

IMPERIAL

# Modal Analysis for Controlling Elastic Waves in Platonic Metamaterials

B. Vial, M. Martí Sabaté, R. Wiltshaw, S. Guenneau & R. V. Craster

09/09/2024

Metamaterials 2024, Chania, Greece

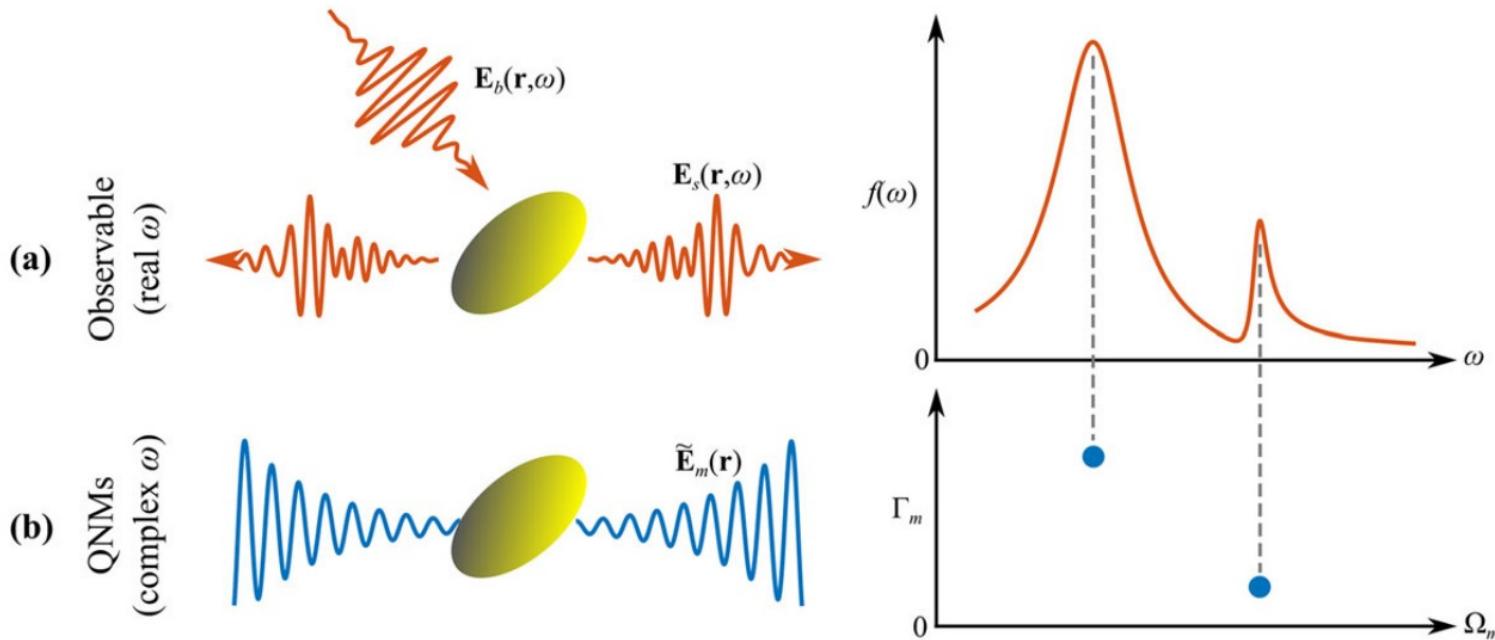
# Introduction

# Quasi Normal Modes (QNMs)

Well studied in electromagnetism

AKA:

- scattering resonances
- resonant states
- leaky modes
- quasi BICs



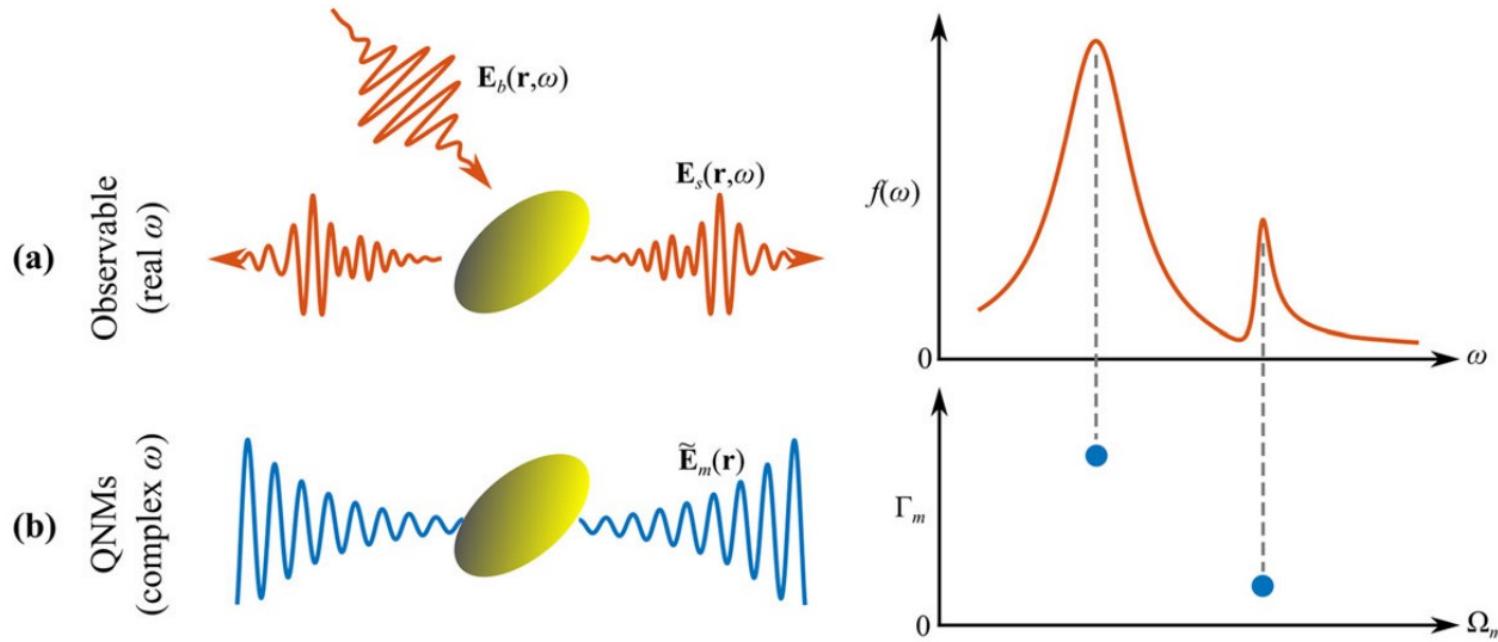
Lalanne et al. Laser & Photonics Reviews 12, 1700113 (2018).

Both et al. Semicond. Sci. Technol. 37, 013002 (2021).

# Quasi Normal Modes (QNMs)

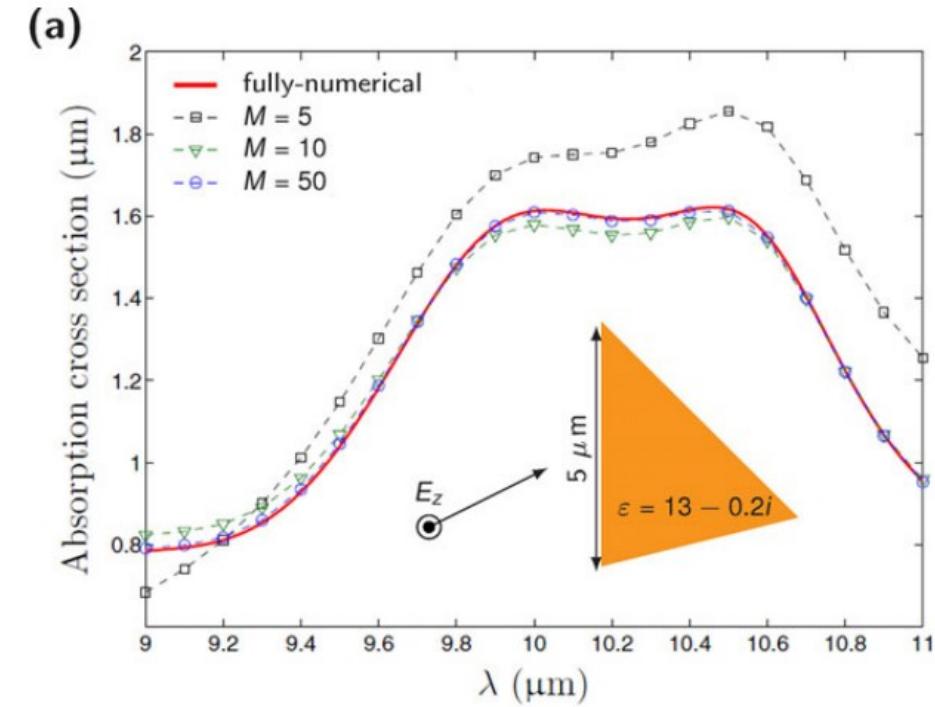
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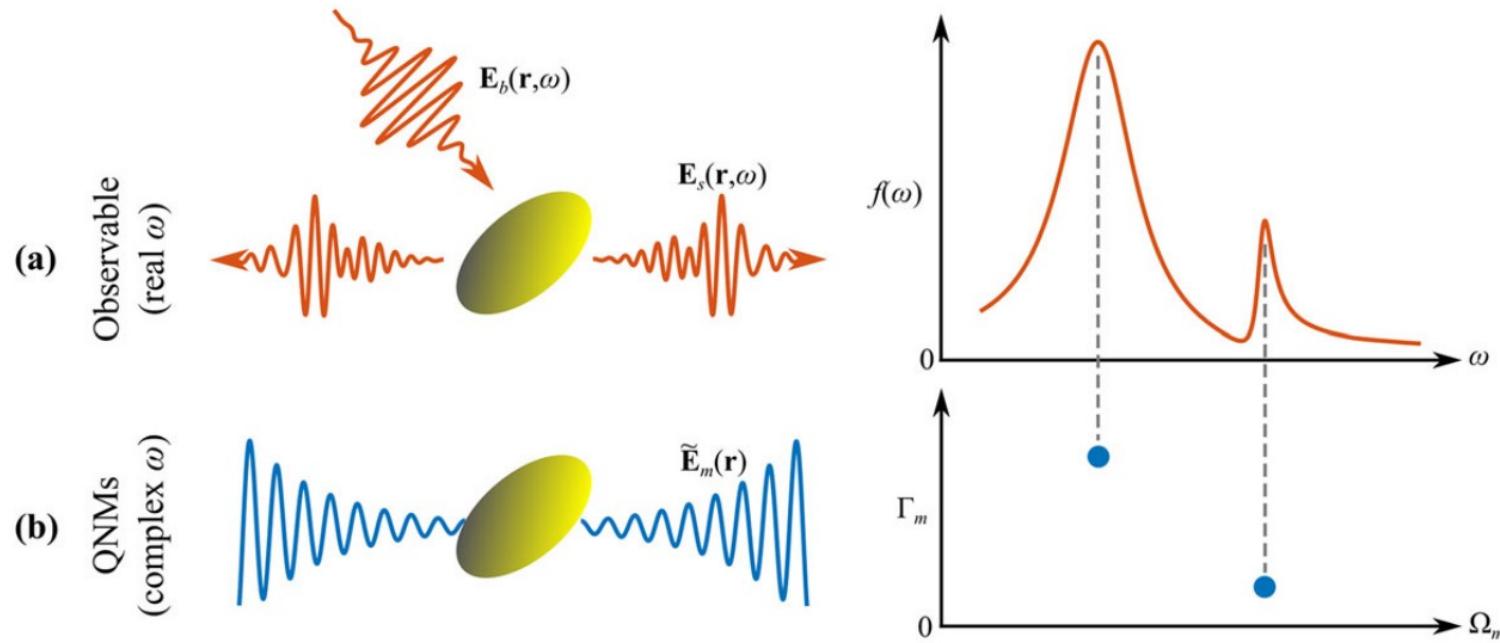


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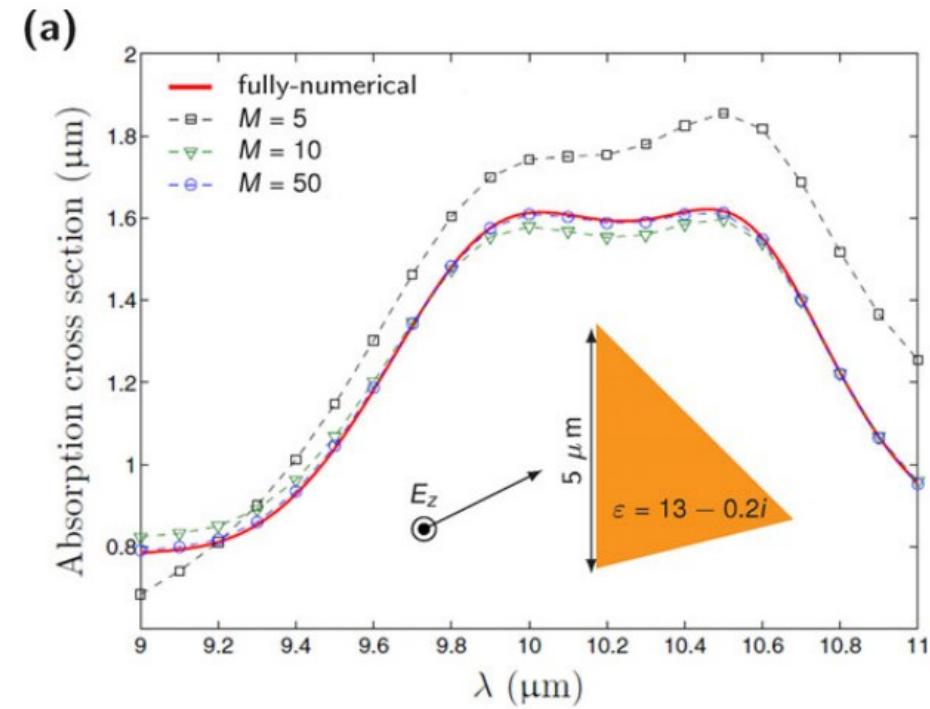
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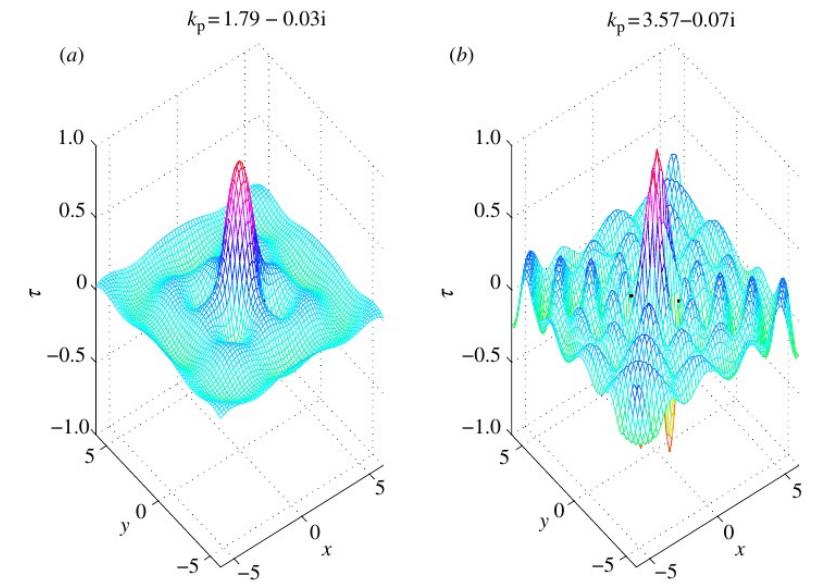
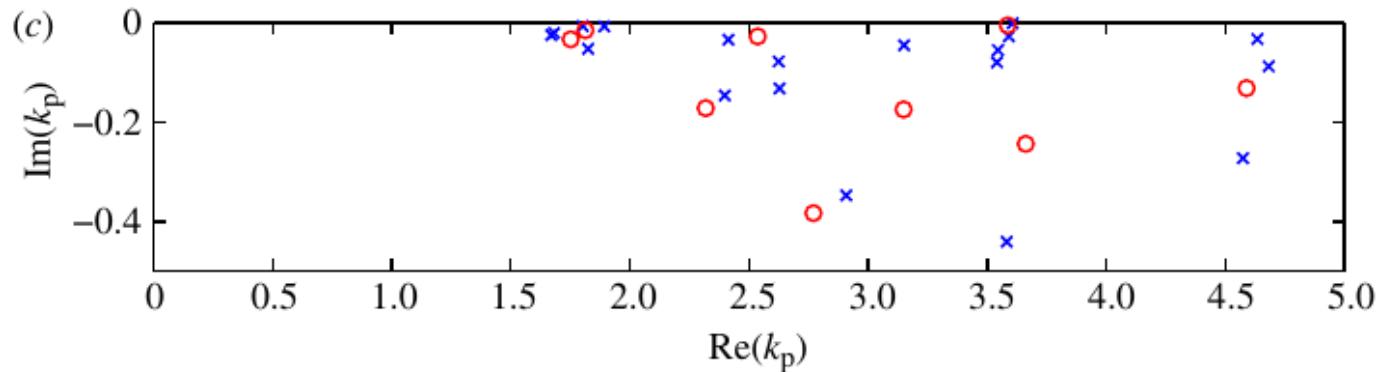


