

# 1 Picard Scheme

**Theorem 1.0.1.** Let  $X$  be a proper  $k$ -scheme. Then  $\mathrm{Pic}_{X/k}$  is represented by a lft  $k$ -scheme.

*Remark.* However, this does not hold for proper flat families in general even for curves.

From here on let  $f : X \rightarrow S$  be a flat, locally finitely presented, proper morphism where  $S = \mathrm{Spec}(R)$  is a DVR.

**Theorem 1.0.2** (8.3.2).  $\mathrm{Pic}_{X/S}$  is represented by an algebraic space if and only if  $f$  is cohomologically flat in degree 0.

*Remark.* In fact, the above holds when  $S$  is any reduced scheme.

This is a problem since we want to study non-cohomologically flat situations. We fix this in the next section.

## 1.1 Rigidified Picard Scheme

**Proposition 1.1.1** (8.1.6).  $f$  admits a rigidifying subscheme meaning a closed subscheme  $Y \subset X$  which is flat, locally finitely presented, proper and such that for any  $T \rightarrow S$  the map,

$$\Gamma(X_T, \mathcal{O}_{X_T}^\times) \rightarrow \Gamma(Y_T, \mathcal{O}_{Y_T}^\times)$$

is injective.

**Definition 1.1.2.** Let  $Y \hookrightarrow X$  be a rigidifying subscheme. Then we define the rigidified Picard functor,

$$\mathrm{Pic}_{X/S|Y} : (T \rightarrow S) \mapsto \{(\mathcal{L}, \varphi) \mid \mathcal{L} \in \mathrm{Pic}(X_T) \text{ and } \varphi : \mathcal{L}|_Y \xrightarrow{\sim} \mathcal{O}_Y\} / \cong$$

The condition of being a rigidifying subscheme shows exactly that there are no nontrivial automorphism of  $(\mathcal{L}, \varphi)$ .

FGA shows that the functor,

$$(T \rightarrow S) \mapsto (f_T)_* \mathcal{O}_{X_T}$$

is representable by a linear scheme  $V_X$  over  $X$ . This is a vector bundle over  $X$  iff  $f$  is cohomologically flat in degree 0. Furthermore, the subsheaf of units,

$$(T \rightarrow S) \mapsto (f_T)_* \mathcal{O}_{X_T}^\times$$

is represented by an open subscheme,

$$V_X^\times \subset V_X$$

Now  $V_X$  is a ring scheme and  $V_X^\times$  is a group scheme.

**Proposition 1.1.3.** Let  $Y \hookrightarrow X$  be a rigidifier. There is an exact sequence of fppf sheaves of abelian groups,

$$0 \longrightarrow V_X^\times \longrightarrow V_Y^\times \longrightarrow \mathrm{Pic}_{X/S} \longrightarrow \mathrm{Pic}_{X/S|Y} \longrightarrow 0$$

where the last map forgets the rigidification. It is surjective in the fppf topology because by definition any class in  $\mathrm{Pic}_{X/S}$  is fppf locally represented by a line bundle.

**Theorem 1.1.4** (8.3.3). Let  $Y \hookrightarrow X$  be a rigidifier. Then  $\mathrm{Pic}_{X/S|Y}$  is representable by an algebraic space over  $S$  which admits a universal rigidified line bundle.

**Proposition 1.1.5.** Let  $s \in S$  be a point such that  $H^2(X_s, \mathcal{O}_{X_s}) = 0$ . Then there is an open neighborhood  $s \in U \subset S$  such that, both  $\mathrm{Pic}_{X/S|Y|U}$  and  $\mathrm{Pic}_{X/S|U}$  are formally smooth over  $U$ .

## 1.2 Relative Curves

Now suppose that  $f$  has relative dimension 1 and has geometrically connected fibers.

## 2 Overview of the Proof

**Definition 2.0.1.** Let  $C/k$  be an integral curve over a field  $k$ . Then the *gonality* of  $C$  is the smallest degree of a finite map  $C \rightarrow \mathbb{P}^1$  over  $k$ . The *geometric gonality* of  $C$  is the maximum of the gonality over  $\bar{k}$  of the irreducible components of  $C_{\bar{k}}$ .

**Lemma 2.0.2.** Let  $f : X \rightarrow B$  be a proper morphism of relative dimension 1 between normal varieties.

**Lemma 2.0.3.** Let  $f : X \rightarrow B$  a proper morphism of relative dimension 1 of varieties over a perfect field  $k$  whose generic fiber is a smooth connected curve. Let  $n = \dim X$ . Suppose there is a line bundle  $\mathcal{L} \hookrightarrow \Omega_X^{n-1}$  whose sections separate  $d$  general points on  $X$ . Then the general fiber of  $f$  has gonality  $> d$ .

