

The Penguin & The Elephant: Half a Billion b Quarks at BaBar

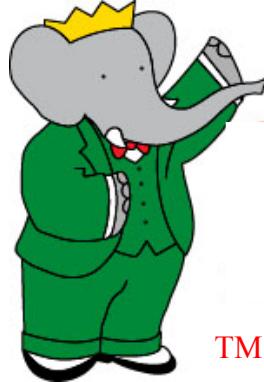


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University of California, Santa Barbara
For the BaBar Collaboration

Rutgers High Energy Physics Seminar
March 21, 2005

Outline

- Quark Flavor and CP Violation
- B Factories
- Gluon Penguin B Decays
- Photon Penguin B Decays
- The Electroweak Penguin B Decay $b \rightarrow sll$
Brand new results for $B \rightarrow Kll, K^*ll$



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Quarks, Flavor Violation, and CP Violation



Quarks and the problem of mass

Standard Model “explanation” of quark mass:
Six quark species with unpredicted masses
Spanning almost six orders of magnitude

Up type (q=+2/3)	Mass (GeV/c ²)
Up u	10 ⁻³
Charm c	1
Top t	175

Down type (q=-1/3)	Mass (GeV/c ²)
Down d	5 10 ⁻³
Strange s	10 ⁻¹
Bottom b	5

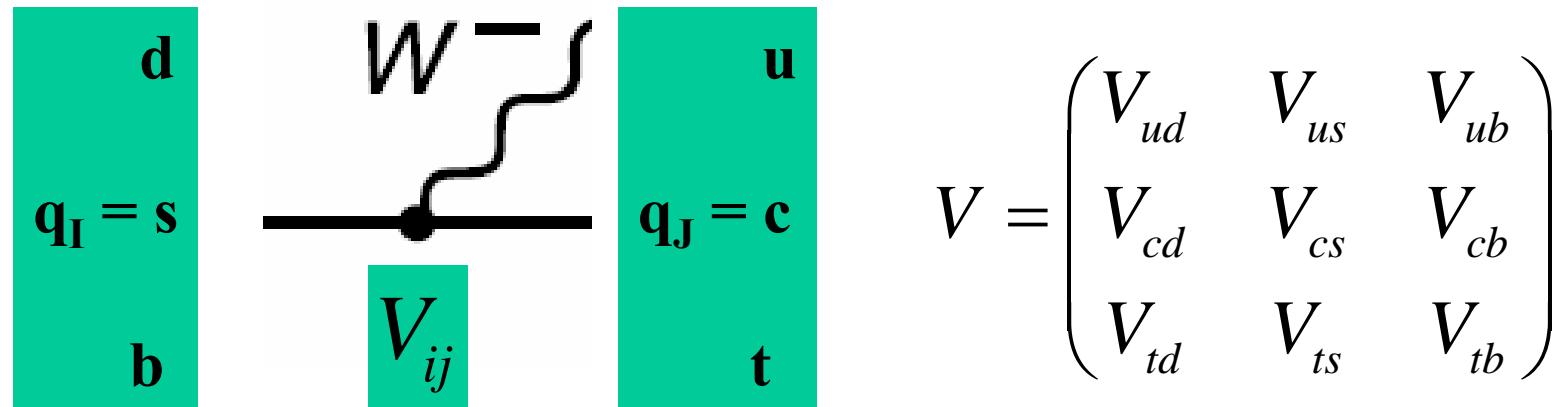
The origin of different fermion generations, masses, flavor violation, and CP violation are all arbitrary parameters of **electroweak symmetry breaking**.

A comparative physics of the quark flavors directly probes this little-known sector.

Quarks and Flavor Violation

Photon, gluon or Z boson: quark flavor conserving interactions

W boson: changes any **down type flavor** to any **up type flavor**



The (Cabibbo-Kobayashi-Maskawa) **CKM matrix**: complex amplitude of each possible transition

Conservation of probability → **CKM matrix is unitary**

3X3 unitary matrix has (effectively) **four degrees of freedom**:

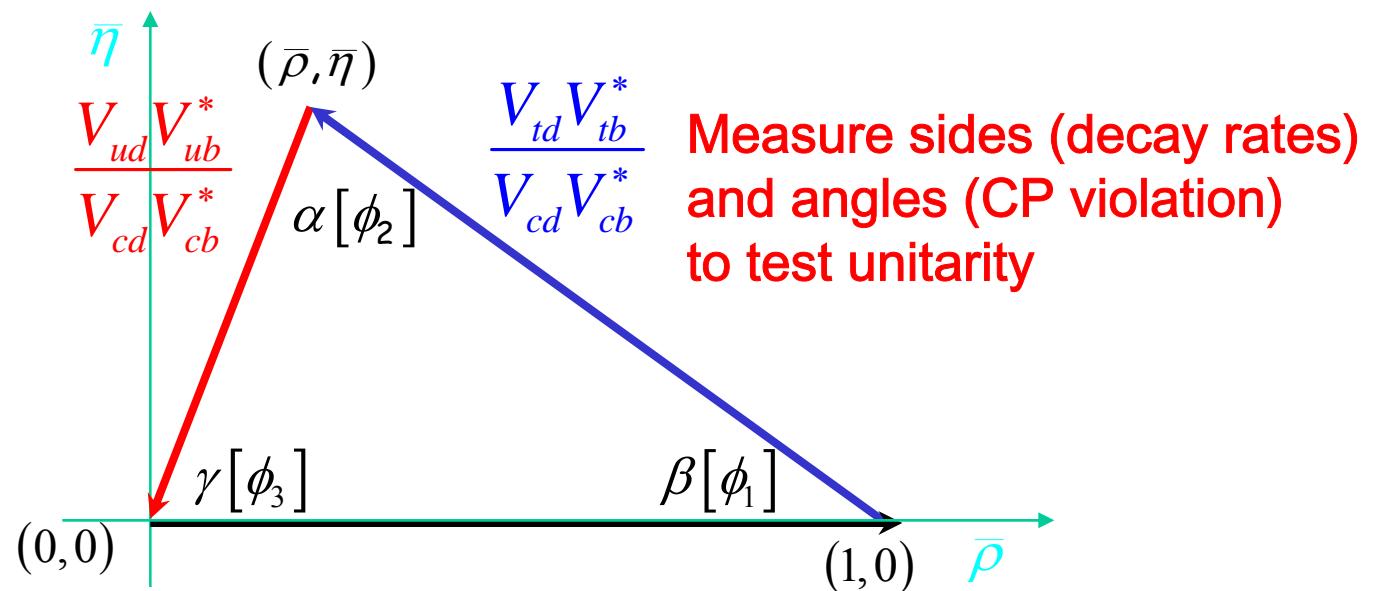
3 angles + 1 complex phase

CKM Unitarity

Inner product of first and third columns of CKM matrix is zero:

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

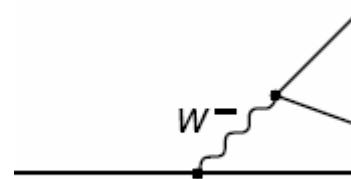
Rescale, rotate and reparameterize to describe a
Unitarity Triangle in the complex plane



Three Paths to Flavor Violation

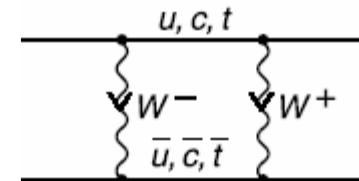
1. Tree diagram decay: down → up ($b \rightarrow c$, $b \rightarrow u$)

Not generally sensitive to new physics



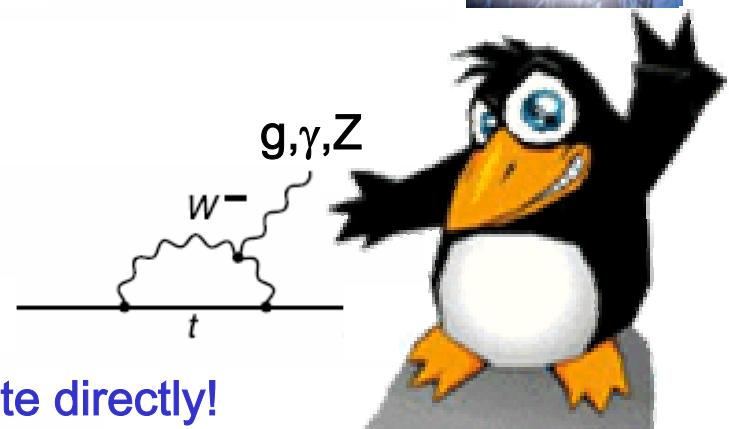
2. Box diagram: neutral meson mixing (K^0, B_d^0, B_s^0)

down-type → anti-down type ($b \rightarrow \bar{b}$)
via double W exchange

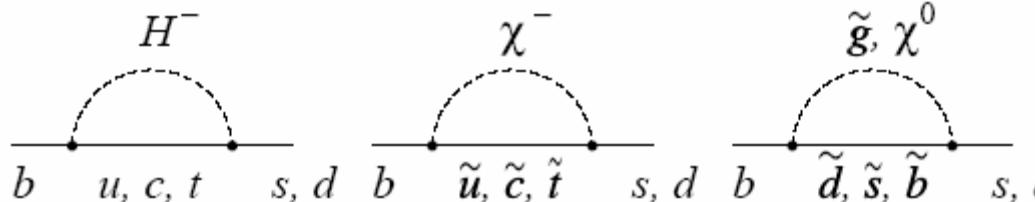


3. Penguin diagram: ($b \rightarrow s, b \rightarrow d$)

down-type → down-type
via emission & reabsorption of W;
top-quark couplings V_{td}, V_{ts} dominate



SM penguins are suppressed; new physics can compete directly!

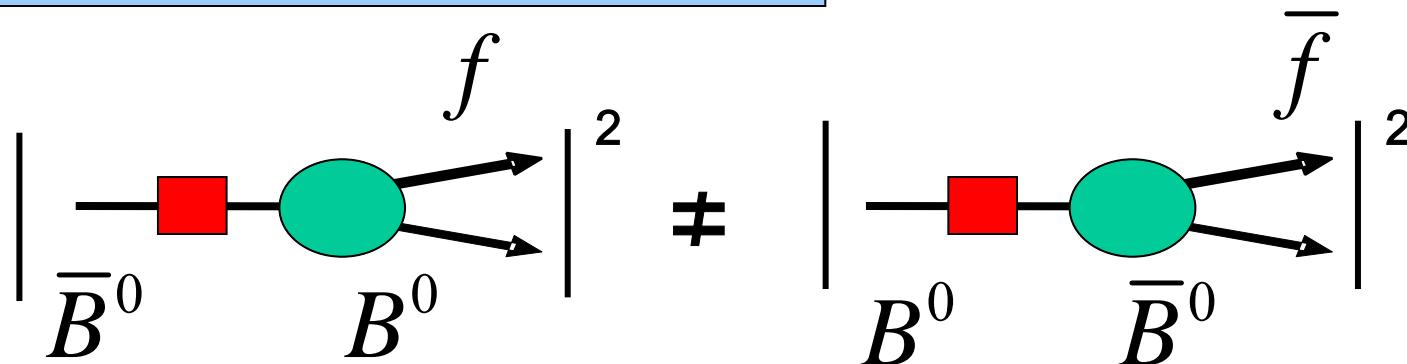


+ 4th gen. quarks, technicolor,
LED, etc., etc., with possible
enhanced flavor couplings

Three Paths to CP Violation

CP violation \rightarrow an observable O of particles (f_1, f_2, \dots) such that
 $O(f_1, f_2, \dots) \neq O(CP(f_1, f_2, \dots)) = O(\bar{f}_1, \bar{f}_2, \dots)$

1. CP violation in meson mixing

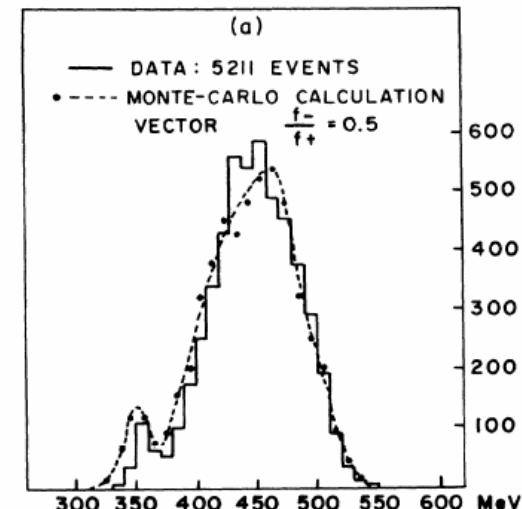


Mixing rate of meson to final state f not the same as

Mixing rate of anti-meson to same final anti-state

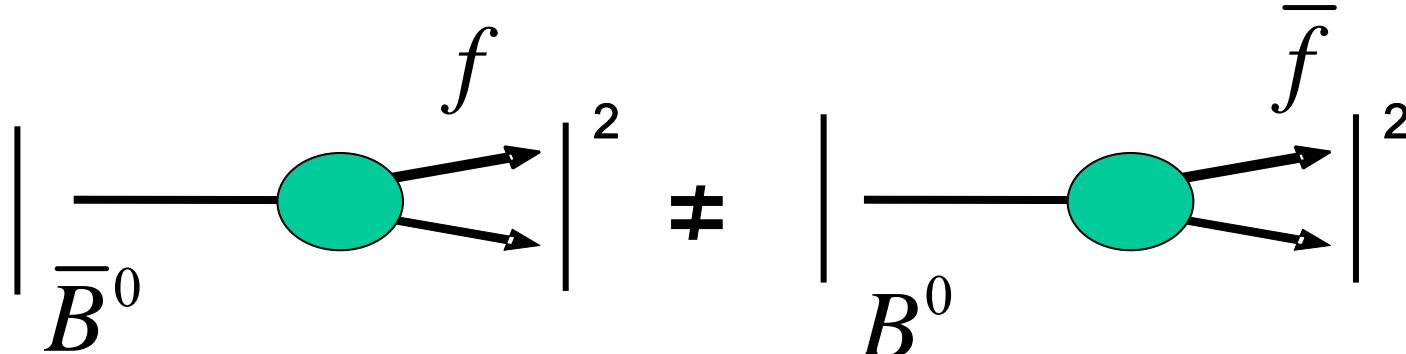
In the Standard Model, very small $\sim 10^{-3}$

CP violation in K^0 decays first observed through this path forty years ago!



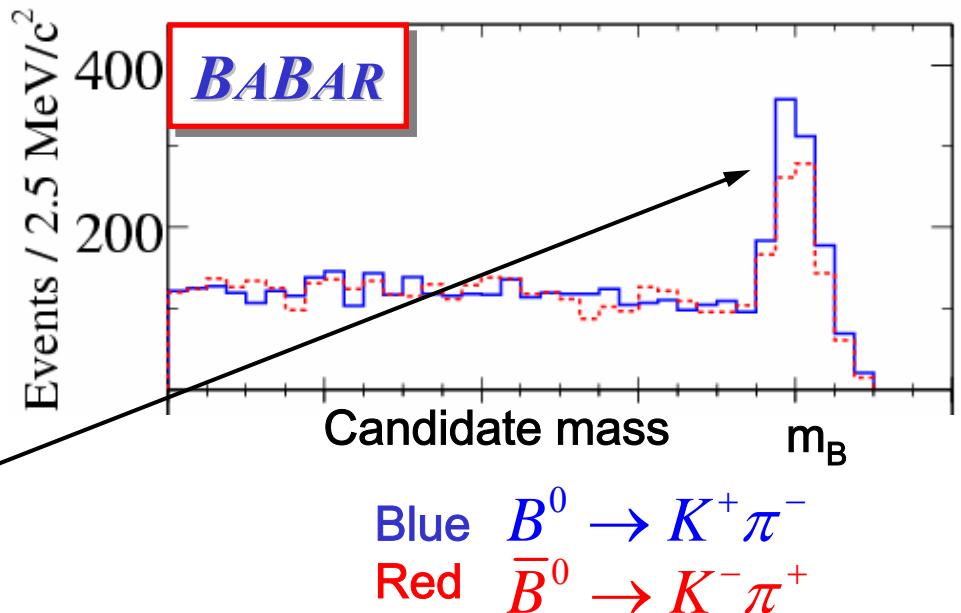
Three Paths to CP Violation

2. CP violation in meson decay → “Direct CP violation”



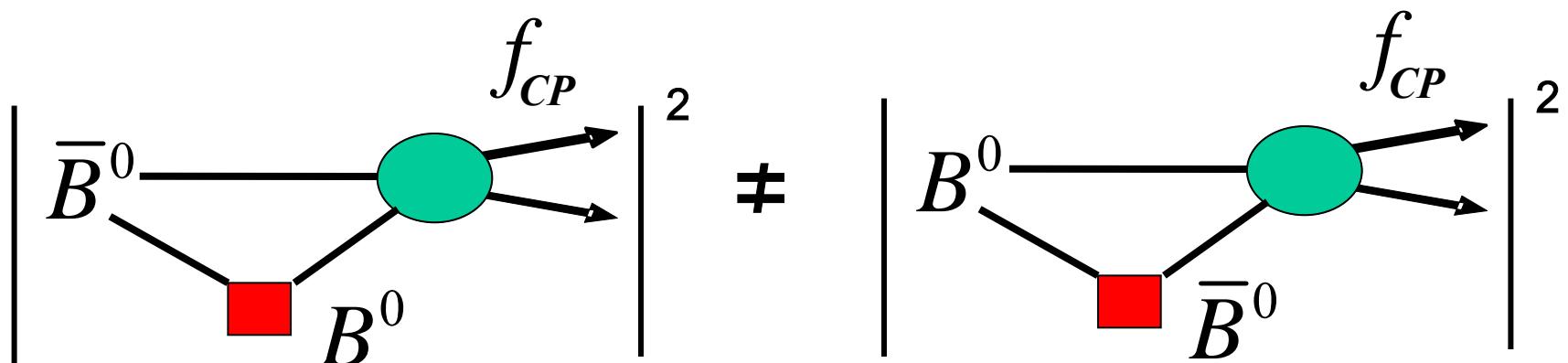
Decay rate of meson to final state f not the same as Decay rate of anti-meson to same final anti-state

Recently observed in $B^0 \rightarrow K^+ \pi^-$ at the 10% level!



Three Paths to CP Violation

3. Time dependent asymmetry of meson/anti-meson decay rate to a common final state

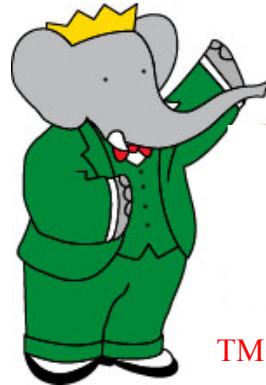


If \bar{B}^0 and B^0 decay to the same final state f_{CP} , there is interference between amplitude of direct decay (green circle) and amplitude of mixing (red square) followed by decay (green circle).

In the presence of CP violating phases in these amplitudes, can induce large time-dependent asymmetry with frequency equal to mixing frequency:

$$A_{f_{CP}} = -C_{f_{CP}} \cos(\Delta m t) + S_{f_{CP}} \sin(\Delta m t)$$

$C_{f_{CP}} \neq 0$ implies Direct CP Violation



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B Factories



b Quarks and CKM Unitarity

B decay rates, CP asymmetries measure the entire triangle!

Triangle sides:

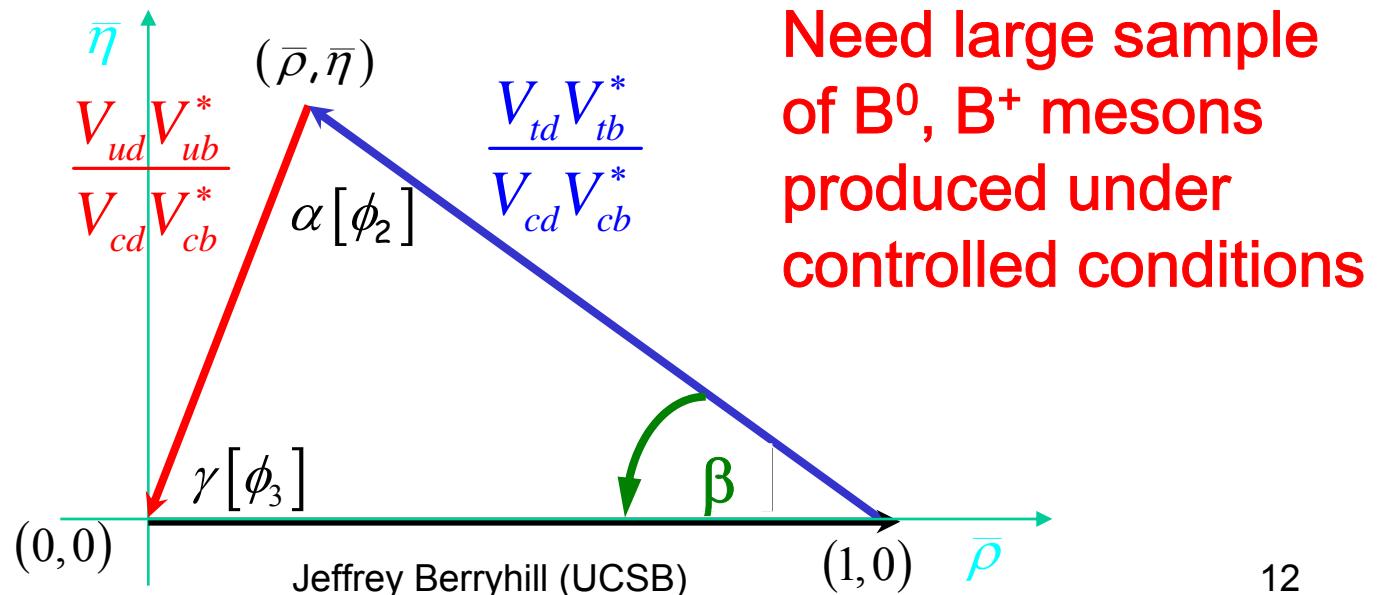
$B^+ \rightarrow \rho^0 \ell^- \nu$ decay rate measures $b \rightarrow u$ transition ($|V_{ub}|$)
 B^0 mixing rate measures $|V_{td}|$

Angles:

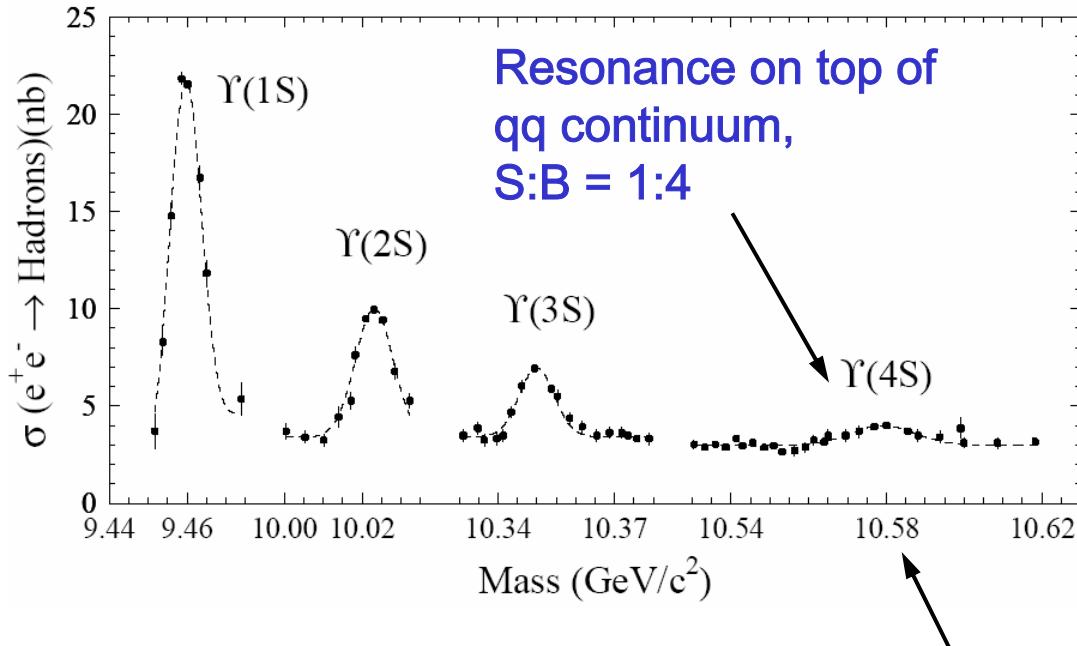
$B^0 \rightarrow \rho^+ \rho^-$ time dependent CP asymmetry measures $\sin 2\alpha$

$B^0 \rightarrow J/\psi K_S^0$ time dependent CP asymmetry measures $\sin 2\beta$

$B^+ \rightarrow D^{(*)} K^+$ decay rates measure γ



Asymmetric B Factories

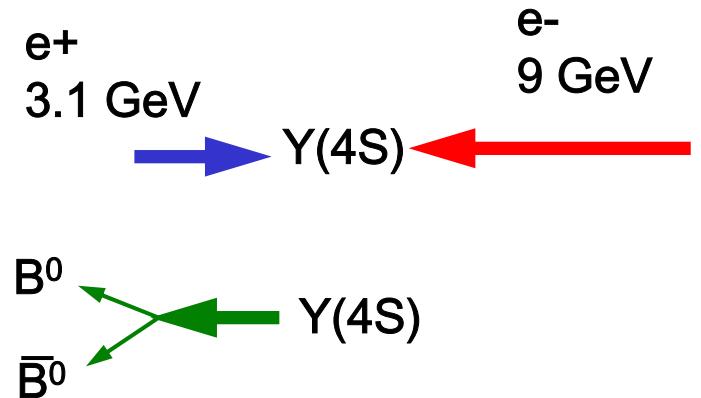


Y(4S) meson: $b\bar{b}$ bound state with mass $10.58 \text{ GeV}/c^2$

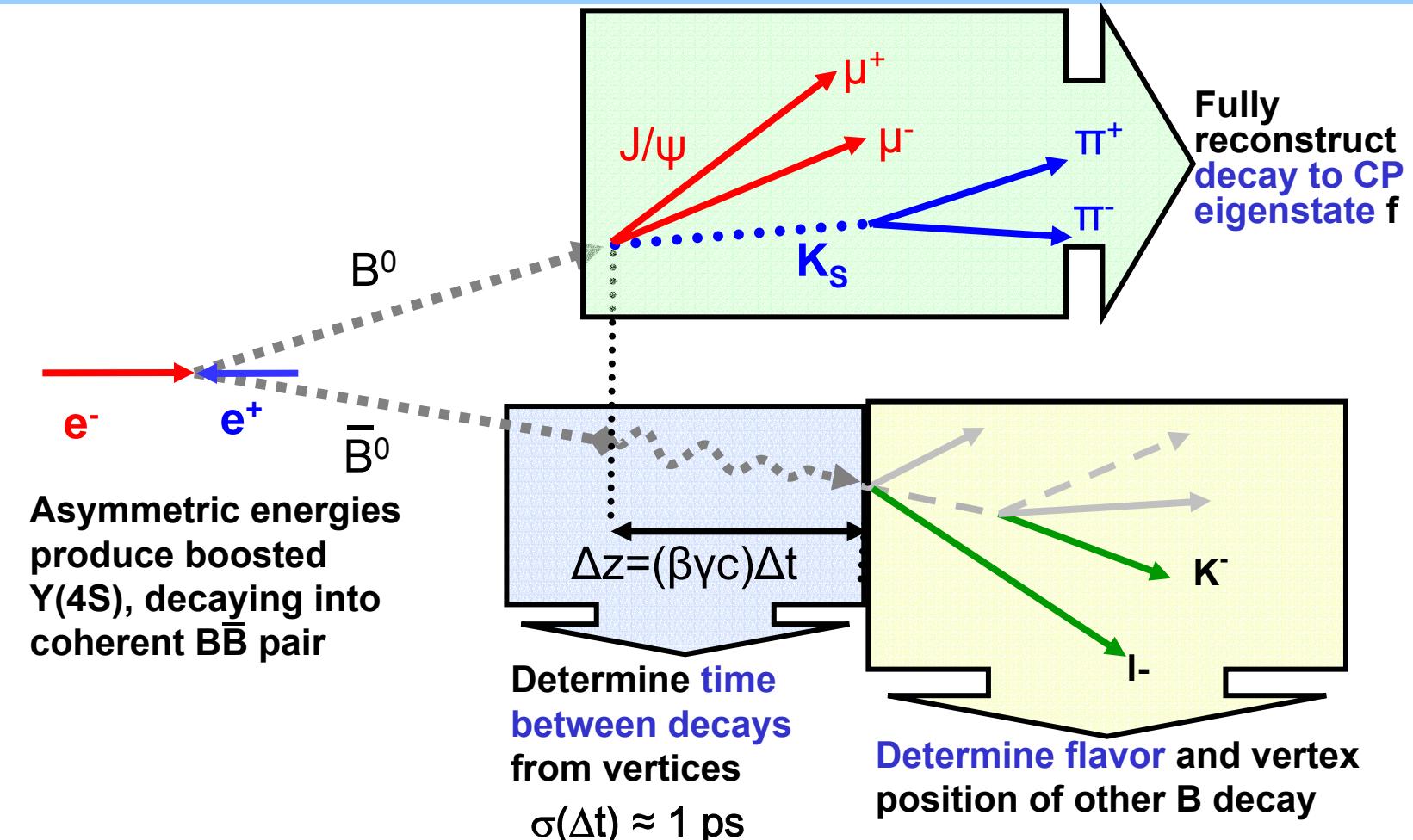
Just above $2 \times B$ mass \rightarrow decays exclusively to $B^0 \bar{B}^0$ (50%) and $B^+ B^-$ (50%)

B factory: intense e^+ and e^- colliding beams with E_{CM} tuned to the Y(4S) mass

Use e beams with asymmetric energy \rightarrow time dilation due to relativistic speeds keeps B's alive long enough to measure decay time (decay length $\sim 0.25 \text{ mm}$)



Time-Dependent CP Violation: Experimental technique

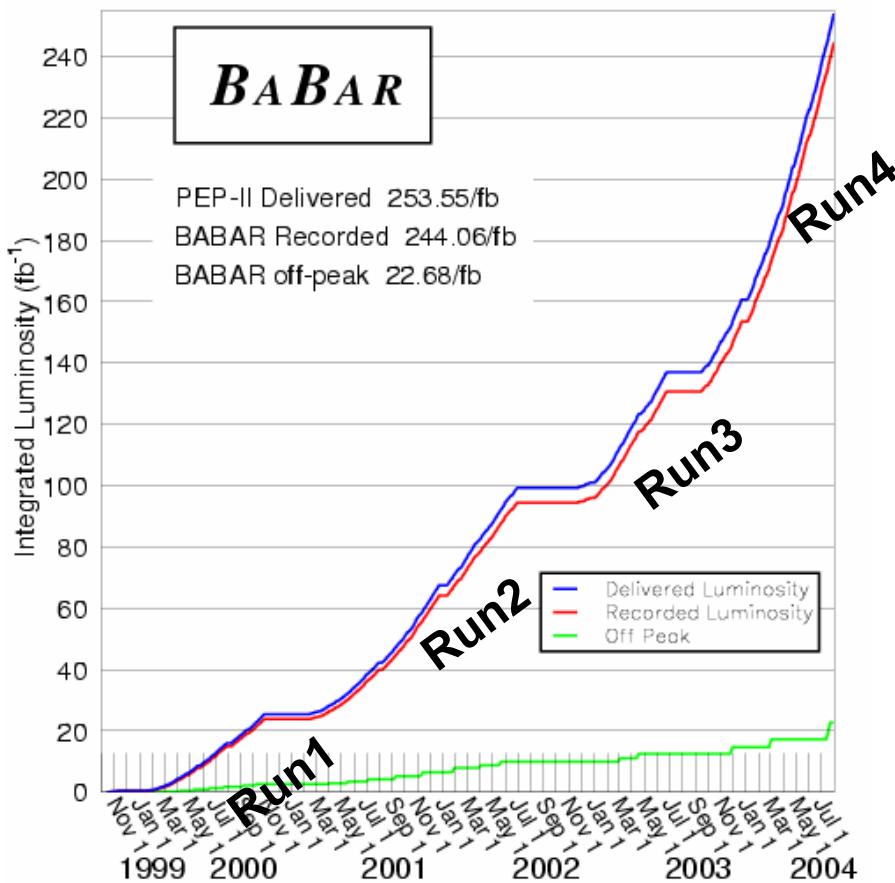


$$\text{Compute CP violating asymmetry } A(\Delta t) = \frac{N(\bar{f}; \Delta t) - N(f; \Delta t)}{N(\bar{f}; \Delta t) + N(f; \Delta t)}$$

PEP-II at the Stanford Linear Accelerator Center



PEP-II performance



458 million b quarks produced!

Also $\sim 10^8$ each of u, d, s, c, and τ

PEP-II top luminosity:

$$9.2 \times 10^{33} \text{ cm}^{-2} \text{s}^{-1}$$

(more than 3x design goal 3.0×10^{33})

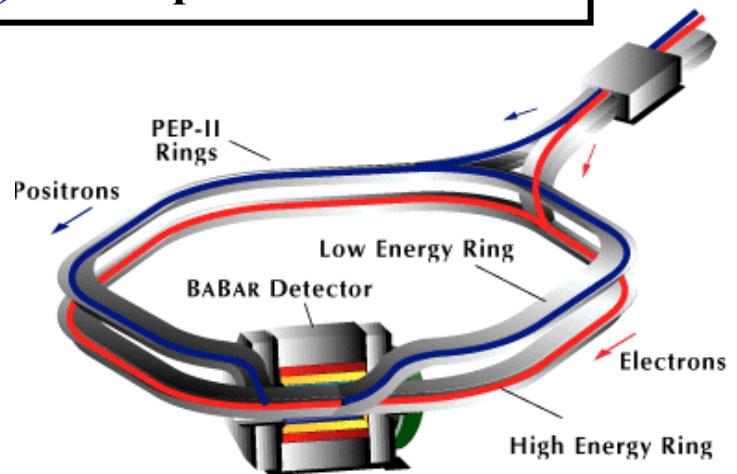
1 day record : 681 pb^{-1}

About 1 Amp of current per beam,
injected continuously

Run1-4 data: 1999-2004

On peak 211 fb^{-1}

$\sigma(e^+e^- \rightarrow Y(4S)) = 1.1 \text{ nb} \rightarrow$
 $229\text{M } Y(4S) \text{ events produced}$





USA [38/300]

California Institute of Technology

UC, Irvine

UC, Los Angeles

UC, Riverside

UC, San Diego

UC, Santa Barbara

UC, Santa Cruz

U of Cincinnati

U of Colorado

Colorado State

Florida A&M

Harvard

U of Iowa

Iowa State U

LBNL

LLNL

U of Louisville

U of Maryland

U of Massachusetts, Amherst

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Mount Holyoke College

SUNY, Albany

U of Notre Dame

Ohio State U

U of Oregon

U of Pennsylvania

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U of British Columbia
McGill U
U de Montréal
U of Victoria

China [1/5]

Inst. of High Energy Physics, Beijing

France [5/51]

LAPP, Annecy
LAL Orsay

The BABAR Collaboration

11 Countries
80 Institutions
593 Physicists

LPNHE des Universités Paris VI et VII
Ecole Polytechnique,
Laboratoire Leprince-Ringuet
CEA, DAPNIA, CE-Saclay

Germany [5/31]

Ruhr U Bochum
U Dortmund
Technische U Dresden
U Heidelberg
U Rostock

Italy [12/101]

INFN, Bari
INFN, Ferrara
Lab. Nazionali di Frascati dell' INFN
INFN, Genova & Univ
INFN, Milano & Univ
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Scuola Normale Superiore

INFN, Perugia & Univ
INFN, Roma & Univ "La Sapienza"
INFN, Torino & Univ
INFN, Trieste & Univ

The Netherlands [1/5]

NIKHEF, Amsterdam

Norway [1/3]

U of Bergen

Russia [1/11]

Budker Institute, Novosibirsk

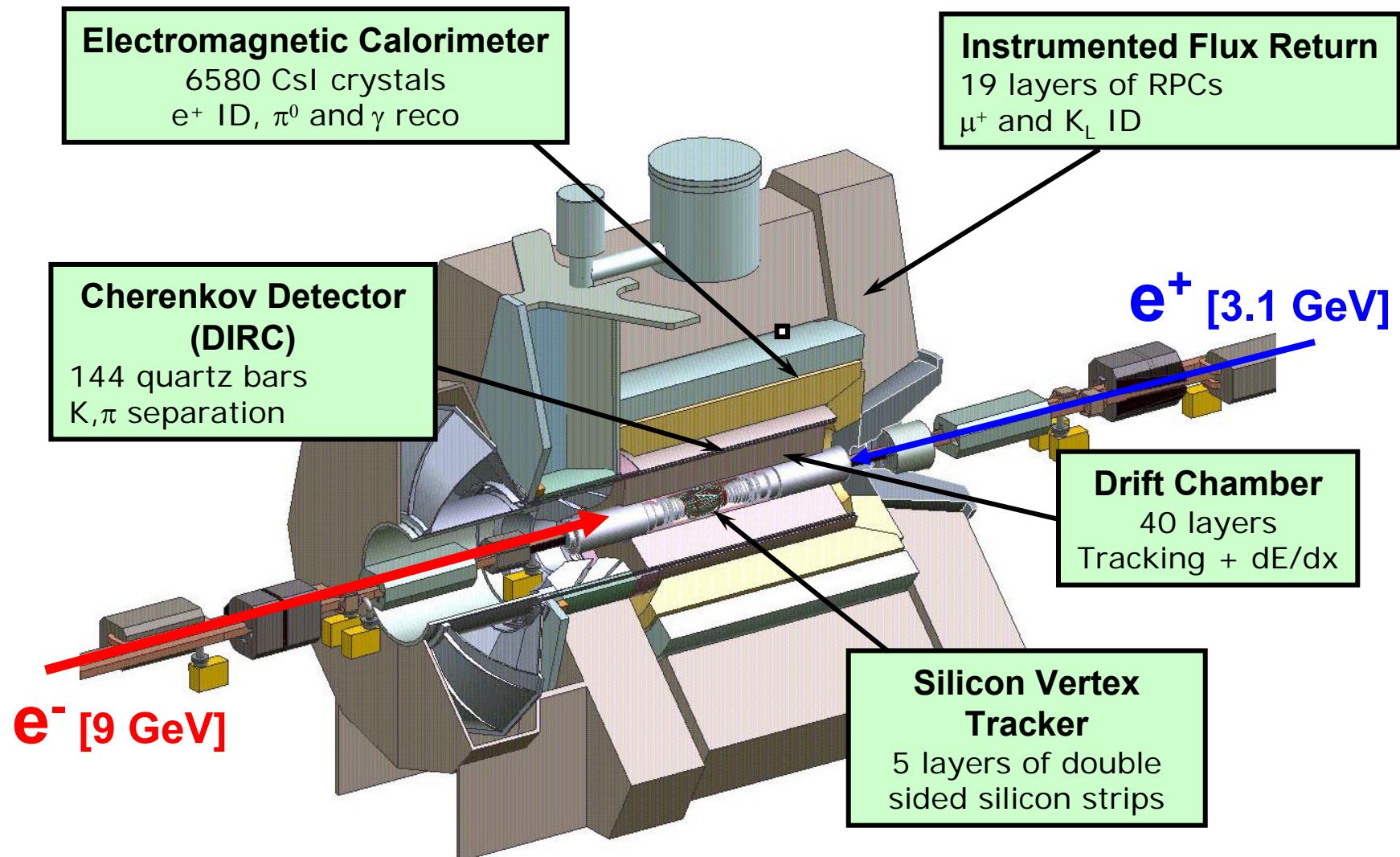
Spain [2/2]

IFAE-Barcelona
IFIC-Valencia

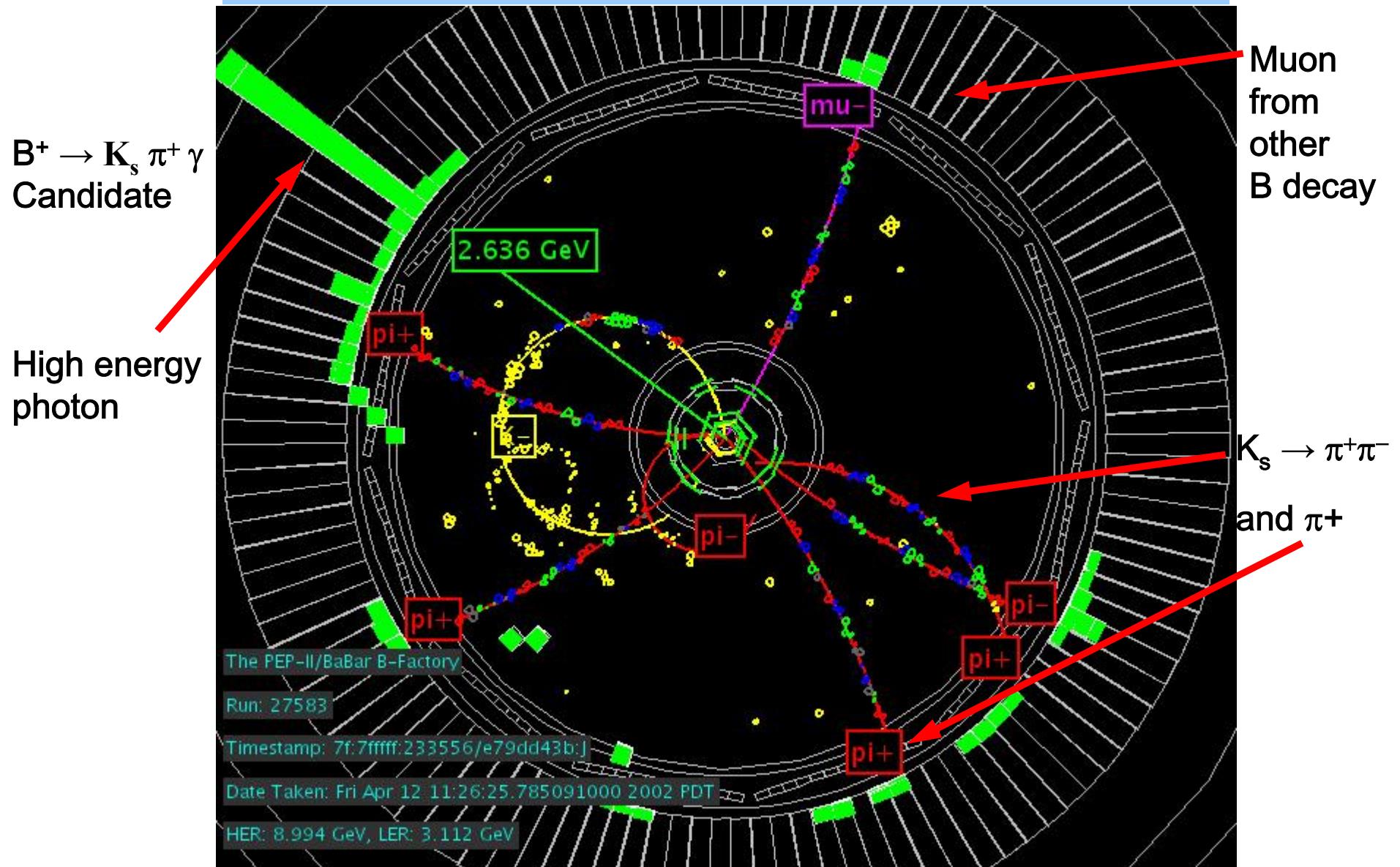
United Kingdom [10/66]