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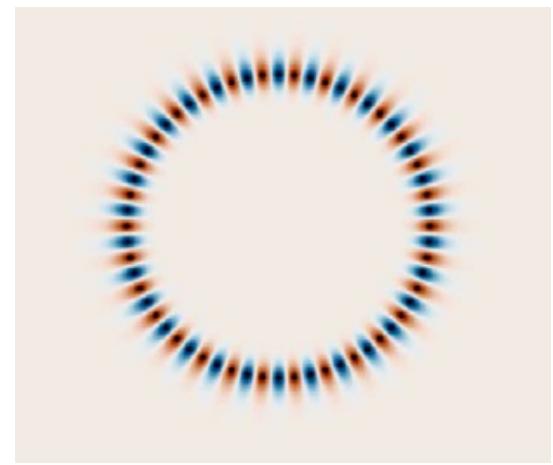
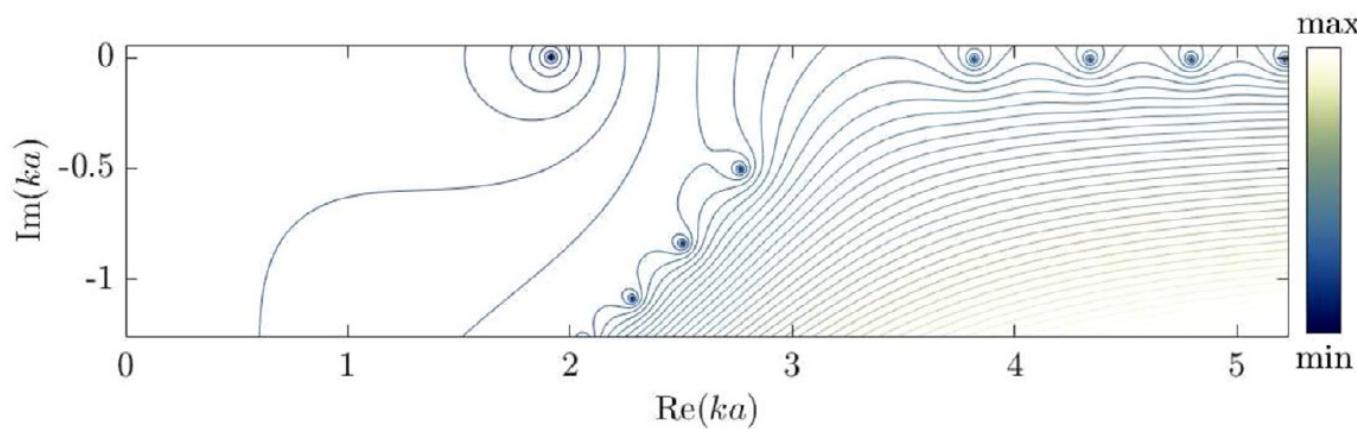
## Extension to frequency dispersive materials

Zolla et al. Opt. Lett., OL 43, 5813–5816 (2018).

# Quasi Normal Modes in elasticity

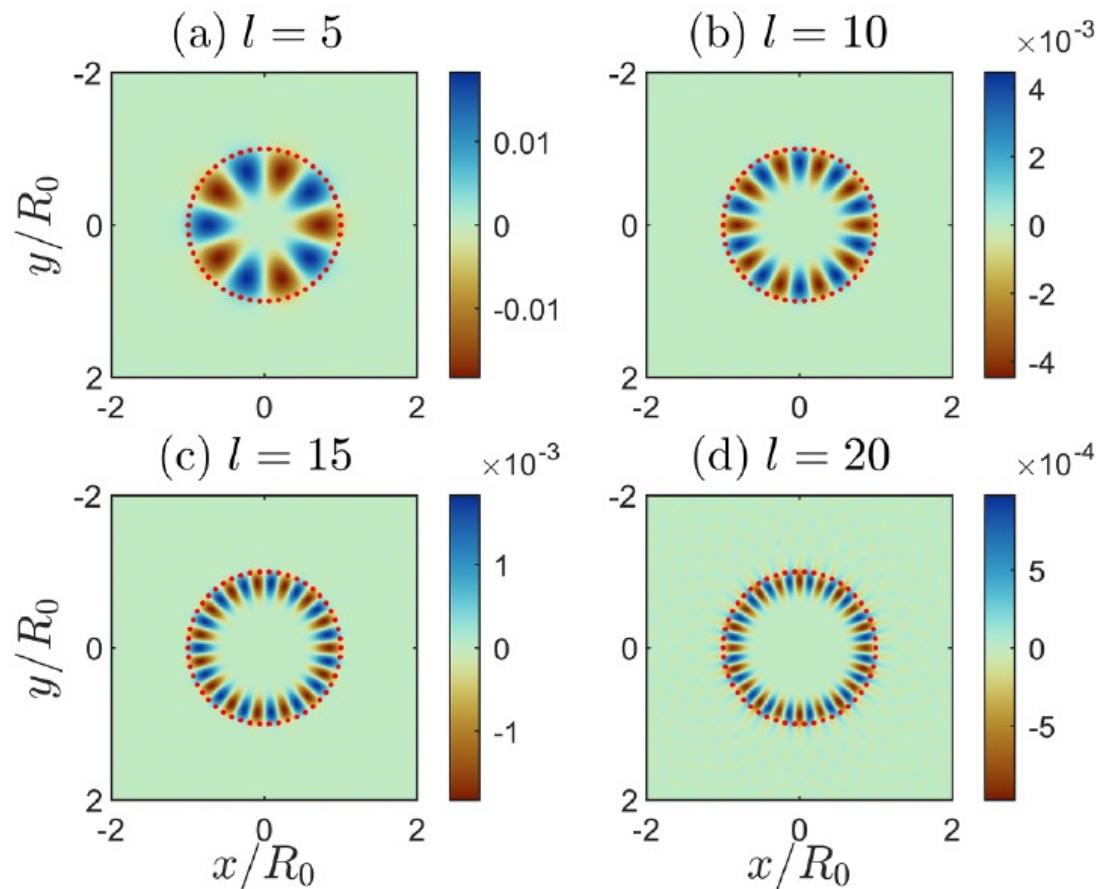


Meylan et al., Proceedings of the Royal Society A: Mathematical, Physical and Engineering Sciences. 467, 3509–3529 (2011)

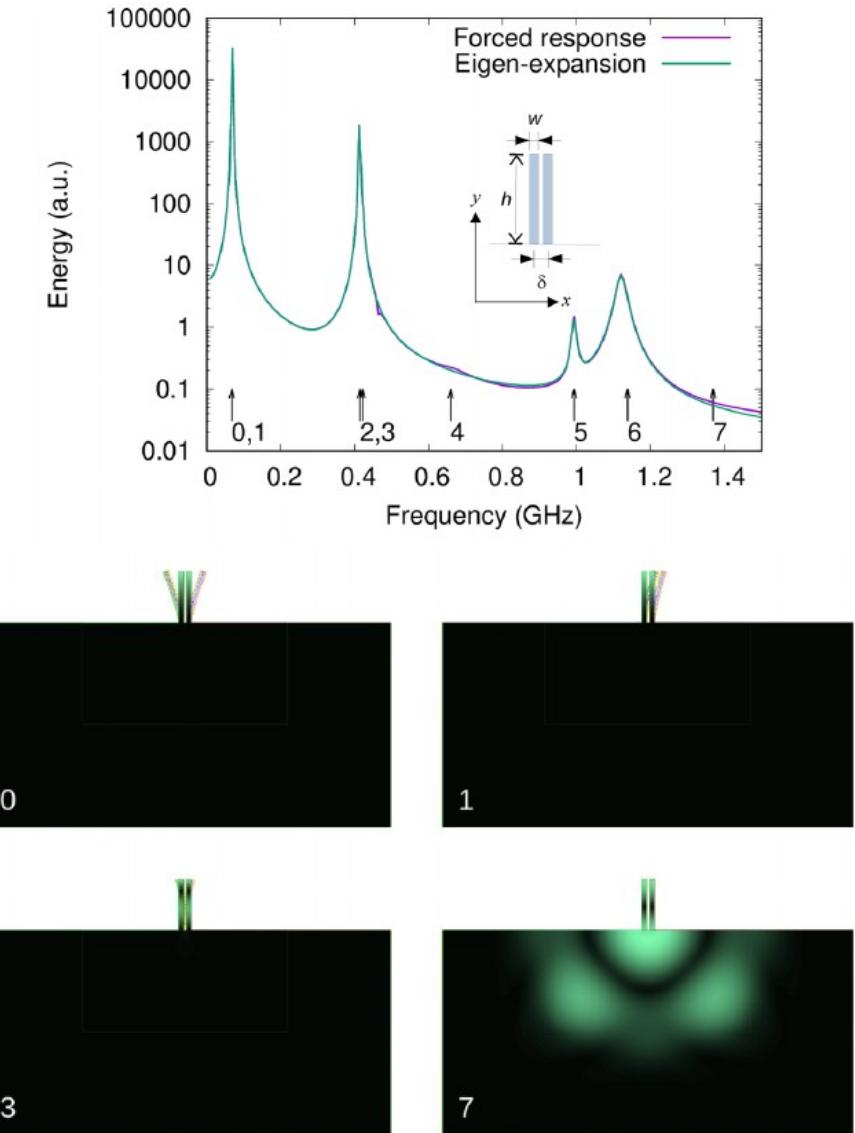


Putley, et al. Wave Motion 105, 102755 (2021).

# Quasi Normal Modes in elasticity



Martí-Sabaté et al. Phys. Rev. Res. 5, 013131 (2023).



Laude et al. Phys. Rev. B 107, 144301 (2023).

# Elastic waves on thin elastic plates: scattering and modal analysis

# Equations of motion

## Kirchoff Love theory for thin elastic plates

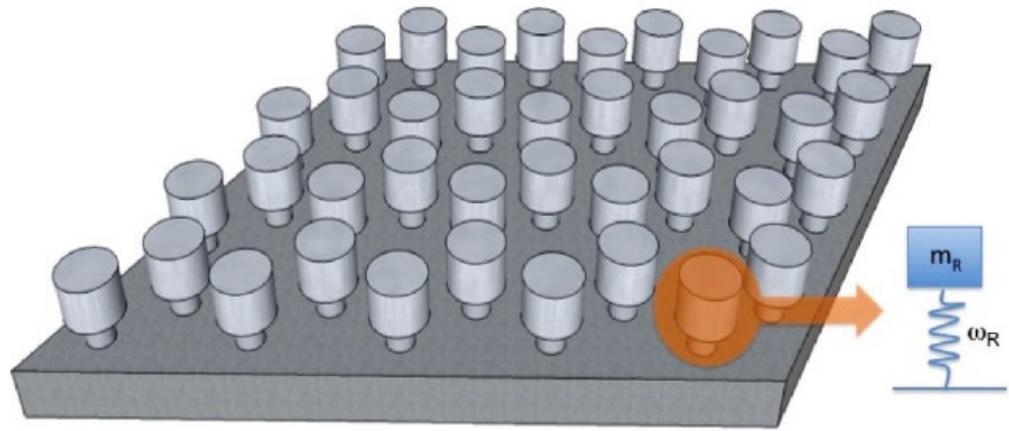
Displacement

$$\text{wavenumber } k^4 = \frac{\omega^2 h}{D}$$
$$\text{bending stiffness } D = \frac{Eh^3}{12(1-\nu^2)}$$

$$\mathcal{P}(\omega)W(\mathbf{r}) = (\nabla^4 - k^4 - \mathcal{R}(\omega)) W(\mathbf{r}) = 0$$

Resonators contribution

$$\mathcal{R}(\omega)W(r) = \sum_{\alpha} t_{\alpha}(\omega)W(R_{\alpha})\delta(r - R_{\alpha}).$$



Strength / impedance

$$t_{\alpha}(\omega) = \frac{m_{R\alpha}}{D} \frac{\omega_{R\alpha}^2 \omega^2}{\omega_{R\alpha}^2 - \omega^2}$$

# Multiple scattering

**Green's function for bare plate**

$$G(\mathbf{r}) = \frac{i}{8k^2} [H_0(kr) - H_0(ikr)]$$

**Displacement**

$$W(\mathbf{r}) = W^i(\mathbf{r}) + \sum_{\alpha} \phi_{\alpha} G(\mathbf{r} - \mathbf{R}_{\alpha})$$

**Linear system**

$$\boxed{M\Phi = \Psi^i}$$
$$M_{\alpha\beta} = \delta_{\alpha\beta} t_{\alpha}^{-1} - G(\mathbf{R}_{\alpha} - \mathbf{R}_{\beta})$$
$$\Psi_{\alpha}^i = W^i(\mathbf{R}_{\alpha})$$

Torrent et al. Phys. Rev. B 87, 115143 (2013).

# Eigenvalue problem

No sources!

$$M(\omega_n)\Phi_n = 0$$

eigenfrequencies      eigenmodes

## Nonlinear eigenvalue problem

How to solve this?

W.-J. Beyn, An integral method for solving nonlinear eigenvalue problems, *Linear Algebra and its Applications*, 436, 3839 (2012).

H. Chen, On locating the zeros and poles of a meromorphic function, *Journal of Computational and Applied Mathematics* 402, 113796 (2022).

M. Van Barel and P. Kravanja, Nonlinear eigenvalue problems and contour integrals, *Journal of Computational and Applied Mathematics* 292, 526 (2016).

S. Güttel and F. Tisseur, The nonlinear eigenvalue problem, *Acta Numerica* 26, 1 (2017).

# Eigenvalue problem

Contour integrals with iterative refinement

No sources!

