# 1 Appendix

#### 1.1 Curves and Genera

**Lemma 1.1.1.** Let X be a integral scheme proper over k then  $K = H^0(X, \mathcal{O}_X)$  is a finite field extension of k and for any coherent  $\mathcal{O}_X$ -module  $\mathscr{F}$ , the cohomology  $H^p(X, \mathscr{F})$  is a finite-dimensional  $H^0(X, \mathcal{O}_X)$ -module.

Proof. Since  $\mathcal{O}_X$  is coherent, and X is proper over k so  $K = H^0(X, \mathcal{O}_X)$  is a finite k-module. However, since X is integral  $H^0(X, \mathcal{O}_X)$  is a domain but a finite k-algebra domain is a field and we see K/k is a finite extension of fields. Furthermore, the  $\mathcal{O}_X(X)$ -module structure on  $H^p(X, \mathscr{F})$  gives it a K-module structure. Since X is proper over k then  $H^p(X, \mathscr{F})$  is a finite k-module and thus finite as a K-module.

Remark. Unfortunately, when k is not algebraically closed then we may not have  $H^0(X, \mathcal{O}_X) = k$  even for smooth projective varieties. Therefore, some caution must be taken in defining numerical invariants of the curve such as genus. However, by [?, Tag 0BUG], whenever X is proper geometrically integral then indeed  $H^0(X, \mathcal{O}_X) = k$ . Furthermore, for proper X if  $H^0(X, \mathcal{O}_X) \neq k$  then X cannot be geometrically connected by [?, Tag 0FD1].

**Definition 1.1.2.** Let C be a smooth proper curve over k with  $H^0(C, \mathcal{O}_C) = K$ . Then we define  $g(C) := \dim_K H^0(X, \Omega_{C/k})$ . If C is any curve over k then there is a unique smooth proper curve S over k which is k-birational to C. Then we define g(C) := g(S).

*Remark.* By definition, the genus of a curve is clearly a birational invariant since there is a unique smooth complete curve in every birational equivalence class of curves.

Remark. There is a slight subtlety in this definition in the case of a non-perfect base field. It it always true that we can find a proper regular curve C in each birational equivalence class however when k is non-perfect the curve C may not be smooth. However, under a finite purely separable extension K/k, we can ensure that  $C_K$  admits a smooth proper model. Then we define  $g(C) := g(C_K)$  in the case that  $C_K$  is a curve. The only thing that can go wrong is when C is not geometrically irreducible since then  $C_K$  will not be integral.

**Definition 1.1.3.** The arithmetic genus  $g_a(C)$  of a proper curve C over k with  $H^0(C, \mathcal{O}_C) = K$  is,

$$g_a(C) := \dim_K H^1(X, \mathcal{O}_C)$$

By Serre duality, if C is smooth then  $H^0(C,\Omega_C) = H^1(C,\mathcal{O}_X)^{\vee}$  meaning that  $g_a(C) = g(C)$ .

*Remark.* The arithmetic genus depends on the projective compactification and singularities meaning it will not be a birational invariant unlike the (geometric) genus.

**Example 1.1.4.** Let  $k = \mathbb{F}_p(t)$  for an odd prime p = 2k + 1 and consider the curve,

$$C = \operatorname{Spec}\left(k[x, y]/(y^2 - x^p - t)\right)$$

which is regular but not smooth at  $P = (y, x^p - t)$ . Consider the purely inseperable extension  $K = \mathbb{F}(t^{1/p})$ . Then  $C_K = \operatorname{Spec}\left(K[x,y]/(y^2 - (x - t^{1/p})^p)\right) \cong \operatorname{Spec}\left(K[x,y]/(y^2 - x^p)\right)$ . Taking the normalization of  $C_K$  gives  $\mathbb{A}^1_K \to C_K$  via  $t \mapsto (t^p, t^2)$ . This is birational since the following ring map is an isomorphism,

$$(K[x,y]/(y^2-x^p))_x \to K[t]_t$$

sending  $x \mapsto t^2$  and  $y \mapsto t^p$  which has an inverse  $t \mapsto y/x^k$  since  $x \mapsto t^2 \mapsto y^2/x^{2k} = x$  and  $y \mapsto t^p \mapsto y^p/x^{kp} = y(y^{2k}/x^{pk}) = y$  and  $t \mapsto y/x^k \mapsto t^{p-2k} = t$ .

Therefore,  $C_K \stackrel{\sim}{\longrightarrow} \mathbb{P}^1_K$  so  $g(C) = g(C_K) = 0$ . However, consider the projective closure,

$$\overline{C} = \text{Proj}\left(k[X, Y, Z]/(Y^2Z^{p-2} - X^p - tZ^p)\right)$$

then  $\overline{C} \hookrightarrow \mathbb{P}^2_k$  is a Cartier divisor (since  $\mathbb{P}^2_k$  is locally factorial) so we find that  $H^0(\overline{C}, \mathcal{O}_{\overline{C}}) = k$  and  $\dim_k H^1(\overline{C}, \mathcal{O}_{\overline{C}}) = \frac{1}{2}(p-1)(p-2) = k(2k-1)$  since its sheaf of ideals is  $\mathcal{O}_{\mathbb{P}^2_k}(-p)$ . Then p=3 we expect this to be an elliptic curve and we do see  $g_a(\overline{C}) = 1$ . However,  $g(\overline{C}) = 0$  and correspondingly C is not smooth due to the positive characteristic phenomenon.

**Lemma 1.1.5.** Suppose that  $f: X \to Y$  is a finite birational morphism of n-dimensional irreducible Noetherian schemes. Then  $H^n(Y, \mathcal{O}_Y) \twoheadrightarrow H^n(X, \mathcal{O}_X)$  is surjective.

