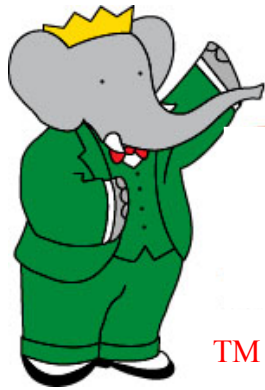


The Physics of Flavor: Half a Billion b Quarks for BaBar

**Jeffrey Berryhill
University of California, Santa Barbara
For the BaBar Collaboration**

**Texas A&M Physics Colloquium
November 22, 2004**



TM

Quarks, Flavor Violation, and CP Violation

Quarks and the problem of mass

Standard Model “explanation” of quark mass:

Six quark species with **unpredicted masses**

Spanning almost **six orders of magnitude**

Up type ($q=+2/3$)	Mass (GeV/c ²)
Up u	10^{-3}
Charm c	1
Top t	175

Down type ($q=-1/3$)	Mass (GeV/c ²)
Down d	$5 \cdot 10^{-3}$
Strange s	10^{-1}
Bottom b	5

The origin of different fermion generations, masses, flavor violation, and CP violation are all arbitrary parameters of **electroweak symmetry breaking**.

A comparative **physics of the quark flavors** directly probes this little-known sector.

Quarks and their Strong Interactions

Quarks cannot be detected in isolation, only as bound states
A quark/anti-quark pair forms a bound state (mesons)

Flavor	u,d	s	c	b	t
spin 0 mesons	π^+ ($u\bar{d}$) π^0 ($u\bar{u}-d\bar{d}$)	K^+ ($u\bar{s}$) K_S^0 ($d\bar{s}+s\bar{d}$)	D^+ ($c\bar{d}$) D^0 ($c\bar{u}$)	B^0 ($d\bar{b}$) B^+ ($u\bar{b}$)	none
spin 1 mesons	ρ^+ ($u\bar{d}$) ρ^0 ($u\bar{u}-d\bar{d}$) ω ($u\bar{u}+d\bar{d}$)	K^{*+} ($u\bar{s}$) K^{*0} ($d\bar{s}$) ϕ ($s\bar{s}$)	D^{*+} ($c\bar{d}$) D^{*0} ($c\bar{u}$) J/ψ ($c\bar{c}$)	Y ($b\bar{b}$)	none

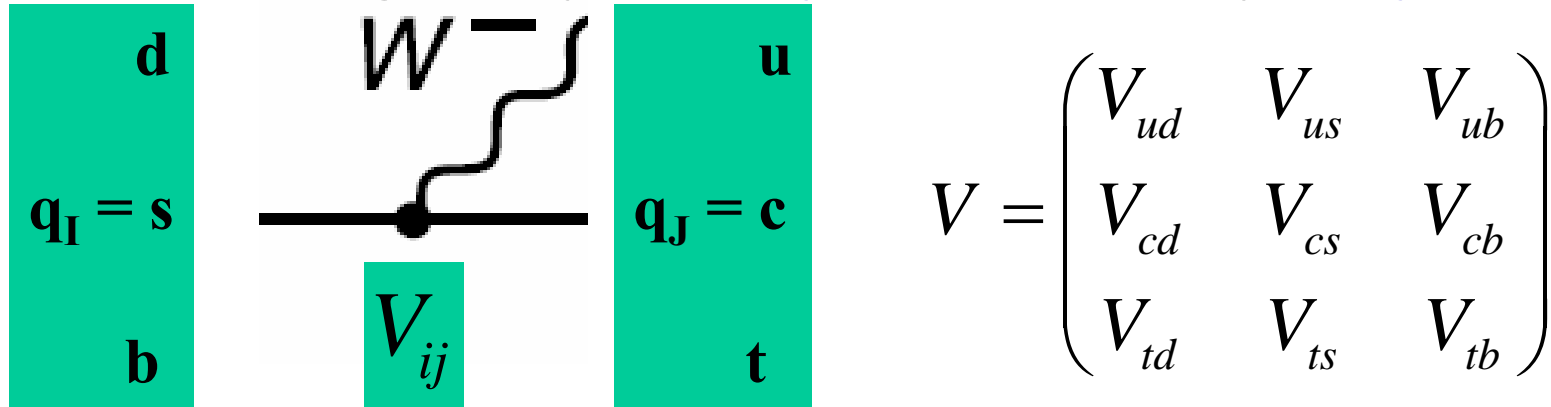
b quarks are the heaviest flavor with measureable bound states→

B mesons are a natural starting point for studying the other flavors

Quarks and Flavor Violation

Photon, gluon or Z boson: quark flavor conserving interactions

W boson: changes any down type flavor to any up type flavor



The (Cabibbo-Kobayashi-Maskawa) CKM matrix: complex amplitude of each possible transition

Conservation of probability → CKM matrix is unitary

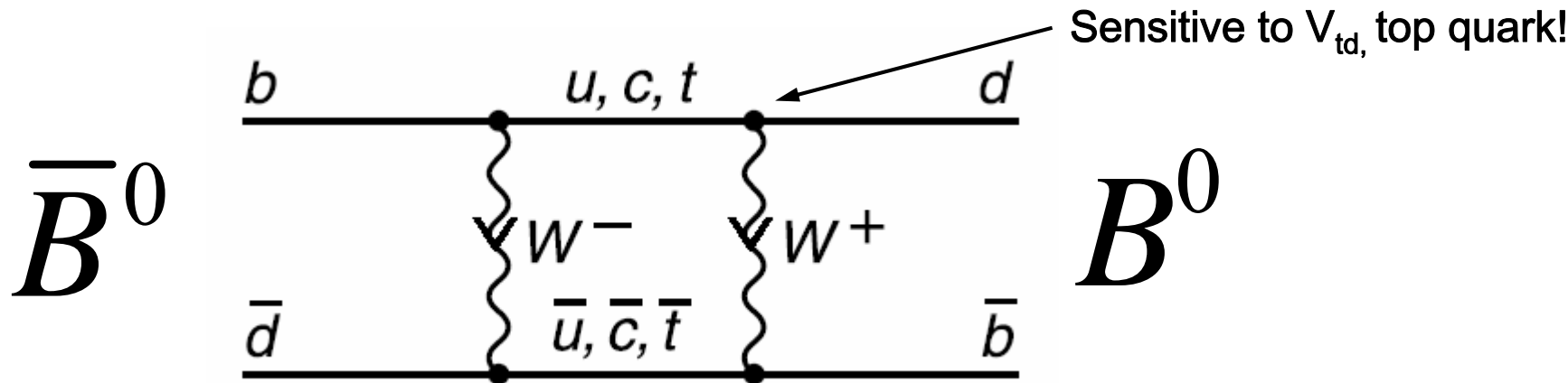
3X3 unitary matrix has (effectively) four degrees of freedom:

3 angles + 1 complex phase

Quarks and Flavor Violation: Mixing

Pairs of down (or pairs of up) type quarks can spontaneously swap flavor for anti-flavor via two flavor-violating exchanges

“Meson Mixing” aka “Flavor oscillation”



$$\text{Prob}(\bar{B}^0 \rightarrow B^0) \approx \exp(-\Gamma t)/2 * (1 - \cos(\Delta m t))$$

Similar to neutrino oscillation, except decay term added

Mixing time ~few ps

Quarks and CP Violation

For a particle(s) f with momentum p and helicity λ

C : Charge conjugation operator $C f(p, \lambda) = \bar{f}(p, \lambda)$

P : Parity reversal operator $P f(p, \lambda) = f(-p, -\lambda)$

$CP f(p, \lambda) = \bar{f}(-p, -\lambda)$

CP eigenstate: particle = anti-particle (Ex: $q\bar{q}$ mesons)

CP conservation \rightarrow **left-handed particles** have the same physics as **right-handed anti-particles**

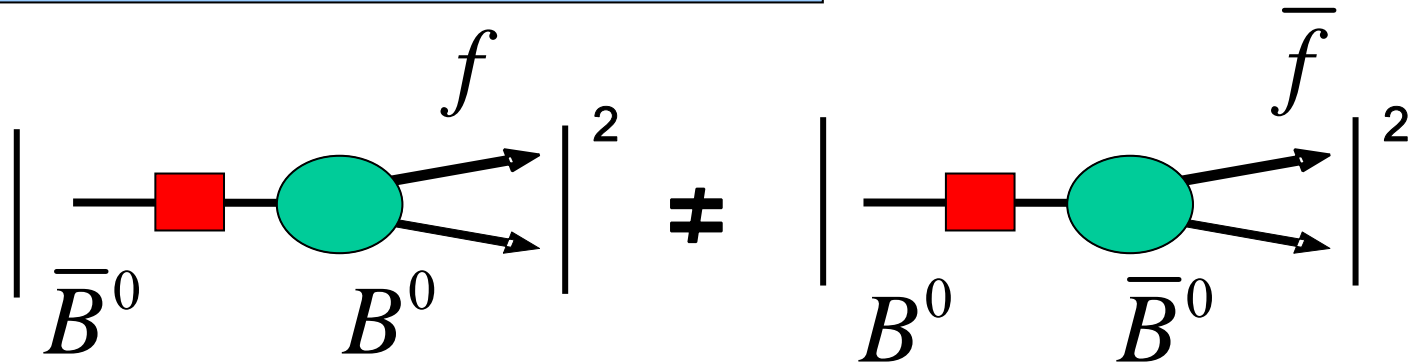
Obviously violated for our (baryon-asymmetric) local universe!

In the Standard Model **CP violation** originates from **complex phase** in CKM matrix \rightarrow in general, $V_{ij} \neq V_{ij}^*$

Three Paths to CP Violation

CP violation → an observable O of particles (f_1, f_2, \dots) such that
 $O(f_1, f_2, \dots) \neq O(\text{CP}(f_1, f_2, \dots)) = O(\bar{f}_1, \bar{f}_2, \dots)$

1. CP violation in meson mixing

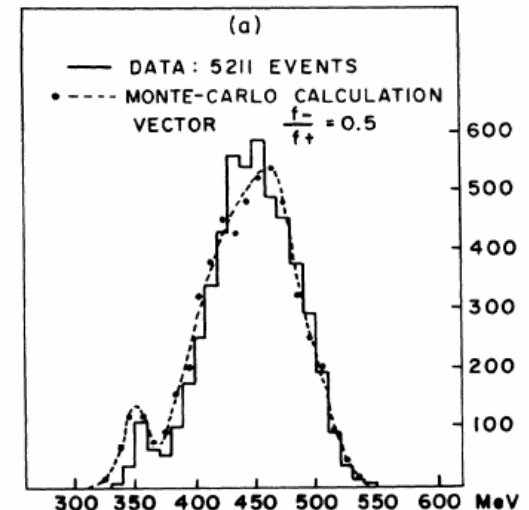


Mixing rate of meson to final state f not the same as

Mixing rate of anti-meson to same final anti-state

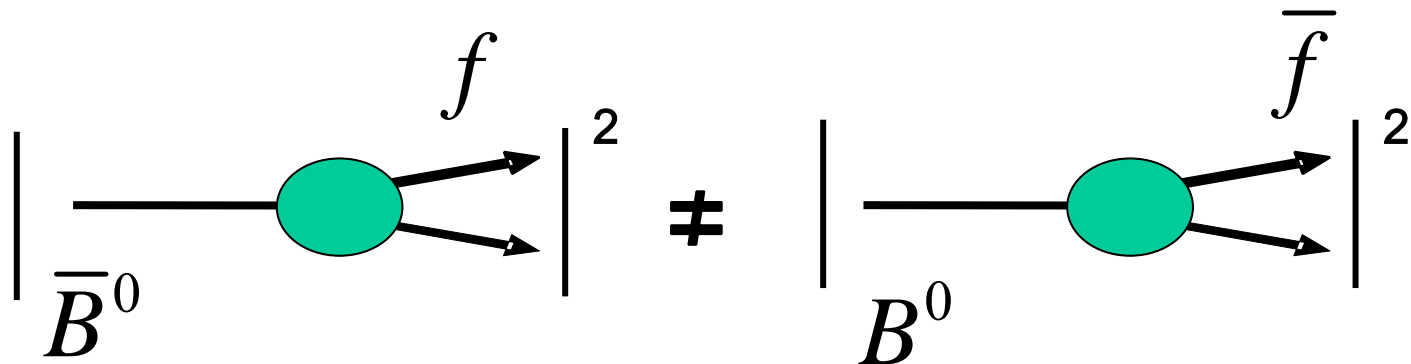
In the Standard Model, very small $\sim 10^{-3}$

CP violation in K^0 decays first observed through this path **forty years ago!**



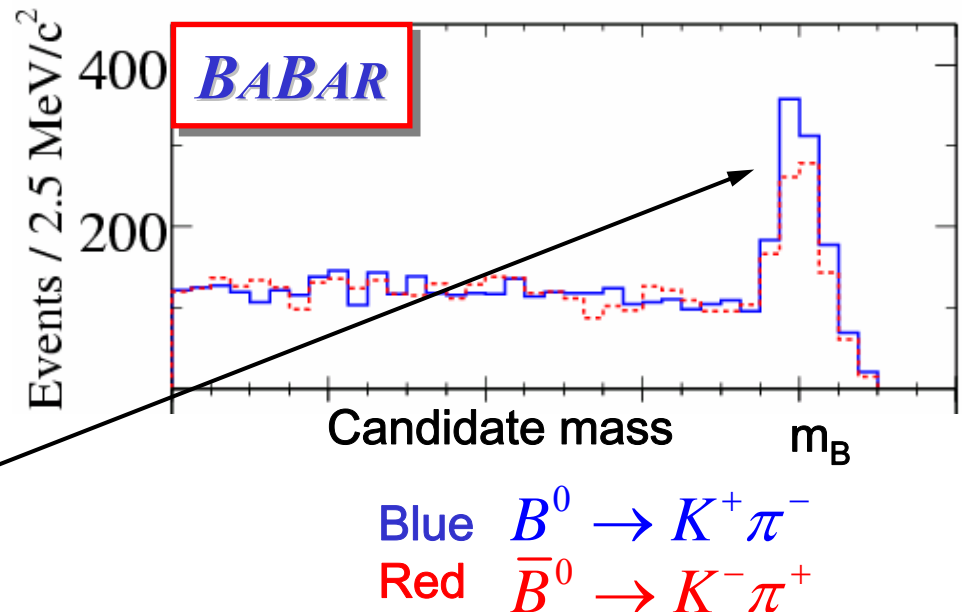
Three Paths to CP Violation

2. CP violation in meson decay → “Direct CP violation”



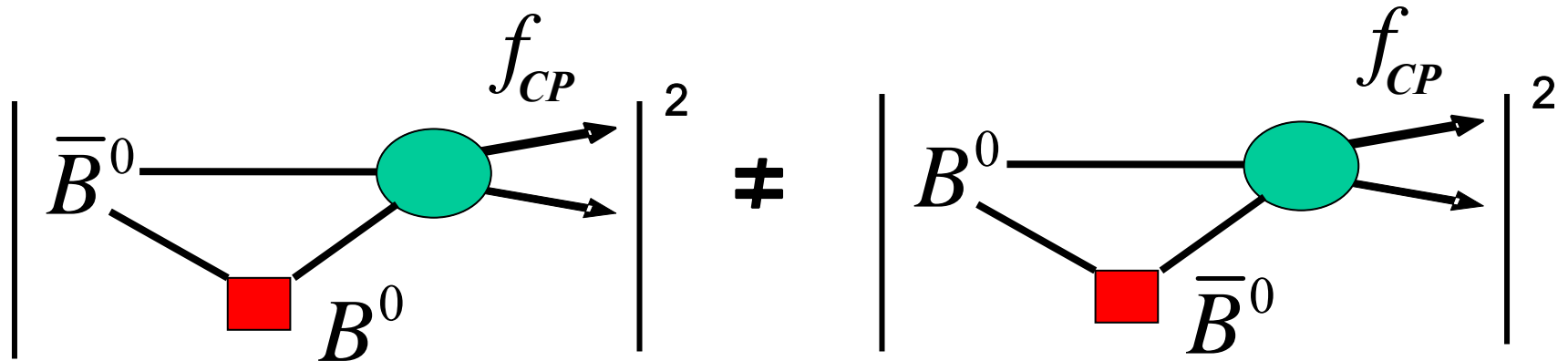
Decay rate of meson to final state f not the same as
Decay rate of anti-meson to same final anti-state

Recently observed in $B^0 \rightarrow K^+ \pi^-$ at the 10% level!



