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## ⇒ Stream Ciphers - Week 1 (Nov 6, 2012)

A cipher is a pair  $(E, D)$  over  $(K, M, C) \rightarrow$  Set of all possible cipher-text  
where

$E: K \times M \rightarrow C$       Set of all possible messages  
 $D: K \times C \rightarrow M$        $\forall m \in M, \forall k \in K \quad D(k, E(k, m)) = m$       all "useful" ciphers must satisfy consistency requirement

$E$  &  $D$  are efficient algorithms,  $E$  is randomized and  $D$  is usually deterministic.

OTP

One-time pad:  $M = C = \{0, 1\}^n = K$        $E = D = \oplus$  <sup>× non</sup>

Vernam - 1917 - is a very secure cipher - cannot be broken by a ciphertext-only attack. Not practical because the key length is too long. Shannon 1949 → defined what it means to be a "good cipher"

A good/secure cipher is one where looking at the cipher text does not give you any information about the plaintext. Shannon formalized this intuition as:

Cipher  $(E, D)$  over  $(K, M, C)$  has "perfect secrecy" if:-

$\forall m_0, m_1 \in M$  where  $\text{len}(m_0) = \text{len}(m_1)$  and  $c \in C$

$$\Pr[E(k, m_0) = c] = \Pr[E(k, m_1) = c] \text{ where } k \xleftarrow{R} K$$

random variable

OTP has perfect secrecy:

$$\Pr[E(k, m) = c] = \# \text{ keys } k \in K \text{ such that } E(k, m) = c.$$

$\downarrow$  if  $m$  is a variable  $\uparrow |K| \leftarrow$  not a variable

if the no. of keys that generates a given cipher text from a given plaintext is constant, then this  $\Pr$  will be fixed and equal.

For OTP the no. is  $\frac{1}{|K|}$ .

Shannon also proved

Perfect secrecy requires

$$|K| \geq |M|$$

for OTP  $|K| = |M|$

optimal perfect secrecy cipher

## STREAM CIPHERS &amp; PSEUDO RANDOM GENERATORS (Nov 6, 2012)

A stream cipher is a practical implementation of OTP. Instead of using a random key we use a pseudo-random key using a PR generator.

$$\text{PRG} \Leftrightarrow G: \{0,1\}^s \rightarrow \{0,1\}^n \quad \text{where } n \gg s$$

deterministic algorithm that takes a "seed" of length  $s$  and generates a bit stream of length  $n$   
 $G$  must be efficient + deterministic (source of randomness is only the seed)

C:  $(E, D)$  where

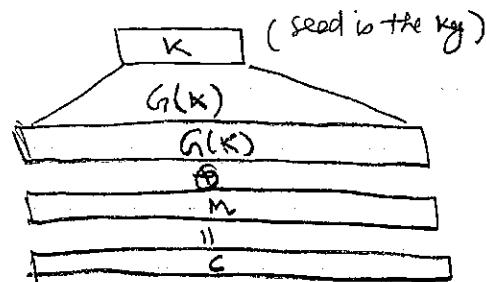
$$E := E(K, m) = m \oplus G(K)$$

$$D := D(K, c) = c \oplus G(K)$$

note  $K$  length  $\leq m$  length so there is no perfect secrecy

must not

Quality of PRG is important: be Predictable. A PRG is predictable if given the first  $i$  bits of its output we can compute its  $i+1^{th}$  bits.



$G: K \rightarrow \{0,1\}^n$  is predictable if

$\exists$  "efficient" algorithm  $A$  and  $\exists i, 1 \leq i \leq n-1$  such that

$$\Pr_{K \in K} [A(G(K)|_{i+1}) = G(K)|_{i+1}] \geq 1/2 + \epsilon$$

where  $\epsilon$  is non-negligible; practically  $\epsilon \leq 1/2^{32}$

PRG is unpredictable if  $\forall i$ : no efficient can predict  $i+1^{th}$  bit with  $\epsilon^{\text{negligible}}$

Linear congruential Generator (LCG) weak PRG | random number based on LCG use. DONOT

Theoretically  $\epsilon: \mathbb{Z}^{>0} \rightarrow \mathbb{R}^{>0}$

$\epsilon$  is non negligible if  $\exists d: \epsilon(\mathbb{Z}) \geq 1/\mathbb{Z}^d$  for infinitely many values of  $\mathbb{Z}$  chosen

i.e. it is a function that will take infinitely many values greater than the inverse of a  $d^{th}$  degree polynomial

## ONE TIME PAD ATTACKS

Nov 7 2012

ONE MESSAGE  $\Rightarrow$  ONE KEY

Stream ciphers are approximations of the OTP by replacing the impractically long keys with a PRG (Pseudo random Generator) and a short key (Seed)

$$G: K \rightarrow \{0,1\}^n \quad E = E(k, m) = m \oplus G_k(n), \quad D = D(k, c) = c \oplus G_k(n)$$

Attack 1: One time pads must be used only "once". Two messages xored with same pad (or its approximation, same output of  $G$ ) can be xored to get an xor of plaint

- MS-PPPoE (peer to peer Transfer protocol): Client and server use the same OTP which means requests & responses are encrypted with same PR seed. for client & server remember to keep separate keys for client & servers.

a key pair must be used - one for client's usage one for servers.

- 802.11b WEP: bad usage of stream ciphers:

OTP is MALLEABLE

WANTED { - wanted to use different keys for different messages  
to use different key for every packet { - but, the way they differentiated keys was wrong  
but did not { - keys were changed by app's prefixing a 24 bit IV to the 104 bit key. The IV served as a counter, started at 0, incremented with each packet.  
- power reuse re-initializes IV to 0. It anyway repeats after  $2^{24}$  packets

WEP - had other problems. The different keys are similar (they have the same 104 bits at the end). For the PRG used in WEP keys. For this PRG, with the keys used (related) if we capture 1M packets, the key can be derived.

WEP is broken because: it uses one time pad

+ its key can be derived if sufficient packets are captured.

A better design would have used the long term key to generate a PRG value and the output of the PRG should have been used  $\oplus$  as the seed for different generator and for different keys.

DISK ENCRYPTION - with stream ciphers

is a bad idea because when files are edited we can effectively get multiple blocks encrypted with different

with the same OTP. Effectively edit/save will lead to 2TP.

BIGGER PROBLEM WITH OTP - OTP is MALLEABLE (does not guarantee integrity)

modifications to ciphertext are undetectable and can be tweaked to modify the meaning of the message and the perturbation  $c \oplus p$  - will lead to a different message to be decrypted



RC4: Quite popular, takes 128 bit seed (variable length also supported) and expands it to 2048 bits. Then these bits are looped over to generate bytes of random data. One byte per round. Has several weaknesses and is not recommended:

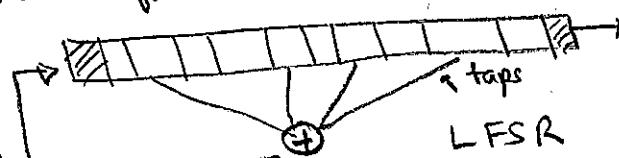
- Bias in initial output: more likely to be 0's than 1's. (workaround is to ignore first 256 bytes)
- After a while (0, 0) are more likely than they should be
- If used with related keys - then it is possible to recover the root key  
(As is done in WEP)

### Content Scrambling System

LFSR / CSS - Linear Feedback Shift Register - Hardware implementation of a PRG  
Seed is the initial bit sequence in the register. At every round the register is shifted right one bit falls off, an XOR of a few bits is fed to the left.

- DVD Encryption, GSM, Bluetooth

hardware encryption prefers LFSR implementations



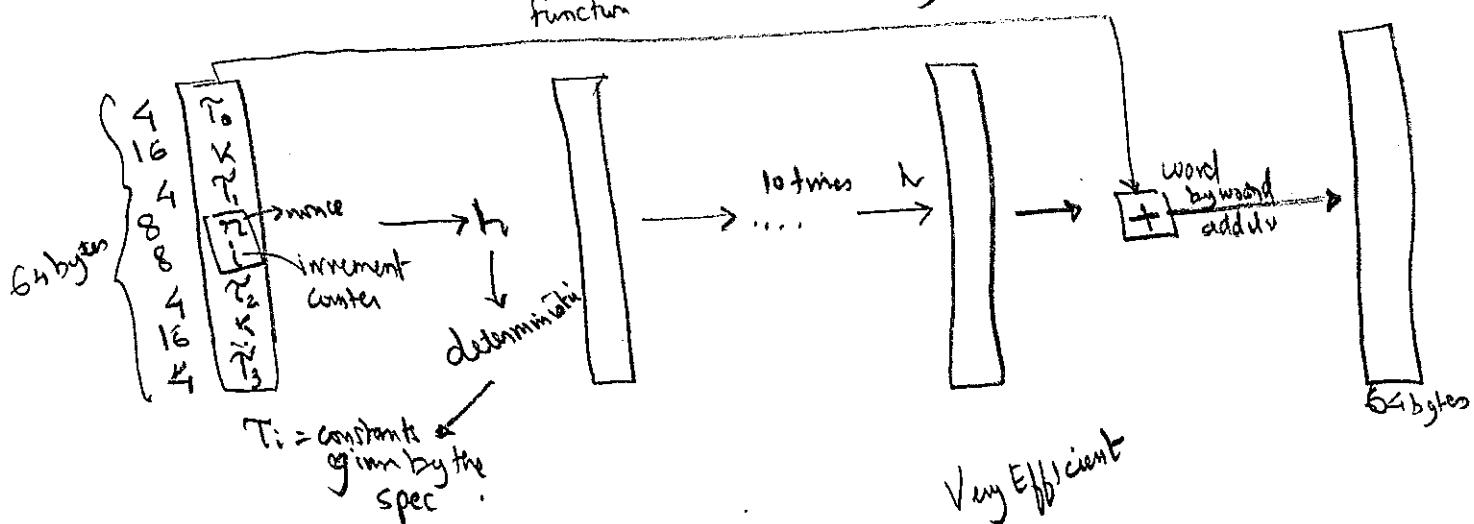
2 Stream Project - Qualified 5 stream ciphers. PRG:  $\{0,1\}^S \times R \rightarrow \{0,1\}^n$   
Use a nonce along with the key.  $\{K, n\}$  is made unique ↑ nonce.

and the same key can be used by changing the nonce.

Salsa20: takes either 128, 256 bit key, 64 bit nonce

$$\text{Salsa20}(K; n) := H(K, (r, 0)) \parallel H(K, (r, 1)) \dots \dots$$

Function    incrementing Counter



## PRG SECURITY DEFINITIONS

(5)

What does it mean to say a "good PRG". A good PRG is one which outputs bits that look random. It cannot be truly random because we have only  $s$  random bits and were outputting  $n$  bits. A true uniform random distribution of over  $\{0,1\}^n$  can emit anything inside the big circle but a PRG has only enough info to generate a small sample of it. So at best its output can look random to an adversary. More formally, to an external observer given an output from the PRG and one from a true random distribution should look same. This "looks the same" is formalized as the notion of ADVANTAGE.

$$\text{Adv}_{\text{PRG}}[A, G] := \left| P_{k \in K} [A(G(k)) = 1] - P_{k \in \{0,1\}^n} [A(k) = 1] \right|$$

Imagine an efficient procedure  $A$ , that outputs 1 when it believes that the bit string it is passed is random. We call " $A$ " a "statistical test" because the only way to implement it would be to rely on certain patterns one expects statistically present in true random bits.

$$G: K \rightarrow \{0,1\}^n$$

$\text{Adv}_{\text{PRG}}[A, G]$  - Advantage of a statistical test ' $A$ ' over PRG ' $G$ '

$P_{k \in K} [A(G(k)) = 1]$  - the probability that  $A$  declares an output of  $k$  as random

$$P_{k \in \{0,1\}^n} [A(k) = 1] \sim Y$$

The probability that  $A$  declares a true random string as random.

$\text{Adv}_{\text{PRG}}[A, G] = |X - Y|$  | is advantage is the difference between the 2 probabilities. Intuitively it measures how often the test  $A$  distinguishes between the 2.

$$\text{Adv}_{\text{PRG}}[A, G] = [0, 1]$$

1  $\Rightarrow$  totally breaks PRG  
0  $\Rightarrow$  does not distinguish true random from PRG.

$$\{G(k)\} \approx \text{Uniform}(\{0,1\}^n)$$

$G: K \rightarrow \{0,1\}^n$  is a secure PRG if  $\forall$  efficient statistical test  $A$ :  $\text{Adv}_{\text{PRG}}[A, G]$  is negligible

Provably secure PRGs don't exist

There are heuristic candidates like Salsa20

All secure PRGs are unpredictable

If PRG is predictable then we can define a statistical test with

YAO proved if next-bit prediction cannot distinguish  $G$  from random, then  $G$  is secure

## SEMANTIC SECURITY

(6)

Practical stream ciphers are not "perfectly secure" (as Shannon defined them) so we seek a weaker measure for them. That is semantic security.

We begin with the notion of "computationally indistinguishable" ( $\approx_p$ ) polynomial time

Two distributions  $P_1$  &  $P_2$  are computationally indistinguishable ( $P_1 \approx_p P_2$ ) if for all efficient statistical tests  $A$ :

$$\left| \Pr_{x \in P_1} [A(x)=1] - \Pr_{x \in P_2} [A(x)=1] \right| < \text{negligible}$$

In this notation  
secure PRG can be  
defined as

$$\{K \in K : G(K)\} \approx_p \text{uniform}(\{0,1\}^n)$$

Stream ciphers  
are semantically  
secure if

Shannon's  
perfect secrecy

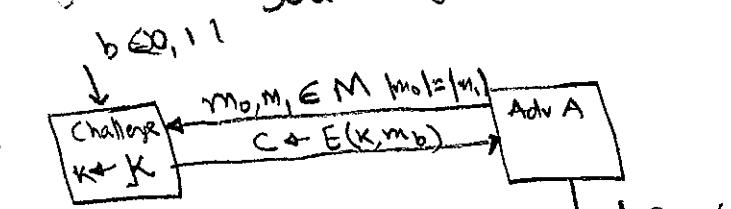
$E, D$  has "perfect secrecy" if  $\forall m_0, m_1 \in M \quad |m_0| = |m_1|$   
 $\{E(K, m_0)\} = \{E(K, m_1)\}$

in words the distribution  
of the encryption of any two  
messages is the same

Semantic  
Security

$$\{E(K, m_0)\} \approx_p \{E(K, m_1)\}$$

and require the adversary  
to actually produce  $m_0, m_1$



the adversary outputs

two messages  $m_0, m_1$  and the

challenger outputs two ciphertexts  $C_0$  &  $C_1$  without telling the adversary which one is

the encryption of which

cipher. The adversary takes  $C_0$  &  $C_1$  and outputs

$b'$  based on which of  $m_0$  &  $m_1$  it thinks

the encryption belongs to. If it can do this  
quite correctly then the cipher is not 'semantically secure'.

Intuitively if the adversary can produce 2 messages such that it can tell the  
encryption of 1 from the other - he has broken the cipher.

formally  $b \in \{0, 1\} \quad W_b = [\text{event that output of adversary } EKP(b) = 1]$

$$\text{Adv}_{\text{SS}}[A, E] = \left| \Pr_{b \in \{0,1\}} [W_0] - \Pr_{b \in \{0,1\}} [W_1] \right| \leq \epsilon$$

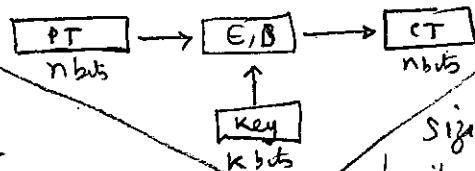
A symmetric  
cipher  $E$  is semantically  
secure if for all  
efficient adversary  $A$   
 $\text{Adv}_S[A, E] \leq \epsilon$

If any bit of the PT is leaked in the cipher with a non-negligible probability  
any predicate of PT then we can choose  $m_0$  &  $m_1$  in a way that for one  
the predicate is true & the other it is false. Then check for the predicate on the  
ciphers and since the encryption leaks the predicate truth value we can distinguish

## Week 2 | Block Ciphers

Nov 14, 2012 (1)

Crypto workhorse. Maps  $n$  bits of inputs to  $n$  bits of outputs.



Typically use iterations

3DES:  $n = 64$  bits,  $K = 168$  bits  
AES:  $n = 128$  bits,  $K = 128, 192, 256$

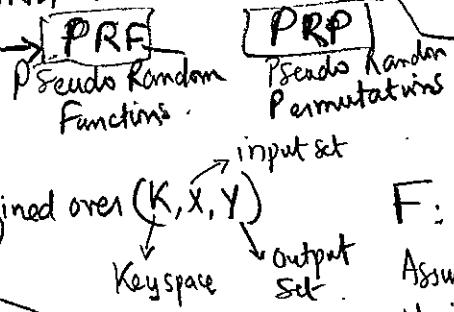
Block ciphers are

Significantly slower than  
Stream ciphers  
↓ more versatile

Called "rounds". The key passed is expanded to a larger bit size and is split into "round keys". These round keys are used to iteratively encrypt the PT. 3DES - 48 rounds, AES - 10 rounds.