*Proof.* The map f must restrict on some open subset  $U \subset X$  to an isomorphism  $f|_U : U \to V$ . Thus, the sheaf map  $f^\# : \mathcal{O}_Y \to f_*\mathcal{O}_X$  restricts on V to an isomorphism  $\mathcal{O}_Y|_V \xrightarrow{\sim} (f_*\mathcal{O}_X)|_V$ . We factor this map into two exact sequences,

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{O}_Y \longrightarrow \mathcal{I} \longrightarrow 0$$

$$0 \longrightarrow \mathscr{I} \longrightarrow f_* \mathcal{O}_X \longrightarrow \mathscr{C} \longrightarrow 0$$

with  $\mathscr{K} = \ker(\mathcal{O}_Y \to f_*\mathcal{O}_X)$  and  $\mathscr{C} = \operatorname{coker}(\mathcal{O}_Y \to f_*\mathcal{O}_X)$  and  $\mathscr{I} = \operatorname{Im}(\mathcal{O}_Y \to f_*\mathcal{O}_X)$ . Taking cohomology and using that it vanishes in degree above n we get,

$$H^{n-1}(Y, \mathscr{I}) \longrightarrow H^n(Y, \mathscr{K}) \longrightarrow H^n(Y, \mathcal{O}_Y) \longrightarrow H^n(Y, \mathscr{I}) \longrightarrow 0$$

$$H^{n-1}(Y,\mathscr{C}) \longrightarrow H^n(Y,\mathscr{I}) \longrightarrow H^n(X,\mathcal{O}_X) \longrightarrow H^n(X,\mathscr{C}) \longrightarrow 0$$

where we have used that  $f: X \to Y$  is affine to conclude that  $H^p(Y, f_*\mathscr{F}) = H^p(Y, \mathscr{F})$  for any quasi-coherent  $\mathcal{O}_X$ -module  $\mathscr{F}$ . Furthermore,  $\mathscr{C}|_V = 0$  so  $\operatorname{Supp}_{\mathcal{O}_Y}(\mathscr{C}) \subset X \setminus V$  but  $\mathscr{C}$  is coherent so the support is closed. Since V is dense open,  $\mathscr{C}$  is supported in positive codimension so  $H^n(Y,\mathscr{C}) = 0$  (since  $H^n(S,\mathscr{C})$  vanishes due to dimension on the closed subscheme  $S = \operatorname{Supp}_{\mathcal{O}_X}(\mathscr{C})$  on which  $\mathscr{C}$  is supported). Thus we have,

$$H^n(Y, \mathcal{O}_Y) \twoheadrightarrow H^n(Y, \mathscr{I}) \twoheadrightarrow H^n(Y, \mathscr{I}) \twoheadrightarrow H^n(X, \mathcal{O}_X)$$

proving the proposition.

Corollary 1.1.6. Let S and C be proper curves over k where S is smooth which are birationally equivalent and  $H^0(S, \mathcal{O}_S) \cong H^0(C, \mathcal{O}_C)$ . Then the genera satisfy,

- (a)  $g_a(C) \geq g_a(S)$
- (b) g(C) = g(S)
- (c)  $g(C) \leq g_a(C)$  with equality if and only if C is smooth.

*Proof.* Given a birational map  $S \xrightarrow{\sim} C$  we can extend it to a birational morphism  $S \to C$  since S is regular. The morphism  $S \to C$  is automatically finite since it is a non-constant map of proper curves. Then the previous lemma implies that  $g_a(S) \leq g_a(C)$ . (b). follows from the definition of g(C). The third follows from the fact that  $g(S) = g_a(S)$  because of Serre duality,

$$H^1(S, \mathcal{O}_S) \cong H^0(S, \Omega_{S/k})^{\vee}$$

using that S is smooth. Then we see that  $g(C) = g(S) = g_a(S) \leq g_a(C)$  proving the inequality part of (c). Finally, if C is smooth we see by Serre duality that  $g(C) = g_a(C)$ . Conversely, suppose that  $g(C) = g_a(C)$  then  $g_a(C) = g(C) = g(S) = g_a(S)$  and consider the map  $f: S \to C$  which is finite birational map of integral schemes over k. In particular, f is affine so for each  $g \in C$  we may choose an affine open  $g \in V \subset C$  whose preimage  $g \in C$  is also affine. On sheaves, this gives a map of domains  $\mathcal{O}_C(V) \to \mathcal{O}_S(U)$  which localizes to an isomorphism on the fraction fields. However, the localization map of a domain is injective so  $\mathcal{O}_C(V) \to \mathcal{O}_S(U)$  is an injection. This shows that  $\mathcal{O}_C \to f_*\mathcal{O}_S$  is an injection of sheaves which we extend to an exact sequence,

$$0 \longrightarrow \mathcal{O}_C \longrightarrow f_*\mathcal{O}_S \longrightarrow \mathscr{C} \longrightarrow 0$$

Note that  $f: S \to C$  induces an isomorphism  $H^0(C, \mathcal{O}_C) \xrightarrow{\sim} H^0(S, \mathcal{O}_S)$  since it is a map of fields with the same (finite) dimension over k. Then the long exact sequence of cohomology gives,

$$0 \to H^0(C, \mathcal{O}_C) \xrightarrow{\sim} H^0(S, \mathcal{O}_S) \to H^0(X, \mathscr{C}) \to H^1(C, \mathcal{O}_C) \xrightarrow{\sim} H^1(S, \mathcal{O}_S) \to H^1(S, \mathscr{C}) = 0$$

I claim that  $H^1(S,\mathscr{C}) = 0$ . Since f is birational,  $\mathscr{C}$  is supported in codimension one. Thus, the map  $H^1(C,\mathcal{O}_C) \to H^1(S,\mathcal{O}_S)$  is surjective but  $g_a(C) = g_a(S)$  so these vectorspaces have the same dimension so  $H^1(C,\mathcal{O}_C) \xrightarrow{\sim} H^1(S,\mathcal{O}_S)$  is an isomorphism. Thus, from the exact sequence we have  $H^0(X,\mathscr{C}) = 0$ . However, Supp $_{\mathcal{O}_C}(\mathscr{C})$  is a closed ( $\mathscr{C}$  is coherent) dimension zero subset i.e. finitely many discrete closed points. However, a sheaf supported on a discrete set of points is zero iff it has no global sections. Therefore,  $\mathscr{C} = 0$  so  $\mathcal{O}_C \xrightarrow{\sim} f_*\mathcal{O}_S$ . In particular  $\mathcal{O}_C(V) \xrightarrow{\sim} \mathcal{O}_S(U)$  is an isomorphism which implies that the map of affine schemes  $f|_U : U \to V$  is an isomorphism. Since the affine opens V cover C we see that  $f: S \to C$  is an isomorphism. In particular, C is smooth.  $\square$ 

## 1.2 The Locus on Which Morphisms Agree

**Lemma 1.2.1.** Let  $(R, \mathfrak{m}, \kappa)$  be a local ring. Then for schemes X there is a natural bijection,

$$\operatorname{Hom}_{\mathbf{Sch}}\left(\operatorname{Spec}\left(R\right),X\right)\cong\left\{ x\in X\text{ and local map }\mathcal{O}_{X,x}\rightarrow R\right\}$$

