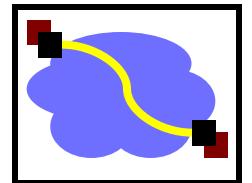


# 416 Distributed Systems

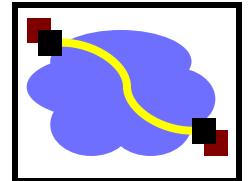
Time in distributed systems

Feb 8, 2022



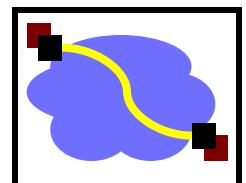
# Today's Lecture

- Need for time synchronization
- Time synchronization techniques
- Logical clocks
  - Lamport Clocks
  - Vector Clocks

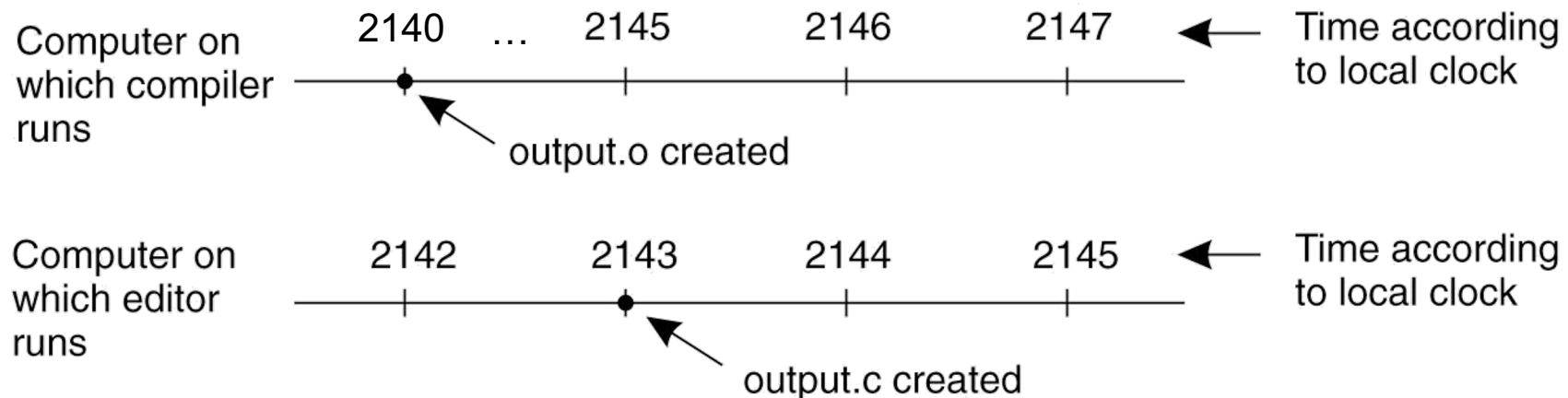


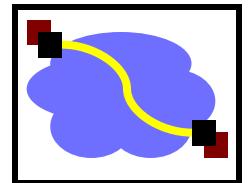
# Why Global Timing?

- Suppose there were a globally consistent time standard
- Would be handy
  - Who got last seat on airplane?
  - Who submitted final auction bid before deadline?
  - Did defense move before snap? (warning: football reference)
  - In A2:
    - Did *GameComplete@client1* happen before or after *ServerFailed@server2*?

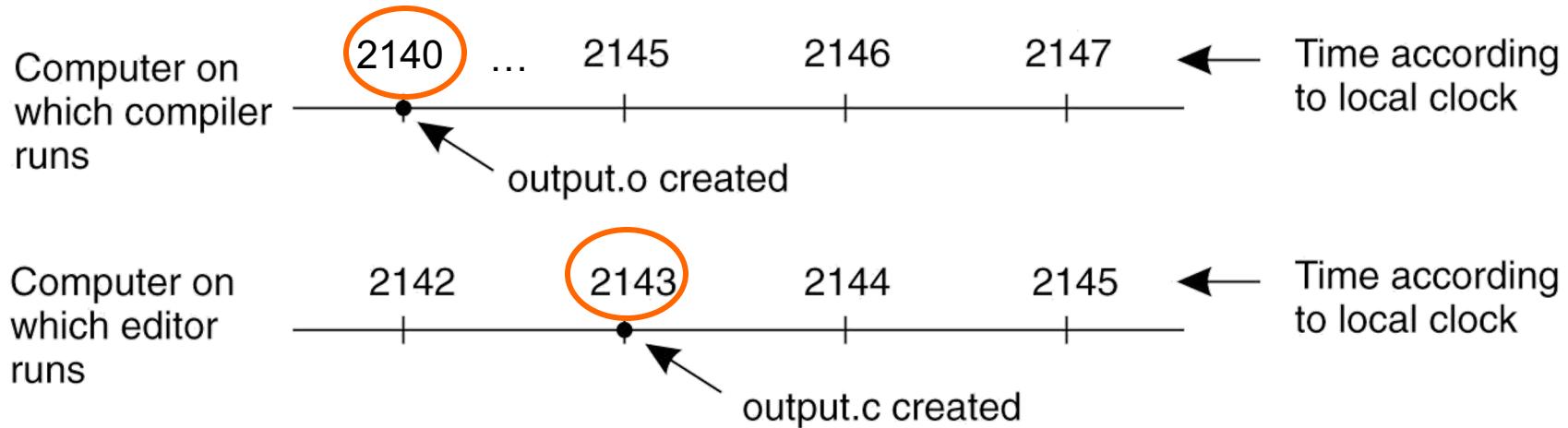


# Impact of Clock Synchronization



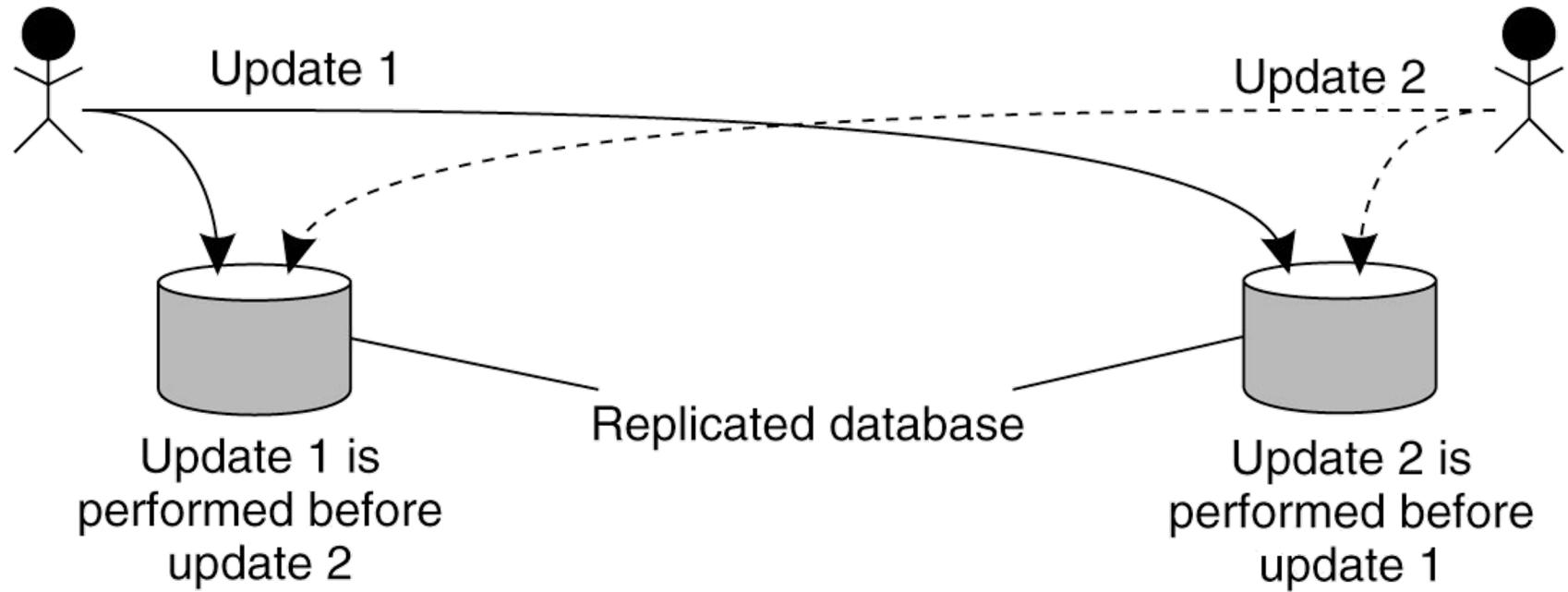
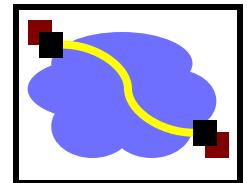


# Impact of Clock Synchronization

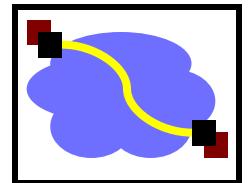


- When each machine has its own clock, an event that occurred after another event may nevertheless be assigned an earlier time.

