# CS162 Operating Systems and Systems Programming Lecture 17

Demand Paging (Finished), General I/O, Storage Devices

March 29<sup>th</sup>, 2022
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http://cs162.eecs.Berkeley.edu

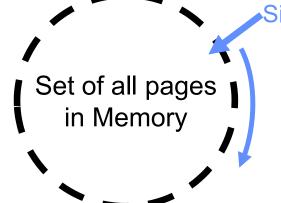
# Recall: Demand Paging Cost Model

- Since Demand Paging like caching, can compute average access time! ("Effective Access Time")
  - EAT = Hit Rate x Hit Time + Miss Rate x Miss Time
  - EAT = Hit Time + Miss Rate x Miss Penalty
- Example:
  - Memory access time = 200 nanoseconds
  - Average page-fault service time = 8 milliseconds
  - Suppose p = Probability of miss, 1-p = Probably of hit
  - Then, we can compute EAT as follows:

```
EAT = 200 \text{ns} + \text{p x 8 ms}
= 200 \text{ns} + \text{p x 8,000,000ns}
```

- If one access out of 1,000 causes a page fault, then EAT = 8.2 μs:
  - This is a slowdown by a factor of 40!
- What if want slowdown by less than 10%?
  - EAT < 200ns x 1.1  $\Rightarrow$  p < 2.5 x 10<sup>-6</sup>
  - This is about 1 page fault in 400,000!

# Recall: Clock Algorithm (Not Recently Used)



Single Clock Hand:

Advances only on page fault! Check for pages not used recently Mark pages as not used recently

- Clock Algorithm: Arrange physical pages in circle with single clock hand
  - Approximate LRU (approximation to approximation to MIN)
  - Replace an old page, not the oldest page
- Details:
  - Hardware "use" bit per physical page (called "accessed" in Intel architecture):
    - » Hardware sets use bit on each reference
    - » If use bit isn't set, means not referenced in a long time
    - » Some hardware sets use bit in the TLB; must be copied back to page TLB entry gets replaced
  - On page fault:
    - » Advance clock hand (not real time)
    - » Check use bit:
      - 1→ used recently; clear and leave alone
      - 0→ selected candidate for replacement Joseph & Kubiatowicz CS162 © UCB Spring 2022

# Recall: Meaning of PTE bits

Which bits of a PTE entry are useful to us for the Clock Algorithm?

Remember Intel PTE:

PTE: Page Frame Number (Physical Page Number)

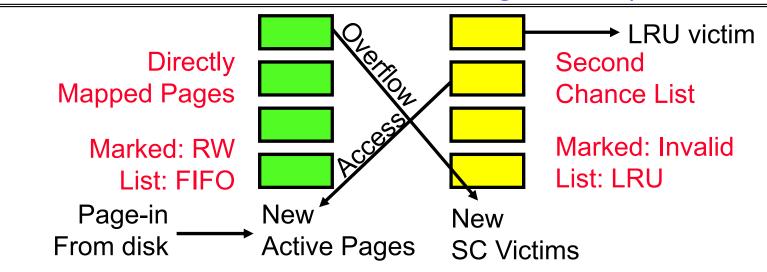
31-12

Free (OS)

0 D A B U W P

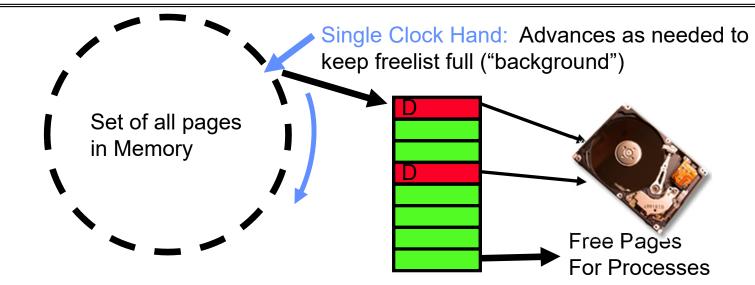
- The "Present" bit (called "Valid" elsewhere):
  - » P==0: Page is invalid and a reference will cause page fault
  - » P==1: Page frame number is valid and MMU is allowed to proceed with translation
- The "Writable" bit (could have opposite sense and be called "Read-only"):
  - » W==0: Page is read-only and cannot be written.
  - » W==1: Page can be written
- The "Accessed" bit (called "Use" elsewhere):
  - » A==0: Page has not been accessed (or used) since last time software set  $A\rightarrow 0$
  - » A==1: Page has been accessed (or used) since last time software set  $A\rightarrow 0$
- The "Dirty" bit (called "Modified" elsewhere):
  - » D==0: Page has not been modified (written) since PTE was loaded
  - » D==1: Page has changed since PTE was loaded

# Recall: Second-Chance List Algorithm (VAX/VMS)



- Split memory in two: Active list (RW), SC list (Invalid)
- Access pages in Active list at full speed
- Otherwise, Page Fault
  - Always move overflow page from end of Active list to front of Second-chance list (SC) and mark invalid
  - Desired Page On SC List: move to front of Active list, mark RW
  - Not on SC list: page in to front of Active list, mark RW; page out LRU victim at end of SC list

### Free List



- Keep set of free pages ready for use in demand paging
  - Freelist filled in background by Clock algorithm or other technique ("Pageout demon")
  - Dirty pages start copying back to disk when enter list
- Like VAX second-chance list
  - If page needed before reused, just return to active set
- Advantage: faster for page fault
  - Can always use page (or pages) immediately on fault