U of Birmingham
U of Bristol
Brunel U
U of Edinburgh
U of Liverpool
Imperial College
Queen Mary , U of London
U of London, Royal Holloway
U of Manchester
Rutherford Appleton Laboratory

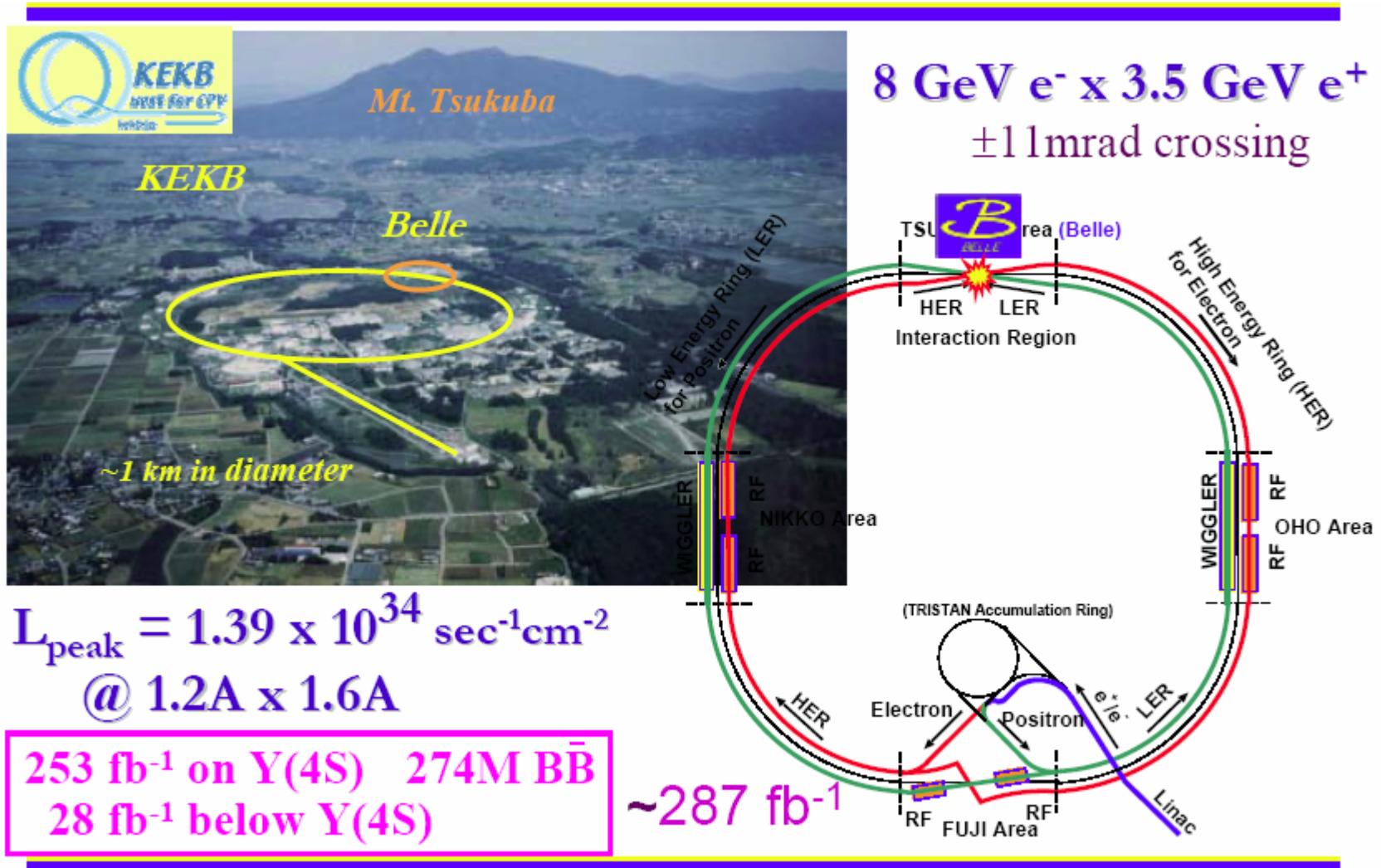
The BaBar detector

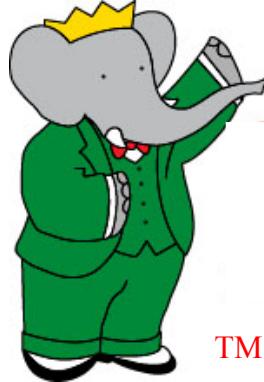


Penguin Portrait



Our Friendly Competitors





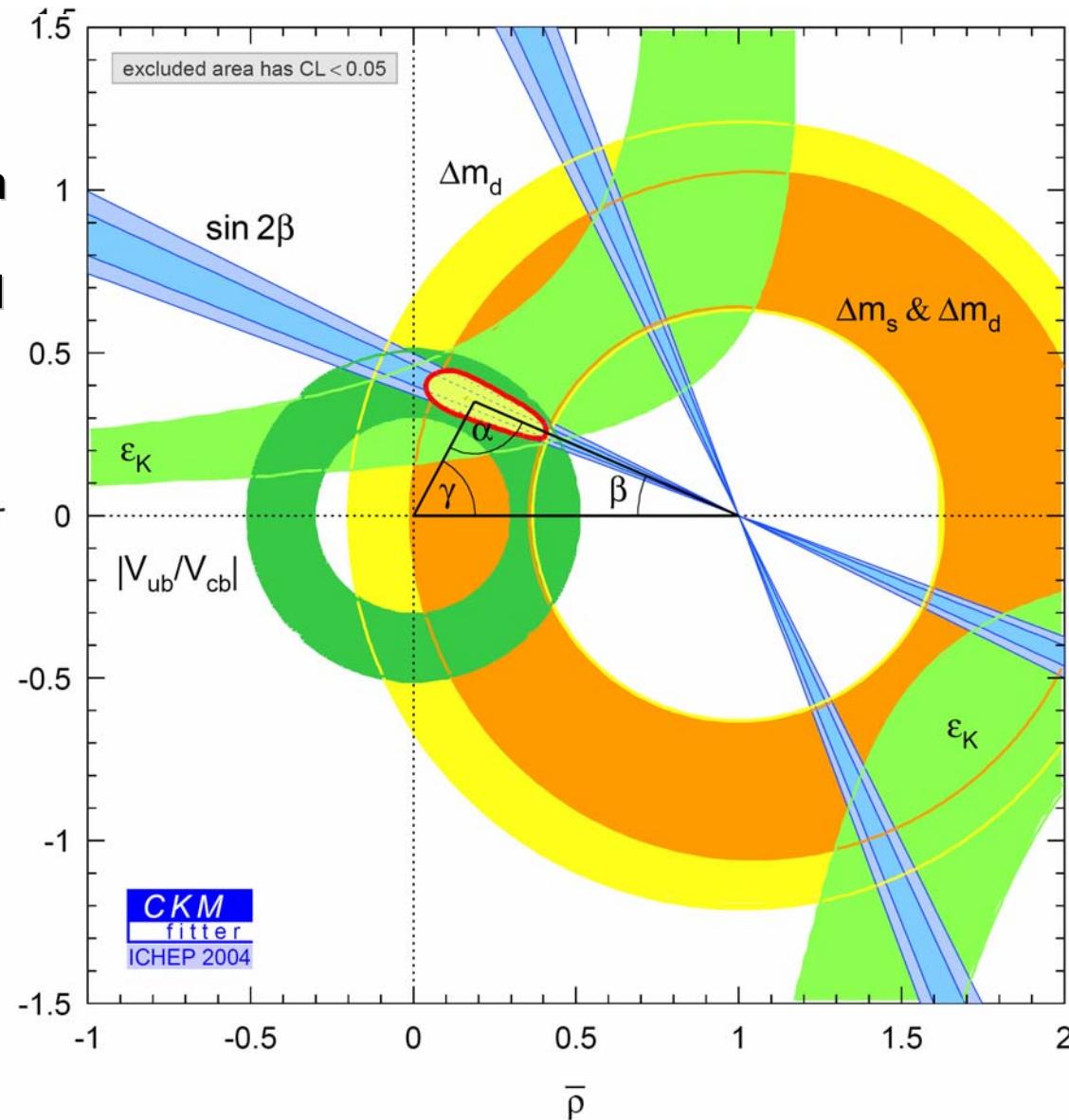
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Gluon Penguins and CP Violation



CKM Unitarity Triangle: Experimental Constraints

Constraints from
Decay rates and
Mixing Rates
CP violation in
kaon decay
CP violation in
 $b \rightarrow c\bar{c}$



Remarkable validation of the CKM mechanism for both flavor violation and CP violation!

Do penguin decays agree with this picture??

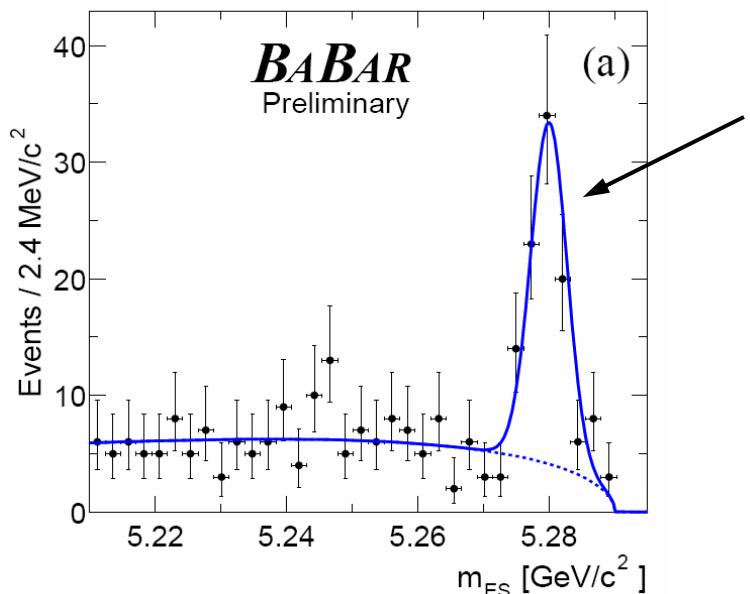
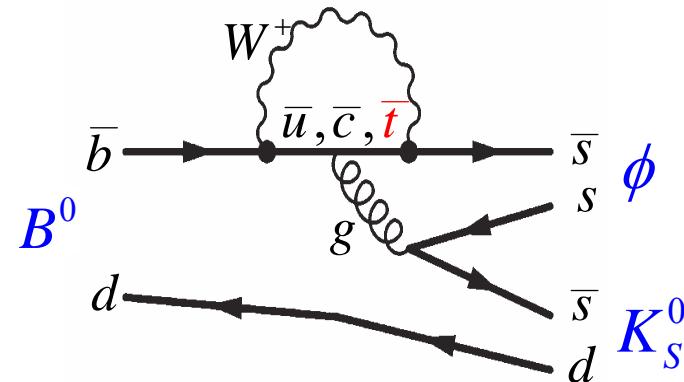
$B^0 \rightarrow \phi K_S^0$ and $\sin 2\beta$

Decay dominated by a single “gluonic penguin”

Feynman diagram: $b \rightarrow s\bar{s}s$

Decay rate 100X smaller than $J/\psi K_S$

→ small signal, large background

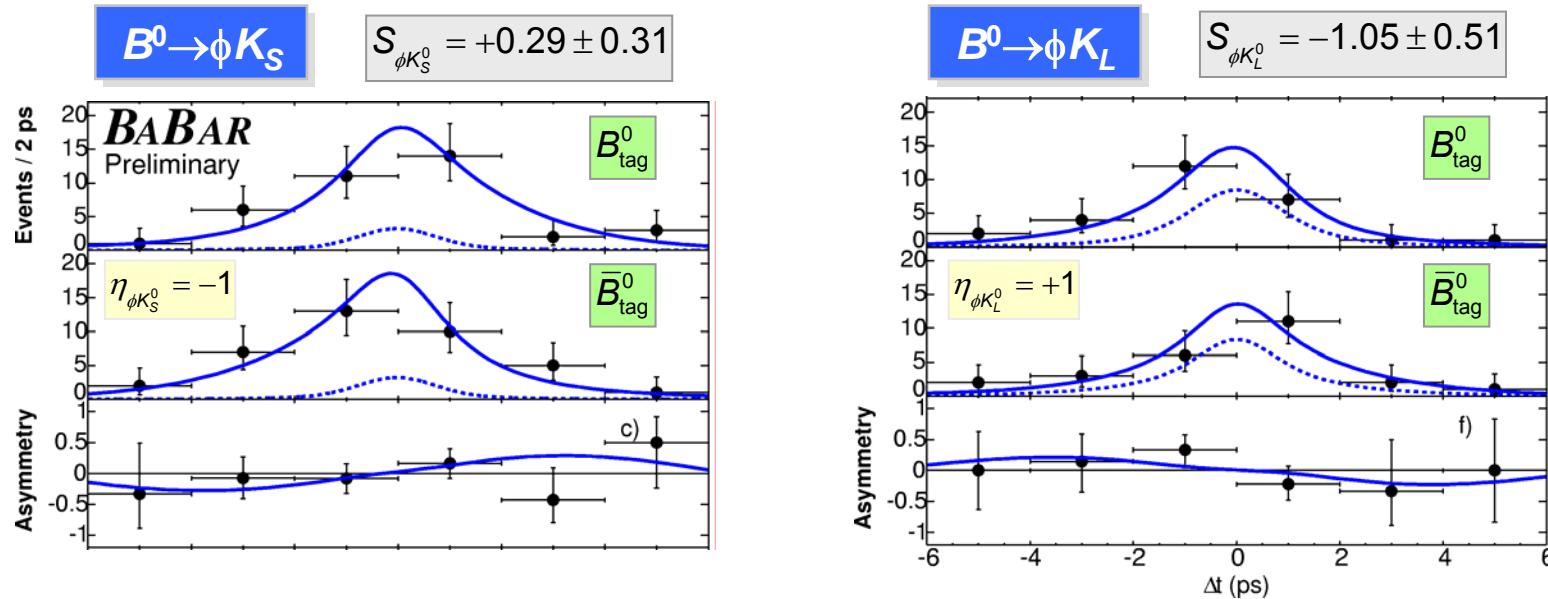


114 ± 12 $B^0 \rightarrow \phi K_S$ events out of $\frac{1}{2}$ billion
b quarks produced!

Time-dependent CP violating asymmetry A
can be measured in the same way as $J/\psi K_S$

Same combination of CKM complex phases as
 $J/\psi K_S \rightarrow$ same relation between A and $\sin 2\beta$

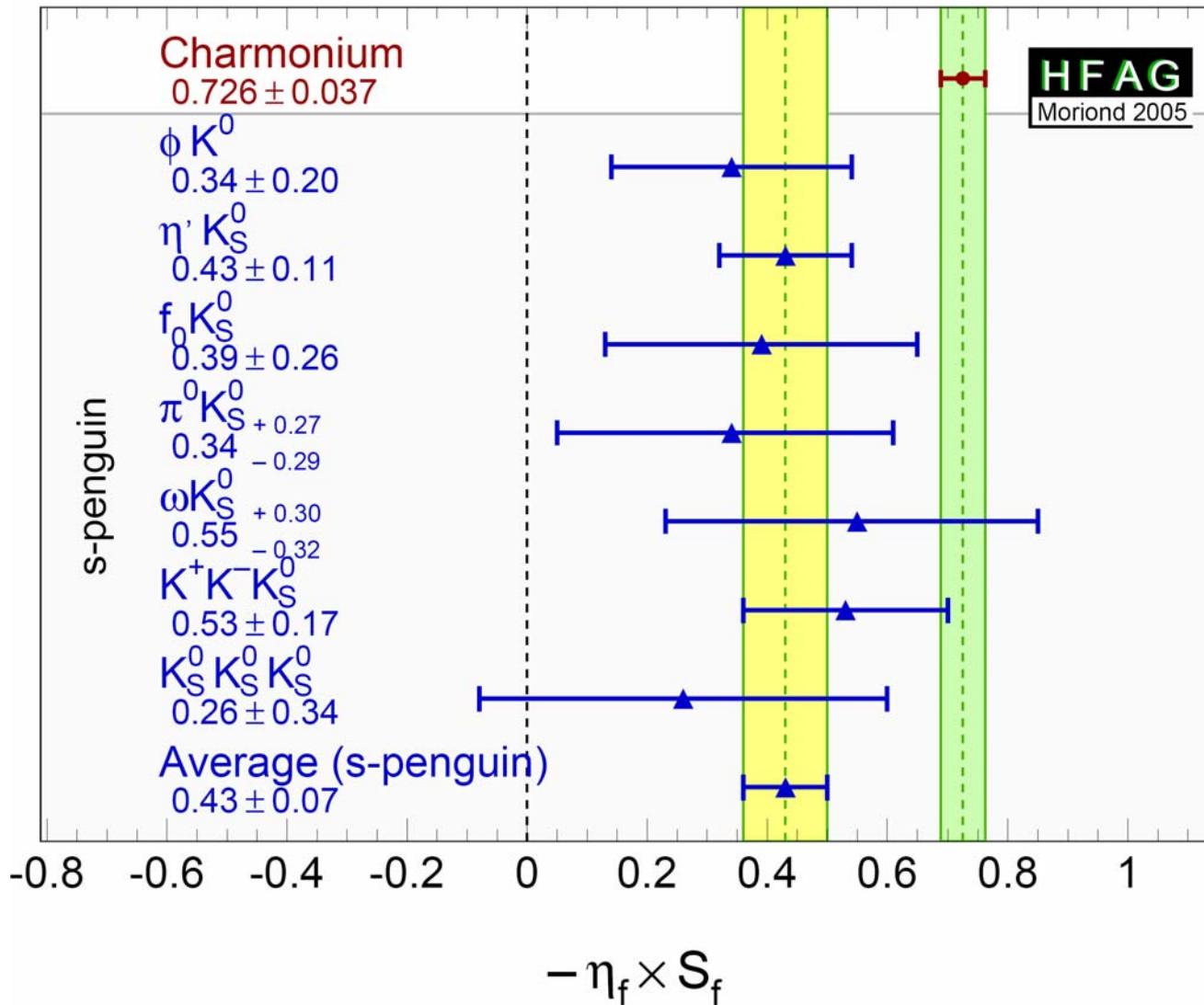
$B^0 \rightarrow \phi K^0$ and $\sin 2\beta$



$$\begin{aligned} \sin 2\beta &= S(\phi K^0) = +0.50 \pm 0.25 \pm 0.07 \\ \text{vs. } S(\psi K^0) &= +0.72 \pm 0.04 \pm 0.02 \end{aligned}$$

Penguin decay consistent with tree decays, about 1σ low

Trees(green) vs. Penguins(yellow): World Average

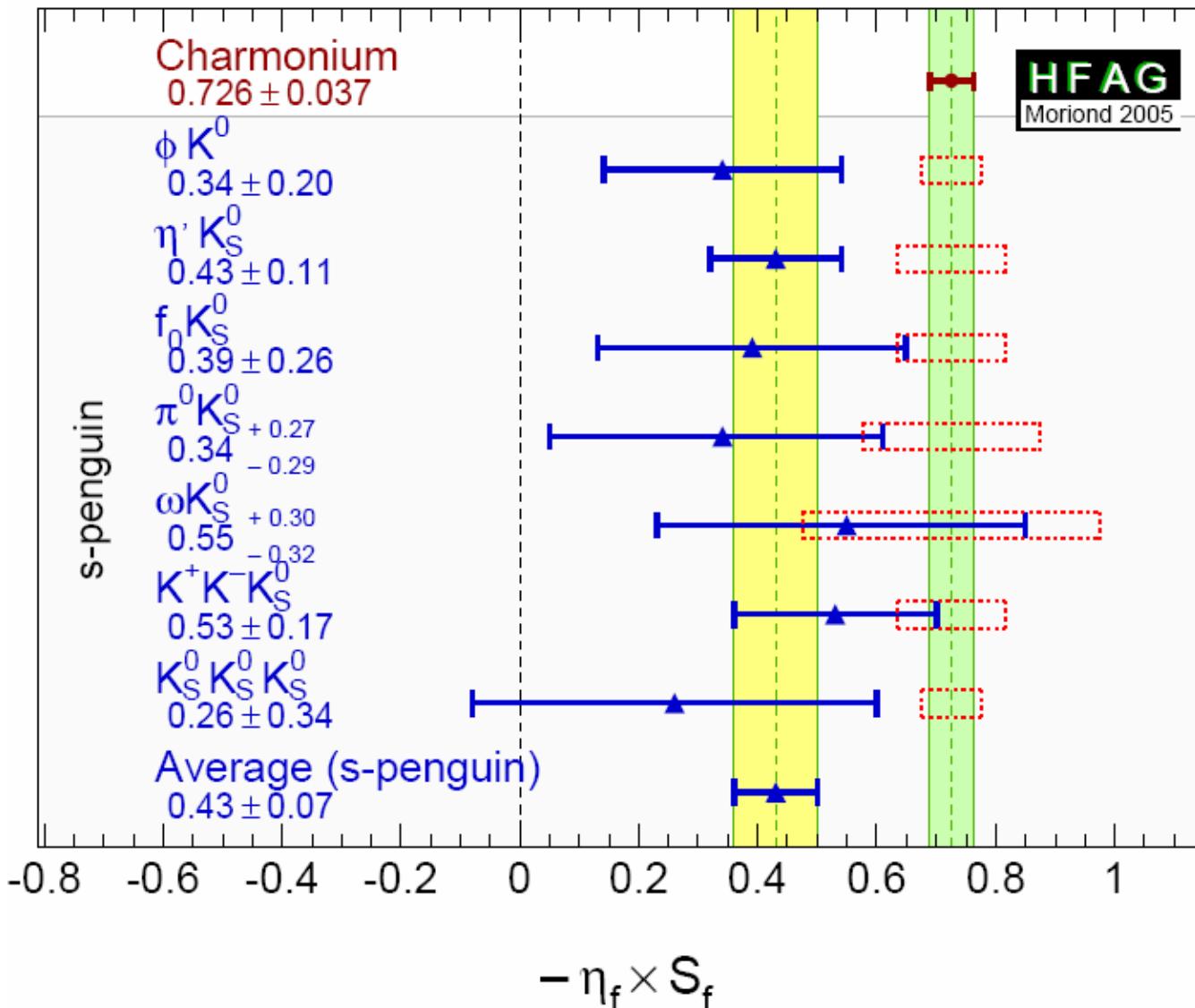


Averaging over many penguin decays:

World discrepancy with tree decays

= -3.7σ

Trees(green) vs. Penguins(yellow): World Average



Averaging over many penguin decays:

World discrepancy with tree decays

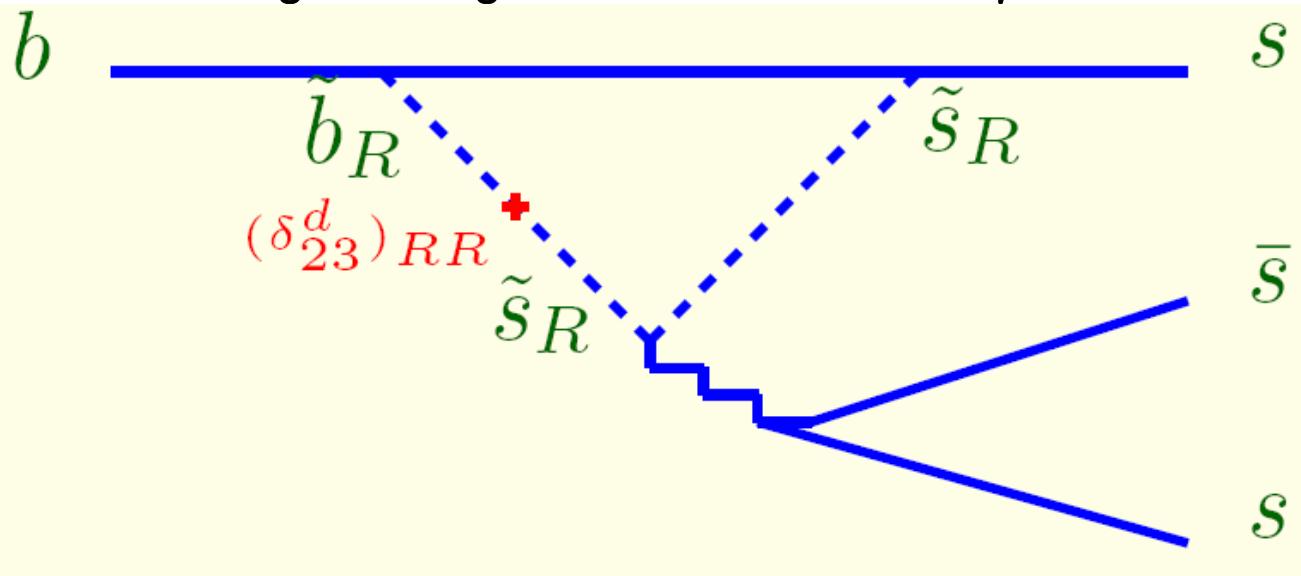
= -3.7σ

Red boxes:
estimate from theory of errors due to neglecting other decay amplitudes

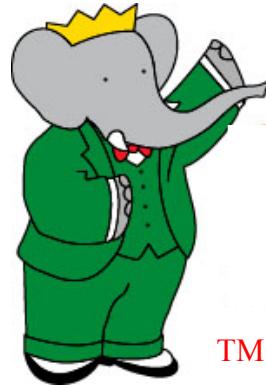
New Physics Scenarios

- New physics at the electroweak scale generically introduces many new large flavor-violating or CP-violating couplings to quarks

Ex: Right handed ($b \rightarrow s$) squark mixing in gluino penguins could introduce a new phase in $b \rightarrow sss$ penguins without affecting B mixing nor $b \rightarrow ccs$ nor $b \rightarrow s\gamma$



Effects in gluon penguins should generically produce effects in electroweak penguins



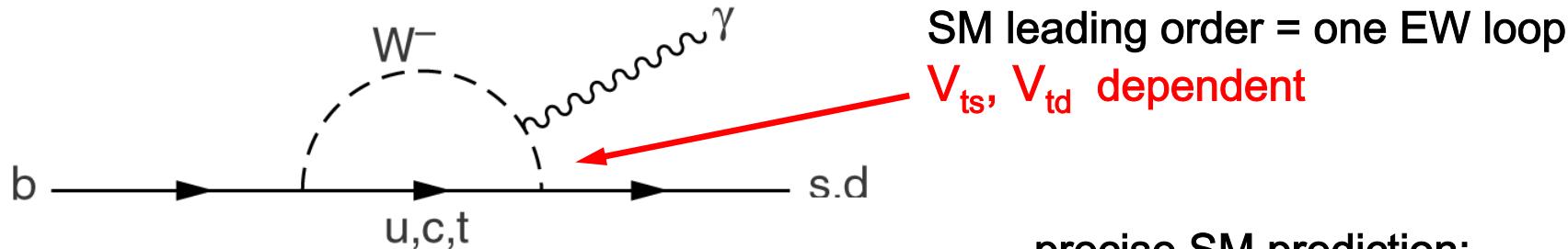
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Photon Penguins

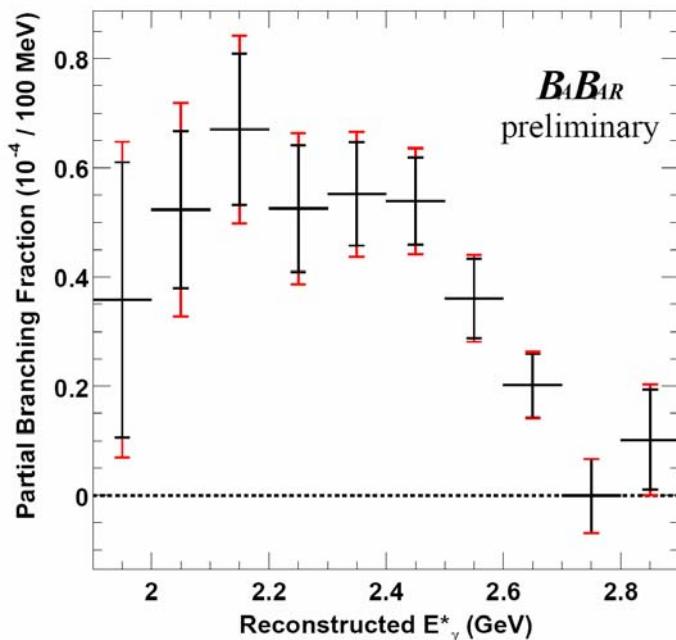


Photon Penguin Decays

Radiative penguin decays: $b \rightarrow s\gamma$ and $b \rightarrow d\gamma$ FCNC transitions

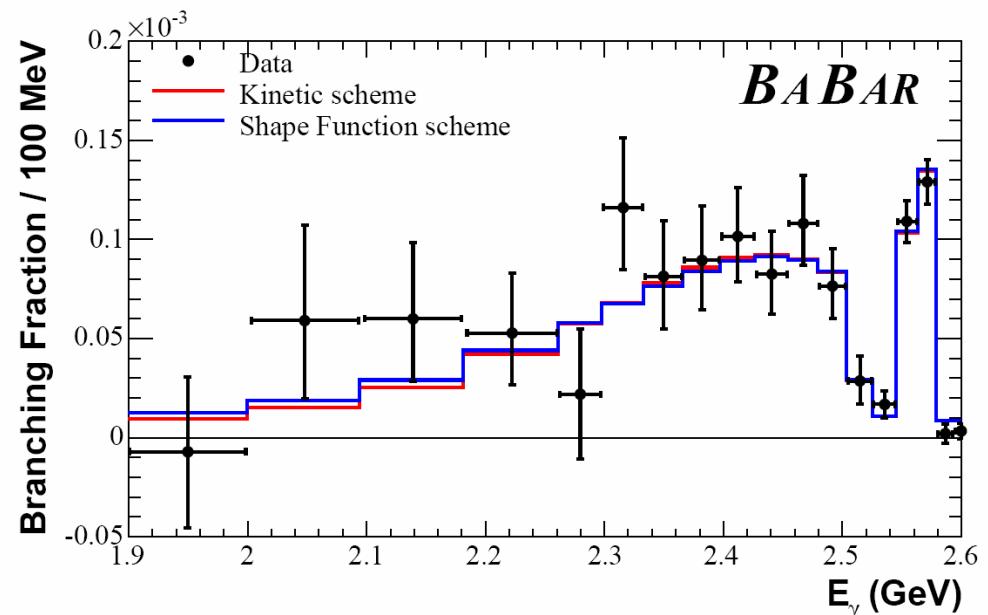


Measured two different ways:
 Inclusive photon spectrum



precise SM prediction:
 $\text{BF}(b \rightarrow s\gamma)_{\text{TH}} = 3.57 \pm 0.30 \times 10^{-4}$ (SM NLO)

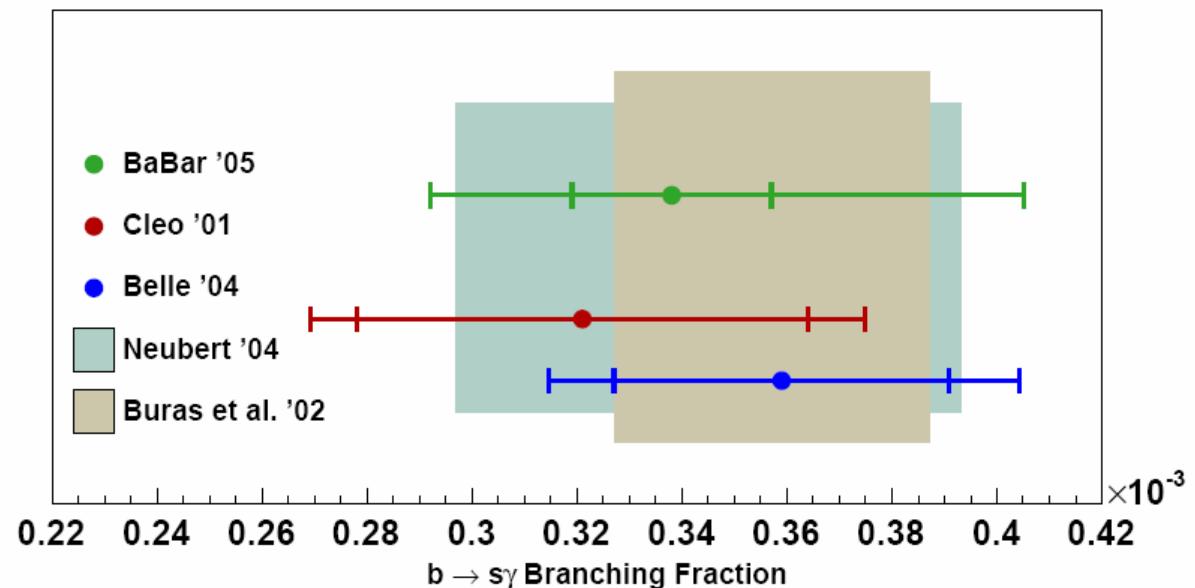
Sum of $B \rightarrow X_s \gamma$ exclusive states



$b \rightarrow s \gamma$ Branching Fractions

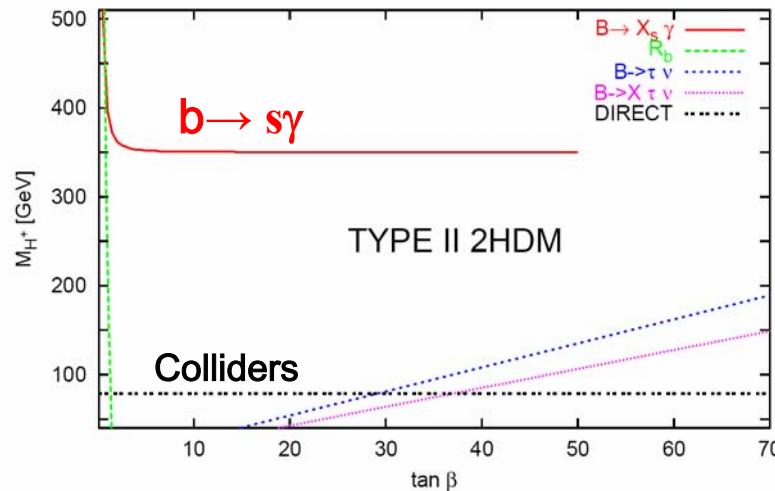
Excellent agreement with SM

Systematics, theory limited:
both could improve
to 5% precision



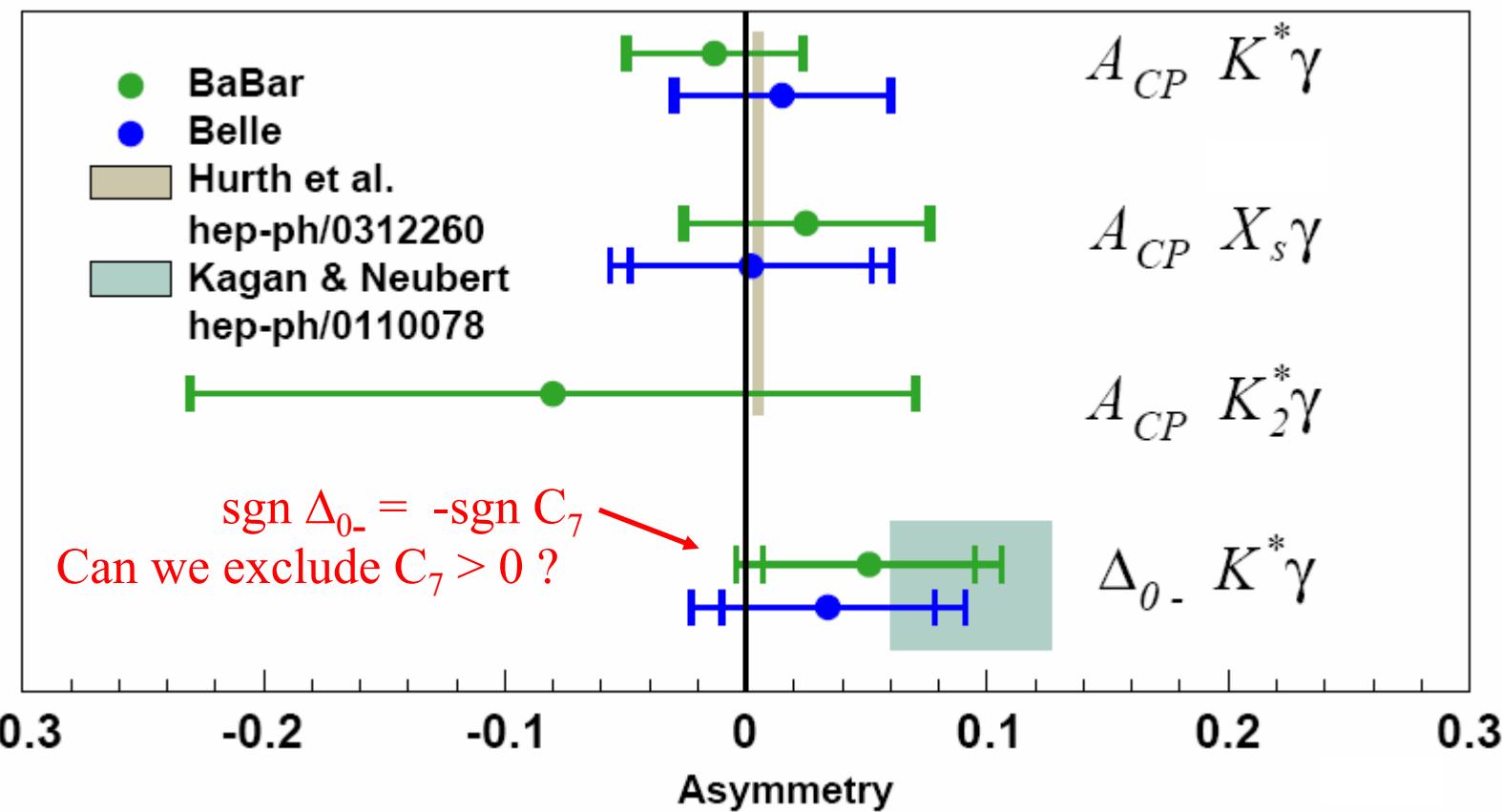
“Standard Candle” of flavor physics

Constrains numerous models
Strongest bound on H^+



$b \rightarrow s \gamma$ Asymmetries

- CP asymmetries consistent with SM (0.4%) at the ~5% level
- $K^*\gamma$ isospin asymmetry Δ_{0^-} consistent with $C_7 < 0$
- Statistics limited up to ~1 ab⁻¹



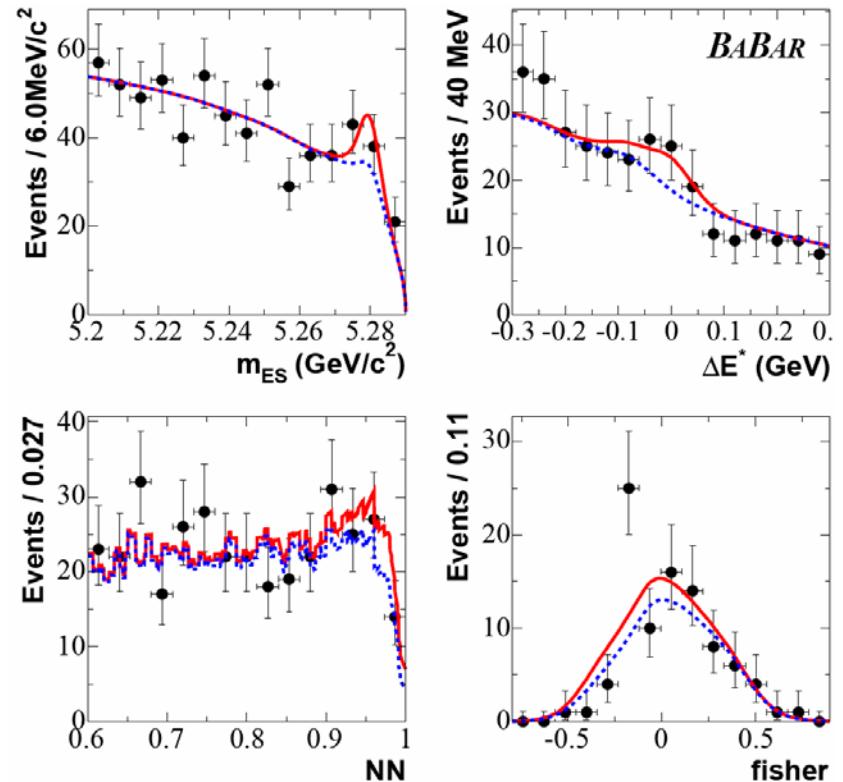
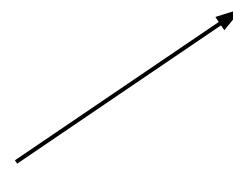
Search for $b \rightarrow d \gamma$ Penguins: $B \rightarrow \rho, \omega \gamma$

Simplest and most “common” $b \rightarrow d \gamma$ exclusive decays are $\rho\gamma$ and $\omega\gamma$:

$$\begin{aligned} B^+ &\rightarrow \rho^+ \gamma, \rho^+ \rightarrow \pi^+ \pi^0 \\ B^0 &\rightarrow \rho^0 \gamma, \rho^0 \rightarrow \pi^+ \pi^- \\ B^0 &\rightarrow \omega \gamma, \omega \rightarrow \pi^+ \pi^- \pi^0 \end{aligned}$$

$$BF \approx BF K^* \gamma \times |V_{td}/V_{ts}|^2 \approx 1.6 \times 10^{-6}$$

Huge backgrounds suppressed by
neural net
multi-dimensional likelihood fit
excellent K-pi separation



$$BF = (0.6 \pm 0.3 \pm 0.1) \times 10^{-6}$$

significance = 2.1σ

BF < 1.2×10^{-6} 90% CL

$b \rightarrow d\gamma$ CKM matrix constraint

Ali et al. hep-ph/0405075

$$\frac{\overline{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left(\frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

SU(3) breaking of
form factors $\zeta^2 = 0.85 \pm 0.10$

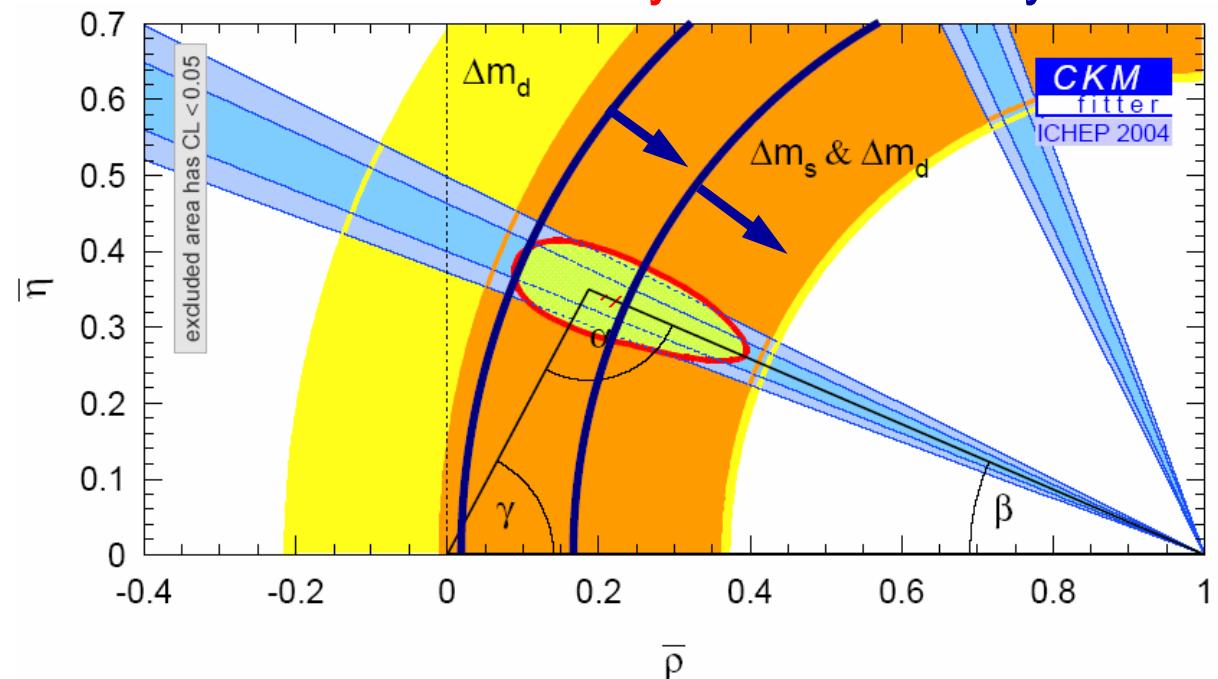
weak annihilation
correction $\Delta R = 0.1 \pm 0.1$

$(\zeta^2, \Delta R) = (0.75, 0.00)$ $(\zeta^2, \Delta R) = (0.85, 0.10)$
theory error no theory error

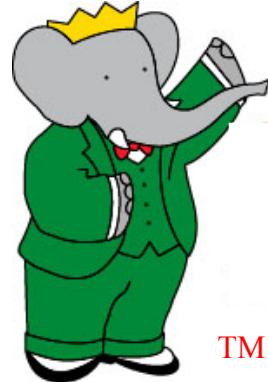
Penguin data now
provide strong CKM
constraint

Direct competitor with
 B_s mixing

Reduction of theory errors
needed!



