

Tibiel EOOD

70 Krakra Street

Pernik 2300

Bulgaria

23rd January 2025

Dear Mr Ivanov

Your account at KGI Securities (Singapore) Pte Ltd for Tibiel EOOD has now been opened.
Your designated Account Number is WF300.

In order to activate the account for trading we ask that you remit EUR 500,000 (Five Hundred Thousand Euros)

Please remit to our account at Standard Chartered Bank (Singapore) Limited

Beneficiary Account Name: KGI Securities (Singapore) Pte Ltd- Customer Segregated A/c

Beneficiary Account Number: 01-741-0504-5

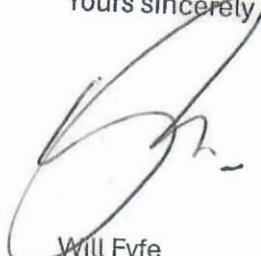
Beneficiary Swift Address SCBLSG22

Correspondent Bank Name: Standard Chartered Bank, Frankfurt.

Correspondent Bank Swift Address SCBLDEFX

Kindly inform us of the remittance once done at funding.sg@kgi.com

Yours sincerely,



Will Fyfe

ACCOUNT OPENING FORM 开户表格

0103-2024г.



Акциями
Бордюром Уланов

TIBEL EOOD

CERTIFIED TRUE COPY OF
AN ORIGINAL DOCUMENT

CORPORATE / OTHER ENTITIES ACCOUNT

公司/其他组织帐户



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AN ORIGINAL DOCUMENT
[Handwritten Signature]

Account Opening Instructions 开户指引

Application Forms 申请表

To open trading account(s) with us, please select and complete the appropriate forms:
申请我们的交易账户请选择并填写合适的表格

Types of Trading Account 交易帐户种类

- Securities
证券
- Margin Trading/REITs
保证金交易/房地产信托投资基金
- Securities Borrowing & Lending ("SBL")
证券借贷

- Futures
期货
- OTC (Leveraged Forex & Others)
柜檯买卖(槓杆外汇及其他)

Forms Required 表格要求

- | | |
|---|---|
| <ol style="list-style-type: none"> 1. Account Opening Form
开户表格 2. Booklet A - Terms & Conditions for
Securities/Margin Trading/REITs/SBL
Trading
A册合同 - 证券/保证金交易/房地产信托投资基金/
借券合约交易条款 | <ol style="list-style-type: none"> 1. Account Opening Form
开户表格 2. Booklet B - Terms & Conditions for Futures/
OTC Trading
B册合同 - 期货/柜檯买卖交易条款 |
|---|---|

Please read carefully the information in the Account Opening Form, the related terms and conditions, statements, agreements, and documents listed in Booklet A or B, and complete the forms with required details before signing.
请仔细阅读本公司的开户表格及A册或B册合同中所列相关条款、条件、声明、协议，并在签字前填写所需的详细信息。

Supporting Documents 支持文件

Please bring/attach the following supporting documents together with the completed forms:
除填写完成的表格外，请一并递交下列证明文件

Singapore Corporations 新加坡公司

1. Certificate of Incorporation/Registration*
公司设立/登记证明*
2. Constitution/Memorandum & Articles of Association/By-laws/Charter*
公司章程或同等资料*
3. ACRA Print-Out
ACRA打印资料
4. Latest Audited Financial Statements /Annual Report/Management Accounts*
最新的审计财务报表/年度报告/管理报表*
5. CLEAR PHOTOCOPY of NRIC/Passport of all Directors/Beneficial Owners &
Authorised Persons*
所有董事/实质受益人及有权人员的身分证/护照清晰复印件*
6. Company Organisation Chart Showing Ownership/Control Structure Up to the
Ultimate Beneficial Owner(s) (i.e., Individual or Listed Company)*
公司组织图，表明持有/控制结构至最终实质受益人(即个人或上市公司)*
7. Completed and Signed W-8BEN-E/W-8IMY Form (where appropriate)*
填写及签署完成的W-8BEN-E/W-8IMY表格(如适用)*

*Must be CERTIFIED TRUE COPY by at least 1 Director or Company Secretary
*必须经至少1位董事或公司秘书签署确认为真实副本

**Supporting
Documents
支持文件**
Foreign Corporations 外国公司

1. Certificate of Incorporation/Registration**
公司设立/登记证明**
2. Constitution/Memorandum & Articles of Association/By-laws/Charter**
公司章程或同等资料**
3. Particulars or Registers of Directors/Shareholders**
董事及股东名册**
4. Latest Audited Financial Statements /Annual Report/Management Accounts**
最新的审计财务报表/年度报告/管理报表**
5. CLEAR PHOTOCOPY of NRIC/Passport of all Directors/Beneficial Owners & Authorised Persons**
所有董事/实质受益人及有权人员的身分证/护照清晰复印件**
6. Company Organisation Chart Showing Ownership/Control Structure Up to the Ultimate Beneficial Owner(s) (i.e., Individual or Listed Company)**
公司组织图，表明持有/控制结构至最终实质受益人(即个人或上市公司)**
7. Completed and Signed W-8BEN-E/W-8IMY Form (where appropriate)**
填写及签署完成的W-8BEN-E/W-8IMY表格(如适用)**
8. Additional Requirements for Tax Haven Incorporated corporations (e.g. BVI, Cayman Islands)**
避税天堂(如维京群岛, 开曼群岛)注册公司须提供的额外文件**
 - (1) Certificate of Incumbency issued within last 1 year;
最近一年核发的董事在职证明
 - (2) Certificate of Good Standing issued within last 1 year; and
最近一年核发的有效存续证明
 - (3) Other documents/records requested by the Company
其他KGI要求的其他文件

**must be WITNESSED & CERTIFIED TRUE COPY by any one of:-
**必须由下列任一人员见证并签署确认为真实副本

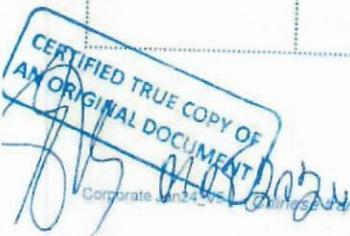
- Our related company's authorised officer; KGI关联企业的有权人员
- Notary Public or Commissioner of Oath; 公证人或同等职务人员
- Licensed solicitor; 律师
- Certified public accountant; 会计师
- Singapore or Foreign Embassy; 新加坡或外国使馆
- Registered agent (for Tax Haven Incorporated corporations) 公司注册秘书(避税天堂公司)

Other Entities 其他组织

For other entities (partnership, trust, etc.), equivalent supporting documents* as to the establishment/ registration must be provided; e.g., Certificate of Registration, Partnership Agreement, Trust Deed, Declaration of Trust, Private Placement Memorandum, Prospectus.

其他组织(如: 合伙, 信託), 应提供关于设立/注册的同等资料*, 如注册证明, 合伙合同, 信託契据, 私募备忘录, 招股说明书等

*requirement as to the witness and certification set out in the above applies.
前述有关见证及确认真实副本的要求也适用



**Submission
of Forms &
Documents**
递交表格及文件

Please submit the completed forms and supporting documents to our authorised officer or our office at:-
请将填妥的表格及证明文件交给我们的授权人员或交至以下办公处所

KGI SECURITIES (SINGAPORE) PTE. LTD.
4 Shenton Way #13-01
SGX Centre 2
Singapore 068807

Attention: Customer Service Officer
收件人: 客户服务经理

For Enquiries
疑问咨询

Please contact us at: (65) 6202 1188 or visit our office at the above address.
请透过电话 (65) 6202 1188 联繫我们, 或造访上述的办公处所

ACCOUNT OPENING FORM开户表格

This form may be used to apply for a Securities/Margin Trading/REITs/SBL and/or Futures/OTC (Leveraged Forex & Others) trading account with KGI SECURITIES (SINGAPORE) PTE. LTD. ("Company") 本表用于与KGI Securities (Singapore) Pte Ltd ("KGI") 申请开立证券/证券借贷/保证金交易/房地/信托投资基金合约或期货/期权买卖(境外外汇及其他)交易账户

1. ENTITY PARTICULARS 组织信息			
<p>Registered Name (in full) 注册名称(全名) TIBIEL EOOD</p>			
<p>Registered Address 注册地址 Bulgaria, town of Pernik, Pernik Region, 70 Krakra street Postal Code 邮政编码 2300</p>			
<p>Mailing Address (if different from Registered Address) (Please provide a copy of the supporting document dated within the last 3 months) 邮寄地址(如与注册地址不同) (请提供最近3个月内邮寄地址证明复印件)</p>			
<p>Bulgaria, town of Pernik, Pernik Region, 70 Krakra street Postal Code 邮政编码 2300</p>			
<p>Entity Type 组织类型</p> <p> <input checked="" type="checkbox"/> Corporation (private or public) 公司(私人或公开) <input type="checkbox"/> Limited Partnership 有限合伙 <input type="checkbox"/> Others 其他 _____ <input type="checkbox"/> Sole Proprietor 独资 <input type="checkbox"/> Partnership 合伙 <input type="checkbox"/> Limited Liability Partnership 有限责任合伙 (please specify 请表明) </p>			
Telephone No. 电话号码 +359 893 659 834	Fax No. 传真号码 (Please provide a copy of the supporting document dated within the last 3 months)	Email Address 电邮信箱 backoffice@tibiel.com	
Date of Incorporation/Registration 设立/注册日期 09.11.2007	Place of Incorporation/Registration 设立/注册地 Bulgaria	Registration No. (if any) 注册号码(如有) BG106588084	LEI Code (if any) 法人机构识别编码(如有) 48510CTNLMUL0094H75
Nature of Business 企业性质 Natural Gas Trading	Country of Operation/Principle Place of Business 营运国/地 Bulgaria	GST Registered (for Singapore Registered Companies) 已注册消费税(新加坡注册公司) <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	
<p>Regulated Financial Institution? <input checked="" type="checkbox"/> No 否 <input type="checkbox"/> Yes & local 是, 日本地规管</p> <p><input type="checkbox"/> Yes & foreign (please fill in Appendix 1 "Correspondent Account Anti Money Laundering Questionnaire)" 是, 且外国规管 (请填其附件 "通迅账户反洗钱问卷")</p>			
<p>Has the Applicant ever issued bearer shares? 是否发行无记名股票?</p> <p> <input checked="" type="checkbox"/> 1. The Applicant has never issued bearer shares 未曾发行无记名股票 <input type="checkbox"/> 2. The Applicant has issued bearer shares, and (select one option by ticking the relevant box below):过去曾发行无记名股票: (以下择一勾选): </p> <p> <input type="checkbox"/> Has converted such bearer shares into registered shares; or 已将无记名股票转为记名股票: <input type="checkbox"/> Has disclosed to KGI the information of holder(s) of bearer shares with controlling ownership. 已提供具控制权之无记名股票股东资料予KGI </p>			



