

# Mathematics GU4051 Topology

## Assignment # 8

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### Problem 1.

Consider

$$S = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$$

and  $Y = S \setminus \{(0, 1)\}$ . Now, define the function  $f : \mathbb{R} \rightarrow Y$  by

$$f : t \mapsto \left( \frac{2t}{t^2 + 1}, \frac{t^2 - 1}{t^2 + 1} \right)$$

which is well-defined because  $\frac{4t^2}{(t^2+1)^2} + \frac{(t^2-1)^2}{(t^2+1)^2} = \frac{(t^2+1)^2}{(t^2+1)^2} = 1$  and  $\frac{t^2-1}{t^2+1} < 1$ . Also define the map  $g : Y \rightarrow \mathbb{R}$  given by,

$$g : (x, y) \mapsto \frac{x}{1 - y}$$

which is well-defined because for  $y \in Y$ , we have  $y \neq 1$ . I claim these are inverse functions. This can be checked explicitly,

$$\begin{aligned} f \circ g(x, y) &= \left( \frac{\frac{2x}{1-y}}{\frac{4x^2}{(1-y)^2} + 1}, \frac{\frac{x^2}{(1-y)^2} - 1}{\frac{x^2}{(1-y)^2} + 1} \right) \\ &= \left( \frac{2x(1-y)}{x^2 + (1-y)^2}, \frac{x^2 - (1-y)^2}{x^2 + (1-y)^2} \right) \\ &= \left( \frac{2x(1-y)}{x^2 + y^2 + 1 - 2y}, \frac{x^2 - 1 - y^2 + 2y}{x^2 + y^2 + 1 - 2y} \right) \\ &= \left( \frac{2x(1-y)}{2(1-y)}, \frac{2y(1-y)}{2(1-y)} \right) \\ &= (x, y) \end{aligned}$$

in which I have used the fact that  $x^2 + y^2 = 1$ . Furthermore,

$$g \circ f(t) = \frac{\frac{2t}{t^2+1}}{1 - \frac{t^2-1}{t^2+1}} = \frac{2t}{(t^2+1) - (t^2-1)} = \frac{2t}{2} = t$$

Thus,  $f$  and  $g$  are inverse functions so both are bijections. Also, because they are rational functions with everywhere nonzero denominators on subsets of  $\mathbb{R}^n$ , they are continuous. Thus,  $f : \mathbb{R} \rightarrow Y$  is a homeomorphism.  $\mathbb{R}$  is Hausdorff and  $S$  is a closed bounded subset of  $\mathbb{R}^2$  so it is compact Hausdorff.  $S$  is clearly bounded by 1 and is closed because it is the preimage of the closed set  $\{1\}$  under the map  $(x, y) \mapsto x^2 + y^2$  which is continuous. Finally,  $\mathbb{R} \cong Y = S \setminus \{(0, 1)\}$  and therefore,  $\hat{\mathbb{R}} \cong S$ .

## Problem 2.

Suppose that  $C \subset \mathbb{Q}$  contains  $(a, b) \cap \mathbb{Q}$  with  $a < b$ . This interval must contain an irrational number, i.e.  $\exists r \in (a, b) \cap (\mathbb{R} \setminus \mathbb{Q})$ . Then  $a < r < b$  so let  $\delta = b - r$ . Consider the sequence of intervals

$$I_n = (r, r + \frac{\delta}{n}) \subset (a, b)$$

where the last inclusion holds because  $r + \frac{\delta}{n} < r + \delta = b$ . Because  $\frac{\delta}{n} > 0$  each interval is nonempty and must contain some rational,  $\exists q_n \in I_n \cap \mathbb{Q} \subset (a, b) \cap \mathbb{Q} \subset C$ . Take any point  $x \neq r$  then take  $\epsilon = |r - x| > 0$  so we can choose  $N \in \mathbb{N}$  s.t.  $N > 2\frac{\delta}{\epsilon}$ . Thus,  $\frac{\delta}{N} < \frac{\epsilon}{2}$  so for  $n > N$  we have  $q_n \in I_n \subset (r, r + \epsilon/2)$  so  $|x - q_n| > |x - r| - |r - q_n| > \epsilon - \frac{\epsilon}{2} = \frac{\epsilon}{2}$ . Therefore, there are at most  $N$  values of  $n$  for which  $q_n$  is within distance  $\frac{\epsilon}{2}$  of  $x$ . Therefore, no subsequence can converge to  $x$  if  $x \neq r$ . However,  $r \notin C \subset \mathbb{Q}$  because  $r$  is irrational by construction. Therefore,  $\{q_n\}$  is a sequence in  $C$  with no subsequence which converges in  $C$ . Because  $C \subset \mathbb{R}$  is a metric space, sequential compactness is equivalent to compactness so  $C$  cannot be compact.