$\rho\gamma$ 95% C.L. BaBar allowed region (inside the blue arc)

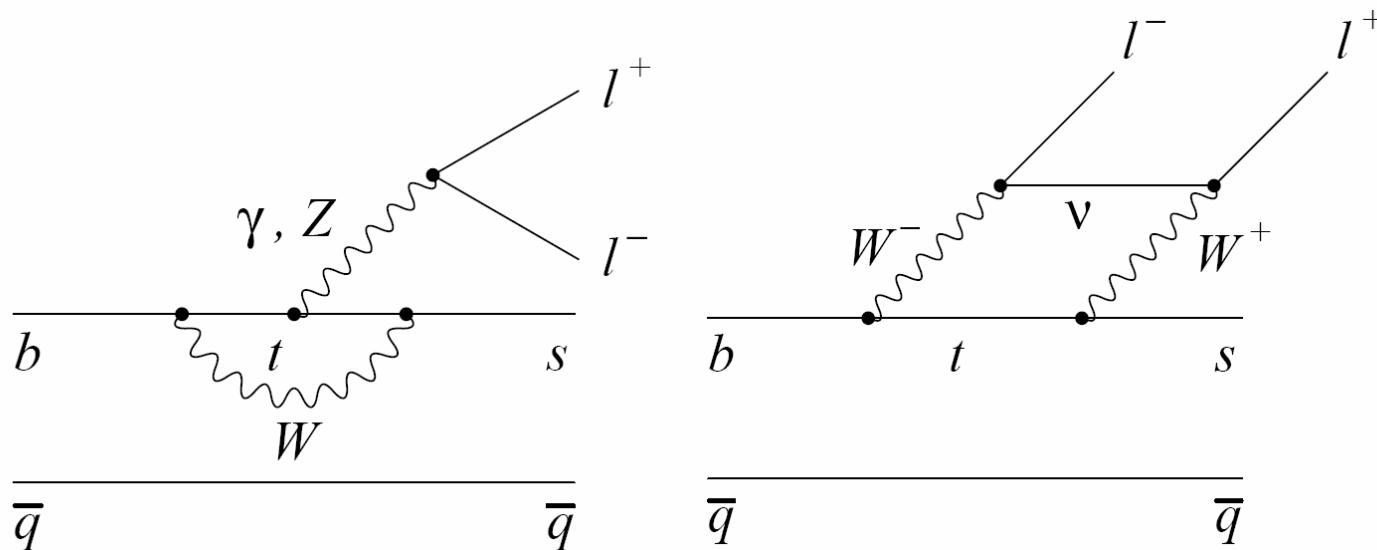


The Ultimate Electroweak Penguin Decay:

$$b \rightarrow s l^+l^-$$



The Physics of $b \rightarrow s l l$



Three separate FCNC diagrams contribute → multiple ways for new physics to interfere

Three body decay → non-trivial kinematic and angular distributions sensitive to magnitude and phase of different amplitudes

Rare decay → 10^{-6} branching fractions approach limits of B-factory sensitivity

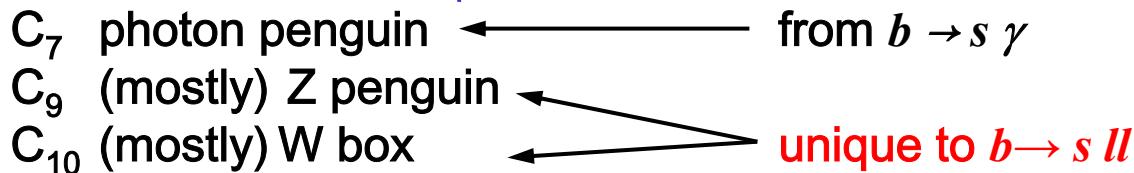
The Physics of $b \rightarrow s l l$

$b \rightarrow s l l$ rate computed from operator product expansion with α_s and Λ/m_b corrections

general Hamiltonian
of $b \rightarrow s$ transitions

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \sum_{i=1}^{10} C_i(\mu) O_i(\mu)$$

Wilson coefficients C_i encode short distance physics (order α_s)



$$\mathcal{M}(b \rightarrow s \ell^+ \ell^-) = \frac{G_F \alpha}{\sqrt{2}\pi} V_{ts}^* V_{tb} \left\{ C_9^{\text{eff}} [\bar{s} \gamma_\mu L b] [\bar{\ell} \gamma^\mu \ell] + C_{10} [\bar{s} \gamma_\mu L b] [\bar{\ell} \gamma^\mu \gamma_5 \ell] \right.$$

$$\left. - 2\hat{m}_b C_7^{\text{eff}} \left[\bar{s} i \sigma_{\mu\nu} \frac{\hat{q}^\nu}{\hat{s}} R b \right] [\bar{\ell} \gamma^\mu \ell] \right\} .$$

$\boxed{\text{BF}(b \rightarrow s \mu\mu) = 4.2 \pm 0.7 \cdot 10^{-6}}$

15% uncertainty in inclusive rate

The Physics of $B \rightarrow K ll, K^* ll$

Dominant exclusive decays are $B \rightarrow K ll, K^* ll$

$K ll, K^* ll$ rates computed from (perturbative) $b \rightarrow s ll$ amplitude convolved with (non-perturbative) $B \rightarrow K, K^*$ form factors for each O_i

$\langle K(p)|\bar{s}\gamma_\mu b|B(p_B)\rangle$ et al.
3 $B \rightarrow K$ form factors

$$\text{BF}(B \rightarrow K \mu\mu) = 0.35 \pm 0.12 \ 10^{-6}$$

$\langle K^*(p)|(V - A)_\mu|B(p_B)\rangle$ et al.
7 $B \rightarrow K^*$ form factors

$$\text{BF}(B \rightarrow K^* \mu\mu) = 1.2 \pm 0.4 \ 10^{-6}$$

30% form factor uncertainty
(Light-cone QCD sum rules)

Exclusive branching fractions not a precision test of the SM →

Asymmetries and distributions are higher precision tests
(form factor uncertainty cancels)

EX: Direct CP asymmetry $A_{CP} \approx 10^{-4}$ in SM, could be order 1 beyond SM

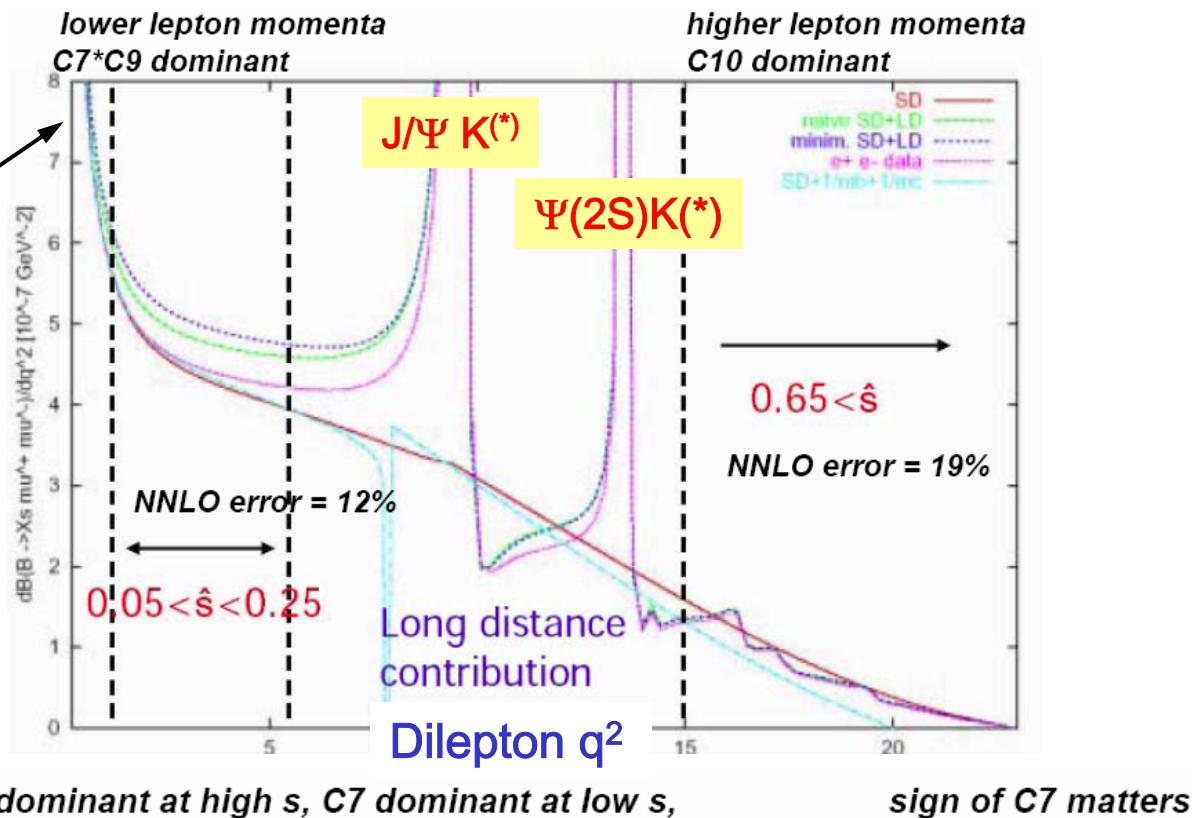
Dilepton Mass Distribution

Dilepton mass distribution probes Wilson coefficients

Pole at $q^2 \approx 0$ for K^*ee
(nearly on-shell $B \rightarrow K^*\gamma$)

$$\frac{\mathcal{B}(B \rightarrow K^*e^+e^-)}{\mathcal{B}(B \rightarrow K^*\mu^+\mu^-)} = 1.33$$

Huge long-distance contribution from
 $B \rightarrow$ charmonium decays



$\frac{d\Gamma(b \rightarrow X_s \ell^+ \ell^-)}{d\hat{s}} = \left(\frac{\alpha_{em}}{4\pi}\right)^2 \frac{G_F^2 m_{b,pole}^5 |V_{ts}^* V_{tb}|^2}{48\pi^3} (1 - \hat{s})^2 \times$

$$\left((1 + 2\hat{s}) \left(|\tilde{C}_9^{\text{eff}}|^2 + |\tilde{C}_{10}^{\text{eff}}|^2 \right) + 4(1 + 2/\hat{s}) |\tilde{C}_7^{\text{eff}}|^2 + 12 \text{Re} \left(\tilde{C}_7^{\text{eff}} \tilde{C}_9^{\text{eff}*} \right) \right)$$

SUSY Higgs physics at a B Factory

$b \rightarrow s \mu\mu = B_s \rightarrow \mu\mu$ turned sideways

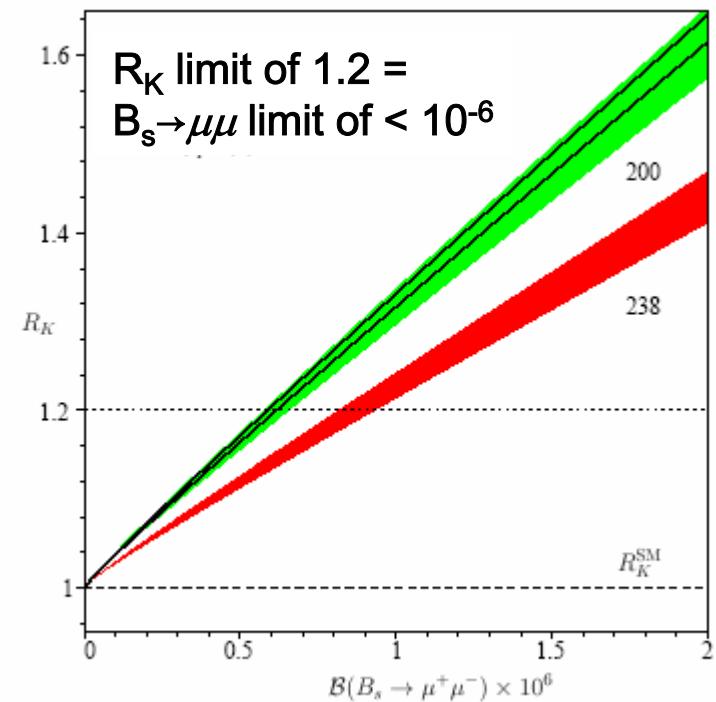
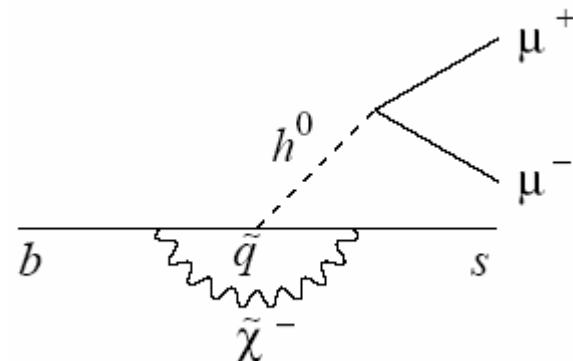
Sensitive to “neutral Higgs penguin”
for SUSY with large $\tan \beta$

Ratio $R(K) = \text{BF}(B \rightarrow K\mu\mu)/\text{BF}(B \rightarrow K e e)$
isolates Yukawa enhancement in muon mode

In SM, equal to unity with very high precision

Also contributes to $R(K^*)$
(=1 above the photon pole)

Complementary to Tevatron $B_s \rightarrow \mu\mu$ limit



Hiller & Kruger hep-ph/0310219

Forward-Backward Asymmetry

Angular asymmetry of lepton (anti-lepton) angle with B (anti-B) momentum in dilepton rest frame

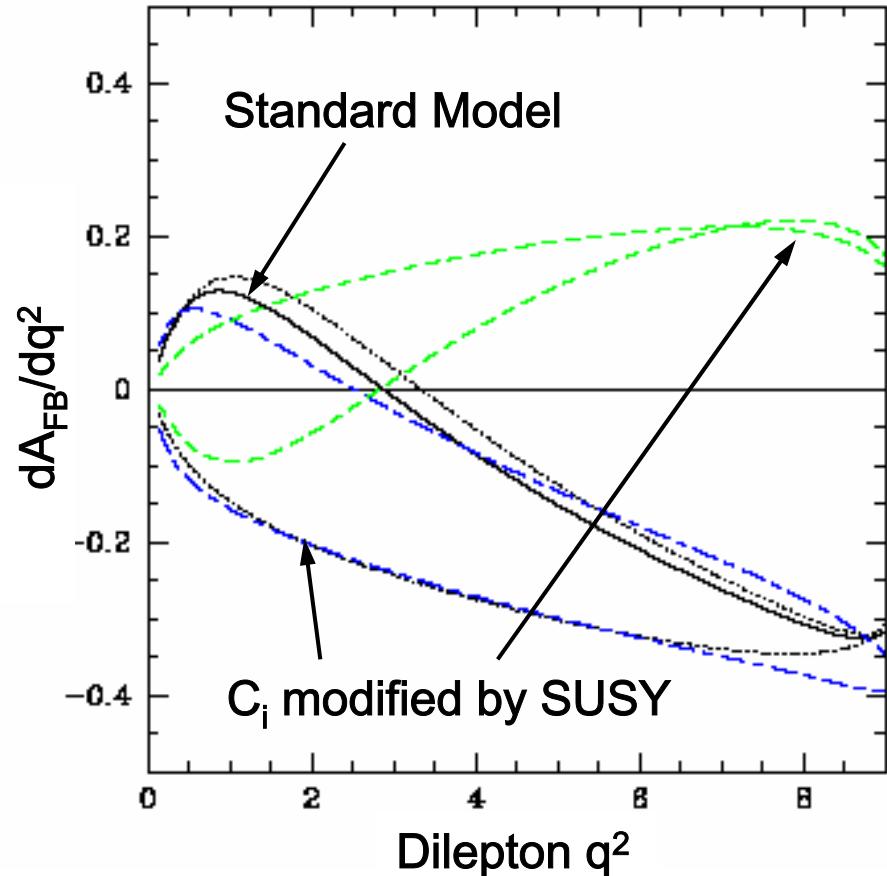
Varies with dilepton q^2

Theoretically clean probe of relative size and phase of $C_7/C_9/C_{10}$

Can be dramatically modified by  new physics

Zero of A_{FB} provides simple, precise (15%) relation between C_7 and C_9 (for LHCb)

$$\frac{dA_{FB}}{dq^2} \propto -\tilde{C}_{10}^{\text{eff}} \left[\text{Re}(\tilde{C}_9^{\text{eff}}) VA_1 + \frac{m_b}{q^2} \tilde{C}_7^{\text{eff}} (VT_2(1 - m_{K^*}/m_B) + A_1 T_1(1 + m_{K^*}/m_B)) \right]$$



$B \rightarrow Kll, K^*ll$ Measurement

Find ~50 needles in a haystack of 500 million B's + 2 billion light quarks

Full B decay reconstruction to charged tracks: (brem photons added for ee modes)

$B \rightarrow Kll$	
$B^+ \rightarrow K^+ e^+ e^-$	$B^0 \rightarrow K_s e^+ e^-$
$B^+ \rightarrow K^+ \mu^+ \mu^-$	$B^0 \rightarrow K_s \mu^+ \mu^-$
$K_s \rightarrow \pi^+ \pi^-$	

$B \rightarrow K^*ll$	
$B^+ \rightarrow K^{*+} e^+ e^-$	$B^0 \rightarrow K^{*0} e^+ e^-$
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	$B^0 \rightarrow K^{*0} \mu^+ \mu^-$
$K^{*+} \rightarrow K_s \pi^+$	$K^{*0} \rightarrow K^+ \pi^-$

Strict particle ID requirements

BLIND ANALYSIS

Veto “peaking” backgrounds of B decays similar to signal

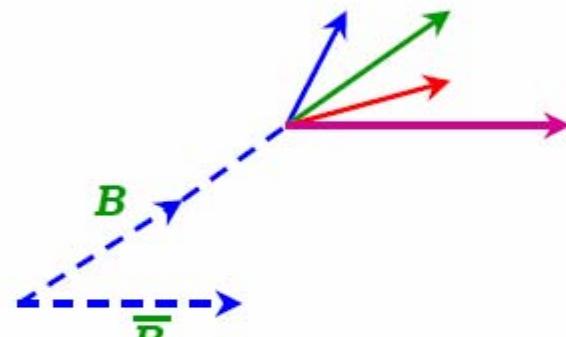
Construct multivariate discriminants to suppress “combinatorial” backgrounds

Signal yield extraction via multi-dimensional unbinned maximum likelihood fit

B Meson Reconstruction at Y(4s)

“Killer App” of the Y(4S): precision kinematic constraints

$$e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$$



(*) ≡ measured in Y(4S) rest frame

$E_i \leftarrow E_{beam}^*$ → Improve resolution

- Define 3 regions in $\Delta E, m_{ES}$ plane:

- ↳ A – Signal region
- ↳ B – Fit region
- ↳ C – Large Sideband region

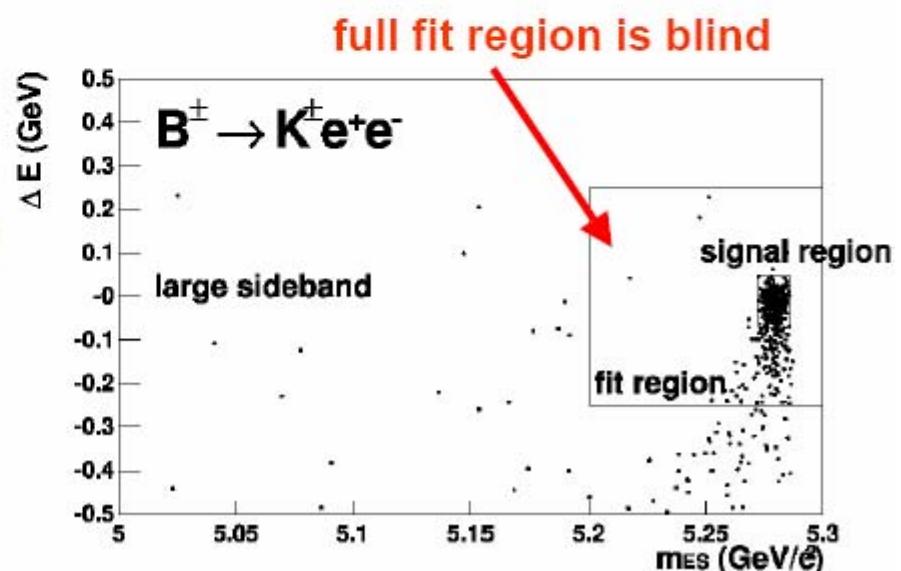
$$m_{ES} = \sqrt{{E_{beam}^*}^2 - (\sum_i p_i^*)^2}$$

$$\Delta E = \sum_i \sqrt{{p_i^*}^2 + m_i^2} - E_{beam}^*$$

Typical resolutions:

$$\sigma(m_{ES}) \approx 2.5 \text{ MeV}$$

$$\sigma(\Delta E) \approx 25 - 40 \text{ MeV}$$

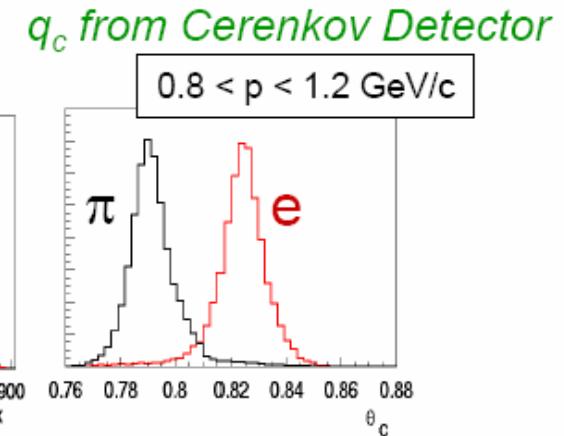
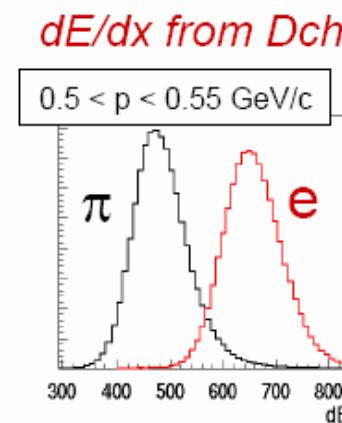
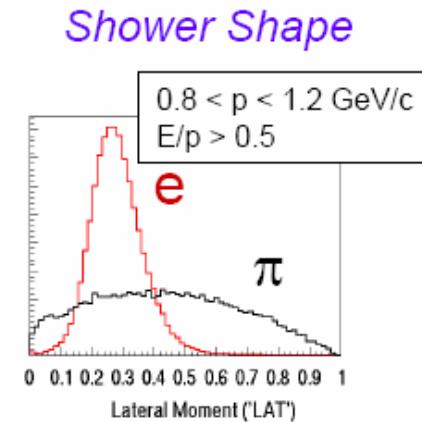
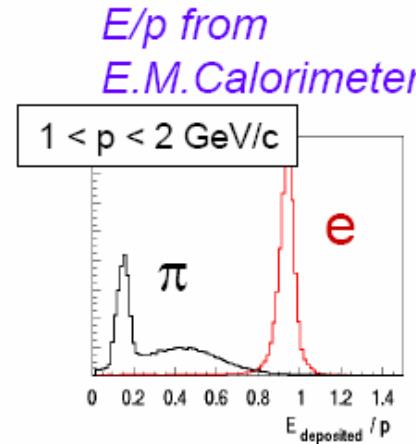


Particle Identification

Electrons – $p^* > 0.3 \text{ GeV}$

- shower shapes in EMC
- E/p match
- Muons – $p^* > 0.7 \text{ GeV}$
 - Penetration in iron of IFR
- Kaons
 - dE/dx in SVT, DCH
 - θ_c in DRC

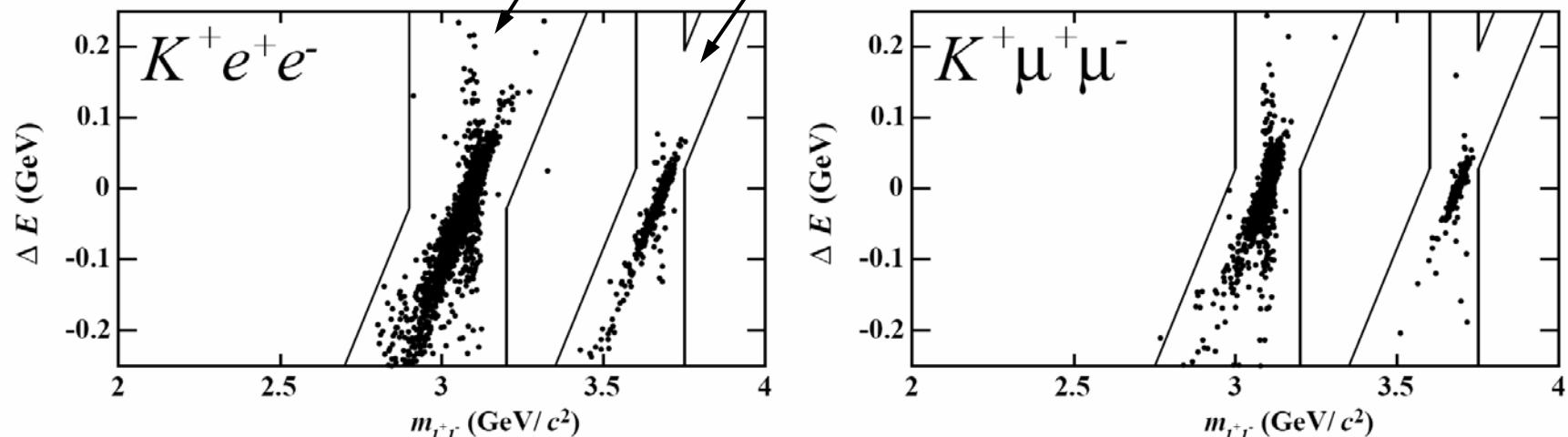
μ, K fake rates $< 2\%$
 e fake rate $< 0.1 \%$



Huge control samples for efficiency and misid studies

Charmonium Background

Huge (100 times signal) J/ψ $K^{(*)}$ and $\psi(2S)$ $K^{(*)}$ background eliminated with
2D veto on dilepton mass and ΔE



Mismeasured mass correlated with ΔE

Bigger veto for electron modes (Bremsstrahlung tail)

Energy loss and tracking response to leptons well-calibrated in MC
(checked with huge radiative Bhabha and $\mu\mu$ samples)

Reduced to < 1 event expected per mode

Veto sample
is huge control
sample for validating
signal efficiency!

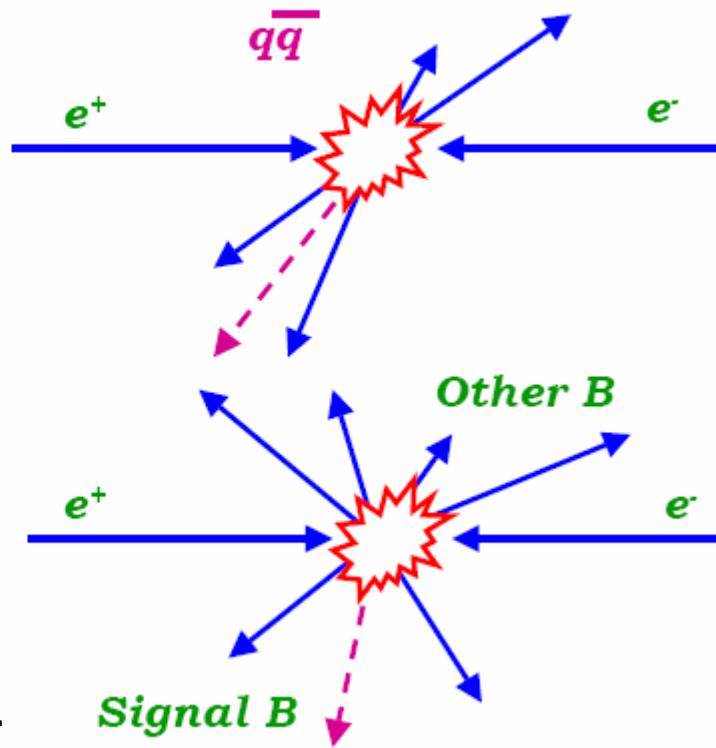
Continuum Background Suppression

- Continuum suppression: exploit fact that continuum events are more jet-like than BB events

- ↳ R_2 : W-F 2nd moment
 - ↳ $\cos \theta_{\text{thrust}}$: angle of candidate thrust axis
 - ↳ $\cos \theta_B$: angle of B in CM
 - ↳ m_{Kl} : Kl invariant mass

- Combine optimally using Fisher discriminant

linear combination of variables for which multi-dimensional gaussian dist. of signal and background are maximally separated

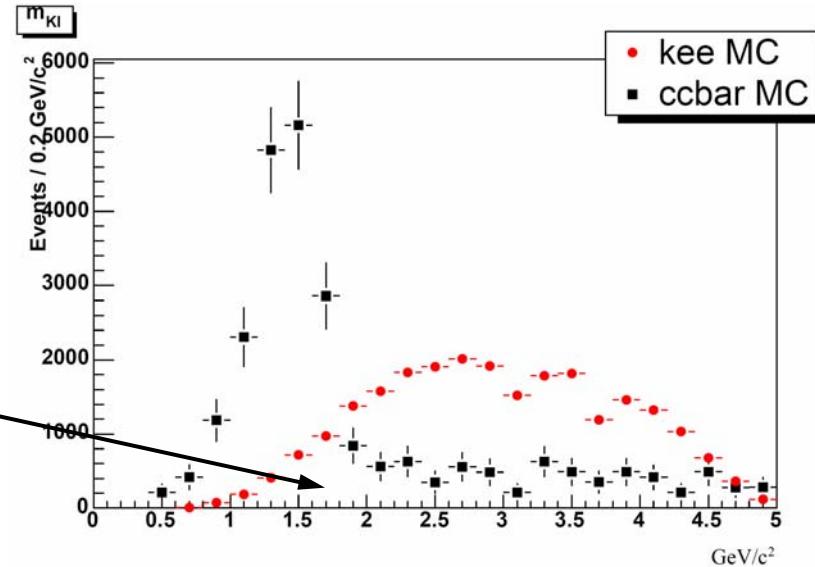


Signal shape from MC; background shape from off-resonance data

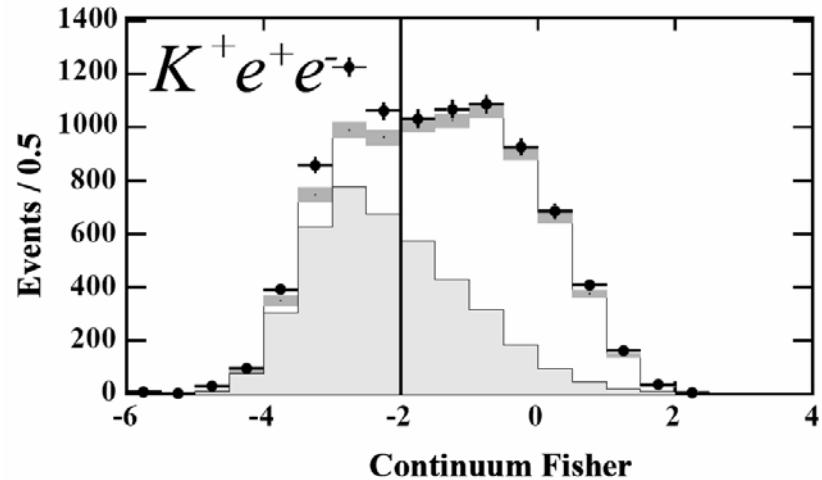
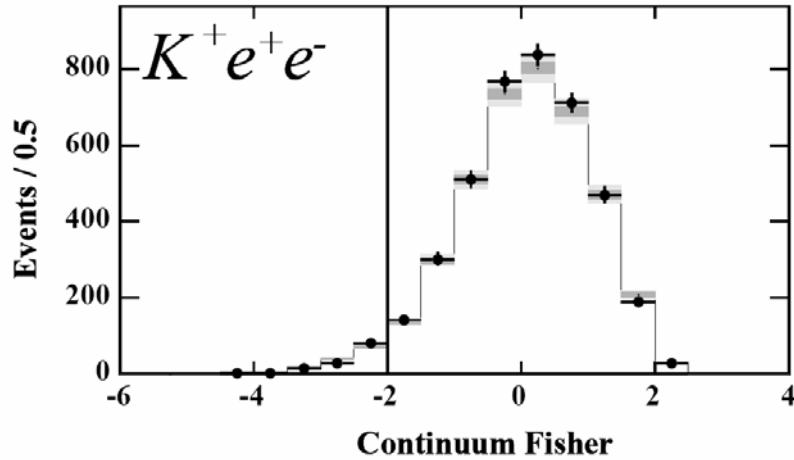
Continuum Suppression

Kaon-lepton mass in Fisher
reduces large background from
semileptonic D decays

Background cuts off at D mass



Large charmonium samples validate signal shape; sidebands validate background shape



Semileptonic B Decay Background

Large fraction (10%) of B's decay to leptons → large “combinatorial” B background

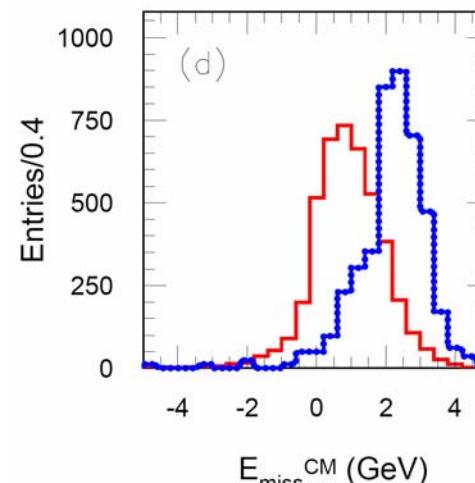
Separation of B background from signal using likelihood function (product of shapes):

Missing energy

B production angle

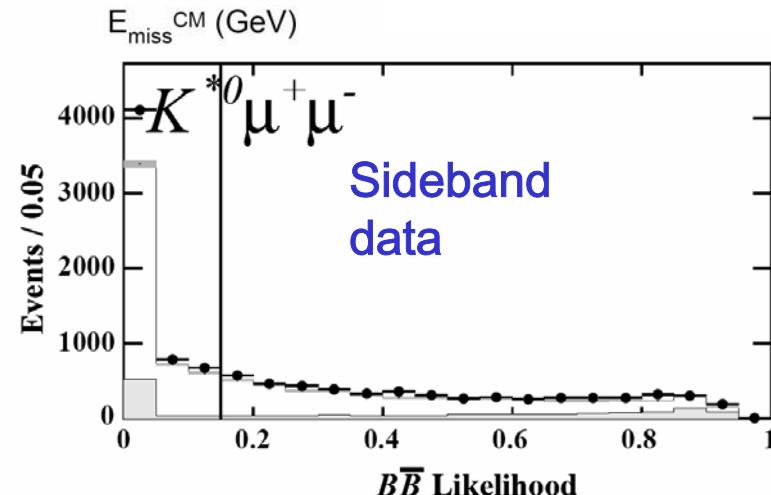
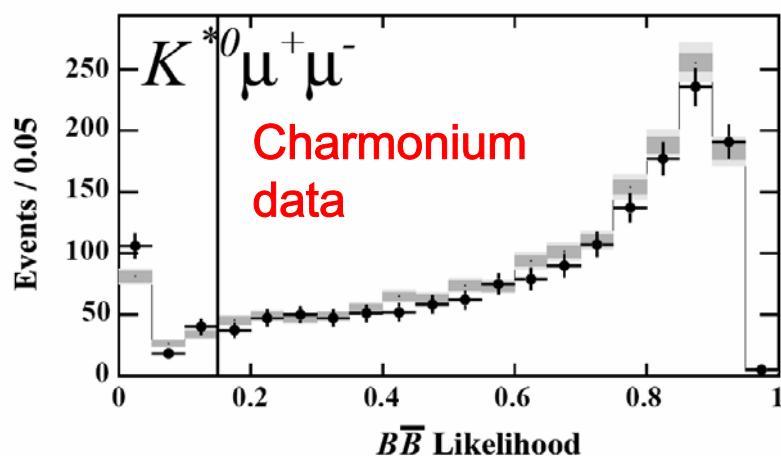
Vertex probability