Three Paths to CP Violation

3. Time dependent asymmetry of meson/anti-meson decay rate to a common final state



If \bar{B}^0 and B^0 decay to the same final state f_{CP} , there is interference between amplitude of direct decay (green circle) and amplitude of mixing (red square) followed by decay (green circle)

In the presence of CP violating phases in these amplitudes, can induce large **time-dependent asymmetry** with frequency equal to mixing frequency:

$$A_{f_{CP}} = -C_{f_{CP}} \cos(\Delta mt) + S_{f_{CP}} \sin(\Delta mt)$$

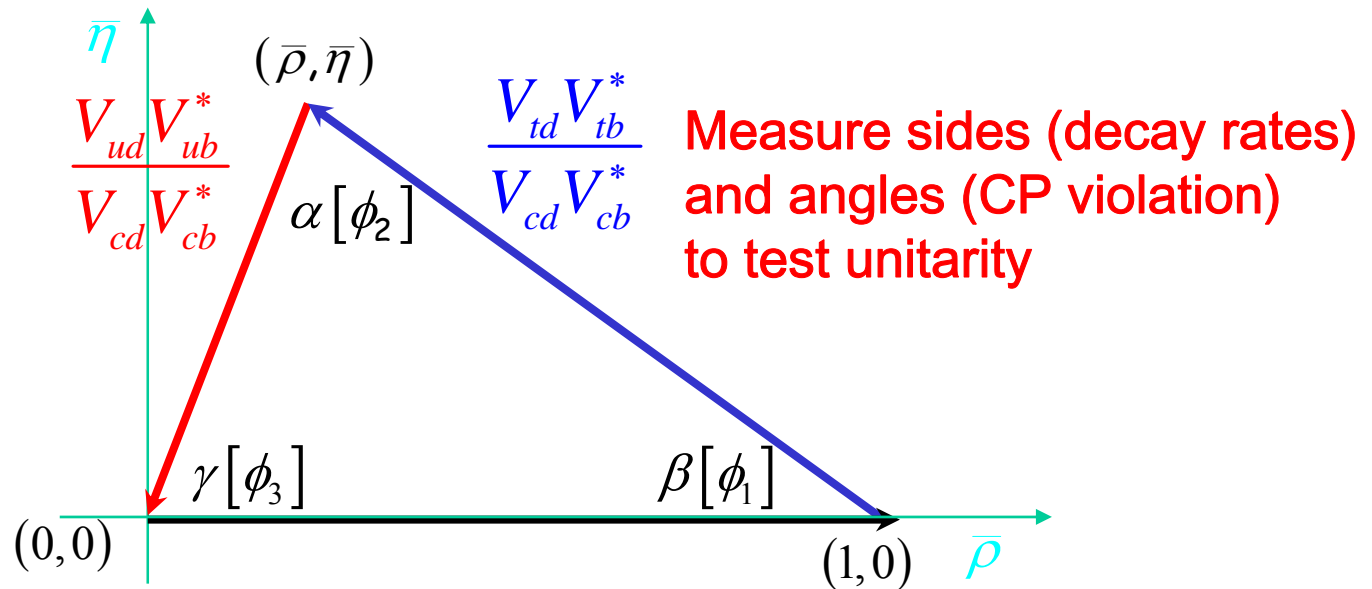
$C_{f_{CP}} \neq 0$ implies Direct CP Violation

CKM Unitarity

Inner product of first and third columns of CKM matrix is zero:

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

Rescale, rotate and reparameterize to describe a
Unitarity Triangle in the complex plane



b Quarks and CKM Unitarity

B decay rates, CP asymmetries measure the entire triangle!

Triangle sides:

$B^+ \rightarrow \rho^0 t \nu$ decay rate measures $b \rightarrow u$ transition ($|V_{ub}|$)

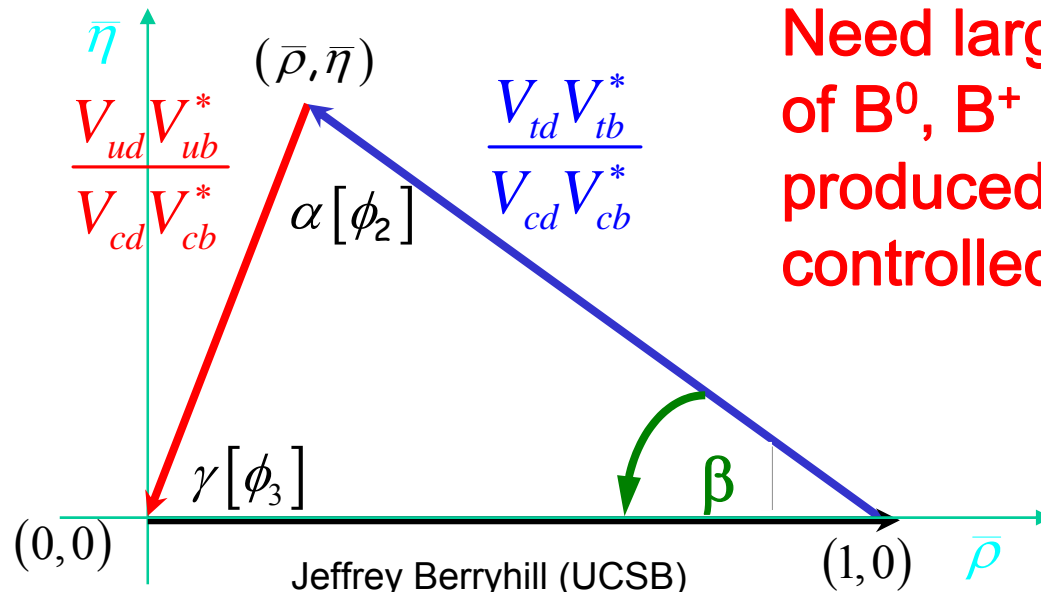
B^0 mixing rate measures $|V_{td}|$

Angles:

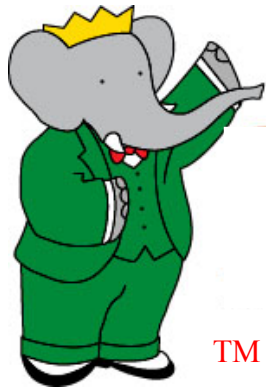
$B^0 \rightarrow \rho^+ \rho^-$ time dependent CP asymmetry measures $\sin 2\alpha$

$B^0 \rightarrow J/\psi K_S^0$ time dependent CP asymmetry measures $\sin 2\beta$

$B^+ \rightarrow D(^*)K^+$ decay rates measure γ

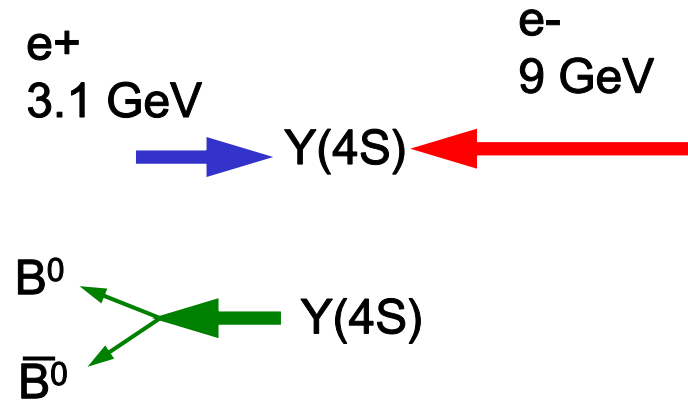
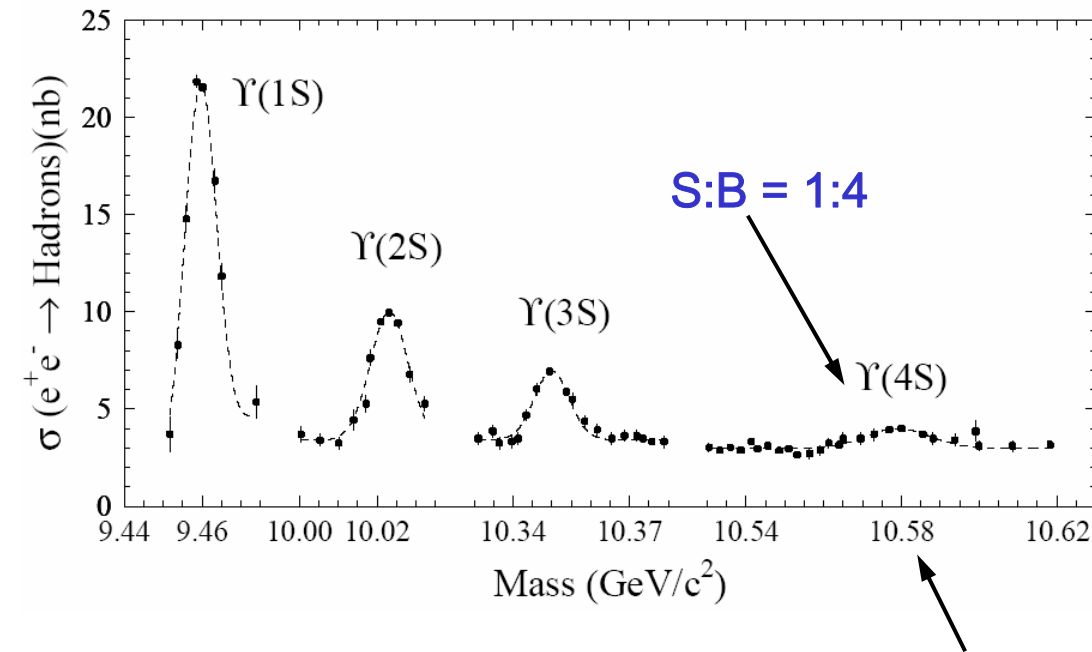


Need large sample
of B^0 , B^+ mesons
produced under
controlled conditions



B Factory Experiments

Asymmetric B Factories



Y(4S) meson: $b\bar{b}$ bound state with mass $10.58 \text{ GeV}/c^2$

Just above $2 \times$ mass of B meson \rightarrow decays exclusively to $B^0 \bar{B}^0$ (50%) and $B^+ B^-$ (50%)

B factory: intense e^+ and e^- colliding beams with E_{CM} tuned to the Y(4S) mass

Use e beams with **asymmetric energy** \rightarrow **time dilation** due to relativistic speeds
keeps B's alive long enough to measure them (decay length $\sim 0.25 \text{ mm}$)

PEP-II at the Stanford Linear Accelerator Center



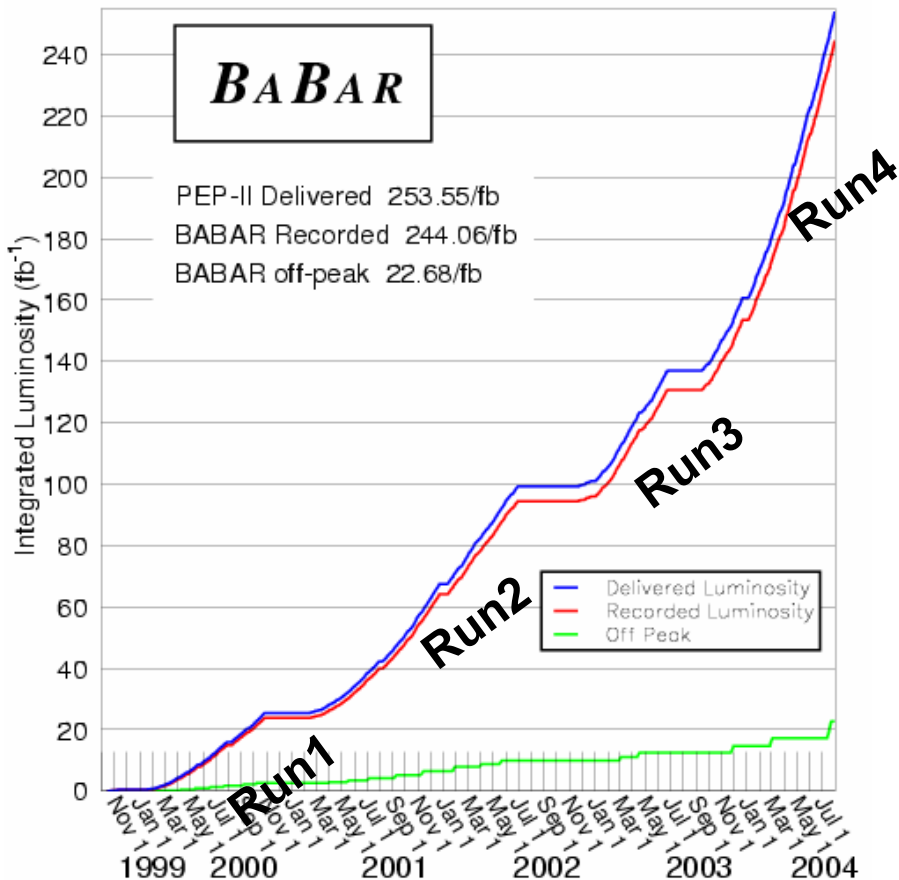
PEP-II Storage Rings

Linac

SF Bay View

BaBar detector

PEP-II performance



PEP-II top luminosity:

$$9.2 \times 10^{33} \text{cm}^{-2}\text{s}^{-1}$$

(more than 3x design goal 3.0×10^{33})

1 day record : 681 pb^{-1}

About 1 Amp of current per beam,
injected continuously

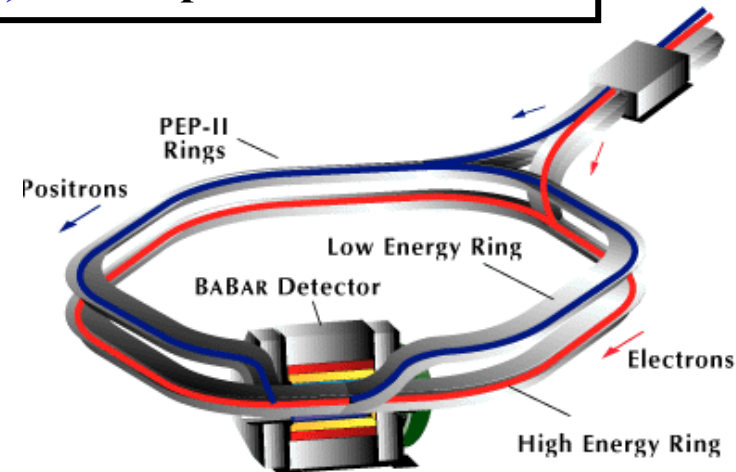
Run1-4 data: 1999-2004

On peak 205 fb^{-1}

$\sigma(e^+e^- \rightarrow Y(4S)) = 1.1 \text{ nb} \rightarrow$
 $227\text{M } Y(4S) \text{ events produced}$

454 million b quarks produced!