*Proof.* We can shrink  $B$  such that the base and the map are smooth. Choose a general fiber  $C \hookrightarrow X$  which is a smooth irreducible curve. Therefore, there is an exact sequence,

$$0 \rightarrow \mathcal{C}_{C|X} \rightarrow \Omega_X|_C \rightarrow \Omega_C \rightarrow 0$$

of vector bundles. Since  $\Omega_C$  is a line bundle there is an exact sequence,

$$0 \rightarrow \mathcal{C}_{C|X}^{n-1} \rightarrow \Omega_X^{n-1}|_C \rightarrow (\wedge^{n-2} \mathcal{C}_{C|X}) \otimes \Omega_C \rightarrow 0$$

However, since  $C$  is a fiber of  $f$  we have  $\mathcal{C}_{C|X} = \mathcal{O}_X^{n-1}$ . Therefore, we get  $n - 1$  projection maps,

$$\mathcal{L} \rightarrow \Omega_X^{n-1}|_C \rightarrow \Omega_C$$

which are all zero exactly if  $\mathcal{L} \hookrightarrow \Omega_X^{n-1}$  factors through  $\mathcal{C}_{C|X}^{n-1}$  but these forms are constant along fibers so sections of  $\mathcal{L}$  would not be able to separate any points on  $C$ . Therefore, one of the projections  $\mathcal{L} \rightarrow \Omega_C$  is a nonzero map of line bundles hence injective meaning that,

$$H^0(C, \mathcal{L}) \rightarrow H^0(C, \Omega_C)$$

must be injective. Since we chose  $C$  generically  $H^0(C, \mathcal{L})$  and hence  $H^0(C, \Omega_C)$  can separate  $d$  general points on  $C$ . Therefore  $\text{gon}(C) > d$ .  $\square$

### 3 Meaning of Supersingular on even cohomology

What does it mean to have a Frob eigenvalue  $\alpha = \zeta q^{i/2}$ . This happens exactly when  $\text{Frob}^n$  has an eigenvalue  $(q^n)^{i/2}$ . In other words an eigenvector with eigenvalue  $\alpha = \zeta q^{i/2}$  is the same as a vector fixed under  $\text{Frob}^n / (q^n)^{i/2}$  for some  $n$ . By the Tate conjecture, these are classes should be algebraic cycles defined over  $\mathbb{F}_{q^n}$ . Therefore, for  $i$  even, the supersingular eigenspaces are exactly the set of “potentially algebraic cycles” meaning the cycles that are represented by cycle classes of varieties defined over possibly larger fields.

### 4 Characters

We are considering the projective variety  $X$  defined by the polynomial,

$$f = a_0 x_0^{n_0} + \cdots + a_r x_r^{n_r}$$

Let  $m = \text{lcm}(n_0, \dots, n_r)$  and denote by  $\mu_n$  the group of  $n^{\text{th}}$ -roots of unity in  $\mathbb{F}_q$ . Then there is an action of the group,

$$\mu_{n_0} \times \cdots \times \mu_{n_r}$$

on  $X$ . However, the map,

$$\mu_{n_0} \times \cdots \times \mu_{n_r} \rightarrow \text{Aut}(X)$$

is not injective since  $X$  is defined as the quotient under the action,

$$\lambda \cdot (x_0, \dots, x_n) = (\lambda^{\frac{m}{n_0}} x_0, \dots, \lambda^{\frac{m}{n_r}} x_r)$$

therefore the kernel of the map

$$\mu_{n_0} \times \cdots \times \mu_{n_r} \rightarrow \text{Aut}(X)$$

is exactly the image of

$$\mu_m \rightarrow \mu_{n_0} \times \cdots \times \mu_{n_r}$$

under the map

$$\lambda \mapsto (\lambda^{\frac{m}{n_0}}, \dots, \lambda^{\frac{m}{n_r}})$$

Therefore, we get a map,

$$G = (\mu_{n_0} \times \cdots \times \mu_{n_r}) / \mu_m \rightarrow \text{Aut}(X)$$

Since  $G \subset X$  by functoriality it also acts on the middle cohomology,

$$G \subset H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell)$$

Then  $G$  is abelian so its irreducible representations are all one-dimensional characters. Therefore, we get a decomposition into spaces on which  $G$  acts through a given character,

$$H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell) = \bigoplus_{\chi \in \widehat{G}} H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell)(\chi)$$