Likelihood shapes from MC



Signal vs.
Background
missing energy

Cut values scanned
For maximal $S^2/(S+B)$



Hadronic B Decay Background

B decays to hadrons misidentified as leptons will have same kinematics as signal

Electron modes: misid $\sim 0.1\%$, negligible

Muon modes: misid $\sim 1\text{-}2\%$ for pions and kaons

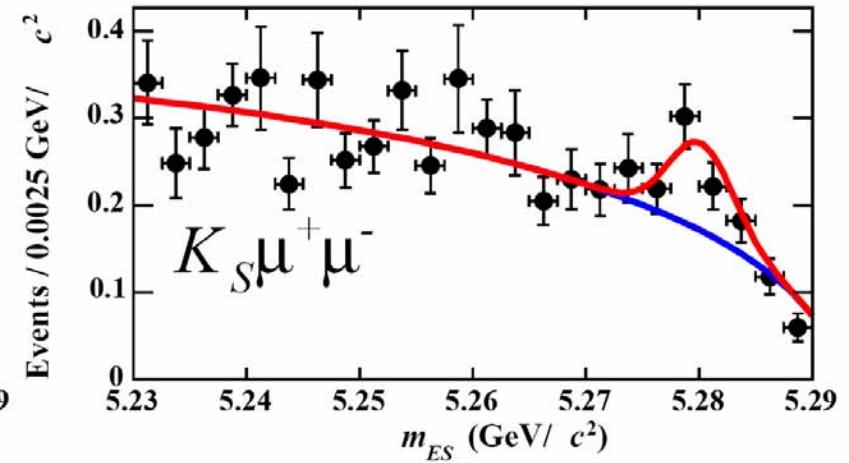
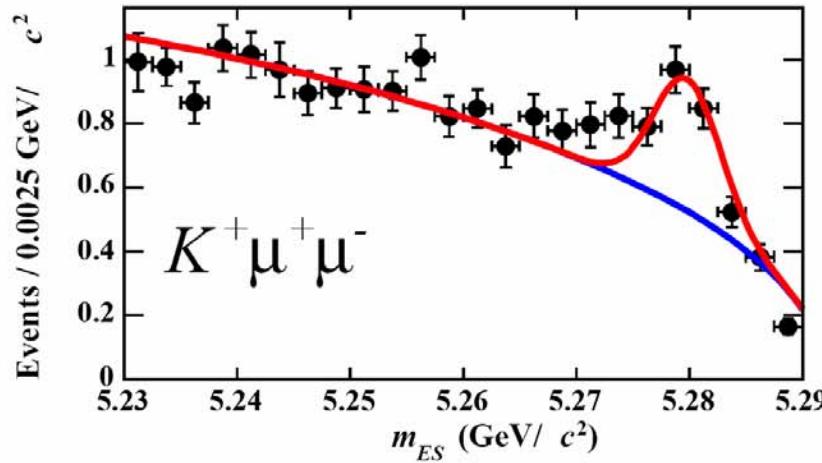
Misid rate calibrated from large $B \rightarrow D^* \pi$, $D^* \rightarrow D \pi$, $D \rightarrow K \pi$ samples

$K \mu\mu$, $K^*\mu\mu$ events with $K\mu$ mass consistent with D decay vetoed

Non-resonant $B \rightarrow K(*)\pi\pi$ background estimated from data:

Convolve misid rates with $B \rightarrow K(*)\mu\pi$ events in data

Extract background from a binned fit to m_{ES} distribution



Maximum Likelihood Fit

Unbinned maximum likelihood fit of (m_{ES} , ΔE , $m(K\pi)$):
maximizes LH function

$$\mathcal{L} = \frac{e^{-(n_{sig} + n_{B\bar{B}} + n_{cont})}}{N!} \prod_{i=1}^N (n_{sig} P_{sig} + n_{B\bar{B}} P_{B\bar{B}} + n_{cont} P_{cont})_i$$

Components: signal, peaking backgrounds,
combinatorial background

$P_i = P_i(m_{ES}) * P_i(\Delta E) * P_i(m(K\pi))$ (negligible correlation)

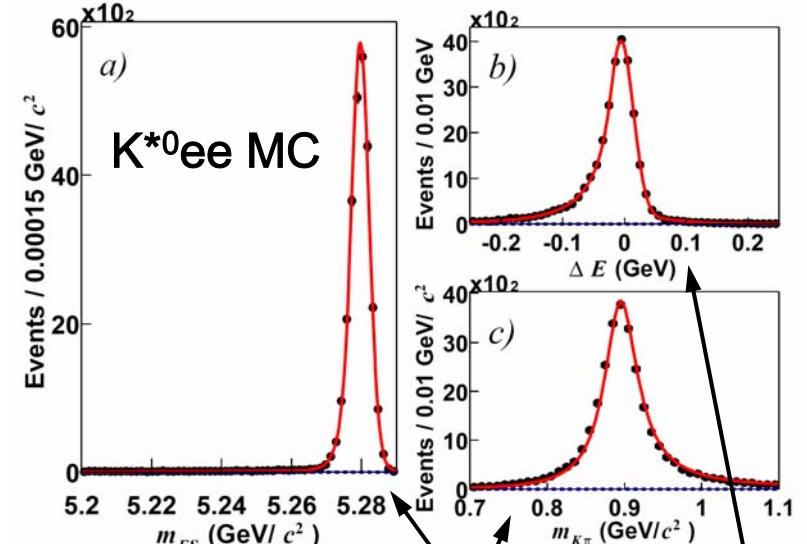
Signal shape parameters fixed from charmonium data

Signal yield, background yield and background shape
parameters are floating in fit

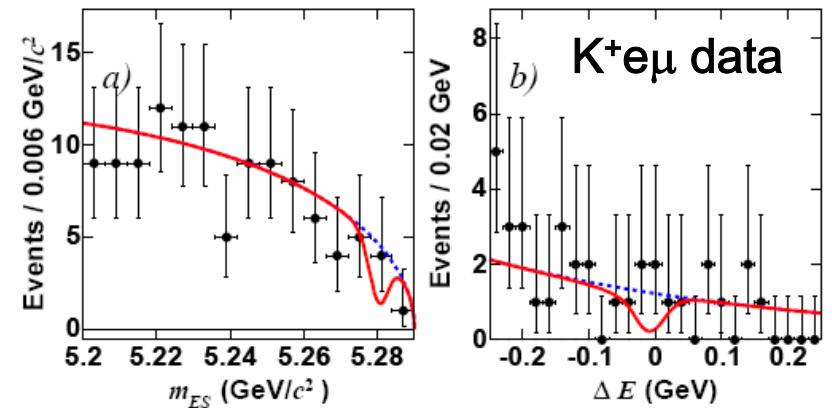
m_{ES} : “ARGUS function” models phase space
cutoff at M_B

ΔE : linear or quadratic

$M(K\pi)$: quadratic (+ small 5% K^* fraction)



Signal shapes (narrow peaks)

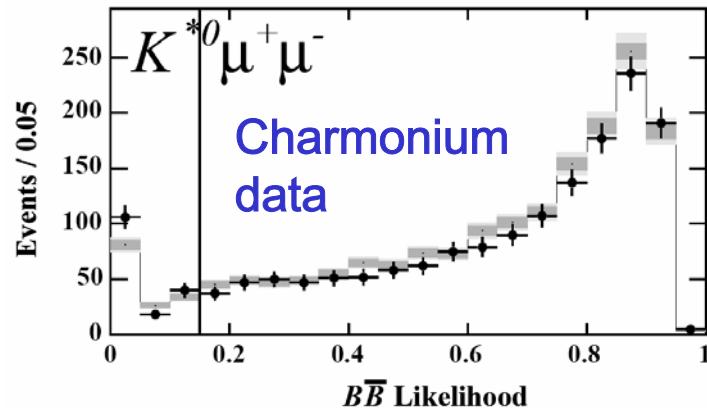


Background shapes (not peaked)

Branching Fraction Systematics

Measure single cut efficiencies for particle ID, Fisher, likelihood directly from data using vetoed charmonium events

Ex: B background likelihood cut, data vs. MC



Mode	Data Eff.	SP5/6 Eff.	$C = (Data/MC)$
$B^+ \rightarrow K^+ e^+ e^-$	(91.58 ± 0.46)%	(91.57 ± 0.22)%	(100.0 ± 0.6)%
$B^+ \rightarrow K^+ \mu^+ \mu^-$	(90.84 ± 0.51)%	(93.55 ± 0.23)%	(97.1 ± 0.6)%
$B^0 \rightarrow K_S^0 e^+ e^-$	(91.17 ± 0.86)%	(92.56 ± 0.05)%	(98.5 ± 0.9)%
$B^0 \rightarrow K_S^0 \mu^+ \mu^-$	(92.76 ± 0.90)%	(94.63 ± 0.05)%	(98.0 ± 0.9)%
$B^0 \rightarrow K^{*0} e^+ e^-$	(88.42 ± 0.71)%	(88.82 ± 0.29)%	(99.6 ± 0.9)%
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	(91.01 ± 0.75)%	(92.65 ± 0.32)%	(98.2 ± 0.9)%
$B^+ \rightarrow K^{*+} e^+ e^-$	(88.68 ± 1.40)%	(86.75 ± 0.36)%	(102.2 ± 1.7)%
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	(86.66 ± 1.71)%	(85.52 ± 0.48)%	(101.3 ± 2.1)%

Systematic uncertainty on cut efficiency reduced to a few percent

Dominant systematic on efficiency (4-7%) is **model dependence**
(form factor models change q^2 distribution)

Fit systematics: change in signal yield from choices of

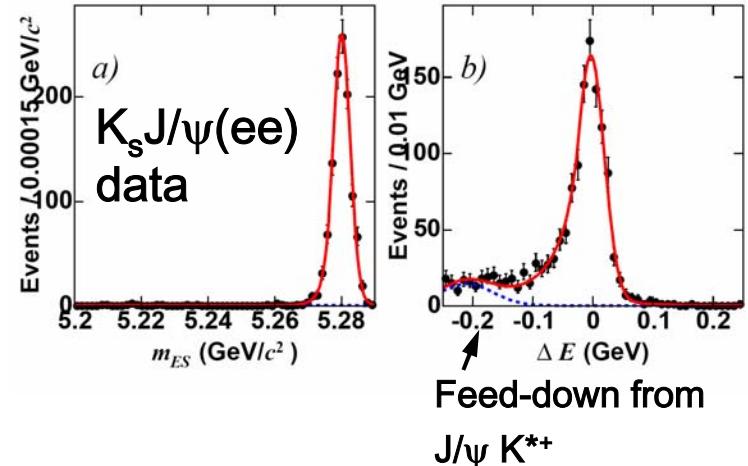
Signal shape parameters (m_{ES} , ΔE , $m(K\pi)$ mean and width)

Background shape scheme (introduce correlations, higher polynomial terms)

Peaking background mean rates (total varied within uncertainty)

Fit Validation: Charmonium

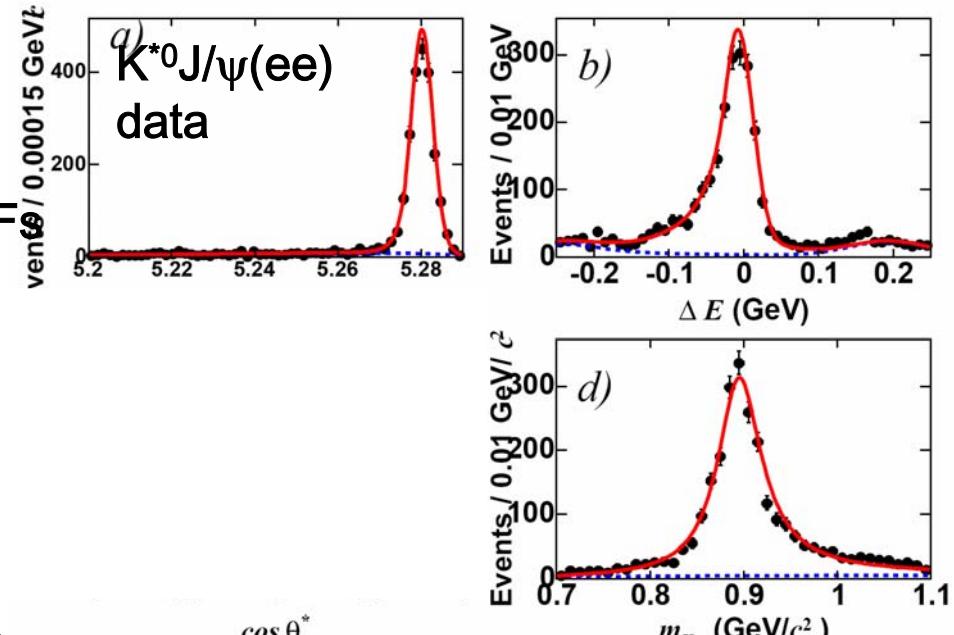
Mode	A_{CP} (%)	$\mathcal{B}/10^{-6}$	PDG $\mathcal{B}/10^{-6}$
$B^+ \rightarrow K^+ e^+ e^-$	-0.2 ± 1.5	1016 ± 15	1000 ± 40
$B^+ \rightarrow K^+ \mu^+ \mu^-$	0.4 ± 1.8	1038 ± 22	1000 ± 40
$B^0 \rightarrow K_S^0 e^+ e^-$	—	869 ± 26	850 ± 50
$B^0 \rightarrow K_S^0 \mu^+ \mu^-$	—	895 ± 32	850 ± 50
$B^0 \rightarrow K^{*0} e^+ e^-$	1.9 ± 2.0	1346 ± 28	1310 ± 70
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	3.0 ± 2.4	1326 ± 33	1310 ± 70
$B^+ \rightarrow K^{*+} e^+ e^-$	1.4 ± 3.8	1404 ± 58	1350 ± 100
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	0.2 ± 4.7	1558 ± 76	1350 ± 100



Test fit procedure on charmonium

$J/\psi K^{(*)}$ branching fractions agree with PDG
 A_{CP} consistent with 0 (bounds detector bias)

Signal and background well-modeled by PDF



Also:

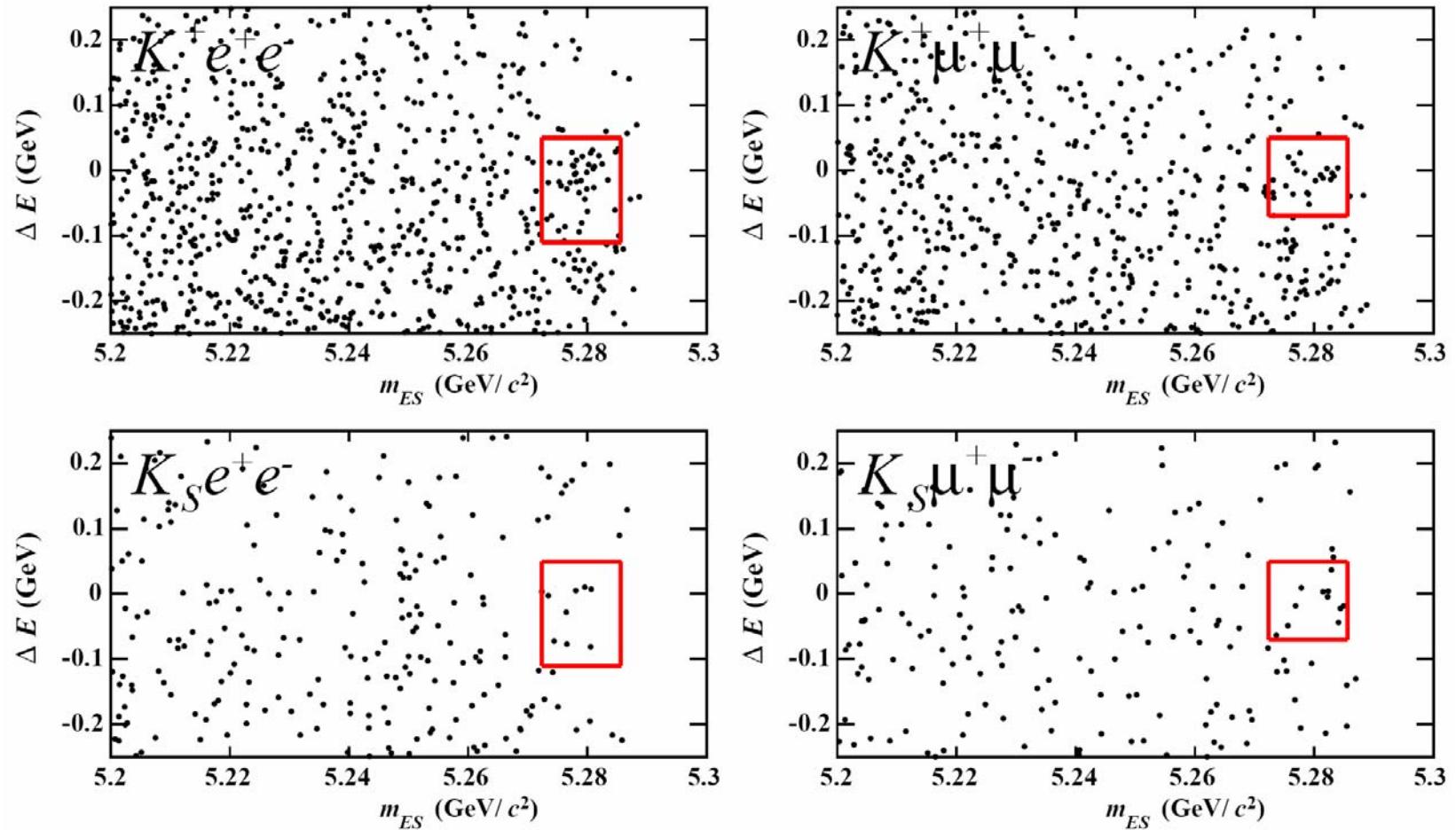
$\Psi(2S)$ $K^{(*)}$ branching fractions

$K^{(*)} e \mu$

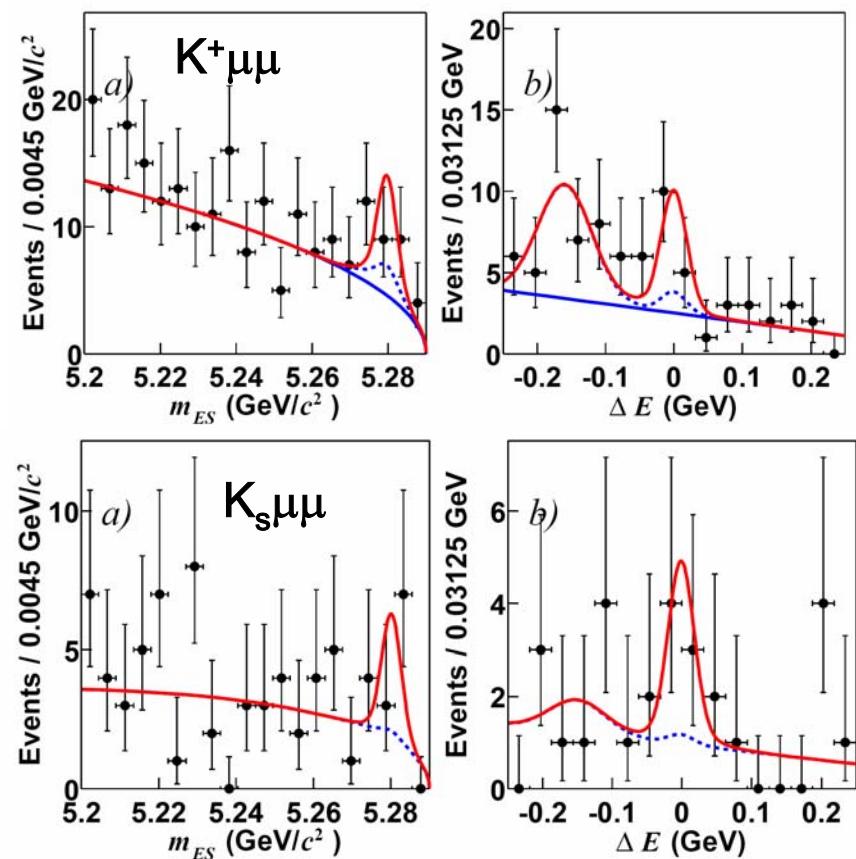
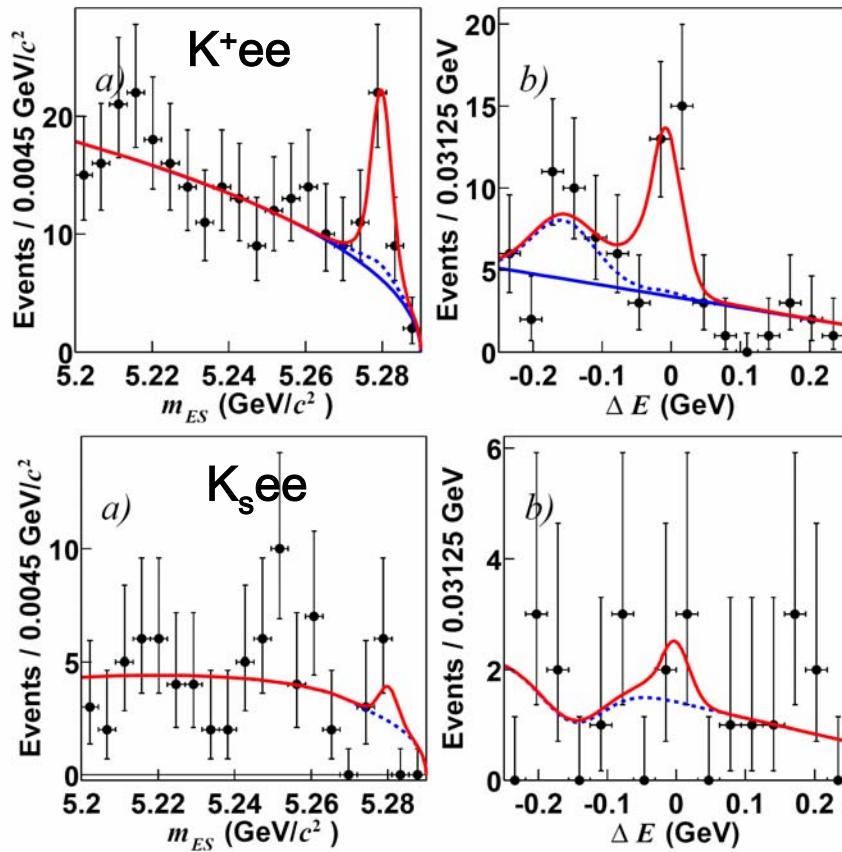
Lower-dimensional and/or smaller range fits

Sideband yields agree with MC

Kll Fits



Kll Fits



Eff. Syst. Fit Syst.

Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^+ \rightarrow K^+ e^+ e^-$	$25.9^{+7.4}_{-6.5}$	$(26.4 \pm 0.1)\%$	± 0.02	± 0.02	$0.43^{+0.12}_{-0.11} \pm 0.03$	5.3
$B^+ \rightarrow K^+ \mu^+ \mu^-$	$10.9^{+5.1}_{-4.3}$	$(15.2 \pm 0.1)\%$	± 0.02	± 0.04	$0.31^{+0.15}_{-0.12} \pm 0.04$	3.0
$B^0 \rightarrow K^0 e^+ e^-$	$2.4^{+2.8}_{-2.0}$	$(22.6 \pm 0.1)\%$	± 0.01	± 0.01	$0.14^{+0.16}_{-0.11} \pm 0.02$	1.2
$B^0 \rightarrow K^0 \mu^+ \mu^-$	$6.3^{+3.6}_{-2.8}$	$(13.3 \pm 0.1)\%$	± 0.04	± 0.03	$0.60^{+0.34}_{-0.27} \pm 0.05$	2.8

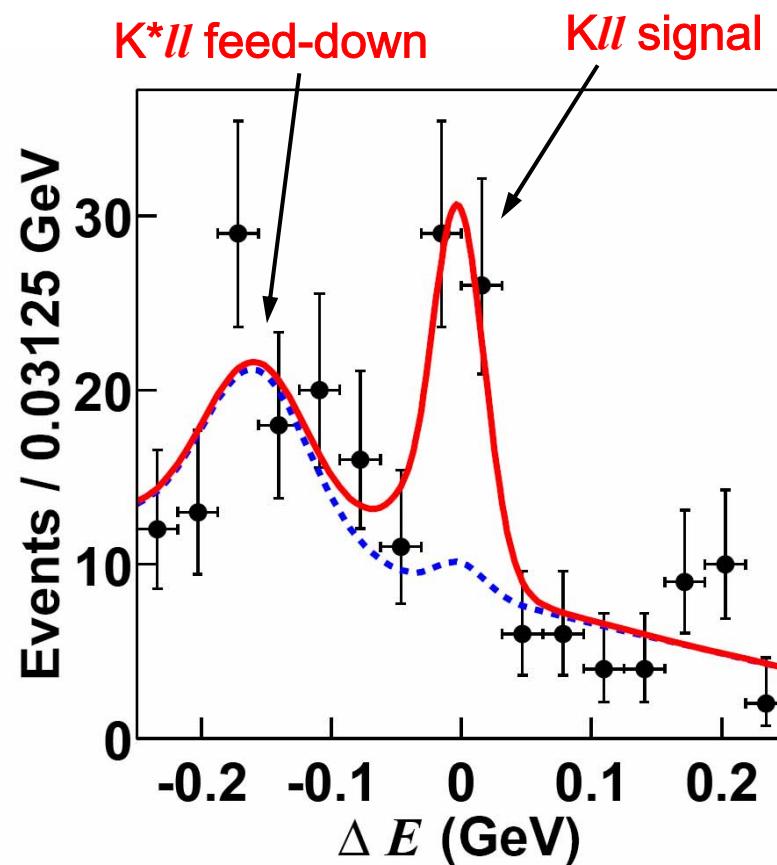
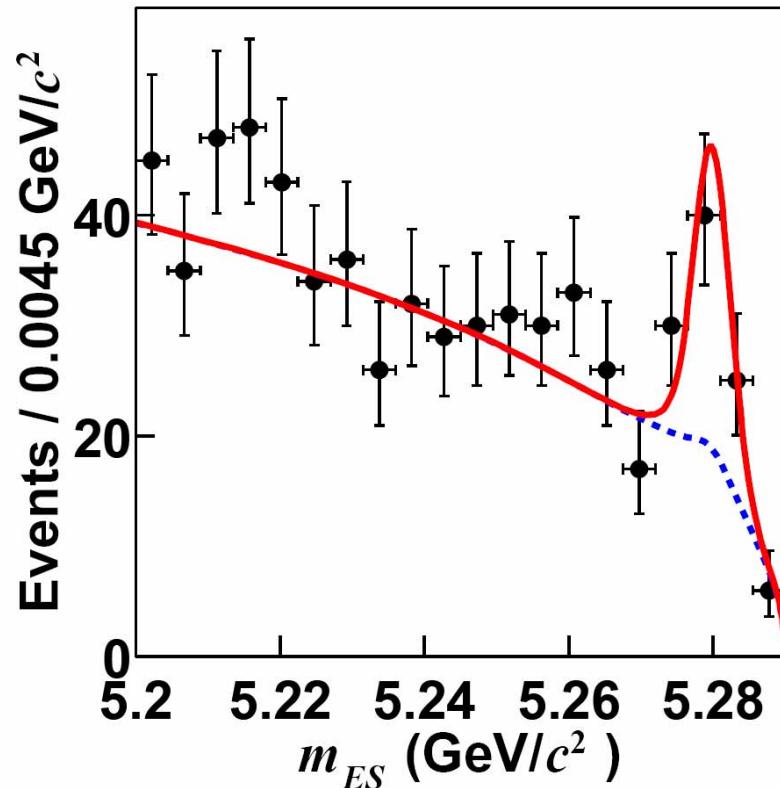
Kll modes consistent

K^{ll} Combined BF

Mode	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B \rightarrow K e^+ e^-$	± 0.02	± 0.01	$0.33^{+0.09}_{-0.08} \pm 0.02$	5.3
$B \rightarrow K \mu^+ \mu^-$	± 0.02	± 0.02	$0.35^{+0.13}_{-0.11} \pm 0.03$	3.8
$B \rightarrow K \ell^+ \ell^-$	± 0.02	± 0.01	$0.34^{+0.07}_{-0.07} \pm 0.02$	6.6

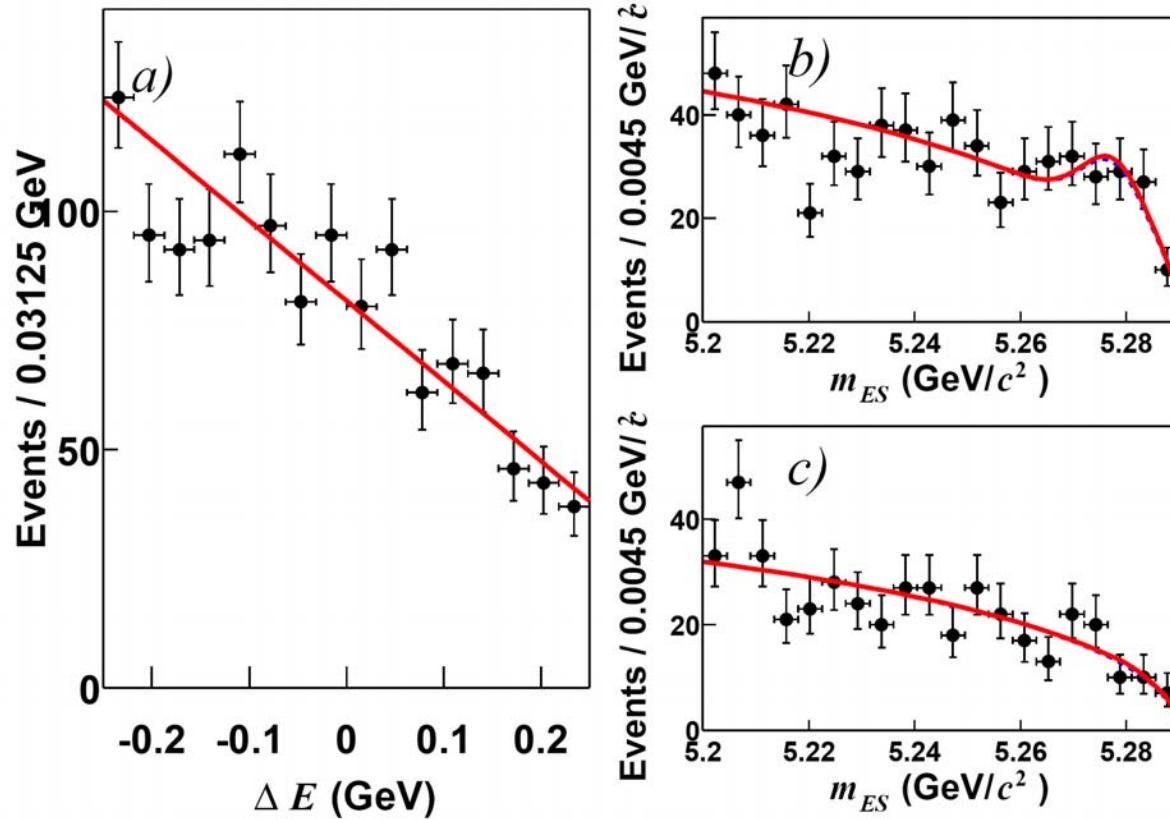
Simultaneous fit to four individual decay modes

Partial rates constrained to same value

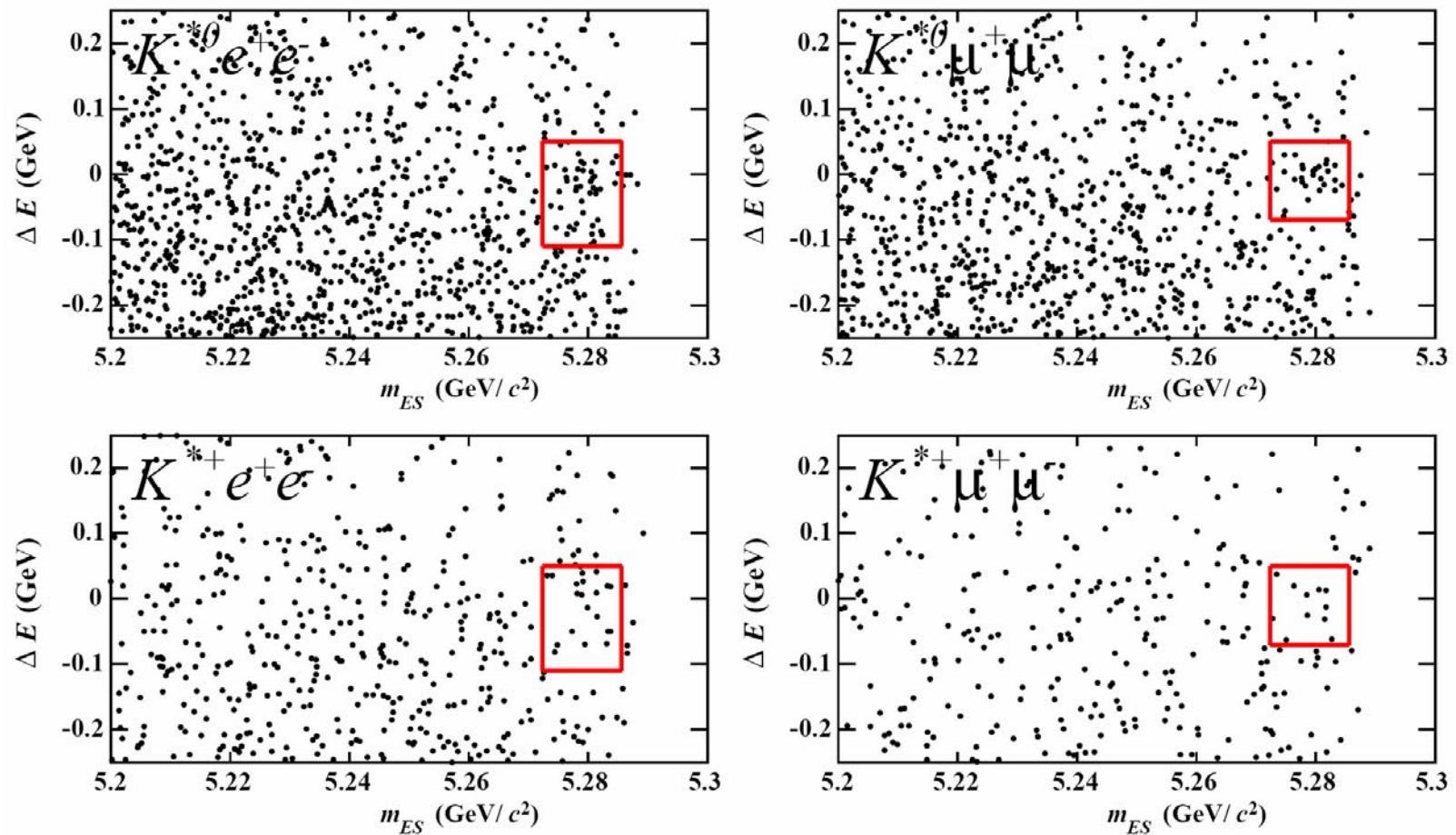


KII Sidebands

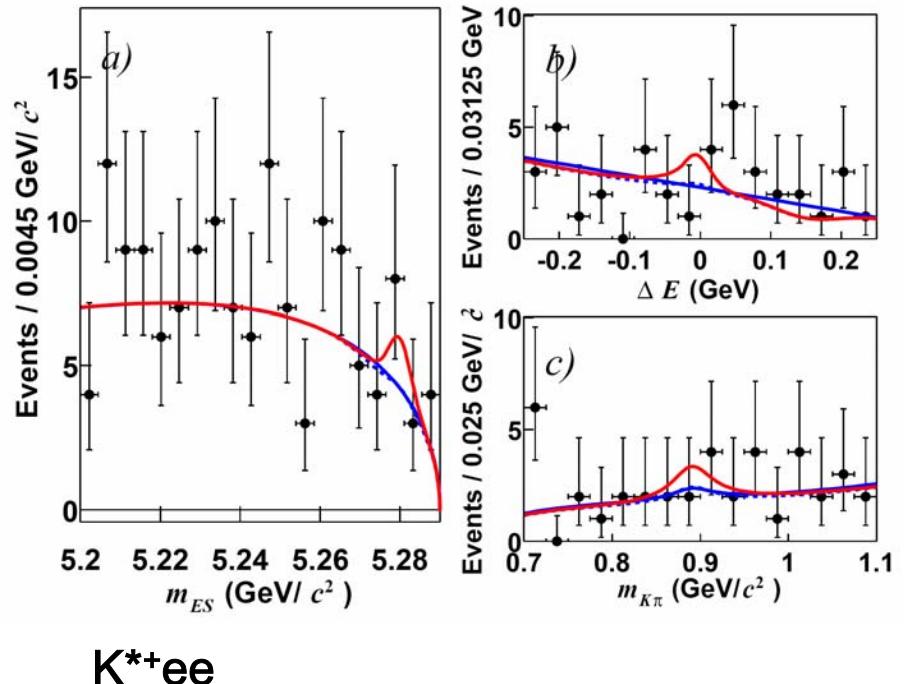
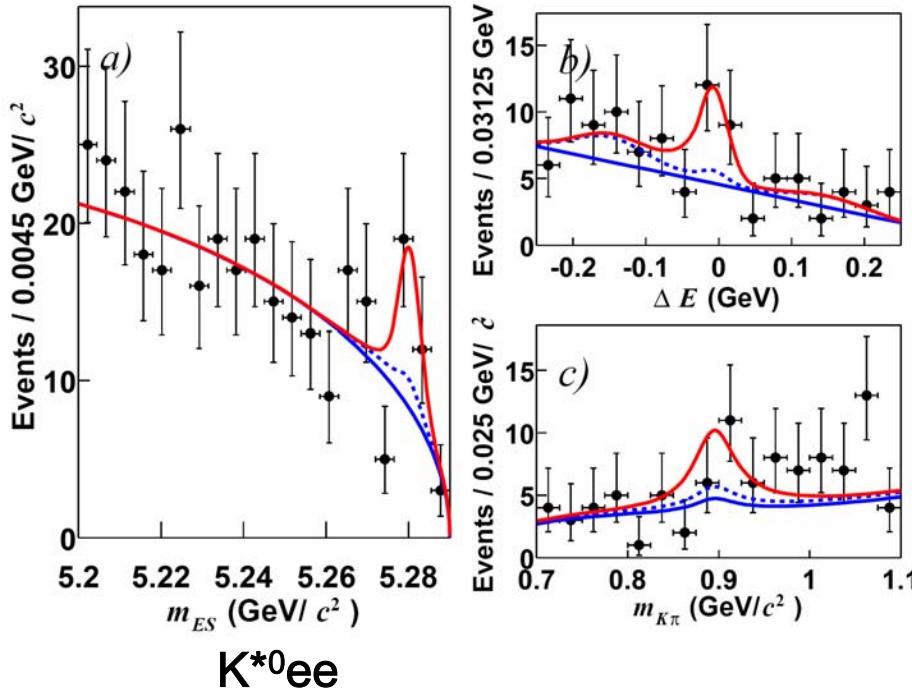
Fit describes background shape well



$K^*\ell\ell$ Fits

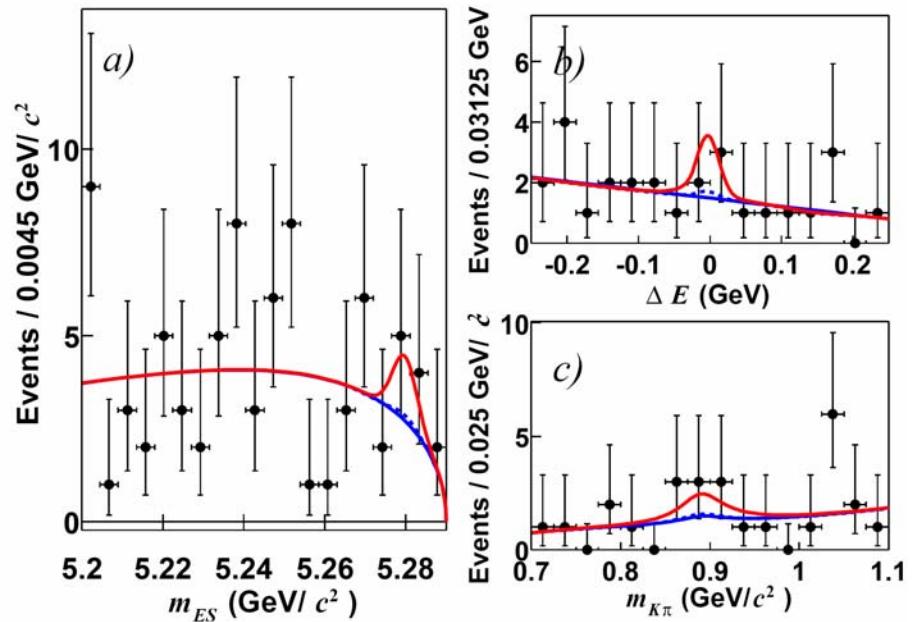
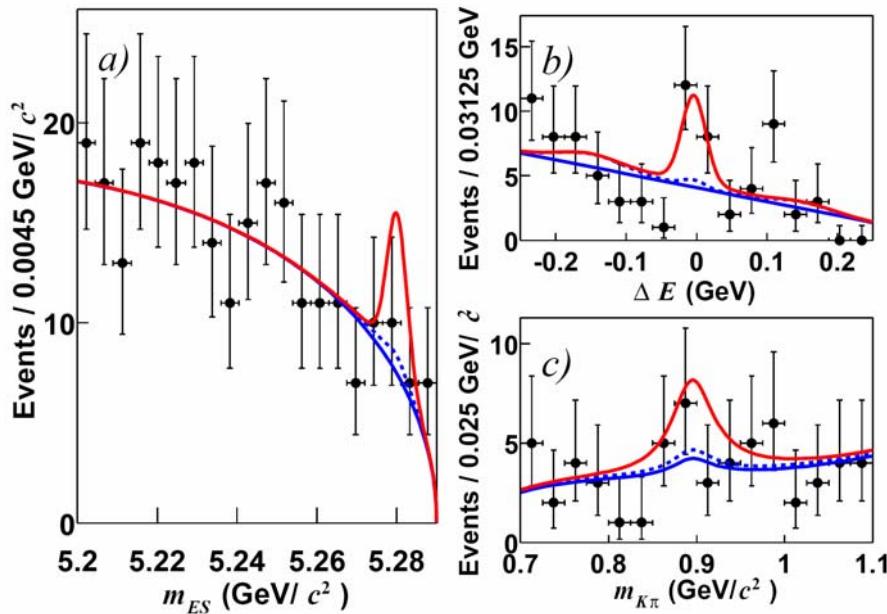