2024

SJY 1082022
 CERTIFIED TRUE COPY OF
 AN ORIGINAL DOCUMENT

KGI SECURITIES (SINGAPORE) PTE. LTD.
 (Co Reg No: 195500144H)
 1 Shenton Way #13-01 SGX Centre 2, Singapore 068807
www.kgieworld.sg

ACCOUNT OPENING FORM开户表格

This form may be used to apply for a Securities/Margin Trading/REITs/SBL and/or Futures/OTC (Leveraged Forex & Others) trading account with KGI SECURITIES (SINGAPORE) PTE. LTD. ("Company") 本表用于与KGI Securities (Singapore) Pte. Ltd. ("KGI") 申请开立证券/证券借贷/保证金交易/房地产信托投资基金合约或期货/柜檯买卖(槓杆外汇及其他)交易帐户

2. MANAGEMENT & OWNERSHIP PARTICULARS 管理和所有权详情

Board of Directors / Management Body 董事会/管理机构

Full Name as in NRIC/Passport
 身分证件显示的全名

Nationality 国籍

NRIC/Passport No. 身份证/护照号码

1. DIMITAR YORDANOV IVANOV
 2.
 3.
 4.
 5.

BULGARIAN

386795699

Beneficial Owners* (if applicable) *A natural person who ultimately owns or controls the account holder (e.g. through exercising significant influence) 实质所有人* (如适用) *拥有或控制帐户持有人 (例如对帐户持有人有重大影响力)

Full Name as in NRIC/Passport
 身分证件显示的全名

Nationality 国籍

NRIC/Passport No. 身份证/护照号码

Shareholding (%)
 持股比例

1. ALA ANTONIOU
 2.
 3.
 4.
 5.
 6.

CYPRIOT

K00253675

100%

3. TAX RESIDENCY SELF-CERTIFICATION 税务居民自我认证

(1) Entity Type (Please provide the account holder's status by ticking one of the following boxes) 实体类型 (请依据帐户持有人的情形勾选下列之一)

(a) Financial Institution - Investment Entity 金融机构 - 投资实体

An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
位于非参与管辖区域并且由另一金融机构所管理的投资实体

Please indicate the name of all Controlling Person(s) of the account holder: 请载明帐户持有人的所有控制人上

1. _____ 2. _____ 3. _____
4. _____ 5. _____ 6. _____

(Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which can be downloaded from the Company's website) 每一控制人上均必须填写“控制人上税务居民自我认证表”(可于KGI网站下载)

Other Investment Entity 其他投资实体

(b) Financial Institution - Depository Institution, Custodial Institution or Specified Insurance Company
金融机构 - 存托机构、保管机构或特定保险公司

If you have ticked (a) or (b) above, please provide, if any, the account holder's Global Intermediary Identification Number ("GIN") obtained for FATCA purposes. 如您勾选上述(a)或(b), 请提供帐户持有人的基于FATCA目的取得的全球中介识别码(GIN)(如有)

_____ - _____ - _____ - _____

(c) Active NFE - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation 积极非金融机构-公司股票经常于具规模的证券市场中交易, 或公司属于此类公司的关联公司
Please indicate the name of the established securities market: 请载明具规模的证券市场名称:

Please indicate the name of the regularly traded corporation if you are a related entity of such a corporation: 如您属于此类股票经常于具规模的证券市场中交易的公司的关联公司, 请载明此公司的名称:

(d) Active NFE - a government entity or central bank 积极非金融机构-政府组织或中央银行
 (e) Active NFE - an international organisation 积极非金融机构-国际组织

(f) Active NFE - other than (c)-(e) (for example a start-up NFE or a non-profit NFE)
积极非金融机构-除(c)-(e)以外的组织(例如: 新创非金融机构或非营利非金融机构)

(g) Passive NFE 消极非金融机构

Please indicate the name of all Controlling Person(s) of the account holder: 请载明帐户持有人的所有控制人上:

1. _____ 2. _____ 3. _____
4. _____ 5. _____ 6. _____

(Each Controlling Person is required to complete "Controlling Person Tax Residency Self-Certification Form", which can be downloaded from the Company's website) 每一控制人上均必须填写“控制人上税务居民自我认证表”(可于KGI网站下载)

(2) Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number ("TIN")
税务居民所属国家/管辖区及税务居民辨识号码或相当号码("TIN")

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder's TIN for each country/jurisdiction indicated
请填写下表, 注明帐户持有人为税务居民, (ii)帐户持有人在每个国家/管辖区的TIN。

Please indicate all countries/jurisdictions (including Singapore and US, if applicable). If the account holder is not tax resident in any country/jurisdiction

(e.g., because it is fiscally transparent), please indicate that on line 1 in the table below and provide its place of effective management or jurisdiction in which its principal office is located.

请注明所有国家/司法管辖区(包括新加坡及美国)。如帐户持有人不在任何国家或司法管辖区(例如: 因为它在财政上是透明的), 请在表1号线上注明, 并提供其主要办事处的有效管理或管辖区。

If the account holder is a tax resident of Singapore, the TIN is the Unique Entity Number ("UEN"), the Income Tax Reference Number ("ITR"), or the Tax Reference Number assigned by IRAS ("ASGD").

如果帐户持有人是新加坡的税务居民, 那么TIN是唯一实体号("UEN")、所得税参考号("ITR"), 或IRAS指定的税收参考编号("ASGD")。

If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below.

如果无法提供TIN, 请依下述注明相关A、B或C理由

Reason A - The country/jurisdiction where the account holder is resident does not issue TINs to its residents
原因A- 帐户持有人所属的该国家/管辖区并未核发TIN予其居民

Reason B - The account holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).
原因B- 帐户持有人无法取得TIN或相当号码(如选择此原因, 请说明为何无法取得)

Reason C - No TIN is required. (Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such jurisdiction).

CERTIFIED TRUE COPY OF (只有相关国家/管辖区的国内法律不要求收集TIN时才能选择此原因)
AN ORIGINAL DOCUMENT

Country/Jurisdiction of tax residence 税务居民所属国家/管辖区域		TIN	If no TIN available, enter Reason A, B, or C 如果未提供TIN, 填写原因A, B或C	Explain why you are unable to obtain a TIN if you selected Reason B 如果选择原因B, 请说明为何无法取得TIN
1	BULGARIA	VAT: BG106588084		
2				
3				
4				
5				

* If the entity is a U.S. tax resident, please provide us with the completed and signed Form W-9. 若该实体为美国税务居民, 请提供填妥及签署的表格W-9
Note: The Form W-9 is available from request or can be downloaded from the US Internal Revenue Service-Forms.
注意: 表格W-9可以向KGI请求提供, 也可以从美国税局的表单服务网页下载。

For more information, please visit the OECD or IRAS website: 欲了解更多信息, 请登入OECD 或IRAS 网址
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
<https://www.iras.gov.sg/IRASHome/Quick-Links/International-Tax/Common-Reporting-Standard--CRS-/>

(3) Declarations 声明

- a. We understand that the information contained herein is covered by the full provisions of the terms and conditions governing the account holder's relationship with the Company setting out how the Company may use and share the information supplied by us. 我们瞭解此处提供的信息适用于帐户持有人与KGI之间有关KGI如何使用并分享我们所提供的信息的所有条款约定。
- b. We acknowledge that the information contained herein and information regarding the account holder and any reportable account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the account holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information. 我们确认此处提供的信息以及有关帐户持有人及应申请帐户的信息可能会提供给本帐户所在的税务机关, 并依据政府间就金融帐户信息交换有关的约定, 与帐户持有人为税务居民的其他国家/管辖区域的税务机关进行交换。
- c. We understand that it is an offense under applicable Singapore laws to knowingly provide false or misleading information regarding tax residency status and that such offense is punishable with a fine and/or imprisonment. We hereby declare and confirm that the information given and the statements made herein are, to the best of our knowledge and belief, correct and complete. 我们瞭解故意提供错误或令人误导的有关税务居民身分的信息违反相关新加坡法律, 得处以罚款及/或有期徒刑。我们在此声明并确认所提供的信息及此处所为的陈述, 依我们尽力所知及所信, 确属正确及完整。
- d. We undertake to advise the Company of any change in circumstances which affects our tax residency status or causes the information contained herein to become incorrect or incomplete, and to provide the Company with a suitably updated self-certification form within 30 days of such change in circumstances. 我们承诺通知KGI任何影响帐户持有人税务居民身分或导致此处提供的信息成为不正确或不完整的情况变更, 并且在发生此情事变更30日内, 提供KGI妥适更新后的自我认证表。