$R(K, m)$  = round function

### ABSTRACTIONS FOR REASONING OVER BLOCK CIPHERS → PRF



SECURE PRF

Let  $F: K \times X \rightarrow Y$  be a PRF

also consider  $\text{Funs}[X, Y]$ : set of all possible functions from  $X$  to  $Y$ . This set has  $|Y|^{|X|}$  elements. Note  $F$  is not an element of  $\text{Funs}[X, Y]$  because it also takes  $K$ . If we bind  $K$  by  $F(K, \cdot)$  we get  $|K|$  functions belonging to  $\text{Funs}[X, Y]$

$$S_F = \{ F(K, \cdot) \text{ such that } K \in K \}$$

a PRF is secure if a random function from  $S_F$  is indistinguishable from a random function in  $\text{Funs}[X, Y]$ . That is an adversary cannot tell if when given a mapping from a completely random function chosen from  $\text{Funs}[X, Y]$  and one chosen from  $S_F$  which is which.  $F(K, \cdot)$  is indistinguishable for  $f(\cdot)$

if  $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  is a secure PRF then  $G: K \times \{0,1\}^n \rightarrow \{0,1\}^{n+1}$

Secure PRFs can be used to make a secure PRG.  $G(K) = F(K, 0) \parallel F(K, 1) \parallel F(\dots) \parallel F(K, t)$

### DES (Data Encryption Standard) -

Two things that define a block cipher: Key Expansion & Round function.

Key expansion - grows the input key to a larger bit sequence, which is broken into several round keys each round key is used along with a single round.

Round Function - iterative application (chained) of the plaintext to get the final ciphertext.

DES → Feistel designed cipher at IBM. Key length 128 bits, block size 128 bits.

IBM submitted it to National Bureau of Standards (NBS), adopted as DES (56 bits - Key, block len - 64 bits, avariant)

in 1997 - by exhaustive search DES was broken → led to AES.

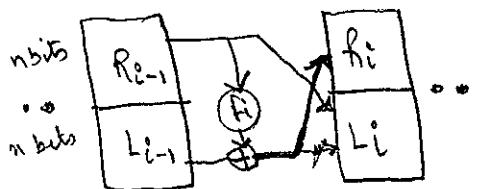
## DES Week 2 - #2

DES is a Feistel Network cipher. A Feistel network is a construction that takes 'd' arbitrary functions and composes an invertible function out of them.

Recall that all block ciphers are made up of 2 things: a "key expansion" routine and a "round function." The "key expansion" takes a key and expands it into a larger bit sequence which is then broken into multiple "round keys"; These round keys are fed as input to multiple invocations of the round functions, finally producing the output.

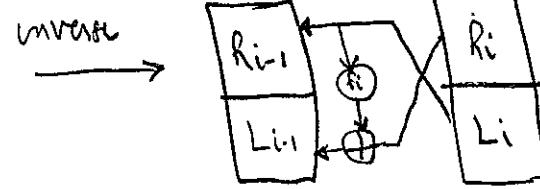
DES started as Lucifer at IBM → which was weakened (bit size reduced to 56) and standardized as DES (in 1976). 56 bits is too small, 1997 it was broken by exhaustive search. Still DES is an amazingly successful cipher.

Given  $f_1, \dots, f_d: \{0,1\}^n \rightarrow \{0,1\}^n$  we want to build  $F: \{0,1\}^{2^n} \rightarrow \{0,1\}^{2^n}$  where  $F$  is invertible.



$$R_i = L_{i-1} \oplus f_i(R_{i-1}) \quad [\text{Forward}]$$

$$L_i = R_{i-1} \quad i=1 \dots d$$



$$R_{i-1} = L_i$$

$$L_i = R_i \oplus f_i(L_i)$$

(basically start from output and apply functions in reverse order)

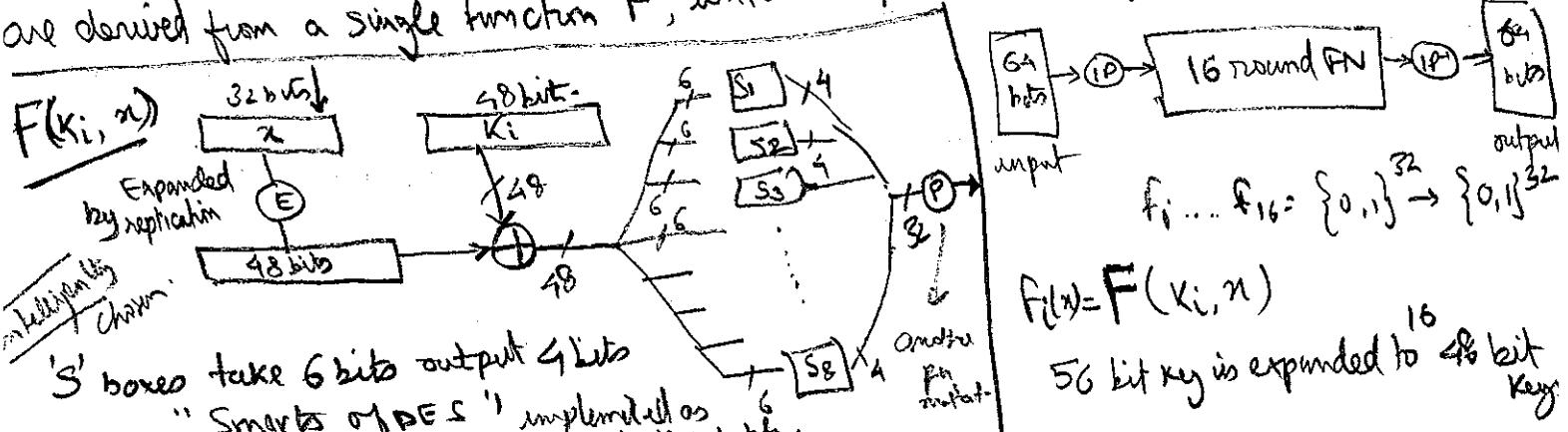
A Feistel network takes arbitrary functions and gives us an invertible  $F$ .

Luby Rackoff Theorem: If  $f: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  is a secure PRF then a 3-round Feistel Network  $F: K^3 \times \{0,1\}^{2^n} \rightarrow \{0,1\}^{2^n}$  is a secure PRF

That is, given a secure PRF, if we plug it into a FN and give it 3 independent keys and use it as three round functions in a FN, we get a secure cipher.

Another words, if we have a secure PRF Luby Rackoff gives us a secure PRP

DES does exactly that. DES is a 16 round FS. The 16 round functions are derived from a single function  $F$ , which is passed 16 different round keys.



Given few input output pairs  $(m_i, c_i = E(K, m_i))$   $i=1\dots 3$ , find  $K$ .

Before we begin, we define 'Unicity probability' as the probability that given a message the probability that there is only one key that produced the cipher. In other words, if given a cipher text, we can rest assured that there is hope in trying each of the keys in the key space and be guaranteed that we'll find only one 'key'. For DES unicity probability is  $(1 - \frac{1}{2^{56}})$  and for AES is  $1 - \frac{1}{2^{128}}$ .

Simply:  $2^{56}$  is not too huge and we can break DES by simply trying all possible keys.

First solution was 3DES (Triple DES)  $3E: K^3 \times M \rightarrow M$ , i.e. triple key size to  $128$  bits

$$3E((K_1, K_2, K_3), m) = E(K_1, D(K_2, (E(K_3, m))))$$

We do EDE and not EEE because EDE allows us to come down to DES if  $K_1 = K_2 = K_3$ .

Searching through 128's hard. But 3DES is 3 times slow. Quantum computer can break DES in  ~~$O(2^{64})$~~   $O(1)$

Why not 2DES 112 is hard too, so why not 2DES. 2DES is susceptible to MITM (Meet in the middle attack)

So  $\rightarrow$  2DES can be broken in  $2^{63}$  using MITM

build a table for all possible encryptions of  $m$

build a table of all possible decryptions of  $c$

Actually 3DES can be broken by MITM in  $2^{112}$  keys but since  $2^{112}$  is good enough, we're not worried.

If a particular decryption of  $c$  matches a chunk of  $m$  - we've found  $K_1$  &  $K_2$

DESX alternative way to triple the key size EX:  $K^3 \times M \rightarrow M$

$$\text{DESX}((K_1, K_2, K_3), m) = K_1 \oplus E(K_2 \oplus K_3 \oplus m)$$

Implementation based Attacks

Measure power usage to predict keys.

$\downarrow$  56 bits (DES key)  $\downarrow$  64 bits (block size) total = 180 bits

LINEAR DIFFERENTIAL ATTACK: If I xor the bits of the message with the ciphertext and get some bits of the key, the cipher is broken because then given a large number of  $(m, c)$  pairs I can derive the key. Typically  $m$  &  $c$  have no relation with  $K$ , but for DES  $P_r[m[1] \oplus \dots \oplus m[n] \oplus c[j_1] \oplus \dots \oplus c[j_n]] = K[1] \oplus \dots \oplus K[n]$

abuse in SS

$$= K[1] \oplus \dots \oplus K[n] = 1/2 + E$$

for DES  $E = 1/2^{11}$  so given  $1/\epsilon^2$  random  $m, c$  pairs, we can do a majority breakdown exhaustive search from  $2^{56}$  to  $2^{112}$  of  $m \oplus c$  and get the key bits with a good probability.

# AES

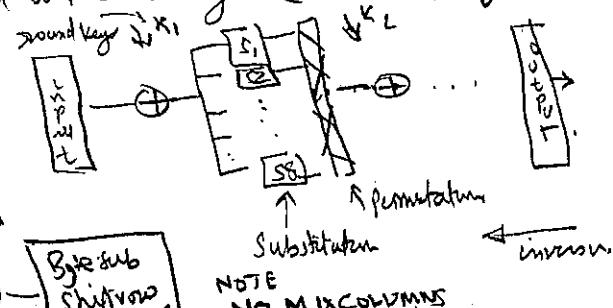
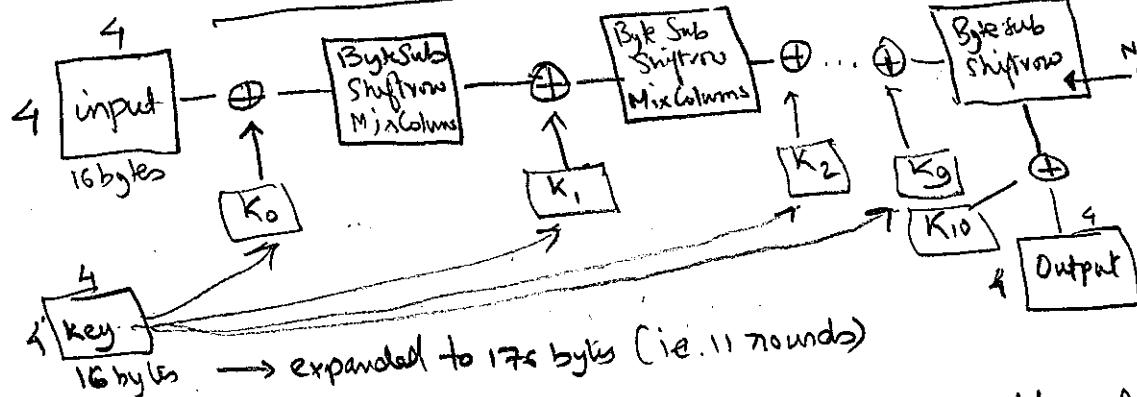
Week 2 # 5

Key sizes 128, 192, 256 bits - Block sizes 128 bits - Not a Feistel cipher. AES is a Substitution-Permutation Network. Chosen from 5 finalists in a NIST selection process in 2000. Unlike DES, every bit is changed in all stages (in DES half the bits are copied as-is).

AES-128. block size of 128 bits, i.e. 16 bytes, i.e.

represented as a  $4 \times 4$  matrix.

10 Rounds



Everything is a  $4 \times 4$  matrix

BYTE SUB: a 1 byte S box - Every input cell is replaced by its value taken from the 1 byte S box  
Substitution: 256 entries one for each of the 256 values that can be present in a given input cell

$$t_{i,j} : A[i,j] \leftarrow S[A[i,j]]$$

SHIFT ROWS: Rows in input array are cyclically shifted.

0<sup>th</sup> row - not shifted  
 1<sup>st</sup> row - shifted 1 cell to the left  
 2<sup>nd</sup> row - shifted 2 cells to the left  
 3<sup>rd</sup> row - shifted 3 cells to the left

MIX COLUMNS: Each column is individually transformed linearly (i.e. a known matrix is multiplied to each column).

All three operations are easy to implement. The S-block can be computed on the fly or we can store the precomputed values. There's a tradeoff between code size & performance.

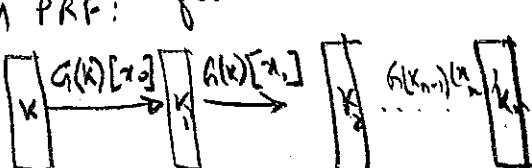
AES is supported in processor instructions too. Intel processors have aes enc, aes dec ↓  
 One round of aes.

AES does not have any significant attacks. Best key recovery is  $2^{128}$ . Both useless attacks from practical pov

If we have a PRG, we can make a PRF.

Given a secure PRG  $G: K \rightarrow K^2$  define PRF  $F: K \times \{0,1\}^n \rightarrow K$

GGM PRF: for  $x = x_0, x_1, \dots, x_{n-1} \in \{0,1\}^n$  do

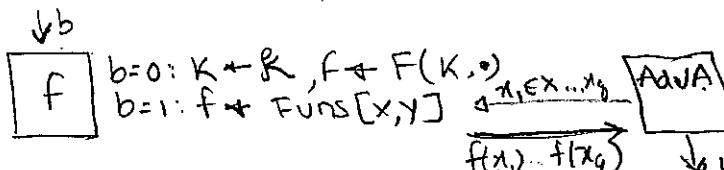


→ slow (slower than AES)  
 n invocations of PRGs will give us a secure PRF

using baby-step/giant-step we can convert PRF to PRP and get a cipher! / IF I HAVE A PRG I CAN MAKE PRF PRP.

PRF - Pseudo random Function, PRP - Pseudo random Permutations

Secure PRF



$$\text{Adv}_{\text{PRF}}[A, F] := \left| \Pr_{\mathbf{x}}[\text{Exp}(0)=1] - \Pr_{\mathbf{x}}[\text{Exp}(1)=1] \right| \text{ is negligible.}$$

Secure PRP PRP is an invertible PPF from  $X$  to  $X$ . similar definition of advantage.

AES & 3DES are PRPs believed to be secure. Secure PRFs are not necessarily secure PRPs. This is because PRFs can be non-invertible. However

PRF Switching Lemma: A secure PRP is also a secure PRF if  $|X|$  is sufficiently big

More formally: Let  $E$  be a PRP over  $(K, X)$ , then for any  $q$ -query adversary  $A$

$$\left| \text{Adv}_{\text{PRF}}[A, E] - \text{Adv}_{\text{PRP}}[A, E] \right| \leq q^2 / |X|^2$$

i.e. for large  $X$  if PRP is secure, it can also be used as a PRF.

AES is a secure PRP - with a large  $X$  size - it can be used as a PRF as well (block)

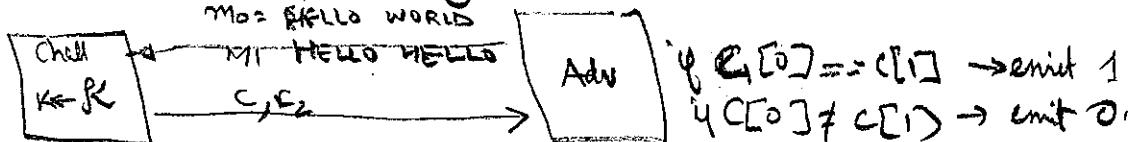
1/2

4/4

For block ciphers all we have done so far is to define 2 mathematical models PRP/PRF and seen 2 practical approximations of these in AES & 3DES. Next we need to see how these primitives are put to use.

Bad use of PRP (even if it is secure) is ECB (Electronic code book mode)

The key thing here is - messages in real life are longer than the block size of a PRP  
 Given a message that gets broken to more than one 'blocks', what should the key used for encryption be. Can we use the same key to encrypt two blocks of the same message, should we change keys, etc. It is questions of this kind that are answered by the "operation" mode. Even if we change the key for every message, just encrypting ~~the~~ blocks in the same message with the same key, breaks semantic security.