K*ll Fits



Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^0 \rightarrow K^{*0} e^+ e^-$	$29.4^{+9.5}_{-8.4}$	$(18.7 \pm 0.1)\%$	± 0.06	± 0.10	$1.03^{+0.33}_{-0.29} \pm 0.12$	4.4
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	$15.9^{+7.0}_{-5.9}$	$(11.7 \pm 0.1)\%$	± 0.08	± 0.11	$0.89^{+0.39}_{-0.33} \pm 0.14$	3.3
$B^+ \rightarrow K^{*+} e^+ e^-$	$6.2^{+7.0}_{-5.6}$	$(15.4 \pm 0.1)\%$	± 0.07	± 0.60	$0.77^{+0.87}_{-0.70} \pm 0.60$	1.0
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	$4.7^{+4.6}_{-3.4}$	$(9.0 \pm 0.1)\%$	± 0.10	± 0.13	$1.00^{+0.96}_{-0.71} \pm 0.16$	1.6

K*ll Fits



Mode	Signal yield	Eff.	$(\Delta\mathcal{B})(\times 10^{-6})$	$(\Delta\mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B^0 \rightarrow K^{*0} e^+ e^-$	$29.4^{+9.5}_{-8.4}$	$(18.7 \pm 0.1)\%$	± 0.06	± 0.10	$1.03^{+0.33}_{-0.29} \pm 0.12$	4.4
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$	$15.9^{+7.0}_{-5.9}$	$(11.7 \pm 0.1)\%$	± 0.08	± 0.11	$0.89^{+0.39}_{-0.33} \pm 0.14$	3.3
$B^+ \rightarrow K^{*+} e^+ e^-$	$6.2^{+7.0}_{-5.6}$	$(15.4 \pm 0.1)\%$	± 0.07	± 0.60	$0.77^{+0.87}_{-0.70} \pm 0.60$	1.0
$B^+ \rightarrow K^{*+} \mu^+ \mu^-$	$4.7^{+4.6}_{-3.4}$	$(9.0 \pm 0.1)\%$	± 0.10	± 0.13	$1.00^{+0.96}_{-0.71} \pm 0.16$	1.6

K*ll Combined Branching Fraction

Mode	$(\Delta \mathcal{B})(\times 10^{-6})$	$(\Delta \mathcal{B})(\times 10^{-6})$	$\mathcal{B}(\times 10^{-6})$	Significance(σ)
$B \rightarrow K^* e^+ e^-$	± 0.06	± 0.13	$0.97^{+0.30}_{-0.27} \pm 0.15$	4.5
$B \rightarrow K^* \mu^+ \mu^-$	± 0.08	± 0.11	$0.90^{+0.35}_{-0.30} \pm 0.13$	3.5
$B \rightarrow K^* \ell^+ \ell^-$	± 0.05	± 0.10	$0.78^{+0.19}_{-0.17} \pm 0.12$	5.7

Simultaneous fit with constraint

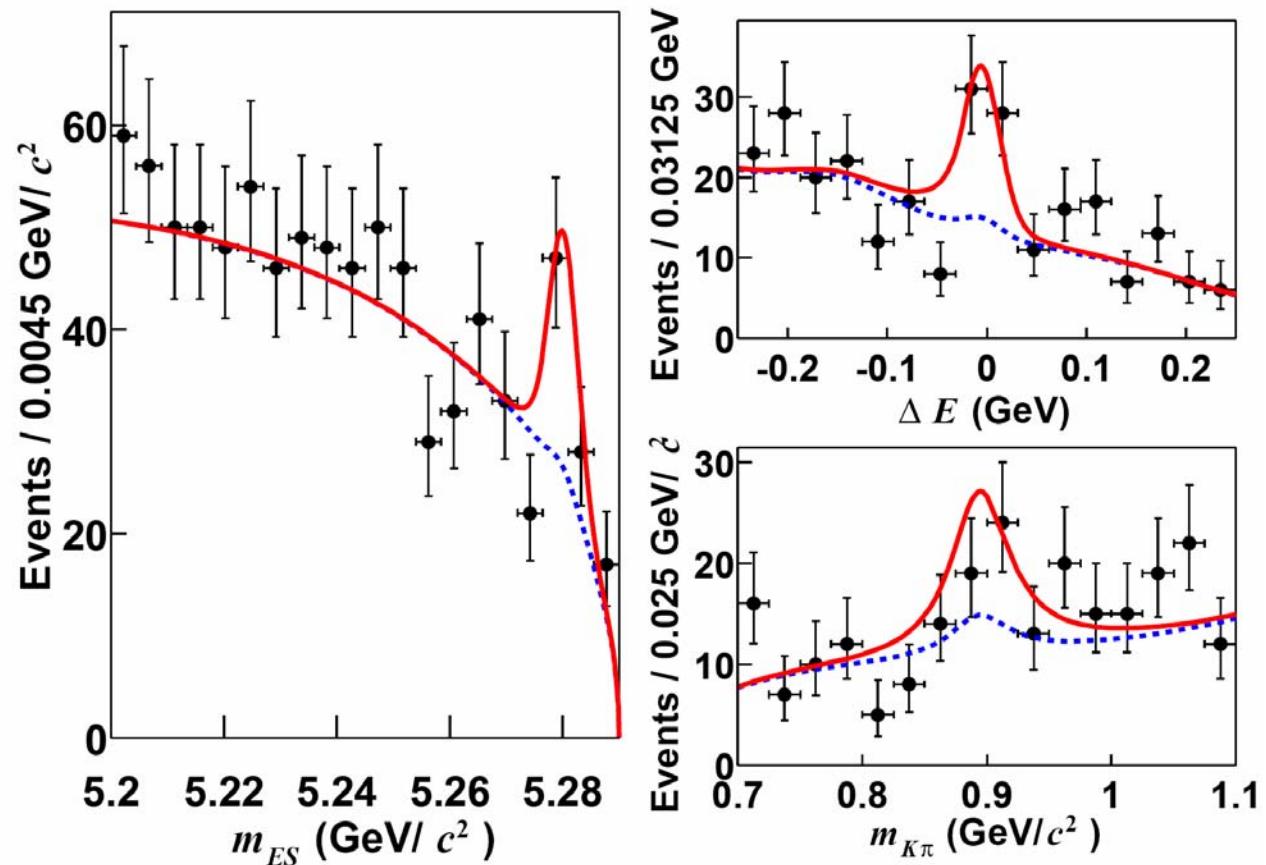
$$\Gamma(B \rightarrow K^* \mu\mu) / \Gamma(B \rightarrow K^* ee) = 0.752$$

("K*ll BF" \equiv K*0 mu mu BF)

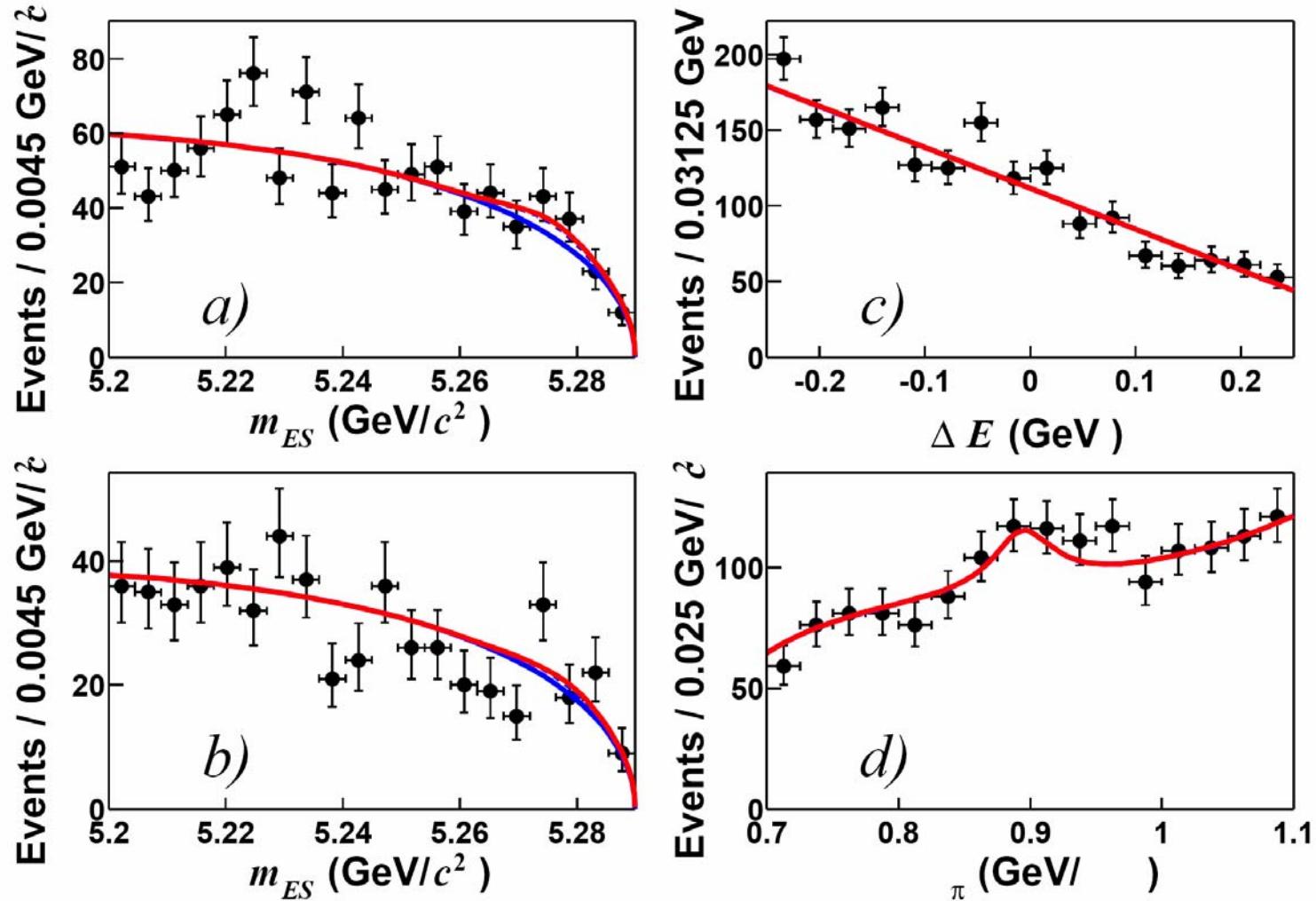
Alternatively, with pole region removed and equal partial rates:

$$\mathcal{B}(B \rightarrow K^* \ell^+ \ell^-, q^2 > 0.10 \text{ GeV}^2/c^4) =$$

$$(0.74^{+0.20}_{-0.18} \pm 0.12) \times 10^{-6}$$



$K^*\pi$ Sidebands



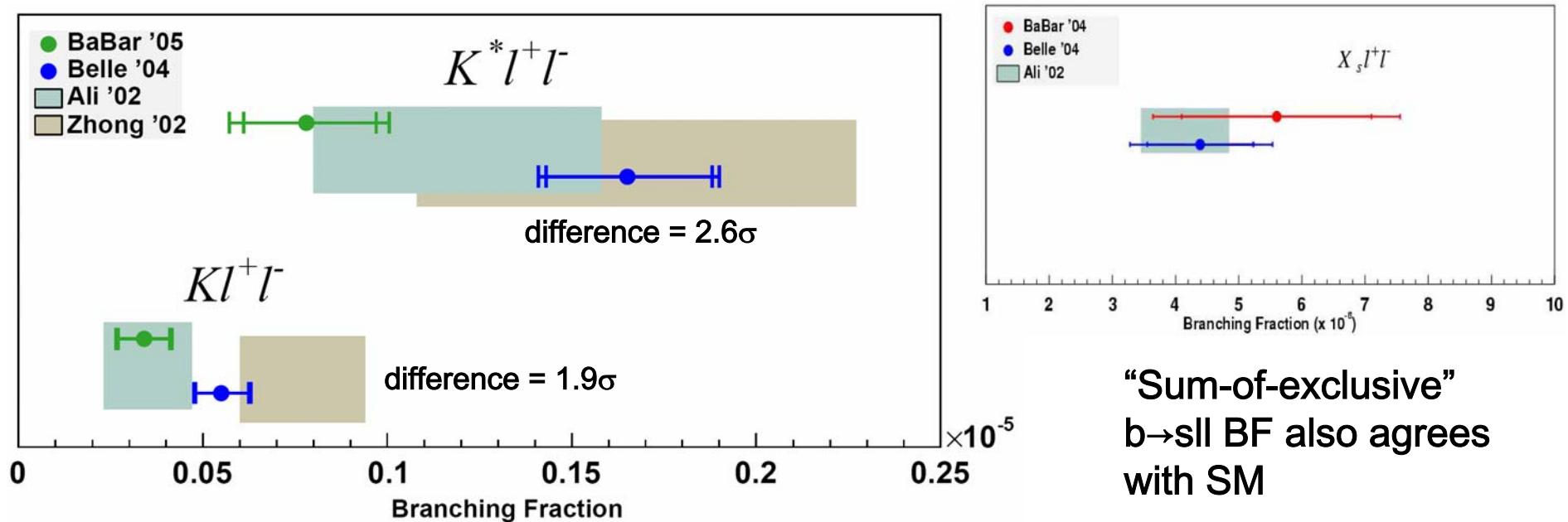
BF Summary

BaBar and Belle branching fractions **agree** with SM predictions

Experimental uncertainty already better than theory

Difference between BaBar and Belle becoming significant?

Smallest B branching fractions ever measured!!



Ratios of BFs and A_{CP}

Ratio of $K\mu\mu/Kee$ BFs consistent with unity:

$$R_K = 1.06 \pm 0.48 \pm 0.05$$

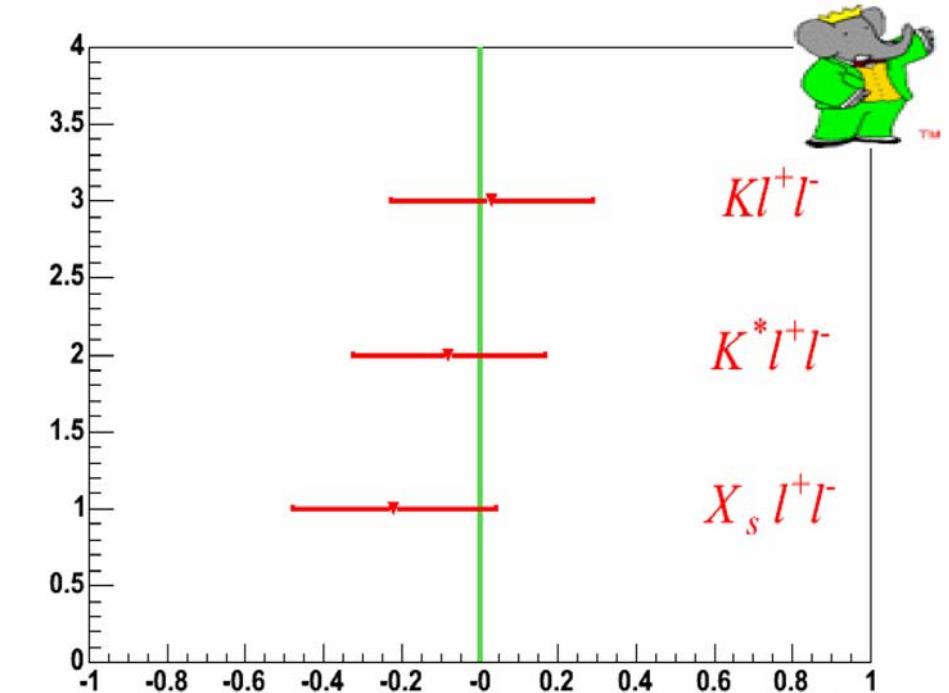
Ratio of $K^*\mu\mu/K^*ee$ BFs consistent with SM ($=0.752$):

$$R_{K^*} = 0.98 \pm 0.46 \pm 0.06$$

Ratio of $K^*\mu\mu/K^*ee$ BFs (excluding pole) consistent with unity:

$$R_{K^*}(q^2 > 0.10 \text{ GeV}^2/c^4) = 1.37 \pm 0.74 \pm 0.11$$

A_{CP} measurements consistent with 0



$$A_{CP}(B^+ \rightarrow K^+\ell^+\ell^-) = -0.08 \pm 0.22 \pm 0.11$$

$$A_{CP}(B \rightarrow K^*\ell^+\ell^-) = 0.03 \pm 0.23 \pm 0.12,$$

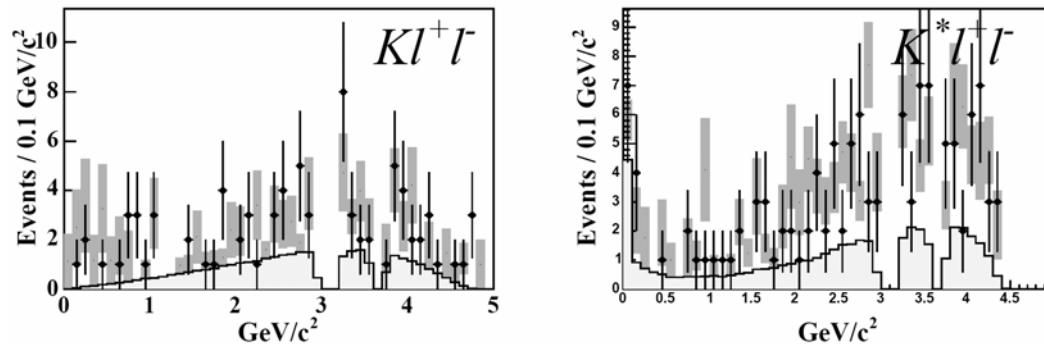
Dominant systematic from unknown asymmetry of peaking background

Towards A_{FB}

First, need partial
BF measurement
vs. q^2

Signal candidates span
entire range

Separate fits for optimal
 q^2 bins

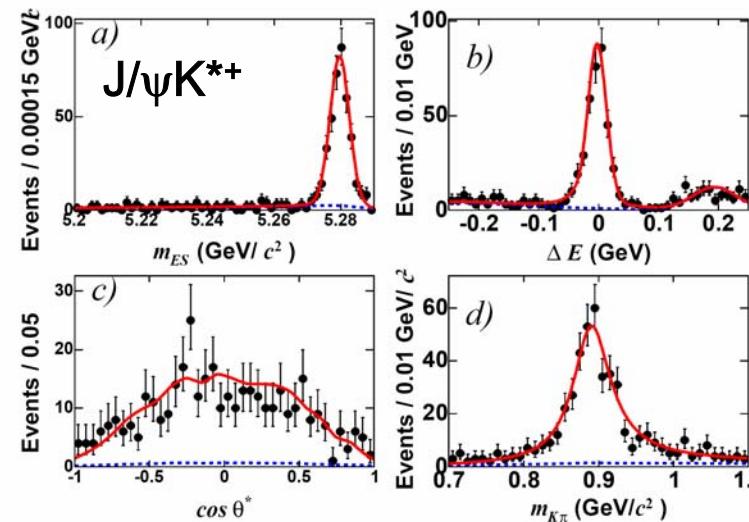


$$\text{Fit method for } \cos\theta^*: \Gamma^{-1} d\Gamma/d\cos\theta^* = 0.5 \times (1.0 - 2.0 \times C/3.0) + A_{FB} \times \cos\theta^* + C \times \cos^2\theta^*$$

4D LH fit

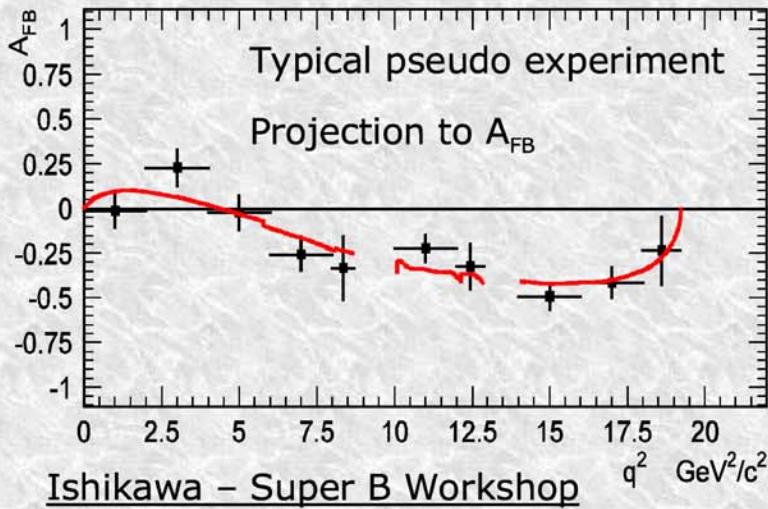
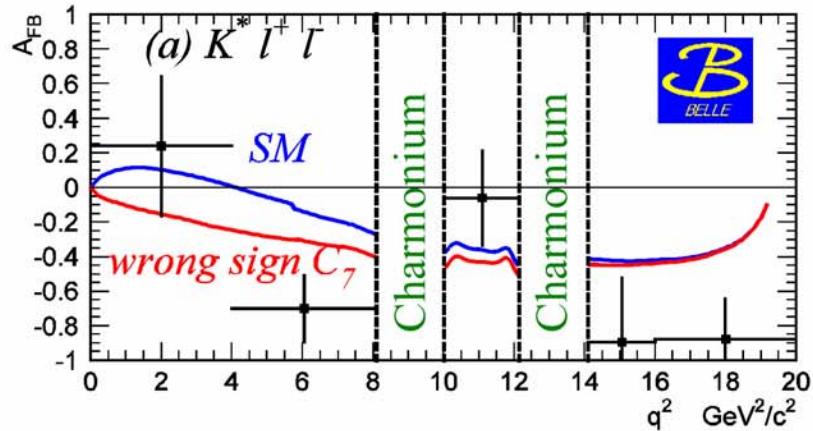
Check with charmonium
and Kll

*Need asymmetric efficiency
and background PDFs*



Towards A_{FB}

- First raw A_{FB} distribution in $B \rightarrow K^* ll$ from Belle
 - Consistent with SM or wrong sign C_7



- Belle projections to 5 ab^{-1} with simultaneous fit to q^2 , $\cos(\theta^*)$ distributions
 - Extract A_9 , A_{10} directly
 - For SM input values, mean errors:

$$\begin{aligned}\delta A_9/A_9 &= 11\% \\ \delta A_{10}/A_{10} &= 13\%\end{aligned}$$

$A_i = q^2$ independent term of C_i



Summary

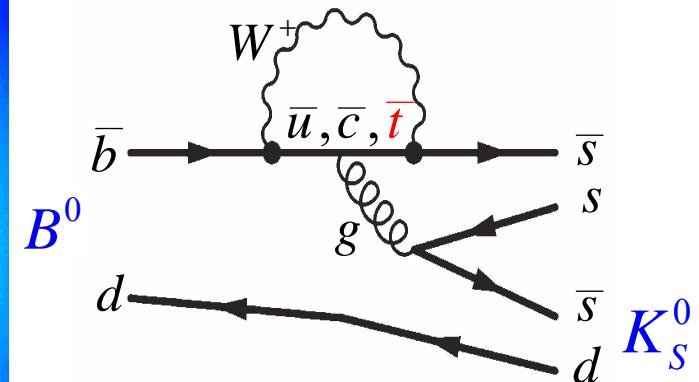
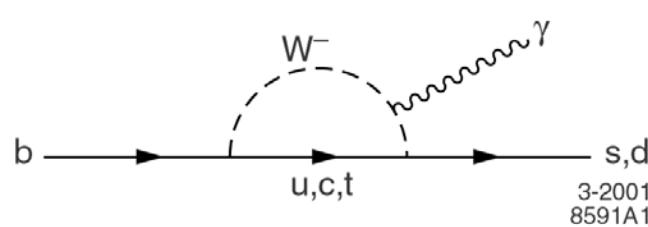
- **Strong penguins** beginning to crack the standard model?
- Decay rate measurements of $b \rightarrow s\gamma$ penguins are well into the precision era.
- CP asymmetries of $b \rightarrow s\gamma$ penguins are statistics limited and will continue to test the SM

$b \rightarrow d\gamma$ penguins are only now beginning to reveal themselves in B-factory data. They could also uncover new physics, or measure the poorly known $|V_{td}|$.

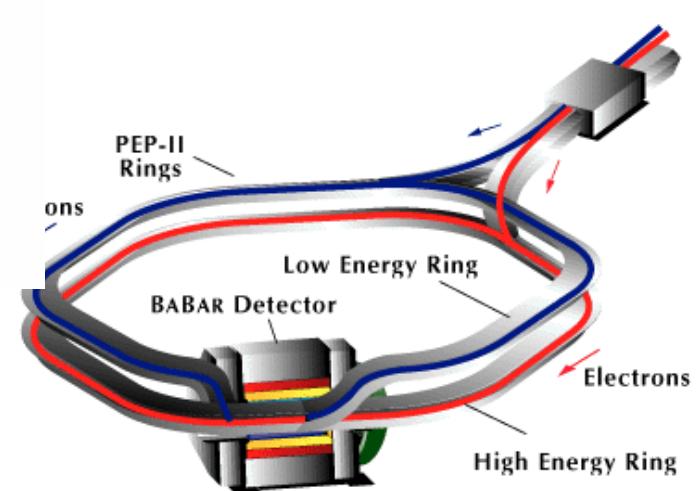
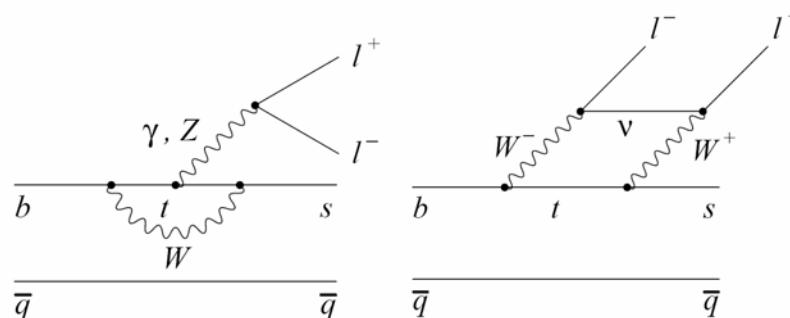
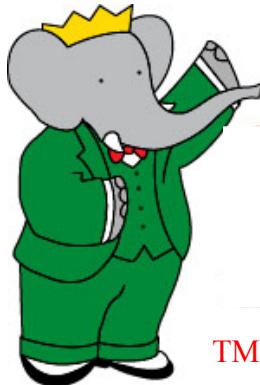
$$\text{BF}(B \rightarrow \rho, \omega \gamma) < 1.2 \times 10^{-6} \quad |V_{td}/V_{ts}| < 0.19 \text{ (90% CL)}$$

“Ultimate” $b \rightarrow sll$ penguin will ultimately disentangle all electroweak penguin effects, SM or not.

$B \rightarrow Kll$ ratios and K^*ll angular asymmetries are high precision tests of the electroweak scale and beyond



**Penguin decays are strongly challenging
the Standard Model!**



Search for $B \rightarrow \rho\gamma, \omega\gamma$ (191 fb⁻¹)

Simplest and most “common” $b \rightarrow d\gamma$ exclusive decays are $\rho\gamma$ and $\omega\gamma$:

$$B^+ \rightarrow \rho^+ \gamma, \rho^+ \rightarrow \pi^+ \pi^0 \quad BF \approx BF K^* \gamma \times |V_{td}/V_{ts}|^2 \approx 1.6 \times 10^{-6}$$

$$B^0 \rightarrow \rho^0 \gamma, \rho^0 \rightarrow \pi^+ \pi^- \quad BF \approx \frac{1}{2} BF \rho^+ \gamma$$

$$B^0 \rightarrow \omega \gamma, \omega \rightarrow \pi^+ \pi^- \pi^0 \quad BF \approx \frac{1}{2} BF \rho^+ \gamma$$

Comparable to rarest
B decays measured!

Previous BaBar limit: $BF \rho^+ \gamma < 1.9 \times 10^{-6}$ 90% CL (78 fb⁻¹)

Preliminary Belle evidence (3.5 σ): $BF \rho^+ \gamma = 1.8 \pm 0.6 \pm 0.1 \times 10^{-6}$ (140 fb⁻¹)

Ratio of exclusive $b \rightarrow d\gamma$ and $b \rightarrow s\gamma$ decay rates measures $|V_{td}/V_{ts}|$ via

$$\frac{\bar{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left(\frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

Ali et al. hep-ph/0405075

ΔR weak annihilation correction

ζ^2 SU(3) symmetry breaking of exclusive form factors

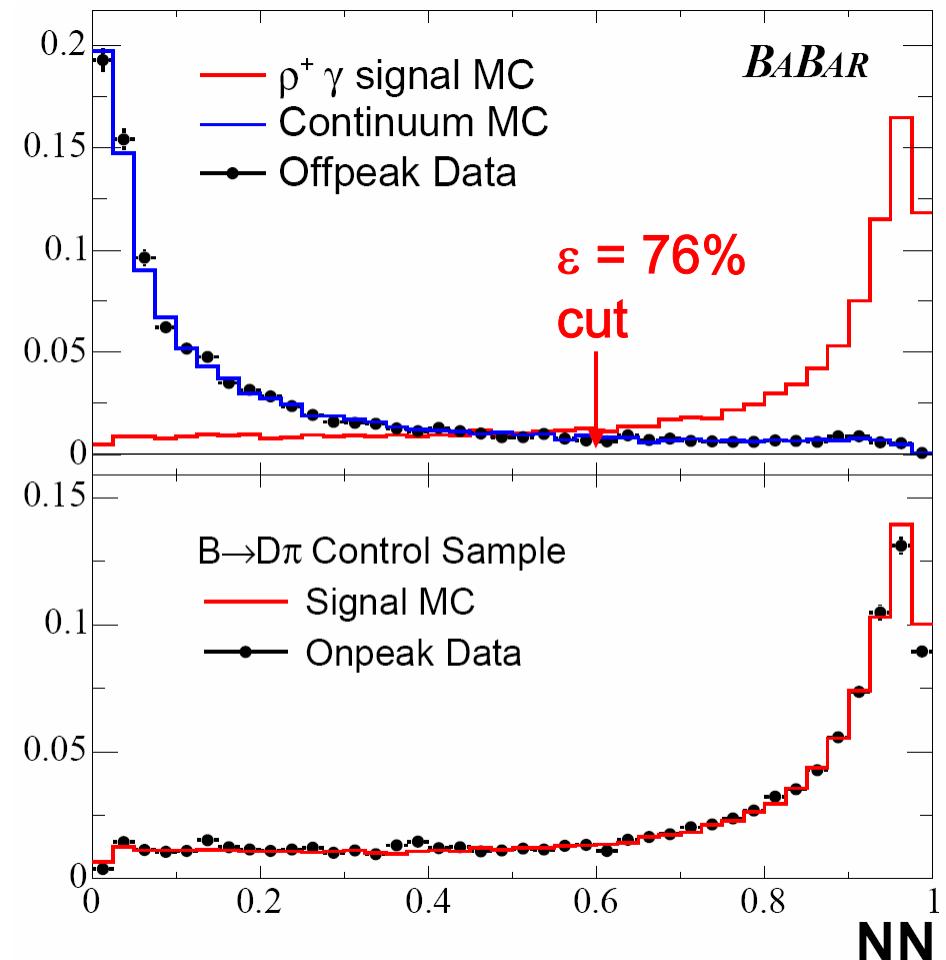
Continuum Background Suppression

$e^+e^- \rightarrow q\bar{q}$ continuum events are the dominant background

Combine properties discriminating $B \rightarrow \gamma + X$ from continuum into a single-output **neural net**:

- Event shape variables: spherical B vs. jet-like continuum
- B flavor tagging variables: kaons and leptons in the rest of the event
- B candidate vertex separation from rest of the event

Neural net trained on simulated signal and continuum samples

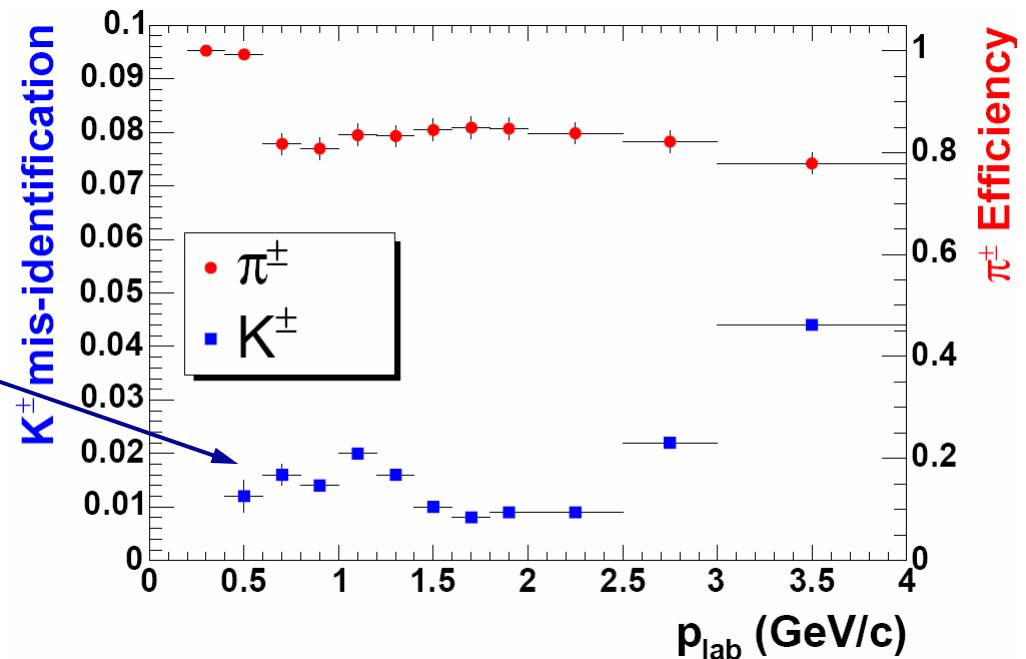
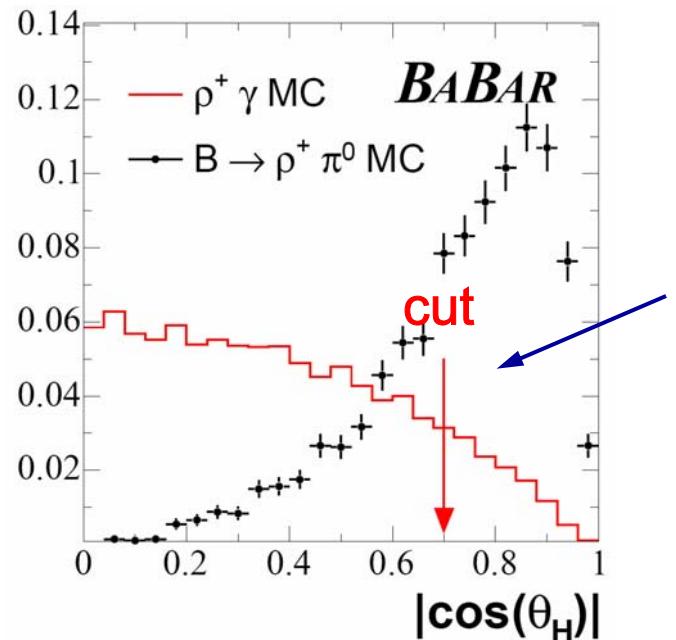


Neural net distribution for both signal and background described well by simulation

B Background Suppression

Large (40 x signal) $K^*\gamma$ background reduced by strict π^\pm PID requirements (track dE/dx, DIRC θ_c , DIRC N_γ)

K^+ misid 1-2% for most of acceptance
 ΔE provides additional separation of $K^*\gamma$ from $\rho\gamma$



B decays to $\rho\pi^0$, $\rho\eta$, $\omega\pi^0$, $\omega\eta$ suppressed by cut on helicity angle

Combine helicity angle, B production angle, Dalitz angle (ω only) into B Fisher discriminant for additional background separation in signal fit

Signal Fit: $K^*\gamma$ Control Sample

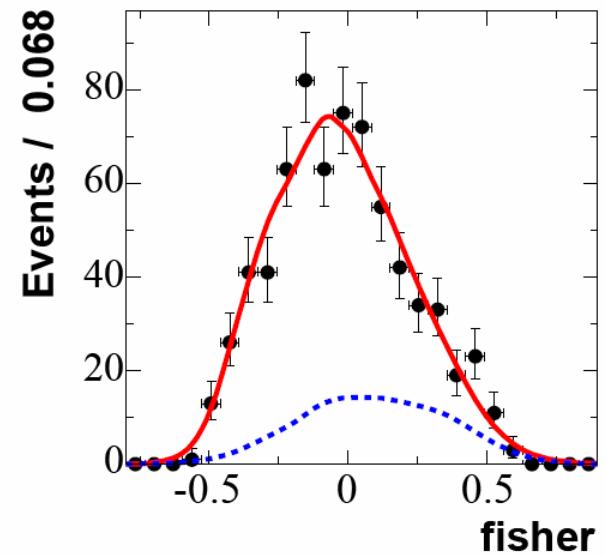
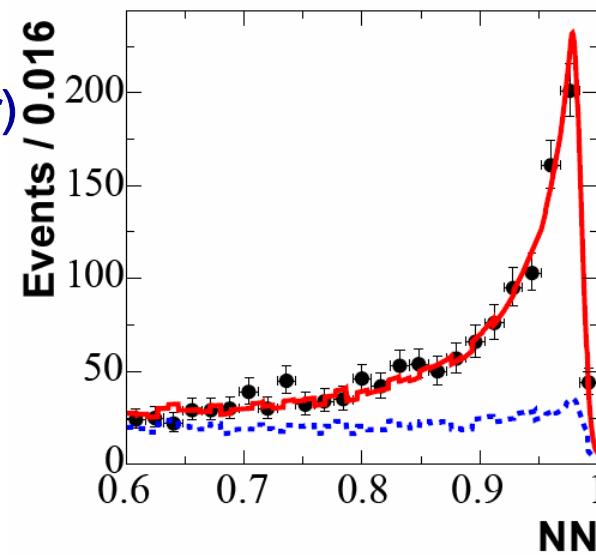
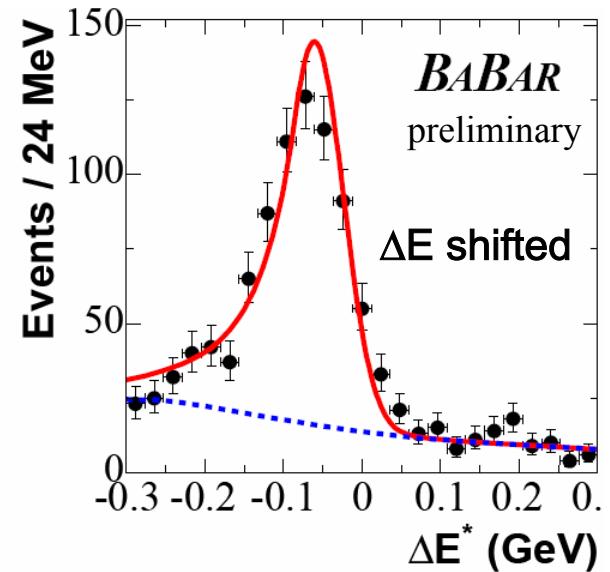
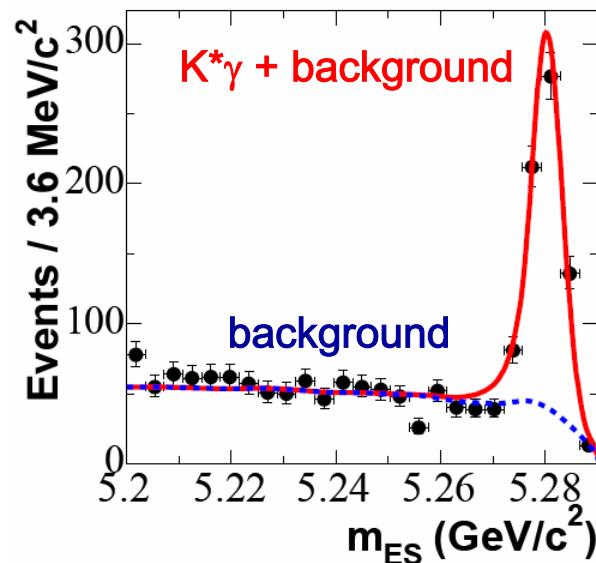
Validate fit procedure on data with $K^*\gamma$ sample ($\rho^0\gamma$ candidates with no PID requirement)

Unbinned extended ML fit of three background components ($q\bar{q}$, $X_s \gamma$, $\rho^0(\pi^0/\eta)$) and $K^*\gamma$ component

to 4D data ($m_{ES}, \Delta E, NN, Fisher$)