3. SUPPLEMENTARY INFORMATION 其他信息

a. Nature of Trading Account 账户交易的类型

- Speculative 投机 Arbitrage 套汇
 Others 其他

b. Main Trading Interest 主要交易兴趣:

- | | | |
|---|---|---|
| <input type="checkbox"/> Agriculture Futures 农产品期货 | <input type="checkbox"/> Stock Indices Futures 股指期货 | <input type="checkbox"/> Interest Rate Futures 利率期货 |
| <input type="checkbox"/> Spot Foreign Exchange 即期外汇 | <input checked="" type="checkbox"/> Energy Futures 能源期货 | <input type="checkbox"/> Metals 金属期货 |
| <input type="checkbox"/> SGX OTC | <input type="checkbox"/> Others 其他 | |

Note: Customer who is trading SGX OTC contracts is required to complete and submit the ECCP Form.
备注: 凡交易新加坡交易所场外期货合约的客户需提交 ECCP表格。

c. Is the account intended for proprietary trading? 账户用于自主交易还是非自主交易? Yes 是 No 否

d. Past trading experience or knowledge in trading futures, options, foreign exchange and/or bullion? 是否有期货、期权、外汇和/或金银交易方面的经验或知识? Yes 是 No 否

If yes, please elaborate on the products traded and no. of years' experience: 如果是, 请说明所交易的产品和从事交易的年数:

Product/ No. of Years 产品/ 经验

TTF Natural Gas over 5 years

Product/ No. of Years 产品/ 经验

EUA Carbon Emissions over 5 years

4. FINANCIAL INFORMATION 财务信息

Authorised Capital (if applicable) 授权资本 (如适用)	Paid-up/Issued/Contributed Capital/NAV (Fund) 已缴/已发行/实缴资本/资产净值 (基金) (where appropriate) 依实际情形	Total Shareholders' Fund (if applicable) 股东总基金 (如适用)
\$\\$ 1 107 560	\$\\$ n/a	\$\\$
Source of Wealth 财富来源		
<input checked="" type="checkbox"/> Business Income 商业收入 <input type="checkbox"/> Investment Income 投资收入 <input type="checkbox"/> Sales of Investment 投资销售 <input type="checkbox"/> Capital Injection 资本投入 <input type="checkbox"/> Others, _____ 其他		
<i>*Please provide a copy of the supporting document 请提供一份证明文件副本</i>		
Source of Fund 资金来源		
<input checked="" type="checkbox"/> Business Income 商业收入 <input type="checkbox"/> Investment Income 投资收入 <input type="checkbox"/> Sales of Investment 投资销售 <input type="checkbox"/> Capital Injection 资本投入 <input type="checkbox"/> Others, _____ 其他		

5. ACCOUNT RELATIONSHIP INFORMATION 客户关系信息

Are you or your authorised person(s) related to any employee, Trading Representative (TR) or Registered Representative (RR) of the Company?
您或您的有权人员是否与KGI的雇员、交易代表或登记代表有关?

No 否 Yes, please state:
是, 请载明

Name of Authorised Person 有权人员姓名	Name of Employee/TR/RR 雇员/TR/RR姓名	Relationship 关系

Do you have securities, Margin Trading/REITs, SBL, futures & OTC (leveraged forex & others) trading account(s) with other broking firms?
您是否有在其他经纪行开立证券、保证金交易/REITs、SBL、期货及场外交易 (杠杆式外汇及其他) 交易账户?

No Yes, please state: Name of firm(s) (1) R.J. O'Brien (2) _____ (3) _____
否 是, 请载明



5. ACCOUNT RELATIONSHIP INFORMATION (con'd) 客户关系信息(二)

Do you, your directors, shareholders and their immediate family members* have any existing account(s) with the Company?
您、您的董事、股东及其直系亲属是否已有在KGI开立账户?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state:	Account name _____	Account no. _____	Relationship _____
否	是, 请载明	户名	账号	关系
		Account name _____	Account no. _____	Relationship _____
		户名	账号	关系

*Immediate family members include spouse, child, adopted child, step-child, brothers and sisters, parents.
直系亲属包括配偶、子女、领养子女、继子女、兄弟姐妹、父母。

Are you, your directors, shareholders and their immediate family members a principal shareholder* of any company maintaining an account with the Company?
您、您的董事、股东和他们的直系亲属是否在KGI持有帐户的公司的主要股东*吗?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state:	Account name _____	Account no. _____	Relationship _____
否	是, 请载明	户名	账号	关系
		Account name _____	Account no. _____	Relationship _____
		户名	账号	关系

*Principal shareholder means a person has control of not less than 20% of the voting power in the firm or corporation, whether such control is exercised individually or jointly.

主要股东是指控制公司不少于20%表决权的人，无论这种控制权是单独行使还是联合行使。

Do you, your directors, shareholders and their immediate family members have any influence or control over the trading or has a financial interest in any other account in the Company?
您、您的董事、股东和他们的直系亲属是否对任何其他在KGI的帐户的交易有什么影响力或控制权，还是对任何其他在KGI的帐户有财务利益?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state:	Account name _____	Account no. _____	Relationship _____
否	是, 请载明	户名	账号	关系
		Account name _____	Account no. _____	Relationship _____
		户名	账号	关系

6. POLITICALLY EXPOSED PERSONS ("PEP")^a 政治敏感人士

Does any of your directors, shareholders, authorised persons and their immediate members hold, have previously held, or are currently seeking a position or are being considered for a prominent public position?
您的董事、股东、授权人士及他们的直系成员是否曾持有、或正在谋求职位或正在考虑担任重要的公职?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state:			
否	是, 请载明	Name 姓名	Relationship to Applicant 与申请人的关系	Designation/Title 职位/职称

Note: ^Politically Exposed Person ("PEP") means (i) a natural person who is or has been entrusted with prominent public functions⁽¹⁾; whether in Singapore, a foreign country or an international organization⁽²⁾; (ii) family members⁽³⁾ of such a person; or (iii) close associates⁽⁴⁾ of such a person.

注意: ^政治敏感人士 (PEP)是指 (i)属于政要或被赋予重要公共职位的自然人(无论是否在新加坡，外国国家或国际组织)；(ii)该人士的家庭成员；或(iii) 该人士的亲信。

- (1) Prominent public functions includes the roles held by a head of state, a head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, member of the legislature and senior management of international organization. 重要公共职位包括国家元首、政府首脑、政府部长、高级公务员、司法或高级军事官员、国有企业的高管、政党的高级官员、立法机关的成员和国际组织的高级管理人员。
- (2) International organization means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located. 国际组织是指成员国之间透过具备国际条约地位的正式政治协议所建立的一个实体，其存在是经成员国立法承认并且不视为所在地国的所属机构。
- (3) Family member means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP. 家庭成员是指父母、继父母、子女、继子女、养子女、配偶、兄弟姐妹、继兄弟姐妹和养兄弟姐妹。
- (4) Close associate means a natural person who is closely connected to a PEP, either socially or professionally. 亲信是指与PEP有密切联系的自然人，无论是在社交上还是在职业上。

7. CLIENT PROFILE DECLARATION FOR EXPERT INVESTOR/INSTITUTIONAL INVESTOR 专业投资者/机构投资者客户背景声明

Please ensure that the information provided are complete and accurate. Any incomplete or inaccurate information provided by you may affect the outcome of our assessment of whether you have the relevant knowledge and experience to deal in certain products.
请确认提供的信息均属完整及正确。任何不完整或不正确的信息可能影响您是否具备交易若干产品的相关知识及经验的评估结果。

Expert Investor 专业投资者

- We confirm that we are an Expert Investor as defined in section 4A(1)(b) of the Securities and Futures Act (Cap. 289) (the "Act"), being:
我们确认我们是《证券与期货法》(第 289 章)第 4A(1)(b) 定义的专业投资者, 即

Please tick accordingly 请勾选

- a person whose business involves the acquisition and the disposal, or the holding, of capital markets products, whether as principal or agent;
从事的行业包括资本市场产品的购买和处理以及持有, 无论是委托方还是代理方
- the Trustee of such trust as the Authority may prescribe, when acting in that capacity; or such other person as the Authority may prescribe.
有权机关规定的信託的受託人; 或其他有权机关规定的人士

Institutional Investor 机构投资者

- We confirm that we are an Institutional Investor as defined in as defined in Section 4A(c) of the SFA, being:
我们确认我们是《证券与期货法》第 4A(c) 定义的机构投资者, 即