# Reverse Page Mapping (Sometimes called "Coremap")

- When evicting a page frame, how to know which PTEs to invalidate?
  - Hard in the presence of shared pages (forked processes, shared memory, ...)
- Reverse mapping mechanism must be very fast
  - Must hunt down all page tables pointing at given page frame when freeing a page
  - Must hunt down all PTEs when seeing if pages "active"
- Implementation options:
  - For every page descriptor, keep linked list of page table entries that point to it
     Management nightmare expensive
  - Linux: Object-based reverse mapping
    - » Link together memory region descriptors instead (much coarser granularity)

# Allocation of Page Frames (Memory Pages)

- How do we allocate memory among different processes?
  - Does every process get the same fraction of memory? Different fractions?
  - Should we completely swap some processes out of memory?
- Each process needs minimum number of pages
  - Want to make sure that all processes that are loaded into memory can make forward progress
  - Example: IBM 370 6 pages to handle SS MOVE instruction:
    - » instruction is 6 bytes, might span 2 pages
    - » 2 pages to handle from
    - » 2 pages to handle to
- Possible Replacement Scopes:
  - Global replacement process selects replacement frame from set of all frames; one process can take a frame from another
  - Local replacement each process selects from only its own set of allocated frames

# Fixed/Priority Allocation

- Equal allocation (Fixed Scheme):
  - Every process gets same amount of memory
  - Example: 100 frames, 5 processes → process gets 20 frames
- Proportional allocation (Fixed Scheme)
  - Allocate according to the size of process
  - Computation proceeds as follows:

 $s_i$  = size of process  $p_i$  and  $S = \sum s_i$ 

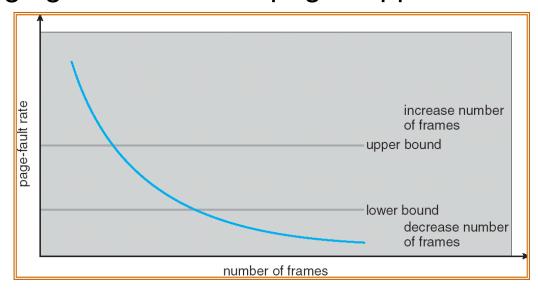
m = total number of physical frames in the system

 $a_i$  = (allocation for  $p_i$ ) =  $\frac{s_i}{S} \times m$ 

- Priority Allocation:
  - Proportional scheme using priorities rather than size
    - » Same type of computation as previous scheme
  - Possible behavior: If process  $p_i$  generates a page fault, select for replacement a frame from a process with lower priority number
- Perhaps we should use an adaptive scheme instead???
  - What if some application just needs more memory?

# Page-Fault Frequency Allocation

 Can we reduce Capacity misses by dynamically changing the number of pages/application?



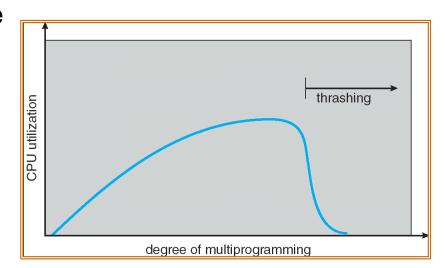
- Establish "acceptable" page-fault rate
  - If actual rate too low, process loses frame
  - If actual rate too high, process gains frame
- Question: What if we just don't have enough memory?

# Thrashing

• If a process does not have "enough" pages, the page-fault rate is very high.

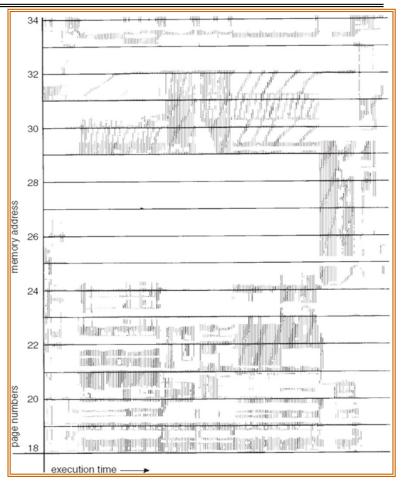
### This leads to:

- low CPU utilization
- operating system spends most of its time swapping to disk
- Thrashing = a process is busy swapping pages in and out with little or no actual progress
- Questions:
  - How do we detect Thrashing?
  - What is best response to Thrashing?

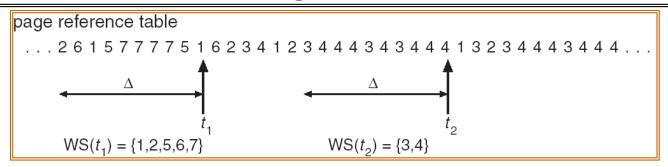


# Locality In A Memory-Reference Pattern

- Program Memory Access Patterns have temporal and spatial locality
  - Group of Pages accessed along a given time slice called the "Working Set"
  - Working Set defines minimum number of pages for process to behave well
- Not enough memory for Working Set ⇒ Thrashing
  - Better to swap out process?



