

# 1 The Analytic Setting

**Definition 1.0.1.** An  $F$ -valued *local system*  $\mathcal{L}$  on a topological space  $X$  is a locally-constant sheaf of finite dimensional  $F$ -vector spaces.

**Proposition 1.0.2.** Suppose that  $X$  is connected and admits a universal cover. Then the map,

$$\{F\text{-valued local systems on } X\} \rightarrow \{\pi_1(X, x)\text{-representations}\}$$

Given by sending a local system to its monodromy representation,

$$\mathcal{F} \mapsto \rho_{\mathcal{F}} : \pi_1(X, x) \rightarrow \text{Aut}_F(\mathcal{F}_x) \cong \text{GL}_n(F)$$

is an equivalence of categories.

<https://people.maths.ox.ac.uk/liu/seminars/s20-category-o/cailan-notes2-part1.pdf>. □

## 1.1 Local Monodromy

*Remark.* For the rest of the section, let  $X$  be a compact Riemann surface and  $S \subset X$  a finite set of points. Let  $U = X \setminus S$  and  $j : U \hookrightarrow X$  the open immersion. For each  $s \in X$  let  $D(s) \subset X$  be a small disk about  $s$  and  $D^*(s) = D(s) \cap U$ . Let  $I(s) = \pi_1(D^*(s))$  and choose a generator  $\gamma_s$  such that  $I(s) = \mathbb{Z}\gamma_s$ .

**Definition 1.1.1.** Let  $\mathcal{F}$  be a local system on  $U$ . The *local monodromy representation* at  $s \in S$  is,

$$I(s) := \pi_1(D^*(s)) \rightarrow \pi_1(U) \xrightarrow{\rho_{\mathcal{F}}} \text{GL}_n(F)$$

considered up to isomorphism. Explicitly, this is a conjugacy class  $\gamma_s \mapsto A_s \in \text{GL}_n(F)$ .

**Definition 1.1.2.** We say that a local system  $\mathcal{F}$  is *physically rigid* if for every local system  $\mathcal{G}$  on  $U$  such that for each  $s \in S$  the local monodromy data of  $\mathcal{F}$  and  $\mathcal{G}$  at  $s$  are equal. Explicitly, for each  $s \in S$  there is an isomorphism of local systems  $\mathcal{F}|_{D^*(s)} \cong \mathcal{G}|_{D^*(s)}$  or equivalently an isomorphism of representations  $\rho_{\mathcal{F}}|_{I(s)} \cong \rho_{\mathcal{G}}|_{I(s)}$ .

*Remark.* For  $X = \mathbb{P}^1$  this is extremely explicit. For  $\#S = r$  the fundamental group is  $\pi_1(U) \cong F_{r-1}$  generated by  $C_1, \dots, C_r$  sending  $C_i \mapsto \gamma_i$  with one relation  $C_1 \cdots C_r = 1$ . A local system is a choice of matrices  $A_1, \dots, A_r \in \text{GL}_n(F)$  subject to  $A_1 \cdots A_r = I$  (and hence just the choice of  $A_1, \dots, A_{r-1}$ ) up to overall conjugacy. The local monodromy is the conjugacy class  $I(s_i) = [A_i]$ . Given local monodromy data,  $[B_i]$  we ask if there exists a local system  $A_1, \dots, A_r$  such that  $[A_i] = [B_i]$  and this is rigid if there is a unique such choice up to overall conjugacy.

*Remark.* If  $X = \mathbb{P}^1$  and  $S = \{0, \infty\}$  then every local system  $\mathcal{F}$  on  $U$  is physically rigid because  $\mathcal{F}$  is completely determined by its monodromy data  $I(0)$  since  $D^*(0) \rightarrow U$  is a homotopy equivalence. Furthermore, rank 1 local systems on  $\mathbb{P}^1 \setminus S$  are rigid because the monodromy directly determines the representation (there is no conjugacy).

