

## Homework 1: Algorithm Efficiency and Sorting

### Question-1

(a) Show that  $5n^3 + 4n^2 + 10$  is  $O(n^4)$  by specifying appropriate  $c$  and  $n_0$  values in Big-O definition:

We need to find two positive  $c$  and  $n_0$  values that hold for:

$$0 \leq 5n^3 + 4n^2 + 10 \leq c \cdot n^4 \text{ for all } n \geq n_0$$

and

$$\frac{5}{n} + \frac{4}{n^2} + \frac{10}{n^4} \leq c \text{ for all } n \geq n_0$$

If we choose,  $c = 5$  and  $n_0 = 2$  we get:

$$2.5 + 1 + 0.625 = 4.125 \leq 5$$

Thus,

$$5n^3 + 4n^2 + 10 \leq 5n^4 \text{ for all } n \geq 2$$

It can be said that  $5n^3 + 4n^2 + 10$  is  $O(n^4)$

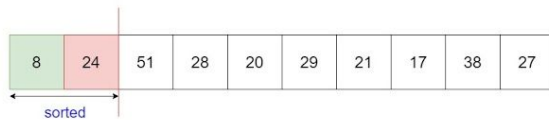
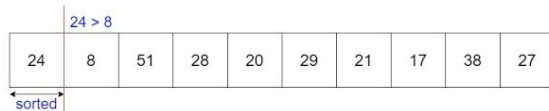
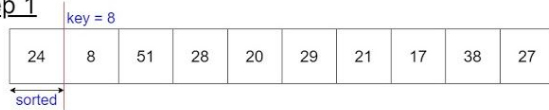
(b) Trace the following sorting algorithms to sort the array

[ 24, 8, 51, 28, 20, 29, 21, 17, 38, 27 ]

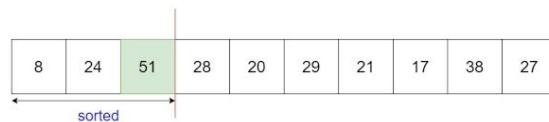
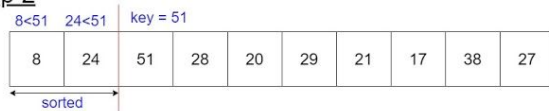
in ascending order. Use the array implementation of the algorithms as described in the textbook and show all major steps.

### - Insertion sort:

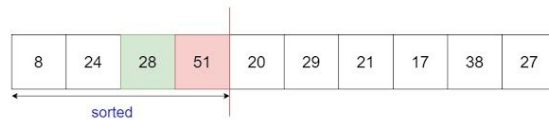
#### Step 1



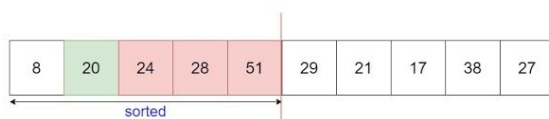
#### Step 2



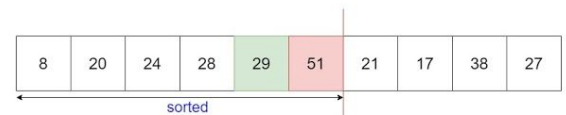
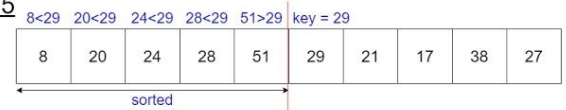
#### Step 3



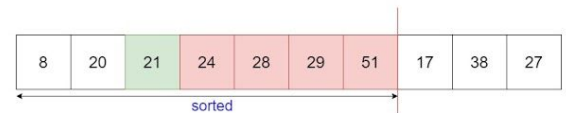
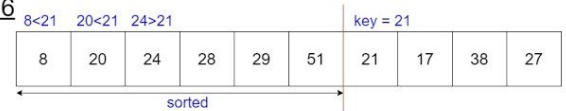
#### Step 4