Also $\sim 10^8$ each of u, d, s, c, and τ





USA [38/300]

California Institute of Technology
 UC, Irvine
 UC, Los Angeles
 UC, Riverside
 UC, San Diego
 UC, Santa Barbara
 UC, Santa Cruz
 U of Cincinnati
 U of Colorado
 Colorado State
 Florida A&M
 Harvard
 U of Iowa
 Iowa State U
 LBNL
 LLNL
 U of Louisville
 U of Maryland
 U of Massachusetts, Amherst
 MIT
 U of Mississippi
 Mount Holyoke College
 SUNY, Albany
 U of Notre Dame
 Ohio State U
 U of Oregon
 U of Pennsylvania
 Prairie View A&M U
 Princeton U
 SLAC

U of South Carolina
 Stanford U
 U of Tennessee
 U of Texas at Austin
 U of Texas at Dallas
 Vanderbilt
 U of Wisconsin
 Yale

Canada [4/20]

U of British Columbia
 McGill U
 U de Montréal
 U of Victoria

China [1/5]

Inst. of High Energy Physics, Beijing

France [5/51]

LAPP, Annecy
 LAL Orsay

**The BABAR
 Collaboration**
 11 Countries
 80 Institutions
 593 Physicists

LPNHE des Universités Paris
 VI et VII
 Ecole Polytechnique,
 Laboratoire Leprince-Ringuet
 CEA, DAPNIA, CE-Saclay

Germany [5/31]

Ruhr U Bochum
 U Dortmund
 Technische U Dresden
 U Heidelberg
 U Rostock

Italy [12/101]

INFN, Bari
 INFN, Ferrara
 Lab. Nazionali di Frascati dell' INFN
 INFN, Genova & Univ
 INFN, Milano & Univ
 INFN, Napoli & Univ
 INFN, Padova & Univ
 INFN, Pisa & Univ &
 Scuola Normale Superiore

INFN, Perugia & Univ
 INFN, Roma & Univ "La Sapienza"
 INFN, Torino & Univ
 INFN, Trieste & Univ

The Netherlands [1/5]

NIKHEF, Amsterdam

Norway [1/3]

U of Bergen

Russia [1/11]

Budker Institute, Novosibirsk

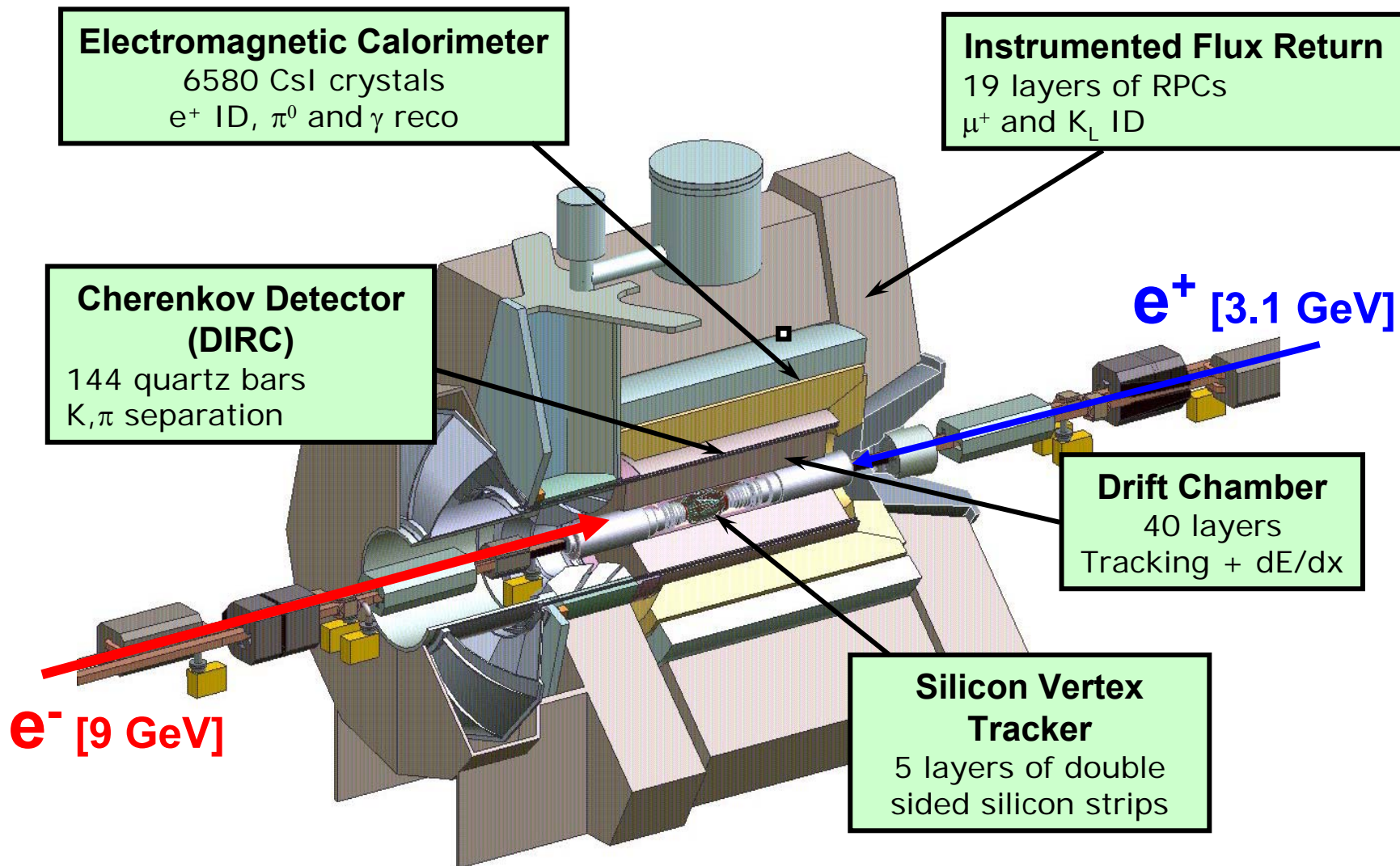
Spain [2/2]

IFAE-Barcelona
 IFIC-Valencia

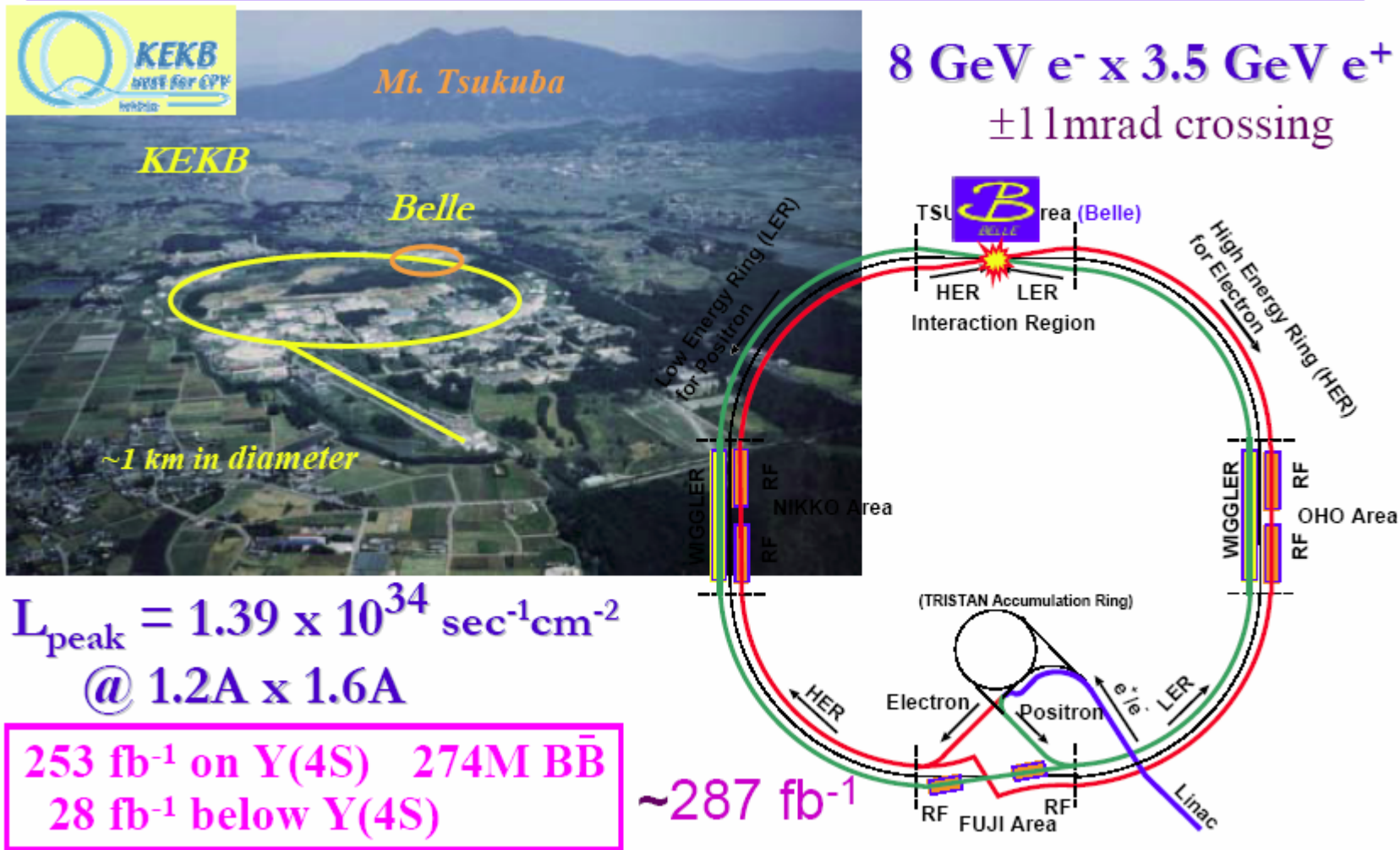
United Kingdom [10/66]

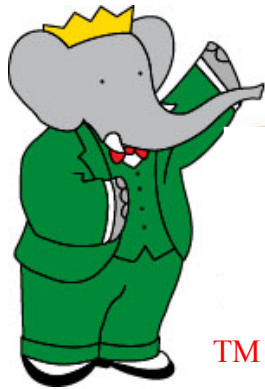
U of Birmingham
 U of Bristol
 Brunel U
 U of Edinburgh
 U of Liverpool
 Imperial College
 Queen Mary, U of London
 U of London, Royal Holloway
 U of Manchester
 Rutherford Appleton Laboratory

The BaBar detector



Our Friendly Competitors



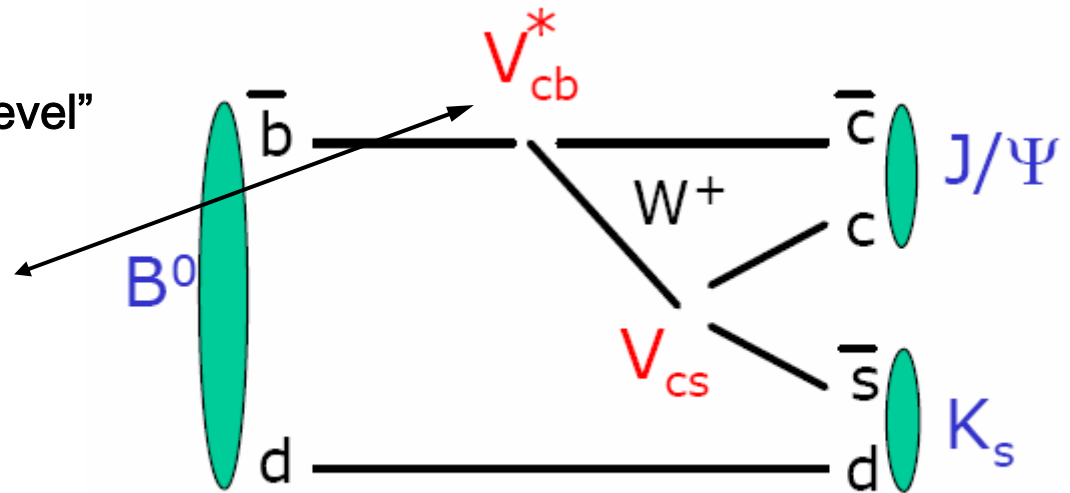


Measuring the Standard Model CP Violating Phase

$B^0 \rightarrow J/\psi K_S^0$ and $\sin 2\beta$

Decay dominated by a single “tree-level”
Feynman diagram: $b \rightarrow c\bar{c}s$

$$\beta \equiv \varphi_1 \equiv \arg \left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right)$$



J/ψ identified cleanly by decay to a lepton pair;

K_S identified cleanly by decay to pion pair.

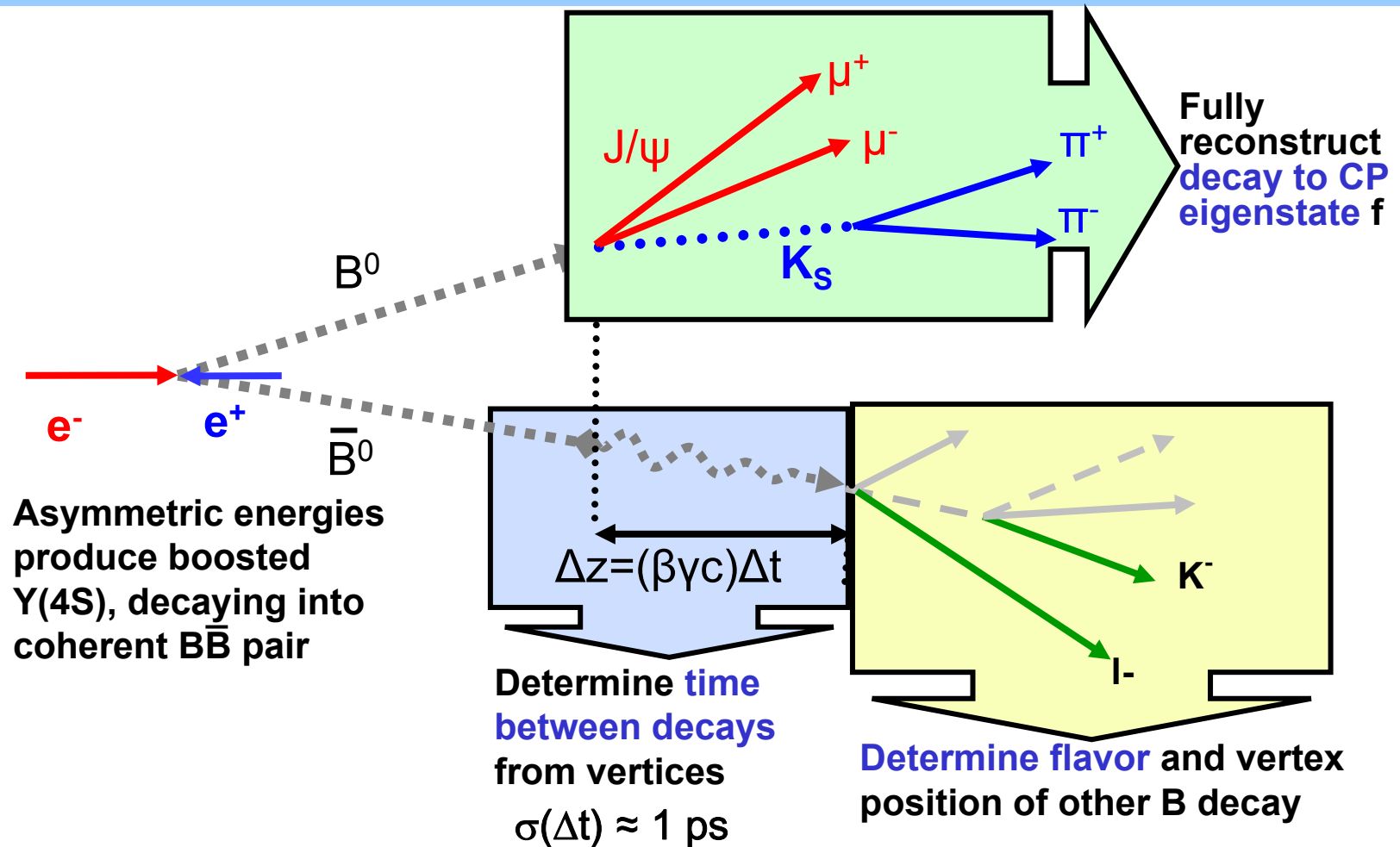
Both particles are CP eigenstates \rightarrow both B^0 and \bar{B}^0 decay to them

Time-dependent CP violation has amplitude $\sin 2\beta$ and frequency Δm

$$A_{CP}(J/\psi K_S; t) = \sin 2\beta \sin \Delta m_d t$$

Works for several other $b \rightarrow c\bar{c}s$ decays as well; results can be combined

