline{H_{\Delta}^j(X_D/A)}$  is  $u$ -torsion free for  $0 \leq j \leq i+1$ . Hence there are maps,

$$\begin{aligned} H^i(X_k, \Omega_{X_k/k}^{\bullet}(\log D_k)) &\cong \overline{H_{\Delta}^i(X_D/A)} \otimes_{A,\varphi} k \rightarrow M_{\Delta} \otimes_{A,\varphi} k \\ &\rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_{A,\varphi} k \rightarrow H^i(W_k, \Omega_{W_k/K}^{\bullet}(\log D)) \end{aligned}$$

where the composition is the natural map. This shows that the image has dimension  $\leq \dim_k M_{\Delta}/uM_{\Delta}$  and it suffices to show that this dimension is  $\geq h_{(X,D)}^{0,i}$ . Since  $W \subset X$  is dense, the map,

$$H^0(X_k, \Omega_{X_k/k}^i(\log D)) \rightarrow H^0(W_k, \Omega_{X_k/k}^i)$$

is injective. Hence the image has dimension at least  $\dim_k H^0(X_k, \Omega_{X_k/k}^i(\log D_k)) \geq h_{(X,D)}^{0,i}$  **I THINK THIS WAS AN ERROR IN THE PAPER NEED LOG D** where the last inequality follows from the upper semi-continuity of  $h^0$ .  $\square$

## 2 Talk 1

Our goal will be the following theorem about the topology of algebraic varieties.

**Theorem 2.0.1.** Let  $X$  be a smooth, proper,  $\mathbb{C}$ -variety with unramified good reduction at  $p$ . Let  $i < p-2$  and  $W \subset X$  Zariski open. Then the image of the restriction map,

$$H^i(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)$$

has dimension at least  $h_X^{0,i} := \dim H^0(X, \Omega_X^i)$ .

This statement amounts to showing that certain cohomology classes are not  $p$ -divisible.

There is a version with  $\mathbb{Q}$ -coefficients that follows from Hodge theory.

**Theorem 2.0.2.** Let  $X$  be a smooth, proper, complex variety and  $W \subset X$  any Zariski open. Then the image of the restriction map,

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*Proof.* The map  $H^i(X, \mathbb{Q}) \rightarrow H^i(W, \mathbb{Q})$  is a morphism of mixed hodge structures. Possibly passing to a log resolution  $\pi : \tilde{X} \rightarrow X$  of  $Z = X \setminus W$  we may assume that  $\pi^{-1}(Z) = D$  is an snc divisor (note the birational modification does not change  $h_X^{0,i}$  and the map  $H^i(\tilde{X}, \mathbb{Q}) \rightarrow H^i(W, \mathbb{Q})$  factors through  $H^i(X, \mathbb{Q})$  so its image is the same). Then there is a commutative diagram,

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where the top map is injective and the downward maps are injective. This immediately implies the claim.  $\square$

The real power of our main result is that it works integrally. This has applications to essential dimension to be discussed later.

## 2.1 Main Result

**Proposition 2.1.1.** Let  $X$  be a proper smooth scheme over  $\mathcal{O}_K$  equipped with a relative normal crossings divisor  $D \subset X$ . Set  $U = X \setminus D$  and  $W \subset U_C$  be a dense open subscheme. If  $0 \leq i < p - 2$  then,

$$\dim_{\mathbb{F}_p} \operatorname{im} (H_{\text{ét}}^i(U_C, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq h_{(X_C, D_C)}^{0,i}$$

Let's see how this implies the theorem. Let  $Y$  be a proper smooth scheme over  $\mathbb{C}$  and  $D \subset Y$  a normal crossings divisor. We say that  $(Y, D)$  has *good reduction at  $p$*  if there exists an algebraically closed field  $C \hookrightarrow \mathbb{C}$  over which  $(Y, D)$  is defined and a  $p$ -adic valuation on  $C$  with ring of integers  $\mathcal{O}_C$  and an extension to a smooth proper  $\mathcal{O}_C$ -scheme  $Y^\circ$  with a relative normal crossings divisor  $D^\circ \subset Y^\circ$  over  $\mathcal{O}_C$  extending  $D$ . We say that  $(Y, D)$  has *unramified good reduction at  $p$*  if in addition  $(Y^\circ, D^\circ)$  can be chosen so that it descends to an absolutely unramified<sup>2</sup> dvr  $\mathcal{O} \subset \mathcal{O}_C$ .

*Remark.* This condition is actually easily checkable. Indeed if  $Y$  is a smooth proper finite type  $\mathbb{C}$ -scheme then it spreads out to a smooth proper scheme  $\mathcal{Y} \rightarrow \operatorname{Spec}(A)$  over some finite type  $\mathbb{Z}$ -algebra  $A \subset \mathbb{C}$ . Now suppose there exists  $\mathfrak{p} \subset A$  such that  $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(\mathbb{Z})$  is smooth at  $\mathfrak{p}$  and  $\mathfrak{p} \mapsto (p)$ . This is nothing more than saying that  $p$  is not contained in the Jacobian ideal. Then choose a minimal prime  $\xi$  over  $pA$  since  $\xi \rightsquigarrow \mathfrak{p}$  we see that  $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(\mathbb{Z})$  is smooth at  $\xi$  and hence  $A_\xi \subset \mathbb{C}$  is a  $p$ -adic dvr unramified over  $\mathbb{Z}_{(p)}$  by smoothness. Then we extend this  $p$ -adic valuation to  $\mathbb{C}$  and  $\mathcal{O} = A_\xi$  is our requisite unramified dvr.

**Corollary 2.1.2.** Let  $Y$  be a proper smooth connected  $\mathbb{C}$ -scheme and  $D \subset Y$  a normal crossing divisor and  $W \subset U := Y \setminus D$  a dense open subscheme. Suppose that  $(Y, D)$  has unramified good reduction at  $p$ . If  $0 \leq i < p - 2$  then,

$$\dim_{\mathbb{F}_p} \operatorname{im} (H_{\text{ét}}^i(U, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq h_{(X, D)}^{0,i}$$

This proves the main theorem if we take  $D = \emptyset$ .

*Proof.* Since the étale cohomology groups do not change upon base change to algebraically closed fields. By assumption, we may assume that  $(Y, D)$  is defined over  $\mathcal{O}$  unramified. Then taking the  $p$ -adic completion  $C \subset C'$  we get  $\mathcal{O} \subset \mathcal{O}'$  which is unramified and  $p$ -adically complete so we reduce to the previous case.  $\square$

*Proof of Proposition 4.4.1.* We just need something that lives between  $H_{\text{ét}}^i(-, \mathbb{F}_p)$  and  $H_{\text{dR}}^i$ .  $\square$

*Proof of Proposition 4.4.1.* Let  $k_C$  be the residue field of  $C$ . We may replace  $X$  by its base change to  $W(k_C)$  and assume that  $C$  and  $K$  have the same residue field. Denote by  $\widehat{X}$  and  $\widehat{D}$  the formal completions of  $X$  and  $D$ . Let  $\widehat{W} \subset \widehat{X}$  be the formal open subscheme, which is the complement of  $\widehat{Z}_k$ . Note that we have  $\widehat{W}_C \subset W^{\text{ad}}$  so there is a commutative diagram,

$$\begin{array}{ccc} H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) & \longrightarrow & H_{\text{ét}}^i(W, \mathbb{F}_p) \\ \downarrow \alpha & & \downarrow \\ & & H_{\text{ét}}^i(W^{\text{ad}}, \mathbb{F}_p) \\ & & \downarrow \\ H^i(\widehat{X}_{D,C}, \mathbb{F}_p) & \xrightarrow{\beta} & H_{\text{ét}}^i(\widehat{X}_C, \mathbb{F}_p) \end{array}$$

---

<sup>2</sup>meaning unramified over  $\mathbb{Z}_{(p)}$

We need to show the following facts,

- (a)  $\alpha$  is an isomorphism
- (b)  $\dim_{\mathbb{F}_p} \operatorname{im} \beta \geq h_{(X,D)}^{0,i}$
- (c)  $H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \cong H_{\text{ét}}^i(U_C, \mathbb{F}_p)$

□

### WHY IS THE FIRST LEMMA 2.2.10

Now we will prove these three facts.

