

# 1 Cohomology Review

**Definition 1.0.1.** Let  $X$  be a smooth complete variety over  $\mathbb{C}$  (a smooth proper scheme over  $\mathbb{C}$ ). There is a corresponding analytic manifold  $X^{\text{an}}$  whose exact topology depends on the structure map  $X \rightarrow \text{Spec}(\mathbb{C})$ . This gives us access to topological cohomology denoted  $H_B^n(X) = H^n(X^{\text{an}}, \mathbb{Q})$ .

**Definition 1.0.2.** For each embedding  $\sigma : k_0 \rightarrow \mathbb{C}$  there is a corresponding  $X^\sigma = X \times_\sigma \text{Spec}(\mathbb{C})$  and we write  $H_\sigma^p(X) = H_B^p(X^\sigma) = H^p((X^\sigma)^{\text{an}}, \mathbb{Q})$ .

*Remark.* In the case that  $X$  is projective, a projective embedding  $X \rightarrow \mathbb{P}^n$  defines an embedding  $X^{\text{an}} \rightarrow \mathbb{CP}^n$  which pulls back the canonical Kahler form on  $\mathbb{CP}^n$  to give  $X$  a Kahler structure. By Hodge theory, this gives a decomposition,

$$H_B^n(X, \mathbb{C}) = H_{\text{dR}}^n(X^{\text{an}}) = \bigoplus_{p+q=n} H^{p,q}(X)$$

where  $H^{p,q}(X)$  can be identified with a complex form of type  $(p, q)$  and also with the sheaf cohomology,

$$H^{p,q}(X) = H^p(X, \Omega^q)$$

**Definition 1.0.3.** The algebraic deRham cohomology is given by the hyper cohomology of the deRham complex,

$$H_{\text{dR}}^n(X/k) = \mathbb{H}^n(X, \Omega^\bullet)$$

**Theorem 1.0.4.** There is a Hodge-to-deRham spectral sequence,

$$E_1^{p,q} = H^p(X, \Omega^q) \implies \mathbb{H}^{p+q}(X, \Omega^\bullet) = H_{\text{dR}}^{p+q}(X)$$

which gives a filtration on the algebraic deRham cohomology. Furthermore, the continuous map  $X^{\text{an}} \rightarrow X$  induces an isomorphism,

$$H_{\text{dR}}^n(X) \xrightarrow{\sim} H_{\text{dR}}^n(X^{\text{an}})$$

which sends the filtration of the Hodge-to-deRham spectral sequence to the filtration of  $H_{\text{dR}}^n(X^{\text{an}})$  given by Hodge theory.

*Remark.* In general, let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor and  $\mathbf{Ch}(\mathbb{A})$  its category of complexes. Then there is a spectral sequence computing the hyperderived functor,

$$E_1^{p,q} = R^q F(C^p) \implies \mathbb{R}^{p+q} F(C^\bullet) = \mathbb{H}^{p+q}(C^\bullet)$$

**Proposition 1.0.5.** Consider a resolution (exact sequence) in an abelian category  $\mathcal{A}$

$$0 \longrightarrow A \longrightarrow C^0 \longrightarrow C^1 \longrightarrow C^2 \longrightarrow \dots$$

and an additive functor  $F : \mathcal{A} \rightarrow \mathcal{B}$ . Then, the derived functors of  $F$  on  $A$  agree with the hyperderived functors of  $F$  on  $C^\bullet$ ,

$$R^p F(A) = \mathbb{R}^p F(C^\bullet)$$

In particular, in the category of sheaves on  $X$ , given any resolution  $\mathcal{F} \rightarrow \mathcal{G}^\bullet$  we have,

$$H^p(X, \mathcal{F}) = \mathbb{H}^p(X, \mathcal{G}^\bullet)$$

*Proof.* We choose a resolution of  $C^\bullet$  which is an complex of injectives  $I^\bullet$  and a quasi-isomorphism  $\alpha : C^\bullet \rightarrow I^\bullet$ . Consider the diagram,

$$\begin{array}{ccccccc} & & A & & & & \\ & & \downarrow \varepsilon & & & & \\ 0 & \longrightarrow & C^0 & \longrightarrow & C^1 & \longrightarrow & C^2 \longrightarrow \dots \\ & & \downarrow \alpha_0 & & \downarrow \alpha_1 & & \downarrow \alpha_2 \\ 0 & \longrightarrow & I^0 & \longrightarrow & I^1 & \longrightarrow & I^2 \longrightarrow \dots \end{array}$$

Since  $A \xrightarrow{\varepsilon} C^\bullet$  is a resolution, the top row is exact except in degree zero where  $\ker(C^0 \rightarrow C^1) = A$ . Since  $\alpha : C^\bullet \rightarrow I^\bullet$  is a quasi-isomorphism the complex  $I^\bullet$  must also be exact in positive degree and at degree zero  $\alpha_* : H^0(C^\bullet) \xrightarrow{\sim} H^0(I^\bullet)$  is an isomorphism so  $\alpha_0 \circ \varepsilon : A \rightarrow \ker(C^0 \rightarrow C^1) \rightarrow \ker(I^0 \rightarrow I^1)$  is an isomorphism. Thus the complex  $0 \rightarrow A \xrightarrow{\alpha_0 \circ \varepsilon} I^0$  is exact so it is an injective resolution of  $A$ . Therefore,

$$R^p F(A) = H^p(F(I^\bullet)) = \mathbb{R}^p F(C^\bullet)$$

□

*Remark.* When the resolution  $A \rightarrow C^\bullet$  is acyclic then, applying the spectral sequence,

$$E_1^{p,q} = R^q F(C^p) \implies \mathbb{R}^{p+q} F(C^\bullet)$$

we see that  $E_1^{p,0} = F(C^p)$  and all others are zero. Thus,  $E_2^{p,0} = H^p(F(C))$  so the spectral sequence converges giving,

$$\mathbb{R}^p F(C^\bullet) = H^p(F(C^\bullet))$$

Together with the previous proposition we conclude,

$$R^p F(A) = H^p(F(C^\bullet))$$

that we can compute derived functors on any acyclic resolution.

*Remark.* Applying these remarks to the case of a complex manifold  $X$ , we consider the resolution of the constant sheaf  $\underline{\mathbb{C}}_X$  by the holomorphic differential forms  $\Omega_X^k$ ,

$$0 \longrightarrow \underline{\mathbb{C}}_X \longrightarrow \Omega_X^1 \longrightarrow \Omega_X^2 \longrightarrow \dots$$

This complex is exact by the Poincare lemma. Thus we have an isomorphism,

$$H_{\text{sing}}^p(X; \mathbb{C}) = H^p(X, \underline{\mathbb{C}}_X) \xrightarrow{\sim} \mathbb{H}^p(X, \Omega_X^\bullet) = H_{\text{dR}}^p(X)$$

**Definition 1.0.6.** When  $k = \bar{k}$  we write the Etale cohomology as,

$$H^n(X, \mathbb{A}_{\mathbb{Q}, \text{fin.}}) = \varprojlim H_{\text{et}}^n(X_{\text{et}}, \mathbb{Z}/m\mathbb{Z})$$

**Theorem 1.0.7.** For  $k = \mathbb{C}$  there is a canonical isomorphism,

$$H_B^n(X) \otimes \mathbb{A}_{\mathbb{Q}, \text{fin.}} \rightarrow H_{\text{et}}^n(X)$$

Therefore  $H_B^n(X) \otimes \mathbb{A}_{\mathbb{Q}, \text{fin.}}$  is independent of the choice of structure map  $X \rightarrow \text{Spec}(\mathbb{C})$ .

*Remark.* Recall that we have defined an algebraic cycle via the cohomology class of a smooth subvariety  $Z \subset X$  of codimension  $p$ ,

$$\mathrm{cl}(Z) \in \mathrm{Hdg}^p(X) = H_B^{2p}(X) \cap H^p(X, \Omega^p)$$

We give an alternative definition in terms of Chern classes.

**Definition 1.0.8.** First, we define a Chern class  $c_1 : \mathrm{Pic}(X) \rightarrow H_{\mathrm{dR}}^2(X)$  via the following. Consider the map  $\mathrm{dlog} : \mathcal{O}_X^\times \rightarrow \Omega_X^1$  which takes  $f \mapsto f^{-1}df$ . Then there is a map of complexes,

$$\begin{array}{ccccccc} 0 & \longrightarrow & 0 & \longrightarrow & \mathcal{O}_X^\times & \longrightarrow & 0 \longrightarrow \cdots \\ \downarrow & & \downarrow & & \downarrow \mathrm{dlog} & & \downarrow \\ 0 & \longrightarrow & \mathcal{O}_X & \xrightarrow{d} & \Omega_X^1 & \xrightarrow{d} & \Omega_X^2 \longrightarrow \cdots \end{array}$$

Which gives a map on hypercohomology,

$$H^{n-1}(X, \mathcal{O}_X^\times) = \mathbb{H}^n(X, 0 \rightarrow \mathcal{O}_X^\times \rightarrow 0 \rightarrow \cdots) \rightarrow \mathbb{H}^n(X, \Omega_X^\bullet) = H_{\mathrm{dR}}^n(X)$$

Recall that  $\mathrm{Pic}(X) = H^1(X, \mathcal{O}_X^\times)$  and therefore we have a map,

$$c_1 : \mathrm{Pic}(X) \rightarrow H_{\mathrm{dR}}^2(X)$$

Then, note that we may extend this to  $c_p : \mathrm{Pic}(X) \rightarrow H_{\mathrm{dR}}^{2p}(X)$  via splitting.

**Definition 1.0.9.** For any smooth codimension  $p$  subvariety  $Z \subset X$  we can define,

$$\mathrm{cl}(Z) = \frac{1}{(p-1)!} c_p(\iota_* \mathcal{O}_Z)$$

To make this definition make any sense, we need to note that the Chern class is defined on the Grothendieck group of  $X$  which, when  $X$  is smooth is equivalent to the Grothendieck group of the category of coherent  $\mathcal{O}_X$ -modules. This correspondence defines  $c_p(\iota_* \mathcal{O}_Z)$  when  $\iota_* \mathcal{O}_Z$  is not a vector bundle only a coherent sheaf.

## 1.1 Basic Properties of Absolutly Hodge Cycles

*Remark.* We first need to discuss algebraic connections on bundles. The setup is  $k_0$  is a field of characteristic zero and  $S$  is a smooth  $k_0$ -scheme.

**Definition 1.1.1.** A  $k_0$ -connection on a coherent  $\mathcal{O}_S$ -module  $\mathcal{E}$  is a morphism of sheaves of  $k_0$ -modules,

$$\nabla : \mathcal{E} \rightarrow \Omega_S^1 \otimes_{\mathcal{O}_S} \mathcal{E}$$

(not as  $\mathcal{O}_S$ -modules) which further satisfies the Leibniz rule, for  $f \in \mathcal{O}_S(U)$  and  $s \in \mathcal{E}(U)$ ,

$$\nabla(fs) = df \otimes e + f\nabla(e)$$

where  $d : \mathcal{O}_S \rightarrow \Omega_S^1$  is the canonical map. We define the subsheaf of horizontal sections,  $\mathcal{E}^\nabla = \ker \nabla$

*Remark.* Any connection may be extended to  $\mathcal{E}$ -valued  $k$ -forms,

$$\nabla_k : \Omega_S^k \otimes_{\mathcal{O}_S} \mathcal{E} \rightarrow \Omega_S^{k+1} \otimes_{\mathcal{O}_S} \mathcal{E}$$

via,

$$\nabla_k(\omega \otimes e) = d\omega \otimes e + (-1)^k \omega \wedge \nabla e$$

**Definition 1.1.2.** The connection  $\nabla$  defines a corresponding curvature form,

$$\omega_\nabla = \nabla_1 \circ \nabla : \mathcal{E} \rightarrow \Omega_S^2 \otimes_{\mathcal{O}_S} \mathcal{E}$$

We say that  $\nabla$  is flat or integrable if the curvature vanishes  $\omega_\nabla = \nabla_1 \circ \nabla = 0$ .

**Lemma 1.1.3.** The curvature  $\omega_\nabla : \mathcal{E} \rightarrow \Omega_S^2 \otimes_{\mathcal{O}_S} \mathcal{E}$  is a  $\mathcal{O}_S$ -module map.

*Proof.* Consider,

$$\begin{aligned} \omega_\nabla(fs) &= \nabla_1(df \otimes s + f\nabla s) = ddf \otimes s - df \wedge \nabla s + df \wedge \nabla s + f\nabla_1 \circ \nabla \\ &= f\nabla_1 \circ \nabla s = f\omega_\nabla(s) \end{aligned}$$

□

*Remark.* If we write locally,

$$\nabla e = \sum_i f_i dg_i \otimes s_i$$

then the curvature takes the form,

$$\omega_\nabla(e) = \sum_i (df_i \wedge dg_i \otimes s_i - f_i dg_i \otimes \nabla s_i)$$

**Proposition 1.1.4.**  $\nabla$  is flat iff the  $\mathcal{O}_S$ -map  $Q : \text{Der}(\mathcal{O}_S, \mathcal{O}_S) \rightarrow \text{End}(\mathcal{E})$  given by sending  $D$  to,

$$\mathcal{E} \xrightarrow{\nabla} \Omega_S^1 \otimes_{\mathcal{O}_S} \mathcal{E} \xrightarrow{D \otimes \text{id}} \mathcal{O}_S \otimes_{\mathcal{O}_S} \mathcal{E} \xrightarrow{a \otimes b \mapsto ab} \mathcal{E}$$

is a morphism of Lie algebras.

