

# Lecture 3 - Data Link Layer

Computer Communication Networks  
CS35201 - (001/ 002)  
Fall 2022

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September 26, 2022

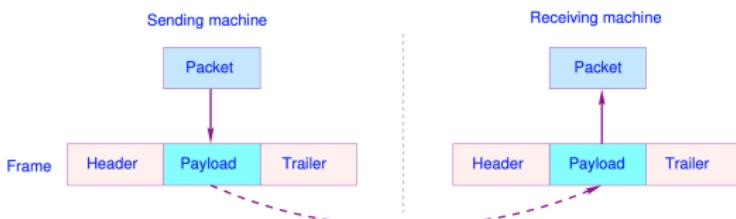
# Outline I

- 1 Part I (Data Link Layer Design Issue)
- 2 Part II (How to Provide Reliable Transmission)
- 3 Part III (Error Detection)
- 4 Part IV (Error Correction)
- 5 Part V (Convolution and RS Error Corrections)
- 6 Part VI (Reliable Transmission)
- 7 References

# Data Link Layer Design Issues

- ① Network Layer Services
- ② Framing
- ③ Error Control
- ④ Flow Control

# Packets vs. Frames



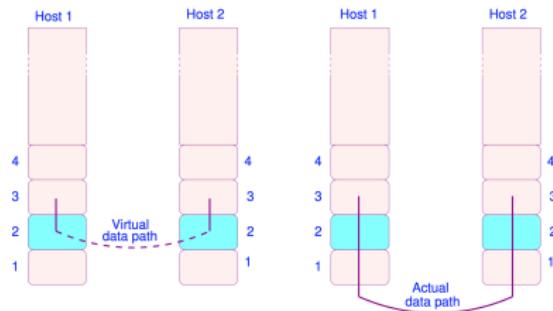
## • Packets

- Layer 3 data units
- Mostly variable size  $\Rightarrow 20 \leq \text{IP} \leq 65,535$  bytes = 64k bytes
- Carry routing information

## • Frames

- Layer 2 data units
- Fix size  $\Rightarrow$  Ethernet 1500 bytes, WiFi 2346 bytes
- No or minimal routing information  $\Rightarrow$  forwarding

# Virtual vs. Actual Connections



- Layer 3 doesn't know how layer 2 delivers its packets
- Layer 2 and 4 are designed to provide reliable connections
  - Connection-oriented
  - Acknowledgements
  - Request-Reply
- Layer 3 is designed to provide best effort delivery
  - Connection-less-oriented
  - Unreliable

# Possible Services Offered

		Services		Examples
Connection-Oriented	Reliable	Message stream Byte stream	Sequence of pages Remote login	
	Unreliable	Datagram /Pulse Acked datagram Request-Reply	Digitized voice Sequence of pages Registered mail	
Connection-less-Oriented	Reliable			
	Unreliable	Datagram	Database query	

- ① Unacknowledged connectionless service
  - User Data Protocol (UDP)
- ② Acknowledged connectionless service
  - Ethernet frames, Internet Protocol (IP)
- ③ Acknowledged connection-oriented service
  - Transport Control Protocol (TCP)

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# How can reliability be provided?

- ① Acknowledgment,
- ② Partial acknowledgment (cumulative acknowledgment) ⇒ TCP
- ③ Automatic-repeat and request (ARQ)
- ④ Byte-stream
- ⑤ Message sequencing

## What is the Problem?

When two devices exchange data:

- Data transmitted one bit at a time
- Transmitting and receiving data rates must be the same The transmitter/receiver must recognize the start/end of each bit
  - To make sure sampling can be done correctly
  - Also, a drift in time results errors

## Two Approaches: Framing Methods

- ① Asynchronous data transmission
- ② Synchronous data transmission

# Transmission Methods

## ① Asynchronous data transmission

- Timing problem is avoided by not sending long streams of bits
- Data is transmitted one character at a time
  - Byte (character-oriented) protocols
- Synchronization bits are required
  - Bits are synchronized, data is not
- Good for low-rate channels



## ② Synchronous data transmission

- Bit-oriented protocols
- Transmitter and receiver clocks must be synchronized
  - Using separate timing circuit  $\Rightarrow$  out-of-band signaling
  - Manchester coding
- There is a minimum frame length



# Framing

## What is a Frame?

- A basic transmission unit
- Typically implemented by network adapter
- Adapter fetches/deposits frames out/in to memory
- The receiver must determine first and last bit of the frame

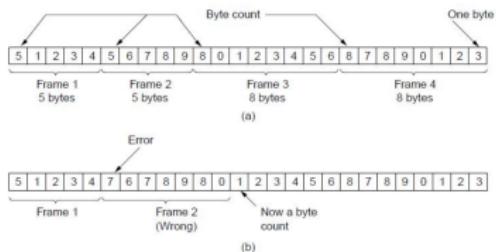
## Basic Framing Techniques

- ① Byte count
- ② Flag bytes with byte stuffing
- ③ Flag bits with bit stuffing
- ④ Physical layer coding violations
- ⑤ Clock-based Framing ⇒ SONET

# Framing Methods I

## ① Byte Count Framing ⇒ Byte-Oriented Protocols

- Each frame starts with a byte that contains the frame size (in bytes)
- What would happen when an error occurs in a byte count?
  - Synchronization is lost
  - Correct start/end of the frames will be lost
  - Even if the checksum detects such an error, it cannot correct it
- A byte stream. (a) Without errors. (b) With one error



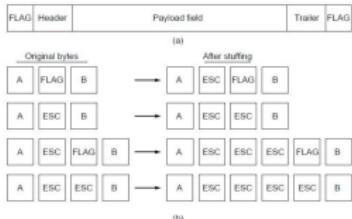
- Example: Digital Data Communication Message Protocol (DDCMP)



# Framing Methods II

## ② Framing with Flags

- A frame delimited by flag bytes
- What would happen if the **FLAG** appears in the text?
  - Use a escape character
  - Four examples of byte sequences before and after byte stuffing.