Time-Dependent CP Violation: Experimental technique



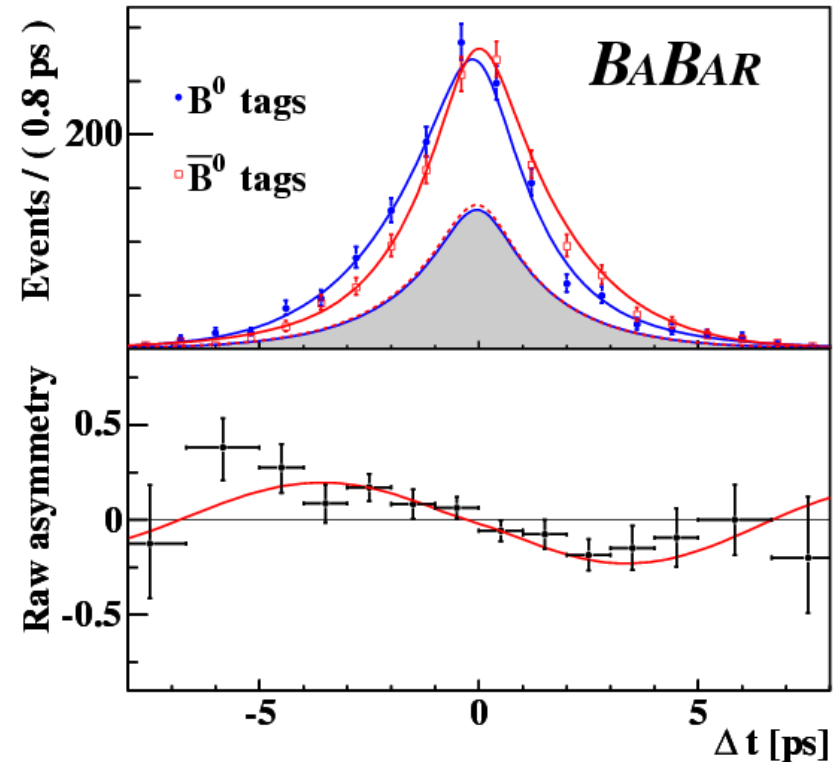
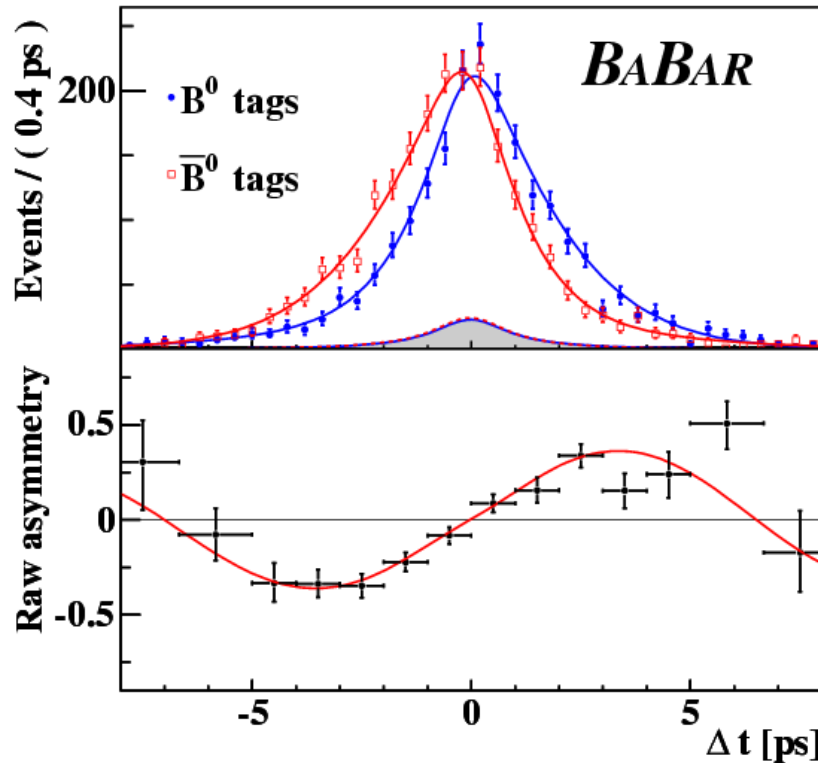
Compute **CP violating asymmetry** $A(\Delta t) = \frac{N(\bar{f}; \Delta t) - N(f; \Delta t)}{N(\bar{f}; \Delta t) + N(f; \Delta t)}$

sin 2 β fit results

Raw asymmetry $A(\Delta t) \approx (1 - 2w) \sin 2\beta \sin \Delta m \Delta t$

($c\bar{c}$) K_S (CP odd) modes

$J/\psi K_L$ (CP even) mode

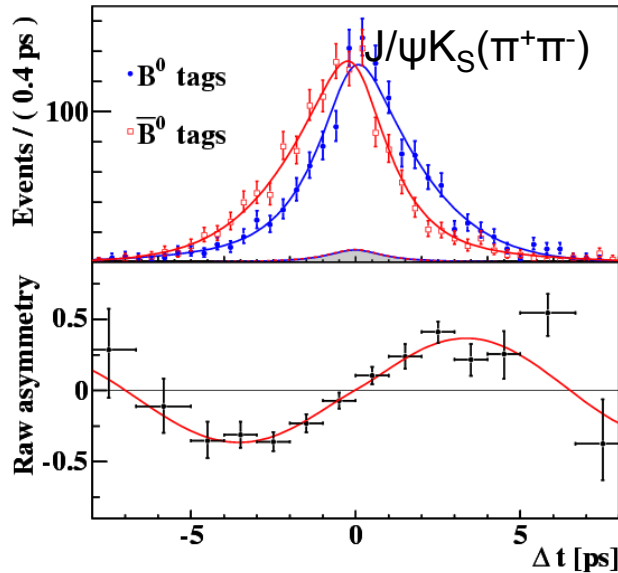


Signal yield, background yield, sin 2 β , flavor tagging, Δt resolution function all from simultaneous maximum likelihood fit to signal+control samples

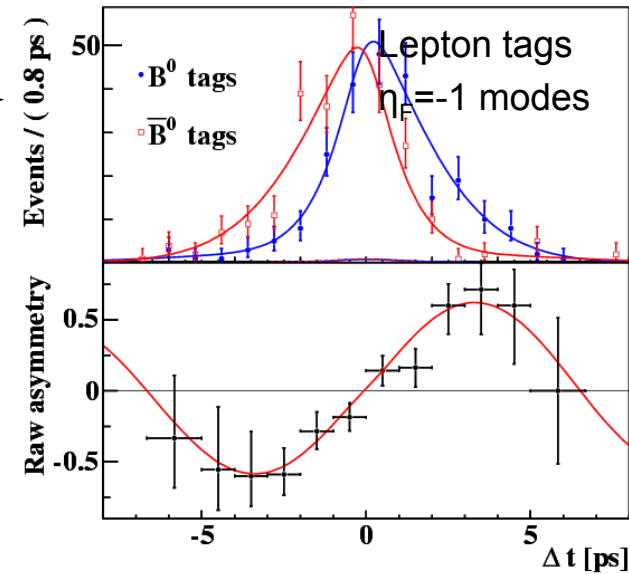
$$\sin 2\beta = 0.722 \pm 0.040 \text{ (stat)} \pm 0.023 \text{ (sys)}$$