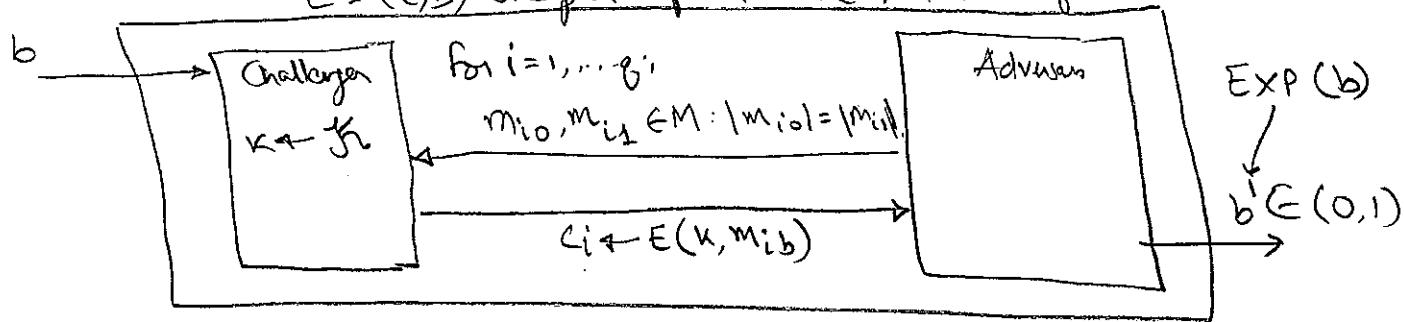


## MODES OF OPERATION FOR MANY TIME KEYS

↳ 7

When we use the same key for multiple messages, we're exposing more information to the adversary. Say an encryption of messages sent over the network. So far our definition of semantic security assumes that the challenger picks a new key for every message pair received from the adversary. If we allow the adversary to run loops of challenges and pick a random key once we're dealing with a "chosen plaintext adversary". The definition of Semantic Security under CPA is as follows:

$$E = (E, D) \text{ a cipher defined over } (K, M, C) \quad \text{for } b = 0, 1, 1$$



The "Game" works as follows. At the beginning the challenger picks "K". The challenger can operate in one of the modes, the adversary gives him 2 messages and is consistently returned encryption of  $m_0$  or  $m_1$  based on what mode the challenger is operating in. The objective of the adversary is to output '1' if he believes he's in experiment 1, and 0 if he's in 0.

In CPA the adversary is allowed upto ' $g$ ' queries. If he wants to get the cipher equivalent of a chosen plaintext, he can send  $m_0 = m_1$  for one of the allowed  $g$  queries. With that in mind

$E$  is semantically secure under CPA for all efficient  $A'$ .

$$\text{Adv}_{\text{CPA}}[A, E] = \left| P_0[\text{EXP}(0) = 1] - P_1[\text{EXP}(1) = 1] \right|$$

OTP, ECB, DTCTR mode is negligible

Any cipher that encrypts the same message into the same ciphertext is not CPA secure  
 Attack is simple:  $\text{Adv} \rightarrow m_0, m_0 \in M, \text{Challenger} \rightarrow c_0 = E(K, m_0)$   
 $\text{Adv} \rightarrow m_0, m_1 \in M, \text{Challenger} \rightarrow c_1, c_0$       output 0 if  $c = c_0$

Bottomline If the same key is used for more than 1 message

- we must ensure we produce different ciphertexts (for the same message)

## MANY TIME KEYS

An encryption algorithm is typically deterministic. So given the same input (key, plaintext) it is expected to produce the same output. We've seen that this behavior makes the system insecure semantically under CPA. So the way out is to increase the input parameters and introduce some uniqueness into the input tuple. This is done by the addition of a nonce or a random number that is not repeated.

MTK → Randomized Algorithm

Many Time Key →Nonce Based Encryption (nonces need not be secret or random)

NONCE are only expected to be unique

Randomness can be used as a guarantee for uniqueness but for nonce based schemes it is not a requirement. Choosing randomly from a very large space can be used as means of ensuring uniqueness. If uniqueness can be guaranteed by simpler means like by keeping a counter, the nonce need not be random. If shared state is not possible we use randomized nonces. Next we see two systems that realize these ideas.

CBC : Cipher block chaining: If  $(E, D)$  is a PRP  $E_{CBC}(K, m)$ : choose random IV

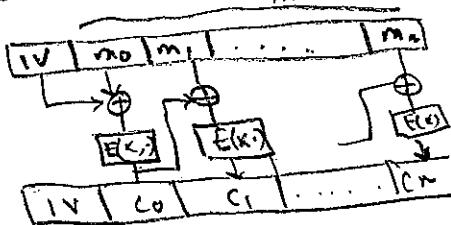
CBC with IV - at the beginning we choose a random Initialization Vector, as long as the block size of the cipher.

$$C[0] = E(K, IV \oplus m[0])$$

$$C[i] = E(K, C[i-1] \oplus m[i])$$

$$m[0] = D(K, C[0]) \oplus IV$$

$$m[i] = D(K, C[i]) \oplus m[i-1]$$



ASSUME all is available to adversary.  $g$  is the max no of queries allowed to adversary practically this is  $2^{128}$ .

$g$  = no. of queries allow to adversary practically this is  $2^{128}$ . number of messages we're going to encrypt with  $K$ .

CBC Theorem: For  $L > 0$ , if  $E$  is a secure PRP over  $(K, X)$ ,  $E_{CBC}$  is semantically secure under CPA over  $(K, X^L, X^{L+1})$ .

$L = \max$   
length of message  
Input messages length  
of length  $L$   $L+1$

For a  $g$ -query adversary  $A$  attacking  $E_{CBC}$  there exists a PRP adversary  $B$  s.t.:

$$\text{Adv}_{\text{CPA}}[A, E_{CBC}] \leq 2 \cdot \text{Adv}_{\text{PRP}}[B, E] + \frac{2g^2 L^2}{|X|}$$

In words if we start with a secure PRP, the advantage of a CPA adversary is negligible if  $\left(\frac{2g^2 L^2}{|X|}\right)$  is negligible.  $gL$  = maximum number of blocks encrypted with a single key for AES  $|X|=128$  bits

Random IV can be replaced by a unique nonce.

However for CBC the nonce must be encrypted with different key and the encrypted value must be used as the IV.

Implementation generally allows non-random nonces to be plugged in.

$gL \leq 2^{98}$  → if using AES change key after encrypting 2<sup>98</sup> blocks

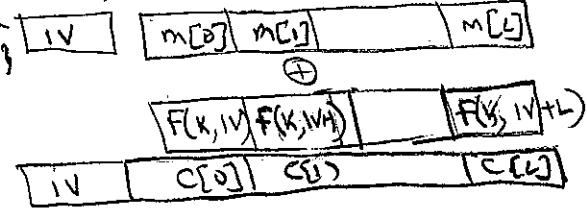
CBC requires padding which can be an overhead

## COUNTER MODE

Requires a PRF, in the randomized variant, we choose a random IV.

Non-necessity  
a PRP

$$F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$$



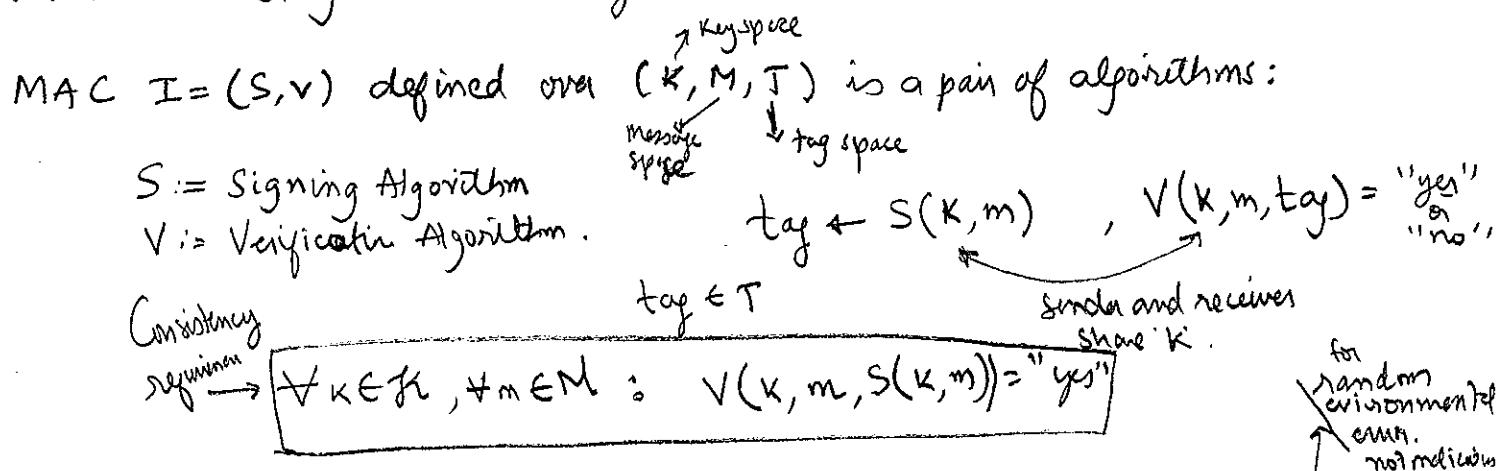
In nonce mode we break the 128-bit IV into 2 parts 64-bit nonce + 64-bit counter.

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CTR}}] \leq 2 \cdot \text{Adv}_{\text{PRF}}[B, E] + 2^{q^2 L / 1 \times 1} \leftarrow \text{instead of } L^2, \text{ we have } L$$

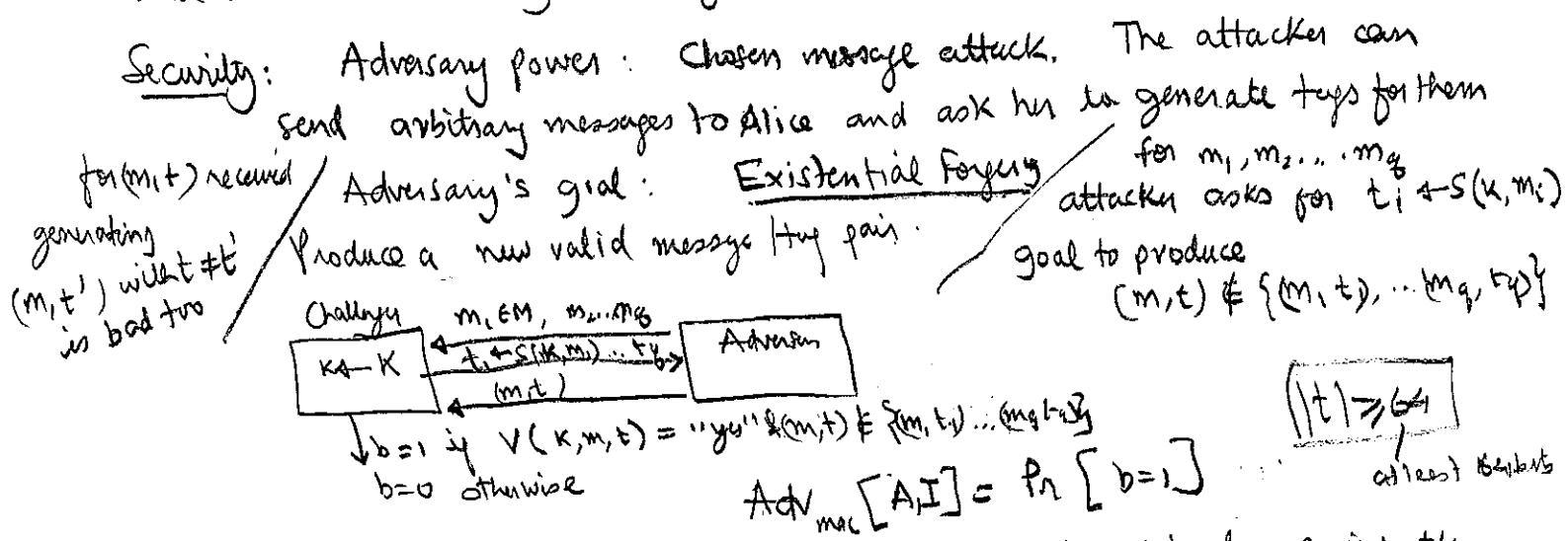
## INTEGRITY

Integrity without confidentiality. When we care for message content being intact more than them being secret. None of the encryption schemes guarantee that so far. We can always XOR ciphertext with some bits to alter the contents of the message that'll be decrypted and the receiver has no way to validate/detect the changes.

This is achieved by MAC : Message Authentication code.



Integrity requires a shared key. Using keyless structures like CRC do not guard against adversaries. The attacker can replace the message and CRC simultaneously and fool the receiver.



Tags can't be short because existential forgery is possible by simply guessing the tag. For ex. if tags are 5 bits, the adversary can choose any random 5 bit string and emit  $(m, t)$ . The challenger will output 1 with pr  $1/2^5 = 1/32$  (non-negligible)

### MACs based on PRF

A secure PRF can be used to make a secure MAC, as long as the output is of sufficient length. For a PRF:  $F: K \times X \rightarrow Y$

$$S(k, m) = F(k, m) = t$$

$V(k, m, t)$ := output yes if  $F(k, m) = t$ .

Formally:

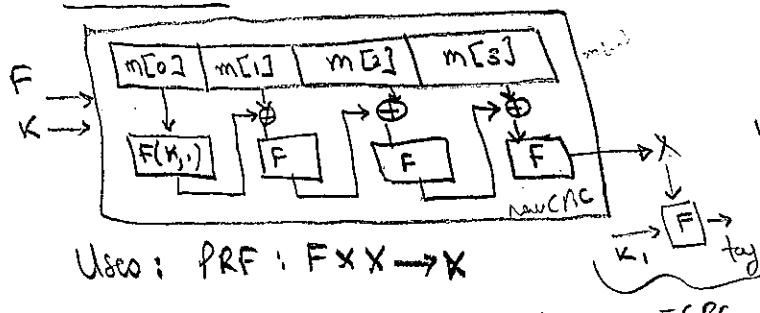
$$\text{Adv}_{\text{MAC}}[A, I_f] \leq \text{Adv}_{\text{PRF}}(B, F) + 1/|Y|$$

for ex. for AES,  $|Y| = 2^{128}$  &  $1/2^{128}$  is negligible, thus if we use AES as a MAC, we're home, We may not need 128 bits, so we can trim off bits.

The problem however is how do we convert MAC for 16 bytes (AES) to messages longer than 16 bytes.

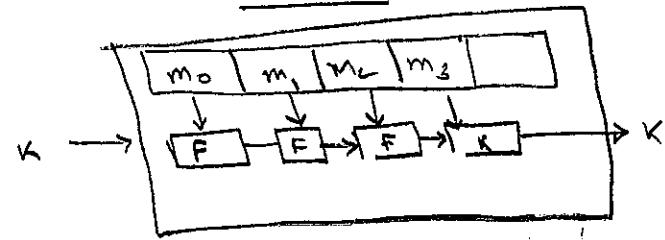
2 Chained constructions

Raw CBC:



Raw CBC is successive application of PRF where input is first XORed with the output of last stage.

Cascade:



Cascade is successive application of PRF where the output of a stage is used as the key for the next stage.

These constructions can be used to make MACs for arbitrary blocks of input.

Encrypted CBC (ECBC-MAC)

Let  $F: K \times X \rightarrow X$  be a PRP?

define PRF  $F_{\text{ECBC}}: K^2 \times X^{\leq L} \rightarrow X$

One key and the PRF  $F$  is passed to Raw CBC and the output is encrypted with the other  $K$  to give the tag.

ECBC is insecure without last encryption.  
NMAC without last encryption in a bad MAC output of cascade can be extended.

NMAC

let  $F: K \times X \rightarrow X$

Final:  $K^2 \times X^{\leq L} \rightarrow X$



NMAC is generally used with PRFs that have a block length  $\gg$  key length. Since the output of cascade is in  $X$  we pad it to generate an element in  $X$  then apply another  $F$  with a different key.

## ECBC-MAC AND NMAC

Continued

ECBC is insecure if we just use a raw CBC block and don't encrypt its output with an independent key. Here's how the adversary will break the MAC and perform existential forgery.

$$\begin{aligned}
 \text{Adv} &\rightarrow \text{Challenger} : (m) \xrightarrow{\text{1 block message}} \text{rawCBC}[K, m] \\
 \text{Challenger} &\rightarrow \text{Adversary} : m, F(K, m) = t \\
 \text{Adv} &\rightarrow \text{Challenger} : [m, t \oplus m] \xrightarrow{\text{2 block message}} F(K, F(K, m) \oplus \tilde{m}_2)
 \end{aligned}$$

for 1 block is simply  $F(K, m)$   
 for 2 block is  $[m, m_2] = F(K, m)$   
 $F(K, F(K, m) \oplus \tilde{m}_2)$

So with 2 messages an adversary can commit existential forgery; given  $m$  and  $t$  we can emit  $(\tilde{m}, t \oplus m), t$

In the absence of the extra encryption after the cascade, NMAC is easier to exploit. Recollect that in NMAC every block is fed into the  $F$  with the output of the previous block as the key. So given a tag  $t$  for  $m$ , it is trivial to construct the tag of  $m || w$  (for arbitrary  $w$ ) as we can generate the tag of the longer message by  $F(t, w)$ .

