

CLINICAL REVIEW

Achalasia: Current therapeutic options

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Abstract

Achalasia is an esophageal motor disorder characterized by impaired relaxation of the lower esophageal sphincter (LES) and absent peristalsis in the smooth muscle esophageal body. As a result, patients typically experience dysphagia, regurgitation, chest pain, and weight loss. Over the past 10–15 years, there has been a resurgence of interest in the evaluation of therapies for achalasia. Unfortunately, little progress in the development of effective pharmacological treatments has been made. Botulinum toxin injection provides some relief of symptoms in many patients but requires periodic reinjection that may provide progressively less benefit over time. There are now three well-established, safe, and effective therapies for the treatment of achalasia: pneumatic dilation (PD), laparoscopic Heller myotomy (LHM), and peroral endoscopic myotomy (POEM) which can lead to marked symptom improvement in most patients. Each treatment has a specific constellation of risks, benefits, and recurrence rate. The first-line treatment used will depend on patient preference, achalasia subtype, and local expertise. The recent impressive advances in both the art and science of achalasia therapy are explored with a comprehensive review of the various treatment modalities and comparative controlled clinical trials. In addition, key technical pearls of the procedural treatments are demonstrated.

KEYWORDS

achalasia, botulinum toxin, laparoscopic Heller myotomy, peroral endoscopic myotomy, pneumatic dilation

1 | INTRODUCTION

Achalasia is an esophageal motor disorder characterized by impaired relaxation of the lower esophageal sphincter (LES) and absent peristalsis in the smooth muscle esophageal body. As a result, patients typically experience dysphagia, regurgitation, chest pain, and weight loss. Earlier studies estimated the incidence of achalasia at 0.03–1.63/100,000 persons per year and the prevalence from 1.8–12.6/100,000 persons per year.^{1,2} However, recent studies suggests that the incidence and prevalence may be at least 2-fold greater than this,³ perhaps related to increased recognition since the adoption of high-resolution manometry (HRM).

Three subtypes of achalasia have now been defined⁴ (Figure 1). In all types, there is impaired relaxation of the LES. In type I achalasia, there is dilation of the esophagus and virtually no pressure

generation in the esophageal body during swallowing. In Type II, the esophagus tends to be less dilated and swallows result in pan-esophageal pressurization (common cavity phenomenon), which appears to be due to the bolus entering a closed cavity. This is the most common achalasia subtype. Type III achalasia (also known as vigorous achalasia) is characterized by significant "spastic" simultaneous contractions throughout the smooth muscle esophageal body.

Numerous pathophysiological studies support the hypothesis that the disease is due to loss of intrinsic inhibitory innervation, and in particular nitrergic neurons, within the myenteric plexus.⁵ These intrinsic nitrergic neurons are responsible not only for the relaxation of the LES but also for the sequencing of peristaltic contractions in the smooth muscle esophagus. Interestingly, pharmacological studies suggest that this denervation in the myenteric plexus is limited to the inhibitory innervation, in that the excitatory cholinergic

responses appear intact.⁶⁻⁸ Achalasia is characterized pathologically by a myenteric plexopathy, with infiltration of lymphocytes and associated destruction of the myenteric plexus neurons.⁹⁻¹⁵ The degree of destruction seems to vary depending on the type and stage of achalasia,^{12,14,15} with the degree of aganglionicosis greatest in Type I achalasia and least in Type III.

The functional consequence of this pathophysiology is markedly impaired transit of food and fluid across the LES. Accordingly, a number of treatment strategies have evolved directed at ablating or at least lowering the resting LES pressure. This review will summarize currently available treatments for achalasia, focusing on recent developments, relative efficacy, and technical details of the different therapeutic approaches.

2 | TREATMENT MODALITIES

2.1 | Pharmacologic therapy

A drug taken just before meals that profoundly and selectively relaxes the LES during subsequent meal ingestion is arguably the most logical therapeutic approach to achalasia, in that it would alleviate dysphagia without causing permanent damage to the anti-reflux barrier. Unfortunately, no such ideal drug exists. A number of agents do relax the LES, but they are non-selective and

generally not capable of consistently inducing sufficient relaxation without associated side effects. Furthermore, because their effect may be short-lived and time of onset variable, there can be a significant disconnect between the time of food ingestion and the peak relaxation effect of the drug. Accordingly, because of its long duration of action, the most popular pharmacologic approach to achalasia remains botulinum toxin injection into the LES circular smooth muscle. Nevertheless, a small subset of achalasia patients may benefit from smooth muscle relaxants administered orally or sublingually.

2.1.1 | Smooth muscle relaxants

Because of their ability to reduce resting LES pressures, nitrates, Ca⁺⁺ channel blockers, anticholinergics, and phosphodiesterase inhibitors (sildenafil) have all been used in the treatment of achalasia, but outcome data from controlled clinical trials are limited (see Ref. [16]). Most reported experience is with nifedipine, either taken orally or sublingually prior to meals. In the placebo-controlled trials, resting LES pressure significantly declined post nifedipine ingestion when compared to placebo; however, improvement in symptoms versus placebo was inconsistent.^{17,18}

Although less well-studied, it appears that isosorbide dinitrate may be more efficacious than nifedipine, in that it appears to