Consistency checks

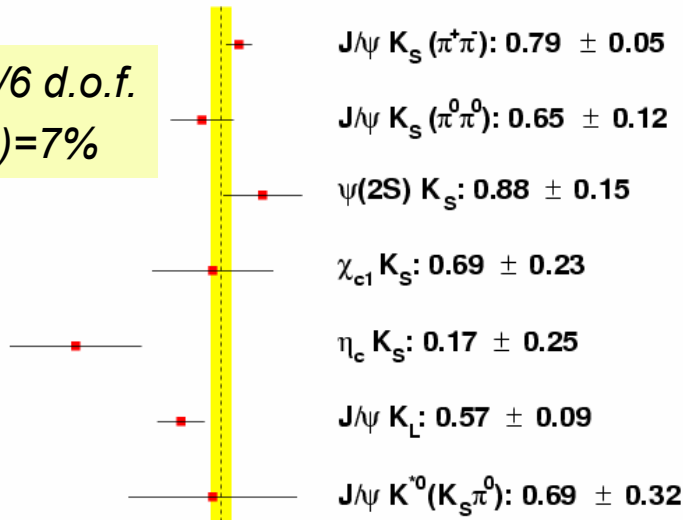
Cleanest →
charmonium
sample



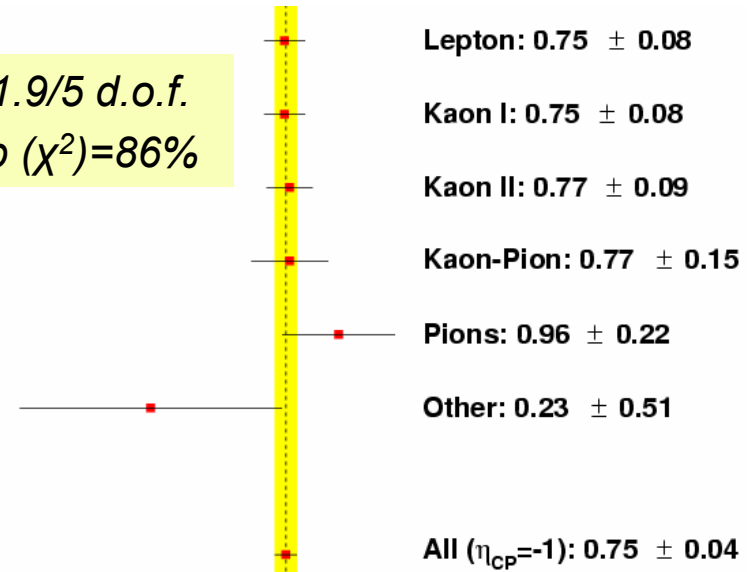
Purest flavor
tagging mode →



$\chi^2 = 11.7/6$ d.o.f.
Prob (χ^2) = 7%

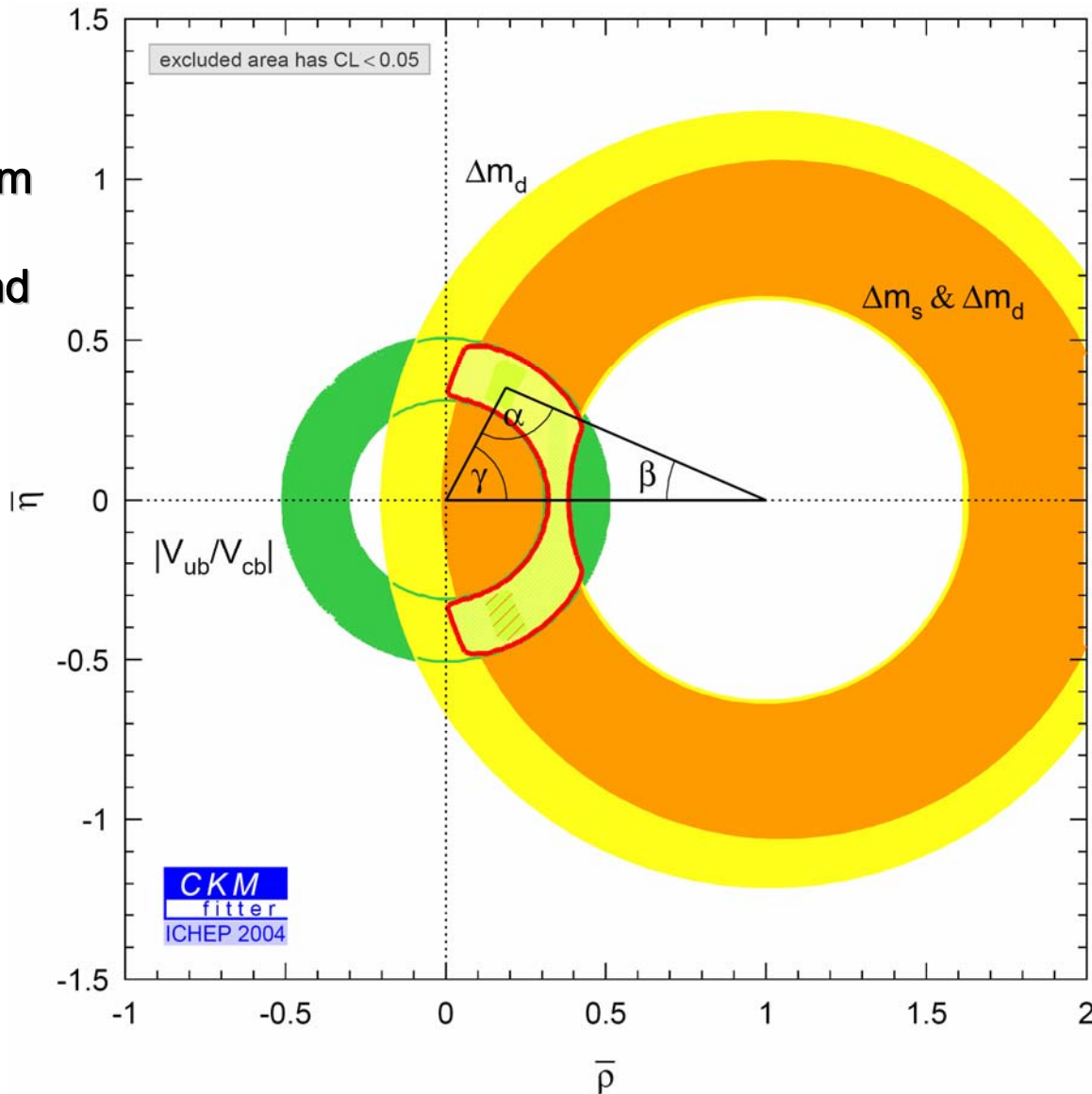


$\chi^2 = 1.9/5$ d.o.f.
Prob (χ^2) = 86%



CKM Unitarity Triangle: Experimental Constraints

Constraints from
Decay rates and
Mixing Rates

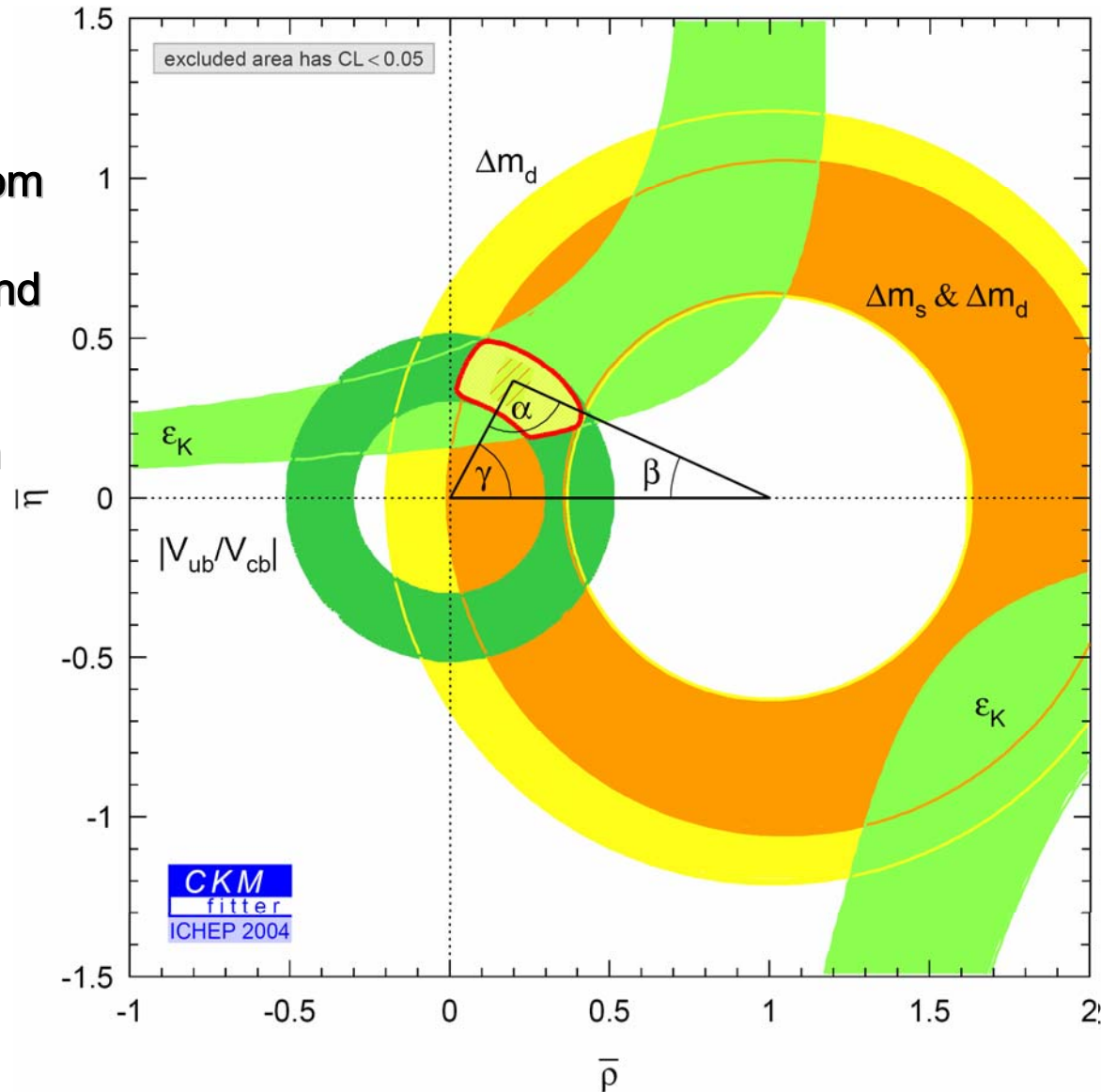


CKM Unitarity Triangle: Experimental Constraints

Constraints from

Decay rates and
Mixing Rates

CP violation in
Kaon decay



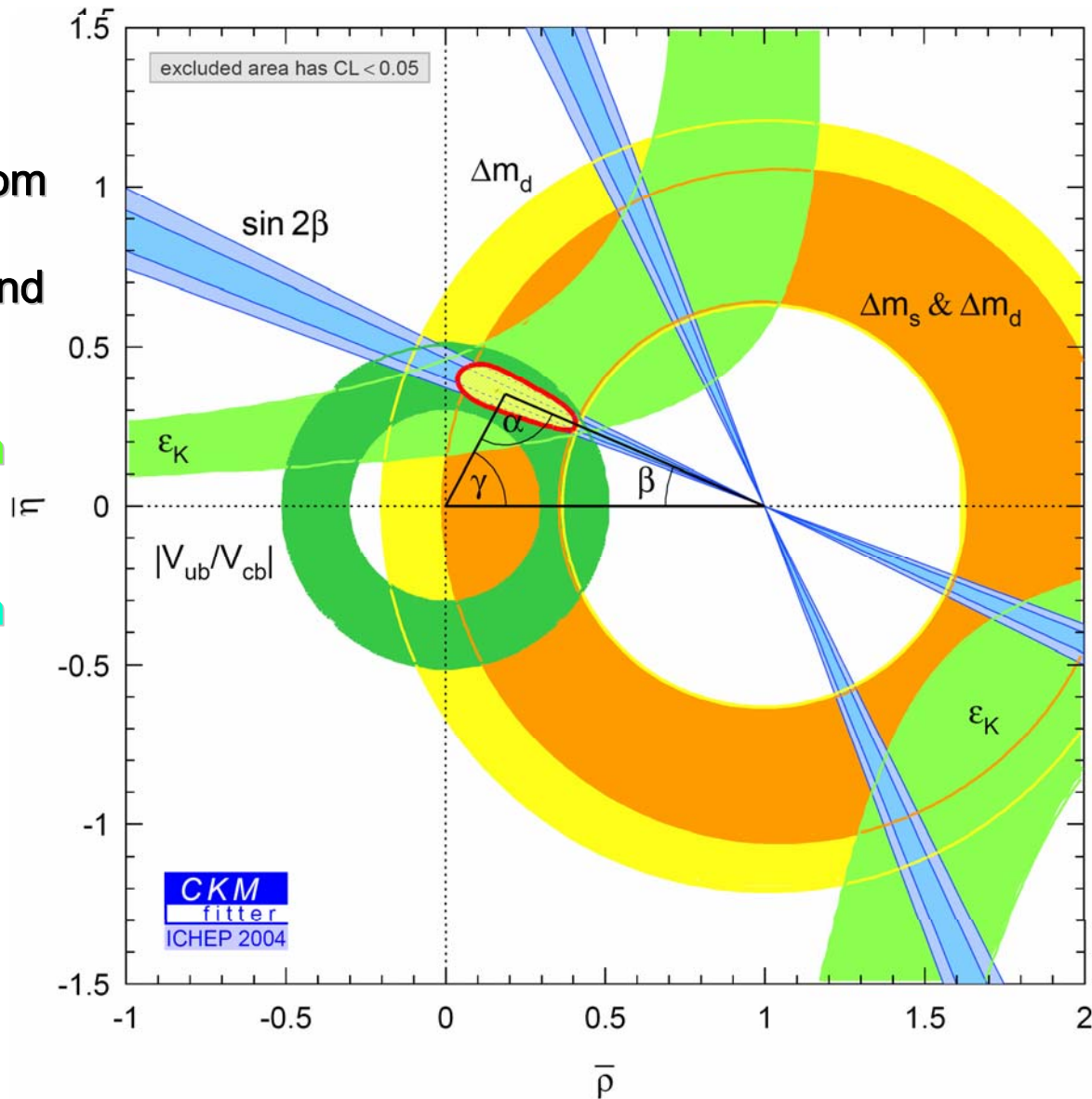
CKM Unitarity Triangle: Experimental Constraints

Constraints from

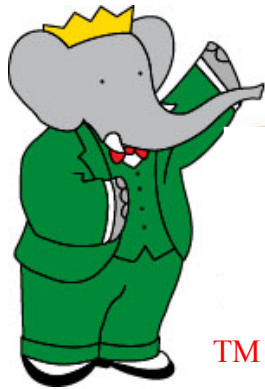
Decay rates and
Mixing Rates

CP violation in
Kaon decay

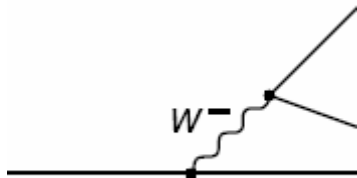
CP violation in
 $b \rightarrow cc$



Remarkable
validation of
the CKM
mechanism
for both flavor
violation and
CP violation!

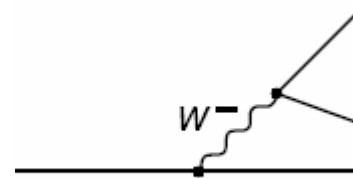


CP Violation Redux: Trees vs. Penguins

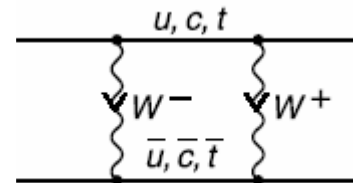


A Third Path to Flavor Violation

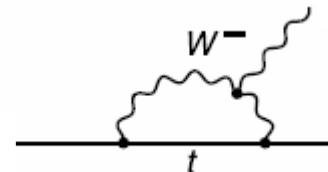
1. Tree diagram decay: down \rightarrow up



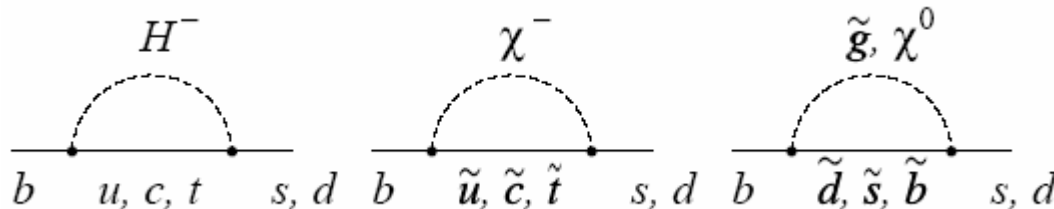
2. Box diagram: neutral meson mixing



3. Penguin diagram: down-type changes to down-type via emission & reabsorption of W ; top-quark couplings V_{td} , V_{ts} dominate



SM penguins are suppressed; new physics can compete directly!



$B^0 \rightarrow \phi K_S^0$ and $\sin 2\beta$

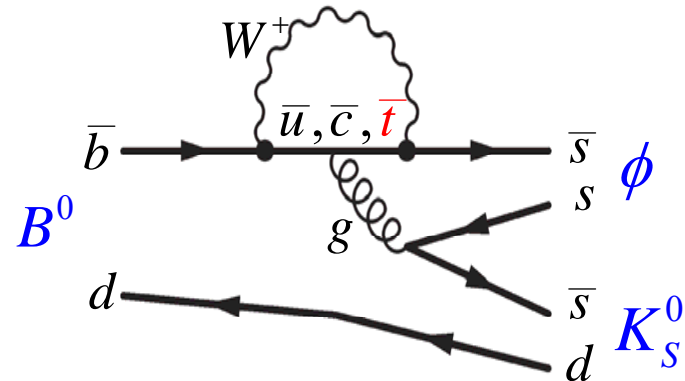
Decay dominated by a single “gluonic penguin”

Feynman diagram: $b \rightarrow s\bar{s}s$

ϕ identified cleanly by decay to a kaon pair;

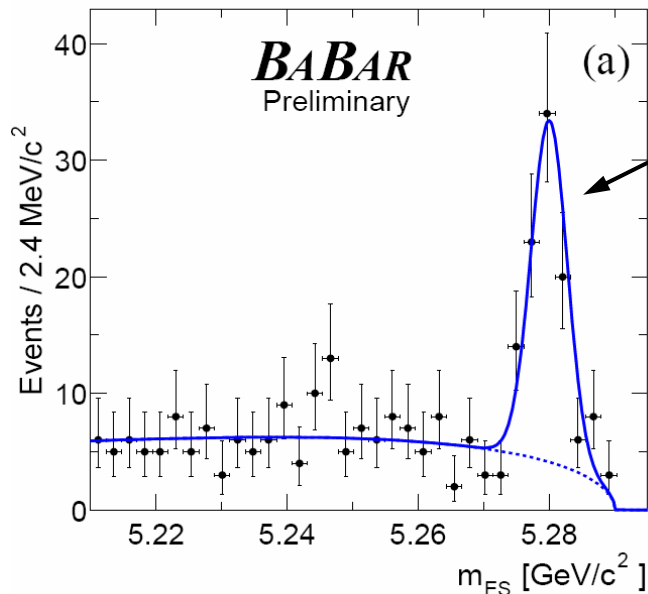
K_S identified cleanly by decay to pion pair.

Both particles are CP eigenstates



Decay rate 100X smaller than $J/\psi K_S$

→ small signal, large background

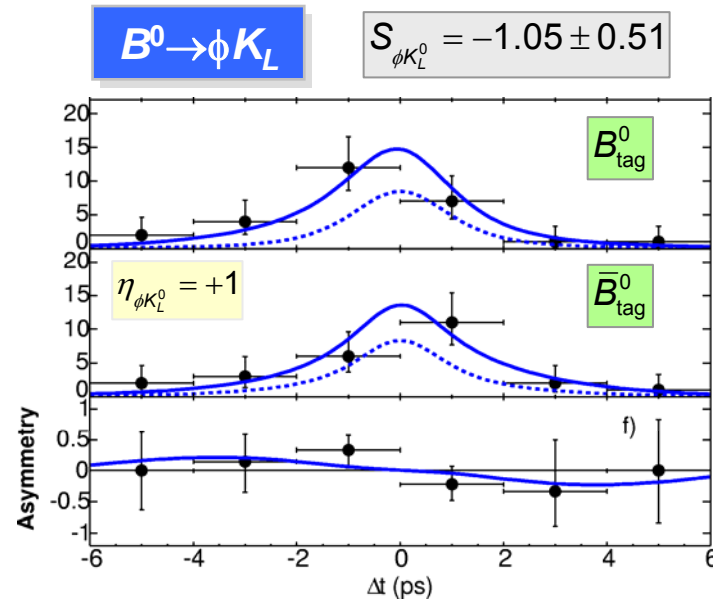
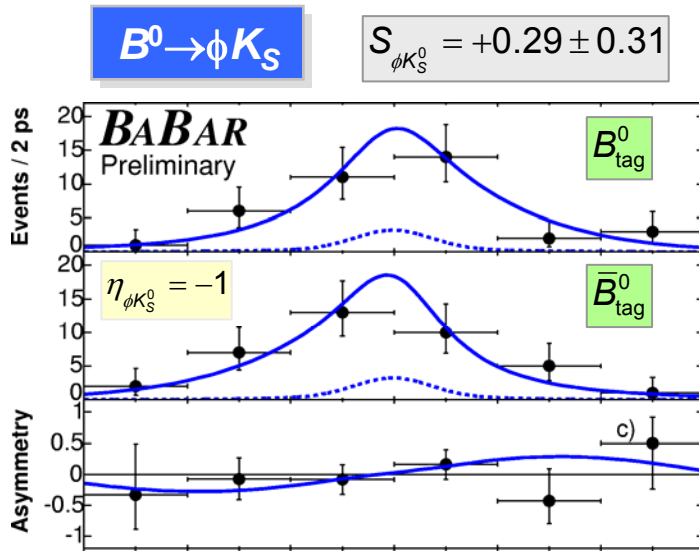


114 ± 12 $B^0 \rightarrow \phi K_S$ events out of $\frac{1}{2}$ billion b quarks produced!

Time-dependent CP violating asymmetry A can be measured in the same way as $J/\psi K_S$

Same combination of CKM complex phases as $J/\psi K_S \rightarrow$ same relation between A and $\sin 2\beta$