The only hard one:

Let  $X$  be a proper, smooth formal scheme over  $\mathcal{O}_K$  equipped with a relative normal crossing divisor  $D \subset X$ . Let,

$$h_{(X,D)}^{0,i} := \dim_K H^0(X_K, \Omega_{X_K/K}^i(\log D))$$

**Proposition 2.1.3.** Let  $W \subset X \setminus D$  be a dense open formal subscheme. Then for  $0 \leq i < p - 2$

$$\dim_{\mathbb{F}_p} \operatorname{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq h_{(X,D)}^{0,i}$$

*Proof.* Take the prism  $A$  to be  $W(k)[[u]]$  with  $E(u) = u - p$ . We obtain a prism  $A_C \rightarrow \mathcal{O}_C$ . There is a Frobenius compatible map  $A \rightarrow A_C$  sending  $u \mapsto [p]$ . Set,

$$M_{\Delta} = \operatorname{im} (\overline{H_{\Delta}^i(X_D/A)} \rightarrow \overline{H_{\Delta}^i(W/A)})$$

which is a finitely generated  $A/pA = k[[u]]$ -module. There is an isomorphism,

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

and similarly for the open  $W$ . Therefore, by **PROPERTY** there is an isomorphism

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

Then there are maps,

$$\begin{aligned} \overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C[1/\xi] &\cong H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \\ &\rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_A A_C[1/\xi] \end{aligned}$$

the composite is the natural map. Hence,

$$\dim_{\mathbb{F}_p} \operatorname{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq \dim_{k((u))} M_{\Delta}[1/u]$$

By **LEMMA**  $M_{\Delta}$  is a finitely generated free  $k[[u]]$ -module. Hence it suffices to show  $\dim_k M_{\Delta}/uM_{\Delta} \geq h_{(X,D)}^{0,i}$ .

Hence using Lemma 2.2.1 again, we see that  $\overline{H_{\Delta}^j(X_D/A)}$  is  $u$ -torsion free for  $0 \leq j \leq i + 1$ . Hence there are maps,

$$\begin{aligned} H^i(X_k, \Omega_{X_k/k}^{\bullet}(\log D_k)) &\cong \overline{H_{\Delta}^i(X_D/A)} \otimes_{A,\varphi} k \rightarrow M_{\Delta} \otimes_{A,\varphi} k \\ &\rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_{A,\varphi} k \rightarrow H^i(W_k, \Omega_{W_k/K}^{\bullet}(\log D)) \end{aligned}$$



where the composition is the natural map. This shows that the image has dimension  $\leq \dim_k M_\Delta / uM_\Delta$  and it suffices to show that this dimension is  $\geq h_{(X,D)}^{0,i}$ . Since  $W \subset X$  is dense, the map,

$$H^0(X_k, \Omega_{X_k/k}^i(\log D)) \rightarrow H^0(W_k, \Omega_{X_k/k}^i)$$

is injective. Hence the image has dimension at least  $\dim_k H^0(X_k, \Omega_{X_k/k}^i(\log D_k)) \geq h_{(X,D)}^{0,i}$  **I THINK THIS WAS AN ERROR IN THE PAPER NEED LOG D** where the last inequality follows from the upper semi-continuity of  $h^0$ .  $\square$

## 2.2 Prismatic Cohomology

### 2.2.1 Prisms

Let  $K$  be a field of characteristic 0. By a *p-adic valuation* on  $K$  we mean a rank one valuation  $\nu$  on  $K$ , with  $\nu(p) > 0$ . We suppose that  $K$  is complete with respect to  $\nu$  with ring of integers  $\mathcal{O}_K$  and perfect residue field  $k$ . We will only recall exactly as much about prismatic cohomology as necessary.

**Definition 2.2.1.** A  $\delta$ -ring is a pair  $(R, \delta)$  where  $R$  is a commutative ring and  $\delta : R \rightarrow R$  is a set map such that,

- (a)  $\delta(0) = \delta(1) = 0$
- (b)  $\delta(xy) = x^p \delta(y) + y^p \delta(x) + p\delta(x)\delta(y)$
- (c)  $\delta(x+y) = \delta(x) + \delta(y) + \frac{x^p + y^p - (x+y)^p}{p}$

Note that the last term exists as some universal polynomial with integer coefficients.

We think of this as a sort of “derivation along the  $p$ -direction”. It is also related to lifting Frobenius on  $R/p$ . Indeed, if  $\phi(x) = x^p + p\delta(x)$  then  $\phi : R \rightarrow R$  is a ring map by property (c) and obviously it lifts  $x \mapsto x^p$  on  $R/p$ . In fact, if  $R$  is  $p$ -torsionfree then lifts of Frobenius are exactly the same as  $\delta$ -ring structures.

**Definition 2.2.2.** Let  $(A, I)$  be a pair where  $A$  is a  $\delta$ -ring and  $I \subset A$  is an ideal. The pair is a *prism* if

- (a)  $I \subset A$  is invertible (defines a Cartier divisor on  $\text{Spec}(A)$ )
- (b)  $A$  is derived  $(p, I)$ -complete
- (c)  $p \in I + \phi(I)A$

**Example 2.2.3.** Let  $A$  be a  $p$ -torsionfree and  $p$ -complete  $\delta$ -ring then  $(A, (p))$  is a prism.

**Example 2.2.4.** The *Breuil-Kisin* prism. Assume that  $\nu$  on  $K$  is discrete. Set  $A = W(k)[[u]]$  equipped with Frobenius  $\varphi$  extending Frobenius on  $W(k)$  by  $u \mapsto u^p$ . Equip  $A$  with the map  $A \rightarrow \mathcal{O}_K$  sending  $u \mapsto \pi$  some uniformizer. Its kernel is generated by an Eisenstein polynomial  $E(u) \in W(k)[u]$  for  $\pi$ . In fact, in applications we will assume  $\mathcal{O}_K = W(k)$  and  $\pi = p$ . Then  $(A, E(u)A)$  is the Breuil-Kisin prism.

**Example 2.2.5.** Suppose that  $K$  is algebraically closed. Let  $R = \varprojlim \mathcal{O}_K/p$  taking the limit over Frobenius. We take  $A = W(R)$ . Any element  $(x_0, x_1, \dots) \in R$  lifts uniquely to a sequence  $(\hat{x}_0, \hat{x}_1, \dots) \in \mathcal{O}_K$  with  $\hat{x}_i^p = \hat{x}_{i-1}$ . Then there is a natural surjective map of rings  $\theta : A \rightarrow \mathcal{O}_K$  sending a Teichmüller element  $x$  as above to  $\hat{x}_0$ . The kernel of  $\theta$  is principal, generated by  $\xi = p - [p]$  where  $\underline{p} = (p, p^{1/p}, \dots)$  then  $(A, \xi A)$  is an example of a perfect prism.