- Example: Point-to-Point Protocol (PPP)



- Example: BISYNC (Binary sync. comm.)  $\Rightarrow$  IBM



# Framing Methods III

## ③ Bit-oriented protocols

- High-Level Data Link Control (HDLC) (OSI)
- Synchronous Data Link Control (SDLC) - IBM



- Delineate frame with a special bit-sequence: 01111110

## Bit Stuffing Protocol

- Sender: any time five consecutive 1s have been transmitted from the body of the message, insert a 0.
- Receiver: should five consecutive 1s arrive, look at next bit(s):
  - if next bit is a 0: remove it  $\Rightarrow$  un-stuff it
  - if next bits are 10: end-of-frame marker
  - if next bits are 11: error

## Framing Methods IV

### Example 3.1 (Bit Stuffing)

(a) 011011111111111111110010

(b) 01101111011111011111010010

### Stuffed bits

- (a) The original data.
  - (b) The data as they appear on the line
  - (c) The data stored in the receiver's memory after de-stuffing

## Other Bit-Oriented Protocols

- Link Access Procedure (LAP) ⇒ CCITT
  - Link Access Procedure - Balanced (LAPB) ⇒ ITU-T (for X.25)
  - Link Access Procedure for the D channel (LAPD) ⇒ ITU-T (for ISDN)
  - Hewlett Packard Data Link Control (HPDLC: HP)
  - Advanced Data Communications Control Procedures (ADCCP) - ANSI
  - Logical Link Control (LLC) ⇒ IEEE 802.2

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# Error Detection

- Reliability requires error detection and possibly correction
  - We cannot correct all the time
  - Two many bit errors may not be correctable
- Many techniques
  - From dictionary search to in-line (real-time) detection and possibly correction
  - Not all good equally for different noise (error) rate
- In general we extra bits to the data bits for the purpose of:
  - Detecting errors
  - Correcting errors if possible
- We start with simple techniques, and we cover more robust techniques
  - Used by NASA for various missions

## Major Error Detection Techniques

- ① Parity
- ② Checksum
- ③ Cyclic Redundancy Checks

# Parity Bit

- Detecting a single bit error  $\Rightarrow$  parity bit
  - Memory read/write (R/W)  $\Rightarrow$  8 data bits + 1 parity bit

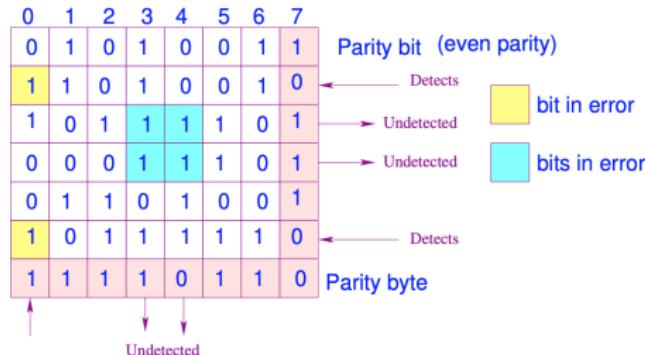
0	1	2	3	4	5	6	7
1	0	1	1	0	1	1	
1	0	1	1	0	1	1	0
1	0	1	1	0	1	1	1

odd parity, odd number of 1's  
even parity, even number of 1's

- Even number of bit errors goes undetected  $\Downarrow$ 
  - Keeps the parity bit unchanged
- In general, we decode  $m$  bits to  $n = m + r$  bits  $\Rightarrow$  codewords
  - $r$  bits overhead  $\Rightarrow$  next
- Odd/even parity is weak  $\Rightarrow$  50% of errors cannot be detected

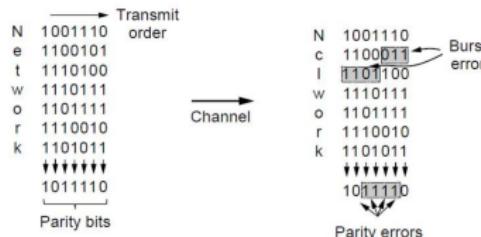
# Two-Dimensional Parity Check

- Multiple bytes are sent in matrix form  $\Rightarrow$  blocks
- Each bit of data is checked by two parity bits
  - Row and Column
- What happens if the parity itself received in error?
- 2D parity detects better but still misses a lot of errors undetected



# Interleaving Parity Bits

- Errors often occur in bursts as a result of:
  - Transit-time noise  $\Rightarrow$  unpredictable
  - Random statistical fluctuations of the electric current  $\Rightarrow$  shot noise  $\Rightarrow$  unpredictable
  - ...
- Interleaving parity bit with data bits allows spread the bits
  - Better detection, and also help correction
- Data is horizontal, parity is vertical  $\Rightarrow$  scrambles the bits



- Used in fiber optics  $\Rightarrow$  minimal computations

# Checksum

- Simple, but weak
- The sum of bytes computed as parity bits  $\Rightarrow$  1's complement

Data	Checksum	Data	Checksum
0001	1	0011	3
0010	2	0000	0
0011	3	0001	1
0001	1	0011	3
Total	7	Total	7

- Does not detect all common errors  $\Rightarrow$  weak error detection (used in IP)
- Used in networks where speed is more important than errors
  - Other layers take care of error correction/detection

# Cyclic Redundancy Check (CRC) I Data Link Layer

- Given a  $m$ -bit message, generate an  $m + r$ -bit frame such that  $m + r$  bit frame evenly divisible by some predefined number

Message Bits ( $m$ bits)	Parity Bits ( $r$ bits)
--------------------------	-------------------------

- How does it work?

