

# 1 Definitions

**Definition 1.0.1.** An *algebraic space* is a functor  $X : (\mathbf{Sch}_S)^{\text{op}}_{\text{fppf}} \rightarrow \mathbf{Set}$  such that,

- (a)  $F$  is a sheaf in the fppf topology
- (b) the diagonal  $\Delta_{X/S} : X \rightarrow X \times_S X$  is representable by schemes
- (c) there is a scheme  $U$  and an étale surjection  $U \twoheadrightarrow X$ .

**Definition 1.0.2.** An *algebraic stack* is a category fibered in groupoids  $\mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$  such that,

- (a)  $\mathcal{X}$  is a stack in the fppf topology
- (b)  $\Delta_{\mathcal{X}/S} : \mathcal{X} \rightarrow \mathcal{X} \times_S \mathcal{X}$  is representable by algebraic spaces
- (c) there is an algebraic space  $U$  and an étale surjection  $U \twoheadrightarrow \mathcal{X}$ .

*Remark.* The map  $U \rightarrow \mathcal{X}$  is only necessarily representable by algebraic spaces so to express the property of being an étale surjection consider any map from a scheme  $T \rightarrow \mathcal{X}$  and an étale cover from a scheme  $V \rightarrow U \times_{\mathcal{X}} T$  in the diagram,

$$\begin{array}{ccccc}
 & & \text{ét surj} & & \\
 & \searrow & & \nearrow & \\
 V & \longrightarrow & U \times_{\mathcal{X}} T & \longrightarrow & T \\
 & & \downarrow & & \downarrow \\
 & & U & \longrightarrow & \mathcal{X}
 \end{array}$$

This property is independent of the choice of étale cover  $V \rightarrow U \times_{\mathcal{X}} T$  by étale descent for étale surjective morphisms.

*Remark.* Why do we only require that  $\mathcal{X}$  be smooth locally an algebraic space and its diagonal be representable by only algebraic spaces? The diagonal is closely related to the automorphism groups of objects  $\mathcal{X}$  parametrizes.

(PRODUCTS OF STACKS)  
 (INERTIA)  
 (STABILIZERS)

# 2 Presentations

**Proposition 2.0.1.** Let  $X$  be an algebraic space over  $S$  and  $f : U \twoheadrightarrow X$  an étale surjection from a scheme  $U$ . Set  $R = U \times_X U$  in the pullback diagram,

$$\begin{array}{ccc}
 R & \longrightarrow & U \\
 \downarrow & & \downarrow \\
 U & \longrightarrow & X
 \end{array}$$

then we have,

- (a)  $j : R \rightarrow U \times_S U$  is a monomorphism and  $R(T) \subset U(T) \times U(T)$  is an equivalence relation for all  $T \rightarrow S$

- (b) the projections  $s, t : R \rightarrow U$  are étale
- (c) the diagram,

$$R \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} U \longrightarrow X$$

is a coequalizer in  $\mathrm{Sh}((\mathbf{Sch}_S)_{\mathrm{fppf}})$ .

*Proof.* The first two are immediate

The last follows in any category of sheaves given that  $U \rightarrow X$  is surjective.  $\square$

(PRESENTATIONS OF STACKS)

### 3 Examples

(BG) (MG) (AG)

## 4 Infinitesimal Deformation Theory

*Remark.* First we recall how to apply infinitesimal deformation theory in the relative setting. In the basic case, we want to probe properties of a morphisms of schemes  $f : X \rightarrow S$  near a finite type point  $x : \mathrm{Spec}(k) \rightarrow S$ . There is some affine open  $\mathrm{Spec}(\Lambda) \subset X$  containing  $x$ . Then we need to consider Artinian local rings  $A$  and diagrams,

$$\begin{array}{ccccccc} & & & & & & X \\ & & & & & & \downarrow f \\ \mathrm{Spec}(k) & \longrightarrow & \mathrm{Spec}(A) & \xrightarrow{\quad} & \mathrm{Spec}(\Lambda) & \hookrightarrow & S \end{array}$$

and consider the set of dashed arrows. This means our base category should be the category of local Artinian  $\Lambda$ -algebras with residue field  $k$ .