*Remark.* Note that  $Q(D)$  is in fact a  $\mathcal{O}_S$ -morphism using the universal property,

$$\text{Der}(\mathcal{O}_S, \mathcal{O}_S) \cong \text{Hom}_{\mathcal{O}_S}(\Omega_S^1, \mathcal{O}_S)$$

*Proof.* We need to check that  $Q[D_1, D_2] = [Q(D_1), Q(D_2)]$  is equivalent to  $\nabla_1 \circ \nabla = 0$ . Now,

$$[D_1, D_2] \in \text{Hom}_{\mathcal{O}_S}(\Omega_S^1, \mathcal{O}_S)$$

is the unique  $\mathcal{O}_S$ -map such that,

$$[D_1, D_2] \circ d = D_1 \circ d \circ D_2 \circ d - D_2 \circ d \circ D_1 \circ d$$

Now consider this action locally,

$$[D_1, D_2] \otimes \text{id} \circ \nabla(e) = \sum_i f_i (D_1 \circ d \circ D_2 \circ d - D_2 \circ d \circ D_1 \circ d)(g_i) \cdot s_i$$

Furthermore,

$$[Q(D_1), Q(D_2)] = (D_1 \otimes \text{id}) \circ \nabla \circ (D_2 \otimes \text{id}) \circ \nabla - (D_2 \otimes \text{id}) \circ \nabla \circ (D_1 \otimes \text{id}) \circ \nabla$$

Again consider its local action,

$$\begin{aligned} Q(D_1) \circ Q(D_2)(e) &= (D_1 \otimes \text{id}) \circ \nabla \left( \sum_i f_i D_2(\text{d}g_i) \cdot s_i \right) \\ &= \sum_i \left( [D_2(\text{d}g_i) D_1(\text{d}f_i) + f_i D_1(\text{d}(D_2(\text{d}g_i)))] \cdot s_i + f_i D_2(\text{d}g_i) D_1(\nabla s_i) \right) \end{aligned}$$

Now consider,

$$\begin{aligned} &\left[ Q(D_1) \circ Q(D_2) - Q(D_2) \circ Q(D_1) \right] - Q([D_1, D_2])(e) \\ &= \sum_i \left( D_1(\text{d}f_i) D_2(\text{d}g_i) - D_2(\text{d}f_i) D_1(\text{d}g_i) \right) \cdot s_i \\ &\quad + \sum_i f_i \left( D_1(\text{d}(D_2(\text{d}g_i))) - D_2(\text{d}(D_1(\text{d}g_i))) \right) \cdot s_i \\ &\quad + \sum_i \left( f_i D_2(\text{d}g_i) D_1(\nabla s_i) - f_i D_1(\text{d}g_i) D_2(\nabla s_i) \right) \\ &\quad - \sum_i f_i (D_1 \circ \text{d} \circ D_2 \circ \text{d} - D_2 \circ \text{d} \circ D_1 \circ \text{d})(g_i) \cdot s_i \\ &= \sum_i \left( D_1(\text{d}f_i) D_2(\text{d}g_i) - D_2(\text{d}f_i) D_1(\text{d}g_i) \right) \cdot s_i \\ &\quad + \sum_i \left( f_i D_2(\text{d}g_i) D_1(\nabla s_i) - f_i D_1(\text{d}g_i) D_2(\nabla s_i) \right) \\ &= (D_1 \otimes D_2 - D_2 \otimes D_1) \otimes \text{id}_{\mathcal{E}} \circ \omega_{\nabla} \end{aligned}$$

which is defined on  $(\Omega_S^1)^{\otimes 2} \otimes_{\mathcal{O}_S} \mathcal{E}$  but descends to  $\Omega_S^2 \otimes_{\mathcal{O}_S} \mathcal{E}$  since it sends the ideal  $\omega \otimes \omega \mapsto 0$ . Therefore, we see that  $Q$  is a Lie algebra map iff

$$\forall D_1, D_2 \in \text{Hom}_{\mathcal{O}_S}(\Omega_S^1, \mathcal{O}_S) : (D_1 \wedge D_2) \otimes \text{id}_{\mathcal{E}} \circ \omega_{\nabla} = 0$$

in particular when  $\omega_{\nabla} = 0$ . Furthermore when  $Q$  is a Lie algebra map then we must have  $\omega_{\nabla} = 0$  since, for any fixed form, there exists sections of  $\Omega_S^1$  which do not kill it.  $\square$

**Example 1.1.5.** For  $\mathcal{E} = \mathcal{O}_S$  we have the universal connection  $\text{d} : \mathcal{O}_S \rightarrow \Omega_S^1$ . Then the statment that  $\text{d}$  is flat is equivalent to  $\text{d}^2 = 0$ .

*Remark.* Recall that given  $f : X \rightarrow S$  there is an exact sequence of  $\mathcal{O}_X$ -modules,

$$f^* \Omega_S^1 \longrightarrow \Omega_X^1 \longrightarrow \Omega_{X/S}^1 \longrightarrow 0$$

We may define,

$$\Omega_{X/S}^k = \bigwedge^k \Omega_{X/S}^1$$

to give  $\Omega_{X/S}^\bullet$ , the relative deRham complex of  $X$  over  $S$ ,

$$0 \longrightarrow \mathcal{O}_X \xrightarrow{\text{d}} \Omega_{X/S}^1 \xrightarrow{\text{d}} \Omega_{X/S}^2 \longrightarrow \dots$$

**Definition 1.1.6.** Now consider a proper smooth morphism  $\pi : X \rightarrow S$  of smooth varieties. We define its sheaf of relative deRham cohomology by the hyperderived functors applied to the relative de Rham complex,

$$\mathcal{H}_{\text{dR}}^n(X/S) = \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet)$$

*Remark.* Note that for the structure map  $\pi : X \rightarrow \text{Spec}(k_0)$  map we have  $\pi_* \mathcal{F} = \Gamma(X, \mathcal{F})$  and thus its hyperderived functors are simply hypercohomology of sheaves so,

$$\mathcal{H}_{\text{dR}}^n(X/k_0) = \mathbb{H}^n(\Omega_{S/k_0}^\bullet) = H_{\text{dR}}^n(X/k_0)$$

recovering algebraic de Rham cohomology.

**Definition 1.1.7.** Let  $S$  and  $\pi : X \rightarrow S$  be smooth. Then there is a decreasing filtration,

$$F^p \Omega_X^q = \bigoplus_{p \geq p'} \text{Im} \left( (\pi^* \Omega_S^{p'} \otimes_{\mathcal{O}_X} \Omega_X^{q-p'} \rightarrow \Omega_X^q) \right)$$

There is always an exact sequence of sheaves of  $k_0$ -modules,

$$0 \longrightarrow F^1/F^2 \longrightarrow F^0/F^2 \longrightarrow F^0/F^1 \longrightarrow 0$$

which, in this case, gives an exact sequence of complexes,

$$0 \longrightarrow \Omega_{X/S}^{\bullet-1} \otimes_{\mathcal{O}_X} \pi^* \Omega_S^1 \longrightarrow \Omega_X^\bullet / F^2 \Omega_X^\bullet \longrightarrow \Omega_{X/S}^\bullet \longrightarrow 0$$

The associated long exact sequence of hypercohomology,

$$\begin{array}{ccccc} \mathbb{R}^n \pi_*(\Omega_{X/S}^{\bullet-1}) \otimes_{\mathcal{O}_S} \Omega_S^1 & \longrightarrow & \mathbb{R}^n \pi_*(\Omega_X^\bullet / F^2 \Omega_X^\bullet) & \longrightarrow & \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet) \xrightarrow{\nabla} \mathbb{R}^{n+1} \pi_*(\Omega_{X/S}^{\bullet-1}) \otimes_{\mathcal{O}_S} \Omega_S^1 \\ \parallel & & & & \parallel \\ \mathbb{R}^{n-1} \pi_*(\Omega_{X/S}^\bullet) \otimes_{\mathcal{O}_S} \Omega_S^1 & & & & \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet) \otimes_{\mathcal{O}_S} \Omega_S^1 \end{array}$$

In partiucular, the connecting map  $\nabla : \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet) \rightarrow \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet) \otimes_{\mathcal{O}_S} \Omega_S^1$  is a flat connection on the relative deRham sheaf,  $\mathcal{H}_{\text{dR}}^n(X/S) = \mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet)$ . We call this connection the Gauss-Manin connection.

*Remark.* For example, if  $f : X \rightarrow S$  is etale then we know that  $f^* \Omega_S^1 \rightarrow \Omega_X^1$  is an isomorphism and thus  $\Omega_{X/S}^1 = 0$ . Therefore, the sheaf of relative deRham cohomology is,

$$\mathbb{R}^n \pi_*(\Omega_{X/S}^\bullet) = \mathbb{R}^n \pi_*(0 \rightarrow \mathcal{O}_X \rightarrow 0 \rightarrow \cdots) = R^n \pi_*(\mathcal{O}_X)$$

Then the connecting map  $\nabla : R^n \pi_*(\mathcal{O}_X) \rightarrow R^n \pi_*(\mathcal{O}_X) \otimes_{\mathcal{O}_S} \Omega_S^1$  is simply induced by the exterior derivative,

$$\nabla = R^n \pi_*(d : \mathcal{O}_X \rightarrow \Omega_X^1)$$

where  $\pi_*(\Omega_X^1) = \pi_* \mathcal{O}_X \otimes_{\mathcal{O}_S} \Omega_S^1$ .

*Remark.* If we take  $k_0 = \mathbb{C}$  then GAGA implies that,

$$\mathcal{H}_{\text{dR}}^n(X/S)^{\text{an}} \cong \mathcal{H}_{\text{dR}}^n(X^{\text{an}}/S^{\text{an}})$$

and  $\nabla^{\text{an}}$  is a flat connection on  $\mathcal{H}_{\text{dR}}^n(X^{\text{an}}/S^{\text{an}})$  so there is a relative deRham complex,

$$0 \longrightarrow \mathcal{O}_X^{\text{an}} \xrightarrow{d} (\Omega_{X/S}^1)^{\text{an}} \xrightarrow{d} (\Omega_{X/S}^2)^{\text{an}} \longrightarrow \dots$$

However, by Ehresmann's lemma, locally above  $s \in S$  we may write  $\pi^{-1}(U) = U \times X_s$  and choose  $U$  to be contractible. Then, locally, there is a quasi-isomorphism,  $\Omega_{X^{\text{an}}/S^{\text{an}}}^{\bullet} \rightarrow \underline{\mathbb{C}}_X[0] \otimes \pi^{-1}\mathcal{O}_S^{\text{an}}$  by the Poincare lemma. Therefore, by the projection formula,

$$\mathcal{H}_{\text{dR}}^n(X^{\text{an}}/S^{\text{an}}) = \mathbb{R}^n \pi_*(\underline{\mathbb{C}}_X[0] \otimes \pi^{-1}\mathcal{O}_S^{\text{an}}) = R^n \pi_*(\underline{\mathbb{C}}_X) \otimes \mathcal{O}_S^{\text{an}}$$

In particular, there is a natural connection on this analytic sheaf,

$$\begin{aligned} \nabla^{\text{an}} : R^n \pi_*(\underline{\mathbb{C}}_X) \otimes_{\underline{\mathbb{C}}_S} \mathcal{O}_S &\rightarrow (R^n \pi_*(\underline{\mathbb{C}}_X) \otimes_{\underline{\mathbb{C}}_S} \mathcal{O}_S) \otimes_{\mathcal{O}_S} \Omega_S^1 = R^n \pi_*(\underline{\mathbb{C}}_X) \otimes_{\underline{\mathbb{C}}_S} \Omega_S^1 \\ \nabla^{\text{an}} : (\alpha \otimes f) &\mapsto \alpha \otimes df \end{aligned}$$

Clearly this connection satisfies  $\mathcal{H}_{\text{dR}}^n(X^{\text{an}}/S^{\text{an}})^{\nabla^{\text{an}}} \cong R^n \pi_*(\underline{\mathbb{C}}_X)$ . In fact, there is a unique connection satisfying this property which is the GAGA equivalent analytic connection to the algebraic Gauss-Manin connection.

*Remark.* Let  $X$  be a space over  $k$  such that  $\mathcal{M}_X(U)$  is always field and  $\mathcal{O}_X \rightarrow \mathcal{M}_X$  is injective and the kernel of  $d : \mathcal{M}_X \rightarrow \Omega_X^1 \otimes_{\mathcal{O}_X} \mathcal{M}_X$  consists exactly of the constant functions. For example a complex manifold over  $k = \mathbb{C}$  or an (irreducible) smooth variety over  $k$ .

**Proposition 1.1.8.** Let  $\mathcal{E}$  be a vector bundle of rank  $n$  on  $X$  with a connection,

$$\nabla : \mathcal{E} \rightarrow \Omega_X^1 \otimes_{\mathcal{O}_X} \mathcal{E}$$

Then  $\mathcal{E}^{\nabla} = \ker \nabla$  is  $\underline{k}$ -module with  $\dim_k \mathcal{E}^{\nabla}(U) \leq n$  for each open  $U \subset X$ .