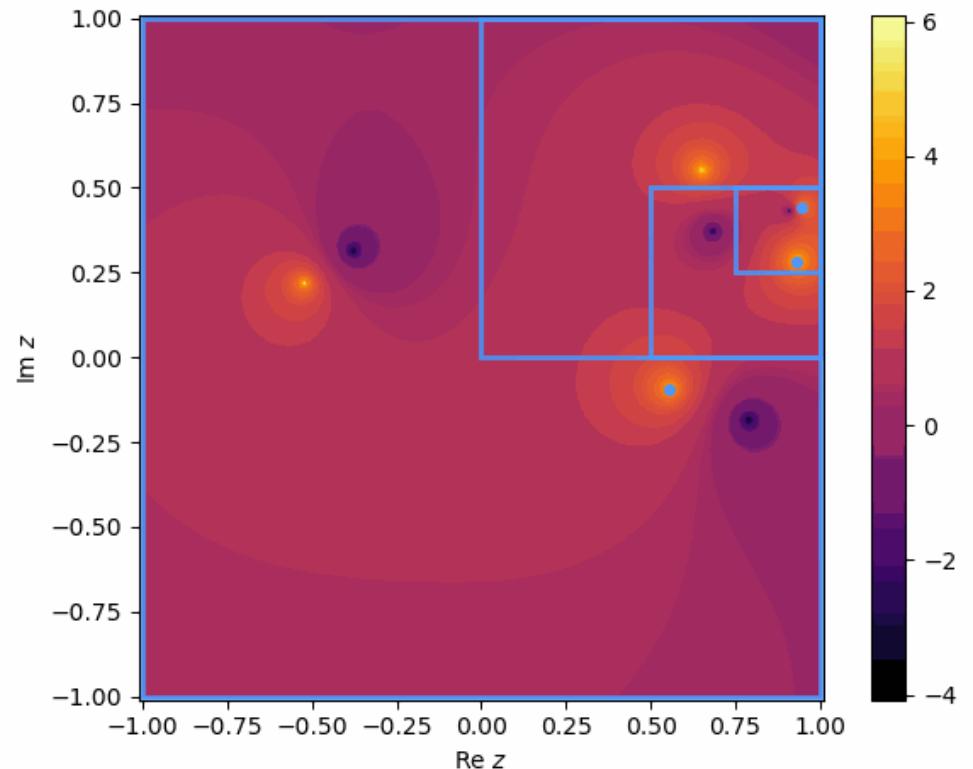
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eigenfrequencies      eigenmodes

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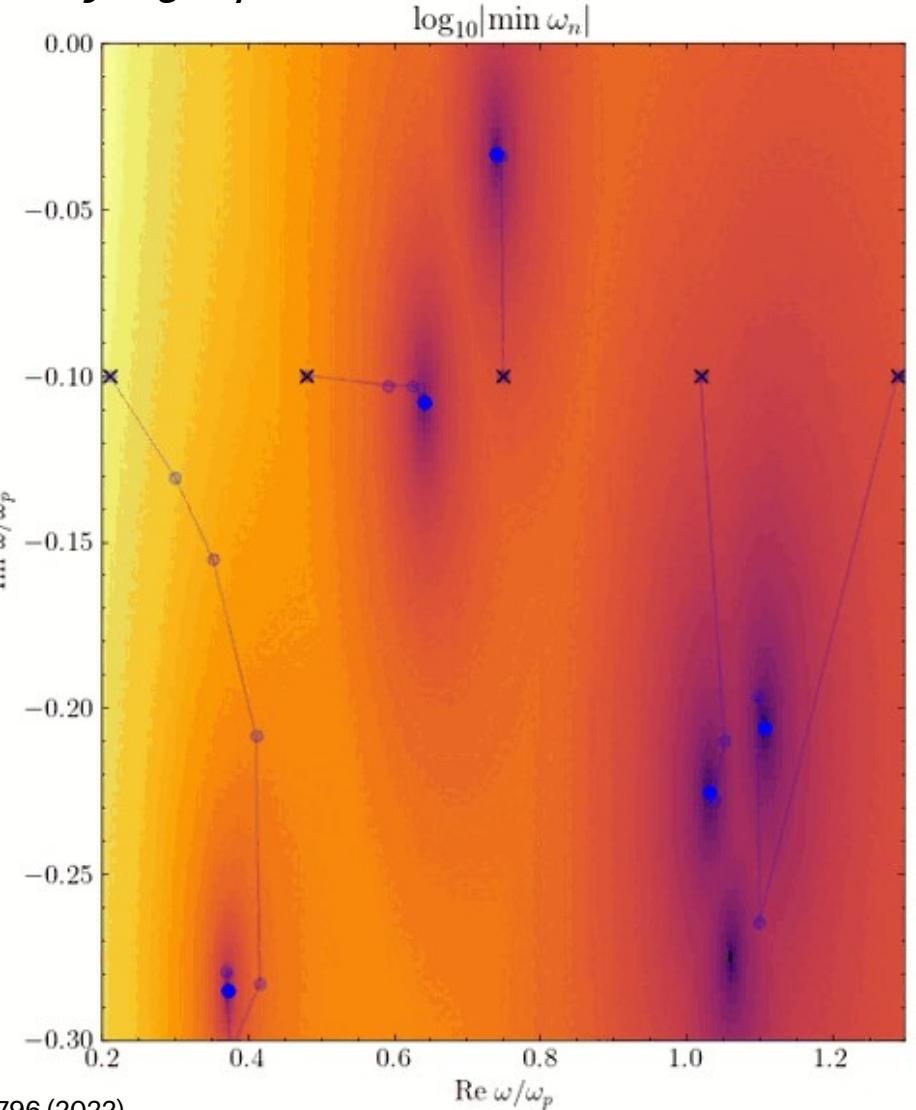
eigenfrequencies      eigenmodes

## Nonlinear eigenvalue problem

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- Contour integral techniques: *need accurate computation of integrals along a closed path in the complex plane*
- Iterative methods (Rayleigh quotient): *need starting guess*

Rayleigh quotient



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# Eigenvalue problem

Rayleigh quotient

Iterative grid search

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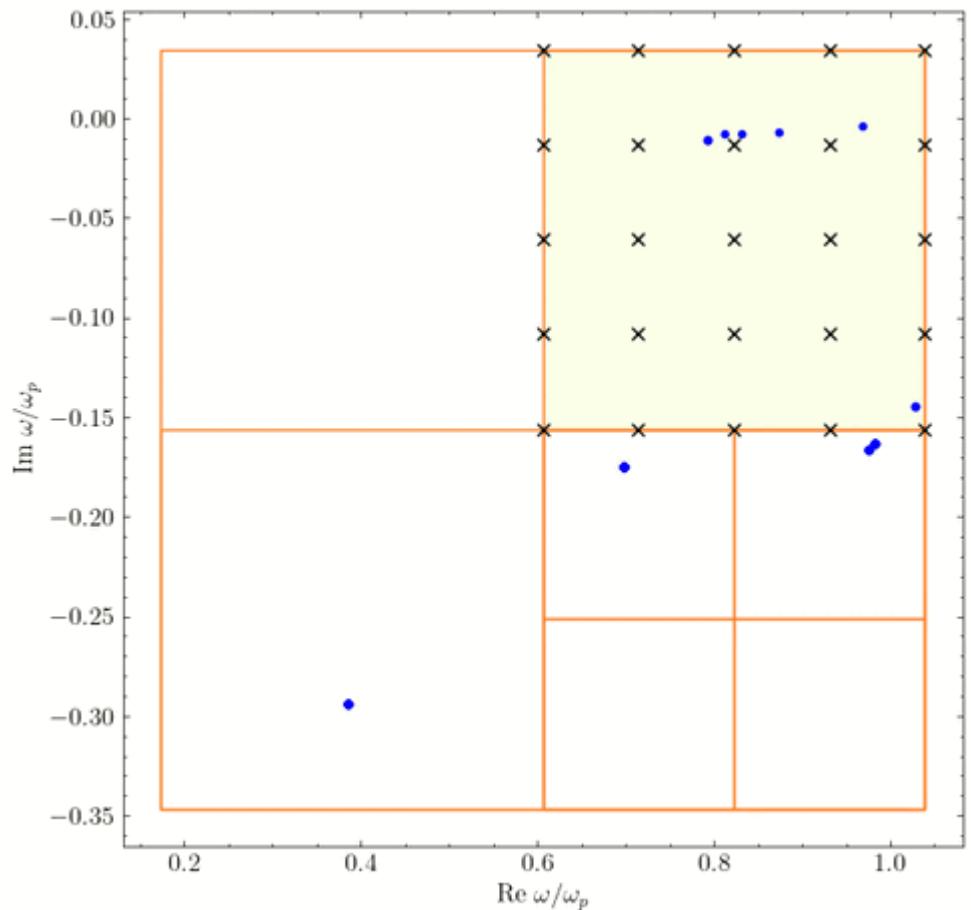
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eigenfrequencies      eigenmodes

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# Eigenvalue problem

Rayleigh quotient

Local maxima estimate

No sources!

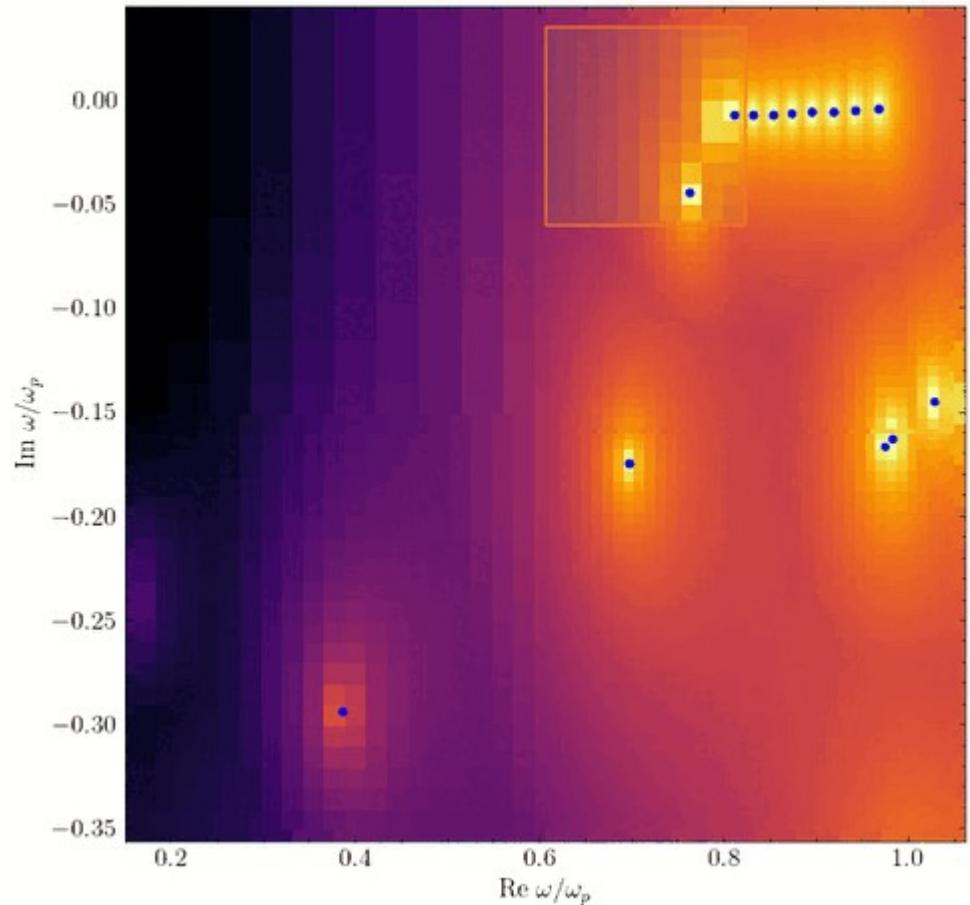
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eigenfrequencies      eigenmodes

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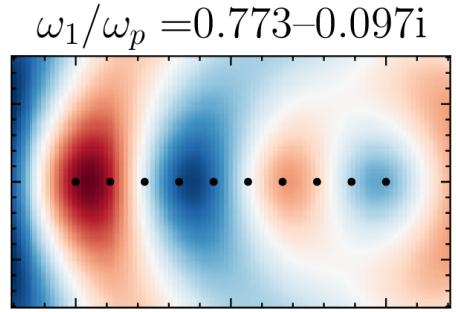
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# Example: graded line array

## Rainbow trapping



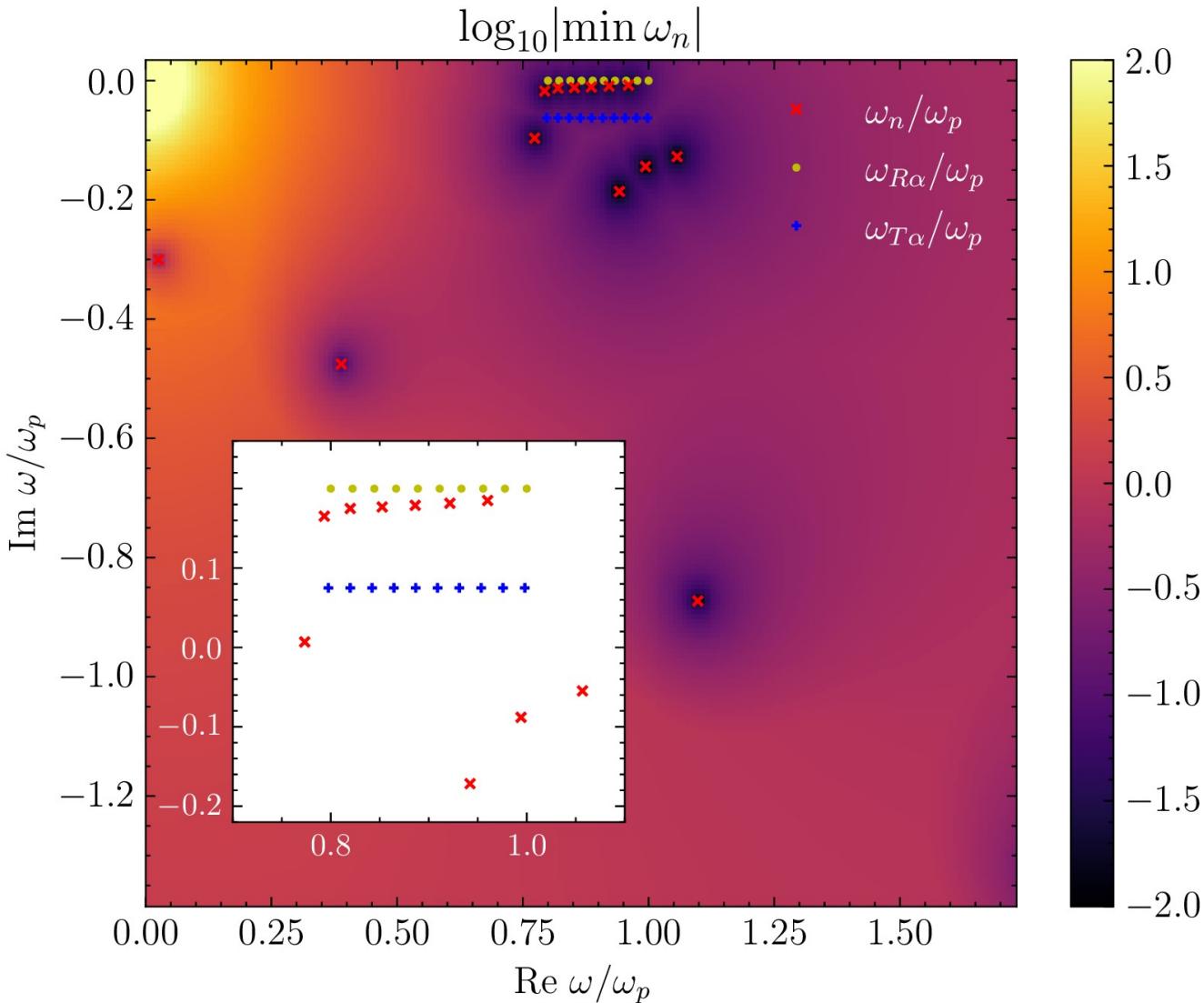
### Neglecting coupling between resonators

