

Q : There're more real numbers in $[0, \frac{1}{10000}]$ than all rational numbers.

- True
- False

1. Cardinality of sets

Def Let S be a set. If there are exactly $n \in \mathbb{N}$ distinct elements in S , we say S is a finite set with cardinality n .

Notation. $|S|$ denotes cardinality of S .

$$A = \{0, 1, 2, 3, 4\}$$

- E.g.**
- $A = \{n \in \mathbb{N} \mid n < 5\}, |A| = 5$.
 - $|\emptyset| = 0$
 - $|\{0\}| = 1$

Def A set is said to be infinite if it is not finite.

Recall : $f: A \rightarrow B$ A : labelled balls, B : labelled bins.

Def Two sets A and B have the same cardinality, written $|A| = |B|$, if there is a bijection from A to B .

E.g. Let S be the set of even integers. Prove that $|S| = |\mathbb{Z}|$.

Pf: $S = \{\dots, -4, -2, 0, 2, 4, \dots\}$

$$\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$$

Consider $f: \mathbb{Z} \rightarrow S$ such that $f(n) = 2n$.

① [To show f is injective] $f(a) = f(b) \Rightarrow a = b$.

Suppose $f(a) = f(b)$ for some $a, b \in \mathbb{Z}$.

Thus, $2a = 2b \Rightarrow a = b$.

Thus, f is injective.

② [To show f is surjective] $\mathbb{Z} \xrightarrow{f} S$

Let $s \in S$.

? $\mapsto s$

Then $\frac{1}{2}s \in \mathbb{Z}$. Furthermore, $f(\frac{1}{2}s) = 2 \cdot \frac{1}{2}s = s$.

Thus, f is surjective. \square

Def. A set that is finite or has the same cardinality as \mathbb{N} is called countable.

Rem. An infinite set S is countable if we can list elements in S in a sequence a_0, a_1, a_2, \dots because $f: \mathbb{N} \rightarrow S$ given by $f(n) = a_n$ is a bijection.

E.g. \mathbb{Z} is countable.

0, 1, -1, 2, -2, 3, -3, ...

- The set of finite length bit strings is countable.

0, 1, 00, 01, 10, 11, 000, 001, 010, ...

$$|A| \leq |B|$$

Thm. (Schröder - Bernstein) If there exist injections $f: A \rightarrow B$ and $g: B \rightarrow A$ between sets A and B , then there exists a bijection $h: A \rightarrow B$.

[Cor.] \mathbb{Q}^+ is countable.

{0, 1, 2, ...}

Pf: Obviously, there's an injection from \mathbb{N} to $\underline{\mathbb{Q}^+}$.

We need to find an injection from \mathbb{Q}^+ to \mathbb{N} .

Recall that $\mathbb{Q}^+ = \{ \frac{p}{q} \mid p, q \in \mathbb{Z}^+ \}$.

$$\begin{array}{ccccccc} a_0 & \left(\frac{1}{1} \right) & \xrightarrow{a_1} & \frac{2}{1} & \xrightarrow{a_5} & \frac{3}{1} & \xrightarrow{a_4} \dots \\ & \downarrow & & \downarrow & & \downarrow & \\ a_2 & \frac{1}{2} & \xrightarrow{a_2} & \frac{2}{2} & \xrightarrow{a_4} & \frac{3}{2} & \xrightarrow{a_2} \dots \\ & \downarrow & & \downarrow & & \downarrow & \\ a_3 & \frac{1}{3} & \xrightarrow{a_3} & \frac{2}{3} & \xrightarrow{a_3} & \frac{3}{3} & \xrightarrow{a_4} \dots \\ & \downarrow & & \downarrow & & \downarrow & \\ \frac{1}{4} & \xrightarrow{a_1} & \frac{2}{4} & \xrightarrow{a_2} & \frac{3}{4} & \xrightarrow{a_4} & \dots \end{array}$$

so $q \mapsto \min\{n : a_n = q\}$ is an injection from \mathbb{Q}^+ to \mathbb{N} .
 $\Rightarrow |\mathbb{Q}^+| = |\mathbb{N}|$. □.

Rem. It follows that \mathbb{Q} is countable as well.

1.1 Cantor diagonalization argument

Thm \mathbb{R} is uncountable.

Pf: Assume \mathbb{R} is countable.

Since $[0,1] \subset \mathbb{R}$, $[0,1]$ is countable. (Pf. exercise.)

List elements in $[0,1]$ as r_0, r_1, r_2, \dots .

Let the decimal representation of them as.

$$r_0 = 0. d_{00} d_{01} d_{02} \dots$$

$$r_0 = 0.00000 \dots$$

$$r_1 = 0. d_{10} d_{11} d_{12} \dots$$

$$r_1 = 0.1415926 \dots$$

$$r_2 = 0. d_{20} d_{21} d_{22} \dots$$

$$r_2 = 0.326 \dots$$

:

:

Form a real number with decimal expansion $r = 0.100 \dots$

$$r = 0. d_0 d_1 d_2 \dots$$

Such that $d_i = \begin{cases} 1 & \text{if } d_{ii} \xrightarrow{\text{i}^{\text{th}} \text{ digit of } r_i} \\ 0 & \text{if } d_{ii} \neq 0 \end{cases}$

Then r differs at the i^{th} digit with r_i , so $\forall i, r \neq r_i$.