Security Theorem: For any  $L > 0$ , for every efficient  $\epsilon$ -query PRF adversary attacking  $F_{\text{ECBC}} \circ F_{\text{NMAC}}$  there exists an efficient adversary  $B$ , such that

$$\text{Adv}_{\text{PRF}}[A, F_{\text{ECBC}}] \leq \text{Adv}_{\text{PRF}}[B, F] + 2^{q^2}/|X|$$

$$\text{Adv}_{\text{PRF}}[A, F_{\text{NMAC}}] \leq \text{Adv}_{\text{PRF}}[B, F] + q^2/2|K|$$

In words CBC-MAC is secure as long as  $q \ll |X|^{1/2}$ , NMAC as long as  $q \ll |K|^{1/2}$  using AES as our PRF; if we're assuming at least  $1/2^{32}$  as the bound of neg, for CBC-MAC we have to ensure

$$\frac{2^{q^2}}{|X|} \ll \frac{1}{2^{32}} \Rightarrow q \ll 2^{\frac{(2^{32}-32)/2}{2}}$$

$$\Rightarrow q \ll 2^{48} \text{ / after } 2^{48} \text{ messages. Change key}$$

NMAC we have to ensure

$$\frac{q^2}{2|K|} \ll \frac{1}{2^{32}} \Rightarrow q \ll 2^{48} \text{ opn.}$$

After signing  $|X|^{1/2}$  messages for ECBC &  $|K|^{1/2}$  for NMAC, the MACs become insecure.

The PRFs ECBC & NMAC have the following "extension property".

$$\forall x, y, w \quad F_{\text{Sig}}(x, y) = F_{\text{Sig}}(x, y || w) \Rightarrow F_{\text{Sig}}(x, y || w) = F_{\text{Sig}}(x, y || w)$$

In words if I obtain a collision for message  $x, y$  I can generate more collisions for longer messages by simply sufficing them with arbitrary messages. So given  $|X|^{1/2}$  messages the adversary can attack by generating a forge  $m || w$  which will have the same tag as some other.

## MAC PADDING

If the message length is not a multiple of the block size the last block needs to be padded. Pads must be invertible, that is given a padded message there should be only one message that it originated from. In other words if 2 messages look the same after padding then we can forge one easily by asking for the tag of 1.

2 Schemes: One simple one is called bit padding, where we keep a 1 at the end of whenever the last block ends and follow it with zeros. While de-padding we ignore everything upto the last 1. This requires an extra 10<sup>th</sup> block for messages which end at a block boundary.

ISO Scheme: A variant of the ECB-C where the second key is replaced by 2 separate CMAC Keys. The last block is XORed with either the first or second "extra" keys  $K_1$  or  $K_2$ . If padding is needed for the last block, we zero pad it and XOR it with  $K_1$  if not we XOR it with  $K_2$ . There's no need to do the final encryption on the raw CBC output.

## PARALLEL MAC

The MACs we've seen so far are serial, can't be parallelized. PMAC is a construction that allows us to process blocks in parallel.

Let  $F: K \times X \rightarrow X$  be a PRF

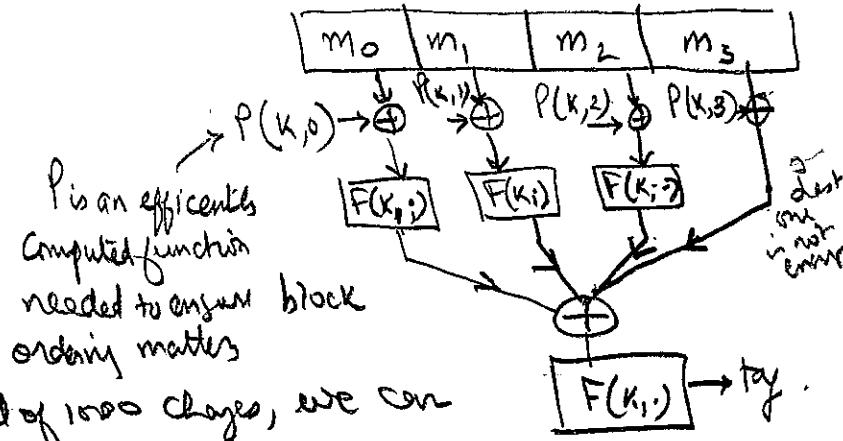
$$F_{\text{PMAC}}: K^2 \times X^{\leq L} \rightarrow X$$

Each of the <sup>blocks</sup> computation can be processed in parallel

if  $F$  is a PRP

$F_{\text{PMAC}}$  is incremental. If 1 block out of 1000 changes, we can compute the tag efficiently. Say it  $m_1$  changes to  $m'_1$

$$\text{new tag} = F(K_1, \alpha) \quad \text{where } \alpha = F'(K_1, \text{tag}) \oplus F(K_1, m_1 \oplus P(K_1)) \\ \oplus F(K_1, m'_1 \oplus P(K_1))$$



## ONE TIME MAC

Like an OTP, we use a key to do only one MAC. The attacker now only gets 1  $(m, t)$  pair and he needs to produce another to break OTM.

OTMs are secure against all (not just efficient) adversaries and are faster, so if we can realize an OTM, we can use it to tag long messages much quicker than the PRF-based MACs we've seen so far.

We choose  $q$  to be a large prime ( $2^{128} + 51$ ), the key is any to numbers  $(K, a)$  between 1 &  $q$ . The message is a sequence of  $L$  blocks, and we imagine these are  $L$  coefficients of a  $L$  degree polynomial (with the constant term set to 0)

$$P_{\text{msg}}(x) = m[L] \cdot x^L + \dots + m[1] \cdot x$$

now we evaluate  $P_{\text{msg}}(x) + a \pmod{q}$  and make this  $S(\text{key}, \text{msg})$

$$S(\text{key}, \text{msg}) = (f_{\text{msg}}(x) + a) \pmod{q}$$

$\forall$  is the same function, followed by check to see if it matches the tag.

As long as we use a key  $(K, a)$  for only 1 message this MAC is secure.

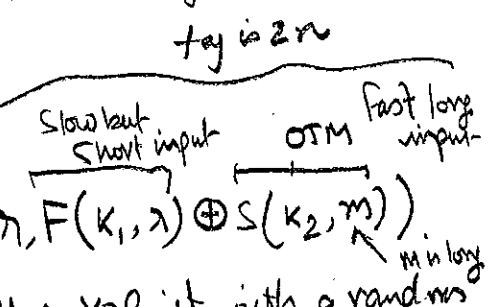
Convert a OTM to a many time MAC, by using the Carter-Wegman Constructor

Let  $(S, V)$  be a secure OTM on  $(K_1, M, \{0, 1\}^n)$ ,

Let  $F: K_F \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a secure PRF

Carter Wegman MAC:  $CW((K_1, K_2), m) = r \leftarrow \{0, 1\}^n \quad (r, F(K_1, r) \oplus S(K_2, m))$

The idea is to apply the OTM on the large input and then XOR it with a random PRF generated value. The verifier is given  $r$ , and can efficiently verify the tag. Every message gets a new  $r$  and so we get the best of OTM without its first disadvantage.



## COLLISION RESISTANCE

Let  $H: M \rightarrow T$  be a hash function where  $|M| \gg |T|$ .

A collision for  $H$  is a pair  $m_0, m_1 \in M$  such that  $H(m_0) = H(m_1)$  and  $m_0 \neq m_1$ .

$H$  is collision resistant if for all explicit "efficient" algorithms  $A$ :

$$\text{Adv}_{CR}[A, H] = \Pr[A \text{ outputs collisions for } H] \text{ is negligible.}$$

There are collisions. There is no way to map  $M \rightarrow T$  (with  $|M| \gg |T|$ ) without collisions.

So the requirement of CR is not to show that none exist but to show that there's no efficient & explicit algorithm that can output 2 messages that collide. To break a hash all you have to show is 2 messages that collide.

MACs from CR: Let  $I = (S, V)$  be a MAC for short messages of  $(K, M, T)$  and  $H: M^{\text{big}} \rightarrow M$ . Then we can define  $I^{\text{big}} = (S^{\text{big}}, V^{\text{big}})$  over  $(K, M^{\text{big}}, T)$  as:

$$S^{\text{big}}(K, m) = S(K, H(m)) ; V^{\text{big}}(K, m, t) = V(K, H(m), t)$$

ex:  $S(K, m) = \text{AES}_2\text{-block-cbc}(K, \text{SHA-256}(m))$  is a secure MAC.

$\downarrow$  outputs  $2 \times 28$  blocks.  
AES is a PRF so this is a secure MAC.

CR gives us the ability to take

constructs that work over small bit sequences  
and get equivalents for large bit sequences.

## BIRTHDAY ATTACK

The birthday attack is the equivalent

of the exhaustive search in block cipher search. It is a generic attack on all CR functions. In  $O(2^{n/2})$  time, for a  $H: M \rightarrow \{0,1\}^n$  with  $|M| \gg 2^n$

- 1) Choose  $2^{n/2}$  random messages in  $M, m_1, \dots, m_{2^{n/2}}$  (distinct)
- 2) for  $i=1 \dots 2^{n/2}$  compute  $t_i = H(m_i) \in \{0,1\}^n$
- 3) Search for collisions  $t_i = t_j$ . If not found goto 1.

Generally the no. of iterations is very small, so the overall complexity is  $O(2^n)$

## BIRTHDAY PARADOX

Let  $x_1, x_2, \dots, x_n \in \{1, \dots, B\}$  be independent identically distributed integers. What is the no. of samples we have to take to have  $\Pr[\forall i \neq j, x_i = x_j] \geq 1/2$ ?

$$\Pr[\exists i \neq j, x_i = x_j] = 1 - \Pr[\forall i \neq j, x_i \neq x_j] = 1 - \prod_{i=1}^{n-1} \prod_{j=i+1}^B \frac{B-1}{B} \cdot \frac{B-2}{B} \cdots \frac{B-(n-i)}{B} = 1 - \prod_{i=1}^{n-1} \left(1 - \frac{i}{B}\right)$$

$$\text{We know } 1-x \leq e^{-x} \Rightarrow 1 - \prod_{i=1}^{n-1} \left(1 - \frac{i}{B}\right) \geq 1 - e^{-\sum_{i=1}^{n-1} \frac{i}{B}} = 1 - e^{-\frac{(n-1)n}{2B}} = 1 - e^{-\frac{n^2}{2B}} \text{ choosing } n = 1.2 \times B$$

So we only need  $\sqrt{B}$  samples before 2 of them coincide with  $\Pr \geq 1/2$ .

$$\Pr[\exists i \neq j, x_i = x_j] \geq 1 - e^{-0.72} = 1/2.$$

SHA1-160	$2^{80}$
SHA256-256	$2^{128}$
SHA512	$2^{256}$
Whirlpool	$2^{136}$

## MERKEL-DAMGARD PARADIGM

Like the Feistel Network the Merkle-Damgard construction takes a small primitive and makes a more useful primitive. The FN took arbitrary functions and gave us a PRP, the MD takes collision resistant functions for small messages and returns a CR function for a large input.

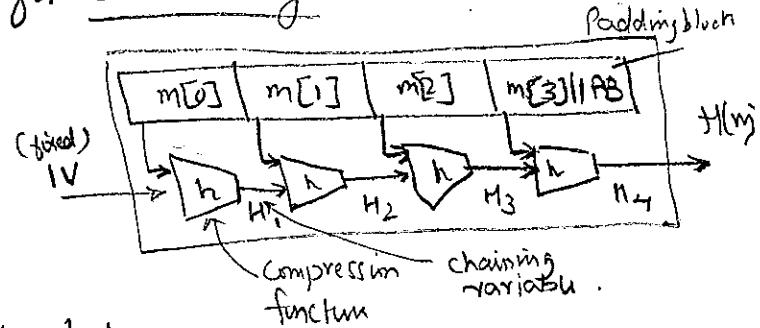
Given

$$h: T \times X \rightarrow T, \text{ DM gives us}$$

$$H: X^{\leq L} \rightarrow T$$

PB : a padding block is essential and has to be present (regardless of whether the input ends on a block boundaries).

$$\text{PB} := \underbrace{10000}_{\text{bit padding}} [\text{Length}] \quad \xleftarrow{64 \text{ bits}} \quad \begin{array}{l} 64 \text{ bits reserved for message length. We can hash max of} \\ 2^{64-1} \text{ bits in message length} \end{array}$$



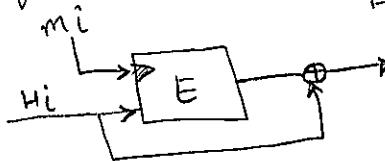
Theorem: If  $h$  is collision resistant then  $H$  obtained by DM is collision resistant.  
Arguing backwards from the equality of the last 2 outputs, we can prove a collision of  $H$  requires either that the two messages are equal or  $h$  has collisions. Either of these is a contradiction.

The takeaway is — Given a compression function for small messages we can make one for big ones.  
How do we make CF for small inputs.

DAVIES-MEYER CF: CF from a block cipher.

$$h(H, m) = E(m, H) \oplus H$$

Encrypt the chaining variable with message block and XOR with chaining variable



Assuming  $E$  is an ideal block cipher (i.e.  $|K|$  random perms from  $X$  to  $X$ )

$$E: K \times \{0,1\}^n \rightarrow \{0,1\}^n$$

Theorem: Finding a collision in DAVIES-MEYER takes  $O(2^{n/2})$  time (i.e. as long as it'd take for any CR function using Birthday attack).

$\Rightarrow$  This is as good as it gets (no need for better CR)

given  $(m, H)$  collision will:

## MIYAGUCHI-PRENEEL

$$h(m, m) = E(m, H) \oplus H \oplus m \quad (\text{Whirlpool})$$

$$= E(H \oplus m, m) \oplus m$$

and other variants

other obvious variants have problems:

$$h(H, m) = E(m, H) \oplus m \quad [m' = E(m, H)]$$

$$h(H, m) = E(m, H) \quad [H' = D(m'; E(m, H))]$$

SHA256

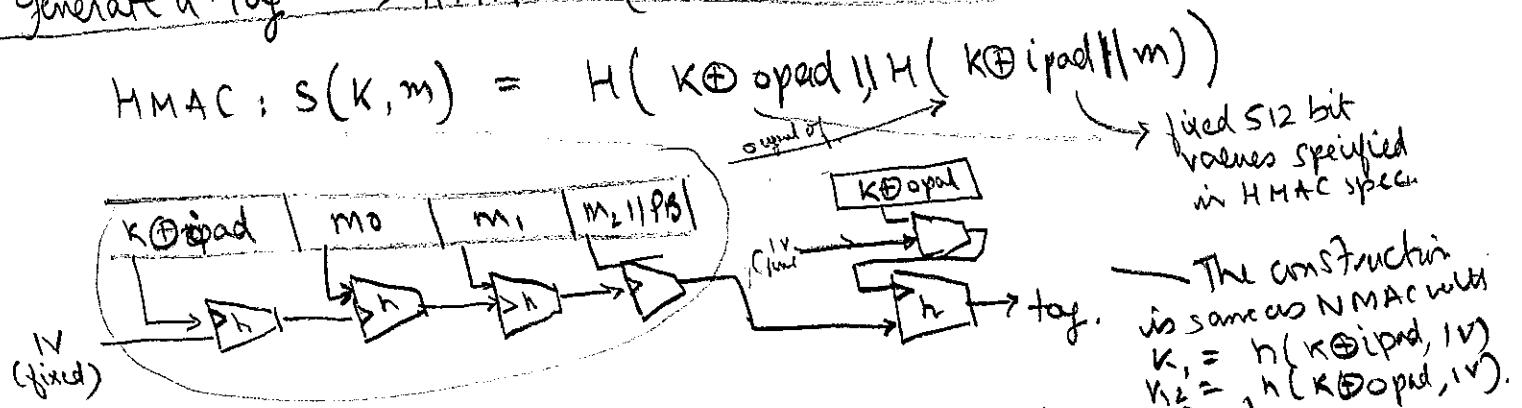
is a DIM function using Davies-Meyer and block cipher SHAKE2

## HMAC

CMAC & ECBC-MAC are MAC constructed using PRFs. Can we make a MAC using just a collision resistant function. Note that a CR function has only one input, i.e. it doesn't have a key input, we need to use PRFs. So we need to combine the key and the message in a way that the output tag is not just CR but also unforgeable.