$$\omega_{T\alpha}^\pm/\omega_{R\alpha} = \pm\sqrt{1 - q_\alpha^2} - iq_\alpha$$

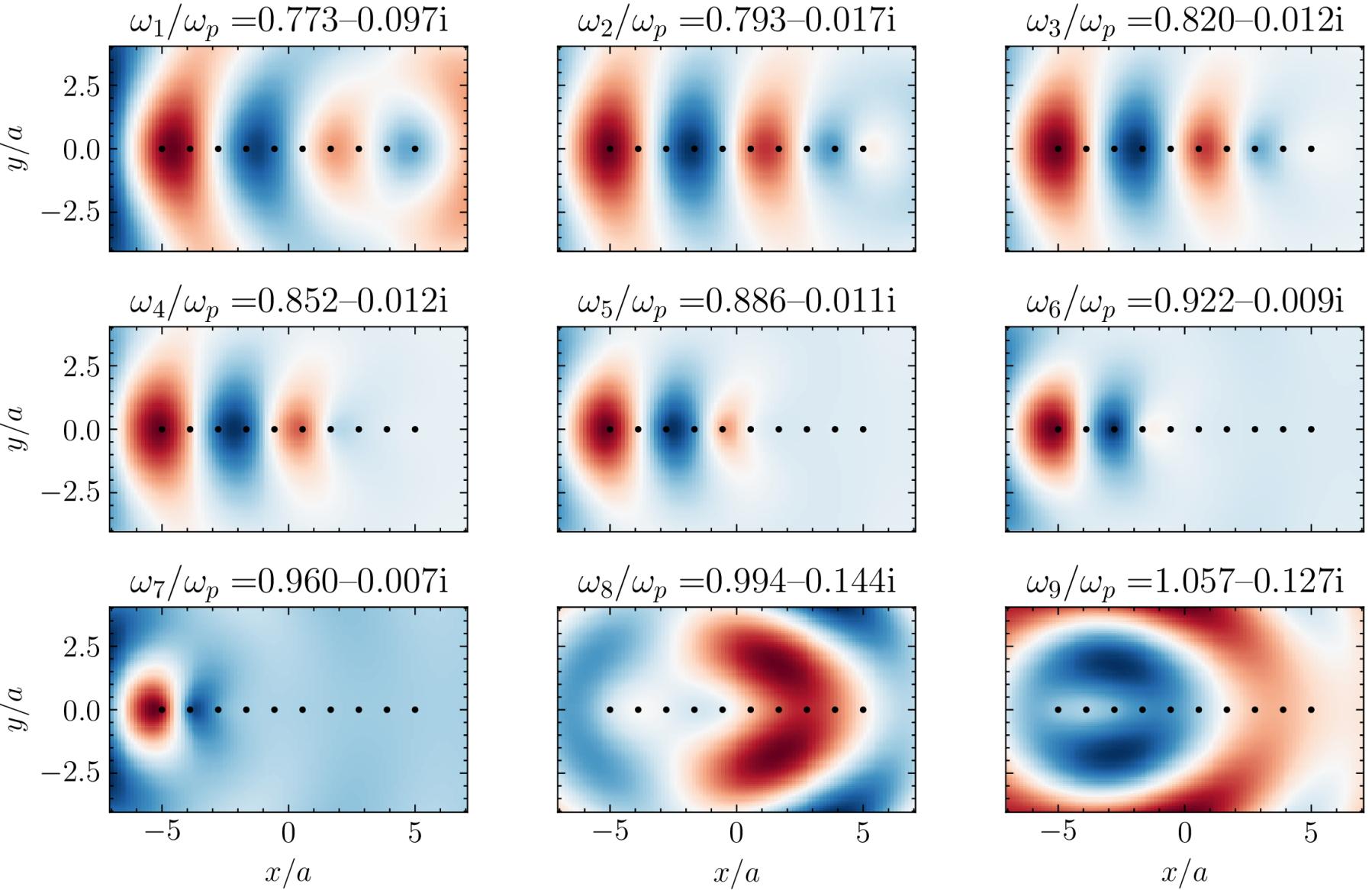
with  $q_\alpha = \frac{1}{16}\sqrt{\frac{k_{R\alpha}m_{R\alpha}}{\rho h D}} = \frac{k_{R\alpha}a}{16D}\frac{\omega_p}{\omega_{R\alpha}}$

if  $q_\alpha \leq 1$ , we have  $\omega_{T\alpha}^\pm/\omega_{R\alpha} = \pm e^{i\theta_\alpha}$   
where  $\theta_\alpha = -\arcsin(q_\alpha)$

→ Rotation in the complex plane



# Graded line array Eigenmodes



# Quasi Normal modes expansion

# Mode expansion

## Keldysh theorem

$$M^{-1}(\omega) = \sum_n \frac{1}{\omega - \omega_n} \frac{\Phi_n \Phi_n^T}{\Phi_n \cdot M'(\omega_n) \Phi_n} + h(\omega)$$

M. V. Keldysh, On some cases of degeneration of an equation of elliptic type on the boundary of a domain, Dokl. Akad. Nauk SSSR 77, 181 (1951)  
M. D. Truong, A. Nicolet, G. Demésy, and F. Zolla, Continuous family of exact Dispersive Quasi-Normal Modal (DQNM) expansions for dispersive photonic structures, Optics Express 28, 29016 (2020)

# Mode expansion

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## Overcomplete basis...

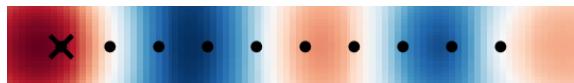
arbitrary function  $u$ , neglecting  $h$

$$\Phi = \sum_n \frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \frac{\Phi_n \cdot \Psi^i}{\Phi_n \cdot M'(\omega_n) \Phi_n} \Phi_n = \sum_n b_n(\omega) \Phi_n$$

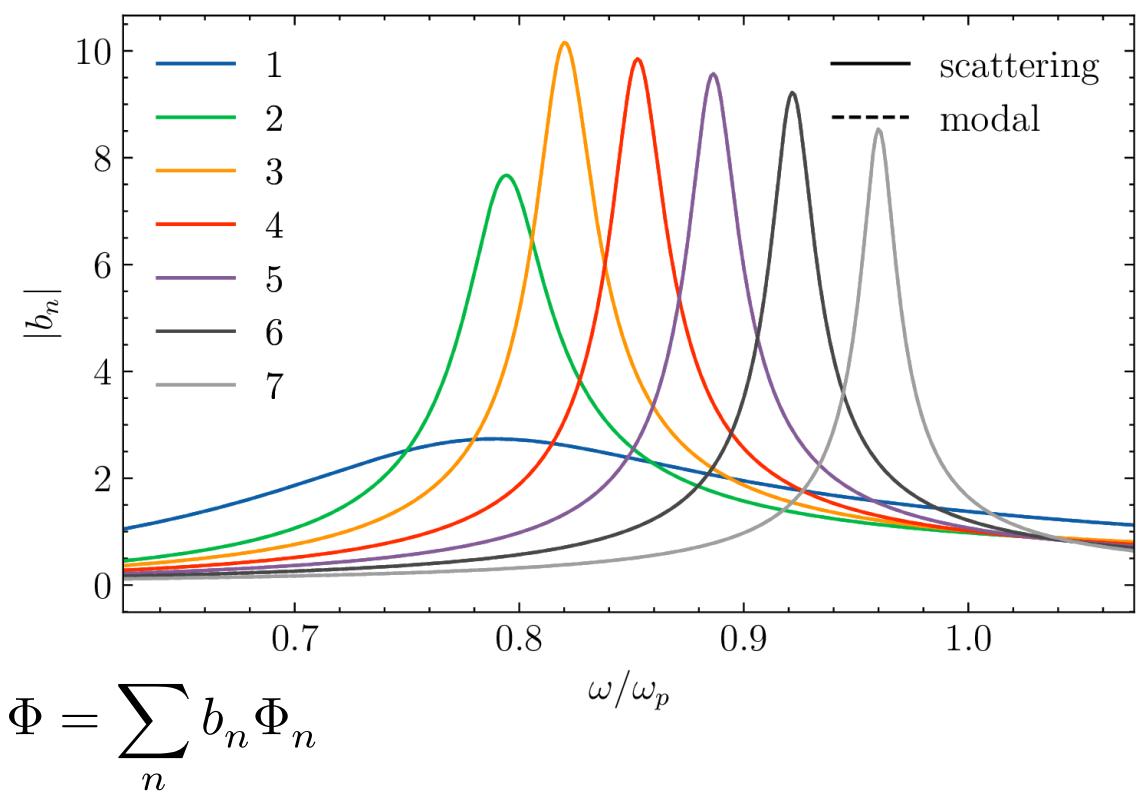
*For the cases tested numerically it seems that  $u = 1/k^3 = 1/\omega^{3/2}$  works best.*

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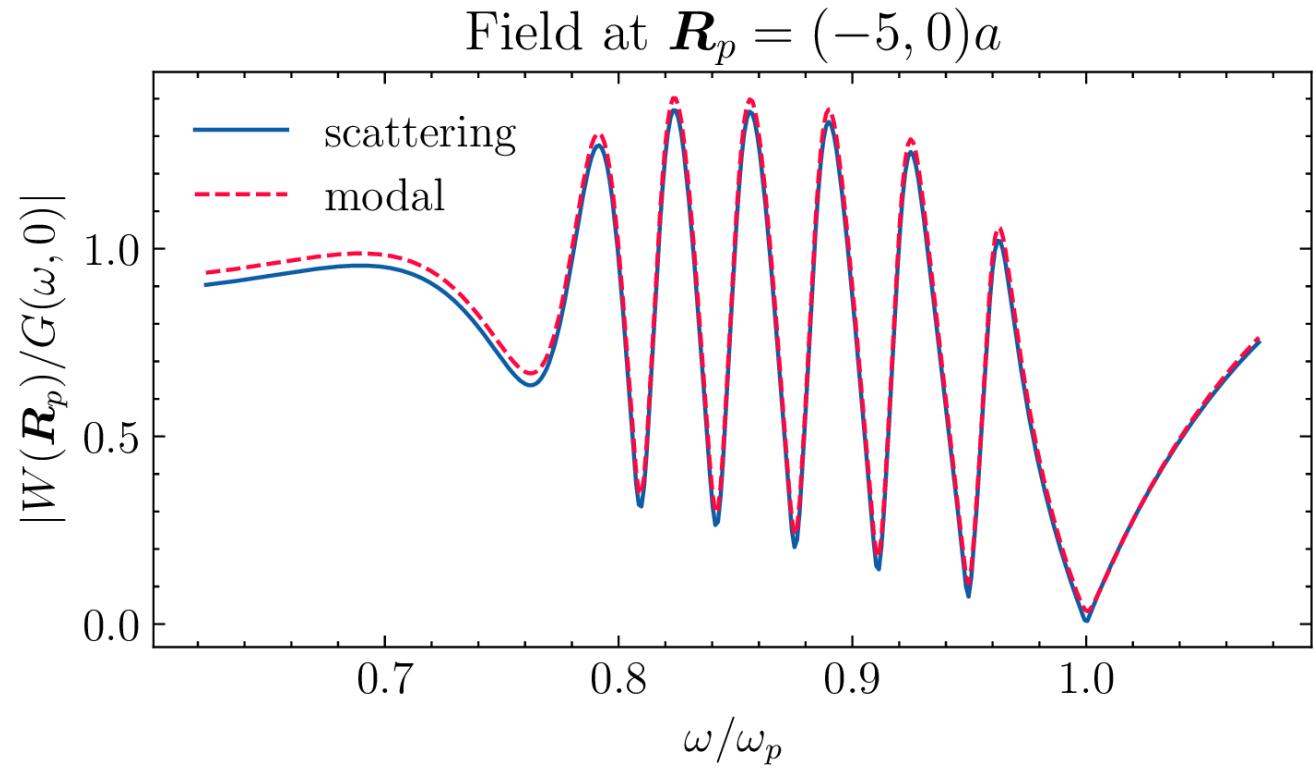
# Graded line array



*Excitation coefficients*



*Field reconstruction*

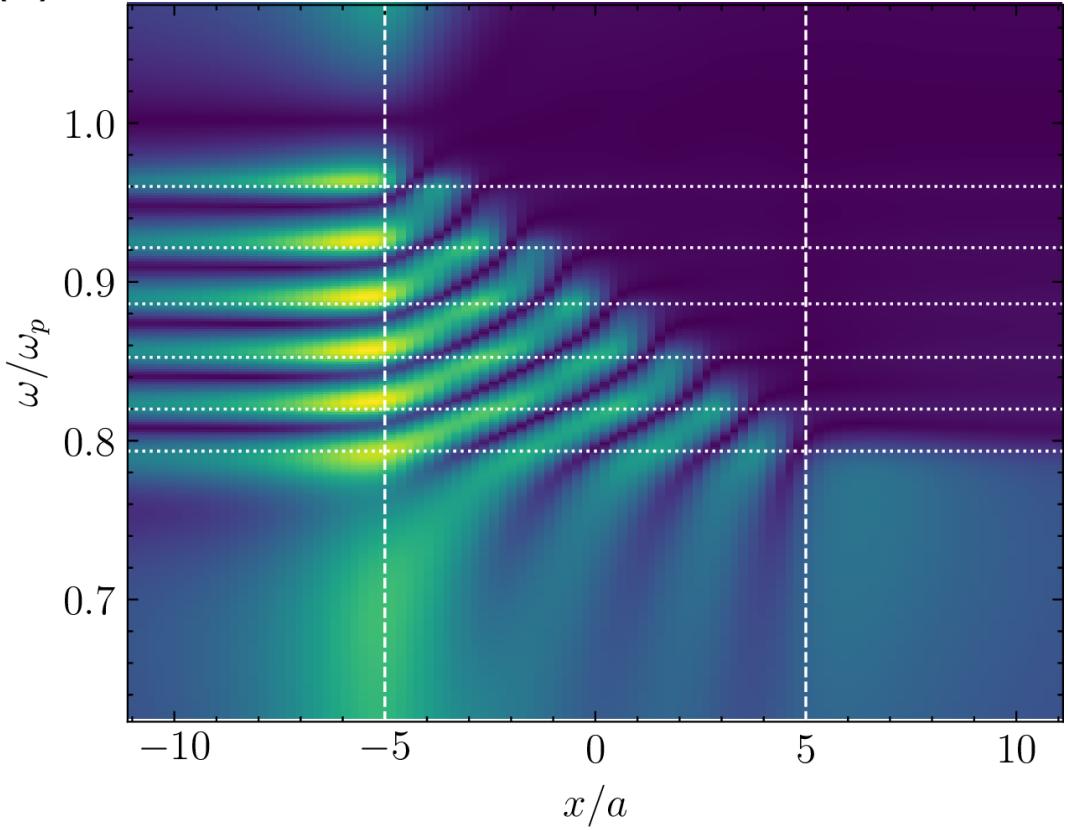


# Graded line array

## Displacement spectrum along the array

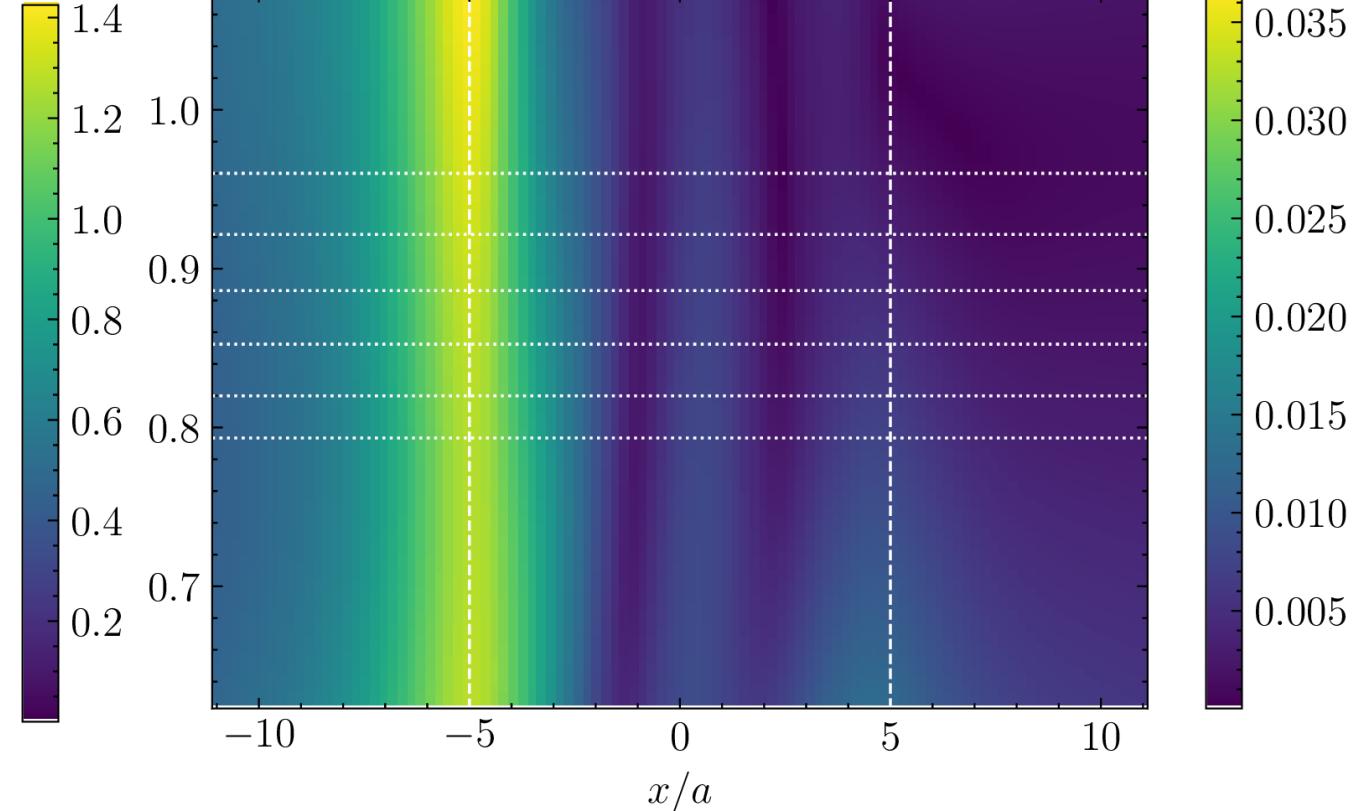
(a)