Proof. Given  $\operatorname{Spec}(R) \to X$  we automatically get  $\mathfrak{m} \mapsto x$  and  $\mathcal{O}_{X,x} \to R_{\mathfrak{m}} = R$ . Now, note that taking any affine open neighborhood  $x \in \operatorname{Spec}(A) \subset X$  and then  $A \to A_{\mathfrak{p}} = \mathcal{O}_{X,x}$  to give  $\operatorname{Spec}(\mathcal{O}_{X,x}) \to \operatorname{Spec}(A) \to X$ . Clearly, this map sends  $\mathfrak{m}_x \mapsto x$  and at  $\mathfrak{m}_x$  has stalk map id:  $\mathcal{O}_{X,x} \to \mathcal{O}_{X,x}$  since it is the localization at  $\mathfrak{p}$  of  $A \to A_{\mathfrak{p}}$ .

Thus we get an inverse as follows. Given a point  $x \in X$  and a local map  $\phi : \mathcal{O}_{X,x} \to R$  then take,

$$\operatorname{Spec}(R) \to \operatorname{Spec}(\mathcal{O}_{X,x}) \to X$$

This is inverse since  $\mathfrak{m} \mapsto \mathfrak{m}_x$  (because  $\mathcal{O}_{X,x} \to \mathfrak{m}_x$  is local) and  $\mathfrak{m}_x \mapsto x$  and the stalk at  $\mathfrak{m}$  gives  $\mathcal{O}_{X,x} \xrightarrow{\mathrm{id}} \mathcal{O}_{X,x} \xrightarrow{\phi} R$ .

Finally, I claim that any  $f: \operatorname{Spec}(R) \to X$  factors through  $\operatorname{Spec}(R) \to \operatorname{Spec}(\mathcal{O}_{X,x}) \to X$  and thus is reconstructed from  $x \in X$  and  $\mathcal{O}_{X,x} \to R$ . Choose an affine open neighborhood  $x \in \operatorname{Spec}(A) \subset X$  then consider  $f^{-1}(\operatorname{Spec}(A))$  which is open in  $\operatorname{Spec}(R)$  and contains the unique closed point  $\mathfrak{m} \in \operatorname{Spec}(R)$  so there is some  $f \in R$  s.t.  $\mathfrak{m} \in D(f) \subset f^{-1}(\operatorname{Spec}(A))$  so  $f \notin \mathfrak{m}$  so  $f \in R^{\times}$  and thus  $D(f) = \operatorname{Spec}(R)$ . Therefore, we get a map  $\operatorname{Spec}(R) \to \operatorname{Spec}(A)$  and thus  $\phi : A \to R$  where  $\phi^{-1}(\mathfrak{m}) = \mathfrak{p} = x$  so  $A \setminus \mathfrak{p}$  is mapped inside  $R^{\times}$  so this map factors through  $A \to A_{\mathfrak{p}} \to R$  giving the desired factorization  $\operatorname{Spec}(R) \to \operatorname{Spec}(\mathcal{O}_{X,x}) \to \operatorname{Spec}(A) \to X$ .

**Definition 1.2.2.** The locus Z on which two maps  $f, g: X \to Y$  over S agree is given as the pullback,

$$\begin{array}{ccc}
Z & \longrightarrow & Y \\
\downarrow & & \downarrow \\
X & \xrightarrow{F} & Y \times_{S} Y
\end{array}$$

with F = (f, g). This is the equalizer of  $f, g : X \to Y$ . Furthermore  $Z \to X$  is an immersion since it is the base change of  $\Delta_{Y/S}$  which is an immersion.

**Lemma 1.2.3.** Topologically, the locus on which S-morphisms  $f, g: X \to Y$  agree is,

$$Z = \{x \in X \mid f(x) = g(x) \text{ and } f_x = g_x : \kappa(f(x)) \to \kappa(x)\}$$

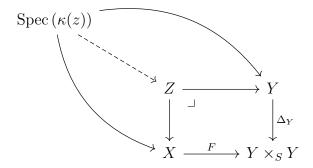
*Proof.* On some S-subscheme  $G \subset X$ , the maps  $f|_G = g|_G$  agree iff there exists  $G \to Y$  such that,

$$G \xrightarrow{F} Y \times_{S} Y$$

$$\downarrow \Delta$$

$$X \xrightarrow{F} Y \times_{S} Y$$

commutes. In particular, for any point  $x \in X$  consider  $\iota$ : Spec  $(\kappa(x)) \to X$  then  $f \circ \iota = g \circ \iota$  iff f(x) = g(x) and  $f_x = g_x : \kappa(f(x)) \to \kappa(x)$ . Consider a point  $z \in Z$  and Spec  $(\kappa(z)) \to Z$ , such a point is equivalent to giving a diagram,



However,  $\iota: Z \to X$  is an immersion so  $\iota_x: \kappa(\iota(x)) \xrightarrow{\sim} \kappa(x)$  is an isomorphism. Therefore, points  $\operatorname{Spec}(\kappa(z)) \to Z$ , are exactly points of X for which a lift  $\operatorname{Spec}(\kappa(x)) \to Y$  exists i.e. points such that f and g agree in the required way.

**Lemma 1.2.4.** If  $f: X \to Y$  is an immersion then  $f_x: \mathcal{O}_{Y,f(x)} \twoheadrightarrow \mathcal{O}_{X,x}$  is surjective for each  $x \in X$  and  $f_x: \kappa(f(x)) \xrightarrow{\sim} \kappa(x)$  is an isomorphism.