Weil proved that in our case for each character  $\chi$  we have,

$$\dim H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell)(\chi) \leq 1$$

Furthermore, since  $G$  acts by automorphisms and the action of Frobenius is natural meaning that the action of Frobenius and  $G$  commute. Therefore, Frobenius preserves the irreducible decomposition of  $G$ . Since each factor is 1-dimensional,

$$\text{Frob} \subset H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell)$$

is just multiplication by a corresponding Frobenius eigenvalue  $\alpha_\chi$ . Furthermore, since if  $[Z]$  is the class of a subvariety then  $g \cdot [Z] = [g \cdot Z]$  so the action of  $G$  preserves the algebraic cycles. Therefore,

$$H_{\text{alg}}^{2i}(X, \mathbb{Q}_\ell) \subset H_{\text{ét}}^{2i}(X, \mathbb{Q}_\ell)$$

is a  $G$ -subrepresentation. Therefore, since each character space is 1-dimensional then the space of algebraic cycles is a sum of a subset of the characters. These are exactly the “algebraic characters”. By the Tate conjecture, they are also the “supersingular characters” i.e. those characters such that  $\alpha_\chi = \zeta q^{i/2}$ .

Now we need to make the connection to the set  $A_{\underline{n}, q^f}$ . To do this, we fix compatible isomorphisms  $\mu_n \cong \mu_n(\mathbb{C})$  for each  $n$  dividing  $q^f - 1$  (recall that  $f = \text{ord}_m(q)$ ). This just amounts to a choice of generator  $g \in \mathbb{F}_{q^f}^\times$  which we identify with  $\zeta_{q^f-1} = e^{\frac{2\pi i}{q^f-1}}$ . Now for each  $i$  and a character,

$$\chi : \mu_{n_i} \rightarrow \mu_{n_i}(\mathbb{C})$$

consider the map,

$$\mathbb{F}_{q^f}^\times \rightarrow \mu_{n_i} \xrightarrow{\chi} \mu_{n_i}(\mathbb{C})$$

where the map is,

$$x \mapsto x^{\frac{q^f-1}{n_i}} \mapsto \chi(x^{\frac{q^f-1}{n_i}})$$

This gives a map,

$$\hat{G} \rightarrow \text{Hom}\left((\mathbb{F}_{q^f}^\times)^{r+1}, \mathbb{C}^\times\right)$$

The compatible isomorphism then enters when we identify,

$$\hat{G} = \{(a_0, \dots, a_r) \mid a_i \in (\mathbb{Z}/n_i\mathbb{Z}) \text{ and } (m/n_0)a_0 + \dots + (m/n_r)a_r \equiv 0 \pmod{m}\}$$

By definition, the character corresponding to  $a = 1$  is given by taking the generator of  $\mu_{n_i}$  which is  $g^{\frac{q^f-1}{n_i}}$  and sending to the generator of  $\mu_{n_i}(\mathbb{C})$  which is  $\zeta_{n_i}$  hence the corresponding character of  $\mathbb{F}_{q^f}^\times$  is defined on the generator by

$$g \mapsto \zeta_{n_i}$$

which corresponds to  $\alpha_i = \frac{1}{n_i}$  as we defined previously. Therefore, this identification of  $\hat{G}$  shows that its image in  $\text{Hom}\left((\mathbb{F}_{q^f}^\times)^{r+1}, \mathbb{C}^\times\right)$  is almost the set  $A_{\underline{n}, q^f}$ . Notice we have “explained” where the sum condition comes from but not the conditional  $0 < \alpha_i < 1$  i.e. corresponding to a condition that all  $a_i \neq 0$ . To do this let,

$$\hat{G}^{\text{prim}} \subset \hat{G}$$

be the subset where  $\chi$  is nontrivial when restricted to each  $\mu_{n_i} \rightarrow G$ . The the image of,

$$\hat{G}^{\text{prim}} \rightarrow \text{Hom}\left((\mathbb{F}_{p^f}^\times)^{r+1}, \mathbb{C}^\times\right)$$

is exactly the set  $A_{\underline{n}, q^f}$ . The reason geometrically for considering only primitive characters is, it turns out,

$$\dim H_{\text{ét}}^{r-1}(X, \mathbb{Q}_\ell)(\chi) = 1$$

exactly for the primitive characters and is zero otherwise.