$|W(\mathbf{R}_p)/G(0)|$ , modal

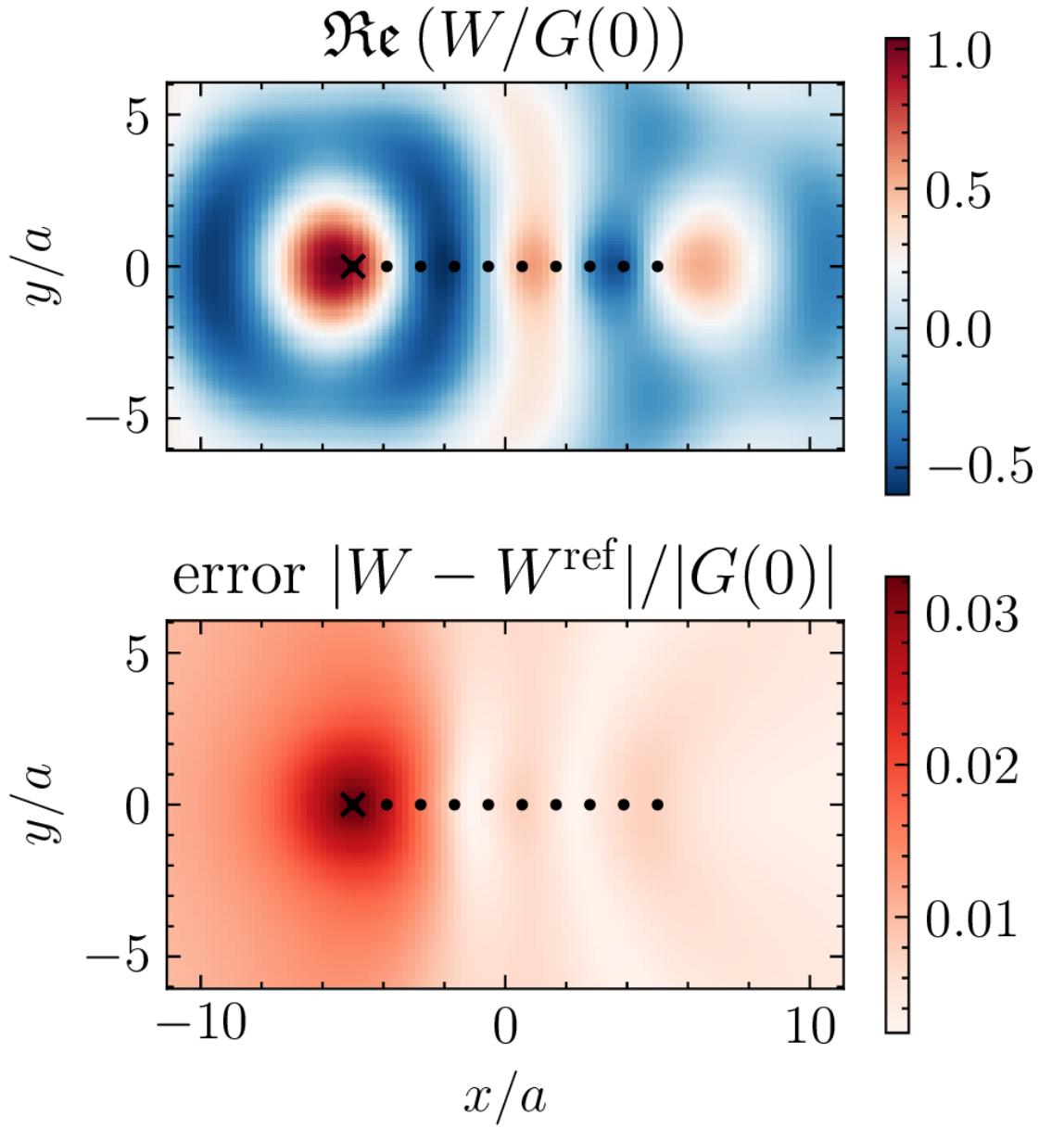


(b)

error  $|W - W^{\text{ref}}|/|G(0)|$



# Graded line array Displacement field



# Green's function and LDOS

## Modal expansion

Assuming the modes are normalized such that  $\Phi_n M'(\omega_n) \Phi_n = 1$

### Green's function

$$g(\omega, \mathbf{r}, \mathbf{r}') = G(\omega, \mathbf{r} - \mathbf{r}') + \sum_n \frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \sum_{\alpha, \beta} \Phi_{n,\alpha} \Phi_{n,\beta} G(\omega, \mathbf{r} - \mathbf{R}_\alpha) G(\omega, \mathbf{r}' - \mathbf{R}_\beta)$$

### Local density of states

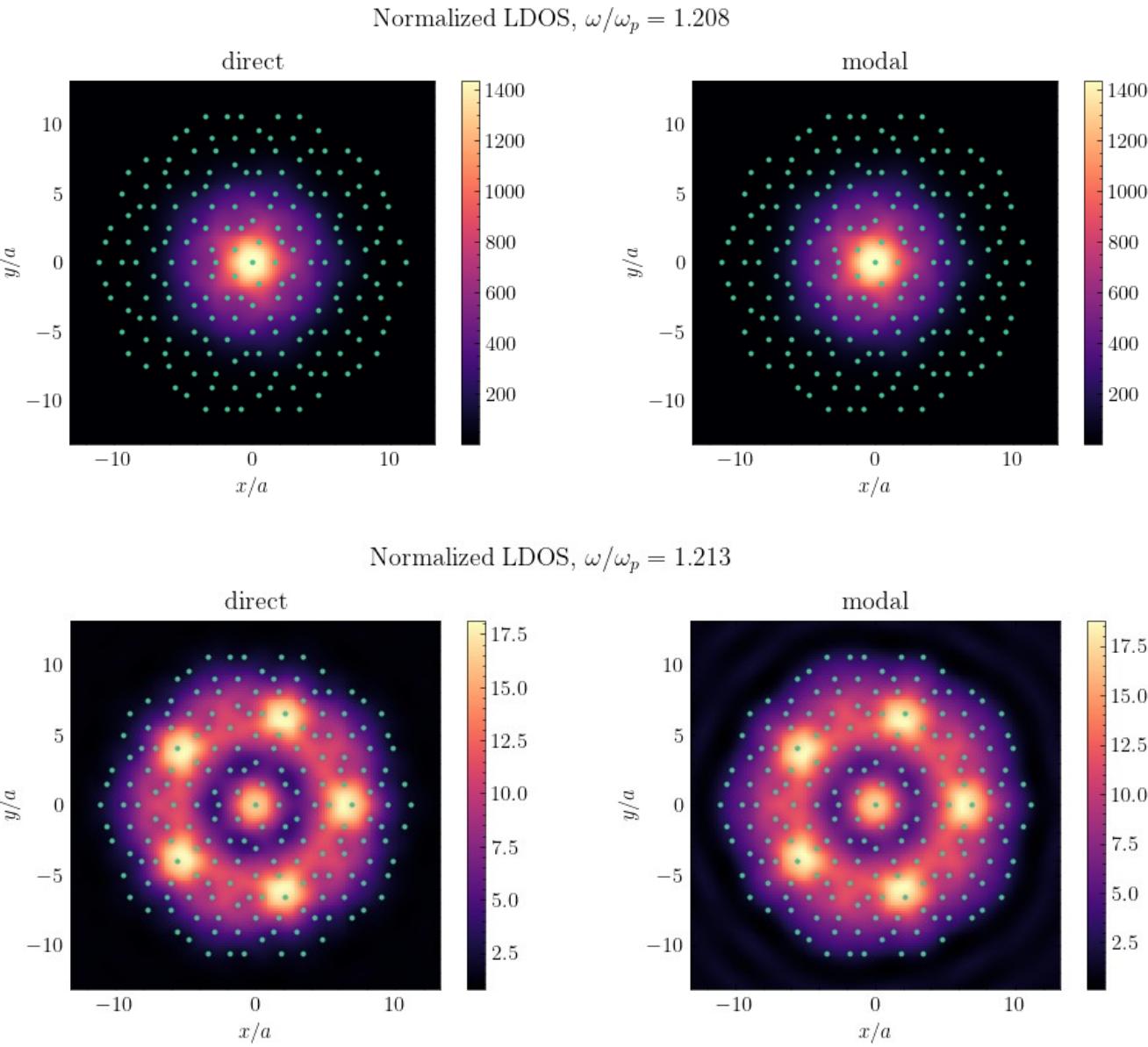
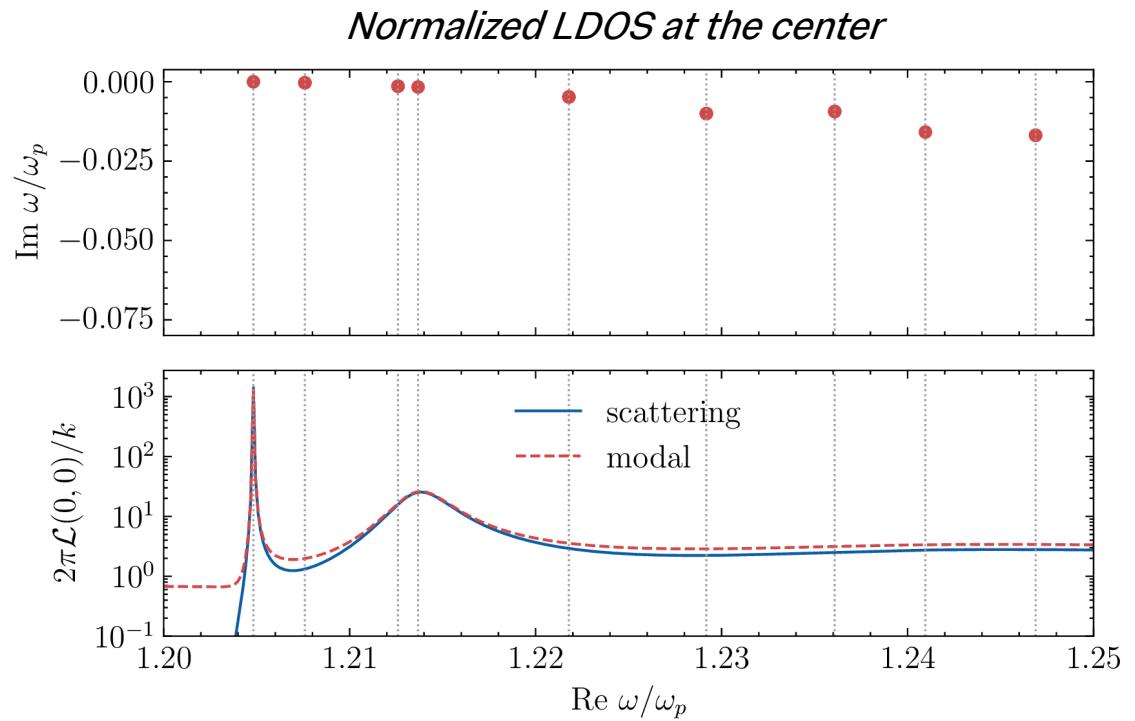
$$\begin{aligned} \mathcal{L}(\omega, \mathbf{r}) &= \frac{4k^3}{\pi} \text{Im} [g(\omega, \mathbf{r}, \mathbf{r})] \\ &= \mathcal{L}_0(\omega) + \frac{4k^3}{\pi} \sum_n \text{Im} \left[ \frac{u(\omega_n)}{u(\omega)} \frac{1}{\omega - \omega_n} \sum_{\alpha, \beta} \Phi_{n,\alpha} \Phi_{n,\beta} G(\omega, \mathbf{r} - \mathbf{R}_\alpha) G(\omega, \mathbf{r} - \mathbf{R}_\beta) \right] \end{aligned}$$

LDOS of the bare plate  $\mathcal{L}_0(\omega) = k/2\pi$

M. J. A. Smith, M. H. Meylan, and R. C. McPhedran, Density of States for Platonic Crystals and Clusters, SIAM Journal on Applied Mathematics 74, 1551 (2014)

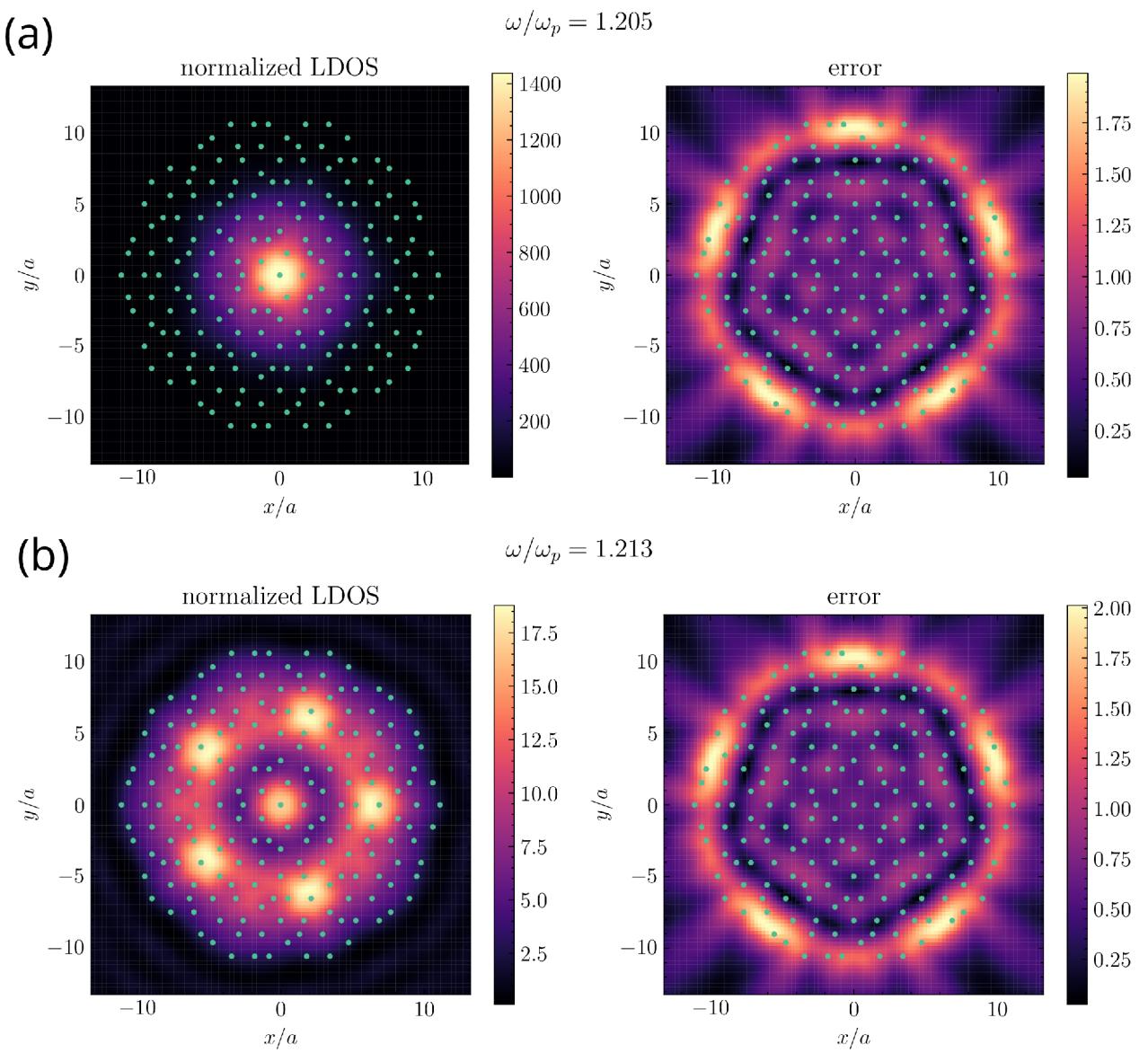
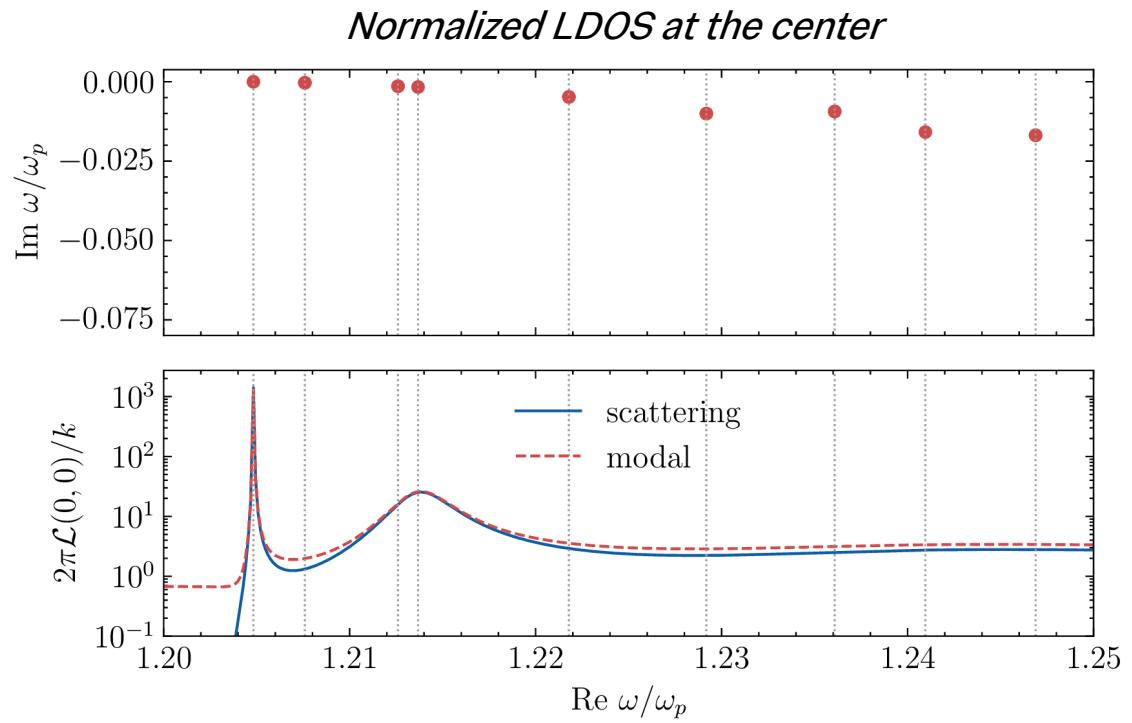
# Quasiperiodic cluster

