

## LECTURE 20

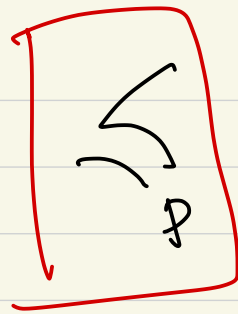
- \* Reductions recap

- \* NP-completeness

- \* Independent Set  $\leq_p$  Integer Programming

- \* 3SAT  $\leq_p$  Independent Set

Problem A



Problem B

1) Reduction Algorithm (converts inputs to A  $\rightarrow$  inputs to B)

2)  $\exists$  A Solution to original input to A  $\Rightarrow \exists$  a solution to input to B

$\exists$  a solution to B  $\Rightarrow \exists$  a solution to A

Problem A is no harder than Problem B.

Remarks: 1) Reduction algorithm needs to run  
in polytime

$$A \leq' B$$

(P) →

$$2) \quad A \leq_p B \quad \text{AND} \quad B \leq_p C$$

$$\Rightarrow A \leq_p C$$

3)  $A$  &  $B \leftarrow$  both are problems with no known algo

## NP - Complete Problems

A problem  $A$  is NP-complete  
if  $\neg A \in NP$

2) Every problem  $B \in NP$  reduces to  $A$

$$B \leq_p A$$

Corollary: If  $A$  &  $B$  are NP-complete

$$\underline{A \leq_p B} \quad \& \quad \underline{B \leq_p A}$$

Corollary: If  $\exists$  a polytime alg for some NP-complete problem

$$\Rightarrow NP = P$$

To show: Problem  $A$  is NP-complete

1)  $A \in NP$  [Exhibit a verification algorithm]

2) Pick some well known NP-complete  
problem

say  $3SAT \leq_p A$

Show that  $3SAT \leq_p A$

NP-complete Problems

"EVERY PROBLEM  
ALL OF NP"



[Cook70]

CIRCUIT SAT



3SAT



IND SET



RUDRATA CYCLE  
(directed)



INTEGER  
PROGRAM

CLIQUE

VERTEX  
COVER

Definition: (Independent Set)

Given a graph  $G = (V, E)$ , a subset of vertices  $S \subseteq V$  is an independent set if there are no edges inside  $S$  ✓  
i.e.  $\forall u, v \in S, (u, v) \notin E$ .

## IND SET:

INPUT: Graph  $G=(V,E)$ ,  $k$

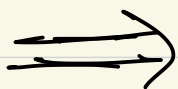
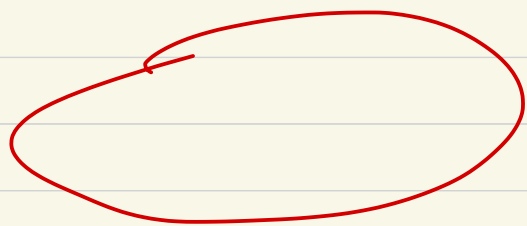
SOL: An ind. set of size  $k$

## INTEGER PROGRAMMING

INPUT: A linear program

SOL: An integer solution to linear program

$G=(V,E)$ ,  $k$



$$x_i = \begin{cases} 1 & \text{if } i \in \text{Independent Set} \\ 0 & \text{otherwise} \end{cases}$$

1) Runs in polytime

2) TO PROVE:

a)  $G$  has ind set of size  $k$   
 $\Rightarrow \exists$  a solution to IP

b)  $\exists$  a solution to IP  $\Rightarrow G$  has an ind set of size  $k$

$$0 \leq x_i \leq 1 \quad \text{IP}$$

$$\sum_{i=1}^n x_i = k$$

$$\forall (i,j) \in E \quad x_i + x_j \leq 1$$



3SAT: fundamental NP-complete

INPUT: 1) Boolean variables  $x_1, \dots, x_n \in \{0, 1\}$

2) Clauses:  $\rightarrow (x_1 \vee \bar{x}_2 \vee \bar{x}_7) \wedge$   
 $\rightarrow (x_5 \vee \bar{x}_6 \vee \bar{x}_8) \wedge$   
 $\rightarrow (\bar{x}_4 \vee \bar{x}_2 \vee \bar{x}_3) \wedge$   
 $\vdots$

} m clauses

SOLUTION: An assignment

$\{x_1, \dots, x_n\} \rightarrow \{0, 1\}$

that satisfies all the clauses

## 3SAT

INPUT: 3SAT formula on  $x_1, \dots, x_n$

$$(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_2 \vee x_3) \dots \wedge P$$