*Remark.* NONRIGID EXAMPLE

**Proposition 1.1.3.** If  $g(X) \geq 1$  there are no physically rigid local systems.

*Proof.* Let  $\mathcal{F}$  be a local system on  $U$  and  $\mathcal{L}$  a rank 1 nontorsion (meaning no tensor power is trivial) local system on  $X$  which exists because  $\pi_1(X) \neq 0$ . Then  $j^*\mathcal{L}$  is nontorsion because  $j_* : \pi_1(U, u) \rightarrow \pi_1(X, u)$  is surjective. Therefore  $j^*\mathcal{L}$  has trivial local monodromy so  $\mathcal{F} \otimes j^*\mathcal{L}$  and  $\mathcal{F}$  have the same local monodromy but are not isomorphic because  $\det \mathcal{F}$  and  $\det(\mathcal{F} \otimes j^*\mathcal{L}) = \det \mathcal{F} \otimes (j^*\mathcal{L})^{\text{rank } \mathcal{F}}$  are nonisomorphic. □

## 1.2 Cohomological Rigidity

**Proposition 1.2.1.** Let  $X$  be a manifold and  $\mathcal{F}$  a local system. Then,

$$\chi(X, \mathcal{F}) = \chi(X) \cdot \text{rank } \mathcal{F} \quad \text{and} \quad \chi_c(X, \mathcal{F}) = \chi_c(X) \cdot \text{rank } \mathcal{F}$$

*Proof.* DO MAYER VIETOREZ □

**Proposition 1.2.2.** Now we use our previous notation with a Riemann surface  $X$ . Let  $\mathcal{F}$  be a local system on  $U$  then,

$$\chi(X, j_*\mathcal{F}) = \chi(X) \cdot \text{rank } \mathcal{F} + \sum_{s \in S} \dim \mathcal{F}_s^{I(s)}$$

*Proof.* The Leray spectral sequence gives,

$$\chi(U, \mathcal{L}) = \chi(X, j_*\mathcal{L}) - \chi(X, R^1f_*\mathcal{L})$$

Then  $R^1f_*\mathcal{L}$  is supported on  $S$ . For each disk  $D^*(s)$  □

**Proposition 1.2.3.** Let  $X = \mathbb{P}^1$  and  $\mathcal{F}$  an irreducible local system on  $U$ . Then  $\mathcal{F}$  is physically rigid if and only if  $H^1(X, j_*\text{End}(\mathcal{F})) = 0$ .

*Proof.* Apply the previous calculation to  $\mathcal{L} = \text{End}(\mathcal{F})$  and  $\mathcal{L} = \text{Hom}(\mathcal{F}, \mathcal{G})$  which have isomorphic local monodromy. Therefore,

$$\chi(X, j_*\text{Hom}(\mathcal{F}, \mathcal{G})) = \chi(X, j_*\text{End}(\mathcal{F})) = 2$$

Therefore,

$$h^0(X, j_*\text{Hom}(\mathcal{F}, \mathcal{G})) + h^2(X, j_*\text{Hom}(\mathcal{F}, \mathcal{G})) \geq 2$$

Furthermore,

$$h^2(X, j_*\text{Hom}(\mathcal{F}, \mathcal{G})) = h_c^2(U, \text{Hom}(\mathcal{F}, \mathcal{G})) = h^0(U, \text{Hom}(\mathcal{G}, \mathcal{F}))$$

Therefore one of  $\text{Hom}(\mathcal{F}, \mathcal{G})$  or  $\text{Hom}(\mathcal{G}, \mathcal{F})$  has a nonzero global section. Because  $\mathcal{F}$  and  $\mathcal{G}$  are irreducible this must be an isomorphism. □

*Remark.* This justifies thinking of  $H^1(X, j_*\text{End}(\mathcal{F}))$  as the deformation space of local systems with fixed monodromy on  $S$  at  $\mathcal{F}$ . This is an idea we will explore further now.

DO THE MOTIVATION (3.2.2) IN THIS SETTING.

## 2 The étale Setting

*Remark.* For now, let  $k$  be any field and let  $U$  be a finite type scheme over  $k$ .