191 resonators on a Penrose lattice



# Quasiperiodic cluster

191 resonators on a Penrose lattice



# Excitation engineering

# Orthogonality

The eigenvectors can be chosen orthogonal with respect to the generalised scalar product

$$\langle \Phi_m, \Phi_n \rangle_M := \begin{cases} \Phi_n \cdot \frac{M(\omega_m) - M(\omega_n)}{\omega_m - \omega_n} \Phi_m, & \text{if } \omega_m \neq \omega_n \\ \Phi_m \cdot M'(\omega_m) \Phi_m, & \text{if } \omega_m = \omega_n \end{cases}$$

# Resonances engineering

## Killing a mode

$$\Phi = \sum_n b_n \Phi_n$$

Choose

$$\Psi^i = \sum_{n \neq n_0} a_n \frac{M(\omega_{n_0}) - M(\omega_n)}{\omega_{n_0} - \omega_n} \Phi_n$$

for arbitrary complex valued  $a_n$ .

By construction  $b_{n_0} \sim \Phi_{n_0} \cdot \Psi^i = 0$ .

Incident field  $W^i(\mathbf{r}) = \sum_n p_n W_n^i(\mathbf{r})$  such that:

$$W^i(\mathbf{R}_\alpha) = \sum_n p_n W_n^i(\mathbf{R}_\alpha) = \Psi_\alpha^i$$

for  $\alpha = 1 \dots N$ , and this linear system is inverted to find  $p_n$ .

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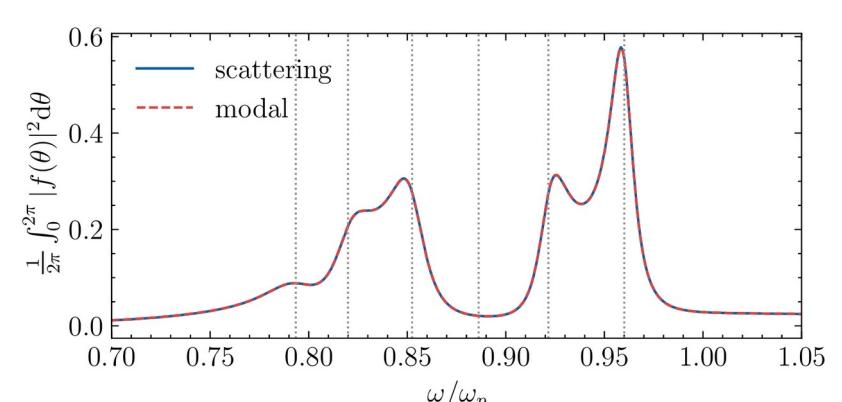
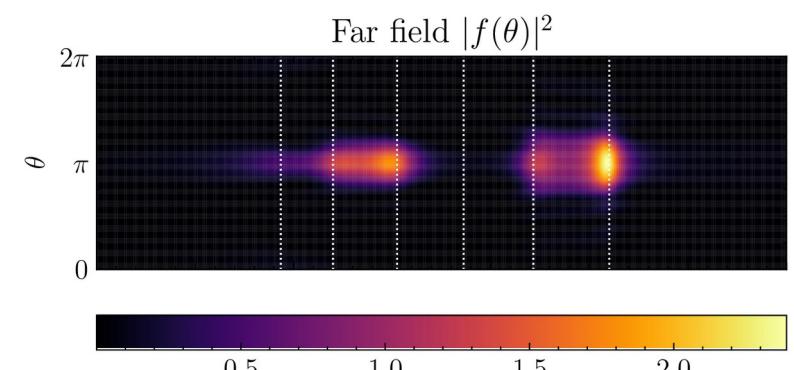
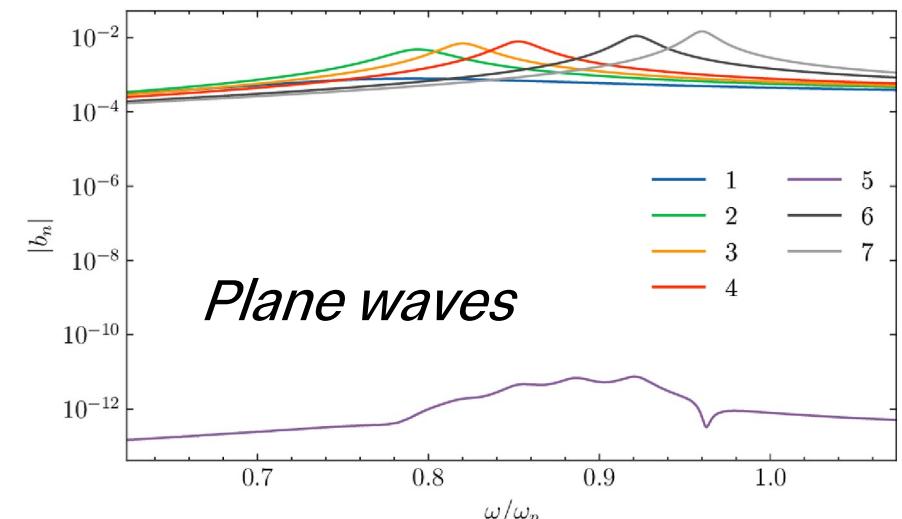
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### Example

*Suppression of mode 5 contribution by designing an incident field as a linear combination of plane waves with angles evenly distributed between 0 and  $2\pi$ .*

$$\Phi = \sum_n b_n \Phi_n$$



# Resonances engineering

## Killing all modes except one

$$\Phi = \sum_n b_n \Phi_n$$

$K_{n_0}$  the  $N \times (N - 1)$  rectangular matrix with eigenvectors  $\Phi_n$  as columns apart from  $\Phi_{n_0}$ .

By taking  $\Psi^i$  in the null space of  $K_{n_0}$ , we will have by definition  $b_n \sim \Phi_n \cdot \Psi^i = 0$  for all  $n \neq n_0$ .

# Resonances engineering

## Killing all modes except one

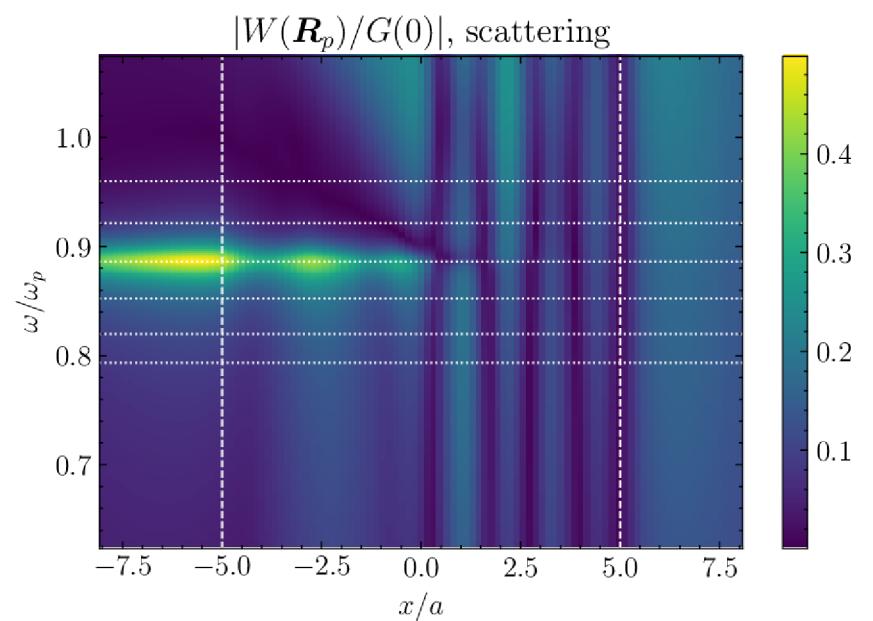
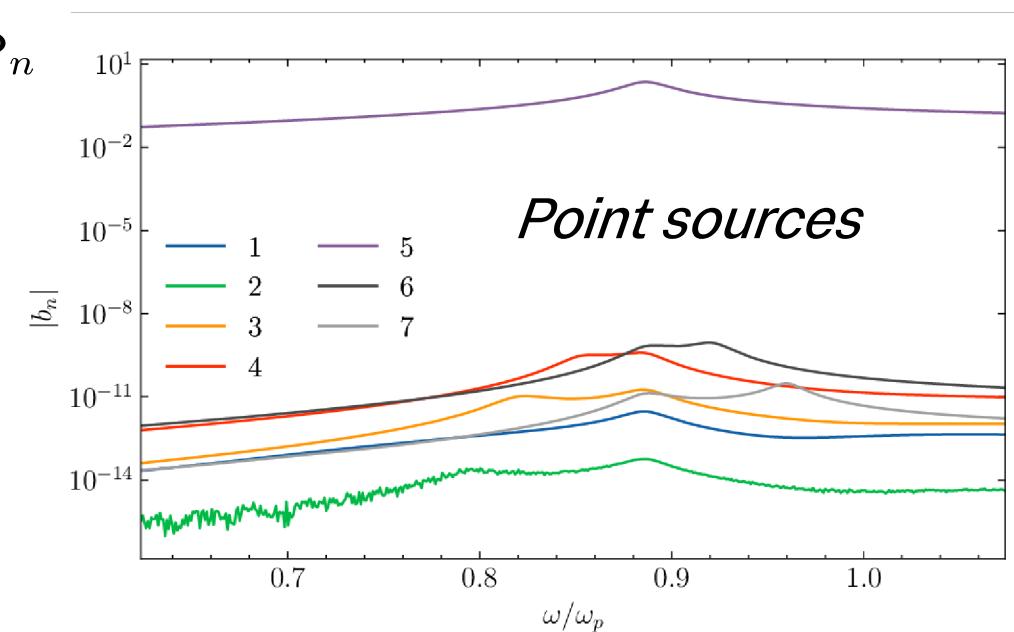
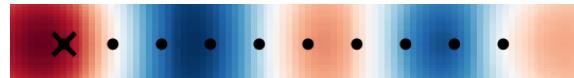
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### Example

A single eigenmode 5 is excited by designing a linear combination of 10 point sources located between the resonators at  $x_{s,n} = x_{r,n} + a/2$



# Optimization

# Resonances engineering

## Sensitivity analysis

$$\frac{\partial \omega_n}{\partial p} = -\Phi_n^T \frac{\partial M}{\partial p}(\omega_n) \Phi_n$$

Analytical formula

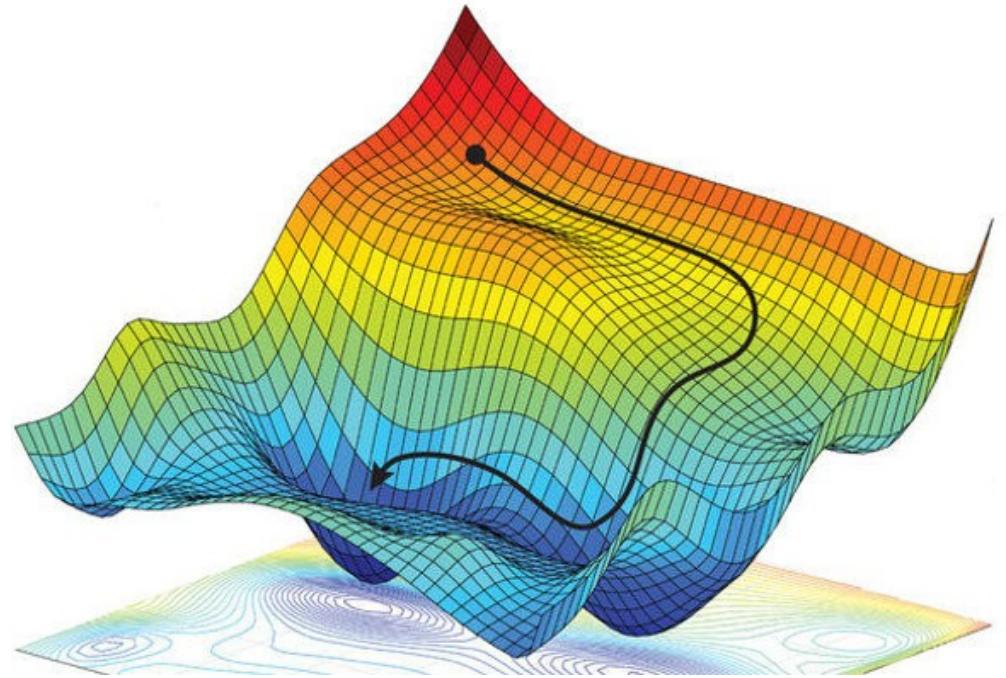
Resonators parameter:  
position, mass, stiffness

Gradient of the objective functional  $\mathcal{G}(p)$  to be minimized:

$$\frac{\partial \mathcal{G}}{\partial p} = \sum_n \frac{\partial \mathcal{G}}{\partial \omega_n} \frac{\partial \omega_n}{\partial p}.$$

Hellmann-Feynman theorem  
in quantum mechanics

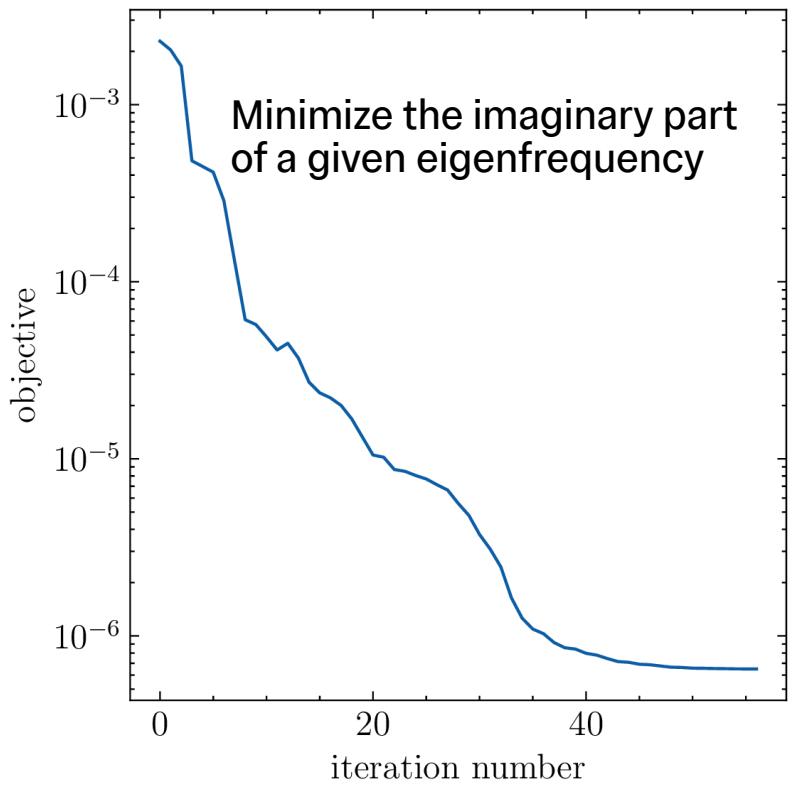
$$\frac{dE_\lambda}{d\lambda} = \left\langle \psi_\lambda \left| \frac{d\hat{H}_\lambda}{d\lambda} \right| \psi_\lambda \right\rangle$$