**Definition 4.0.1.** Let  $\Lambda$  be a Noetherian ring and  $\Lambda \rightarrow k$  a finite ring map with  $k$  a field. Let  $\mathcal{C}_\Lambda$  be the category of,

- (a)  $(A, \varphi)$  where  $A$  is an Artinian local  $\Lambda$ -algebra and  $\varphi : A/\mathfrak{m}_A \rightarrow k$  a  $\Lambda$ -algebra isomorphism
- (b) morphisms  $f : (B, \psi) \rightarrow (A, \varphi)$  are local  $\Lambda$ -algebra maps such that  $\varphi \circ (f \bmod \mathfrak{m}_A) = \psi$

*Remark.* As in the absolute case (which corresponds to  $\Lambda = k$ ) we can factor any extension  $B \twoheadrightarrow A$  into *small* extensions  $\varphi : B' \twoheadrightarrow A$  where  $\ker \varphi$  is principal and annihilated by  $\mathfrak{m}_B$ .

**Definition 4.0.2.** Let  $\Lambda$  be a Noetherian ring and let  $\Lambda \rightarrow k$  be a finite ring map where  $k$  is a field. Define the category  $\widehat{\mathcal{C}}_\Lambda$  of,

- (a) pairs  $(R, \varphi)$  where  $R$  is a Noetherian complete local  $\Lambda$ -algebra and  $\varphi : R/\mathfrak{m}_R \rightarrow k$  is a  $\Lambda$ -algebra isomorphism,
- (b) morphisms  $f : (S, \psi) \rightarrow (R, \varphi)$  are local  $\Lambda$ -algebra map such that  $\varphi \circ (f \bmod \mathfrak{m}_S) = \psi$ .

*Remark.* Then  $\mathcal{C}_\Lambda \subset \widehat{\mathcal{C}}_\Lambda$  is naturally a full subcategory.

## 4.1 Deformation Functors

**Definition 4.1.1.** A *predeformation functor* is a functor  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  such that  $F(k) = \{*\}$ .

*Remark.* The condition  $F(k) = \{*\}$  corresponds to choosing a fixed base object for the deformations.

**Definition 4.1.2.** Given a predeformation functor  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  we extend it to  $\widehat{F} : \widehat{\mathcal{C}}_\Lambda \rightarrow \text{Set}$  via,

$$\widehat{F}(R) = \varprojlim_n F(R/\mathfrak{m}_R^n)$$

A functor  $F$  is *pro-representable* if  $\widehat{F}$  is representable.

**Definition 4.1.3.** Let  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  be a predeformation functor. A *hull*<sup>1</sup> for  $F$  is a pair  $(R, \eta)$  where  $R \in \widehat{\mathcal{C}}_\Lambda$  and  $\eta \in \widehat{F}(R)$  such that  $h_R \rightarrow F$  is formally smooth and bijective on tangent spaces.

*Remark.* Let  $k[\epsilon]$  be the ring  $k[\epsilon]/(\epsilon^2)$  with the trivial  $\Lambda$ -algebra structure.

**Definition 4.1.4.** Let  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  be a predeformation functor. If  $A' \rightarrow A$  and  $A'' \rightarrow A$  are morphisms in  $\mathcal{C}_\Lambda$  there is a natural map,

$$(*) \quad F(A' \times_A A'') \rightarrow F(A') \times_{F(A)} F(A'')$$

Then Schlessinger's conditions are as follows,

- (H1) if  $A'' \twoheadrightarrow A$  is a small thickening then  $(*)$  is surjective
- (H2) if  $A = k$  and  $A'' = k[\epsilon]$  then  $(*)$  is bijective
- (H3)  $T_F = F(k[\epsilon])$  is finite-dimensional
- (H4) if  $A'' = A'$  and  $A' \rightarrow A$  is a small thickening, then  $(*)$  is bijective.