Simply doing  $S(K, m) = H(K \| m)$  won't do, if  $H$  is a Merkle-Damgård hash function, as the attacker can perform existential forgery by computing  $H(K \| m \| \text{padding} \| w)$ .

The standard way to combine the key and message and use them to generate a tag  $\rightarrow$  HMAC (Hash-Mac)



Recall that  $h$  is a small compression function that is collision resistant

examples: SHA1, SHA256 etc.

## AUTHENTICATED ENCRYPTION

Encryption schemes seen so far are not "tamper-resistant". MAC allow us to ensure integrity and encryption allow us to maintain confidentiality. The question next is how do we get both? How do we combine the two primitives?

If the attacker can modify ciphertext in transit, it is easy to change the meaning of the messages without the receiver knowing.

For CBC with random IV, it is trivial to modify the meaning of encrypted messages for 1 block messages by simply XORing the IV  
(note that we only need to change the IV, not the CT)

Start with your needs

} ONLY INTEGRITY : use a MAC  
 } ONLY CONFIDENTIALITY: use a block cipher  $\leftarrow$  AVOID.  
 } BOTH (which is usually the case) : use Authenticated encryption

Authenticated encryption system ED is a cipher where the attacker cannot construct a ciphertext which will be meaningfully decrypted by the receiver. Formally this means:

$$E: K \times M \times N \xrightarrow{\text{Optimal}} C \quad \& \quad D: K \times C \times N \xrightarrow{\text{Optimal}} M \cup \{\perp\}$$

rejects ciphers.

The decryption algorithm must be capable of rejecting ciphertext which it believes to not originate from the valid sender. This requirement is called "ciphertext integrity".

Everything  $\leftarrow$  (Any scheme that  
decrypts every message  
& does never  
output  $\perp$ )

∴ An AE system must be semantically secure under CPA & must ensure ciphertext integrity.

is not  
AE secure.

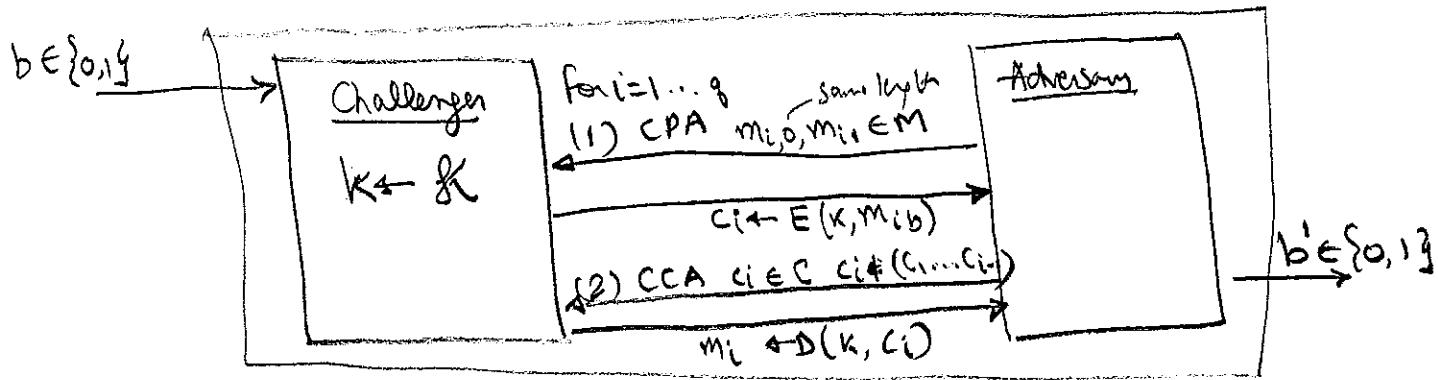
## CHosen CIPHERTEXT ATTACK

Chosen cipher text security is an extension of the semantic security model that gives more power to the adversary (and is closer to the real world).

Intuitively: in addition to the CPA powers, where the attacker is allowed to submit 'g' arbitrary messages and receive encryptions of those we also allow him to request for decryption of g messages, provided they aren't the ones he issued under CPA. This is CCA where we're assuming that the attacker can exploit some weakness in the system to decrypt ciphertexts of his choice.

*Chosen  
Ciphertext  
attack*

With this in mind we define the semantic security game



$$\text{Adv}_{\text{CCA}}[A, E] = \left| P_0[\text{Exp}(0)=1] - P_1[\text{Exp}(1)=1] \right|$$

Prove CBC with random IV is not CCA-secure

The goal of all security games is to enable the adversary in getting the value of 'b'.

$$A \rightarrow C : m_0, m_1, \text{ where } m_0 \neq m_1 = 1 \quad (\text{One block message})$$

$$\text{CPA guess } [C \rightarrow A : (IV, C_0) \quad C_0 \text{ is either } E(m_0) \text{ or } E(m_1)$$

depending on the value of b

tweaks the IV (not the same ciphertext)

$$\text{The point } \text{CCA guess } [A \rightarrow C : (IV \oplus 1, C_0)]$$

in that CCA gives the attacker the ability to modify

known ciphertext & get them decrypted in ways that can be utilized in attacks.

$$C \rightarrow A : m_b \oplus 1$$

We know CBC with a random IV produces XOR with IV modification

enough to decide if  $b=0, 1$ ,  $\therefore$

$$\text{Adv}_{\text{CCA}} = 1$$

Authenticated encryption  $\Rightarrow$  CCA. For a given Adversary A, there exists B<sub>1</sub>, B<sub>2</sub> such that

$$\text{Adv}_{\text{CCA}}[A, E] \leq 2g \cdot \text{Adv}_{\text{C}_1}[B_1, E] + \text{Adv}_{\text{CPA}}[B_2, E]$$

AE schemes ensure authenticity/authentication of messages because if we assume that the attacker cannot produce valid ciphertexts and if we received a valid ciphertext, we can infer that it must have originated from someone who shares the key. Thus by the assumption of secret stored keys, we can also infer who could have possibly generated the message. However,

AE does not prevent replay attacks, does not account for side channel attack

Combining CPA secure encryption and MAC we can implement AE scheme. Originally there were no "standard" ways to do this. Crypto libraries provide the encryption & MAC primitives and assumed the devs knew how to combine them. That however is non-trivial. Three case-studies:

Assume we have 2 keys  $K_E \xrightarrow{\text{enc aes}} \text{MAC key } K_I \xrightarrow{\text{ciphertext}} \text{ciphertext}$

3 options: Encrypt and mac<sup>X</sup> (SSH uses this): Given  $M \rightarrow E(K_E, m) \parallel S(K_I, m)$   
 this is bad because }  $\downarrow$   
 we're sending tag }  $\downarrow$   
 and tag does not guarantee secrecy. (can leak information about the plaintext.)

I prefer Encrypt-then-MAC: Given  $M \rightarrow$  Compute  $E(K_E, m)$  and then tag  $S(K_I, c)$  and send both.  $c \parallel S(K_I, c)$

MAC then Encrypt: Given  $m \rightarrow$  Send  $E(K_E, m \parallel S(K_I, m))$   $\rightarrow$  Has subtle problems

SSL uses this  
 ↓  
 OK but use with care, not all ETC + hash combinations secure. However: with random-CTR mode or random-CBC, M then E is good so I prefer method is best.

## Standard AE Schemes:

- \* GCM : Uses encrypt then MAC with CTR encryption & CMAC.  
Galois Counter Mode. Code size ↑
- CCM : Uses MAC-then-Encrypt : CBC mac then CTR mode encryption  
AES based (completely implemented using AES) Code size ↓ 802.11i
- NIST EAX : CTR mode encrypt then CMAC. non-random but unique  
All are nonce based.

All support  
AEAD : Authenticated Encryption with Associated data.  
Typically comes up in network protocols. We have 2 kinds of data  
data we want to keep secure and data we want to only ensure integrity  
for. So we encrypt just sensitive data & MAC every thing. For a network  
packet we'd encrypt payload and consider headers as only "associated data".

For MACs we assume that not only can the attacker not produce a  
forged tag for a message, it can also not produce an "alternative" tag given  
a valid one. i.e. Given  $m$ , adversary should not produce  $t$ , but  
even if given  $(m, t)$  he should not be able to produce  $(m, t')$ .  
If this happens Encrypt-then-MAC will not have ciphertext integrity.

OCB: Another AE scheme. Much better than the standard ones. Only  
evaluates the underlying PRF once per block (thus do it twice, once for MAC and  
for encryption). Parallelizable. Not used because its patented.

Unless you have a good reason use AES/GCM whenever  
you need encryption.

## Case Study: TLS

TLS: Uses a record protocol for messages exchanged. Records are at-most 16 KB. Uses Unidirectional Keys for encrypted records, and uses stateful encryption: This means:

- established using a key exchange { - both browser and server share a pair of keys ( $K_{s \rightarrow b}$  &  $K_{b \rightarrow s}$ ) → server to browser
  - both browser & server maintain 2 64-bit counters  $Ctr_{b \rightarrow s}$  &  $Ctr_{s \rightarrow b}$  → browser to server
- Counters are initialized to 0 at the start of the session and incremented at every packet send. prevent replays.

TLS supports a range of algorithms. Mandatory support for AES-128 & HMAC-SHA-256 for enc & hash.

Each of the uni-directional keys is actually a pair of keys, one for encryption & the other for MAC-ing. TLS uses MAC then encrypt.

Encrypting side: def enc( $K_{b \rightarrow s}$ , data,  $Ctr_{b \rightarrow s}$ ):

← needs counter incremented to ensure every record gets a unique #

- 1) Compute tag():  $S(K_{mac}, [++Ctr_{b \rightarrow s} || header || data])$
- 2) Pad [header || data || tag] to AES block size.
- 3) CBC Encrypt with  $K_{enc}$  with new random IV → TLS 1.1 (BEAST attack)
- 4) Prepend header

Older versions using PKCS padding

Decryption: dec( $K_{b \rightarrow s}$ , record,  $Ctr_{b \rightarrow s}$ ):

- 1) CBC decrypt using  $K_{enc}$
- 2) Check pad format. See if it is well formed. If not increment counter, return bad-record-mac

3) check tag on  $[++Ctr_{b \rightarrow s} || header || data]$ . same

'if no match send bad-record-mac'

'if you don't do that' all errors are responded with the same response.

TLS 1.1 is good!

- older version
- used chained IV
- best attack
- Padding oracle attacks by distinguishing between padding bytes & decryption errors. They called information +

802.11b WEP bad example of AE system.

uses CRC instead of a proper MAC.

$$\text{CRC is linear } H_m, p \quad \text{CRC}(m \oplus p) = (\text{CRC}(m) \oplus F(p))$$

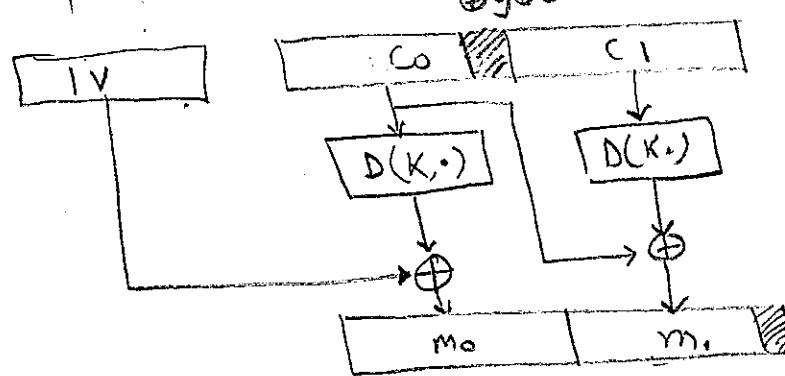
so attacker can XOR both CT & CRC so that the message is changed

## CBC PADDING ATTACKS

AE provides CPA security + ciphertext integrity. But it needs to be implemented right. We should use GCM, (or other standard ways). Non standard implementations can have issues. Even TLS had them.

TLS before 1.1 could be attacked using the "padding oracle" attacks. (ONLY IF CBC mode is used, not with CTR modes because CTR do not use padding). The problem was that the protocol required different response for padding format errors & encryption errors. These can be used to expose the encrypted plaintext. How?

Consider the CBC decryption circuit: Imagine we have a server that responds differently to decryption failure & padding format errors.



And that we want to uncover  $m_1$ .

We do that by forcing the decryptor of  $m_1$  with bad padding.

Consider how the last byte of  $m_1$  is obtained.

$$m_1 = D(c_1) \oplus c_0, \text{ so if we tweak } c_0 \text{ last byte to be } g \text{ where } g \text{ is our guess of what the last byte of } m_1 \text{ is,}$$

$$c_0[\text{last byte}] = c_0[\text{last byte}] \oplus 0x01 \oplus g$$

If our guess is right: upon decryption the last byte will be  $0x01$ . This is a valid if our guess is right: upon decryption the last byte will be  $0x01$ , received as  $m_1$ .

Next we take 2 bytes of  $c_0$  and XOR them to ensure the produce 02 at the end. For the last byte this is easy by XOR with  $m[\text{last}] \oplus 2$  for second last we try again 256 values. Thus in  $16 \times 256$  attempts we can completely discover the last byte.

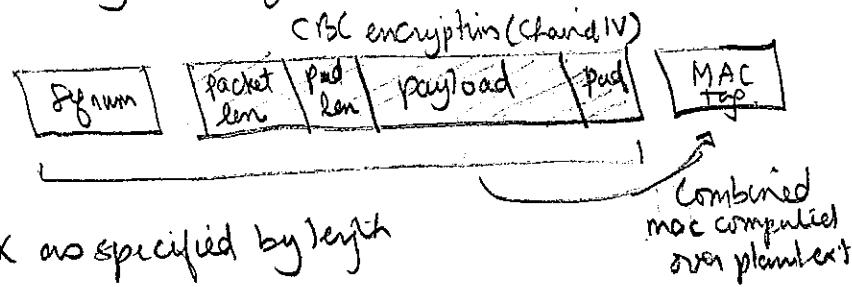
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## CASE STUDY - SSH

SSH uses MAC and Encrypt. There's a key exchange and after that there's a "record" protocol that is used.

Decryption Logic:

- i) Decrypt just packet length
- ii) Read as many packets of the network as specified by length
- iii) Decrypt remaining ciphertext blocks
- iv) Compute MAC, and respond with error if tag fails.



There are multiple problems with the scheme:  
↳ MAC sent in clear, can expose information about PT.

However here, we're interested in an attack which is made possible by the use of "non-atomic decryption" - The length field is decrypted first, so if the attacker captures a packet and resends the same with a new seq-no. to the server. The server will attempt to read the decrypted # of packets off the network. Effectively, the server will tell the attacker the decryption of the first 32 bits of the CT.

Using non-atomic decryption is bad! It'd be better if length was sent in plaintext

## KEY DERIVATION

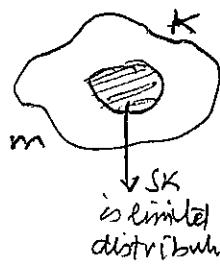
How to derive many keys from one key? Typically random generation or key exchange gives us one good key, but we need multiple keys. This is what Key Derivation functions do.

If the source key is uniform, we can use a PRF in counter mode, using a PRF as a PRG.

$$\text{EXPAND} \Rightarrow KDF(SK, CTX, L) := F(SK, (CTX|0)) || F(SK, (CTX|1)) || \dots || F(SK, (CTX|L-1))$$

↑ Source key      ↑ application identifier(context)  
↓ length

The PRF made from PRF is only good if SK is uniform. Generally this is not the case. In such cases we need to Extract -then -expand, and that requires a salt. A salt is used to make a non-uniform key, uniform



Salt = publicly known, fixed string chosen at random

Step 1: Extract a pseudo random key from the source key. Step 2: Expand using a PRF

HKDF is KDF made from HMAC

- extract use  $K \leftarrow \text{HMAC}(\text{salt}, SK)$
- expand use HMAC and RF with key as K

Generally after handshake the key is run through HKDF to generate real session keys.