# Replicated Database Update

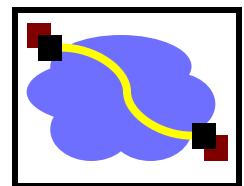


- Updating a replicated database and leaving it in an inconsistent state

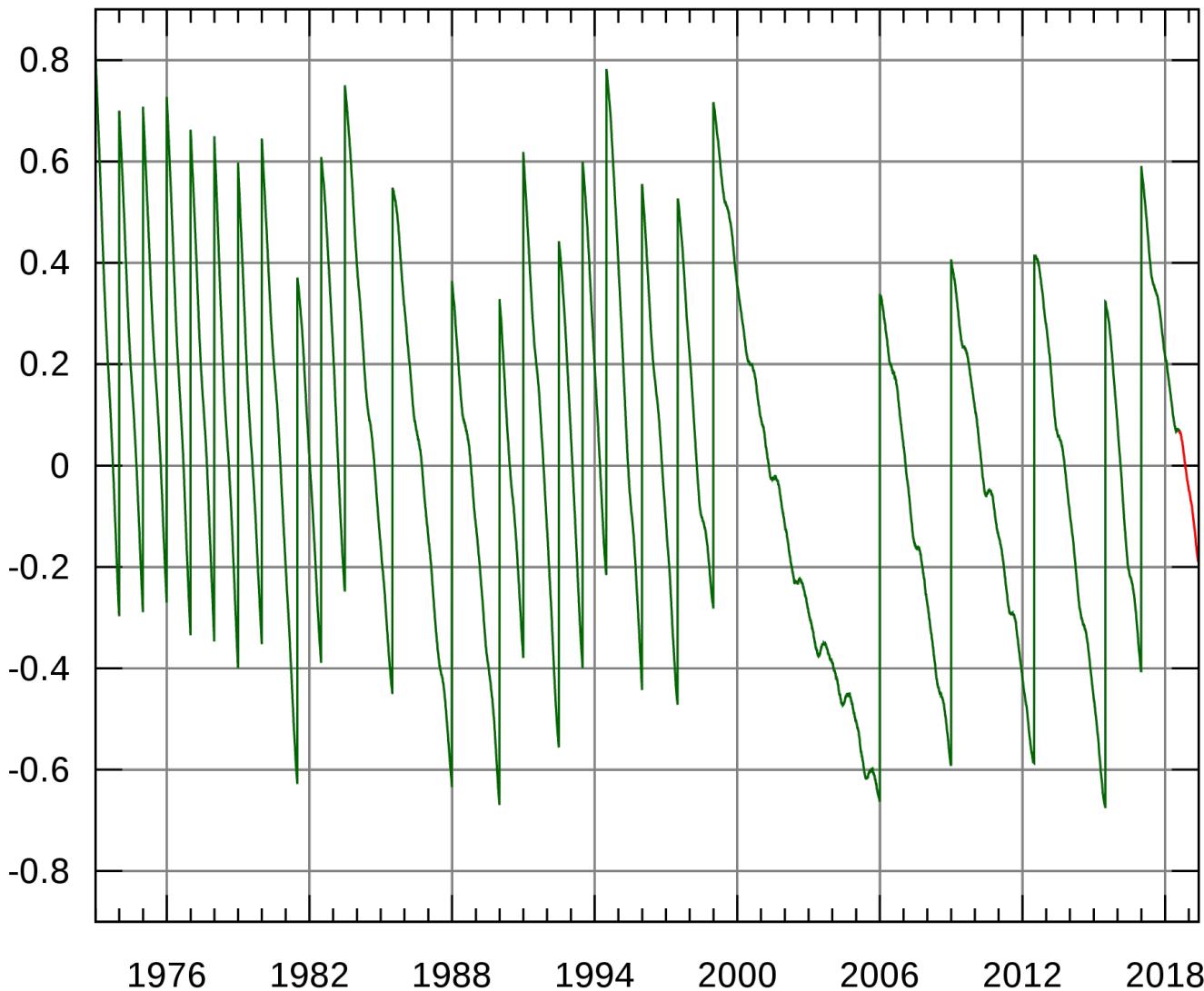


# Time Standards

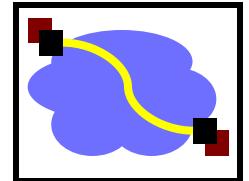
- UT1 (universal time)
  - Based on astronomical observations
  - ~ “Greenwich Mean Time” (GMT)
- TAI (international atomic time)
  - Started Jan 1, 1958
  - Each second is 9,192,631,770 cycles of radiation emitted by Cesium atom
  - Has diverged from UT1 due to slowing of earth's rotation
- UTC (coordinated universal time)
  - TAI + leap seconds to be within 0.9s of UT1
  - Currently ~37s



# Comparing Time Standards

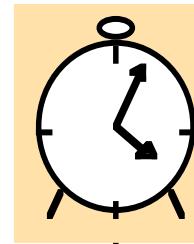
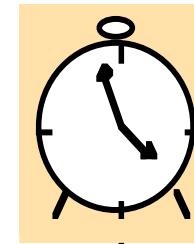
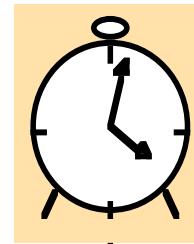
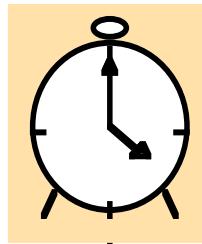
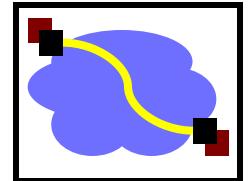


# Coordinated Universal Time (UTC)



- Is broadcast from radio stations on land and satellite (e.g., GPS)
- Computers with receivers can synchronize their clocks with these timing signals
- Signals from land-based stations are accurate to about 0.1-10 millisecond
- Signals from GPS are accurate to about 1 microsecond
  - Why can't we use GPS receivers on all our computers?

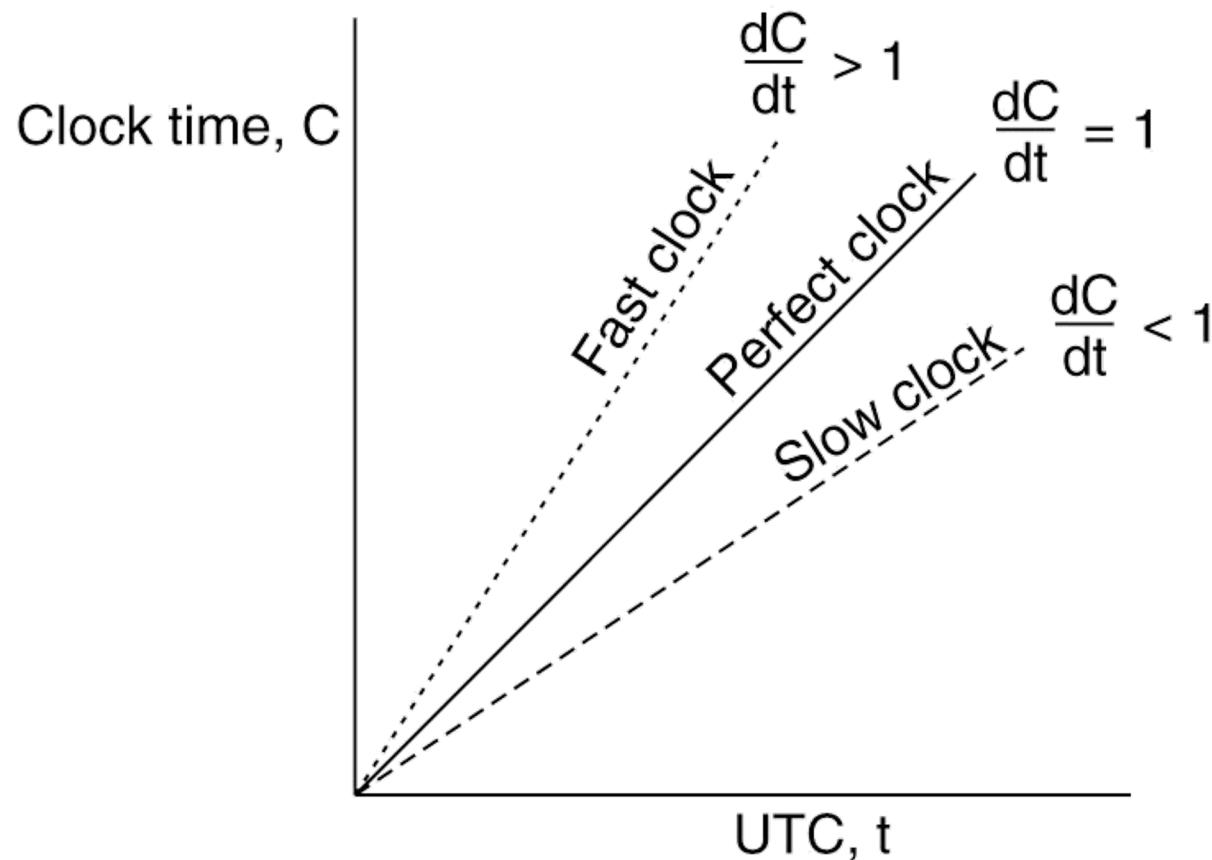
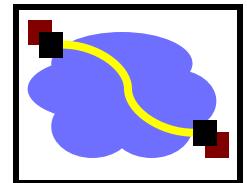
# Clocks in a Distributed System



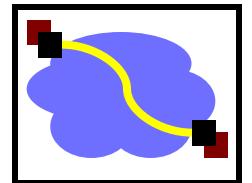
Network

- Computer clocks are **not** generally in perfect agreement
  - Skew: the difference between the times on two clocks (at any instant)
- Computer clocks are subject to clock drift (they count time at different rates; consider batteries)
  - Clock drift rate: the difference per unit of time from some ideal reference clock
  - Ordinary quartz clocks drift by about 1 sec in 11-12 days. ( $10^{-6}$  secs/sec).
  - High precision quartz clocks drift rate is about  $10^{-7}$  or  $10^{-8}$  secs/sec

# Clock drift visualized

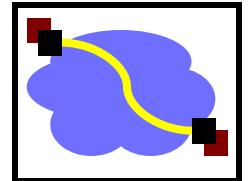


- The relation between clock time and UTC when clocks tick at different rates.



