

# Einstein and the Æther:

A Philosophical Foundation for the Vortex Æther Model (VAM)

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**Abstract**

This paper re-examines the concept of the æther through the evolving lens of Einstein’s philosophical reflections, culminating in a structured reinterpretation via the Vortex Æther Model (VAM). Contrary to the popular view that Einstein abolished the æther, his post-1920 writings explicitly reinstate it as a necessary medium — one that possesses field-like properties but lacks mechanical motion. We trace this conceptual evolution from the 1905 rejection of the luminiferous æther to the 1924 Einstein–Cartan correspondence, highlighting a shift toward a non-material, yet physically active, substrate.

Building on this foundation, the VAM proposes a physically structured æther modeled as an incompressible, inviscid superfluid, in which gravity and inertia arise from quantized circulation of knotted vortex filaments. Vorticity replaces curvature as the geometric substrate of gravitation, and conserved angular momentum within the æther gives rise to time dilation, mass-energy equivalence, and inertial response. The model introduces effective mass  $M_{\text{eff}}(r)$  derived from localized vortex energy, and a rotational potential  $\Omega$  governing swirl-based gravitational analogs.

This philosophical groundwork prepares the reader for later VAM developments, such as the formal time dilation equation in *Swirl Clocks and Vorticity-Induced Gravity* [?], and the topological Lagrangians developed for vortex–knot matter. These works explore how localized æther structure encodes relativistic and quantum phenomena in a deterministic, experimentally testable framework — one that reframes the legacy of Einstein’s æther not as an outdated relic, but as a cornerstone of modern fluid-theoretic physics.

**Author’s Note:** This work is not intended as a neutral historical analysis, but as a conceptual bridge framing VAM as a modern realization of Einstein’s late æther philosophy.

To reconcile VAM predictions across physical scales, we introduce a hybrid gravitational coupling function  $\mu(r)$ , which smoothly interpolates between the vortex-derived gravitational constant  $G_{\text{swirl}}$  at quantum scales and classical Newtonian gravity at macroscopic distances. This resolves key discrepancies in subatomic and stellar regimes, ensuring consistency with both millimeter-scale laboratory tests and astrophysical observations. The resulting time-dilation law incorporates scale-dependent  $G_{\text{hybrid}}(r)$  and  $M_{\text{hybrid}}(r)$ , unifying local vortex energetics with large-scale gravitational phenomenology.

**Keywords:** *æther, Einstein, Vortex Æther Model, vorticity, time dilation, topological matter, fluid dynamics, Helmholtz, Kelvin, Maxwell*

# Introduction

In popular imagination, it is often said that Einstein “abolished the æther.” However, this statement is a severe simplification of his actual stance [1]. In reality, Einstein distinguished between different concepts of æther, and while in his early work (1905) he omitted the luminiferous æther, in later lectures he returned to a more subtly defined concept of æther as a physical medium carrying field properties. This text explores what Einstein actually wrote, what he meant, and how this aligns with modern models such as the Vortex Æther Model (VAM), a contemporary physical framework that reintroduces the æther as a central player in physics.

Imagine a universe where swirling vortices, rather than invisible forces, govern the cosmos. This is the essence of the Vortex Æther Model (VAM): a framework in which gravitation, inertia, time, and even quantum behavior emerge from structured motion in an incompressible, non-viscous fluid — the Æther [2, 3]. Unlike conventional field theories that abstract away the medium, VAM embraces it as fundamental. In this view, matter is topology, time is rotation, and space is filled not with void, but with conserved vorticity.

This analysis is not merely a historical correction, but also a bridge between Einstein’s original insights [1] and contemporary models that aim to reformulate gravity, quantum behavior, and time perception based on fluid dynamics [4]. By carefully considering the context of Einstein’s quotes, it becomes clear that his philosophical and physical stance toward æther was more complex and nuanced than is often assumed.

## 1 The Misconception: Einstein “Abolished the Æther”

In 1905, Einstein introduced the Special Theory of Relativity. In it, the concept of the luminiferous æther was absent. Many took this as a rejection of the æther concept altogether [1]. But this was not Einstein’s intention. He wrote:

“The introduction of a ‘light-bearer’ (luminiferous æther) proves to be superfluous.”