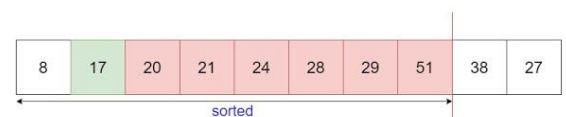
#### Step 5



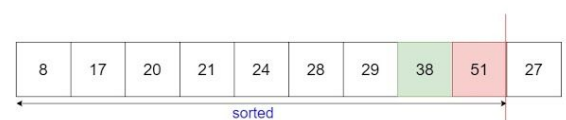
#### Step 6



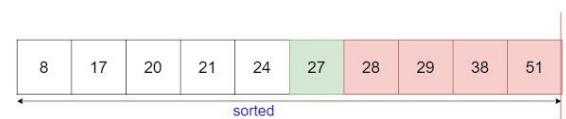
#### Step 7



#### Step 8

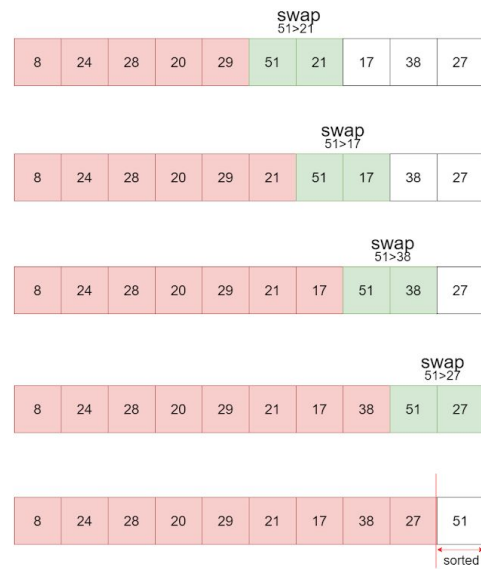


#### Step 9

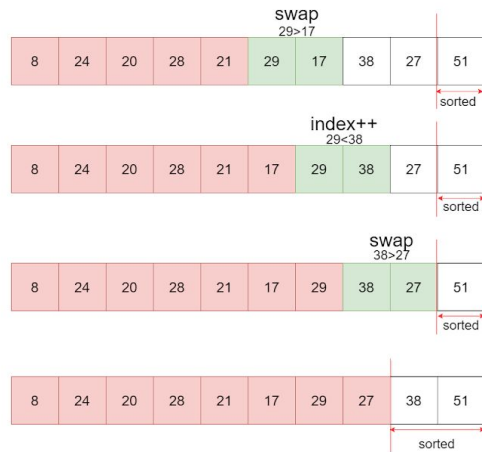


## - Bubble sort:

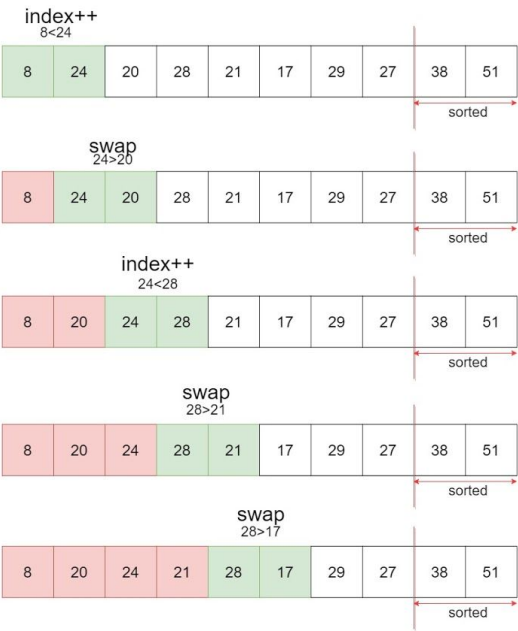
### Step 1



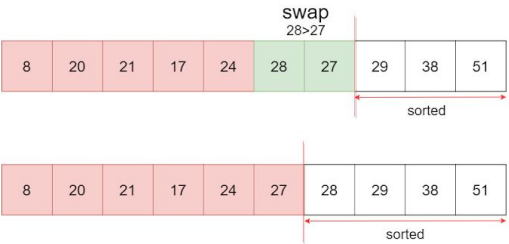
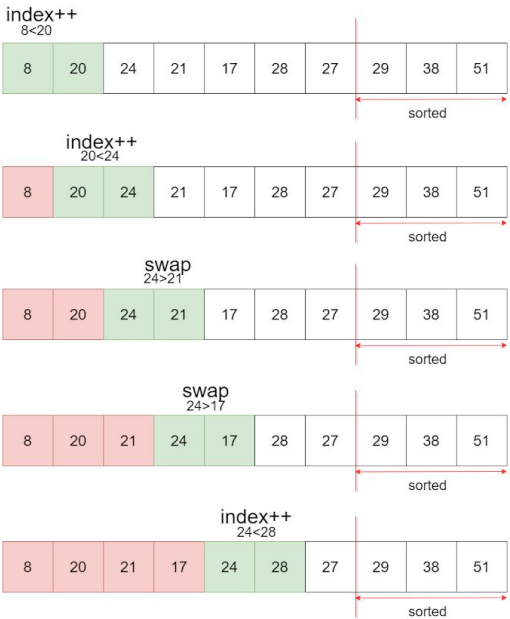
### Step 2