*Proof.* Since  $\mathcal{E}$  is locally free, we can find a cover of trivializing neighborhoods  $U$  on each of which  $\mathcal{E}|_U \cong \mathcal{O}_U^{\oplus n}$ . Then  $\nabla : \mathcal{O}_U^{\oplus n} \rightarrow (\Omega_U^1)^{\oplus n}$  is a connection. Define,

$$\nabla e_j = \sum_{i=1}^n \omega_{ij} \otimes e_i$$

where  $\omega_{ij} \in \Omega_X^1(U)$  is a form. This uniquely defines the connection since,

$$\begin{aligned} \nabla(f_1, \dots, f_n) &= \nabla \left( \sum_{i=1}^n f_i e_i \right) = \sum_{i=1}^n (f_i \nabla e_i + df_i \otimes e_i) \\ &= \sum_{i,j=1}^n \omega_{ij} \otimes f_j e_i + (df_1, \dots, df_n) \end{aligned}$$

Therefore,  $\mathcal{E}^{\nabla}$  is given locally by  $(f_1, \dots, f_n)$  solving the linear system of differential equations,

$$df_i + \sum_{j=1}^n \omega_{ij} f_j = 0$$

Now we consider the meromorphic bundle  $\mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{M}_X$  and,

$$\nabla : \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{M}_X \rightarrow \Omega_X^1 \otimes_{\mathcal{O}_X} \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{M}_X$$

Then we consider  $\mathcal{E}_{\mathcal{M}}^{\nabla} = \ker \nabla_{\mathcal{M}}$  which has the advantage of  $\mathcal{E}_{\mathcal{M}}^{\nabla}(U)$  being a  $\mathcal{M}_X(U)$ -vectorspace. Let  $K = \mathcal{M}_X(U)$  which is a field. Furthermore, we can view  $\mathcal{E}_{\mathcal{M}}^{\nabla}(U) \subset K^n$  via the trivialization  $\mathcal{E}|_U \cong \mathcal{O}_U^{\oplus n}$  so there are at most  $n$   $K$ -independent elements of  $\mathcal{E}_{\mathcal{M}}^{\nabla}(U)$ , choose such a  $K$ -basis  $F^1, \dots, F^k$  with  $F^i = (f_1^i, \dots, f_n^i)$  such that,

$$dF^i + \omega \cdot F^i = 0$$

Now, for any  $F \in \mathcal{E}_{\mathcal{M}}^{\nabla}(U)$  we can write,

$$F = g_1 F^1 + \dots + g_k F^k$$

for  $g_i \in K$ . But furthermore,

$$dF + \omega \cdot F = 0$$

and thus,

$$dg_1 F^1 + \dots + dg_k F^k + g_1 dF^1 + \dots + g_k dF^k + \omega \cdot (g_1 F^1 + \dots + g_k F^k) = 0$$

However,

$$g_i dF^i + \omega \cdot g_i F^i = 0$$

and thus,

$$dg_1 F^1 + \dots + dg_k F^k = 0$$

However, the  $F^i$  form a basis and thus all  $dg_i = 0$  meaning that  $g_i \in \mathcal{O}_X(U)$  is constant i.e. in the image of  $k \hookrightarrow \mathcal{O}_X(U)$  under  $1 \mapsto 1$ . Therefore, since,

$$F = g_1 F^1 + \dots + g_k F^k$$

we see that  $\mathcal{E}_{\mathcal{M}}^{\nabla}(U)$  is spanned over  $k$  by  $F^1, \dots, F^k$  and thus  $\dim_k \mathcal{E}_{\mathcal{M}}^{\nabla}(U) \leq k \leq n$ . However,  $\mathcal{E}^{\nabla}(U) \subset \mathcal{E}_{\mathcal{M}}^{\nabla}(U)$  is a  $k$ -subspace so we conclude that  $\dim_k \mathcal{E}^{\nabla}(U) \leq \dim_k \mathcal{E}_{\mathcal{M}}^{\nabla}(U) \leq k \leq n$ .  $\square$

*Remark.* What Hypothesis needed here for integrability????

**Proposition 1.1.9.** Let  $\mathcal{E}$  be a vector bundle of rank  $n$  on  $X$  with a flat connection,

$$\nabla_{\mathcal{K}} : \mathcal{E} \rightarrow \Omega_X^1 \otimes_{\mathcal{O}_X} \mathcal{E}$$

if and only if  $\mathcal{E}^{\nabla} = \ker \nabla$  is a local system of rank  $n$ .

*Proof.* We saw that  $\mathcal{E}^{\nabla}$  is given locally by  $(f_1, \dots, f_n)$  solving the linear system of differential equations,

$$df_i + \sum_{j=1}^n \omega_{ij} f_j = 0$$

This having a solution implies that its derivative vanishes,

$$\sum_{j=1}^n d\omega_{ij} f_j + \sum_{j=1}^n df_j \wedge \omega_{ij} = 0$$

Plugging in for  $df_j$  gives,

$$\sum_{j=1}^n \left[ d\omega_{ij} f_j - \sum_{k=1}^n \omega_{jk} \wedge \omega_{ij} f_k \right] = 0$$



and thus, reindexing,

$$\sum_{j=1}^n \left[ d\omega_{ij} + \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj} \right] f_j = 0$$

so all solutions must be in the kernel of the curvature operator,

$$\Theta_{ij} = d\omega_{ij} + \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj}$$

In order that  $\mathcal{E}^\nabla$  have full dimension as a  $\underline{k}$ -module then  $\Theta_{ij}$  must vanish since The condition of flatness means that,

$$\nabla_1 \circ \nabla = 0$$

which locally is,

$$\begin{aligned} \nabla_1 \circ \nabla(f_1, \dots, f_n) &= \nabla_1 \left( \sum_{i,j=1}^n \omega_{ij} \otimes f_j e_i + \sum_{j=1}^n df_j \otimes e_j \right) \\ &= \sum_{i,j=1}^n [d\omega_{ij} \otimes f_j e_i - \omega_{ij} \wedge \nabla(f_j e_i)] + \sum_{i=1}^n [ddf_i \otimes e_i - df_i \wedge \nabla e_i] \\ &= \sum_{i,j=1}^n \left[ d\omega_{ij} \otimes f_j e_i - \omega_{ij} \wedge \left( df_j \otimes e_i + f_j \sum_{k=1}^n \omega_{ki} \otimes e_k \right) \right] - \sum_{i,j=1}^n [df_j \wedge \omega_{ij} \otimes e_i] \\ &= \sum_{i,j=1}^n \left[ d\omega_{ij} \otimes e_i - \sum_{k=1}^n \omega_{ij} \wedge \omega_{ki} \otimes e_k \right] f_j \\ &= \sum_{i,j=1}^n \left[ d\omega_{ij} + \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj} \right] \otimes f_j e_i \end{aligned}$$

So the curvature  $\omega_\nabla$  is given by coefficients,

$$\Theta_{ij} = d\omega_{ij} + \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj}$$

This vanishing is exactly the criterion in Frobenius' theorem for integrability.  $\square$

## 2 Principle B

**Proposition 2.0.1.** Let  $k_0 \subset \mathbb{C}$  have finite transcendence degree over  $\mathbb{Q}$  and  $X$  be a complete smooth variety over a field  $k$  that is finitely generated over  $k_0$ . Let  $\nabla$  be the Gauss-Manin connection on  $\mathcal{H}_{\text{dR}}^n(X)$  relative to  $X \rightarrow \text{Spec}(k) \rightarrow \text{Spec}(k_0)$ .

If  $t \in H_{\text{dR}}^n(X)$  is rational relative to all embeddings  $k \hookrightarrow \mathbb{C}$  then  $\nabla t = 0$ .

*Proof.* Let  $A$  be a finite-type  $k_0$ -algebra and  $\pi : X_A \rightarrow \text{Spec}(A)$  a smooth proper map with generic fibre  $X_{(0)} = X \rightarrow \text{Spec}(k)$  and such that  $t$  extends to  $\Gamma(\text{Spec}(A), \mathcal{H}_{\text{dR}}^n(X/\text{Spec}(A)))$ . After bse change via  $k_0 \hookrightarrow \mathbb{C}$  to  $S = \text{Spec}(A_{\mathbb{C}})$  there are maps,

$$X_S \rightarrow S \rightarrow \text{Spec}(\mathbb{C})$$

and a global section  $t' = t \otimes 1$  of  $\mathcal{H}_{\mathrm{dR}}^n(X_S^{\mathrm{an}}/S^{\mathrm{an}})$ . We need to show that  $(\nabla \otimes 1)t' = 0$ . However, if we recall that,

$$\mathcal{H}_{\mathrm{dR}}^n(X_S^{\mathrm{an}}/S^{\mathrm{an}}) = \mathbb{R}^n \pi_*^{\mathrm{an}}(\Omega_{X_S^{\mathrm{an}}/S^{\mathrm{an}}}^\bullet) = (R^n \pi_* \mathbb{C}) \otimes_{\mathbb{C}} \mathcal{O}_{S^{\mathrm{an}}} = H^n(X_S^{\mathrm{an}}, \mathbb{C}) \otimes_{\mathbb{C}} \mathcal{O}_{S^{\mathrm{an}}}$$

and that the Gauss-Manin connection kills exactly those sections purely in,

$$\mathcal{H}^n(X_S^{\mathrm{an}}, \mathbb{C}_X) = R^n \pi_*(\mathbb{C}_X)$$

An embedding  $\sigma : k \hookrightarrow \mathbb{C}$  gives a point  $\mathrm{Spec}(\mathbb{C}) \rightarrow \mathrm{Spec}(A)$  of  $s$ . Since  $t$  is rational,

$$t(s) \in H^n(X_s^{\mathrm{an}}, \mathbb{Q}) \subset H_{\mathrm{dR}}^n(X_s^{\mathrm{an}})$$

Then locally on  $S$  we have  $\mathcal{H}_{\mathrm{dR}}^n(X^{\mathrm{an}}/S^{\mathrm{an}}) = R^n \pi_*(\mathbb{C}_X) \otimes \mathcal{O}_S^{\mathrm{an}}$  which is locally free and  $\mathcal{H}^n(X^{\mathrm{an}}, \mathbb{C}_X)$  gives its sheaf of locally constant sections. However,  $t$  takes rational values on the closed points which are dense so it must be locally constant and thus  $t \in \mathcal{H}^n(X^{\mathrm{an}}, \mathbb{C}_X)$  so  $\nabla t = 0$ .  $\square$

**Definition 2.0.2.** Let  $\pi : X \rightarrow S$  be a proper smooth map of smooth varieties /  $\mathbb{C}$  with  $S$  connected. Then,

$$\mathcal{H}_{\mathrm{et}}^n(X/S)(m) = \varprojlim_r (R^n \pi_* \mu_r^{\otimes m}) \otimes_{\mathbb{Z}} \mathbb{Q}$$

and

$$\mathcal{H}_{\mathbb{A}}^n(X/S)(m) = \mathcal{H}_{\mathrm{dR}}^n(X/S)(m) \times \mathcal{H}_{\mathrm{et}}^n(X/S)(m)$$

and

$$\mathcal{H}_B^{2p}(X/S)(p) = R^{2p} \pi_*^{\mathrm{an}} \mathbb{Q}(p)$$

*Remark.* By Ehresmann's lemma we can locally write  $\pi^{-1}(U) = U \times X_s$  with  $U$  contractible. Therefore, by Kunneth,

$$H_B^{2p}(X/S)(p)(U) = H^{2p}(\pi^{-1}(U), \mathbb{Q}(p)|_U) = H_B^{2p}(X_s, \mathbb{Q}(p)) \otimes_{\mathbb{Q}} H^0(U, \mathbb{Q}(p)) = H_B^{2p}(X_s, \mathbb{Q}(p))$$

since  $U$  is contractible. This is a constant sheaf so  $H_B^{2p}(X/S)(p)$  is a local system. A similar argument holds for the other sheaves.

**Theorem 2.0.3** (Principle B). Let  $t \in \Gamma(\mathcal{H}_{\mathbb{A}}^{2p}(X/S)(p))$  such that  $\nabla t_{\mathrm{dR}} = 0$ . If  $(t_{\mathrm{dR}})_s \in F^0 H_{\mathrm{dR}}^{2p}(X_s)(p)$  for each  $s \in S$  and  $t_s$  is an absolute Hodge cycle in  $H_{\mathbb{A}}^{2p}(X_s)(p)$  for some  $s$  then it is an absolute Hodge cycle for every  $s$ .

*Proof.* We suppose that  $t_s$  is an absolute Hodge cycle for some  $s \in S$ . For any  $s' \in S$  we need to show that  $t_{s'}$  is absolutely Hodge meaning that it is rational relative to every isomorphism  $\sigma : \mathbb{C} \rightarrow \mathbb{C}$ . However, such an isomorphism gives a morphism  $\sigma\pi : \sigma X \rightarrow \sigma S$  and a section  $\sigma(t)$  of  $\mathcal{H}_{\mathbb{A}}^n(\sigma X/\sigma S)(p)$ . We know that  $\sigma(t)_{\sigma s}$  is rational and we must show that  $\sigma(t)_{\sigma s'}$  is rational. It suffices to prove this for  $\sigma = \mathrm{id}$  given that there is some  $\sigma$  for which this global rationality holds.

First, consider the component  $t_{\mathrm{dR}}$  of  $t$  (relative to the construction of  $\mathcal{H}_{\mathbb{A}}^n(\sigma X/\sigma S)(p)$  as a product. By assumption  $\nabla t_{\mathrm{dR}} = 0$  so  $t_{\mathrm{dR}}$  is a global section of  $\mathcal{H}^{2p}(X^{\mathrm{an}}, \mathbb{C}_X)$  which we have shown is the vanishing of the analytic Gauss-Manin connection. Since  $t_{\mathrm{dR}}$  is rational at one point, it must be rational at every point since  $\mathcal{H}^{2p}(X^{\mathrm{an}}, \mathbb{C}_X)$  is locally constant and  $X^{\mathrm{an}}$  is connected.