This is not the same as saying the æther does not exist. Rather, it implies that a mechanical carrier for light waves is not necessary to explain electromagnetic phenomena. But this does not rule out the possibility that space itself possesses properties that interact with matter, energy, and time. It is this subtle transition from medium to field substrate that is often misunderstood.

## 2 The Return of the Æther Concept (1920)

In his 1920 lecture in Leiden, Einstein explicitly stated:

“According to the general theory of relativity, space is endowed with physical qualities; in this sense, therefore, there exists an æther. According to the general theory of relativity, space without æther is unthinkable.” [1]

Here Einstein describes an æther that does not have material properties such as velocity or location, but that does carry the qualities of space itself — such as curvature, field strength, and gravitational content. He emphasized that this æther has no separate existence outside spacetime but is inextricably connected to the geometric and energetic structure thereof.

This statement represents a clear break from the dogma that Einstein was anti-æther. Rather, it shows that he revised his views in line with the development of General Relativity. His æther is not a fluid in the classical sense, but a physical substrate that influences forces and time.

In the remainder of this article, we revisit Einstein’s æther-related statements and interpret them in light of modern topological fluid models, culminating in the correspondence with VAM.

### 3 Æther as Carrier of Field Quality

Einstein emphasized that his æther:

- Was not composed of particles,
- Had no absolute rest state,
- But *was* responsible for physical phenomena such as gravity, field interactions, and temporal evolution.

This explicitly deviates from the 19th-century mechanical æther model and posits instead a structured, field-like medium closely resembling modern notions of a vacuum with properties. In fact, we can say that Einstein's "æther" functions as a form of intrinsic space dynamics, where the metric tensor and curvature tensor are merely mathematical projections of a deeper, possibly vorticial, reality.

In this interpretation, æther can be considered a fundamental background from which not only gravity and electromagnetism emerge, but also temporal progression, inertia, and quantum fluctuations. This opens the door to contemporary models that describe space as a dynamic, structured fluid configuration.

### 4 Connection to the Vortex Æther Model (VAM)

In VAM — developed since 2012 by O. Iskandarani — the æther is modeled as a non-viscous, incompressible superfluid in which vorticity, as a fundamental quantity, determines local time, gravity, and mass. As in Einstein's later work, the æther is regarded as fundamental and determinative of physical interactions.

Additionally, VAM includes:

- Topological structures (such as knots and trefoils) as elementary particles,
- Local time dilation resulting from core vorticity,
- A new system of fundamental constants, with  $C_e$  (vortex boundary velocity) and  $F_{\text{æ}}^{\text{max}}$  (maximum force).

#### Example Formula

The gravitational constant in VAM is derived as:

$$G_{\text{swirl}} = \frac{C_e c^5 t_p^2}{2 F_{\text{æ}}^{\text{max}} r_c^2} \quad (1)$$

This formula—derived from rotational conservation in VAM's Swirl Clock formalism—connects the gravitational constant to Planck-scale rotational energy, showing that gravity emerges from bounded vorticity fields [3]. It reflects an æther with dynamic properties — just as Einstein envisioned in 1920. VAM also employs vorticial circulation, local pressure gradients, and conservation of absolute vorticity to explain interactions between knots and fields, aligning strongly with Einstein's quest for a unified field theory.

By combining Einstein's æther concept with modern hydrodynamics and topological stability, VAM becomes a powerful framework for reinterpreting fundamental laws. The model offers experimental testability via superfluid analogies, electric vortex interference, and gravitational simulations in laboratory conditions.

## 5 Historical Continuity

A careful reading of Einstein's work reveals that he:

- Did *not* reject æther, but *redefined* it,
- Sought a **medium** carrying the properties of spacetime,
- And ultimately aimed to **unify** what VAM now combines: gravity, time perception, and field interaction through vorticity.

