

Programming Practice for Data Science

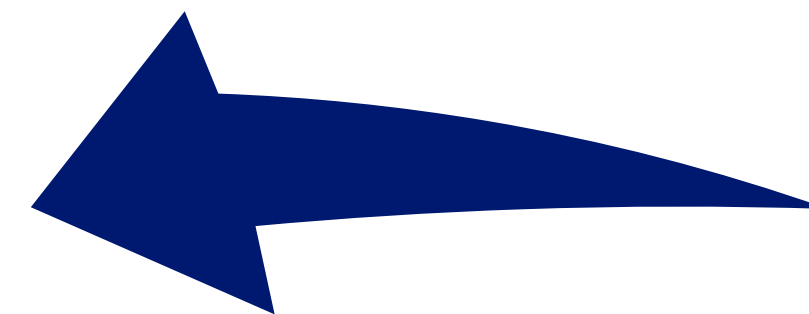
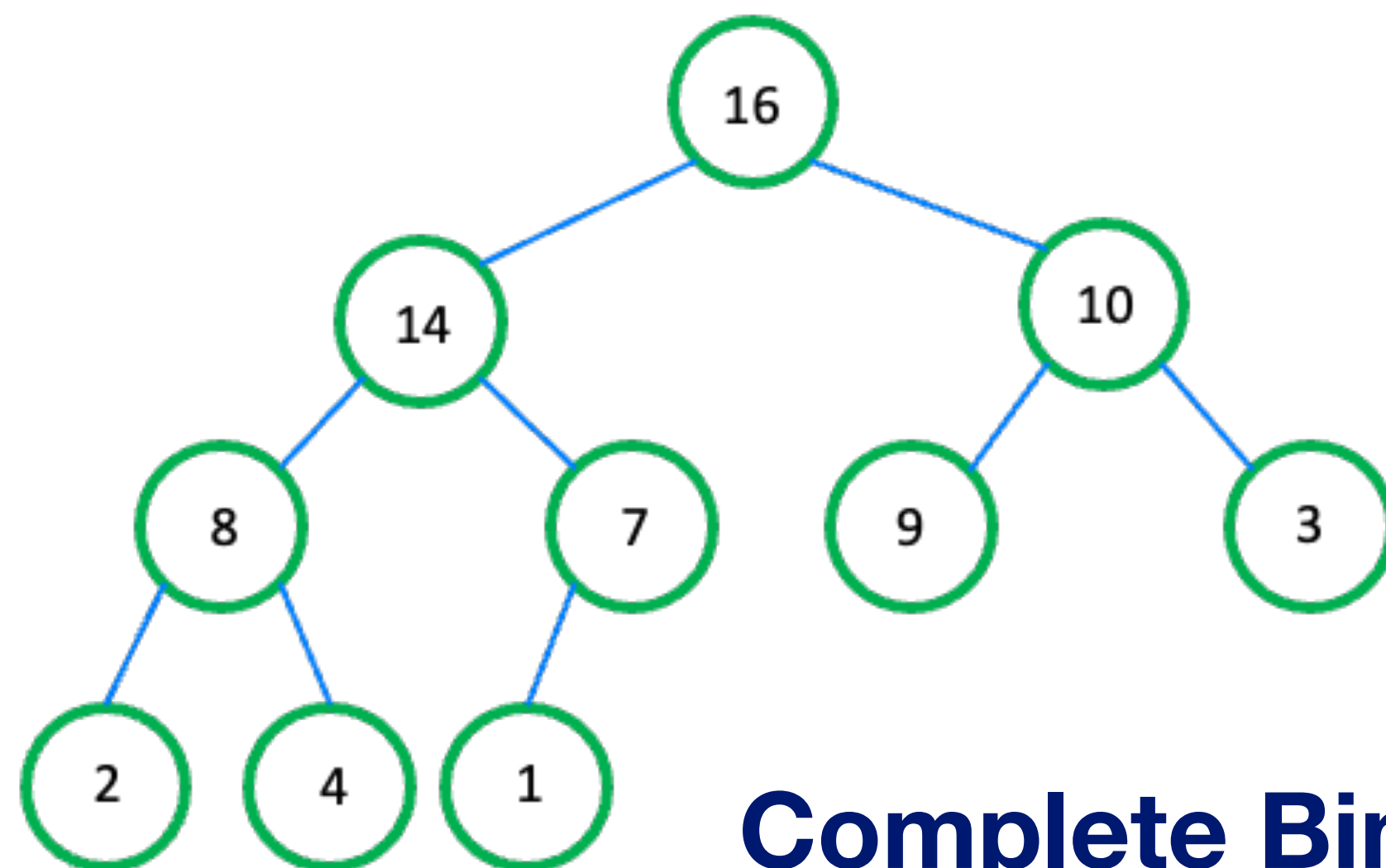
Lecture 8: Heap and Priority Queue (11/22/24)

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Heap

Definition

- The (binary) heap data structure is an array object
 - view as a nearly **complete binary tree** (filled from left up to a point)
 - Each node of the tree corresponds to an element of the array