**Definition 4.1.5.** A predeformation functor  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  is a *deformation functor* if it satisfies (H1) and (H2).

**Theorem 4.1.6** (Schlessinger). Let  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  be a deformation functor. Then,

- (a)  $F$  admits a hull if and only if it satisfies (H3)
- (b)  $F$  is prorepresentable if and only if it satisfies (H3) and (H4).

**Example 4.1.7.** Let  $X$  be a scheme, the functor,

$$\text{Def}_X : A \mapsto \{(X', \varphi) \mid X' \text{ flat } A\text{-scheme with } \varphi : X' \otimes_A k' \xrightarrow{\sim} X\} / \cong$$

is a deformation functor.

**Example 4.1.8.** Let  $X = \text{Spec}(k[x, y]/(xy))$  and  $F = \text{Def}_X$ . If  $A$  is a finite type  $k$ -algebra and  $P \twoheadrightarrow A$  is a presentation from a polynomial ring with kernel  $K$  then [H, Ex. 9.8] shows that,

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<sup>1</sup>Some authors use the terminology *miniversal* formal object. However, in the deformation category setting, a minimal versal object may not induce an isomorphism of the tangent space so we reserve the term *miniversal* for a minimal versal object see Tag 06T0.

$$\mathrm{Hom}_A(\Omega_{P/k} \otimes_k A, A) \longrightarrow \mathrm{Hom}_A(J/J^2, A) \longrightarrow T_{\mathrm{Def}_A} \longrightarrow 0$$

arising from the conormal exact sequence,

$$J/J^2 \longrightarrow \Omega_{P/k} \otimes_P A \longrightarrow \Omega_{A/k} \longrightarrow 0$$

In our case, let  $P = k[x, y]$  and  $J = (xy)$ . Then we have,

$$A\partial_x \oplus A\partial_y \longrightarrow A \longrightarrow T_{\mathrm{Def}_A} \longrightarrow 0$$

and therefore  $T_{\mathrm{Def}_A} = A/(x, y) = k$ . Thus  $\mathrm{Def}_X$  satisfies (H1) - (H3) so it should have a hull. Indeed,

$$(k[[t]], \mathrm{Spf}(k[[t]][x, y]/(xy - t)))$$

is a hull (note the formal object is effective). Let's first understand why this hull is not a pro-representing object. For any map,  $\varphi : k[[t]] \rightarrow A$  the induced object,

$$\varphi_*(\mathrm{Spf}(k[[t]][x, y]/(xy - t))) = \mathrm{Spec}(A[x, y]/(xy - \varphi(t)))$$

is unchanged (in isomorphism class) if we replace  $\varphi$  by  $\varphi' = u\varphi$  for any unit  $u \in A$  since then we can scale  $x$  or  $y$  to remove  $u$ . However, recall that a deformation  $X'$  is equipped with a distinguished isomorphism  $\varphi : X' \otimes_A k \xrightarrow{\sim} X$  with which isomorphisms of deformations must be compatible. Therefore,  $\varphi' = u\varphi$  and  $\varphi$  define the same deformation if  $u \in A^\times$  is a unit and  $u \equiv 1 \pmod{\mathfrak{m}_A}$ . Therefore, the map,  $h_R \rightarrow \mathrm{Def}_X$  is not injective for general  $A$  but is injective for  $A = k[\epsilon]$  (since  $(1 + a\epsilon) \cdot \epsilon = \epsilon$  so multiplication by such  $a$  does nothing) as must be true for a hull.