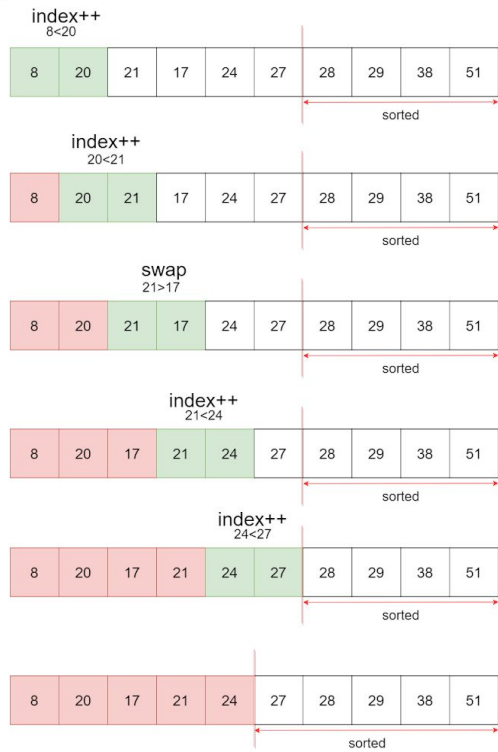
**Step 3**



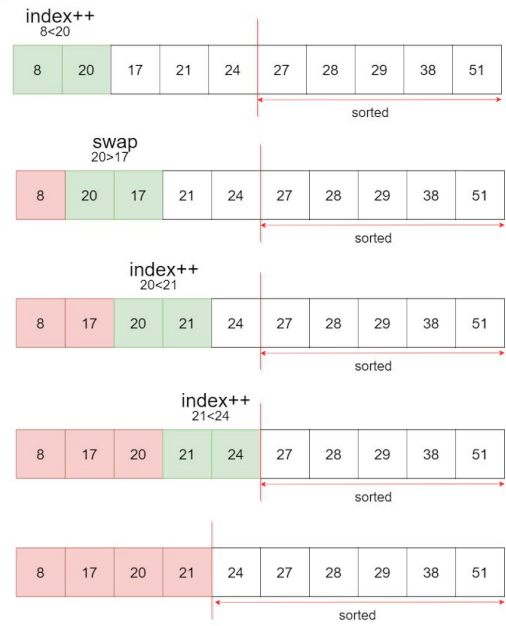
**Step 4**



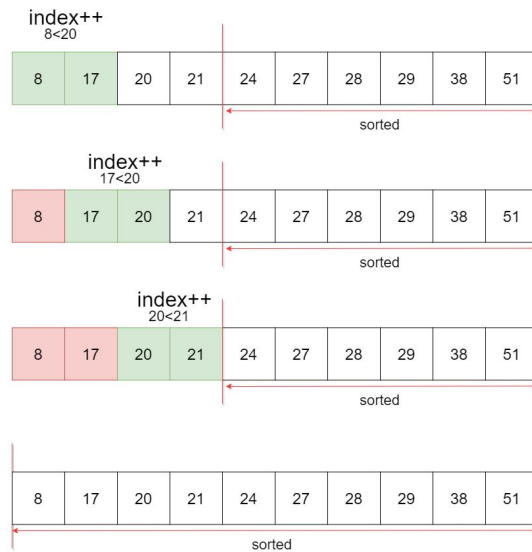
### Step 5



### Step 6

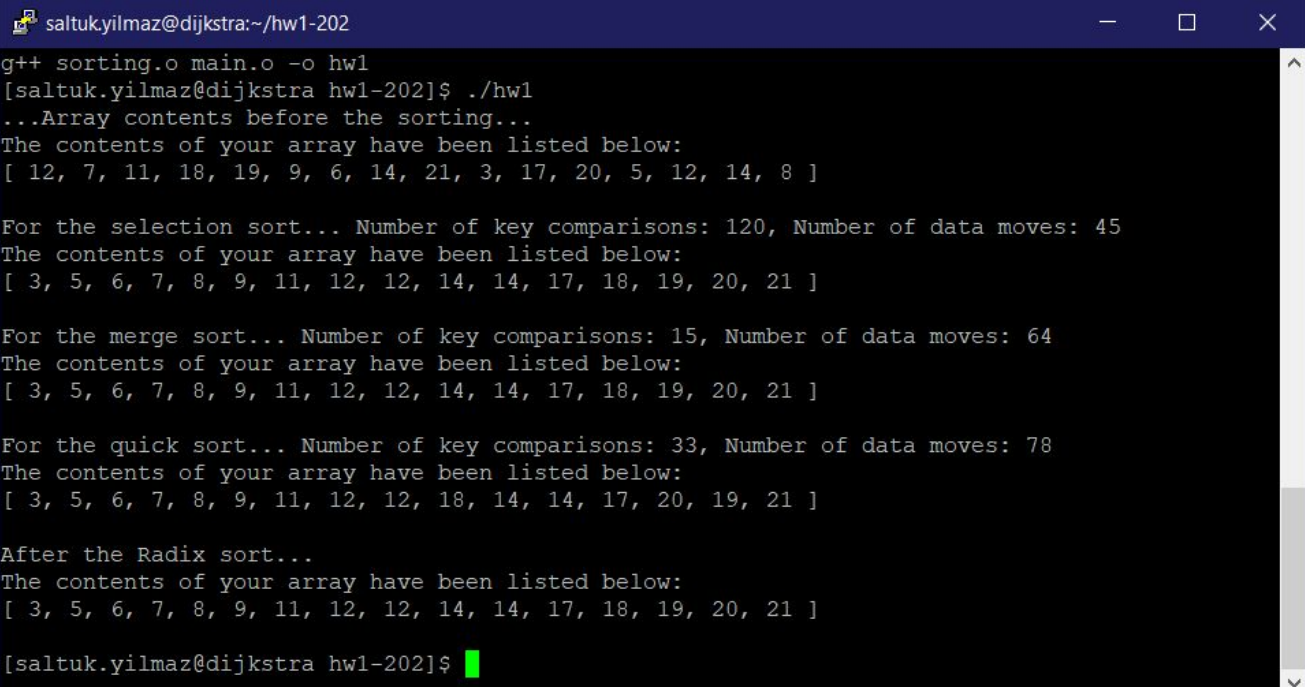


### Step 7



## Question-2

### Console Screenshot for Executable Run:



```
saltukyilmaz@dijkstra:~/hw1-202
g++ sorting.o main.o -o hw1
[saltuk.yilmaz@dijkstra hw1-202]$ ./hw1
...Array contents before the sorting...
The contents of your array have been listed below:
[ 12, 7, 11, 18, 19, 9, 6, 14, 21, 3, 17, 20, 5, 12, 14, 8 ]

For the selection sort... Number of key comparisons: 120, Number of data moves: 45
The contents of your array have been listed below:
[ 3, 5, 6, 7, 8, 9, 11, 12, 12, 14, 14, 17, 18, 19, 20, 21 ]

For the merge sort... Number of key comparisons: 15, Number of data moves: 64
The contents of your array have been listed below:
[ 3, 5, 6, 7, 8, 9, 11, 12, 12, 14, 14, 17, 18, 19, 20, 21 ]

For the quick sort... Number of key comparisons: 33, Number of data moves: 78
The contents of your array have been listed below:
[ 3, 5, 6, 7, 8, 9, 11, 12, 12, 18, 14, 14, 17, 20, 19, 21 ]

After the Radix sort...
The contents of your array have been listed below:
[ 3, 5, 6, 7, 8, 9, 11, 12, 12, 14, 14, 17, 18, 19, 20, 21 ]

[saltuk.yilmaz@dijkstra hw1-202]$
```

### Output of performanceAnalysis Function:

```
-----  
----- For Random Arrays -----  
-----  
Analysis of Selection Sort  
Array Size      Elapsed Time      compCount      moveCount  
6000            47 ms            17997000       17997  
10000           130 ms            49995000       29997  
14000           245 ms            97993000       41997  
18000           398 ms            161991000      53997  
