

Electroweak Penguin B Decays at BaBar: $b \rightarrow s\gamma$ and $b \rightarrow sll$

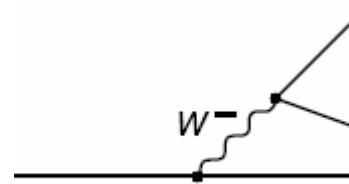


LNS Journal Club Seminar
April 1, 2005

Three Paths to Flavor Violation

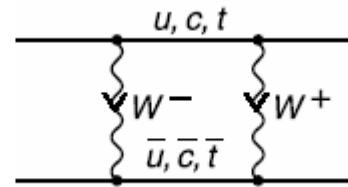
1. Tree diagram decay: down \rightarrow up ($b \rightarrow c, b \rightarrow u$)

Not generally sensitive to new physics



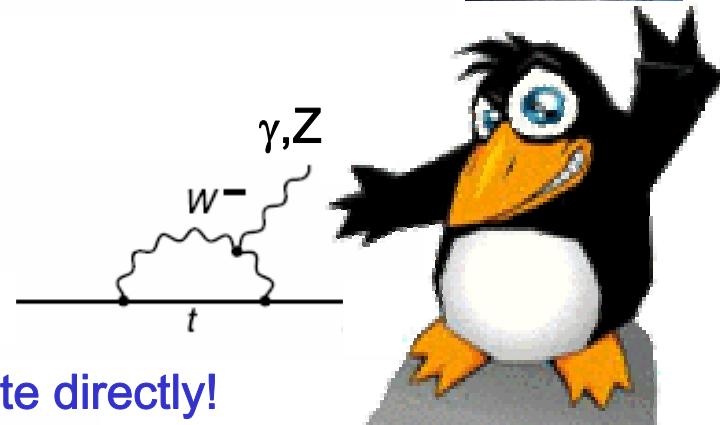
2. Box diagram: neutral meson mixing (K^0, B_d^0, B_s^0)

down-type \rightarrow anti-down type ($b \rightarrow \bar{b}$)
via double W exchange

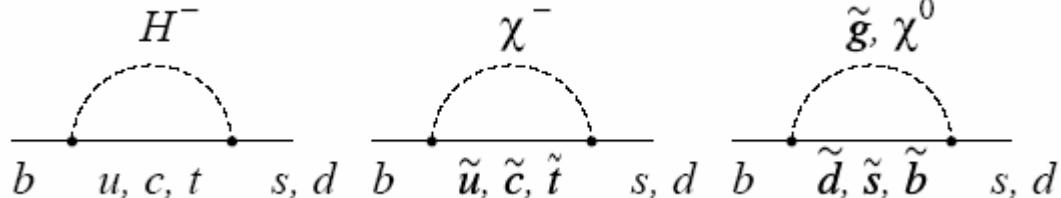


3. Penguin diagram: ($b \rightarrow s, b \rightarrow d$)

down-type \rightarrow down-type
via emission & reabsorption of W;
top-quark couplings V_{td}, V_{ts} dominate



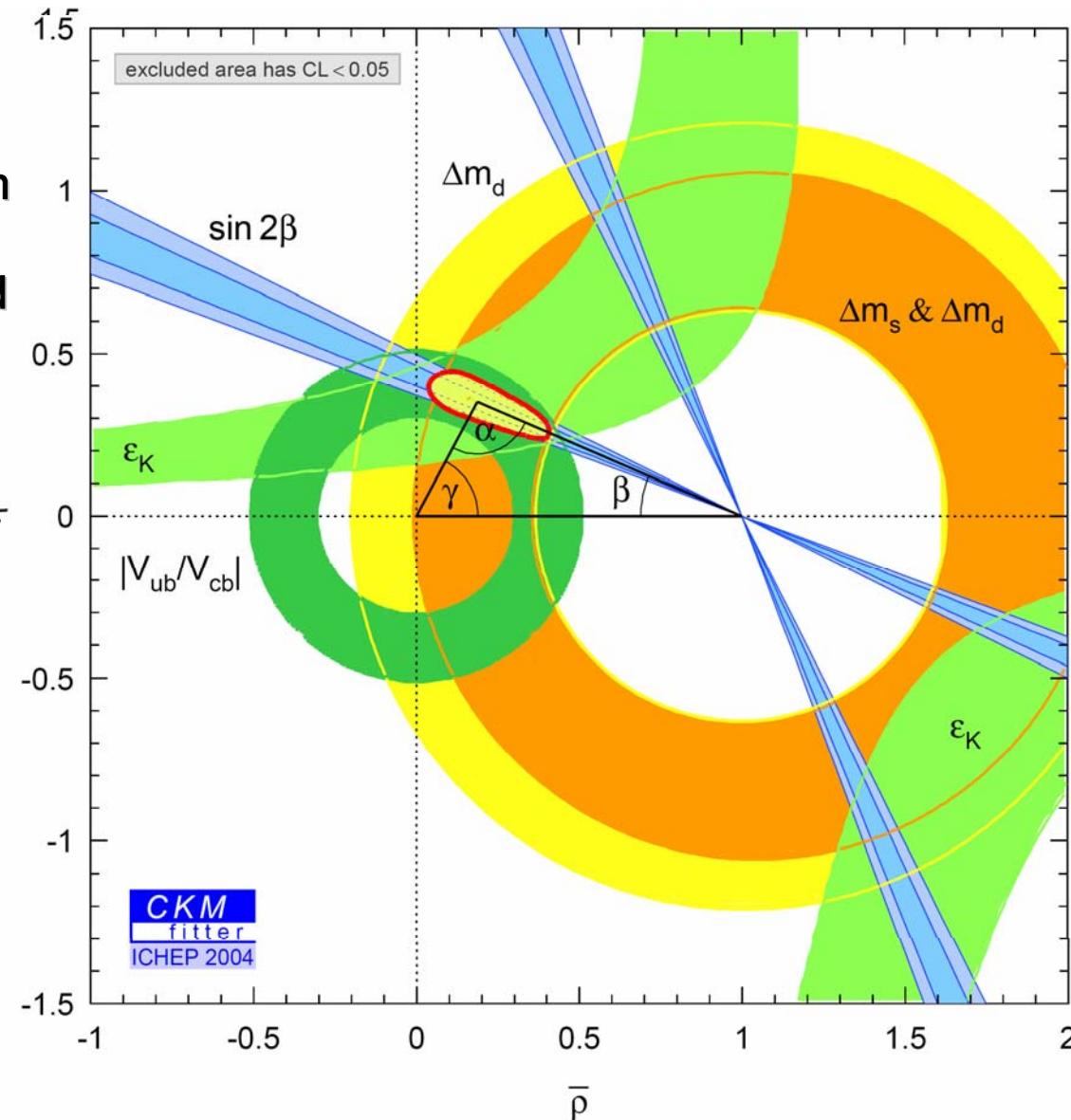
SM penguins are suppressed; new physics can compete directly!



+ 4th gen. quarks, technicolor,
LED, etc., etc., with possible
enhanced flavor couplings

CKM Unitarity Triangle: Experimental Constraints

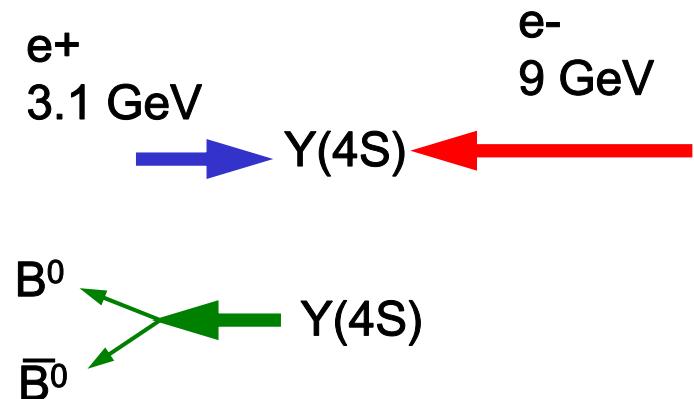
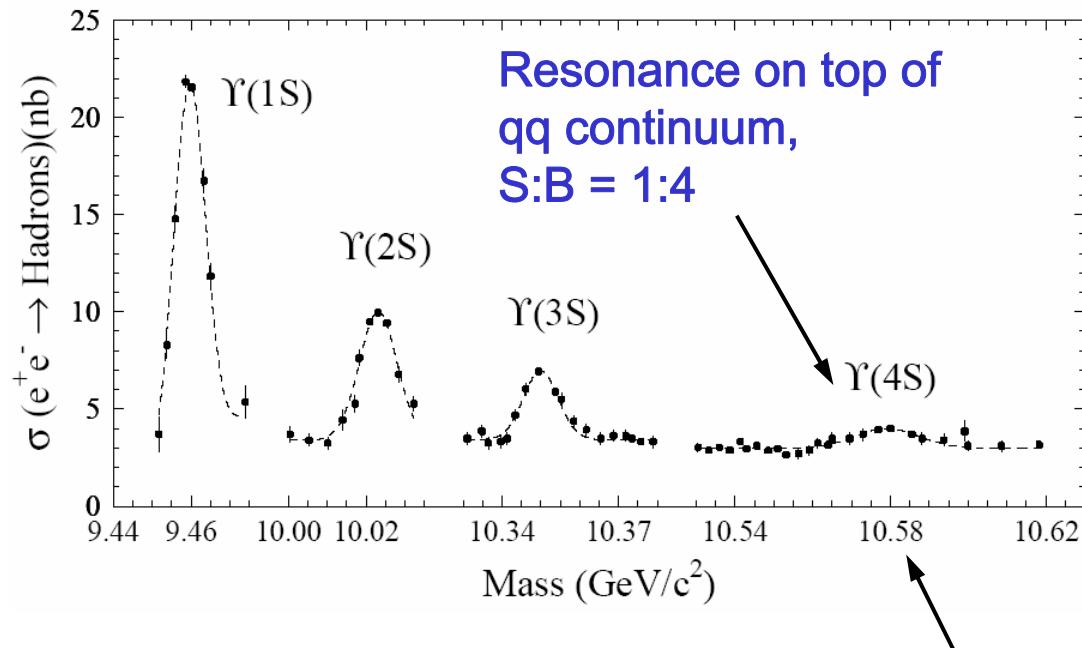
Constraints from
Decay rates and
Mixing Rates
CP violation in
kaon decay
CP violation in
 $b \rightarrow c\bar{c}s$



Remarkable validation of the CKM mechanism for both flavor violation and CP violation!

Do penguin decays agree with this picture??

Asymmetric B Factories



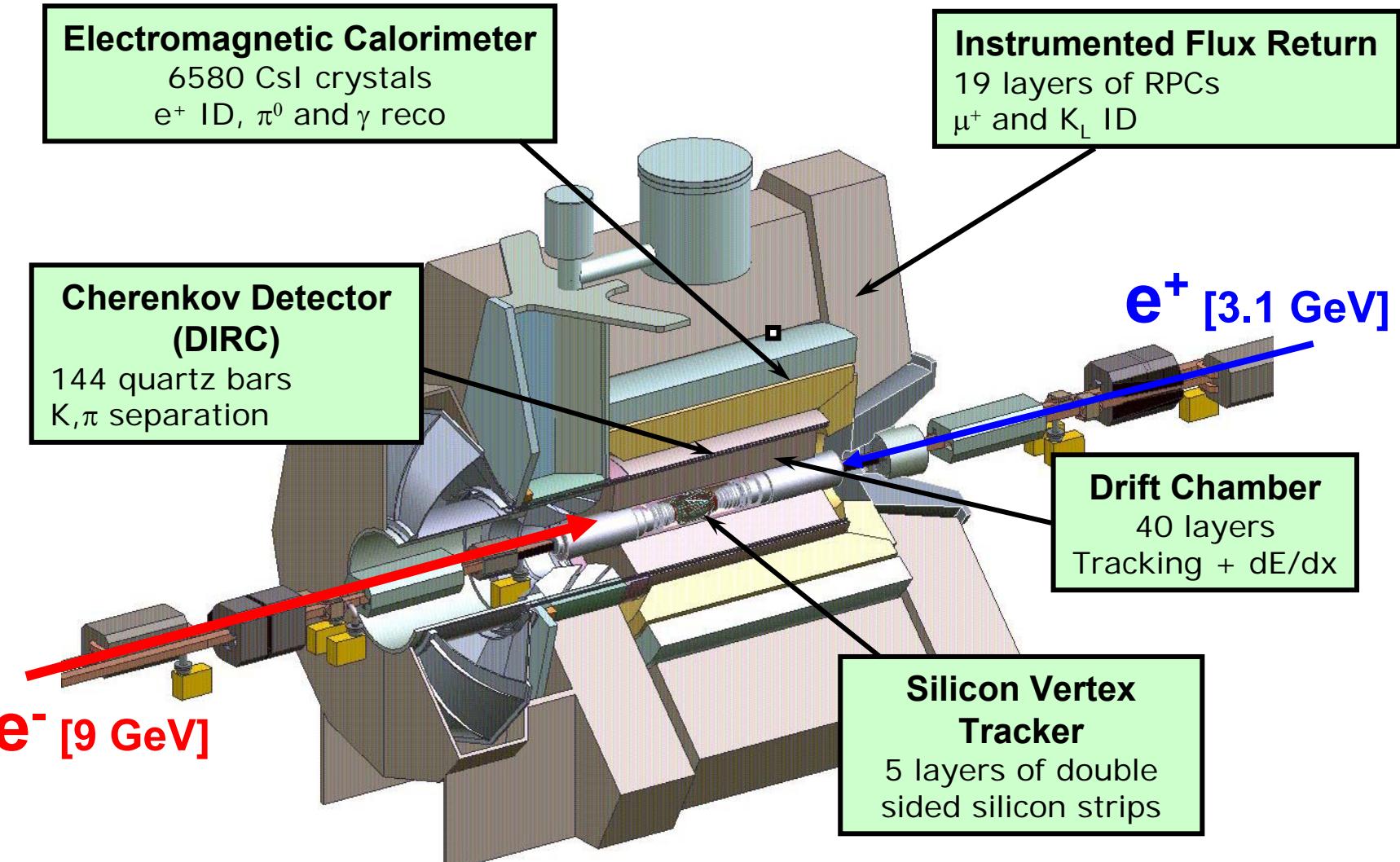
$\Upsilon(4S)$ meson: $b\bar{b}$ bound state with mass $10.58 \text{ GeV}/c^2$

Just above $2 \times B$ mass \rightarrow decays exclusively to $B^0 \bar{B}^0$ (50%) and $B^+ B^-$ (50%)

B factory: intense e^+ and e^- colliding beams with E_{CM} tuned to the $\Upsilon(4S)$ mass

Use e beams with asymmetric energy \rightarrow time dilation due to relativistic speeds keeps B 's alive long enough to measure decay time (decay length $\sim 0.25\text{mm}$)

The BaBar detector



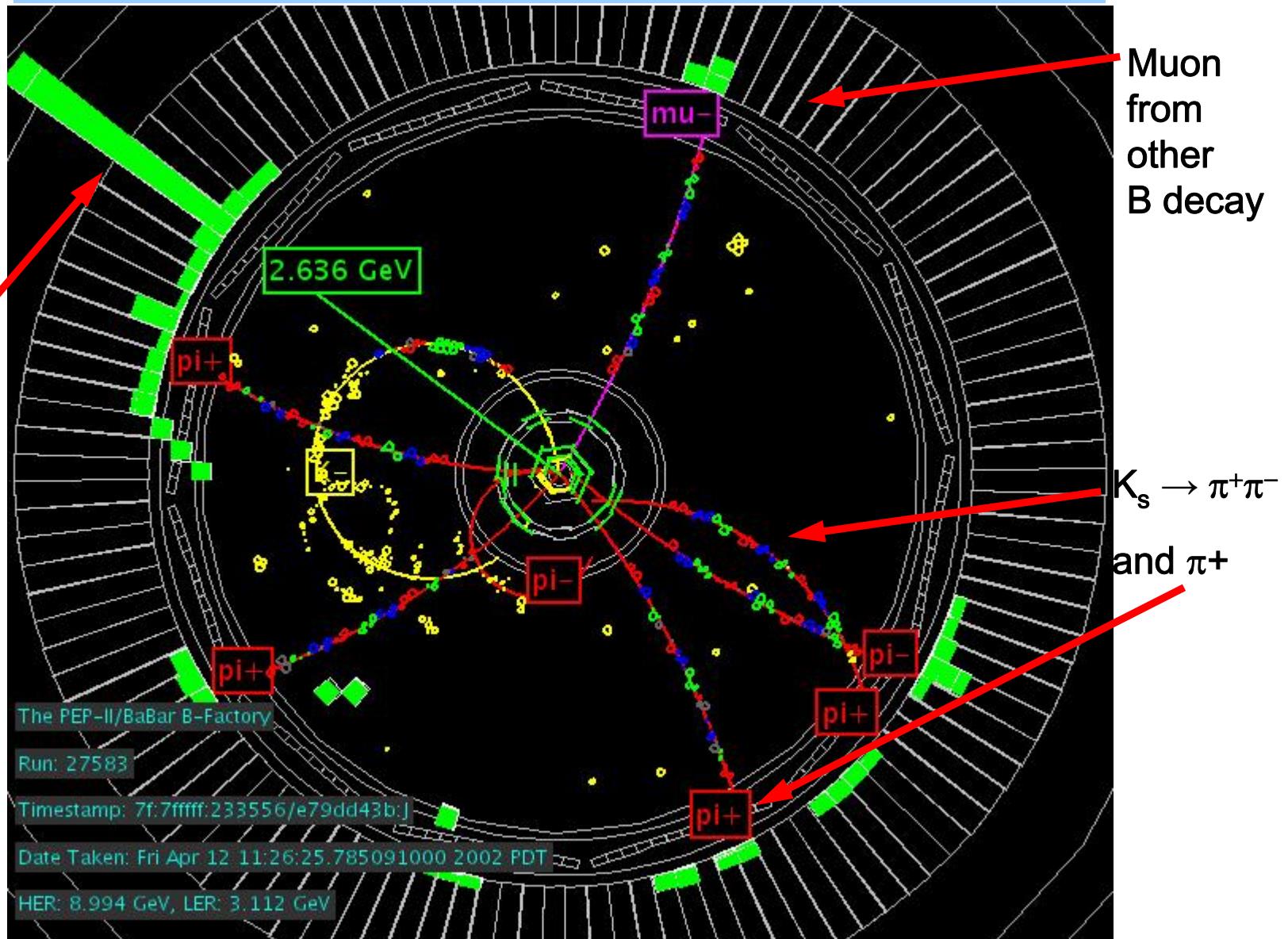
Penguin Portrait

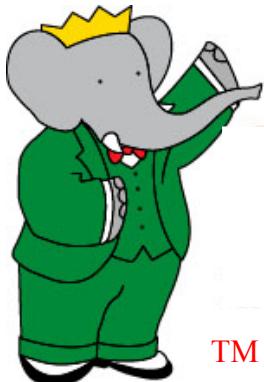
$B^+ \rightarrow K_s \pi^+ \gamma$
Candidate

High energy
photon

Muon
from
other
B decay

$K_s \rightarrow \pi^+ \pi^-$
and π^+



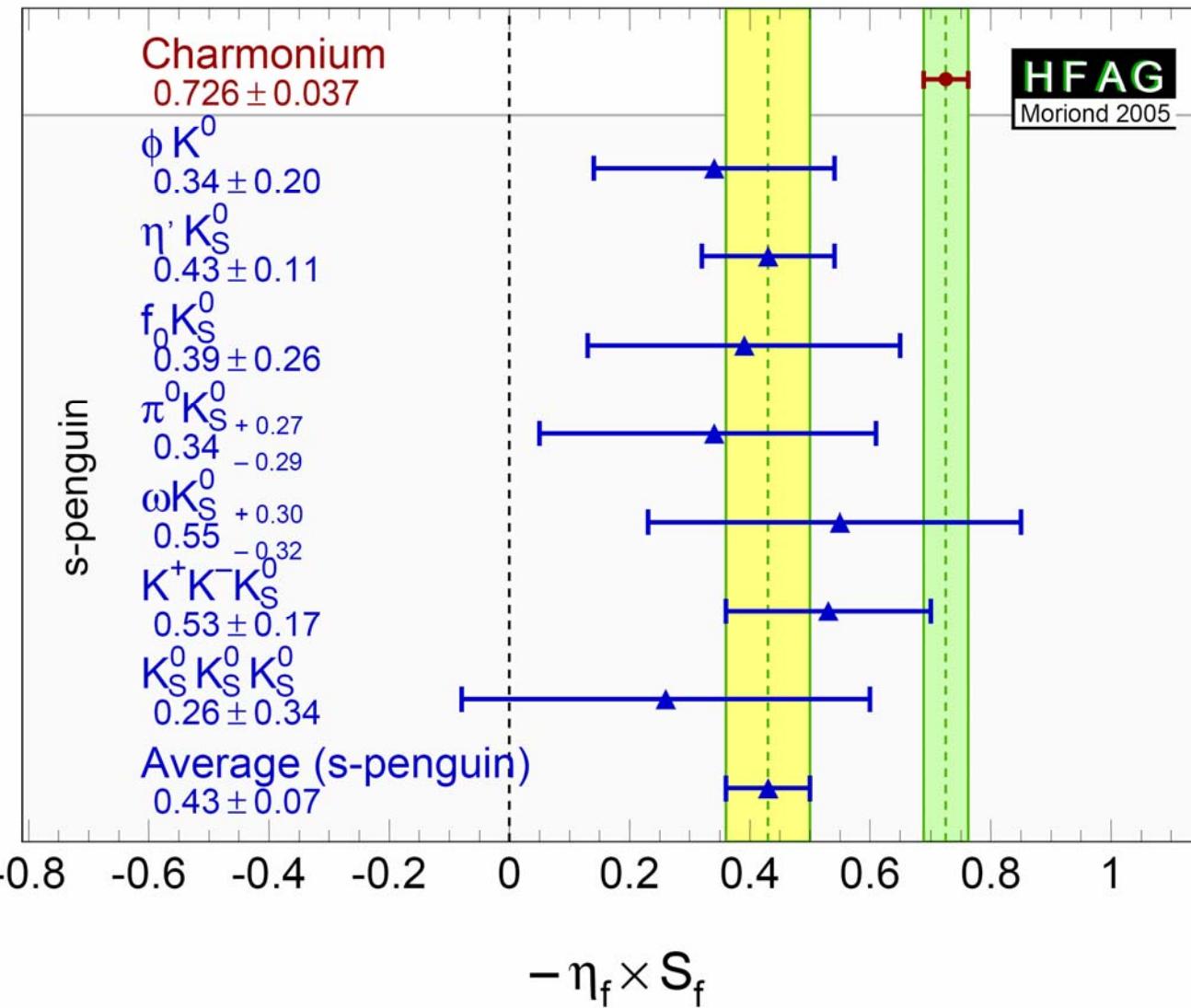


Gluon Penguins and CP Violation



Sin 2β: Trees(green) vs. Penguins(yellow)

World Average

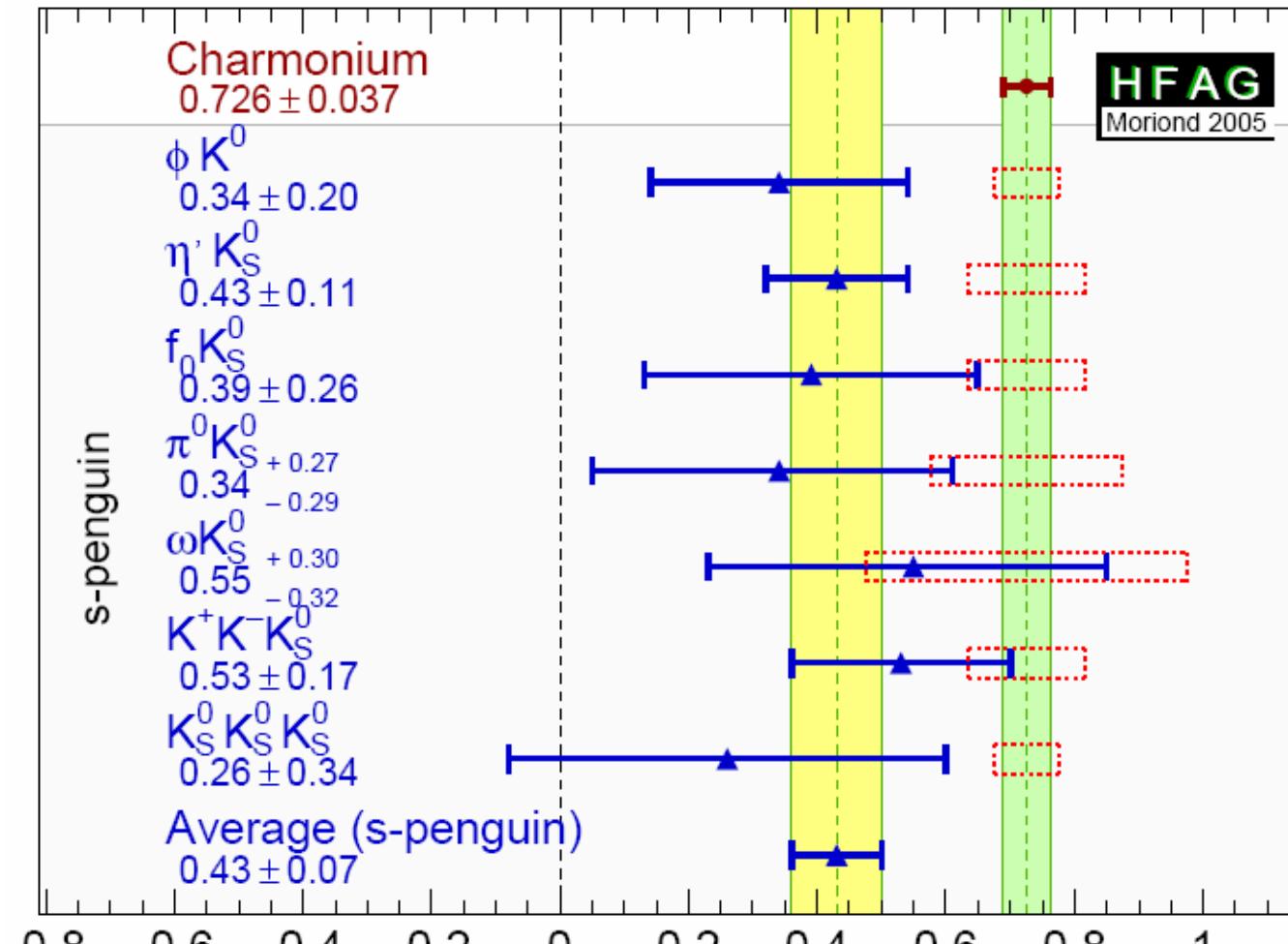


Averaging over
many penguin
decays:

World discrepancy
with tree decays

= -3.7σ

Trees(green) vs. Penguins(yellow): World Average



Averaging over many penguin decays:

World discrepancy with tree decays

= -3.7σ

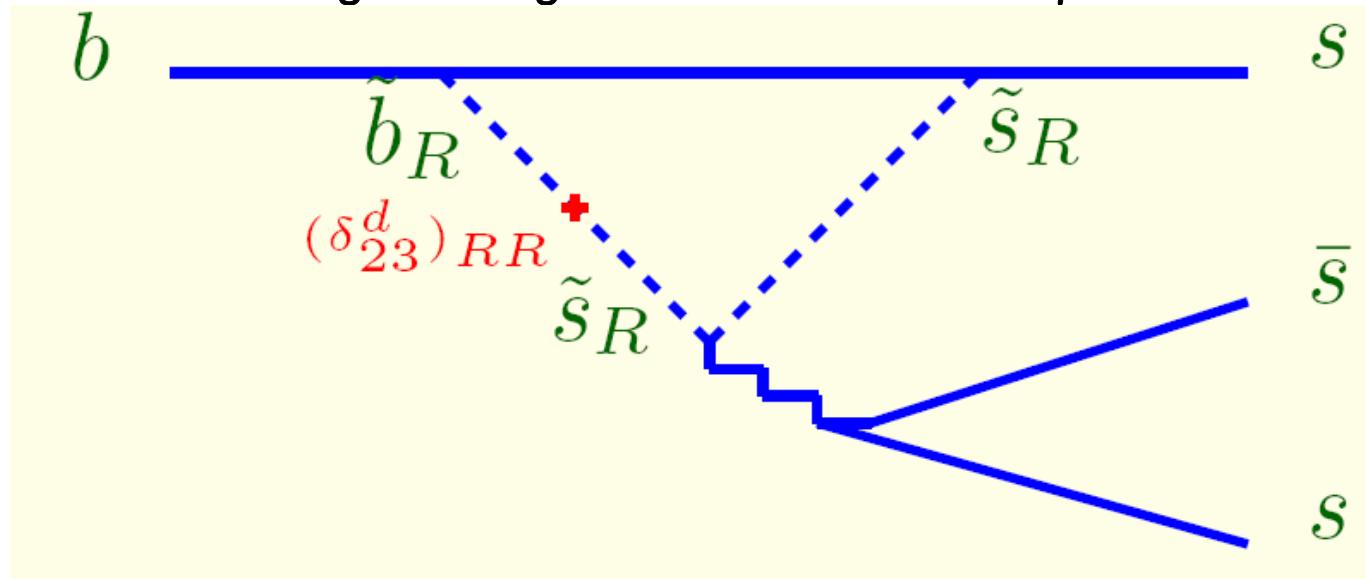
Red boxes: estimate from theory of errors due to neglecting other decay amplitudes

$$-\eta_f \times S_f$$

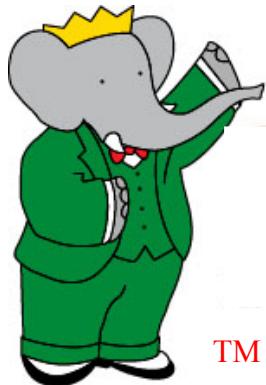
New Physics Scenarios

- New physics at the electroweak scale generically introduces many new large flavor-violating or CP-violating couplings to quarks