However  $\mathrm{Def}_X$  is not pro-representable since it does not satisfy (H4). Indeed, consider  $A = k[\epsilon]/(\epsilon^3)$  and consider,

$$\mathrm{Def}_X(A \times_k A) \rightarrow \mathrm{Def}_X(A) \times \mathrm{Def}_X(A)$$

I claim this is not injective. Indeed,  $t = \epsilon_1 + \epsilon_2$  and  $t = \epsilon_1 + \epsilon_2 + \epsilon_1\epsilon_2$  map to the same pair of deformations but I claim they are not related by such a unit. Write,

$$u = 1 + a\epsilon_1 + b\epsilon_2 + O(\epsilon^2)$$

then,

$$u(\epsilon_1 + \epsilon_2) = \epsilon_1 + \epsilon_2 + a\epsilon_1^2 + (a + b)\epsilon_1\epsilon_2 + b\epsilon_2^2 + O(\epsilon^3)$$

and we cannot have  $a = b = 0$  but  $a + b = 1$ .

*Remark.* The above illustrates why it is necessary to define deformations of a scheme as equipped with a distinguished isomorphism  $\varphi : X' \otimes_A k \xrightarrow{\sim} X$  otherwise  $\mathrm{Def}_X$  will not be a deformation functor.

## 4.2 Deformation Categories

**Definition 4.2.1.** A *predeformation category* is a category cofibered in groupoids  $\mathcal{F} \rightarrow \mathcal{C}_A$  such that  $\mathcal{F}(k)$  is equivalent to the trivial category.

*Remark.* Let  $\mathcal{F}$  be a predeformation category and  $x_0 \in \mathcal{F}(k)$ . Then for any  $x \in \mathcal{F}$  over  $A$  let  $q : A \rightarrow k$  then there is a pushforward  $x \rightarrow q_*x$  and  $q_*x \in \mathcal{F}(k)$  so there is a unique isomorphism  $q_*x \xrightarrow{\sim} x_0$  and hence there is a canonical morphism  $x \rightarrow x_0$  in  $\mathcal{F}$ .

*Remark.* If  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  is a predeformation functor then the associated cofibered set  $\mathcal{F}_F \rightarrow \mathcal{C}_\Lambda$  is a predeformation category. Likewise, if  $\mathcal{F} \rightarrow \mathcal{C}_\Lambda$  is a predeformation category then the functor of isomorphism classes  $\overline{\mathcal{F}} : \mathcal{C}_\Lambda \rightarrow \text{Set}$  is a predeformation functor.

**Definition 4.2.2.** Let  $\mathcal{F} \rightarrow \mathcal{C}_\Lambda$  be a category cofibered in groupoids. The *category of formal objects* of  $\widehat{\mathcal{F}}$  is the category of,

- (a) formal objects  $(R, \xi_n, f_n)$  consists of an object  $R \in \widehat{\mathcal{C}}_\Lambda$ , and objects  $\xi_n \in \mathcal{F}(R/\mathfrak{m}_R^n)$  and morphisms  $f_n : \xi_{n+1} \rightarrow \xi_n$  over the projection  $R/\mathfrak{m}_R^{n+1} \rightarrow R/\mathfrak{m}_R^n$
- (b) morphisms  $a : (R, \xi_n, f_n) \rightarrow (S, \eta_n, g_n)$  consists of a map  $a_0 : R \rightarrow S$  in  $\widehat{\mathcal{C}}_\Lambda$  and a collection  $a_n : \xi_n \rightarrow \eta_n$  of morphisms in  $\mathcal{F}$  lying over  $R/\mathfrak{m}_R^n \rightarrow S/\mathfrak{m}_S^n$  such that the diagrams,

$$\begin{array}{ccc} \xi_{n+1} & \xrightarrow{f_n} & \xi_n \\ \downarrow a_{n+1} & & \downarrow a_n \\ \eta_{n+1} & \xrightarrow{g_n} & \eta_n \end{array}$$

commute for each  $n \in \mathbb{N}$ .

**Proposition 4.2.3.** The formal objects forms a category cofibered in groupoids  $\hat{p} : \widehat{\mathcal{F}} \rightarrow \widehat{\mathcal{C}}_\Lambda$ .