① Let  $M(x)$  be the message  $1101011111$

$$M(x) = x^9 + x^8 + x^6 + x^4 + x^3 + x^2 + x + 1$$

② Choose  $G(x)$  with degree  $r$   $G(x) = x^4 + x + 1 = 10011 = 19$

③ Append  $r$  zero bits to end of the frame  $\Rightarrow x^r M(x)$

$$x^r M(x) = X^{13} + x^{12} + x^{10} + x^8 + x^7 + x^6 + x^5 + x^4 = 11,0101,1111,0000$$

④ Let  $E(x)$  be the remainder of  $x^r M(x)/G(x)$   $E(x) = x = 10$

⑤ Transmit  $T(x) = x^r M(x) - E(x)$

$$T(x) = x^{13} + x^{12} + x^{10} + x^8 + x^7 + x^6 x^5 + x^4 + x = 11,0101,1111,0010$$

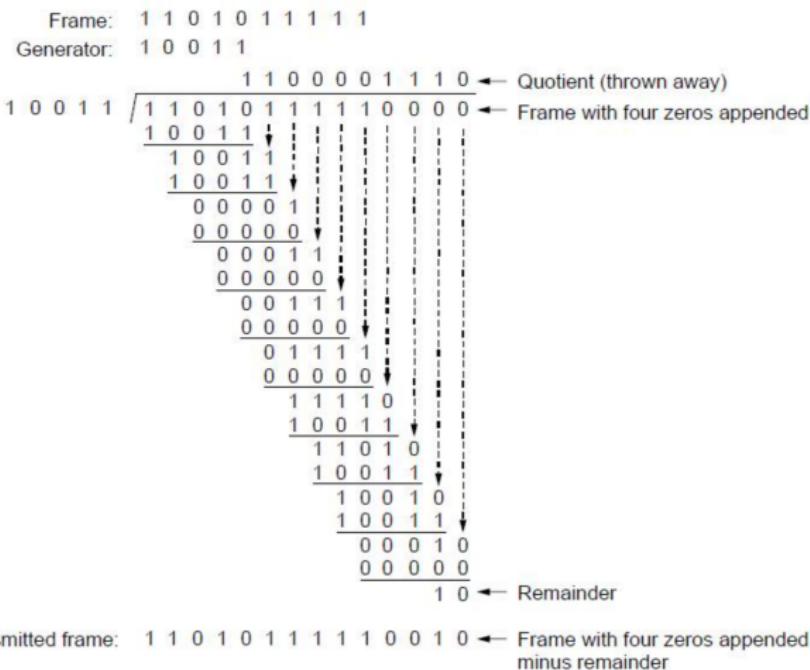
⑥ Recipient divides  $T(x)$  by  $G(x)$ ; with remainder  $E(x)$

if  $E(x) = 0 \Rightarrow$  no Error

if  $E(x) \neq 0 \Rightarrow$  Error

Just Detection, no Correction

# Cyclic Redundancy Check (CRC) II



Transmitted frame: 1 1 0 1 0 1 1 1 1 1 0 0 1 0 ← Frame with four zeros appended minus remainder

⇒ All done in Binary, Hardware, with Shift Registers + Adder

# Cyclic Redundancy Check (CRC) III

- CRC codes can detect the following
  - All error bursts of length  $m - r$  or less
  - All errors with an odd number of errors if generator  $G(x)$  has an even number of nonzero coefficient
- Common CRC polynomials

CRC	Generator $g(x)$
CRC-8	$x^8 + x^2 + x^1 + 1$
CRC-10	$x^{10} + x^9 + x^5 + x^4 + x^1 + 1$
CRC-12	$x^{12} + x^{11} + x^3 + x^2 + 1$
CRC-16	$x^{16} + x^{15} + x^2 + 1$
CRC-CCITT	$x^{16} + x^{12} + x^5 + 1$
CRC-32	$x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11}$ $x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$

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# Error Correction

## Major Techniques

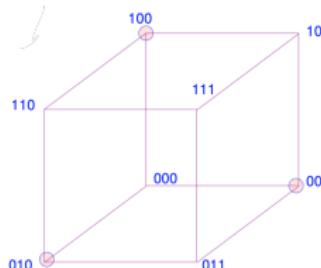
- ① Hamming codes
- ② Binary convolutional codes
- ③ Read-Solomon codes
- ④ Low-Density Parity Check codes

# Hamming Code I

## Definition 3.1 (Hamming Distance)

Given two codewords  $x$  and  $y$ ,  $d(x, y) =$  number of places that  $x$  and  $y$  differ = # of 1's in  $x \oplus y$

## Example 3.2



$$d(100, 101) = 1 \quad d(100, 111) = 2 \quad d(100, 011) = 3$$

$$d(101, 010) = 101 \oplus 010 = 111 \equiv 3$$

$d \Rightarrow$  distance in number of hops

$d \Rightarrow$  shows how far codewords are part

# Hamming Code II

- We like codewords that are far apart  $\Rightarrow$  how many can we have?
- The higher the distance, the better we can detect errors

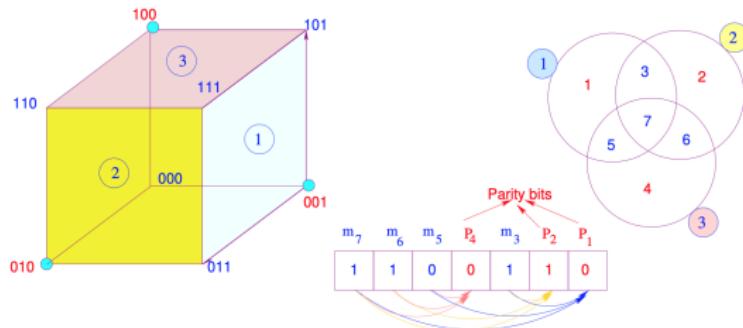
## Theorem 3.1 (Minimum Distance)

To detect  $l$ -bit errors,  $d_{min} \geq l + 1$

# Hamming Code III

## Example 3.3 (Hamming Code, $H(7, 4)$ ) $n = 7$ , $r = 3$ , $m = 4$

- 7-bit codeword, 3-bit error control



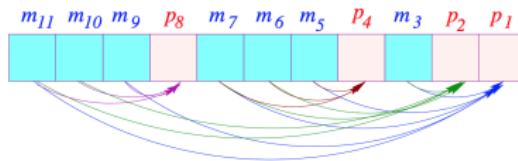
- Counting # of 1's in each circle (dimension)

- $p_1 = m_3 \oplus m_5 \oplus m_7 = 2 \bmod 2 = 0 \Rightarrow$  positions with 1st bit = 1
- $p_2 = m_3 \oplus m_6 \oplus m_7 = 3 \bmod 2 = 1 \Rightarrow$  positions with 2nd bit = 1
- $p_4 = m_5 \oplus m_6 \oplus m_7 = 2 \bmod 2 = 0 \Rightarrow$  positions with 3rd bit = 1