Ex: Isosinglet ($b \rightarrow s$) squark mixing in gluino penguins could introduce a new phase in $b \rightarrow sss$ penguins without affecting B mixing nor $b \rightarrow ccs$ nor $b \rightarrow s\gamma$



Effects in gluon penguins should generically produce effects in electroweak penguins

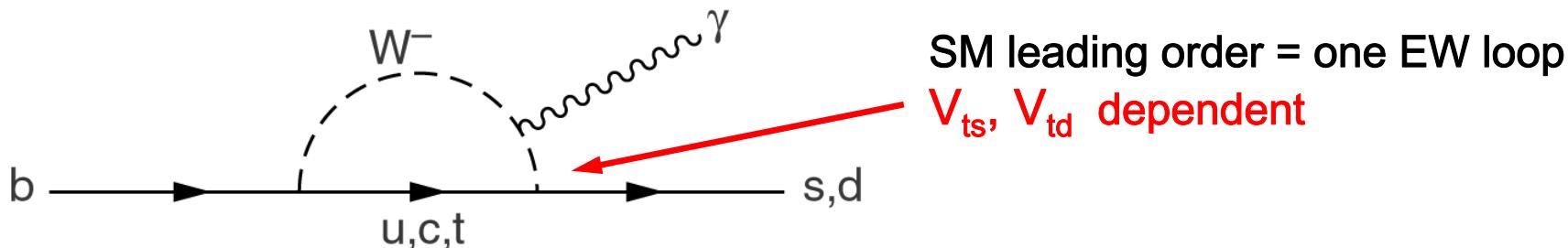


Photon Penguins



Photon Penguin Decays and New Physics

Radiative penguin decays: $b \rightarrow s\gamma$ and $b \rightarrow d\gamma$ FCNC transitions



SM leading order = one EW loop
 V_{ts}, V_{td} dependent

FCNCs probe a high virtual energy scale comparable to high-energy colliders
Radiative FCNCs have precise SM predictions:

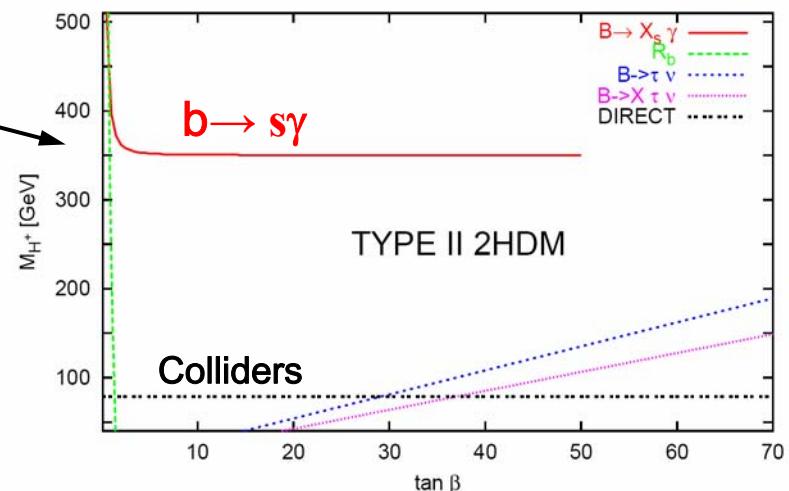
$$\text{BF}(b \rightarrow s\gamma)_{\text{TH}} = 3.57 \pm 0.30 \times 10^{-4} \text{ (SM NLO)}$$
$$\text{BF}(b \rightarrow s\gamma)_{\text{EXP}} = 3.54 \pm 0.30 \times 10^{-4} \text{ (HFAG)}$$

Decay rate agreement highly constrains new physics at the electroweak scale!

ALSO:

Direct CP asymmetry << 1% in SM

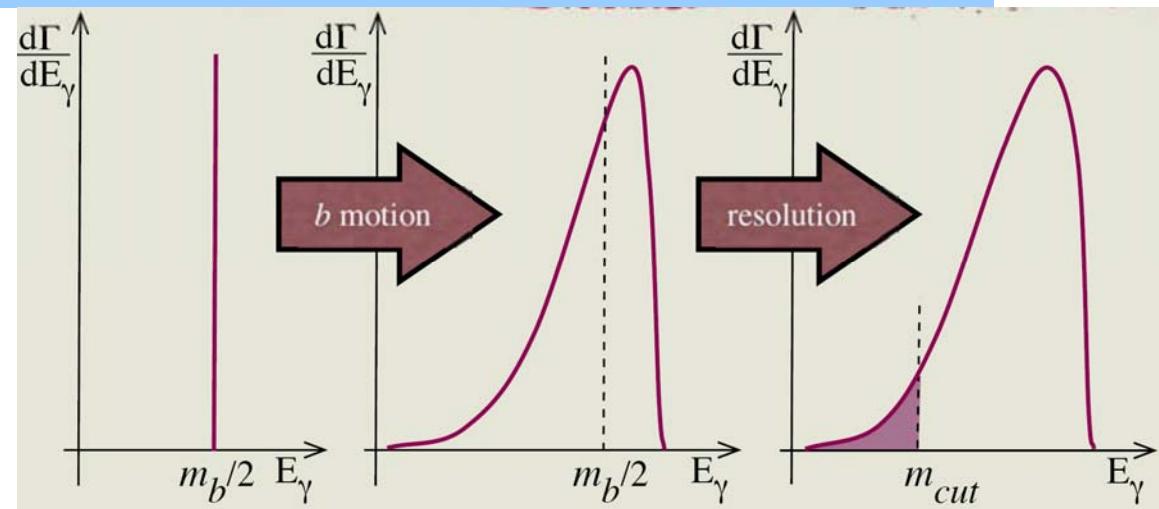
Could be O(1) beyond SM



Photon Penguin Decays and Heavy Quark Physics

$b \rightarrow s\gamma$ photon is DIS microscope of the b quark within the B meson

Trivial delta function spectrum modified by non-trivial b quark wave function



Close relationship with semileptonic B decays:

$b \rightarrow cl\nu$:

Lepton energy and hadronic mass spectral moments depend on non-perturbative parameters of a **Heavy Quark Expansion (HQE)**

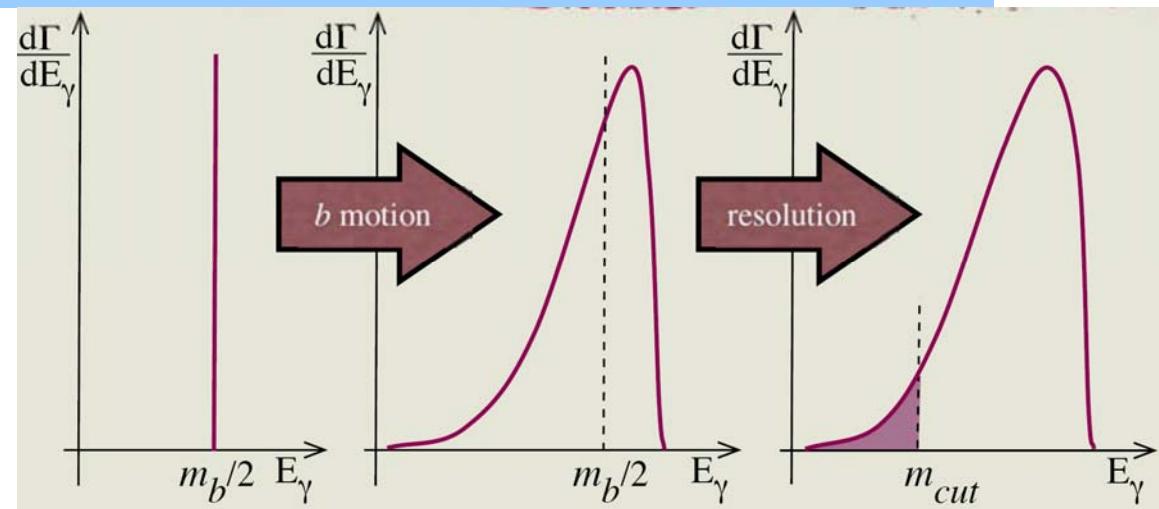
$b \rightarrow s\gamma$ photon energy spectral moments help constrain HQE parameters (especially b quark mass)

HQE technology necessary for high-precision $|V_{cb}|$ measurement
(currently ~2% and falling)

Photon Penguin Decays and Heavy Quark Physics

$b \rightarrow s\gamma$ photon is DIS microscope of the b quark within the B meson

Trivial delta function spectrum modified by non-trivial b quark wave function



$b \rightarrow ul\nu$:

HQE also relates $b \rightarrow ul\nu$ rates and moments with $|V_{ub}|$

Experimental cuts generally spoil HQE and introduce other non-perturbative effects

At LO, $b \rightarrow s\gamma$ spectrum measures “shape function” independently, increases $|V_{ub}|$ precision

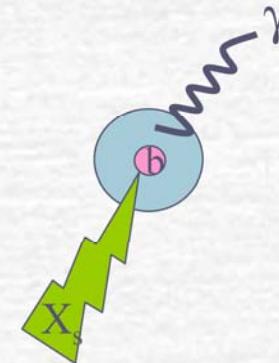
$$B(B \rightarrow X_u l\nu) = \frac{\Delta B}{f_u}, \text{ where } f_u \propto \iiint_{Sel} H(E_l, M_X, q^2) \otimes f(k_+; \Lambda^{\text{SF}}, \lambda_1^{\text{SF}})$$

$b \rightarrow s\gamma$: Decay Model

At parton level, two-body decay kinematics:

In B rest frame, $M(X_s)$ and E_γ related

$$E_\gamma = \frac{m_B^2 - m_{X_s}^2}{2m_B}$$



Hybrid of inclusive and exclusive decays

Below $M(X_s) = 1.1$ GeV:

K^* dominates

Breit-Wigner line shape assumed

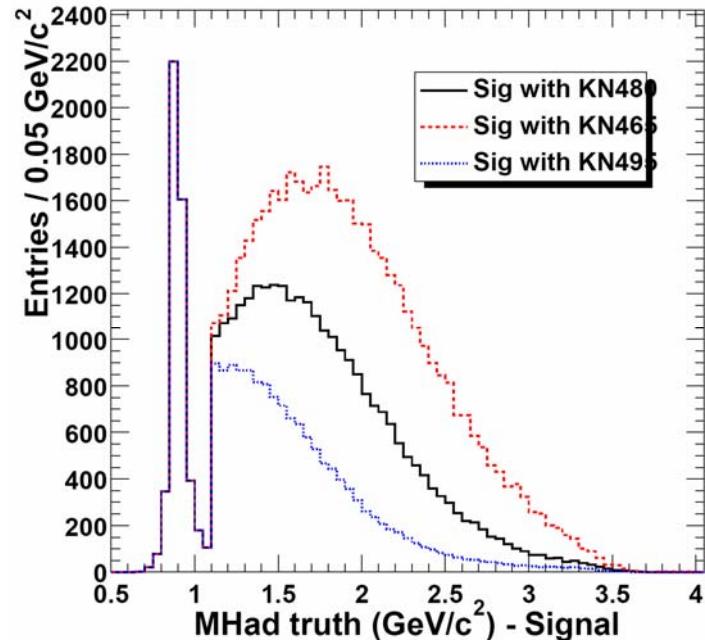
Above $M(X_s) = 1.1$ GeV:

many overlapping resonances

quark-hadron duality applies

inclusive photon spectrum assumed

X_s fragmented by JETSET



$b \rightarrow s\gamma$: Shape Functions

Inclusive spectral shape (and relative amount of K^*) from a **shape function model**

Kagan-Neubert (KN): Old ansatz with two parameters

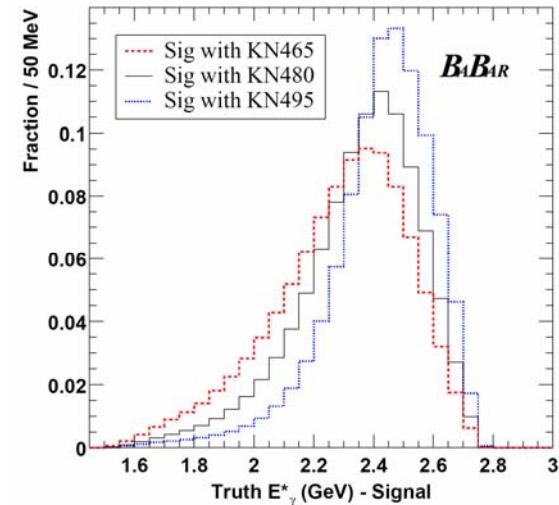
$\Lambda \approx m_B - m_b$ (correlated w/1st moment) $\mu_\pi^2 = \lambda_1 \approx -$ kinetic energy² (2nd moment)

Two modern schemes: correlate shape function with modern HQE parameters

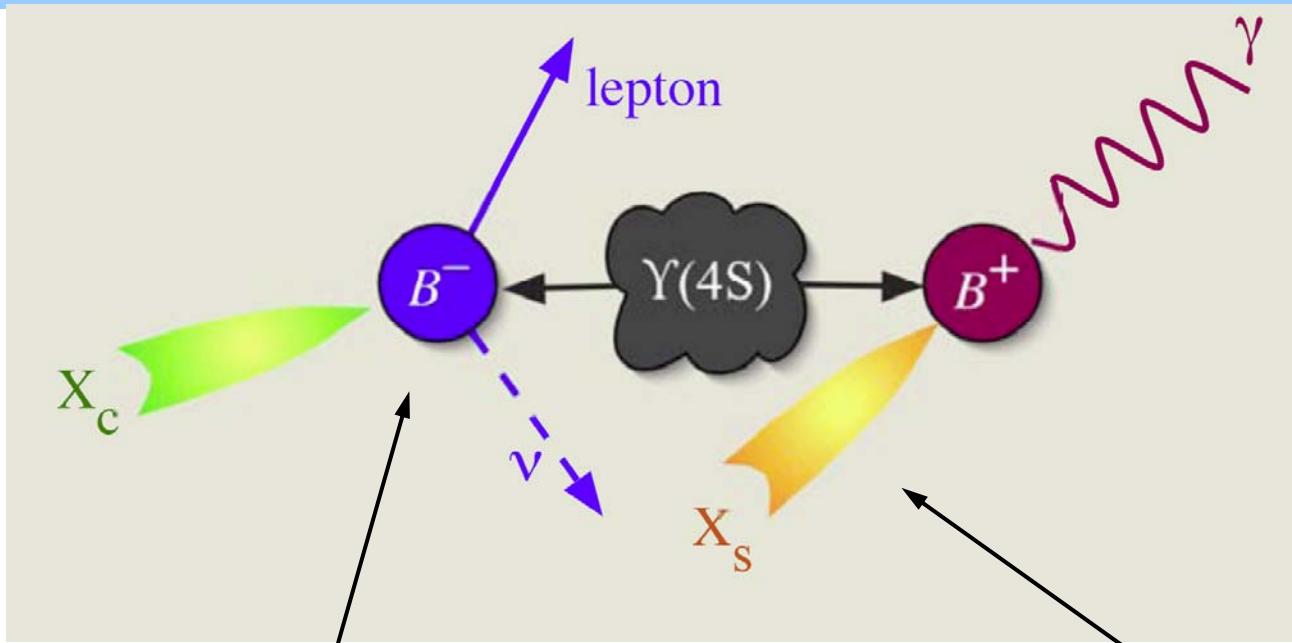
Benson-Bigi-Uraltsev (kin): based on “**kinetic scheme**” for HQE (hep-ph/0410080)
(m_b (kin), μ_π^2 (kin))

Neubert (SF): based on “**shape function scheme**” with
multi-scale OPE (hep-ph/0412241)
(m_b (SF), μ_π^2 (SF))

Parameters to be determined empirically
from photon spectrum



$b \rightarrow s\gamma$: Two Measurement Methods



Inclusive Lepton-tagged

Signal side: reconstruct photon only

Tag side: reduce qq background with high energy lepton, et al.

Pro: Least model dependent

Con: Large background subtraction

Sum of exclusive

Signal side: reconstruct photon + X_s

fit B mass distribution for signal + background

Pro: good resolution, low background

Con: Large model dependence at low E_γ

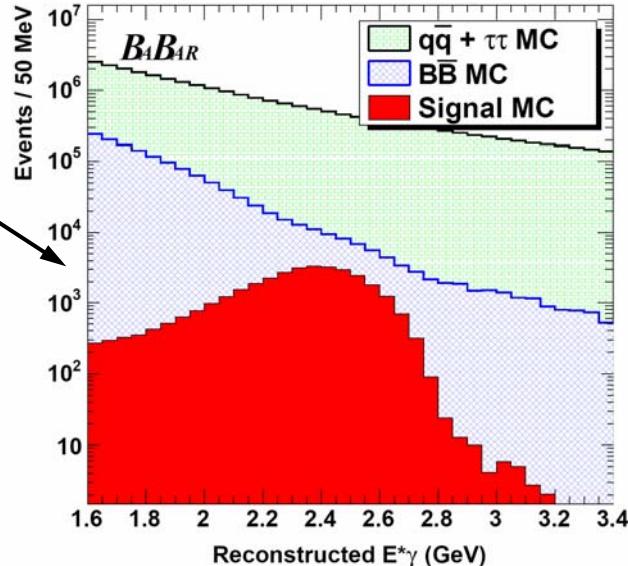
$b \rightarrow s\gamma$: Inclusive Measurement (82 fb^{-1})

Event Selection:

Inclusive photon reconstruction: $E_{\gamma}^* = 1.6\text{-}3.4 \text{ GeV}$

Energy in $\Upsilon(4S)$ frame (B rest frame unknown)

Shower shape and/or extra photons consistent
with π^0 or η vetoed



Lepton tag: reduces large continuum background

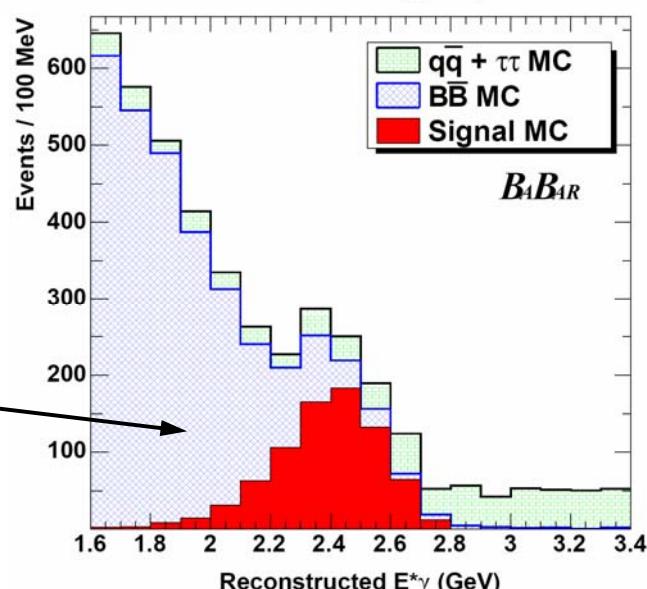
Semileptonic B decays have lepton and missing energy:

e (or μ) with $P^* > 1.25$ (1.5) GeV

$\text{Cos}(\theta(l, \gamma)) > -0.7$, $E(\text{miss}) > 0.8 \text{ GeV}$

5% signal efficiency, 0.07% continuum efficiency

(also tags B flavor for CP measurement)



Event shape: further continuum reduction

Fisher discriminant distinguishes jet-like continuum
from spherical signal

Inclusive Measurement: Background Subtraction

Remaining continuum background:

Subtraction estimated from data taken below Y(4S) (10 fb^{-1})

Tested by high E_γ data ($> 2.7 \text{ GeV}$)

B background:

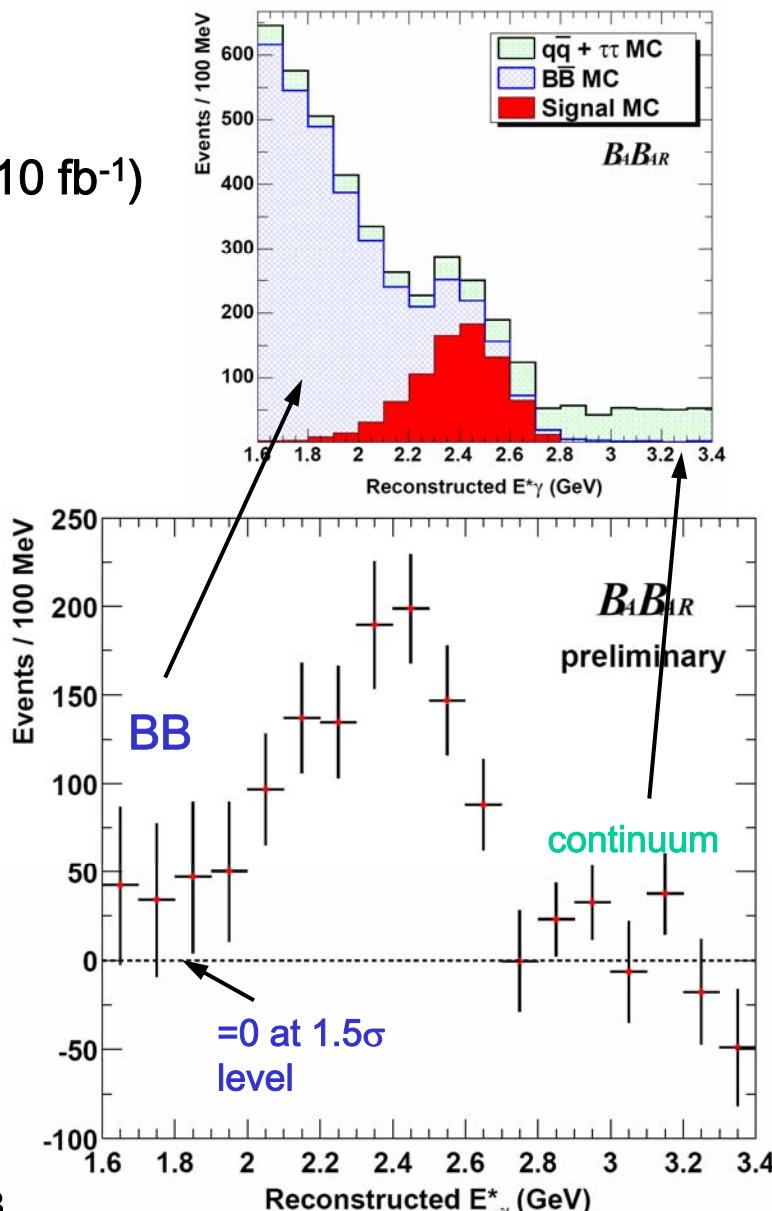
Mostly B decays to π^0 and η which escape veto
(also anti-n, electrons, and other mesons)

Inclusive spectrum of each component measured
in data, MC reweighted to match it

Subtraction from reweighted Monte Carlo simulation

Tested by low E_γ data (1.6-1.9 GeV)

Signal = $1042 \pm 84 \pm 62$ events, $E_\gamma = 1.9\text{-}2.7 \text{ GeV}$



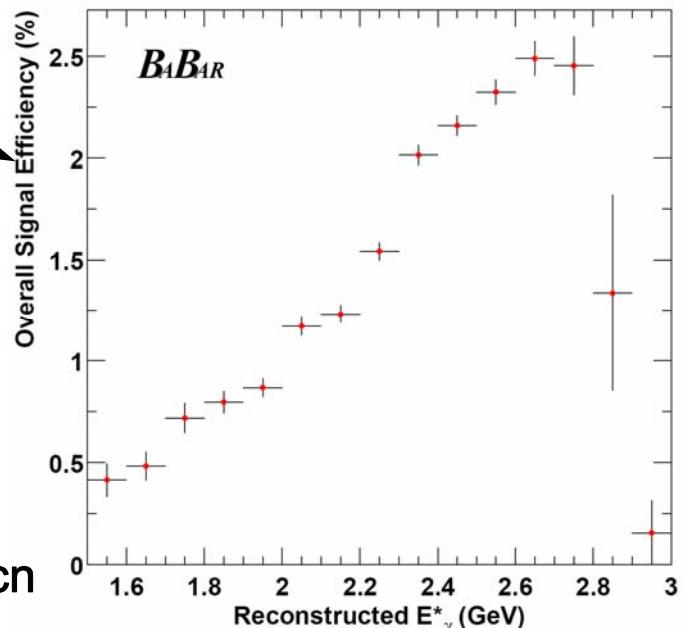
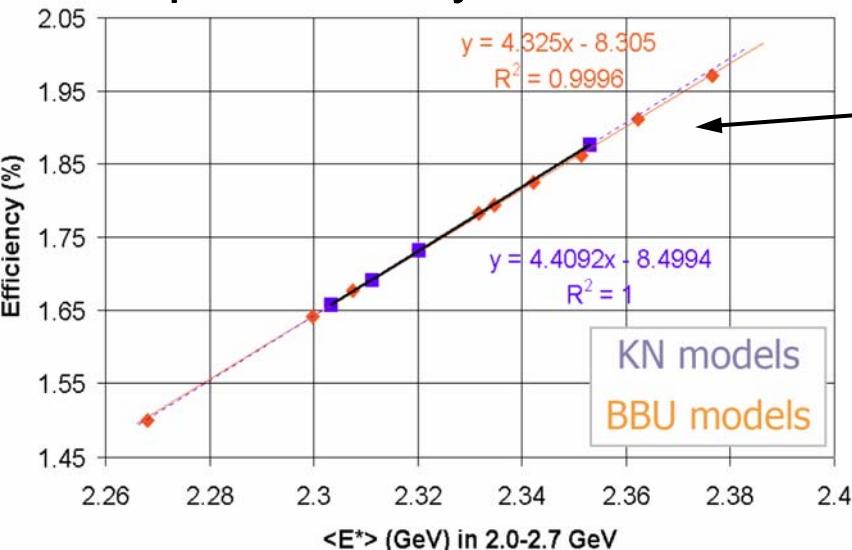
Inclusive Measurement: Efficiency Correction

Event shape cuts induce E_γ dependence in efficiency (varies by a factor of 3)

Total branching fraction (BF) depends on assumed photon spectrum model

“Bootstrapping”: use first moment of observed Spectrum to infer shape function

then recompute efficiency with measured shape fcn



Total efficiency linearly correlated with first moment

Range of allowed moments bounds Model-dependence of efficiency

5% uncertainty from spectral model

Inclusive Measurement: Photon Spectrum

Partial branching fraction vs. E_γ (min) in B rest frame

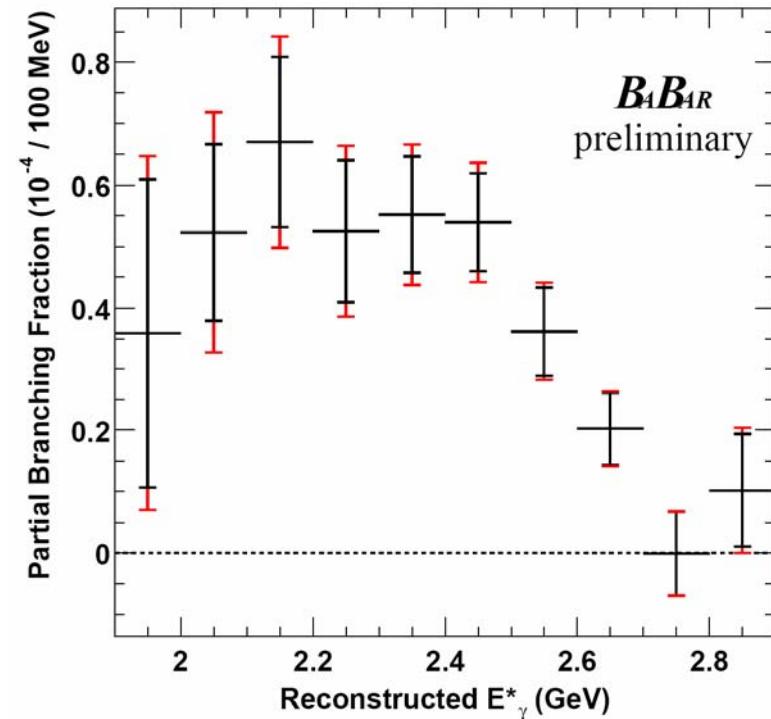
Corrected for B momentum and photon energy resolution