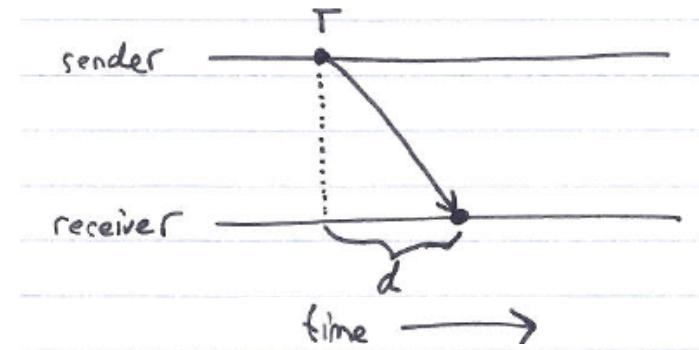
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- Need for time synchronization
- Time synchronization techniques
- Lamport Clocks
- Vector Clocks

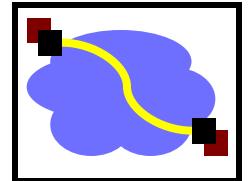


# Perfect networks

- Messages always arrive, with propagation delay **exactly  $d$**

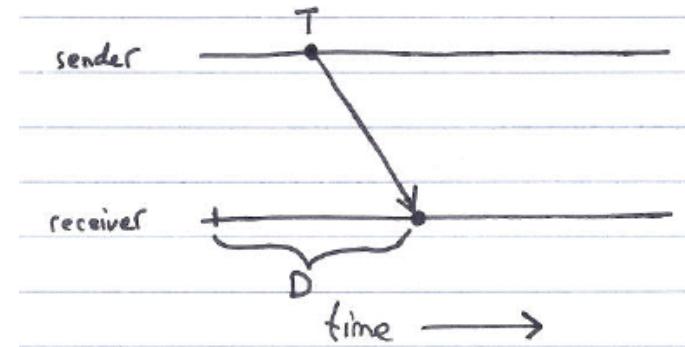


- Sender sends time  $T$  in a message
- Receiver sets clock to  $T+d$ 
  - Synchronization is exact



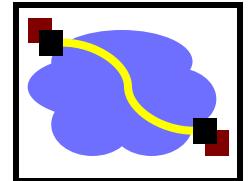
# Synchronous networks

- Messages always arrive, with propagation delay *at most  $D$*

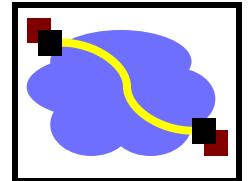


- Sender sends time  $T$  in a message
- Receiver sets clock to  $T + D/2$ 
  - Synchronization error is at most  $D/2$

# Synchronization in the real world

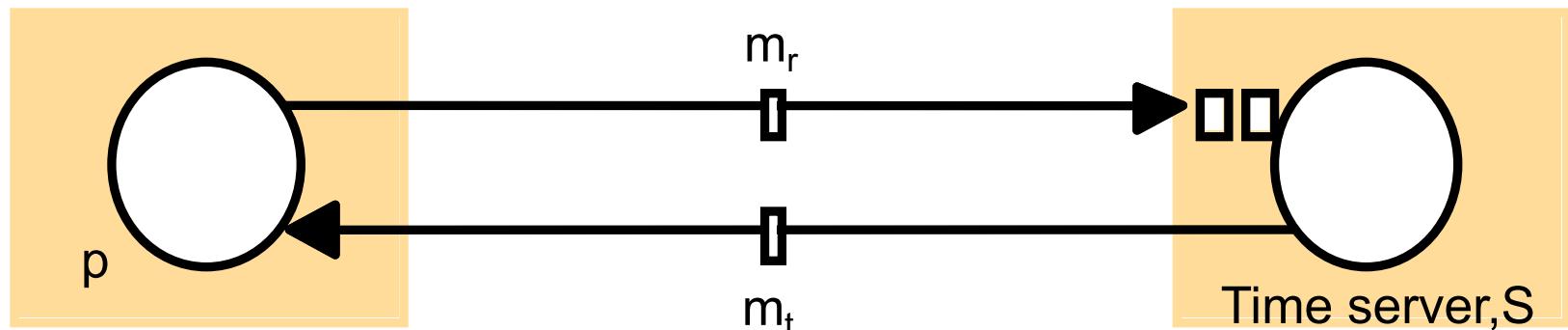


- Real networks are asynchronous
  - Message delays are arbitrary
- Real networks are unreliable
  - Messages don't always arrive

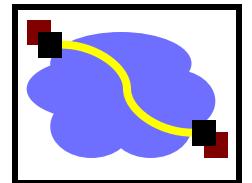


# Cristian's Time Sync ('89)

- A time server  $S$  receives signals from a UTC source
  - Process  $p$  requests time in  $m_r$  and receives  $t$  in  $m_t$  from  $S$
  - $p$  sets its clock to  $t + T_{\text{round-trip}}/2$
  - Accuracy  $\pm (T_{\text{round-trip}}/2 - \text{min})$ :
    - Where  $\text{min}$  is minimum one-way transmission delay
    - because the earliest time  $S$  puts  $t$  in message  $m_t$  is  $\text{min}$  after  $p$  sent  $m_r$ .
    - the latest time was  $\text{min}$  before  $m_t$  arrived at  $p$
    - the time by  $S$ 's clock when  $m_t$  arrives is in the range  $[t+\text{min}, t + T_{\text{round-trip}} - \text{min}]$

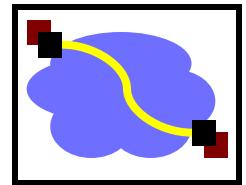


$T_{\text{round}}$  is the round trip time recorded by  $p$   
 $\text{min}$  is an estimated minimum one way delay



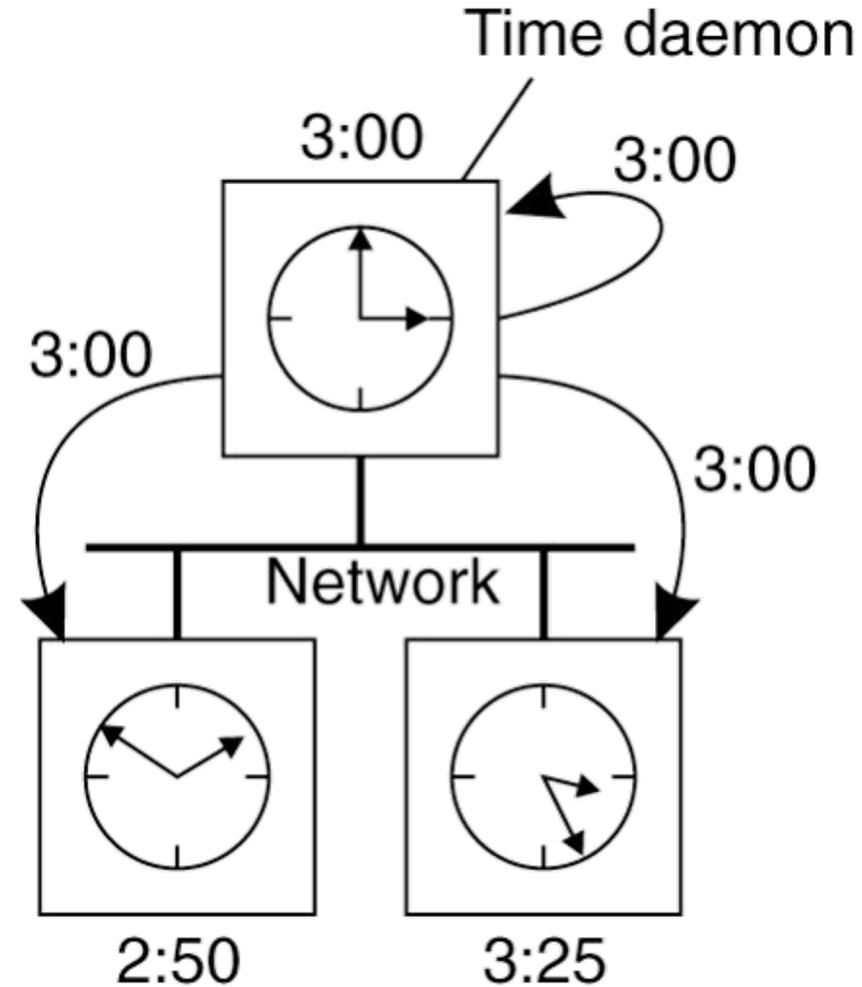
# Berkeley algorithm

- Cristian's algorithm -
  - a single time server might fail, so they suggest the use of a group of synchronized servers
  - it does not deal with faulty servers
- Berkeley algorithm (also 1989)
  - An algorithm for *internal* synchronization of a group of computers
  - A *coordinator* polls to collect clock values from the others (*replicas*)
  - The coordinator uses round trip times to estimate the replicas' clock values (only coordinator computes RTT)
  - It takes an average (eliminating any above average round trip time or with faulty clocks)
  - It sends the required **adjustment** to the replicas (better than sending the time which depends on the round trip time)
  - Failures
    - If coordinator fails, can elect a new coordinator to take over (not in bounded time)

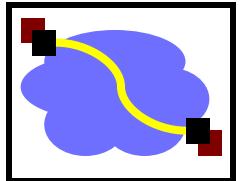


# The Berkeley Algorithm (1)

- The time daemon asks all the other machines for their clock values.



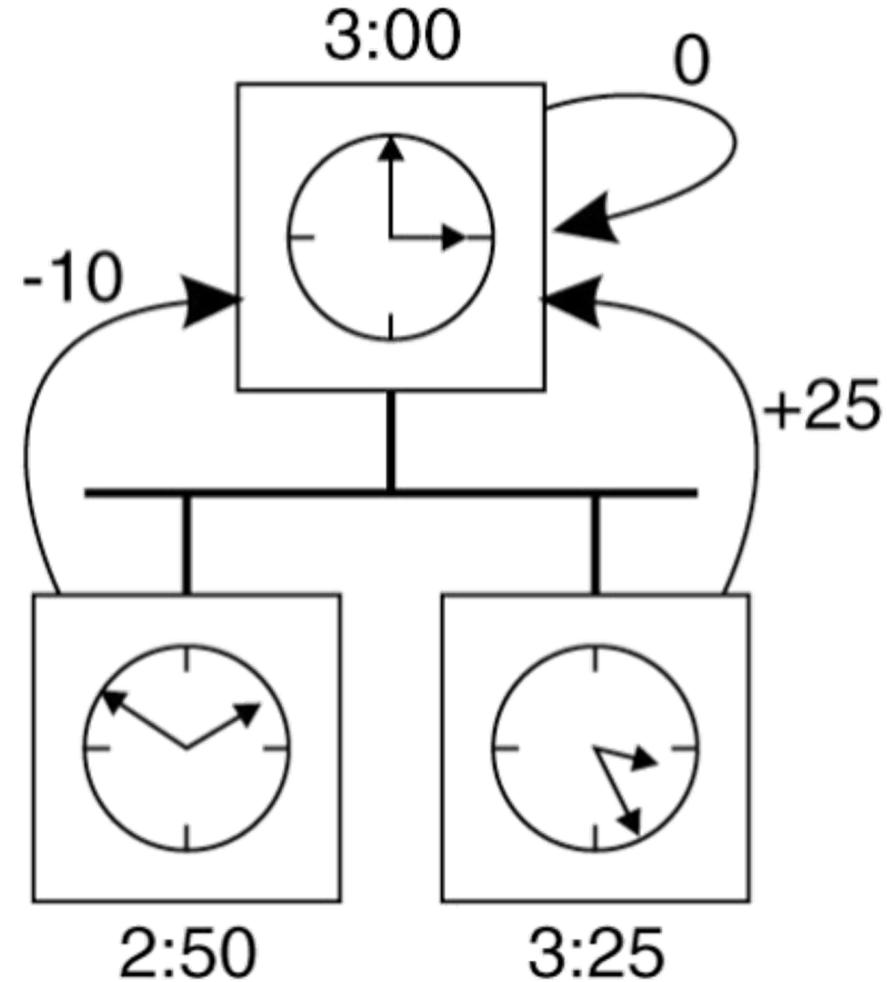
# The Berkeley Algorithm (2)

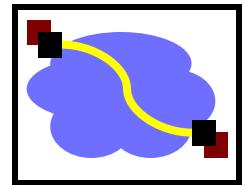


- The machines answer.