$q\bar{q}$, $X_s \gamma$, $K^*\gamma$ yield are free parameters

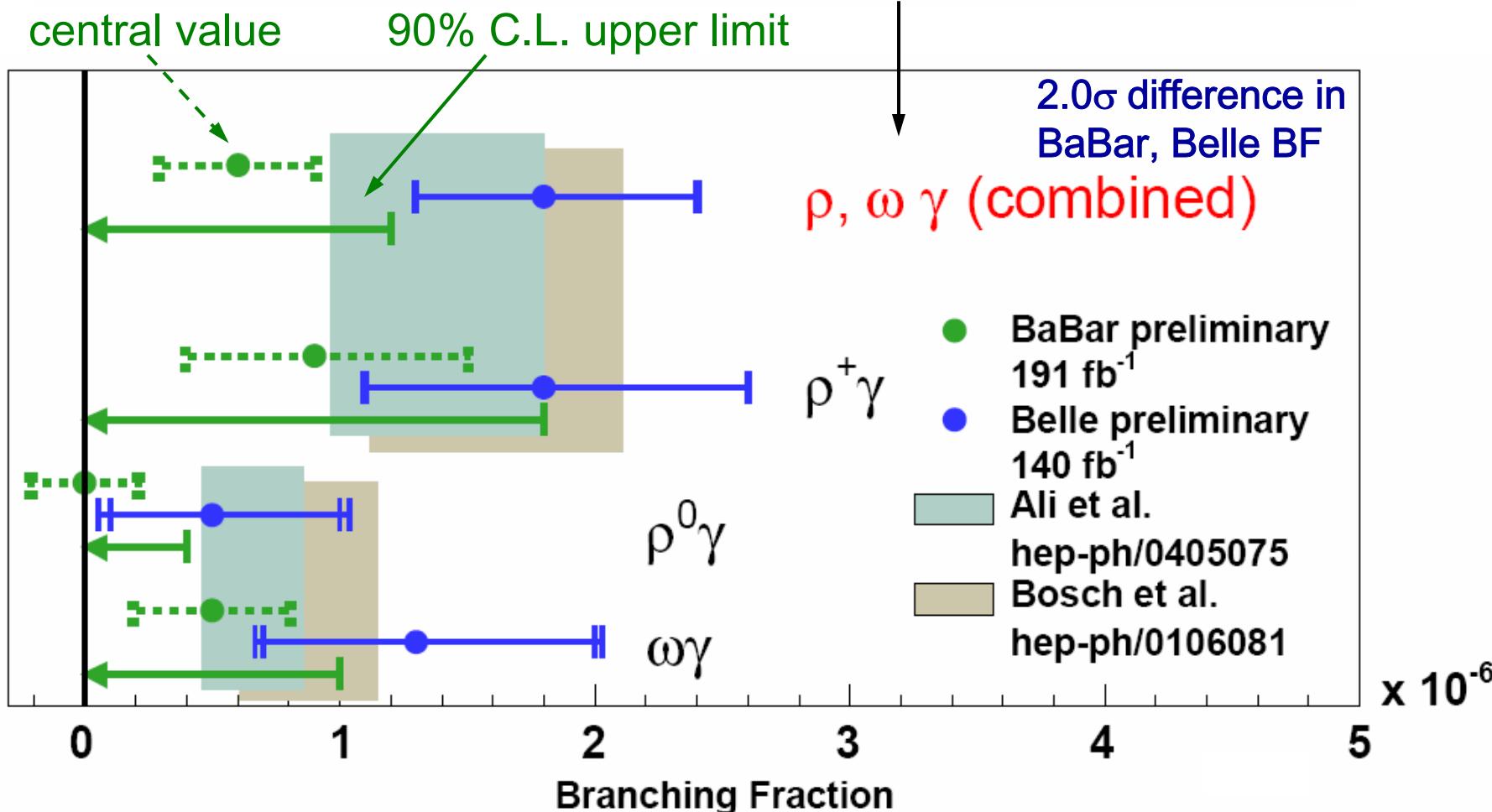
Large $K^*\gamma$ signal yield consistent with BaBar BF measurement



$b \rightarrow d \gamma$ Branching Fractions: Summary

Comparison of measurements with predictions for individual modes and combined BF

$$\bar{\mathcal{B}}[B \rightarrow (\rho, \omega) \gamma] \equiv \frac{1}{2} \left\{ \mathcal{B}(B^+ \rightarrow \rho^+ \gamma) + \frac{\tau_{B^+}}{\tau_{B^0}} [\mathcal{B}(B_d^0 \rightarrow \rho^0 \gamma) + \mathcal{B}(B_d^0 \rightarrow \omega \gamma)] \right\}$$



CKM matrix constraint

BaBar BF ratio upper limit $< 0.029 \rightarrow |V_{td}/V_{ts}| < 0.19$ (90% CL)

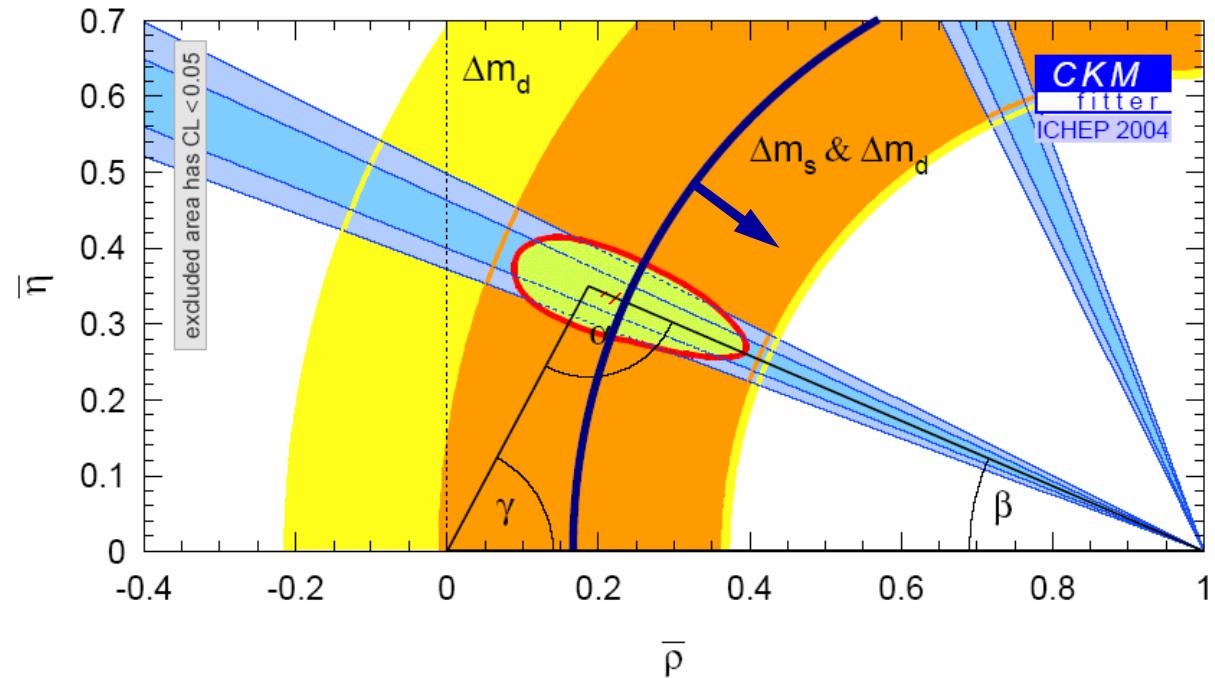
Ali et al. hep-ph/0405075

$$\frac{\overline{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left(\frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

$(\zeta^2, \Delta R) = (0.85, 0.10)$

no theory error

Penguins are starting to provide meaningful CKM constraint



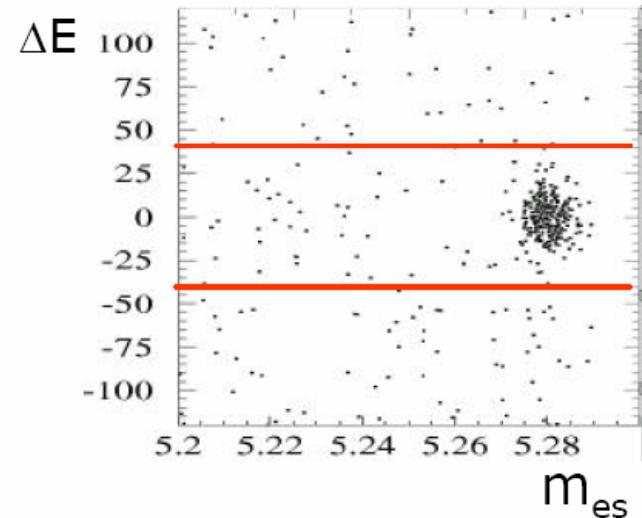
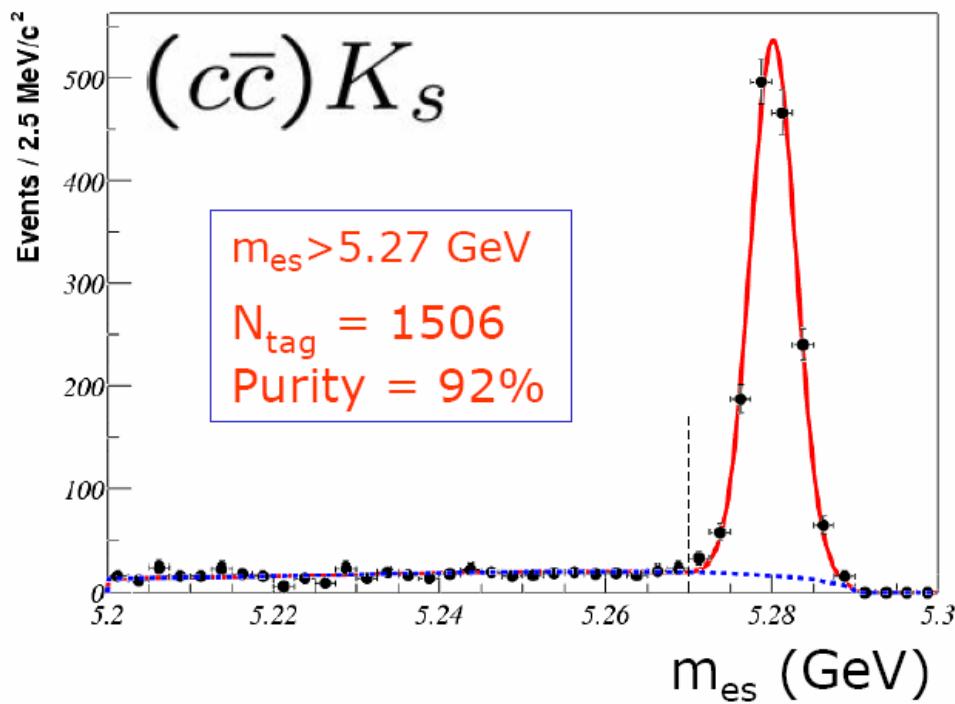
$\rho\gamma$ 95% C.L. BaBar allowed region (inside the blue arc)

Kinematic variables at the Y(4S)

Variables for signal/BG discrimination

$$m_{es} = \sqrt{E_{\text{beam}}^{*2} - \sum p_i^*{}^2}$$

$$\Delta E = E_B^* - E_{\text{beam}}^*$$



J/ ψ K_s ($\pi^+\pi^-$)
 $m_{es} > 5.27 \text{ GeV}$
 $N_{\text{tag}} = 974$
 Purity 97%

Quarks and their Strong Interactions

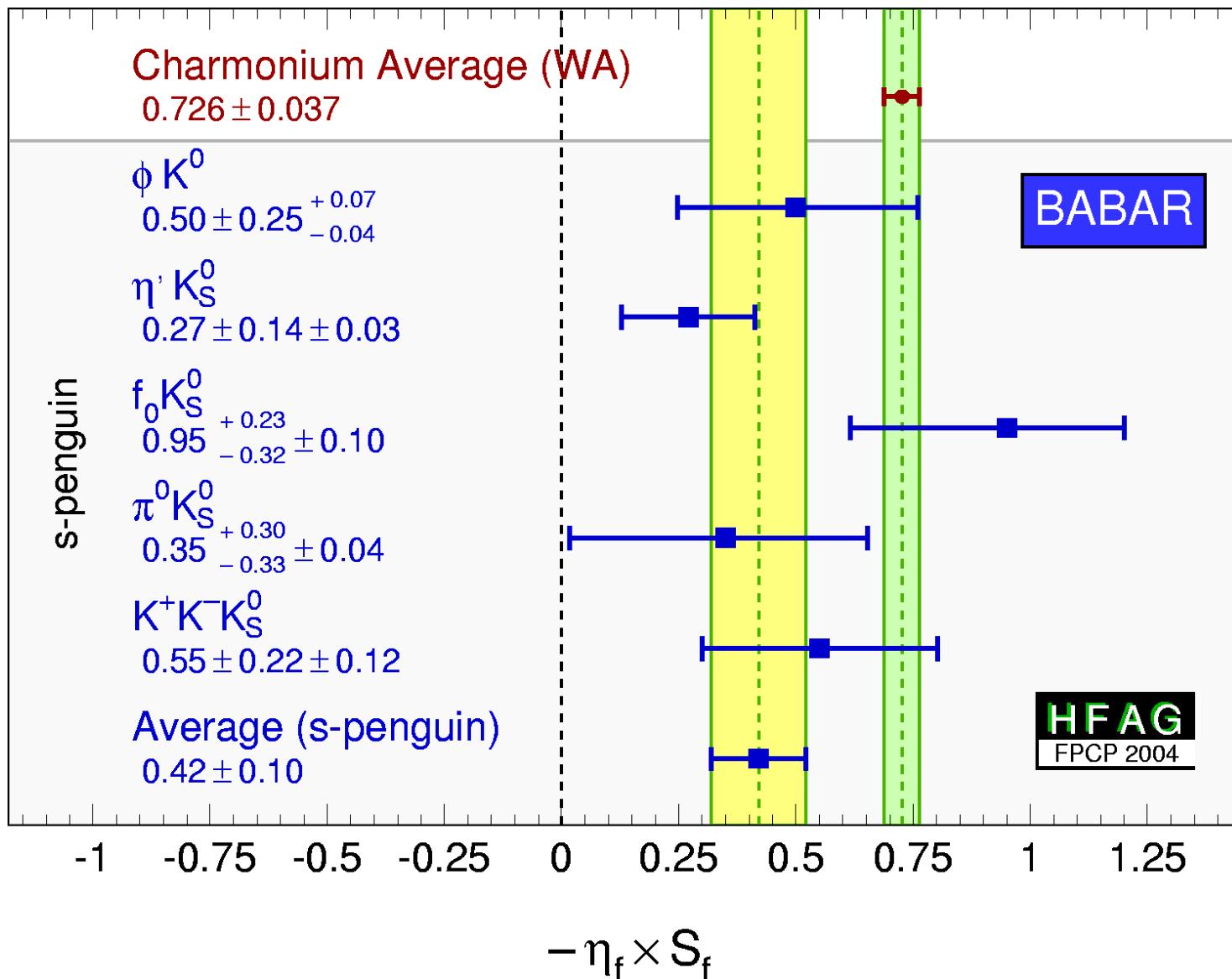
Quarks cannot be detected in isolation, only as bound states
A quark/anti-quark pair forms a bound state (mesons)

Flavor	u,d	s	c	b	t
spin 0 mesons	$\pi^+ (u\bar{d})$ $\pi^0 (u\bar{u} - d\bar{d})$	$K^+ (u\bar{s})$ $K_S^0 (d\bar{s} + s\bar{d})$	$D^+ (c\bar{d})$ $D^0 (c\bar{u})$	$B^0 (d\bar{b})$ $B^+ (u\bar{b})$	none
spin 1 mesons	$\rho^+ (u\bar{d})$ $\rho^0 (u\bar{u} - d\bar{d})$ $\omega (u\bar{u} + d\bar{d})$	$K^{*+} (u\bar{s})$ $K^{*0} (d\bar{s})$ $\phi (s\bar{s})$	$D^{*+} (c\bar{d})$ $D^{*0} (c\bar{u})$ $J/\psi (c\bar{c})$	$Y (b\bar{b})$	none

b quarks are the heaviest flavor with measurable bound states →

B mesons are a natural starting point for studying the other flavors

Trees(green) vs. Penguins(yellow): BaBar Data



Averaging over
many penguin
decays:

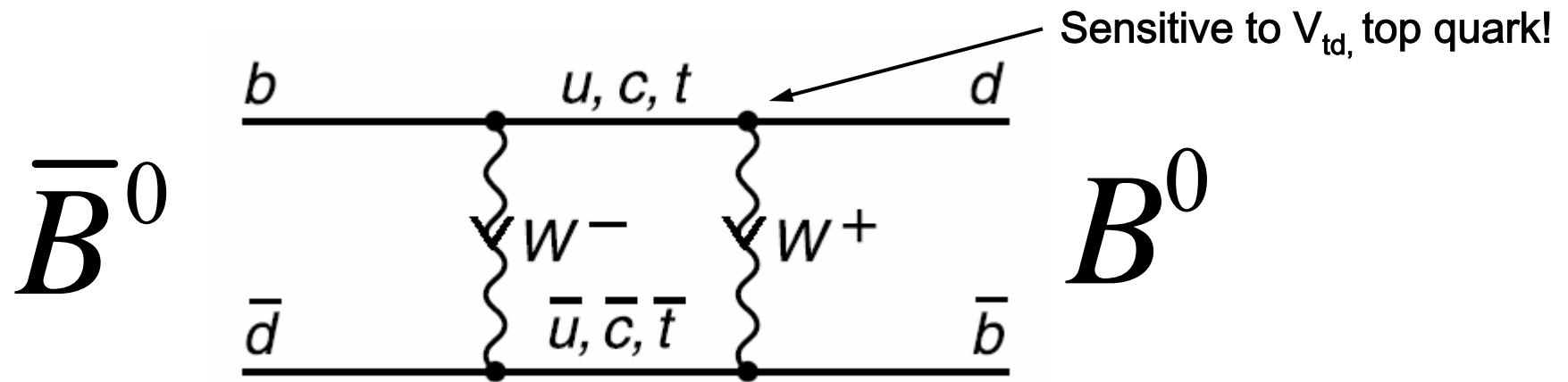
BaBar discrepancy
with tree decays

= -2.7σ

Quarks and Flavor Violation: Mixing

Pairs of down (or pairs of up) type quarks can spontaneously swap flavor for anti-flavor via two flavor-violating exchanges

“Meson Mixing” aka “Flavor oscillation”



$$\text{Prob}(\bar{B}^0 \rightarrow B^0) \approx \exp(-\Gamma t)/2 * (1 - \cos(\Delta m t))$$

Similar to neutrino oscillation, except decay term added

Mixing time \sim few ps

Quarks and CP Violation

For a particle(s) f with momentum p and helicity λ

C : Charge conjugation operator $C f(p, \lambda) = \bar{f}(p, \lambda)$

P : Parity reversal operator $P f(p, \lambda) = f(-p, -\lambda)$
 $CP f(p, \lambda) = \bar{f}(-p, -\lambda)$

CP eigenstate: particle = anti-particle (Ex: $q\bar{q}$ mesons)

CP conservation → left-handed particles have the same
physics as right-handed anti-particles

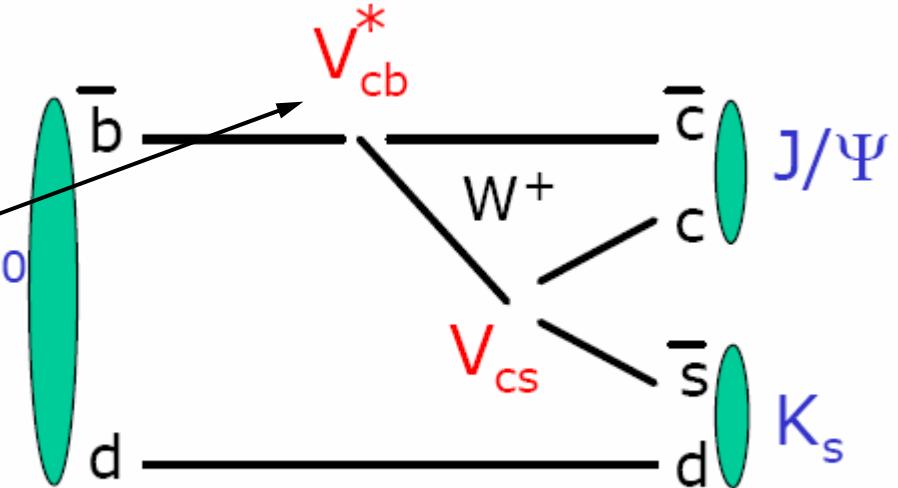
Obviously violated for our (baryon-asymmetric) local universe!

In the Standard Model CP violation originates from
complex phase in CKM matrix → in general, $V_{ij} \neq V_{ij}^*$

$B^0 \rightarrow J/\psi K_s^0$ and $\sin 2\beta$

Decay dominated by a single “tree-level”
Feynman diagram: $b \rightarrow c\bar{c}s$

$$\beta \equiv \varphi_1 \equiv \arg \left(-\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right)$$



J/ψ identified cleanly by decay to a lepton pair;
 K_s identified cleanly by decay to pion pair.
Both particles are CP eigenstates \rightarrow both B^0 and \bar{B}^0 decay to them

Time-dependent CP violation has amplitude $\sin 2\beta$ and frequency Δm

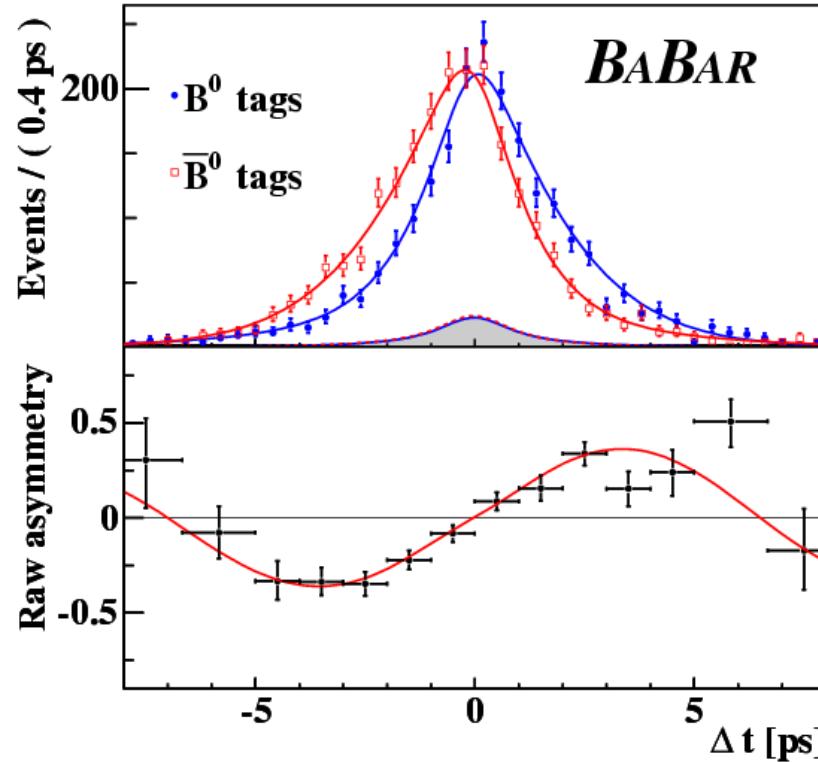
$$A_{CP}(J/\psi K_s; t) = \sin 2\beta \sin \Delta m_d t$$

Works for several other $b \rightarrow c\bar{c}s$ decays as well; results can be combined

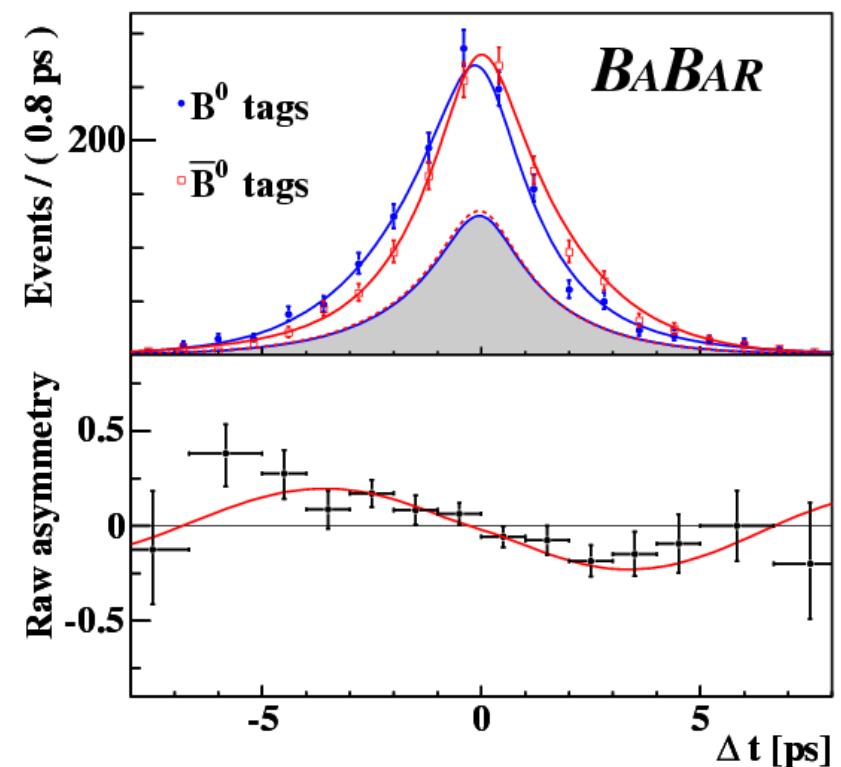
sin 2 β fit results

Raw asymmetry $A(\Delta t) \approx (1 - 2w) \sin 2\beta \sin \Delta m \Delta t$

(c \bar{c}) K_S (CP odd) modes



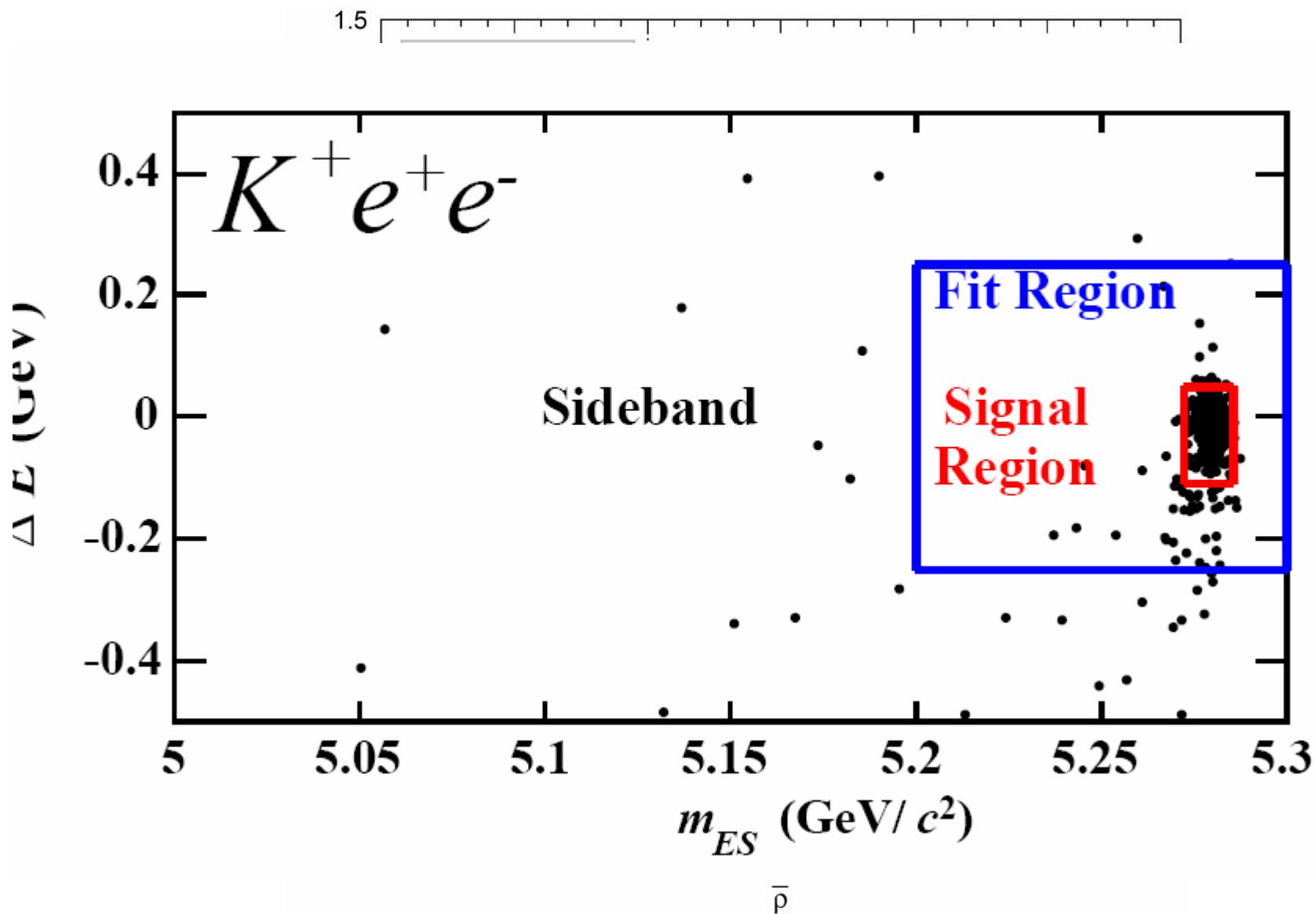
J/ψ K_L (CP even) mode



Signal yield, background yield, $\sin 2\beta$, flavor tagging, Δt resolution function all from simultaneous maximum likelihood fit to signal+control samples

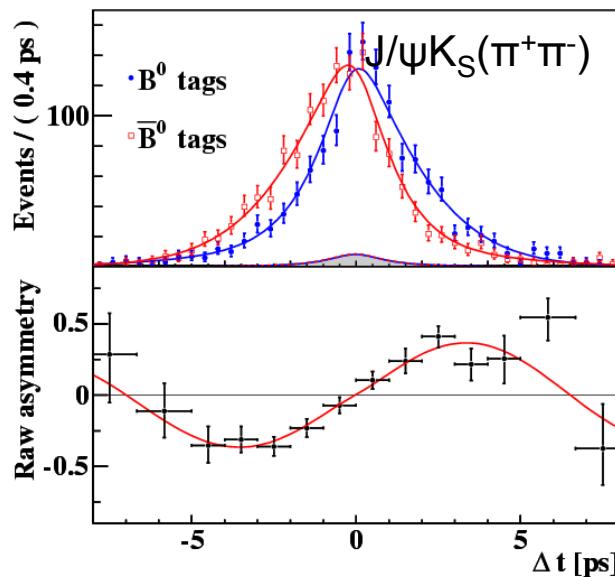
$$\sin 2\beta = 0.722 \pm 0.040 \text{ (stat)} \pm 0.023 \text{ (sys)}$$

CKM Unitarity Triangle: Experimental Constraints



Consistency checks

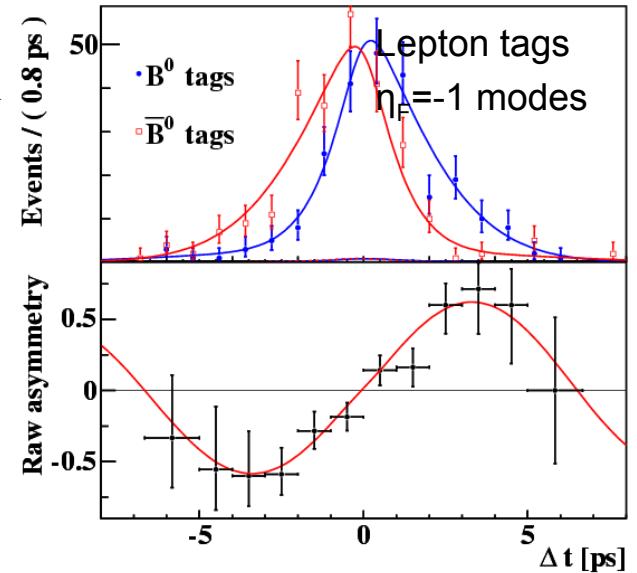
Cleanest → charmonium sample



$\chi^2 = 11.7/6$ d.o.f.
Prob (χ^2) = 7%

$J/\psi K_S(\pi^+\pi^-): 0.79 \pm 0.05$
 $J/\psi K_S(\pi^0\pi^0): 0.65 \pm 0.12$
 $\psi(2S) K_S: 0.88 \pm 0.15$
 $\chi_{c1} K_S: 0.69 \pm 0.23$
 $\eta_c K_S: 0.17 \pm 0.25$
 $J/\psi K_L: 0.57 \pm 0.09$
 $J/\psi K^{*0}(K_S\pi^0): 0.69 \pm 0.32$

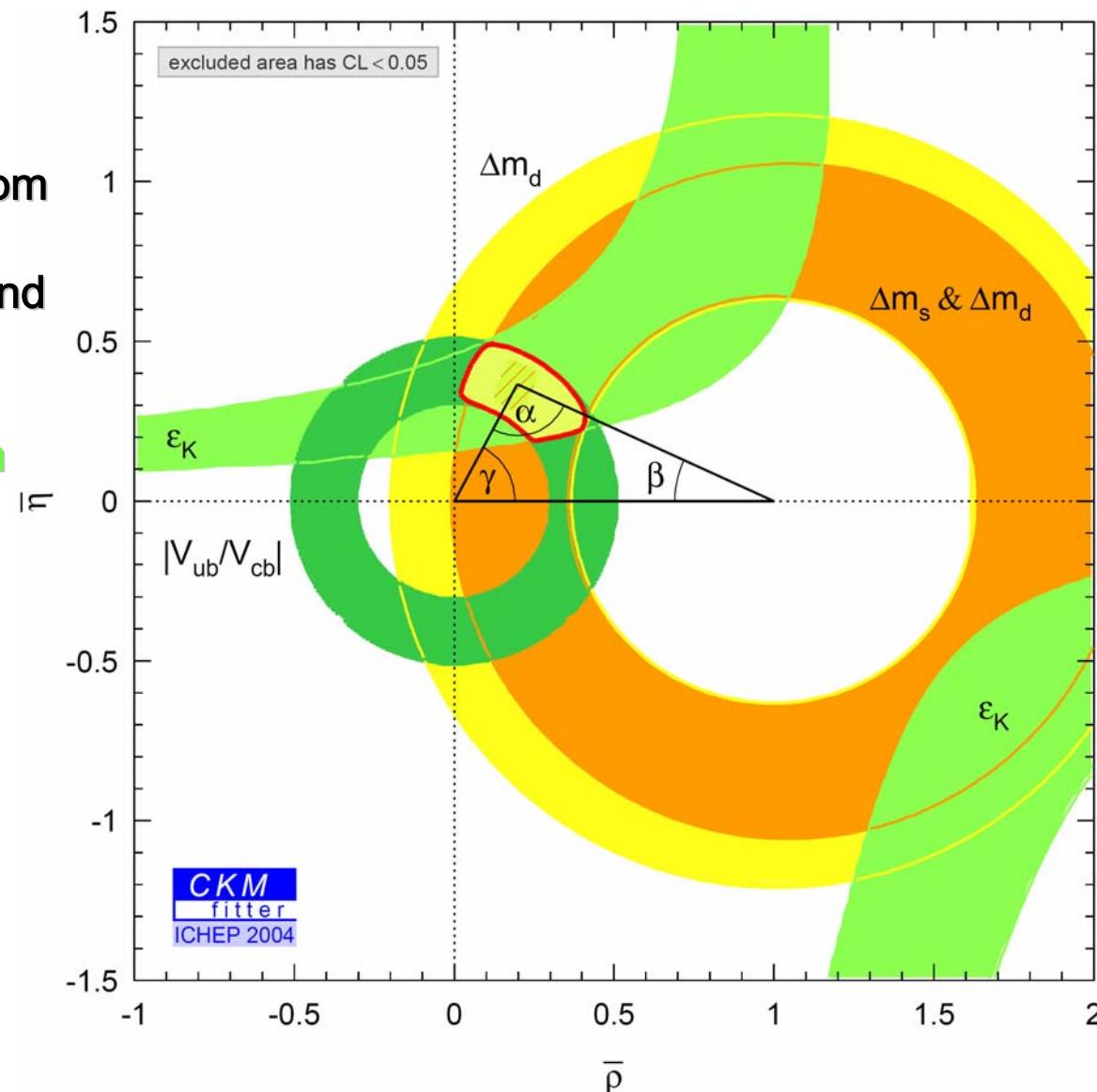
Purest flavor tagging mode →



Lepton: 0.75 ± 0.08
Kaon I: 0.75 ± 0.08
Kaon II: 0.77 ± 0.09
Kaon-Pion: 0.77 ± 0.15
Pions: 0.96 ± 0.22
Other: 0.23 ± 0.51
All ($\eta_{CP} = -1$): 0.75 ± 0.04

CKM Unitarity Triangle: Experimental Constraints

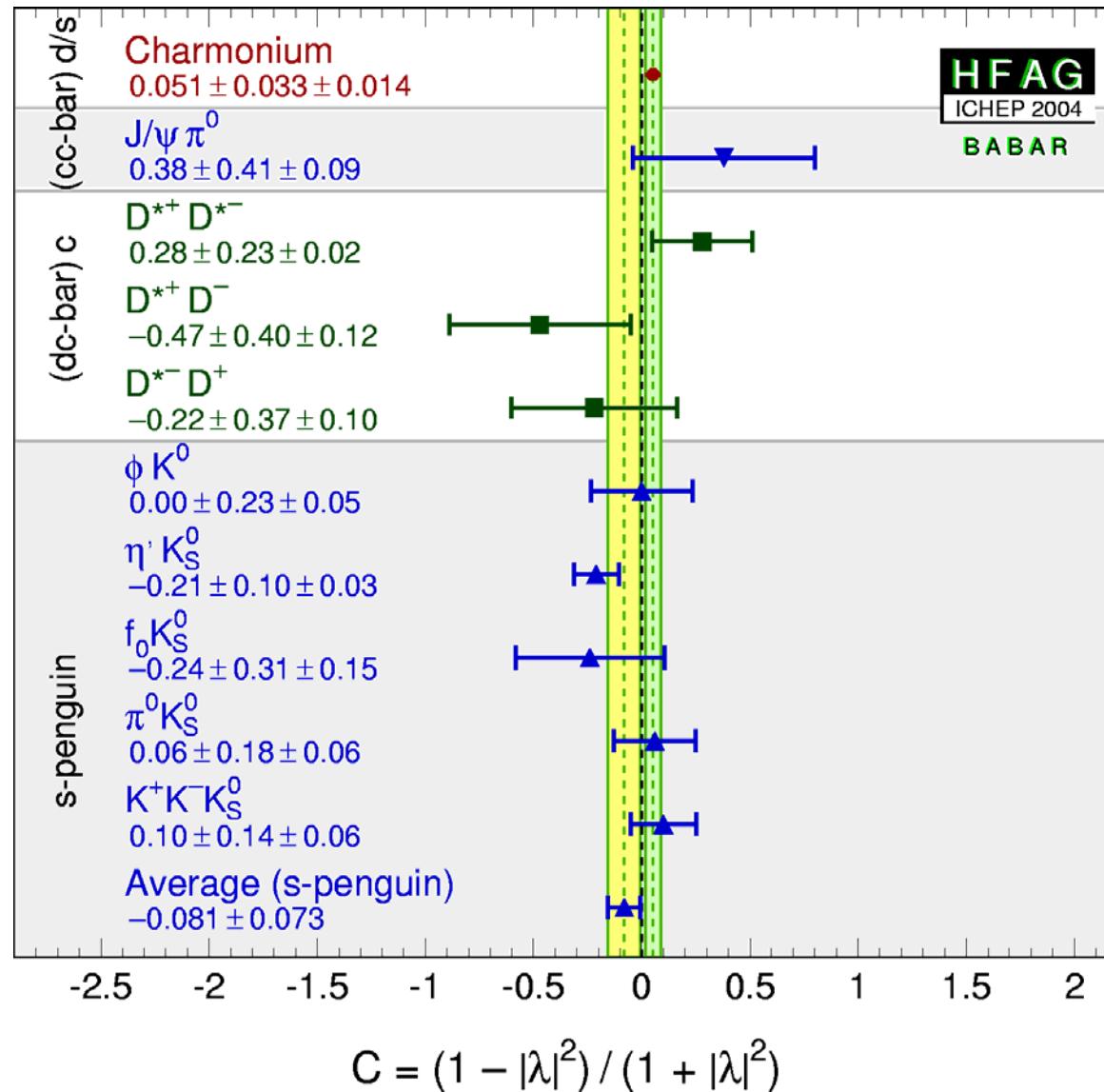
Constraints from
Decay rates and
Mixing Rates
CP violation in
Kaon decay



Future and Follow-up Measurements

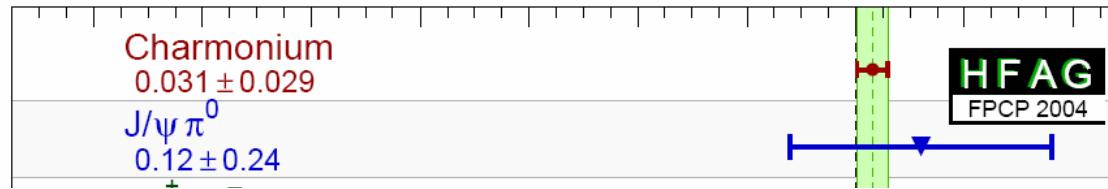
- Both B factories hope to collect 4-5 X more data over the next 4-5 years
 - Significance of the penguin problem could double and unambiguously falsify the Standard Model!
- Improved measurements of rates and asymmetries in other penguin decays ($b \rightarrow s \gamma$, $b \rightarrow d \gamma$, $b \rightarrow s \ell \ell$, $B \rightarrow \phi K^*$,)
- Fermilab Tevatron can measure B_s , Λ_b decays
- LHCb, BTeV: scheduled to produce billions of B's in pp collisions
- Super B Factory: 50X version of B factories