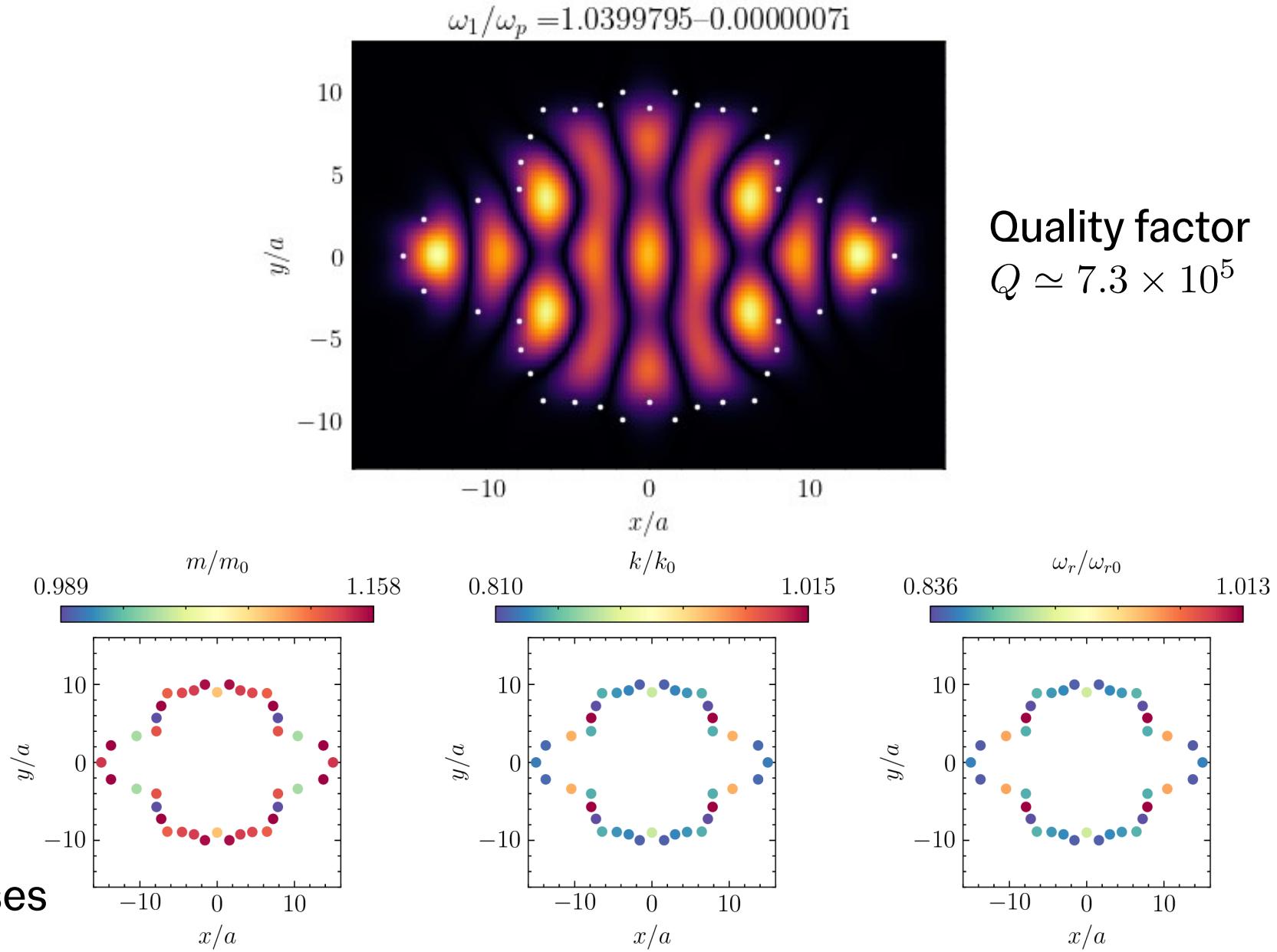
→ gradient based optimisation

# Resonances engineering

## Designing quasi BICs

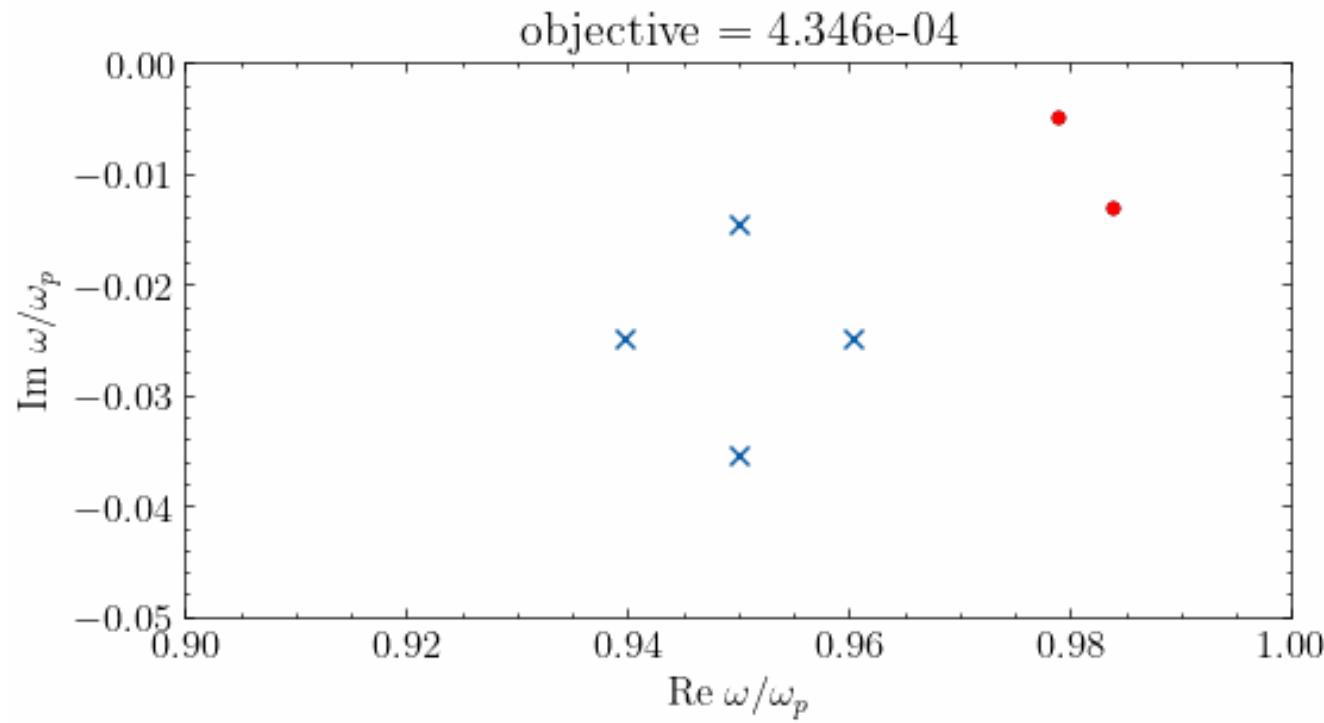


Optimizing masses and stiffnesses  
Fixed positions



# Resonances engineering

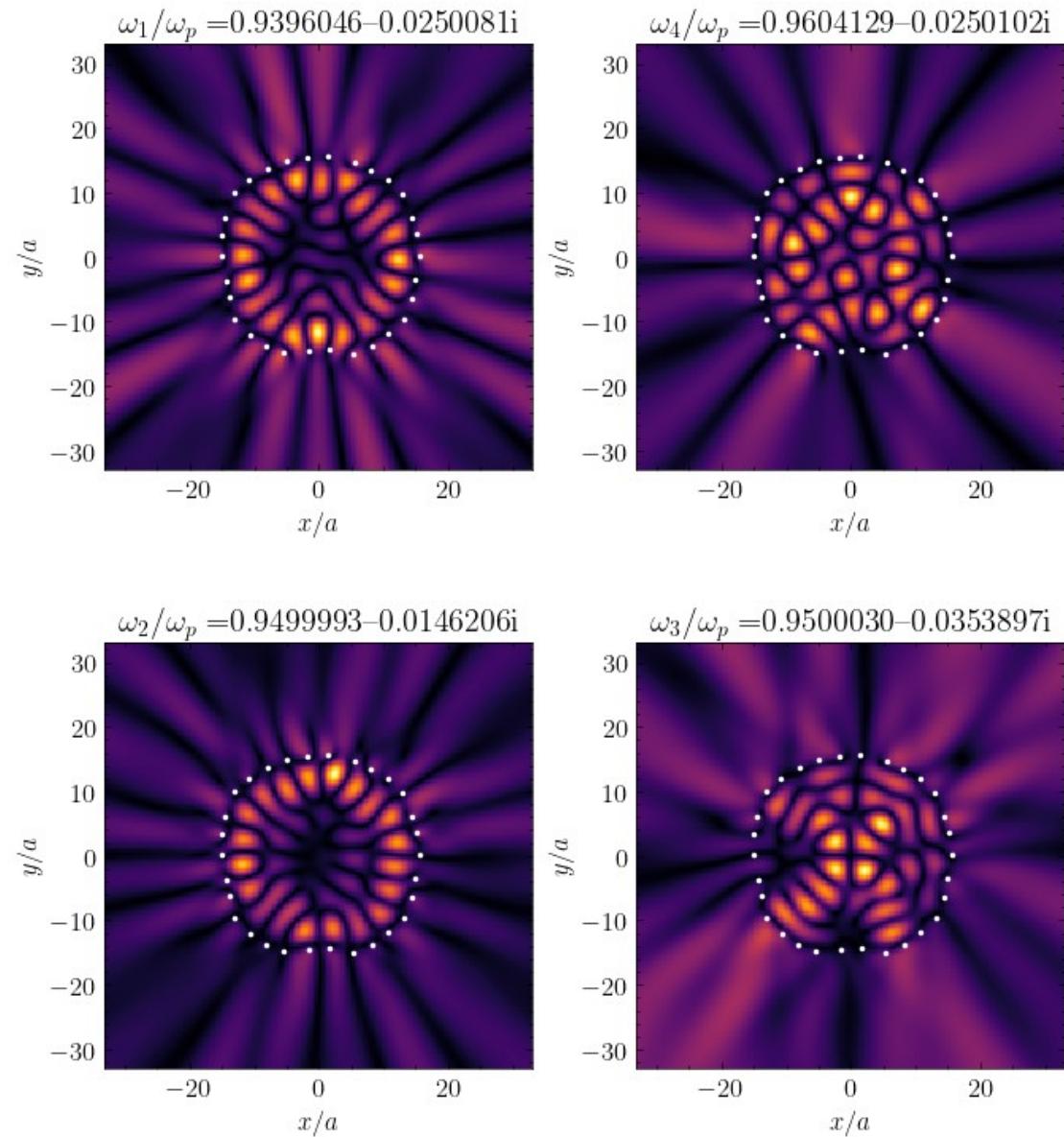
## Placing eigenvalues in the complex plane



$$\mathcal{G}(\mathbf{R}_\alpha) = \sum_{n=1}^4 |\omega_n(\mathbf{R}_\alpha) - \omega_n^{\text{tar}}|^2.$$