22000           591 ms            241989000      65997  
26000           842 ms            337987000      77997  
30000           1104 ms           449985000      89997  
-----  
Analysis of Merge Sort  
Array Size      Elapsed Time      compCount      moveCount  
6000            2 ms             5999           75808  
10000           2 ms             9999           133616  
14000           3 ms            13999           193616  
18000           4 ms            17999           255232  
22000           6 ms            21999           319232  
26000           7 ms            25999           383232  
30000           9 ms            29999           447232  
-----  
Analysis of Quick Sort  
Array Size      Elapsed Time      compCount      moveCount  
6000            1 ms             80006          137280  
10000           1 ms            141292          220956  
14000           3 ms            206828          327126  
18000           3 ms            287029          509625  
22000           4 ms            348736          616038  
26000           4 ms            425277          733065  
30000           5 ms            490029          740703  
-----  
Analysis of Radix Sort  
Array Size      Elapsed Time  
6000            2 ms  
10000           4 ms  
14000           5 ms  
18000           6 ms  
22000           8 ms  
26000           9 ms  
30000           11 ms  
-----
```



```

-----
----- For Ascending Arrays -----
-----
Analysis of Selection Sort
Array Size      Elapsed Time      compCount      moveCount
6000            65 ms            17997000      17997
10000           152 ms           49995000      29997
14000           291 ms           97993000      41997
