

# 1 Godeaux-Serre Varieties

The main theorem:

**Theorem 1.0.1.**  $k$  field and  $G$  is a finite commutative  $k$ -group scheme then there exists a smooth projective geometrically connected 3-dimensional  $k$ -scheme  $X$  such that,

$$\mathrm{Pic}_{X/k}^\tau \cong G^\vee$$

## 1.1 Other examples

- (a) For any finite abstract group  $G$  there exists  $X$  with  $\pi_1^{\mathrm{ét}}(X_{\bar{k}}) \cong G$
- (b) failure of Hodge symmetry in characteristic  $p$
- (c) failure of lifting of surfaces in char  $p$
- (d) if done in families then jumping of Hodge and de Rham numbers in mixed or equal char  $p$

## 1.2 Finite Commutative Group Schemes

If  $H$  is a finite abstract group then there is a finite constant  $k$ -group  $H$ .

If  $H$  is a finite abstract group with a  $G_k = \mathrm{Gal}(k^{\mathrm{sep}}/k)$ -action  $\alpha$  then we get a finite étale group scheme  $H_\alpha$  representing the functor,

$$H_\alpha(k') = H^{\mathrm{Gal}(k^{\mathrm{sep}}/k')}$$

for  $k'$  finite separable over  $k$ .

**Proposition 1.2.1.** This is an equivalence of categories between finite étale  $k$ -groups and finite groups with a Galois action.

*Remark.* In characteristic 0, all finite group schemes are étale and thus all arise from the above constructions.

**Example 1.2.2.**  $\mu_n$  over  $\mathbb{Q}$  corresponds to  $\mu_n(\overline{\mathbb{Q}})$  as a  $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -module.

**Example 1.2.3.**  $\mu_p$  and  $\alpha_p$  in characteristic  $p$  are (nontrivial) connected finite group schemes

*Remark.* A sequence of  $k$ -groups,

$$1 \longrightarrow G' \longrightarrow G \xrightarrow{\pi} G'' \longrightarrow 1$$

is exact if it is an exact sequence of fppf sheaves. This is equivalent to  $\pi : G \rightarrow G''$  a faithfully flat surjection and  $G'$  is its kernel.

**Theorem 1.2.4** (Connected-étale sequence). There is a canonical short exact sequence,

$$1 \longrightarrow G^0 \longrightarrow G \xrightarrow{\pi} G/G^0 \longrightarrow 1$$

where  $G^0$  is connected and  $G/G^0$  is étale. Furthermore, if  $k$  is perfect then this sequence splits.

*Remark.* What is  $G/G^0$ ? At first it is just the fppf quotient sheaf. However, we want this to be representable.

**Theorem 1.2.5** (SGA3, Exp V, Thm. 4.1). Let  $X$  be a quasi-projective scheme over  $k$  and  $G$  is a finite  $k$ -group scheme acting on  $X$ . Then the ringed space quotient  $X/G$  is a quasi-projective scheme and the map  $\pi : X \rightarrow X/G$  is finite. If  $G$  acts freely then  $\pi$  is a  $G$ -torsor (meaning  $\pi : G \rightarrow X/G$  is fppf and  $G \times X \rightarrow X \times_{X/G} X$  is an isomorphism).

*Remark.* In general, if  $G$  acts freely, then “all good properties” of  $X$  descend to  $X/G$  e.g.

- (a) smoothness
- (b) normality
- (c) cohen-macaulayness

by faithfully flat descent.

*Remark.* Even if  $G \curvearrowright X$  is not free  $X/G$  exists (in the generality of the theorem) and  $X/G$  is the coarse space of the stack  $[X/G]$ .

### 1.3 Cartier Duality

For a finite commutative  $k$ -group scheme  $G$  we can construct the dual,

$$G^\vee = \mathcal{H}om(G, \mathbb{G}_m)$$

where this is the sheaf,

$$G^\vee(S) = \text{Hom}_{\text{gp}}(G_S, \mathbb{G}_m)$$

It turns out that  $G^\vee$  is representable by  $\text{Spec}(k[G]^*)$  (see Mumford’s book on abelian varieties).

**Theorem 1.3.1.** The functor  $G \mapsto G^\vee$  is an anti-equivalence sending short exact sequences to short exact sequences and  $G \rightarrow (G^\vee)^\vee$  is an isomorphism.

**Example 1.3.2.**  $(\mathbb{Z}/n\mathbb{Z})^\vee = \mathcal{H}om(\mathbb{Z}/n\mathbb{Z}, \mu_n) = \mu_n$ . Furthermore,  $\alpha_p^\vee = \alpha_p$ . By double duality  $\mu_n^\vee = \mathbb{Z}/n\mathbb{Z}$ .