**Definition 4.2.4.** Let  $p : \mathcal{F} \rightarrow \mathcal{C}_\Lambda$  be a category cofibered in groupoids. We say that  $\mathcal{F}$  satisfies the *Rim-Schlessinger (RS) condition* if for all  $A_1 \rightarrow A$  and  $A_2 \rightarrow A$  in  $\mathcal{C}_\Lambda$  with  $A_2 \rightarrow A$  surjective,

$$\mathcal{F}(A_1 \times_A A_2) \rightarrow \mathcal{F}(A_1) \times_{\mathcal{F}(A)} \mathcal{F}(A_2)$$

is an equivalence. A *deformation category* is a predeformation category  $\mathcal{F}$  satisfying (RS).

**Lemma 4.2.5.** The RS condition is equivalent to: for every diagram in  $\mathcal{F}$ ,

$$\begin{array}{ccc} & x_2 & \\ & \downarrow & \\ x_1 & \longrightarrow & x \end{array} \quad \text{lying over} \quad \begin{array}{ccc} & A_2 & \\ & \downarrow & \\ A_1 & \longrightarrow & A \end{array}$$

in  $\mathcal{C}_\Lambda$  with  $A_2 \rightarrow A$  surjective, there exists a fiber product  $x_1 \times_x x_2$  in  $\mathcal{F}$  such that the diagram,

$$\begin{array}{ccc} x_1 \times_x x_2 & \longrightarrow & x_2 \\ \downarrow & & \downarrow \\ x_1 & \longrightarrow & x \end{array} \quad \text{lying over} \quad \begin{array}{ccc} A_1 \times_A A_2 & \longrightarrow & A_2 \\ \downarrow & & \downarrow \\ A_1 & \longrightarrow & A \end{array}$$

**Lemma 4.2.6.** Let  $F : \mathcal{C}_\Lambda \rightarrow \text{Set}$  be a predeformation functor then if the associated predeformation category  $\mathcal{F}_F$  satisfies (RS) then  $F$  satisfies (H1), (H2), and (H4).

*Remark.* IS IT ACTUALLY EQUIVALENT e.g. <https://stacks.math.columbia.edu/tag/06J1>

**Lemma 4.2.7.** If  $\mathcal{X} \rightarrow S$  is an algebraic stack then for any  $\text{Spec}(k) \rightarrow S$  and  $x_0 \in \mathcal{X}(k)$  the deformation category  $\mathcal{F}_{\mathcal{X}, k, x_0}$  satisfies (RS).

*Remark.* By Schlessinger's theorem, this is telling us that a deformation functor  $F = D_{X, x_0}$  represented by some pointed algebraic space  $x_0 \in X$  is pro-representable. So even though  $X$  does not have a canonical local ring it does have a formal local ring  $\widehat{\mathcal{O}_{X, x_0}}$ . We can calculate it from the formal local ring of any étale cover  $U \rightarrow X$ . This is well-defined because for two étale covers  $U_1 \rightarrow X$  and  $U_2 \rightarrow X$  we have  $U_1 \times_X U_2$  is an étale cover of both and these maps identify the formal local rings.

### 4.3 Versality

*Remark.* A versal object is a universal object without the “uni” i.e. without the uniqueness.

**Definition 4.3.1.** A morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  of categories cofibered in groupoids over  $\mathcal{C}_\Lambda$  is *smooth* if for every extension  $B \twoheadrightarrow A$  in  $\mathcal{C}_\Lambda$  the map,

$$\mathcal{F}(B) \rightarrow \mathcal{F}(A) \times_{\mathcal{G}(A)} \mathcal{F}(B)$$

is essentially surjective.