$B^0 \rightarrow \phi K^0$ and $\sin 2\beta$: Fit Result

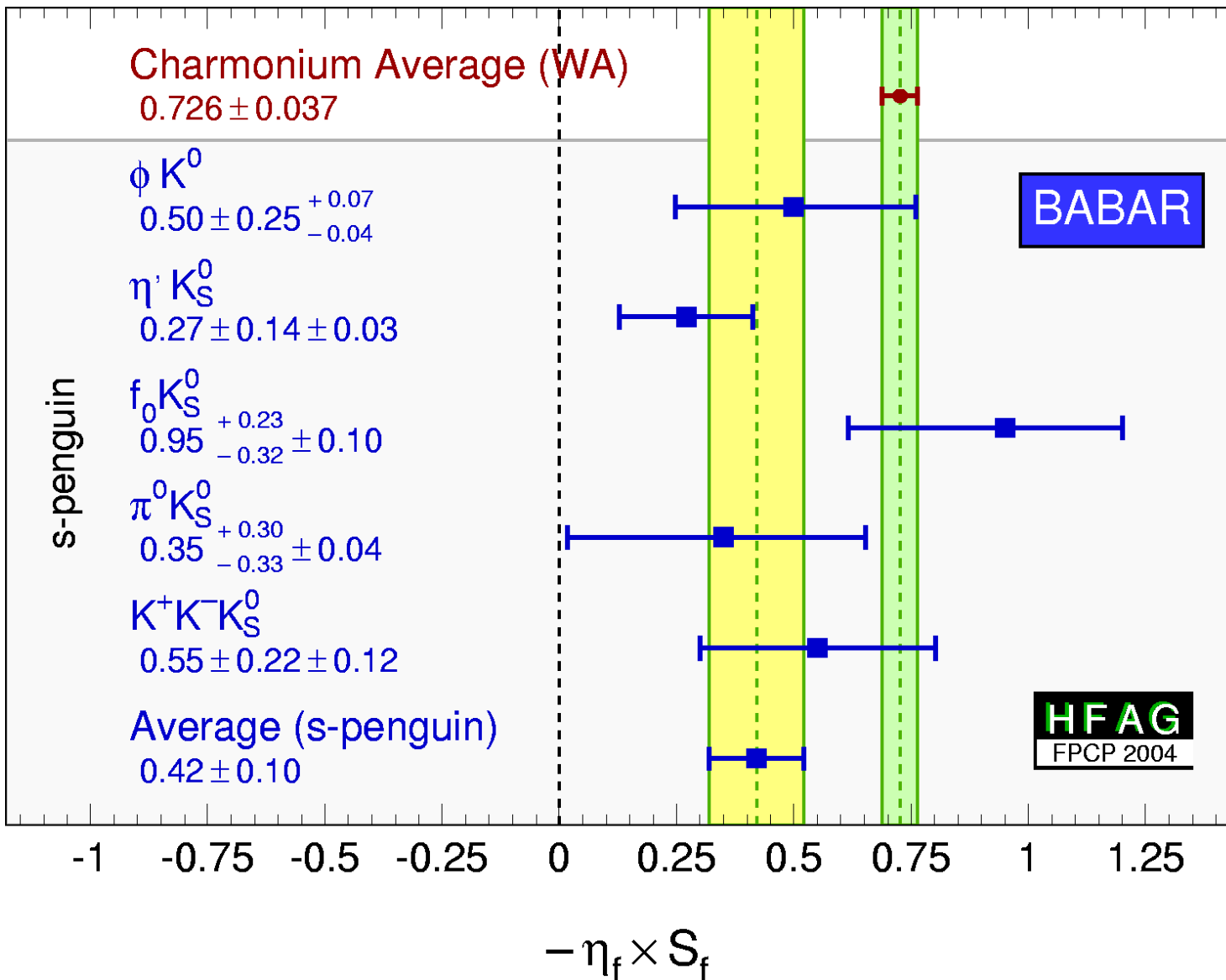


$$\sin 2\beta = S(\phi K^0) = +0.50 \pm 0.25 \pm 0.07$$

$$\text{vs. } S(\psi K^0) = +0.72 \pm 0.04 \pm 0.02$$

Consistent with tree decays, about 1 σ low

Trees(green) vs. Penguins(yellow): BaBar Data

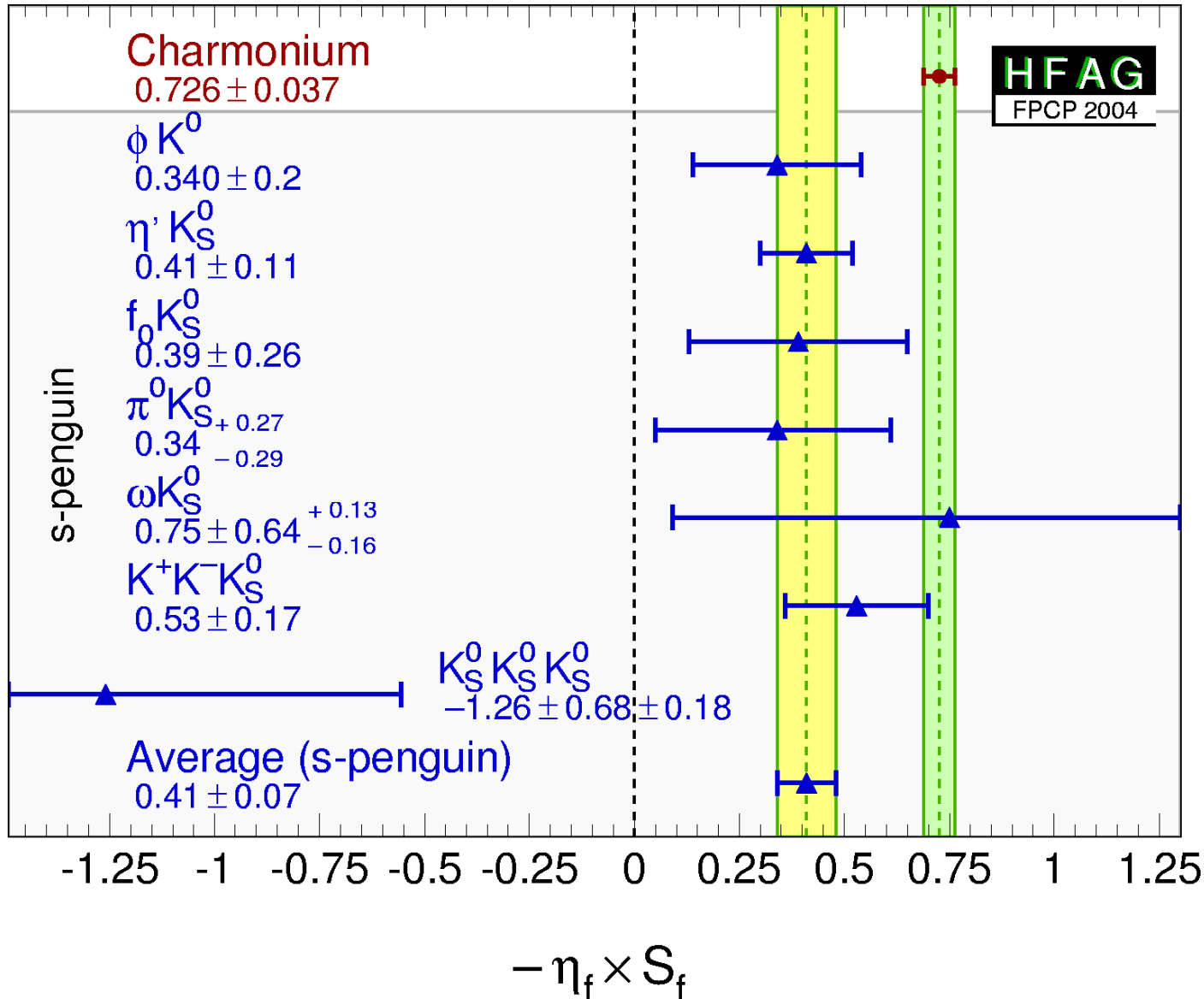


Averaging over many penguin decays:

BaBar discrepancy with tree decays

= -2.7σ

Trees(green) vs. Penguins(yellow): World Average

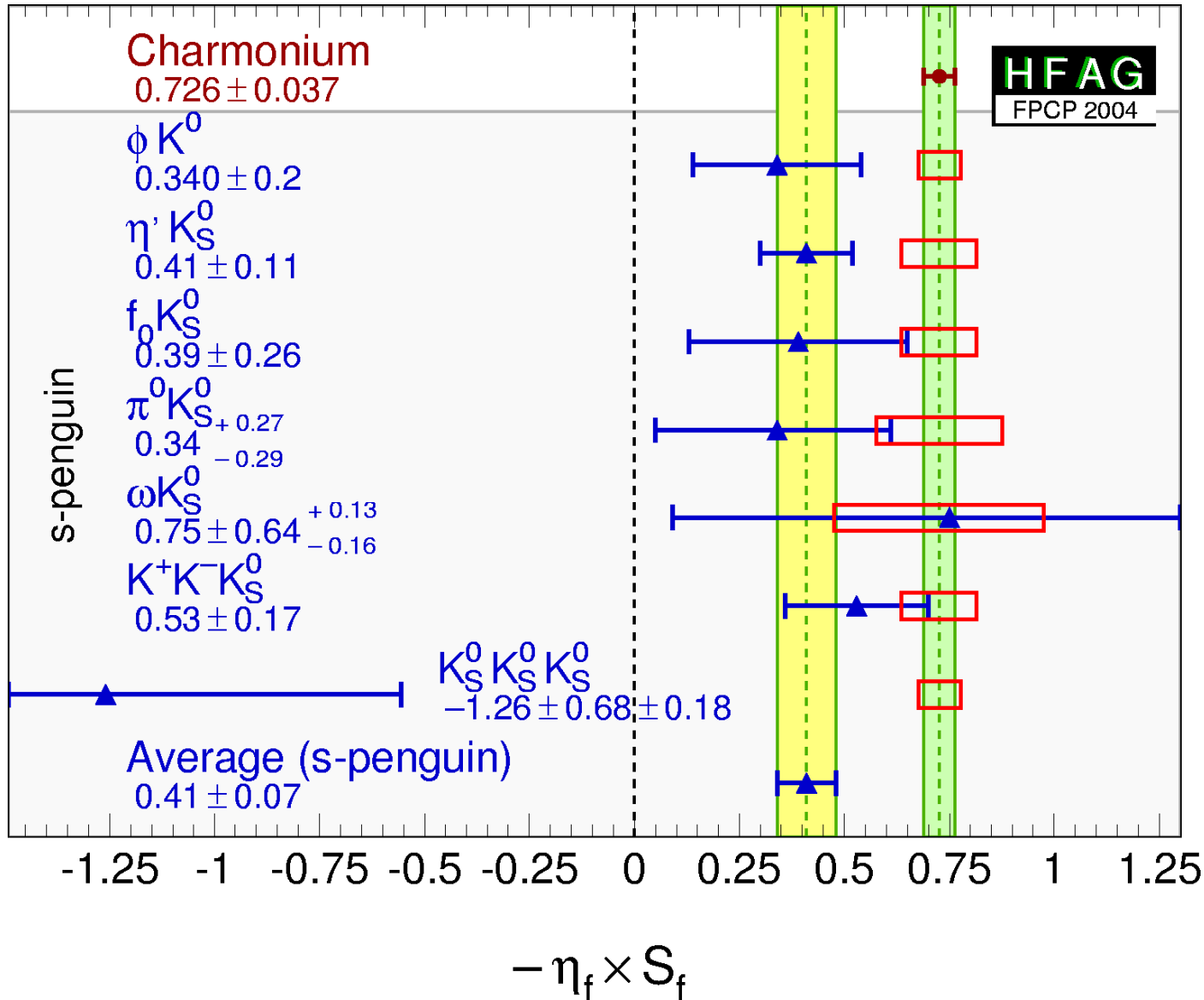


Averaging over many penguin decays:

World discrepancy with tree decays

= -3.5σ

Trees(green) vs. Penguins(yellow): World Average



Averaging over many penguin decays:

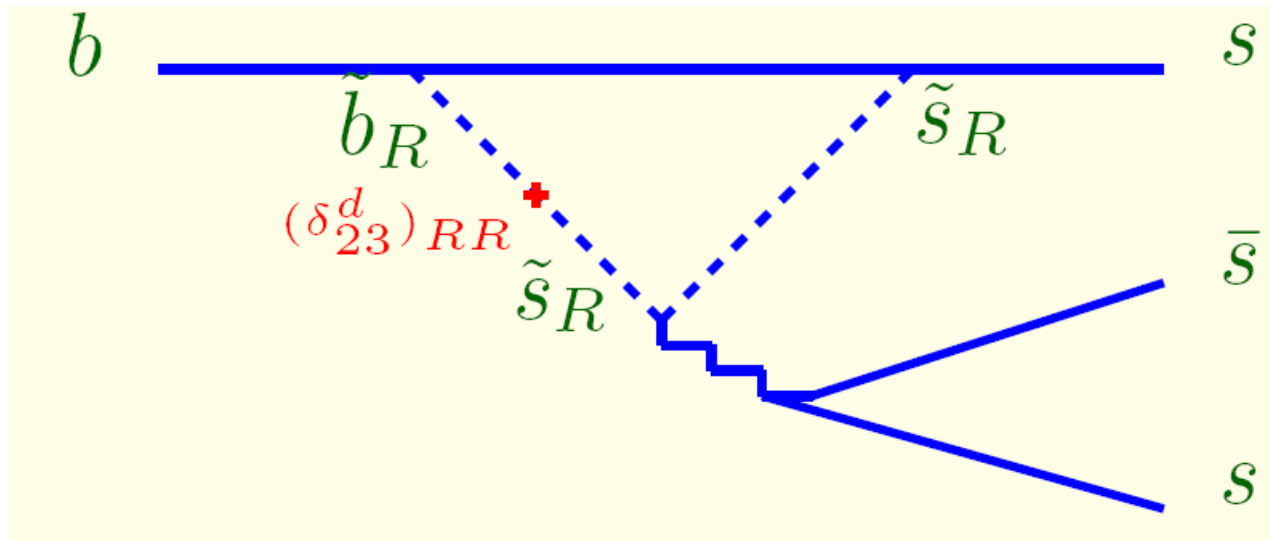
World discrepancy with tree decays

= -3.5σ

Red boxes:
 estimate from theory of errors due to neglecting other decay amplitudes

New Physics Scenarios

- New physics at the electroweak scale generically introduces new large flavor-violating or CP-violating couplings to quarks
 - Existing flavor physics measurements severely limit types of new physics!
 - The great number of possible new couplings can give rise to many different combinations of effects
- Ex: Right handed ($b \rightarrow s$) **squark mixing** in gluino penguins could introduce a new phase in $b \rightarrow s\bar{s}s$ penguins without affecting B mixing nor $b \rightarrow c\bar{c}s$ nor $b \rightarrow s\gamma$

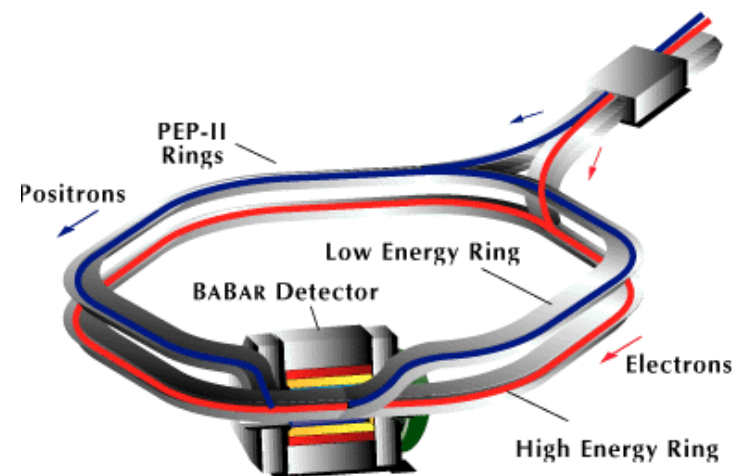
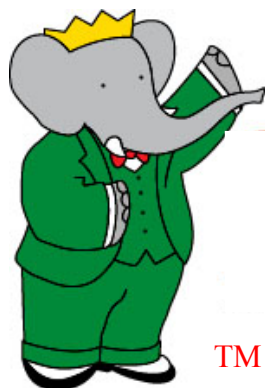


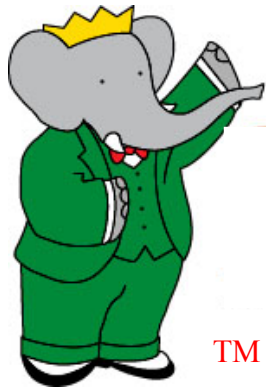
Future and Follow-up Measurements

- Both B factories hope to collect 4-5 X more data over the next 4-5 years
 - Significance of the penguin problem could double and unambiguously falsify the Standard Model!
- Improved measurements of rates and asymmetries in other penguin decays ($b \rightarrow s \gamma$, $b \rightarrow d \gamma$, $b \rightarrow s l l$, $B \rightarrow \phi K^*$,)
- Fermilab Tevatron can measure B_s , Λ_b decays
- LHCb, BTeV: scheduled to produce billions of B's in pp collisions
- Super B Factory: 50X version of B factories

Summary

- The physics of quark flavor, as seen through the b quark, is a rich area of study with wide-ranging implications
- The Standard Model CKM theory of flavor and CP violation holds up well for tree-level processes
- Penguin processes, which are especially sensitive to new physics, could prove to be the lever which cracks the Standard Model wide open