Errors: stat \pm syst \pm model

Energy range (GeV)	Corrected PBF ($\times 10^{-4}$) for true E_γ range, B frame
1.9 to 2.7	$3.67 \pm 0.29 \pm 0.34 \pm 0.29$
2.0 to 2.7	$3.41 \pm 0.27 \pm 0.29 \pm 0.23$
2.1 to 2.7	$2.97 \pm 0.24 \pm 0.25 \pm 0.17$
2.2 to 2.7	$2.42 \pm 0.21 \pm 0.20 \pm 0.13$

Systematic errors for E_γ (min) = 2.0 GeV

Systematic	Uncertainty
Photon Detection and Quality	3.3%
Topological Cuts	3.0%
Fragmentation-Dependence	1.4%
Lepton ID	2.2%
Tag Efficiency	3.0%
$B\bar{B}$ Background	5.7% →
Miscellaneous	1.7%
Total Experimental	8.4%
Signal-model-dependence	4.8% →



First moment vs. E_γ (min) also computed

TO DO:

Shape function fit and final bootstrapping
Second moment

“Total BF” from extrapolating
best fit shape function

$b \rightarrow s\gamma$: Sum of Exclusive States Measurement (82 fb^{-1})

For completely reconstructed B meson decay, can use kinematic constraints of $\Upsilon(4S)$ to severely reduce background:

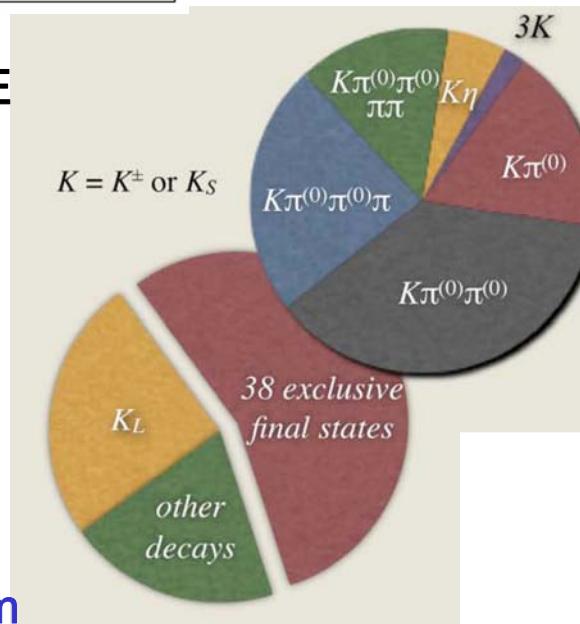
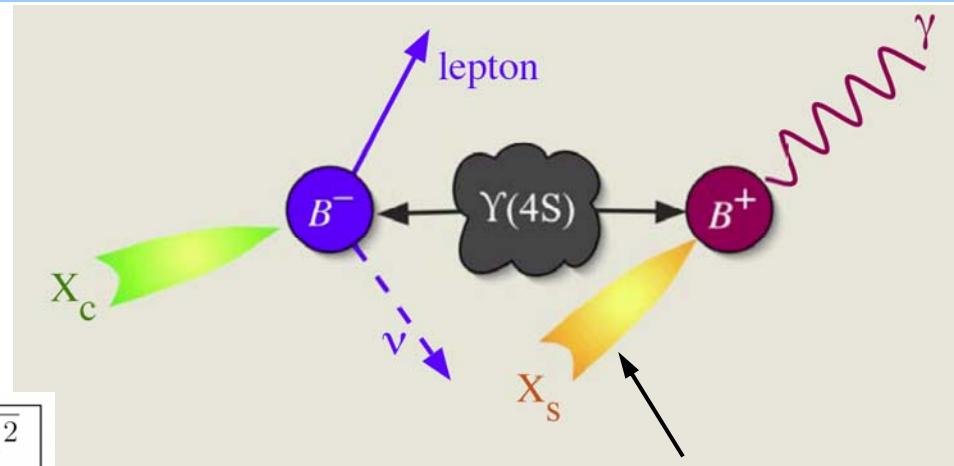
B energy = beam energy in $\Upsilon(4S)$ frame

$$\Delta E \equiv E_B^* - \sqrt{s}/2 \quad \text{and} \quad m_{ES} \equiv \sqrt{(\sqrt{s}/2)^2 - p_B^{*2}}$$

Signal has narrow peaks in m_{ES} , ΔE
Background is flat → extract signal
with a likelihood fit

Branching fraction measurement
Sensitive to fragmentation model
and fraction of missing states

Photon spectrum inferred from
precisely measured $M(X_s)$ spectrum



38 distinct X_s states
(K^+ or K_S) + 1-4 π ($\leq 2 \pi^0$)
(K^+ or K_S) + 0-2 $\pi + \eta$
(K^+ or K_S) + 0-2 $\pi + \phi$

Missing states:

$K_L + X$ (25%)

$K + > 4 \pi$

Baryonic decays

Other

Sum of Exclusive: Event Selection

Event Selection:

Photon reconstruction: $E_\gamma = 1.9\text{-}2.6 \text{ GeV}$

Energy in B rest frame

Shower shape and/or extra photons consistent
with π^0 or η or ρ meson vetoed

Event shape: further background reduction

Neural net distinguishes jet-like continuum
from spherical signal (validated by measuring
 $K^*\gamma$ branching fraction)

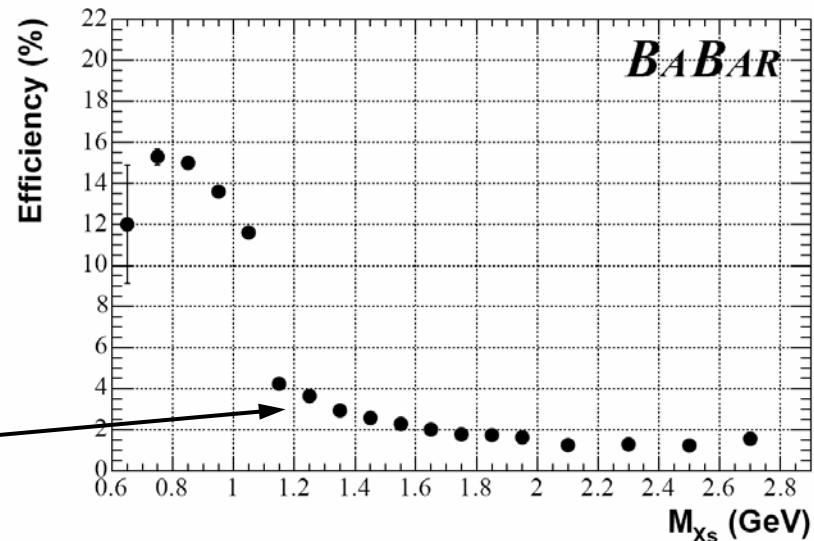
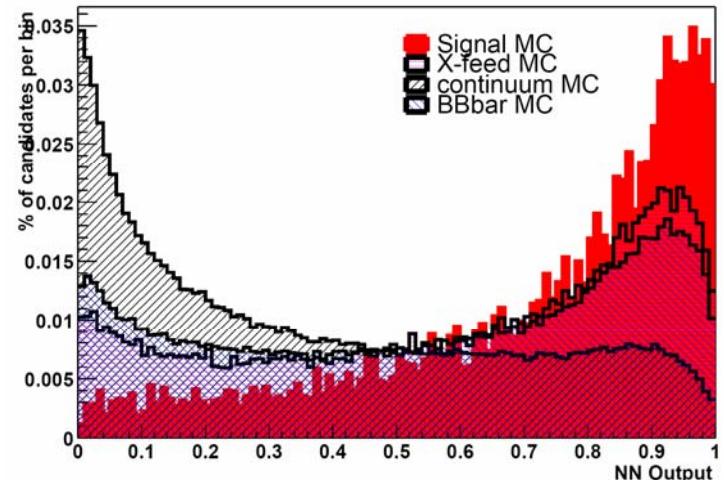
ΔE cut: reduces background of continuum +

misreconstructed B decays

(especially “cross-feed” from $b \rightarrow s\gamma$)

$|\Delta E| < 70\text{-}80 \text{ MeV}$

Tighter selection at low E_γ



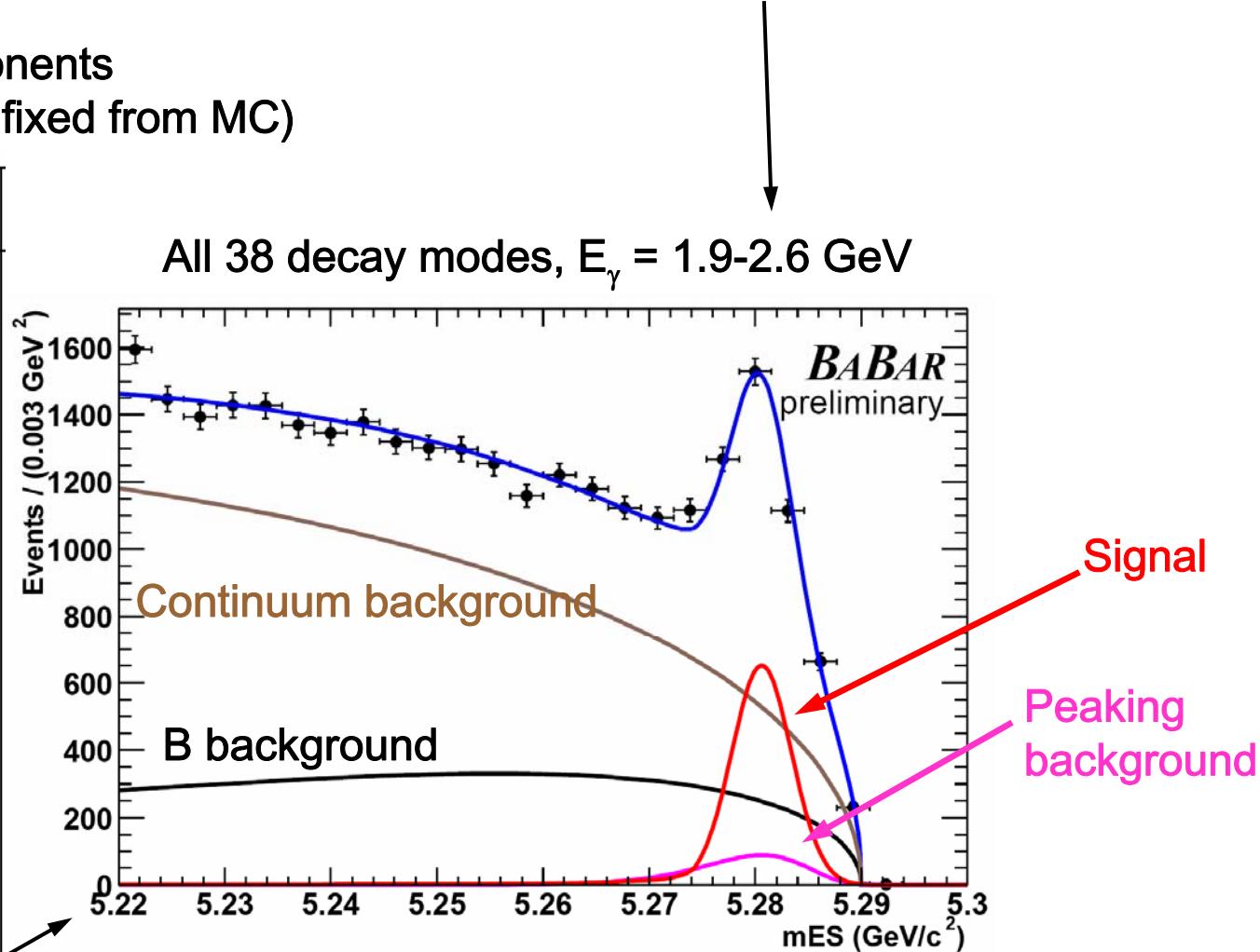
Sum of Exclusive: Signal Extraction

Binned maximum likelihood fit of m_{ES} distribution

Performed separately in 100 MeV bins of $M(X_s)$ (and integrated over all $M(X_s)$)

3 background components
(continuum, peaking fixed from MC)

$M(X_s)$ GeV	Data Signal yield	Data Fit χ^2/dof
0.6-0.7	6.5 ± 7.7	2.2
0.7-0.8	5.6 ± 14.1	0.8
0.8-0.9	416.2 ± 23.2	1.5
0.9-1.0	355.6 ± 24.9	0.9
1.0-1.1	51.2 ± 19.0	1.0
1.1-1.2	33.2 ± 12.9	1.2
1.2-1.3	83.2 ± 15.7	1.1
1.3-1.4	101.5 ± 16.8	0.8
1.4-1.5	72.0 ± 15.8	0.8
1.5-1.6	82.4 ± 16.5	1.1
1.6-1.7	66.1 ± 16.9	1.0
1.7-1.8	54.6 ± 16.5	1.3
1.8-1.9	76.6 ± 18.2	1.1
1.9-2.0	13.5 ± 19.5	1.1
2.0-2.2	47.5 ± 21.8	0.7
2.2-2.4	52.1 ± 24.0	0.7
2.4-2.6	44.7 ± 25.6	0.8
2.6-2.8	-6.2 ± 31.9	1.0



Sum of Exclusive: Efficiency Correction

Fragmentation correction:

Admixture of reconstructed X_s states
in JETSET tuned to match that
observed in data

corrections up to 100%

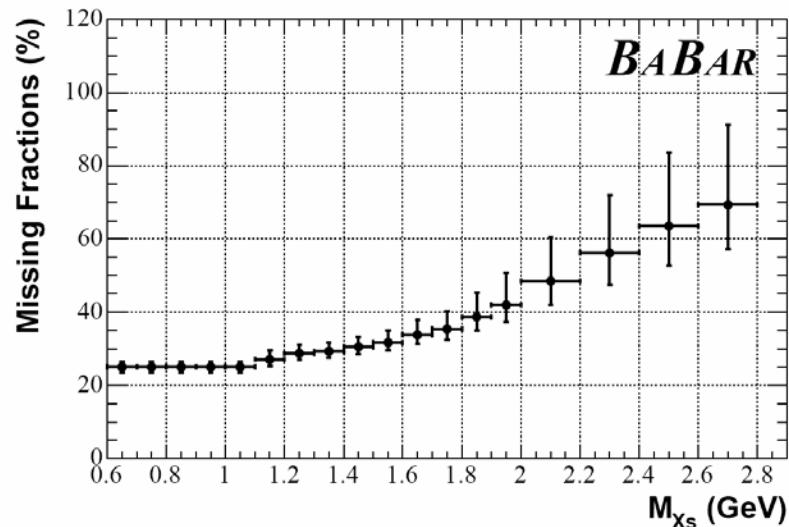
Bootstrapped into efficiency calculation

Missing modes correction:

Fragmentation to non- K_L missing modes
poorly known (50-100% errors)

~20% systematic at low E_g (high $M(X_s)$)

Final States	Data/Monte Carlo
$K_S\pi^-$, $K^-\pi^+$	0.50 ± 0.07
$K_S\pi^0$, $K^-\pi^0$	0.19 ± 0.12
$K_S\pi^+\pi^-$, $K^-\pi^+\pi^-$	1.02 ± 0.14
$K_S\pi^-\pi^0$, $K^-\pi^+\pi^0$	1.34 ± 0.24
$K_S\pi^+\pi^-\pi^-$, $K^-\pi^+\pi^-\pi^+$	2.67 ± 0.96
$K_S\pi^+\pi^-\pi^0$, $K^-\pi^+\pi^-\pi^0$	1.29 ± 0.61
$K + 2\pi^0$ modes	1.89 ± 1.33
$K + 4\pi$	$1.32^{+1.55}_{-1.32}$
$K + \eta$ modes	$0.83^{+1.00}_{-0.83}$
3 Kaon modes	$0.27^{+0.54}_{-0.27}$



Sum of Exclusive: Photon Spectrum

Photon spectrum (binned BF)
fit to BBU and Neubert
shape function models

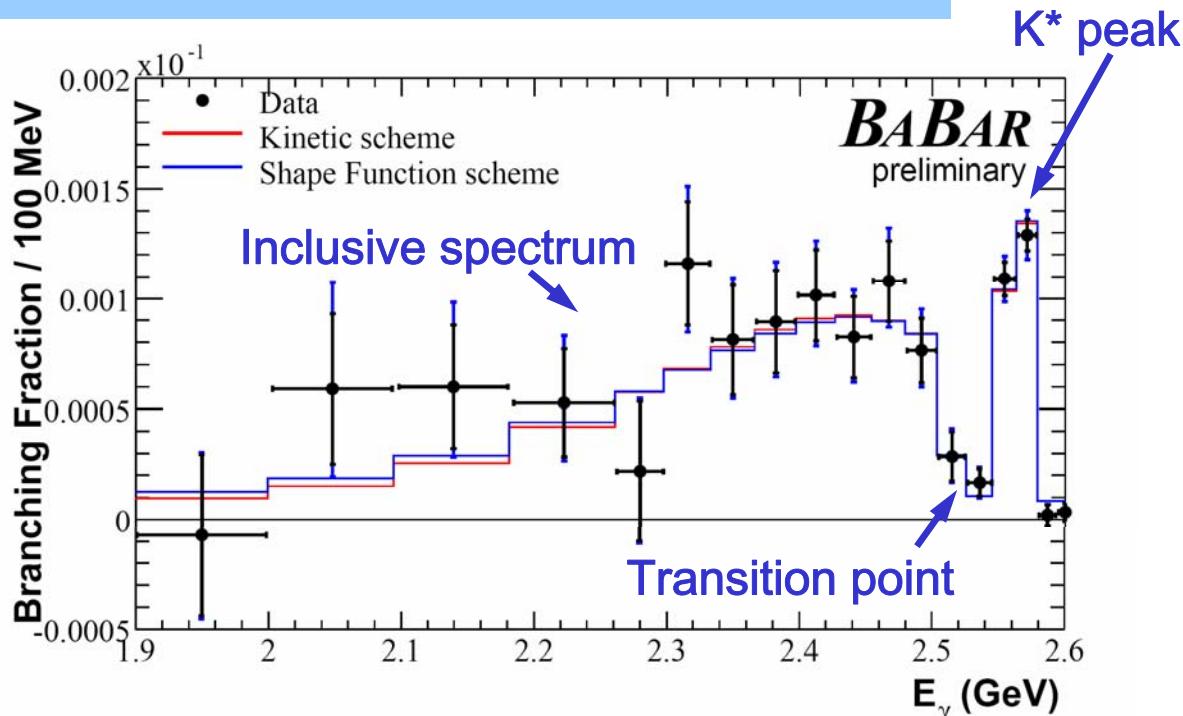
Total BF fixed

Breit-Wigner for K^* above
transition point

3 parameter fit:
 m_b , μ_π^2 , transition point

Total BF recomputed from
shape function and fit
reiterated

Total BF computed again,
errors bound systematic on
shape function parameters



Shape functions describe data well with good χ^2

Scheme	$\bar{\Lambda}$ (GeV)	μ_π^2 (GeV 2)	PBF (10^{-4}), $E_\gamma > 1.9$ GeV
Kinetic	$0.59^{+0.05}_{-0.04}$	$0.30^{+0.07}_{-0.05}$	$3.27 \pm 0.18^{+0.62+0.12}_{-0.39-0.12}$
Shape Func.	$0.63^{+0.04}_{-0.04}$	$0.19^{+0.06}_{-0.05}$	$3.31 \pm 0.18^{+0.63+0.02}_{-0.40-0.03}$

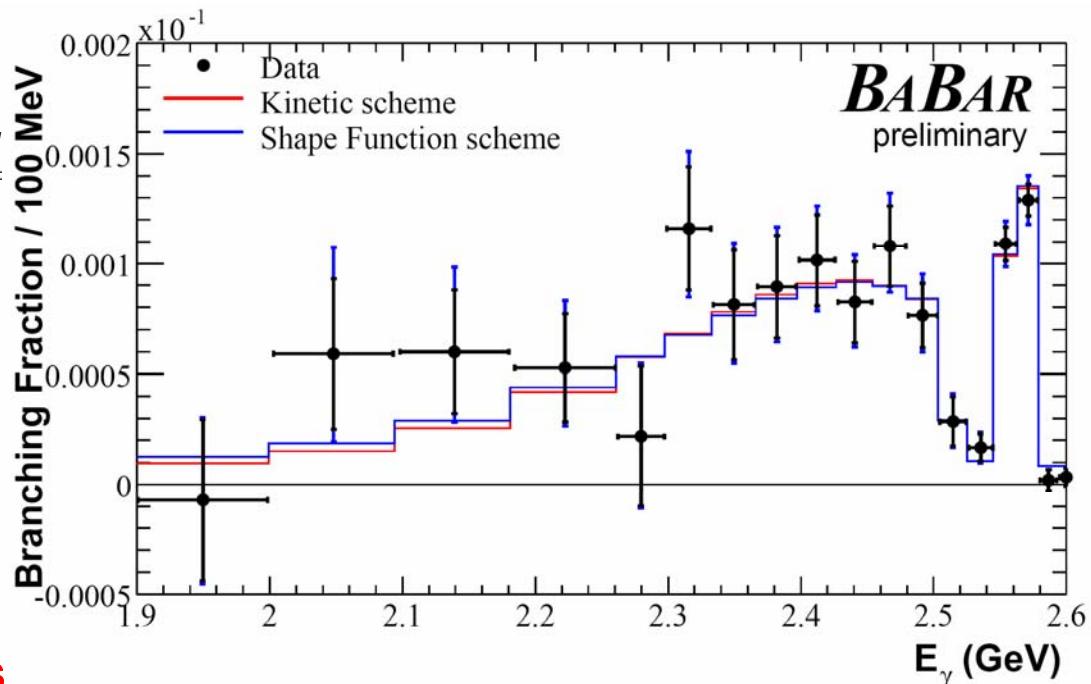
Kinetic scheme parameters agree with $b \rightarrow cl\nu$
HQE measurements!

Sum of Exclusive: Branching Fractions

Average of two schemes
gives partial BF for $E_\gamma > 1.9$ GeV

$$(3.29 \pm 0.18^{+0.62+0.07}_{-0.40+0.08}) \times 10^{-4}$$

Systematic	Uncertainty
Particle Detection	6.1%
Fitting	+9.5% -2.9%
Peaking Background	1.6%
Fragmentation	5.9%
Missing States	+13.8% -7.6%
Miscellaneous	2.0%
Total Experimental	+19.0% -12.0%
Signal-model-dep.	+2.1% -2.4%

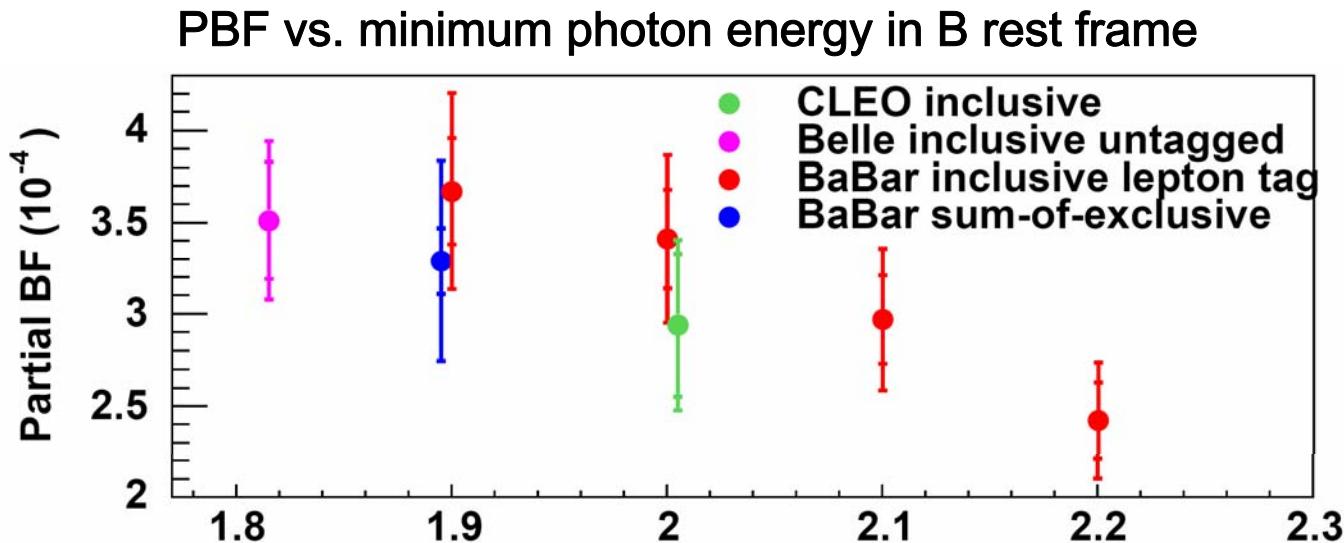


Dominant error from missing states

Use measured shape functions
to extrapolate down to partial BF for $E_\gamma > 1.6$ GeV ("Total Branching Fraction")

Average of two schemes gives total BF

Partial Branching Fractions: Summary



Consistency across measurements with common $E_{\gamma}(\text{min})$

Systematics limited

Shape function model required to compare PBF's for different $E_{\gamma}(\text{min})$ (in progress)

Photon Spectral Moments: Summary

Comparisons

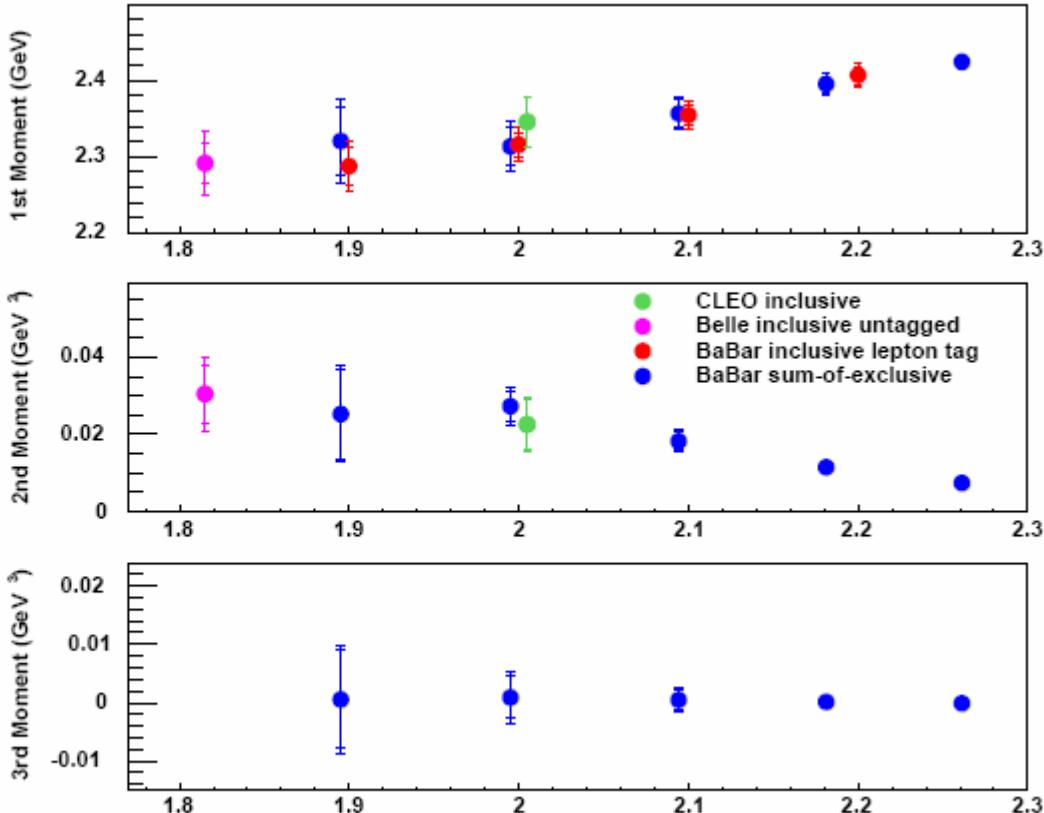
Truncated
Moments
 $B \rightarrow X_s \gamma$ vs.
minimum E_γ
in B rest frame

$$1\text{st} \equiv \langle E \rangle$$

$$2\text{nd} \equiv \langle (E - \langle E \rangle)^2 \rangle$$

$$3\text{rd} \equiv \langle (E - \langle E \rangle)^3 \rangle$$

BaBar data
preliminary



Consistency across measurements with common $E_\gamma(\text{min})$

Use moments and PBFs (0th moment) to extract HQE parameters and/or shape function
(in progress)