# Hamming Code IV

## General Algorithm of Hamming Code

- ① Write the bit positions in binary; 001, 010, 011, etc.
- ② Parity bits are power of 2 positions; 1, 2, 4, 8, etc.
- ③ All other bits are data bits
- ④ Each data bit is included in a unique set of parity bits
  - ① Parity bit 1 covers bits positions with 1st bit = 1, ( 1, 3, 5, 7, 9, ... )
  - ② Parity bit 2 covers bits positions with 2nd bit = 1, ( 2, 3, 6, 7, 10 ... )
  - ③ Parity bit 4 covers bits positions with 3rd bit = 1, ( 4-7, 12-15, 20-23, ... )
  - ④ Parity bit 8 covers bits positions with 4th bit = 1, ( 8-15, 24-31, 40-47, ... )
  - ⑤ In general each parity bit covers all bits where the bitwise AND of the parity position and the bit position is non-zero
- ⑤ Set a parity bit to 0 if the total number of 1's in the positions it checks is even
  - Otherwise, 1



# Hamming Code V

## Example 3.4 (Hamming Code $H(7, 4)$ ) $n = 7$ , $r = 3$ , $m = 4$

- Let the codeword be  $m_7 \ m_6 \ m_5 \ p_4 \ m_3 \ p_2 \ p_1$
- Parity check equations:

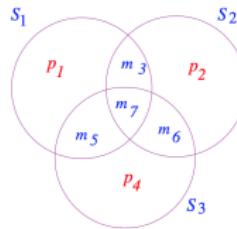
$$S_1 = p_1 \oplus m_3 \oplus m_5 \oplus m_7 = 0$$

$$S_2 = m_3 \oplus p_2 \oplus m_6 \oplus m_7 = 0$$

$$S_3 = p_4 \oplus m_5 \oplus m_6 \oplus m_7 = 0$$

There is an even parity in each circle  $S_1, S_2, S_3$  is called the syndrome

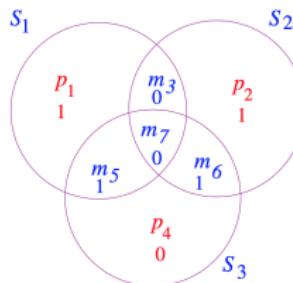
- There is an even number of 1's in each circle  $\Rightarrow$  even parity
- Note:
  - if  $A \oplus B = C$ , then  $A = B \oplus C$



# Hamming Code VI

## Example 3.5 (Hamming Code: Encoding)

- Let the message digits be  $m_7 \ m_6 \ m_5 \ m_3 = 0 \ 1 \ 1 \ 0$



$$p_1 = m_3 \oplus m_5 \oplus m_7 = 1$$

$$p_2 = m_3 \oplus m_6 \oplus m_7 = 1$$

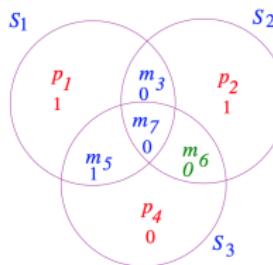
$$p_4 = m_5 \oplus m_6 \oplus m_7 = 0$$

The codeword =  $m_7 \ m_6 \ m_5 \ p_4 \ m_3 \ p_2 \ p_1 = 0110011$

# Hamming Code VII

## Example 3.6 (Hamming Code: Decoding)

- Transmitted message:  $m_7m_6m_5p_4m_3p_2p_1 = 0110011$
- Received message with one bit error:  $m_7m_6m_5p_4m_3p_2\hat{p}_1 = 0\hat{0}10011$
- By counting # of 1's in each circle, we find
  - There is no error in circle 1  $\Rightarrow S_1 = p_1 \oplus m_3 \oplus m_5 \oplus m_7 = 0$
  - There is an error in circle 2  $\Rightarrow S_2 = m_3 \oplus p_2 \oplus m_6 \oplus m_7 = 1$
  - There is an error in circle 3  $\Rightarrow S_3 = p_4 \oplus m_5 \oplus m_6 \oplus m_7 = 1$
  - Therefore, the error is in the intersection of circle  $S_2$  and  $S_3$ 
    - $S_3S_2S_1 = 110 \Rightarrow m_6 = 1$  must be reverted to 0
- Note: if the bit error affects
  - two circle  $\Rightarrow$  data bit
  - one circle  $\Rightarrow$  parity bit



# Hamming Code VIII

## Example 3.7 (Hamming Code: Decoding)

- This time a parity bit is in error

- Transmitted message:

$$m_7 m_6 m_5 p_4 m_3 p_2 p_1 = 0110011$$

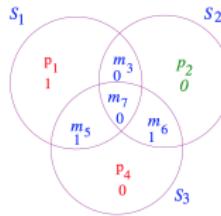
- Received message with one bit error:

$$m_7 m_6 m_5 p_4 m_3 p_2 p_1 = 01100\hat{0}1$$

- By counting 1's in each circle we find

- There is no error in circle 1  $\Rightarrow S_1 = p_1 \oplus m_3 \oplus m_5 \oplus m_7 = 0$
- There is an error in circle 2  $\Rightarrow S_2 = m_3 \oplus p_2 \oplus m_6 \oplus m_7 = 1$
- There is no error in circle 3  $\Rightarrow S_3 = p_1 \oplus m_3 \oplus m_5 \oplus m_7 = 0$
- Therefore the error is  $S_2$

- $S_3 S_2 S_1 = 010 \Rightarrow$  second bit  $\hat{p}_2$  must be reverted



# Hamming Code IX

- Similarly,  $H(11, 7)$ ,  $n = 11$ ,  $r = 4$ ,  $m = 7$ 
  - $p_1 = m_3 \oplus m_5 \oplus m_7 \oplus m_9 \oplus m_{11}$   $\Rightarrow$  1st bit = 1
  - $p_2 = m_3 \oplus m_6 \oplus m_7 \oplus m_{10} \oplus m_{11}$   $\Rightarrow$  2nd bit = 1
  - $p_4 = m_5 \oplus m_6 \oplus m_7$   $\Rightarrow$  3rd bit = 1
  - $p_8 = m_9 \oplus m_{10} \oplus m_{11}$   $\Rightarrow$  4th bit = 1
- In general
  - Block length:  $2^r - 1$
  - Message length:  $2^r - r - 1$
  - Distance: 3
  - Notation:  $H(m, m - r)$
  - Rate (efficiency):  $1 - \frac{r}{2^r - 1}$