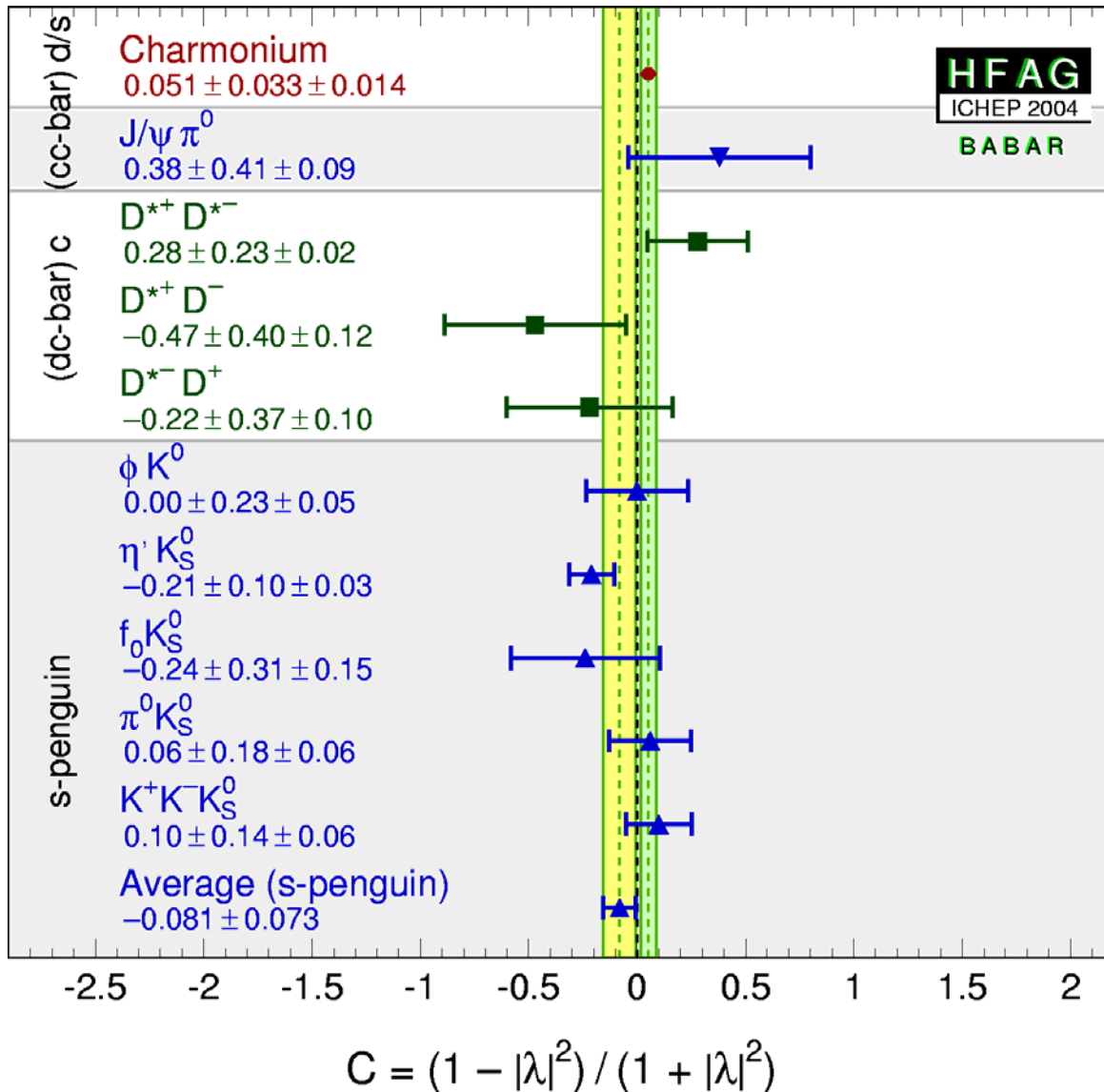




TM

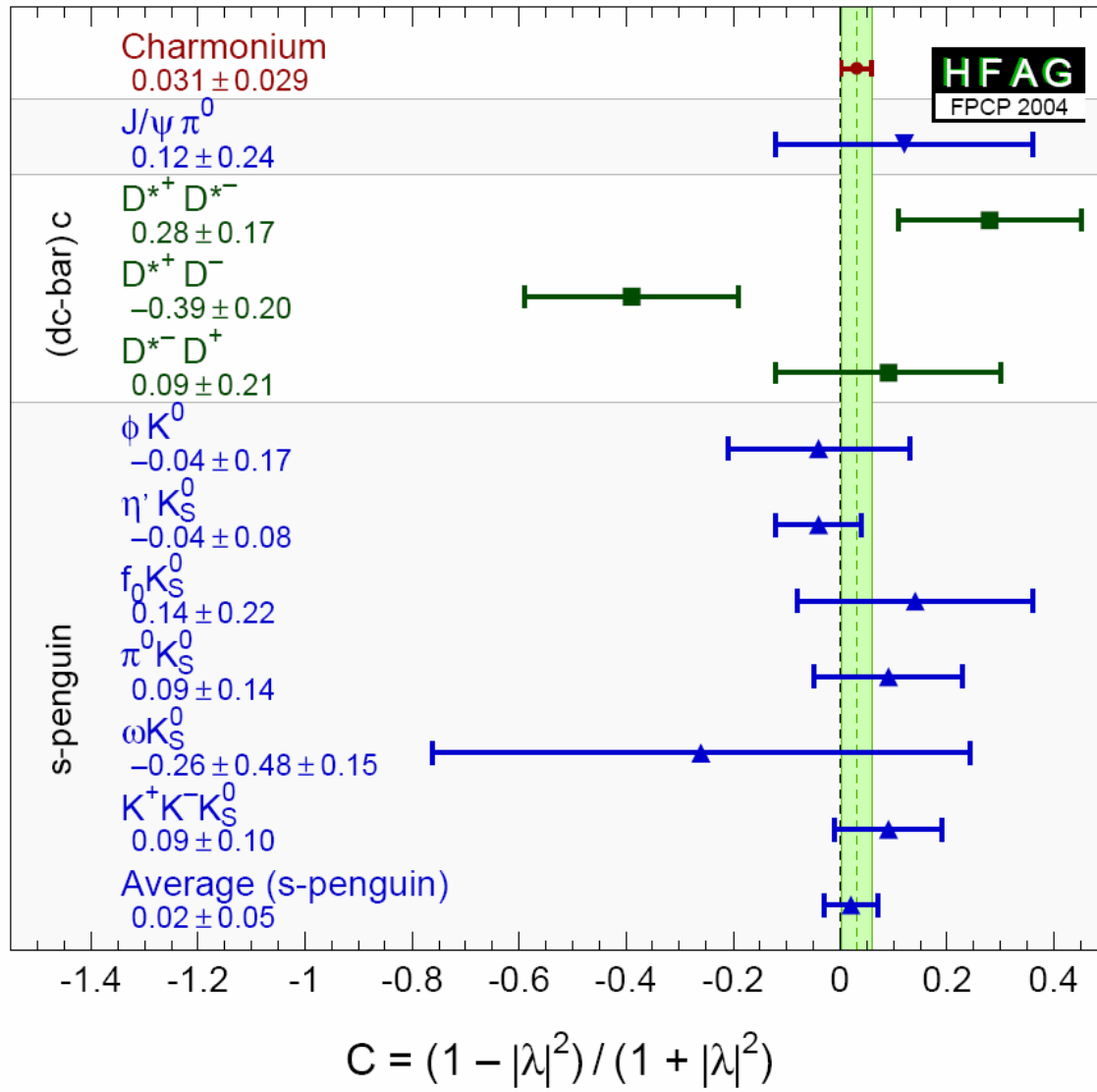
Backups

Direct CP Violation: BaBar Data



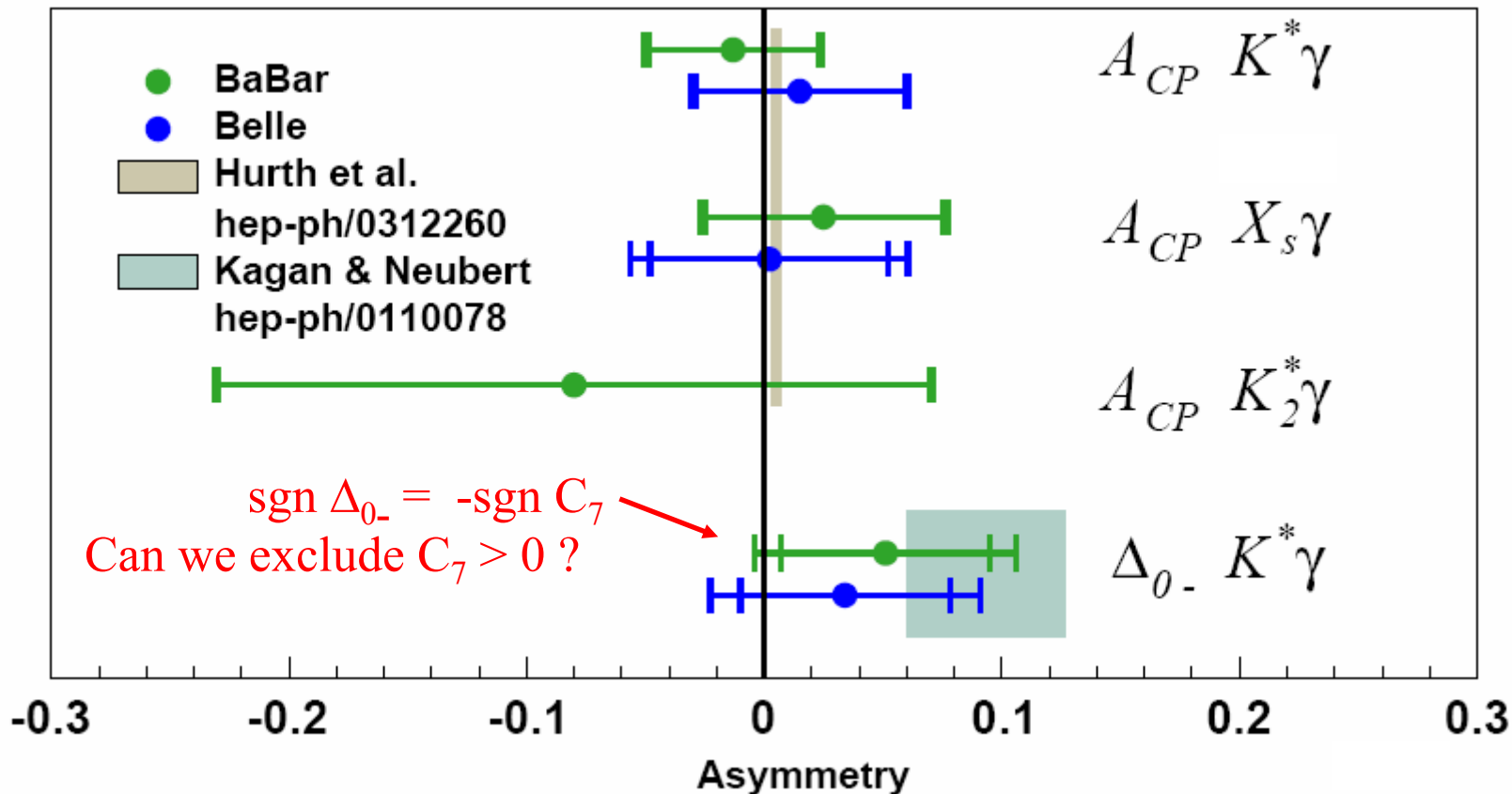
Direct CP violation consistent with 0 for all modes

Direct CP Violation: World Average

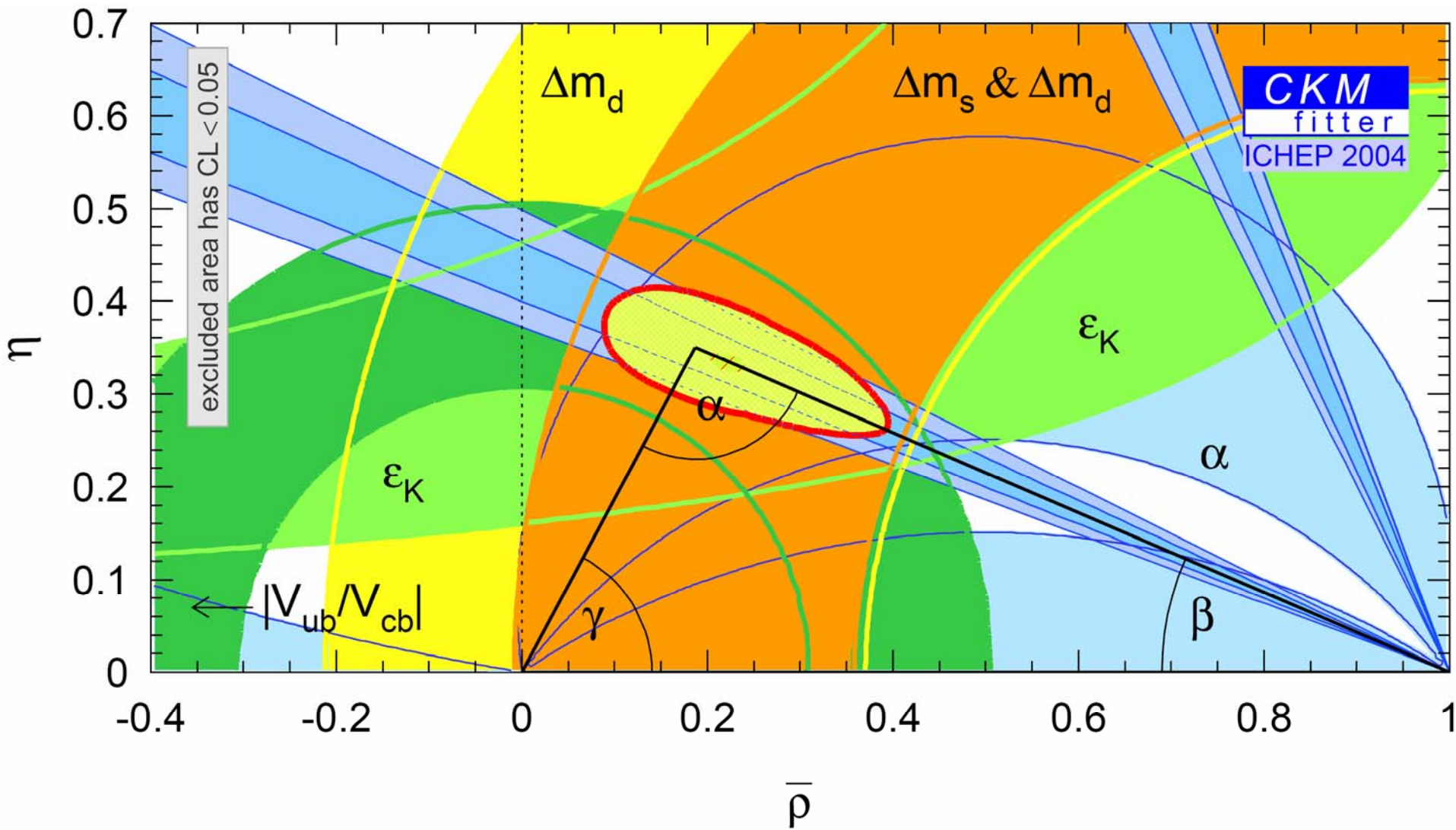


$b \rightarrow s \gamma$ Asymmetries: Summary

- BaBar measurements on 82 fb^{-1}
 $K^*\gamma$, $K_2^*\gamma$ preliminary; $X_s\gamma$ published
- CP asymmetries consistent with SM (0.4%) at the $\sim 5\%$ level
- $K^*\gamma$ isospin asymmetry Δ_{0-} consistent with $C_7 < 0$
- **Statistics limited** up to $\sim 1 \text{ ab}^{-1}$



CKM Constraints



CKM matrix constraint

SU(3) breaking of
form factors $\zeta^2 = 0.85 \pm 0.10$

weak annihilation
correction $\Delta R = 0.1 \pm 0.1$

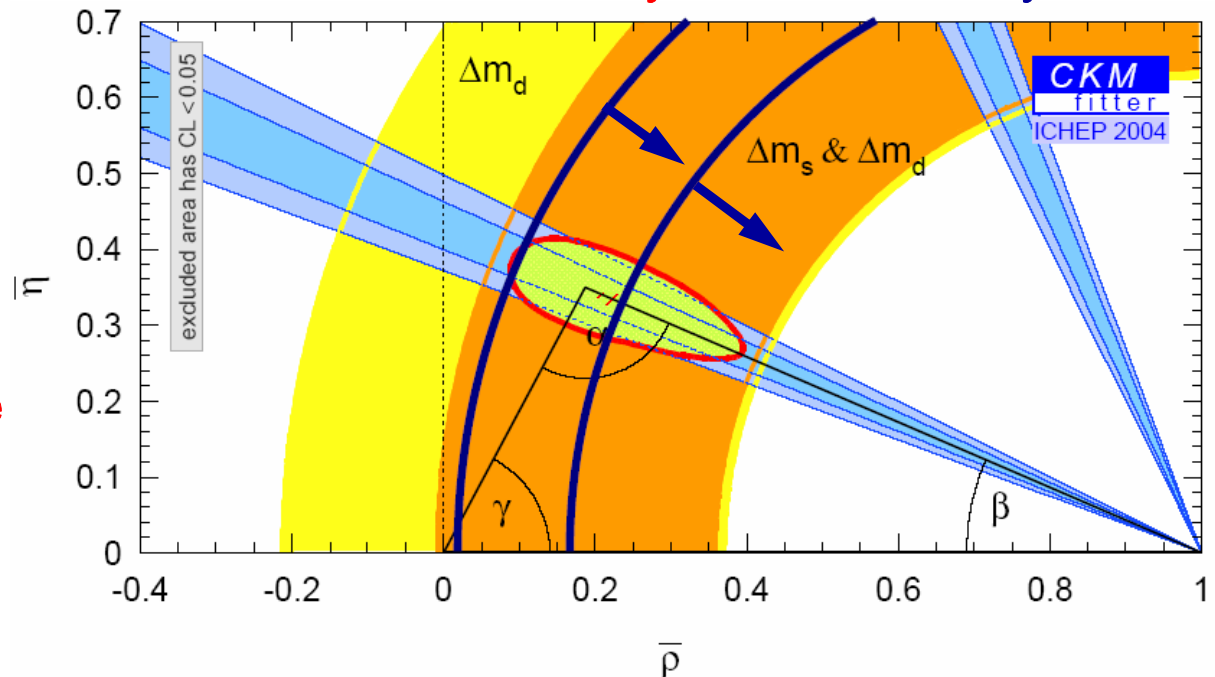
Ali et al. hep-ph/0405075

$$\frac{\overline{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left(\frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

$(\zeta^2, \Delta R) = (0.75, 0.00)$ $(\zeta^2, \Delta R) = (0.85, 0.10)$
theory error no theory error

Penguins are starting to
provide meaningful CKM
constraint

Reduction of theory errors
necessary to be competitive
with B_d, B_s mixing

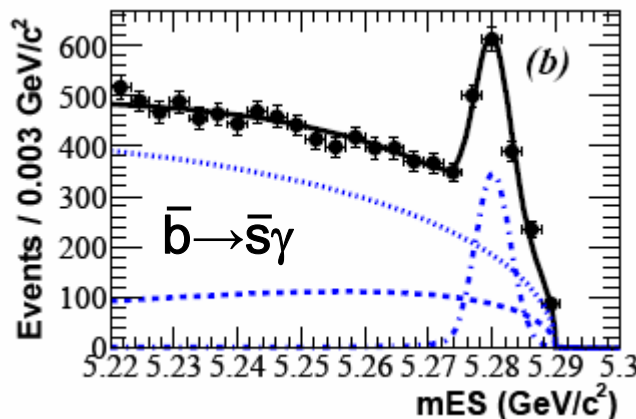
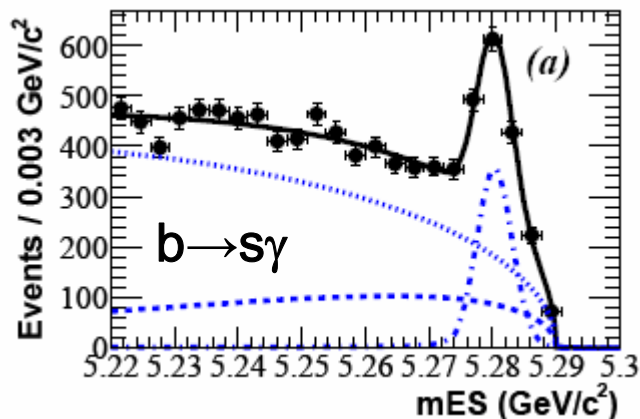