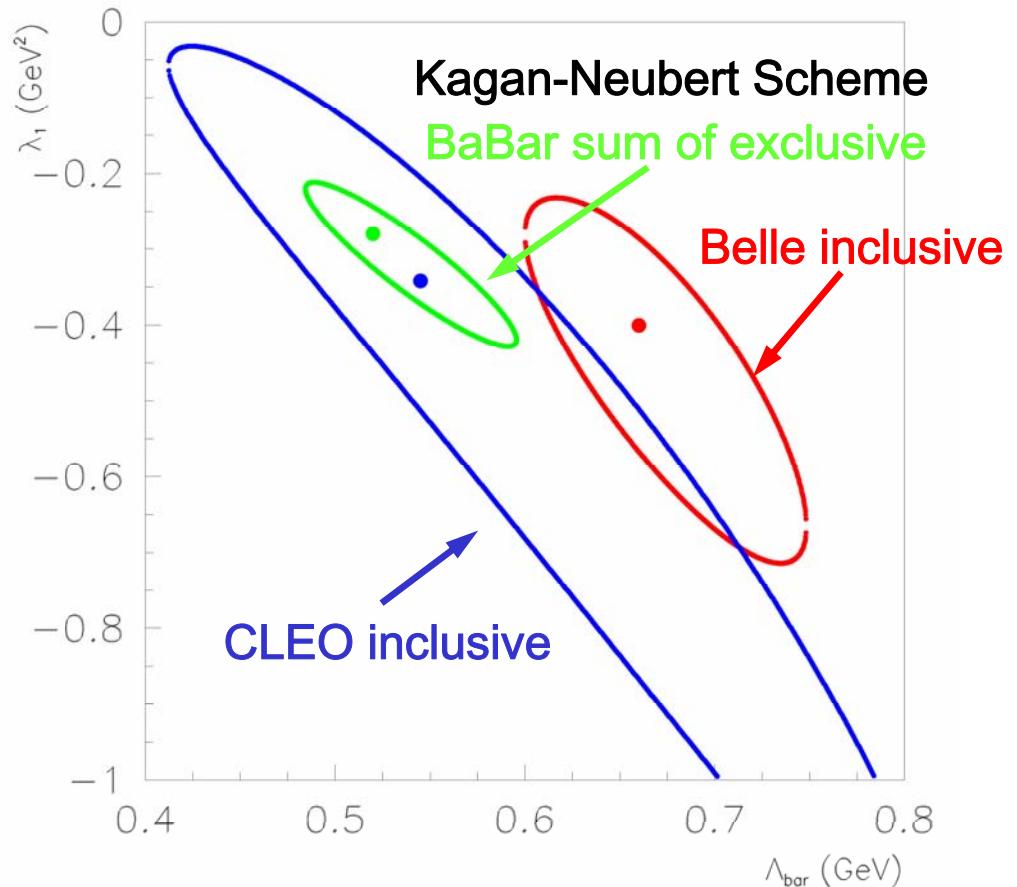
Shape Function Comparison

No significant disagreement

BaBar prefers larger m_b

High BaBar SF precision from
good measurement at high E_γ

To avoid possible **duality violating effects**, BaBar SF fit method may sacrifice some of this precision (in progress)

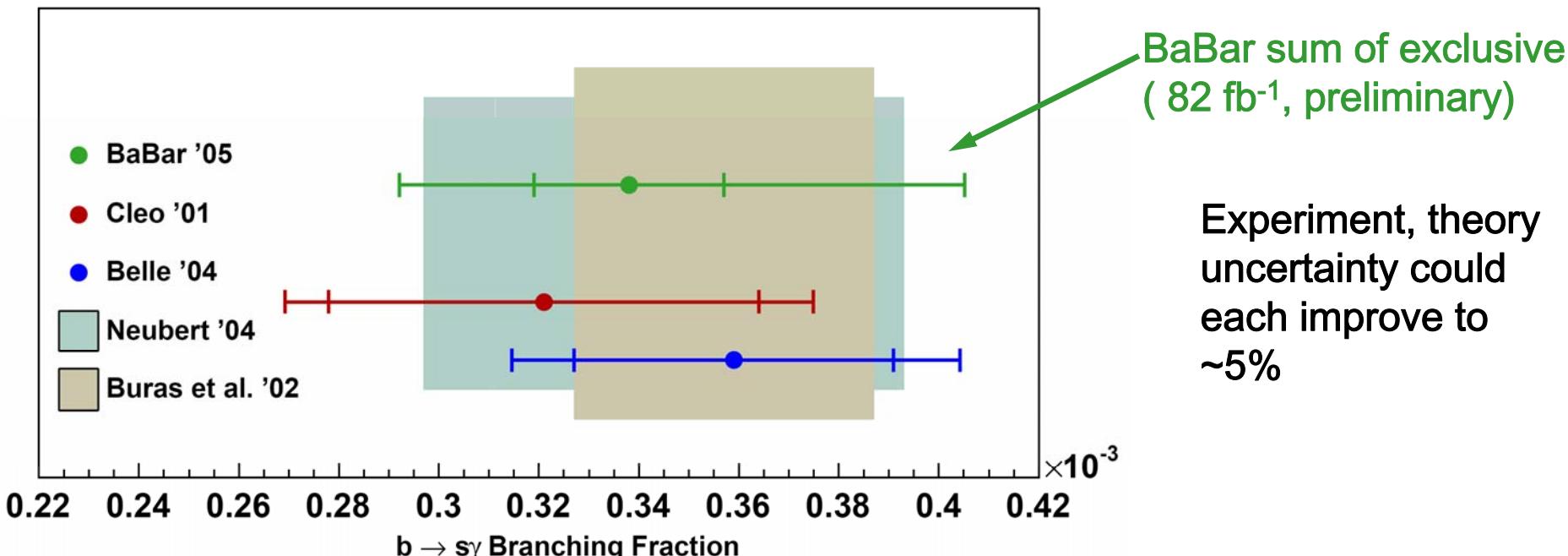


Total Branching Fractions: Summary

“Total Branching Fraction” → PBF at E_γ (min) = 1.6 GeV

Requires (model-dependent) extrapolation of spectral shape

Excellent agreement with Standard Model predictions at 10% level



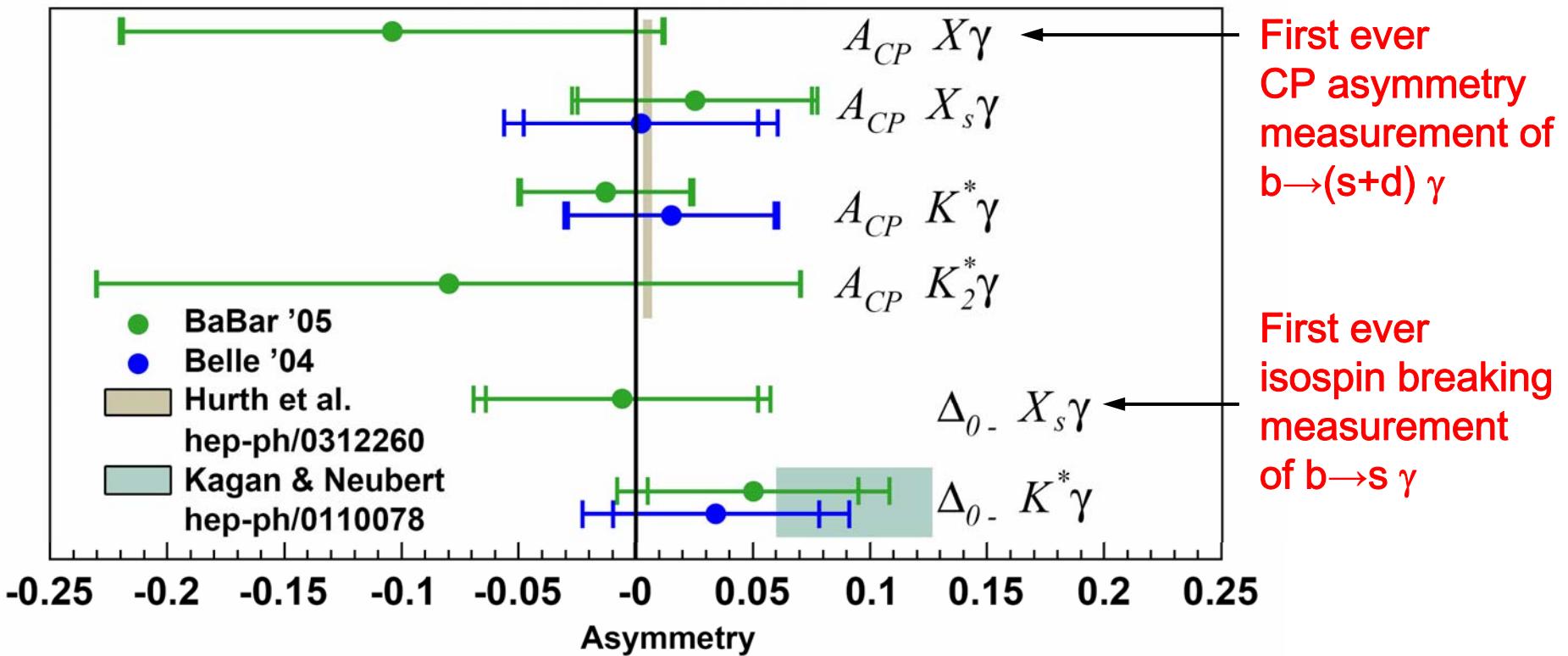
Total BF from BaBar inclusive measurement in progress (spectral fits)

Asymmetries: Summary

Direct CP asymmetry generally constrained to < 5%

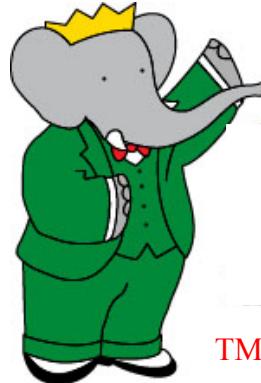
Isospin asymmetry precision ~5%

All measurements statistics limited for foreseeable future



First ever
CP asymmetry
measurement of
 $b \rightarrow (s+d) \gamma$

First ever
isospin breaking
measurement
of $b \rightarrow s \gamma$



The Ultimate Electroweak Penguin Decay:

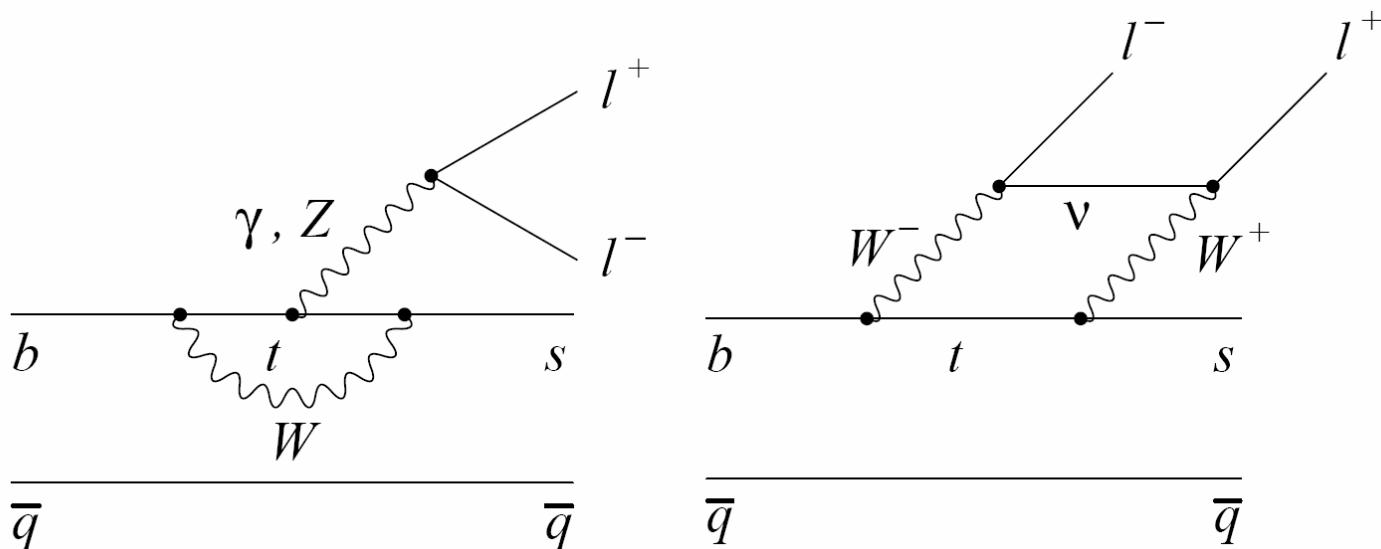
$$b \rightarrow s l^+l^-$$



TM



The Physics of $b \rightarrow s l l$



Three separate FCNC diagrams contribute → multiple ways for new physics to interfere

Three body decay → non-trivial kinematic and angular distributions sensitive to magnitude and phase of different amplitudes

Rare decay → 10^{-6} branching fractions approach limits of B-factory sensitivity

The Physics of $b \rightarrow s l l$

$b \rightarrow s l l$ rate computed from operator product expansion with α_s and Λ/m_b corrections

general Hamiltonian
of $b \rightarrow s$ transitions

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \sum_{i=1}^{10} C_i(\mu) O_i(\mu)$$

Wilson coefficients C_i encode short distance physics (order α_s)

C_7 photon penguin $\xleftarrow{\hspace{1cm}}$ from $b \rightarrow s \gamma$

C_9 (mostly) Z penguin

C_{10} (mostly) W box

$\xleftarrow{\hspace{1cm}}$ unique to $b \rightarrow s l l$

$$\mathcal{M}(b \rightarrow s \ell^+ \ell^-) = \frac{G_F \alpha}{\sqrt{2}\pi} V_{ts}^* V_{tb} \left\{ C_9^{\text{eff}} [\bar{s} \gamma_\mu L b] [\bar{\ell} \gamma^\mu \ell] + C_{10} [\bar{s} \gamma_\mu L b] [\bar{\ell} \gamma^\mu \gamma_5 \ell] \right.$$

$$\left. - 2\hat{m}_b C_7^{\text{eff}} \left[\bar{s} i \sigma_{\mu\nu} \frac{\hat{q}^\nu}{\hat{s}} R b \right] [\bar{\ell} \gamma^\mu \ell] \right\} .$$

$\boxed{\text{BF}(b \rightarrow s \mu\mu) = 4.2 \pm 0.7 \cdot 10^{-6}}$

15% uncertainty in inclusive rate

The Physics of $B \rightarrow K ll, K^* ll$

Dominant exclusive decays are $B \rightarrow K ll, K^* ll$

$K ll, K^* ll$ rates computed from (perturbative) $b \rightarrow s ll$ amplitude convolved with (non-perturbative) $B \rightarrow K, K^*$ form factors for each O_i

$\langle K(p)|\bar{s}\gamma_\mu b|B(p_B)\rangle$ et al.
3 $B \rightarrow K$ form factors

$$\text{BF}(B \rightarrow K \mu\mu) = 0.35 \pm 0.12 \ 10^{-6}$$

$\langle K^*(p)|(V - A)_\mu|B(p_B)\rangle$ et al.
7 $B \rightarrow K^*$ form factors

$$\text{BF}(B \rightarrow K^* \mu\mu) = 1.2 \pm 0.4 \ 10^{-6}$$

30% form factor uncertainty
(Light-cone QCD sum rules)

Exclusive branching fractions not a precision test of the SM →

Asymmetries and distributions are higher precision tests
(form factor uncertainty cancels)

EX: Direct CP asymmetry $A_{CP} \approx 10^{-4}$ in SM, could be order 1 beyond SM

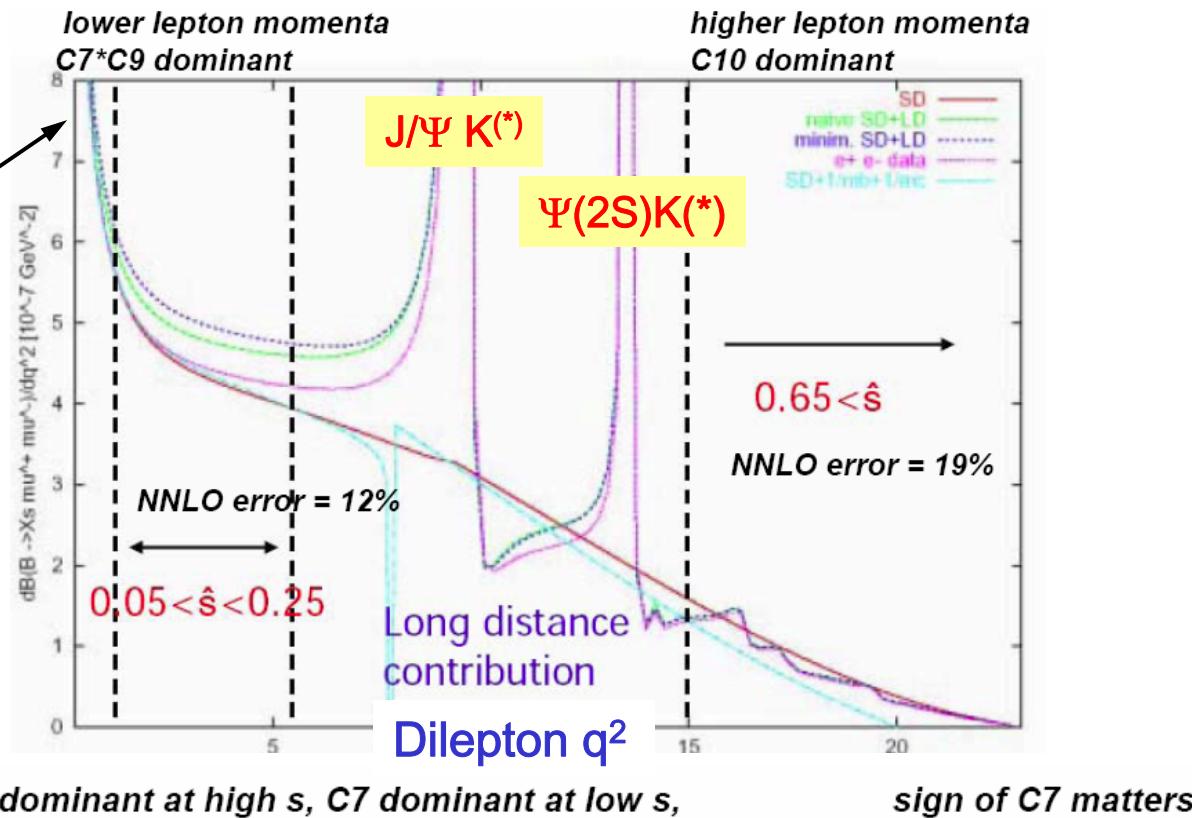
Dilepton Mass Distribution

Dilepton mass distribution
probes Wilson coefficients

Pole at $q^2 \approx 0$ for K^*ee
(nearly on-shell $B \rightarrow K^*\gamma$)

$$\frac{\mathcal{B}(B \rightarrow K^*e^+e^-)}{\mathcal{B}(B \rightarrow K^*\mu^+\mu^-)} = 1.33$$

Huge long-distance
contribution from
 $B \rightarrow$ charmonium decays



$$\frac{d\Gamma(b \rightarrow X_s \ell^+ \ell^-)}{d\hat{s}} = \left(\frac{\alpha_{em}}{4\pi}\right)^2 \frac{G_F^2 m_{b,pole}^5 |V_{ts}^* V_{tb}|^2}{48\pi^3} (1 - \hat{s})^2 \times$$

$$\left((1 + 2\hat{s}) \left(|\tilde{C}_9^{\text{eff}}|^2 + |\tilde{C}_{10}^{\text{eff}}|^2 \right) + 4(1 + 2/\hat{s}) |\tilde{C}_7^{\text{eff}}|^2 + 12 \text{Re} \left(\tilde{C}_7^{\text{eff}} \tilde{C}_9^{\text{eff}*} \right) \right)$$

Generally decreases with s

SUSY Higgs physics at a B Factory

$b \rightarrow s \mu\mu = B_s \rightarrow \mu\mu$ turned sideways

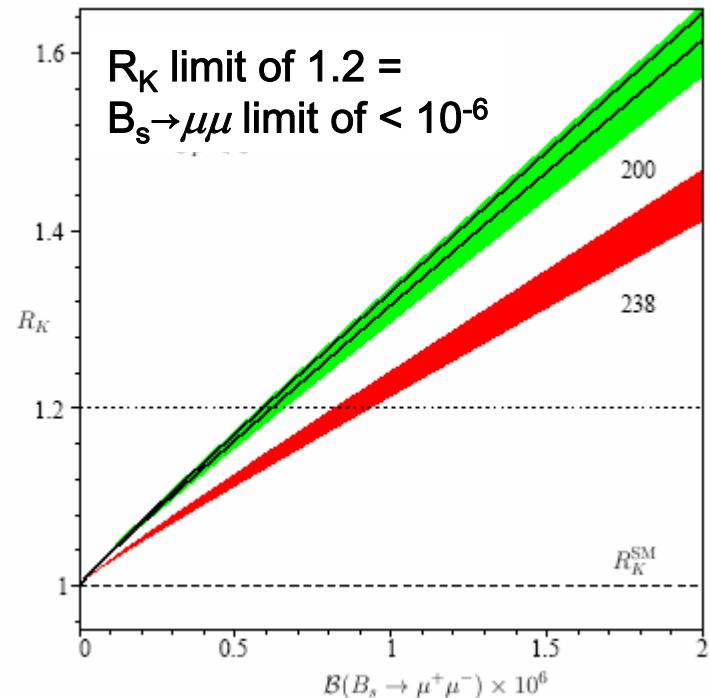
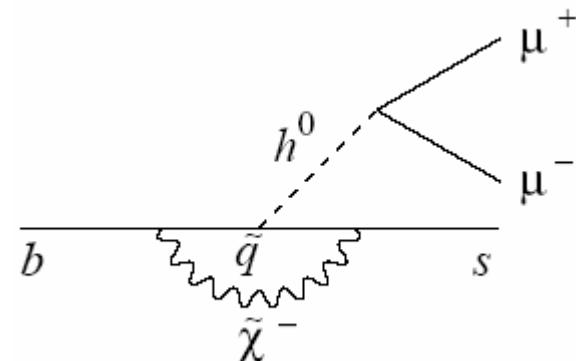
Sensitive to “neutral Higgs penguin”
for SUSY with large $\tan \beta$

Ratio $R(K) = \text{BF}(B_s \rightarrow K\mu\mu)/\text{BF}(B_s \rightarrow K\bar{K})$
isolates Yukawa enhancement in muon mode

In SM, equal to unity with very high precision

Also contributes to $R(K^*)$
(=1 above the photon pole)

Complementary to Tevatron $B_s \rightarrow \mu\mu$ limit



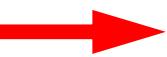
Hiller & Kruger hep-ph/0310219

Forward-Backward Asymmetry

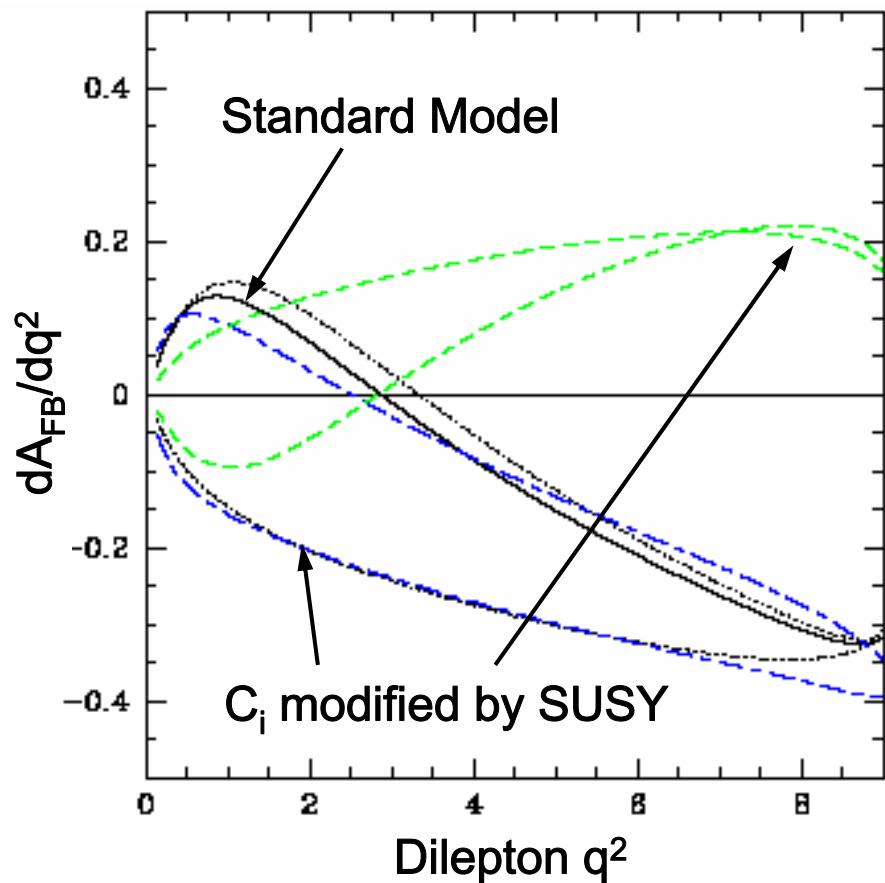
Angular asymmetry of lepton (anti-lepton) angle with B (anti-B) momentum in dilepton rest frame

Varies with dilepton q^2

Theoretically clean probe of relative size and phase of $C_7/C_9/C_{10}$

Can be dramatically modified by  new physics

Zero of A_{FB} provides simple, precise (15%) relation between C_7 and C_9 (for LHCb)

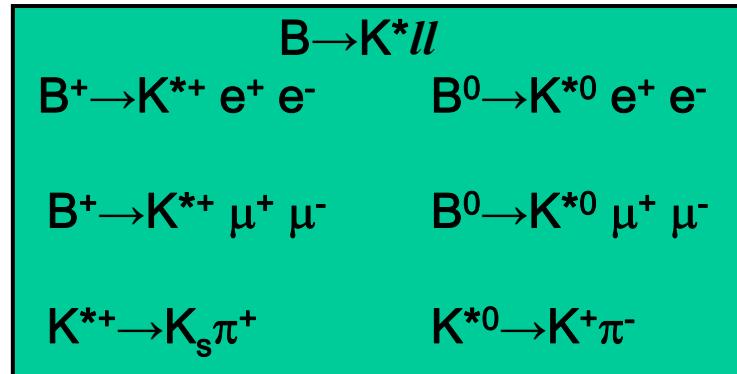
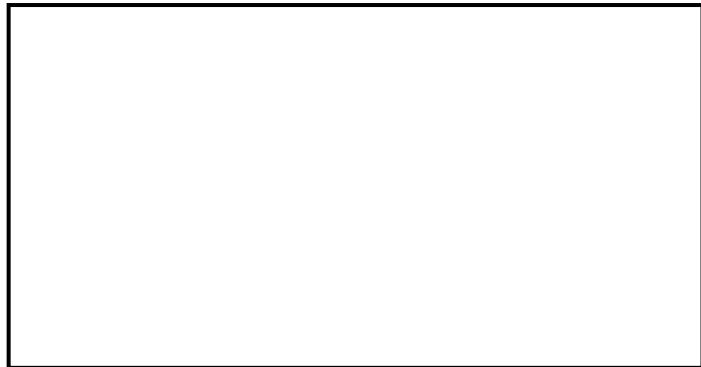


$$\frac{dA_{FB}}{dq^2} \propto -\tilde{C}_{10}^{\text{eff}} \left[\text{Re}(\tilde{C}_9^{\text{eff}}) VA_1 + \frac{m_b}{q^2} \tilde{C}_7^{\text{eff}} (VT_2(1 - m_{K^*}/m_B) + A_1 T_1(1 + m_{K^*}/m_B)) \right]$$

$B \rightarrow K ll, K^* ll$ Measurement (208 fb^{-1})

Find ~50 needles in a haystack of 500 million B's + 2 billion light quarks

Full B decay reconstruction to charged tracks: (brem photons added for ee modes)