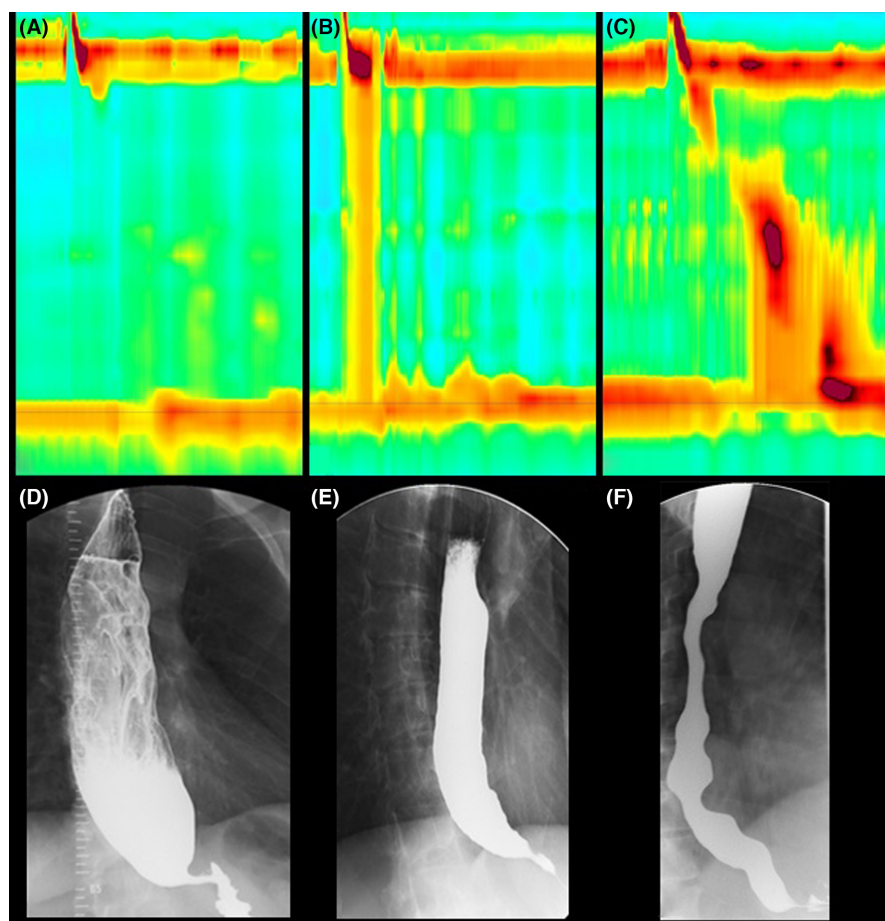


FIGURE 1 High-resolution manometry and Barium X-Ray features of Achalasia subtypes. All display impaired lower esophageal sphincter (LES) relaxation, usually characterized by a “bird-beak” narrowing at the LES radiographically (C, D). In type I, there is no significant pressure activity within the esophageal body in response to swallows, and the barium study typically reveals marked esophageal dilation (A, D). In type II, swallows result in panesophageal pressurization and the degree of esophageal dilation is less marked (B, E). In type III there are simultaneous contractions in the esophageal body that often produces a corkscrew effect on barium X-Ray (C, F).

produce better reduction in resting LES pressure and improvement in esophageal emptying.¹⁹ Isosorbide dinitrate has also been shown to be efficacious in a placebo-controlled trial in patients with achalasia due to Chaga's disease.²⁰

2.1.2 | Botulinum toxin

Given the selective loss of intrinsic inhibitory innervation in the LES and thus unopposed excitation, it was hypothesized that inhibiting the LES cholinergic excitation with botulinum toxin (BoTox) could lower resting LES pressure, with proof of concept demonstrated in a porcine model.²¹ Subsequently, a small RCT in humans²² in which four-quadrant injection of botulinum toxin (20 units in 1 ml per quadrant) was compared to equal volume saline injection demonstrated significant improvement in symptom scores, resting LES pressure, esophagogastric junction (EGJ) opening, and esophageal retention of barium that largely persisted at 6-month follow-up. Subsequently, a large number of observational studies and controlled clinical trials (see Ref. [23]) have confirmed the efficacy of this intervention.

A systemic review and meta-analysis of 22 uncontrolled studies in 730 patients revealed a success rate (based on Eckardt score declining to ≤ 3) of 77% (95% CI: 72%–81%), with follow-ups ranging from 1–6 months.²³ Unfortunately, symptom relapse in more than 60% of patients by 1 year, requiring retreatment.²⁴ It appears that the response rate with retreatment may decline.²⁵ Objective evidence of clinical efficacy is less impressive; however,^{22,26,27} there is evidence that BoTox has greater efficacy in elderly patients and patients with type III achalasia,²⁸ which is important because the elderly often have co-morbidities that make more invasive treatment less desirable, and type III achalasia responds less well to pneumatic dilation and Heller myotomy.

The dose of BoTox injected has varied in different trials, but to date, there is no evidence that a total dose higher than 100 units injected into four quadrants of the LES produces better results. However, routine repeat injection of 100 U at 1 month appears to prolong response duration.²⁹ It is likely that the depth of injection is important (i.e. submucosal vs. intramuscular injection). In a series of patients undergoing Heller myotomy following previous BoTox treatment, it was reported that there was usually a serosal reaction in patients who had responded well to BoTox treatment but not in those who had no symptomatic response.³⁰ It has been suggested that endoscopic ultrasound-guided injection³¹ or injecting via a retroflexed view of the cardia³² may afford more reliable delivery of BoTox into the LES muscle, but to date, there have been no studies demonstrating improved outcome using these methods as compared to standard technique. A video demonstrating practical details of the BoTox injection technique can be viewed here (Video S1).