Thus, it suffices to prove the rationality of the other factor  $t_{\mathrm{et}}$ . Since the relative cohomology sheaves defined above are local systems, for any point  $s$  we have a monodromy action of  $\pi_1(S, s)$

on their stalks at  $s$  whose fixed points are those germs which extend globally. In particular, this induces isomorphism,

$$\begin{aligned}\Gamma(S, \mathcal{H}_B^{2p}(X/S)(p)) &\cong H_B^{2p}(X_s)^{\pi_1(S,s)} \\ \Gamma(S, \mathcal{H}_{\text{ét}}^{2p}(X/S)(p)) &\cong H_{\text{ét}}^{2p}(X_s)^{\pi_1(S,s)}\end{aligned}$$

Then consider the diagram,

$$\begin{array}{ccccc}\Gamma(S, \mathcal{H}_B^{2p}(X/S)(p)) & \hookrightarrow & \Gamma(S, \mathcal{H}_B^{2p}(S/X)(p)) \otimes \mathbb{A}_{\text{fin}} & \xrightarrow{\sim} & \Gamma(S, \mathcal{H}_{\text{ét}}^{2p}(X/S)(p)) \\ \downarrow \sim & & \downarrow \sim & & \downarrow \sim \\ H_B^{2p}(X_s)(p)^{\pi_1(S,s)} & \hookrightarrow & H_B^{2p}(X_s)(p)^{\pi_1(S,s)} \otimes \mathbb{A}_{\text{fin}} & \xrightarrow{\sim} & H_{\text{ét}}^{2p}(X_s)(p)^{\pi_1(S,s)} \\ \downarrow & & \downarrow & & \downarrow \\ H_B^{2p}(X_s) & \hookrightarrow & H_B^{2p}(X_s) \otimes \mathbb{A}_{\text{fin}} & \xrightarrow{\sim} & H_{\text{ét}}^{2p}(X_s)(p)\end{array}$$

We have  $t_{\text{ét}} \in \Gamma(S, \mathcal{H}_{\text{ét}}^{2p}(X/S)(p))$  which is rational at  $s$  so its image in  $H_{\text{ét}}^{2p}(X_s)(p)$  lies in  $H_B^{2p}(X_s)(p)$ . Now we need the following lemma which allows us to conclude that  $t_{\text{ét}} \in \Gamma(S, \mathcal{H}_B^{2p}(X/S)(p))$  and thus  $(t_{\text{ét}})_{s'} \in H_B^{2p}(X_s)(p) \subset H_{\text{ét}}^{2p}(X_s)(p)$  for all  $s'$  completing the theorem.  $\square$

**Lemma 2.0.4.** Let  $W \hookrightarrow V$  be an inclusion of vectorspaces. Let  $Z$  be a third vectorspace and take nonzero  $z \in Z$ . Embed  $V$  in  $V \otimes Z$  via  $v \mapsto v \otimes z$ . Then, in  $V \otimes Z$ ,

$$(W \otimes V) \cap (V \otimes z) = W \otimes z$$

*Proof.* This is clear if we choose a basis  $e_i$  for  $W$  which extends to a basis of  $V$ . Then any  $x \in V \otimes Z$  has a unique expansion,

$$x = \sum e_i \otimes z_i$$

If  $x \in W \otimes Z$  then  $z_i = 0$  for each  $e_i$  not in  $W$  and if  $x \in V$  then  $z_i = z$  for each nonzero  $z_i$ .  $\square$

*Remark.* The proof of principle B concludes taking  $Z = \mathbb{A}_{\text{fin}}$  and  $z = 1$  over the inclusion  $H_B^{2p}(X_s)^{\pi_1(S,x)(p)} \rightarrow H_B^{2p}(X_s)(p)$ . The lemma then implies that, in  $H_{\text{ét}}^{2p}(X_s)(p)$ ,

$$\begin{aligned}\Gamma(S, \mathcal{H}_B^{2p}(X/S)(p)) \cap H_B^{2p}(X_s)(p) &= [H_B^{2p}(X_s)(p)^{\pi_1(S,s)} \otimes \mathbb{A}_{\text{fin}}] \cap H_B^{2p}(X_s)(p) \\ &= H_B^{2p}(X_s)(p)^{\pi_1(S,s)} = \Gamma(S, \mathcal{H}_B^{2p}(X/S)(p))\end{aligned}$$

so we get a global rational section.

### 3 The Main Theorem

**Theorem 3.0.1** (Deligne). Let  $X$  be an abelian variety over an algebraically closed field  $k$  and  $t \in H_{\mathbb{A}}^{2p}(X)(p)$ . If  $t$  is a Hodge cycle relative to some embedding  $\sigma : k \hookrightarrow \mathbb{C}$  then it is a Hodge cycle with respect to every embedding. That is, every Hodge cycle is absolutely Hodge.

## 4 Hodge Structures and Mumford-Tate Groups

### 4.1 The Deligne Torus

*Remark.* Let  $T \rightarrow S$  be a morphism of schemes. Given an  $S$ -scheme  $X$  and a  $T$ -scheme  $Y$ ,

$$\mathrm{Hom}_T(Y, X \times_S T) = \mathrm{Hom}_S(Y, X)$$

where,

$$\begin{array}{ccc} Y & & \\ \downarrow \text{dashed} & \searrow \text{solid} & \downarrow \text{solid} \\ X \times_S T & \longrightarrow & T \\ \downarrow & & \downarrow \\ X & \longrightarrow & S \end{array}$$

**Definition 4.1.1.** Let  $T \rightarrow S$  be a morphism of schemes. Given an  $T$ -scheme  $X$  we define the restriction of scalars functor  $\mathcal{R}_{T/S}(X) : \mathbf{Sch}_S^{\mathrm{op}} \rightarrow \mathbf{Set}$  via,

$$Y \mapsto X(Y \times_S T) = \mathrm{Hom}_T(Y \times_S T, X)$$

When the functor  $\mathcal{R}_{T/S}(X)$  is representable in  $\mathbf{Sch}_S$  then we call the (unique up to unique isomorphism)  $S$ -scheme representing it  $X' = \mathrm{Res}_{T/S}(X)$  such that,

$$\mathcal{R}_{T/S}(X) = \mathrm{Hom}_S(-, \mathrm{Res}_{T/S}(X))$$

In this case, we have an isomorphism of functors,

$$\mathrm{Hom}_T(- \times_S T, X) = \mathrm{Hom}_S(-, \mathrm{Res}_{T/S}(X))$$

which makes  $\mathrm{Res}_{T/S}(X)$  be right-adjoint to extension of scalars functor,

$$Y_S \mapsto Y_S \times_S T$$

*Remark.* Starting with  $\mathbb{G}_m^A = \mathrm{Spec}(A[z, z^{-1}])$  we define some algebraic groups as follows.

**Definition 4.1.2.** The Deligne torus  $\mathbb{S}$  is an algebraic group over  $\mathbb{R}$  defined as,

$$\mathbb{S} = \mathrm{Res}_{\mathbb{C}/\mathbb{R}} \mathbb{G}_m^{\mathbb{C}}$$

where  $\mathrm{Res}_{\mathbb{C}/\mathbb{R}}$  is restriction of scalars from  $\mathbb{C}$  to  $\mathbb{R}$ .

*Remark.* We may characterize  $\mathrm{Res}_{\mathbb{C}/\mathbb{R}}$  as the right-adjoint to base change so the  $S$ -points are,

$$\begin{aligned} \mathbb{S}(S) &= \mathrm{Hom}_{\mathbb{R}}(S, \mathrm{Res}_{\mathbb{C}/\mathbb{R}} \mathbb{G}_m^{\mathbb{C}}) = \mathbb{G}_m^{\mathbb{C}}(S \times_{\mathbb{R}} \mathbb{C}) = \mathrm{Hom}_{\mathbb{C}}(S \times_{\mathbb{R}} \mathbb{C}, \mathbb{G}_m^{\mathbb{C}}) \\ &= \mathrm{Hom}_{\mathbb{C}}(\mathbb{C}[z, z^{-1}], \Gamma(S \times_{\mathbb{R}} \mathbb{C})) = \Gamma(S \times_{\mathbb{R}} \mathbb{C})^{\times} \end{aligned}$$

In particular, the  $\mathbb{R}$ -points of  $\mathbb{S}$  are,

$$\mathbb{S}(\mathbb{R}) = \mathbb{G}_m^{\mathbb{C}}(\mathbb{C}) = \mathbb{C}^{\times}$$

Furthermore, the  $\mathbb{C}$ -points of  $\mathbb{S}$  are,

$$\mathbb{S}(\mathbb{C}) = \mathbb{G}_m^{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}) = \mathrm{Hom}_{\mathbb{C}}(\mathbb{C}[z, z^{-1}], \mathbb{C} \oplus i\mathbb{C}) = \mathbb{C}^{\times} \times i\mathbb{C}^{\times}$$

**Definition 4.1.3.** We define a set of characters and cocharacters of  $\mathbb{S}$ . First we define the character,

$$\mathrm{Nm} : \mathbb{S} \rightarrow \mathbb{G}_m^{\mathbb{R}}$$

on  $\mathbb{R}$ -points  $\mathbb{S}(\mathbb{R}) \rightarrow \mathbb{G}_m^{\mathbb{R}}(\mathbb{R})$  as  $\mathbb{C}^\times \rightarrow \mathbb{R}^\times$  via  $z \mapsto z\bar{z}$ .

Furthermore, we define the cocharacter,

$$w : \mathbb{G}_m^{\mathbb{R}} \rightarrow \mathbb{S}$$

on  $\mathbb{R}$ -points  $\mathbb{G}_m^{\mathbb{R}}(\mathbb{R}) \rightarrow \mathbb{S}(\mathbb{R})$  by the natural inclusion  $\mathbb{R}^\times \hookrightarrow \mathbb{C}^\times$ .

Lastly, we define a  $\mathbb{C}$ -cocharacter,

$$\mu : \mathbb{G}_m^{\mathbb{C}} \rightarrow \mathbb{S}_{\mathbb{C}}$$

on  $\mathbb{C}$ -points via  $\mathbb{G}_m^{\mathbb{C}}(\mathbb{C}) \rightarrow \mathbb{S}_{\mathbb{C}}(\mathbb{C})$  as  $\mu(z) = (z, i)$  where,

$$\mathbb{S}_{\mathbb{C}}(\mathbb{C}) = \mathrm{Hom}_{\mathbb{C}}(\mathbb{C}, \mathbb{S} \times_{\mathbb{R}} \mathbb{C}) = \mathrm{Hom}_{\mathbb{R}}(\mathbb{C}, \mathbb{S}) = \mathbb{S}(\mathbb{C}) = \mathbb{C} \oplus i\mathbb{C}$$

## 4.2 Hodge Structures

**Definition 4.2.1.** Let  $V$  be a finite-dimensional  $\mathbb{Q}$ -vectorspace. A  $\mathbb{Q}$ -rational Hodge structure of weight  $n$  on  $V$  is a decomposition,

$$V_{\mathbb{C}} = V \otimes_{\mathbb{Q}} \mathbb{C} = \bigoplus_{p+q=n} V^{p,q}$$

such that  $V^{q,p} = \overline{V^{p,q}}$ .

**Definition 4.2.2.** A Hodge structure defines a cocharacter,

$$\mu : \mathbb{G}_m^{\mathbb{C}} \rightarrow \mathrm{GL}(V_{\mathbb{C}})$$

via  $\mu(z)v^{p,q} = z^{-p}v^{p,q}$  for  $v^{p,q} \in V^{p,q}$ .

Furthermore,  $\overline{\mu(z)} \cdot v^{p,q} = \bar{z}^{-q}v^{p,q}$  commutes with the action of  $\mu(z)$ . Therefore, we may take their product to give a map of real algebraic groups,

$$h : \mathbb{S} \rightarrow \mathrm{GL}(V_{\mathbb{R}})$$

via  $h(z)v^{p,q} = z^{-p}\bar{z}^{-q}v^{p,q}$ . where  $\mathbb{C}^\times$  is the algebraic group,

$$\mathrm{Spec}(\mathbb{C}[x, x^{-1}]) \rightarrow \mathrm{Spec}(\mathbb{R})$$

*Remark.* Conversely, any homomorphism of  $\mathbb{R}$ -algebraic groups  $h : \mathbb{S} \rightarrow \mathrm{GL}(V_{\mathbb{R}})$  which, on  $\mathbb{R}$ , restricts to  $r \mapsto r^{-n}\mathrm{id}_V$  defines a Hodge structure of weight  $n$  on  $V$  by taking  $V^{p,q}$  to be the eigenspace of eigenvalue  $z^{-p}\bar{z}^{-q}$  for  $h(z)$  i.e.,

$$V^{p,q} = \{v \in V_{\mathbb{C}} \mid \forall z \in \mathbb{S}(\mathbb{R}) : h(z) \cdot v = z^{-p}\bar{z}^{-q}v\}$$

**Definition 4.2.3.** The Weil operator  $C \in \mathrm{GL}(V_{\mathbb{R}})$  of a Hodge structure  $(V, h)$  is  $C = h(i)$ .

**Proposition 4.2.4.** Given a Hodge structure on  $V$  there is a decreasing filtration of  $V_{\mathbb{C}}$  via,

$$F^p V = \bigoplus_{p' \geq p} V^{p', n-p'}$$

(ASK RAYMOND ABOUT TATE TWISTS AND THIS HODE STRUCTURE)

**Example 4.2.5.** For any  $m$  we define a Hodge structure of weight  $-2m$  denoted  $\mathbb{Q}(m)$  via taking  $\mathbb{Q}(m)_{\mathbb{C}} = \mathbb{Q}(m)^{-m, -m}$

### 4.3 Mumford-Tate Groups

**Definition 4.3.1.** The Mumford-Tate group  $M(V)$  associated to Hodge structure  $(V, h)$  is the smallest  $\mathbb{Q}$ -algebraic subgroup of  $\mathrm{GL}(V)$  such that,

$$\mathrm{Im}(h)(\mathbb{R}) \subset M(V)(\mathbb{R})$$

**Example 4.3.2.** For  $\mathbb{Q}(m)$  as a Hodge structure the map  $h : \mathbb{C}^\times \rightarrow \mathrm{GL}_1(\mathbb{R})$  is given by  $h(z) = |z|^{-m}$  which is surjective for  $m \neq 0$ . Thus, for  $n \neq 0$  we have,

$$M_h = \mathbb{G}_m^{\mathbb{Q}}$$

and for  $n = 0$  it is  $\mathrm{Spec}(\mathbb{Q})$  the trivial  $\mathbb{Q}$ -group scheme.