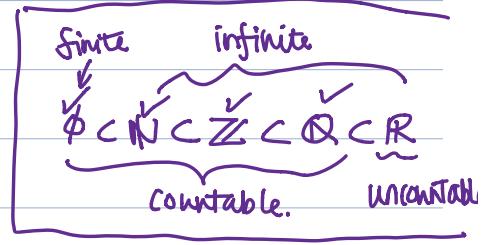
$\Rightarrow r$ is a real number not on our list.

Hence, $[0,1]$ is not countable, so \mathbb{R} is not countable. \square .

Rem. Similarly, the set of infinite length bit strings is uncountable.

Rem. Be careful with uncountable sets!

$$\sum_{n=1}^{\infty} \left(\frac{1}{2}\right)^n = 1. \quad \text{However } \sum_{r \in \mathbb{R}} x_r = \infty. \quad x_r > 0$$



2. Uncomputable Functions

Def A function is computable if there is a computer program in some programming language that finds the value of this function.

Thm There are uncomputable functions.

Pf: Claim: There're uncountably many functions from \mathbb{N} to \mathbb{N} .

Pf: Suppose there're countably many functions from \mathbb{N} to \mathbb{N} .

	0	1	2	...
f_0	$f_0(0)$	$f_0(1)$	$f_0(2)$...
f_1	$f_1(0)$	$f_1(1)$	$f_1(2)$...
f_2	$f_2(0)$	$f_2(1)$	$f_2(2)$...
:				

$$f = f_0(0) + 1 \ f_1(1) + 1 \ f_2(2) + 1.$$

$f : \mathbb{N} \rightarrow \mathbb{N}$ not on our list.

$\Rightarrow \dots \dots$ conclude,

□.

A computer program is a bit string with finite length.

$\Rightarrow \{ \text{computer programs} \}$ is countable.

\Rightarrow there're are uncomputable functions.

□.

	P ₀	P ₁	P ₂	...	Turing
P ₀	L	H	H	...	
P ₁	H	H	L		
P ₂	L	L	L		
Turing	:	:	:		□

2.1 uncomputable function: an example

program
↓
input

Define $\text{TestHalt}(P, x) = \begin{cases} \text{"yes"} & \text{if program } P \text{ halts on input } x \\ \text{"no"} & \text{if program } P \text{ loops on input } x \end{cases}$

Thm TestHalt is uncomputable.

PF: Assume TestHalt is computable.

Define

$$\text{Turing}(P) = \begin{cases} \text{loop forever.} & \text{if } \text{TestHalt}(P, P) = \text{"yes"} \\ \text{halt} & \text{if } \text{TestHalt}(P, P) = \text{"no"} \end{cases}$$

What is Turing(Turing) ?

If $\text{Turing}(\text{Turing})$ halts ,

$\Rightarrow \text{TestHalt}(\text{Turing}, \text{Turing}) = \text{"no"}$.

$\Rightarrow \text{Turing}(\text{Turing})$ loops forever

If $\text{Turing}(\text{Turing})$ loops forever ,

$\Rightarrow \dots$

$\Rightarrow \dots$

□.

Rem. A common strategy to show a program P is uncomputable

is using P to implement testhalt.

i.e. "reducing TestHalt to P".

P computable \Rightarrow TestHalt computable.

Prop: A is countable. Given $B \subseteq A$, then B is countable.

Pf: The statement obviously holds if A or B is finite.

So assume A, B are infinite.

A is countable $\Rightarrow \exists$ bijection $f: A \rightarrow \mathbb{N}$

Restrict f on $B \subseteq A$ to get $f: B \rightarrow \mathbb{N}$, an injection.

Then $f: B \rightarrow \underline{f(B)}$ is a bijection.

\mathbb{N}

Claim: An infinite subset N of \mathbb{N} is countable.

Pf (of the claim): Define $g: \mathbb{N} \rightarrow N$ recursively by

$$\begin{cases} g(0) = \min N, \\ g(n+1) = \min \{n \in \mathbb{N} \mid n > g(n)\}. \end{cases}$$

Then by construction, $g(\mathbb{N})$ is a bijection.

Since $f(B)$ is an infinite subset of \mathbb{N} , by the claim, $f(B)$ is countable, i.e. there exists a bijection $g: f(B) \rightarrow \mathbb{N}$.

Thus, $g \circ f: B \rightarrow \mathbb{N}$ is a bijection, i.e. B is countable.

$$B \xrightarrow{f} f(B) \xrightarrow{g} \mathbb{N}$$