

```

procedure echo;
var outp, inp: character;
begin
  input (inp, keyboard);
  outp := inp;
  output (outp, display);
end

```

Assume several processes use it, put it in memory so that it's shared  
P<sub>0</sub> and P<sub>1</sub> access it

This can result in incorrect program flow

This is prevented via **mutual exclusion** within critical sections  
then the problem of starvation and deadlocks arises

### Software solutions

processes take care of it themselves

#### bit per critical section

↑ critical section Keep variable to tell if there is a process there



#### issues:

- the test is not an atomic operation
- busy waiting

### Dekker's algorithm

**1st attempt**: use global variable turn

#### PROCESS 0

```

.. while turn ≠ 0 do {nothing};
<critical section>
turn := 1;
..

```

#### PROCESS 1

```

.. while turn ≠ 1 do {nothing};
<critical section>
turn := 0;
..

```

faster process gets delayed

initialization of turn favors one of the two

**2nd attempt**: keep variable flag[i] for each process i

#### PROCESS 0

```

.. while flag[1] do {nothing};
flag[0]:=true;
<critical section>
flag[0]:=false;
..

```

#### PROCESS 1

```

.. while flag[0] do {nothing};
flag[1]:=true;
<critical section>
flag[1]:=false;
..

```

if process gets interrupted after a while loop  
the other enters as well

no mutual exclusion

#### 3rd attempt

##### PROCESS 0

```

flag[0]:=true;
while flag[1] do {nothing};
<critical section>
flag[0]:=false;
..

```

↑ must switch loop  
↓ and assignment

##### PROCESS 1

```

flag[1]:=true;
while flag[0] do {nothing};
<critical section>
flag[1]:=false;
..

```

if both manage to do flag[i]=true then

deadlock

**4th attempt**: 3rd attempt + pause (if other wants to enter, we wait)

##### PROCESS 0

```

flag[0]:=true;
while flag[1] do
flag[0]:=false;
<delay a short time>
flag[0]:=true;
end;
<critical section>
flag[0]:=false;
..

```

##### PROCESS 1

```

.. flag[1]:=true;
while flag[0] do
flag[1]:=false;
<delay a short time>
flag[1]:=true;
end;
<critical section>
flag[1]:=false;
..

```

waiting time not bounded

## Final solution

single variable turn to indicate which waits even if it wants to execute

### PROCESS 0

```
..  
flag[0]:=true;  
while flag[1] do  
if turn = 1 then  
  flag[0]:=false;  
  while turn = 1 do {nothing};  
  flag[0]:=true;  
end;  
end;  
<critical section>  
turn:=1;  
flag[0]:=false;  
..
```

### PROCESS 1

```
..  
flag[1]:=true;  
while flag[0] do  
if turn = 0 then  
  flag[1]:=false;  
  while turn = 0 do {nothing};  
  flag[1]:=true;  
end;  
end;  
<critical section>  
turn:=0;  
flag[1]:=false;  
..
```

- Busy waiting

- Priority to process that entered critical section least recently

- not trivial to generalize for n processes

## Peterson's algorithm

### PROCESS 0

```
..  
flag[0]:=true;  
turn = 1;  
while flag[1] and turn = 1 do {nothing};  
<critical section>  
flag[0]:=false;  
..
```

- announce desire to enter the CS
- set turn to the other process
- verify if P<sub>i</sub> doesn't have intention or is in CS

can be easily generalized for n processes

### PROCESS i

```
..  
for j = 1 to n-1 do  
  flag[i]:= j;  
  turn[j] := i;  
  wait until ( $\forall k \neq i, flag[k] < j$ ) or (turn[j]  $\neq i$ );  
end;  
<critical section>  
flag[i]:=0;  
..
```

each process crosses n-1 phases before CS  
Flag[i] phase of P<sub>i</sub>,  
Turn[j] which process was last in phase j

## Hardware based solutions

- prohibits interrupt during CS
- machine instruction support
  - test and set → bit per CS
  - cons → busy waiting (no guarantee against starvation)

## Semaphores

Counting semaphore s is a record:

- count

- wait(s) → count --, if count <= 0 block process that called wait(s) (FIFO)

- signal(s) → count ++, if count >= 0 deque from blocked processes

wait(s);

signal(s);

s.count := s.count - 1;

if s.count < 0

then begin

place this process in s.queue;

block this process

end;

s.count := s.count + 1;

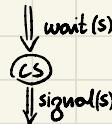
if s.count ≤ 0

then begin

remove a process P from s.queue;

place process P on the ready list

end;



## Binary semaphore

count only 1 or 0

- waitB(s) if counter = 1 → counter = 0, else block

- signalB(s) if no process blocked, counter = 1, else unlock one process

as useful as counting ones

## wait and signal are atomic

## Producer / consumer problem

can only take if something produced

item takeable once

must have space for new item

with binary semaphores

Producer:

```
repeat
  produce;
  waitB(ne);
  append;
  n := n + 1;
  if n = 1 then signalB(ne);
  signalB(s);
forever;
```

Consumer:

```
has to wait until first produced
repeat
  waitB(ne);
  repeat
    waitB(s);
    take;
    n := n - 1;
    signalB(s);
    if n = 0 then waitB(ne);
    consume;
  forever;
```

with counting semaphores

Producer:

```
repeat
  produce;
  wait(s);
  append;
  signal(s);
  signal(n);
forever;
```

Consumer:

```
has to wait for first to be produced
repeat
  wait(n);
  wait(s);
  take;
  signal(s);
  consume;
forever;
```

## Readers/writers problem

readers want to read data but writers can modify by writers

readers can do simultaneous access (no writers allowed)

max 1 writer always active (no reader active)

r.count → number of readers

semaphore x → enforces mutual exclusion for r.count

wsem → prevents writers as long as there are readers, if r.count == 0 → signal(wsem)  
if r.count == 1 then wait(wsem)

### Procedure: reader

```
wait(x);
rcount := rcount+1;
if rcount = 1 then wait(wsem);
signal(x);
READ
wait(x);
rcount := rcount-1;
if rcount = 0 then signal(wsem);
signal(x);
```

### Procedure: writer

```
wait(wsem);
WRITE;
signal(wsem);
```

## with priorities writers

### procedure reader

```
wait(rsem);
wait(x);
rcount := rcount+1;
if rcount = 1 then wait(wsem);
signal(x);
signal(rsem);
READ
wait(x);
rcount := rcount-1;
if rcount = 0 then signal(wsem);
signal(x);
```

### procedure writer:

```
wait(y);
wcount := wcount+1;
if wcount = 1 then wait(rsem);
signal(y);
wait(wsem);
WRITE;
signal(wsem);
wait(y);
wcount := wcount-1;
if wcount = 0 then signal(rsem);
signal(y);
```