Please tick accordingly 请勾选

- (i) the Government; 政府机构
- (ii) a statutory board as may be prescribed by regulations made under section 341, 依《证券与期货法》第341条所制订规范规定的法定机构
- (iii) an entity that is wholly and beneficially owned, whether directly or indirectly, by a central government of a country and whose principal activity is — 直接或间接全部由一国的中央政府实质持有, 且主要业务是--
- (A) to manage its own funds; 管理自有资金
- (B) to manage the funds of the central government of that country (which may include the reserves of that central government and any pension or provident fund of that country); or 管理该国中央政府的资金 (包含该中央政府储备金及该国的退休金或公积金); 或
- (C) to manage the funds (which may include the reserves of that central government and any pension or provident fund of that country) of another entity that is wholly and beneficially owned, whether directly or indirectly, by the central government of that country. 管理另一个直接或间接全部由一国的中央政府实质持有的机构的资金 (包含该中央政府储备金及该国的退休金或公积金)
- (iv) any entity — 任何机构
- (A) that is wholly and beneficially owned, whether directly or indirectly, by the central government of a country; and 属于直接或间接全部由一国的中央政府实质持有, 和
- (B) whose funds are managed by an entity mentioned in (iii), 其资金由上述(iii)机构所管理
- (v) a central bank in a jurisdiction other than Singapore; 新加坡以外管理区域的中央银行
- (vi) a central government in a country other than Singapore; 新加坡以外国家的中央政府
- (vii) an agency (of a central government in a country other than Singapore) that is incorporated or established in a country other than Singapore; 成立于新加坡以外国家的中央政府的机关
- (viii) a multilateral agency, international organization or supranational agency as may be prescribed by regulations made under section 341; 依《证券与期货法》第341条所制订规范规定的多国机关, 国际组织或超国家机关
- (ix) a bank that is licensed under the Banking Act 1970; 依据《银行法(1970)》取得执照的银行
- (x) a merchant bank that is licensed under the Banking Act 1970; 依据《银行法1970》取得执照的商人银行
- (xi) a finance company that is licensed under the Finance Companies Act 1967; 依据《财务公司法1967》取得执照的财务公司
- (xii) a company or co-operative society that is licensed under the Insurance Act 1966 to carry on insurance business in Singapore; 依据《保险法1966》取得执照获准在新加坡从事保险业务的公司或合作社
- (xiii) a company licensed under the Trust Companies Act 2005; 依据《信託公司法2005》取得执照的公司
- (xiv) a holder of a capital markets services license; 资本市场服务执照持有人
- (xv) an approved exchange; 经核准的交易所
- (xvi) a recognised market operator; 经认可的市场运营者
- (xvii) an approved clearing house; 经核准的结算所
- (xviii) a recognised clearing house; 经认可的结算所
- (xix) a licensed trade repository; 经授权的交易保管所

CERTIFIED TRUE COPY OF
AN ORIGINAL DOCUMENT

2024.01.24 V5

Chinese translation is for reference only. English version shall prevail in any event. 中文翻译仅供参考, 惟以英文版本为准

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5. ACCOUNT RELATIONSHIP INFORMATION (con'd) 客户关系信息(续)

Do you, your directors, shareholders and their immediate family members* have any existing account(s) with the Company?
 您、您的董事、股东及其直系亲属是否已有在KGI开立账户?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state: Account name _____ 否 是, 请载明 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____
	Account name _____ 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____

*Immediate family members include spouse, child, adopted child, step-child, brothers and sisters, parents.
 直系亲属包括配偶、子女、领养子女、继子女、兄弟姐妹、父母。

Are you, your directors, shareholders and their immediate family members a principal shareholder* of any company maintaining an account with the Company?
 您、您的董事、股东和他们的直系亲属是否是任何在KGI持有账户的公司的主要股东*吗?

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state: Account name _____ 否 是, 请载明 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____
	Account name _____ 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____

*Principal shareholder means a person has control of not less than 20% of the voting power in the firm or corporation, whether such control is exercised individually or jointly.
 主要股东是指控制公司不少于20%表决权的人，无论这种控制权是单独行使还是联合行使。

Do you, your directors, shareholders and their immediate family members have any influence or control over the trading or has a financial interest in any other account in the Company?
 您、您的董事、股东和他们的直系亲属是否对任何其他在KGI的账户的交易有什么影响力或控制权，还是对任何其他在KGI的账户有财务利益？

<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please state: Account name _____ 否 是, 请载明 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____
	Account name _____ 户名 _____	Account no. _____ 账号 _____	Relationship _____ 关系 _____

6. POLITICALLY EXPOSED PERSONS ("PEP")^a 政治敏感人士

Does any of your directors, shareholders, authorised persons and their immediate members hold, have previously held, or are currently seeking a position or are being considered for a prominent public position?
 您的董事、股东、授权人士及他们的直系成员是否曾持有、或正在谋求职位或正在考虑担任重要的公职？

No Yes, please state:
否 是, 请载明

Name 姓名	Relationship to Applicant 与申请人的关系	Designation/Title 职位/职称	Employer /Country 雇主/国家

Note: ^Politically Exposed Person ("PEP") means (i) a natural person who is or has been entrusted with prominent public functions; (ii) whether in Singapore, a foreign country or an international organization; (iii) family members of such a person; or (iv) close associates of such a person.
 注意: ^政治敏感人士 (PEP)是指 (i)属于政要或被赋予重要公共职位的自然人(无论是否在新加坡、外国国家或国际组织); (ii)该人士的家庭成员；或(iii) 该人士的亲信。

- (1) Prominent public functions includes the roles held by a head of state, a head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, member of the legislature and senior management of international organization. 重要公共职位包括国家元首、政府首脑、部长、高级公务员、司法或高级军事官员、国有企业的高管、政党的高级官员、立法机关的成员和国际组织的高级管理人员。
- (2) International organization means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located. 国际组织是指成员国之间通过具备国际条约地位的正式政治协议所建立的一个实体，其存在是经成员国立法承认并且不视为所在地区的所属机构。
- (3) Family member means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP. 家庭成员是指父母、继父母、子女、继子女、养子女、配偶、兄弟姐妹、继兄弟姐妹和养兄弟姐妹。
- (4) Close associate means a natural person who is closely connected to a PEP, either socially or professionally. 亲信是指与PEP有密切联系的自然人，无论是在社交上还是在职业上。

7. CLIENT PROFILE DECLARATION FOR EXPERT INVESTOR/INSTITUTIONAL INVESTOR 专业投资者/机构投资者客户背景声明

Please ensure that the information provided are complete and accurate. Any incomplete or inaccurate information provided by you may affect the outcome of our assessment of whether you have the relevant knowledge and experience to deal in certain products.
请确认提供的信息均属完整及正确。任何不完整或不正确的信息可能影响您是否具备交易若干产品的相关知识及经验的评估结果。

Expert Investor 专业投资者

- We confirm that we are an Expert Investor as defined in section 4A(1)(b) of the Securities and Futures Act (Cap. 289) (the "Act"), being:
我们确认我们是《证券与期货法》(第289章)第4A(1)(b)定义的专业投资者,即:

Please tick accordingly 请勾选

- a person whose business involves the acquisition and the disposal, or the holding, of capital markets products, whether as principal or agent;
从事的行业包括资本市场产品的购买和处理以及持有,无论是委托方还是代理方
- the Trustee of such trust as the Authority may prescribe, when acting in that capacity; or such other person as the Authority may prescribe
有权机关规定的信託的受託人;或其他有权机关规定的人士

Institutional investor 机构投资者

- We confirm that we are an Institutional Investor as defined in as defined in Section 4A(c) of the SFA, being:
我们确认我们是《证券与期货法》第4A(c)定义的机构投资者,即:

Please tick accordingly 请勾选

- (i) the Government; 政府机构
- (ii) a statutory board as may be prescribed by regulations made under section 341; 依《证券与期货法》第341条所制订规范规定的法定机构
- (iii) an entity that is wholly and beneficially owned, whether directly or indirectly, by a central government of a country and whose principal activity is — 直接或间接全部由一国的中央政府实质持有,且主要业务是—
- (A) to manage its own funds; 管理自有资金
- (B) to manage the funds of the central government of that country (which may include the reserves of that central government and any pension or provident fund of that country); 或 管理该国中央政府的资金(包含该中央政府储备金及该国的退休金或公积金)
- (C) to manage the funds (which may include the reserves of that central government and any pension or provident fund of that country) of another entity that is wholly and beneficially owned, whether directly or indirectly, by the central government of that country; 管理另一个直接或间接全部由一国的中央政府实质持有的机构的资金(包含该中央政府储备金及该国的退休金或公积金)
- (iv) any entity — 任何机构
- (A) that is wholly and beneficially owned, whether directly or indirectly, by the central government of a country, and 属于直接或间接全部由一国的中央政府实质持有;
- (B) whose funds are managed by an entity mentioned in (iii); 其资金由上述(iii)机构所管理
- (v) a central bank in a jurisdiction other than Singapore; 新加坡以外管理区域的中央银行
- (vi) a central government in a country other than Singapore; 新加坡以外国家的中央政府
- (vii) an agency (of a central government in a country other than Singapore) that is incorporated or established in a country other than Singapore; 成立于新加坡以外国家的中央政府的机关
- (viii) a multilateral agency, international organization or supranational agency as may be prescribed by regulations made under section 341; 依《证券与期货法》第341条所制订规范规定的多层次机关, 国际组织或超国家机关
- (ix) a bank that is licensed under the Banking Act 1970; 依据《银行法(1970)》取得执照的银行
- (x) a merchant bank that is licensed under the Banking Act 1970; 依据《银行法1970》取得执照的商人银行
- (xi) a finance company that is licensed under the Finance Companies Act 1967; 依据《财务公司法1967》取得执照的财务公司
- (xii) a company or co-operative society that is licensed under the Insurance Act 1966 to carry on insurance business in Singapore; 依据《保险法1966》取得执照获准在新加坡从事保险业务的公司或合作社
- (xiii) a company licensed under the Trust Companies Act 2005; 依据《信託公司法2005》取得执照的公司
- (xiv) a holder of a capital markets services license; 资本市场服务执照持有人
- (xv) an approved exchange; 经核准的交易所
- (xvi) a recognised market operator; 经认可的市场运营者
- (xvii) an approved clearing house; 经核准的结算所
- (xviii) a recognised clearing house; 经认可的结算所
- (xix) a licensed trade repository; 经授权的交易保管所

