

**Physics GR6037 Quantum Mechanics I**  
**Assignment # 2**

Benjamin Church

October 12, 2017

**Problem 3.**

Let an operator  $O$  on a 3 dimensional vector space be given as

$$O = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

(a). Let  $\det(I\lambda - O) = 0$  then  $\lambda^3 - 2\lambda = 0$  so  $\lambda = 0, \pm\sqrt{2}$

For  $\lambda = 0$ ,

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} b \\ a + c \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Therefore,  $a = -c$  and  $b = 0$  so

$$|v_0\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

For  $\lambda = \sqrt{2}$ ,

$$\begin{pmatrix} -\sqrt{2} & 1 & 0 \\ 1 & -\sqrt{2} & 1 \\ 0 & 1 & -\sqrt{2} \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} -\sqrt{2}a + b \\ a - \sqrt{2}b + c \\ b - \sqrt{2}c \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Therefore,  $b = a\sqrt{2}$  and  $c = a$  so

$$|v_{\sqrt{2}}\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}$$

For  $\lambda = -\sqrt{2}$ ,

$$\begin{pmatrix} \sqrt{2} & 1 & 0 \\ 1 & \sqrt{2} & 1 \\ 0 & 1 & \sqrt{2} \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} \sqrt{2}a + b \\ a + \sqrt{2}b + c \\ b + \sqrt{2}c \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Therefore,  $b = -\sqrt{2}a$  and  $c = a$  so

$$|v_{-\sqrt{2}}\rangle = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

$$(b). \mathbf{P}(\lambda = 0) = |\langle v_0 | \psi \rangle|^2 = \left( \frac{1}{2}(1 + 0 + 0) \right)^2 = \frac{1}{4}$$

$$\mathbf{P}(\lambda = \sqrt{2}) = |\langle v_{\sqrt{2}} | \psi \rangle|^2 = \left( \frac{1}{2\sqrt{2}}(1 + \sqrt{2} + 0) \right)^2 = \frac{1}{8}(3 + 2\sqrt{2})$$

$$\mathbf{P}(\lambda = -\sqrt{2}) = |\langle v_{-\sqrt{2}} | \psi \rangle|^2 = \left( \frac{1}{2\sqrt{2}}(1 - \sqrt{2} + 0) \right)^2 = \frac{1}{8}(3 - 2\sqrt{2})$$

## Problem 4.

- (a). For every  $\lambda$ ,  $E(\lambda)$  is an orthogonal projection so  $E(\lambda)^2 = E(\lambda)$  and  $E(\lambda)^\dagger = E(\lambda)$ . Now consider  $\langle \psi | E(\lambda) | \psi \rangle = \langle \psi | E(\lambda)E(\lambda) | \psi \rangle = \langle E(\lambda)\psi | E(\lambda)\psi \rangle \geq 0$  by Hermiticity and positive definiteness.

Also, let  $\lambda_1 < \lambda_2$  then

$$\begin{aligned} \langle \psi | E(\lambda_2) | \psi \rangle &= \langle \psi | E(\lambda_2) - E(\lambda_1) | \psi \rangle + \langle \psi | E(\lambda_1) | \psi \rangle \text{ but} \\ \langle \psi | E(\lambda_2) - E(\lambda_1) | \psi \rangle &= \langle \psi | (E(\lambda_2) - E(\lambda_1))^2 | \psi \rangle = |(E(\lambda_2) - E(\lambda_1)) | \psi \rangle|^2 \geq 0 \end{aligned}$$

Therefore,  $\langle \psi | E(\lambda_2) | \psi \rangle \geq \langle \psi | E(\lambda_1) | \psi \rangle$

- (b). Let

$$\begin{aligned} F &= \int_{-\infty}^{\infty} \lambda \, dE_F(\lambda) \text{ then } F^2 = \int_{-\infty}^{\infty} \lambda \frac{dE_F(\lambda)}{d\lambda} d\lambda \int_{-\infty}^{\infty} \lambda' \frac{dE_F(\lambda')}{d\lambda'} d\lambda' \\ &= \int \int_{-\infty}^{\infty} \lambda \lambda' |\lambda\rangle \langle \lambda | \lambda'\rangle \langle \lambda' | d\lambda d\lambda' = \int_{-\infty}^{\infty} \lambda^2 |\lambda\rangle \langle \lambda | d\lambda = \int_{-\infty}^{\infty} \lambda^2 \frac{dE_F(\lambda)}{d\lambda} d\lambda \end{aligned}$$