**Definition 2.0.1.** A *local system* on  $U_{\text{ét}}$  is a lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf. The category  $\text{Loc}(U)$  is surprisingly difficult to define. First we define  $\text{Loc}(U, \mathbb{Z}/\ell^n\mathbb{Z})$  as the category of locally-constant finite locally-free étale sheaves of  $\mathbb{Z}/\ell^n\mathbb{Z}$ -modules. Then a lisse  $\mathbb{Z}_\ell$ -sheaf is a projective system  $\{\mathcal{F}_n\}$  of  $\mathbb{Z}/\ell^n\mathbb{Z}$ -local systems such that,

$$\mathcal{F}_n \otimes \mathbb{Z}/\ell^{n-1}\mathbb{Z} \rightarrow \mathcal{F}_{n-1}$$

is an isomorphism. Thus we write,

$$\text{Loc}(U, \mathbb{Z}_\ell) = \varprojlim \text{Loc}(U, \mathbb{Z}/\ell^n\mathbb{Z})$$

Now the category of lisse  $\mathbb{Q}_\ell$ -sheaves is,

$$\mathrm{Loc}(U, \mathbb{Q}_\ell) = \mathrm{Loc}(U, \mathbb{Z}_\ell) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$$

where we invert  $\ell$  in the Hom. Similarly, if  $L/\mathbb{Q}_\ell$  is a finite extensions we define  $\mathrm{Loc}(U, \mathcal{O}_L)$  and  $\mathrm{Loc}(U, L)$  in the same way. Finally, we define,

$$\mathrm{Loc}(U) := \mathrm{Loc}(U, \overline{\mathbb{Q}_\ell}) = \varinjlim \mathrm{Loc}(U, L)$$

**Theorem 2.0.2.** Let  $U$  be normal and connected and  $\bar{u} \in U$  a geometric point. Then there is an equivalence of categories,

$$\mathrm{Loc}(U) \xrightarrow{\sim} \{\rho : \pi_1^{\mathrm{ét}}(U, \bar{u}) \rightarrow \mathrm{GL}_n(\overline{\mathbb{Q}_\ell}) \text{ continuous}\}$$

defined by evaluating on the fiber over  $\bar{u}$ ,

$$\mathcal{F} \mapsto \rho_{\mathcal{F}} : \pi_1(U, \bar{u}) \rightarrow \mathrm{Aut}_{\overline{\mathbb{Q}_\ell}}(\mathcal{F}_{\bar{u}}) \cong \mathrm{GL}_n(\overline{\mathbb{Q}_\ell})$$

*Remark.* The “correct” statement is PROETALE AND FINITENESS

*Remark.* Let  $\pi_1^{\mathrm{geom}}(U, \bar{u}) = \pi_1(U_{\bar{k}}, \bar{u})$ . Then there is a short exact sequence,

$$1 \longrightarrow \pi_1^{\mathrm{geom}}(U, \bar{u}) \longrightarrow \pi_1(U, \bar{u}) \longrightarrow \mathrm{Gal}(k^{\mathrm{sep}}/k) \longrightarrow 1$$

## 2.1 $H$ -Local Systems

*Remark.* Local systems correspond to continuous representations,

$$\rho : \pi_1(U, \bar{u}) \rightarrow \mathrm{GL}_n(\overline{\mathbb{Q}_\ell})$$

Given an affine algebraic group  $H$ , we want a geometric object that corresponds to a continuous homomorphism,

$$\rho : \pi_1(U, \bar{u}) \rightarrow H(\overline{\mathbb{Q}_\ell})$$

which form a category under intertwining by elements of  $H(\overline{\mathbb{Q}_\ell})$ .