Strict particle ID requirements

BLIND ANALYSIS

Veto “peaking” backgrounds of B decays similar to signal

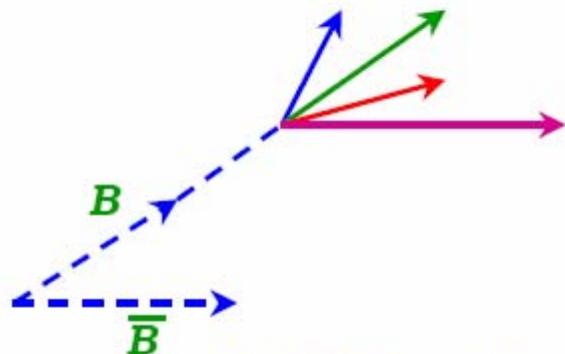
Construct multivariate discriminants to suppress “combinatorial” backgrounds

Signal yield extraction via multi-dimensional unbinned maximum likelihood fit

B Meson Reconstruction at Y(4S)

“Killer App” of the Y(4S): precision kinematic constraints

$$e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$$



(*) ≡ measured in Y(4S) rest frame

$E_i \leftarrow E_{beam}^*$ → Improve resolution

- Define 3 regions in $\Delta E, m_{ES}$ plane:

- ↳ A – Signal region
- ↳ B – Fit region
- ↳ C – Large Sideband region

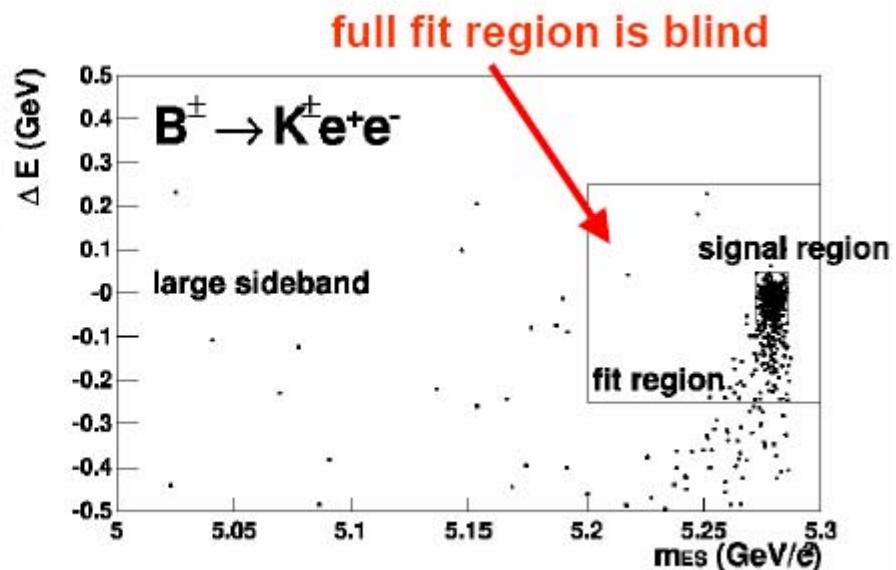
$$m_{ES} = \sqrt{{E_{beam}^*}^2 - (\sum_i p_i^*)^2}$$

$$\Delta E = \sum_i \sqrt{{p_i^*}^2 + m_i^2} - E_{beam}^*$$

Typical resolutions:

$$\sigma(m_{ES}) \approx 2.5 \text{ MeV}$$

$$\sigma(\Delta E) \approx 25 - 40 \text{ MeV}$$



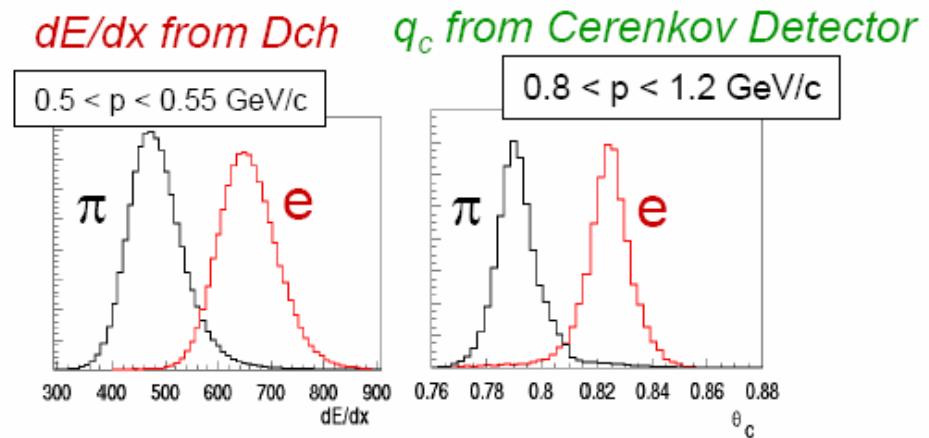
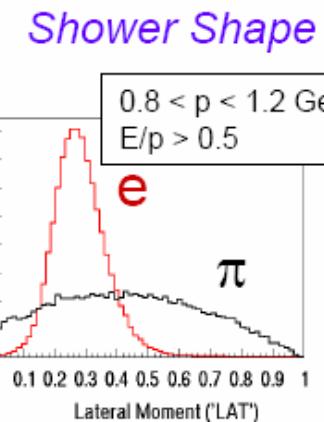
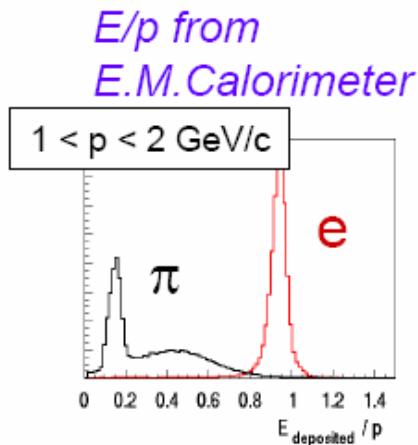
Particle Identification

Electrons – $p^* > 0.3 \text{ GeV}$

- shower shapes in EMC
- E/p match
- Muons – $p^* > 0.7 \text{ GeV}$
 - Penetration in iron of IFR
- Kaons
 - dE/dx in SVT, DCH
 - θ_c in DRC

μ, K fake rates $< 2\%$

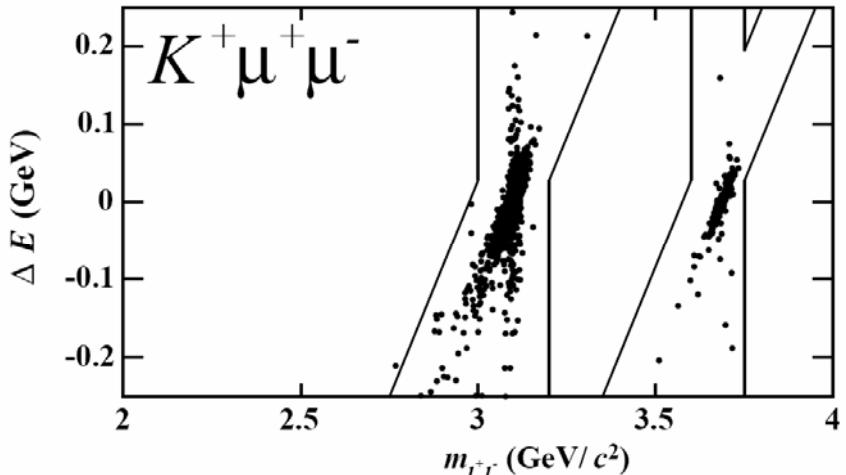
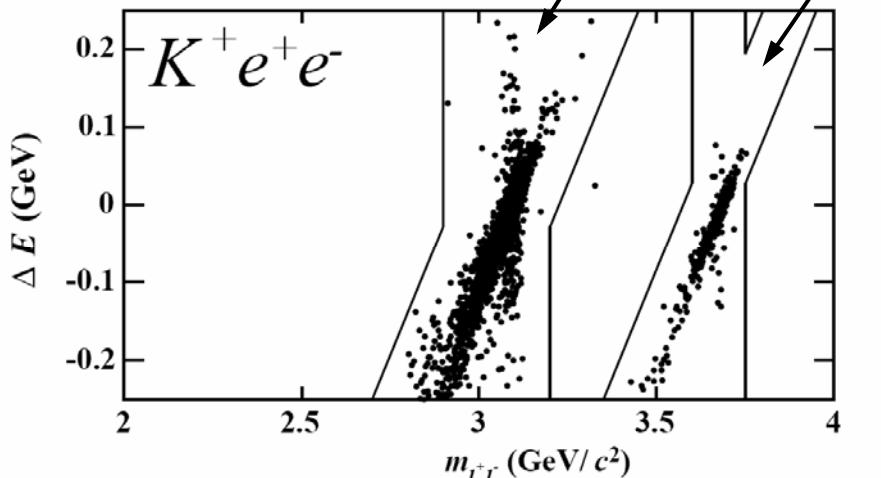
e fake rate $< 0.1 \%$



Huge control samples for efficiency and misid studies

Charmonium Background

Huge (100 times signal) J/ψ $K^*(*)$ and $\psi(2S)$ $K^*(*)$ background eliminated with
2D veto on dilepton mass and ΔE



Mismeasured mass correlated with ΔE

Bigger veto for electron modes (Bremsstrahlung tail)

Energy loss and tracking response to leptons well-calibrated in MC
(checked with huge radiative Bhabha and $\mu\mu$ samples)

Reduced to < 1 event expected per mode

Veto sample
is huge control
sample for validating
signal efficiency!

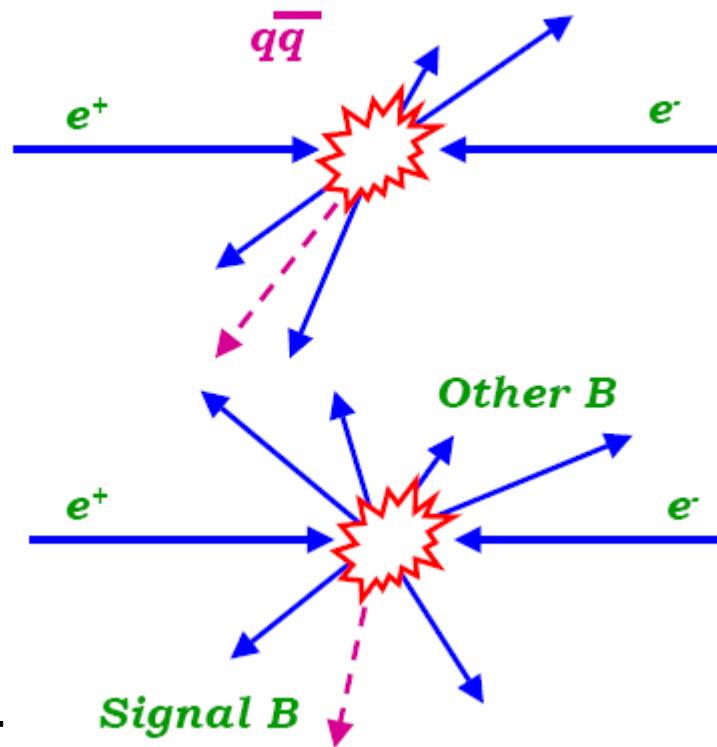
Continuum Background Suppression

- Continuum suppression: exploit fact that continuum events are more jet-like than BB events

- ↳ R_2 : W-F 2nd moment
 - ↳ $\cos \theta_{\text{thrust}}$: angle of candidate thrust axis
 - ↳ $\cos \theta_B$: angle of B in CM
 - ↳ m_{Kl} : Kl invariant mass

- Combine optimally using Fisher discriminant

linear combination of variables for which multi-dimensional gaussian dist. of signal and background are maximally separated

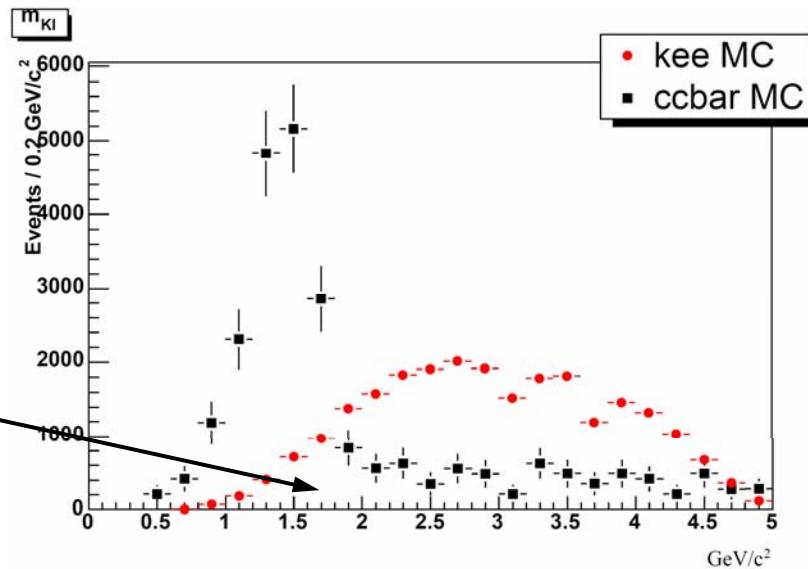


Signal shape from MC; background shape from off-resonance data

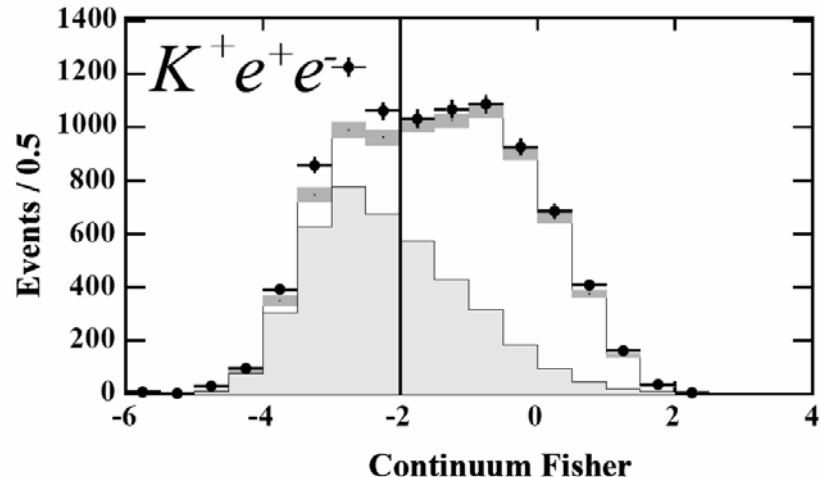
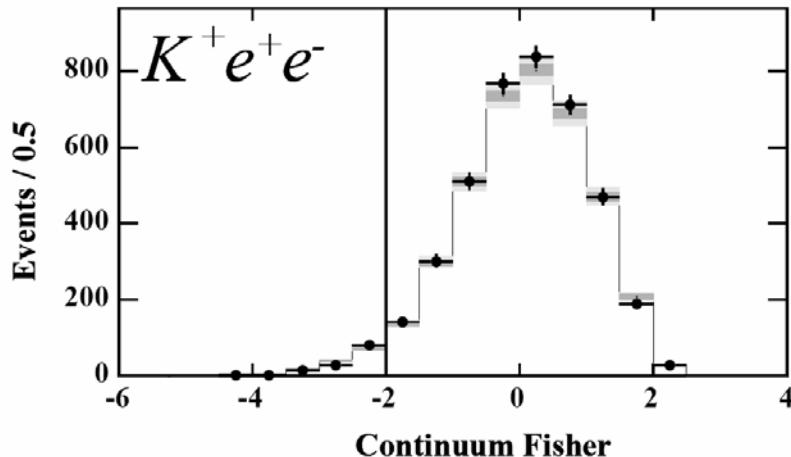
Continuum Suppression

Kaon-lepton mass in Fisher
reduces large background from
semileptonic D decays

Background cuts off at D mass



Large charmonium samples validate signal shape; sidebands validate background shape



Semileptonic B Decay Background

Large fraction (10%) of B's decay to leptons → large “combinatorial” B background

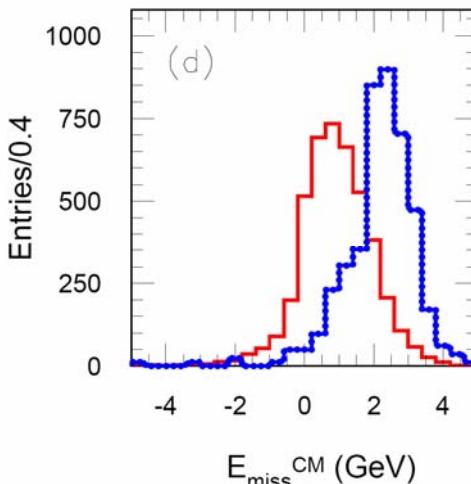
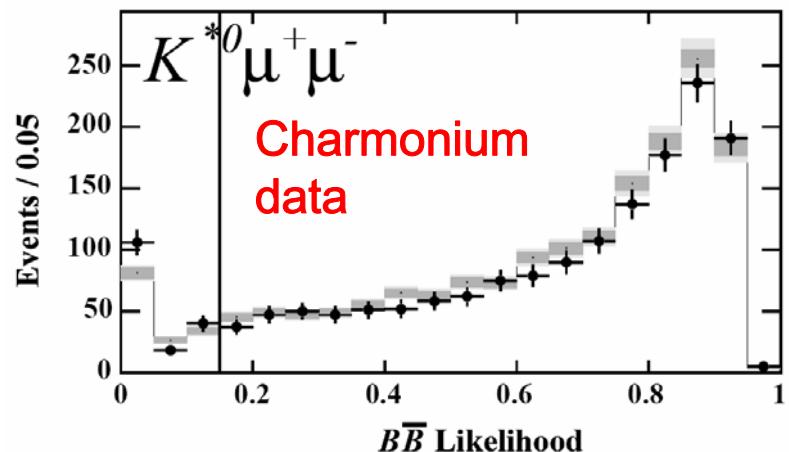
Separation of B background from signal using likelihood function (product of shapes):

Missing energy

B production angle

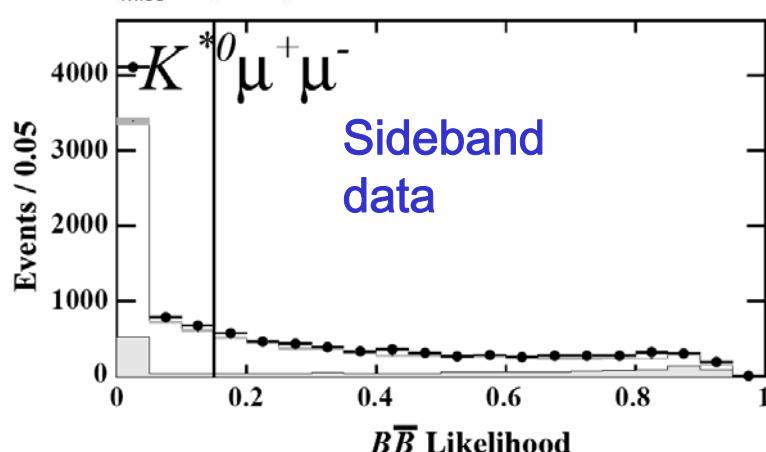
Vertex probability

Likelihood shapes from MC



Signal vs.
Background
missing energy

Cut values scanned
For maximal $S^2/(S+B)$



Hadronic B Decay Background

B decays to hadrons misidentified as leptons will have same kinematics as signal

Electron modes: misid $\sim 0.1\%$, negligible

Muon modes: misid $\sim 1\text{-}2\%$ for pions and kaons

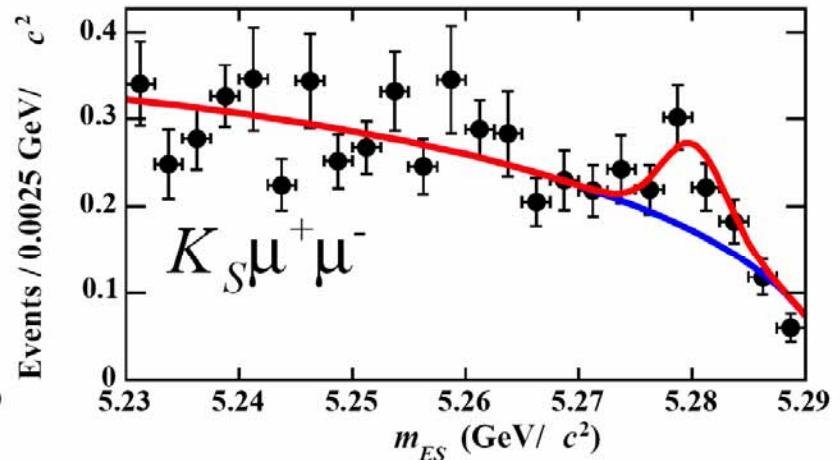
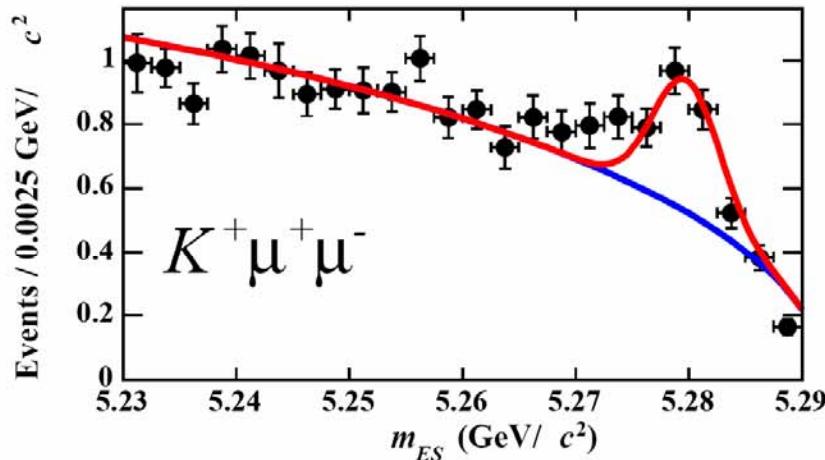
Misid rate calibrated from large $B \rightarrow D^* \pi$, $D^* \rightarrow D \pi$, $D \rightarrow K \pi$ samples

$K \mu\mu$, $K^*\mu\mu$ events with $K\mu$ mass consistent with D decay vetoed

Non-resonant $B \rightarrow K^{(*)}\pi\pi$ background estimated from data:

Convolve misid rates with $B \rightarrow K^{(*)}\mu\pi$ events in data

Extract background from a binned fit to m_{ES} distribution



Maximum Likelihood Fit

Unbinned maximum likelihood fit of (m_{ES} , ΔE , $m(K\pi)$):
maximizes LH function

$$\mathcal{L} = \frac{e^{-(n_{\text{sig}} + n_{B\bar{B}} + n_{\text{cont}})}}{N!} \prod_{i=1}^N (n_{\text{sig}} P_{\text{sig}} + n_{B\bar{B}} P_{B\bar{B}} + n_{\text{cont}} P_{\text{cont}})_i$$

Components: signal, peaking backgrounds,
combinatorial background

$$P_i = P_i(m_{ES}) * P_i(\Delta E) * P_i(m(K\pi)) \quad (\text{negligible correlation})$$

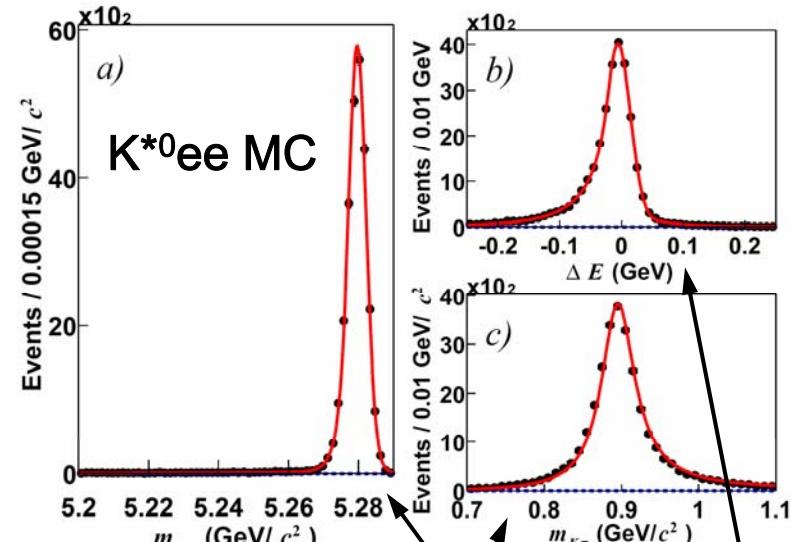
Signal shape parameters fixed from charmonium data

Signal yield, background yield and background shape
parameters are floating in fit

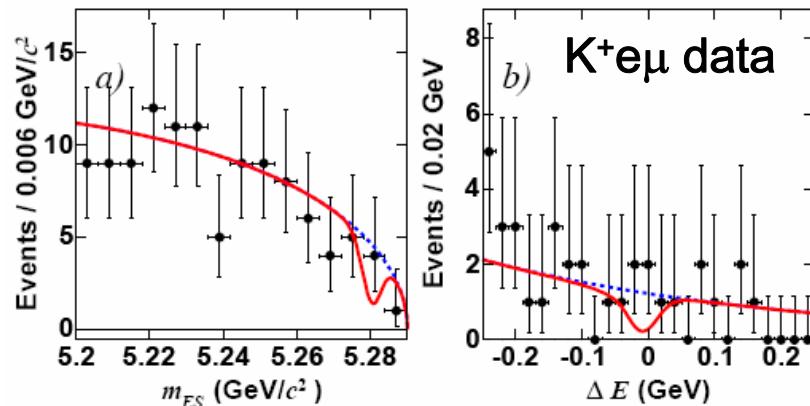
m_{ES} : “ARGUS function” models phase space
cutoff at M_B

ΔE : linear or quadratic

$M(K\pi)$: quadratic (+ small 5% K^* fraction)



Signal shapes (narrow peaks)

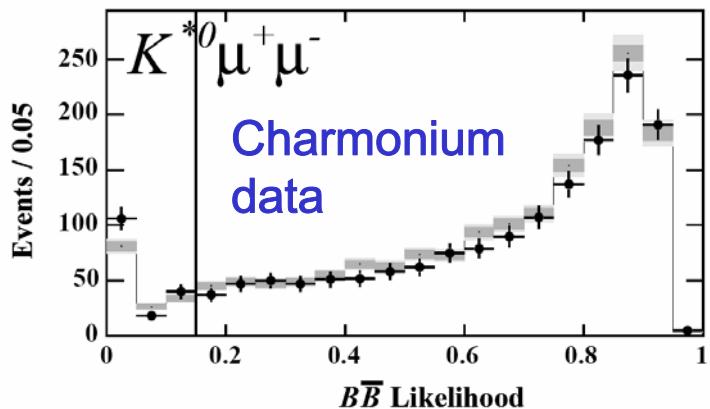


Background shapes (not peaked)

Branching Fraction Systematics

Measure single cut efficiencies for particle ID, Fisher, likelihood directly from data using vetoed charmonium events

Ex: B background likelihood cut, data vs. MC



Mode	Data Eff.	SP5/6 Eff.	$C = (Data/MC)$
$B^+ \rightarrow K^+ e^+ e^-$	(91.58 \pm 0.46)%	(91.57 \pm 0.22)%	(100.0 \pm 0.6)%
$B^+ \rightarrow K^+ \mu^+ \mu^-$	(90.84 \pm 0.51)%	(93.55 \pm 0.23)%	(97.1 \pm 0.6)%
$B^0 \rightarrow K_S^0 e^+ e^-$	(91.17 \pm 0.86)%	(92.56 \pm 0.05)%	(98.5 \pm 0.9)%
$B^0 \rightarrow K_S^0 \mu^+ \mu^-$	(92.76 \pm 0.90)%	(94.63 \pm 0.05)%	(98.0 \pm 0.9)%
$B^0 \rightarrow K^{*0} e^+ e^-$	(88.42 \pm 0.71)%	(88.82 \pm 0.29)%	(99.6 \pm 0.9)%
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	(91.01 \pm 0.75)%	(92.65 \pm 0.32)%	(98.2 \pm 0.9)%
$B^+ \rightarrow K^{*+} e^+ e^-$	(88.68 \pm 1.40)%	(86.75 \pm 0.36)%	(102.2 \pm 1.7)%
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	(86.66 \pm 1.71)%	(85.52 \pm 0.48)%	(101.3 \pm 2.1)%

Systematic uncertainty on cut efficiency reduced to a few percent

Dominant systematic on efficiency (4-7%) is **model dependence**
(form factor models change q^2 distribution)

