Ethical Use of Artificial Intelligence



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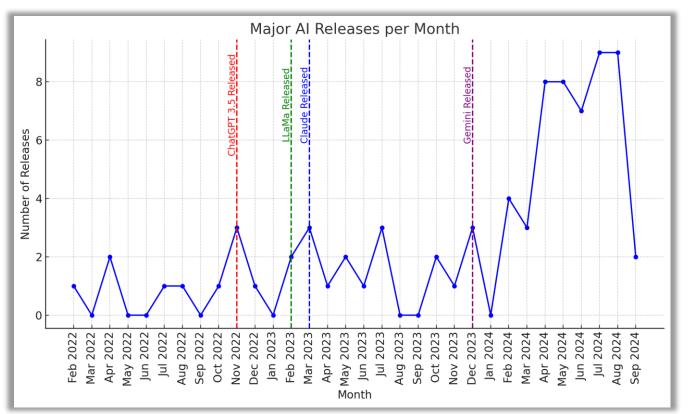


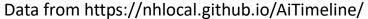


Artificial Intelligence (AI)

Al is "any computer system or application that performs tasks that normally require human intelligence, such as perception, reasoning, learning, decision making, or natural language processing."

-- Executive Order on Safe, Secure, and Trustworthy Artificial Intelligence. Oct 30, 2023







Alan D. Thompson (https://lifearchitect.ai/) estimates that ChatGPT currently outputs the equivalent of the entire printed works of mankind (130 trillion books averaging 70k words per book) every two weeks.

¹Based on Google Books study

Major Al Platforms (ranking as of Sept. 20, 2024)



ChatGPT (OpenAI)

May 2024 GPT-4o - multimodal input / output

(Google)

Sept 2024 **o1** - multi-step reasoning

- advanced math / physics



July 2024 *AlphaProof* scores silver rank in IMO

Aug 2024 1.5 Flash - targets research

- includes some fact checking
- includes some references (partner with OpenStax)
- can retrieve data from web



(Anthropic)

June 2024 3.5 Sonnet - focus on ethics, alignment, safety

- "constitutional AI" model
- accuracy over creativity
- does not train on user interactions
- artifacts!



Grok (XAI)

Aug 2024 Grok 2 - "sense of humor"

- available on X



(Meta)

Open source, freely available

July 2024 LLaMa 3.1 – in Facebook, Messenger, Instagram, WhatsApp



(Mistral AI)

Emphasis on free / open-source models

July 2024 Mistral Large / Codestral / Mathstral



perplexity

(Perplexity AI)

"Al search engine" (LLaMa backend)

- searches internet for answers
- summarizes results and gives reference links



Copilot

(Microsoft)

- based off GPT-4o
- available in all Microsoft products (extra cost)

Some applications of artificial intelligence

- Self-driving cars
- Smart home devices
- Virtual assistants
- Fraud detection
- Chemical research
- Customer service
- Weather forecasting
- Market prediction
- Recommendation systems
- Facial / object recognition
- Sentiment analysis
- Speech / language translation
- Voice-to-text (meeting / video captions)



- Content summary
- Content editing (text, computer code)
- Content generation
 (text, images, video, audio, speech, code)
- Content moderation (message boards, chats, online game interactions)
- Personalized learning / tutoring
- Help with instructor grading / feedback
- Chatbot
- Spam filtering
- Network intrusion / virus detection
- Sportscasting / commentating (e.g. <u>Wimbledon</u>)

Large language model (LLM) Al's learn patterns in human created texts

- Trained on extremely large datasets covering wide array of topics
- Responses appear human-like, comprehensive, and researched
- Can answer specific questions, generate ideas, summarize, translate, etc.

Some problems with artificial intelligences (LLM)

Issues with accuracy (though rapidly improving)

- "Hallucination"
- Lack / fabrication of references
- Biases / gaps in training data

"Poisoning the well"

- Proliferation of AI data corrupts future training
- Causes decline in quantity and quality of human-generated content (and loss of expertise?)
 - → artists, writers, programmers (*stackoverflow*)

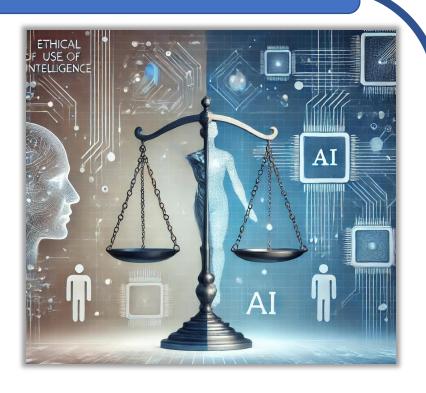
Manipulation / Misinformation

- Deep-fakes and the liar's dividend
- "Algorithmic radicalization" / "Rabbit-Hole effect" (via maximizing engagement)
- Intentional misuse; see Costello et. al. "Debunkbot" (2024)
- Hacking weights and features; see Templeton. Scaling monosemanticity... (2024)
 and Zehavi. Facial misrecognition systems... (2023)