*Proof.* For closed immersions,  $f^{\#}: \mathcal{O}_{Y} \to f_{*}\mathcal{O}_{X}$  is surjective by definition. Thus we get a surjection  $f_{x}: \mathcal{O}_{Y,y} \to (f_{*}\mathcal{O}_{X})_{f(x)}$ . Furthermore, topologically,  $f: X \to Y$  is a homomorphism onto its image so for any open  $U \subset X$  there exists an open  $V \subset Y$  s.t.  $U = f^{-1}(V)$  showing that,

$$(f_*\mathcal{O}_X)_{f(x)} = \varinjlim_{f(x) \in V} \mathcal{O}_X(f^{-1}(V)) = \varinjlim_{x \in U} \mathcal{O}_X(U) = \mathcal{O}_{X,x}$$

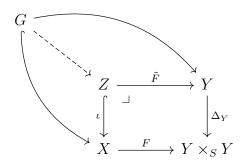
Furthermore, for an open immersion,  $f^{\flat}: f^{-1}\mathcal{O}_Y \to f_*\mathcal{O}_X$  is an isomorphism so  $\mathcal{O}_{Y,y} \to \mathcal{O}_{X,x}$  is an isomorphism. Thus the composition,  $f_x: \mathcal{O}_{Y,f(x)} \to \mathcal{O}_{X,x}$  is surjective. Furthermore,  $f_x$  is local we get  $f_x: \kappa(f(x)) \to \kappa(x)$  which is a surjection of fields and thus an isomorphism.

**Lemma 1.2.5.** If  $Y \to S$  is separated then the locus on which  $f, g: X \to Y$  over S agree is closed.

*Proof.* Since  $X \to S$  is separated,  $\Delta_{Y/S} : Y \to Y \times_S Y$  is a closed immersion. So  $Z \to X$  is the base change of a closed immersion and thus a closed immersion.

**Lemma 1.2.6.** Let X be a reduced and Y be a separated scheme over S and  $f, g: X \to Y$  be morphism over S. If  $f \circ j = g \circ j$  agree on a dense subscheme  $j: G \hookrightarrow X$  then f = g.

*Proof.* Consider  $F = (f, g) : X \to Y \times_S Y$ . Since  $\Delta : Y \to Y \times_S Y$  is a closed immersion (by separateness). Then  $F^{-1}(\Delta)$  is the locus on which f = g which is closed because  $\Delta : Y \to Y \times_S Y$  is a closed immersion. Since  $f|_G = g|_G$  we get a diagram,



Since  $\iota: Z \hookrightarrow X$  is a closed immersion with dense image,  $Z \hookrightarrow X$  is surjective. By the following,  $\iota: Z \to X$  is an isomorphism. Thus,  $F = F \circ \iota \circ \iota^{-1} = \Delta_Y \circ \tilde{F} \circ \iota^{-1}$ . By the universal property of maps  $X \to Y \times_S Y$  this implies that  $f = g = \tilde{F} \circ \iota^{-1}$ .

**Lemma 1.2.7.** Let X be a scheme and consider an exact sequence of quasi-coherent  $\mathcal{O}_X$ -modules,

$$0 \longrightarrow \mathscr{I} \longrightarrow \mathscr{O}_X \longrightarrow \mathscr{A} \longrightarrow 0$$

and  $\mathcal{A}$  is a sheaf of  $\mathcal{O}_X$ -algebra. Suppose that  $\mathscr{F}_x \neq 0$  for each  $x \in X$ . Then  $\mathscr{I} \hookrightarrow \mathcal{N}$  where  $\mathcal{N}$  is the sheaf of nilpotent.

*Proof.* Take an affine open  $U = \operatorname{Spec}(R) \subset X$  such that  $\mathcal{A}|_U = \widetilde{A}$ . Then we have an surjection of rings  $R \to A$  giving R/I = A for  $I = \ker(R \to A)$ . Now, for each  $\mathfrak{p} \in \operatorname{Spec}(R)$  we know  $R_{\mathfrak{p}} = \mathcal{O}_{X,\mathfrak{p}} \neq 0$ . However, if  $\mathfrak{p} \not\supset I$  then  $(R/I)_{\mathfrak{p}} = A_{\mathfrak{p}} = 0$  so we must have  $\mathfrak{p} \supset I$  for all  $\mathfrak{p} \in \operatorname{Spec}(R)$  i.e.  $I \subset \operatorname{nilrad}(R)$ . Therefore,  $\mathscr{I}|_U \hookrightarrow \mathcal{N}|_U$  for any affine open  $U \subset X$  showing that  $\mathscr{I}$  is comprised of nilpotents.

Corollary 1.2.8. If X is reduced and  $\iota: Z \hookrightarrow X$  is a surjective closed immersion then  $\iota: Z \xrightarrow{\sim} X$  is an isomorphism.

*Proof.* Since  $\iota: Z \hookrightarrow X$  is a homeomorphism onto its image X it suffices to show that the map of sheaves  $\iota^{\#}: \mathcal{O}_{X} \to \iota_{*}\mathcal{O}_{Z}$  is an isomorphism. Since  $\iota: Z \to X$  is a closed immersion  $\iota^{\#}: \mathcal{O}_{X} \twoheadrightarrow \iota_{*}\mathcal{O}_{Z}$  is a surjection and  $\mathcal{O}_{Z}$  is a quasi-coherent sheaf of  $\mathcal{O}_{X}$ -algebras giving an exact sequence,

$$0 \longrightarrow \mathscr{I} \longrightarrow \mathscr{O}_X \longrightarrow \iota_* \mathscr{O}_Z \longrightarrow 0$$

Furthermore,

$$\operatorname{Supp}_{\mathcal{O}_{Y}}(\iota_{*}\mathcal{O}_{Z}) = \operatorname{Im}(\iota) = X$$

since  $(\iota_*\mathcal{O}_Z)_x = \mathcal{O}_{Z,x}$  when  $x \in \text{Im}(\iota)$  (and zero elsewhere). by the above,  $\mathscr{I} \hookrightarrow \mathcal{N} = 0$  since X is reduced to  $\iota^\# : \mathcal{O}_X \to \iota_*\mathcal{O}_Z$  is an isomorphism.

**Lemma 1.2.9.** A rational S-map  $f: X \longrightarrow Y$  with X reduced and  $Y \to S$  separated is equivalent to a morphism  $f: \text{Dom}(f) \to Y$ .