~~ONLY USED WHEN  
SK is non-uniform but  
high entropy.~~

### PBKDF

Password have insufficient entropy.

$$PKCS\#5 = H^{(c)}(\text{pwd} || \text{salt})$$

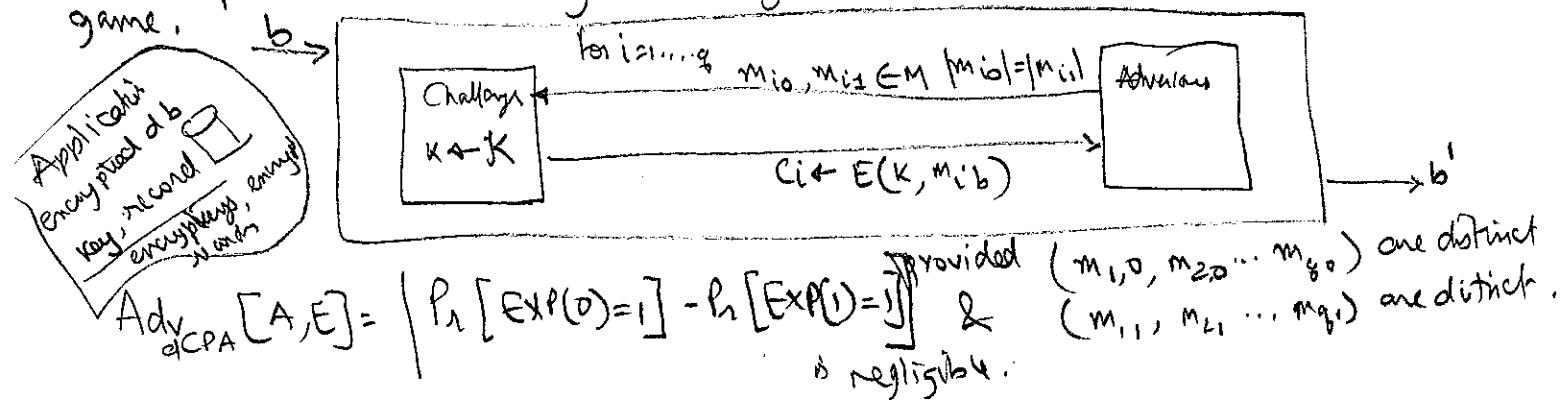
Hash function made slow by iterations

Basically PBKDF is a "defense" to make it harder to break the lack of entropy in the key. By repeating Hash function, we make it difficult for the attacker to try out all possible passwords (dictionary attack)

## DETERMINISTIC ENCRYPTION

Encryption without nonces. The same value gets encrypted to the same ciphertext. This is the very same thing we wanted to avoid using chaining. (ECB) Actually such deterministic encryption is not CPA secure. However it is still useful if we have additional guarantees about the message space.

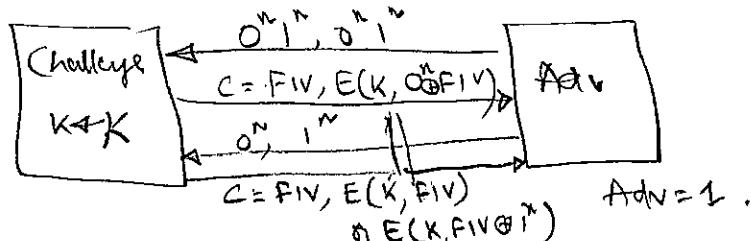
If we know that the encryptor guarantees never to repeat the same message then we're good. Assume we're encrypting the unique "user-id" which are unique across all encrypted messages, we're in turn tightening our usual CPA game.



Intuitively if no two messages issued by the Adv are same and we are semantically secure. Then we're deterministically CPA secure.

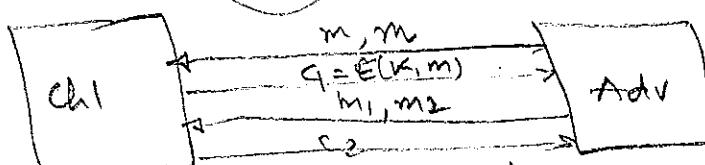
Candidate 1: CBC with fixed IV is not determ. CPA secure

Common mistake  
Assuming fixed IV makes CBC deterministic



Note that the requirement is that all 1<sup>st</sup> messages are unique.

CTR with fixed IV is not det CPA secure too. Because it reduces to 2 time pad.



We need special "modes" for det. Encryption

if  $c_1 \oplus c_2 = m_1 \oplus m_2$  output  $m_2$   
else output 0.

## Modes + Construction for Deterministic Encryption

Needed for maintaining encrypted database where we want to query records with an encrypted index.

**Synthetic IV (SIV)**: Specifically useful if messages are  $>$  cipher block size

Let  $(E, D)$  be a CPA secure encryption.  $E(K, m; r) \rightarrow C$

& let  $F: K \times M \rightarrow R$  be a secure PRF

$$E_{\text{det}}((K_1, K_2), m) = \begin{array}{l} r \leftarrow F(K_1, m) \\ c \leftarrow E(K_2, m, r) \end{array} \quad \text{output } c$$

Intuitively  $\rightarrow$  we use the PRF to generate a unique random message from the message  $m$ , and then use it with  $E$  to generate the CT. Since the PRF is secure ' $r$ ' is unique for  $m$  and we end up with the requirement of det encryption

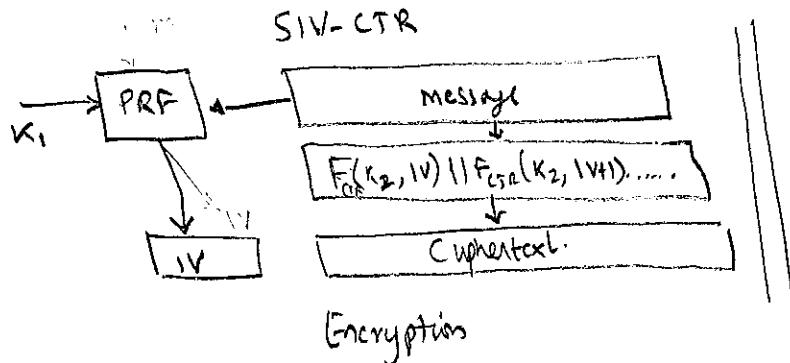
Note that the complete ciphertext =  $r||c$  (ie. we need to output  $r$  along)

this is why its not suitable for short messages (because it makes CT big)

An interesting by product of SIV is that the extra " $r$ "

can serve as a message tag. We get ciphertext integrity for free.

SIV is DAE (Deterministic authenticated encryption). Consider SIV-CTR



We decrypt and then run the plaintext through the PRF to get the tag. If this is the same as the IV we used in the CTR mode the message is authentic.

if  $F$  is a secure PRF and CTR derived from  $F$  is CPA-secure then SIV-CTR from  $F$  is DAE. — It is not possible for the adversary to produce a CT that decrypts to something that gives the same value that was used as the IV in CTR mode. This is what ciphertext integrity requires.

If the records you're encrypting are long and you don't mind the extra IV  $\rightarrow$  SIV is good. if not we need a different scheme.

## [DETERMINISTIC ENCRYPTION FOR SHORT MESSAGES]

If  $(E, D)$  is a secure PRP, simply encrypting them using PRP is sufficient for deterministic CPA. The trouble is what if the message is longer than block size. One option is to use SIV, the other is to generate a  $N$  bit PRP from an  $n$  bit PRP. The construction is called EME. pretty complicated.

The other trouble with just using a PRP is that we do not get DAE. There's no integrity. Integrity can be added by simply appending Os at the end. Just ensure that the no. of Os is enough to have  $1/2^n$  as negligible.

Takeway: If you have very short messages (say record-ids) that are unique, just suffix 80 or more Os at the end. and use AES to encrypt it.

If you can't fit your data into an AES block use SIV.

## [TWEAKABLE ENCRYPTION]

Consider an application for encrypting disk sectors. If a sector is 4KB, and there's only 4KB of sector space to store it, we cannot afford randomized encryption schemes (like CBC/CTR) because there's nowhere to store the random offset (IV, etc). We need to use a scheme where encryption is not "expanding". Note that we don't have room for integrity tags too. We need a PRP

Just a PRP is not going to work, because we're not dealing with det. encryption where we're guaranteed that messages are not repeated. Here blocks on the disk and sectors can be repeated. So we need to change keys for every sector.

One scheme is to use a PRF and generate keys using the sector number and a master key.  $\leftarrow K_t = \text{PRF}(K, t)$

## TWEAKABLE BLOCK CIPHER

Continuing with the example of disk encryption: The problem is to generate multiple keys (so that they're different) for each sector/block. One construction is to use the sector number as the "tweak" that is used to generate the key. Theoretically, this can be modelled by a TWEAKABLE BLOCK CIPHER. The goal is to take one PRP and construct many out of it.

$$E, D : K \times T \times X \rightarrow X, \text{ such that for every } t \in T \text{ & } k \in K$$

↑      ↑      ↑  
 Key space    tweak space    message space

$E(k, t, \cdot)$  is  
 an invertible funct.  
 indistinguishable from  
 random

A simple construction for this would be, assuming  $K = X$  (like AES)

$$E_{\text{tweak}}(k, t, x) = E(E(k, t), x)$$

encrypt tweak and  
 use encryption as the key to encrypt  $x$ .

Assuming we have  $n$  blocks, so  $n$  tweaks  
 this simple tweakable cipher will involve  $2 \times n$  invocations of  $E$ . Can we do better?

YES: XTS:

Let  $E, D$  be a secure PRP,  $E : K \times \{0,1\}^n \rightarrow \{0,1\}^n$

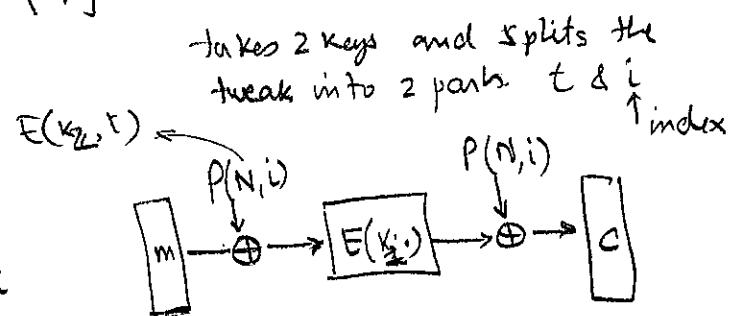
$$\underline{\text{XTS: }} E_{\text{tweak}}((k_1, k_2), (t, i), x)$$

Step 1: compute  $N := E(k_2, t)$

Step 2: (repeat for each block)

for block  $i$ : Compute  $m_i \oplus P(N, i)$ , say  $p_i$

$$\text{Compute } c = E(k_1, p_i) \oplus P(N, i)$$



So to encrypt  $n$  blocks, we need  $n+1$  encryptions.  $P$  is a efficiently computable function that is negligible w.r.t.  $E$ .

So - in general the idea is to prefix & suffix the encryption with a tweak that can ensure different blocks. Need to ensure that the tweak is used properly. Suppose we use  $t$  instead of  $N \Rightarrow E(k, (m \oplus P(t, i))) \oplus P(t, i)$ . This is bad because the tweak is not "randomized by the key" and can be used to break the scheme.

$$E(k, (t, 1), P(t, 1)) \oplus E(k, ((t, 1), P(t, 2))) = P(t, 1) \oplus P(t, 2).$$

## FORMAT PRESERVING ENCRYPTION

Given a PRF like AES  $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  and given  $s: 0 \leq s \leq 2^n$   
Construct a PRP on  $\{0,1,\dots,s-1\}$ .

Typical application: encrypting a credit card number. A credit card number is effectively a  $2^{12}$  bit number. If we encrypt it using AES, we'd effectively convert a 48 bit number to a 128 bit ciphertext. This may be a problem, if the overall application requires the encrypted data to be transmitted across components that expect to see a credit card number (and would break if we pass a 128 bit number). So we need a scheme that encrypts a 48 bit number to another 48 bit number. Note that the output size does not effect the security of the scheme, we can still use a 128 bit key if we like. Such encryption is called "format preserving encryption".

- FPE Schemes:
- Step 1: find the smallest power of 2 that is capable of representing all possible values. say  $S$ .
    - : Find a PRF capable of outputting  $S/2$  bits. Use AES and truncate to  $S/2$  bits output
    - : Use a 7 stage feistel Network (3 required by Luby-Rack) to convert the PRF into a PRP.
  - Step 2: Repeatedly apply the PRP to obtain a  $S$  bit value that fits inside your acceptable range.

## BASIC KEY EXCHANGE

We know how to implement security primitives as long as we have shared secret key. The next question is how are these shared secrets established. One trivial / impractical solution is to require every pair of users to share a secret key. This requires  $O(n^2)$  keys, and is hard to manage.

The other alternative is to have an online trusted third party. Kerberos is an example. Everyone shares a key with the TTP and TTP generates and issues session keys for interactions between users (as tickets). While this works well (especially in an enterprise setup, where we can have a trusted server) there are issues with using this when TTP could be breached or not trusted.

"Can we generate shared secrets without a TTP?" → Fundamental question in Public key cryptography.

MERKEL PUZZLES: First attempt at P-K crypto. Ralph Merkle in 1974 presented the idea as a part of his undergrad seminar. The prof. did not grasp the significance of the finding and it was only 5 years later that it was published.

The idea is the basic idea of PKC: Make the attacker do a lot more work than the valid parties.

Merkel's puzzles are only shared key primitives. They make a very inefficient protocol and is practically useless. "Puzzle" is a solvable problem which requires work.

Example: Breaking a 32 bit cipher. We can brute force a 32 bit key, but it'll take some time, so this makes it a puzzle.

Merkle's protocol uses this same puzzle.

Alice: Generate  $2^{32}$  encryption puzzles:

Work: Assuming constant time for generator for  $i = 1 \dots 2^{32}$  choose random  $P_i \in \{0,1\}^{32}$  and  $x_i, k_i \in \{0,1\}^{128}$   
 $\Theta(n) = O(2^{32})$  work set puzzle  $i = E_{AES}(O^{128} || P_i, "PUZZLE\#x_i" || k_i)$

Send  $2^{32}$  puzzles to Bob.

Bob: Pick a random puzzle from the  $2^{32}$  received and break it (by trying all  $2^{32}$  possible keys)

Bob does  $O(2^{32})$  work send  $x_i$  of the broken puzzle to Alice, and use  $k_i$  as the key

Attacker does double the work

## DIFFIE-HELLMAN PROTOCOL

Merkel puzzles are said to have a "quadratic gap": - This means that the participants do  $O(n)$  work and the attacker does  $O(n^2)$  work. So the gap is quadratic. Can we increase this gap. No → is we use only shared key primitives. We need to use more algebraic primitives. Public key systems achieve an "exponential gap".

### Diffie-Hellman:

Fix a large prime  $p$  (60 digits prime)  $\approx 2^{1000}$  bits, choose a  $g$  in  $\{1, \dots, p-1\}$   
 $p$  &  $g$  are parameters of DH exchange.

Alice : choose random  $a$  in  $\{1, \dots, p-1\}$

Bob : choose random  $b$  in  $\{1, \dots, p-1\}$

$$A \rightarrow B : g^a \pmod{p}$$

$$B \rightarrow A : g^b \pmod{p}$$

Alice computes  $(g^b)^a$  ← what Alice chose  
 what B sent

$$\text{then } K_{AB} = g^{ab} \pmod{p}$$

Bob computes  $(g^a)^b$

DH is immune against MITM.

DH can be used

non-interactively

if everyone publishes  $g^a$ , anyone can send a secure message by computing  $g^{ab}$

Why can't the attacker compute  $g^{ab} \pmod{p}$ ?

What does he see:  $p, g, g^a \pmod{p}$  &  $g^b \pmod{p}$

Can he compute a function  $\rightarrow \text{DH}_g(g^a, g^b) = g^{ab} \pmod{p}$

where  $p$  is a large prime

General Number Field Sieve

Yes but it takes terribly long. The fastest known algorithm for this is GNFS

if  $p$  is  $n$  bits long  $\text{GNFS} = \mathcal{O}(\sqrt[3]{n})$  roughly.

→ sub exponential.

Comparisons:  $p = 1024$  bit GNFS takes as much time as brute forcing 80 bit keys.

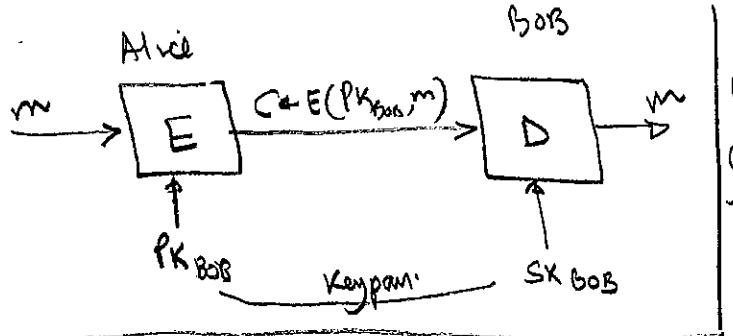
160 bits	$p = 1024 \Leftrightarrow 80$ bit cipher.
256 bits	$p = 3072 \Leftrightarrow 128$ bit cipher
512 bits	$p = 15360 \Leftrightarrow 256$ bit cipher.

generally  $p = 2048$  bits.

{ Security of the key exchange protocol must be comparable to the security of the block cipher.

DH is more a template and we can replace the algebraic modulo arithmetic with the Elliptic curve computation and those require much better parameters.