Ethical Considerations

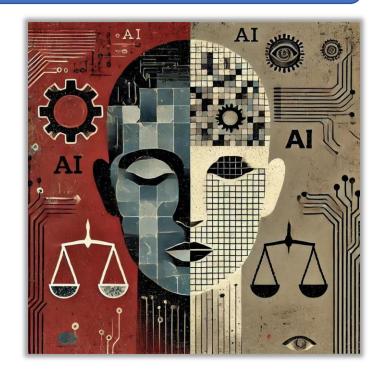
- Bias and discrimination
- Fair access and inclusivity
- Privacy and surveillance
- Transparency and explainability
- Accountability and accuracy
- Authorship rights
- Plagiarism and appropriate use



Bias and Discrimination

All reflect any biases present in the data they are trained on, perpetuating existing inequalities. (Generated content is based on learned patterns.)

- Bias in predictive policing / hiring / automatic decision making
 Predictive algorithms trained on historic data (e.g. historic crime data or
 cv's of successful applicants) don't account for changing demographics.
- Bias in underrepresented language / dialect queries and responses
 Low prestige languages and dialects receive less informative responses.
 This perpetuates sociolinguistic inequality.



- Discriminatory results from machine learning algorithms due to training data bias

 E.g. facial recognition prone to errors on darker skin tones yielding discriminatory outcomes; medical algorithms less effective on underrepresented groups yielding poor diagnoses; image generation reflecting training bias.
- Al grading / plagiarism detection / peer review automation
 May have bias against certain styles of writing (especially from non-English-speaking backgrounds) or may flag legitimate work as plagiarism.

Fair access and inclusivity

The gap between those with access to AI and those without can widen existing social and economic inequalities.

Al cost

classes.

The cost of access to high quality AI further segregates economic classes.

Disparities in access to AI education

Underrepresented groups may have fewer opportunities to learn AI skills, preventing them from participating in or benefiting from the "AI economy".



Accessibility to AI enhanced learning

Changes are promised by personalized learning / tutoring platforms that adapt content to individual students' needs (for example "ChatGPT Edu" / "MathGPT" / Gemini's partnership with OpenStax).

Consistent, safe, and secure access may only be available to few languages / demographics / socioeconomic

Example: Harvard gives all students access to ChatGPT Edu along with custom built sandbox of isolated Al's.

Privacy and surveillance

Al can be induced to leak training data. Massive training data sets can also be directly stolen or exposed via human intervention or <u>mistakes</u>.

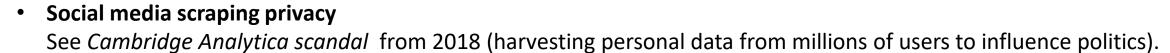
Training data privacy

Internal AI may be trained with confidential data; e.g. names, phone numbers, addresses, salary. <u>Known attacks can extract this information</u>.

- see Nasr. Scalable extraction of training data... (2023)

Query data privacy

Many AI will *self-improve*, training on supplied query or analysis data. Any non-anonymized data exposed to the AI is at risk of leakage. (*Read AI???*)

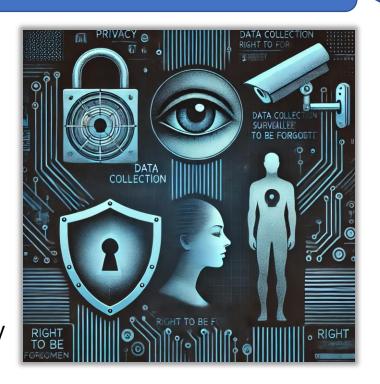


Overcollection of data

Al systems tend to collect extra data to maximize effectiveness; e.g. home assistant recording *all* video and audio.

Privacy of children

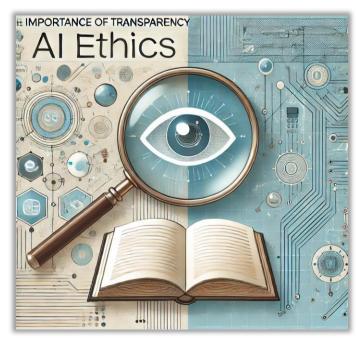
See FTC lawsuit vs ByteDance due to collecting and hoarding data on minors (and impeding parental access).



Transparency and explainability

Al and machine learning systems operate as a "black box". Training generates numeric weights for features – "thought process" / reason for decisions is not always clear. Necessity for "human in the loop".