Now, the eigenvectors of  $F^2$  are  $\xi = \lambda^2$ . Then  $F^2 = \int_0^{\infty} \lambda^2 \frac{dE_F(\lambda)}{d\lambda} d\lambda + \int_{-\infty}^0 \lambda^2 \frac{dE_F(\lambda)}{d\lambda} d\lambda$ . In the first integral, reparametrize by  $\lambda = \sqrt{\xi}$  and in the second,  $\lambda = -\sqrt{\xi}$ . Thus,

$$\begin{aligned} F^2 &= \int_0^{\infty} \xi \frac{dE_F(\sqrt{\xi})}{d\xi} \frac{d\xi}{d\lambda} \frac{d\lambda}{d\xi} d\xi + \int_{-\infty}^0 \xi \frac{dE_F(-\sqrt{\xi})}{d\xi} \frac{d\xi}{d\lambda} \frac{d\lambda}{d\xi} d\xi \\ &= \int_0^{\infty} \xi \frac{dE_F(\sqrt{\xi})}{d\xi} d\xi - \int_0^{\infty} \xi \frac{dE_F(-\sqrt{\xi})}{d\xi} d\xi \int_0^{\infty} \xi \left[ \frac{dE_F(\sqrt{\xi})}{d\xi} - \frac{dE_F(-\sqrt{\xi})}{d\xi} \right] d\xi \\ &= \int_0^{\infty} \xi \frac{d}{d\xi} [E(\sqrt{\xi}) - E(-\sqrt{\xi})] d\xi \end{aligned}$$

This is a resolution of the identity for  $F^2$  if we let  $E_{F^2}(\xi) = E_F(\sqrt{\xi}) - E_F(-\sqrt{\xi})$  for  $\xi \geq 0$  and  $E_{F^2} = \mathbf{0}$  for  $\xi < 0$ .

## Problem 5.

Let both  $A$  and  $B$  be commuting Hermitian operators with complete spectra:

$$A |n_A\rangle = a_n |n_A\rangle \text{ and } B |n_B\rangle = b_n |n_B\rangle$$

- (a). Suppose that  $A$  has a non-degenerate spectrum. Then  $AB|n_A\rangle = BA|n_A\rangle = Ba_n|n_A\rangle$ . Thus,  $A(B|n_A\rangle) = a_n(B|n_A\rangle)$  so  $B|n_A\rangle$  is an eigenvector with of  $A$  with eigenvalue  $a_n$ . Since there is no degeneracy,  $B|a_n\rangle = \omega|n_A\rangle$  and therefore,  $|n_A\rangle$  is also an eigenvector for  $B$  so the basis  $\{|n_A\rangle\}$  consists of eigenvectors of both  $A$  and  $B$ .
- (b). let  $V_\lambda^A = \{|v\rangle \in \mathcal{H} \mid A|v\rangle = \lambda|v\rangle\}$ . For any  $|v\rangle \in V_\lambda^A$  take  $AB|v\rangle = BA|v\rangle = B\lambda|v\rangle$ . Thus,  $A(B|v\rangle) = \lambda(B|v\rangle)$  so  $B|v\rangle \in V_\lambda^A$ . Therefore, restricting  $B$  to the subspace  $V_\lambda^A$  which by assumption is finite dimensional, we get a linear map  $B : V_\lambda^A \rightarrow V_\lambda^A$  which is Hermitian on finite dimensional spaces. Thus, by the finite dimensional spectral theorem (problem 6), there exists a basis of  $V_\lambda^A$  consisting of eigenvectors of  $B$  namely,  $\{|w_1^\lambda\rangle, \dots, |w_{n_\lambda}^\lambda\rangle\}$ . Now since  $\text{span}\{|w_1^\lambda\rangle, \dots, |w_{n_\lambda}^\lambda\rangle\} = V_\lambda^A$  then since every  $|n_A\rangle \in V_{a_n}^A$  then

$$\bigcup_{\lambda \in \{a_n\}} \{|w_1^\lambda\rangle, \dots, |w_{n_\lambda}^\lambda\rangle\}$$

Is a complete set because every  $|n_A\rangle$  is contained in its span. However each vector in the set is an eigenvector of  $B$  by construction. Also,  $|w_i^\lambda\rangle \in V_\lambda^A$  so  $A|w_i^\lambda\rangle = \lambda|w_i^\lambda\rangle$  so  $|w_i^\lambda\rangle$  is also an eigenvector of  $A$ .