Direct CP Violation: BaBar Data



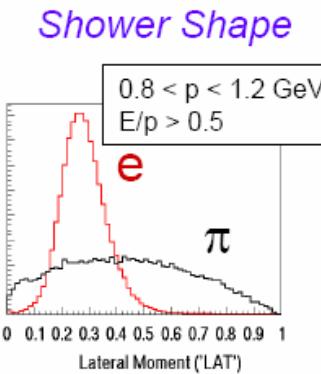
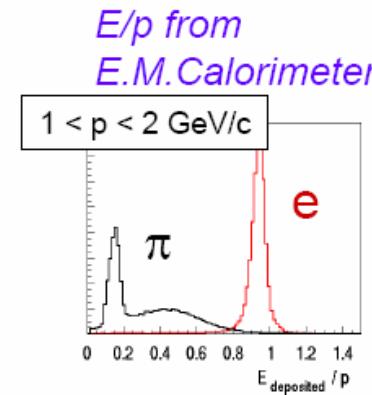
Direct CP
violation
consistent with
0 for all modes

Direct CP Violation: World Average

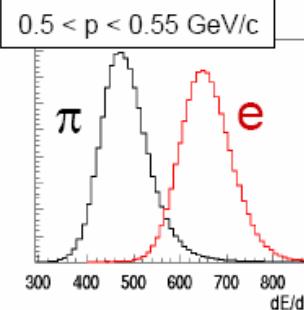


Electrons – $p^* > 0.5$ GeV

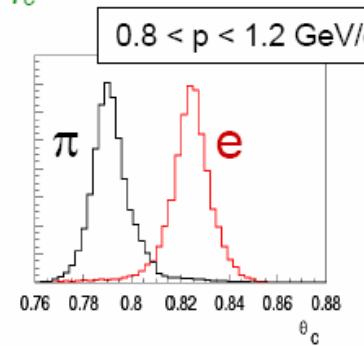
- shower shapes in EMC
- E/p match
- Muons – $p^* > 1$ GeV
 - Penetration in iron of IFR
- Kaons
 - dE/dx in SVT, DCH
 - θ_c in DRC



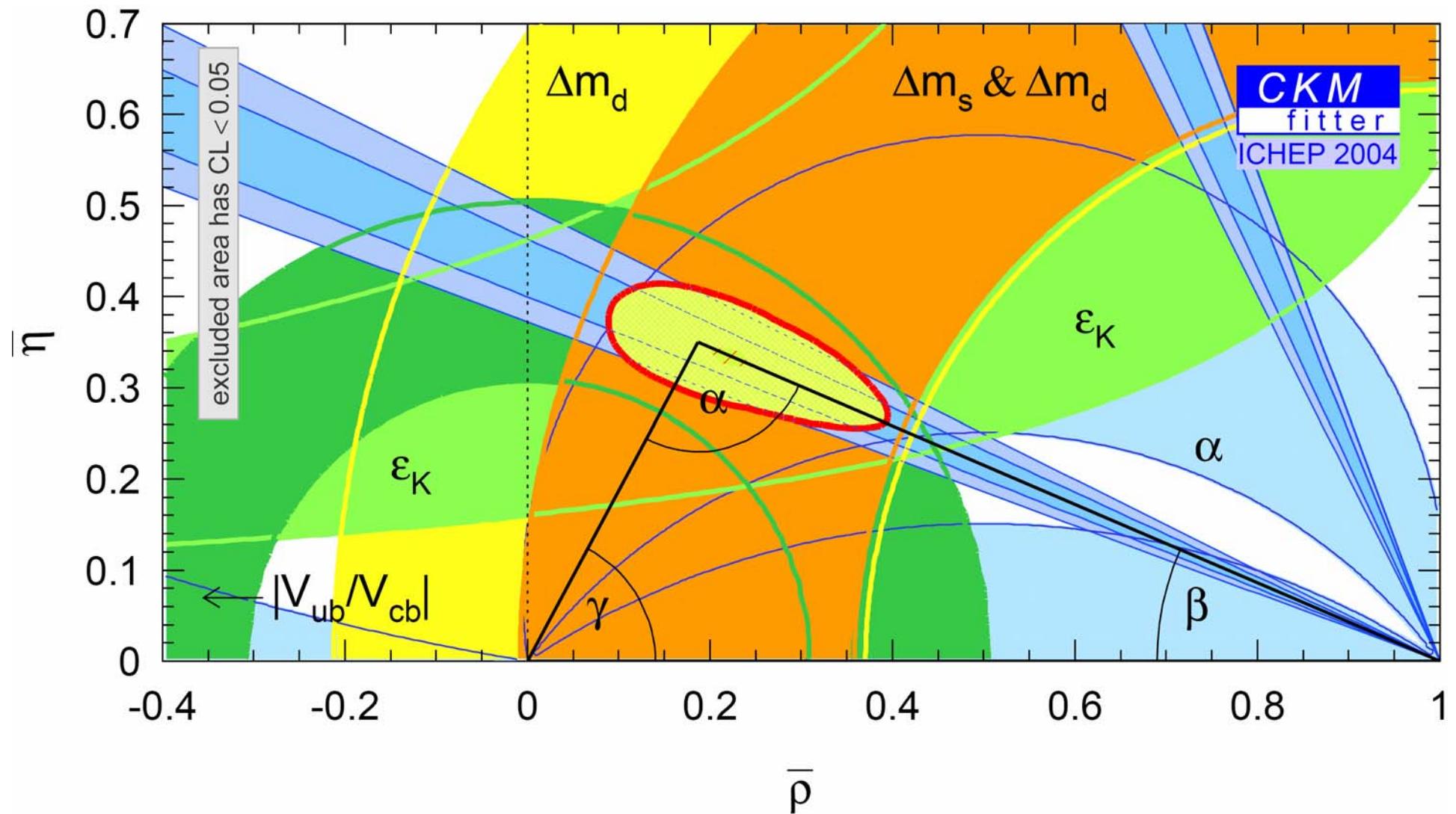
dE/dx from Dch



q_c from Cerenkov Detector



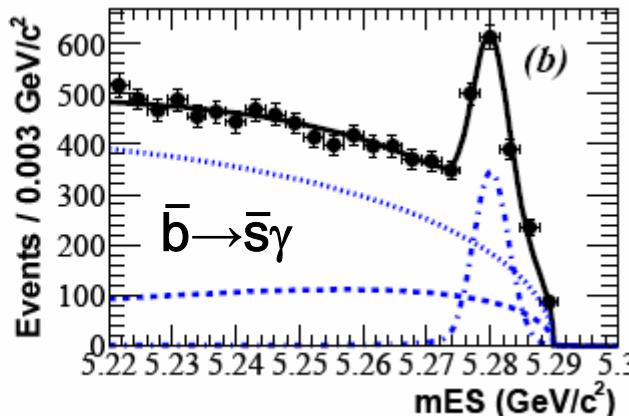
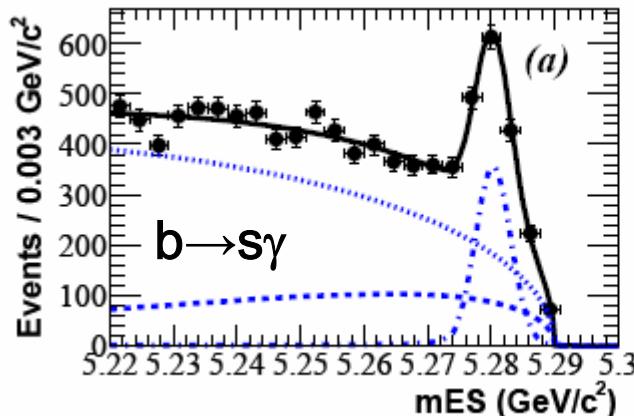
CKM Constraints



Direct CP Asymmetry: $b \rightarrow s\gamma$ and $B \rightarrow K^*\gamma$

< 1% in the SM, could receive ~10% contributions from new EW physics
 Either inclusive or exclusive decays could reveal new physics

B or K charge tags the flavor of the b quark with ~1-2% asymmetry systematic



Sum of 12 exclusive,
 self-tagging
 $B \rightarrow X_s \gamma$ final states

$X_s = K/K_s + 1-3$ pions
 $E\gamma^* > 2.14$ GeV

$$b \rightarrow s\gamma \quad A_{CP} = (N - \bar{N})/(N + \bar{N}) = 0.025 \pm 0.050 \pm 0.015$$

PRL 93 (2004) 021804, hep-ex/0403035

Asymmetries also measured precisely in exclusive $K^*\gamma$ decays:

$$B \rightarrow K^*\gamma \quad A_{CP} = -0.013 \pm 0.036 \pm 0.010$$

submitted to PRL, hep-ex/0407003

preliminary

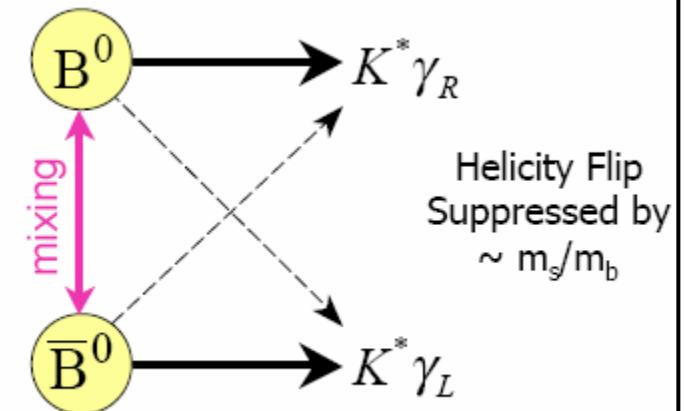
$$\Delta_{0-} = \frac{\Gamma(\bar{K}^{*0}\gamma) - \Gamma(K^{*-}\gamma)}{\Gamma(K^{*0}\gamma) + \Gamma(K^{*-}\gamma)} = 0.050 \pm 0.045 \pm 0.028 \pm 0.024$$

Time-Dependent CP Asymmetry in $B \rightarrow K^*\gamma$ (113 fb^{-1})

As in $B^0 \rightarrow J/\psi K_S$, interference between mixed and non-mixed decay to same final state required for CPV.

In the SM, mixed decay to $K^*\gamma$ requires wrong photon helicity, thus **CPV is suppressed**:

$$\text{In SM: } C = -A_{CP} \approx -1\% \quad S \approx 2(m_s/m_b)\sin 2\beta \approx 4\%$$

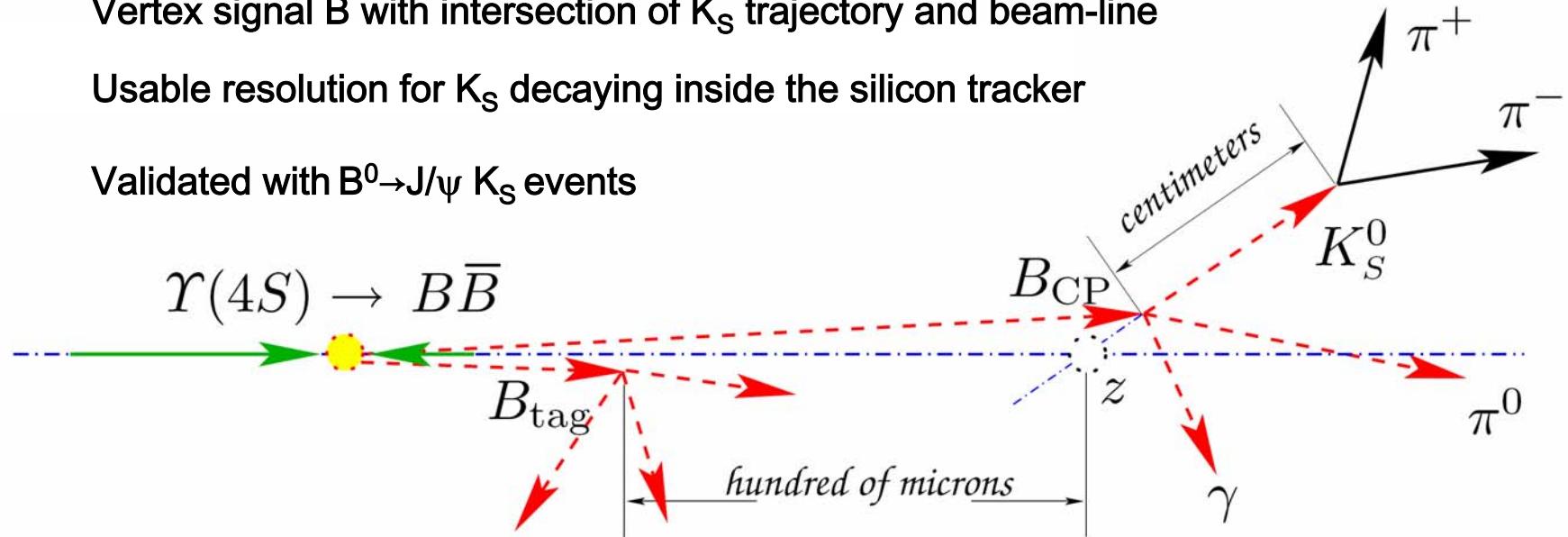


Measuring Δt of $K^*(\rightarrow K_S \pi^0) \gamma$ events requires novel **beam-constrained vertexing** technique:

Vertex signal B with intersection of K_S trajectory and beam-line

Usable resolution for K_S decaying inside the silicon tracker

Validated with $B^0 \rightarrow J/\psi K_S$ events



Time-Dependent CP Asymmetry in $B \rightarrow K^*\gamma$ (113 fb $^{-1}$)

Likelihood fit of three components

(q \bar{q} , B \bar{B} , K $^*\gamma$)

to 5D data

(m_{ES}, ΔE , Fisher, m_{K*}, Δt)

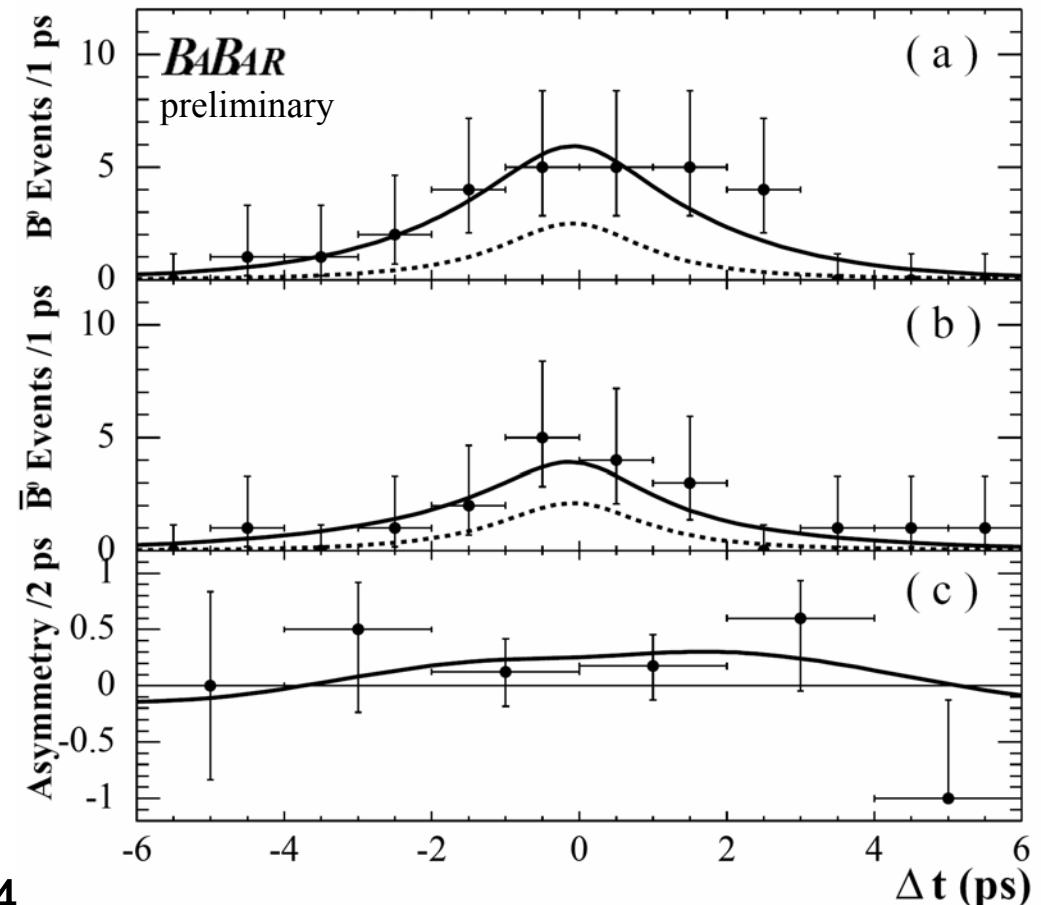
K $^*\gamma$ signal = 105 ± 14 events

$$S = +0.25 \pm 0.63 \pm 0.14$$
$$C = -0.57 \pm 0.32 \pm 0.09$$

submitted to PRL, hep-ex/0405082

Consistent with SM

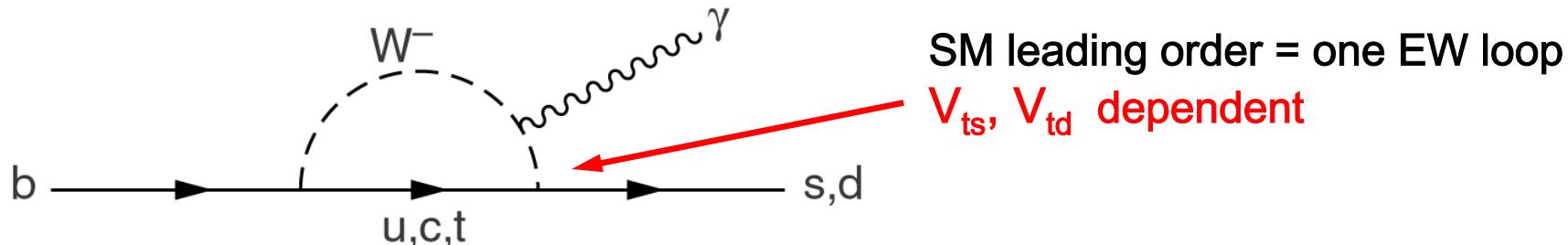
For C fixed to 0, S = $0.25 \pm 0.65 \pm 0.14$



First ever measurement of time-dependent CP asymmetries in radiative penguins!

Radiative Penguin Decays and New Physics

Radiative penguin decays: $b \rightarrow s\gamma$ and $b \rightarrow d\gamma$ FCNC transitions



FCNCs probe a high virtual energy scale comparable to high-energy colliders

Radiative FCNCs have precise SM predictions:

$$\text{BF}(b \rightarrow s\gamma)_{\text{TH}} = 3.57 \pm 0.30 \times 10^{-4} \text{ (SM NLO)}$$

$$\text{BF}(b \rightarrow s\gamma)_{\text{EXP}} = 3.54 \pm 0.30 \times 10^{-4} \text{ (HFAG)}$$

Multiple new $\text{BF}(b \rightarrow s\gamma)$ measurements coming soon from BaBar

Decay rate agreement highly constrains new physics at the electroweak scale!

Further tests presented here:

- Exclusive $b \rightarrow s\gamma$ decay rates
- $b \rightarrow s\gamma$ CP asymmetries
- $b \rightarrow d\gamma$ penguins

Measuring Exclusive Radiative Penguin Decays

Small rates ($\text{BF} < 10^{-4}$) on top of large backgrounds

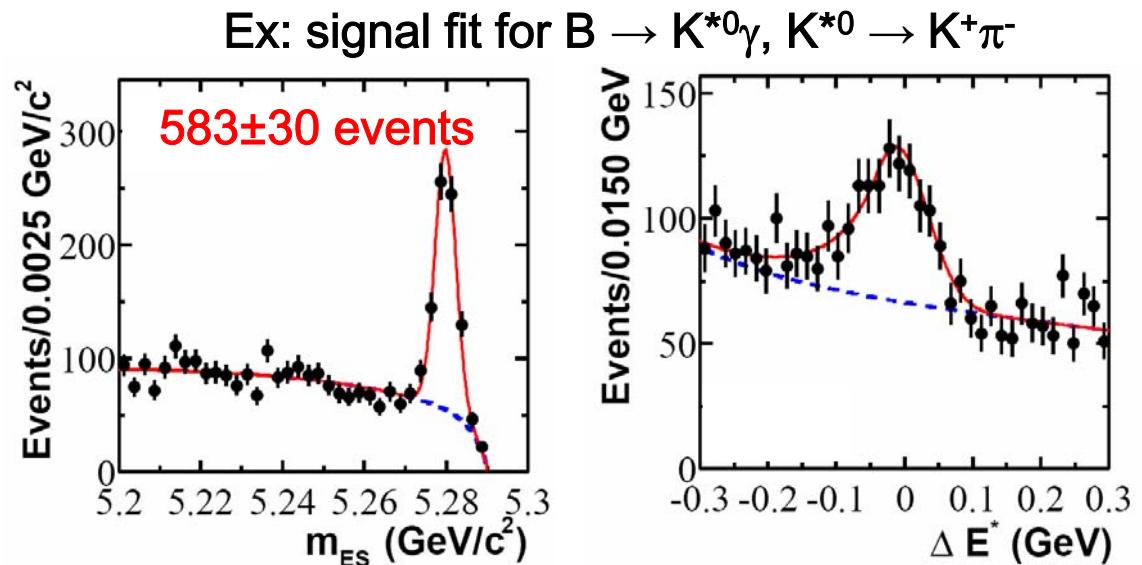
Common strategy :

- select photon + hadrons with strict particle ID
- cut when possible on meson masses
- reduce continuum background with multivariate methods
- extract signal with multi-dimensional maximum likelihood fit

Best kinematic constraints
from B candidate momentum and
energy, compared with E_{beam} :

$$m_{\text{ES}} \equiv \sqrt{E_{\text{beam}}^{*2} - p_B^{*2}}$$
$$\Delta E^* \equiv E_B^* - E_{\text{beam}}^*$$

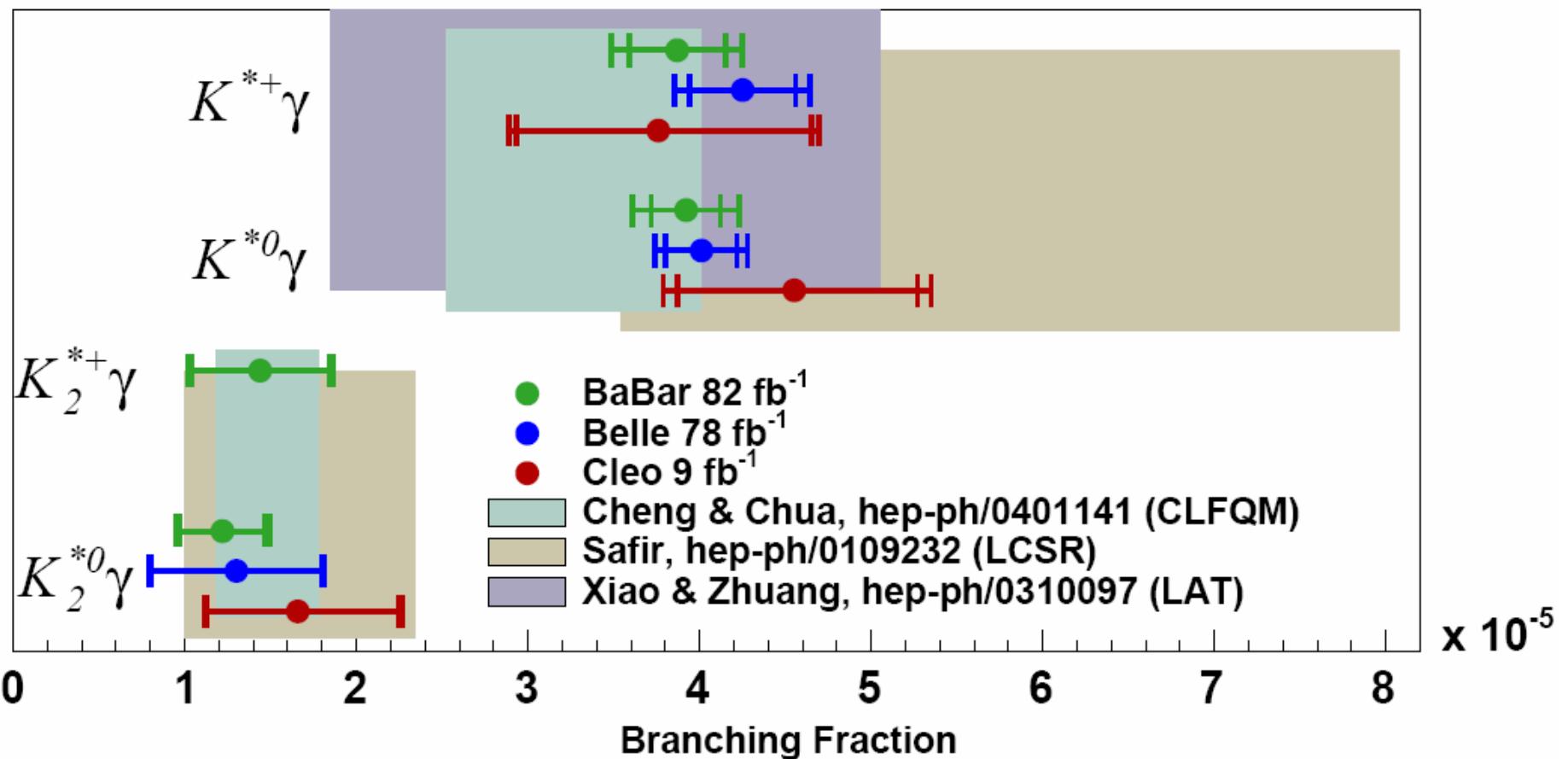
* denotes CM frame



For signal, $m_{\text{ES}} \approx m_B$, $\sigma(m_{\text{ES}}) \approx 3 \text{ MeV}$
 $\Delta E^* \approx 0$, $\sigma(\Delta E^*) \approx 50 \text{ MeV}$

$B \rightarrow K^* \gamma$ Branching Fractions: Summary

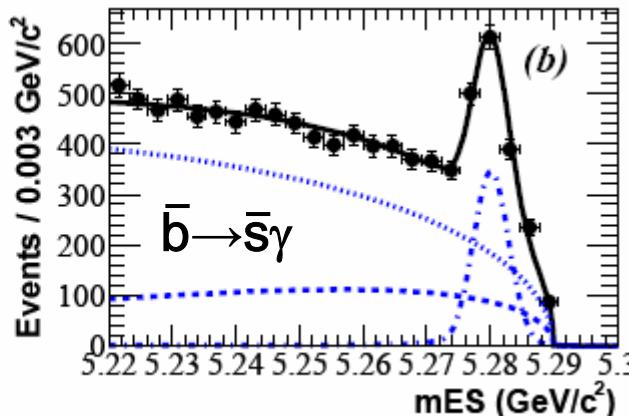
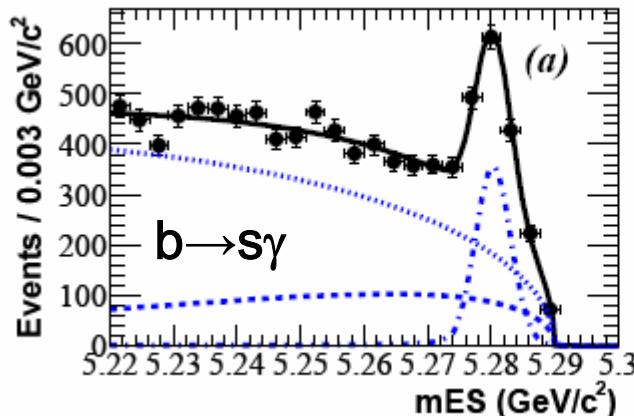
- BaBar preliminary measurements on 82 fb^{-1}
- Becoming systematics limited
- Exp. vs. theory: data more accurate than form factor predictions!



Direct CP Asymmetry: $b \rightarrow s\gamma$ and $B \rightarrow K^*\gamma$

< 1% in the SM, could receive ~10% contributions from new EW physics
 Either inclusive or exclusive decays could reveal new physics

B or K charge tags the flavor of the b quark with ~1-2% asymmetry systematic



Sum of 12 exclusive,
 self-tagging
 $B \rightarrow X_s \gamma$ final states

$X_s = K/K_s + 1-3$ pions
 $E\gamma^* > 2.14$ GeV

$$b \rightarrow s\gamma \quad A_{CP} = (N - \bar{N})/(N + \bar{N}) = 0.025 \pm 0.050 \pm 0.015$$

PRL 93 (2004) 021804, hep-ex/0403035

Asymmetries also measured precisely in exclusive $K^*\gamma$ decays:

$$B \rightarrow K^*\gamma \quad A_{CP} = -0.013 \pm 0.036 \pm 0.010$$

submitted to PRL, hep-ex/0407003

preliminary

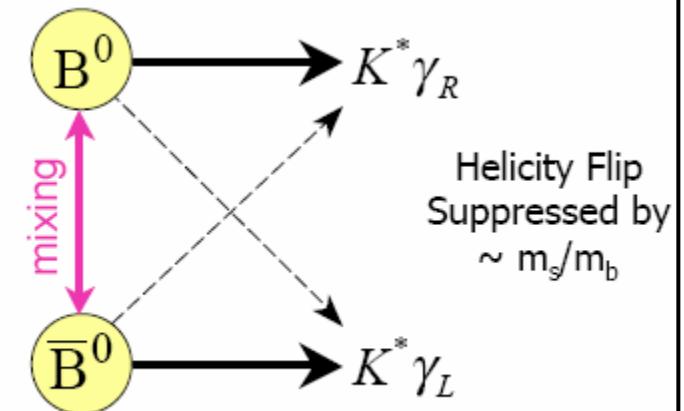
$$\Delta_{0-} = \frac{\Gamma(\bar{K}^{*0}\gamma) - \Gamma(K^{*-}\gamma)}{\Gamma(K^{*0}\gamma) + \Gamma(K^{*-}\gamma)} = 0.050 \pm 0.045 \pm 0.028 \pm 0.024$$

Time-Dependent CP Asymmetry in $B \rightarrow K^*\gamma$ (113 fb^{-1})

As in $B^0 \rightarrow J/\psi K_S$, interference between mixed and non-mixed decay to same final state required for CPV.

In the SM, mixed decay to $K^*\gamma$ requires wrong photon helicity, thus **CPV is suppressed**:

$$\text{In SM: } C = -A_{CP} \approx -1\% \quad S \approx 2(m_s/m_b)\sin 2\beta \approx 4\%$$

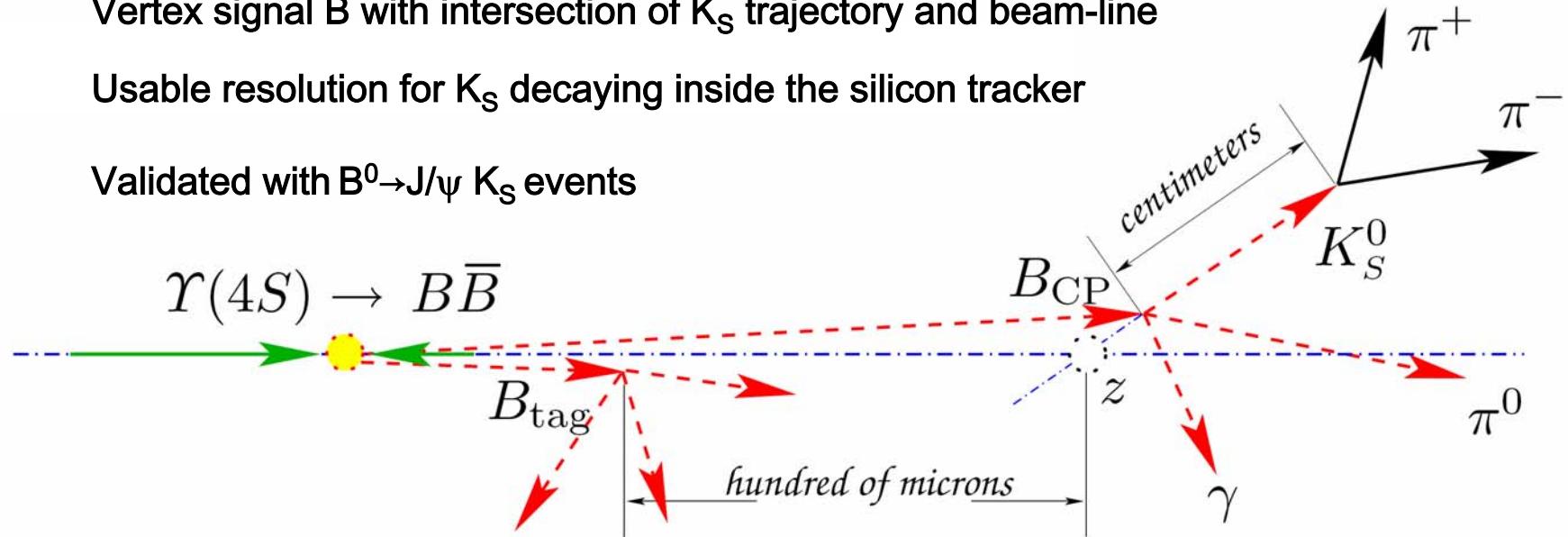


Measuring Δt of $K^*(\rightarrow K_S \pi^0) \gamma$ events requires novel **beam-constrained vertexing** technique:

Vertex signal B with intersection of K_S trajectory and beam-line

Usable resolution for K_S decaying inside the silicon tracker

Validated with $B^0 \rightarrow J/\psi K_S$ events



Time-Dependent CP Asymmetry in $B \rightarrow K^*\gamma$ (113 fb $^{-1}$)

Likelihood fit of three components

(q \bar{q} , B \bar{B} , K $^*\gamma$)

to 5D data

(m_{ES}, ΔE, Fisher, m_{K*}, Δt)

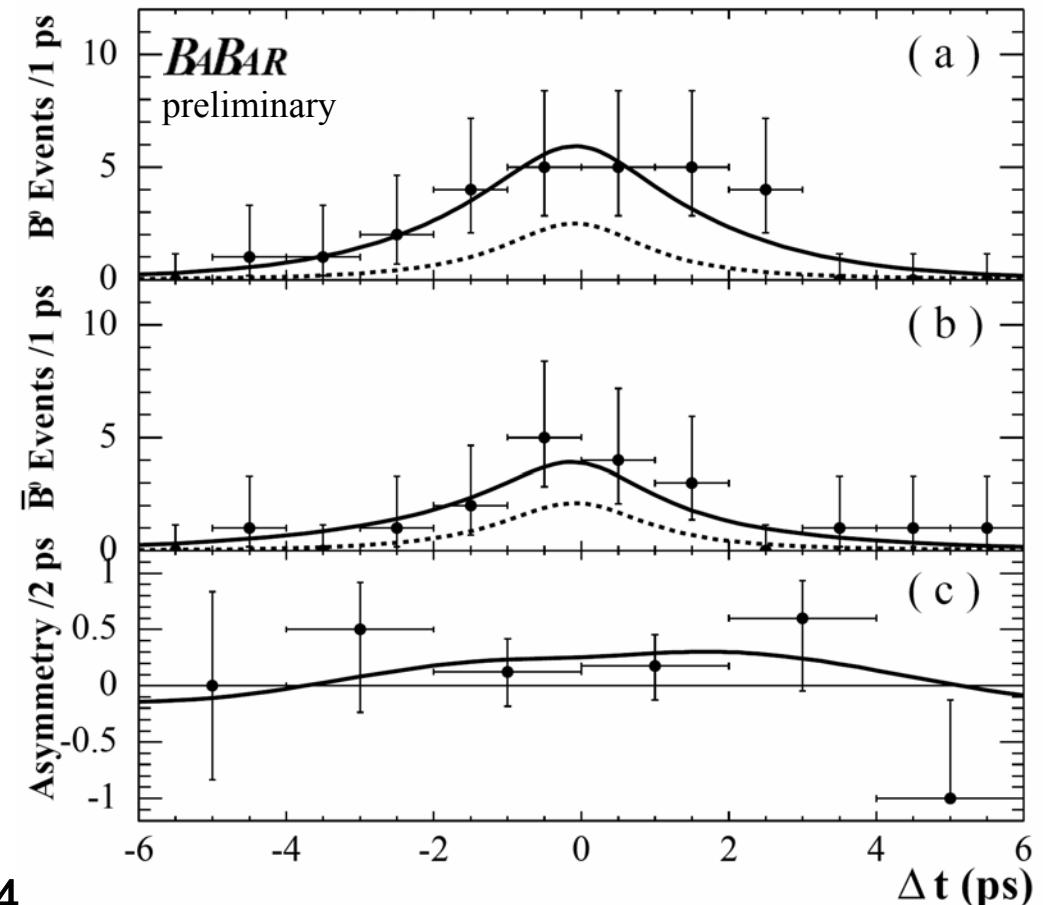
K $^*\gamma$ signal = 105 ± 14 events

$$S = +0.25 \pm 0.63 \pm 0.14$$
$$C = -0.57 \pm 0.32 \pm 0.09$$

submitted to PRL, hep-ex/0405082

Consistent with SM

For C fixed to 0, S = 0.25 ± 0.65 ± 0.14



First ever measurement of time-dependent CP asymmetries in radiative penguins!