*Remark.* Therefore we can classify finite group schemes into four types: étale-étale, étale-infinitesimal, infinitesimal-étale, infinitesimal-infinitesimal. Examples of these three types are  $\mathbb{Z}/n\mathbb{Z}$  for  $p \nmid n$  and  $\mathbb{Z}/p\mathbb{Z}$  and  $\mu_p$  and  $\alpha_p$ .

*Remark.* Cartier duality works for finite commutative group schemes over any base.

### 1.4 Picard Schemes

For  $X$  a  $k$ -scheme, define the functor,

$$\text{Pic}_{X/k}^{\text{pre}}(S) = \text{Pic}(X \times_k S)$$

and define  $\text{Pic}_{X/k}$  to be the fppf sheafification.

**Theorem 1.4.1.** If  $X$  is projective and geometrically integral then  $\text{Pic}_{X/k}$  is represented by a locally finite type  $k$ -scheme and  $\text{Pic}_{X/k}(\bar{k})/\text{Pic}_{X/k}^0(\bar{k})$  is a finitely generated abelian group.

*Remark.* We denote  $\text{Pic}_{X/k}^0$  the identity component. And  $\text{Pic}_{X/k}^\tau$  the union of components which are torsion in  $\text{Pic}_{X/k}/\text{Pic}_{X/k}^0$  which is finite type.

**Example 1.4.2.** If  $X$  is a curve then  $\text{Pic}_{X/k}$  is smooth. To see this we can verify the infinitesimal criterion. If  $A$  is Artin local and  $I \subset A$  is square zero then there is an exact sequence of sheaves,

$$1 \longrightarrow \mathcal{O}_X \otimes I \longrightarrow \mathcal{O}_{X_A}^\times \longrightarrow \mathcal{O}_{X_{A/I}}^\times \longrightarrow 0$$

and from the long exact sequence of cohomology,

$$H^1(X_A, \mathcal{O}_{X_A}^\times) \longrightarrow H^1(X_{A/I}, \mathcal{O}_{X_{A/I}}^\times) \longrightarrow H^2(X, \mathcal{O}_X \otimes I)$$

but  $\dim X = 1$  so  $H^2(X, \mathcal{O}_X \otimes I) = 0$  and therefore the map  $\text{Pic}(X_A) \rightarrow \text{Pic}(X_{A/I})$  is surjective.

**Example 1.4.3.** If  $X$  is smooth, then  $\text{Pic}_{X/k}^\tau$  is proper. Verify this using the valuative criterion.

**Example 1.4.4.** If  $X$  is a smooth curve of genus  $g$  then  $\text{Pic}_{X/k}^0$  is a smooth proper commutative group scheme of dimension  $g$ . We have,

$$T_0 \text{Pic}_{X/k} \cong H^1(X, \mathcal{O}_X)$$

Use the previous construction with  $A = k[\epsilon]$  and,

$$T_0 \text{Pic}_{X/k} = \ker(\text{Pic}_{X/k}(k[\epsilon]) \rightarrow \text{Pic}_{X/k}(k))$$

*Remark.* If  $X(k) \neq \emptyset$  then  $\text{Pic}_{X/k}(S) = \text{Pic}(X_S)/\text{Pic}(S)$ .

*Remark.* When  $X$  is not proper, why is  $\text{Pic}_{X/k}$  not nec. representable. The functorial criterion for loc. fin. pres: if  $Y$  is a scheme then  $Y$  is lpf iff for any direct limit  $A = \varinjlim A_i$  we have  $Y(A) = \varinjlim Y(A_i)$ .

Using spreading out,  $\text{Pic}_{X/k}$  always satisfies this but  $T_0 \text{Pic}_{X/k} = H^1(X, \mathcal{O}_X)$  is infinite dimensional so it can't be the tangent space of a finite type scheme.

**Example 1.4.5.** For  $X$  a nodal curve over  $k$  and  $Y$  a cuspidal curve over  $k$  we have  $\text{Pic}_{X/k}^0 = \mathbb{G}_m$  and  $\text{Pic}_{Y/k}^0 = \mathbb{G}_a$ . “Pinching two points adds a  $\mathbb{G}_m$ ” and “collapsing a tangent vector introduces a  $\mathbb{G}_a$ ”.

**Lemma 1.4.6.** If  $X \subset \mathbb{P}^n$  is a complete intersection of dimension  $\geq 3$ , then  $\text{Pic}_{X/k} \cong \mathbb{Z}$ .