Compute avg:  
 $+15 / 3 = +5$

Adjustment:  
 $0 \rightarrow +5 = +5$   
 $-10 \rightarrow +5 = +15$   
 $+25 \rightarrow +5 = -20$





# The Berkeley Algorithm (3)

- The time daemon tells everyone how to adjust their clock.

Compute avg:

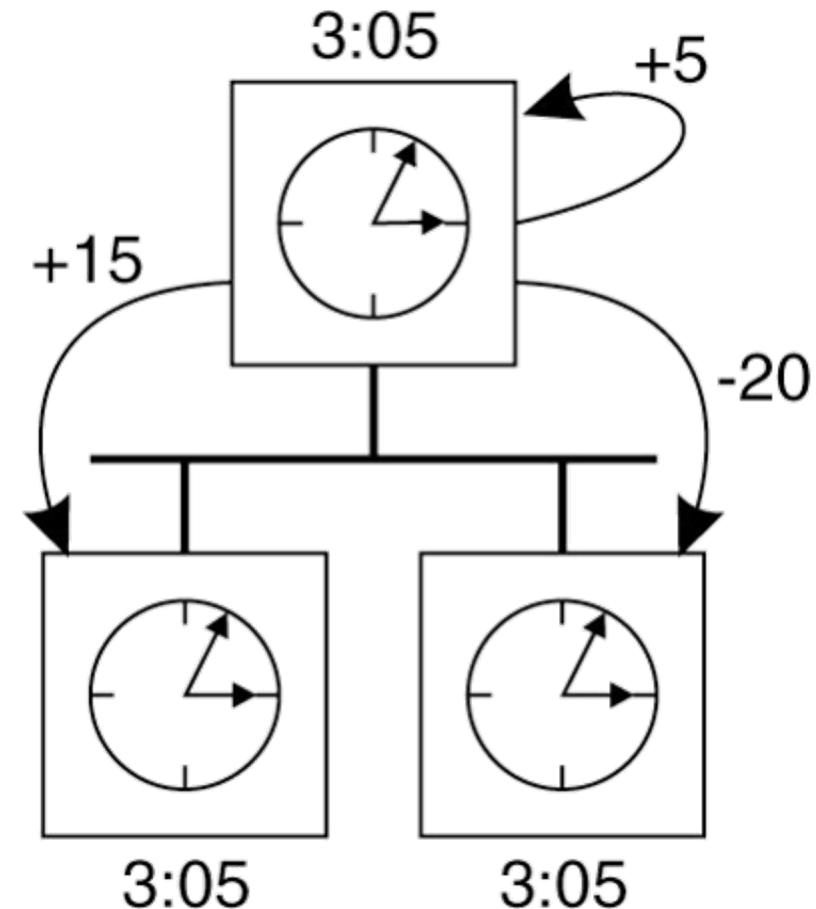
$$+15 / 3 = +5$$

Adjustment:

$$0 \rightarrow +5 = +5$$

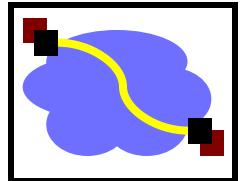
$$-10 \rightarrow +5 = +15$$

$$+25 \rightarrow +5 = -20$$



# Network Time Protocol (NTP)

(invented by David Mills, 1981)



- A time service for the Internet - synchronizes clients to

Reliable synchronization of time servers authenticates  
time source  
Primary servers are connected to ITC  
Secondary servers are synchronized to primary servers

Synchronization subnet - lowest level servers  
in users' computers

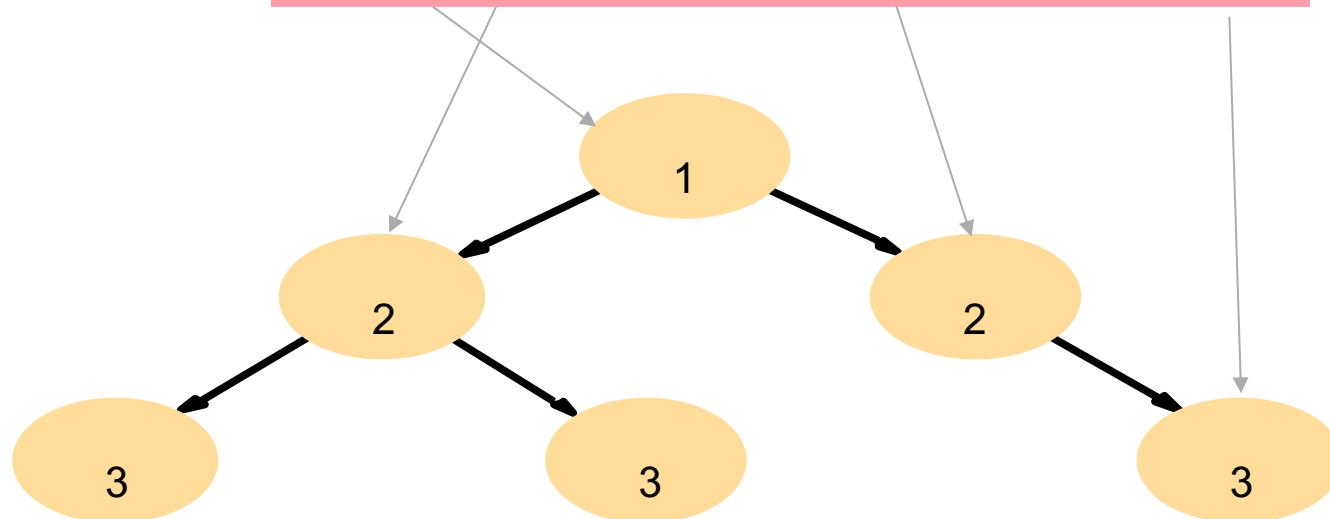
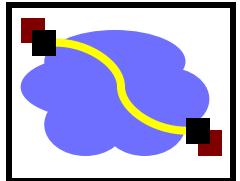
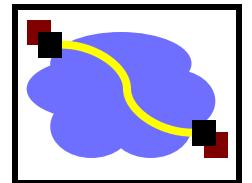


Figure 10.3

# The Network Time Protocol (NTP)

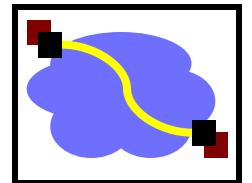


- Uses UDP (minimal overhead/OS stack latency)
- Uses a hierarchy of time servers
  - Class 1 servers have highly-accurate clocks
    - connected directly to atomic clocks, etc.
  - Class 2 servers get time from only Class 1 and Class 2 servers
  - Class 3 servers get time from any server (usually 3)
- Synchronization similar to Cristian's alg.
  - Modified to use multiple one-way messages instead of immediate round-trip
- Accuracy: Local ~1ms, Global ~10ms



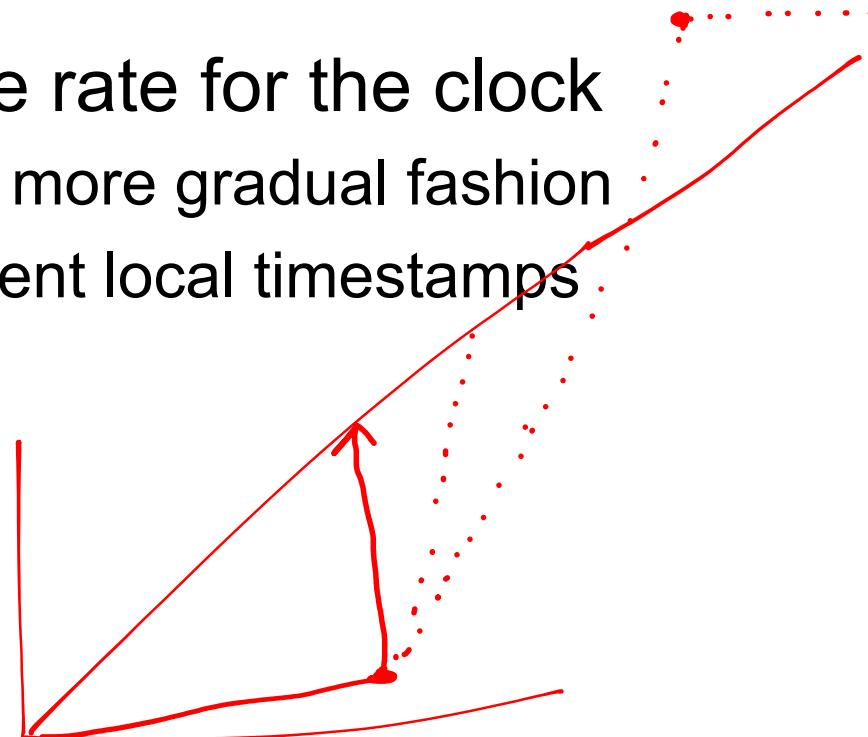
# How To Change Time

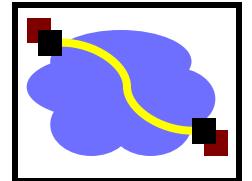
- Can't just change time
  - Why not?