Although quite safe, approximately 5% of patients experience chest or epigastric pain after the procedure, and there has been one reported death secondary to mediastinitis.³³

2.2 | Pneumatic dilation

Pneumatic dilation (PD) aims to disrupt the LES muscularis propria fibers to alleviate the EGJ outflow obstruction, using an air-filled non-compliant polyethylene balloon. The most commonly used is the Rigidflex (Boston Scientific) balloon dilator that is available in three diameters: 30, 35, and 40 mm. PD is performed on an outpatient basis with conscious sedation and is most commonly carried out using a guidewire and fluoroscopy to position the balloon but can also be done by placing the balloon across the EGJ under direct endoscopic visualization. An endoscopy is performed beforehand to rule out other pathology, to determine landmarks, and to clear the esophagus of any retained fluid or food. The location of the EGJ can also be marked during the initial endoscopy by making a mental note of its location using fluoroscopy while the tip of the endoscope sits at the EGJ, injecting a small amount of radiopaque contrast material submucosally at this location or using a radiopaque marker placed on the surface of the patient's body corresponding to the tip of the endoscope. Once a guidewire is positioned across the EGJ and into the antrum, the balloon is advanced over the guidewire and positioned so that it straddles the EGJ. Radio-opaque markers inside the balloon facilitate this fluoroscopy-guided positioning. The smallest balloon diameter (30 mm) is usually selected first, with larger diameters used subsequently if response is suboptimal. Proper positioning can be confirmed by inflating a small amount of air into the balloon and observing the position of the LES as it forms a "waist" on the balloon. If necessary, the balloon can then be either advanced or retracted so that the waist is roughly centred on the balloon. The balloon is then rapidly inflated until its waist is seen to flatten out. Reported dilation durations have been variable with many operators holding inflation for a full minute. However, RCTs comparing 15–60 s³⁴ and 6–60 s³⁵ have found no difference in the outcome. Reported balloon pressures range from 7–15 psi. In most centres, patients undergoing PD subsequently undergo a gastrograffin swallow (followed by a barium esophagram if no obvious leak seen) to ensure absence of esophageal perforation prior to discharge. This can be done by infusing contrast material endoscopically under fluoroscopic control at the end of the procedure,³⁶ thereby obviating the need to use the X-ray department and providing an opportunity for prompt endoscopic repair if a perforation is demonstrated. If perforation is strongly suspected on clinical grounds, a contrast study should be avoided. Instead, a computed tomography to assess the presence of free air has been advocated.³⁷ Most perforations after PD can be treated conservatively, and only a minority require a surgery. Practical details of the PD technique can be reviewed in Video S2.

Predictors of favorable clinical outcomes after PD include female sex, age >45 years, esophageal diameter >3 cm, type II achalasia, increased emptying on post-treatment timed barium esophagram, and LES pressure after dilation of less than 10 mmHg.³⁸ Recently, the change in EGJ distensibility as measured by the immediate pre- and post-dilation Functional Luminal

Imaging Probe (FLIP) measurements has been reported to accurately predict early clinical success of PD.³⁹ However, it is unclear whether this provides a practical advantage over early clinical reassessment.

Many centres perform PD on an “as needed” basis, using either the same or an increased balloon diameter depending on the success and duration of symptom relief following the initial procedure. For example, if symptom improvement is suboptimal or the duration of symptom resolution relatively short, then most operators would repeat with a larger balloon. On the other hand, if initial symptom resolution lasts a year or more, repeating with the same diameter balloon makes sense. Alternatively, a protocol involving routine repeat PD with a larger balloon within weeks of the initial procedure (irrespective of initial symptom response) is used by others.⁴⁰

To our knowledge, there are no trials examining the efficacy of PD versus sham dilation. A recent meta-analysis²³ of 52 uncontrolled studies in 4166 achalasia patients found an overall clinical success rate of 83% (95% CI: 79%–85%) with a 3–6 month follow-up. Average resting LES pressure declined from 34 to 21 mmHg. Perforation was reported in 2.8% (95% CI: 2.3%–3.5%), although in one very large series, perforation rate was <0.5%.⁴¹ Bleeding requiring intervention was reported in 2% (95% CI: 1%–4%) and symptomatic gastroesophageal reflux disease (GERD) at 6-month follow-up was 9% (95% CI: 5%–16%). Reported perforation rates are variable depending on clinical context. A contemporary systematic review and meta-analysis which included 10 studies ($n = 643$) revealed that perforations occurred most often during the initial dilation and significantly more often when using a 35-mm balloon (initial and subsequent dilations) than when using a 30-mm balloon (3.2% vs. 1%).⁴² When looking only at subsequent dilations using a 35-mm balloon, a repeat dilation was safer than a first dilation (0.97% vs. 9.3%). Ideally, patients selected for PD should be surgical candidates, as surgery may be required in case of perforation.⁴³

Over time, the proportion of patients remaining in remission after PD decreases. A retrospective study examining long-term outcomes after single and serial PDs revealed 3-year success rates of 78% and 85%, respectively.⁴⁴ Similar results were reported by Richter,⁴⁵ who performed a retrospective review of 24 studies comprising about 1144 patients. PD with the Rigidflex dilator resulted in good-to-excellent relief of symptoms in 74%, 86% and 90% of patients treated with 30, 35, and 40 mm balloon, respectively. The average time to follow-up was 37 months. A meta-analysis⁴⁶ of 21 studies examining PD efficacy and safety in achalasia concluded that most patients needed several PDs over the span of a lifetime to achieve remission. They also found that the use of the Rigidflex dilator and performing several dilations during the initial session were both associated with enhanced efficacy. The 21 studies ($n = 2497$ patients) using a single dilation session exhibited a 1-year efficacy of 66%. This number dropped to 60%, 53%, and 50% at 2, 3, and 5 years, respectively. Only a few of the included studies ($n = 497$ patients) examined the 10-year symptomatic efficacy of PD and revealed that only 25% of patients were still in symptomatic remission after a single dilation session.