**Definition 2.1.1.** Let  $\mathrm{Rep}(H)$  be the tensor category of algebraic representations of  $H$  on finite-dimensional  $\overline{\mathbb{Q}_\ell}$ -vector spaces. An  $H$ -local system is a tensor-preserving functor  $\mathcal{F} : \mathrm{Rep}(H) \rightarrow \mathrm{Loc}(U)$ . Thus the category of  $H$ -local systems is,

$$\mathrm{Loc}_H(U) = \mathrm{Fun}^{\otimes}(\mathrm{Rep}(H), \mathrm{Loc}(U))$$

**Theorem 2.1.2.** Let  $U$  be normal and connected. Then there is an equivalence of categories,

$$\mathrm{Loc}_H(U) \xrightarrow{\sim} \{\rho : \pi_1(U, \bar{u}) \rightarrow H(\overline{\mathbb{Q}_\ell})\}$$

Defined by sending  $\rho$  to the functor,

$$\mathcal{F}_{\rho} : V \in \mathrm{Rep}(H) \mapsto [\rho_V : \pi_1(U, \bar{u}) \xrightarrow{\rho} H(\overline{\mathbb{Q}_\ell}) \rightarrow \mathrm{GL}(V)]$$

Conversely,  $\mathcal{F} \in \mathrm{Loc}_H(U)$  can be viewed as a functor  $\mathcal{F} : \mathrm{Rep}(H) \rightarrow \mathrm{Rep}(\pi_1(U, \bar{u}))$  and hence defines a continuous homomorphism  $\rho_{\mathcal{F}} : \pi_1(U, \bar{u}) \rightarrow H(\overline{\mathbb{Q}_\ell})$  well-defined up to conjugacy.

**Definition 2.1.3.** Let  $\mathcal{F} \in \mathrm{Loc}_H(U)$  with corresponding  $\rho_{\mathcal{F}} : \pi_1(U, \bar{u}) \rightarrow H(\overline{\mathbb{Q}_\ell})$ . The *global geometric monodromy group*  $H_{\mathcal{F}}^{\mathrm{geom}}$  is the Zariski closure,

$$H_{\mathcal{F}}^{\mathrm{geom}} = \overline{\rho(\pi_1^{\mathrm{geom}}(U, \bar{u}))} \subset H$$

**Theorem 2.1.4.** DELIGNE??

## 2.2 Local Monodromy

*Remark.* In this section, we let  $X$  be a projective, smooth geometrically connected curve over a perfect field  $k$  and  $S \subset X(k)$  a finite set of rational points. Let  $U = X \setminus S$  be the open complement and  $j : U \hookrightarrow X$  the open immersion.

*Remark.* We require that  $k$  is perfect so that the residue fields of  $X$  are also all perfect which leads to good behavior of the unramified extensions of the local fields.

**Definition 2.2.1.** Let  $x \in X$  be a closed point let  $\widehat{\mathcal{O}_{X,x}}$  be the completed local ring and  $F_x$  its fraction field and  $k_x$  its residue field. Choose an algebraic closure  $\overline{F}_x$  which defines a geometric generic point,

$$\begin{array}{ccccccc} \eta_x : \mathrm{Spec}(\overline{F}_x) & \longrightarrow & \mathrm{Spec}(F_x) & \longrightarrow & \mathrm{Spec}(\widehat{\mathcal{O}_{X,x}}) & \longrightarrow & X \\ & & & \searrow & & & \uparrow \\ & & & & & & U \end{array}$$

This map gives a homomorphism of fundamental groups,

$$\Gamma_x = \mathrm{Gal}(F_x^{\mathrm{sep}}/F_x) \xrightarrow{\eta_x} \pi_1(U, \eta_x) \cong \pi_1(U, \bar{u})$$

where the second isomorphism is well-defined up to conjugacy.

**Proposition 2.2.2.** If  $x \in S$  then  $\eta_x : \Gamma_x \rightarrow \pi_1(U, \bar{u})$  is injective.

**Definition 2.2.3.** Consider the diagram,

$$\begin{array}{ccc} & \mathrm{Spec}(k_x) & \\ & \downarrow & \\ \mathrm{Spec}(F_x) & \longrightarrow & \mathrm{Spec}(\widehat{\mathcal{O}_{X,x}}) \end{array}$$

which induces a diagram of fundamental groups,

$$\begin{array}{ccccc} & \mathrm{Gal}(\bar{k}_x/k_x) & & & \\ & \downarrow & \searrow \sim & & \\ \mathrm{Gal}(F_x^{\mathrm{sep}}/F_x) & \longrightarrow \pi_1(\mathrm{Spec}(\widehat{\mathcal{O}_{X,x}}, \eta_x) & \xlongequal{\quad} & \mathrm{Gal}(F_x^{\mathrm{ur}}/F_x) \end{array}$$