# How To Change Time

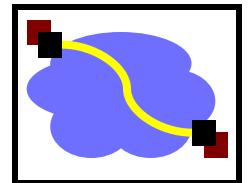
- Can't just change time
  - Why not?
- Change the update rate for the clock
  - Changes time in a more gradual fashion
  - Prevents inconsistent local timestamps





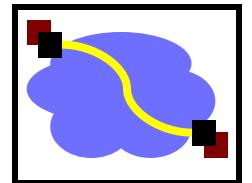
# Important Lessons

- Clocks on different systems will always behave differently
  - Skew and drift between clocks
- Time disagreement between machines can result in undesirable behavior
- Clock synchronization
  - Rely on a time-stamped network messages
  - Estimate delay for message transmission
  - Can synchronize to UTC or to local source
  - Clocks never exactly synchronized
- Often inadequate for distributed systems
  - might need totally-ordered events
  - might need millionth-of-a-second precision



# Today's Lecture

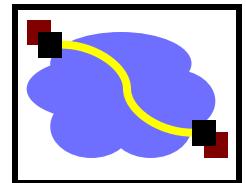
- Need for time synchronization
- Time synchronization techniques
- **Lamport Clocks**
- Vector Clocks



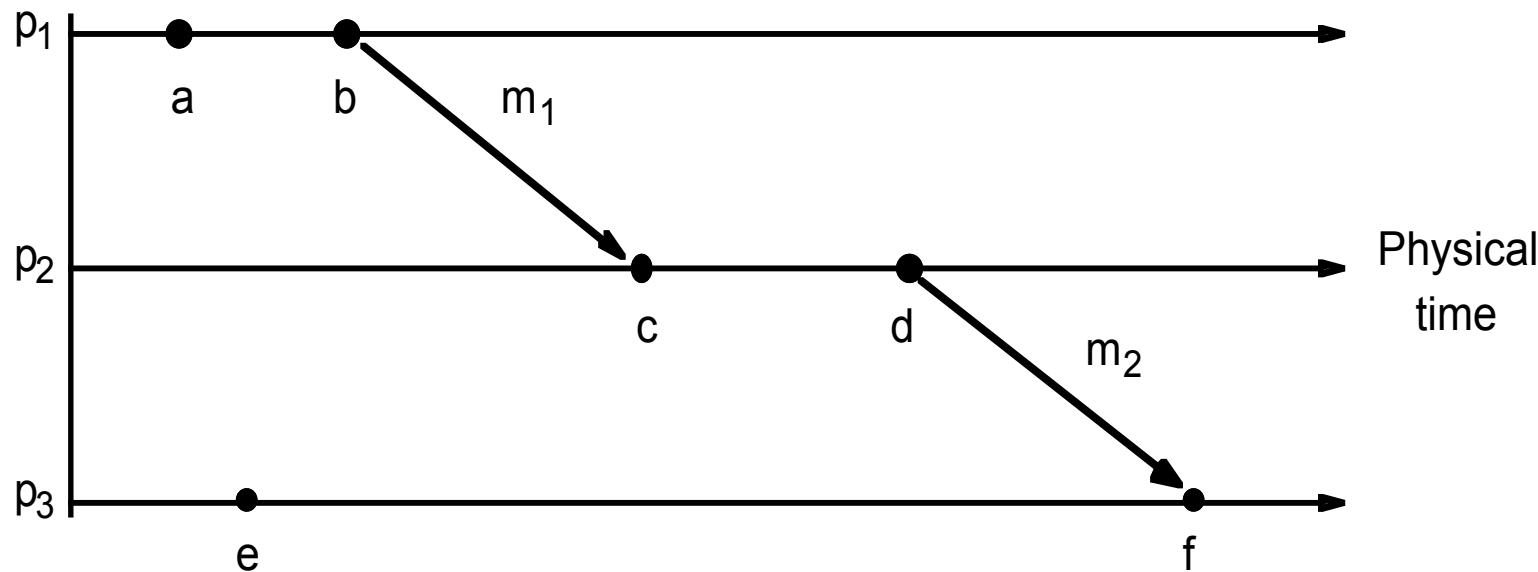
# Logical time

- Capture just the “happens before” relationship between events
  - Discard the infinitesimal granularity of time
  - Corresponds roughly to causality

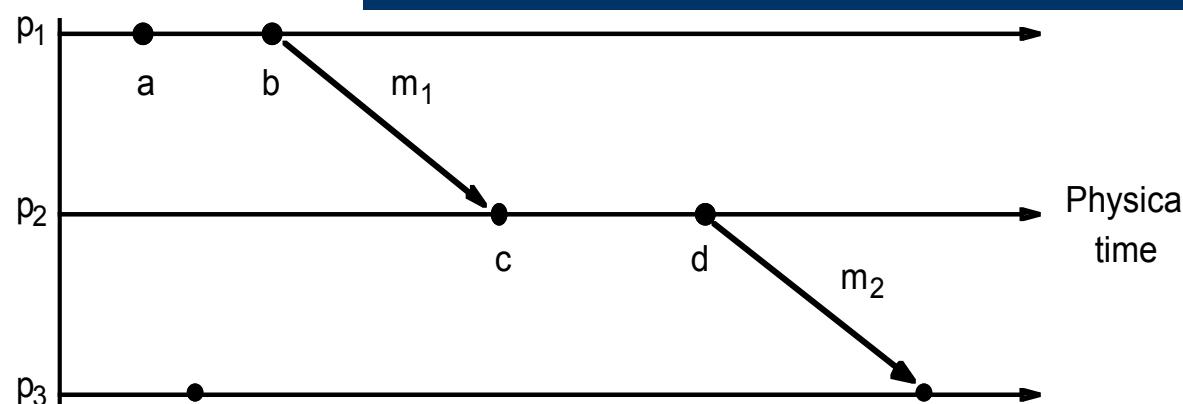
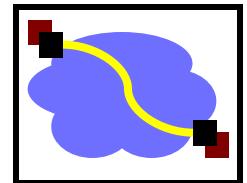
# Logical time and logical clocks (Lamport 1978)



- Events at three processes

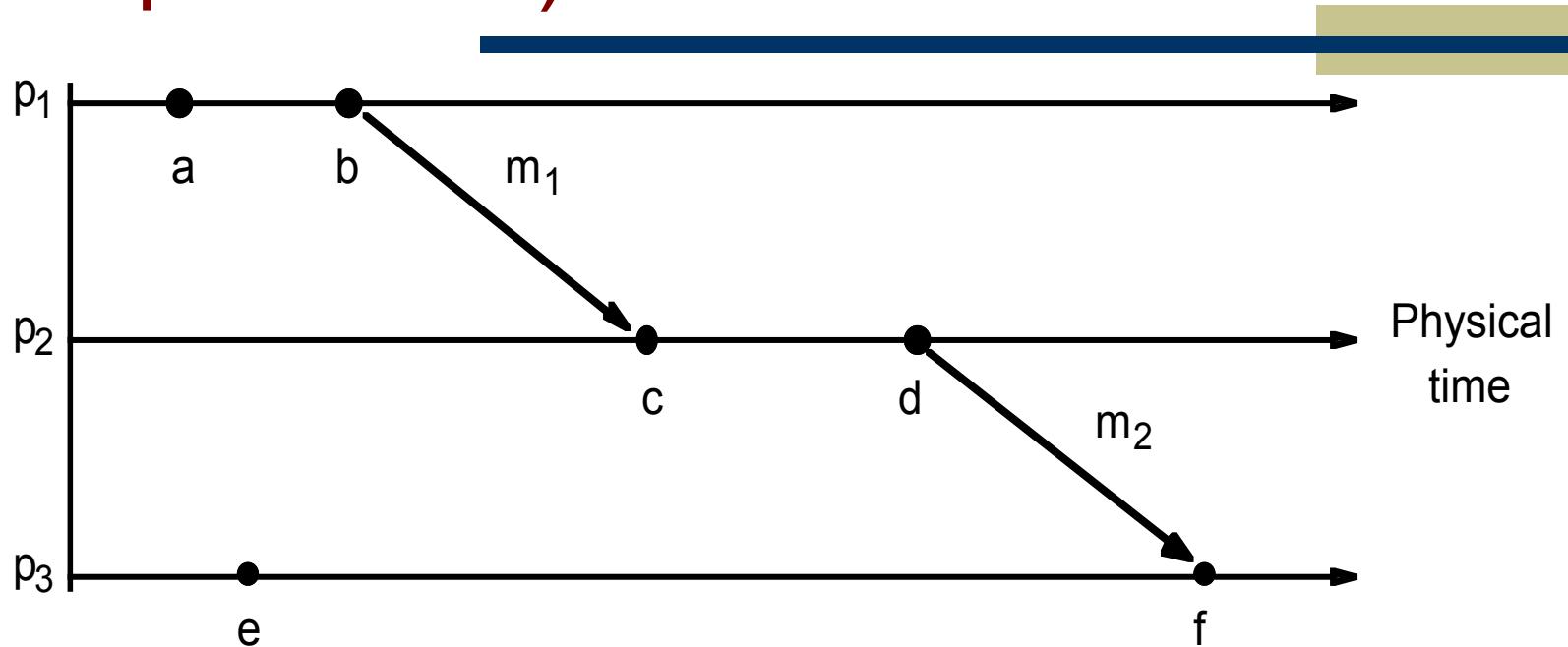
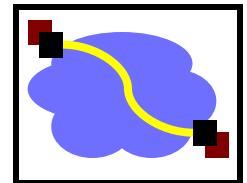