- Decisions sometimes based on unrelated features
 In early radiology application, Al actually based decision on type of scan.
- "Algorithmic collusion"
 ML driven high frequency trading may contribute to market volatility (2010). Al driven house price suggestions accused of price-fixing.
- Inscrutable decisions have major effects
 Al used for administrative purposes (e.g. university predicting student success, identifying at-risk students; credit card deciding credit worthiness; bank deciding interest rate on loan) can have major affect.
- People may not even be aware AI / ML is involved
- Al training data may be shared / sold without user knowledge

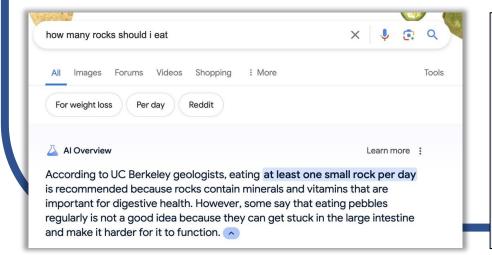


Accountability and accuracy

Al make mistakes, but take no responsibility. It is the user's responsibility to check for errors; though there are some grey areas...

- Responsibility for self driving car accidents?
- "Blackout challenge" lawsuit vs TikTok?
 Aug 28. US appeals court revives lawsuit: ML recommendation engines
 "not protected by sec 230 of Communications Decency Act"
- National security threat order (PAFACAA) vs ByteDance?
 TikTok collects data on US citizens, could be used to manipulate opinion?





- Output may not always be accurate. You should not rely on Output from our Services as a sole source of truth or factual information, or as a substitute for professional advice.
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[.....]

- Our Services may provide incomplete, incorrect, or offensive Output that does not represent OpenAl's views. If Output references any third party products or services, it doesn't mean the third party endorses or is affiliated with OpenAl.
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Authorship rights

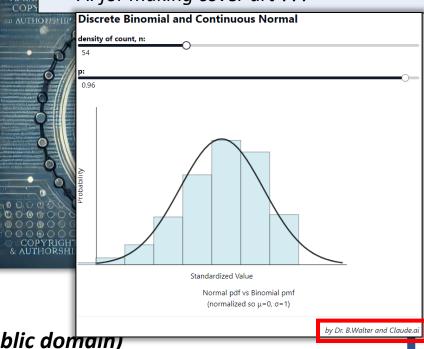
Al trained on data from across internet and other sources. *Frequently violating copyright*. Generated data can also violate copyright accidentally or intentionally ("...in the style of...").

There are also issues about ownership of generated content.

- Fair use and training data
 Multiple lawsuits vs OpenAI about scraping images, books, YouTube
- Accidental plagiarism
 See Scarlett Johansson voice dispute with OpenAl
- Credit if AI plays substantial role in research / writing?
- US copyright office gives NO ownership to any AI created content (all is public domain)
 See "Monkey Selfie" lawsuit and Zarya of the Dawn comic dispute.

Best practices from <u>authorsguild.org</u>:

- Use AI for support, not replacement
- Rewrite any AI generated text
- Disclose AI use more than di minimis
- Review and fact check ai output
- AI for making cover art ???



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Plagiarism and appropriate use

When used as a *replacement* rather than *augmentation* for humans, AI can have long-term detrimental effects. Also issues with malicious forgery.

- Erosion of research / critical thinking skills and creativity?
 Misuse of AI can undermine the skill development goal of assignments.
- Academic integrity?
 Currently impossible to reliably identify AI.
- Forgeries.
 Range from "... in the style of..." prompts to "deep-fakes".
- Illegal / objectional content generation.



"Human in the loop"

- Automated ML decisions are dangerous!
- Important for responsibility and accountability
- Don't blindly copy / believe AI output!

Don't enter confidential data into 3rd party AI

Use AI to augment your creativity, not replace.

Think critically about likely training data and bias.

Policies

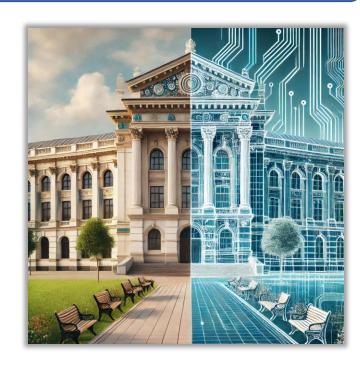
IEEE Ethically Aligned Design

120 AI bills currently in Congress.

EU AI Act. (Jun 2023)



- Protect confidential data
- Students are responsible for content they produce / publish
 - Review for accuracy / copyright infringement
- Students must cite their use of Al
- Students must adhere to course policy
- Be alert for deep-fake phishing



University course policies (for individual classes):

- Al use prohibited
- Al use allowed with permission
- Al use allowed with acknowledgement
- Al use allowed freely

Some references



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