A retrospective study published by Zerbib et al.⁴⁷ concluded that one-third of 150 achalasia patients treated with PD will have symptomatic relapse within a 4-year period but that long-term remission could be achieved in most patients using on demand PD when achalasia symptoms return. The authors reported an estimated efficacy of 97% and 93% at 5 and 10 years, respectively, but PD had to be repeated frequently. The graded dilation protocol is the best way to achieve long-term results.^{40,44,47} As described in the Boeckstaens trial, each patient undergoes at least two pneumatic dilations.⁴⁰ The first is carried out with a 30-mmHg Rigidflex balloon, and the second with a 35-mmHg balloon 1–3 weeks later. Four weeks after the second dilation, a third dilation may be performed with a 40-mmHg balloon if the Eckardt score is still above 3.

Pneumatic dilation is a safe and effective therapeutic alternative after failed laparoscopic Heller myotomy,^{45,48} but to date, reported experience with PD in patients who have failed peroral endoscopic myotomy is too limited to draw conclusions.²⁵

2.3 | Heller myotomy

Experience with the surgical myotomy has now spanned over a century. Beginning with its first description in 1914 by Ernest Heller,⁴⁹ the surgical myotomy has evolved from being an open bilateral procedure to its present state as a single anterior myotomy performed laparoscopically (LHM).^{50,51} A recent meta-analysis of 5834 LHM patients from 53 studies (five RCTs and 48 retrospective or prospective cohort studies) found an overall clinical success rate of 88% (95% CI: 87%–89%) over a mean follow-up of 40 months.⁵² The most common adverse event noted with LHM is intraoperative perforation (10%–14%), but perforations can generally be repaired intraoperatively and not lead to clinical sequelae.^{40,53–55} The overall rate of mortality associated with LHM is less than 1%, and adverse events requiring post-operative intervention are also rare at 0.6%.⁴¹ Furthermore, conversion to open surgery is also uncommon, occurring in approximately 1% of cases.⁵⁶ In the large meta-analysis cited above,⁵² post-LHM symptomatic GERD was noted in 17.5%, endoscopic esophagitis in 11.5%, and abnormal reflux as measured by ambulatory pH studies in 11.1%.

Recently, a 5-year follow-up of the European achalasia trial was completed and demonstrated sustained clinical success (Eckardt score ≤ 3) of 84% at 5 years post-LHM.⁵⁷ However, it should be noted that efficacy can vary depending on the subtype of achalasia and morphology (advanced sigmoid vs. non-sigmoid). Overall, LHM is less effective for advanced sigmoid and type III achalasia.^{58–61}

Outlined in Table 1 are results of selected LHM trials displaying long-term outcome of achalasia patients undergoing LHM.^{62–66} Taken together, these represent more than 2000 patients, with follow-up spanning 2.5–17 years. Reported efficacy ranged from 32.2% to 95%. Note that each trial reported efficacy differently from the other trials. The largest ($n = 1001$) trial, by Costantini et al.,⁶³ is the only one which used the Eckardt score to document efficacy. The authors reported excellent long-term efficacy:

TABLE 1 Outcomes of selected laparoscopic Heller myotomy (LHM) series with 12 ≥ month follow-up

Study	Country	N	Age [range]	Prior treatment (%)	Operating time (min) [range]	Myotomy length (cm) [range]	Follow-up (months)	Efficacy ^a (%)	Reflux ^b (%)	Adverse events, n (%)
Rosemurgy 2010 ⁶²	Tampa, USA	505	49.5 [11–90]	60	[-]	[-]	31	95	[-]	96 (19)
Costantini 2018 ⁶³	Padua, Italy	1001	46 [32–58]	18.2	[-]	[-]	62	89.5	6.5	47 (4.7)
Csdes 2022 ⁶⁴	Santiago, Chile	89	42.1 [-]	14.6	[-]	[-]	204	78.7	16.9	8 (9)
Krishnamohan 2014 ⁶⁵	Rochester, USA	500	51 [8–89]	60.6	[-]	[-]	77.5	32.2 ^c	[-]	78 (15.6)
Costantino 2020 ⁶⁶	Boston, USA	130	50 [18–83]	[-]	[-]	7	79	78 ^d	[-]	6 (4.9)

Note: [-] Data not available.

^aAs defined by authors. Definitions were different in each study.

^bFollow-up available on 48.2% of patients. Success was defined by the absence of difficulty swallowing.

^cAs defined by Eckardt Score < 3.

^dEvidence of gastroesophageal reflux disease (GERD) by 24 pH, endoscopy, and/or GERD questionnaire.

over 80% at 20 years. The patients who failed their LHM subsequently underwent PD, resulting in an overall success rate of 98.4%. Multivariate analysis revealed the following predictors of poor response: chest pain, sigmoid esophagus, and manometric pattern. Abnormal esophageal acid exposure was documented in 9.1% of patients during post-operative 24 h pH studies. The study by Krishnamohan et al.⁶⁵ reported 32.2% efficacy. In this trial, follow-up data were only available for less than half of the patients, and efficacy was defined as the absence of any difficulty swallowing. Rosemurgy et al.⁶² reported a 95% efficacy which they defined as patients having symptoms less than once per week.