$\rho\gamma$ 95% C.L. BaBar allowed region (inside the blue arc)

Direct CP Asymmetry: $b \rightarrow s\gamma$ and $B \rightarrow K^* \gamma$

< 1% in the SM, could receive ~10% contributions from new EW physics
Either inclusive or exclusive decays could reveal new physics

B or K charge tags the flavor of the b quark with ~1-2% asymmetry systematic



Sum of 12 exclusive,
self-tagging
 $B \rightarrow X_s \gamma$ final states

$X_s = K/K_s + 1\text{-}3 \text{ pions}$
 $E_{\gamma^*} > 2.14 \text{ GeV}$

$$b \rightarrow s\gamma \quad A_{CP} = (N - \bar{N}) / (N + \bar{N}) = 0.025 \pm 0.050 \pm 0.015$$

PRL 93 (2004) 021804, hep-ex/0403035

Asymmetries also measured precisely in exclusive $K^* \gamma$ decays:

$$B \rightarrow K^* \gamma \quad A_{CP} = -0.013 \pm 0.036 \pm 0.010$$

submitted to PRL, hep-ex/0407003

$$\Delta_{0-} = \frac{\Gamma(\bar{K}^{*0} \gamma) - \Gamma(K^{*-} \gamma)}{\Gamma(\bar{K}^{*0} \gamma) + \Gamma(K^{*-} \gamma)} = 0.050 \pm 0.045 \pm 0.028 \pm 0.024$$

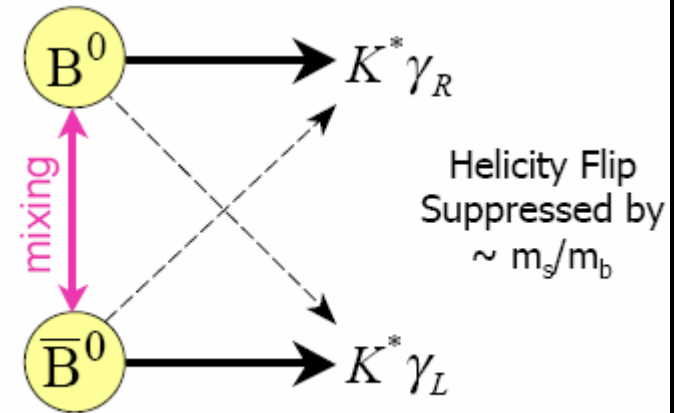
preliminary

Time-Dependent CP Asymmetry in $B \rightarrow K^* \gamma$ (113 fb^{-1})

As in $B^0 \rightarrow J/\psi K_S$, interference between mixed and non-mixed decay to same final state required for CPV.

In the SM, mixed decay to $K^* \gamma$ requires wrong photon helicity, thus **CPV is suppressed**:

In SM: $C = -A_{CP} \approx -1\%$ $S \approx 2(m_s/m_b)\sin 2\beta \approx 4\%$

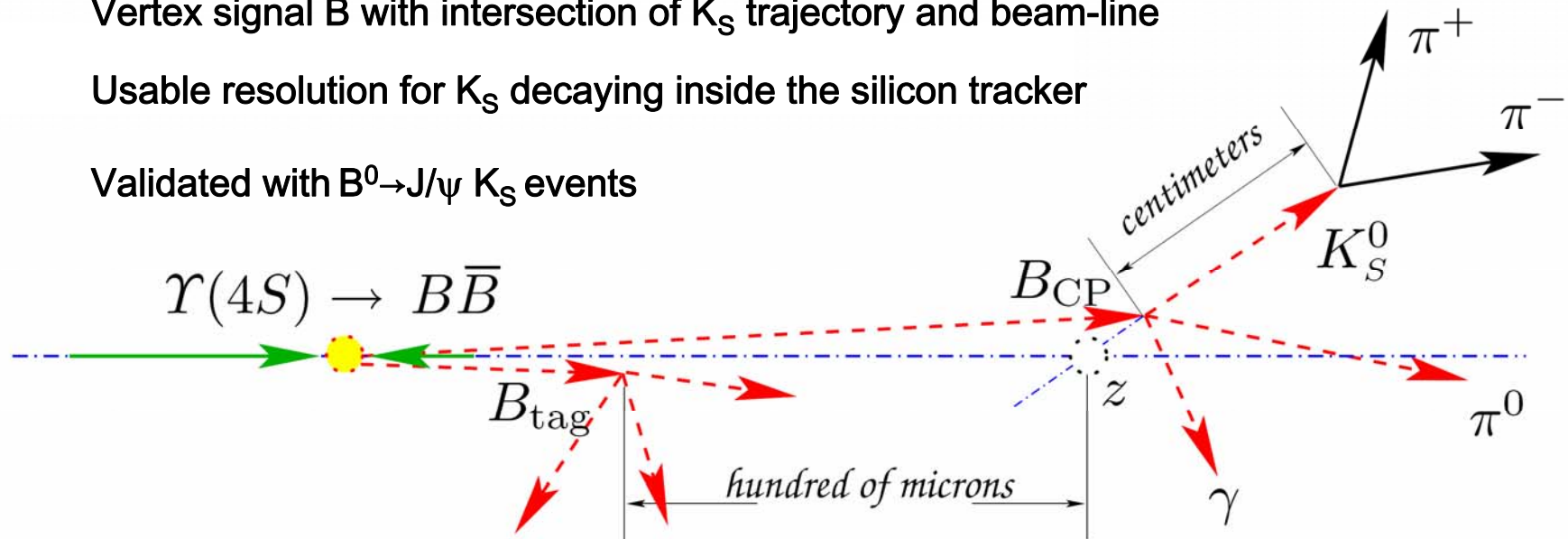


Measuring Δt of $K^*(\rightarrow K_S \pi^0) \gamma$ events requires novel **beam-constrained vertexing** technique:

Vertex signal B with intersection of K_S trajectory and beam-line

Usable resolution for K_S decaying inside the silicon tracker

Validated with $B^0 \rightarrow J/\psi K_S$ events



Time-Dependent CP Asymmetry in $B \rightarrow K^* \gamma$ (113 fb^{-1})

Likelihood fit of three components
($q\bar{q}$, $B\bar{B}$, $K^* \gamma$)
to 5D data
($m_{\text{ES}}, \Delta E, \text{Fisher}, m_{K^*}, \Delta t$)

$K^* \gamma$ signal = 105 ± 14 events

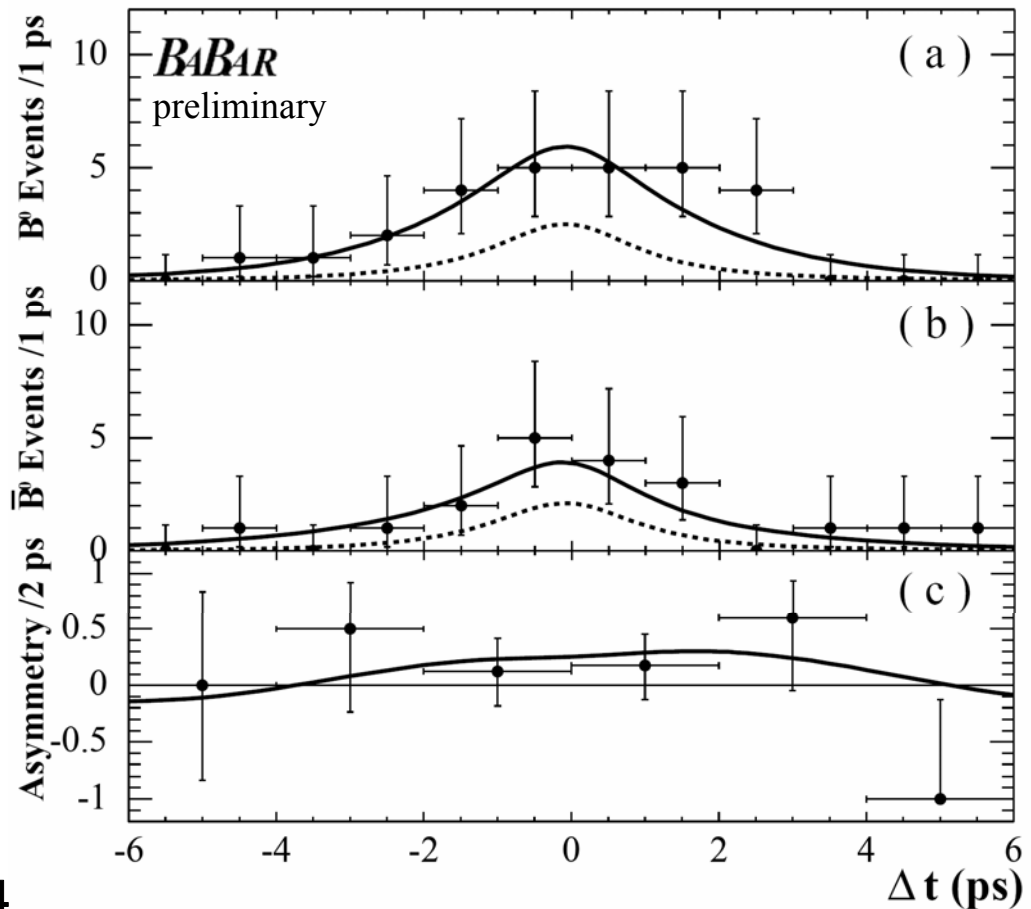
$$S = +0.25 \pm 0.63 \pm 0.14$$

$$C = -0.57 \pm 0.32 \pm 0.09$$

submitted to PRL, hep-ex/0405082

Consistent with SM

For C fixed to 0, $S = 0.25 \pm 0.65 \pm 0.14$



First ever measurement of time-dependent CP asymmetries in radiative penguins!

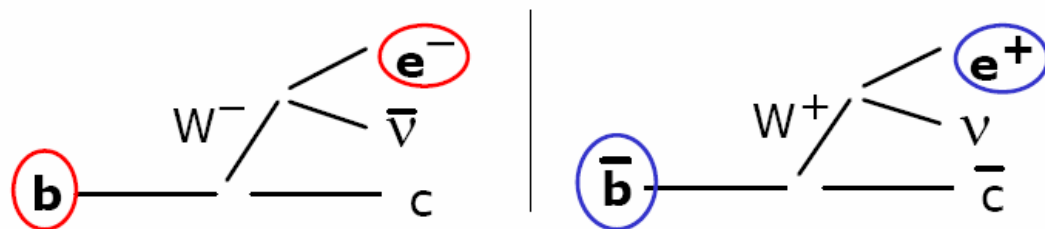
Flavor tagging

CP asymmetry is between $B^0 \rightarrow f$ and $\overline{B}^0 \rightarrow f$

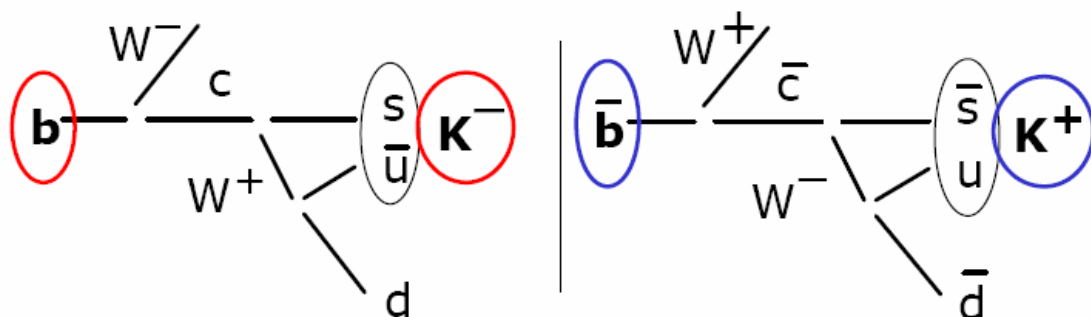
Must tag flavor at $\Delta t=0$ (when we know flavor of two Bs is opposite).

Use decay products of *other* (tag) B.

Leptons : Cleanest tag. Correct **>95%**



Kaons : Second best. Correct **80-90%**



Full tagging algorithm combines all in neural network

Four categories based on particle content and NN output.

Tagging performance

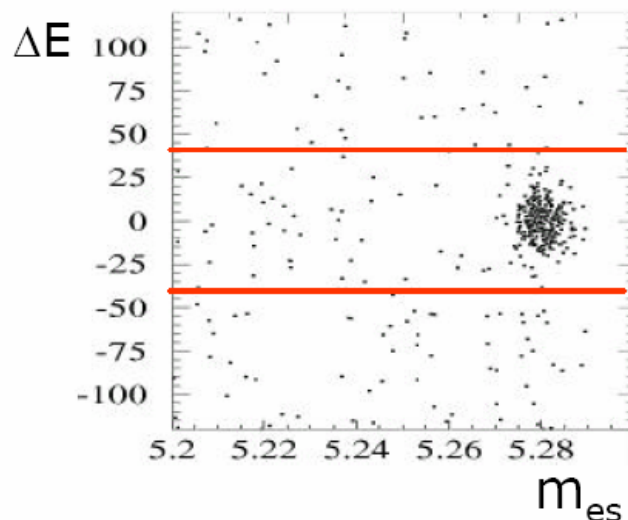
$$\sum_i \epsilon_i (1 - 2\omega_i)^2 = 28\% !$$

Kinematic variables at the $\Upsilon(4S)$

Variables for signal/BG discrimination

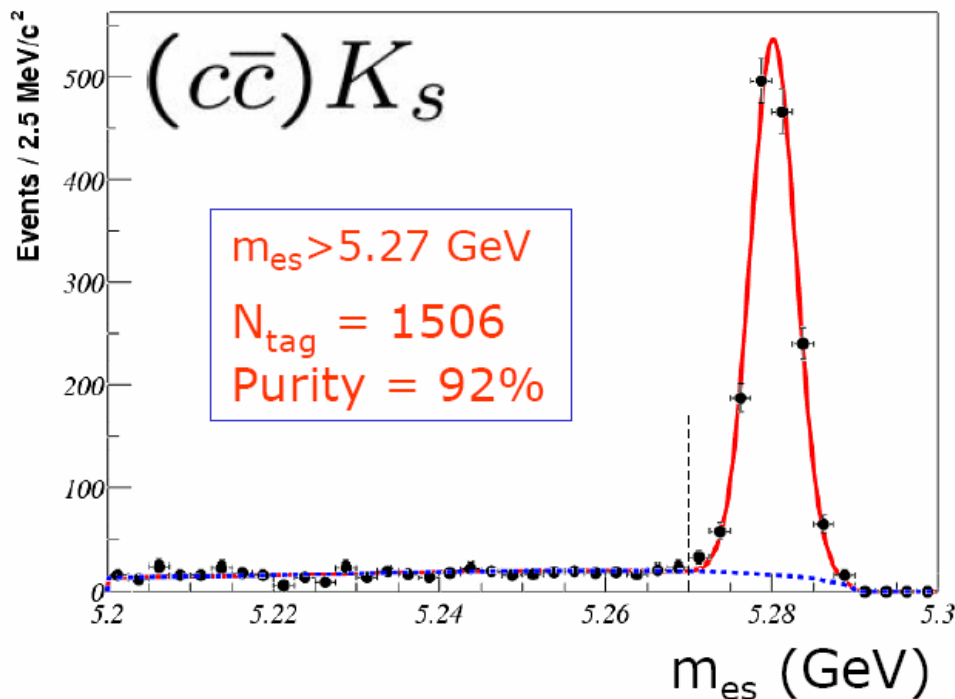
$$m_{es} = \sqrt{E_{\text{beam}}^{*2} - \sum \vec{p}_i^{*2}}$$

$$\Delta E = E_B^* - E_{\text{beam}}^*$$



$$\sigma m_{es} \approx 3 \text{ MeV}$$

$$\sigma \Delta E \approx 15 \text{ MeV}$$



$J/\psi K_s (\pi^+\pi^-)$
 $m_{es} > 5.27 \text{ GeV}$
 $N_{\text{tag}} = 974$
 Purity 97%

Measurement of Δt

- $J/\Psi \rightarrow l^+l^-$ dominates in determination of CP vertex.
- Tracks not from CP B combined to form tag vertex.
 - Tracks with large χ^2 iteratively removed.
 - Long-lived particles (K_S , Λ) explicitly reconstructed.
 - Photon conversions ($\gamma \rightarrow e^+e^-$) removed.
- Vertex incorporates constraint from average beam position.
- Efficiency for CP sample **97 %** (**95%** after $|\Delta t| < 20 \text{ ps}$, $\sigma_{\Delta t} < 2.5 \text{ ps}$)