*Remark.* This is basically the formal lifting criterion for formal smoothness. Indeed, if these deformation categories are induced by the representable functors for a morphism of schemes  $f : X \rightarrow Y$  then we get that,

$$X(B) \rightarrow X(A) \times_{Y(A)} Y(B)$$

is surjective which is equivalent to there existing a dashed arrow in each lifting diagram,

$$\begin{array}{ccc} \mathrm{Spec}(A) & \longrightarrow & X \\ \downarrow & \nearrow \text{dashed} & \downarrow f \\ \mathrm{Spec}(B) & \longrightarrow & Y \end{array}$$

**Lemma 4.3.2.** Smoothness of  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is equivalent to the following explicit condition. For every surjection  $B \twoheadrightarrow A$  in  $\mathcal{C}_\Lambda$  and  $y \in \mathcal{G}(B)$  and  $x \in \mathcal{F}(A)$  equipped with a map  $y \rightarrow \varphi(x)$  over  $B \twoheadrightarrow A$  there is  $x' \in \mathcal{F}(B)$  and a morphism  $x' \rightarrow x$  over  $B \twoheadrightarrow A$  and a morphism  $\varphi(x') \rightarrow y$  over  $\mathrm{id} : B \rightarrow V$  such that,

$$\begin{array}{ccc} \varphi(x') & \longrightarrow & y \\ & \searrow & \downarrow \\ & & \varphi(x) \end{array}$$

**Definition 4.3.3.** Let  $R \in \widehat{\mathcal{C}}_\Lambda$ . We say  $\xi \in \widehat{\mathcal{F}}(R)$  is *versal* if the morphism  $\xi : \underline{R}|_{\mathcal{C}_\Lambda} \rightarrow \mathcal{F}$  defined by  $\xi$  is smooth.

*Remark.* The morphism is defined as follows. For any  $A \in \mathcal{C}_\Lambda$  and map  $\varphi : R \rightarrow A$  it will factor as  $\varphi_n : R/\mathfrak{m}^n \rightarrow A$  we send  $(A, \varphi) \mapsto (\varphi_n)_* \xi_n$ . The compatibility isomorphisms of the formal object  $\xi$  make this well-defined.

*Remark.* Let  $\xi$  be a formal object of  $\mathcal{F}$ . Versality of  $\xi$  is equivalent to: the existence of a dashed arrow for any diagram,

$$\begin{array}{ccc} & & y \\ & \nearrow \text{dashed} & \downarrow \\ \xi & \longrightarrow & x \end{array}$$

in  $\widehat{\mathcal{F}}$  such that  $y \rightarrow x$  lies over a surjective map  $B \twoheadrightarrow A$  of Artinian rings.

**Theorem 4.3.4** (Rim-Schlessinger). A deformation category  $\mathcal{F}$  with  $T\mathcal{F} = \overline{\mathcal{F}}(k[\epsilon])$  is finite dimensional admits a versal formal object.

(DO SOME EXAMPLES!!!)

**Definition 4.3.5.** Given a category fibered in groupoids,

$$p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$$

and

<https://stacks.math.columbia.edu/tag/07T2>

(DEF DEF CAT AND VERSAL)

**Definition 4.3.6.** Let  $S$  be a locally noetherian scheme and  $p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$  a category fibered in groupoids. We say  $\mathcal{X}$  satisfies *opennes of versality* if given a scheme  $U$  locally of finite type over  $S$ , an open  $x \in \mathcal{X}(U)$ , and a finite type point  $u_0 \in U$  such that  $x$  is versal at  $u_0$  then there is exists an open neighborhood  $u_0 \in U' \subset U$  such that  $x$  is versal at every finite type point of  $U'$ .

(EXAMPLES)

## 4.4 Effectivity

**Definition 4.4.1.** We say a formal object  $\xi = (R, \xi_n, f_n) \in \widehat{\mathcal{F}}_{\mathcal{X}, k, x_0}$  is *effective* if it arises from some  $\tilde{\xi} \in \mathcal{X}(R)$ .