Despite over two decades of experience with LHM, there remains controversy over whether an antireflux procedure (ARP) is required. This is due to the fact that some groups report a low incidence of reflux post-LHM without ARP.⁶⁷ In a meta-analysis by Campos et al.⁵⁵ that included over 3000 patients receiving LHM, there was a significantly lower incidence of reflux in patients that received an ARP 8.8% (0%–44%) than those who did not (31.5%). A debate ensued on whether ARP patients should receive partial anterior fundoplication (Dor) or posterior fundoplication (Toupet). In a prospective RCT of 60 patients, Rawlings et al.⁶⁸ compared the Dor with the Toupet and did not find a statistically significant difference in abnormal acid exposure between the two groups based on 24 h pH (Dor 41.7% vs. Toupet 21%, $p = 0.152$). Therefore, current guidelines recommend ARP but do not specify a preference between Dor or Toupet. The Dor fundoplication is more often used by operators as it is typically a faster and simpler procedure, results in less disruption of the hiatal anatomy, and offers coverage of the esophageal mucosa with the fundus, which proves to be useful if a perforation has occurred. A video demonstrating key features of the LHM procedure can be found at the following external link.

A challenging scenario arises in patients who have undergone a LHM that either fails to control their symptoms adequately or symptoms recur after initial success. The most common cause of treatment failure or recurrent symptoms after LHM is an incomplete myotomy,⁶⁹ and more specifically, with failure to extend the myotomy at least 2–3 cm distal to the GE junction.^{56,70–72} Other less common causes include a tight or disrupted fundoplication, severe reflux, or severe fibrosis. The general approach in repeat LHM is to take down adhesions from the prior surgery, liberate the esophagus, take down the prior fundoplication, confirm the depth and length of prior myotomy, and subsequently complete the myotomy, as necessary.⁷³ Reoperation is more complicated and should be undertaken at an expert centre as these procedures have a higher incidence of complications, longer operative time, and lower success rate than those without prior surgical myotomy.⁷⁴

2.4 | Peroral endoscopy myotomy

Peroral endoscopy myotomy (POEM) is performed worldwide with thousands of cases successfully completed. The various adverse events described in the literature include mucosal injury, esophageal

perforation, serious bleeding, pneumothorax, pneumomediastinum, pneumoperitoneum, pneumopericardium, infection, aspiration, and gastroesophageal reflux. Apart from reflux, these adverse events are generally quite rare. In the largest single-centre series that emerged from Shanghai, China, the major adverse event rate was 3.3% in 1680 patients.⁷⁵ However, the majority of these were due to the use of air insufflation. After the introduction of carbon dioxide insufflation and increased experience, the major adverse event rate dropped to about 1% at the same centre. This is consistent with the most recent multicenter study with 1826 patients that demonstrated a severe adverse event rate of 0.5%.⁷⁶ In the most recent systematic review which included 36 studies and 2373 patients, the overall rate of reported adverse events varied from 0.2% for major bleeding and up to 7.5% for subcutaneous emphysema.⁷⁷ Not surprisingly, the adverse event rate reported in different series varies from 0%–41%.^{78,79} This variability is multifactorial and likely a result of differences in operator experience, technique, and equipment used, as well as classification and reporting of adverse events.⁸⁰ An example regarding equipment variation resulting in differences in adverse events is demonstrated in a series of 220 POEMs, where the first 42 cases used low-flow CO₂ that resulted in an incidence of tense pneumoperitoneum of 28%. In the subsequent 120 cases “ultra-low” flow CO₂ was used, which resulted in a 10% incidence of tense pneumoperitoneum.⁸¹

As experience with POEM increases, the initial reported success appears to be durable. Excellent results have been demonstrated with 12-, 24-, and 36-month follow-up with sustained clinical success (Eckardt ≤ 3) of 98%, 91%, and 89%, respectively.^{82,83} There is now also emerging data of 5-year follow-up with success rates of over 80%.⁸⁴ Table 2 demonstrates excellent clinical results in multiple series worldwide that reported on at least 100 patients and 12-month follow-up.^{81,85–93} Nearly all published series with long-term follow-up have demonstrated excellent efficacy. However, there is the multicentered European study that demonstrated only 78% efficacy with over 2-year follow-up.⁹⁴ It is hypothesized that since many of patients that had relapsed were the first cases performed by the various operators, and the myotomies were likely incomplete. This is due to the fact that it is well-known from the LHM literature that if a myotomy is not extended 2–3 cm onto the gastric side, it results in persistent or early recurrence of symptoms (see previous section). This series reinforces the importance of adequate training of POEM operators prior to their independent practice.

Peroral endoscopy myotomy can be successfully applied to patients who have had prior surgical myotomy or previous POEM. In patients with prior surgical myotomy with wrap, the anatomy at the EGJ may be distorted and exhibit increased fibrosis. Despite being able to avoid the area most heavily affected by fibrosis (anterior), the posterior position still may have dense fibrosis. However, if performed by experienced operators, success rates remain over 90% with low complication rates, similar to treatment-naïve patients.^{95–98} In a recent multicentre study that included 90 patients from 13 centres worldwide, the post-LHM patients had a clinical success rate of 81% with a median follow-up of 8.5 months.⁹⁵

The two most common sites of POEM are the posterior (5 o'clock) and the anterior (1–2 o'clock) positions. There are numerous theoretical advantages/disadvantages to these two main approaches that have been discussed elsewhere.⁹⁹ Currently, there is no consensus regarding the optimum position of the myotomy site, and it is primarily based on operator preference. (To view video demonstrating details of the POEM technique, see Video S3). To date, there are five RCTs that have examined this topic with a total of 626 patients.^{100–104} A meta-analysis of four of these trials¹⁰⁵ found that there was no significant difference between the two approaches with respect to short-term clinical efficacy, procedure time, manometric outcomes, and incidence of GERD. However, the posterior approach was associated with fewer adverse events and shorter time to close the mucosal incision.¹⁰⁵ Similarly, a more recent systematic review and meta-analysis that included both randomized studies (488 patients) and non-randomized studies (759 patients) found no significant difference between approaches with respect to clinical efficacy, adverse events, and incidence of GERD.¹⁰⁶ However, the posterior approach did have an overall shorter mean procedure time (62.1 min, 95% CI 48.5–75.7) than the anterior approach (82.7 min, 95% CI 69.0–96.4).

