

## CS 170 HW 13

Due **2020-12-02, at 10:00 pm**

Please note that the due date for this homework set is on a Wednesday and not a Monday due to the holiday break. Homework 14 is not currently planned to have a similarly delayed due date; please plan accordingly.

### 1 Study Group

List the names and SIDs of the members in your study group. If you have no collaborators, you must explicitly write none.

In addition, we would like to share correct student solutions that are well-written with the class after each homework. Are you okay with your correct solutions being used for this purpose? Answer “Yes”, “Yes but anonymously”, or “No”

### 2 Two Primality Tests

**This is a solo question.**

Suppose we have access to two primality tests, both of which have the same runtime for  $n$ -bit numbers:

- Given  $x$ , Test A always outputs  $x$  is prime if it is prime, but has a  $p_A \leq 1/2$  chance of outputting  $x$  is prime if it is composite.
- Given  $x$ , Test B always outputs  $x$  is composite if it is composite, but has a  $p_B \leq 1/2$  chance of outputting  $x$  is composite if it is prime.

Recall that the prime number theorem says a fraction  $\Theta(1/n)$  of all  $n$ -bit numbers are prime. We want to use the following algorithm for sampling primes: repeatedly sample an  $n$ -bit number  $x$ , and then apply a primality test to  $x$ . If the primality test says  $x$  is prime, return  $x$ , otherwise sample a new prime. Suppose it costs  $T$  dollars to run one of these primality tests, and if we accidentally output a composite number, it will cost us  $L$  dollars.

What is our asymptotic expected cost if we only use Test A? What is our asymptotic expected cost if we only use Test B? Give an informal description of what your answers imply about when each test would be better to use.

**Solution:** If we use Test A, by conditional probability the chance we output a prime in each iteration is  $\Theta(1/n + (1 - 1/n)p_A) = \Theta(1/n + p_A)$ , so our expected number of tests performed is  $\Theta(\frac{1}{1/n + p_A}) = \Theta(\min\{n, 1/p_A\})$ . The probability we output a composite number by Bayes' theorem is  $\Theta(\frac{p_A}{1/n + p_A})$ . So our expected cost is  $\Theta(T \cdot \min\{n, 1/p_A\} + L \cdot \frac{p_A}{1/n + p_A})$ .

If we use Test B, there is a  $\Theta((1 - p_B)/n)$  chance that we sample a prime and then return it. So the expected number of tests we perform is  $\Theta(n/(1 - p_B))$ , and our expected cost is  $\Theta(nT/(1 - p_B)) = \Theta(nT)$ . (Notice we never incur the  $L$  dollar loss since we can never output a composite number).

This suggests we should use Test B unless  $1/p_A \leq n$  and  $L \leq nT$ , in which case using Test A is asymptotically as good as or better than using Test B.

### 3 Reduction from Factoring to Order-Finding

Recall that for a fixed  $N$ , the order of a number  $a$  that is relatively prime to  $N$ ,  $\text{ord}_N(a)$ , is the smallest positive integer such that  $a^{\text{ord}_N(a)} \equiv 1 \pmod{N}$ .

In this problem, we will show a weaker version of the following statement: we can reduce factoring to order-finding.

- (a) Let  $p$  be an odd prime and let  $a$  be chosen uniformly at random from  $\{1, 2, \dots, p-1\}$ . Show that  $\text{ord}_p(a)$  is even with probability at least  $1/2$ . (Hint: There is some  $g$  such that the sequence  $g \pmod{p}, g^2 \pmod{p}, \dots, g^{p-1} \pmod{p}$  is a permutation of  $1, 2, \dots, p-1$ , and  $\text{ord}_p(g) = p-1$ ).

- (b) Fix  $N$  that is the product of two odd primes  $p, q$ .

The Chinese remainder theorem says that for any  $a$ , there is a unique pair  $a_1 \in \{0, 1, \dots, p-1\}, a_2 \in \{0, 1, \dots, q-1\}$  such that  $a \equiv a_1 \pmod{p}$  and  $a \equiv a_2 \pmod{q}$ , and similarly for any  $a_1, a_2$ , there is a unique  $a \in \{0, 1, \dots, N-1\}$  such that  $a \equiv a_1 \pmod{p}$  and  $a \equiv a_2 \pmod{q}$ .

Let  $a$  be chosen uniformly at random from  $\{1, 2, \dots, N-1\}$ . Using the Chinese remainder theorem, show that with probability at least  $3/4$ , either  $a$  and  $N$  share a factor or  $\text{ord}_N(a)$  is even.

- (c) One can show that conditioned on  $\text{ord}_N(a)$  being even, we have  $a^{\text{ord}_N(a)/2} \not\equiv \pm 1 \pmod{N}$  with probability at least  $1/2$ . So by sampling  $a$  at random, we find  $a$  such that either  $a$  shares a factor with  $N$  or  $a^{\text{ord}_N(a)/2} \not\equiv \pm 1 \pmod{N}$  with probability at least  $3/8$ .