# Working-Set Model



- $\Delta \equiv$  working-set window  $\equiv$  fixed number of page references
  - Example: 10,000 instructions
- WSi (working set of Process Pi) = total set of pages referenced in the most recent Δ (varies in time)
  - if  $\Delta$  too small will not encompass entire locality
  - if  $\Delta$  too large will encompass several localities
  - if  $\Delta$  = ∞ ⇒ will encompass entire program
- D =  $\Sigma$ |WSi| = total demand frames
- if D > m ⇒ Thrashing
  - Policy: if D > m, then suspend/swap out processes
  - This can improve overall system behavior by a lot!

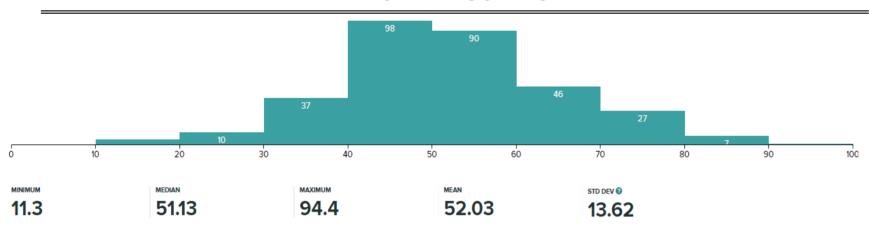
# What about Compulsory Misses?

- Recall that compulsory misses are misses that occur the first time that a page is seen
  - Pages that are touched for the first time
  - Pages that are touched after process is swapped out/swapped back in

### Clustering:

- On a page-fault, bring in multiple pages "around" the faulting page
- Since efficiency of disk reads increases with sequential reads, makes sense to read several sequential pages
- Working Set Tracking:
  - Use algorithm to try to track working set of application
  - When swapping process back in, swap in working set

### Administrivia



- Midterm 2 Graded!
  - Mean: 52.03, Std Dev: 13.62, Max: 94.4
  - Regrade requests due by tomorrow (March 30<sup>th</sup>)
- Project 2 is due Saturday (April 2<sup>nd</sup>)
  - Including group evaluations!
- Project 3 released Sunday (April 3<sup>rd</sup>)

# Administrivia (Con't)

 You need to know your units as CS/Engineering students! Units of Time: "s": Second, "min": 60s, "h": 3600s, (of course) - Millisecond:  $1ms \Rightarrow 10^{-3} s$ - Microsecond:  $1\mu s \Rightarrow 10^{-6} s$  Nanosecond: 1ns: ⇒ 10<sup>-9</sup> s - Picosecond: 1ps  $\Rightarrow$  10<sup>-12</sup> s Integer Sizes: "b" ⇒ "bit", "B" ⇒ "byte" == 8 bits, "W" ⇒ "word" ==? (depends. Could be 16b, 32b, 64b) Units of Space (memory), sometimes called the "binary system" - Kilo:  $1KB \equiv 1KiB$  $== 2^{10} \text{ bytes } == 1024 \approx 1.0 \times 10^3$  $\Rightarrow$  1024 bytes – Mega: 1MB ≡ 1MiB  $\Rightarrow$  (1024)<sup>2</sup> bytes  $== 2^{20} \text{ bytes} == 1,048,576 \approx 1.0 \times 10^6$ - Giga:  $\frac{1GB}{}$  =  $\frac{1GiB}{}$  ⇒  $(1024)^3$  bytes  $== 2^{30}$  bytes  $== 1,073,741,824 \approx 1.1 \times 10^9$ – Tera: 1TB ≡ 1TiB  $\Rightarrow$  (1024)<sup>4</sup> bytes  $== 2^{40}$  bytes  $== 1.099.511.627.776 \approx 1.1 \times 10^{12}$  $\Rightarrow$  (1024)<sup>5</sup> bytes  $== 2^{50}$  bytes  $== 1,125,899,906,842,624 <math>\approx 1.1 \times 10^{15}$ – Peta: 1PB = 1PiB  $\Rightarrow$  (1024)<sup>6</sup> bytes  $== 2^{60}$  bytes  $== 1,152,921,504,606,846,976 <math>\approx 1.2 \times 10^{18}$ - Exa: 1EB = 1EiB Units of Bandwidth, Space on disk/etc, Everything else..., sometimes called the "decimal system" - Kilo: 1KB/s  $\Rightarrow$  10<sup>3</sup> bytes/s, 1KB  $\Rightarrow$  10<sup>3</sup> bytes - Mega: 1MB/s ⇒  $10^6$  bytes/s,  $1MB \Rightarrow 10^6 \text{ bytes}$ - Giga:  $1GB/s \Rightarrow 10^9 \text{ bytes/s}$ ,  $1GB \Rightarrow 10^9 \text{ bytes}$ - Tera:  $1TB/s \Rightarrow 10^{12} \text{ bytes/s}$ ,  $1TB \Rightarrow 10^{12} \text{ bytes}$ - Peta: 1PB/s  $\Rightarrow$  10<sup>15</sup> bytes/s,  $1PB \Rightarrow 10^{15} \text{ bytes}$ 