## PUBLIC - KEY ENCRYPTION



A PK system is a triple of algorithms  $(G, E, D)$   
Where  $G$ : randomiz algo outputs a keypair  
 $(PK, SK)$

$E(PK, m)$ : randomized algo that takes  $m \in M$  and outputs  $C \in C$ .

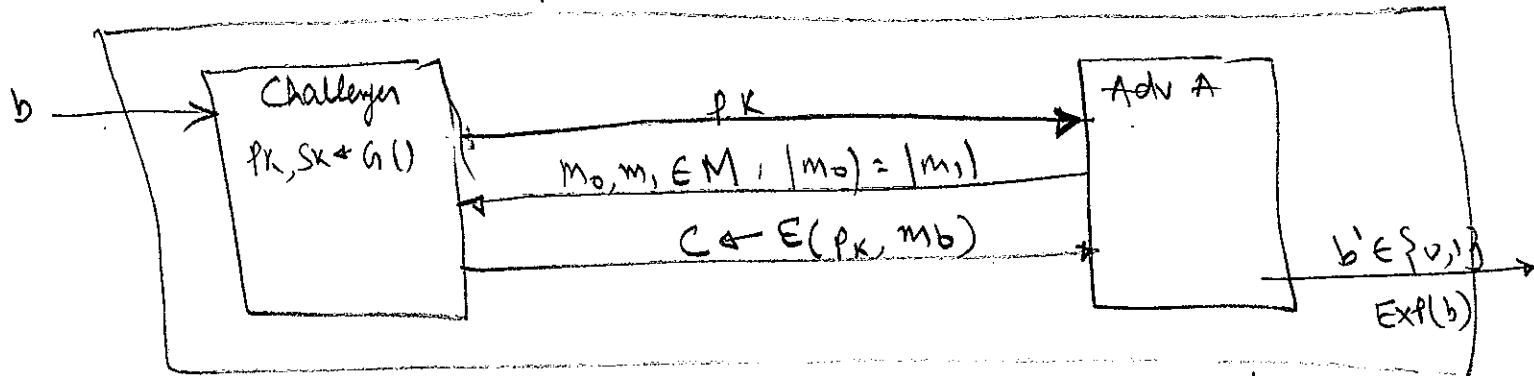
$D(SK, C)$ : det algorithm that takes  $C \in C$  and outputs  $m \in M$  or  $\perp$ .

$\nexists (PK, SK)$  output by  $G$

$\forall m \in M: D(SK, E(PK, m)) = m$

## SEMANTIC SECURITY

$E = (G, D, E)$  is semantic secure (IND-CPA) if for all efficient  $A$ :



$$Adv_{SS}[A, E] = \left| \Pr[Exp(0) = 1] - \Pr[Exp(1) = 1] \right| < \text{neg.}$$

CPA is a given in PK systems, so ideally the game doesn't require a message to be sent to the challenger for encryption (generation of ciphertext).

## Number Theory

Notation:  $N$  is a positive integer. ' $p$ ' is a prime

ring  $\rightarrow \mathbb{Z}_N = \{0, 1, 2, \dots, N-1\}$  ex.  $\mathbb{Z}_5 = \{0, 1, 2, 3, 4\}$

We can define arithmetic operations over  $\mathbb{Z}_N$  and this would be called arithmetic modulo  $N$ . Arithmetic in  $\mathbb{Z}_N$  follows the same laws of arithmetic.

ex:  $9+8=5$  in  $\mathbb{Z}_{12}$ ,  $5 \times 7=11$  in  $\mathbb{Z}_{12}$ ;  $5-7=10$  in  $\mathbb{Z}_{12}$ .

GCD: Greatest common divisor. for all  $x, y \rightarrow$  there exist integers  $a, b$  such that  
 extended euclid's algorithm  $ax+by = \gcd(x, y) \rightarrow \gcd$  of 2 numbers can be expressed as a linear combination using  $a, b$ .

if  $\gcd(x, y)=1 \rightarrow x$  and  $y$  are relatively prime.

Modular Inversion: Element of  $x$  in  $\mathbb{Z}_N$  is an element in  $\mathbb{Z}_N$  such that  $x \cdot y \equiv 1 \pmod{N}$

ex: Consider  $\mathbb{Z}_5$ , inverse of 2 in  $\mathbb{Z}_5$  is 3, because  $2 \times 3 = 1$  in  $\mathbb{Z}_5$ .

More generally inverse of 2 in  $\mathbb{Z}_N$  with  $N$  odd is  $\frac{N+1}{2}$

Not all elements have inverses in  $\mathbb{Z}_N$ .  $x$  in  $\mathbb{Z}_N$  has an inverse iff  $\gcd(x, N) = 1$

Ex: 2 in  $\mathbb{Z}_5$  has an inverse 3, because 2, 5 are relatively prime.

3 in  $\mathbb{Z}_6$  has no inverse  $\gcd(3, 6) = 3$ .  $\{0, 1, 2, 3, 4, 5\}$   
 $3 \times 0 = 0, 3 \times 1 = 3, 3 \times 2 = 0, 3 \times 3 = 3, 3 \times 4 = 0, 3 \times 5 = 3$

Proof: if  $\gcd(x, N) = 1$ , inverse exists

$$\gcd(x, N) = 1 \Rightarrow ax + b \cdot N = 1 \Rightarrow ax \equiv 1 \pmod{N}$$

$x^{-1} = a \pmod{N}$  (b · N can be dropped = 0)  
 using euclidean extended algo.

only if say  $\gcd(x, n) \neq 1$ , inverse cannot exist.

Solve  $ax + b = 0$  in  $\mathbb{Z}_n$   
 $x = -b \cdot a^{-1}$

$\mathbb{Z}_N^* \Rightarrow$  set of elements in  $\mathbb{Z}_N$  that have an inverse. Ext euclid algo  
 $O(n^2)$  for  $n$  bits of  $N$

$$\mathbb{Z}_N^* = \{x \in \mathbb{Z}_N : \gcd(x, N) = 1\} \text{ ex. } \mathbb{Z}_{12}^* = \{1, 5, 7, 11\}$$

for prime  $p$   $\mathbb{Z}_p^* = \mathbb{Z}_p / \{0\} = \{1, 2, \dots, p-1\} \Rightarrow |\mathbb{Z}_p^*| = p-1$

## Modular Arithmetic Cont

### Fermat & Euler

**Fermat:** let  $p$  be a prime  $\forall x \in \mathbb{Z}_p^* \quad x^{p-1} = 1$  in  $\mathbb{Z}_p$

This can be used to compute inverses in  $\mathbb{Z}_p$  (note only mod primes, unlike Euclid's which works for composites)

$$\text{we know } x^{p-1} = 1 \Rightarrow x \cdot x^{p-2} = 1 \text{ in } \mathbb{Z}_p \Rightarrow x^{-1} = x^{p-2}$$

So by Fermat's theorem, we can compute the inverse by simply raising  $x$  to  $p-2$

However, Euclid's extended algo is more efficient. This takes  $O(\log^3 p)$  euclid  $O(\log^2 p)$

Another application is prime number generation.

Say we want to generate a prime  $p$  of length 1024 bits, we

- i) choose a random integer  $p \in [2^{1024}, 2^{1025}]$   $p$  outputted is not guaranteed to be prime by  $\Pr[p \text{ is prime}] < 2^{-60}$
- ii) See if  $2^{p-1} = 1$  in  $\mathbb{Z}_p$   
if yes output  $p$ ; else repeat

**Euler**

$\mathbb{Z}_p^*$  is a cyclic group, that is  $\exists g \in \mathbb{Z}_p^*$  such that  
 $\{1, g, g^2, \dots, g^{p-2}\} = \mathbb{Z}_p^*$  note that  $g^{p-1}$  is not needed; it is 1 by Fermat's

i.e. there exists a generator  $g$  such that all elements of  $\mathbb{Z}_p^*$  can be obtained by multiplying  $g$  with itself.

Consider  $p=7$ , we can see that all elements in  $\mathbb{Z}_7^*$  can be obtained by raising 3 to powers from 0 to 5.  $\mathbb{Z}_7^* = \{1, 2, 3, 4, 5, 6\} = \{3^0, 3^1, 3^2, 3^3, 3^4, 3^5\}$

Not every element is a generator, but if  $p$  is prime Euler says there is at least one generator  
for ex. 2 in  $\mathbb{Z}_7^*$  will only generate  $\{1, 2, 4\}$

for  $g \in \mathbb{Z}_p^*$  the set  $\{g^0, g^1, g^2, \dots\}$  is called the group generated by  $g$

the order of  $g$  in  $\mathbb{Z}_p^*$  is the size of  $\langle g \rangle$ . if  $|\langle g \rangle| = |\mathbb{Z}_p^*|$   
 $\Leftrightarrow$  (smallest  $a \geq 0$  s.t.  $g^a = 1$  in  $\mathbb{Z}_p$ ) then  $g$  is a generator.

$$\text{ord}_{\mathbb{Z}_7}(3) = 6$$

$\uparrow$   
3 is a generator

$$\text{ord}_{\mathbb{Z}_7}(2) = 3$$

$$\text{ord}_{\mathbb{Z}_7}(1) = 1$$

$\forall g \in \mathbb{Z}_p^* \quad \text{ord}_p(g) \text{ divides } p-1 \rightarrow \text{Lagrange}$

$$\text{ord}_{\mathbb{Z}_5}(1) =$$

Euler generalized Fermat's result ( $x^{p-1} \equiv 1 \pmod{p}$ ), by using a  $\phi$  function

$$\phi(N) = |\mathbb{Z}_N^*| \Rightarrow \phi(N) = \text{no. of elements in } \mathbb{Z}_N^* \text{ (note that } N \text{ is not necessarily prime)}$$

ex:  $\phi(12) = |\{1, 5, 7, 11\}| = 4$ ,  $\phi(p) = p-1$  for primes.

if  $N = p \cdot q$        $\phi(N) = N - p - q + 1 = (p-1)(q-1)$   
 (product of 2 primes)

$\downarrow$                      $\downarrow$   
 # of multiples    # of multiples  
 of  $p$                 of  $p$

$$\boxed{\forall x \in \mathbb{Z}_N^* : x^{\phi(N)} \equiv 1 \text{ in } \mathbb{Z}_N}$$

for primes  $\phi(p) = p-1$   
 and this theorem reduces  
 to Fermat's theorem.

Applications: what is  $2^{10001} \pmod{11} \Rightarrow ((\underbrace{(2^{10})^{10}}_1)^{10}) \cdot 2^1 = 2$  because  $2^{10} \equiv 1 \pmod{11}$ .

$$2^{245} \pmod{35} \Rightarrow 2^{240} \cdot 2^5 = (\underbrace{(2^{24})^0}_1 \cdot 2^5 = 32.$$

Using Euclid's algorithm we can solve linear equations in  $\mathbb{Z}_N$

$$\text{ex: } ax+b=0 \text{ in } \mathbb{Z}_N \rightarrow x = -b \cdot a^{-1} \text{ in } \mathbb{Z}_N$$

What about higher degree polynomials. Specifically polynomials in  $\mathbb{Z}_p$   
 of a simpler form  $x^n - c = 0$  in  $\mathbb{Z}_p$  generally the solution  
 would involve finding the  $n^{\text{th}}$  root of  $c$  in  $\mathbb{Z}_p$ .

Let  $p$  be a prime and  $c \in \mathbb{Z}_p$ , then  $x \in \mathbb{Z}_p$  such that  $x^e \equiv c \pmod{p}$   
 is called the  $e^{\text{th}}$  root of  $c$ .

ex.  $7^{13} \equiv 6 \pmod{11}$  because  $6^3 \equiv 216 \equiv 7 \pmod{11}$

$3^{12} \equiv 5 \pmod{11}$  because  $5^3 \equiv 125 \equiv 3 \pmod{11}$

Not all  $e^{\text{th}}$  roots exist.

## Solving Modular Quadratic Equations

Let  $p$  be a prime and  $c \in \mathbb{Z}_p$ , we say  $x$  is the  $e^{\text{th}}$  root of  $c$  if

$$x \in \mathbb{Z}_p \text{ and } x^e = c \text{ in } \mathbb{Z}_p$$

Not all  $e^{\text{th}}$  roots exist, for example  $2^{12}$  does not exist in  $\mathbb{Z}_{11}$ . The question thus is  $\rightarrow$  When do  $e^{\text{th}}$  roots exist? How do we find them?

There is no general algorithm to find modular  $e^{\text{th}}$  root in  $\mathbb{Z}_n$ , we walk over special cases. (futly, we're only dealing with  $\mathbb{Z}_p$  as primes)

Case 1:  $e$  is relatively prime to  $p-1$ , ex. cube root in  $\mathbb{Z}_{11}$  ( $3, 1$  are relatively prime) if  $\gcd(e, p-1) = 1$ , then every element  $c \in \mathbb{Z}_p^*$  has an  $e^{\text{th}}$  root that is easy to compute.

Since  $\gcd(e, p-1) = 1$   $e$  has an inverse in  $\mathbb{Z}_{p-1}$ , let's call it  $d$ .  
 then  $c^{1/e} = c^d$  that is the  $e^{\text{th}}$  root is  $c^{e^{-1}}$

since  $e \cdot d = 1$  in  $\mathbb{Z}_{p-1}$ , if  $c^d$  is  $e^{\text{th}}$  root  $(c^d)^e = c^{de} = c$

If you want  $e^{\text{th}}$  root and  $e$  is relatively prime to  $p-1$ , then  $c^d$  is the  $e^{\text{th}}$  root, where  $d$  is the inverse of  $e$  in  $\mathbb{Z}_{p-1}$ . not in  $\mathbb{Z}_p$

Case 2: Consider square root, and odd primes,  $2$  is not relatively prime to  $p-1$  so the easy case does not apply.

In  $\mathbb{Z}_p^*$   $x \rightarrow x^2$  is a 2 to 1 function, i.e. 2 elements of  $\mathbb{Z}_p^*$  are going to have the same square root (like they do in real numbers). This also means that only  $1/2$  the elements of  $\mathbb{Z}_p^*$  will have square roots.

$x$  in  $\mathbb{Z}_p$  is a quadratic residue (QR) if it has a square root in  $\mathbb{Z}_p$

$$\text{for odd prime } \# \text{QR in } \mathbb{Z}_p = \left(\frac{p-1}{2}\right)_+ + 1 = \frac{p-1}{2} \quad \begin{cases} \text{exactly half the} \\ \text{number in } \mathbb{Z}_p \text{ can} \\ \text{have square root} \end{cases}$$

Euler's theorem

$$x \in \mathbb{Z}_p^* \text{ is a QR} \Leftrightarrow x^{\frac{p-1}{2}} = 1 \text{ in } \mathbb{Z}_p \quad \Rightarrow \quad x^{\frac{p-1}{2}} = \begin{cases} 1 & \text{Legendre's symbol} \\ -1 & \text{of } x \text{ mod } p \end{cases}$$

for odd primes  $p$  can be  $3 \pmod{4}$  or  $1 \pmod{4}$

can't be 0 because  
it'd be divisible by 4  
can't be 2 because it  
be even.

if  $p = 3 \pmod{4}$

if  $c \in \mathbb{Z}_p^*$  is a QR then  $\sqrt{c} = c^{(p+1)/4}$  in  $\mathbb{Z}_p$

if  $p = 1 \pmod{4}$ , then the square root isn't a cute formula like above  
but still it can be computed in  $O(\log^3 p)$ .

Thus: for  $\mathbb{Z}_p^*$  given a  $c$  we can check if it is a QR

using Euler's theorem  $c^{(p-1)/2} = 1$  in  $\mathbb{Z}_p^*$  and if it exists

and  $p = 3 \pmod{4}$   $\sqrt{c} = c^{(p+1)/4}$  in  $\mathbb{Z}_p$

So given  $ax^2 + bx + c = 0$  in  $\mathbb{Z}_p$   $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$  in  $\mathbb{Z}_p$

find  $(2a)^{-1}$  in  $\mathbb{Z}_p$  using ext. euclid algo.

find  $\sqrt{b^2 - 4ac}$  using the formulae above.

$$\text{ex: } ax^2 + bx + c = 0 \quad x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} = \frac{-4 \pm \sqrt{16 - 4}}{2} = \boxed{x = 5, 14}$$

$$23 \text{ is } 3 \pmod{4} \quad \text{so } \sqrt{12} = 12^{23+1/4} = 12^6 \text{ in } \mathbb{Z}_{23} = 9.$$

$$2^{-1} \text{ in } \mathbb{Z}_{23}, \quad 2x - 1 + 23 \cdot 1 = 1 \Rightarrow 2^{-1} = -11 = 12 \pmod{23}$$

$$-13 \times 12 = -156 \equiv -18 = 5 \pmod{23}$$

$$(-4 \pm 9) \cdot 12 \Rightarrow 5 \times 12 = 14.$$

Compute  $e^{\text{th}}$  root mod composites is hard.