18000           479 ms          161991000      53997
22000           718 ms          241989000      65997
26000           990 ms          337987000      77997
30000          1329 ms          449985000      89997
-----
Analysis of Merge Sort
Array Size      Elapsed Time      compCount      moveCount
6000            0 ms             5999           75808
10000           1 ms             9999           133616
14000           2 ms            13999           193616
18000           4 ms            17999           255232
22000           6 ms            21999           319232
26000           7 ms            25999           383232
30000           9 ms            29999           447232
-----
Analysis of Quick Sort
Array Size      Elapsed Time      compCount      moveCount
6000            33 ms            9000000        9000
10000           74 ms           25000000       15000
14000          131 ms           49000000       21000
18000          215 ms           81000000       27000
22000          322 ms          121000000       33000
26000          461 ms          169000000       39000
30000          591 ms          225000000       45000
-----
Analysis of Radix Sort
Array Size      Elapsed Time
6000            1 ms
10000           2 ms
14000           4 ms
18000           5 ms
22000           6 ms
26000           8 ms
30000          10 ms
-----

```



----- For Descending Arrays -----

Analysis of Selection Sort

Array Size	Elapsed Time	compCount	moveCount
6000	57 ms	17997000	17997
10000	137 ms	49995000	29997
14000	259 ms	97993000	41997
18000	421 ms	161991000	53997
22000	631 ms	241989000	65997
26000	890 ms	337987000	77997
30000	1207 ms	449985000	89997