There is ongoing debate regarding whether the optimal myotomy technique should be a selective circular versus full thickness (circular and longitudinal muscle) myotomy. The theoretical arguments for full thickness myotomy include a reduced procedure time and improved efficacy of the myotomy. However, arguments against this are increased risk of complications, post-procedural GERD, and development of esophageal diverticulum. In a retrospective series of 234 patients, there was a reduction in procedure time with a full thickness myotomy as compared to selective circular myotomy (41.7 \pm 18.9 vs. 48.9 \pm 28.6 min) without any difference in macroscopic esophagitis on follow-up (21.2% vs. 16.5%, $p = 0.38$).⁷⁹ Similar results were found in two other retrospective series that demonstrated reduced procedure time and no difference in reflux rate.^{86,107} Another retrospective series of 56 patients also did not find a difference between selective versus full thickness myotomy with respect to GERD symptoms (15.6% vs. 33.3%, $p = 0.12$), abnormal acid exposure on 24 h pH (40.6% vs. 50%, $p = 0.485$), or esophagitis (15.6% vs. 29.2%, $p = 0.222$).¹⁰⁸ However, when the authors examined clinically relevant GERD, defined as abnormal esophageal acid exposure associated with GERD symptoms and/or esophagitis, there was a significant difference between selective versus full thickness myotomy (12.5% vs. 37.5%, $p = 0.028$). In brief, based on the available literature, there is reduced procedure time with a full thickness myotomy; however, there is insufficient evidence to make a definitive statement regarding reflux incidence and/or complications.

There is ongoing debate regarding post-POEM gastroesophageal reflux (GER) and GERD. The reported incidences of post-POEM GERD vary considerably from 0%–58%.^{109,110} One of the most significant reasons for this is wide variability in the definitions and testing used to diagnose post-POEM GERD.

In 2018, Repici et al.¹¹¹ published a systematic review and meta-analysis that included 17 POEM studies. Post-POEM it was

TABLE 2 Outcomes of selected POEM series with ≥ 100 patients with 12 \geq months follow-up

Study	Country	N	Age [range]	Prior treatment (%)	Operating time (min) [range]	Myotomy length (cm) [range]	Follow-up (months)	Efficacy ^a (%)	Reflux ^b (%)	Minor/major adverse events ^c n/n (%)
Sharata 2014 ⁸⁵	Portland, USA	100	58 [18–83]	35	128 [45–215]	8 [4–23]	16	98	38.2	17/1 (17/1)
Ramchandani 2015 ⁸¹	Hyderabad, India	220	39 [9–74]	41.4	88 [38–180]	12 [6–19]	13.4	92	16	77/0 (35.0/0)
Duan 2015 ⁸⁶	Changsha, China	123	42.1 [–]	14.6	59.6 [–]	10.5 [–]	21	98.4	1.6	14/0 (11.3/0)
Inoue 2015 ⁸⁷	Tokyo, Japan	500	43 [32–58]	40.6	90 [70.8–119]	14 (12–16)	12–24 ^d	91	19.4	16/0 (3.2/0)
Hungness 2016 ⁸⁸	Chicago, USA	115	52.9 [–]	30	101 [–]	10.7 [–]	28.8	92	40	17/3 (15.2/2.7)
Nabi 2017 ⁸⁹	Hyderabad, India	408	40 [4–77]	45	76.6 [30–180]	13 [6–21]	17	91	28.3	141/0 (34.6/0)
Ngamruengphong 2017 ⁸²	Multicenter	205	49 [–]	39.5	[–]	11.9 [–]	31	91	37.5	16/1 (7.8/0.5)
Li 2018 ⁹⁰	Shanghai, China	564	38 [6–77]	34.2	45 [15–202]	10 [5–17]	49	88.6	37.3	126/9 (22.3/1.6)
Werner 2019 ⁹¹	Multicenter	112	78.6 [–]	34.8	[–]	[–]	24	83	28	12/3 (11/3)
Shiwaku 2020 ⁹²	Multicenter	1346	47.2 [3–95]	31	99.6 [–]	13.6 [–]	12	94.7	63	50/0 (3.7/0)
McKay 2021 ⁹³	Portland, USA	100	57 [20–88]	29	[–]	9 [2–23]	75	79	[–]	[–]

Note: [–] Data not available.