using that  $k_x/k$  is finite and hence  $k_x$  is perfect. Then because  $F_x$  is a local field with perfect residue field  $k_x$  the map  $\mathrm{Gal}(F_x^{\mathrm{ur}}/F_x) \rightarrow \mathrm{Gal}(\bar{k}_x/k_x)$  is an isomorphism. We define the kernel,

$$1 \longrightarrow I_x \longrightarrow \mathrm{Gal}(F_x^{\mathrm{sep}}/F_x) \longrightarrow \mathrm{Gal}(\bar{k}_x/k_x) \longrightarrow 1$$

to be the *inertia group* at  $x \in U$ .

**Proposition 2.2.4.** Under the map  $\Gamma_x \rightarrow \pi_1(U, \bar{u})$  the subgroup  $I_x$  lands in  $\pi_1^{\mathrm{geom}}(U, \bar{u}) \triangleleft \pi_1(U, \bar{u})$ .

*Proof.* This is immediate from the fact that the previous diagram is in the category of  $k$ -schemes. Explicitly,

$$\begin{array}{ccccc}
\mathrm{Spec}(F_x) & \longrightarrow & \mathrm{Spec}(\widehat{\mathcal{O}_{X,x}}) & \longleftarrow & \mathrm{Spec}(k_x) \\
\downarrow & & \downarrow & & \downarrow \\
U & \hookrightarrow & X & \longrightarrow & \mathrm{Spec}(k)
\end{array}$$

commutes. Therefore, we get a diagram of exact sequences,

$$\begin{array}{ccccccc}
1 & \longrightarrow & I_x & \longrightarrow & \mathrm{Gal}(F_x^{\mathrm{sep}}/F_x) & \longrightarrow & \mathrm{Gal}(\bar{k}_x/k_x) \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \downarrow \\
1 & \longrightarrow & \pi_1^{\mathrm{geom}}(U, \bar{u}) & \longrightarrow & \pi_1(U, \bar{u}) & \longrightarrow & \mathrm{Gal}(\bar{k}/k) \longrightarrow 1
\end{array}$$

□

*Remark.* Furthermore, if  $x \in U$  then  $\eta_x : \Gamma_x \rightarrow \pi_1(U, \bar{u})$  factors through  $\mathrm{Spec}(\widehat{\mathcal{O}_{X,x}}) \rightarrow U$  which means it factors through  $\mathrm{Gal}(F_x^{\mathrm{ur}}/F_x)$  and hence sends the monodromy to zero.

**Definition 2.2.5.** When  $\mathrm{char} k = p$  is positive there is a normal subgroup  $I_x^w \triangleleft I_x$  called the *wild inertia* subgroup such that its quotient  $I_x^t = I_x/I_x^w$  the *tame inertia group* is the maximal prime-to- $p$  quotient of  $I_x$ .

**Proposition 2.2.6.** There is a canonical isomorphism of  $\mathrm{Gal}(\bar{k}_x/k_x)$ -modules,

$$I_x^t \xrightarrow{\sim} \varprojlim_{(n,p)=1} \mu_n(\bar{k}) = \hat{\mathbb{Z}}^{(p)}(1)$$

**Definition 2.2.7.** Let  $\rho : \pi_1(U, \bar{i}) \rightarrow H(\overline{\mathbb{Q}}_\ell)$  be an  $H$ -local system. The *local monodromy* of  $\rho$  at  $x \in S$  is the homomorphism  $\rho_x := \rho|_{I_x} : I_x \rightarrow H(\overline{\mathbb{Q}}_\ell)$ . The local system  $\rho$  is *tame* at  $x \in S$  if  $\rho_x(I_x^w) = 0$  and hence if  $\rho_x$  factors through the tame inertia group  $I_x^t$ .