7. CLIENT PROFILE DECLARATION FOR EXPERT INVESTOR/INSTITUTIONAL INVESTOR 专业投资者/机构投资者客户背景声明

Institutional Investor – cont. 机构投资者 - 续

- (xx) a licensed foreign trade repository; 经授权的国外交易保管所
- (xxi) an approved holding company; 经核准的控股公司
- (xxii) a Depository as defined in section 81SF; 第81SF条定义的保管机构
- (xxiii) an entity or a trust formed or incorporated in a jurisdiction other than Singapore, which is regulated for the carrying on of any financial activity in that jurisdiction by a public authority of that jurisdiction that exercises a function that corresponds to a regulatory function of the Authority under the SFA, the Banking Act 1970, the Finance Companies Act 1967, the Financial Services and Markets Act 2002, the Monetary Authority of Singapore Act 1970, the Insurance Act 1966, the Trust Companies Act 2005 or such other Act as may be prescribed by regulations made under section 341; 在新加坡以外管辖区设立或登记的机构或信託，且由该管辖区内相当于证券与期货法, 银行法1970, 财务公司法1967, 财务服务与市场法2002, 新加坡金融管理局法1970, 保险法1966, 信託公司法2005或其他依据证券与期货法第341条制订的规范规定的法令所订的有权机关所监管其金融活动的进行
- (xiv) a pension fund, or collective investment scheme, whether constituted in Singapore or elsewhere; 无论是在新加坡或其他地方的退休基金, 或集合投资计划
- (xv) a person (other than an individual) who carries on the business of dealing in bonds with accredited investors or expert investors; 从事与合格投资者或专业投资者交易债券的人士(自然人除外)
- (xvi) the trustee of such trust as the Authority may prescribe, when acting in that capacity; or 主管机关所规定的信託受託人, 且基于受託而行事; 或
- (xvii) such other person as the Authority may prescribe 经主管机关规定之其他对象

- a designated market-maker; 指定造市商
 - a corporation who 公司且
 - a) carries on business to deal in designated products as a market-maker; and 以造市商身分从事交易指定商品业务, 并
 - b) is approved as a designated market-maker by the Singapore Exchange Securities Trading Limited, in accordance with its business rule 经新加坡交易所依据其商业规定核准作为造市商

"designated products" means – "指定商品"是指

 - a) exchange traded fund interests, or 在交易所交易的基金利益; 或
 - b) structured warrants, 结构型权证

which have received approval in-principle for listing and quotation on, or are listed for quotation on, the Singapore Exchange Securities Trading Limited 且在新加坡交易所挂牌或获得新加坡交易所原则核准挂牌
- a headquarters company or Finance and Treasury Centre which carries on a class of business involving fund management, where such business has been approved as a qualifying service in relation to that headquarters company or Finance and Treasury Centre under section 43E(2)(a) or 43G(2)(a) of the Income Tax Act (Cap. 134); 从事包含基金管理在内业务的总部公司或金融与财资中心, 而该项业务经过所得税法(Cap. 134)第43E(2)(a)或43G(2)(a)条核准为该总部公司或金融与财资中心的合格服务
- a person who undertakes fund management activity (whether in Singapore or elsewhere) on behalf of not more than 30 qualified investors; 无论在新加坡或其他地区代表不超过30位符合条件投资者从事基金管理活动之人士
- a Service Company which carries on business as an agent of a member of Lloyd's; 以担任Lloyd's会员的代理人为业的服务公司
- a corporation the entire share capital of which is owned by an institutional investor or by persons all of whom are institutional investors; 全部股权资本由单一或全部属于机构投资者持有的公司
- a partnership (other than a limited liability partnership within the meaning of the Limited Liability Partnerships Act (Cap. 163A)) in which each partner is an institutional investor. 每一位合伙人均是机构投资者的合伙(属于有限责任合伙法(Cap. 163A)下有限责任合伙除外)

7. CLIENT PROFILE DECLARATION FOR EXPERT INVESTOR/INSTITUTIONAL INVESTOR 专业投资者客户背景声明

Declaration 声明

We hereby agree, declare and warrant as follows:
我们在此同意、声明并保证如下：

- i. that for the purpose of the above declaration, we undertake to update you immediately and agree to provide supporting documents to the Company for verification purpose, upon request if the information provided herein, or otherwise in connection with the above declaration, is no longer true, correct or accurate in any respect in relation to all accounts we maintain with you; 关于上述声明，如果以上声明中涉及的信息与我们在贵司开立的所有相关账户不实、不符或不准确，我们保证立即更新并根据贵司要求提供相关证明文件以供核查。
- ii. that as long as we are any one of the abovementioned investor or until we have formally notified you otherwise in writing, we understand that we are not required to go through any assessment or review with the Company as to our knowledge or experience in Specified Investment Products (SIPs) and confirm that we will be solely responsible for determining the suitability for ourselves of any and all investment products, advice or recommendations and that we are solely liable for all financial and other consequences ensuing there from; 只要我们满足上述任一投资人身份，或除非我们以书面形式通知贵司，我们知道基于我们对特定投资产品（SIP）的认知和经验，贵司将不要求我们通过任何评估或检查。我们确认对任何及所有投资产品、建议或推荐的适用性并承担全部责任，并对由其产生的一切财务及其他后果承担全部责任。
- iii. that the Company may rely on the declarations to be exempted from certain provisions of the SFA and the Financial Advisers Act ("FAA"), including without limitation the following sections of the FAA and SFA under their corresponding exemptions: 贵司可以根据此声明对SFA和FAA的某些条款免责，包括但不限于以下部分：

Section 34 of the FAA - Disclosure of product information, exempted under Regulation 33 of the Financial Advisers Regulations ("FAR")
FFA第34条 - 产品信息披露，可基于FAR第33条豁免

Section 36 of the FAA - Reasonable basis for making investment, recommendation, exempted under regulation 34 of the FAR
FFA第36条 - 以合理的依据作出的投资、建议，可基于FAR第34条豁免

Section 45 of the FAA - Disclosure of certain interests in securities, exempted under regulation 35 of the FAR.
FFA第45条 - 某些证券权益的相关披露，可基于FAR第35条豁免

Regulation 32B of the FAR - Exemption for advising institutional investor, related corporation, etc.
FAR第32B条 - 向机构投资者、关联公司等提供建议的豁免

Section 274 and 275 of the SFA – Offer made to institutional investors and offer made to accredited investors and certain other persons (securities or securities-based derivatives contracts)
SFA第274与275条 - 向机构投资者与合格投资者及特别其他人士所为的要约(证券或以证券为基础的衍生性契约)

Section 304 and 305 of the SFA – Offer made to institutional investors and offer made to accredited investors and certain other persons (units in a collective investment scheme)
SFA第304与305条 - 向机构投资者与合格投资者及特别其他人士所为的要约(集体投资计画的受益权单位)

- iv. that our status as declared herein shall (and continue to) be valid, binding and legally effective on/in relation to us in all respects in relation to all accounts we now or hereafter shall open/maintain with you. 以上关于我们情况的声明，将与我们在贵司所有现有账户或未来开立账户同时享有法律效力，并将（持续）有效。
- v. we undertake to indemnify the Company (including its related entities, directors, shareholders, officers and employees) for any loss, claim or expenses (including legal costs) it sustains as a result of relying on the information provided. 我们承诺赔偿贵司（包括其相关实体、董事、股东、高级职员和雇员）因依赖我们所提供的信息而遭受的任何损失、索赔或费用（包括法律费用）。

8. DECLARATION AND AGREEMENT 声明及同意

By signing this application form, we hereby declare and agree that: 透过签署本申请表，我们特此声明并同意：