Fit systematics: change in signal yield from choices of

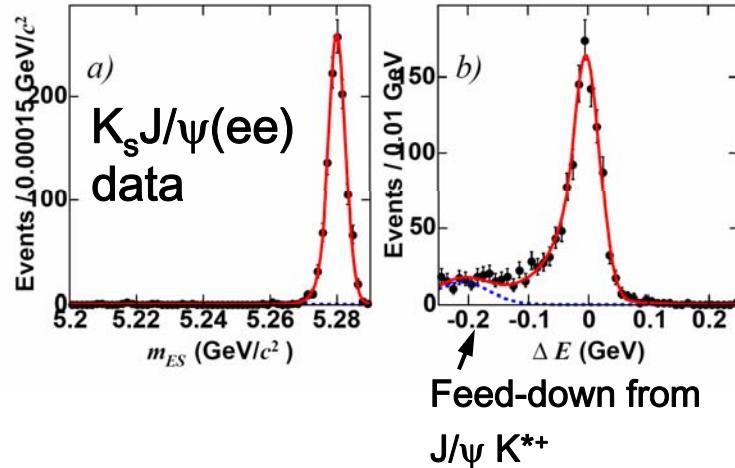
Signal shape parameters (m_{ES} , ΔE , $m(K\pi)$ mean and width)

Background shape scheme (introduce correlations, higher polynomial terms)

Peaking background mean rates (total varied within uncertainty)

Fit Validation: Charmonium

Mode	A_{CP} (%)	$\mathcal{B}/10^{-6}$	PDG $\mathcal{B}/10^{-6}$
$B^+ \rightarrow K^+ e^+ e^-$	-0.2 ± 1.5	1016 ± 15	1000 ± 40
$B^+ \rightarrow K^+ \mu^+ \mu^-$	0.4 ± 1.8	1038 ± 22	1000 ± 40
$B^0 \rightarrow K_S^0 e^+ e^-$	—	869 ± 26	850 ± 50
$B^0 \rightarrow K_S^0 \mu^+ \mu^-$	—	895 ± 32	850 ± 50
$B^0 \rightarrow K^{*0} e^+ e^-$	1.9 ± 2.0	1346 ± 28	1310 ± 70
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	3.0 ± 2.4	1326 ± 33	1310 ± 70
$B^+ \rightarrow K^{*+} e^+ e^-$	1.4 ± 3.8	1404 ± 58	1350 ± 100
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	0.2 ± 4.7	1558 ± 76	1350 ± 100

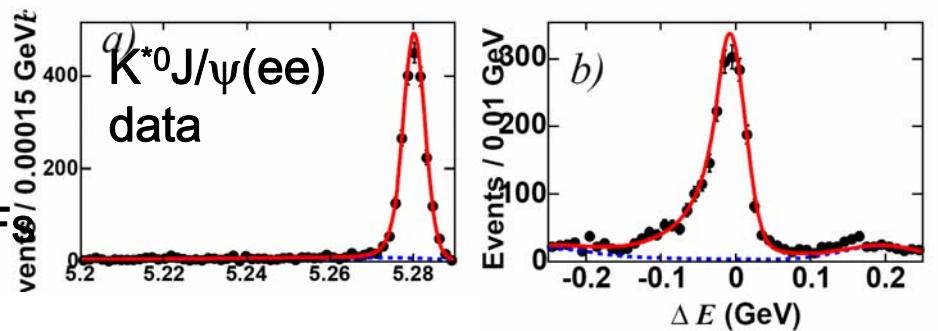


Test fit procedure on charmonium

$J/\psi K^{(*)}$ branching fractions agree with PDG

A_{CP} consistent with 0 (bounds detector bias)

Signal and background well-modeled by PDF



Also:

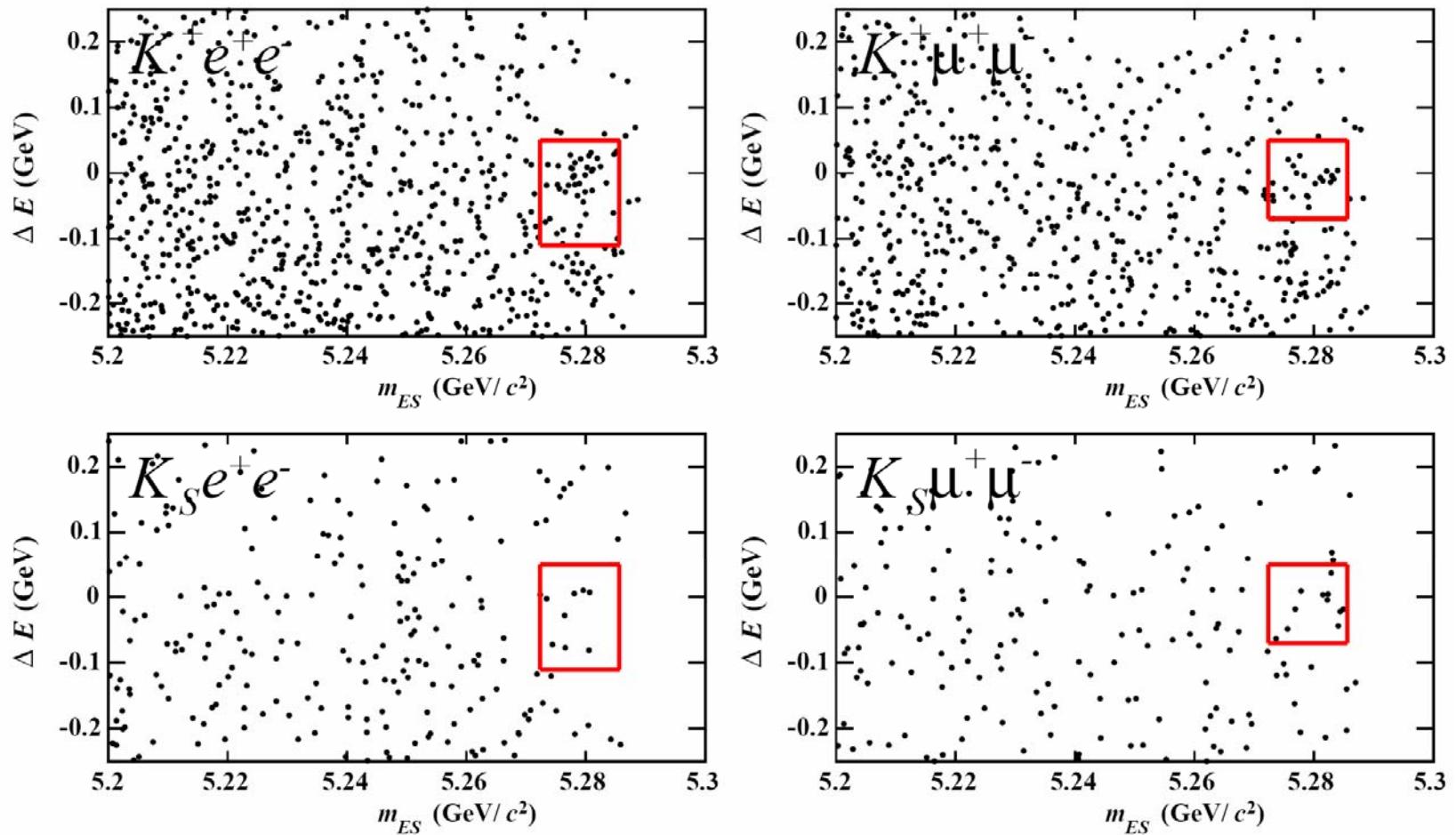
$\Psi(2S) K^{(*)}$ branching fractions

$K^{(*)}\epsilon\mu$

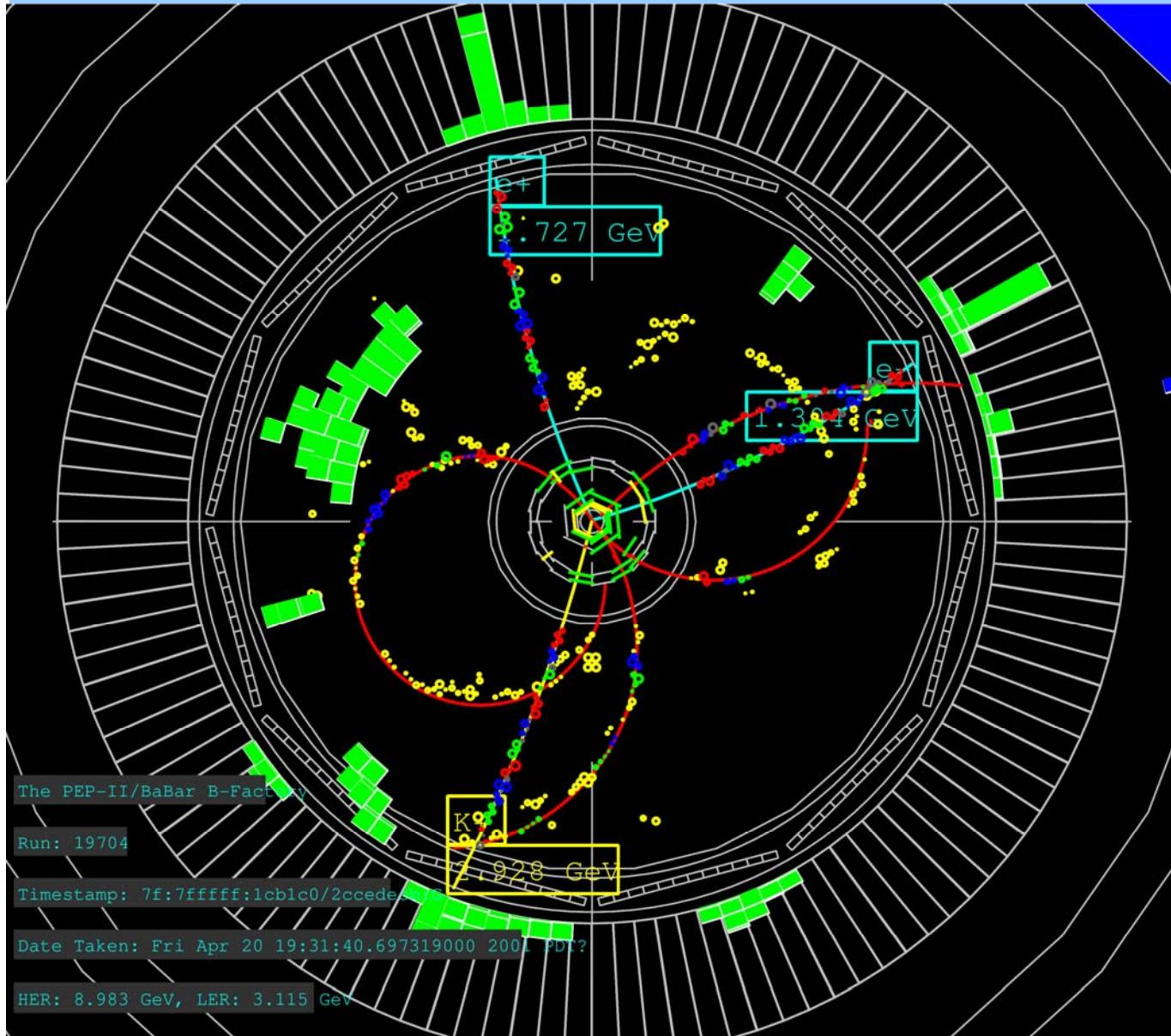
Lower-dimensional and/or smaller range fits

Sideband yields agree with MC

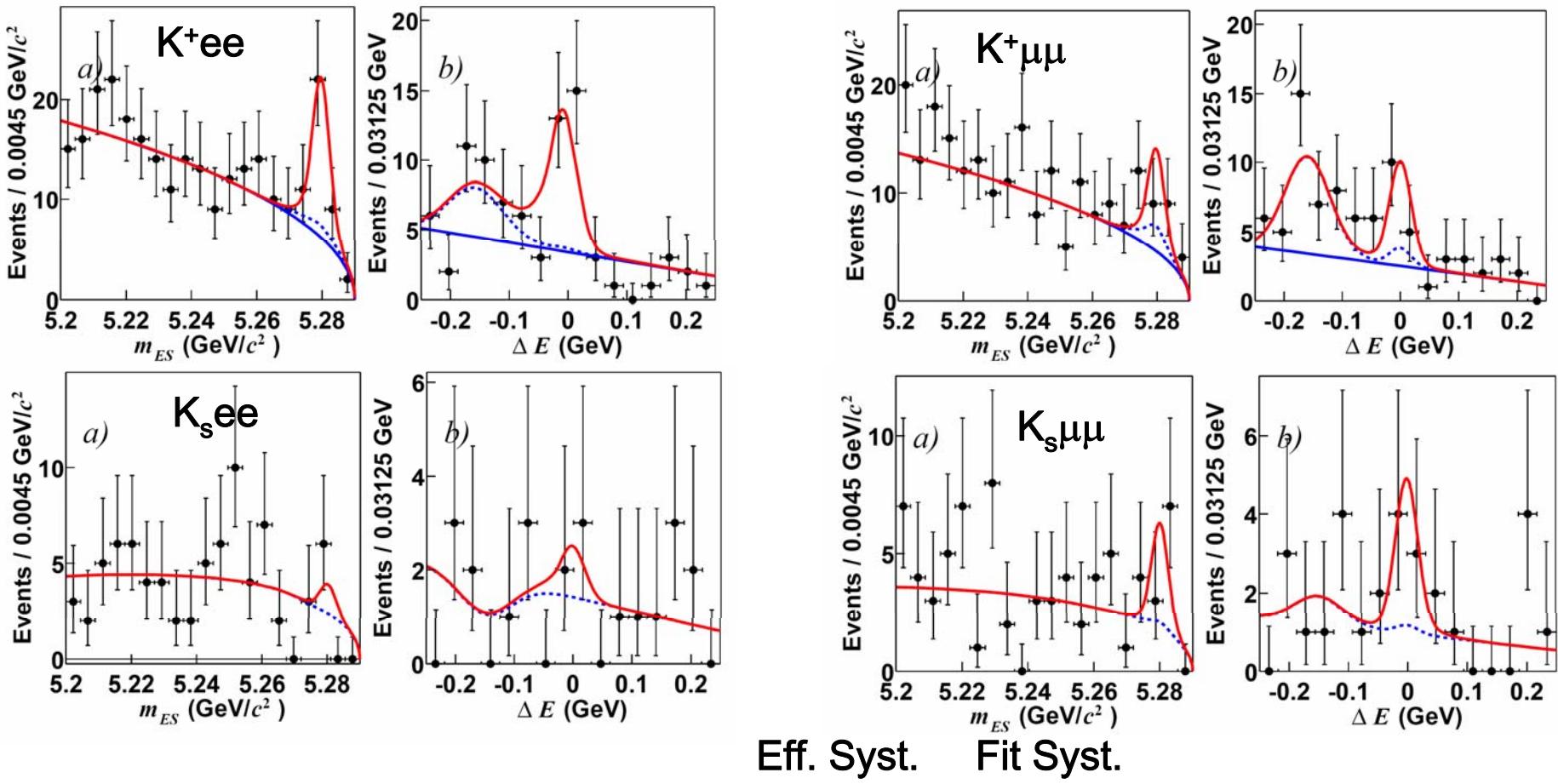
Kll Fits



$K^+e^+e^-$ Candidate



Kll Fits



Eff. Syst. Fit Syst.

Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^+ \rightarrow K^+ e^+ e^-$	$25.9^{+7.4}_{-6.5}$	$(26.4 \pm 0.1)\%$	± 0.02	± 0.02	$0.43^{+0.12}_{-0.11} \pm 0.03$	5.3
$B^+ \rightarrow K^+ \mu^+ \mu^-$	$10.9^{+5.1}_{-4.3}$	$(15.2 \pm 0.1)\%$	± 0.02	± 0.04	$0.31^{+0.15}_{-0.12} \pm 0.04$	3.0
$B^0 \rightarrow K^0 e^+ e^-$	$2.4^{+2.8}_{-2.0}$	$(22.6 \pm 0.1)\%$	± 0.01	± 0.01	$0.14^{+0.16}_{-0.11} \pm 0.02$	1.2
$B^0 \rightarrow K^0 \mu^+ \mu^-$	$6.3^{+3.6}_{-2.8}$	$(13.3 \pm 0.1)\%$	± 0.04	± 0.03	$0.60^{+0.34}_{-0.27} \pm 0.05$	2.8

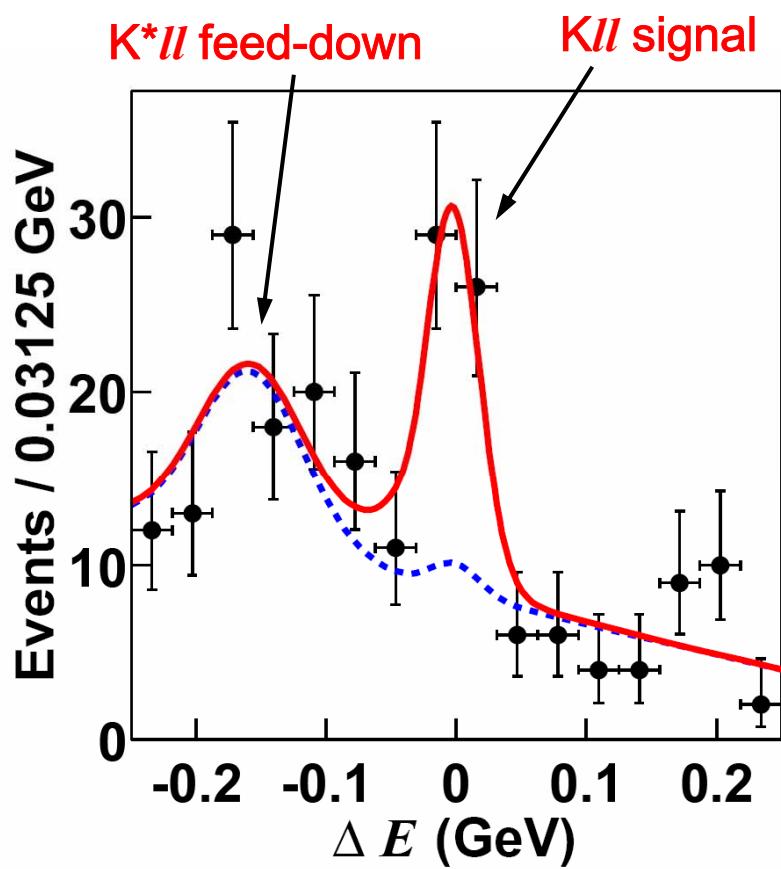
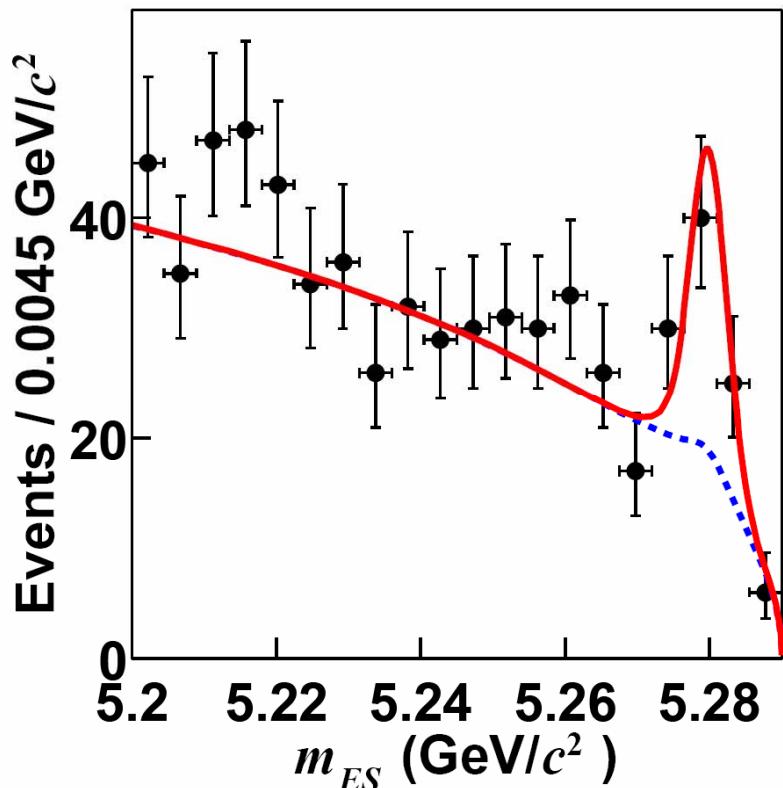
Kll modes consistent

K^{ll} Combined BF

Mode	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B \rightarrow K e^+ e^-$	± 0.02	± 0.01	$0.33^{+0.09}_{-0.08} \pm 0.02$	5.3
$B \rightarrow K \mu^+ \mu^-$	± 0.02	± 0.02	$0.35^{+0.13}_{-0.11} \pm 0.03$	3.8
$B \rightarrow K \ell^+ \ell^-$	± 0.02	± 0.01	$0.34^{+0.07}_{-0.07} \pm 0.02$	

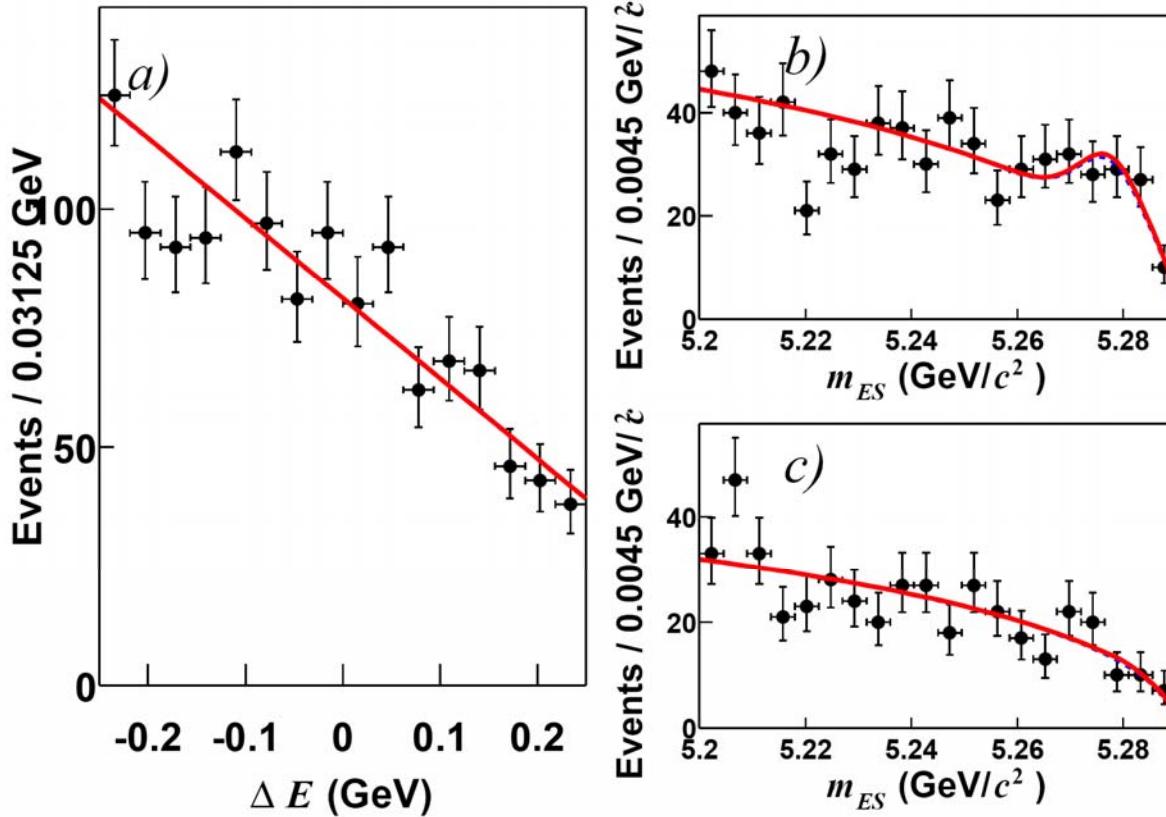
Simultaneous fit to four individual decay modes

Partial rates constrained to same value

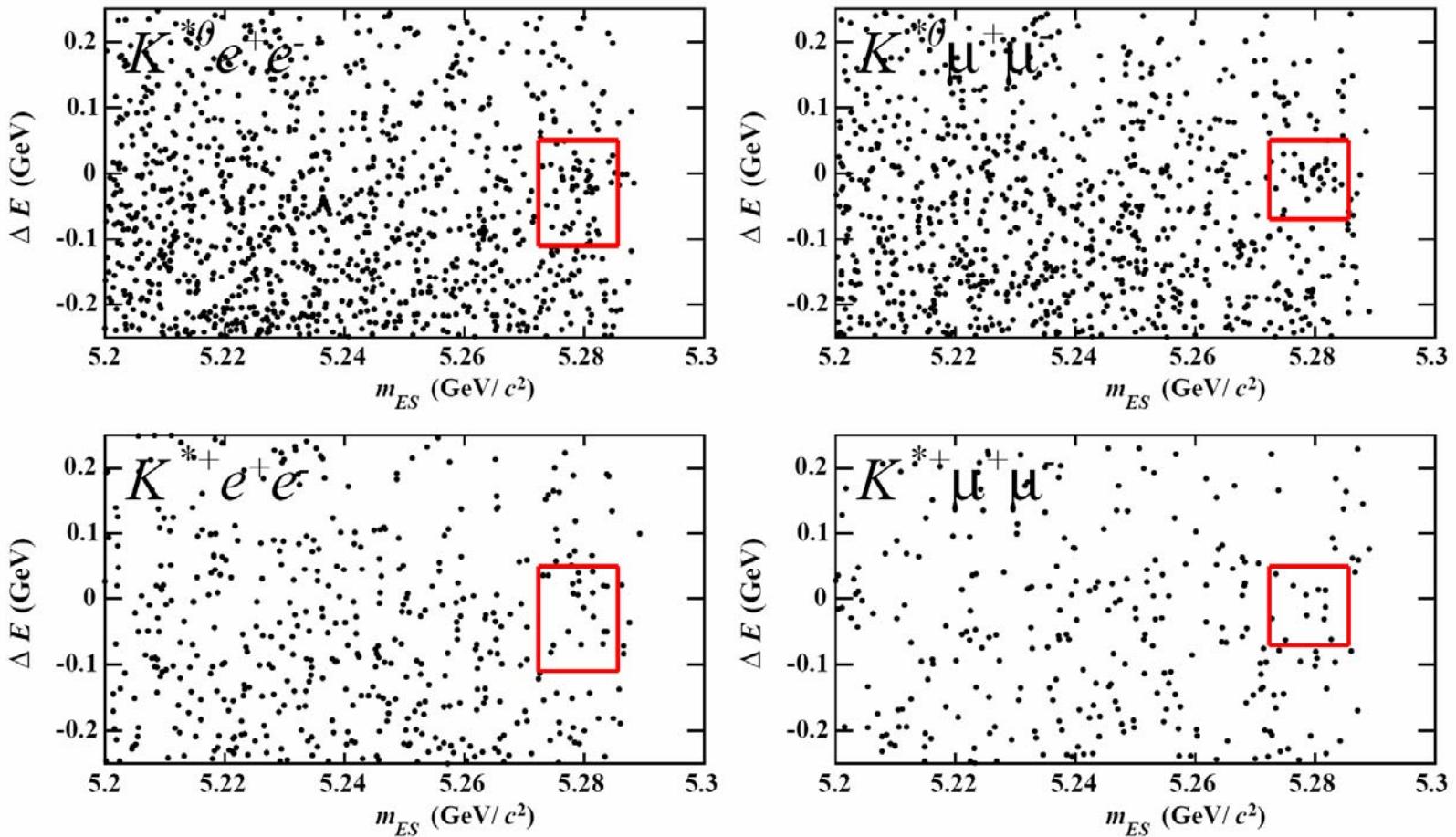


KII Sidebands

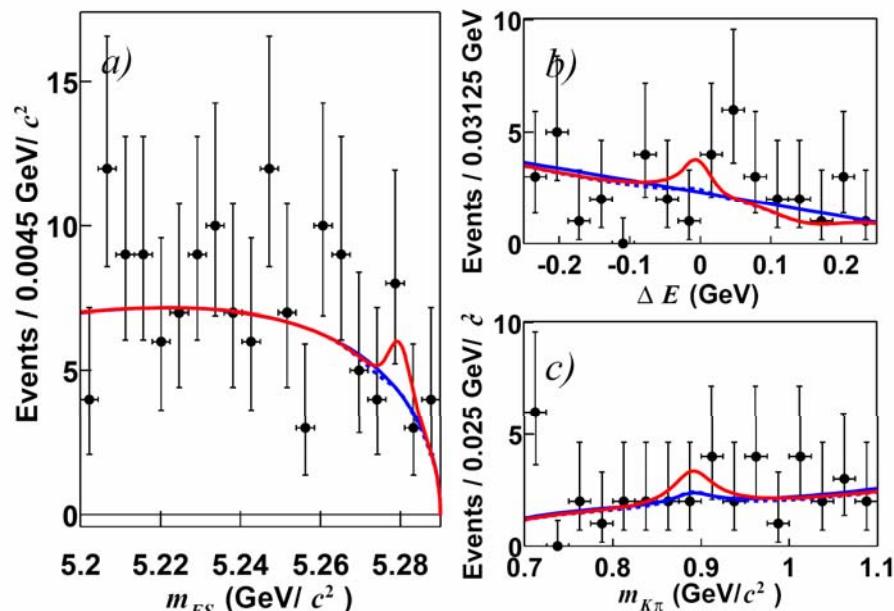
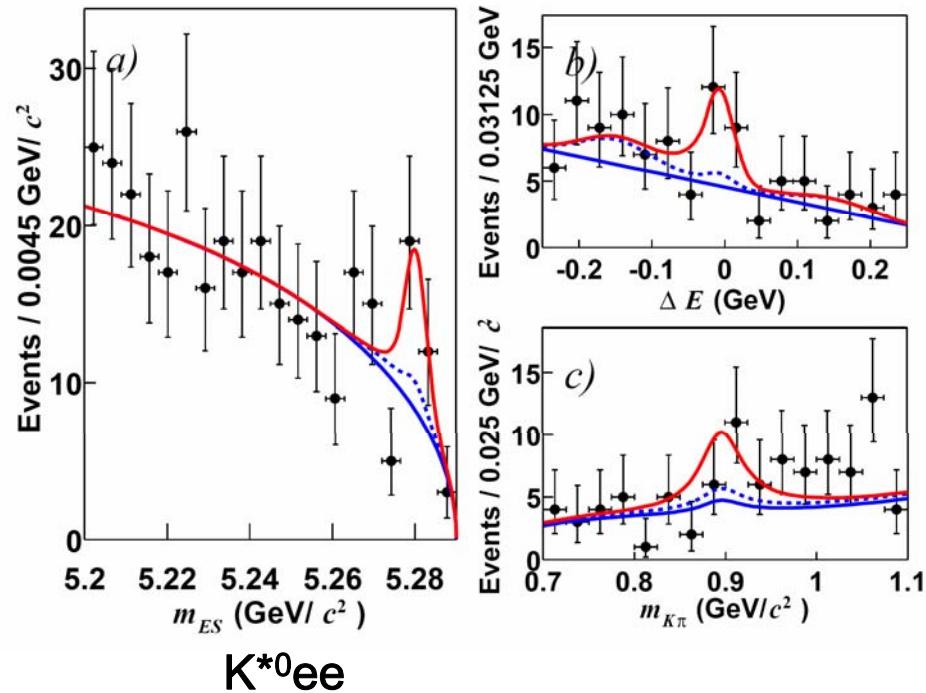
Fit describes background shape well