## ARITHMETIC OPERATIONS MODULE

Representing large numbers, we can use an array of 32/64 bit numbers.

Addition/Subtraction :  $O(n)$  linear-time into. of bits of two numbers.

Multiplication: Naive  $O(n^2)$  optimized to  $O(n^{1.585})$  Karatsuba

Division with remainder :  $O(n^2)$

Exponentiation: Computing  $x^n$  where  $n$  is a large no. will naively use  $n$  multiplications. However this can be very slow if  $n \& m$  are large. So we use successive squaring algorithm. To beef up the theory we abstract the number system with a finite cyclic group  $G$ . (ex.  $G = \mathbb{Z}_p^*$ )

Given  $g$  in  $G$  and  $n$  compute  $g^n$ .

$$\text{ex. } n = 53, x = (1010)_2 \Rightarrow g^{53} = g^{32+16+4+1} = g^{32} \cdot g^{16} \cdot g^4 \cdot g^1$$

So we compute  $g, g^2, g^4, g^8, g^{16}, g^{32}$  and then multiply the underlined ones to get  $g^{32} \cdot g^{16} \cdot g^4 \cdot g^1$  instead of  $g^{32} \cdot g^{16} \cdot g^8 \cdot g^4$

Inputs  $g$  in  $G$  and  $x > 0$ ; output  $g^x$

write  $x = (x_n x_{n-1} \dots x_2 x_1 x_0)_2 \rightarrow$  write  $n$  in binary

$\log_2 n$   
steps

$\left\{ \begin{array}{l} y \leftarrow g, z \leftarrow 1 \\ \text{for } i=0 \text{ to } n \text{ do:} \\ \quad \text{if } x[i] == 1: z \leftarrow z \cdot y \\ \quad y \leftarrow y^2 \end{array} \right.$ 

accumulate product as product of powers of  $g$

$$O(\log x \cdot T_x) = O(\log x \cdot n^2)$$

$$\geq O(n^3)$$

## Intractable Problems

Modular arithmetic is a source of many problems which aren't trivial to solve. For large bases, this fact, is used as the basis of PK crypto. Discrete logarithms is the first one we consider here.

Fix a prime  $p > 2$  and  $g$  in  $\mathbb{Z}_p^*$  of order  $q$ , consider  $\text{pow}: x \rightarrow g^x$  in  $\mathbb{Z}_p$ .

Consider its inverse  $\text{Dlog}_g(g^x) = x$  when  $x$  in  $\{0, \dots, q-1\}$

ex: in  $\mathbb{Z}_{11} \rightarrow \text{Dlog}_2(5) = 4$  because  $2^4 \equiv 5 \pmod{11}$

$\text{Dlog}(\cdot)$  is very difficult for  $\mathbb{Z}_p$  if  $p$  is a large prime.

A general definition of DLOG.

Let  $G$  be a finite cyclic group and  $g$  be a generator of  $G$ , i.e.  $G = \{1, g, g^2, \dots, g^{q-1}\}$  where  $q$  is the order of  $G$ .

then we say DLOG is hard in  $G$  if for all efficient algo. A:

$$\Pr_{g \in G, x \in \mathbb{Z}_q} [A(G, g, g, g^x) = x] < \text{negligible.}$$

Ex. of  $G$  where DLOG is hard  $\begin{cases} \rightarrow (\mathbb{Z}_p^*) \text{ for large primes} \\ \rightarrow \text{Elliptic curve groups mod } p. \end{cases}$  is harder than

The fact that DLOG is hard on  $\mathbb{Z}_p^*$  was used by DH. That same template can be replaced by EC groups, which are harder, so the parameters with EC are smaller and more manageable. TRENDING towards EC

Intractable problems on composites: (a very special case of composites)

$$\mathbb{Z}_{(2)}(n) := \{N = p \cdot q \text{ where } p, q \text{ are } n\text{-bit primes}\}$$

product of 2 primes

2038  
↑  
safe value

Problem 1:

factor a random  $N$  in  $\mathbb{Z}_{(2)}(n)$

$n=768$  was solved recently  
 $n=1024$  will soon be solved

Problem 2: Given a polynomial  $f(x)$  where  $\deg f > 1$  and a random  $N$  in  $\mathbb{Z}_2(n)$   
find  $x$  in  $\mathbb{Z}_N$  such that  $f(x) = 0$  in  $\mathbb{Z}_N$   
(RSA uses this for specific  $f(x)$ )

## Public Key Encryption

Semantic Security of PK encryption  $\Rightarrow$  Eavesdropping security.

b)  $\rightarrow$  [Challenger  $\rightarrow$  Adv :  $\text{pk}$   
 Adv  $\rightarrow$  Challenger :  $m_0, m_1 : |m_0| = |m_1|$   
 Challenger  $\rightarrow$  Adv :  $E(\text{pk}, m_b)$ ]  $\rightarrow b' = \text{ERP}(b)$

$$\text{Adv}_{\text{SS}}[\text{E}] \left| \Pr_{\text{ss}}[E(\text{pk}, 0) = 1] - \Pr_{\text{ss}}[E(\text{pk}, 1) = 1] \right| < \text{neg.}$$

Here note that  $E = (G, E, D)$   $\begin{cases} G \text{ is a randomized algorithm to generate keypair} \\ E \text{ is a randomized algorithm to encrypt} \\ D \text{ is a deterministic algo to decrypt.} \end{cases}$

Note that  $E$  is randomized which is required because otherwise the attacker has all the knowledge ( $\text{pk}, m$ ) to generate  $c$  and defeat the system with Adv. 1.

Also note: One-time security  $\Rightarrow$  many-time security (CPA) for PK system.

Pub-key Chosen Ciphertext Security  $\Rightarrow$  Security against active attacks

if  $E = (G, E, D)$  be pubkey scheme over  $(M, C)$  for  $b=0, 1$  define  $\text{Exp}(b)$ :

b)  $\rightarrow$  [1) Challenger generates  $\text{pk}, \text{sk} \leftarrow G()$   
 2) Chal  $\rightarrow$  Adv :  $\text{pk}$   
 CCA Phase 1  
 3a) Adv  $\rightarrow$  Challenger :  $c_i \in C$   $\xrightarrow{\text{Asks for decryption of ciphertexts of his choice}}$   
 3b) Challenger  $\rightarrow$  Adv :  $m_i + D(\text{sk}, c_i)$   
 Challenge  
 4a) Adv  $\rightarrow$  Chal :  $m_0, m_1 : |m_0| = |m_1|$   $\xrightarrow{\text{Asks for encryption of messages of his choice}}$   
 4b) Chal  $\rightarrow$  Adv :  $c \leftarrow E(\text{pk}, m_b)$   
 CCA Phase 2  
 5a) Adv  $\rightarrow$  Chal :  $c_i \in C : (i \neq b)$   $\xrightarrow{\text{one more round of ciphertext choice.}}$   
 5b) Chal  $\rightarrow$  Adv :  $m_i + D(\text{sk}, c_i)$   $\rightarrow b'$

$E$  is CCA secure (IND-CCA) if  $\left| \Pr[\text{Exp}(0) = 1] - \Pr[\text{Exp}(1) = 1] \right| < \text{neg.}$   
 Suppose attacker can modify ciphertexts without being detected, active attack, we can show

$E$  is not IND-CCA.  $\xrightarrow{b}$  [Chal  $\rightarrow$  A :  $\text{pk}$   
 A  $\rightarrow$  Chal :  $(\text{to:alice}, 0), (\text{to:alice}, 1)$   
 Chal  $\rightarrow$  A :  $(\text{to:alice}, b) = c$  assume  $c$  is in between.  
 Adv  $\rightarrow$  Chal :  $(\text{to:charlie}, b) = c$ ; note  $c \neq c$   
 Chal  $\rightarrow$  Adv :  $(\text{sk}, (\text{to:charlie}, b)) \rightarrow b'$ ]

Adv. 1

## TRAPDOOR FUNCTION

A trapdoor function  $X \rightarrow Y$  is a triple of efficient algorithms  $(G, F, F^{-1})$

$G$  = randomized algo that outputs  $(PK, SK)$

$F(PK, \cdot)$ : deterministic algo that defines a function  $X \rightarrow Y$

$F'(SK, \cdot)$  = defines a function  $Y \rightarrow X$  that inverts  $F(PK, \cdot)$

$\forall PK, SK$  output by  $G$   
 $\forall x \in X \quad F^{-1}(SK, F(PK, x)) = x$

trapdoor A TDF  $(G, F, F^{-1})$  is secure if  $F(PK, \cdot)$  is a one way function

Can be evaluated but not inverted without  $SK$ .

Challenger:  $(PK, SK) \leftarrow G()$ ,  $x \leftarrow^R X$

Challenger  $\rightarrow$  Adv :  $PK \xrightarrow{y=F(PK, x)}$

Adv  $\longrightarrow x'$

$$\text{Adv}_{\text{OW}}[A, F] = \Pr[x = x'] \leq \epsilon_{\text{neg}}$$

With Secure TDF, we can build a secure PK system as follows.

SIMILAR 25G

Given Secure TDF:  $(G, F, F^{-1})$ ,  $(E_s, D_s)$ : symmetric AE scheme over  $(K, M, C)$ ,  $H: X \rightarrow K$  hash func

We can construct a PK system  $E = (G, E, D)$  as.

$G$ : same as TDF  $G$ .

$E(PK, m) :=$

$x \leftarrow^R X, y \leftarrow F(PK, x)$

$K \leftarrow H(x), c \leftarrow E_s(K, m)$

output  $(y, c)$

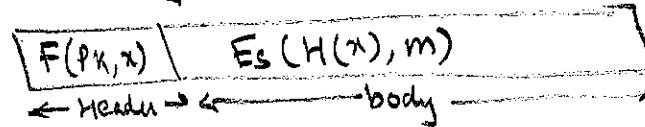
$D(SK, (y, c)) :=$

$x \leftarrow F^{-1}(SK, y)$

$K \leftarrow H(x), m \leftarrow D_s(K, c)$

output  $m$ .

Such a  $(G, E, D)$  is CCA-Secure



ISO standard way of composing PK scheme from TDF & E

It is tempting to use a TDF directly as a PK system

$$\text{Enc} \Rightarrow c = F(PK, m) \quad \& \quad \text{Dec} \Rightarrow m = F^{-1}(SK, c)$$

but this would be wrong because it is deterministic & also because the details of practical TDFs will enable attacks.

How do we make a TDF  $\rightarrow$  next

## RSA TRAPDOOR PERMUTATION

RSA is a TDP (permutation because, it maps  $X \rightarrow X$ ). like a TDF it is defined over a triple  $(G, F, F^{-1})$

$G$  : Choose random primes  $p, q \approx 1024$  bits, Set  $N = p \times q$  modulus.  
 choose integers  $e, d$   $e \cdot d = 1 \pmod{\phi(N)}$   $\phi(N) = N - p - q + 1$   
 output  $pk = (N, e)$   $sk = (N, d)$   $e, d$  are relatively prime to  $\phi(N)$   
↑  
encryption exponent ↑  
decryption exponent &  $e \cdot d$  are inverses of each other

$$F(pk, x) : \mathbb{Z}_N^* \rightarrow \mathbb{Z}_N^* : RSA(x) = x^e \pmod{N}$$

$$F^{-1}(sk, y) : y \stackrel{d}{\mapsto} (RSA(x))^d \Rightarrow (x^e)^d \pmod{N} = x^{ed} \pmod{N}$$

$$\text{but } ed = 1 \pmod{\phi(N)}, \text{ that is } e \cdot d = k \phi(N) + 1$$

$$x^{ed} \pmod{N} = x^{k\phi(N)+1} \pmod{N} = (x^{\phi(N)})^k \cdot x = x. \quad \downarrow \text{Euler's theorem} = 1.$$

RSA is a secure system because decryption requires ' $d$ ' and that requires computing  $\phi(N)$  which in turn requires factorizing  $n$  into  $p, q$  which is hard.

RSA assumption: for all efficient algo A: where  $p, q \leftarrow n$  bit primes  
 $\Pr[A(N, e, y) = y^e] < \text{negligible. } N \leftarrow pq, y \leftarrow \mathbb{Z}_N^*$

Note that RSA is a TDF & not an encryption scheme. It should not be used as the encryption system itself. We should plug it into ISO scheme we saw earlier.

$G()$ : generate  $pk = (N, e)$   $sk = (N, d)$

$E(pk, m)$ : choose random  $x$  in  $\mathbb{Z}_n$ ,  $y = RSA(x) = x^e$ ,  $K \leftarrow H(x)$ , output  $(y, Es(k, m))$

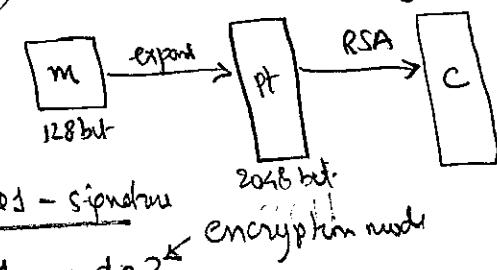
$D(sk, y, c)$ : output  $D_s(H(RSA^{-1}(y)), c)$

Using RSA by itself can be broken unless then exhaustive search by MIM  
 because for  $c = K_1^e$ ,  $K = K_1 \cdot K_2$  prob  $\approx 20\%$ .  $c/K_1^e = K_2^e \rightarrow$  Brute force search by MIM  
 $\rightarrow$  vary  $K_2$ , compute all possible values

## PKCS

Never use textbook RSA. ISO scheme can be used, but in practise PKCS is used.

Generally, RSA is used to encrypt secret keys, say 128 bit AES key: en j.



PKCS1 mode 2

PKCS1 v1.5

We take the message, prefix with FF, set the MSB 16 bits to 02 to identify the scheme we're using and fill the rest with random bits that don't include FF.

Widely used, e.g. in HTTPS → Was broken by Bleichenbacher 1998 using the fact that the protocol responded differently when the  $m_{16}(PT) \neq 02$ .

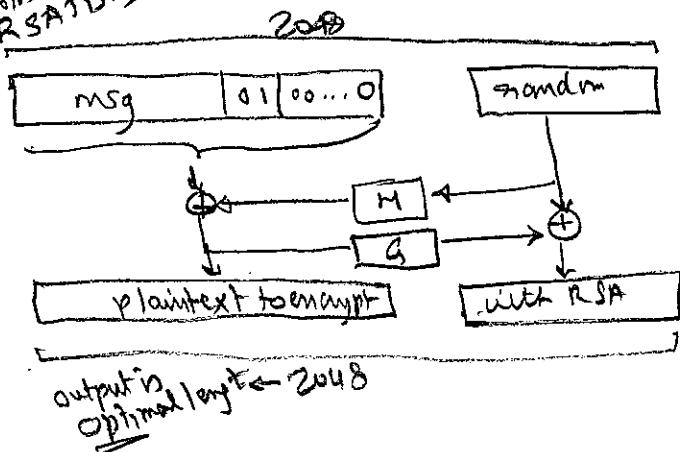
This was used as the oracle to expose the PT, using a chosen-ciphertext attack.

Bleich. attack asks the WS to decrypt  $r \in \mathbb{Z}_n$   $c' \leftarrow r^e c = (r \cdot \text{PKCS}_1(m))$  and detects if the most significant bits are 02 or not.

HTTPS was modified (RFC 5246). → Instead of responding with error if PT does not start with 02, we assume all is well and use a random R as the message.

Protocol will fail later because R will not be the right m

which is 8TB the pre-master-secret.

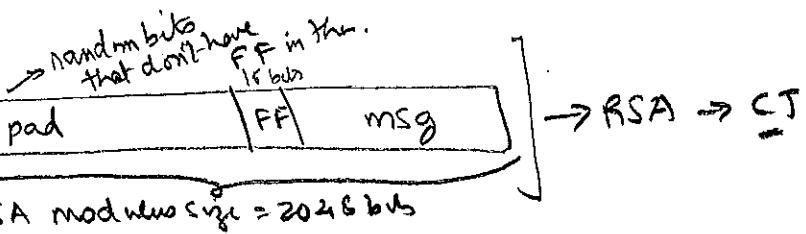


OAEP (Only works with RSA-TDF)  
Optimal Asymmetric Encryption padding  
H & G are random oracles  
in practise SHA-256

simple OAEP, SAEP → Used if  $e = 3$   
extends OAEP to general TDP (and not just RSA)  
Uses W replaces padding oracle with  $W(M, ?)$

Most used in PKCS1 1.5 in HTTPS  
OAEP is used 2nd Most.

Questions one - how should we expand the short message to the input PT for RSA encryption? 2 standard ways of don't



RSA modulus size = 2048 bits