Proof. For any  $(U, f_U)$  and  $(V, f_V)$  representing f there must be a dense (in X) open  $W \subset U \cap V$  on which  $f_U|_W = f_V|_W$  and thus  $f_U|_{U \cap V} = f_V|_{U \cap V}$  since  $f_U, f_V : U \cap V \to Y$  are morphisms from reduced to irreducible schemes. Now Dom (f) has an open cover  $(U_i, f_i)$  for which  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  so these morphisms glue to give  $f : \text{Dom}(f) \to Y$  (Hom<sub>S</sub>(-, Y) is a sheaf on the Zariski site).  $\square$ 

### 1.3 Extending Rational Maps

**Lemma 1.3.1.** Regular local rings of dimension 1 exactly correspond to DVRs.

*Proof.* Any DVR R has a uniformizer  $\varpi \in R$  then  $\dim R = 1$  and  $\mathfrak{m}/\mathfrak{m}^2 = (\varpi)/(\varpi^2) = \varpi \kappa$  which also has  $\dim_{\kappa}(\mathfrak{m}/\mathfrak{m}^2) = 1$  so R is regular. Conversely, if R is a regular local ring of dimension  $\dim R = 1$  then, by regularity, R is a normal Noetherian domain so by  $\dim R = 1$  then R is Dedekind but also local and thus is a DVR.

**Proposition 1.3.2.** Let X be a Noetherian S-scheme and  $Z \subset X$  a closed irreducible codimension 1 generically nonsingular subset (with generic point  $\eta \in Z$  such that  $\mathcal{O}_{X,\eta}$  is regular). Let  $f: X \to Y$  be a rational map with Y proper over S. Then  $Z \cap \text{Dom}(f)$  is a dense open of Z.

*Proof.* Choose some representative  $(U, f_U)$  for  $f: X \longrightarrow Y$ . Note that  $\mathcal{O}_{X,\eta}$  is a regular dimension one (see Lemma 1.4.3) ring and thus a DVR. Consider the generic point  $\xi \in X$  of X then, by localizing, we get an inclusion of the generic point  $\operatorname{Spec}(\mathcal{O}_{X,\xi}) \to \operatorname{Spec}(\mathcal{O}_{X,\eta}) \to X$  and  $\mathcal{O}_{X,\xi} = K(X) = \operatorname{Frac}(\mathcal{O}_{X,\eta})$ . Furthermore, the inclusion of the generic point gives  $\operatorname{Spec}(K(X)) \to U \xrightarrow{f_U} Y$  and thus we get a diagram,

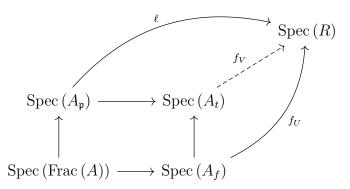
$$\operatorname{Spec}(K(X)) \xrightarrow{\ell} Y$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Spec}(\mathcal{O}_{X,\eta}) \longrightarrow \operatorname{Spec}(k)$$

and a lift  $\operatorname{Spec}(\mathcal{O}_{X,\eta}) \to Y$  by the valuative criterion for properness applied to  $Y \to \operatorname{Spec}(k)$  since  $\mathcal{O}_{X,\eta}$  is a DVR. Choose an affine open  $\operatorname{Spec}(R) \subset Y$  containing the image of  $\operatorname{Spec}(\mathcal{O}_{X,\eta}) \to Y$  (i.e. choose a neighborhood of the image of  $\eta$  which automatically contains  $f(\xi)$  since the map factors  $\operatorname{Spec}(\mathcal{O}_{X,\eta}) \to \operatorname{Spec}(\mathcal{O}_{Y,f(\eta)}) \to \operatorname{Spec}(R) \to Y$ ) and let  $\eta \in V = \operatorname{Spec}(A) \subset X$  be an affine open neighborhood of  $\xi$  mapping onto  $\operatorname{Spec}(R)$ . By Lemma 1.4.7, since  $\mathcal{O}_{X,\eta}$  is a domain, we may shrink V so that A is a domain. Since X is irreducible  $U \cap V$  is a dense open. Note that if  $\eta \in U$  then  $\eta \in \operatorname{Dom}(f)$  and thus  $Z \cap \operatorname{Dom}(f)$  is a nonempty open of the irreducible space Z

and therefore a dense open so we are done. Otherwise, let  $\mathfrak{p} \in \operatorname{Spec}(A)$  correspond to  $\eta \in Z$  then  $A_{\mathfrak{p}} = \mathcal{O}_{X,\eta}$  is a DVR. Take some principal affine open  $D(f) \subset U \cap V$  for  $f \in A$  so  $f \in \mathfrak{p}$  since  $\mathfrak{p} \notin D(f) \subset U \cap V$ . Since  $A_{\mathfrak{p}}$  is a DVR we may choose a uniformizer  $\varpi \in \mathfrak{p}$  so the map  $A \to \mathfrak{p}$  via  $1 \mapsto \varpi$  is as isomorphism when localized at  $\mathfrak{p}$ . Since A is Noetherian both are f.g. A-modules so there must be some  $s \in A \setminus \mathfrak{p}$  such that  $A_s \to \mathfrak{p}_s$  is an isomorphism. Replacing A by  $A_s$  we may assume  $\mathfrak{p} = (\varpi) \subset A$  is principal. Since  $f \in \mathfrak{p}$  we can write  $f = t\varpi^k$  for some  $a \in A \setminus \mathfrak{p}$  (see Lemma 1.4.1). Then consider  $\tilde{V} = \operatorname{Spec}(A_t)$ . Since  $t \notin \mathfrak{p}$  then  $\eta \in \tilde{V}$  and since  $f = t\varpi^k$  we have  $D(f) \subset D(t) = \tilde{V}$ . Now we get the following diagram,



I claim the square is a pushout in the category of affine schemes because maps  $R \to A_{\mathfrak{p}}$  and  $R \to A_f$  which agree under the inclusion to Frac (A) gives a map  $R \to A_{\mathfrak{p}} \cap A_f \subset \operatorname{Frac}(A)$ . However, consider,

$$x \in A_{\mathfrak{p}} \cap A_t \implies x = \frac{u\varpi^r}{s} = \frac{a}{f^n}$$

for  $u, s, t \in A \setminus \mathfrak{p}$  and  $a \in A$ . Thus we get,

$$ut^n \varpi^{r+nk} = sa$$

so  $a \in \mathfrak{p}^{r+nk} \setminus \mathfrak{p}^{r+nk+1}$  ( $s \notin \mathfrak{p}$  which is prime) and thus  $a = u'\varpi^{r+nk}$  for  $u' \in A \setminus \mathfrak{p}$ . Therefore,

$$x = \frac{u'\varpi^{r+nk}}{t^n\varpi^{nk}} = \frac{u'\varpi^r}{t^n} \in A_t$$

Thus,  $A_{\mathfrak{p}} \cap A_f \subset A_f$  so we get a map  $R \to A_t$ . Therefore we get a map  $f_{\tilde{V}} : \tilde{V} \to Y$  such that  $(f|_{\tilde{V}})|_{D(f)} = (f_U)|_{D(f)}$  which implies that  $\eta \in \tilde{V} \subset \mathrm{Dom}\,(f)$  so  $Z \cap \mathrm{Dom}\,(f)$  is a dense open of Z.  $\square$ 

**Proposition 1.3.3.** Let  $C \to S$  be a proper regular Noetherian scheme with dim C = 1 and  $f: C \longrightarrow Y$  a rational S-map with  $Y \to S$  proper. Then f extends uniquely to a morphism  $f: C \to Y$ .