Signal Fit: $B^+ \rightarrow \rho^+ \gamma$

$N(\text{signal}) = 26 \pm 15 \pm 2$
significance = 1.9σ

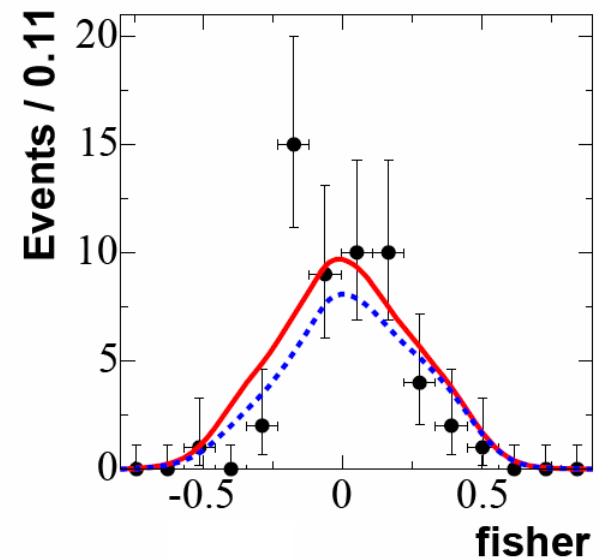
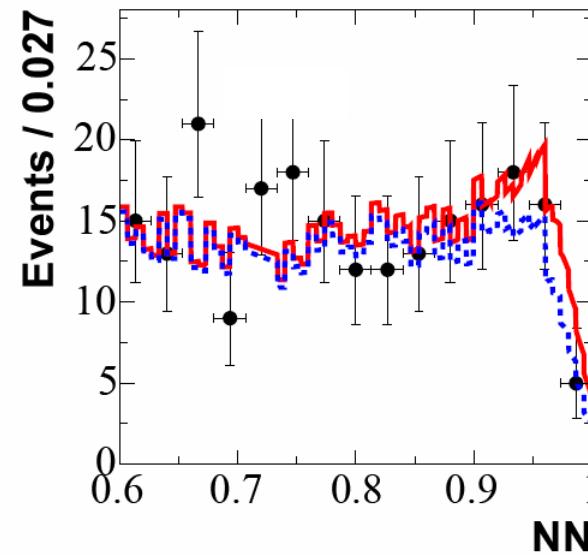
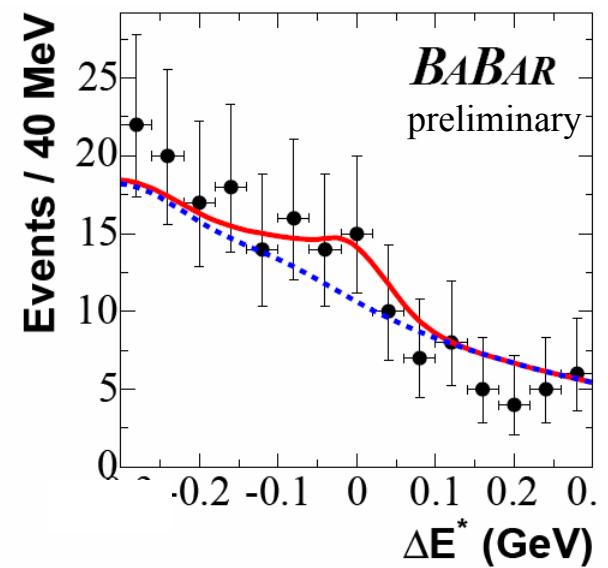
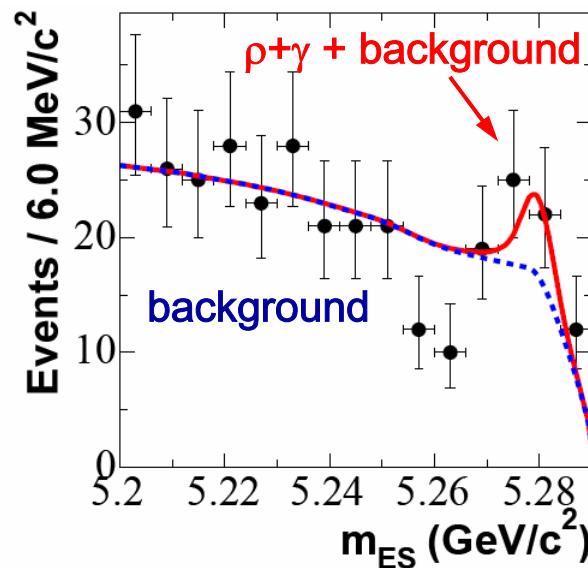
$\varepsilon = 13.2 \pm 1.4 \%$

$BF = (0.9 \pm 0.6 \pm 0.1) \times 10^{-6}$

$BF < 1.8 \times 10^{-6} \text{ 90\% CL}$

Fit of four backgrounds
($q\bar{q}$, $X_s \gamma$, $\rho^+(\pi^0/\eta)$, $K^*\gamma$)
and $\rho^+\gamma$ signal

$q\bar{q}$, $X_s \gamma$, $\rho^+\gamma$ yield are free
parameters



Signal Fit: $B^0 \rightarrow \rho^0 \gamma$

$N(\text{signal}) = 0.3^{+7.2 +1.7}_{-5.4 -1.6}$

significance = 0.0σ

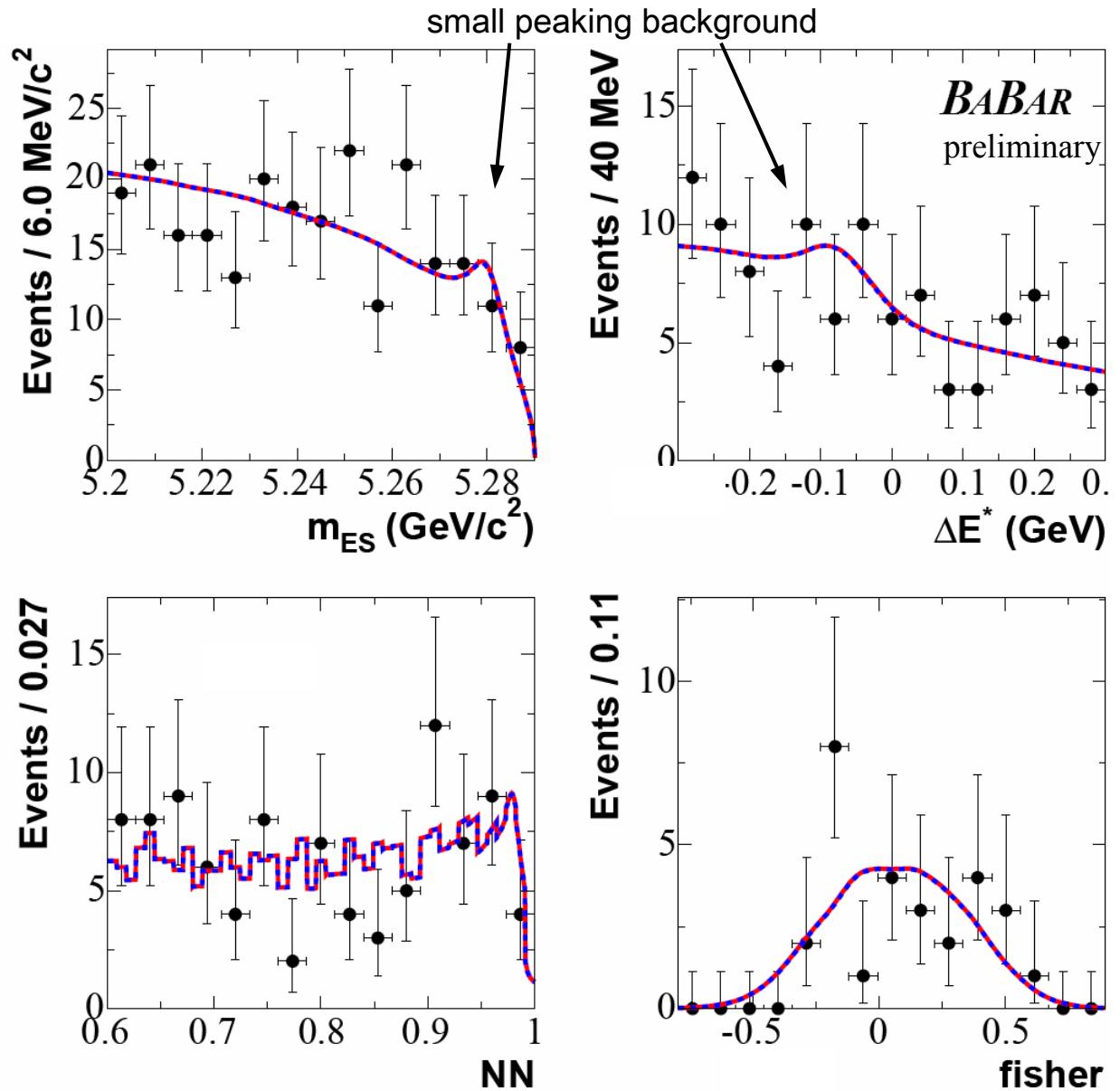
$\varepsilon = 15.8 \pm 1.9 \%$

$\text{BF} = (0.0 \pm 0.2 \pm 0.1) \times 10^{-6}$

$\text{BF} < 0.4 \times 10^{-6} \text{ 90\% CL}$

Fit of four backgrounds
($q\bar{q}$, $X_s \gamma$, $\rho^0(\pi^0/\eta)$, $K^*\gamma$)
and $\rho^0\gamma$ signal

$q\bar{q}$, $X_s \gamma$, $\rho^0\gamma$ yield are free parameters



Signal Fit: $B^0 \rightarrow \omega \gamma$

$N(\text{signal}) = 8.3^{+5.7 +1.3}_{-4.5 -1.9}$

significance = 1.5σ

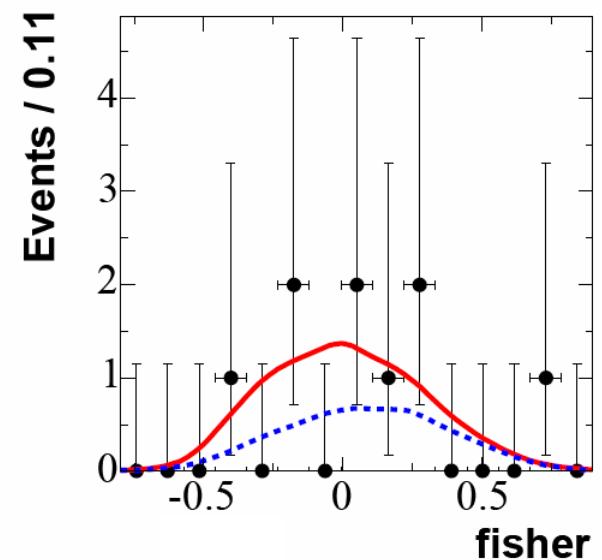
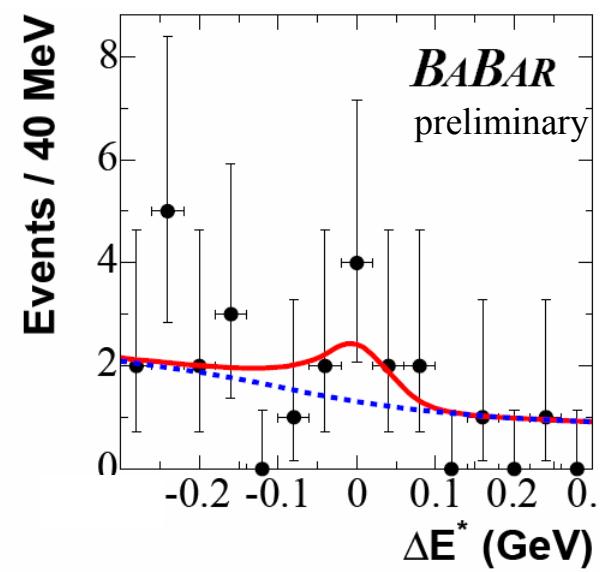
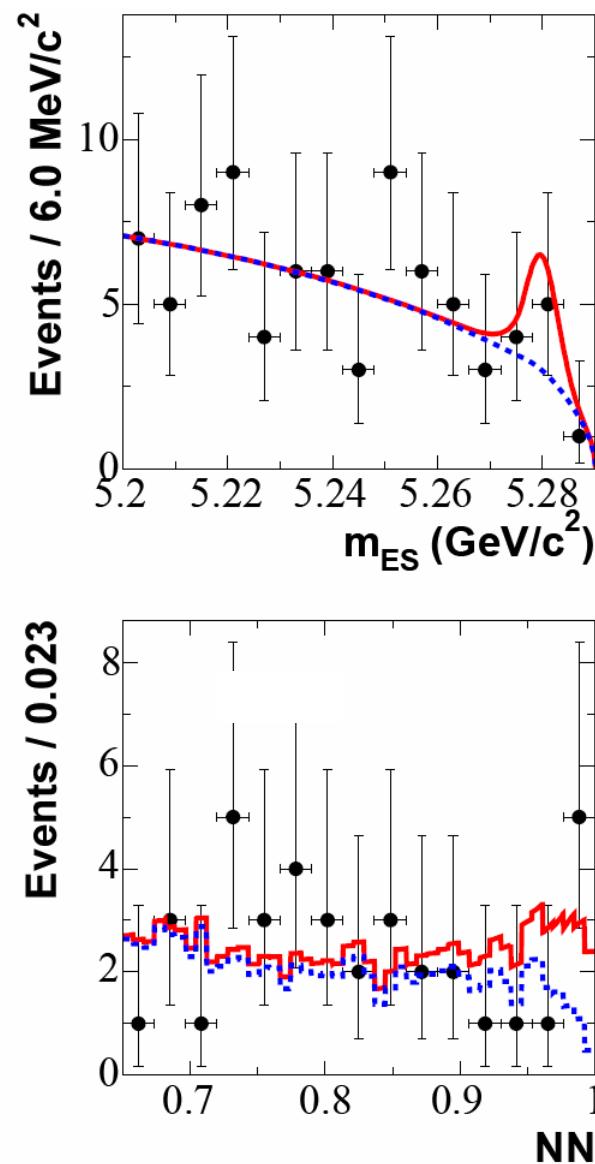
$\varepsilon = 8.6 \pm 0.9 \%$

$\text{BF} = (0.5 \pm 0.3 \pm 0.1) \times 10^{-6}$

$\text{BF} < 1.0 \times 10^{-6} \text{ 90\% CL}$

Fit of two backgrounds
($q\bar{q}$, $\omega(\pi^0/\eta)$)
and $\omega\gamma$ signal

$q\bar{q}$, $\omega\gamma$ yield are free
parameters



Summary

- Decay rate measurements of $b \rightarrow s\gamma$ penguins are well into the precision era.
- CP asymmetries of $b \rightarrow s\gamma$ penguins are statistics limited and will continue to test the SM

A_{CP} in $B \rightarrow X_s \gamma$ PRL 93 (2004) 021804, hep-ex/0403035

BF, A_{CP} , and Isospin asymmetry in $B \rightarrow K^*\gamma$ submitted to PRL, hep-ex/0407003

time-dependent CPV in $B^0 \rightarrow K^{*0}\gamma$ submitted to PRL, hep-ex/0405082 **First measurement!**

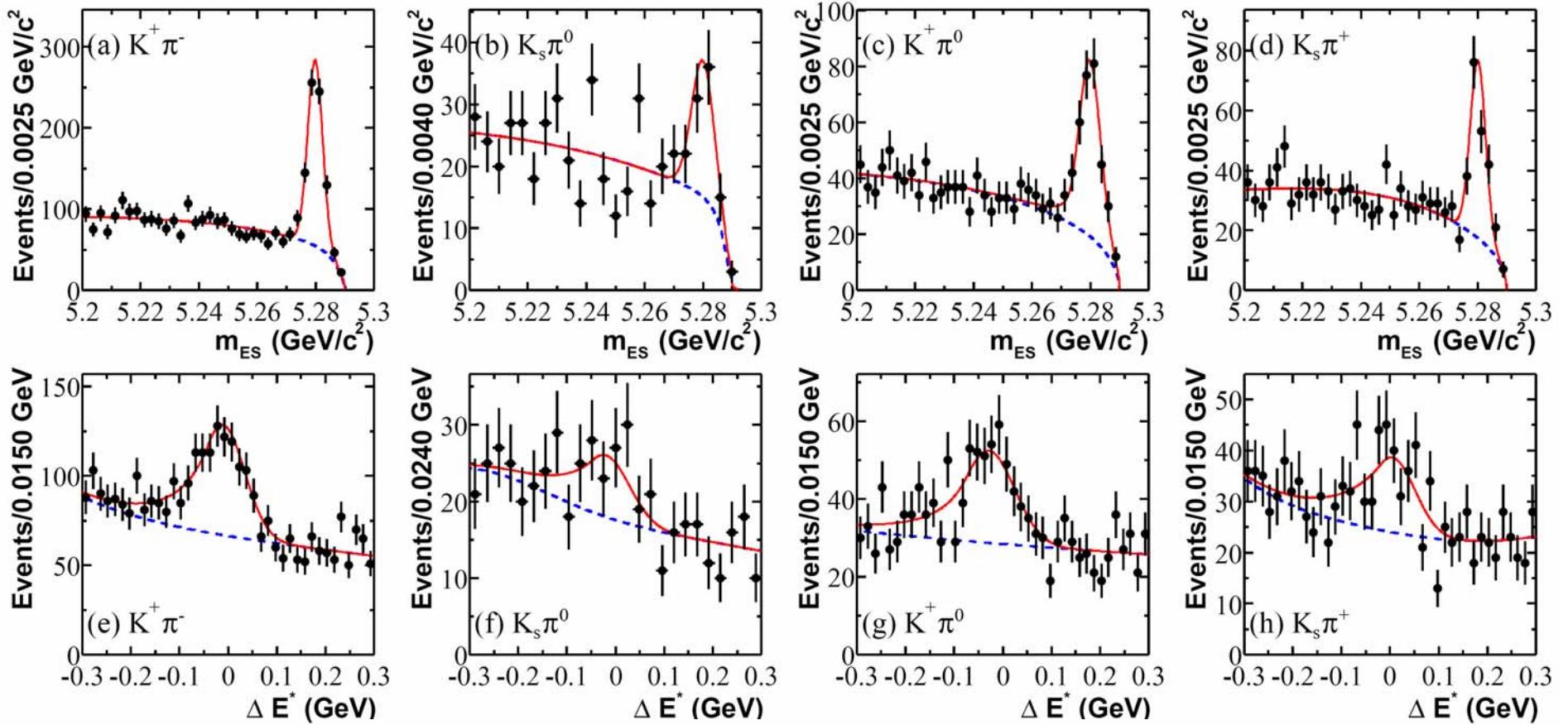
$b \rightarrow d\gamma$ penguins are only now beginning to reveal themselves in B-factory data.
They could also uncover new physics, or measure the poorly known $|V_{td}|$.

BaBar finds no evidence for $B \rightarrow \rho\gamma$ in 211 million $B\bar{B}$ events

submitted to PRL, hep-ex/0408034

$BF(B \rightarrow \rho, \omega \gamma) < 1.2 \times 10^{-6} \quad |V_{td}/V_{ts}| < 0.19 \text{ (90% CL)}$

B \rightarrow K $^*\gamma$ (82 fb $^{-1}$): Signal fits



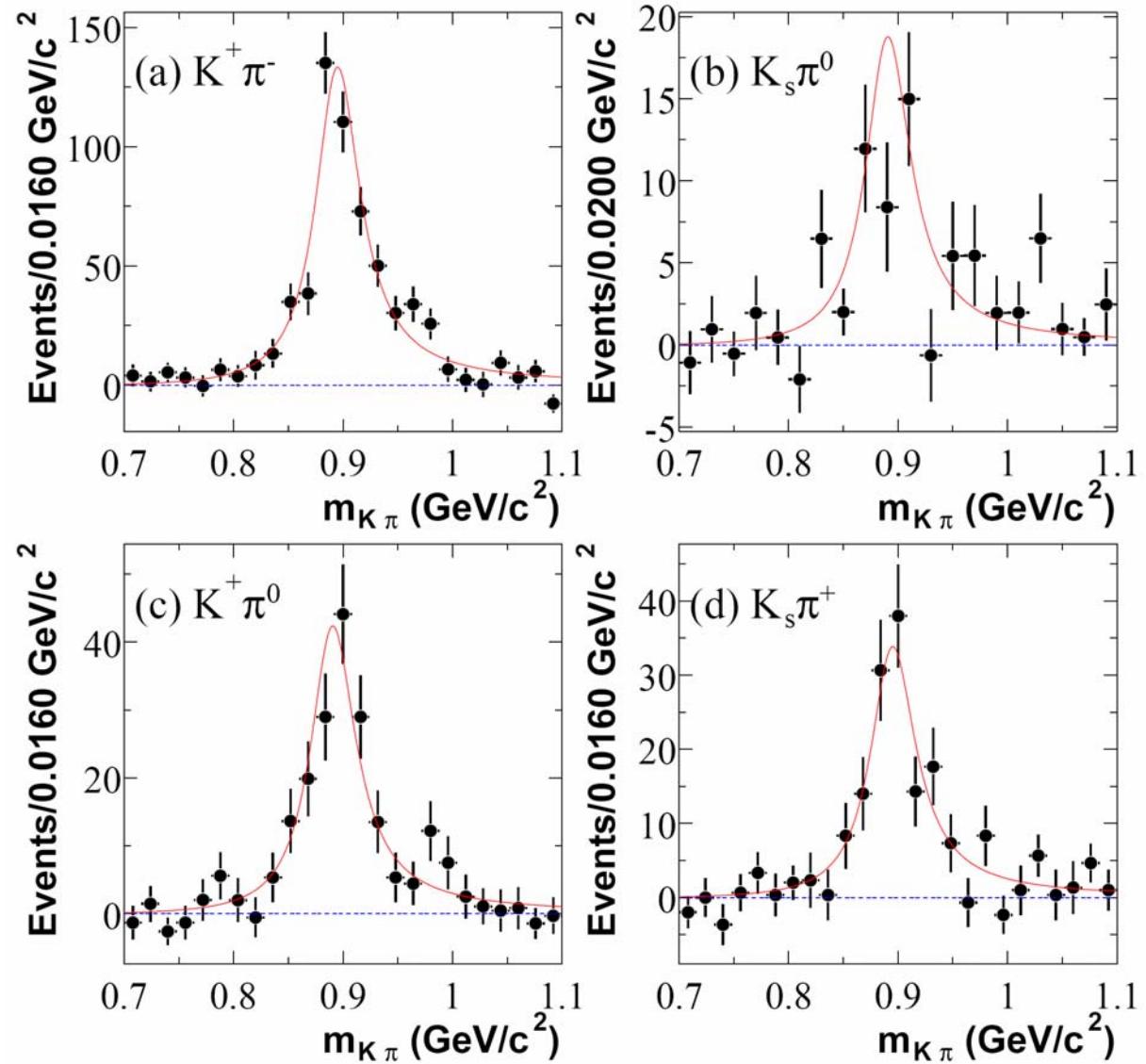
Mode	$\epsilon(\%)$	N_S	$\mathcal{B} (\times 10^{-5})$
$K^+ \pi^-$	24.4 ± 1.4	583 ± 30	$3.92 \pm 0.20 \pm 0.23$
$K_s \pi^0$	15.3 ± 1.9	62 ± 15	$4.02 \pm 0.99 \pm 0.51$
$K^+ \pi^0$	17.4 ± 1.6	251 ± 23	$4.90 \pm 0.45 \pm 0.46$
$K_s \pi^+$	22.1 ± 1.4	157 ± 16	$3.52 \pm 0.35 \pm 0.22$

B → K*γ (82 fb⁻¹): K*γ lineshape

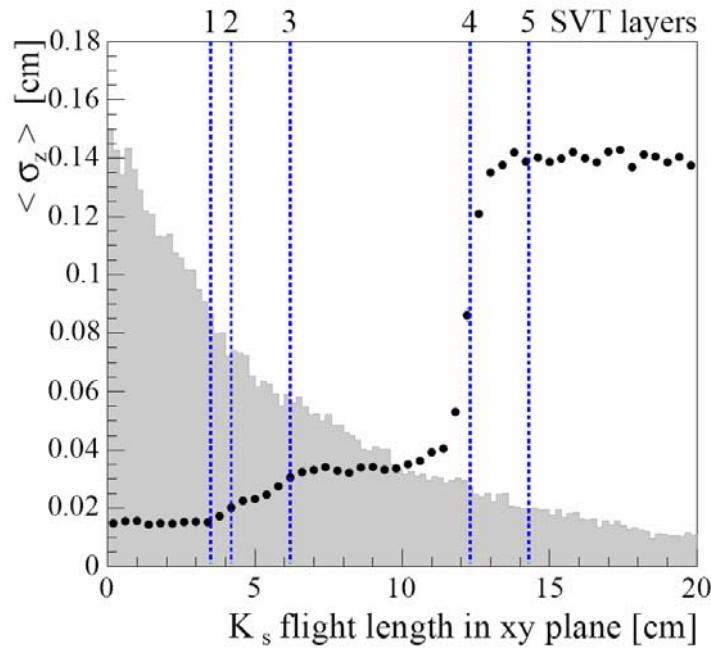
K* lineshape for events with:
 $m_{ES} > 5.27 \text{ GeV}/c^2$
 $-0.2 \text{ GeV} < \Delta E < 0.1 \text{ GeV}$

Background subtracted with shape from m_{ES} sideband

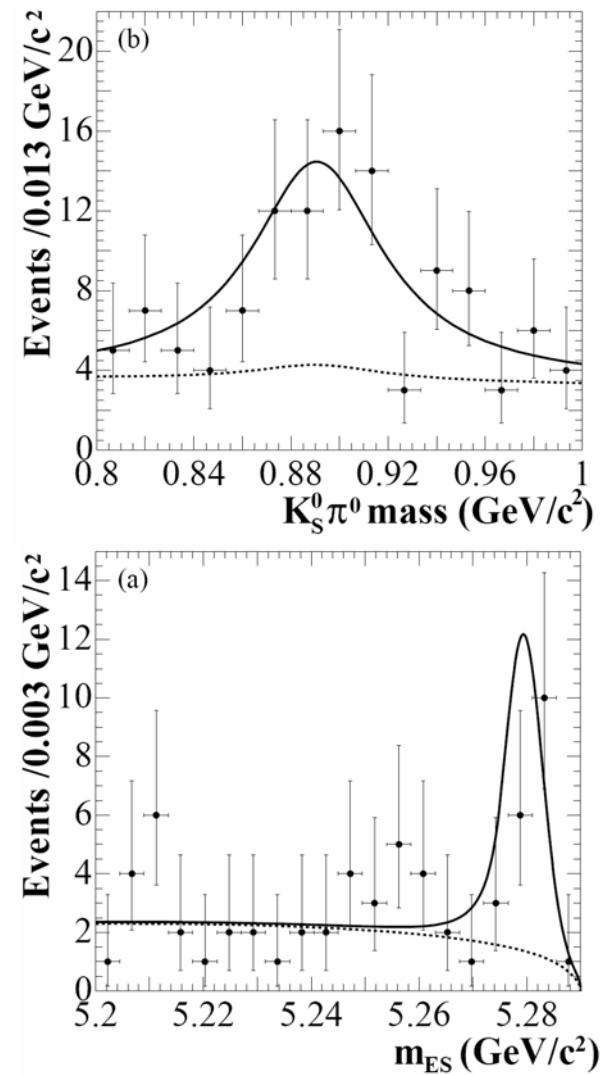
Fit to relativistic Breit-Wigner with PDG $\Gamma(K^*)$, m_{K^*}



Time-Dependent CP Asymmetry in $B \rightarrow K^*\gamma$

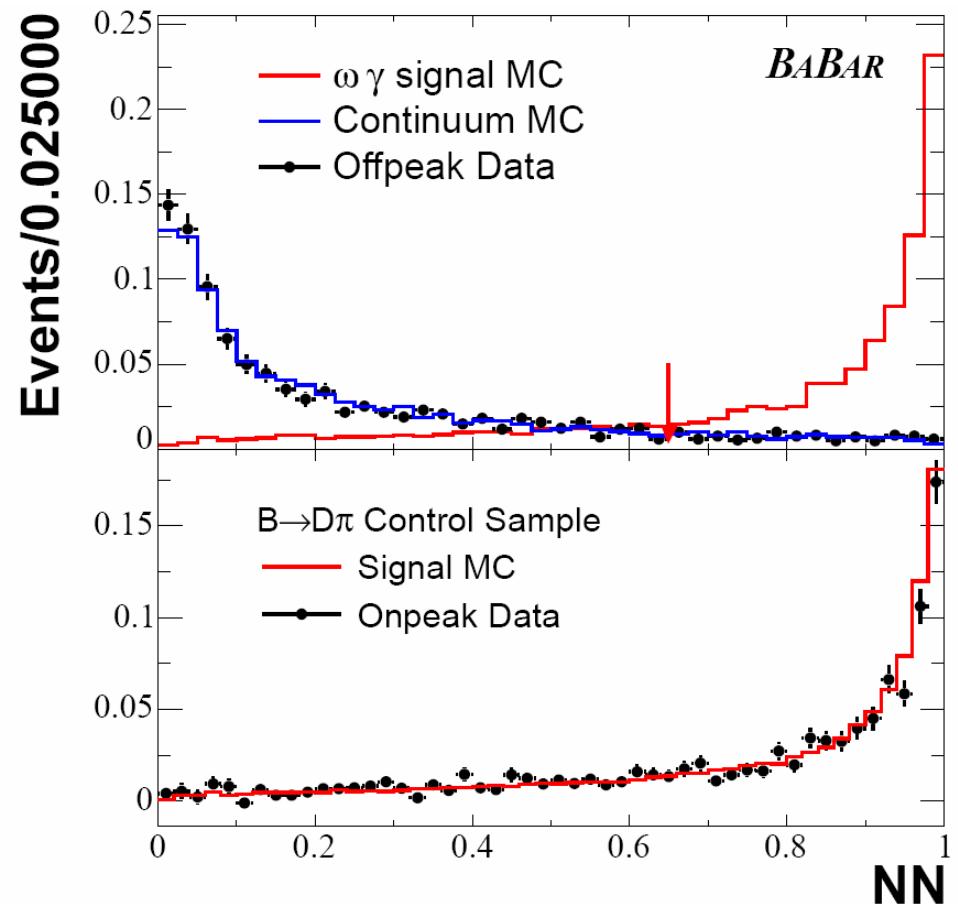
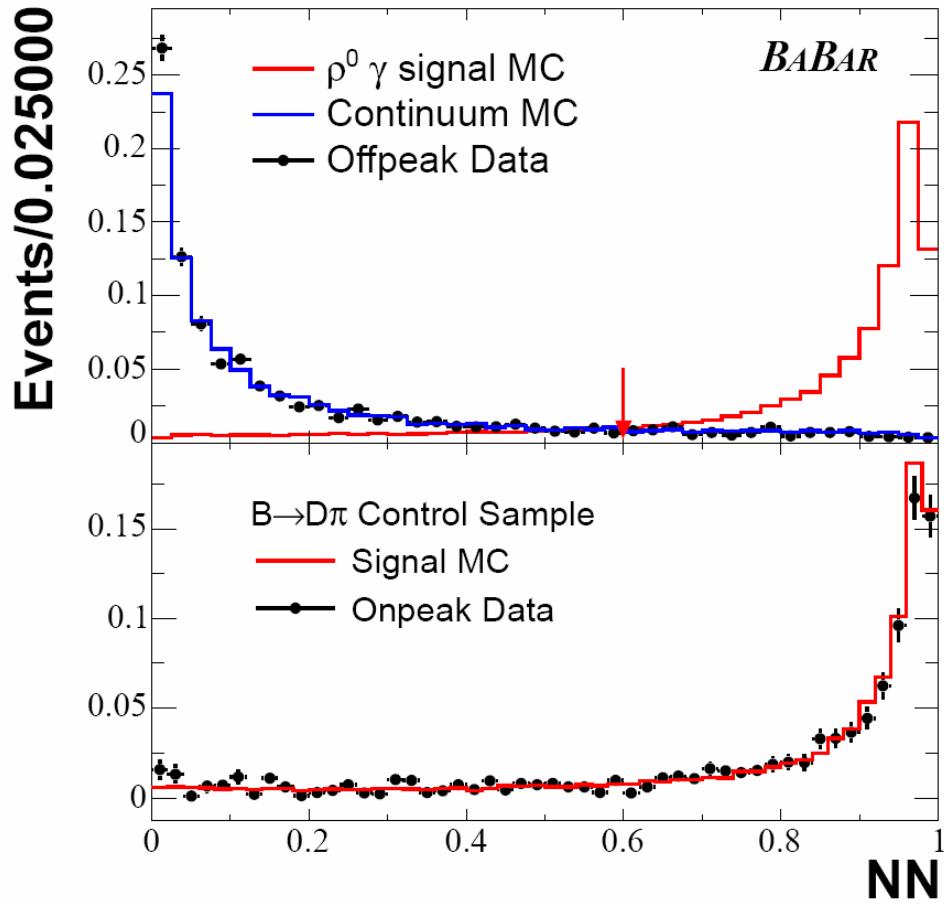


Z resolution vs. K_S decay radius

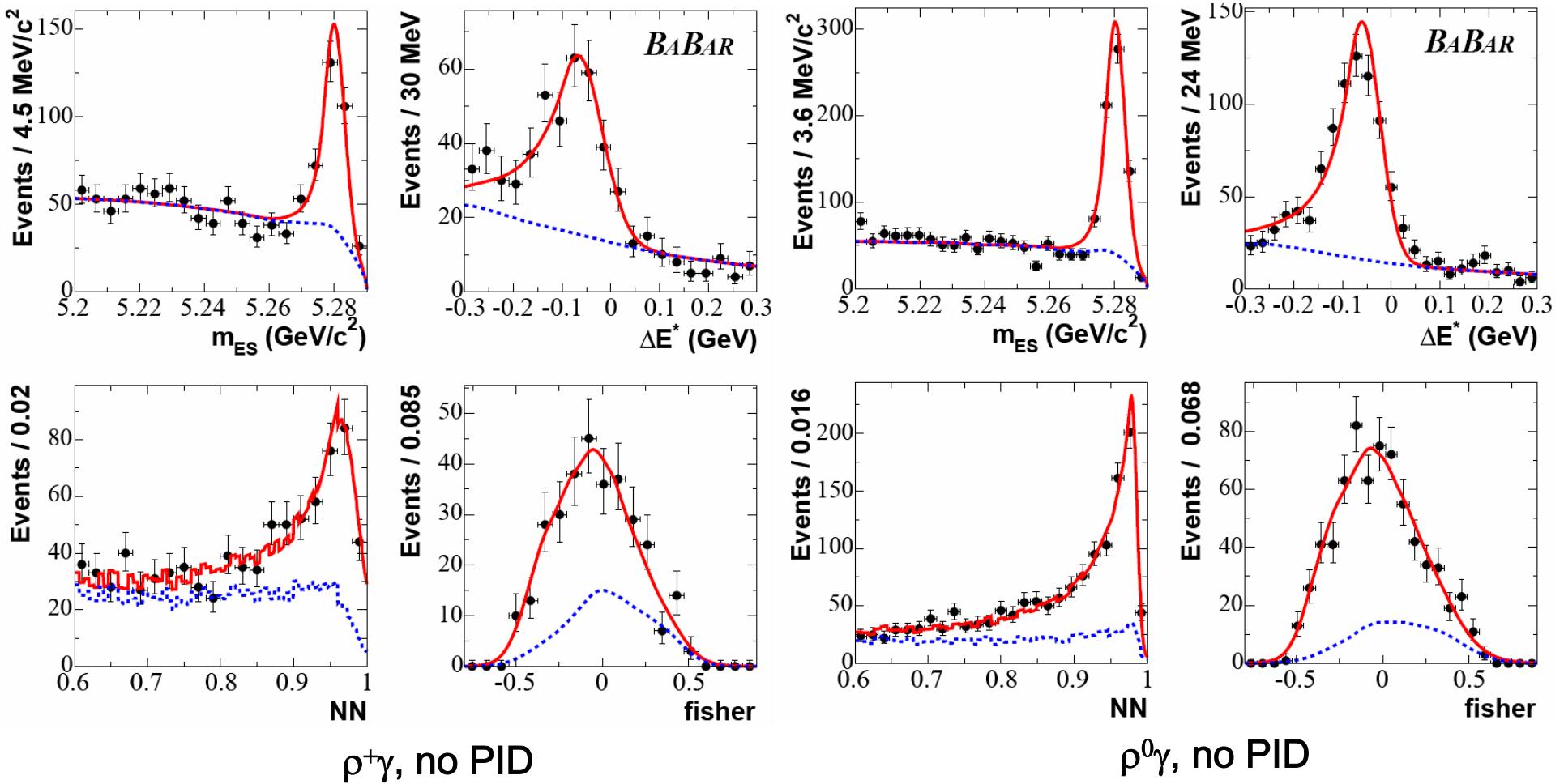


Projection of fit with likelihood cut

$B \rightarrow \rho\gamma$: Neural net performance



$B \rightarrow \rho\gamma$: $K^*\gamma$ Control Sample



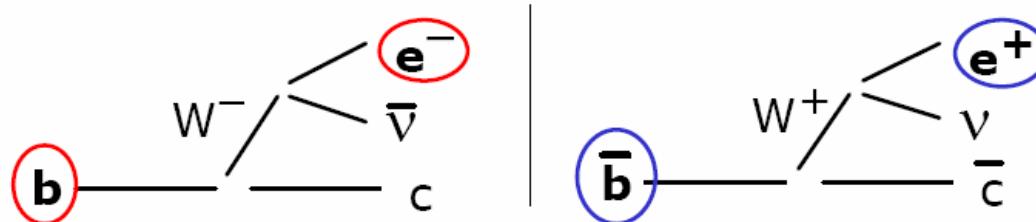
Flavor tagging

CP asymmetry is between $B^0 \rightarrow f$ and $\bar{B}^0 \rightarrow f$

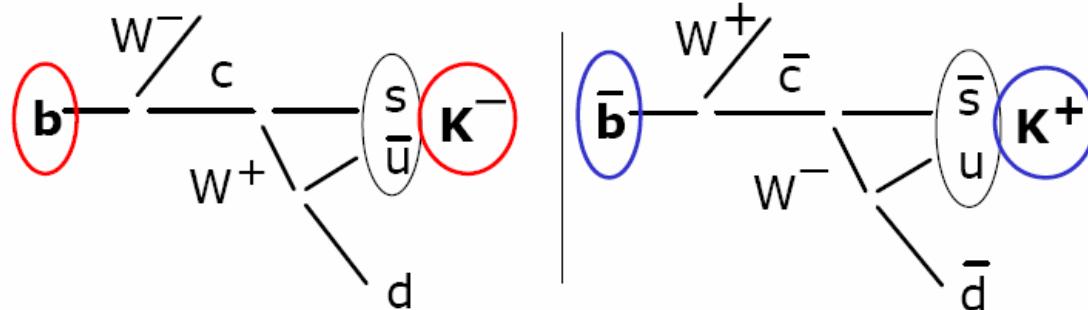
Must tag flavor at $\Delta t=0$ (when we know flavor of two Bs is opposite).

Use decay products of *other* (tag) B.

Leptons : Cleanest tag. Correct >95%



Kaons : Second best. Correct 80-90%



Full tagging algorithm combines all in neural network

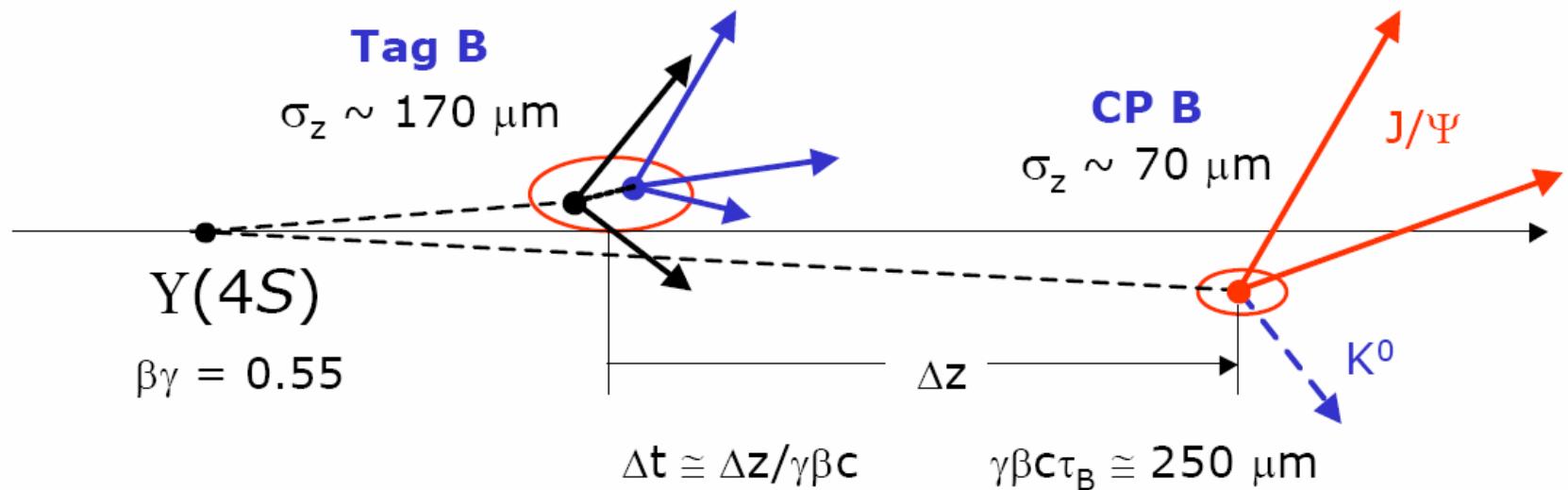
Four categories based on particle content and NN output.

Tagging performance

$$\sum_i \epsilon_i (1 - 2\omega_i)^2 = 28\% !$$

Measurement of Δt

- $J/\Psi \rightarrow l^+l^-$ dominates in determination of CP vertex.
- Tracks not from CP B combined to form tag vertex.
 - Tracks with large χ^2 iteratively removed.
 - Long-lived particles (K_s , Λ) explicitly reconstructed.
 - Photon conversions ($\gamma \rightarrow e^+e^-$) removed.
- Vertex incorporates constraint from average beam position.
- Efficiency for CP sample 97 % (95% after $|\Delta t| < 20$ ps, $\sigma_{\Delta t} < 2.5$ ps)



CKM matrix constraint

Ali et al. hep-ph/0405075

$$\frac{\overline{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left(\frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

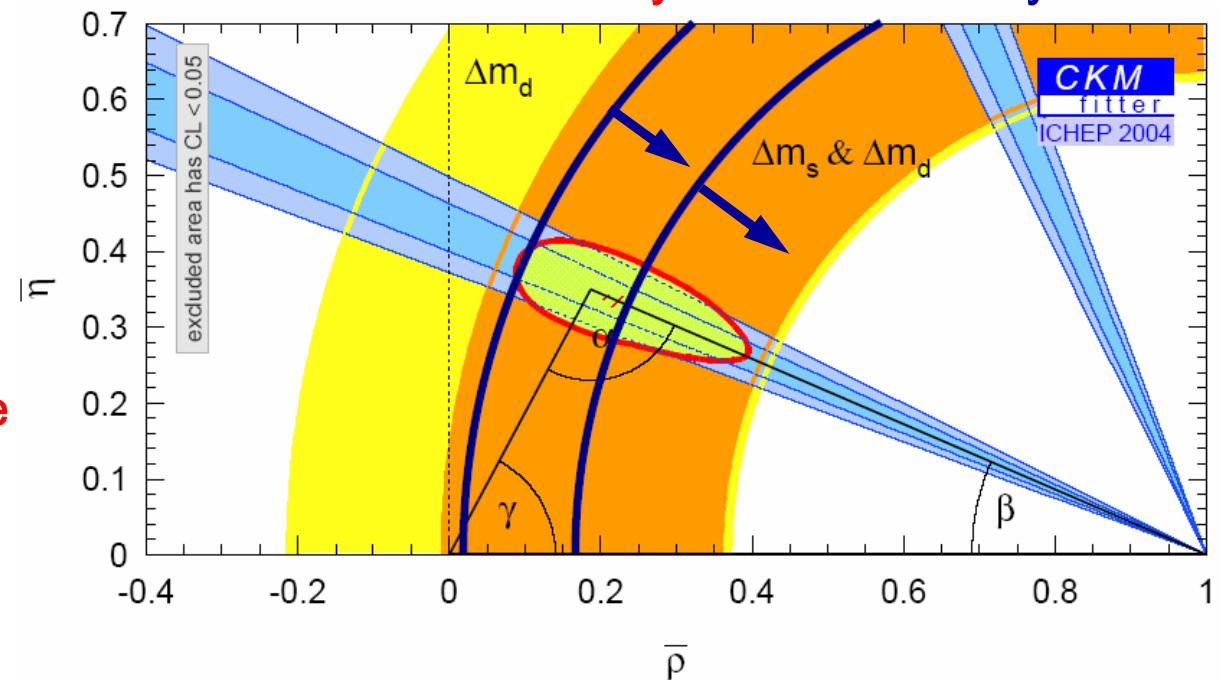
SU(3) breaking of
form factors $\zeta^2 = 0.85 \pm 0.10$

weak annihilation
correction $\Delta R = 0.1 \pm 0.1$

$(\zeta^2, \Delta R) = (0.75, 0.00)$ $(\zeta^2, \Delta R) = (0.85, 0.10)$
theory error no theory error

Penguins are starting to provide meaningful CKM constraint

Reduction of theory errors necessary to be competitive with B_d, B_s mixing



$\rho\gamma$ 95% C.L. BaBar allowed region (inside the blue arc)