## The Magic

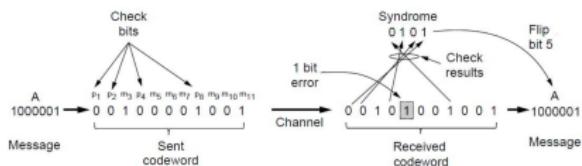
Any two different code words differ in at least 3 places in  $H(11, 7)$

1100011	1101000	1110100	1111111	1000101	1001110	1010010	1011001
0100110	0101101	0110001	0111010	0000000	0001011	0010111	0011100

- Why these specific bits?  $\Rightarrow$  maximize code distance
- The knowledge of Hamming distance is used to:
  - Determine the capacity of a code  $\Rightarrow$  how many bits for data and parity
  - Detect and correct errors

# Hamming Code X

## Example 3.8 (Hamming Code, H(11,7)) $n = 11$ , $r = 4$ , $m = 7$



- $m_5$  is the only bit that can cause the error
  - $S_1 = p_1 \oplus m_3 \oplus m_5 \oplus m_7 \oplus m_9 \oplus m_{11} = 1$
  - $S_2 = p_2 \oplus m_3 \oplus m_6 \oplus m_7 \oplus m_{10} \oplus m_{11} = 0$
  - $S_3 = p_4 \oplus m_5 \oplus m_6 \oplus m_7 = 1$
  - $S_4 = p_8 \oplus m_9 \oplus m_{10} \oplus m_{11} = 0$
- $S_4 S_3 S_2 S_1 = 0101 \Rightarrow$  5th bit,  $m_5$  must be reverted

# Hamming Code XI

## Theorem 3.2

The number of errors that can be corrected is

$$\frac{d_{min}-1}{2}$$

# Outline I

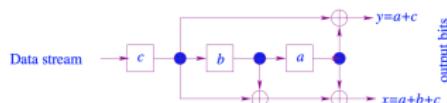
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# Convolutional Coding I

- Generates redundant bits continuously
- More powerful than block codes
  - NASA Voyager 1977 and 802.11
  - Provides good performance at low cost

$$k \text{ bits} \longrightarrow (n, k, L) \longrightarrow n \text{ bits, } L \text{ lines}$$

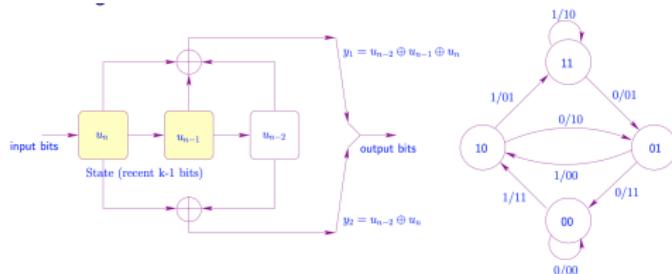
- (2,1,3) convolutional code



- Encodes information stream rather than information blocks
- Certain information bits affect the encoding on next information bits
- Easily implemented using shift register
  - input processes  $k$  bits at a time
  - Output produces  $n$  bits for every  $k$  input bits
  - $k$  and  $n$  are very small
  - $L$  (constraints) factor is the number of shifts over which an input bit can influence the encoder output
  - In general, the code rate  $r = k/n$ ,  $1/2$  in this example

# Convolutional Coding II

- Encoding



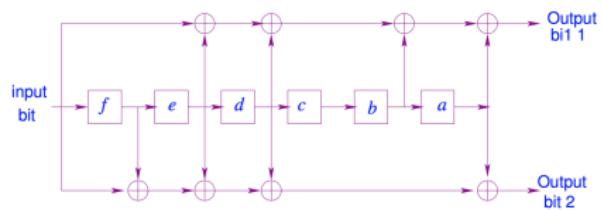
Input	Initial State	Final State	Output Codeword
0	00	00	00
1	00	10	11
0	01	00	11
1	01	10	00
0	10	01	10
1	10	11	01
0	11	01	01
1	11	11	10

- Decoding

- Uses maximum likelihood for decoding (Tree of Trellis)
- Viterbi algorithm is the most common

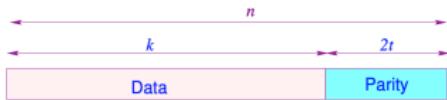
# Convolutional Coding III

- (2,1,7) convolutional code



# Reed Solomon (RS) Codes I

- Block-based error correcting codes with a wide range of applications
  - Storage devices (including tape, Compact Disk, DVD, Blu-ray, DSL, WiMax, RAID, bar-codes, etc.)
  - Wireless or mobile communications
    - Cellular telephones, microwave link, etc.
  - Satellite communications
  - Digital television, CD, DVD, RF, barcodes
  - High-speed modems such as ADSL, xDSL, etc.



- A popular Reed-Solomon code is RS(255,223) with 255 code word bytes, of which 223 bytes are data and 32 byte parity
  - $n = 2^r - 1 = 255 \Rightarrow s = 8$
  - $k = 223$
  - $2t = 32, t = 16$
- The decoder can correct any 16 symbol errors in the code word

# Reed Solomon (RS) Codes II

- The decoder can correct any **16 symbol** errors in the code word
  - Errors in up to 16 bytes anywhere in the codeword can be automatically corrected  $\Rightarrow$  very strong