**Lemma 4.4.2.** If  $\mathcal{X}$  is an algebraic stack then every formal object is effective.

*Proof.* First, if  $X$  is a scheme then for all local rings  $R$  factoring  $\text{Spec}(k) \rightarrow X$  the map corresponds to  $\text{Spec}(R) \rightarrow \text{Spec}(\mathcal{O}_{X, x}) \rightarrow X$  so if  $R$  is complete,

$$X(R) = \text{Hom}_{\text{loc}}(\mathcal{O}_{X, x}, R) = \varprojlim_n \text{Hom}_{\text{loc}}(\mathcal{O}_{X, x}, R/\mathfrak{m}_R^n) = \varprojlim_n X(R/\mathfrak{m}_R^n)$$

Now in general, choose a smooth cover  $\pi : U \rightarrow \mathcal{X}$  from a scheme.

<https://stacks.math.columbia.edu/tag/07X3>

□

## 5 Artin's Axioms

**Theorem 5.0.1** (Artin). Let  $S$  be a locally noetherian scheme and  $p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$  a category fibered in groupoids. Let  $R$  be a Noetherian complete local ring with redicue field  $k$  with  $\text{Spec}(R) \rightarrow S$  finite type and  $x \in \mathcal{X}(R)$ . Let  $s \in S$  be the image of  $\text{Spec}(k) \rightarrow \text{Spec}(R) \rightarrow S$ . Assume that,

- (a)  $\mathcal{O}_{S, s}$  is a  $G$ -ring
- (b)  $p$  is limit-preserving on objects.

Then for every  $N \geq 1$  there exist,

- (a) a finite type  $S$ -algebra  $A$
- (b) a maximal ideal  $\mathfrak{m}_A \subset A$
- (c) an object  $x_A \in \mathcal{X}(A)$
- (d) an  $S$ -isomorphism  $R/\mathfrak{m}_R^N \xrightarrow{\sim} A/\mathfrak{m}_A^N$

- (e) an isomorphism  $x|_{R/\mathfrak{m}_R^N} \xrightarrow{\sim} x_A|_{A/\mathfrak{m}_A^N}$  over the previous map
- (f) an isomorphism  $\mathbf{gr}_{\mathfrak{m}_R}(R) \xrightarrow{\sim} \mathbf{gr}_{\mathfrak{m}_A}(A)$  of graded  $k$ -algebras.

**Lemma 5.0.2.** Let  $S$  be a locally noetherian scheme and  $p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$  a category fibered in groupoids. Let  $\xi$  be a formal object of  $\mathcal{X}$  with  $x_0 = \xi_1$  lying over  $\text{Spec}(k) \rightarrow S$  with image  $s \in S$  such that,

- (a)  $\xi$  is versal
- (b)  $\xi$  is effective
- (c)  $\mathcal{O}_{S,s}$  is a  $G$ -ring
- (d)  $p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$  is limit-preserving

then there exists a finite type morphism  $U \rightarrow S$ , a finite type point  $u_0 \in U$  with residue field  $k$  and  $x \in \mathcal{X}(U)$  such that  $x : U \rightarrow \mathcal{X}$  is versal at  $u_0$  and  $x|_{\text{Spec}(\mathcal{O}_{U,u_0})}$  induces  $\xi$ .

*Proof.* Choose an object  $x_R \in \mathcal{X}(R)$  whose completion is  $\xi$ . Apply Artin approximation with  $N = 2$  to obtain  $A, \mathfrak{m}_A, x_A \in \mathcal{X}(A)$  approximating  $\xi$ . Let  $\eta$  be the formal object completing  $x_A|_{\text{Spec}(\hat{A})}$  (the completion of  $A$  at  $\mathfrak{m}_A$ ). Then a lift for the diagram in  $\widehat{\mathcal{F}}_{\mathcal{X},k,x_0}$ ,

$$\begin{array}{ccc}
 & \nearrow \eta & \\
 \xi & \xrightarrow{\quad} \xi_2 = \eta_2 & \downarrow \\
 & & \text{lying over}
 \end{array}
 \quad
 \begin{array}{ccc}
 & \nearrow \hat{A} & \\
 R & \xrightarrow{\quad} R/\mathfrak{m}_R^2 = A/\mathfrak{m}_A^2 & \downarrow
 \end{array}$$