# Logical time and logical clocks (Lamport 1978)



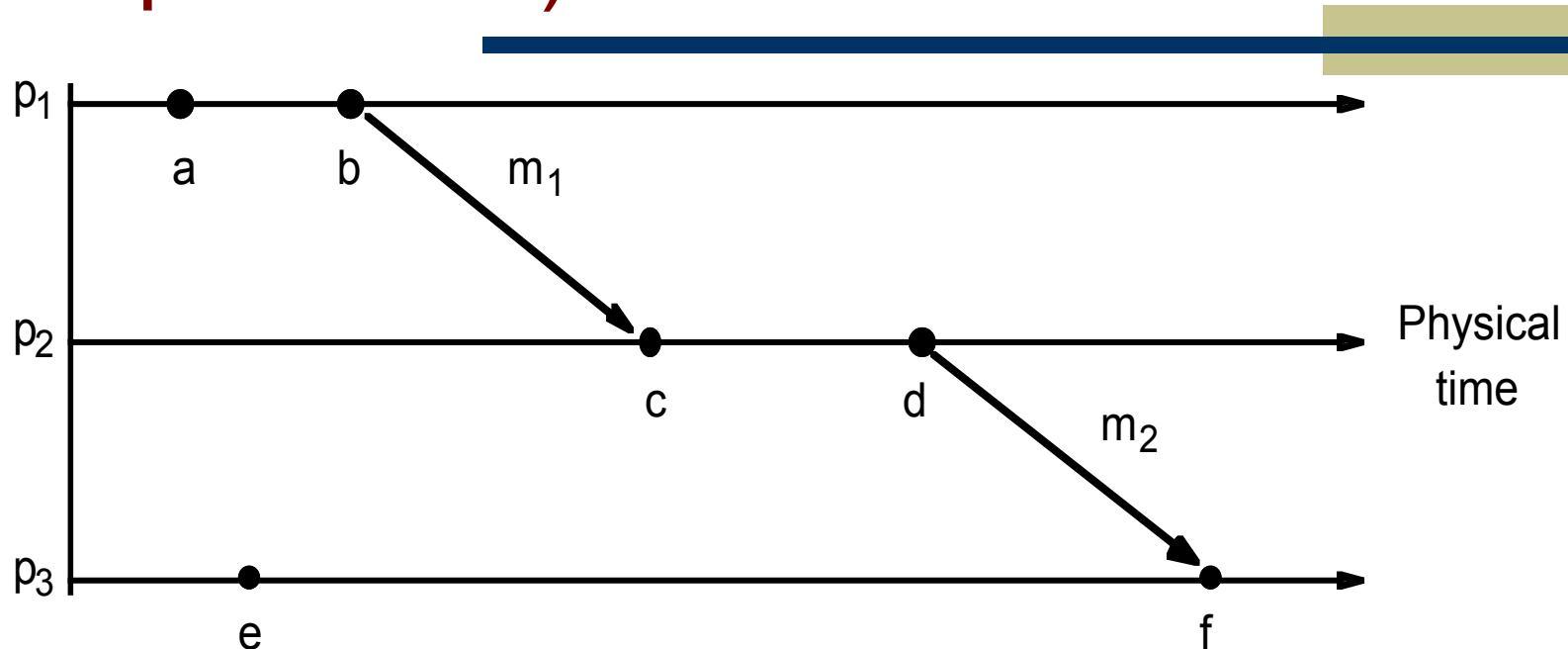
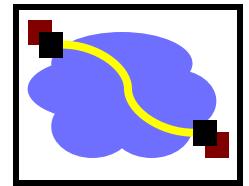
- Instead of synchronizing clocks, event ordering can be used
  1. If two events occurred at the same process  $p_i$  ( $i = 1, 2, \dots, N$ ) then they occurred in the order observed by  $p_i$ , that is the definition of:  
 $\rightarrow_i$
  2. When a message,  $m$  is sent between two processes,  $\text{send}(m)$  ‘happens before’  $\text{receive}(m)$
  3. The ‘happened before’ relation is transitive
- The happened before relation ( $\rightarrow$ ) is necessary for causal ordering

# Logical time and logical clocks (Lamport 1978)

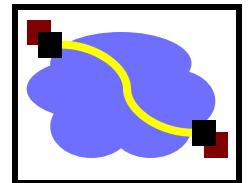


- $a \rightarrow b$  (at  $p_1$ )  $c \rightarrow d$  (at  $p_2$ )
- $b \rightarrow c$  because of  $m_1$
- also  $d \rightarrow f$  because of  $m_2$

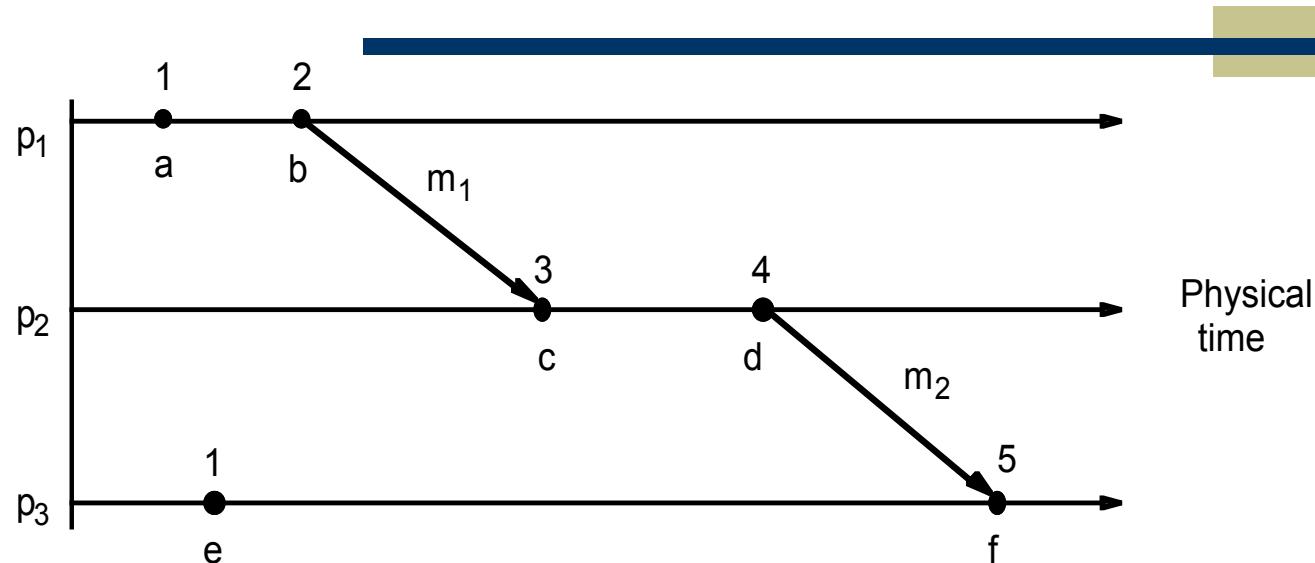
# Logical time and logical clocks (Lamport 1978)



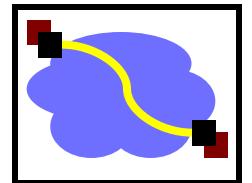
- Not all events are related by  $\rightarrow$
- Consider  $a$  and  $e$  (different processes and no chain of messages to relate them)
  - they are not related by  $\rightarrow$ ; they are said to be concurrent
  - written as  $a \parallel e$



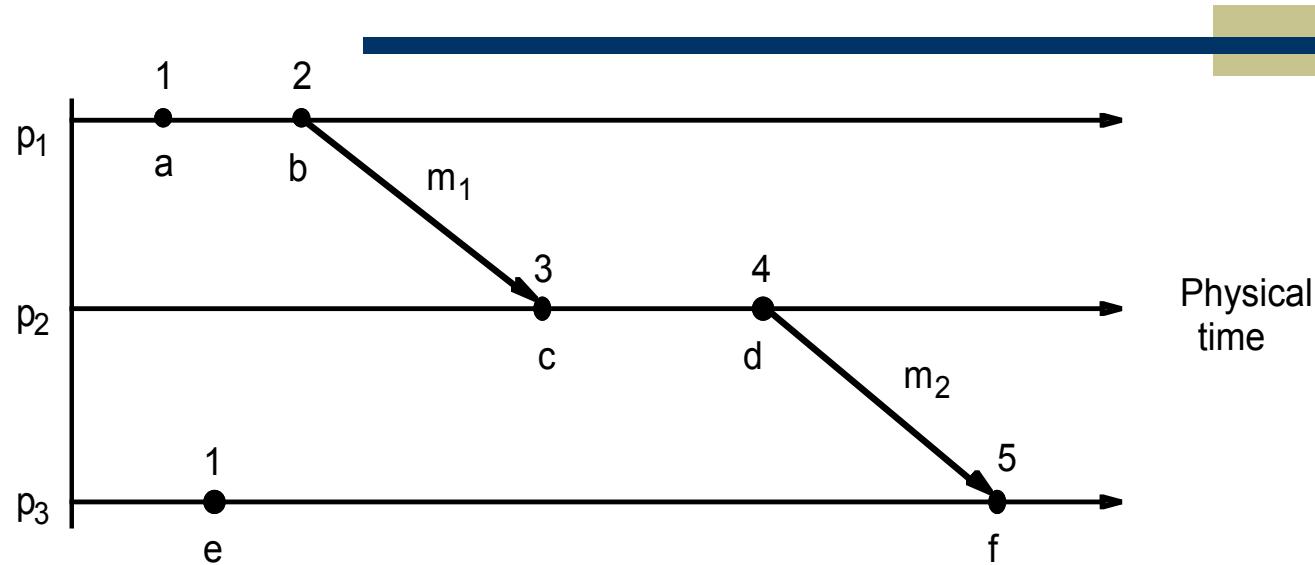
# Lamport Clock (1)



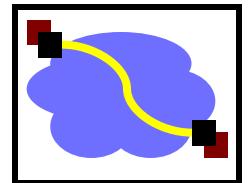
- A logical clock is a monotonically increasing software counter
  - It need *not* relate to a physical clock.
- Each process  $p_i$  has a logical clock,  $L_i$  which can be used to apply logical timestamps to events
  - Rule 0: initially all clocks are set to 0
  - Rule 1:  $L_i$  is incremented by 1 before each event at process  $p_i$
  - Rule 2:
    - (a) when process  $p_i$  sends message  $m$ , it piggybacks  $t = L_i$
    - (b) when  $p_j$  receives  $(m, t)$  it sets  $L_j := \max(L_j, t)$  and applies rule 1 before timestamping the event *receive* ( $m$ )