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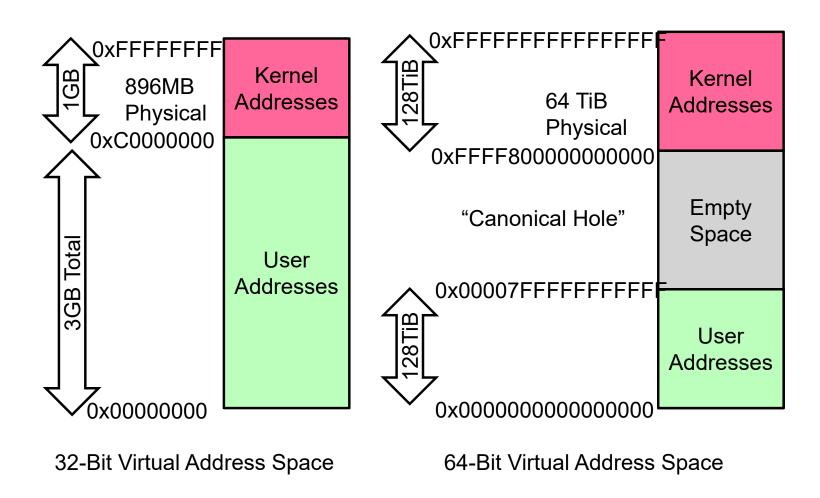
 $1EB \Rightarrow 10^{18} \text{ bytes}$ 

- Exa:  $1EB/s \Rightarrow 10^{18} \text{ bytes/s}$ ,

# **Linux Memory Details?**

- Memory management in Linux considerably more complex than the examples we have been discussing
- Memory Zones: physical memory categories
  - ZONE\_DMA: < 16MB memory, DMAable on ISA bus</p>
  - ZONE\_NORMAL: 16MB → 896MB (mapped at 0xC0000000)
  - ZONE\_HIGHMEM: Everything else (> 896MB)
- Each zone has 1 freelist, 2 LRU lists (Active/Inactive)
- Many different types of allocation
  - SLAB allocators, per-page allocators, mapped/unmapped
- Many different types of allocated memory:
  - Anonymous memory (not backed by a file, heap/stack)
  - Mapped memory (backed by a file)
- Allocation priorities
  - Is blocking allowed/etc

# Linux Virtual memory map (Pre-Meltdown)



# Pre-Meltdown Virtual Map (Details)

- Kernel memory not generally visible to user
  - Exception: special VDSO (virtual dynamically linked shared objects) facility that maps kernel code into user space to aid in system calls (and to provide certain actual system calls such as gettimeofday())
- Every physical page described by a "page" structure
  - Collected together in lower physical memory
  - Can be accessed in kernel virtual space
  - Linked together in various "LRU" lists
- For 32-bit virtual memory architectures:
  - When physical memory < 896MB</p>
    - » All physical memory mapped at 0xC0000000
  - When physical memory >= 896MB
    - » Not all physical memory mapped in kernel space all the time
    - » Can be temporarily mapped with addresses > 0xCC000000
- For 64-bit virtual memory architectures:
  - All physical memory mapped above 0xFFFF80000000000

# Post Meltdown Memory Map

- Meltdown flaw (2018, Intel x86, IBM Power, ARM)
  - Exploit speculative execution to observe contents of kernel memory

- Some details:
  - » Reason we skip 4096 for each value: avoid hardware cache prefetch
  - » Note that value detected by fact that one cache line is loaded
  - » Catch and ignore page fault: set signal handler for SIGSEGV, can use setjump/longjmp....
- Patch: Need different page tables for user and kernel
  - Without PCID tag in TLB, flush TLB twice on syscall (800% overhead!)
  - Need at least Linux v 4.14 which utilizes PCID tag in new hardware to avoid flushing when change address space
- Fix: better hardware without timing side-channels
  - Will be coming, but still in works

# Recall: Five Components of a Computer

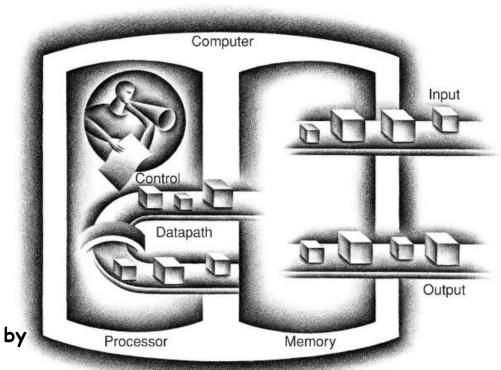
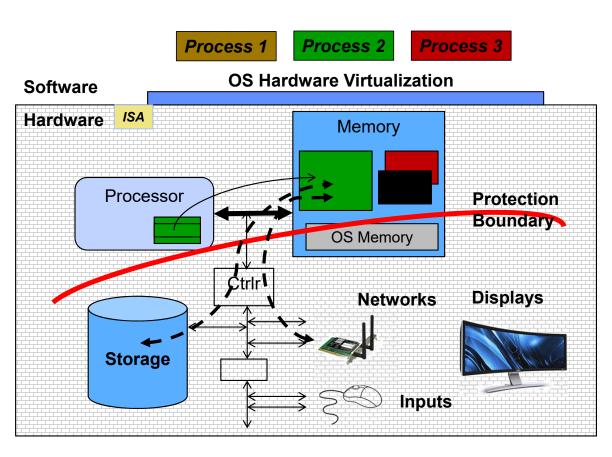


Diagram from "Computer Organization and Design" by Patterson and Hennessy

# Requirements of I/O

- So far in CS 162, we have studied:
  - Abstractions: the APIs provided by the OS to applications running in a process
  - Synchronization/Scheduling: How to manage the CPU
- What about I/O?
  - Without I/O, computers are useless (disembodied brains?)
  - But... thousands of devices, each slightly different
    - » How can we standardize the interfaces to these devices?
  - Devices unreliable: media failures and transmission errors
    - » How can we make them reliable???
  - Devices unpredictable and/or slow
    - » How can we manage them if we don't know what they will do or how they will perform?