$K^*\ell\ell$ Fits

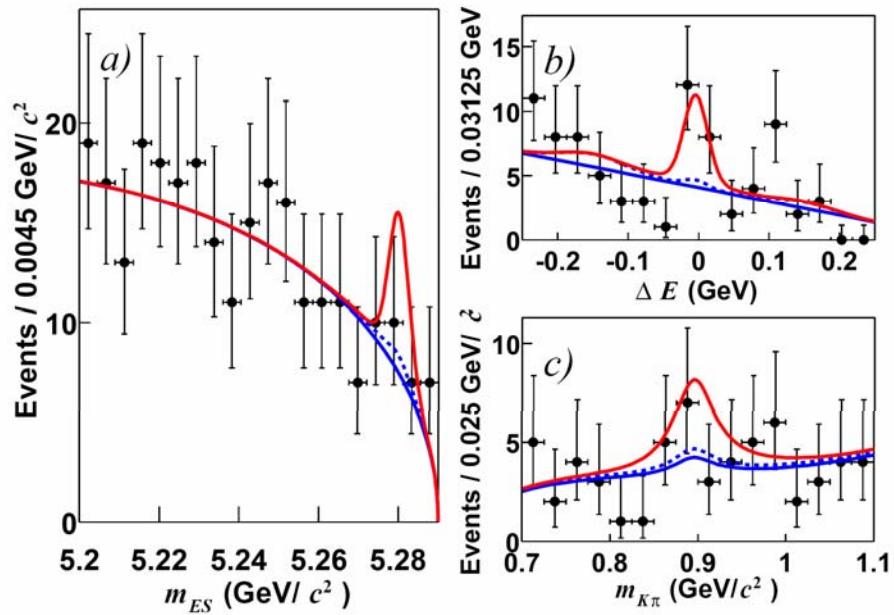


K*ll Fits

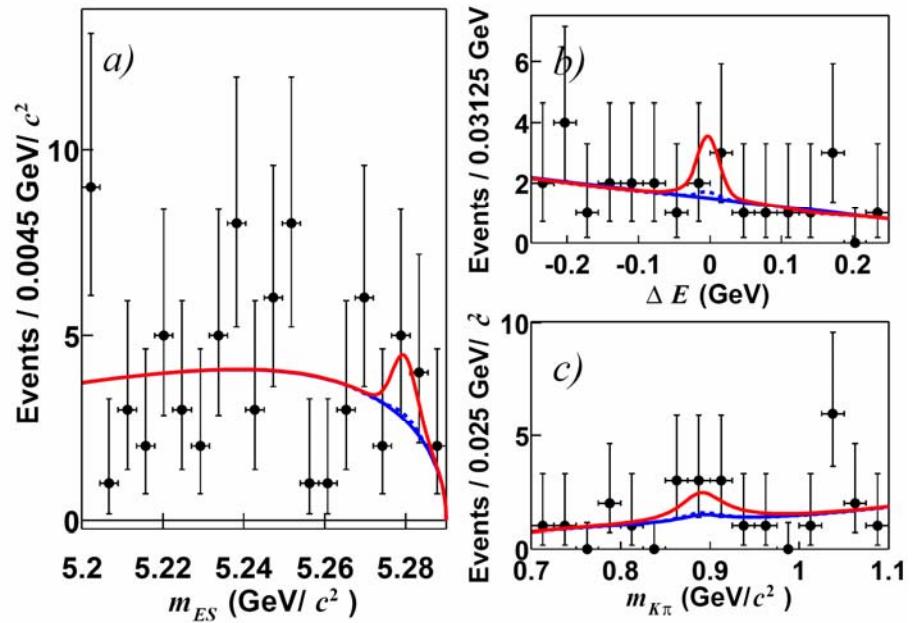


Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^0 \rightarrow K^{*0} e^+ e^-$	$29.4^{+9.5}_{-8.4}$	$(18.7 \pm 0.1)\%$	± 0.06	± 0.10	$1.03^{+0.33}_{-0.29} \pm 0.12$	4.4
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	$15.9^{+7.0}_{-5.9}$	$(11.7 \pm 0.1)\%$	± 0.08	± 0.11	$0.89^{+0.39}_{-0.33} \pm 0.14$	3.3
$B^+ \rightarrow K^{*+} e^+ e^-$	$6.2^{+7.0}_{-5.6}$	$(15.4 \pm 0.1)\%$	± 0.07	± 0.60	$0.77^{+0.87}_{-0.70} \pm 0.60$	1.0
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	$4.7^{+4.6}_{-3.4}$	$(9.0 \pm 0.1)\%$	± 0.10	± 0.13	$1.00^{+0.96}_{-0.71} \pm 0.16$	1.6

K*ll Fits



$K^{*0}\mu\mu$



$K^{*+}\mu\mu$

Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^0 \rightarrow K^{*0} e^+ e^-$	$29.4^{+9.5}_{-8.4}$	$(18.7 \pm 0.1)\%$	± 0.06	± 0.10	$1.03^{+0.33}_{-0.29} \pm 0.12$	4.4
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K^*ll Combined Branching Fraction

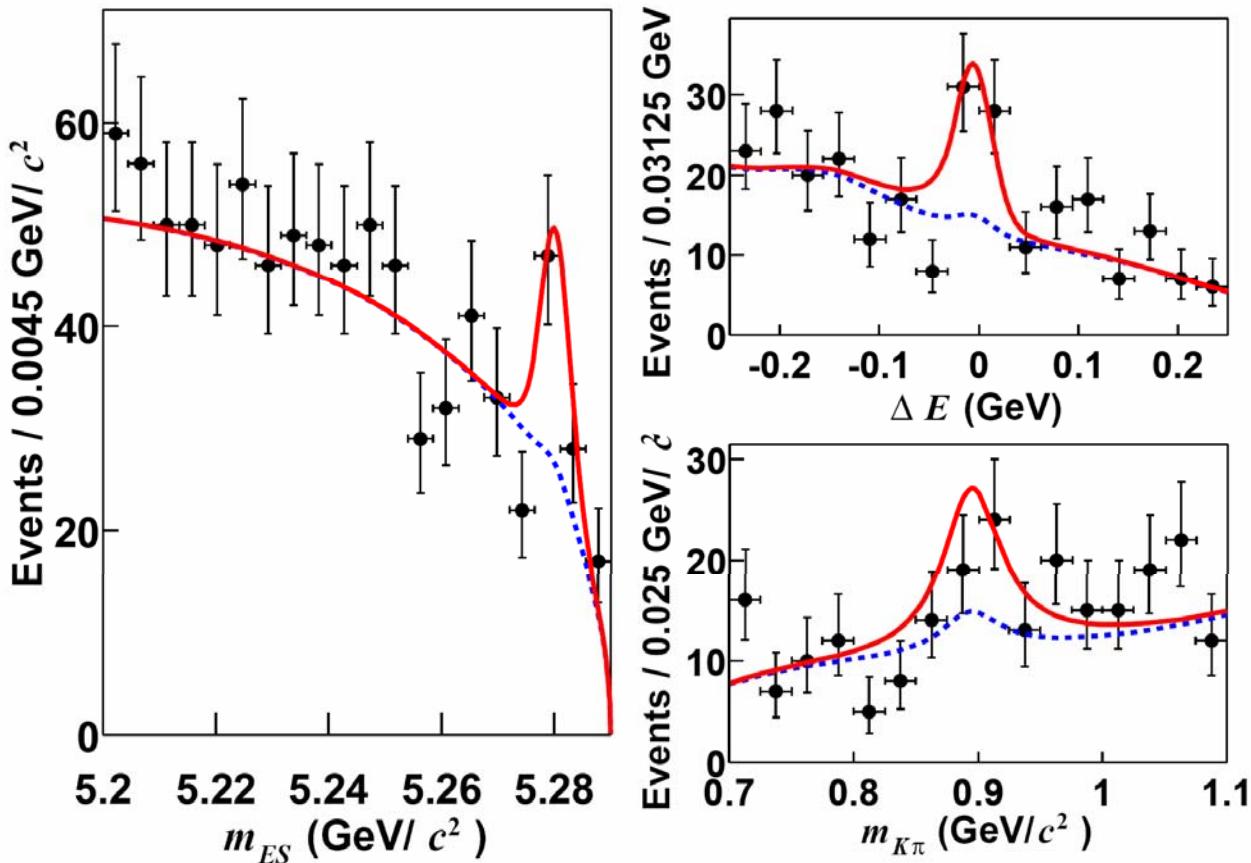
Mode	$(\Delta \mathcal{B})(\times 10^{-6})$	$(\Delta \mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B \rightarrow K^* e^+ e^-$	± 0.06	± 0.13	$0.97^{+0.30}_{-0.27}$	± 0.15
$B \rightarrow K^* \mu^+ \mu^-$	± 0.08	± 0.11	$0.90^{+0.35}_{-0.30}$	± 0.13
$B \rightarrow K^* \ell^+ \ell^-$	± 0.05	± 0.10	$0.78^{+0.19}_{-0.17}$	± 0.12



Simultaneous fit with constraint

$$\Gamma(B \rightarrow K^* \mu \mu) / \Gamma(B \rightarrow K^* ee) = 0.752$$

(" $K^* ll$ BF" \equiv $K^* 0 \mu \mu$ BF)

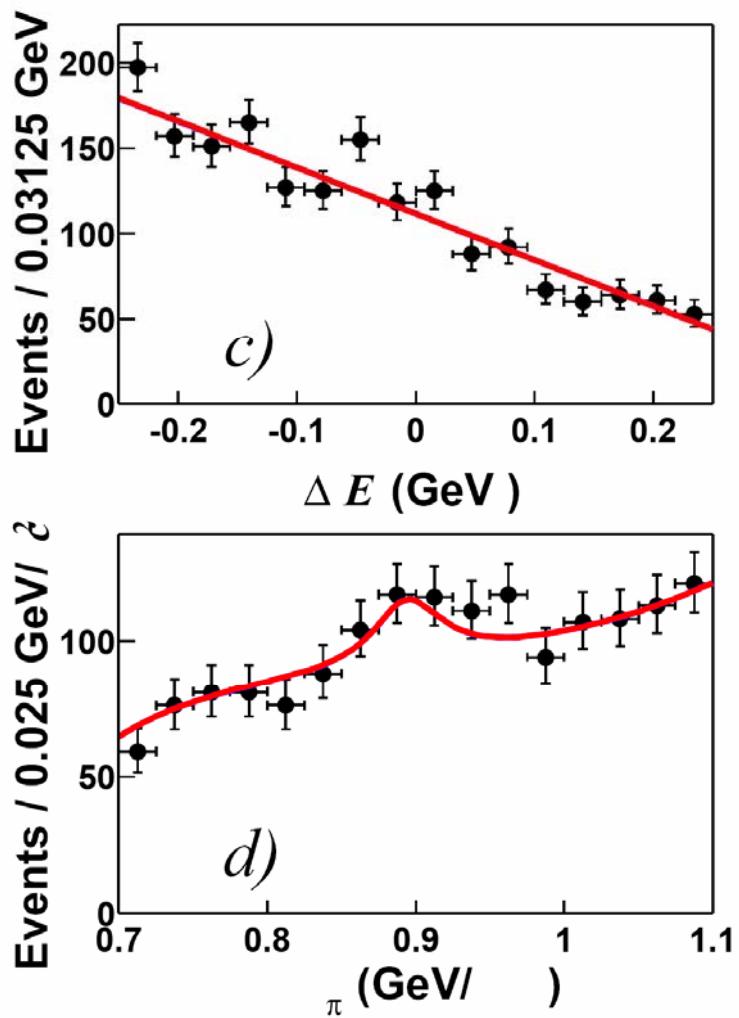
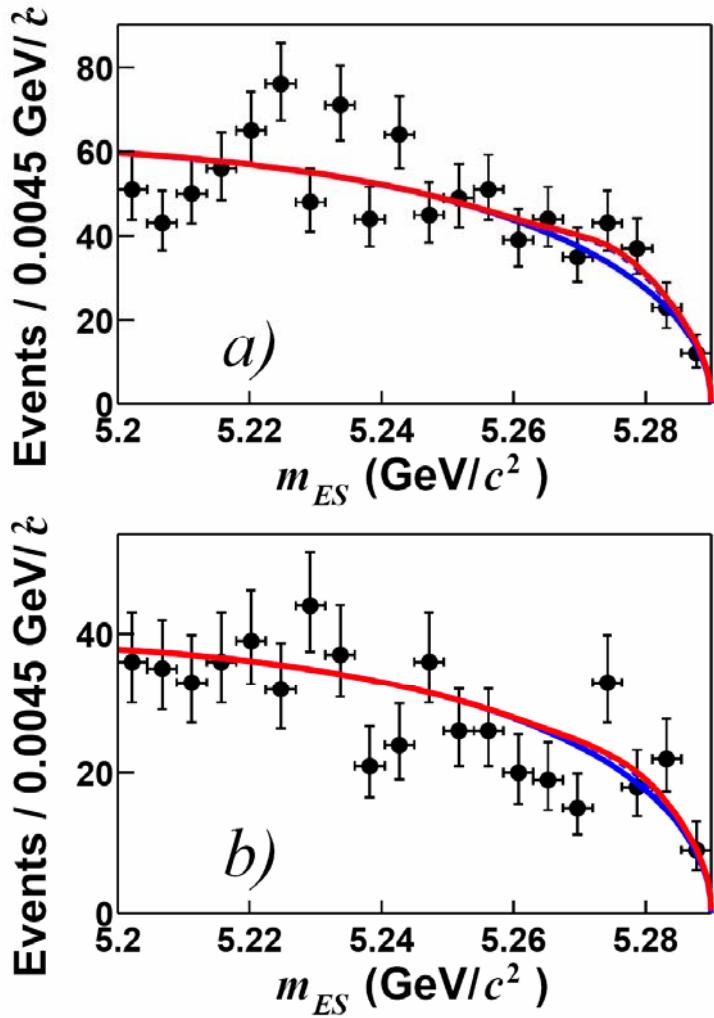


Alternatively, with pole region removed and equal partial rates:

$$\mathcal{B}(B \rightarrow K^* \ell^+ \ell^-, q^2 > 0.10 \text{ GeV}^2/c^4) =$$

$$(0.74^{+0.20}_{-0.18} \pm 0.12) \times 10^{-6}$$

K*II Sidebands



Ratios of BFs and A_{CP}

Ratio of $K\mu\mu/Kee$ BFs consistent with unity:

$$R_K = 1.06 \pm 0.48 \pm 0.05$$

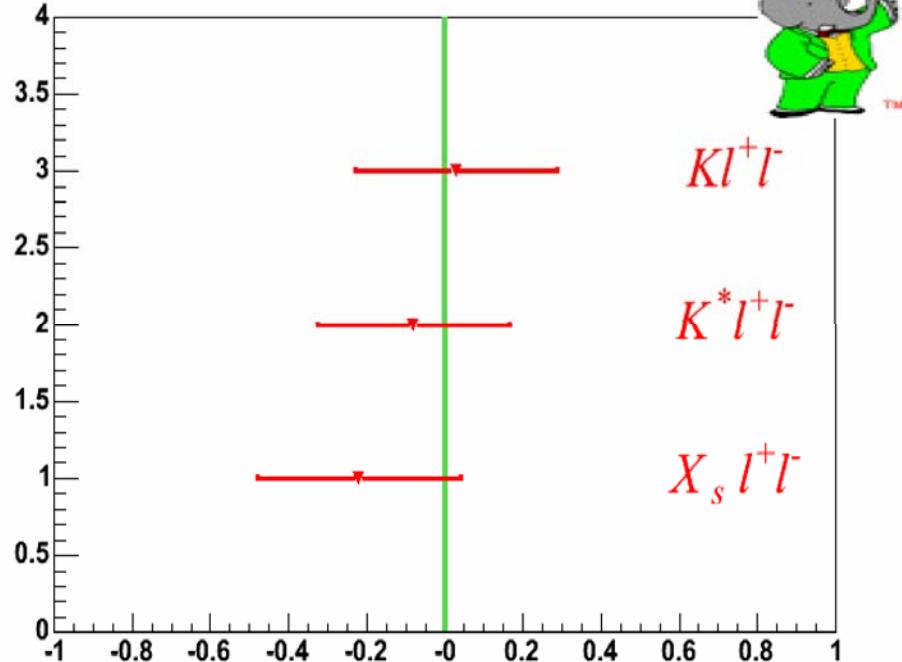
Ratio of $K^*\mu\mu/K^*ee$ BFs consistent with SM ($=0.752$):

$$R_{K^*} = 0.98 \pm 0.46 \pm 0.06$$

Ratio of $K^*\mu\mu/K^*ee$ BFs (excluding pole) consistent with unity:

$$R_{K^*}(q^2 > 0.10 \text{ GeV}^2/c^4) = 1.37 \pm 0.74 \pm 0.11$$

A_{CP} measurements consistent with 0



$$A_{CP}(B^+ \rightarrow K^+\ell^+\ell^-) = -0.08 \pm 0.22 \pm 0.11$$

$$A_{CP}(B \rightarrow K^*\ell^+\ell^-) = 0.03 \pm 0.23 \pm 0.12,$$

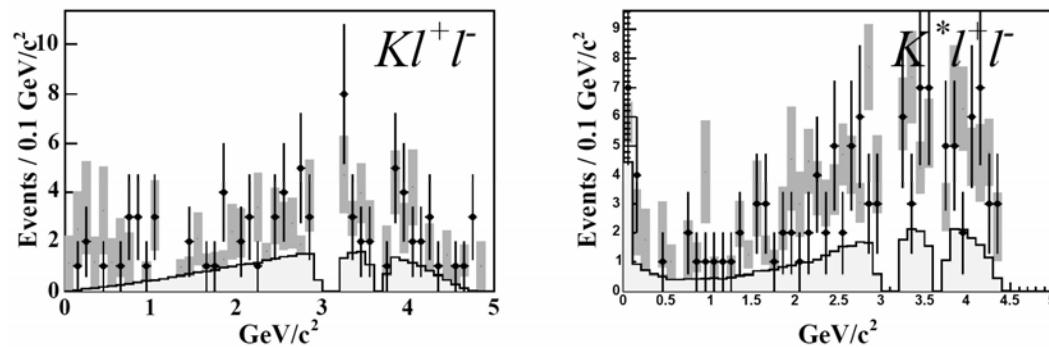
Dominant systematic from unknown asymmetry of peaking background

Towards A_{FB}

First, need partial
BF measurement
vs. q^2

Signal candidates span
entire range

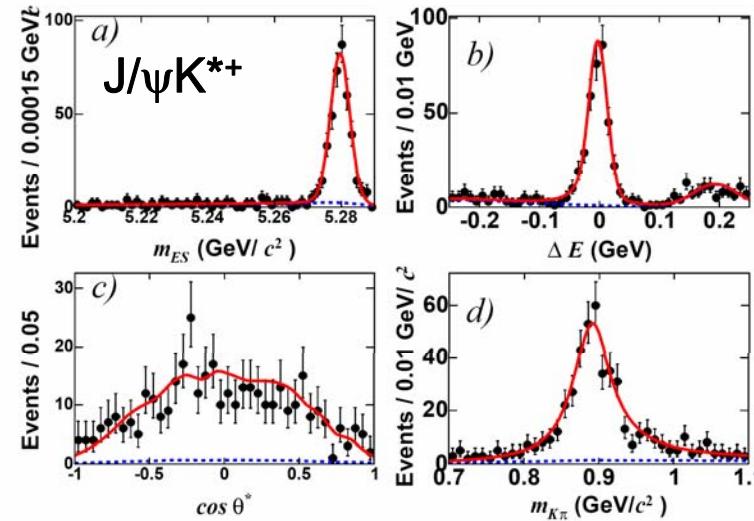
Separate fits for optimal
 q^2 bins



Fit method for $\cos\theta^*$: $\Gamma^{-1} d\Gamma/d\cos\theta^* = 0.5 \times (1.0 - 2.0 \times C/3.0) + \text{[red circle]} \times \cos\theta^* + C \times \cos^2\theta^*$
4D LH fit

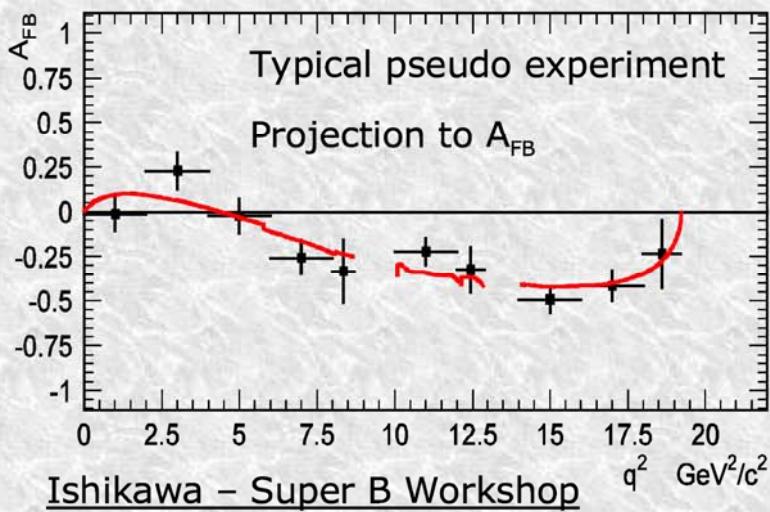
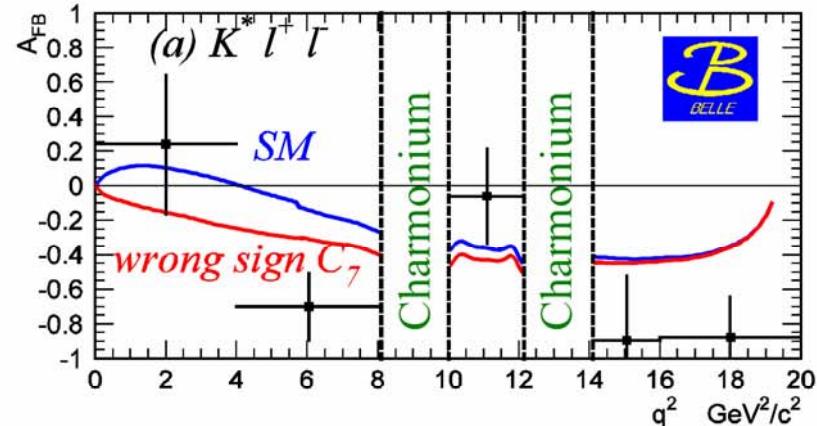
Check with charmonium
and Kll

*Need asymmetric efficiency
and background PDFs*



Towards A_{FB}

- First raw A_{FB} distribution in $B \rightarrow K^* ll$ from Belle
 - Consistent with SM or wrong sign C_7



- Belle projections to 5 ab^{-1} with simultaneous fit to q^2 , $\cos(\theta^*)$ distributions
 - Extract A_9 , A_{10} directly
 - For SM input values, mean errors:

$$\delta A_9 / A_9 = 11\%$$

$$\delta A_{10} / A_{10} = 13\%$$

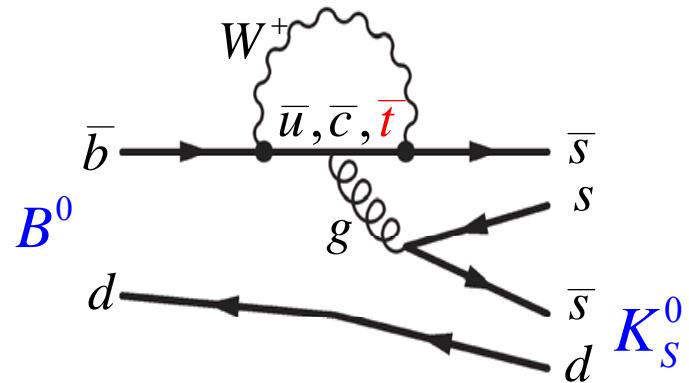
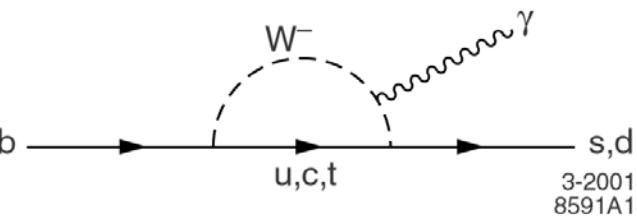
$A_i = q^2$ independent term of C_i

Summary

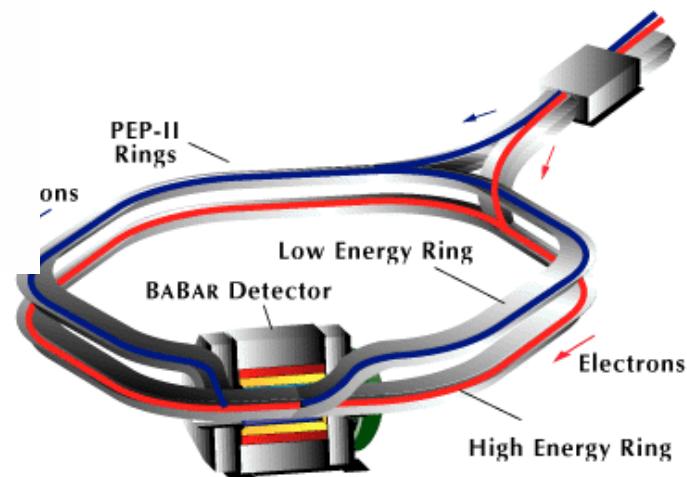
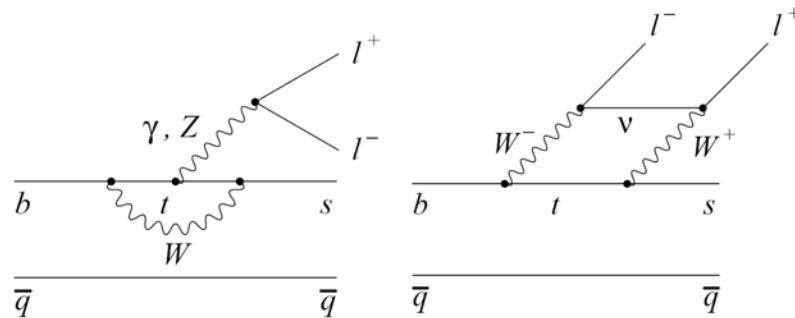
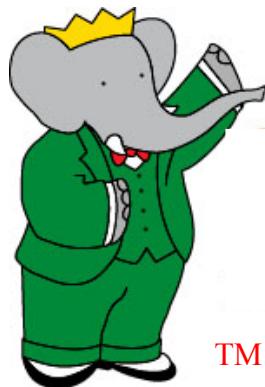
- Strong penguins beginning to crack the standard model?
- Decay rate measurements of $b \rightarrow s\gamma$ penguins are well into the precision era.
- CP asymmetries of $b \rightarrow s\gamma$ penguins are statistics limited and will continue to test the SM

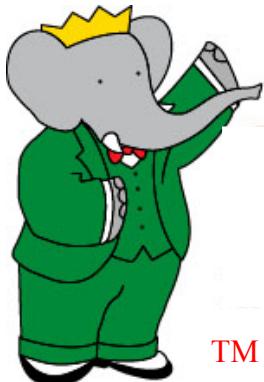
“Ultimate” $b \rightarrow sll$ penguin will ultimately disentangle all electroweak penguin effects, SM or not.