## 2.2.2 Logarithmic Cohomology

We will use logarithmic formal schemes over  $\mathcal{O}_K$ . We will consider logarithmic étale cohomology meaning the natural cohomology on the site of log étale covers of logarithmic schemes. The main fact we will use is the following comparison result:

**Theorem 2.2.6.** Let  $k$  be an algebraically closed field and  $X$  a smooth  $k$ -scheme. Let  $D \subset X$  be an snc divisor and  $X_D^{\log}$  the log structure induced by  $D$ . Then there is a canonical isomorphism,

$$H_{\text{ét}}^i(X_D^{\log}, \mu) \xrightarrow{\sim} H^i(X \setminus D, \mu)$$

### COEFFICIENTS

*Proof.* Idea: show that any finite étale map  $Y \rightarrow X \setminus D$  extends canonically to a finite log-étale map  $\bar{Y} \rightarrow X_D$  which proves the statment for  $i = 1$  then use dimension shifting and some spectral sequence. To show the claim, take the normalization of  $Y$  in  $X$  which gives a finite map  $Y \rightarrow X$  ramified only over  $D$  by Zariski nagata purity. Then a local check shows that this map is log-étale **WHY?**  $\square$

## 2.2.3 Prismatic Cohomology

Let  $K$  be either discretely valued or algebraically closed. Let  $X$  be a formal smooth  $\mathcal{O}_K$ -scheme equipped with a relative normal crossings divisor  $D$ . Write  $X_D$  for log structure induced by  $D$ . We will denote by  $X_{D,K}$  the associated log adic space giving by analytification.

The *prismatic cohomology* of  $X_D$  is the complex of  $A$ -modules  $R\Gamma_{\Delta}(X_D/A)$  equipped with a  $\varphi$ -semi-linear map  $\varphi$ . The mod  $p$  cohomology is given by setting,

$$\overline{R\Gamma_{\Delta}(X_D/A)} = R\Gamma_{\Delta}(X_D/A) \otimes_A^{\mathbb{L}} A/pA$$

and we will denote by  $\overline{H_{\Delta}^i(X_D/A)}$  the cohomology of  $\overline{R\Gamma_{\Delta}(X_D/A)}$ . Then we have the following properties:

- (a) There is a canonical isomorphism of commutative algebras in  $D(A)$

$$R\Gamma(\Omega_{X_k/k}^{\bullet}(\log D_k)) \cong \overline{R\Gamma_{\Delta}(X_D/A)} \otimes_{A/pA, \varphi}^{\mathbb{L}} l$$

- (b) If  $K$  is algebraically closed then there is an isomorphism of commutative algebras in  $D(A)$

$$R\Gamma_{\text{ét}}(X_{D,K}, \mathbb{F}_p) \cong \overline{R\Gamma_{\Delta}(X_D/A)}[1/\xi]^{\varphi=1}$$

- (c) the linear map,

$$\varphi^* \overline{R\Gamma_{\Delta}(X_D/A)} \rightarrow \overline{R\Gamma_{\Delta}(X_D/A)}$$

becomes an isomorphism in  $D(A)$  after inverting  $u$  (resp  $\xi$ ) if  $K$  is discrete (resp. algebraically closed). For each  $i \geq 0$ , there is a canonical map,

$$V_i : \overline{H_{\Delta}^i(X_D/A)} \rightarrow H^i(\varphi^* \overline{R\Gamma_{\Delta}(X_D/A)})$$

- (d) Let  $K'$  be a field complete with respect to a  $p$ -adic valuation, and which is either discrete or algebraically closed. Let  $B \rightarrow \mathcal{O}_{K'}$  be the corresponding prism, as defined above. Suppose  $K \rightarrow K'$  is a map of valued field and  $A \rightarrow B$  is compatible with the projection to  $\mathcal{O}_K \rightarrow \mathcal{O}_{K'}$  and Frobenius. Then there is a canonical isomorphism

$$\overline{R\Gamma_{\Delta}(X_D/A)} \otimes_A^{\mathbb{L}} B \cong \overline{R\Gamma_{\Delta}(X_{D, \mathcal{O}_{K'}}/B)}$$

- (e) When  $X$  is proper over  $\mathcal{O}_K$  then  $\overline{R\Gamma_{\Delta}(X_D/A)}$  is a perfect complex of  $A/p$ -modules.
- (f) Suppose that  $K$  is algebraically closed, and that  $X$  is proper over  $\mathcal{O}_K$  then for each  $i \geq 0$  there are natural isomorphisms

$$H_{\text{ét}}^i(X_{D,K}, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A/pA[1/\xi] \cong \overline{H_{\Delta}^i(X_D/A)}[1/\xi]$$

## 2.3 Proof For Real

*Proof of Proposition 4.4.1.* Let  $k_C$  be the residue field of  $C$ . We may replace  $X$  by its base change to  $W(k_C)$  and assume that  $C$  and  $K$  have the same residue field. Denote by  $\widehat{X}$  and  $\widehat{D}$  the formal completions of  $X$  and  $D$ . Let  $\widehat{W} \subset \widehat{X}$  be the formal open subscheme, which is the complement of  $Z_k$ . Note that we have  $\widehat{W}_C \subset W^{\text{ad}}$  so there is a commutative diagram,

$$\begin{array}{ccc} H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) & \longrightarrow & H_{\text{ét}}^i(W, \mathbb{F}_p) \\ \downarrow \alpha & & \downarrow \\ & & H_{\text{ét}}^i(W^{\text{ad}}, \mathbb{F}_p) \\ & & \downarrow \\ H^i(\widehat{X}_{D,C}, \mathbb{F}_p) & \xrightarrow{\beta} & H_{\text{ét}}^i(\widetilde{X}_C, \mathbb{F}_p) \end{array}$$

We need to show the following facts,

- (a)  $\alpha$  is an isomorphism
- (b)  $\dim_{\mathbb{F}_p} \text{im } \beta \geq h_{(X,D)}^{0,i}$
- (c)  $H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \cong H_{\text{ét}}^i(U_C, \mathbb{F}_p)$

□

### WHY IS THE FIRST LEMMA 2.2.10

Now we will prove these three facts.

The only hard one:

Let  $X$  be a proper, smooth formal scheme over  $\mathcal{O}_K$  equipped with a relative normal crossing divisor  $D \subset X$ . Let,

$$h_{(X,D)}^{0,i} := \dim_K H^0(X_K, \Omega_{X_K/K}^i(\log D))$$

**Proposition 2.3.1.** Let  $W \subset X \setminus D$  be a dense open formal subscheme. Then for  $0 \leq i < p - 2$

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq h_{(X,D)}^{0,i}$$

*Proof.* Take the prism  $A$  to be  $W(k)[[u]]$  with  $E(u) = u - p$ . We obtain a prism  $A_C \rightarrow \mathcal{O}_C$ . There is a Frobenius compatible map  $A \rightarrow A_C$  sending  $u \mapsto [p]$ . Set,

$$M_{\Delta} = \text{im} (\overline{H_{\Delta}^i(X_D/A)} \rightarrow \overline{H_{\Delta}^i(W/A)})$$

which is a finitely generated  $A/pA = k[[u]]$ -module. There is an isomorphism,

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

and similarly for the open  $W$ . Therefore, by **PROPERTY** there is an isomorphism

$$\overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{D,\mathcal{O}_C}/A_C)}$$

Then there are maps,

$$\begin{aligned} \overline{H_{\Delta}^i(X_D/A)} \otimes_A^{\mathbb{L}} A_C[1/\xi] &\cong H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \\ &\rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} A_C/pA_C[1/\xi] \rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_A A_C[1/\xi] \end{aligned}$$

the composite is the natural map. Hence,

$$\dim_{\mathbb{F}_p} \text{im} (H_{\text{ét}}^i(X_{D,C}, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq \dim_{k((u))} M_{\Delta}[1/u]$$

By **LEMMA**  $M_{\Delta}$  is a finitely generated free  $k[[u]]$ -module. Hence it suffices to show  $\dim_k M_{\Delta}/uM_{\Delta} \geq h_{(X,D)}^{0,i}$ .