## Recall: OS Basics: I/O



 OS provides common services in form of I/O

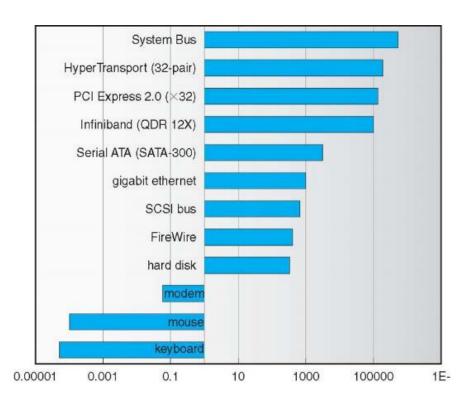
# Recall: Range of Timescales

# Jeff Dean: "Numbers Everyone Should Know"

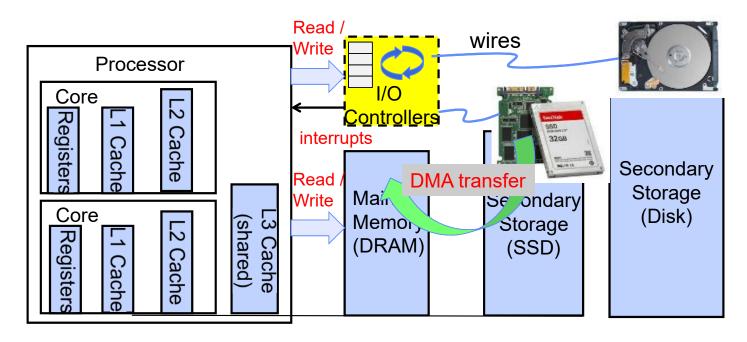
L1 cache reference	0.	.5 ns
Branch mispredict	5	ns
L2 cache reference	7	ns
Mutex lock/unlock	25	ns
Main memory reference	100	ns
Compress 1K bytes with Zippy	3,000	ns
Send 2K bytes over 1 Gbps network	20,000	ns
Read 1 MB sequentially from memory	250,000	ns
Round trip within same datacenter	500,000	ns
Disk seek	10,000,000	ns
Read 1 MB sequentially from disk	20,000,000	ns
Send packet CA->Netherlands->CA	150,000,000	ns

# Example: Device Transfer Rates in Mb/s (Sun Enterprise 6000)

- Device rates vary over 12 orders of magnitude!!!
- System must be able to handle this wide range
  - Better not have high overhead/byte for fast devices
  - Better not waste time waiting for slow devices

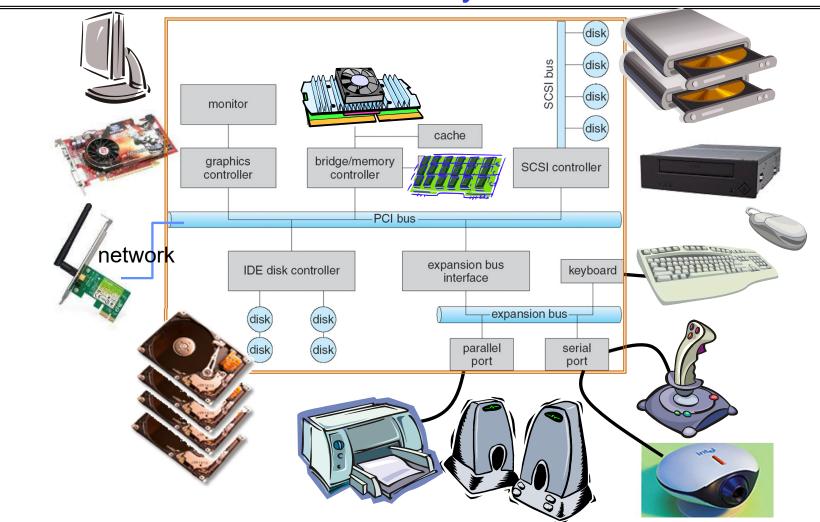


### In a Picture



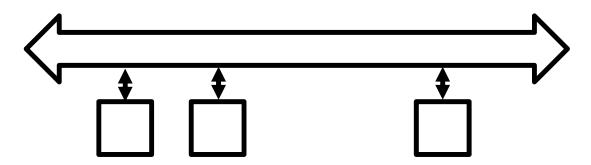
- I/O devices you recognize are supported by I/O Controllers
- Processors accesses them by reading and writing IO registers as if they were memory
  - Write commands and arguments, read status and results

# Modern I/O Systems



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### What's a bus?