- a) We request the Company to open trading account(s) (the "Account(s)") and provide relevant services in relation to the transactions that may be conducted through or under our Account(s) from time to time for the purpose and intention of trading in securities, futures and other financial products. We agree to notify you of any change to such purpose and intention; 我们向KGI请求开立交易账户（“账户”），并由KGI提供相关服务，以便基于交易证券、期货和其他金融产品的目的和意图通过该账户不时进行交易，我们同意一旦此目的和意图有任何变化将通知KGI；
- b) We are opening the Account(s) based on our sole judgment; we did not rely on representation, warranty, views or information from the Company, its employees or agents; 我们基于自身的判断开户：并未依赖于KGI、其员工或代理人的陈述、保证、意见或信息；
- c) We will supply any information, execute any documents and instruments, and do all acts as the Company may require in connection with the processing of this application and the opening, operation and maintenance of the Account(s); 我们将提供任何信息，签署任何文件和契据，和从事所有KGI要求与处理此申请程序和开立、操作和维护账户所需的行为；
- d) We have full capacity and authority to make this application and that we are not insolvent or a delinquent account holder; 我们有完全的能力及权利进行本项申请，且我们并非无力偿债或欠债未还的帐户持有人；
- e) All information given herein is true, correct and up-to-date, and we have not withheld any material fact; in the event of any change in our particulars (including our tax status), we undertake to promptly notify the Company in writing and provide the Company with such information, documents or other evidence which may be required by the Company; 我们在此提供的所有信息均是真实的、正确的和最新的，我们并没有隐瞒任何事实：如该等信息在重要细节上发生任何变化（包括我们的税务状况），我们保证及时书面通知KGI，并提供KGI要求的相关信息，文件或其他证据；
- f) We have read, fully understood and accepted all applicable terms and conditions in connection with the Account(s) and the relevant services provided by the Company, including and not limited to relevant risk disclosure statements, agreements, notes and/or notices (collectively the "T&C"). We agree to be bound by all the provisions of the T&C, as amended, and we acknowledge that the prevailing T&C is available at the Company's website or upon request and subjects to changes by the Company from time to time; 我们已阅读、充分理解并接受有关KGI提供的账户及相关服务的所有适用条款和条件，包括但不限于相关的风险披露声明、协议、通知和/或通知（统称“T&C”）。我们同意受现行的T&C的约束，并且我们认知现行的T&C可以在KGI的网站上下载或向KGI索取，KGI也可能随时变更该T&C内容；
- g) We will at all times abide by all applicable laws and regulations, rules, customs, practices, notices, directives, advice or recommendations of any relevant regulatory body as are in force and as may be applicable to us, the Company, and the Account(s), including without limitation to, tax laws and KGI and account's rules, customs, practices, notices, directives, advice or recommendations; 我们将随时遵守所有适用的法律和法规、任何相关监管机构有效的并可能适用于我们、KGI和帐户的规则、习俗、惯例、通知、指示、意见或建议，包括但不限于税法和外汇限制，或披露或登记要求；
- h) All monies which will be paid to the Company originates from a legitimate source; 所有将付给KGI的款项都来自合法来源；
- i) We understand that we are obliged to comply with Companies Act (Cap 50) to disclose our substantial shareholding in securities of Singapore incorporated companies; 我们理解我们有义务遵守公司法（Cap 50）披露我们持有新加坡公司证券的大量股份；
- j) Any failure on our part to provide accurate and timely information or documentation, including but not limited to countries of tax residence and associated tax payer identification numbers, may result in the Company having to deem the Account(s) as recalcitrant and/or reportable and take all necessary action in order for the Company to be compliant with all requirements under Foreign Account Tax Compliance Act ("FATCA"), Common Reporting Standard ("CRS"), local legislation in connection with FATCA and CRS, and any other provision arising out of an agreement between governments in relation to FATCA and CRS; 任何我们无法提供准确和及时的信息或文件，包括但不限于税务居民的国家和相关的纳税人识别号码，可导致KGI不得将帐户视为不合作帐户和/或报告帐户并且采取一切必要的行动，以符合FATCA、CRS，与其有关的本地法令和政府间协议的任何规定；
- k) Our submission of this application and the acceptance of this application by the Company in no way implies approval for the opening of the Account(s) and that the Company reserves the unconditional right not to approve this application without giving any reasons whatsoever; and 我们提交本申请及KGI受理本申请，并不意味著KGI同意开户，KGI有权不附理由不批准本申请；及
- l) We authorise, consent, agree to the collection, use, disclosure, transfer and process by the Company and/or any officer or agent of the Company of any information (including particulars or transactions) in respect of any of our Account(s) opened or maintained with the Company for any purpose 资料和隐私政策（附件2）所载的目的收集、使用、披露、传输和处理与帐户有关的任何信息（包括资料或交易）。

9A. DECLARATION OF TAX COMPLIANCE 税收遵从声明

We hereby represent and declare that: 我们兹确认并声明

- i) We shall be fully responsible for my own tax affairs and ensure that my Account(s) maintained with the Company are in compliance with all laws to which they are subject including, without limitation, all tax laws and regulations, exchange control requirements and registration requirements on funds and assets ("Tax Laws"); 我们全面负责自己的税务和确保在KGI所持的帐户符合所有法律要求，包括但不限于所有的税收法律法规、外汇管制要求和对资金和资产的登记要求（“税法”）；
- ii) Without detracting from the generality of the above, we confirm that any and all funds and assets placed and will place with the Company and any profit accrued therefrom are in full compliance with the Tax Laws of relevant jurisdiction where we are deemed resident in, or engaged in any business or trade of which we are legally otherwise subject to; 在没有偏离上述一般叙述的基础上，我们确认，任何所有存放于KGI的资金和资产及其任何孳息完全符合有关管辖区域将我们视为税务居民或我们受其规管从事任何商业或贸易的税收法律；
- iii) To the best of our knowledge and belief, we have not willfully committed and/or convicted of any serious tax offense; 据我们所知及所信，我们并没有故意触犯严重税务法令或因此被定罪；
- iv) We shall be fully responsible for taking advice from a tax expert in the jurisdiction of our tax residence and the Company shall neither be responsible for nor provide any tax advice to us; 我们有完全责任咨询我们所属税务所在地的税务专家意见，KGI既不负责也不向我们提供任何税务建议；

9A. DECLARATION OF TAX COMPLIANCE (con'd) [税收遵从声明(续)]

- v. We hereby agree and undertake to notify the Company and provide the relevant documents to the Company of any change in our information or circumstances including, without limitation, any material adverse change in our financial condition or any change in the ultimate beneficial ownership of our Account(s), residence, address and additional information which affects our tax position; and 我们特此同意并承诺通知KGI并提供相关文件有关任何我们信息或情况的变化，包括但不限于，任何重大不利影响我们的财务状况或我们帐户的最终实益拥有权的任何变化、居住地址和其他信息，从而影响我们税务身分；和
- vi. We hereby agree to indemnify the Company and its related companies or affiliates, shareholders, officers, directors, employees and assignees against and from any and all liability arising from the Company's reliance on the above declaration made by us. 我们特此同意赔偿KGI及其关联企业、股东、董事、雇员及代理人，对我们所作的上述声明的依赖而产生的任何责任。

9B. ADDITIONAL DECLARATION OF TAX COMPLIANCE

[APPLICABLE FOR FUND MANAGER & FINANCIAL INSTITUTION TRANSACTING IN OMNIBUS ACCOUNT(S)]
 [税收遵从附加声明[适用于通过综合账户交易的基金经理和金融机构]]

We are acting for our customer(s) in our dealing with the Company and hereby represent and warrant the following in respect of our customer(s): 我们代表我
 们的客户与KGI的业务往来，特此就我们的客户做出下列声明和保证

- i) That in accordance with the anti-money laundering laws of the jurisdiction of the supervisory authority by whom we are regulated, we have ascertained the identity of all customers for whom we act for; 根据我们所属监管机构的反洗钱法，我们已确认了我们所代表客户的身分；
- ii) We have conducted client verification procedures which are sufficient to establish the identity and source of funds of each of such customers; 我们已经进行了客户核査程序，这些程序足以确定每一个客户的身份和资金来源；
- iii) We have recorded the evidence establishing the identities and sources of funds of such customers and we will retain or procure the retention of such evidence for a period not less than five (5) years; 和我们已经记录了建立的这些客户身份和资金来源的证据，我们将保留这些证据不少于五年；以及
- iv) We undertake to promptly provide documentary evidence to the Company in response to a request from the regulator whether directly to us or the Company. 我们承诺根据监管机构对我们或KGI的要求，及时向KGI提供书面证据。

In addition, we also specifically represent and warrant: 此外，我们也具体声明保证

- i) None of the proposed customers, nor any person controlling, controlled by, or under common control with, any customer, nor any person having a significant beneficial interest in the customer (being a beneficial interest of no less than 5%), or for whom the customer is (a) a person or entity resident in, or organized or chartered under the laws of a jurisdiction identified as non-cooperative by the Financial Action Task Force ("FATF"); or (b) a person whose subscription funds/moneys originate from or will be routed through, an account maintained at a foreign shell bank or "offshore bank" not subject to compliance with the anti-money laundering and anti-terrorism financing prescription of FATF; 没有客户，任何控制客户或被客户控制或与客户基于共同控制，或者是对客户有重大实质利益（不少于5%的权益）的人，属于（a）金融行动特别工作组（“FATF”）认定为非合作管辖区的个人或实体；或（b）一个人的认购资金/款项来自不受FATF反洗钱和反恐融资要求规范的外资空壳银行或离岸银行账户或现金流会通过该账户；
- ii) We hereby undertake to immediately notify the Company if we become aware of (a) any update information on any customer; and/or (b) any suspicious activity or pattern of activity that may require further review to determine whether the information gives rise to suspicion of the customer; and/or the activity or pattern of activity is suspicious; 如果我们知道（a）任何客户的任何更新信息；和/或（b）任何可疑行为或活动，可能需要进一步的检查以确定信息，我们承诺立即通知KGI；
- iii) None of the customers and proposed customers is or will be a political exposed person within the meaning of the expression under the FATF prescriptions or the notices of the Monetary Authority of Singapore unless they have successfully passed the enhanced customer due diligence required to be performed against such persons. 没有客户已是或将成为FATA或新加坡金融管理局所定义的政治敏感人士，除非他们已经成功地通过了增强客户的尽职调查。

We will retain, unless further notice, all documentation required to identify our customers and the basis for reasonable belief on their respective funds and funds use note being illicit accurately. 除非另行通知，否则我们保留所需的全部文件，以确定我们的客户和合理地相信他们各自的资金和资金使用说明的准确性。

Authorised Signatory:
 有权签署人

Name: DIMITAR YORDANOV IVANOV

Designation: Executive Director

Date: 18.06.2024

Affix Company Stamp
 (if any)
 公司章(如有)

N/A

Authorised Signatory:
 有权签署人

Name:

Designation:

Date:

WILLIAM FYFE
 KGI SECURITIES SINGAPORE PTE LTD

24/11/2025

**TO BE COMPLETED BY A KGI TRADING REPRESENTATIVE (TR) / REGISTERED REPRESENTATIVE (RR) 由KGI交易代表(TR)或
登记代表(RR)完成**

Type of Applicant & Products 申请人及产品类别

- Walk-in Client, referred by _____
 自行来访客户, 推荐人为 _____
- Recommended by Client No. _____
 由客户号 _____ 推荐
- Recommended by TR/RR - No. of years known to TR/RR _____
 由TR / RR推荐, 已认识年期 _____
- Relationship to TR/RR _____
 与TR/RR关系 _____
- Others, please specify _____ Refer by Abxx
 其他, 请详述 _____
- SGX-ST Securities
 新加坡证券交易所上市有价证券
- Foreign Listed Securities
 国外上市有价证券
- Commodities
 大宗商品
- Leveraged Foreign Exchange
 杠杆外汇
- Over-the-counter (OTC)
 柜檯买卖
- Precious Metal
 贵金属
- Non-Deliverable Forward (NDF)
 无本金远期交割
- Others, please specify _____
 其他, 请详述 _____
- Futures (includes option)
 期货 (包括选择权)

Acknowledged by:
 由下列人员确认

Code and Name of Representative:
 代表编码和名字

Signature:
 签名

Date: 18.06.2024
 日期

William fyle

FOR INTERNAL USE ONLY 仅供KGI内部使用

Account Management Team

Interest Related Party Check

Is the Applicant a related interest party of the Company? (Please attach a copy of the search result of "Stakeholder Management Platform")
 No: proceed account opening Yes: return to TR/RR for completion of additional approval process

FINI Account Check (Only applicable to Applicant who intends to trade Taiwan markets)

- Have obtained "Client Declaration for Trading of Taiwanese Securities, Futures or derivatives linked to Taiwan Securities" signed by the Applicant
 The Applicant declared that it is not an insider of any Taiwanese listed company
 The Applicant declared that it is an insider of a Taiwanese listed company: no trading of such listed company allowed
 Have informed payment receipt team to verify client's source of funds

Completed by: _____

Date: _____

(Name / Signature)

CERTIFIED TRUE EXTRACT OF RESOLUTIONS 认证决议摘要

We, the undersigned, hereby certify that in respect of
 15.06.2024
 have been duly passed by:-
 本人/吾等下述签署人特此证明,

TIBIEL EOOD

(the "Applicant"), the following Resolutions dated

(please tick one) (请勾选) ("申请人") 已于 _____ (日期), 经下列组织通过下列各项决议:

- (For corporation) the Board of Directors of the corporation in accordance with its memorandum and articles of association/charter/constitution.
 (如为公司) 公司的董事会按照章程通过。
- (For partnership, limited partnership ("LP") or limited liability partnership ("LLP")) the partners of the partnership/LP/LLP in accordance with its partnership/LP/LLP agreement.
 (如为合伙, 有限合伙("LP")或有限责任合伙("LLP")) 合伙人按照其合伙协议通过。
- (For other entity) _____ (please specify) the _____ (insert name of its management body) in accordance with

 (如为其他实体 _____ (请注明) 由 _____ (载明其权源依据) 通过。 (insert name of its constitution).
 (载明其权源依据) 通过。 (载明管理机构) 依照 _____

RESOLVED: 决议

That *securities / margin / securities borrowing and lending / futures / OTC trading account(s) ("the Account(s)") and corresponding CDP sub-account(s), where applicable, be opened with KGI Securities (Singapore) Pte. Ltd. ("KGISI") in the capacity of the Applicant for the purpose of trading in *securities, futures, OTC products and other financial instruments traded in the Singapore Exchange and other exchanges or markets in accordance with the relevant applicable terms and conditions, as amended.

基于交易新加坡交易所和其他交易所或市场的*证券、期货、OTC产品和其他金融工具的目的, 向凯基证券(新加坡)有限公司 ("KGISI") 申请开立*证券/保证金/证券借贷/期货/场外账户 ("账户") 和相应的CDP子账户。

That the Applicant has the capacity to contract with KGISI and that all the legal requirements for opening of the Account(s), including but not limited to an online trading facility, with KGISI have been satisfied in the country of its incorporation/establishment.

申请人有与KGISI缔约的能力, 所有基于其所属国的有关开户, 包括但不限于网上交易设施的法律要求, 均已完成。

That any * one / two of the following persons be and are hereby authorised to sign the Account Opening Form, and all other relevant agreement, terms and conditions and documents relating to the opening of the Account(s) for and on behalf of the Applicant

授权下列人士中的任何*一位或二位人士可代表申请人签署开户表格及有关开户所有其他有关协议、条款及文件。

	Name 姓名	NRIC/Passport No. 身份证/护照号	Designation 职称
I.	DIMITAR YORDANOV IVANOV	3867956699	Executive Director
II.			
III.			
IV.			
V.			

	Residential Address 居住地址	Tel. No. 电话号码	E-mail Address 电邮信箱	Signature 签名
I.	Sofia, j.k. Krasno selo 208 entr. 3, app. 12	+359 879 631 941	office@tibiel.com	
II.				
III.				
IV.				
V.				

(continue to next page)

That any ^{*one} two of the following persons whose specimen signatures appear hereunder be and are hereby authorised to execute all documentation necessary to effect transfers and assignments and to give instructions on any and all matters relating to the settlement in connection with the Account(s).

授权下列人士中的任何^{*}一位或二位人士可签署必要的文件以执行转让及下达有关帐户的交割指令。

	Name 姓名	NRIC/Passport No. 身份证/护照号	Designation 职称	
i.	Athanasiros Karantzis	A00724166	Market Analyst	
ii.				
iii.				
iv.				
v.				
Residential Address 居住地址		Tel. No. 电话号码	E-mail Address 电邮信箱	Signature 签名
i.	Praksiou 8, Athens, 11853 Greece	+30 697 9073017	akarantzis@tibiel.com	
ii.				
iii.				
iv.				
v.				

That each of the following persons be and is hereby empowered to give instruction on any and all matters relating to trading in connection with the Account(s) on behalf of the Applicant.

授权每一下列人士均可代表申请人就与帐户有关的交易事宜作出指示。

	Name 姓名	NRIC/Passport No. 身份证/护照号	Tel. No. 电话号码	E-mail Address 电邮信箱	Signature 签名
i.	Athanasiros Karantzis	A00724166	+30 697 9073017	akarantzis@tibiel.com	
ii.					
iii.					
iv.					
v.					

(continue to next page)

That each of the following persons who appear hereunder be and are hereby authorised to receive all statements (in physical or electronic form) and/or notes in connection with the Account(s).
 授权每一下列人士接受有关账户的所有对帐单（以实物或电子形式）和/或文据。

Name 姓名	Designation 职称	Tel. No. 电话号码	E-mail Address 电邮信箱
i. Athanasios Karantzis	Market Analyst	+30 697 9073017	akarantzis@tibiel.com
ii.			
iii.			
iv.			
v.			

[Optional, applicable for online trading only] 以下仅适用于网路交易

That each of the following persons be and is hereby empowered to receive the passwords, personal identification numbers or access numbers or codes and to perform online trading on behalf of the Applicant with KGISS:-
 授权每一下列人士收受密码, 个人识别号, 或访问号码或代号以代表申请执行网路交易

Name 姓名	Designation 职称	Tel. No. 电话号码	E-mail Address 电邮信箱	Signature 签名
i. Athanasios Karantzis	Market Analyst	+30 697 9073017	akarantzis@tibiel.com	
ii.				
iii.				
iv.				
v.				

(continue to next page)

We confirm that we shall ensure that there is no unauthorized use of the passwords, personal identification numbers or access numbers or codes and we will be fully responsible for all trades executed.
我们确认，我们将会确保没有未经授权使用密码、个人识别号或访问号码或代号，我们将对所有已执行的交易负责。

That a copy of these resolutions be certified as true and correct by either one (1) director (or the partner, trustee, or such person who has the authority to represent the Applicant) or the company secretary of the Applicant, and be communicated to KGISS as conclusive evidence of the passing of the resolutions so certified to remain in full force until an amendment thereof is received by KGISS in writing. 本函各项决议的核证副本经任一公司董事(或合伙人、信託受託人或其他有权代表申请人的人士)或公司秘书核证确认真实并送交KGISS作为确实通过该各项决议之最终证明，并将继续保持有效，直到KGISS收到书面修正为止。

CERTIFIED TRUE COPY 经核证无误的文本
(Affix company stamp, if any) (盖公司章，如有)

N/A



18.06.2024

Date
日期

Chairman / Director / Company Secretary DIMITAR YORDANOV MANOVA
董事长 / 董事* / 公司秘书*/ Executive Director

*Delete as necessary 依实际情形删除

**Insert appropriate designation (e.g., partner, trustee) 填入适当的称谓(如 合伙人, 受託人)


WILLIAM FYFE
KGI SECURITIES SINGAPORE PTE LTD
24/1/2025

**Appendix 1: CORRESPONDENT ACCOUNT ANTI MONEY LAUNDERING QUESTIONNAIRE 反洗钱问卷
(APPLICABLE FOR FOREIGN FINANCIAL INSTITUTION) (适用于外国金融机构)**