Hence using Lemma 2.2.1 again, we see that  $\overline{H_{\Delta}^j(X_D/A)}$  is  $u$ -torsion free for  $0 \leq j \leq i+1$ . Hence there are maps,

$$\begin{aligned} H^i(X_k, \Omega_{X_k/k}^{\bullet}(\log D_k)) &\cong \overline{H_{\Delta}^i(X_D/A)} \otimes_{A,\varphi} k \rightarrow M_{\Delta} \otimes_{A,\varphi} k \\ &\rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_{A,\varphi} k \rightarrow H^i(W_k, \Omega_{W_k/K}^{\bullet}(\log D)) \end{aligned}$$

where the composition is the natural map. This shows that the image has dimension  $\leq \dim_k M_{\Delta}/uM_{\Delta}$  and it suffices to show that this dimension is  $\geq h_{(X,D)}^{0,i}$ . Since  $W \subset X$  is dense, the map,

$$H^0(X_k, \Omega_{X_k/k}^i(\log D)) \rightarrow H^0(W_k, \Omega_{X_k/k}^i)$$

is injective. Hence the image has dimension at least  $\dim_k H^0(X_k, \Omega_{X_k/k}^i(\log D_k)) \geq h_{(X,D)}^{0,i}$  **I THINK THIS WAS AN ERROR IN THE PAPER NEED LOG D** where the last inequality follows from the upper semi-continuity of  $h^0$ .  $\square$

Therefore we conclude using the following lemma:

**Lemma 2.3.2.** Suppose that  $(X, D)$  admits a lift to  $W_2(k)$  called  $(\tilde{X}, \tilde{D})$  with  $\tilde{D}$  a snc divisor flat over  $W_2(k)$ . Then for  $j < p$ ,

$$H^0(X^1, \Omega_{X^1/k}^j(\log D^1)) \hookrightarrow H^j(X, \Omega_{X/k}^{\bullet}(\log D))$$

is canonically a direct summand.

*Proof.* This follows from the existence of the Cartier operator in the same way as in Deligne-Illusie.  $\square$

## 3 Talk 2

### 3.1 The Prismatic Site

**Lemma 3.1.1.** If  $(A, I) \rightarrow (B, J)$  is a map of prismis then the natural map induces an isomorphism  $I \otimes_A B \cong J$ . In particular,  $IB = J$ .

*Proof.* **Lemma 3.5 in Scholze** □

Fix a (bounded) prism  $(A, I)$  and a formally smooth  $A/I$ -algebra  $R$ . The *prismatic site* of  $R$  relative to  $A$ , denoted  $(R/A)_{\Delta}$ , is the category whose objects are prisms  $(B, IB)$  over  $(A, I)$  together with an  $A/I$ -algebra map  $R \rightarrow B/IB$

$$\begin{array}{ccccc} B & \longrightarrow & B/I & \longleftarrow & R \\ \uparrow & & & & \downarrow \\ A & \longrightarrow & & & A/I \end{array}$$

these are the diagrams. Covers are *faithfully flat* maps of prisms.

**Definition 3.1.2.** A map  $(A, I) \rightarrow (B, IB)$  of prisms is *(faithfully) flat* if  $A/(p, I) \rightarrow B \otimes_A^{\mathbb{L}} A/(p, I)$  is (faithfully) flat.

**Definition 3.1.3.** The structure sheaf of  $(R/A)_{\Delta}$  is the sheaf,

$$\mathcal{O}_{\Delta} : (B, IB) \mapsto B$$

Likewise we define a sheaf  $\overline{\mathcal{O}}_{\Delta}$  on  $(R/A)_{\Delta}$  defined by,

$$\overline{\mathcal{O}}_{\Delta} : (B, IB) \mapsto B/IB$$

**Definition 3.1.4.**  $\Delta_{R/A} := R\Gamma_{\Delta}(X/A) := R\Gamma_{\Delta}((R/A)_{\Delta}, \mathcal{O}_{\Delta})$

### 3.1.1 The non-affine case

**Definition 3.1.5.** Let  $(A, I)$  be a bounded prism and  $X \rightarrow \mathrm{Spec}(A/I)$  be a scheme. Then the *prismatic site* of  $X$  relative to  $A$ , denoted  $(X/A)_{\Delta}$ , is the category of objects,

$$\begin{array}{ccccc} \mathrm{Spec}(B) & \longleftarrow & \mathrm{Spec}(B/IB) & \longrightarrow & X \\ \downarrow & & & & \downarrow \\ \mathrm{Spec}(A) & \longleftarrow & & \longrightarrow & \mathrm{Spec}(A/I) \end{array}$$

We endow  $(X/A)_{\Delta}$  by the Grothendieck topology given by faithfully flat covers of prisms and there are sheaves,

$$\mathcal{O}_{\Delta} : (B, IB) \mapsto B$$

and

$$\overline{\mathcal{O}}_{\Delta} : (B, IB) \mapsto B/IB$$

Note that  $\mathcal{O}_{\Delta}$  is valued in  $(p, I)$ -complete  $A$ - $\delta$ -algebras while  $\overline{\mathcal{O}}_{\Delta}$  is valued in  $p$ -complete  $R$ -algebras.

## 3.2 Breuil-Kisin and Breuil-Kisin-Fargues Prisms

As pointed out last time, to make the étale comparison theorem work we need an algebraically closed field but we want to work over  $K = \mathrm{Frac}(W(k))$  to set up our Breuil-Kisin prism but this is not algebraically closed. Therefore, we will need to work with two different prisms and a comparison between them.

### 3.2.1 Breuil-Kisin Prism

Recall our construction. Let  $k$  be a perfect field of characteristic  $p$  and  $K = \text{Frac}(W(k))$  which is a complete  $p$ -adic field with  $\mathcal{O}_K = W(k)$ . You should think of the example  $k = \mathbb{F}_p$  and  $K = \mathbb{Q}_p$  but we might need  $k$  to be the perfection of the function field of a variety over  $\mathbb{F}_p$  as we discussed last time. Then we define.

**Definition 3.2.1.** The *Breuil-Kisin prism* for  $K$  is  $A = W(k)[[u]]$  with  $I = (u - p) = (E(u))$  so we get a map  $A \rightarrow A/I = W(k) = \mathcal{O}_K$ .

### 3.2.2 Breuil-Kisin-Fargues Prism

Let  $C$  be an algebraically closed complete  $p$ -adic field (we will later take  $C$  to be the completion of the algebraic closure of  $K$ ). Then we set,

$$R = \varprojlim_{x \mapsto x^p} \mathcal{O}_K/p$$

**Definition 3.2.2.** The *Breuil-Kisin-Fargues prism* is  $B = W(R)$  with its canonical Frobenius. Note there is an isomorphism of commutative monoids:

$$\begin{array}{ccc} \varprojlim_{x \mapsto x^p} \mathcal{O}_K & \rightarrow & \varprojlim_{x \mapsto x^p} \mathcal{O}_K/p \\ & & x \mapsto [x] \end{array}$$

There is a surjective map of rings

$$\theta : B \rightarrow \mathcal{O}_C$$

which sends

$$[x] \mapsto x \mapsto x_0$$

Then  $\ker \theta$  is generated by

$$\xi := p - [p]$$

where  $\underline{p} = (p, p^{1/p}, \dots)$ . Then  $(B, \xi B)$  is a perfect prism.