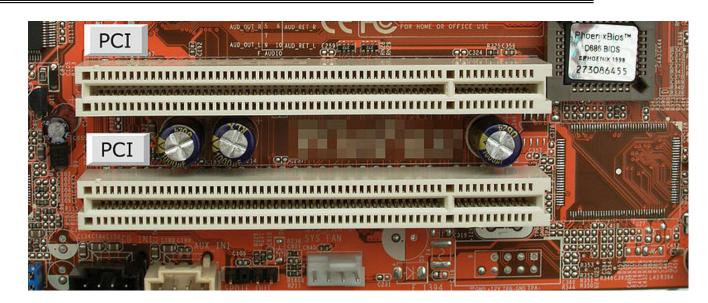


- Common set of wires for communication among hardware devices plus protocols for carrying out data transfer transactions
  - Operations: e.g., Read, Write
  - Control lines, Address lines, Data lines
  - Typically multiple devices
- Protocol: initiator requests access, arbitration to grant, identification of recipient, handshake to convey address, length, data
- Very high BW close to processor (wide, fast, and inflexible), low BW with high flexibility out in I/O subsystem

# Why a Bus?

- Buses let us connect n devices over a single set of wires, connections, and protocols
  - $O(n^2)$  relationships with 1 set of wires (!)
- Downside: Only one transaction at a time
  - The rest must wait
  - "Arbitration" aspect of bus protocol ensures the rest wait

### **PCI** Bus Evolution

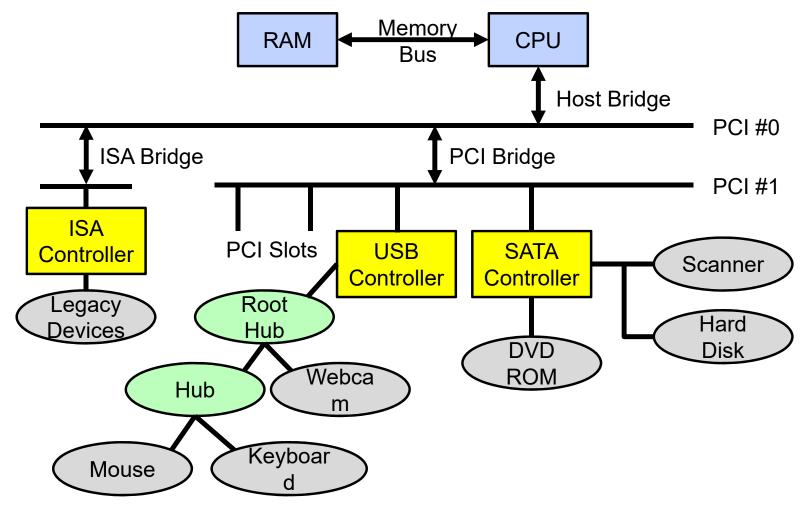


- PCI started life out as a bus
- But a parallel bus has many limitations
  - Multiplexing address/data for many requests
  - Slowest devices must be able to tell what's happening (e.g., for arbitration)
  - Bus speed is set to that of the slowest device

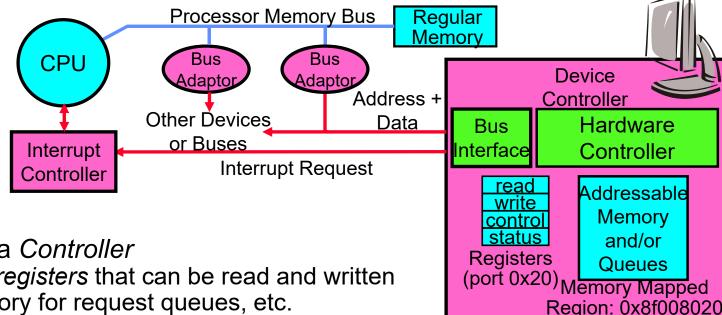
# PCI Express "Bus"

- No longer a parallel bus
- Really a collection of fast serial channels or "lanes"
- Devices can use as many as they need to achieve a desired bandwidth
- Slow devices don't have to share with fast ones
- One of the successes of device abstraction in Linux was the ability to migrate from PCI to PCI Express
  - The physical interconnect changed completely, but the old API still worked

# **Example: PCI Architecture**



### How does the Processor Talk to the Device?



- CPU interacts with a Controller
  - Contains a set of registers that can be read and written
  - May contain memory for request queues, etc.
- Processor accesses registers in two ways:
  - Port-Mapped I/O: in/out instructions
    - » Example from the Intel architecture: out 0x21,AL
  - Memory-mapped I/O: load/store instructions
    - » Registers/memory appear in physical address space
    - » I/O accomplished with load and store instructions

# Port-Mapped I/O in Pintos Speaker Driver

### Pintos: devices/speaker.c

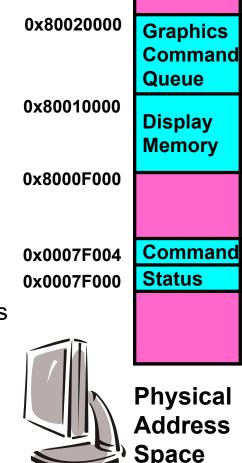
```
/* Sets the PC speaker to emit a tone at the given FREQUENCY, in
  Hz. */
void
speaker_on (int frequency)
  if (frequency >= 20 && frequency <= 20000)
      /* Set the timer channel that's connected to the speaker to
         output a square wave at the given FREQUENCY, then
         connect the timer channel output to the speaker. */
      enum intr_level old_level = intr_disable ();
      pit_configure_channel (2, 3, frequency);
     outb (SPEAKER PORT GATE, inb (SPEAKER PORT GATE) | SPEAKER GATE ENABLE);
      intr_set_level (old_level);
  else
      /* FREQUENCY is outside the range of normal human hearing.
         Just turn off the speaker. */
      speaker_off ();
/* Turn off the PC speaker, by disconnecting the timer channel's
   output from the speaker. */
void
speaker off (void)
  enum_intr_level old_level = intr_disable ();
 outb (SPEAKER_PORT_GATE, inb (SPEAKER_PORT_GATE) & ~SPEAKER_GATE_ENABLE);
  intr_set_level (old_level);
```