Proof. For any point  $x \notin \text{Dom}(f)$  let  $Z = \overline{\{x\}} \subset D$  for  $D = C \setminus \text{Dom}(f)$ . Since Dom(f) is a dense open, by lemma 1.4.2, we have  $\text{codim}(Z,C) \geq \text{codim}(D,C) \geq 1$  but  $\dim C = 1$  so codim(Z,C) = 1. Furthermore, since C is regular  $\mathcal{O}_{C,x}$  is regular and thus, by the previous proposition,  $Z \cap \text{Dom}(f)$  is a dense open and in particular  $x \in \text{Dom}(f)$  meaning that Dom(f) = C so we get a morphism  $C \to Y$ . This is unique because C is reduced (it is regular) and Y is separated (it is proper over S) so morphisms  $C \to Y$  are uniquely determined on a dense open which any representative for  $f: C \dashrightarrow Y$  is defined on.

Corollary 1.3.4. Rational maps between normal proper curves are morphisms.

Corollary 1.3.5. Birational maps between normal proper curves are isomorphisms.

Proof. Let  $f: C_1 \longrightarrow C_2$  and  $g: C_2 \longrightarrow C_1$  be birational inverses of smooth proper curves. Then we know that these extend to morphisms  $f: C_1 \to C_2$  and  $g: C_2 \to C_1$ . Furthermore, the maps  $g \circ f: C_1 \to C_1$  must extend the identity on some dense open. However, since curves are separated and reduced there is a unique extension of this map so  $g \circ f = \mathrm{id}_{C_1}$  and likewise  $f \circ g = \mathrm{id}_{C_2}$ .  $\square$ 

**Theorem 1.3.6.** If k is perfect then there exists a unique normal curve in each birational equivalence class of curves.

*Proof.* It suffices to show existence. Given a curve X, we consider the projective closure  $X \hookrightarrow \overline{X}$  which is birational and  $\overline{X} \to \operatorname{Spec}(k)$  is proper. Then take the normalization  $\overline{X}^{\nu} \to \overline{X}$  which remains proper over  $\operatorname{Spec}(k)$  and is birational. Then  $\overline{X}^{\nu}$  is regular and thus smooth over k since k is perfect and  $\overline{X}^{\nu} \to X$  is birational.

#### 1.4 Lemmas

**Lemma 1.4.1.** Let A be a Noetherian domain and  $\mathfrak{p} = (\varpi)$  a principal prime. Then any  $f \in \mathfrak{p}$  can be written as  $f = t\varpi^k$  for  $f \in A \setminus \mathfrak{p}$ .

*Proof.* From Krull intersection,

$$\bigcap_{n>0}^{\infty} \mathfrak{p}^n = (0)$$

so there is some n such that  $f \in \mathfrak{p}^n \setminus \mathfrak{p}^{n+1}$ . Thus  $f = t\varpi^n$  for some  $f \in A$  but if  $t \in \mathfrak{p}$  then  $f \in \mathfrak{p}^{n+1}$  so the result follows.

**Lemma 1.4.2.** Consider a closed subset  $Y \subset X$  and an open  $U \subset X$  with  $U \cap Z \neq \emptyset$ . Then  $\operatorname{codim}(Y,X) = \operatorname{codim}(Y \cap U,U)$ .

*Proof.* Consider a chain of irreducible  $Z_i \supseteq Z_{i+1}$  with  $Z_0 \subset Y$ . I claim that  $Z_i \mapsto Z_i \cap U$  and  $Z_i \mapsto \overline{Z_i}$  are inverse functions giving a bijection between closed irreducible chains in X with final terms contained in Y and closed irreducible chains in U with final term contained in  $Y \cap U$ . Note, if  $Z_i \subset Y \cap U$  then  $\overline{Z_i} \subset Y$  since Y is closed in X.

First,  $\overline{Z_i \cap U} \subset Z_i$  and is closed in X. Then  $\overline{Z_i \cap U} \cup U^C \supset Z_i$  so because  $Z_i$  is irreducible  $\overline{Z_i \cap U} = Z_i$  since by assumption  $Z_i \not\subset U^C$ . Conversely, if  $Z_i \subset U$  is a closed irreducible subset then  $\overline{Z_i}$  is closed and irreducible in X and  $Z_i \subset \overline{Z_i} \cap U$  but  $Z_i = C \cap U$  for closed  $C \subset X$  so  $Z_i \subset C$  and thus  $\overline{Z_i} \subset C$  so  $\overline{Z_i} \cap U \subset C \cap U = Z_i$  meaning  $Z_i = \overline{Z_i} \cap U$ . Thus we have shown these operations are inverse to each other.

Finally, if  $Z_i \cap U - Z_{i+1} \cap U$  then  $\overline{Z_i \cap U} = \overline{Z_i \cap U}$  so  $Z_i = Z_{i+1}$  so the chain does not degenerate. Likewise, if  $\overline{Z_i} = \overline{Z_{i+1}}$  then  $\overline{Z_i} \cap U = \overline{Z_{i+1}} \cap U$  so  $Z_i = Z_{i+1}$ . Therefore, we get a length-preserving bijection between the chains defining codim (Y, X) and codim  $(Y \cap U, U)$ .

**Lemma 1.4.3.** Let  $Z \subset X$  be a closed irreducible subset with generic point  $\eta \in Z$ . Then  $\operatorname{codim}(Z,X) = \dim \mathcal{O}_{X,\eta}$ .