(BADDD)

**Proposition 4.3.3.** Let  $V$  be a  $\mathbb{Q}$ -vector space with Hodge structure  $h$  of weight  $n$ . The tensor space,

$$T = V^{\otimes m_1} \otimes V^{\vee \otimes m_2} \otimes \mathbb{Q}(1)^{\otimes m_3}$$

has a Hodge structure of weight  $(m_1 - m_2)n - 2m_3$ . Then the Mumford-Tate group  $G$  of  $(V, h)$  is the subgroup of  $\mathrm{GL}_n(V) \times \mathbb{G}_m$  fixing all rational tensors of type  $(0, 0)$  in  $T$ .

*Proof.* For any  $t \in T$  the element  $t$  is of type  $(0, 0)$  iff it is fixed by  $\mu(\mathbb{G}_m)$  so  $M_h = H'$ . We will now prove that characters of  $H$  lift and thus  $H = H'$ .  $\square$

### 4.4 DO IT RIGHT

*Remark.* Let  $(V, h)$  be a Hodge structure of weight  $d$ . Then the tensor space,

$$T^{m,n}(V) = \bigoplus_{j=1}^n V^{\otimes m_j} \otimes (V^\vee)^{\otimes n_j}$$

is a Hodge structure of weight,

$$N = \sum_{j=1}^n (m_j - n_j)d$$

Furthermore, let  $M(V)$  be the Mumford-Tate group of  $(V, h)$  i.e. the intersection of all  $\mathbb{Q}$ -algebraic subgroups of  $\mathrm{GL}(V)$  whose  $\mathbb{R}$ -points contain  $\mathrm{Im}(h)$ .

**Lemma 4.4.1.** There are morphism of  $\mathbb{R}$ -algebraic subgroups,

$$\mathbb{S} \xrightarrow{\psi} M(V)_{\mathbb{R}} \hookrightarrow \mathrm{GL}(V_{\mathbb{R}})$$

Conversely, given any  $\mathbb{Q}$ -vector space  $H$  with an algebraic representation,

$$\rho : M(V) \rightarrow \mathrm{GL}(H)$$

gives  $H$  a Hodge structure via,

$$\mathbb{S} \xrightarrow{\psi} M(V)_{\mathbb{R}} \xrightarrow{\rho} \mathrm{GL}(H_{\mathbb{R}})$$

**Proposition 4.4.2.** Let  $H \subset T^{m,n}(V)$  be any rational subspace. Then  $H$  is a Hodge substructure iff  $H$  is stable under  $M(V)$ . Furthermore, a rational vector  $t \in T^{m,n}(V)$  is of type  $(0,0)$  iff it is fixed by  $M(V)$ .

*Proof.* If  $H$  is stable under the action of the Mumford-Tate group then it becomes a representation  $\rho : M(V) \rightarrow \mathrm{GL}(H)$  since it is rational this gives a Hodge structure on  $H$ .

Conversely, suppose that  $V \subset T^{m,n}(V)$  is a substructure then consider its stabilizer  $G_H \subset \mathrm{GL}(V)$  which is a  $\mathbb{Q}$ -algebraic subgroup since  $H$  is rational. Moreover,  $(G_H)_{\mathbb{R}}$  contains  $\mathrm{Im}(h)$  because as a Hodge structure it splits into eigenspaces of  $h$  so is preserved by its image. Thus  $M(V) \subset G_H$  by definition so  $M(V)$  preserves  $V$ .

Likewise, it is clear that  $t$  is fixed by the action of  $\mathbb{S}(\mathbb{R})$  iff  $t$  is of Hodge type  $(0,0)$ . Thus it suffices to prove that  $t$  is fixed by  $\mathbb{S}(\mathbb{R})$  iff it is fixed by  $M(V)$ . A similar argument will show this.

First, if  $t$  is fixed by  $M(V)$  then it is fixed by  $M(V)(\mathbb{R})$  which contains  $\mathrm{Im}(h)$  and thus  $t$  is fixed by  $\mathbb{S}(\mathbb{R})$ .

Conversely, if  $t$  is fixed by  $\mathbb{S}(\mathbb{R})$  then its stabilizer  $G_t \subset \mathrm{GL}(V)$  is a  $\mathbb{Q}$ -algebraic subgroup since  $t$  is rational. Furthermore, by assumption,  $\mathrm{Im}(h) \subset (G_t)(\mathbb{R})$  and thus  $M(V) \subset G_t$  by definition showing that  $M(V)$  fixes  $t$ .  $\square$

**Corollary 4.4.3.** The space  $\mathrm{End}(V)$  is an algebraic  $M(V)$ -rep and therefore a Hodge structure. Furthermore, the type- $(0,0)$  Hodge classes are exactly morphisms of Hodge structures since they must commute with the action of  $\mathbb{S}$ . Therefore,

$$\mathrm{Hom}_{\mathrm{HS}}(V, V) = \mathrm{End}(V)^{M(V)}$$

## 4.5 Polarization

**Definition 4.5.1.** A polarization  $\psi$  of  $(V, h)$  is a morphism of Hodge structures,

$$\psi : V \times V \rightarrow \mathbb{Q}(-n)$$

such that  $\psi(x, Cy)$  on  $V_{\mathbb{R}}$  is an inner product where  $C = h(i)$  is the Weil operator.

*Remark.* Under the canonical isomorphism,

$$\mathrm{Hom}(V \otimes V, \mathbb{Q}(-n)) \cong V^{\vee} \otimes V^{\vee}(-n)$$

a polarization is a tensor of bidegree  $(0,0)$  because it is a morphism of Hodge structures and thus is fixed by the Mumford-Tate group  $G$ ,

$$\forall v, v' \in V : \forall (g_1, g_2) \in G(\mathbb{Q}) : \psi(g_1 v, g_2 v') = g_2^n \psi(v, v')$$

*Remark.* Let  $C = h(i)$  be a Weil operator. For  $v^{p,q} \in V^{p,q}$  we have  $Cv^{p,q} = i^{-p+q}v^{p,q}$  and thus  $C^2$  acts as  $(-1)^n$  on all of  $V$  where  $n = p + q$  is the weight of  $V$ .

**Definition 4.5.2.** Let  $H$  be a real algebraic group with an involution  $\sigma$  of  $H_{\mathbb{C}}$ . Then a real-form of  $H$  is a real algebraic group  $H_{\sigma}$  and an isomorphism  $H_{\mathbb{C}} \rightarrow (H_{\sigma})_{\mathbb{C}}$  sending complex conjugation to the action of  $\sigma$  on complex conjugation on  $H(\mathbb{C})$ .

**Theorem 4.5.3.** The Mumford-Tate group  $M(V)$  is connected and if  $(V, h)$  is polarizable then  $M(V)$  is reductive.

*Proof.*  $M(V)$  is clearly connected else its connected component of the identity would be a smaller  $\mathbb{Q}$ -algebraic subgroup also satisfying the property that its  $\mathbb{R}$ -points contain  $\text{Im}(h)$  (because  $\mathbb{S}$  is connected the image must lie in this connected component). Now, we use the fact that a connected algebraic group is reductive if it has a faithful semisimple representation. We will show that the tautological representation  $M(V) \hookrightarrow \text{GL}(V)$  which is clearly faithful is also semisimple when  $V$  is polarizable.  $\square$

**Proposition 4.5.4.** If  $V$  is polarizable then  $M(V) \subset \text{GL}(V)$  is semisimple.

*Proof.* We will prove that a real algebraic group  $H$  is semisimple if it has a *compact* real-form. It suffices to show that  $H_\sigma$  is semisimple. By the unitarian trick, any finite-dimensional  $H$ -rep has an  $H_\sigma$ -invariant positive definite symmetric form via,

$$\langle u, v \rangle_0 = \int_{H_\sigma} \langle h \cdot u, h \cdot v \rangle$$

to conclude that every finite-dimensional  $H_\sigma$ -rep is semisimple. This implies that  $H_\sigma$  is reductive.

Thus, it suffices to prove that the Mumford-Tate group has a *compact* real-form (the compactness here is the magic ingredient). Consider the special Mumford-Tate group of  $(V, h)$ ,

$$G^0 = \ker(G \rightarrow \mathbb{G}_m)$$

and  $G^1$  be the smallest  $\mathbb{Q}$ -rational subgroup of  $\text{GL}(V) \times \mathbb{G}_m$  (WHY THIS GROUP) such that  $G^1_{\mathbb{R}}$  contains  $h(U^1)$  where  $U^1$  is the  $\mathbb{R}$ -algebraic groups whose  $\mathbb{R}$ -points are  $S^1 \subset \mathbb{C}^\times$ . Then,  $G^1 \subset G^0 \subset G$  since,

$$G^1_{\mathbb{R}} \cdot h(C^\times) = G_{\mathbb{R}} \text{ and } h(U^1) = \ker(h(C^\times) \rightarrow \mathbb{G}_m)$$

so  $G^0 = G^1$  and thus  $G^0$  is connected since  $G^1$  is.

Since  $C = h(i)$  acts trivially on  $\mathbb{Q}(1)$  we know  $C \in G^0(\mathbb{R})$ . Furthermore  $C^2$  acts as  $(-1)^n$  on  $V$  and thus is in the center of  $G^0(\mathbb{R})$ . The inner automorphism  $a_C : g \mapsto C^{-1}gC$  of  $G_{\mathbb{R}}$  is therefore an involution since its square satisfies,

$$a_C^2(g) = C^{-2}gC^2 = g$$

because  $C^2$  is in the center.

Now let  $\psi$  be a polarization of  $V$ . For  $u, v \in V_{\mathbb{C}}$  and  $g \in G^0(\mathbb{C})$  we have,

$$\psi(u, C\bar{v}) = \psi(gu, gC\bar{v}) = \psi(g, CC^{-1}gC\bar{v}) = \psi(gu, C\overline{a_C(\bar{g})}v)$$

Thus, the positive-definite bilinear form  $\phi(u, v) = \psi(u, C\bar{v})$  on  $V_{\mathbb{R}}$  is invariant under the  $G^0$ -real-form  $G^0_{a_C}$  since the action of  $\bar{g}$  is sent to  $a_C(\bar{g})$  under the isomorphism  $G^0_{\mathbb{C}} \rightarrow (G^0_{a_C})_{\mathbb{C}}$ . Since  $G^0_{a_C}$  has an invariant inner-product on  $V$  it must be compact. (ASK HARRIS ABOUT THAT)  $\square$



## 4.6 Characterizing Subgroups

Here let  $G$  be a reductive algebraic group over a field  $k$  of characteristic zero and let  $V_\alpha$  be a faithful family of finite-dimensional representations of  $G$  over  $k$  such that  $G \rightarrow \prod \mathrm{GL}(V_\alpha)$  is injective. We may define a tensor algebra,

$$T^{m,n} = \bigotimes_{\alpha} V_{\alpha}^{\otimes m(\alpha)} \otimes \bigotimes_{\alpha} (V_{\alpha}^{\vee})^{\otimes n(\alpha)}$$

which is also a finite  $G$ -rep.

**Definition 4.6.1.** Then for any algebraic subgroup  $H \subset G$  we write  $H'$  for the subgroup fixing all tensors appearing in some  $T$  fixed by  $H$ . That is,  $H'$  is the largest subgroup  $H \subset H'$  which fixes every tensor fixed by  $H$ .

**Definition 4.6.2.** Given an algebraic group  $G$  over  $k$  we define its character group,

$$X_k(G) = \mathrm{Hom}_k(G, \mathbb{G}_m^k)$$

**Theorem 4.6.3.** We have the following,

- (a) Every finite  $G$ -rep is contained in a sum of  $T^{m,n}$
- (b) Every subgroup  $H \subset G$  is the stabilizer of a line  $D$  in some finite  $G$ -rep.
- (c) If  $H \subset G$  is reductive or  $X_k(G) \rightarrow X_k(H)$  is surjective then  $H = H'$ .

*Proof.* Let  $W$  be a finite  $G$ -rep and  $W_0$  be the trivial rep on the underlying space of  $W$ . There is a morphism of  $G$ -reps,  $W \rightarrow W_0 \otimes_k k[G] \cong k[G]^{\dim W}$  so it suffices to prove that the regular representation can be expressed in terms of tensors.