*Remark.* In the case  $H = \mathrm{GL}_n$  the map  $\rho_x$  is just the representation of  $\pi_1(U, \bar{u})$  restricted to the subgroup  $\eta_x(I_x) \subset \pi_1(U, \bar{u})$ . For some reason, Zhiwei intermittently calls this the “local geometric monodromy”.

## 2.3 Ramification Conductors

**Definition 2.3.1.** Let  $\sigma : I_x \rightarrow \mathrm{GL}(V)$  be a continuous representation of inertia on a  $\overline{\mathbb{Q}}_\ell$ -vector space  $V$  such that  $D = \sigma(I_x)$  is finite<sup>1</sup>. There is some finite Galois extension  $L/F_x^{\mathrm{ur}}$  such that  $D = \mathrm{Gal}(L/F_x^{\mathrm{ur}})$  and then we define a filtration,

$$D = D_0 \triangleright D_1 \triangleright D_2 \triangleright \dots$$

where,

$$D_i = \{\sigma \in D \mid \forall x \in \mathcal{O}_L : \sigma(x) \equiv x \pmod{\mathfrak{m}_L^{i+1}}\}$$

is the subgroup of  $D$  acting trivially on  $\mathcal{O}_L/\mathfrak{m}_L^{i+1}$ . Then the Swan conductor is defined as,

$$\mathrm{Sw}(\sigma) = \sum_{i \geq 1} \frac{\dim(V/V^{D_i})}{[D : D_i]}$$

<sup>1</sup>This will be the case for those arising from Galois representations (WHY!??)

Likewise, the Artin conductor is,

$$a(\sigma) := \sum_{i \geq 0} \frac{\dim(V/V^{D_i})}{[D : D_i]} = \dim(V/V^{I_x}) + \text{Sw}(\sigma)$$

*Remark.* I think there is a typo in Zhiwei's notes here with  $i$  and  $i + 1$ .

*Remark.* Since  $D_1 = \sigma(I_x^w)$  if  $\sigma$  is tamely ramified then  $\text{Sw}(\sigma) = 0$  because there is no  $i = 0$  term in  $\text{Sw}(\sigma)$ . Indeed  $\sigma$  is tamely ramified if and only if  $\text{Sw}(\sigma) = 0$ . Likewise,  $\sigma$  is unramified (i.e. trivial because we are only considering  $\sigma = \rho|_{I_x}$ ) if and only if  $a(\sigma) = 0$ .

## 2.4 Rigidity

*Remark.* In this section, we assume that  $S$  is nonempty so that  $U$  is nonproper.

**Definition 2.4.1.** An  $H$ -local system  $\mathcal{F} \in \text{Loc}_H(U)$  is *physically rigid* if for any other  $\mathcal{F}' \in \text{Loc}_H(U)$  such that for each  $x \in S$  the local

**Definition 2.4.2.** Let  $\mathcal{F} \in \text{Loc}_H(U)$  be an  $H$ -local system and  $n = \dim H$ . We define a  $\text{GL}_n$ -local system (i.e. a local system in the standard sense)  $\text{Ad}(\mathcal{F})$  via,

$$\text{Ad}(\mathcal{F}) = \mathcal{F}_{\text{Ad}} \in \text{Loc}(U)$$

Furthermore,  $\text{Ad}^{\text{der}}(\mathcal{F})$  is the  $\text{GL}_{n-1}$ -local system,

$$\text{Ad}(\mathcal{F}) = \mathcal{F}_{\text{Ad}^{\text{der}}}$$

where  $\text{Ad}^{\text{der}}$  is the representation of  $H$  on  $\mathfrak{h}^{\text{der}} = \ker(\mathfrak{h} \rightarrow \mathfrak{h}^{\text{ab}})$  is the Lie algebra of the derived subgroup.

*Remark.* Notice that if  $H = \text{GL}_n$  then  $\text{Ad}(\mathcal{F}) = \text{End}(\mathcal{F})$  and  $\text{Ad}^{\text{der}}\mathcal{F} = \text{End}^0(\mathcal{F})$  the subsheaf of traceless endomorphisms.