Complete the reduction by showing that if we know  $a$ ,  $\text{ord}_N(a)$ , and  $a^{\text{ord}_N(a)/2} \not\equiv \pm 1 \pmod{N}$ , we can efficiently find a factor of  $N$ . (Hint: We can efficiently compute GCDs, so it suffices to show we can find a number that shares a factor with  $N$  but is not divisible by  $N$ ).

#### Solution:

We use the following fact: If  $a^k \equiv 1 \pmod{N}$ , then  $k$  is a multiple of  $\text{ord}_N(a)$ . Otherwise  $k$  can be written as  $b \cdot \text{ord}_N(a) + c$  for some  $0 < c < \text{ord}_N(a)$ , and we'd have  $a^c \equiv 1 \pmod{N}$ , which contradicts the definition of  $\text{ord}_N(a)$ .

- (a) With probability  $1/2$ , we sample  $a$  such that  $a = g^k \pmod{p}$  for odd  $k$ . We have  $a^{\text{ord}_p(a)} = g^{k \cdot \text{ord}_p(a)} \equiv 1 \pmod{p}$ . So  $k \cdot \text{ord}_p(a)$  must be a multiple of  $\text{ord}_p(g) = p-1$ . Since  $p-1$  is even,  $k \cdot \text{ord}_p(a)$  must be even, which means  $\text{ord}_p(a)$  must be even.
- (b) If  $a$  is not divisible by  $p$  or  $q$ , by the Chinese remainder theorem,  $a \pmod{p}$  and  $a \pmod{q}$  are independent distributed uniformly at random among  $\{1, 2, \dots, p-1\}$  and  $\{1, 2, \dots, q-1\}$  respectively. By part a, one of  $\text{ord}_p(a)$  and  $\text{ord}_q(a)$  is even with probability at least  $3/4$ .  $a^{\text{ord}_N(a)} \equiv 1 \pmod{p}$  and  $a^{\text{ord}_N(a)} \equiv 1 \pmod{q}$ , so  $\text{ord}_N(a)$  is a multiple of  $\text{ord}_p(a)$  and  $\text{ord}_q(a)$ . So  $\text{ord}_N(a)$  is even with probability at least  $3/4$ .
- (c) Let  $r = \text{ord}_N(a)$ . We have (i)  $a^r - 1 = (a^{r/2} + 1)(a^{r/2} - 1) \equiv 0 \pmod{N}$  and (ii)  $a^{r/2} \not\equiv \pm 1 \pmod{N}$ , which implies  $1 < a^{r/2} \pmod{N} < N-1$ . Letting  $s = a^{r/2} \pmod{N} + 1$ , we know  $s$  shares a factor with  $N$  by (i) but isn't divisible by  $N$  by (ii), and so we can compute  $\text{gcd}(s, N)$  to get a factor of  $N$ .

## 4 Intro to Hashing

**This is a solo question.**

Let  $\mathcal{H}$  be a family of hash functions in which each  $h \in \mathcal{H}$  maps the universe  $\mathcal{U}$  of keys to  $[m] := \{0, 1, \dots, m-1\}$ .  $\mathcal{H}$  is *universal* if for any  $x \neq y \in \mathcal{U}$ ,  $\Pr_{h \in \mathcal{H}}[h(x) = h(y)] \leq 1/m$ . That is, the chance that  $h(x) = h(y)$  if we sample  $h$  uniformly at random from  $\mathcal{H}$  is at most  $1/m$ .

Consider the following family of hash functions from  $[m] \times [m]$  to  $[m]$ : Let  $h_{a,b}(x_1, x_2) = a \cdot x_1 + b \cdot x_2 \pmod m$ , and let  $\mathcal{H} = \{h_{a,b} | a, b \in [m]\}$ . Chapter 1 of the textbook shows this family is universal if  $m$  is prime. Show that if  $m$  is composite, then there exists  $(x_1, x_2) \neq (y_1, y_2)$  such that  $h(x_1, x_2) = h(y_1, y_2)$  for at least a  $1/\sqrt{m}$  fraction of functions in  $\mathcal{H}$ , i.e. this family is not universal.

**Solution:** There are many valid counterexamples, this is probably the simplest: If  $m$  is composite,  $m$  has some factor  $k$  that is at most  $\sqrt{m}$ . Consider  $h(0, 0)$  and  $h(m/k, 0)$ . The former always hashes to 0, and the latter hashes to 0 if  $a$  is a multiple of  $k$ , which happens with probability  $1/k$ . So  $h(0, 0) = h(m/k, 0)$  with probability at least  $1/k \geq 1/\sqrt{m}$ .