### Pintos: threads/io.h

```
/* Reads and returns a byte from PORT. */
     static inline uint8 t
    inb (uint16_t port)
      /* See [IA32-v2a] "IN". */
11
12
      uint8 t data;
       asm volatile ("inb %w1, %b0" : "=a" (data) : "Nd" (port));
      return data;
15
     /* Writes byte DATA to PORT. */
     static inline void
     outb (uint16 t port, uint8 t data)
       /* See [IA32-v2b] "OUT". */
       asm volatile ("outb %b0, %w1" : : "a" (data), "Nd" (port));
70
```

# Example: Memory-Mapped Display Controller

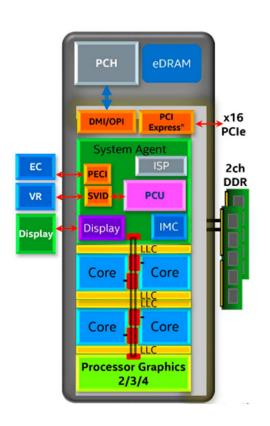
- Memory-Mapped:
  - Hardware maps control registers and display memory into physical address space
    - » Addresses set by HW jumpers or at boot time
  - Simply writing to display memory (also called the "frame buffer") changes image on screen
    - » Addr: 0x8000F000 0x8000FFFF
  - Writing graphics description to cmd queue
    - » Say enter a set of triangles describing some scene
    - » Addr: 0x80010000 0x8001FFFF
  - Writing to the command register may cause on-board graphics hardware to do something
    - » Say render the above scene
    - » Addr: 0x0007F004
- Can protect with address translation



# There's more than just a CPU in there!

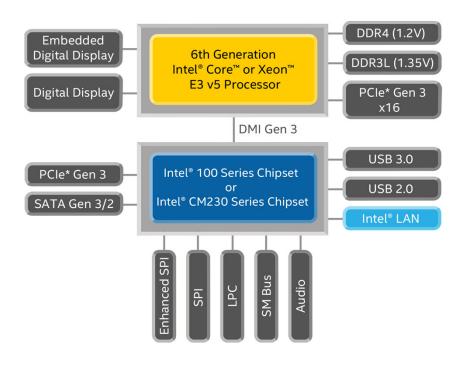


# Chip-scale Features of 2015 x86 (Sky Lake)



- Significant pieces:
  - Four OOO cores with deeper buffers
    - » Intel MPX (Memory Protection Extensions)
    - » Intel SGX (Software Guard Extensions)
    - » Issue up to 6  $\mu$ -ops/cycle
  - GPU, System Agent (Mem, Fast I/O)
  - Large shared L3 cache with on-chip ring bus
    - » 2 MB/core instead of 1.5 MB/core
    - » High-BW access to L3 Cache
- Integrated I/O
  - Integrated memory controller (IMC)
    - » Two independent channels of DRAM
  - High-speed PCI-Express (for Graphics cards)
  - Direct Media Interface (DMI) Connection to PCH (Platform Control Hub)

# Sky Lake I/O: PCH



Sky Lake System Configuration

### Platform Controller Hub

- Connected to processor with proprietary bus
  - » Direct Media Interface
- Types of I/O on PCH:
  - USB, Ethernet
  - Thunderbolt 3
  - Audio, BIOS support
  - More PCI Express (lower speed than on Processor)
  - SATA (for Disks)

# Operational Parameters for I/O

- Data granularity: Byte vs. Block
  - Some devices provide single byte at a time (e.g., keyboard)
  - Others provide whole blocks (e.g., disks, networks, etc.)
- Access pattern: Sequential vs. Random
  - Some devices must be accessed sequentially (e.g., tape)
  - Others can be accessed "randomly" (e.g., disk, cd, etc.)
    - » Fixed overhead to start transfers
  - Some devices require continual monitoring
  - Others generate interrupts when they need service
- Transfer Mechanism: Programmed IO and DMA

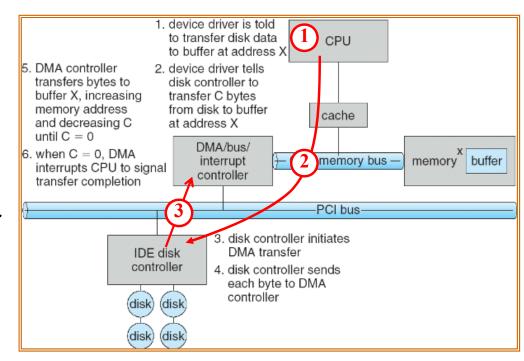
# Transferring Data To/From Controller

### Programmed I/O:

- Each byte transferred via processor in/out or load/store
- Pro: Simple hardware, easy to program
- Con: Consumes processor cycles proportional to data size

### Direct Memory Access:

- Give controller access to memory bus
- Ask it to transfer data blocks to/from memory directly
- Sample interaction with DMA controller (from OSC book):