Analysis of Merge Sort

Array Size	Elapsed Time	compCount	moveCount
6000	1 ms	5999	75808
10000	1 ms	9999	133616
14000	2 ms	13999	193616
18000	4 ms	17999	255232
22000	4 ms	21999	319232
26000	6 ms	25999	383232
30000	7 ms	29999	447232

Analysis of Quick Sort

Array Size	Elapsed Time	compCount	moveCount
6000	69 ms	12000000	18015000
10000	172 ms	33333333	50025000
14000	330 ms	65333333	98035002
18000	543 ms	108000000	162045000
22000	753 ms	161333333	242055000
26000	1157 ms	225333333	338065002
30000	1540 ms	300000000	450075000

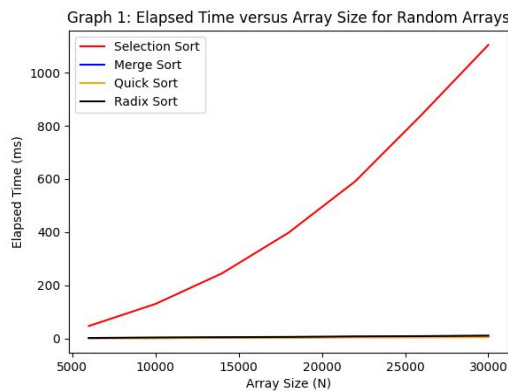
Analysis of Radix Sort

Array Size	Elapsed Time
6000	1 ms
10000	4 ms
14000	4 ms
18000	5 ms
22000	7 ms
26000	8 ms
30000	10 ms

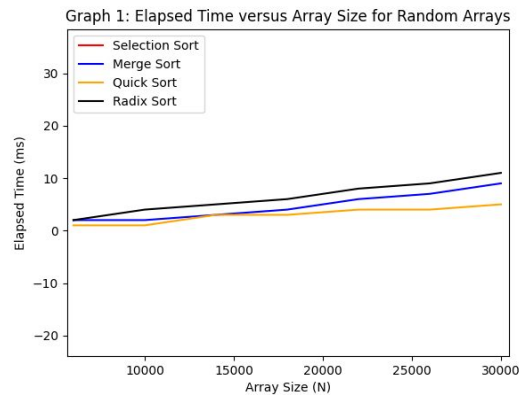
Process returned 0 (0x0) execution time : 18.017 s

Press any key to continue.

### Question-3



(Graph 1: Elapsed Time versus Array Size for Random Arrays)



(Close up version of Graph 1 since Merge, Quick and Radix Sort Algorithms cannot be differentiated from each other in bigger scale)

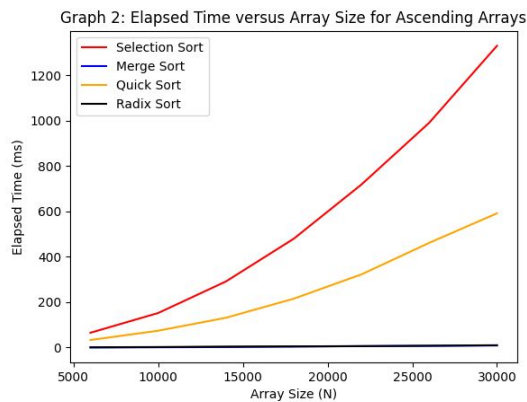
#### In theory:

- Selection Sort Algorithm has  $O(n^2)$  time complexity for the worst, average and best cases.
- Merge Sort Algorithm has  $O(n \log n)$  time complexity for the worst, average and best cases.
- Quick Sort Algorithm has  $O(n^2)$  time complexity for the worst case and has  $O(n \log n)$  for the best and average cases.
- Finally, Radix Sort Algorithm has  $O(n)$  time complexity for the worst, average and best cases.