## How Does it Work?

- RS codeword is generated using a **special** polynomial (generator)
$$g(x) = (x - a^i)(x - a^{i+1})(x - a^{i+2}) \dots (x - a^{i+2d}) \quad (1)$$
- The codeword  $c(x)$  is connected using  $g(x)$  and the information block  $i(x)$   $\Rightarrow$  shift the bits to the left

$$c(x) = g(x).i(x) \quad (2)$$

# Reed Solomon (RS) Codes III

- The  $2t$  parity symbols in a systematic Reed-Solomon codeword are given by

$$p(x) = i(x) \cdot x^{n-k} \bmod g(x) \quad (3)$$

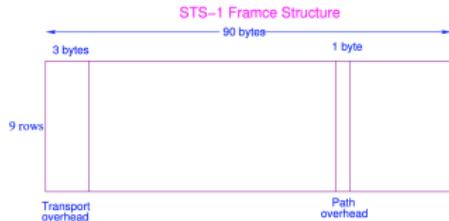
- The received codeword  $r(x)$  is the original (transmitted) codeword  $c(x)$  plus errors

$$r(x) = q(x) \cdot g(x) + e(x) \qquad \qquad q(x)g(x) = c(x) \quad (4)$$

- Finding the position of involves solving simultaneous equations with  $t$  unknowns
  - Several fast algorithms are available

# Clock-Based Framing: SONET I

- SONET: Synchronous Optical Network
- ITU standard for transmission over fiber
- STS-1 (51.84 Mbps)
- Byte-interleaved multiplexing
- Each frame is  $125\mu\text{s}$  long.



- Each frame is  $125\mu\text{s}$  long  $\Rightarrow 8000/\text{s}$
- Frame length =  $90 \times 9 \times 8 = 6,400$  bits long

# Outline I

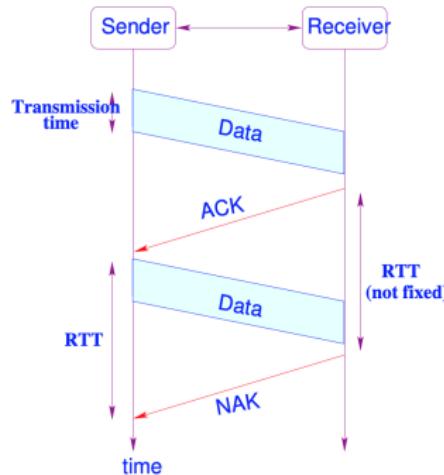
- 1 Part I (Data Link Layer Design Issue)
- 2 Part II (How to Provide Reliable Transmission)
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- 7 References

# Reliable Transmission

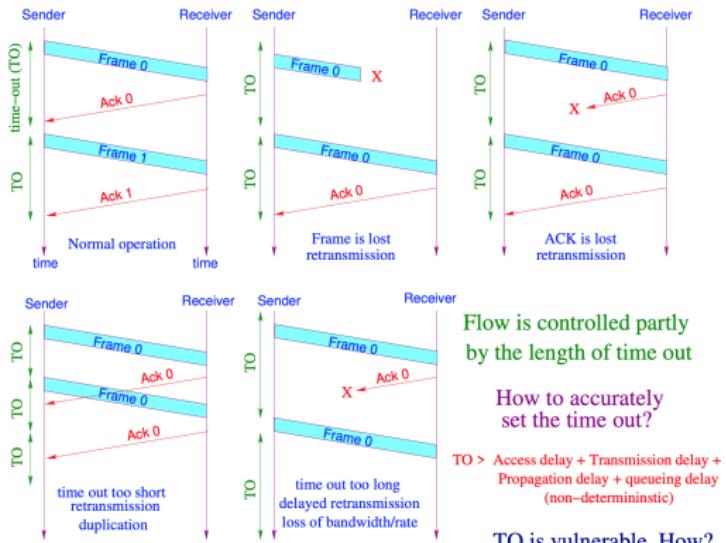
- Delivers frames
  - Without errors and
  - In proper order to the network layer
- How to recover from corrupt frames (errors)?
  - ① Error Correction ⇒ also called Forward Error Correction (FEC)
  - ② Acknowledgments and Timeouts ⇒ also called Automatic Repeat Request (ARQ)
- How to keep the proper order of delivery?
  - The sender should not flood the receiver
  - But maximizes the throughput
- The sender is throttled until the receiver grants permission  
**Flow Control ⇒ ARQ**

# Automatic Repeat Request (ARQ)

- Performed with the combination of:
  - ① Error Detection
  - ② Acknowledgment
  - ③ Retransmission after timeout
  - ④ Negative acknowledgment



# What Could Go Wrong



Flow is controlled partly  
by the length of time out

How to accurately  
set the time out?

$TO > \text{Access delay} + \text{Transmission delay} + \text{Propagation delay} + \text{queueing delay}$   
(non-deterministic)

TO is vulnerable, How?

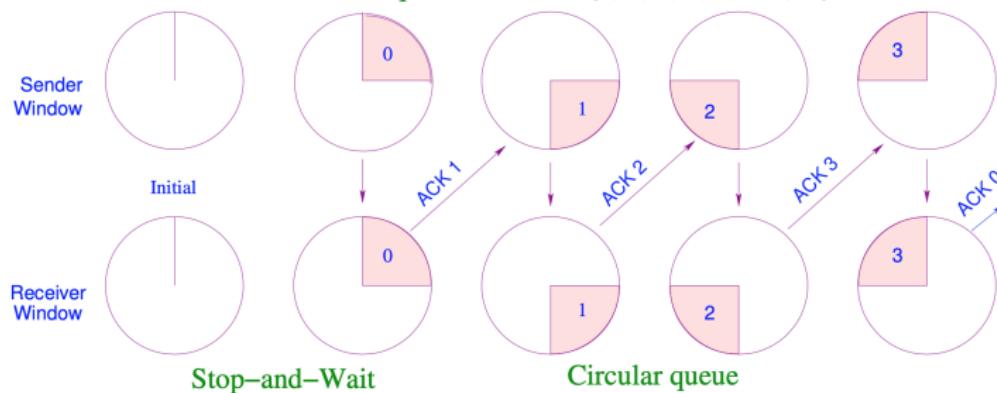
# Frames in Error

- Possible errors
  - Damaged frames
    - Frames received with error
    - Frames lost
    - Last frame lost
  - Damaged ACL
    - One ACK lost, next one makes it
    - All ACK lost
- How to Handle frames in errors?
  - ① Stop-and-Wait Protocol
  - ② Go-Back-N Protocol
  - ③ Selective Reject Protocol