We will always work with  $A = W(k)[[u]]$  the Breuil-Kisin prism for a scheme over  $\mathcal{O}_K = W(k)$  and the Breuil-Kisin-Fargues prism  $B$  for a scheme over  $\mathcal{O}_C$ .

Let  $K \rightarrow C$  be a map of  $p$ -adic fields with  $K$  and  $C$  as above. Then there is a comparison map,

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \\ \mathcal{O}_K & \longrightarrow & \mathcal{O}_C \end{array}$$

where the map  $A \rightarrow B$  is given by  $u \mapsto [p]$  and therefore  $E(u) = u - p \mapsto -\xi$ .

It will be useful to record the following fact:

$$k[[u]] = A/pA \rightarrow B/pB$$

is flat. Since  $k[[u]]$  is a DVR this amounts to showing that  $u \mapsto [p] \in B/pB = R$  is a non-zerodivisor. Since  $[p]$  lists along the monoid map to  $\underline{p}$  which is nonzero this is clear because  $\mathcal{O}_C$  is a domain.

### 3.3 Comparison Results

We need the following comparison theorems.

#### 3.3.1 de Rham Comparison

Let  $k$  be the residue field of  $\mathcal{O}_K$ . Let  $X \rightarrow \mathrm{Spec}(\mathcal{O}_K)$  be a smooth scheme. Then for any bounded prism  $(A, I)$  (we will always take the Breuil-Kisin prism) with  $A/I \xrightarrow{\sim} \mathcal{O}_K$  there are canonical isomorphisms,

$$R\Gamma(X, \Omega_X^\bullet) \xrightarrow{\sim} R\Gamma_\Delta(X/A) \hat{\otimes}_{A, \phi_A}^{\mathbb{L}} \mathcal{O}_K$$

and therefore canonical isomorphisms,

$$R\Gamma(X_k, \Omega_{X_k}^\bullet) \xrightarrow{\sim} R\Gamma_\Delta(X/A) \otimes_{A, \varphi}^{\mathbb{L}} k \xrightarrow{\sim} \overline{R\Gamma_\Delta(X/A)} \otimes_{A/pA, \varphi}^{\mathbb{L}} k$$

#### 3.3.2 étale Comparison

Let  $(B, \xi B)$  be a perfect prism and  $B/I \xrightarrow{\sim} \mathcal{O}_C$  for  $C$  an algebraically closed  $p$ -adically complete field (we will always take  $(B, \xi B)$  to be the Breuil-Kisin-Fargues prism associated to  $C$ ). Let  $X \rightarrow \mathrm{Spec}(\mathcal{O}_C)$  be a smooth scheme. Then there are canonical isomorphisms,

$$R\Gamma_{\mathrm{\acute{e}t}}(X_C, \mathbb{F}_p) \xrightarrow{\sim} \overline{R\Gamma_\Delta(X/B)}[1/\xi]^{\varphi=1}$$

where  $\varphi = 1$  means taking the fiber of the semilinear endomorphism  $\varphi - 1$ .

**Lemma 3.3.1.** This comparison theorem gives an exact triangle,

$$R\Gamma_{\mathrm{\acute{e}t}}(X_C, \mathbb{F}_p) \rightarrow \overline{R\Gamma_\Delta(X/B)}[1/\xi] \xrightarrow{1-\varphi} \overline{R\Gamma_\Delta(X/B)}[1/\xi] \rightarrow +1$$

and hence (because the target is a  $B/pB$ -module) morphisms,

$$H_{\mathrm{\acute{e}t}}^i(X_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} B/pB \rightarrow \overline{H_\Delta^i(X/B)}[1/\xi]$$

If  $X \rightarrow \mathrm{Spec}(\mathcal{O}_C)$  is proper these are isomorphisms.

#### 3.3.3 Base Change

Because we are working with two different prisms, we need some sort of base change result. Luckily the following very general comparison theorem holds.

**Theorem 3.3.2.** Let  $(A, I) \rightarrow (B, J)$  be a map of bounded prisms and  $Y = X \times_{\mathrm{Spec}(A/I)} \mathrm{Spec}(B/J)$ . Then the natural map,

$$R\Gamma_\Delta(X/A) \hat{\otimes}_A^{\mathbb{L}} B \xrightarrow{\sim} R\Gamma_\Delta(Y/B)$$

is an isomorphism.

This implies the following,

$$\begin{aligned} \overline{R\Gamma_\Delta(Y/B)} &= (R\Gamma_\Delta(X/A) \hat{\otimes}_A^{\mathbb{L}} B) \otimes_B^{\mathbb{L}} B/pB \\ &= R\Gamma_\Delta(X/A) \hat{\otimes}_A^{\mathbb{L}} B/pB \\ &= R\Gamma_\Delta(X/A) \hat{\otimes}_A^{\mathbb{L}} (A/pA) \hat{\otimes}_{A/pA}^{\mathbb{L}} B/pB \\ &= \overline{R\Gamma_\Delta(X/A)} \hat{\otimes}_{A/pA}^{\mathbb{L}} B/pB \end{aligned}$$

In particular, if  $A/pA \rightarrow B/pB$  is flat then we get comparison isomorphisms,

$$\overline{H_\Delta^i(Y/B)} \xrightarrow{\sim} \overline{H_\Delta^i(X/A)} \hat{\otimes}_A B/pB = \overline{H_\Delta^i(X/A)} \hat{\otimes}_A B$$

### 3.3.4 Finiteness of cohomology

**Theorem 3.3.3.** Let  $(A, I)$  be a bounded prism. Let  $X \rightarrow \operatorname{Spec}(A/I)$  be a smooth proper scheme. Then  $R\Gamma_{\Delta}(X/A)$  is a perfect complex of  $A$ -modules.

In particular, applying  $-\otimes^{\mathbb{L}} A/pA$  and taking cohomology we see that  $\overline{H_{\Delta}^i(X/A)}$  is a finite  $A/pA$ -module.

## 3.4 Proof of the Main Theorem

As before let  $K = \operatorname{Frac}(W(k))$  for  $k$  a perfect field. Let  $C$  be the completion of the algebraic closure.

**Theorem 3.4.1.** Let  $X \rightarrow \operatorname{Spec}(\mathcal{O}_K)$  be a smooth proper scheme and  $W \subset X$  an open which is dense in the special fiber. Then for  $0 \leq i < p-2$

$$\dim_{\mathbb{F}_p} \operatorname{im}(H_{\text{ét}}^i(X_C, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W_C, \mathbb{F}_p)) \geq h_X^{i,0} := \dim_K H^0(X_K, \Omega_{X_K}^i)$$

*Proof.* As before, we set  $A$  to be the Breuil-Kisin prism for  $K$  and  $B$  to be the Breuil-Kisin-Fargues prism for  $C$ . Now set,

$$M_{\Delta} := \operatorname{im}(\overline{H_{\Delta}^i(X/A)} \rightarrow \overline{H_{\Delta}^i(W/A)})$$

Because  $X$  is proper the first term is finite and hence  $M_{\Delta}$  is a finite  $A/pA = k[[u]]$ -module. By the comparison theorem and the fact that  $A/pA \rightarrow B/pB$  is flat,

$$\overline{H_{\Delta}^i(X/A)} \hat{\otimes}_A B \xrightarrow{\sim} \overline{H_{\Delta}^i(X_{\mathcal{O}_C}/B)}$$