There must be a finite sum  $V = \bigoplus_{\alpha} V_{\alpha}$  such that the action  $G \rightarrow \mathrm{GL}(V)$  is faithful then embed,

$$\mathrm{GL}(V) \rightarrow \mathrm{End}(V) \times \mathrm{End}(V^{\vee})$$

identifying  $\mathrm{GL}(V)$  with a closed subvariety of  $\mathrm{End}(V) \times \mathrm{End}(V^{\vee})$  (FIX)

Let  $I \subset \Gamma(G, \mathcal{O}_G)$  be the ideal of global functions on  $G$  whose value is zero on  $H$ . Consider the regular  $G$ -representation  $k[G]$  (FIX)

The subgroup  $H$  is the stabilizer of a line  $D$  in some  $G$ -representation  $V$  which, by (a), we may take to be a direct sum of tensor representations  $T^{m,n}$ . Now suppose that  $H$  is reductive then  $V$  must be a semisimple  $H$ -representation so we can write  $V = W \oplus D$  for some  $H$ -representation  $W$ . Furthermore, dualizing  $V^{\vee} = W^{\vee} \oplus D^{\vee}$ . Since  $H$  is the stabilizer of  $D$   $\square$

(WHAT IS THE POINT)

**Lemma 4.6.4.** Every  $\mathbb{Q}$ -character of  $H$  (above) extends to  $\mathrm{GL}(V) \times \mathbb{G}_m$

*Proof.* Any  $\mathbb{Q}$ -character restricted to  $\mathbb{G}_m$  is  $\mathbb{Q}(n)$  for some  $n$ . After tensoring with  $\mathbb{Q}(-n)$  we find that the character is trivial on  $\mu(\mathbb{G}_m)$ . But  $H$  as the minimal subgroup must act trivially then we use the fact that trivial characters extend.  $\square$

(OF THIS)

**Theorem 4.6.5.** Let  $G \subset \mathrm{GL}(V)$  be the subgroup of all elements which fix every  $(0, 0)$ -hodge class in every tensor space  $T^{m,n}(V)$ . Then  $M(V) = G$ .

*Proof.* We have shown that  $M(V) \subset G$ . Furthermore,  $M(V)' = G$  since  $(0, 0)$ -tensors are exactly the tensors fixed by the Mumford-Tate group and thus  $G$  is the group of all elements fixing all tensors fixed by  $M(V)$ . Now we use the general fact about reductive groups that if  $G$  is reductive and  $H \subset G$  is a reductive subgroup then  $H' = H$ .  $\square$

## 4.7 Back to Principle B

*Remark.* We need a slightly stronger version of Principle B proved as a corellary.

**Theorem 4.7.1.** Let  $\pi : X \rightarrow S$  be a smooth proper map of smooth varieties over  $\mathbb{C}$  with  $S$  connected and let  $V$  be a local subsyttem of  $R^{2p}\pi_*\mathbb{Q}(p)$  such that  $V_s$  consists purely of  $(0, 0)$ -cycles for all  $s$  and consists of absolute Hodge cycles at at least one  $s \in S$ . Then  $V_s$  consists of absolute Hodge cycles for all  $s \in S$ .

*Proof.* If  $V$  is constant i.e. if the map  $\Gamma(S, V) \rightarrow V_s$  is bijective then this follows immedietly from the above argument. However, we may reduce the general case to this as follows.

By Hodge theory on  $S^{\mathrm{an}}$ , at each point  $s \in S$  the stalk  $(R^{2p}\pi_*\mathbb{Q}(p))_s$  has a Hodge structure and a polarization which, since  $R^{2p}\pi_*\mathbb{Q}(p)$  is a local system, glue to give a form,

$$\psi : R^{2p}\pi_*\mathbb{Q}(p) \times R^{2p}\pi_*\mathbb{Q}(p) \rightarrow \mathbb{Q}(-p)$$

which at each point is a polarization on the Hodge structure  $(R^{2p}\pi_*\mathbb{Q}(p))_s$ . On the rational  $(0, 0)$ -subspace,

$$(R^{2p}\pi_*\mathbb{Q}(p))_S \cap (R^{2p}\pi_*\mathbb{C}(p))_s^{0,0}$$

the form is symmetric, bilinear, rational and positive definite. Since  $V_s$  everywhere consists of  $(0, 0)$ -cycles this is a form defined on  $V_s$ . Since monodromy preserves the form, the image of  $\pi_1(S, s_0)$  in  $\mathrm{Aut}(V_{s_0})$  is finite because it is discrete and lies inside the compact group preserving the form. Therefore, after passing to a finite covering we can ensure that  $\pi_1(S, s_0)$  acts trivially on  $V_{s_0}$  implying that  $V$  is globally constant.  $\square$

## 5 Principle A

**Definition 5.0.1.** Let  $X_\alpha$  be a family of complete smooth varieties over  $k$ . We define tensor spaces,

$$\begin{aligned} T_{\mathrm{dR}} &= \left( \bigotimes_{\alpha} H_{\mathrm{dR}}^{m(\alpha)}(X_{\alpha}) \right) \otimes \left( \bigotimes_{\alpha} H_{\mathrm{dR}}^{n(\alpha)}(X_{\alpha})^{\vee} \right) (m) \\ T_{\mathrm{dR}} &= \left( \bigotimes_{\alpha} H_{\mathrm{\acute{e}t}}^{m(\alpha)}(X_{\alpha}) \right) \otimes \left( \bigotimes_{\alpha} H_{\mathrm{\acute{e}t}}^{n(\alpha)}(X_{\alpha})^{\vee} \right) (m) \\ T_{\mathbb{A}} &= T_{\mathrm{dR}} \times T_{\mathrm{\acute{e}t}} \end{aligned}$$

Finally, given an inclusion  $k \hookrightarrow \mathbb{C}$  we get a Betti tensor space,

$$T_{\sigma} = \left( \bigotimes_{\alpha} H_{\sigma}^{m(\alpha)}(X_{\alpha}) \right) \otimes \left( \bigotimes_{\alpha} H_{\sigma}^{n(\alpha)}(X_{\alpha})^{\vee} \right) (m)$$

We say that an element  $t \in T_{\mathbb{A}}$  is,

- (a) rational relative to  $\sigma$  if its image in  $T_{\mathbb{A}} \otimes_{k \times \mathbb{A}_{\text{fin}}} (\mathbb{C} \times \mathbb{A}_{\text{fin}})$  lies in the subspace  $T_{\sigma}$
- (b) is a Hodge cycle relative to  $\sigma$  if it is rational relative to  $\sigma$  and its first component lies in  $F^0$  meaning it lies in the subspace generated by,

$$F^0 H_{\text{dR}}^{2p}(X)(p) = H_{\text{dR}}^{p,p}(X) \subset H_{\text{dR}}^{2p}(X)(p) \times H_{\text{ét}}^{2p}(X)(m)$$

- (c) is absolutly Hodge if it is a Hodge cycle relative to each  $\sigma : k \hookrightarrow \mathbb{C}$ .

**Theorem 5.0.2** (Principle A). Let  $X_{\alpha}$  be a family of varieties over  $\mathbb{C}$  and,

$$T = \bigotimes_{\alpha} H_B^{n_{\alpha}}(X_{\alpha}) \otimes H_B^{n_{\alpha}}(X_{\alpha})^{\vee} \otimes \mathbb{Q}(1)$$

Let  $t_i \in T_i$  be absolute Hodge cycles and let  $G$  be the subgroup of,

$$\prod_{\alpha, n_{\alpha}} \text{GL}(H_B^{n_{\alpha}}(X_{\alpha})) \times \mathbb{G}_m$$

fixing all  $t_i$ . If  $t \in T$  and is fixed by  $G$  then it is an absolute Hodge cycle.

*Remark.* We first need a lemma.

(FIX THIS SECTION ON TORSORS)

**Lemma 5.0.3.** Let  $G$  be an algebraic group over  $\mathbb{Q}$  and  $P$  be a  $G$ -torsor of isomorphism  $H_{\sigma}^{\alpha} \rightarrow H_{\tau}^{\alpha}$  where these are families of  $\mathbb{Q}$ -rational  $G$ -reps. Let  $T_{\sigma}$  and  $T_{\tau}$  be tensor spaces of  $H_{\sigma}$  and  $H_{\tau}$ . Then  $P$  defines a map  $T_{\sigma}^G \rightarrow T_{\tau}$ .

*Proof.* Locally, for the etale topology on  $\text{Spec}(\mathbb{Q})$ , (MEANING WE CAN CHOSE AN ETALE COVERING SUCH THAT THIS IS THE CASE?) points of  $P$  give isomorphisms  $T_{\sigma} \rightarrow T_{\tau}$ . Furthermore, the restriction to  $T_{\sigma}^G$  is independent of the point since  $P$  is a  $G$ -torsor. Therefore, this map descends to  $T_{\sigma}^G \rightarrow T_{\tau}$ .  $\square$

*Proof.* We define our groups over  $k$  with an isomorphism  $\sigma : k \hookrightarrow \mathbb{C}$ . Let  $\tau : k \hookrightarrow \mathbb{C}$  be any other isomorphism. We may assume that  $t$  and  $t_i$  belong to the same tensor space  $T$  then because the  $t_i$  are absolute Hodge cycles, they lie in  $T_{\sigma}$  for each  $\sigma$ . Then there are inclusions of cohomology,

$$\begin{array}{ccc} H_{\sigma}(X_{\alpha}) & & H_{\tau}(X_{\alpha}) \\ & \searrow \quad \swarrow & \\ & H_{\sigma}(X_{\alpha}) \otimes (\mathbb{C} \times \mathbb{A}_{\text{fin}}) & \end{array}$$

defined by these isomorphisms. These inclusions follow from the identification of  $H_{\sigma}(X_{\alpha}) \otimes (\mathbb{C} \times \mathbb{A}_{\text{fin}})$  with the etale cohomology which is independent of the choice of embedding  $k \hookrightarrow \mathbb{C}$ . These induce maps on the tensors,

$$\begin{array}{ccc} T_{\sigma} & & T_{\tau} \\ & \searrow \quad \swarrow & \\ & T \otimes (\mathbb{C} \times \mathbb{A}_{\text{fin}}) & \end{array}$$

Now, define a functor,

$$P(R) = \{p : H_\sigma \times R \xrightarrow{\sim} H_\tau \otimes R \mid p : p \text{ preserves each absolute Hodge cycles}\}$$

Recall that, by definition, an absolute Hodge cycle corresponds to another absolute Hodge cycle for each embedding  $k \hookrightarrow \mathbb{C}$  so the condition above make sense,  $p$  should identify  $t_i \in T_\sigma$  with its corresponding absolute Hodge cycle in  $T_\tau$ .

The inclusions demonstrate that  $P(\mathbb{C} \times \mathbb{A}_{\mathbb{Q}, \text{fin.}})$  is nonempty and since  $H_\sigma \otimes R$  and  $H_\tau \otimes \mathbb{R}$  are  $G$ -representations we get a  $G$ -action on  $P(R)$ . Since  $G$  is the group fixing exactly the absolute Hodge cycles, we can see that  $P$  is a  $G$ -torsor.

If we apply the previous lemma we obtain a map  $T_\sigma^G \rightarrow T_\tau$  making the following diagram commute,

$$\begin{array}{ccc} T_\sigma^G & \longrightarrow & T_\tau \\ \downarrow & & \downarrow \\ T_\sigma & \hookrightarrow & T \otimes (\mathbb{C} \times \mathbb{A}_{\text{fin}}) \end{array}$$

Therefore, the map  $T_\sigma^G \rightarrow T_\tau$  is injective we must have  $t \in T_\tau$  since it lies in  $T_\sigma^G$  by hypothesis. Thus  $t$  is rational relative to all  $\sigma$ .

It remains to show that the component  $t_{\text{dR}}$  of  $T \otimes \mathbb{C} = T_{\text{dR}}$  lies in the filtration  $F^0 T_{\text{dR}}$ . For a rational  $s \in T_{\text{dR}}$ ,

$$s \in F^0 T_{\text{dR}} \iff s \text{ is fixed by } \mu(\mathbb{C}^\times)$$

where  $\mu(\mathbb{C}^\times)$  corresponds to the real action defining the Mumford-Tate group. Since, by hypothesis,  $(t_i)_{\text{dR}} \in F^0$  we know that  $G \supset \mu(\mathbb{C}^\times)$  since  $\mu(\mathbb{C}^\times)$  must fix all of them. Clearly then if  $t$  is fixed by  $G$  we must have  $t$  fixed by  $\mu(\mathbb{C}^\times)$  and thus  $t_{\text{dR}} \in F^0 T_{\text{dR}}$ .  $\square$

## 6 Construction of Some Absolute Hodge Cycles

### 6.1 Hermitian Forms

*Remark.* Recall that a number field  $E$  is a CM-field if for each embedding  $E \hookrightarrow \mathbb{C}$  complex conjugation induces a nontrivial automorphism on  $E$  independently on the embedding. The fixed field is then a totally real field  $F$  and  $E/F$  has degree 2.

**Definition 6.1.1.** If  $E$  is a CM-field and  $V$  is a  $K$ -vectorspace then a sesquilinear form  $\phi : V \times V \rightarrow \mathcal{E}$  is Hermitian if  $\phi(v, w) = \overline{\phi(w, v)}$ .

*Remark.* For any embedding  $\tau : F \hookrightarrow \mathbb{R}$  we obtain a Hermitian form  $\phi_\tau$  on  $V_\tau = V \otimes_\tau \mathbb{R}$ . Let  $a_\tau$  and  $b_\tau$  be the dimensions of the maximal subspaces of  $V_\tau$  on which  $\phi_\tau$  is positive definite and negative definite respectively.

Furthermore,  $\phi$  defines a Hermitian form on the top forms  $\Lambda^{\dim V} V \cong E$  which must be an  $E$ -Hermitian form on  $E$  and thus is given by an element  $f \in F$  defined up to  $\text{Nm}_{E/F} E^\times$ . We call this the discriminant.

*Remark.* Let  $(v_1, \dots, v_d)$  be an orthogonal basis for  $\phi$  and  $\phi(v_i, v_i) = c_i$ . Then  $a_\tau$  is the number of  $i$  s.t.  $\tau c_i > 0$  and  $b_\tau$  is the number of  $i$  s.t.  $\tau c_i < 0$  and  $f = c_1 \cdots c_n$ . If  $\phi$  is nondegenerate, then  $f \in F^\times / \text{Nm}_{E/F} E^\times$  and,

$$a_\tau + b_\tau = \dim V \quad \text{sign}(\tau f) = (-1)^{b_\tau}$$

**Proposition 6.1.2.** Given, for each embedding  $\tau : F \hookrightarrow \mathbb{C}$ , a tripple  $(a_\tau, b_\tau)$  and  $f \in F^\times / \text{Nm}_{E/F} E^\times$  satisfying the above. Then there exists a unique pair  $(V, \phi)$  a non-degenerate Hermitian form  $\phi$  on an  $E$ -vectorspace  $V$  with invariants  $(a_\tau, b_\tau)$  with respect to  $\tau : F \hookrightarrow \mathbb{R}$  and  $f$ .