^aEckardt ≤ 3 .^bEvidence of gastroesophageal reflux disease (GERD) by 24 pH, endoscopy, and/or GERD questionnaire.^c1–2-year follow-up available on 74% of patients.^dMinor = Clavian Dindo Grade I–IIa, Major = Clavian Dindo Grade IIIb–V.

revealed abnormal 24-h pH testing and esophagitis occurred in 39% (95% CI, 24.5%–55.8%) and 29.4% (95% CI, 18.5%–43.3%) of patients, respectively. In patients that developed esophagitis, 4.47% (95% CI, 3.27%–6.07%) were Los Angeles (LA) grade C or D. Repici et al. acknowledged limitations in the literature that included study heterogeneity and publication bias. More recently in the prospective randomized trial comparing POEM to LHM with Dor's fundoplication by Werner et al.,⁹¹ the incidence of reflux esophagitis at 24 months was 44% after POEM as compared with 29% after LHM. Based on these results, authors initially concluded that reflux was more common in patients undergoing POEM versus LHM. However, after this flawed conclusion was pointed out, they completed a post-hoc analysis using the Lyon consensus definition of GERD. Using this standardized definition, it was found that at 2-years follow-up, there was no significant difference between POEM and LHM, 28% vs. 29%, respectively. This highlights the challenge in interpretation of the reported incidences of post-POEM GERD and why they vary widely. To best estimate the true incidence of post-treatment GERD in achalasia patients the application of the Lyon Consensus will allow for a more balanced comparison between studies and treatment modalities.¹¹² An in-depth discussion of post-POEM GERD is beyond the scope of this review and a detailed examination of this can be found elsewhere.¹¹³

There is mounting evidence that using FLIP intraoperatively can be useful in guiding the myotomy both during LHM¹¹⁴ and POEM¹¹⁵ to ensure that the distensibility of the EGJ has been normalized. In a recent retrospective analysis, Holmstrom et al.¹¹⁶ reported that intraoperative use of FLIP during POEM leads to modification of the myotomy (i.e. by extension of the myotomy proximally or distally, including the longitudinal muscle in the myotomy, or incising additional circular muscle fibers that had been overlooked), in 65% of patients. Outcomes also were reported improved in patients in which FLIP was used.

2.5 | Other therapies

Injection of sclerosing agents (ethanolamine and polidocanol) into the LES of achalasia patients may provide symptom improvement comparable to BoTox,^{117,118} presumably by damaging excitatory neurons and thereby lowering resting LES pressure. However, repeated injections appear to be required, raising concerns about long-term fibrosis and stricture formation.

Although studies are limited, temporary (1 month) placement of removable, self-expanding metal stents across the EGJ appears efficacious in achalasia patients.^{119,120} Using 30-mm diameter stents is optimal, with one study reporting a 10-year symptom remission rate of >85%.¹¹⁹ Based on current evidence, however, neither stenting or sclerotherapy can be recommended as treatment for achalasia, particularly given the other well-established treatments that are currently available.²⁵

Rarely, esophagectomy needs to be considered in patients with end-stage achalasia who have failed less invasive surgical or

endoscopic treatment methods.²⁵ A contemporary systematic review reported a pooled prevalence of pneumonia, anastomotic leakage, and mortality of 10%, 7%, and 2%, respectively.¹²¹

2.6 | Comparative controlled clinical trials

The last decade has seen several RCTs published that directly compare efficacy of different treatment modalities for achalasia. A number of outcome measures have been used, but in the majority of studies, a successful clinical outcome is defined as an Eckardt symptom score of ≤ 3 . In interpreting these studies, one needs to consider differences in the technical application of the therapy (such as number of repeat PDs allowed before establishing clinical failure) as well as the time frame of the defined outcome.

2.6.1 | BoTox versus laparoscopic Heller myotomy

Zaninotto et al.¹²² compared outcomes of 40 patients randomized to BoTox injections (two injections 1 month apart providing initial injection resulted in >50% symptom improvement) to 40 patients randomized to LHM with fundoplication. At 6-month follow-up, symptom improvement was somewhat greater in the LHM group (82% vs. 66%). However, the chance of being symptom-free at 2 years was only 34% in the BoTox group versus 87.5% in those undergoing LHM. Interestingly, at 6 months post-treatment resting LES pressure and LES nadir pressures were not significantly different, although esophageal diameter as measured by barium swallow had only decreased significantly in the LHM group.

2.6.2 | Pneumatic dilation versus BoTox

A recent meta-analysis of several small RCTs comparing PD to BoTox²⁴ found similar outcomes between the two treatment modalities at 4 weeks, but more favorable results were noted with PD at 6 months (80.7% vs. 51.8%) and 1 year (73.3% vs. 37.5%).

2.6.3 | Pneumatic dilation versus laparoscopic Heller myotomy

A total of five RCTs involving 498 patients have been reported comparing PD to LHM. A meta-analysis of these trials found that the overall success rate was higher for LHM at 3 months (RR 1.16, $p = 0.04$) and 1 year (RR 1.14, $p = 0.02$); however, there was no difference at the 2- and 5-year follow-up.¹²³ Table 3 highlights results from RCTs comparing LHM to PD for management of achalasia.^{40,57,124–127} While the multicenter trials by Boeckstaens et al.⁴⁰ and Moonen et al.⁵⁷ displayed comparable efficacy results between the two treatment modalities, the other single-center trials tended to favor LHM. It is important to note that there was considerable disparity

TABLE 3 Randomized controlled trials (RCTs) comparing laparoscopic heller myotomy (LHM) to pneumatic dilation (PD) for the management of achalasia