The proof will then proceed by the following steps. □

### 3.4.1 The étale Comparison Diagram

Consider the diagram,

$$\begin{array}{ccccc} H_{\text{ét}}^i(X_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} B/pB[1/\xi] & \xrightarrow{\sim} & \overline{H_{\Delta}^i(X_{\mathcal{O}_C}/B)} & \xrightarrow{\sim} & \overline{H_{\Delta}^i(X/A)} \hat{\otimes}_A B[1/\xi] \\ \downarrow \text{res}_{\mathcal{W}}^{\text{ét}} & & & & \downarrow \\ H_{\text{ét}}^i(W_C, \mathbb{F}_p) \otimes_{\mathbb{F}_p} B/pB[1/\xi] & \longrightarrow & \overline{H_{\Delta}^i(W_{\mathcal{O}_C}/B)} & \xrightarrow{\sim} & \overline{H_{\Delta}^i(W/A)} \hat{\otimes}_A B[1/\xi] \end{array}$$

$M_{\Delta} \hat{\otimes}_{k[[u]]} B/pB[1/\xi]$

The top maps are isomorphisms because  $X$  is proper (using the lemma after the étale comparison theorem). Furthermore, since  $B/pB$  is flat over  $A/pA$  the map

$$M_{\Delta} \hat{\otimes}_{k[[u]]} B/pB[1/\xi] \rightarrow \overline{H_{\Delta}^i(W/A)} \otimes_A B/pB[1/\xi]$$

is injective. Therefore,

$$\dim_{\mathbb{F}_p} \operatorname{im} \operatorname{res}_{\mathcal{W}}^{\text{ét}} \geq \dim_{k((u))} M_{\Delta}[1/u]$$

note that mod  $p$  we have  $u \mapsto -\xi$ .



### 3.4.2 The de Rham Comparison Diagram

Consider the diagram,

$$\begin{array}{ccccc}
H^i(X_k, \Omega_{X_k}^\bullet) & \xleftarrow{\sim} & H^i(\overline{R\Gamma_\Delta(X/A)} \otimes_{A,\varphi}^{\mathbb{L}} k) & \xleftarrow{\sim} & \overline{H_\Delta^i(X/A)} \otimes_{A,\varphi} k \\
\downarrow \text{res}_W^{\text{dR}} & & & & \downarrow \\
H^i(W_k, \Omega_{W_k}^\bullet) & \xleftarrow{\sim} & H^i(\overline{R\Gamma_\Delta(W/A)} \otimes_{A,\varphi}^{\mathbb{L}} k) & \xleftarrow{\sim} & \overline{H_\Delta^i(W/A)} \otimes_{A,\varphi} k
\end{array}$$

The leftmost maps are given by the subs in the Tor-spectral sequence. To show the map,

$$\overline{H_\Delta^i(X/A)} \otimes_{A,\varphi} k \xrightarrow{\sim} H^i(\overline{R\Gamma_\Delta(X/A)} \otimes_{A,\varphi}^{\mathbb{L}} k)$$

is an isomorphism we need to prove the following claim:

For  $0 \leq j \leq i+1$  the  $A/pA = k[[u]]$ -modules  $\overline{H_\Delta^j(X/A)}$  are  $u$ -torsion free.

Given this claim, since  $\text{res}_W^{\text{dR}}$  factors through the  $k$ -module  $M_\Delta \otimes_{A,\varphi} k$  we see that,

$$\dim_k \text{im res}_W^{\text{dR}} \leq \dim_k M_\Delta \otimes_{A,\varphi} k = \dim_k M_\Delta / uM_\Delta$$

#### WHAT ABOUT THE FROB HERE?

Therefore if we can show the next claim:

$M_\Delta$  is a finitely generated free  $k[[u]]$ -module.

Then we conclude that,

$$\dim_{\mathbb{F}_p} \text{im res}_W^{\text{ét}} \geq \dim_{k((u))} M_\Delta[1/u] = \dim_k M_\Delta / uM_\Delta \geq \dim_k \text{im res}_W^{\text{dR}}$$

Therefore it suffices to bound  $\text{res}_W^{\text{dR}}$ .

### 3.4.3 Cartier Isomorphism

Recall that because  $X_k$  is a smooth scheme over a perfect field  $k$  which lifts over  $W_2(k)$  there is an isomorphism in the derived category,

$$\bigoplus_{i < p} \Omega_{X_k^{(p)}}^i[-i] \xrightarrow{\sim} \tau_{< p} F_* \Omega_X^\bullet$$

in the derived category where  $F : X_k \rightarrow X_k^{(p)}$  is the relative Frobenius. This decomposition is natural so we get a commutative diagram,

$$\begin{array}{ccc}
H^0(X_k^{(p)}, \Omega_{X_k^{(p)}}^i) & \hookrightarrow & H^0(W_k^{(p)}, \Omega_{W_k^{(p)}}) \\
\downarrow & & \downarrow \\
H^i(X_k, \Omega_{X_k}^\bullet) & \xrightarrow{\text{res}_W^{\text{dR}}} & H^i(W_k, \Omega_{W_k}^\bullet)
\end{array}$$

Since the maps along the top are injective we see that,

$$\dim_k \operatorname{im} \operatorname{res}_W^{\operatorname{dR}} \geq \dim_k H^0(X_k^{(p)}, \Omega_{X_k^{(p)}}^i) = \dim_k H^0(X_k, \Omega_{X_k}^i)$$

The last equality follows from the  $\varphi$ -semilinear isomorphism of schemes  $X_k \rightarrow X_k^{(p)}$ . Finally,

$$\dim_k \operatorname{im} \operatorname{res}_W^{\operatorname{dR}} \geq \dim_k H^0(X_k, \Omega_{X_k}^i) \geq \dim_K H^0(X_K, \Omega_{X_K}^i)$$

by upper semicontinuity which completes the proof (modulo the claims).

## 4 Talk 3

**Definition 4.0.1.** Let  $f : Y \rightarrow X$  be a finite map of complex algebraic varieties. The *essential dimension*  $\operatorname{ed}(Y/X)$  of  $f$  is the smallest integer  $e$  such that, over some dense open of  $X$ , the map  $f$  arises as the pullback of a map of varieties of dimension  $e$ .

**Example 4.0.2.** Note that if  $g : Y \rightarrow X$  is a cyclic cover, meaning the extension of fields is Galois with a cyclic Galois group, then because the base field  $\mathbb{C}$  contains all roots of unity we see that  $g : Y \rightarrow X$  is generically the extraction of an  $n^{\text{th}}$ -root of some rational function  $x$  on  $X$ . Then the map  $Y \rightarrow X$  is generically pulled back from  $z^n : \mathbb{A}^1 \rightarrow \mathbb{A}^1$  hence  $\operatorname{ed}(Y/X) = 1$ .

**Example 4.0.3.** The  $S_n$ -quotient  $f_n : \mathbb{A}^n \rightarrow \mathbb{A}^n$  is the example that motivated the development of the study of essential dimension. Note that if the generic degree  $n$  polynomial is solvable in radicals then  $f_n$  is a composition of cyclic covers and hence has  $\operatorname{ed}(f_n) = 1$ . For  $n = 5$  we know  $\operatorname{ed}(f_5) = 2$  so given radicals and one other function (determined by the essential dimension covering) we can solve degree 5 polynomials. Working out  $\operatorname{ed}(f_n)$  is a major open problem.

**Definition 4.0.4.** Let  $f : Y \rightarrow X$  be a finite map of complex algebraic varieties. The  *$p$ -essential dimension*  $\operatorname{ed}(Y/X; p)$  of  $f$  is the minimum over  $\operatorname{ed}(Y'/X'; p)$  of all generically-finite maps  $X' \rightarrow X$  of degree coprime to  $p$  and  $Y' = Y \times_X X'$ .