exists because  $\xi$  is versal. Since the map  $R \rightarrow \hat{A}$  induces an isomorphism on tangent spaces and by construction  $\dim_k \mathfrak{m}_R^n/\mathfrak{m}_R^{n+1} = \dim_k \mathfrak{m}_A^n/\mathfrak{m}_A^{n+1}$  we conclude that  $R \rightarrow \hat{A}$  is an isomorphism. Hence  $\eta \cong \xi$  is versal so the map  $x_A : \text{Spec}(\hat{A}) \rightarrow \mathcal{X}$  is versal at  $x_A|_{\widehat{\text{Spec}(\hat{A})}} = \eta$ .  $\square$

**Theorem 5.0.3.** Let  $S$  be a locally Noetherian base scheme and consider a category cofibered in groupoids,

$$p : \mathcal{X} \rightarrow (\mathbf{Sch}_S)_{\text{fppf}}$$

For each finite type morphism  $\text{Spec}(k) \rightarrow S$  with  $k$  a field and  $x_0 \in \mathcal{X}(\text{Spec}(k))$  assume that,

- (a)  $\mathcal{X}$  is a stack for the étale topology
- (b)  $\Delta_{\mathcal{X}/S} : \mathcal{X} \rightarrow \mathcal{X} \times_S \mathcal{X}$  is representable by algebraic spaces
- (c)  $\mathcal{X}$  is limit preserving (preserves filtered colimits)
- (d)  $\mathcal{X}$  satisfies the Rim-Schlessinger condition (RS)
- (e)  $T\mathcal{F}_{\mathcal{X},k,x_0}$  and  $\text{Inf}\mathcal{F}_{\mathcal{X},k,x_0}$  are finite dimensional for all  $k$  and all  $x_0 \in \mathcal{F}(k)$
- (f) every formal object of  $\mathcal{X}$  is effective
- (g)  $\mathcal{X}$  satisfies openness of versality
- (h)  $\mathcal{O}_{S,s}$  is a  $G$ -ring for all finite type points  $s \in S$



(i) a set theoretic condition

then  $\mathcal{X}$  is an algebraic stack.

*Proof.* It suffices to show that for each finite type  $\mathrm{Spec}(k) \rightarrow S$  and  $x_0 \in \mathcal{X}(k)$  there is a finite type morphism  $U \rightarrow S$  and a smooth map  $U \rightarrow \mathcal{X}$  such that there is a finite type point  $u_0 : \mathrm{Spec}(k) \rightarrow U$  such that  $x|_{u_0} \cong x_0$ .

By Rim-Schelssinger  $\mathcal{F}_{\mathcal{X},k,x_0}$  admits a versal formal object  $\xi$  which is then effective. Artin approximation allows us to approximate an effective formal object by a finite type object  $U \rightarrow \mathcal{X}$  which is versal at  $u_0 \in U$ . By openness of versality, we can shrink  $U$  such that  $U \rightarrow \mathcal{X}$  is versal at every finite type point.

Finally, prove that a representable morphism  $f : \mathcal{X} \rightarrow \mathcal{Y}$  of limit preserving categories fibered in groupoids which is smooth on deformation categories is smooth (Tag 07XX. Indeed, for  $T \rightarrow \mathcal{Y}$  the condition says that  $f : \mathcal{X}_T \rightarrow T$  is a formally smooth map of algebraic spaces<sup>2</sup> and the limit-preserving condition gives finitely presented.  $\square$

(WHERE NEED  $\mathrm{INF}(X)$  bounded?)  
(EXAMPLES)

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<sup>2</sup>There is a subtilty here with changing fields that requires the full strength of (RS) where as proving that a versal object exists only requires (S1) and (S2) and finite-dimensionality of tangent spaces