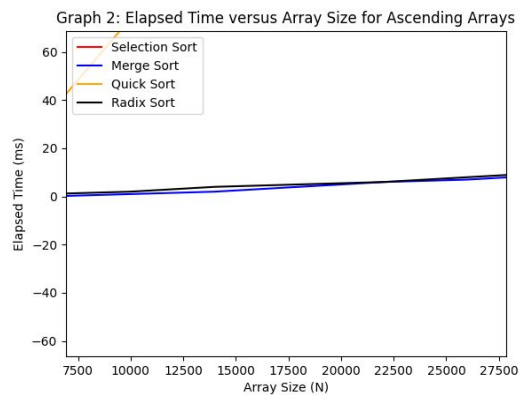
The run with the random arrays corresponds to the average case scenario for these four algorithms since arrays are randomly generated and it is not clear how many key comparisons and data moves are needed before the run.

If we examine the graph we can see that Selection Sort line is behaving as a parabola which is  $n^2$ . The empirical result is coherent with the theoretical result for the selection sort for the first case. For the other three algorithms we can say that Quick and Merge Sort algorithms behave similarly and their lines are intersecting at some points. However, in theory the elapsed time for Radix Sort should have been lesser than the Quick and Merge Sort algorithms. This difference might be about my computer's hardware and my operating system. It might also be about the array sizes I have used, for greater array sizes the results will obey the theory since for some inputs  $n \log n$  is lesser than  $n$ .

If I plot the Graph 1 again for ascending and descending arrays I obtain:



(Graph 2: Elapsed Time versus Array Size for Ascending Arrays)

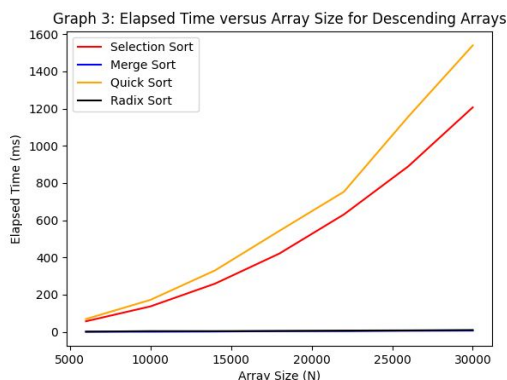


(Close up version of Graph 2 since Merge and Radix Sort Algorithms cannot be differentiated from each other in bigger scale)

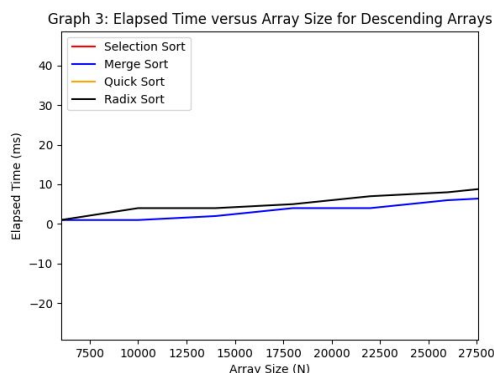
Selection sort behaves similarly to the first run with random arrays and fits the  $O(n^2)$ . However, this time quick sort is more similar to  $O(n^2)$ . Already sorted arrays and choosing the first element as pivot mean the worst case for the quick sort algorithm since the key comparisons take  $\frac{n^2}{2} - \frac{n}{2}$  in other words  $O(n^2)$  and data moves take  $\frac{n^2}{2} + \frac{n}{2} - 1$  (also  $O(n^2)$ ). Also Radix and Merge Sort algorithms are similar to the first run.

If we examine Graph 2, Selection sort is nearly the same with Graph 1. Quick sort is similar to  $n^2$  but its growth rate is lesser than the growth rate of Selection sort. For greater array sizes, it may approximate the selection sort more. Moreover, it can be said that Radix sort and Merge sort intersect at more than one point and at some points Merge sort is greater than Radix sort as the theory suggested for greater array sizes it will exceed the Radix sort clearly.

For descending Arrays:



(Graph 3: Elapsed Time versus Array Size for Descending Arrays)



(Close up version of Graph 3 since Merge and Radix Sort Algorithms cannot be differentiated from each other in bigger scale)

In this run, all algorithms except Quick sort behaves similarly to other outputs. For descending arrays the Quick sort definitely behaves with  $O(n^2)$  time complexity and it has a greater growth rate than the Selection sort. It can be said that this is another worst case for the Quick sort.