*Proof.* The claim that  $\text{Pic}(X) \cong \mathbb{Z}$  is a *Leftschetz-Theorem* (SGA2, Exp. XII, Cor. 3.7). The only other point is to show that it is étale which follows from  $H^1(X, \mathcal{O}_X) = 0$ .  $\square$

**Proposition 1.4.7.** For  $X$  a complete intersection  $\dim X = d \geq 1$  and  $N \geq 0$  then,

$$H^i(X, \mathcal{O}_X(-N)) = 0$$

for  $1 \leq i \leq d-1$  and,

$$H^0(X, \mathcal{O}_X(-N)) = \begin{cases} k & N = 0 \\ 0 & \end{cases}$$

*Proof.* Induction.  $\square$

**Lemma 1.4.8.** If  $\pi : Y \rightarrow X$  is a  $G$ -torsor, then  $\ker(\text{Pic}_{X/k} \rightarrow \text{Pic}_{Y/k}) \cong G^\vee$ .

*Proof.* By fppf descent for line bundles: a line bundle on  $X$  is the same as a  $G$ -linearized line bundle on  $Y$  and the map forgets the linearization.  $\square$

## 1.5 The Main Construction

We want to construct  $X$  smooth projective  $\dim X = 3$  such that  $\text{Pic}_{X/k}^\tau \cong G$ . In light of the previous lemmas, it would be enough to find a complete intersection  $X \subset \mathbb{P}^n$  with a free action of  $G$  such that  $X/G$  is smooth because then because this is a finite group scheme,

$$\text{Pic}_{X/G}^\tau = \ker(\text{Pic}_{X/G}^\tau \rightarrow \text{Pic}_X^\tau) \cong G^\vee$$

because  $\text{Pic}_X^\tau = 0$ .

- (a) Find a projective space  $P = \mathbb{P}^n$  with an action of  $G$  which is free away from  $\text{codim} \geq 3$ .
- (b) Let  $Z = P/G$  be a projective  $k$ -schemem and a finite map  $\pi : P \rightarrow P/G$ . If  $U \subset P$  is the free locus for the action of  $G$ , then  $U/G \hookrightarrow Z$  is smooth and open.
- (c) Bertini's theorem (Poonen's if  $k$  is finite) shows that after slicing by finitely many hypersurfaces  $H_1, \dots, H_m$  so that if  $Y = Z \cap H_1 \cap \dots \cap H_m$  then  $Y$  is smooth and geometrically integral of dimension 3. Then we get a Cartesian diagram,

$$\begin{array}{ccc} X & \longrightarrow & P \\ \downarrow & \lrcorner & \downarrow \\ Y & \longrightarrow & Z \end{array}$$

Given an ample line bundle  $\mathcal{L}$  on  $Z$  then  $\pi^*\mathcal{L}$  is ample on  $P$  (because  $\pi$  is finite) so  $\pi^{-1}(H_i)$  is also a hypersurface in  $P$  so  $X$  is a complete intersection and  $X \subset U$  so  $X \rightarrow Y$  is a  $G$ -torsor.

To do (1) let  $G$  act on  $\mathbb{P}((k[G]^{\otimes n})^*)$  for some integer  $n$  ( $n = 3$  will work). Then the free locus of this action is complementary codimension  $\geq 3$  if  $n \geq 3$ . We will prove this when  $G$  has no nontrivial proper subgroups (if  $k = \bar{k}$  this is equivalent to  $G = \mu_\ell$  or  $\mathbb{Z}/p\mathbb{Z}$  or  $\alpha_p$ ) but it's more difficult in general. If  $K$  is a field over  $k$  then any point  $x \in P(K)$  can be lifted to  $\varphi : G^n \rightarrow \mathbb{A}^1$  which is nonzero. This is because,

$$\mathbb{P}(K) = (K[G^n] \setminus \{0\})/K^*$$

and an element of  $K[G^n]$  defines a map  $G^n \rightarrow \mathbb{A}^1$  which has to be nonzero. The assumption shows that if  $G_x \neq 0$  then  $G_x = G$ , so the  $G$ -invariance means,

$$\varphi(g + g_1, g + g_2, g + g_3) = \eta(g)\varphi(g_1, g_2, g_3)$$

for some  $\eta : G \rightarrow \mathbb{G}_m$  because we lifted. Therefore we can define,

$$\psi(g_1, g_2, g_3) = \eta(-g_1)\varphi(g_1, g_2, g_3)$$

This is  $G$ -invariant if and only if  $\psi$  factors through  $G^3 \rightarrow G^2$  but now  $k[G^2]$  is  $\text{codim}(\dim G)^3 - (\dim G)^2 \geq 4$  so the condition that  $G_x \neq 0$  gives large enough codimension.

*Remark.* There is an issue with extending this proof that is for a finite commutative group scheme there might be  $\infty$ -many distinct subgroups. E.g.  $\alpha_p^2$  contains  $\ker F_L$  for any line  $L$  passing through  $0 \in \mathbb{A}^2$ .