$B \rightarrow Kll$ ratios and K^*ll angular asymmetries are high precision tests of the electroweak scale and beyond



Penguin decays are strongly challenging the Standard Model!





Quarks, Flavor Violation, and CP Violation



Quarks and the problem of mass

Standard Model “explanation” of quark mass:

Six quark species with **unpredicted masses**

Spanning almost **six orders of magnitude**

Up type (q=+2/3)		Mass (GeV/c ²)
Up	u	10 ⁻³
Charm	c	1
Top	t	175

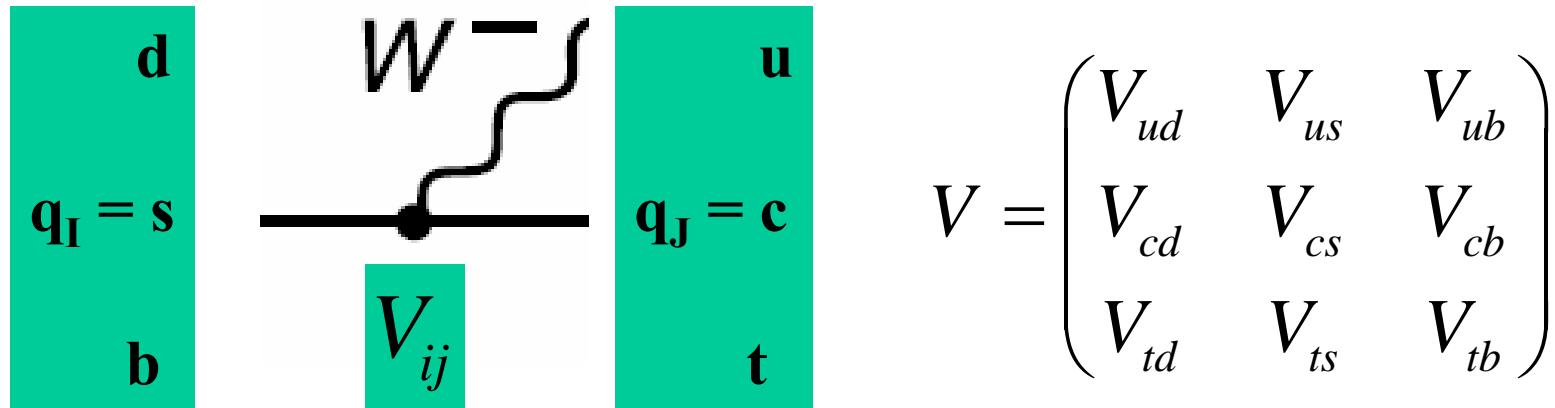
Down type (q=-1/3)		Mass (GeV/c ²)
Down	d	5 10 ⁻³
Strange	s	10 ⁻¹
Bottom	b	5

The origin of different fermion generations, masses, flavor violation, and CP violation are all arbitrary parameters of **electroweak symmetry breaking**.
A comparative physics of the quark flavors directly probes this little-known sector.

Quarks and Flavor Violation

Photon, gluon or Z boson: quark flavor conserving interactions

W boson: changes any **down type flavor** to any **up type flavor**



The (Cabibbo-Kobayashi-Maskawa) **CKM matrix**: complex amplitude of each possible transition

Conservation of probability \rightarrow **CKM matrix is unitary**

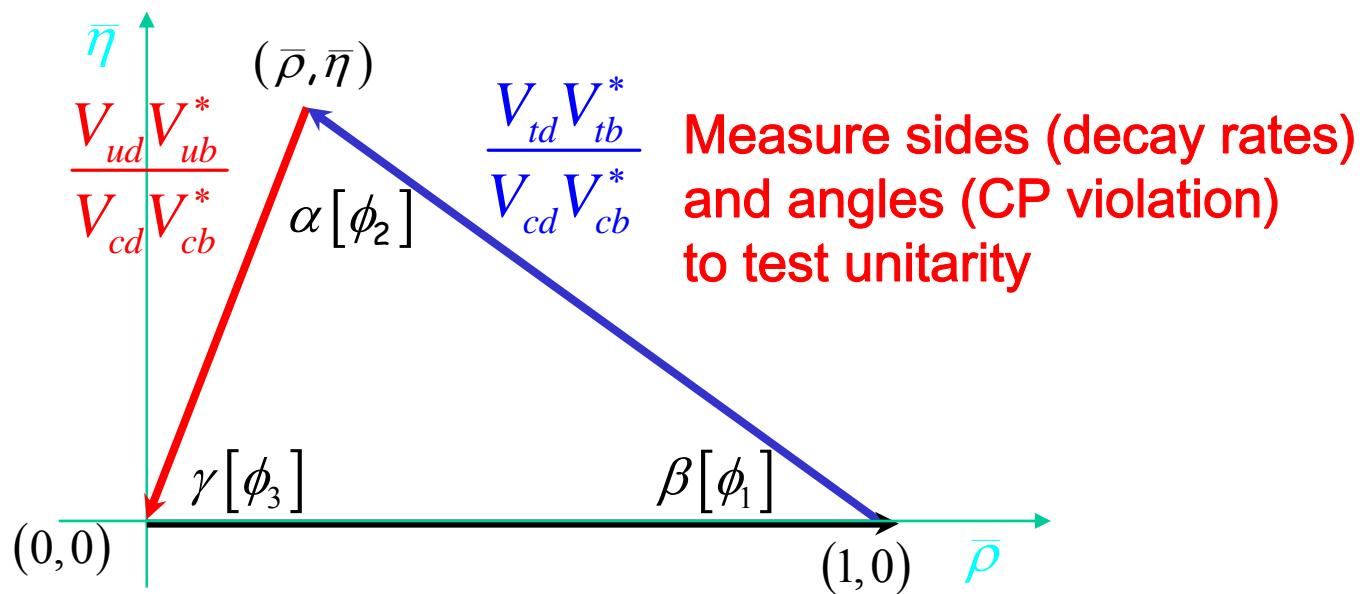
3X3 unitary matrix has (effectively) four degrees of freedom:
3 angles + 1 complex phase

CKM Unitarity

Inner product of first and third columns of CKM matrix is zero:

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

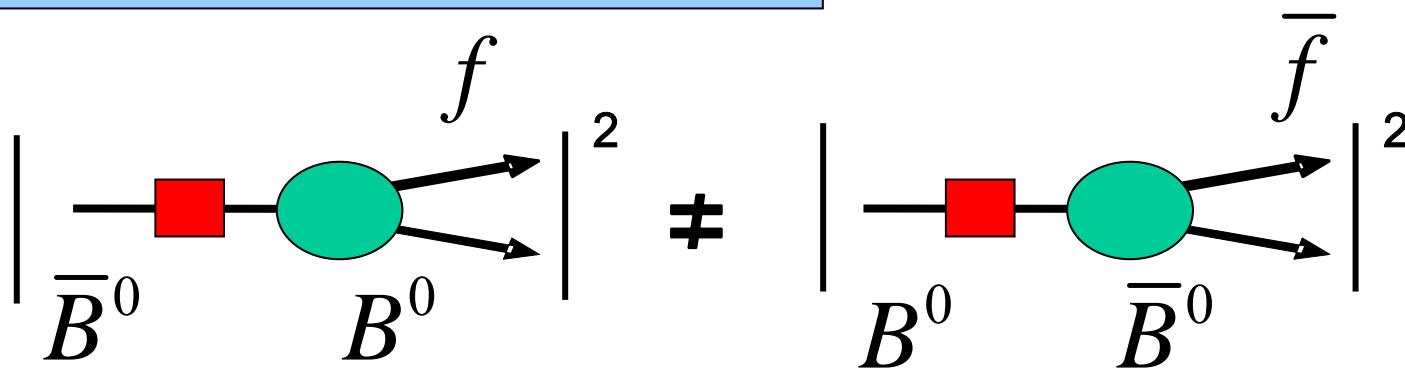
Rescale, rotate and reparameterize to describe a
Unitarity Triangle in the complex plane



Three Paths to CP Violation

CP violation \rightarrow an observable O of particles (f_1, f_2, \dots) such that
 $O(f_1, f_2, \dots) \neq O(\text{CP}(f_1, f_2, \dots)) = O(\bar{f}_1, \bar{f}_2, \dots)$

1. CP violation in meson mixing

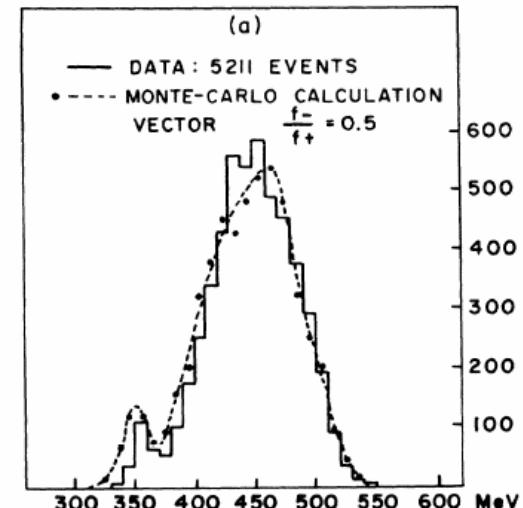


Mixing rate of meson to final state f not the same as

Mixing rate of anti-meson to same final anti-state

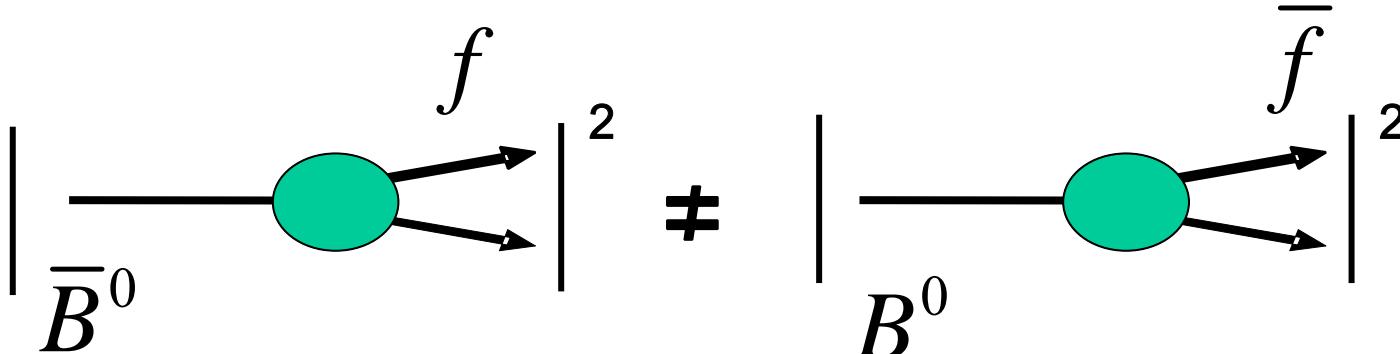
In the Standard Model, very small $\sim 10^{-3}$

CP violation in K^0 decays first observed through this path forty years ago!



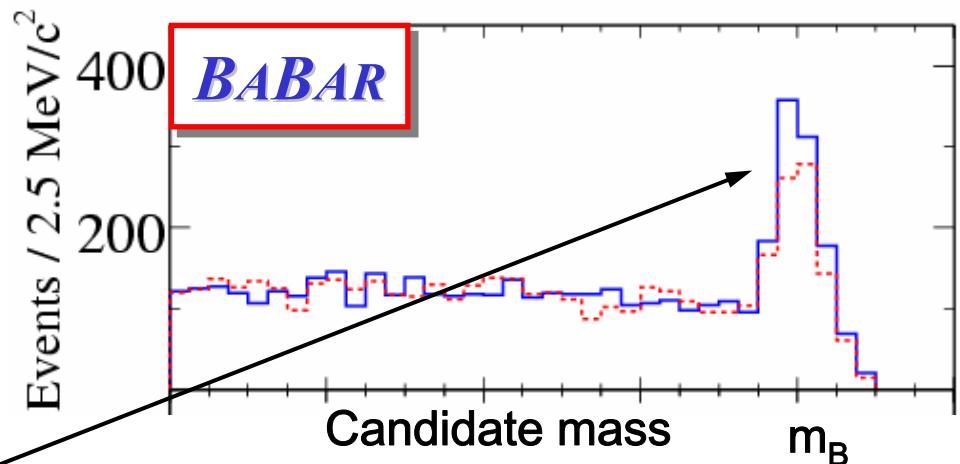
Three Paths to CP Violation

2. CP violation in meson decay → “Direct CP violation”



Decay rate of meson to final state f not the same as Decay rate of anti-meson to same final anti-state

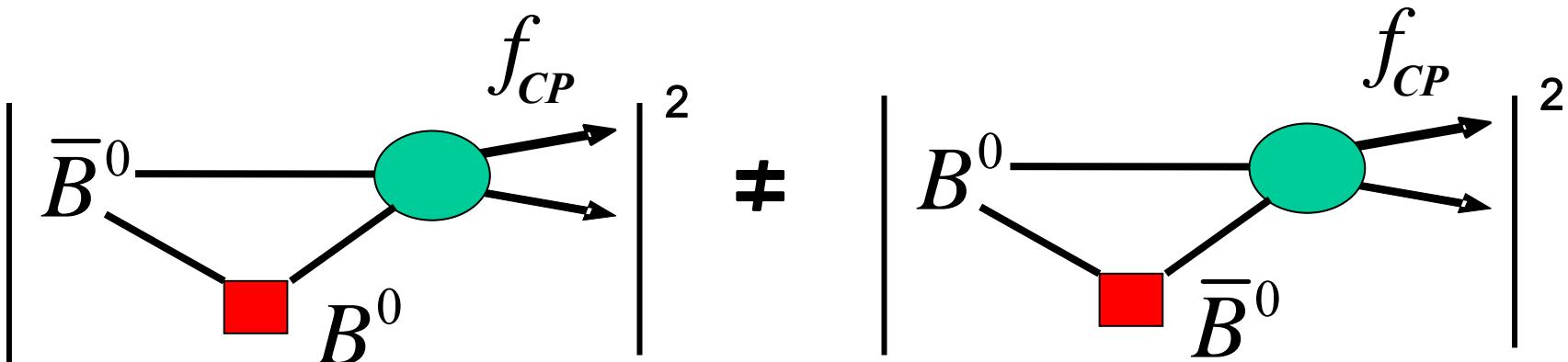
Recently observed in $B^0 \rightarrow K^+ \pi^-$ at the 10% level!



Blue $B^0 \rightarrow K^+ \pi^-$
Red $\bar{B}^0 \rightarrow K^- \pi^+$

Three Paths to CP Violation

3. Time dependent asymmetry of meson/anti-meson decay rate to a common final state

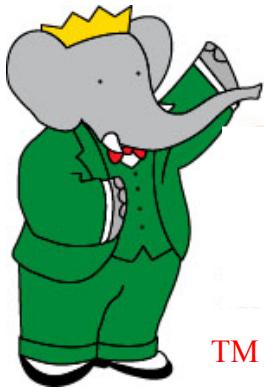


If \bar{B}^0 and B^0 decay to the same final state f_{CP} , there is interference between amplitude of direct decay (green circle) and amplitude of mixing (red square followed by a green circle).

In the presence of CP violating phases in these amplitudes, can induce large time-dependent asymmetry with frequency equal to mixing frequency:

$$A_{f_{CP}} = -C_{f_{CP}} \cos(\Delta m t) + S_{f_{CP}} \sin(\Delta m t)$$

$C_{f_{CP}} \neq 0$ implies Direct CP Violation



TM

B Factories



b Quarks and CKM Unitarity

B decay rates, CP asymmetries measure the entire triangle!

Triangle sides:

$B^+ \rightarrow \rho^0 \ell^- \nu$ decay rate measures $b \rightarrow u$ transition ($|V_{ub}|$)

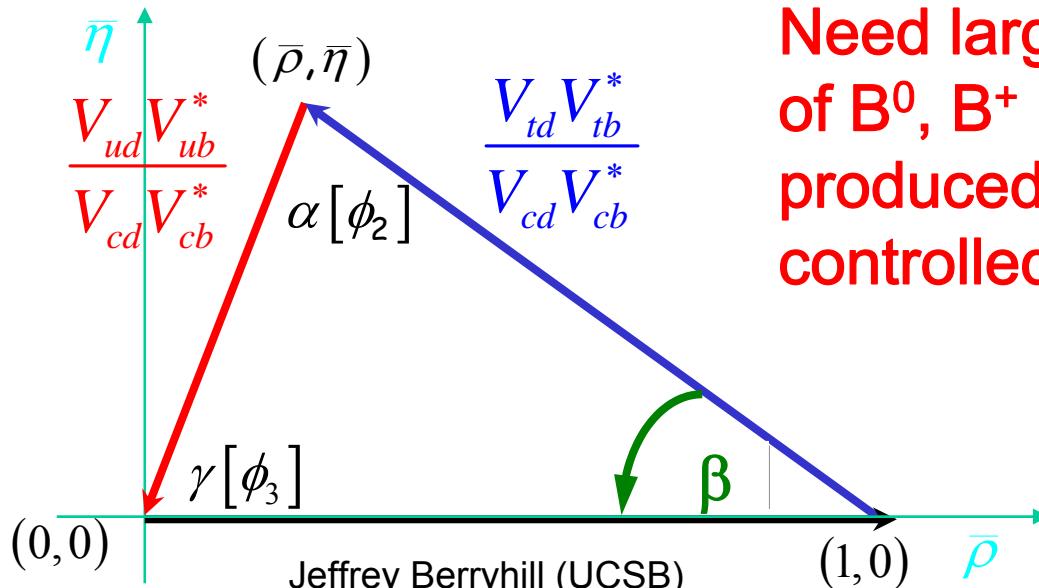
B^0 mixing rate measures $|V_{td}|$

Angles:

$B^0 \rightarrow \rho^+ \rho^-$ time dependent CP asymmetry measures $\sin 2\alpha$

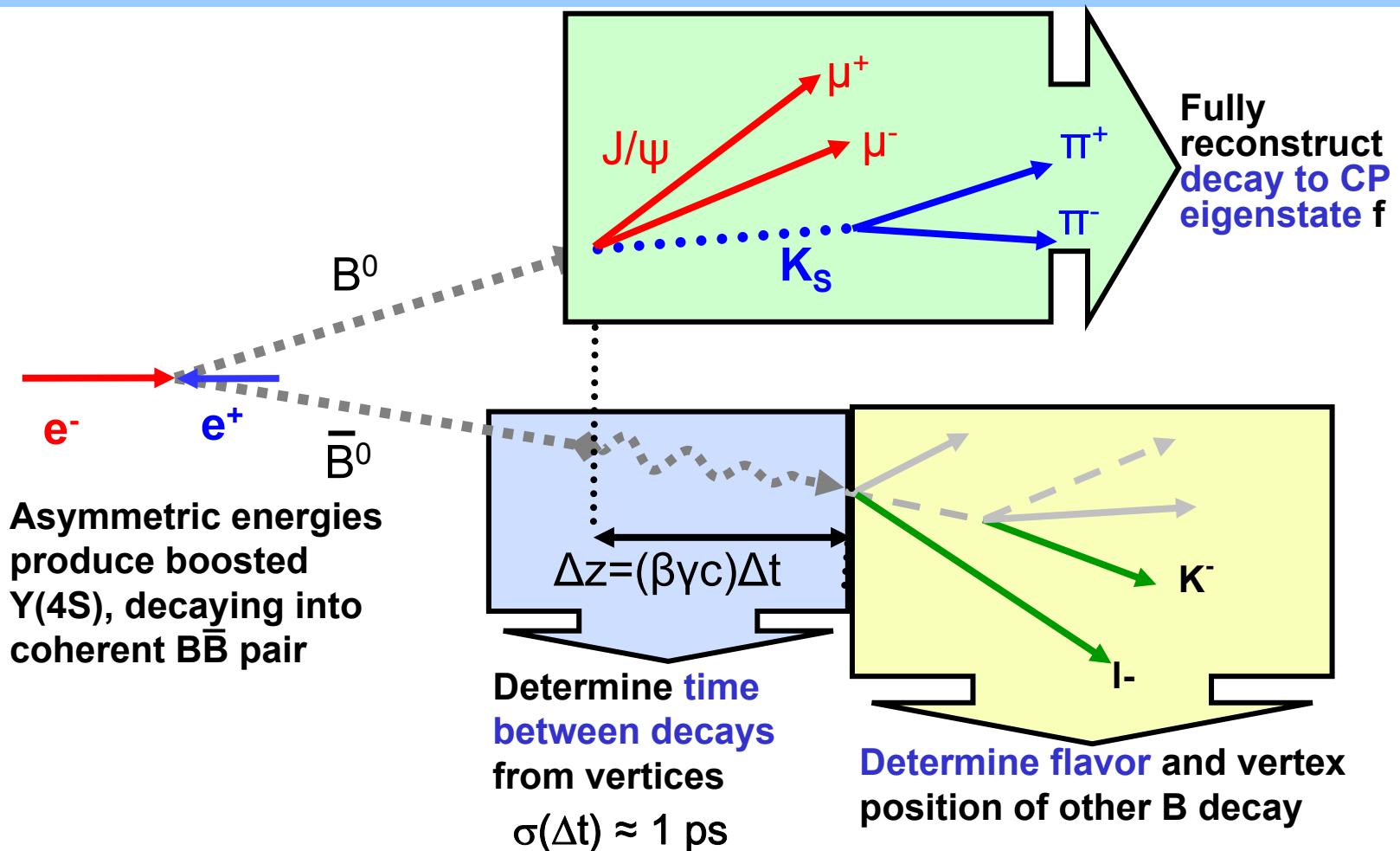
$B^0 \rightarrow J/\psi K_S^0$ time dependent CP asymmetry measures $\sin 2\beta$

$B^+ \rightarrow D^{(*)} K^+$ decay rates measure γ



Need large sample
of B^0 , B^+ mesons
produced under
controlled conditions

Time-Dependent CP Violation: Experimental technique

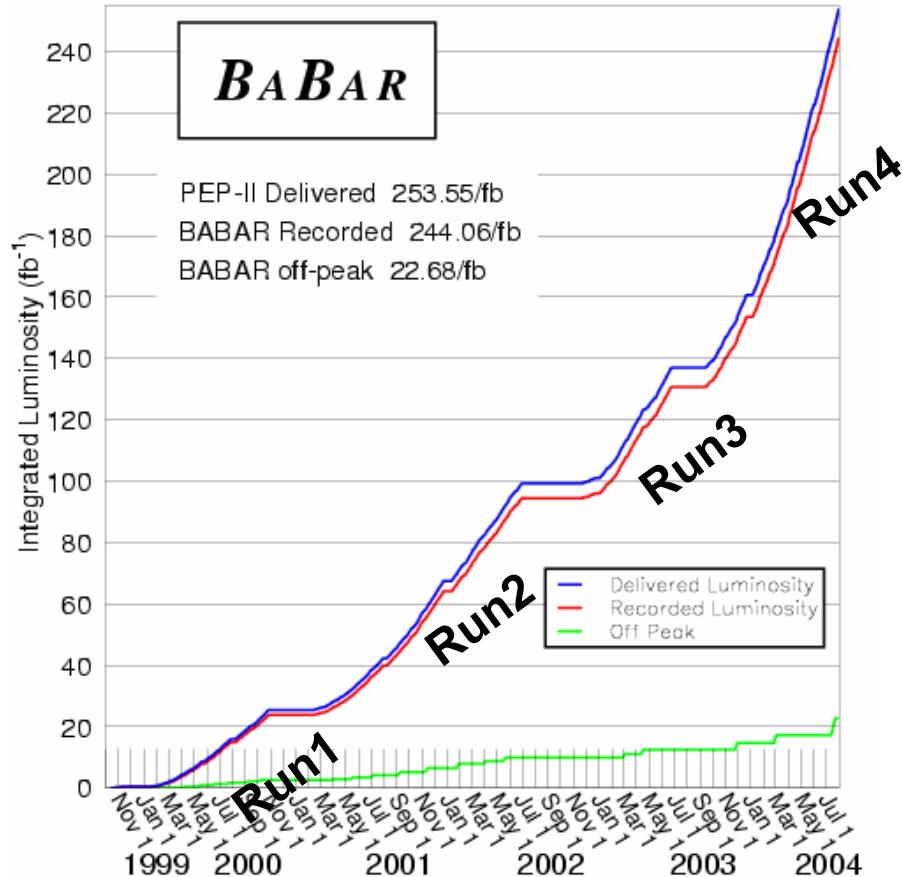


Compute CP violating asymmetry $A(\Delta t) = \frac{N(\bar{f}; \Delta t) - N(f; \Delta t)}{N(\bar{f}; \Delta t) + N(f; \Delta t)}$

PEP-II at the Stanford Linear Accelerator Center



PEP-II performance



PEP-II top luminosity:

$$9.2 \times 10^{33} \text{ cm}^{-2} \text{s}^{-1}$$

(more than 3x design goal 3.0×10^{33})

1 day record : 681 pb^{-1}

About 1 Amp of current per beam,
injected continuously

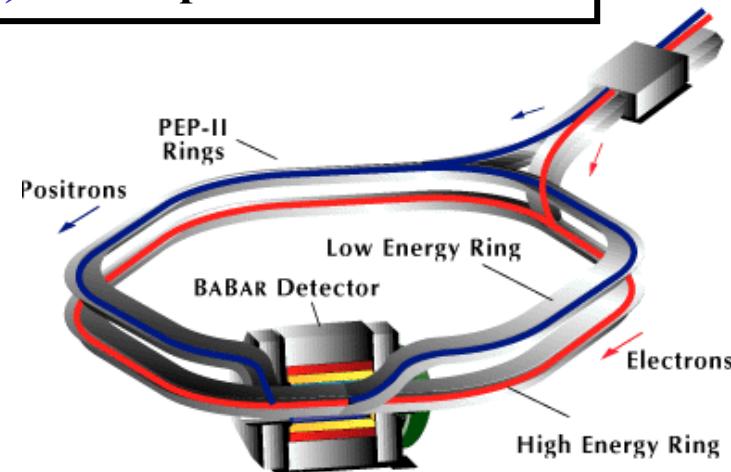
Run1-4 data: 1999-2004

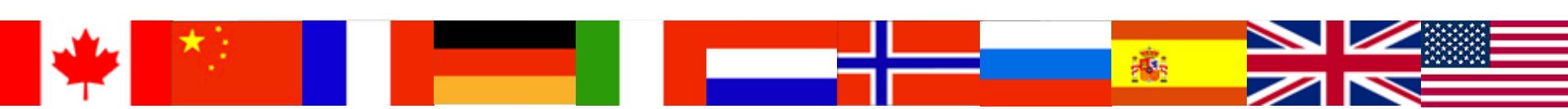
On peak 211 fb^{-1}

$\sigma(e^+e^- \rightarrow Y(4S)) = 1.1 \text{ nb} \rightarrow$
229M $Y(4S)$ events produced

458 million b quarks produced!

Also $\sim 10^8$ each of u, d, s, c , and τ





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LAL Orsay

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80 Institutions
593 Physicists

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Ecole Polytechnique,
Laboratoire Leprince-Ringuet
CEA, DAPNIA, CE-Saclay

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Imperial College
Queen Mary , U of London
U of London, Royal Holloway
U of Manchester
Rutherford Appleton Laboratory

Our Friendly Competitors



$L_{\text{peak}} = 1.39 \times 10^{34} \text{ sec}^{-1} \text{cm}^{-2}$
@ 1.2A x 1.6A

253 fb^{-1} on Y(4S) 274M $B\bar{B}$
28 fb^{-1} below Y(4S)

8 GeV $e^- \times 3.5 \text{ GeV } e^+$
 $\pm 11 \text{ mrad}$ crossing