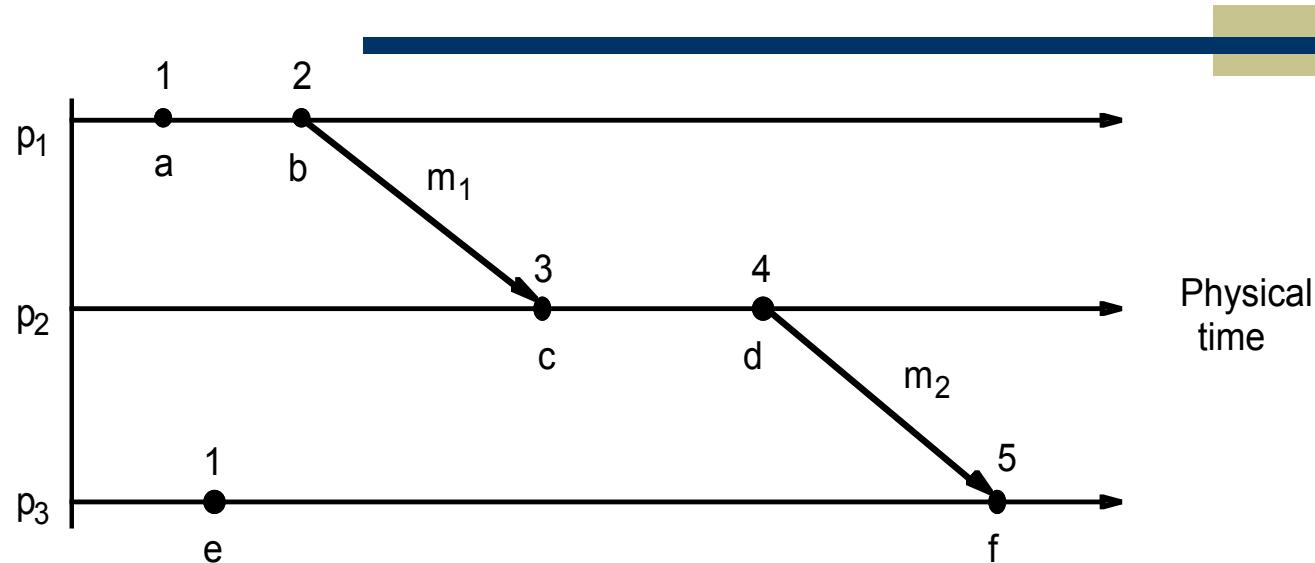
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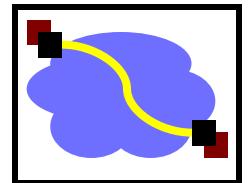
- each of  $p_1$ ,  $p_2$ ,  $p_3$  has its logical clock initialised to zero,
- the clock values are those immediately after the event.
- e.g. 1 for  $a$ , 2 for  $b$ .
  
- for  $m_1$ , 2 is piggybacked and  $c$  gets  $\max(0,2)+1 = 3$



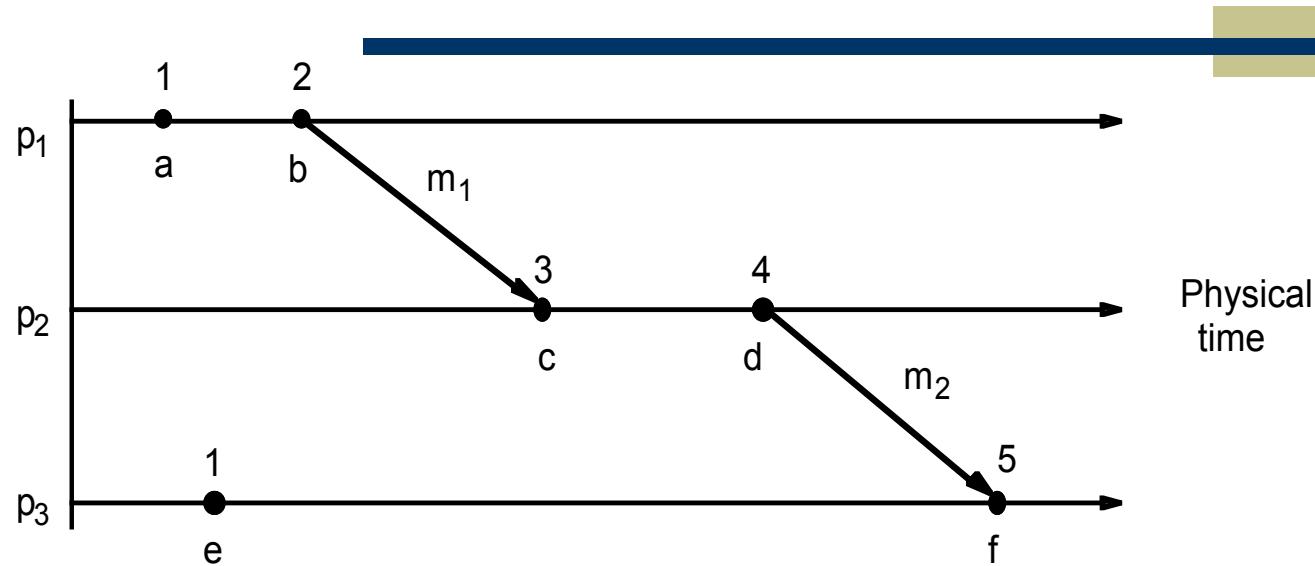
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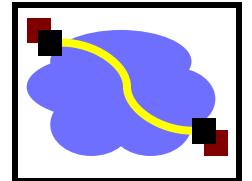
- $e \rightarrow e'$  ( $e$  happened before  $e'$ ) implies  $L(e) < L(e')$  (where  $L(e)$  is Lamport clock value of event  $e$ )
- **The converse is not true**, that is  $L(e) < L(e')$  does not imply  $e \rightarrow e'$ . **What's an example of this above?**



# Lamport Clock (1)

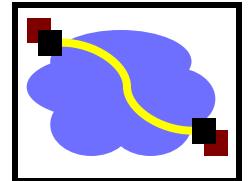


- $e \rightarrow e'$  ( $e$  happened before  $e'$ ) implies  $L(e) < L(e')$
- The converse is not true, that is  $L(e) < L(e')$  does not imply  $e \rightarrow e'$ 
  - e.g.  $L(b) > L(e)$  but  $b \parallel e$



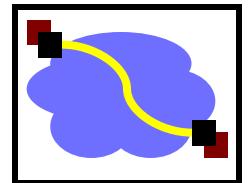
# Lamport logical clocks

- Lamport clock  $L$  orders events consistent with logical “happens before” ordering
  - If  $e \rightarrow e'$ , then  $L(e) < L(e')$
- But not the converse
  - $L(e) < L(e')$  does not imply  $e \rightarrow e'$
- Similar rules for concurrency
  - $L(e) = L(e')$  implies  $e \parallel e'$  (for distinct  $e, e'$ )
  - $e \parallel e'$  does not imply  $L(e) = L(e')$
  - i.e., Lamport clocks arbitrarily order some concurrent events



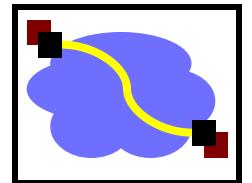
# Total-order Lamport clocks

- Many systems require a total-ordering of events, not a partial-ordering
- Use Lamport's algorithm, but break ties using the process ID; one example scheme:
  - $L(e) = M * L_i(e) + i$ 
    - $M$  = maximum number of processes
    - $i$  = process ID



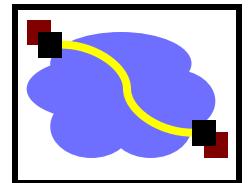
# Today's Lecture

- Need for time synchronization
- Time synchronization techniques
- Lamport Clocks
- Vector Clocks



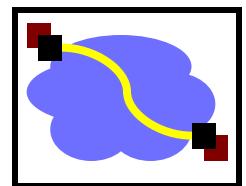
# Vector Clocks

- Vector clocks overcome the shortcoming of Lamport logical clocks
  - $L(e) < L(e')$  does not imply  $e$  happened before  $e'$
- Goal
  - Want ordering that matches happened before
  - $V(e) < V(e')$  if and only if  $e \rightarrow e'$
- Method
  - Label each event by vector  $V(e)$  [ $c_1, c_2 \dots, c_n$ ]
    - $c_i = \#$  events in process  $i$  that precede  $e$

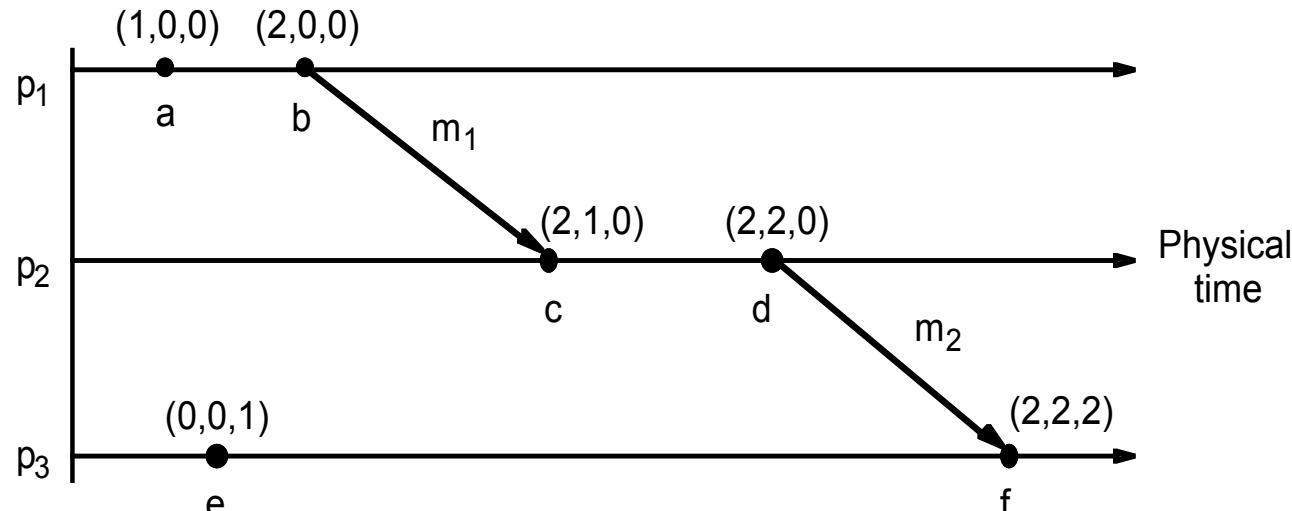


# Vector Clock Algorithm

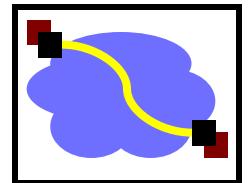
- Initially, all vectors  $[0,0,\dots,0]$
- For event on process  $i$ , increment own  $c_i$
- Label message sent with local vector
- When process  $j$  receives message with vector  $[d_1, d_2, \dots, d_n]$ :
  - Set each local vector entry  $k$  to  $\max(c_k, d_k)$
  - Increment value of  $c_j$