**Definition 4.0.5.** Recall that the mod  $p$ -homology cover of a space  $X$  is the étale cover  $Y \rightarrow X$  corresponding to the maximal  $(\mathbb{Z}/p\mathbb{Z})^n$  quotient of  $\pi_1(X)$ .

### 4.1 Theorems

**Theorem 4.1.1 (A).** Let  $X$  be a smooth proper complex variety, and  $Y \rightarrow X$  its mod  $p$  homology cover. Suppose that  $X$  has good unramified reduction at  $p$ , and let  $b_1$  denote the first betti number of  $X$ . Then for  $p > \max\{\frac{1}{2}b_1, 3\}$ ,

$$\operatorname{ed}(Y/X; p) \geq \min\{\dim X, \frac{1}{2}b_1\}$$

In the following cases, this theorem shows that the mod  $p$  homology cover is  *$p$ -incompressible* meaning  $\operatorname{ed}(Y/X; p) = \dim X$

- (a)  $X$  is an abelian variety
- (b)  $X = C_1 \times \cdots \times C_r$  for curve of genus  $g(C_i) \geq 1$
- (c) locally symmetric varieties associated to cocompact lattices in  $\operatorname{SU}(n, 1)$

**Theorem 4.1.2** (B). Let  $X$  be a smooth, proper complex variety,  $G$  a finite group, and  $Y \rightarrow X$  a  $G$ -cover. Suppose that  $X$  has unramified good reduction at  $p$  and let  $i < p - 2$ . If  $H^0(X, \Omega_X^i) \neq 0$  and the map  $H^i(G, \mathbb{F}_p) \rightarrow H^i(X, \mathbb{F}_p)$  is surjective then

$$\text{ed}(Y/X; p) \geq i$$

Note the the map is defined by the map  $\pi_1(X) \rightarrow G$  and the natural maps

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(\pi_1(X), \mathbb{F}_p) \rightarrow H^i(X, \mathbb{F}_p)$$

## 4.2 Abelian Varieties

### 4.3 Idea

We will use the following theorem

**Theorem 4.3.1** (C). Let  $X$  be a smooth, proper, complex variety, with unramified good reduction at  $p$  and  $W \subset X$  a Zariski open. Then the following hold

(a) if  $i < p - 2$  then

$$\dim_{\mathbb{F}_p} \text{im} (H^i(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)) \geq h_X^{i,0}$$

(b) if  $X$  is an abelian variety then the above also holds for  $i = p - 2$

(c) if  $p > \max\{i + 1, 3\}$  and  $i \leq \dim X$  then

$$\dim_{\mathbb{F}_p} \text{im} (\wedge^i H_{\text{ét}}^1(X, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq \binom{h_X^{1,0}}{i}$$

The proof uses prismatic cohomology. Then we will deduce Theorem B as follows. Consider the composite,

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)$$

The assumptions ensure that this map is nonzero. However, if  $Y|_W \rightarrow W$  arises from a covering of varieties  $Y' \rightarrow Z'$  of dimension  $< i$  then the map factors as

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(Z, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)$$

By possibly shrinking  $W$  and then  $Z$  we may assume that  $Z$  is affine, and it follows that the above map must vanish since the cohomological dimension of affine varieties is at most their dimension.

For theorem A we instead we need a result for  $\wedge^i H^1(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)$ .

## 4.4 Proofs

Let  $k$  be a perfect field and  $K = \text{Frac}(W(k))$ .

**Proposition 4.4.1.** Let  $X$  be a smooth proper scheme over  $\mathcal{O}_K$  let  $W \subset X$  be a dense open subscheme. If  $0 \leq i < p - 2$  then

(a) if  $i < p - 2$  then

$$\dim_{\mathbb{F}_p} \text{im} (H^i(X, \mathbb{F}_p) \rightarrow H^i(W, \mathbb{F}_p)) \geq h_X^{i,0}$$

- (b) if  $X$  is an abelian variety then the above also holds for  $i = p - 2$
- (c) if  $p > \max\{i + 1, 3\}$  and  $i \leq \dim X$  then

$$\dim_{\mathbb{F}_p} \operatorname{im} (\wedge^i H_{\text{ét}}^1(X, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(W, \mathbb{F}_p)) \geq \binom{h_X^{1,0}}{i}$$

Let's see how this implies the theorem. Let  $Y$  be a proper smooth scheme over  $\mathbb{C}$ . We say that  $Y$  has *good reduction at  $p$*  if there exists an algebraically closed field  $C \hookrightarrow \mathbb{C}$  over which  $Y$  is defined and a  $p$ -adic valuation on  $C$  with ring of integers  $\mathcal{O}_C$  and an extension to a smooth proper  $\mathcal{O}_C$ -scheme  $Y^\circ$  over  $\mathcal{O}_C$ . We say that  $Y$  has *unramified good reduction at  $p$*  if in addition  $(Y^\circ, D^\circ)$  can be chosen so that it descends to an absolutely unramified<sup>3</sup> dvr  $\mathcal{O} \subset \mathcal{O}_C$ .

*Remark.* This condition is actually easily checkable. Indeed if  $Y$  is a smooth proper finite type  $\mathbb{C}$ -scheme then it spreads out to a smooth proper scheme  $\mathcal{Y} \rightarrow \operatorname{Spec}(A)$  over some finite type  $\mathbb{Z}$ -algebra  $A \subset \mathbb{C}$ . Now suppose there exists  $\mathfrak{p} \subset A$  such that  $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(\mathbb{Z})$  is smooth at  $\mathfrak{p}$  and  $\mathfrak{p} \mapsto (p)$ . This is nothing more than saying that  $p$  is not contained in the Jacobian ideal. Then choose a minimal prime  $\xi$  over  $pA$  since  $\xi \rightsquigarrow \mathfrak{p}$  we see that  $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(\mathbb{Z})$  is smooth at  $\xi$  and hence  $A_\xi \subset \mathbb{C}$  is a  $p$ -adic dvr unramified over  $\mathbb{Z}_{(p)}$  by smoothness. Then we extend this  $p$ -adic valuation to  $\mathbb{C}$  and  $\mathcal{O} = A_\xi$  is our requisite unramified dvr.

*Proof.* □

*Remark.* Given a variety over  $\mathbb{C}$ , it has unramified good reduction at all but finitely many primes  $p$  because if we spread out to some finite type  $\mathbb{Z}$ -algebra  $A \subset \mathbb{C}$  then  $\operatorname{Spec}(A) \rightarrow \operatorname{Spec}(\mathbb{Z})$  is smooth over all but finitely many primes.

DO Corollary 2.2.13 and discussion following and the discussion following 2.2.15 but we.

## 4.5 Characteristic Classes

Let  $C$  be an algebraically closed field of characteristic 0.

Let  $X$  be a proper, connected, smooth  $C$ -scheme, equipped with a normal crossings divisor  $D$ . Fix a geometric point  $\bar{\eta}$  mapping to the generic point  $\eta \in X$ . Let  $\pi_1^{\text{ét}}(X, \bar{\eta}) \twoheadrightarrow G$  be a finite quotient. For any  $i$  there are canonical maps,

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(\pi_1^{\text{ét}}(X, \bar{\eta}), \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(X, \mathbb{F}_p)$$

where the first map is inflation and the second is induced by the comparison map between the finite étale and étale sites.

**Theorem 4.5.1** (D). Suppose that  $i < p - 2$  and  $X$  has unramified good reduction at  $p$ . Let  $G$  be a finite group and  $Y \rightarrow X$  a connected  $G$ -cover. Suppose that  $h_X^{i,0} \neq 0$  and that the map

$$H^i(G, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(X, \mathbb{F}_p)$$

is surjective. Then  $\operatorname{ed}(Y/X; p) \geq i$ . If  $X$  is an abelian variety the above also holds for  $i = p - 2$ .