**Definition 6.1.3.** A Hermitian space  $(V, \phi)$  of dimension  $d$  is *split* if it satisfies the equivalent conditions,

- (a)  $a_\tau = b_\tau$  for all  $\tau$  and  $f = (-1)^{d/2}$
- (b) there is a totally isotropic subspace of  $V$  of dimnsion  $d/2$  (for each  $v \in W : \phi(v, v) = 0$ ).

**Lemma 6.1.4.** Let  $k$  be a field,  $k'$  an etale  $k$ -algebra (a finite product of finite separable extensions of  $k$ ) and  $V$  a f.g. free  $k'$ -module. Then,

- (a) The map,

$$f \mapsto \text{Tr}_{k'/k} \circ f : \text{Hom}_{k'}(V, k') \rightarrow \text{Hom}_k(V, k)$$

is an isomorphism of  $k$ -vectorspaces.

- (b)  $\bigwedge_{k'}^n V$  is a direct summand of  $\bigwedge_k^n V$  naturally.

*Proof.* The trace map  $\text{Tr}_{k'/k} : k' \times k' \rightarrow k$  is nondegenerate (HOW IS THIS A PAIRING). The map  $f \mapsto \text{Tr}_{k'/k} \circ f$  is injective and then onto because the spaces are of the same dimension.

There are obvious maps,

$$\begin{aligned} \bigwedge_k^n V &\rightarrow \bigwedge_{k'}^n V \\ \bigwedge_k^n V^\vee &\rightarrow \bigwedge_{k'}^n V^\vee \end{aligned}$$

where here we deine the dual of  $k'$ -modules as,

$$V^\vee = \text{Hom}_{k'}(V, k') = \text{Hom}_k(V, k)$$

(WHAT?) □

## 6.2 Conditions to Consist of Absolute Hodge Cycles

*Remark.* In this section we will be in the following situation.

**Definition 6.2.1.** Let  $A$  be an abelian variety over  $\mathbb{C}$  and  $E$  a CM field with a homomorphism  $\nu : E \rightarrow \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$ . Let  $= \dim_E H_1(A, \mathbb{Q})$  which has an  $E$ -vectorspace structure via  $\nu$ . Thus,  $2 \dim A = d[E : \mathbb{Q}]$ .

**Proposition 6.2.2.** The analytic space  $A^{\text{an}}$  is a compact complex Lie group which is a complex torus. Let  $\mathfrak{g}$  be the lie Algebra then there is an  $\mathbb{R}$ -linear map  $\mathfrak{g} \rightarrow H_1(A^{\text{an}}, \mathbb{R})$  sending a tangent vector to the homology class defined by its geodesic (ASK HARRIS ABOUT THIS). Now  $\mathfrak{g}$  is a complex vectorspace so  $H_1(A^{\text{an}}, \mathbb{R})$  inherents a complex structure given by an  $\mathbb{R}$ -linear endomorphism  $J : H_1(A^{\text{an}}, \mathbb{R}) \rightarrow H_1(A^{\text{an}}, \mathbb{R})$ .

**Proposition 6.2.3.** Hoge theory gives a hodge structure on  $H^1(A^{\text{an}}, \mathbb{R})$  which is determined by a map  $h : \mathbb{S} \rightarrow \text{GL}(H^1(A, \mathbb{R}))$ .

Now, on a complex torus of  $\dim_{\mathbb{R}}(A^{\text{an}}) = 2g$  there are isomorphisms,

$$H^1(A^{\text{an}}, \mathbb{R})^{\vee} \xrightarrow{\sim} \bigwedge^{2g-1} H^1(A^{\text{an}}, \mathbb{R}) \xrightarrow{\sim} H^{2g-1}(A^{\text{an}}, \mathbb{R}) \xrightarrow{\sim} H_1(X, \mathbb{R})$$

This identification gives an isomorphism,

$$\text{GL}(H^1(A^{\text{an}}, \mathbb{R})) \cong \text{GL}(H_1(A, \mathbb{R}))$$

under which  $h(i) \mapsto J$ .

**Proposition 6.2.4.** Consider the decomposition,

$$\begin{aligned} E \otimes_{\mathbb{Q}} \mathbb{C} &\xrightarrow{\sim} \prod_{\sigma \in \text{Hom}(E, \mathbb{C})} \mathbb{C} \\ e \otimes z &\mapsto (\sigma \mapsto \sigma(e) \cdot z) \end{aligned}$$

Tensoring by  $H_B^1(A) = H^1(A^{\text{an}}, \mathbb{Q})$  we find,

$$H_B^1(A) \otimes_{\mathbb{Q}} \mathbb{C} \cong \bigoplus_{\sigma \in \text{Hom}(E, \mathbb{C})} H_B^1(A) \otimes_{\sigma} \mathbb{C}$$

where,

$$H_B^1(A) = H^1(A^{\text{an}}, \mathbb{Q})$$

is an  $E$ -vectorspace and  $e \in E$  acts on  $H_B^1(A) \otimes_{\sigma} \mathbb{C}$  via  $\sigma(e)$ . Since  $E$  respects the Hodge structure on  $H_B^1(A)$  each  $H_{E, \sigma}^1(A) = H^1(A^{\text{an}}, \mathbb{Q}) \otimes_{\sigma} \mathbb{C}$  acquires a Hodge structure,

$$H_{E, \sigma}^1(A) = H_{E, \sigma}^{1,0}(A) \oplus H_{E, \sigma}^{0,1}(A)$$

Define,

$$a_{\sigma} = \dim_{\mathbb{C}} H_{E, \sigma}^{1,0}(A) \quad \text{and} \quad b_{\sigma} = \dim_{\mathbb{C}} H_{E, \sigma}^{0,1}(A) \quad \text{thus} \quad a_{\sigma} + b_{\sigma} = d$$

**Proposition 6.2.5.** The subspace,

$$\bigwedge_E^d H_B^1(A) \subset H^d(A^{\text{an}}, \mathbb{Q})$$

has pure bidegree  $(\frac{d}{2}, \frac{d}{2})$  iff  $a_{\sigma} = b_{\sigma}$  for each  $\sigma \in \text{Hom}(E, \mathbb{C})$ .

*Proof.* For a complex torus, we have,

$$H^d(A^{\text{an}}, \mathbb{Q}) \cong \bigwedge_{\mathbb{Q}}^d H^1(A^{\text{an}}, \mathbb{Q})$$

so a previous lemma identifies,

$$\bigwedge_E^d H^1(A^{\text{an}}, \mathbb{Q}) \subset \bigwedge_{\mathbb{Q}}^d H^1(A^{\text{an}}, \mathbb{Q})$$

as a direct summand. Then consider,

$$\begin{aligned}
\left(\bigwedge_E^d H_B^1(A)\right) \otimes_{\mathbb{Q}} \mathbb{C} &\cong \bigwedge_{E \otimes_{\mathbb{Q}} \mathbb{C}}^d (H_B^1(A) \otimes_{\mathbb{Q}} \mathbb{C}) \\
&\cong \bigoplus_{\sigma \in \text{Hom}(E, \mathbb{C})} \bigwedge_{\mathbb{C}}^d (H^1(A^{\text{an}}, \mathbb{Q}) \otimes_{\sigma} \mathbb{C}) \\
&\cong \bigoplus_{\sigma \in \text{Hom}(E, \mathbb{C})} \bigwedge_{\mathbb{C}}^d (H_{E, \sigma}^{1,0}(A) \oplus H_{E, \sigma}^{0,1}(A)) \\
&\cong \bigoplus_{\sigma \in \text{Hom}(E, \mathbb{C})} \bigwedge_{\mathbb{C}}^{a_{\sigma}} H_{E, \sigma}^{1,0}(A) \oplus \bigwedge_{\mathbb{C}}^{b_{\sigma}} H_{E, \sigma}^{0,1}(A)
\end{aligned}$$

Thus, we have decomposed this subspace into a sum of pure bidegree  $(a_{\sigma}, 0)$  and  $(0, b_{\sigma})$  proving the proposition.  $\square$

*Remark.* In the case  $a_{\sigma} = b_{\sigma}$  then,

$$\left(\bigwedge_E^d H_B^1(A)\right) \left(\frac{d}{2}\right)$$

(ASK HARRIS WHY TATE TWIST HERE?) consists of Hodge cycles. We want to know when this consists of absolute Hodge cycles.

**Lemma 6.2.6.** If  $A = A_0 \otimes_{\mathbb{Q}} E$  for some abelian variety  $A_0$  of dimension  $\frac{d}{2}$  then,

$$\left(\bigwedge_E^d H_B^1(A)\right) \left(\frac{d}{2}\right) \subset H^d(A^{\text{an}}, \mathbb{Q}) \left(\frac{d}{2}\right)$$

consists of absolute Hodge cycles.

*Proof.* Consier the diagram,

$$\begin{array}{ccc}
H_B^d(A_0) \left(\frac{d}{2}\right) \otimes_{\mathbb{Q}} E & \longrightarrow & H_B^d(A_0) \left(\frac{d}{2}\right) \otimes_{\mathbb{Q}} E \\
\downarrow \sim & & \downarrow \sim \\
\left(\bigwedge_E^d H_B^1(A_0 \otimes_{\mathbb{Q}} E)\right) \left(\frac{d}{2}\right) & \longrightarrow & \left(\bigwedge_{E \otimes_{\mathbb{A}}}^d H_{\mathbb{A}}^1(a_0 \otimes_{\mathbb{Q}} E)\right) \left(\frac{d}{2}\right) \hookrightarrow H_{\mathbb{A}}^d(A_0 \otimes E) \left(\frac{d}{2}\right)
\end{array}$$

The vertical maps are induced by the isomorphism  $H_B^1(A_0) \otimes_{\mathbb{Q}} E \xrightarrow{\sim} H_B^1(A_0 \otimes_{\mathbb{Q}} E)$ . There is a similar diagram for each embedding  $\sigma : E \hookrightarrow \mathbb{C}$  and thus the image of the bottom map must be stable with respect to a choice of  $\sigma : E \hookrightarrow \mathbb{C}$ . Therefore, the Hodge cycles,

$$\left(\bigwedge_E^d H_B^1(A_0 \otimes_{\mathbb{Q}} E)\right) \left(\frac{d}{2}\right) \subset H_B^d(A_0 \otimes_{\mathbb{Q}} E) \left(\frac{d}{2}\right)$$

are indeed absolutly Hodge. (ASK HARRIS ABOUT THIS PROOF)? I don't understand it.  $\square$

## 6.3 Riemann Forms

**Definition 6.3.1.** A Hermitian form  $H$  on a complex vectorspace  $V$  is a complex bilinear form  $H : \overline{V} \times V \rightarrow \mathbb{C}$  (sesquilinear on  $H$ ) which satisfies,

$$H(u, v) = \overline{H(v, u)}$$

**Lemma 6.3.2.** Let  $V$  be a complex vectorspace. There is a one-to-one correspondence between Hermitian forms  $H$  on  $V$  and real-valued skew-symmetric forms  $E$  on  $V$ .

*Proof.* The correspondence is given by,

$$\begin{aligned} H &\mapsto E_H & E_H(u, v) &= \operatorname{Im}(H(u, v)) \\ E &\mapsto H_E & H_E(u, v) &= E(iu, v) + iE(u, v) \end{aligned}$$

□

**Definition 6.3.3.** A Riemann form  $E : V \times V \rightarrow \mathbb{R}$  on a complex vectorspace  $V$  is an antisymmetric  $\mathbb{R}$ -bilinear form such that,

- (a)  $E(iu, iv) = E(u, v)$
- (b) the corresponding Hermitian form  $H_E$  is positive definite.

**Definition 6.3.4.** A complex torus  $X = V/\Lambda$  is *polarizable* if there exists an antisymmetric form  $E : \Lambda \times \Lambda \rightarrow \mathbb{Z}$  such that  $E_{\mathbb{R}} : V \times V \rightarrow \mathbb{R}$  (using that  $V = \Lambda \otimes_{\mathbb{Z}} \mathbb{R}$ ) is a Riemann form.

(IS THIS EQUIVALENT TO THE POLARIZATION OF THE HODGE STRUCTURE  $H_1(X, \mathbb{Q})$ )

**Theorem 6.3.5.** A complex torus  $X = V/\Lambda$  is of the form  $A^{\text{an}}$  for some abelian variety  $A$  iff  $X$  is polarizable.

(DOES THIS IMPLY THAT ALL ABELIAN VARIETIES ARE POLARIZABLE IN THE FOLLOWING SENSE)

**Definition 6.3.6.** A polarization of an abelian variety  $A$  is an isogeny  $\lambda : A \rightarrow A^{\vee}$  such that

*Remark.* We can identify,  $A^{\vee} = \operatorname{Pic}^0(A)$ .

**Proposition 6.3.7.** For each line bundle  $\mathcal{L}$  on  $A/k$  there is an associated morphism  $\phi_{\mathcal{L}} : A \rightarrow A^{\vee}$  which is an isogeny if  $\mathcal{L}$  is ample.