Author	Study design	Inclusion criteria	Study population	Outcome
Kostic et al. 2007 ¹²⁴	Single centre randomized trial comparing LHM vs. PD	Newly diagnosed achalasia patients	51 patients	Cumulative number of treatment failures at 12 months: six treatment failures in the PD group 1 treatment failure in the LHM group ($p = 0.04$).
Borges et al. 2014 ¹²⁵	Single centre randomized trial comparing LHM vs. PD	Newly diagnosed achalasia patients	92 patients	After 12 and 24 months, 57/86 (66.3%) and 42/74 (56.8%) patients reported good results.
Persson et al. 2015 ¹²⁶	Single centre randomized trial LHM vs. repeated PD	Newly diagnosed achalasia patients	53 patients	At 5 years follow-up, 10 patients (36%) in the dilatation group and two patients (8%) in the myotomy group were classified as failures ($p = 0.016$).
Hamdy et al. 2015 ¹²⁷	Prospective randomized controlled trial comparing LHM vs. PD	Adult patients (20–50 years old) who presented with early stage achalasia (esophageal diameter of <3.5 cm on contrast esophagography)	50 patients	The rate of symptoms relief was 76% in EPD compared with 96% in LEM ($p = 0.04$).
Boeckstaens et al. 2011 ⁴⁰ and Moonen et al. 2016 ⁵⁷	Multicentre randomized trial comparing LHM vs. PD	Newly diagnosed achalasia patients	201 patients	At 5 years follow-up, success rates for LHM (84%) and PD (82%) are comparable.

between studies in how efficacy was reported, as well as the duration of follow-ups.

2.6.4 | Pneumatic dilation versus peroral endoscopic myotomy

To date, there has been one RCT, involving 133 adult patients, comparing PD to POEM.¹²⁸ Clinical success at 2 years was achieved in 92% in the POEM group versus 54% in the PD group ($p < 0.001$). However, it is noteworthy that the balloon inflation pressures used in this study were quite low (8 PSI), and the success rate for PD rose to 76% if patients who subsequently underwent dilation with a 40-mm balloon were included. Post-treatment reflux esophagitis developed in 41% of the POEM group versus 7% in the PD group ($p = 0.002$). The authors did not report specific numbers of achalasia subtypes allocated to the two treatment arms, but in an appendix, reported no statistically significant difference in response rates based on achalasia subtype.

2.7 | Peroral endoscopic myotomy versus laparoscopic Heller myotomy

The first randomized controlled trial comparing POEM to LHM was recently published.⁹¹ This study randomized 112 patients to POEM and 109 to LHM with Dor's fundoplication. Clinical success rates at 2 years were similar (83% for POEM; 81.7% for LHM). Serious adverse events were numerically higher in the LHM group (7.3% vs. 2.7%), but this was not statistically significant. The incidence of post-operative reflux esophagitis was higher in the POEM group (57% vs. 20% at 2 months; 44% vs. 29% at 2 years). The majority of patients enrolled had type II achalasia. Outcomes in patients with type III achalasia were similar in the two treatment arms, with 10 of 12 (83%) achieving clinical success with POEM and 7 of 9 (79%) with LHM.

A recent case-control study using propensity scoring match with 140 patients in each treatment group reported similar midterm outcomes for POEM and LHM.¹²⁹ Four years after treatment, clinical success rates were >90% and not significantly different between the two treatments. Esophagitis was documented in 37.4% of patients who underwent POEM and in 15.2% of patients who underwent LHM.

3 | CONCLUSIONS AND RECOMMENDATIONS

The last 10–15 years has seen a resurgence of interest in the evaluation of therapies for achalasia. Unfortunately, there has been little progress in the development of effective pharmacological treatments. Oral or sublingual smooth muscle relaxants may have some efficacy in select patients, but they are rarely useful for long-term

control of symptoms. BoTox injection provides some relief of symptoms in the majority of patients but requires periodic reinjection that may provide progressively less benefit over time. It may be more efficacious in elderly patients and those with type III achalasia.²⁸ It is therefore currently recommended that BoTox be reserved for achalasia patients who are poor candidates for more invasive interventions.²⁵

We now have three well-established, safe and effective therapies, PD, LHM, and POEM that, if applied properly, can lead to marked symptom improvement in the vast majority of patients. However, future retreatment may be required for all treatments, but in particular PD. Which treatment is offered first often depends on local expertise.

A recent guideline published by the Society of American Gastrointestinal and Endoscopic Surgeons¹³⁰ recommended POEM over PD as initial treatment of achalasia (without specifying different achalasia subtypes), based on their meta-analysis of comparative trials.¹³¹ They also suggested using either POEM or LHM in type I and II achalasia initially and POEM for type III achalasia, based on "very low certainty" evidence. However, as pointed out by de Heer et al.,¹³² meta-analysis of trials involving PD are unreliable because of the widely variable PD technique and outcome measures. Furthermore, type II achalasia, the most common subtype, is also the most responsive to therapy, and in the large European controlled clinical trial comparing PD to LHM,⁴⁰ PD was slightly more effective than LHM in patients with this subtype. Because of this, the lower incidence of reflux and cost-effective analysis that favor PD over LHM as initial therapy for achalasia,^{133,134} a case can be made for offering PD as first line therapy in type II achalasia, with either POEM or LHM used when response to PD is inadequate. Recent studies suggest that POEM is more cost-effective than LHM,¹³⁵⁻¹³⁷ but currently this evidence alone is not strong enough to justify choosing POEM over LHM in the treatment of achalasia. Although the recent RCT comparing LHM to POEM⁹¹ did not find differences in outcome in Type III achalasia, the numbers were small, and there is evidence from larger case-controlled series^{59,138} that POEM is the preferred option for type III achalasia because it allows tailored proximal extension of the myotomy to deal with the spastic contractions of the esophageal body. Despite this apparent advantage in favor of POEM, it may result in an increased frequency of reflux esophagitis. However, in the post-hoc analysis of the randomized control trial of POEM versus LHM,⁹¹ the incidence of GERD using the Lyon consensus failed to demonstrate any clinically significant difference at 2 years after POEM or LHM.¹³⁹

As this review demonstrates, advances in both the art and science of achalasia therapy have been impressive in recent years, and it is hoped that future studies will lead to better tailoring of therapy to the pathophysiology in individual patients.

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