SOL: A satisfying assignment

## IND SET

INPUT: Graph  $G = (V, E)$

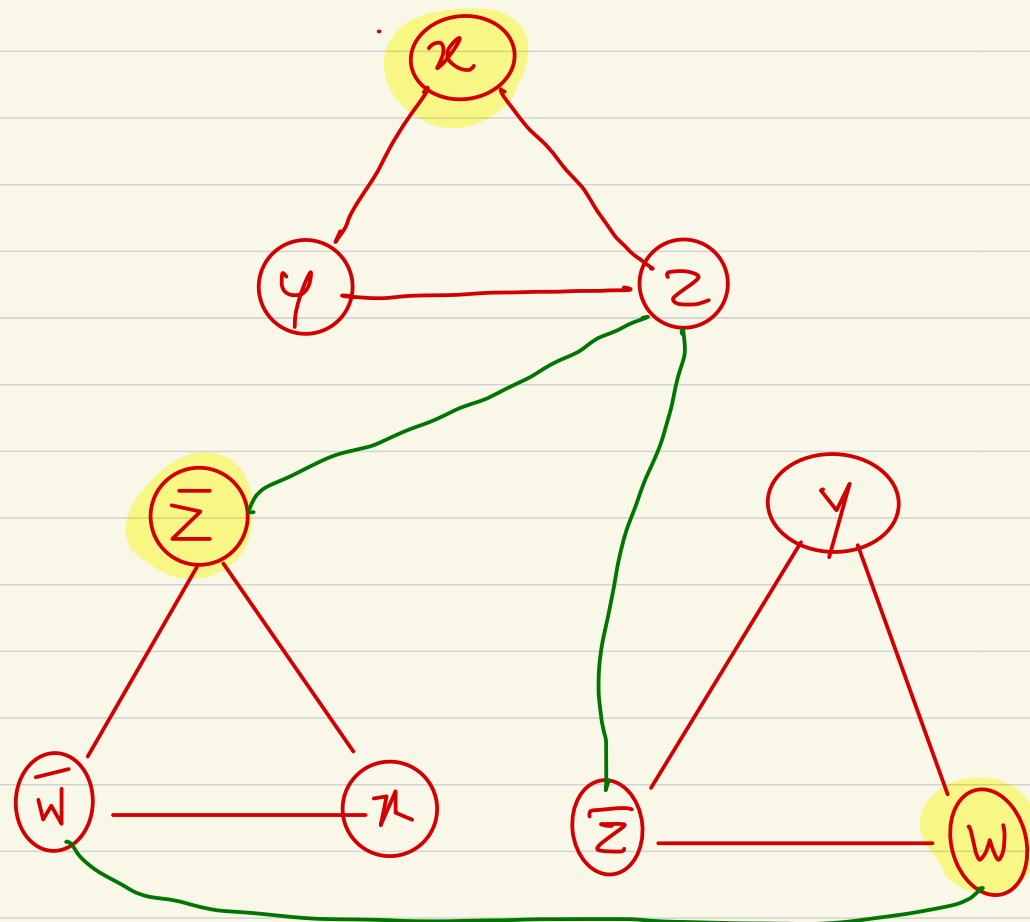
integer K

SOL: An independent set of size K

$$(x^1 \vee y^1 \vee z^0) \wedge$$

$$(\bar{z}^1 \vee \bar{w}^1 \vee x^1) \wedge$$

$$(y^1 \vee \bar{z}^1 \vee w^0)$$

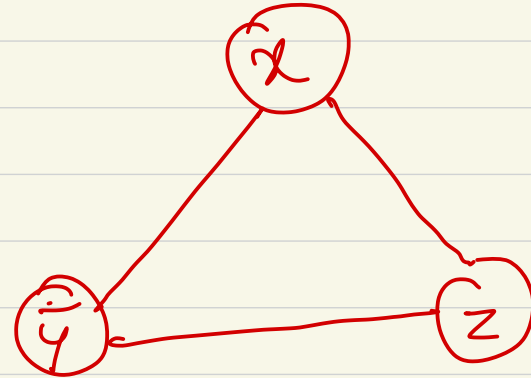


$K = \# \text{ of clauses}$

$$\begin{aligned} x &= 1 \\ y &= 1 \\ z &= 0 \\ w &= 0 \end{aligned}$$

1)  $\forall$  clause  $x \vee \bar{y} \vee z$

$\Downarrow$   
Create a  $\triangle^{\text{le}}$



"

In the satisfying assignment if  $x \vee \bar{y} \vee z$

has true literals  $x, \bar{y} \Rightarrow$  add one of those to independent

2)  $\forall$  variable  $x$ ,  
add an edge between every vertex labelled  $x$   
to every vertex labelled  $\bar{x}$

Proof:

1)  $\exists$  a satisfying assignment

$\Rightarrow \exists$  an independent set of size  $k$

Proof: If  $\{x_1, \dots, x_n\} \rightarrow \{0, 1\}$  satisfies the formula

then  $\forall$  each clause  $x_i \vee \bar{x}_j \vee x_k$ , pick some

true literal, include the vertex in independent set

$\Rightarrow |\text{Independent Set}| = \# \text{ of clauses}$

$\Rightarrow$  No edges inside.

$\exists$  an independent set in  $G$  with size  $K = \# \text{triangles}$   $\Rightarrow \exists$  a satisfying assignment

$\forall$  variable  $x_i =$   $\begin{cases} 1 & \text{if some vertex } \underline{x_i} \in \text{Ind Set} \\ 0 & \text{if some vertex } \overline{x_i} \in \text{ind set} \\ \text{arbitrary} & \text{otherwise} \end{cases}$

Ind Set has  $\underline{x_i}$  then  $\overline{x_i} \notin \text{Ind Set}$

$\rightarrow$  Ind Set picks exactly one vertex in each  $\triangle$

$\Rightarrow$  every clause has 1 satisfying literal