# Stop-and-Wait Protocol I

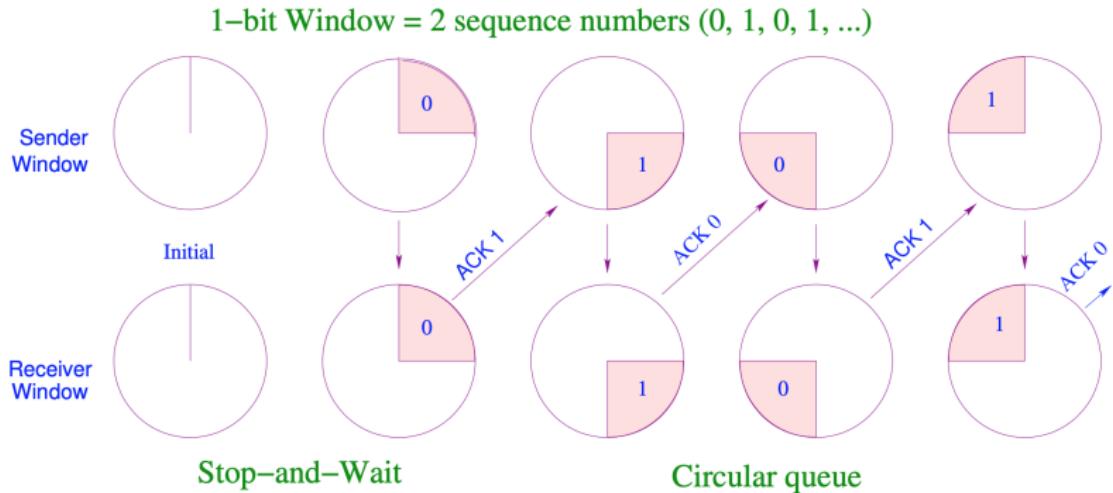
- The sender/receiver each has two windows:
  - Sending windows
  - Receiving windows

2-bit Window = 4 sequence numbers (0, 1, 2, 3, 0, 1, 2, ...)



- It is a duplex mechanism
  - One can send/receive at the same time
    - Piggybacking
- Save sequence numbers  $\Rightarrow$  reuse once it is released

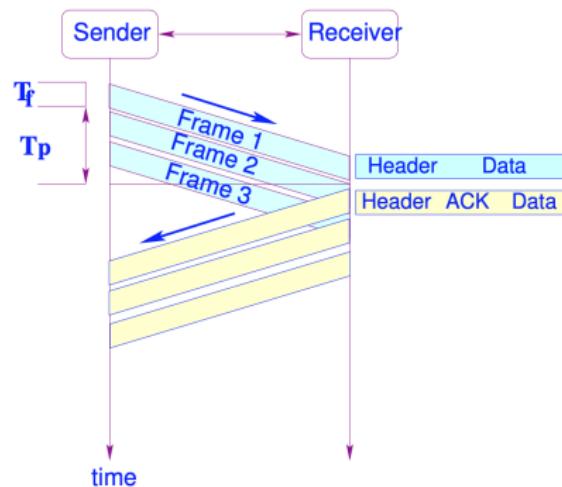
# Stop-and-Wait Protocol II



- we always ACK the frame to be received
  - Receiver knows which frame has been received
  - Receiver knows which frame the source is waiting for

# Stop-and-Wait Protocol III

## Piggybacking



# How good Stop-and-Wait is?

## Example 3.9 (Utilization (or Efficiency) Problem)

- Assume 1.5 Mbps link and 45 ms RTT  $\Rightarrow$  Pipe = 67.5 Kb (8KB)
- Frame size: 1KB
- SW uses about 1/8 of the bandwidth
- How do we fix this
  - Send up to 8 frames before having to wait for an ACK

# Performance of SW Protocol I

- $T_f$ : frame time and  $T_p$ : propagation delay

$$\alpha = \frac{T_p}{T_f} = \frac{\frac{Distance}{Speedofsignal}}{\frac{Framesize}{Bitrate}} = \frac{Distance \times BitRate}{Framesize \times Speedofsignal} \quad (5)$$

$$\text{SW Utilization} = E = \frac{1}{1+2\alpha} \quad (6)$$

# Performance of SW Protocol II

## Example 3.10

Long propagation delay

- Satellite link  $\Rightarrow T_p = 278$  ms
- Suppose the frame size is 4k bits = 4096 bits
- Suppose data rate is DS0, 56 Kbps  $\Rightarrow T_f = 4096/56000 = 0.073$  sec.

$$\alpha = \frac{T_p}{T_f} = \frac{0.278}{0.073} = 3.8$$

3.8 frames fits into the pipe on one direction

$$U = \frac{1}{1+2\alpha} = \frac{1}{1+7.6} = 0.12$$

$$U = 12\%$$

# Performance of SW Protocol II

## Example 3.11

Short propagation delay  $\Rightarrow$  Ethernet

- $T_p = 10\mu s = 10^{-5}$  sec
- Frame size = 4k bits = 4096 bits
- Data rate = 10Mbps  $\Rightarrow T_f = 4096/10^7 = 0.0004096$  sec.