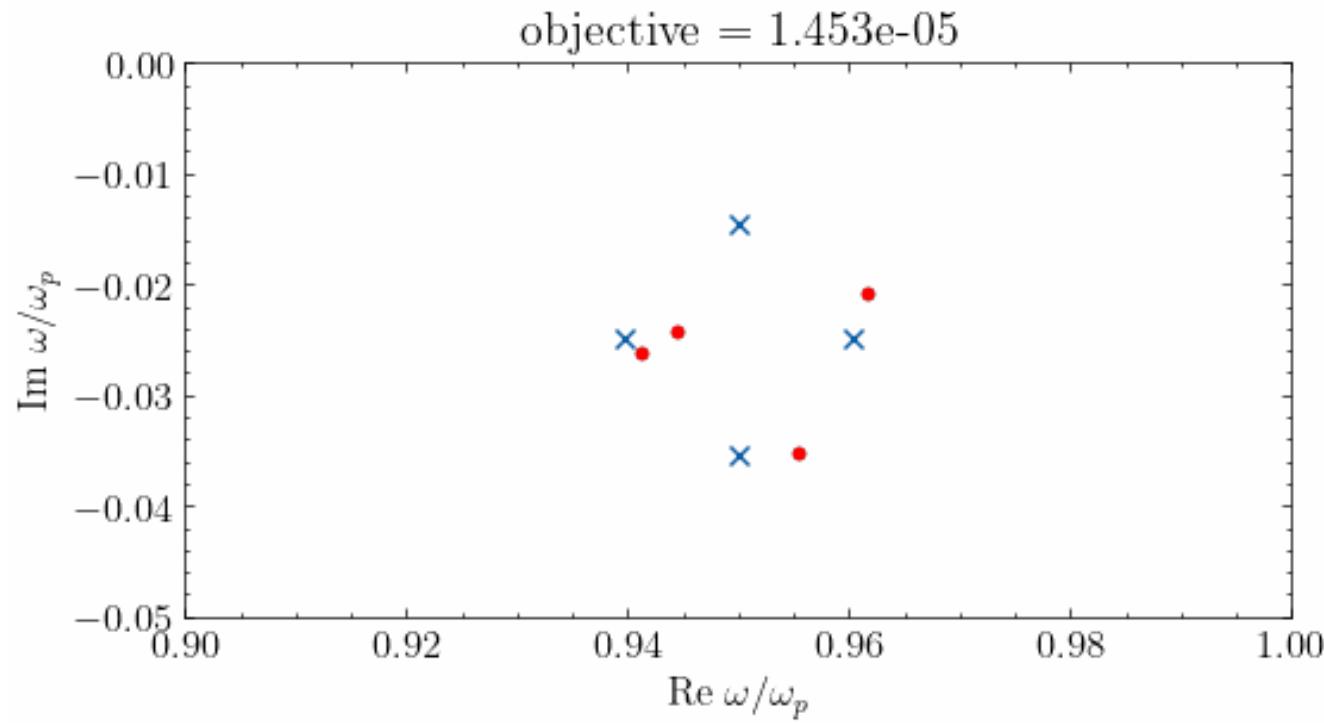
Optimizing resonators positions

Fixed masses and stiffnesses



# Resonances engineering

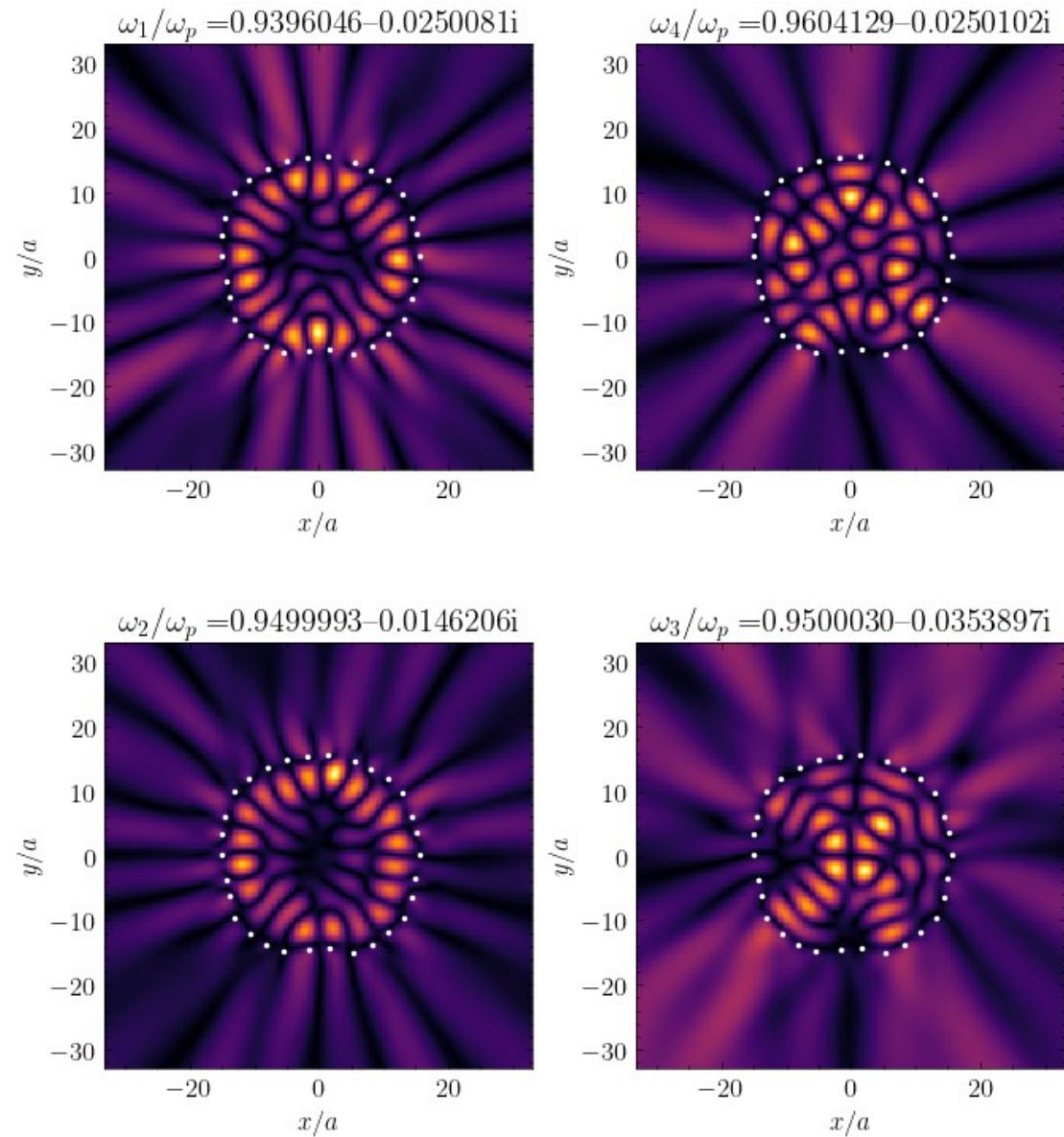
## Placing eigenvalues in the complex plane



$$\mathcal{G}(\mathbf{R}_\alpha) = \sum_{n=1}^4 |\omega_n(\mathbf{R}_\alpha) - \omega_n^{\text{tar}}|^2.$$

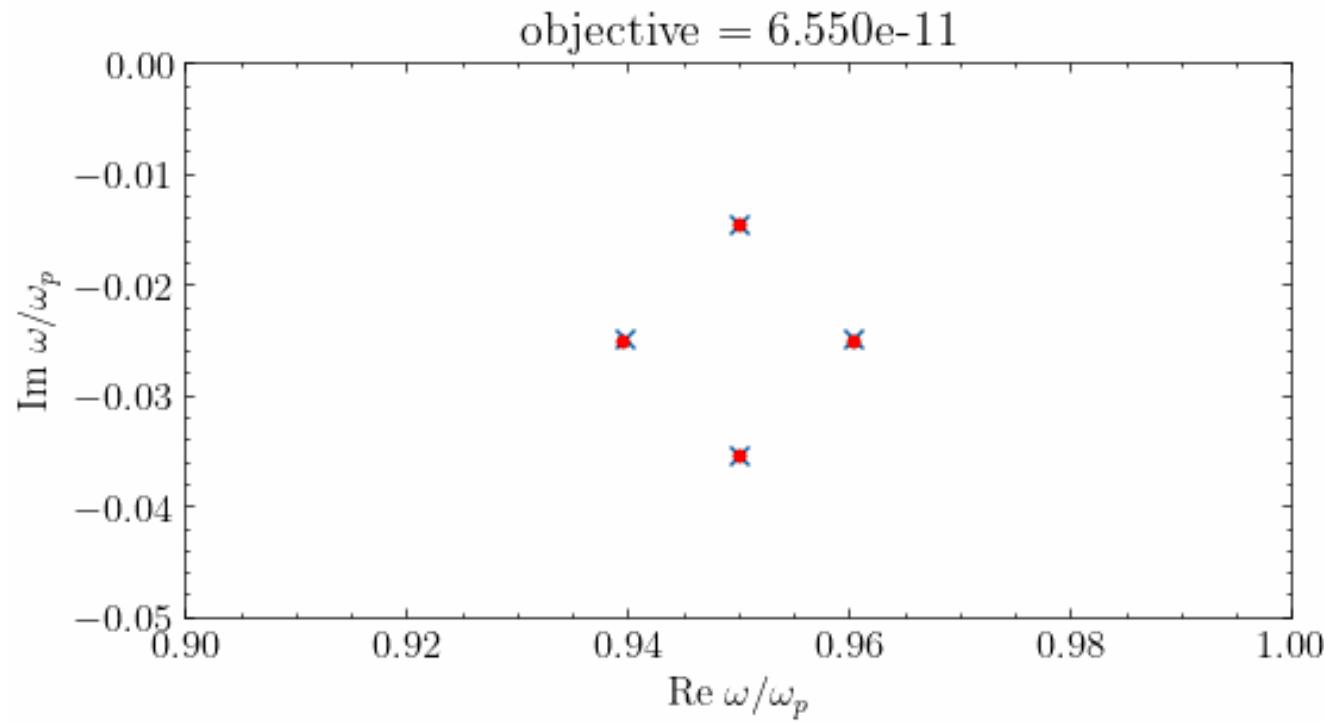
Optimizing resonators positions

Fixed masses and stiffnesses



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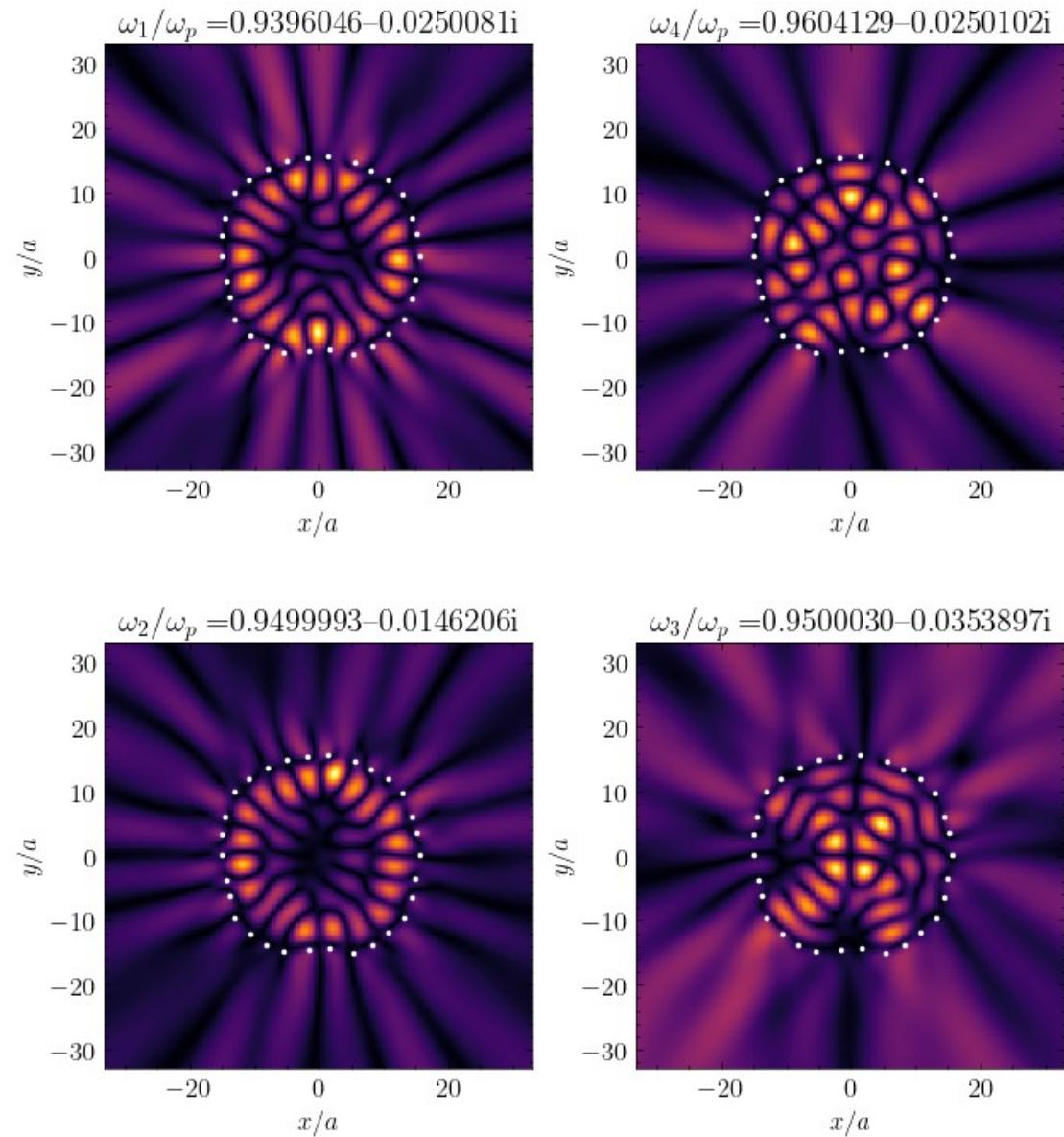
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# Conclusion

# Conclusion and future work

## QNM analysis and expansion

**Fast reduced order model** with a few modes

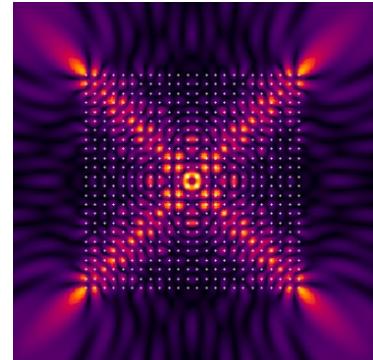
Physical insight into the resonant interaction  
of sources with modes

Resonance engineering and optimization

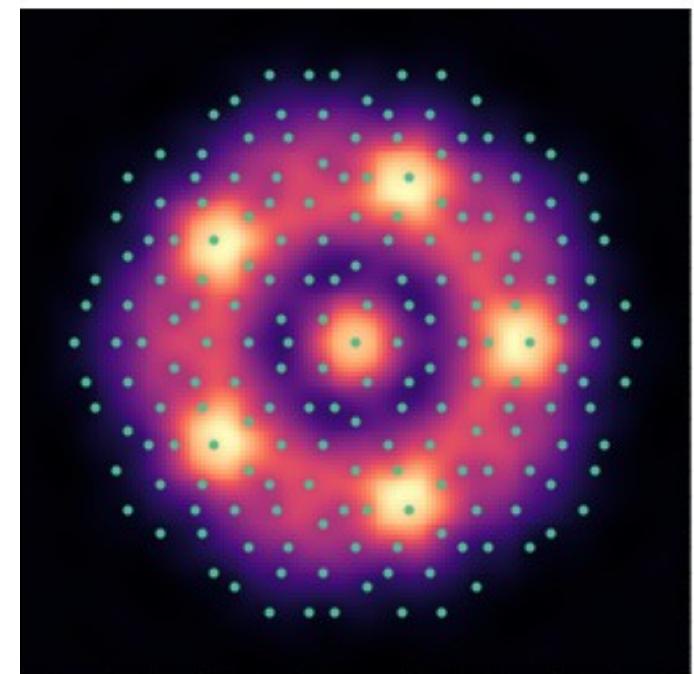
**Extension:** gratings

Vial, B., Sabaté, M. M., Wiltshaw, R., Guenneau, S. & Craster, R. V.  
Platonic quasi-normal modes expansion. (2024)

Preprint at <https://doi.org/10.48550/arXiv.2407.12042>



*Open source Python package:*  
<https://benvial.gitlab.io/klove/>



# IMPERIAL

## Thank you

Modal Analysis for Controlling Elastic Waves in Platonic Metamaterials  
B. Vial, M. Martí Sabaté, R. Wiltshaw, S. Guenneau & R. V. Craster  
09/09/2024



This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 952039

# Contour integrals

$$f(\omega) = \sum_{n \in \mathbb{N}} \frac{A_n}{(\omega - \omega_n)^p} + g(\omega)$$

$\Gamma$  closed loop containing the pole  $\omega_m$  only. Defining the integrals  $I_k$  for  $k = 0, 1, 2$ :

$$I_k = \frac{1}{2i\pi} \oint_{\Gamma} \omega^{k+p-1} f(\omega) d\omega = \frac{1}{2i\pi} \oint_{\Gamma} \omega^{k+p-1} \frac{A_m}{(\omega - \omega_m)^p} d\omega$$

since by Cauchy's theorem, the integral of  $g$  along a closed path is null.  
Applying the residue theorem to  $f_k : \omega \mapsto \omega^{k+p-1} \frac{A_m}{(\omega - \omega_m)^p}$  one obtains:

$$I_k = \text{Res}_{\omega_m} f_k = \frac{1}{(p-1)!} \lim_{\omega \rightarrow \omega_m} \frac{\partial^{p-1}}{\partial \omega^{p-1}} [(\omega - \omega_m)^p f_k(\omega)] = A_m \frac{(k+p-1)!}{k!(p-1)!} \omega_m^k$$

We define  $r_{01} = \frac{(p-1)!}{p!} \frac{I_1}{I_0}$  and  $r_{12} = 2 \frac{p!}{(p+1)!} \frac{I_2}{I_1}$ .

Hence  $A_m = I_0$ , and  $\omega_m = r_{01} = r_{12}$ .

Three cases:

- no poles if  $I_0 = I_1 = 0$
- a single pole if  $r_{01} = r_{12}$
- several poles if  $r_{01} \neq r_{12}$

# Rayleigh quotient

Choose an initial pair  $(\omega^{(0)}, \Psi^{(0)})$  with  $\|\Psi^{(0)}\| = 1$  and a nonzero vector  $\Phi$ .  
for  $k = 0, 1, \dots$  until convergence do

Solve

$$M(\omega^{(k)}) \tilde{\Psi}^{(k+1)} = M'(\omega^{(k)}) \Psi^{(k)} \text{ for } \tilde{\Psi}^{(k+1)}.$$

Set

$$\omega^{(k+1)} = \omega^{(k)} - \frac{\Phi \Psi^{(k)}}{\Phi \tilde{\Psi}^{(k+1)}}.$$

Normalize

$$\Psi^{(k+1)} = \frac{\tilde{\Psi}^{(k+1)}}{\|\tilde{\Psi}^{(k+1)}\|}.$$

# Explicit expressions for gradients

Explicit expressions considering the resonator  $\gamma$  are given by the following:

$$\frac{\partial \omega_n}{\partial m_{R\gamma}} = -\frac{D}{m_{R\gamma}^2 \omega_n^2} \Phi_{n,\gamma}^2,$$

$$\frac{\partial \omega_n}{\partial k_{R\gamma}} = -\frac{D}{k_{R\gamma}^2} \Phi_{n,\gamma}^2.$$

The derivative of a matrix element  $M_{\alpha\beta}$  with respect to position  $x_\gamma$  (a similar expression holds for the  $y_\gamma$  coordinates) is zero unless ( $\alpha = \gamma$  or  $\beta = \gamma$ ) and  $\alpha \neq \beta$ , we then have:

$$\frac{\partial M_{\alpha\beta}}{\partial x_\gamma}(\omega_n) = \xi \frac{x_\alpha - x_\beta}{|\mathbf{R}_\alpha - \mathbf{R}_\beta|} k_n G_1(\mathbf{R}_\alpha - \mathbf{R}_\beta),$$

with  $\xi = 1$  if  $\alpha = \gamma$  and  $\xi = -1$  otherwise, and  $G_1(\mathbf{r}) = \frac{i}{8k^2} [H_1(kr) - iH_1(ikr)]$ , where  $H_1$  is the first-order Hankel function of the first kind.