*Proof.* We define a map  $\phi_{\mathcal{L}} : A(\bar{k}) \rightarrow \operatorname{Pic}(A)$  via  $\phi_{\mathcal{L}}(x) = t_x^* \mathcal{L} \otimes_{\mathcal{O}_A} \mathcal{L}^{-1}$ . First, via the Theorem of the Square, for  $x, y \in A(\bar{k})$ ,

$$t_x^* \mathcal{L} \otimes_{\mathcal{O}_A} t_y^* \mathcal{L} = t_{x+y}^* \mathcal{L} \otimes_{\mathcal{O}_A} \mathcal{L}$$

Therefore,

$$(t_x^* \mathcal{L} \otimes_{\mathcal{O}_A} \mathcal{L}^{-1}) \otimes_{\mathcal{O}_A} (t_y^* \mathcal{L} \otimes_{\mathcal{O}_A} \mathcal{L}^{-1}) = t_{x+y}^* \mathcal{L} \otimes_{\mathcal{O}_A} \mathcal{L}^{-1}$$

so  $\phi$  is a group homomorphism. Furthermore,  $\deg t_x^* \mathcal{L} = \deg \mathcal{L}$  since the map  $t_x : A \rightarrow A$  is an isomorphism. (IS THIS TRUE?) Therefore,  $\deg \phi_{\mathcal{L}}(x) = 0$  so the image is contained in  $\operatorname{Pic}^0(A) = A^{\vee}(\bar{k})$ . □

**Definition 6.3.8.** A polarization of  $A$  is an isogeny  $\phi : A \rightarrow A^{\vee}$  such that  $\phi_{\bar{k}} : A_{\bar{k}} \rightarrow A_{\bar{k}}^{\vee}$  is of the form  $\phi_{\mathcal{L}}$  for some ample line bundle  $\mathcal{L}$  on  $A_{\bar{k}}$ . Deriving from a line bundle gives symmetry  $\phi = \phi^{\vee}$  and ampleness is a positivity condition.

**Definition 6.3.9.** Let  $A$  be an abelian variety with a polarization  $\phi : A \rightarrow A^{\vee}$ . Since  $\phi$  is an isogeny, it has an “inverse element” in the algebra  $\phi^{-1} \in \operatorname{Hom}(A^{\vee}, A) \otimes \mathbb{Q}$ . (This follows from inverting the multiplication by  $n$  maps). Then we define the Rosati involution of the endomorphism algebra  $\operatorname{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  via,

$$\alpha^{\dagger} = \phi^{-1} \circ \alpha^{\vee} \circ \phi \quad \text{for } \alpha \in \operatorname{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$$



*Remark.* The Rosati involution depends on the choice of polarization.

**Theorem 6.3.10.** A polarization  $\theta$  on  $A$  is determined by a Riemann form  $\phi$  on  $H_1(A^{\text{an}}, \mathbb{Q})$ . Two forms  $\phi, \phi'$  determine the same polarization iff  $\exists a \in \mathbb{Q}^\times : \phi' = a\phi$ . In this case, the Rosati involution is determined by,

$$\forall u, v \in H_1(A^{\text{an}}, \mathbb{Q}) : \phi(\alpha(u), v) = \phi(u, \alpha^\dagger(v)) \quad \alpha \in \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$$

*Proof.* (HOW DOES ONE PROVE THIS?) □

**Theorem 6.3.11.** Let  $A$  be an abelian variety over  $\mathbb{C}$  and  $\nu : E \rightarrow \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  the inclusion of a CM-field with  $d = \dim_E H^1(A^{\text{an}}, \mathbb{Q})$ . Suppose there exists a polarization  $\theta$  for  $A$  such that,

- (a) the Rosati involution of  $\theta$  induces complex conjugation on  $E \subset \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$
- (b) there exists a split  $E$ -Hermitian form  $\phi$  on  $H_1(A^{\text{an}}, \mathbb{Q})$  and  $f \in E^\times$  with  $\bar{f} = -f$  such that  $\phi(x, y) = \text{Tr}_{E/\mathbb{Q}}(f\phi(x, y))$  is a Riemann form for  $\theta$ .

Then the subspace,

$$\left( \bigwedge_E^d H_B^1(A) \right) \left( \frac{d}{2} \right) \subset H^d(A^{\text{an}}, \mathbb{Q}) \left( \frac{d}{2} \right)$$

consists of absolute Hodge cycles.

## 6.4 Shimura Varieties

# 7 The Proof for Abelian Varieties of CM Type

**Definition 7.0.1.** The Mumford-Tate group  $M(A)$  of an abelian variety  $A$  is the Mumford Tate group of the rational Hodge structure  $H_1(A, \mathbb{Q})$ .

**Definition 7.0.2.** An abelian variety is of CM-type if  $M(A)$  is abelian.

*Remark.* Any abelian variety  $A$  is isogenous to a product of simple abelian varieties  $A_\alpha$  and  $A$  is CM-type iff each  $A_\sigma$  is CM-type since the Mumford-Tate group of the product  $M(A)$  is contained in the product of  $M(A_\alpha)$  and projects fully onto each. Therefore, it will suffice to study simple abelian varieties of CM-type.

**Lemma 7.0.3.** Let  $A$  be an abelian variety. Then  $\text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  is isomorphic to the subalgebra of elements in  $\text{End}(H_1(A^{\text{an}}, \mathbb{Q}))$  preserving the Hodge structure. Furthermore, preserving the Hodge structure is equivalent to commuting with the image of  $\mu : \mathbb{G}_m \rightarrow \text{GL}(H_1(A^{\text{an}}, \mathbb{C}))$ .

*Proof.* (PROVE THIS) □

**Proposition 7.0.4.** A simple abelian variety over  $\mathbb{C}$  is of CM-type iff  $E = \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  is a commutative field over which  $H_1(A, \mathbb{Q})$  has dimension 1. In this case,  $E$  is a CM-field and the Rosati involution on  $E$  for any polarization of  $A$  is complex conjugation on  $E \subset \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$ .

*Proof.* Let  $A$  be an abelian variety with  $E \subset \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  such that,

$$\dim_E H_1(A, \mathbb{Q}) = 1$$

Then  $\mu(\mathbb{G}_m)$  commutes with  $E \otimes \mathbb{R}$  in  $\text{End}(H_1(A^{\text{an}}, \mathbb{R}))$  because the Hodge structure is compatible with the  $E$ -vector space structure. (WHY THOUGH) The subspace  $(E \otimes_{\mathbb{Q}} \mathbb{R}) \subset \text{GL}(H_1(A^{\text{an}}, \mathbb{R}))$  is

all diagonal matrices (since  $H_1(A^{\text{an}}, \mathbb{R})$  is dimension one over  $E$ ) and since anything that commutes with all diagonal matrices must itself be diagonal, we have  $h(\mathbb{S}) \subset (E \otimes_{\mathbb{Q}} \mathbb{R})^{\times}$  which implies that  $M(A) \subset \mathbb{G}_{E^{\times}}$  where  $\mathbb{G}_{E^{\times}} \subset \text{GL}(H_1(A^{\text{an}}, \mathbb{Q}))$  is the commutative  $\mathbb{Q}$ -algebraic subgroup defined by  $\mathbb{G}_{E^{\times}}(F) = (E \otimes_{\mathbb{Q}} F)^{\times}$  and thus whose  $\mathbb{R}$ -points are  $(E \otimes_{\mathbb{Q}} \mathbb{R})$  containing  $h(\mathbb{S})$ . Therefore  $M(A) \subset \mathbb{G}_{E^{\times}}$  is abelian since  $\mathbb{G}_{E^{\times}}$  is a commutative group scheme. (I believe that  $\mathbb{G}_{E^{\times}} = \text{Res}_{E/\mathbb{Q}}(\mathbb{G}_m^E)$  IS THIS CORRECT?)

Conversely, let  $A$  be simple and of CM-type and  $\mu : \mathbb{G}_m \rightarrow \text{GL}(H_1(A^{\text{an}}, \mathbb{C}))$  define the Hodge structure on  $H_1(A^{\text{an}}, \mathbb{C})$ . Since  $A$  is simple,  $E = \text{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  is a division ring of degree  $\leq \dim_{\mathbb{Q}} H_1(A^{\text{an}}, \mathbb{Q})$  over  $\mathbb{Q}$ . (COMPLETE THIS PROOF!?)  $\square$

## 7.1 The Proof For CM Case

Let  $A_{\alpha}$  be a finite family of abelian varieties of CM-type. We need to show that every Hodge cycle in,

$$T_{\mathbb{A}} = \left( \bigotimes_{\alpha} H_{\mathbb{A}}^1(X_{\alpha})^{\otimes m_{\alpha}} \right) \otimes \left( \bigotimes_{\alpha} H_{\mathbb{A}}^1(X_{\alpha})^{\vee \otimes n_{\alpha}} \right) (m)$$

is an absolute Hodge cycle. According to Principal A the group  $G^{AH}$  fixing all absolute Hodge cycles fixes exactly the absolute Hodge cycles. Thus it suffices to prove that the subgroup  $G^H \subset G^{AH}$  fixing all Hodge cycles is equal to  $G^{AH}$ .

## 8 Proof of the Main Theorem

Let  $A$  be an abelian variety over  $\mathbb{C}$  and  $t_{\alpha}$  for  $\alpha \in I$  be Hodge cycles on  $A$ . We need to show that these are absolute Hodge cycles. Since we know the result in the case that  $A$  is CM-type it suffices to prove the following.

**Proposition 8.0.1.** There exists a connected smooth algebraic variety  $S/\mathbb{C}$  and an abelian scheme  $\pi : Y \rightarrow S$  such that,

- (a) for some  $s_0 \in S$  the fibre  $Y_{s_0} = A$
- (b) for some  $s_1 \in S$  the fibre  $Y_{s_1}$  is of CM-type
- (c) the cycles  $t_{\alpha}$  extend to rational cycles of bidegree  $(0, 0)$  on  $Y$ . Explicitly, suppose that,

$$t_{\alpha} \in H_B^1(A)^{\otimes m(\alpha)} \otimes H_B^1(A)^{\vee \otimes n(\alpha)}$$

then there is a section  $t$  of,

$$(R^1 \pi_* \underline{\mathbb{Q}})^{\otimes m(\alpha)} \otimes (R^1 \pi_* \underline{\mathbb{Q}})^{\otimes n(\alpha)}$$

over a finite cover  $\tilde{S} \rightarrow S$  such that for some  $\bar{s}_0$  over  $s_0$  we have  $t_{\bar{s}_0} = t_{\alpha}$  and for all  $\tilde{s} \in \tilde{S}$  we have,

$$t_{\tilde{s}} \in H_B^1(Y_{\tilde{s}})^{\otimes m(\alpha)} \otimes H_B^1(Y_{\tilde{s}})^{\vee \otimes n(\alpha)}$$

is a Hodge cycle.

*Proof.*  $S$  will be a Shimura Variety. Extend the set of AH cycles such that some  $t_\alpha$  is a polarization of  $A$  and let  $H = H_1(A, \mathbb{Q})$ . Now we consider  $G \subset \mathrm{GL}_H(\times) \mathbb{G}_m$  fixing  $t_\alpha$ . Since the hodge character must act trivially on  $t_\alpha$  then it defines a character  $h_0 : \mathbb{C}^\times \rightarrow G(\mathbb{R})$ .

Define,

$$X = \{h : \mathbb{C}^\times \rightarrow G(\mathbb{R}) \mid h \text{ is conjugate to } h_0 \in G(\mathbb{R})\}$$

For each  $h \in X$  we get a new Hodge structure of  $H$  relative to which  $t_\alpha$  has bidegree  $(0,0)$  since  $h$  fixes it. Let  $F^0(h) = H^{0,-1} \subset H \otimes \mathbb{C}$  in this new Hodge structure. Sending  $h \mapsto F^0(h)$  is a map  $X \rightarrow \mathrm{Gr}_k(H \otimes \mathbb{C})$  as real manifolds. The map is injective because the filtration completely determines a hodge structure. Consider the centralizer  $K_\infty$  of  $h_0$ . Then,

$$\begin{array}{ccc} T_{h_0}(X) \xlongequal{\quad} \mathrm{Lie}(G_{\mathbb{R}})/\mathrm{Lie}(K_\infty) & \hookrightarrow & \mathrm{End}(H \otimes \mathbb{C})/F^0\mathrm{End}(H \otimes \mathbb{C}) \xlongequal{\quad} T_{\phi(h_0)}\mathrm{Gr}_k(H \otimes \mathbb{C}) \\ & \parallel & \nearrow \\ & \mathrm{Lie}(G_{\mathbb{C}})/F^0\mathrm{Lie}(G_{\mathbb{C}}) & \end{array}$$

where the Filtration on  $\mathrm{End}(H \otimes \mathbb{C})$  is given by the Hodge structure  $h_0$  on  $H$ . Then,  $X$  is a complex manifold.

To each  $h \in X$  we attach a complex torus given by the double cosets  $F^0(h) \setminus H \otimes \mathbb{C}/H(\mathbb{Z})$  where  $H(\mathbb{Z})$  is a fixed lattice inside  $H$ . In particular, at  $h_0$  we get,

$$F^0(h_0) \setminus H \otimes \mathbb{C}/H(\mathbb{Z}) = T_0(A)/H(\mathbb{Z})$$

These tori form a family  $B \rightarrow X$ . Then define the group,

$$\Gamma_n = \{g \in G(\mathbb{Q}) \mid (g - q)H(\mathbb{Z}) \subset nH(\mathbb{Z})\}$$

for some. For sufficiently large  $n$  Baily and Borel show that  $S = X/\Gamma$  is an algebraic variety, in particular a Shimura variety.  $\square$

## 9 Ideal for Next Semester

That paper on Slopes of powers of Frobenius on crystalline cohomology.

Course on crystalline cohomology.

Course on Shimura varieties.

Study supersingular curves or K3 surfaces.