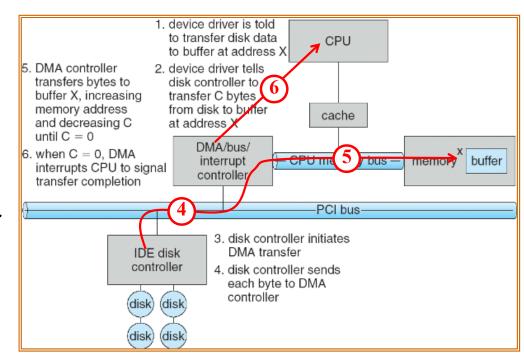
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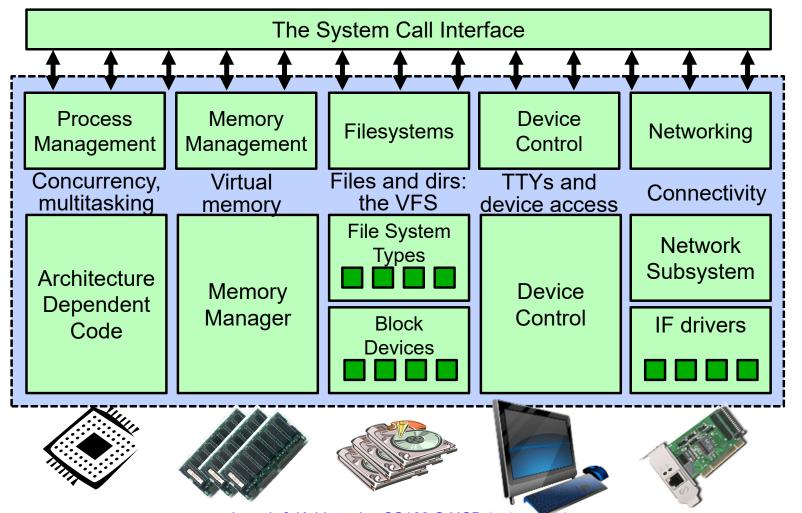
- Give controller access to memory bus
- Ask it to transfer data blocks to/from memory directly
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# I/O Device Notifying the OS

- The OS needs to know when:
  - The I/O device has completed an operation
  - The I/O operation has encountered an error
- I/O Interrupt:
  - Device generates an interrupt whenever it needs service
  - Pro: handles unpredictable events well
  - Con: interrupts relatively high overhead
- Polling:
  - OS periodically checks a device-specific status register
    - » I/O device puts completion information in status register
  - Pro: low overhead
  - Con: may waste many cycles on polling if infrequent or unpredictable I/O operations
- Actual devices combine both polling and interrupts
  - For instance High-bandwidth network adapter:
    - » Interrupt for first incoming packet
    - » Poll for following packets until hardware queues are empty

# Kernel Device Structure

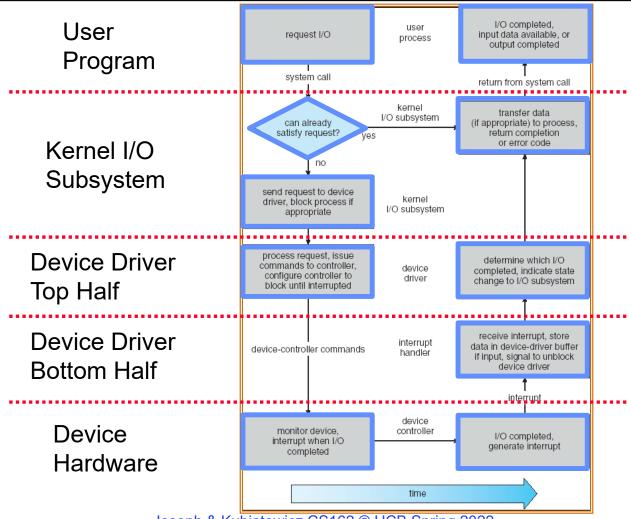


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### Recall: Device Drivers

- Device Driver: Device-specific code in the kernel that interacts directly with the device hardware
  - Supports a standard, internal interface
  - Same kernel I/O system can interact easily with different device drivers
  - Special device-specific configuration supported with the ioctl() system call
- Device Drivers typically divided into two pieces:
  - Top half: accessed in call path from system calls
    - » implements a set of standard, cross-device calls like open(), close(), read(),
       write(), ioctl(), strategy()
    - » This is the kernel's interface to the device driver
    - » Top half will start I/O to device, may put thread to sleep until finished
  - Bottom half: run as interrupt routine
    - » Gets input or transfers next block of output
    - » May wake sleeping threads if I/O now complete

# Recall: Life Cycle of An I/O Request



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### Conclusion

- I/O Devices Types:
  - Many different speeds (0.1 bytes/sec to GBytes/sec)
  - Different Access Patterns:
    - » Block Devices, Character Devices, Network Devices
  - Different Access Timing:
    - » Blocking, Non-blocking, Asynchronous
- I/O Controllers: Hardware that controls actual device
  - Processor Accesses through I/O instructions, load/store to special physical memory
- Notification mechanisms
  - Interrupts
  - Polling: Report results through status register that processor looks at periodically
- Device drivers interface to I/O devices
  - Provide clean Read/Write interface to OS above
  - Manipulate devices through PIO, DMA & interrupt handling
  - Three types: block, character, and network