Einstein implicitly acknowledged that space is not an empty backdrop, but an active physical domain. In this context, VAM is a logical continuation — a model that not only accepts this active structure but also reconstructs it mathematically and physically using conserved vortex fields, knot structures, and energetic boundary conditions [2, 3].

It is important to note that many modern models, such as emergent gravity and superfluid vacuum theory, show parallels with VAM. But while those models often remain abstract or only partially consistent, VAM is explicit, consistent, experimentally oriented, and mathematically verifiable from hydrodynamic first principles.

## Conclusion: Æther Reclaimed

Modern science is gradually returning to ideas that were ignored for a century — not because they were wrong, but because the time was not yet right. Einstein foresaw this. And the Vortex Æther Model is not a regression to outdated views, but a progression toward a coherent, experimentally testable worldview where æther, vorticity, and reality are once again interconnected.

It is time to stop viewing Einstein as the man who excluded the æther and instead recognize him as the thinker who transformed the concept into something that is once again relevant today. In that spirit, VAM is both a tribute and a continuation of an intellectual journey that is far from over.

## A Einstein on the Æther — Translated Quotes and VAM Equivalents

This appendix collects and annotates key statements made by Albert Einstein about the æther, focusing especially on how these statements align or contrast with the structure and assumptions of the Vortex Æther Model (VAM). Where possible, original German excerpts are included, with English translations and a mapping to VAM concepts or equations.

### 1. “Der Raum ohne Äther ist undenkbar...”

**Original (1920 Leiden Lecture):**

”Nach der allgemeinen Relativitätstheorie ist der Raum mit physikalischen Eigenschaften begabt; in diesem Sinne existiert also ein Äther. Gemäß der allgemeinen Relativitätstheorie ist ein Raum ohne Äther undenkbar.”

**Translation:**

”According to the general theory of relativity, space is endowed with physical qualities; in this sense, therefore, there exists an æther. According to the general theory of relativity, space without æther is unthinkable.”

**VAM Mapping:**

This matches VAM's foundational postulate that the æther is a structured, non-viscous, incompressible

medium with internal physical dynamics. The VAM equivalent is the existence of a vorticity-carrying background field  $\vec{\omega}(\vec{r}, t)$ , subject to conservation laws and boundary conditions.

$$\nabla \cdot \vec{v} = 0, \quad \nabla \cdot \vec{\omega} = 0, \quad \partial_t \vec{\omega} + (\vec{v} \cdot \nabla) \vec{\omega} = (\vec{\omega} \cdot \nabla) \vec{v}$$

## 2. “Es scheint, als sei die Einführung eines Äthers überflüssig...”

**Original (1905, SR paper):**

“Es scheint, als sei die Einführung eines Äthers überflüssig, insofern die Lichtausbreitung durch Maxwell’sche Gleichungen in leerem Raum ausreichend beschrieben werden kann.”

**Translation:**

“It seems that the introduction of an æther is superfluous, insofar as the propagation of light can be described adequately by Maxwell’s equations in vacuum.”

**VAM Mapping:**

Einstein’s 1905 view was contextually specific to the Maxwellian field theory. VAM expands this to a *sub-Maxwellian* fluid substrate: the fields emerge from vortex dynamics.

VAM introduces:

$\vec{E} = -\nabla\Phi - \partial_t \vec{A}$ ,  $\vec{B} = \nabla \times \vec{A}$  as secondary fields derived from swirl-based potentials in the æther.

## 3. “Der Äther darf nicht als ein Medium mit mechanischen Eigenschaften gedacht werden...”

**Original (1920):**

“Der Äther darf nicht als ein Medium mit mechanischen Eigenschaften gedacht werden, wie es die alten Ätherkonzepte vorschlugen. Er besitzt keine Bewegungen, wie z.B. Geschwindigkeit.”

**Translation:**

“The æther must not be thought of as a medium with mechanical properties, as the old concepts of æther suggested. It has no motion in the usual sense, like velocity.”