1. Institution Information 机构信息

Financial Institution Name 金融机构名称: _____

Country of Jurisdiction 所属管辖区: _____

Regulatory Authority 监管机构: _____

2. Anti Money Laundering (AML) Controls and Assessment 反洗钱管制和评估

I. General AML Policies, Practices and Procedures 一般性反洗钱政策、做法和程序

- 1 Is your AML compliance program approved by your institution's board or a senior committee? 您的AML合规计划是由您的机构董事会或高级委员会批准的吗?
- 2 Does your institution have a legal and regulatory compliance program that includes a designated officer that is responsible for coordinating and overseeing the AML framework? 您的机构是否有一个法律和法规遵循计划，其中包括指定负责协调和监督AML框架的主管?
- 3 Does your institution have written policies documenting the processes that have been put in place to prevent, detect and report suspicious transactions? 的机构是否有书面的政策，记录已经实施的防止、检测和报告可疑交易的过程?
- 4 Does your institution have an internal audit function or other independent third party that assesses AML policies and practices on a regular basis? 您的机构是否有内部审计职能或其他独立的第三方，定期评估AML的政策和做法?
If yes, how frequently are these audits/tests conducted (i.e. quarterly, annually)? 如果是，这些审核/测试的频率是多少（即每季度或每年一次）?
- 5 Does your institution have a policy prohibiting accounts/relationships with shell financial institution? (A shell financial institution is defined as a FI incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group.) 您的机构是否有禁止与空壳金融机构的账户/关系的政策？（空壳金融机构被定义为在没有实体存在的司法管辖区，与受监管的金融集团无关的金融机构。）
- 6 Does your institution have policies to reasonably ensure that they will not conduct transactions with or on behalf of shell FIs through any of its accounts or products? 您的机构是否有合理的政策，确保他们不会通过其账户或产品来代表空壳金融机构进行交易?
- 7 Does your institution have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates? 您的机构是否有涉及政治敏感人士(PEP)、他们的家庭和亲属的政策?
- 8 Does your institution have record retention procedures that comply with applicable law? 您的机构是否有符合适用法律的记录保留程序?
- 9 Are your institution's AML policies and practices being applied to all your branches and subsidiaries both in your home country and in locations outside of that jurisdiction? 您的机构的AML政策和实践是否适用于您的所有分支机构和子公司在您的国家和在该管辖范围之外的地点?

II. Risk Assessment 风险评估

- 10 Does your institution have a risk-based assessment of your customer base and their transactions? 您的机构是否对您的客户资质及其交易进行风险评估?
- 11 Does your institution determine the appropriate level of enhanced and ongoing due diligence necessary for those categories of customers and transactions that your institution has reason to believe pose a heightened risk of illicit activities at or through your institution? 您的机构是否对那些您的机构有理由相信在您的机构或通过您的机构中增加了非法活动的风险的客户和交易，决定适当的加强及持续尽职调查?

III. Know Your Customer, Due Diligence and Enhanced Due Diligence 了解您的客户，尽职调查和加强尽职调查

- 12 Has your institution implemented processes for the identification of all those customers including the connected persons and beneficial owners of non-individual customers on whose behalf your institution maintains or operates accounts or conducts transaction? 您的机构是否已实施流程，以确定所有这些透过您的机构维持或运作账户或进行交易的客户，包括连接的人士和非个人客户的受益所有人?
- 13 Does your institution have a requirement to collect information regarding your customer's business activities/source of funds? 您的机构是否要求收集有关客户业务活动资金来源的资料?
- 14 Does your institution assess financial institution customers' AML/KYC policies or practices? 您的机构评估金融机构客户的AML / KYC政策或实践吗?
- 15 Does your institution have a process to review and, where appropriate, update customer information relating to high risk client information? 您的机构是否有审查的过程，在适当的情况下，更新与高风险客户有关的客户信息?

Yes 是 No 否

Yes 是 No 否

Yes 是 No 否

Yes 是 No 否

(please specify)

Yes 是 No 否

Appendix 1: CORRESPONDENT ACCOUNT ANTI MONEY LAUNDERING QUESTIONNAIRE (APPLICABLE FOR FINANCIAL INSTITUTION) (cont'd) 通讯账户反洗钱问卷 (续)

16 Does your institution have procedures to establish a record for each new customer noting their respective identification documents and 'Know Your Customer' information? 您的机构是否有程序为所有新客户建立档案，记录他们各自的身份证件和“了解您的客户”的信息？

Yes是 No否

17 Does your institution complete a risk-based assessment to understand the normal and expected transactions of your customers? 您的机构是否完成基于风险的评估，以了解客户的正常和预期的交易？

Yes是 No否

IV. Reportable Transactions and Prevention and Detection of Transactions with Illegally Obtained Funds 对非法获得的资金进行交易、预防和检测

18 Does your institution have policies or practices for the identification and reporting of transactions that are required to be reported to the authorities? 您的机构是否有相关的政策或做法，以确定和报告需要向当局报告的交易？

Yes是 No否

19 Where cash transaction reporting is mandatory, does your institution have procedures to identify transactions structured to avoid such obligation? 如果现金交易报告是强制性的，您的机构是否有程序确定交易结构以避免这种义务？

Yes是 No否

20 Does your institution screen customers and transactions against lists of persons, entities or countries issued by government/competent authorities as part of account opening process and periodically check customer base against the lists? 您的机构是否在客户和交易中对政府/主管部门发布的个人、实体或国家的名单进行筛选，并定期检查客户资料库？

Yes是 No否

21 Does your institution have policies to reasonably ensure that it only operates with correspondent financial institutions that possess licenses to operate in their countries of origin? 您的机构是否有合理的政策来确保它只与拥有营业执照的代理金融机构合作？

Yes是 No否

V. Transaction Monitoring 交易监控

22 Does your institution have a monitoring program for unusual and potentially suspicious activity? 您的机构是否有针对不同寻常和潜在可疑活动的监控项目？

Yes是 No否

VI. AML Training AML培训

23 Does your institution provide AML training to relevant employees that includes:

- identification and reporting of transactions that must be reported to government authorities,
 - examples of different forms of money laundering involving your products and services
 - internal policies to prevent money laundering
- 您的机构是否向相关员工提供AML培训，包括：
- 必须向政府报告的交易的识别和报告，
 - 涉及您的产品和服务的不同形式的洗钱案例
 - 防止洗钱的内部政策

Yes是 No否

24 Does your institution retain records of its training sessions including attendance records and relevant training materials used? 您的机构是否保留其培训课程的记录，包括考勤记录和相关的培训材料？

Yes是 No否

25 Does your institution have policies to communicate new AML related laws or changes to existing AML related policies or practices to relevant employees? 您的机构是否有政策将新的AML相关法律或与相关政策或做法的变更向员工进行传播？

Yes是 No否

26 Does your institution employ third parties to carry out some of the functions of your institution?

Yes是 No否

If yes, does your institution provide AML training to relevant third parties that includes:

- identification and reporting of transactions that must be reported to government authorities,
- examples of different forms of money laundering involving your products and services
- internal policies to prevent money laundering

您的机构是否雇用第三方来执行机构的某些职能？

Yes是 No否

如果是，您的机构是否提供AML培训给相关的第三方，包括：

- 必须向政府报告的交易的识别和报告，
- 涉及您的产品和服务的不同形式的洗钱案例
- 防止洗钱的内部政策

Yes是 No否

VII. Regulatory Action VII. 监管措施

27 Has your institution or parent company been the subject of any money laundering or terrorist financing-related proceedings, investigations, sanctions, punitive actions indictment, had fines, conviction or civil enforcement action imposed on your institution or parent company by a regulator or law enforcement body during the last five years? 您的机构或母公司是否在过去五年内曾被监管机构或执法机构进行任何洗钱或恐怖融资相关的诉讼、调查、制裁、惩罚性行动起诉、罚款、定罪或民事执法行动？

Yes是 No否

28 Has your institution, to your knowledge, been the subject to any investigation, indictment, penalty, fine, conviction or civil enforcement action related to terrorism financing in the past five years? 在过去的5年内，您的机构是否涉入恐怖主义融资有关的调查、起诉、罚款、罚金、定罪或民事执法行动？

Yes是 No否



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Appendix 1: CORRESPONDENT ACCOUNT ANTI MONEY LAUNDERING QUESTIONNAIRE (APPLICABLE FOR FINANCIAL INSTITUTION) (cont'd) 通迅账户反洗钱问卷(续)

If the answer to any of the questions from VII. are yes, please provide short description:
如果任何一个VII内的问题回答“是”，请提供简短的描述：

Space for additional information if answer is 'No' for any question above, except VII:
(Please indicate which question the information is referring to)
对于以上任何问题(VII除外), 如果答案是“否”, 请提供额外的信息
(请注明资料所指的问题。)

Certification Questionnaire completed by _____ (duly authorized officer of institution)
认证由 _____ (机构授权人员) 填写的问卷

The undersigned certifies that the aforementioned questionnaire was answered considering the existing internal controls of the subject financial institution, and further present an accurate representation of the existing state of the institution's AML, CFT and KYC internal controls and financial service activities.
以下签名确认，上述问卷是在依据该金融机构现有内部控制的情况所填具，正确呈现了机构的AML、CFT和KYC内部控制和金融服务活动的现有状态。

Signature 签名: _____ Position 职位: _____ E-mail 电子邮箱: _____
Name 姓名: _____ Date 日期: _____

CIRCULAR

DATE: 2 OCTOBER 2017

CIRCULAR 17/023 — AMALGAMATION OF KGI FUTURES (SINGAPORE) PTE. LTD. AND KGI SECURITIES (SINGAPORE) PTE. LTD.

CATEGORY:

Membership

SUMMARY OF CONTENT:

Amalgamation of KGI Futures (Singapore) Pte. Ltd. and KGI Securities (Singapore) Pte. Ltd.

KGI Futures (Singapore) Pte. Ltd., an exchange member, continues its business activities as **KGI Securities (Singapore) Pte. Ltd.** with immediate effect as a result of the amalgamation of the two companies. Its registered address remains:

4 Shenton Way #13-01
SGX Centre 2
Singapore 068807

FOR MORE INFORMATION, PLEASE CONTACT:

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