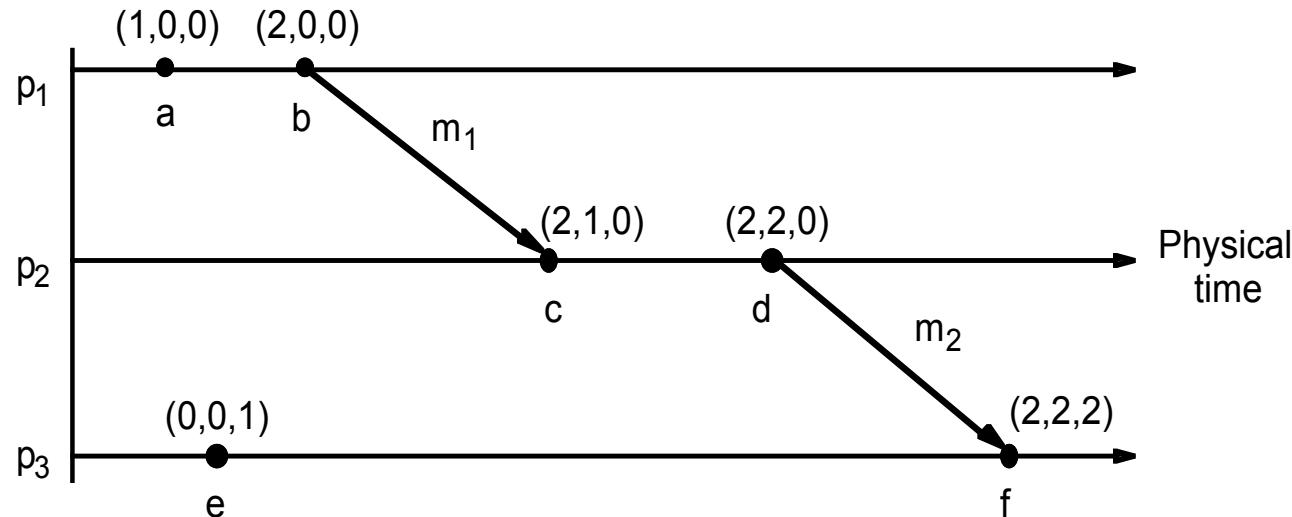
# Vector Clocks



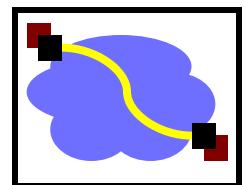
- At  $p_1$ 
  - $a$  occurs at  $(1, 0, 0)$ ;  $b$  occurs at  $(2, 0, 0)$
  - piggyback  $(2, 0, 0)$  on  $m_1$
- At  $p_2$  on receipt of  $m_1$  use  $\max((0, 0, 0), (2, 0, 0)) = (2, 0, 0)$  and add 1 to own element =  $(2, \textcolor{orange}{1}, 0)$
- Meaning of  $=$ ,  $<=$ ,  $\max$  etc for vector timestamps
  - compare elements pairwise



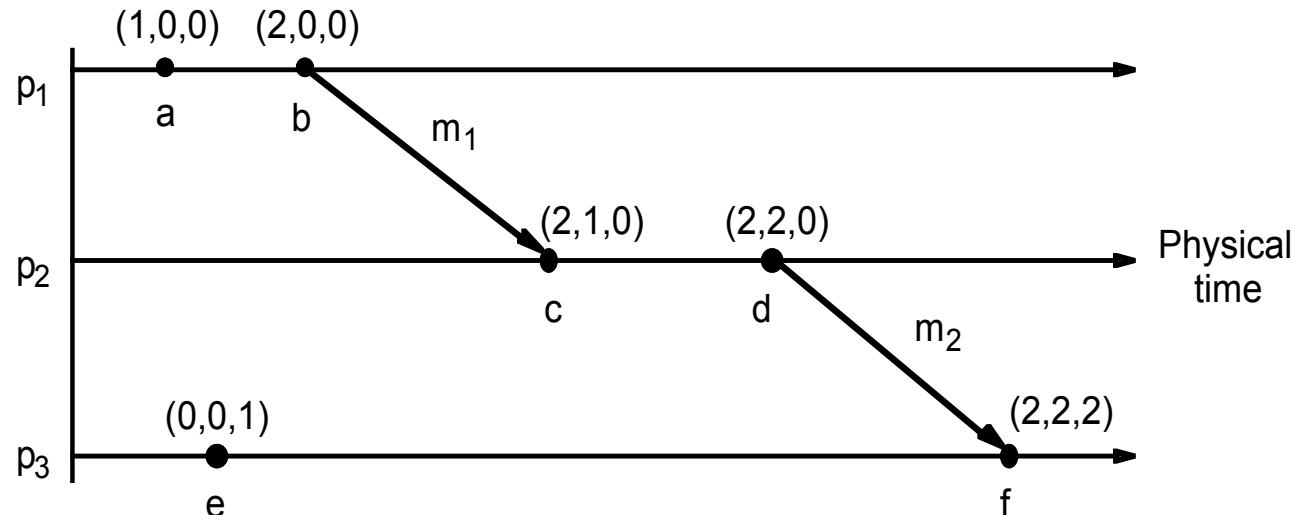
# Vector Clocks



- Note that  $e \rightarrow e'$  implies  $V(e) < V(e')$ . The converse is also true
- Can you see a pair of concurrent events; Can you infer they are concurrent from their vectors clocks?

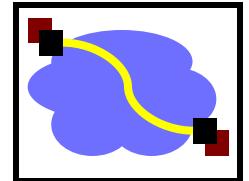


# Vector Clocks

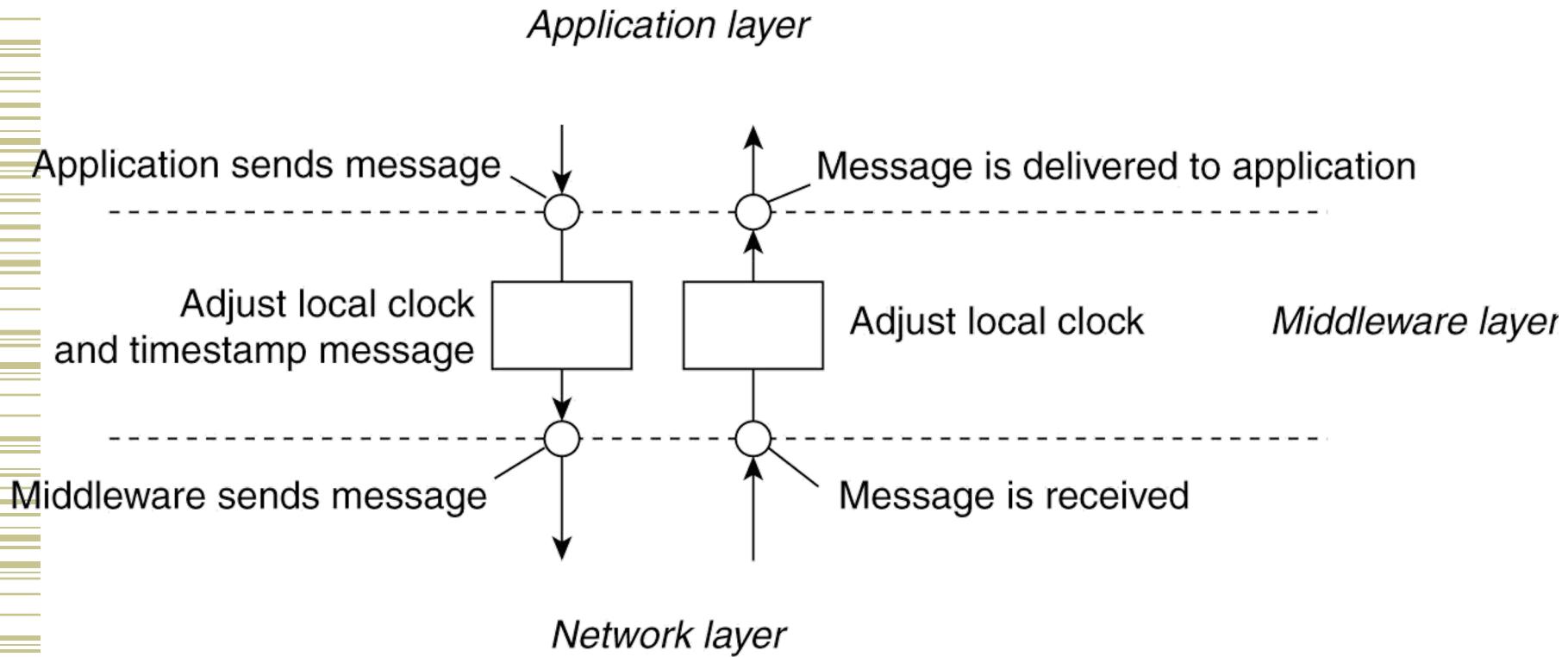


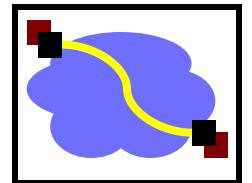
- Note that  $e \rightarrow e'$  implies  $V(e) < V(e')$ . The converse is also true
- Can you see a pair of concurrent events?
  - $c \parallel e$  (concurrent) because neither  $V(c) \leq V(e)$  nor  $V(e) \leq V(c)$

# Implementing logical clocks



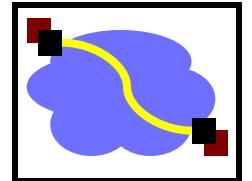
- Positioning of logical timestamping in distributed systems.





# Distributed time

- Premise
  - The notion of time is well-defined (and measurable) at each single location
  - But the relationship between time at different locations is unclear
    - Can minimize discrepancies, but never eliminate them
- Reality
  - Stationary GPS receivers can get global time with < 1 $\mu$ s error
  - Few systems designed to use this; logical clocks key mechanism for ordering
    - Recent exception: (Spanner system from Google)



# Important Points

- Physical Clocks
  - Can keep closely synchronized, but never perfect
- Logical Clocks
  - Encode happens before relationship (necessary for causality)
  - Lamport clocks provide only one-way encoding
  - Vector clocks precedence necessary for causality (but *not sufficient*: could have been caused by some event along the path, not all events)