Suppose that  $\mathbb{Q}$  were locally compact. Then for any  $x \in \mathbb{Q}$  there would exist an open set  $U$  and a compact set  $C$  such that  $x \in U \subset C$ . However,  $U$  is open so  $\exists \delta > 0$  such that  $x \in B_\delta(x) \subset U \subset C$  and  $B_\delta(x) = (x - \delta, x + \delta) \cap \mathbb{Q}$  in the metric space  $\mathbb{Q}$ . Therefore,  $(x - \delta, x + \delta) \subset C$  and  $C$  is a compact subset of  $\mathbb{Q}$  which is a contradiction. Therefore,  $\mathbb{Q}$  is not locally compact.

## Problem 3.

### (a)

In this problem, we will use the fact that a continuous  $f : \mathbb{R} \rightarrow \mathbb{R}$  satisfies the condition,

$$\lim_{x \rightarrow \infty} f(x) = \pm\infty \quad \lim_{x \rightarrow -\infty} f(x) = \pm\infty$$

if and only if  $\forall M \in \mathbb{R} : \exists c \in \mathbb{R} : |x| > c \implies |f(x)| > M$ . First, suppose that  $f : \mathbb{R} \rightarrow \mathbb{R}$  is proper. Given  $M \in \mathbb{R}$ , consider the set  $[-M, M] \subset \mathbb{R}$  which is compact because it is closed and bounded. Then, because  $f$  is proper, the set  $f^{-1}([-M, M])$  is compact. In particular, it is bounded by  $c$ . Thus, if  $x \in f^{-1}([-M, M])$  then  $|x| \leq c$ . Therefore, if  $|x| > c$  then  $x \notin f^{-1}([-M, M])$  so  $f(x) \notin [-M, M]$  and therefore,  $|f(x)| > M$  so the function, which is continuous by assumption, satisfies the above limit condition.

Conversely, let  $f$  be a continuous function satisfying the above limit properties. Let  $C \subset \mathbb{R}$  be compact. Then by Heine-Borel,  $C$  is closed and bounded. Since  $C$  is closed and  $f$  is continuous then  $f^{-1}(C)$  is closed. Take a bound  $M$  for  $C$ . By the limit property,  $\exists c \in \mathbb{R} : |x| > c \implies |f(x)| > M$  thus,

$$x \in f^{-1}(C) \implies f(x) \in C \implies |f(x)| \leq M \implies |x| \leq c$$

Therefore,  $f^{-1}(C)$  is closed and bounded so by Heine-Borel it is compact. Therefore,  $f$  is proper.

### (b)

Let  $f(x) = a_n x^n + \dots + a_1 x + a_0$  be a nonconstant polynomial with  $a_n \neq 0$ . Then,

$$\lim_{x \rightarrow \pm\infty} \frac{f(x)}{a_n x^n} = \lim_{x \rightarrow \pm\infty} \frac{a_n x^n + \dots + a_1 x + a_0}{a_n x^n} = \lim_{x \rightarrow \pm\infty} \left( 1 + \frac{a_{n-1}}{a_n x} + \dots + \frac{a_0}{a_n x^n} \right) = 1$$

Therefore,  $f(x)$  and  $a_n x^n$  have the same asymptotics. In particular,

$$\lim_{x \rightarrow \infty} f(x) = \pm\infty \quad \text{and} \quad \lim_{x \rightarrow -\infty} f(x) = \pm\infty$$

because these conditions hold for  $a_n x^n$ . From analysis,  $f(x)$  is continuous because each term is continuous. Thus,  $f(x)$  is a proper map.