*Proof.* Take affine open neighborhood  $\eta \in U = \operatorname{Spec}(A) \subset X$ . Then for  $\mathfrak{p} \in \operatorname{Spec}(A)$  corresponding to  $\eta$  we get  $A_{\mathfrak{p}} = \mathcal{O}_{X,\eta}$ . However,  $\operatorname{codim}(Z,X) = \operatorname{codim}(Z \cap U,U)$  and  $Z \cap U = \overline{\{\mathfrak{p}\}} = V(\mathfrak{p})$ . Therefore,

$$\operatorname{codim}\left(Z,X\right)=\operatorname{codim}\left(Z\cap U,U\right)=\operatorname{\mathbf{ht}}\left(\mathfrak{p}\right)=\operatorname{dim}A_{\mathfrak{p}}=\operatorname{dim}\mathcal{O}_{X,\eta}$$

**Lemma 1.4.4.** Let X be a Noetherian scheme then the nonreduced locus,

$$Z = \{x \in X \mid \text{nilrad}(\mathcal{O}_{X,x}) \neq 0\}$$

is closed.

*Proof.* The subsheaf  $\mathcal{N} \subset \mathcal{O}_X$  is coherent since X is Noetherian. Thus  $Z = \operatorname{Supp}_{\mathcal{O}_X}(\mathcal{N})$  is closed and  $\mathcal{N}_x = \operatorname{nilrad}(\mathcal{O}_X x)$ . Locally, on  $U = \operatorname{Spec}(A)$  we have  $\mathcal{N}|_U = \operatorname{nilrad}(A)$  and  $\operatorname{nilrad}(A)$  is a f.g. A-module since A is Noetherian so,

$$\operatorname{Supp}_{\mathcal{O}_X}(\mathcal{N}) \cap U = \operatorname{Supp}_A(\operatorname{nilrad}(A)) = V(\operatorname{Ann}_A(\operatorname{nilrad}(A)))$$

is closed in Spec (A).

**Lemma 1.4.5.** Let X be a Noetherian scheme then X has finitely many irreducible components.

*Proof.* First let  $X = \operatorname{Spec}(A)$  for a Noetherian ring A. Then the irreducible components of A correspond to minimal primes  $\mathfrak{p} \in \operatorname{Spec}(A)$ . Then  $\dim A_{\mathfrak{p}} = 0$  and  $A_{\mathfrak{p}}$  is Noetherian so  $A_{\mathfrak{p}}$  is Artinian.  $A_{\mathfrak{p}}$  must have some associated prime so  $\operatorname{Ass}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}) = \{\mathfrak{p}A_{\mathfrak{p}}\}$ . By [?, Tag 05BZ], then  $\operatorname{Ass}_A(A) \cap \operatorname{Spec}(A_{\mathfrak{p}}) = \operatorname{Ass}_{A_{\mathfrak{p}}}(A_{\mathfrak{p}}) = \{\mathfrak{p}\}$  so every minimal prime is an associated prime. However, for A Noetherian then A admits a finite composition series so there are finitely many associated primes.

Now let X be a Noetherian scheme. For any affine open  $U \subset X$  we have shown that U has finitely many irreducible components. However, since X is quasi-compact there is a finite cover of affine opens and thus X must have finitely many irreducible components.

**Lemma 1.4.6.** Let X be a Noetherian scheme and Y is the complement of some dense open U. Then  $\operatorname{codim}(Y, X) \geq 1$ .

*Proof.* It suffices to show that Y does not contain any irreducible component since then any irreducible contained in Y cannot be maximal. Since X is Noetherian, it has finitely many irreducible components  $Z_i$ . Then if  $Z_i \subset Y$  for some i we would have  $Z_i \cap U = \emptyset$  but then,

$$U = \bigcup_{i \neq j} Z_i$$

which is closed so  $\overline{U} \subsetneq X$  contradicting our assumption that U is dense.

**Lemma 1.4.7.** Let X be a Noetherian scheme and  $x \in X$  such that  $\mathcal{O}_{X,x}$  is a domain. Then there is an affine open neighborhood  $x \in U \subset X$  with  $U = \operatorname{Spec}(A)$  and A is a domain.

Proof. Take any affine open neighborhood  $x \in U \subset X$  with  $U = \operatorname{Spec}(A)$  and  $\mathfrak{p} \in \operatorname{Spec}(A)$  corresponding to x. Then  $A_{\mathfrak{p}} = \mathcal{O}_{X,x}$  is a domain. Since X is Noetherian then A is Noetherian so it has finitely many minimal primes  $\mathfrak{p}_i$  (corresponding to the generic points of irreducible components of U) with  $\mathfrak{p}_0 \subset \mathfrak{p}$ . Since  $A_{\mathfrak{p}}$  is a domain, it has a unique minimal prime and thus  $\mathfrak{p}_0$  is the only minimal prime contained in  $\mathfrak{p}$  (geometrically  $A_{\mathfrak{p}}$  being a domain corresponds to the fact that  $\mathfrak{p}$  is the generic point of a generically reduced irreducible subset which lies in only one irreducible component)

Now for any  $i \neq 0$  take  $f_i \in \mathfrak{p} \setminus \mathfrak{p}_0$ . This is always possible else  $\mathfrak{p} \subset \mathfrak{p}_0$  contradicting the minimality

of  $\mathfrak{p}_0$ . If  $f \notin \mathfrak{q}$  then  $\mathfrak{q} \not\supset \mathfrak{p}_i$  for any  $i \neq 0$  so  $\mathfrak{q} \supset \mathfrak{p}_0$  since it must lie above some minimal prime. Thus nilrad  $(A_f) = \mathfrak{p}_0 A_f$  is prime and  $f \notin \mathfrak{p}$  since else  $\mathfrak{p} \supset \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_n$  which is impossible since  $\mathfrak{p} \not\supset \mathfrak{p}_i$  for any i. Now we know that nilrad  $(A_{\mathfrak{p}}) = 0$  and  $A_f$  is Noetherian so nilrad  $(A_{\mathfrak{p}})$  is finitely generated. Thus, there is some  $g \notin \mathfrak{p}$  such that nilrad  $(A_{fg}) = (\text{nilrad } (A_f))_g = 0$ . Thus  $A_{fg}$  is a domain since nilrad  $(A_{fg}) = (0)$  and is prime and  $\mathfrak{p} \in A_{fg}$  because  $fg \notin \mathfrak{p}$ . Therefore,  $x \in \text{Spec}(A_{fg}) \subset U$  is an affine open satisfying the requirements.

Remark. This does not imply that X is integral if  $\mathcal{O}_{X,x}$  is a domain for each  $x \in X$  (which is false, consider Spec  $(k \times k)$ ) because it only shows there is an integral cover of X not that  $\mathcal{O}_X(U)$  is a domain for each U.