$$\alpha = \frac{T_p}{T_f} = \frac{10^{-5}}{0.0004096} = 0.0244$$

3.8 frames fits into the pipe on one direction

$$U = \frac{1}{1+2\alpha} = \frac{1}{1+0.0488} = 0.95 \quad U = 95\%$$

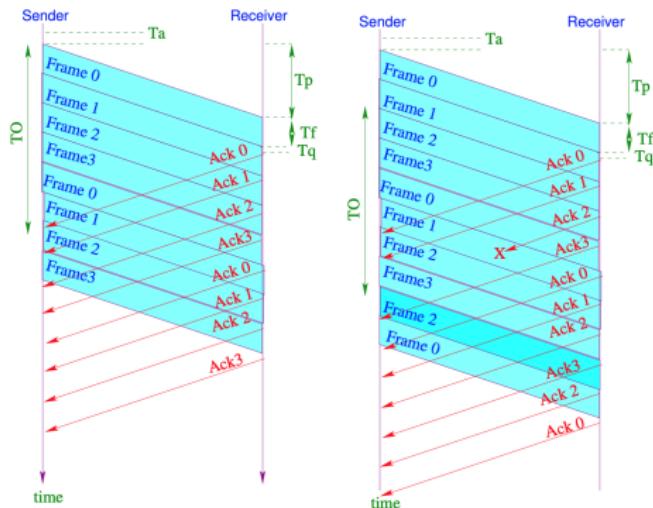
## How to solve the Efficiency Problem

Keep the pipe/channel full to get better efficiency

Send more than one frame at a time

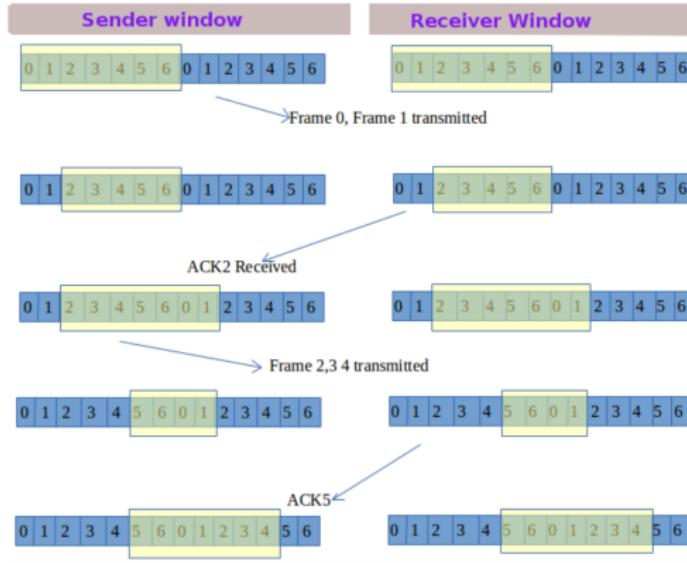
# Sliding Window I

- Allow sender to transmit multiple frames before receiving an acknowledgement
  - keeping the pipe full



- The receiver has two copies of frame 2
- The 2nd incarnation discarded if both have the same sequence numbers

# Sliding Window II



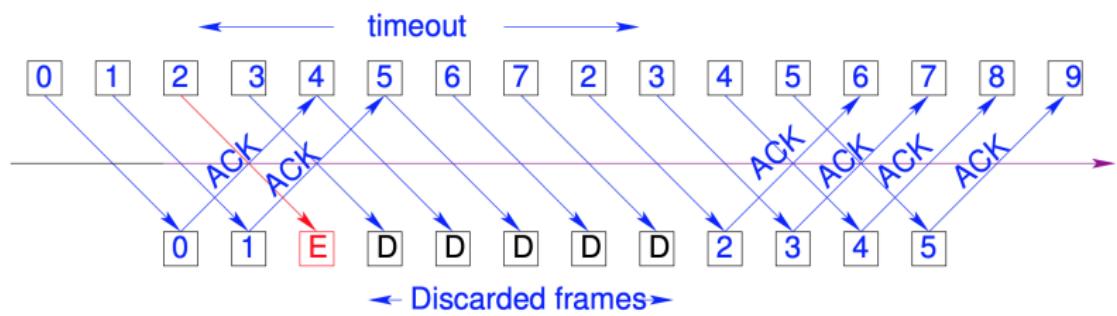
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- Window size = 7
- Potentially can send 7 frames if it does not overwhelm the receiver

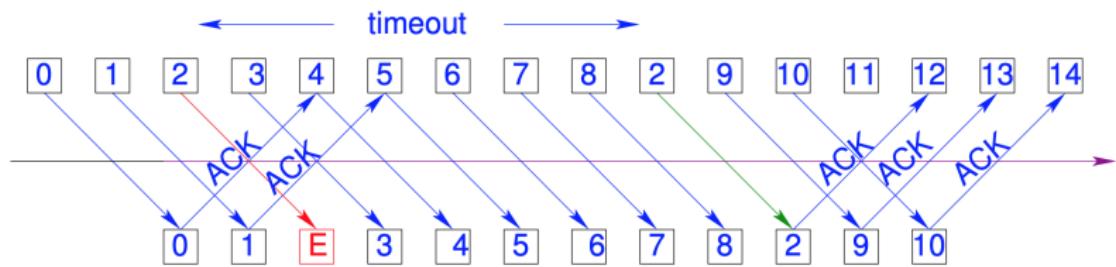
# Sliding Window III

- Note that speed of light in:
  - vacuum is  $300 \times 10^6 m/s$
  - fiber is  $200 \times 10^6 m/s$
- The sender should not send frames at a higher rate than what the receiver can receive
  - Transmission rate = *min* sender rate, receiver rate
  - This is called **Flow Control**
- How?
  - Send only when an ACK arrives
  - Accurately calculate Time Out (TO)
- What could go wrong with sliding window?
  - Multiple frames can be lost
- How to handle that?
  - Use Go-Back-N Protocol or Selective-Reject Protocol
  - Selective-Reject Protocol performs well with devices with large buffers

# Go-Back-N Protocol



# Selective-Reject Protocol



# Sequence Number Space

- *SeqNum* field is finite  $\Rightarrow$  wraps around
- *SeqNum* space  $>$  # of outstanding frames
- $SWS \leq MaxSeqNum - 1$  is not sufficient

## Example 3.12 ( suppose 3-bit SeqNum field (0..7) )

- $SWS = RWS = 7$
- Sender transmit frames 0 ... 6
- Arrive successfully, but ACKs lost
- Sender retransmits 0 ... 6
- Receiver expecting 7,0..5 , but receives second **incarnation** of 0..5
- $SWS \neq (MaxSeqNum+1)/2$  is correct rule
  - Intuitively, *SeqNum* “frames” between two halves of sequence number space
- What does it mean?  $\Rightarrow$  Don’t send more than half of your sequence number

# Outline I

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# References

[Tanenbaum and Wetherall, 2011] Tanenbaum, A. S. and Wetherall, D. J. (2011). Computer Networks: 5th Edition. Prentice Hall PTR.