- (c). Since the eigenvectors of  $A$  span the entire space, the problem is reduced to diagonalizing  $B$  in each eigenspace of  $A$ . Then these vectors will be simultaneous eigenvectors of  $A$  and  $B$  and will span each eigenspace and thus span the entire space. Now, for any  $|v\rangle \in V_\lambda^A$  take  $AB|v\rangle = BA|v\rangle = B\lambda|v\rangle$ . Thus,  $A(B|v\rangle) = \lambda(B|v\rangle)$  so  $B|v\rangle \in V_\lambda^A$ . Since  $B|_{V_\lambda^A}$  is self-adjoint, there is a resolution of the identity,

$$B|_{V_\lambda^A} = \int_{-\infty}^{\infty} \lambda_B \frac{dE_B(\lambda_B)}{d\lambda_B} d\lambda_B$$

With  $E_B(\lambda_B)V_\lambda^A \subset V_\lambda^A$ . Then

$$\begin{aligned} B|_{V_\lambda^A} \frac{dE_B(\lambda_B)}{d\lambda_B} V_\lambda^A &= \int_{-\infty}^{\infty} \lambda_B |\lambda'_B\rangle \langle \lambda'_B| \lambda_B \langle \lambda_B| d\lambda'_B V_\lambda^A \\ &= \lambda_B |\lambda_B\rangle \langle \lambda_B| d\lambda_B V_\lambda^A = \lambda_B \frac{dE_B(\lambda_B)}{d\lambda_B} V_\lambda^A \end{aligned}$$

Thus,  $\frac{dE_B(\lambda_B)}{d\lambda_B} V_\lambda^A$  is an eigenvector of  $B$ . Furthermore,

$$\int_{-\infty}^{\infty} \frac{dE_B(\lambda_B)}{d\lambda_B} V_\lambda^A d\lambda_B = \int_{-\infty}^{\infty} dE_B(\lambda_B) V_\lambda^A = (E(\infty) - E(-\infty)) V_\lambda^A = V_\lambda^A$$

So these eigenvectors of  $B$  span the eigenspace of  $V_\lambda^A$ . Because we are working only in  $V_\lambda^A$ , these vectors are automatically eigenvectors of  $A$  as well.

## Problem 6.

Let  $\dim \mathcal{H} = N$  and  $O : \mathcal{H} \rightarrow \mathcal{H}$  be hermitian. Then let  $S = \{|\psi\rangle \in \mathcal{H} \mid \langle \psi|\psi\rangle = 1\}$  is an  $N$ -sphere and thus is compact in  $\mathcal{H}$ . Since  $O$  is hermitian, it has real expectation values so  $\langle \psi|O|\psi\rangle : S \rightarrow \mathbb{R}$  is a continuous function by the linearity of  $O$ .  $\langle \psi|O|\psi\rangle$  is a continuous function and  $S$  is compact, therefore,  $\text{Im } \langle \psi|O|\psi\rangle$  is compact in  $\mathbb{R}$  so it is closed and bounded and in particular much achieve a minimum value  $\langle \psi_0|O|\psi_0\rangle \in \mathbb{R}$ .

(a). Take normalized  $|\delta\psi\rangle \in (\text{span}\{|\psi_0\rangle\})^\perp$  and  $\epsilon \in \mathbb{C}$  then define:

$$|\psi_\epsilon\rangle = \frac{1}{\sqrt{1+|\epsilon|^2}} (|\psi_0\rangle + \epsilon |\delta\psi\rangle)$$

Now calculate:  $\langle\psi_\epsilon|\psi_\epsilon\rangle =$

$$\frac{1}{1+|\epsilon|^2} (\langle\psi_0|\psi_0\rangle + \epsilon \langle\psi_0|\delta\psi\rangle + \epsilon^* \langle\delta\psi|\psi_0\rangle + |\epsilon|^2 \langle\delta\psi|\delta\psi\rangle) = \frac{1}{1+|\epsilon|^2} (1 + |\epsilon|^2) = 1$$

Because  $\langle\psi_0|\delta\psi\rangle = 0$  and  $\langle\psi|\psi\rangle = \langle\delta\psi|\delta\psi\rangle = 1$ .