**VAM Mapping:**

In VAM, the æther has *field-like behavior*, not particulate or elastic-body behavior. The “no absolute velocity” principle is respected via invariance under global coordinate transformation, but local rotational states  $\vec{\omega} \neq 0$  define structure. Time dilation depends on vorticity [3]:

$$\frac{d\tau}{dt} = \sqrt{1 - \frac{C_e^2}{c^2} e^{-r/r_c}}$$

## 4. “Das Gravitationsfeld selbst kann als ein Zustand dieses Äthers angesehen werden.”

**Original (1920):**

“Das Gravitationsfeld selbst kann als ein Zustand dieses Äthers angesehen werden.”

**Translation:**

“The gravitational field itself can be regarded as a state of this æther.”

**VAM Mapping:**

This is directly analogous to the VAM interpretation of gravity: not as spacetime curvature, but as an emergent effect of vorticity-induced pressure gradients:

$$\nabla P = \rho_{\text{æ}} \vec{a} = -\frac{1}{2} \rho_{\text{æ}} \nabla |\vec{\omega}|^2$$

## 5. “Die Zeit ist in einem Gravitationsfeld anders definiert...”

### Original (1916, Grundlagen der ART):

”Die Zeit ist in einem Gravitationsfeld anders definiert als in der Abwesenheit desselben; die Zeitdifferenz hängt von der Lage im Feld ab.”

### Translation:

”Time is defined differently in a gravitational field than in its absence; the time differential depends on the position within the field.”

### VAM Mapping:

This statement supports VAM’s approach of *local time dilation* derived from rotational energy density and vorticity:

$$\frac{d\tau}{dt} = \sqrt{1 - \frac{1}{U_{\max}} U_{\text{vortex}}} = \sqrt{1 - \frac{1}{2U_{\max}} \rho_{\text{æ}} |\vec{\omega}|^2}$$

“Einstein did not eliminate the æther. He redefined it. VAM takes the next step.” — O. Iskandarani

## Appendix II: Lord Kelvin and the Knot-Æther Critique

In the late 19th century, William Thomson (Lord Kelvin) proposed that atoms might be stable vortex knots in an invisible æther — a topological interpretation of matter. Yet he himself raised the most pointed critique:

“I am afraid of the smoke and complication, of all the varieties of knots and links, if they are to explain the variety of elements.”

— Lord Kelvin, 1890

Kelvin feared that the near-infinite number of possible knots and links in three-dimensional space would not correspond to the relatively small number of stable chemical elements [5, 6]. Without a natural principle of selection, the theory risked degeneracy: the proliferation of mathematically possible but physically irrelevant structures.

### Historical Context

In the second half of the 19th century, the vortex atom theory was developed, primarily by William Thomson (Lord Kelvin) and Peter Guthrie Tait [5, 6]. In this framework, atoms were envisioned as stable knots or vortex rings in an ideal, invisible fluid — the so-called luminiferous æther. The idea was that both the discrete nature of atomic species and their remarkable stability could be explained through topological invariants from knot theory.

“Helmholtz’s 1858 paper introduced the conservation of vorticity in ideal fluids, laying the mathematical foundation upon which Kelvin and Tait constructed the vortex atom theory [7]. This conservation principle is central to both classical vortex stability and the topological persistence employed in VAM.”

Kelvin’s model was deeply influenced by the work of Helmholtz (1858) on vortex conservation in ideal fluids. He imagined that different types of knotted or linked vortices might correspond to different elements.

## Kelvin's Principal Objection

Despite its elegance, Kelvin identified a critical flaw:

“I am afraid of the smoke and complication, of all the varieties of knots and links, if they are to explain the variety of elements.”

— William Thomson (Lord Kelvin), Baltimore Lectures, 1890

The mathematical space of knots is vast, and Kelvin recognized the absence of a physical filter. He was acutely aware that the theory, though geometrically rich, lacked a way to explain \*why only some knots should be stable atoms\*. It had no built-in energetic, dynamic, or entropic selection rule.

## Experimental Shortcomings

Kelvin also noted the absence of empirical correspondence between specific knot types and actual elements. Without experimental access to the supposed vortex knots — their formation, stability, or interaction — the theory remained speculative.

Nonetheless, the idea lived on, inspiring both topological mathematics and future models of discrete matter arising from continuous media.