## Problem 4.

Let  $f : X \rightarrow Y$  be a proper map and let  $X$  and  $Y$  be Hausdorff spaces. Define the map  $\hat{f} : \hat{X} \rightarrow \hat{Y}$  by,

$$\hat{f}(x) = \begin{cases} f(x) & x \in X \\ \infty & x = \infty \end{cases}$$

Let  $C \subset \hat{Y}$  be a closed set. Then, either  $\infty \notin C$  and  $C$  is compact or  $\infty \in C$  and  $C \cap Y$  is closed in  $Y$ . In the first case,  $C$  is compact so because  $f$  is proper and  $\infty$  does not map into  $C$ ,  $\hat{f}^{-1}(C) = f^{-1}(C)$  is a compact set not containing  $\infty$  and thus is closed in  $\hat{X}$ . In the second case,  $C \cap Y$  is closed in  $Y$  and  $\infty \in C$  so  $\hat{f}^{-1}(C) = f^{-1}(C \cap Y) \cup \{\infty\}$ . By continuity,  $f^{-1}(C \cap Y)$  is closed in  $X$  so  $f^{-1}(C \cap Y) \cup \{\infty\}$  is closed in  $\hat{X}$ .

Conversely, suppose the function  $\hat{f} : \hat{X} \rightarrow \hat{Y}$  given by,

$$\hat{f}(x) = \begin{cases} f(x) & x \in X \\ \infty & x = \infty \end{cases}$$

is continuous. Then, take a closed set  $C \subset Y$  and consider the set  $D = C \cup \{\infty\} \subset \hat{Y}$ . Because  $C = D \cap Y$  is closed in  $Y$  and  $\infty \in D$  then  $D$  is closed in  $\hat{Y}$ . Therefore, by continuity,  $\hat{f}^{-1}(D) = f^{-1}(C) \cup \{\infty\}$  is closed in  $\hat{X}$ . Because the inverse image contains  $\infty$ ,  $\hat{f}^{-1}(D) \cap X = f^{-1}(C)$  must be closed in  $X$ . Therefore,  $f : X \rightarrow Y$  is continuous. Likewise, take a compact set  $C \subset Y$  then  $C$  is closed in  $\hat{Y}$  so because  $\infty \notin C$  and by continuity,  $\hat{f}^{-1}(C) = f^{-1}(C)$  is closed in  $\hat{X}$ . However,  $\infty \notin f^{-1}(C)$  so the set must be compact in  $X$  to be closed in  $\hat{X}$ . Therefore,  $f^{-1}(C)$  is compact so  $f$  is a proper map.

## Problem 5.

Let  $f_1 : X_1 \rightarrow Y_1$  and  $f_2 : X_2 \rightarrow Y_2$  continuous with  $X_1, X_2$  nonempty and  $Y_1, Y_2$  Hausdorff. Suppose that  $f_1 \times f_2 : X_1 \times X_2 \rightarrow Y_1 \times Y_2$  is proper. Take compact  $C_1 \subset Y_1$  and  $C_2 \subset Y_2$ . Now,  $(f_1 \times f_2)^{-1}(C_1 \times C_2) = f_1^{-1}(C_1) \times f_2^{-1}(C_2)$  is compact because  $f_1 \times f_2$  is proper. Now, by Lemma 0.1, this implies that  $f_1^{-1}(C_1)$  and  $f_2^{-1}(C_2)$  are compact and therefore,  $f_1$  and  $f_2$  are proper.

Conversely, let  $f_1$  and  $f_2$  be proper. Let  $C \subset Y_1 \times Y_2$  be compact. The maps  $\pi_1 : Y_1 \times Y_2 \rightarrow Y_1$  and  $\pi_2 : Y_1 \times Y_2 \rightarrow Y_2$  are continuous so  $\pi_1(C)$  and  $\pi_2(C)$  are compact. Therefore, because  $f_1$  and  $f_2$  are proper,  $f_1^{-1}(\pi_1(C))$  and  $f_2^{-1}(\pi_2(C))$  are compact and therefore,  $f_1^{-1}(\pi_1(C)) \times f_2^{-1}(\pi_2(C))$  is compact. Then, because  $Y_1$  and  $Y_2$  is Hausdorff,  $Y_1 \times Y_2$  is Hausdorff so  $C$  is closed. Thus,  $(f_1 \times f_2)^{-1}(C)$  is closed.