*Remark.* Following Zhiwei, we denote by  $j_!$  and  $j_*$  the *derived* extension by zero and pushforward respectively. Furthermore we denote by  $j_{!*}$  the usually pushforward operation on sheaves (what sane people would call  $j_*$ ) because for a local system  $\mathcal{F}$  the sheaf  $j_{!*}\mathcal{F}$  agrees with the middle extension of the perverse sheaf  $\mathcal{F}[1]$ .

**Definition 2.4.3.** An object  $\mathcal{F} \in \text{Loc}_H(U)$  is *cohomologically rigid* if,

$$\text{Rig}(\mathcal{F}) := H^1(X, j_{!*}\text{Ad}^{\text{der}}(\mathcal{F})) = 0$$

*Remark.* Since  $\mathfrak{h}^{\text{der}}$  carries the  $\text{Ad}$ -invariant symmetric bilinear Killing form then  $j_{!*}\text{Ad}^{\text{der}}(\mathcal{F})$  is Verdier self-dual and  $\text{Rig}(\mathcal{F})$  is a symplectic space and hence has even dimension. Furthermore,

$$\dim H^0(X, j_{!*}\text{Ad}^{\text{der}}(\mathcal{F})) = \dim H^2(X, j_{!*}\text{Ad}^{\text{der}}(\mathcal{F}))$$

which says that  $\mathcal{F}$  is unobstructed if and only if it has no automorphisms.

*Remark.* EXPLAIN FIXING THE CHARACTER!!!

*Remark.* Because  $j_{!*}\text{Ad}^{\text{der}}(\mathcal{F})$  does not change if we shrink  $U$  and pull back  $\mathcal{F}$  we see that cohomological rigidity is also insensitive to  $U$  (there is of course a largest  $U$  on which  $\mathcal{F}$  is defined).

**Lemma 2.4.4.** For any local system  $\mathcal{L}$  on  $U$  there is an exact sequence,

$$0 \longrightarrow H^0(U, \mathcal{L}) \longrightarrow \bigoplus_{s \in S} (\mathcal{L}_x)^{I_x} \longrightarrow H_c^1(U, \mathcal{L}) \longrightarrow H^1(U, \mathcal{L}) \longrightarrow \bigoplus_{s \in S} (\mathcal{L}_x)_{I_x}(-1) \longrightarrow H_c^2(U, \mathcal{L}) \longrightarrow 0$$

*Proof.* This should follow from an exact sequence of sheaves,

$$0 \longrightarrow j_! \mathcal{L} \longrightarrow j_{!*} \mathcal{L} \longrightarrow \bigoplus_{x \in S} \mathcal{L}_x \longrightarrow 0$$

Taking the associated long exact sequence gives the desired result noting that  $H^q(X, j_! \mathcal{L}) = H_c^q(U, \mathcal{L})$  and  $H_c^0(U, \mathcal{L}) = 0$  for  $S \neq \emptyset$  along with the following identifications,

$$\begin{aligned} H^0(X, j_{!*} \mathcal{L}) &= H^0(U, \mathcal{L}) \cong (\mathcal{L}_{\bar{u}})^{\pi_1(U, \bar{u})} \\ H^1(X, j_{!*} \mathcal{L}) &= \text{im}(H_c^1(U, \mathcal{L}) \rightarrow H^1(U, \mathcal{L})) \\ H^2(X, j_{!*} \mathcal{L}) &= H_c^2(U, \mathcal{L}) \cong (\mathcal{L}_{\bar{u}})_{\pi_1(U, \bar{u})}(-1) \end{aligned}$$

□

**Theorem 2.4.5** (Grothendieck-Ogg-Shafarevich). Let  $\mathcal{L}$  be a local system. Then,

$$\chi_c(U, \mathcal{L}) = \chi_c(U) \cdot \text{rank } \mathcal{L} - \sum_{x \in S} \text{Sw}_x(\mathcal{L})$$

**Example 2.4.6.** DO THE ARTIN-SCRIER COVER!!

**Proposition 2.4.7.** Let  $\mathcal{F} \in \text{Loc}_H(U)$ . Then  $\mathcal{F}$  is cohomologically rigid if and only if,

$$\frac{1}{2} \sum_{x \in S} a_x(\text{Ad}^{\text{der}}(\mathcal{F})) = (1 - g_X) \dim \mathfrak{h}^{\text{der}} - \dim H^0(U, \text{Ad}^{\text{der}}(\mathcal{F}))$$

where  $a_x$  is the Artin conductor at  $x \in S$  and  $g_X$  is the genus of  $X$ .