(b). By the minimum property,  $\langle\psi_\epsilon|O|\psi_\epsilon\rangle \geq \langle\psi_0|O|\psi_0\rangle$  therefore,

$$\frac{1}{1+|\epsilon|^2} (\langle\psi_0|O|\psi_0\rangle + \epsilon \langle\psi_0|O|\delta\psi\rangle + \epsilon^* \langle\delta\psi|O|\psi_0\rangle + |\epsilon|^2 \langle\delta\psi|O|\delta\psi\rangle) \geq \langle\psi_0|O|\psi_0\rangle$$

To first order in  $\epsilon$ ,

$$2\Re[\epsilon^* \langle\delta\psi|O|\psi_0\rangle] \geq 0$$

Thus take  $\epsilon = -\varepsilon \langle\delta\psi|O|\psi_0\rangle$  for  $\varepsilon \in \mathbb{R}^+$ . Therefore,

$$2\Re[-\varepsilon |\langle\delta\psi|O|\psi_0\rangle|^2] = -\varepsilon |\langle\delta\psi|O|\psi_0\rangle|^2 \geq 0$$

Which is a contradiction unless  $\langle\delta\psi|O|\psi_0\rangle = 0$ .

(c). Because  $\mathcal{H}$  is finite dimensional,  $\mathcal{H} = W \oplus W^\perp$  with  $W = (\text{span}\{|\psi_0\rangle\})^\perp$  and also  $W^{\perp\perp} = W$  but  $\forall |\delta\psi\rangle \in W : \langle\delta\psi|O|\psi_0\rangle = 0$  therefore,  $O|\psi_0\rangle \in W^\perp = \text{span}\{|\psi_0\rangle\}$ . But if  $O|\psi_0\rangle \in \text{span}\{|\psi_0\rangle\}$  then  $O|\psi_0\rangle = \lambda|\psi_0\rangle$ .

(d). Take  $W = (\text{span}\{|\psi_0\rangle\})^\perp$  then for  $|\psi\rangle \in W$ ,  $\langle\psi_0|O\psi\rangle = \langle O\psi_0|\psi\rangle = \lambda^* \langle\psi_0|\psi\rangle = 0$ . Thus,  $\text{Im } O|_W \subset W$ . Therefore,  $O|_W$  is a well defined operator on  $W$  which inherits Hermiticity. We can apply the above argument to  $W$  since  $\dim W = N - 1$  is finite and produce a new eigenvector  $|\psi_1\rangle \in W$  which is perpendicular to the span of  $|\psi_0\rangle$ .

(e). We can therefore prove the finite dimensional spectral theorem by induction on the dimension of  $\mathcal{H}$ . If  $\dim \mathcal{H} = 1$  then  $O|v\rangle \in \text{span}\{|v\rangle\}$  trivially. Suppose the theorem holds on every space with  $\dim \mathcal{H} = N$ . Let  $\dim \mathcal{H} = N + 1$ . Then since  $\dim W = N$ ,  $W$  admits a orthonormal basis of eigenvectors of  $O|_W$  namely  $\{|v_1\rangle, |v_2\rangle, \dots, |v_N\rangle\}$ . Then since the eigenvector found above  $|\psi_0\rangle \in W^\perp$  then the set  $\{|v_1\rangle, \dots, |v_N\rangle, |\psi_0\rangle\}$  is an orthonormal set of eigenvectors which are therefore independent.

## Problem 7.

Let  $|\psi\rangle = |A\rangle + \alpha|B\rangle$  where  $\alpha \in \mathbb{C}$  then  $\langle\psi|\psi\rangle \geq 0$  therefore,

$$\langle A|A\rangle + \alpha \langle A|B\rangle + \alpha^* \langle B|A\rangle + \alpha^2 \langle B|B\rangle = |B|^2 |\alpha|^2 + 2\Re[\langle A|B\rangle \alpha] + |A|^2 \geq 0$$

Let  $\alpha = \langle B|A \rangle r$  for  $r \in \mathbb{R}$  then because  $\langle A|B \rangle \langle B|A \rangle \in \mathbb{R}$

$$|B|^2 |\langle A|B \rangle|^2 r^2 + 2 \langle A|B \rangle \langle B|A \rangle r + |A|^2 \geq 0$$

The inequality must hold for every  $r$  therefore, the discriminant of the quadratic form must be non-positive. Therefore,  $4 |\langle A|B \rangle|^4 - 4 |A|^2 |B|^2 |\langle A|B \rangle|^2 \geq 0$  Thus,

$$|A| |B| \geq |\langle A|B \rangle|$$