Now, if  $(x, y) \in (f_1 \times f_2)^{-1}(C)$  then  $(f_1(x), f_2(y)) \in C$  so  $f_1(x) \in \pi_1(C)$  and  $f_2(y) \in \pi_2(C)$  so  $x \in f_1^{-1}(\pi_1(C))$  and  $y \in f_2^{-1}(\pi_2(C))$  so finally,  $(x, y) \in f_1^{-1}(\pi_1(C)) \times f_2^{-1}(\pi_2(C))$ . Therefore,

$$(f_1 \times f_2)^{-1}(C) \subset f_1^{-1}(\pi_1(C)) \times f_2^{-1}(\pi_2(C))$$

However, the former is closed and the latter is compact so  $(f_1 \times f_2)^{-1}(C)$  is compact. Thus,  $f_1 \times f_2$  is proper.

## Problem 6.

Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous and  $g \circ f$ , proper and let  $Y$  be Hausdorff. Let  $C \subset Y$  be compact. By continuity,  $g(C)$  is compact and since  $g \circ f$  is proper,  $(g \circ f)^{-1}(g(C)) = f^{-1}(g^{-1}(g(C)))$  is compact. However,  $C \subset g^{-1}(g(C))$  and  $C$  is compact in a Hausdorff space so  $C$  is closed. Thus,  $f^{-1}(C)$  is closed and  $f^{-1}(C) \subset f^{-1}(g^{-1}(g(C)))$  which is compact. Therefore,  $f^{-1}(C)$  is closed in a compact set and thus compact so  $f$  is a proper map.

## Problem 7.

Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous and  $g \circ f$ , proper and let  $f$  be surjective. Let  $C \subset Z$  be compact. Since  $g \circ f$  is proper,  $(g \circ f)^{-1}(C) = f^{-1}(g^{-1}(C))$  is compact. Thus,  $f(f^{-1}(g^{-1}(C)))$  is compact because  $f$  is continuous. However, since  $f$  is surjective, by Lemma 0.2,  $f(f^{-1}(g^{-1}(C))) = g^{-1}(C)$  is compact. Therefore,  $g$  is proper.

## Lemmas

**Lemma 0.1.** *If  $X$  and  $Y$  are nonempty and  $X \times Y$  is compact then  $X$  and  $Y$  are compact.*

*Proof.* Let  $\{U_\lambda \mid \lambda \in \Lambda\}$  be an open cover of  $X$ . Then,  $\{U_\lambda \times Y \mid \lambda \in \Lambda\}$  is an open cover of  $X \times Y$  so there exists a finite subcover indexed by  $\Lambda'$ . Take any  $x \in X$  and some  $y \in Y$  (which exists because  $Y \neq \emptyset$ ) then because  $\Lambda'$  indexes a finite cover,  $\exists \lambda \in \Lambda' : (x, y) \in U_\lambda \times Y$  so  $x \in U_\lambda$  thus,  $\{U_\lambda \mid \lambda \in \Lambda'\}$  is a finite subcover of  $X$  so  $X$  is compact. The argument for  $Y$  is identical.  $\square$

**Lemma 0.2.** *If  $f : X \rightarrow Y$  is surjective, then for any  $A \subset Y$  we have  $f(f^{-1}(A)) = A$ .*

*Proof.* If  $a \in A$  then by surjectivity,  $\exists x \in X$  s.t.  $f(x) = a$  so  $x \in f^{-1}(A)$  thus  $f(a) = x \in f(f^{-1}(A))$  so  $A \subset f(f^{-1}(A))$ . If  $a \in f(f^{-1}(A))$  then  $\exists x \in f^{-1}(A)$  s.t.  $f(x) = a$  but  $f(x) \in A$  so  $a \in A$  thus,  $f(f^{-1}(A)) \subset A$  so  $f(f^{-1}(A)) = A$ .  $\square$