## Comparison to the Modern Particle Zoo

Kelvin's critique is echoed in modern particle physics. The Standard Model contains a large number of particles, generations, couplings, and constants — many set only by experimental input, not derivable from deeper principles.

The degeneracy Kelvin foresaw reappears: a theory with many admissible but unexplained types of particles. The need for a \*selection mechanism\* remains urgent.

## The VAM Response

The Vortex Æther Model (VAM) revives the topological atom intuition but answers Kelvin's critique with concrete physical principles:

- Thermodynamic constraints (via Clausius entropy) limit allowable knot growth [8].
- Quantized circulation excludes unstable, high-energy configurations.
- Absolute vorticity conservation enforces topological stability.
- Vortex reconnection thresholds act as evolutionary boundaries.

As a result, VAM predicts only a finite, physically meaningful spectrum of topological matter structures — in line with observed baryons and leptons.

## Concluding Reflection

Kelvin's objection was not to knots themselves, but to their uncontrolled proliferation. VAM reclaims his vision, but grounds it in hydrodynamic logic, energy bounds, and field evolution:

“Knots without constraints become chaos. Knots with physics become atoms.”— O. Iskandarani



## Appendix III: James Clerk Maxwell on the Æther and the Vortex Atom Theory

James Clerk Maxwell (1831–1879), one of the foundational figures of modern physics, held deep and evolving views on the concept of the æther. While best known for formulating the electromagnetic field equations, Maxwell also contributed to the theoretical underpinnings of the æther and engaged directly with the emerging vortex atom theories of his time.

### Maxwell's View on the Æther

Maxwell firmly believed that the æther was a physically real, omnipresent medium necessary for the transmission of electromagnetic waves [9]:

”There can be no doubt that the interplanetary and interstellar spaces are not empty, but are occupied by a material substance. . . which is certainly the largest and probably the most uniform body of which we have any knowledge.”

To Maxwell, the electromagnetic field was not abstract, but a manifestation of real stresses and strains in the æther [9]. He imagined it as an elastic medium capable of supporting tension (electric fields), rotation (magnetic lines), and vibrational energy (light).

### Maxwell and the Vortex Atom Theory

Maxwell was intrigued by Lord Kelvin's proposal that atoms could be modeled as stable vortex knots in the æther — the so-called vortex atom theory [10]. In his 1875 lecture ”Molecules,” he expressed qualified enthusiasm:

”The vortex theory of atoms, first proposed by Helmholtz and developed by Sir William Thomson. . . has made it conceivable that the properties of matter may depend solely on motion in a medium, and not on anything in the nature of the atom itself.”  
— James Clerk Maxwell, 1875, ”Molecules”

This radical idea — that all matter could emerge from organized motion in a universal fluid — deeply appealed to Maxwell's mechanical sensibilities. However, he also expressed caution:

”The difficulty is that we know so little about fluid motion, and the equations are so intractable, that no one has yet been able to deduce the properties of any known substance from such a theory.”

In short, the theory was conceptually beautiful but lacked mathematical tractability and predictive power. Maxwell understood the elegance of vortex-based models but noted that fluid dynamics was still too undeveloped to make the theory physically useful [10].

### Legacy and Connection to VAM

Maxwell's æther was a mechanical medium filled with stresses, pressures, and circulations — not unlike the vortex fields described in the Vortex Æther Model (VAM). His aspirations for a unified field theory based on æther mechanics resonate strongly with VAM's goals:

- Both view the vacuum as structured and dynamic.
- Both describe matter as emergent from motion in the medium.

- Both seek to replace ad hoc constants with field-based origins.

Maxwell anticipated that future physicists might unlock the mathematics of vortex-structured æther. VAM — using conservation of vorticity, topological invariants, and pressure-induced time dilation — picks up where Maxwell’s generation left off.

## Reflection

Maxwell’s words remind us that the æther was never fully dismissed on scientific grounds, but rather due to limitations in modeling and experiment. With modern tools, those limitations are no longer insurmountable.

”A field is not a ghost. It is the visible strain of the invisible æther.” — paraphrasing Maxwell

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