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<sup>3</sup>meaning unramified over  $\mathbb{Z}_{(p)}$

*Proof.* Let  $X' \rightarrow X$  be a finite connected covering which has prime to  $p$  degree over  $\eta$ , and let  $\eta' \in X'$  be the generic point. We need to show that  $\text{ed}(Y'/X') \geq i$  where  $Y' = Y \times_X X'$ . Consider the composite

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(\pi_1^{\text{ét}}(X, \bar{\eta}), \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(X, \mathbb{F}_p) \rightarrow H^i(\eta, \mathbb{F}_p) \rightarrow H^i(\eta', \mathbb{F}_p)$$

Our assumptions imply that the composition of the first two maps is surjective. Since  $h_X^{i,0} \neq 0$  then Theorem B implies that the third map is nonzero. The composite of the fourth map with trace  $H^i(\eta', \mathbb{F}_p) \rightarrow H^i(\eta, \mathbb{F}_p)$  is multiplication by  $\deg X'/X$  which is coprime to  $p$  and hence invertible. Therefore, the fourth map must be injective so the composite is nonzero.

Suppose  $\text{ed}(Y'/X') < i$ . Then for some dense open  $W \subset X'$  there is a map of  $C$ -schemes  $W \rightarrow Z$  with  $\dim Z < i$  and a  $G$ -cover  $Y'_Z \rightarrow Z$  such that  $Y'|_W \cong Y'_Z \times_Z W$  as  $G$ -torsors. Shrinking  $Z$  and  $W$  if necessary, we may assume that  $Z$  is affine. The above constructions give a diagram

$$\begin{array}{ccc} H^i(G, \mathbb{F}_p) & \longrightarrow & H_{\text{ét}}^i(Z, \mathbb{F}_p) \\ \parallel & & \downarrow \\ H^i(G, \mathbb{F}_p) & \longrightarrow & H_{\text{ét}}^i(W, \mathbb{F}_p) \longrightarrow H_{\text{ét}}^i(\eta', \mathbb{F}_p) \end{array}$$

Since  $Z$  is affine of dimension  $< i$  it follows that  $H_{\text{ét}}^i(Z, \mathbb{F}_p) = 0$ . This implies that the composite of the maps in the bottom from is zero contradicting what we previously demonstrated.  $\square$

**Corollary 4.5.2.** Let  $A/C$  be an abelian variety of dimension  $g$ . Let  $p \geq g + 2$  and suppose that  $X$  has unramified good reduction at  $p$ . Then  $[p] : A \rightarrow A$  as a  $(\mathbb{Z}/p\mathbb{Z})^{2g}$ -cover has  $\text{ed}([p]; p) = g$ . In particular, this equality holds for almost all  $p$ .

*Proof.* By definition  $g = \dim X \geq \text{ed}([p]; p)$  so it suffices to prove that  $\text{ed}([p]; p) \geq g$ . Let  $G = (\mathbb{Z}/p\mathbb{Z})^{2g}$  be the quotient of  $\pi_1^{\text{ét}}(A, \bar{\eta})$  corresponding to  $[p] : A \rightarrow A$ . The map

$$H^i(G, \mathbb{F}_p) \rightarrow H_{\text{ét}}^i(A, \mathbb{F}_p)$$

is surjective because it is surjective on  $i = 1$  and  $H^\bullet(A, \mathbb{F}_p)$  is the exterior algebra generated in  $H^1(A, \mathbb{F}_p)$  by cup product. Since  $h^{g,0} = 1$  we conclude that  $\text{ed}([p]; p) \geq g$  by the previous theorem.  $\square$

## 4.6 Mod $p$ homology covers

We now specify our attention to when the  $G$ -cover  $Y \rightarrow X$  is the mod  $p$  homology cover of  $X$ . Recall that the mod  $p$  homology cover is given by the maximal quotient  $\pi_1^{\text{ét}}(X) \twoheadrightarrow (\mathbb{Z}/p\mathbb{Z})^{2g}$ . This is the same as the quotient by  $p$  of the abelianization of  $\pi_1^{\text{ét}}(X)$ . Note that this arises as follows,

$$\begin{array}{ccc} Y & \longrightarrow & \text{Alb}_X \\ \downarrow \lrcorner & & \downarrow \times p \\ X & \longrightarrow & \text{Alb}_X \end{array}$$

because  $\pi_1^{\text{ét}}(X) \rightarrow \pi_1^{\text{ét}}(\text{Alb}_X)$  **IS THIS TRUE IF THERE IS TORSION IN  $H^1$**

**Theorem 4.6.1 (E).** Suppose  $X$  has unramified good reduction at  $p$ . Suppose that  $i \leq \min\{h_X^{1,0}, \dim X\}$  and that  $p > \max\{i + 1, 3\}$ . Then the mod  $p$  homology cover  $Y \rightarrow X$  satisfies  $\text{ed}(Y/X; p) \geq i$ . In particular, if  $p > \max\{h_X^{1,0} + 1, 3\}$  then

$$\text{ed}(Y/X; p) \geq \min\{h_X^{1,0}, \dim X\}$$

*Remark.* Note the bounds are exactly those in Theorem C part (c).

*Proof.* As in the proof of Theorem D, let  $X' \rightarrow X$  be a finite connecting covering of degree prime to  $p$  over  $\eta$  and let  $\eta' \in X'$  be the generic point. Let  $G = \text{Gal}(Y/X)$ , and consider the composite map

$$\wedge^i H^1(G, \mathbb{F}_p) \xrightarrow{\sim} \wedge^i H_{\text{ét}}^1(X, \mathbb{F}_p) \rightarrow H^i(\eta, \mathbb{F}_p) \rightarrow H^i(\eta', \mathbb{F}_p)$$

By Theorem C, the second map is nonzero assuming  $i \leq h_X^{1,0}$ . The last map is injective since  $X' \rightarrow X$  has degree coprime to  $p$  over  $\eta$ . Since the composite map factors through  $H^i(G, \mathbb{F}_p)$ , it follows that

$$H^i(G, \mathbb{F}_p) \rightarrow H^i(\eta', \mathbb{F}_p)$$

is nonzero, which implies that  $\text{ed}(Y/X; p) \geq i$  as in the proof of Theorem D.  $\square$

**Corollary 4.6.2.** Let  $X$  be a projective  $C$ -scheme with unramified good reduction at  $p$ . Let  $b_1 = \dim_{\mathbb{Q}} H^1(X, \mathbb{Q})$  and suppose  $p > \max\{\frac{1}{2}b_1 + 1, 3\}$ . Then the mod  $p$  homology cover  $Y \rightarrow X$  satisfies

$$\text{ed}(Y/X; p) \geq \min\{\frac{1}{2}b_1, \dim X\}$$

*Proof.* Since  $X$  is projective, we have  $h_X^{1,0} = h_X^{0,1} = \frac{1}{2}b_1$ . Thus we reduce to the previous result.  $\square$

## 5 Mar 8

**Example 5.0.1.** Let  $(A, I) = (\mathbb{Z}_p, p)$  and  $X = \mathbb{A}_{\mathbb{F}_p}^1$ . Consider  $(B, IB) = (\mathbb{Z}_p \langle x \rangle, (p))$ . Where  $\mathbb{Z}_p \langle x \rangle$  is power series  $\sum a_i x^i$  whose coefficients  $a_i \rightarrow 0$  in the  $p$ -adic topology. We need to do this because  $\mathbb{Z}_p[x]$  is *not*  $p$ -adically complete. This defines an object in  $(X/A)_{\Delta}$ .