**Example 1.4.8.** Let  $X = \operatorname{Spec}(k[x,y]/(xy,y^2))$ . Then for the bad point  $\mathfrak{p} = (x,y)$  we have nilrad  $(\mathcal{O}_{X,\mathfrak{p}}) = (y)$ . Away from the bad point, say  $\mathfrak{p} = (x-1,y)$  we have,  $\mathcal{O}_{X,\mathfrak{p}} = \operatorname{Spec}(k[x]_{(x-1)})$  so nilrad  $(\mathcal{O}_{X,\mathfrak{p}}) = (0)$ . Furthermore, at the generic point  $\mathfrak{p} = (y)$ , we have,  $\mathcal{O}_{X,\mathfrak{p}} = \operatorname{Spec}(k(x))$  so nilrad  $(\mathcal{O}_{X,\mathfrak{p}}) = (0)$ .

**Example 1.4.9.** Consider  $X = \operatorname{Spec}(k[x,y,z]/(yz))$  which is the union of the x-y and x-z planes. Consider the generic point of the z-axis  $\mathfrak{p} = (x,y)$  then  $\mathcal{O}_{X,\mathfrak{p}} = \operatorname{Spec}(k[x,z]_{(x)})$  is a domain since the z-axis only lies in one irreducible component. However, at the generic point of the x-axis,  $\mathfrak{p} = (y,z)$  we get  $\mathcal{O}_{X,\mathfrak{p}} = \operatorname{Spec}((k[x,y,z]/(yz))_{(y,z)})$  has zero divisors yz = 0 so is not a domain since the x-axis lives in two irreducible components.

## 1.5 Reflexive Sheaves (WIP)

**Definition 1.5.1.** Recall the dual of a  $\mathcal{O}_X$  module  $\mathscr{F}$  is the sheaf  $\mathscr{F}^{\vee} = \mathscr{H}em_{\mathcal{O}_X}(\mathscr{F}, \mathcal{O}_X)$ . We say that a coherent  $\mathcal{O}_X$ -module  $\mathscr{F}$  is reflexive if the natural map  $\mathscr{F} \to \mathscr{F}^{\vee\vee}$  is an isomorphism.

**Lemma 1.5.2.** Let X be an integral locally Noetherian scheme and  $\mathscr{F},\mathscr{G}$  be coherent  $\mathcal{O}_X$ -modules. If  $\mathscr{G}$  is reflexive then  $\mathscr{H}_{om\mathcal{O}_X}(\mathscr{F},\mathscr{G})$  is reflexive.

Proof. See [?, Tag 
$$0AY4$$
].

In particular, since  $\mathcal{O}_X$  is clearly reflexive, this lemma shows that for any coherent  $\mathcal{O}_X$ -module then  $\mathscr{F}^{\vee}$  is a reflexive coherent sheaf. We say the map  $\mathscr{F} \to \mathscr{F}^{\vee\vee}$  gives the reflexive hull  $\mathscr{F}^{\vee\vee}$  of  $\mathscr{F}$ .

**Definition 1.5.3.** Let  $\mathcal{R}$  be the full subcategory  $\mathfrak{Coh}(\mathcal{O}_X)$  of coherent reflexive  $\mathcal{O}_X$ -modules.  $\mathcal{R}$  is an additive category and in fact has all kernels and cokernels defined by taking reflexive hulls of the sheaf kernel and cokernel. Furthermore,  $\mathcal{R}$  inherits a monoidal structure from the tensor product defined using the reflexive hull as follows,

$$\mathscr{F} \otimes_{\mathcal{R}} \mathscr{G} = (\mathscr{F} \otimes_{\mathcal{O}_X} \mathscr{G})^{\vee\vee}$$

Finally, we define  $\operatorname{RPic}(X)$  to be group of constant rank one reflexives induced by the monoidal structure on  $\mathcal{R}$ . Explicitly,  $\operatorname{RPic}(X)$  is the group of isomorphism classes of constant rank one reflexive coherent  $\mathcal{O}_X$ -modules with multiplication  $(\mathscr{F},\mathscr{G}) \mapsto (\mathscr{F} \otimes_{\mathcal{O}_X} \mathscr{G})^{\vee\vee}$  and inverse  $\mathscr{F} \mapsto \mathscr{F}^{\vee}$ .

The importance of reflexive sheaves derives from their correspondence to Weil divisors. Here we let X be a normal integral separated Noetherian scheme.

<b>Proposition 1.5.4.</b> If D is a Weil divisor then $\mathcal{O}_X(D)$ is reflexive of constant rank one.
Proof. (CITE OR DO). $\Box$
<b>Theorem 1.5.5.</b> Let $X$ be a normal integral separated Noetherian scheme. There is an isomorphism of groups $\operatorname{Cl}(X) \xrightarrow{\sim} \operatorname{RPic}(X)$ defined by $D \mapsto \mathcal{O}_X(D)$ .
Proof. (DO OR CITE) $\Box$
We summarize the important results as follows.
<b>Theorem 1.5.6.</b> Let $X$ be a Noetherian normal integral scheme. Then for any Weil divisors $D, E$ ,
(a) $\mathcal{O}_X(D+E) = (\mathcal{O}_X(D) \otimes_{\mathcal{O}_X} \mathcal{O}_X(E))^{\vee\vee}$
(b) $\mathcal{O}_X(-D) = \mathcal{O}_X(D)^{\vee}$
(c) $\mathcal{H}om_{\mathcal{O}_X}(\mathcal{O}_X(D), \mathcal{O}_X(E)) = \mathcal{O}_X(E-D)$
(d) if E is Cartier then $\mathcal{O}_X(D+E) = \mathcal{O}_X(D) \otimes_{\mathcal{O}_X} \mathcal{O}_X(E)$
Proof. (DO OR CITE) □
Finally, we have a result which controls when the dualizing sheaf can be expressed in terms of a divisor.
<b>Proposition 1.5.7.</b> Let $X$ be a projective variety over $k$ . Then,
(a) if X is normal then its dualizing sheaf $\omega_X$ is reflexive of rank 1 and thus X admits a canonical divisor $K_X$ s.t. $\omega_X = \mathcal{O}_X(K_X)$

(b) if X is Gorenstein then  $\omega_X$  is an invertible module so  $K_X$  is Cartier.

 ${\it Proof.}$  (FIND CITATION OR DO).