*Proof.* We apply the Grothendieck-Ogg-Shafarevich formula,

$$\chi_c(U, \mathcal{L}) = \chi_c(U) \cdot \text{rank } \mathcal{L} - \sum_{x \in S} \text{Sw}_x(\mathcal{L})$$

And  $\chi_c(U) = 2 - 2g_X - \#S$ . However, by the previous lemma,

$$\dim H_c^1(X, j_{!*} \mathcal{L}) = \dim H_c^1(U, \mathcal{L}) - \sum_{x \in S} \dim (\mathcal{L}_x)^{I_x} + \dim H^0(U, \mathcal{L})$$

Adding the RHS - LHS of the GOS formula on the RHS we get <sup>2</sup>

$$\dim H_c^1(X, j_{!*} \mathcal{L}) = \sum_{x \in S} \left( \dim (\mathcal{L}_x / \mathcal{L}_x^{I_x}) + \text{Sw}_x(\mathcal{L}) \right) + (2g_X - 2) \cdot \text{rank } \mathcal{L} + \dim H_c^2(U, \mathcal{L}) + \dim H^0(U, \mathcal{L})$$

By the definition of the Artin condutor and Poincare duality if  $\mathcal{L}$  is self-dual,

$$\dim H_c^1(X, j_{!*} \mathcal{L}) = \sum_{x \in S} a_x(\mathcal{L}) + (2g_X - 2) \cdot \text{rank } \mathcal{L} + 2 \dim H^0(U, \mathcal{L})$$

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<sup>2</sup>The first term comes from

$$\#S \cdot \text{rank } \mathcal{L} - \sum_{x \in S} \dim \mathcal{L}_x^{I_x} = \sum_{x \in S} \dim (\mathcal{L}_x / \mathcal{L}_x^{I_x})$$

and  $\chi_c(X, \mathcal{L}) + \dim H_c^1(U, \mathcal{L}) = \dim H_c^0(U, \mathcal{L}) + \dim H_c^2(U, \mathcal{L}) = \dim H_c^2(U, \mathcal{L})$  since  $H_c^0(U, \mathcal{L}) = 0$ .

Applying this to  $\mathcal{L} = \text{Ad}^{\text{der}}(\mathcal{F})$  we conclude that,

$$\frac{1}{2}\text{Rig}(\mathcal{F}) = \frac{1}{2} \sum_{x \in S} a_x(\text{Ad}^{\text{der}}(\mathcal{F})) - \left[ (1 - g_X) \dim \mathfrak{h}^{\text{der}} - \dim H^0(U, \text{Ad}^{\text{der}}(\mathcal{F})) \right]$$

proving the claim. □

**Corollary 2.4.8.** Cohomologically rigid  $H$ -local systems exist only when  $g_X \leq 1$ . When  $g_X = 1$  and  $\mathcal{F} \in \text{Loc}_H(U)$  is cohomologically rigid then  $\text{Ad}^{\text{der}}(\mathcal{F})$  must be everywhere unramified and have no global sections.

*Proof.* For  $g_X > 1$  the RHS of the above is negative but the LHS is by definition non-negative giving a contradiction. For  $g_X = 1$  the RHS is only non-negative if  $H^0(U, \text{Ad}^{\text{der}}(\mathcal{F})) = 0$  in which case both sides are zero and thus each Artin conductor  $a_x(\text{Ad}^{\text{der}}(\mathcal{F})) = 0$  meaning that  $\text{Ad}^{\text{der}}(\mathcal{F})$  is everywhere unramified. □

**Theorem 2.4.9** (Katz). For  $X = \mathbb{P}^1$  and  $H = \text{GL}_n$  the notions of physical rigidity and cohomological rigidity coincide.