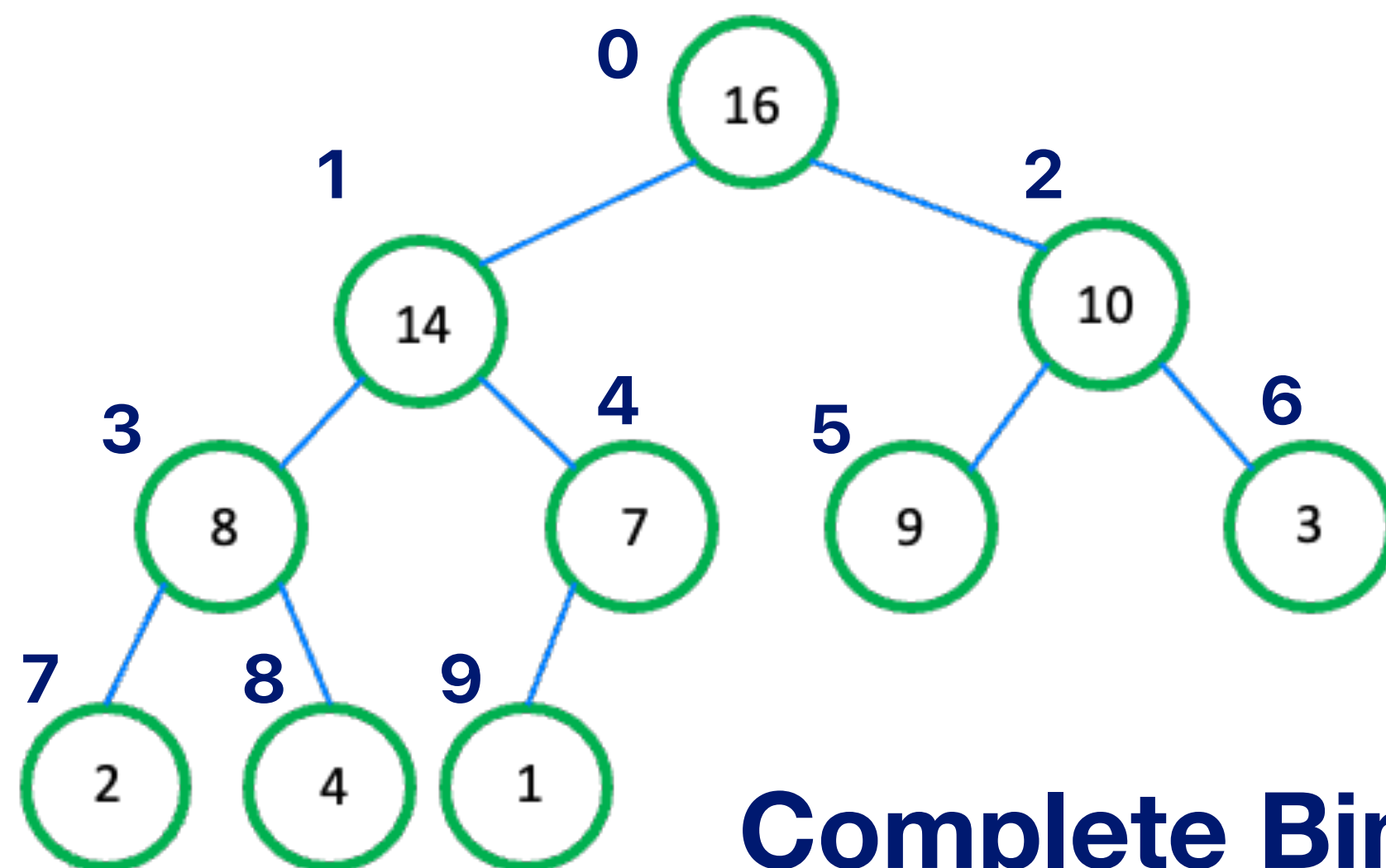
Array

0	1	2	3	4	5	6	7	8	9
16	14	10	8	7	9	3	2	4	1

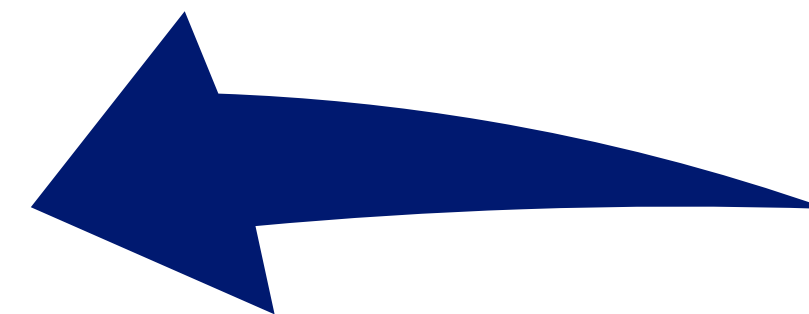
Heap

Definition

- The (binary) heap data structure is an array object
 - The root of the tree is $A[0]$
 - **parent(i):** $\text{floor}((i - 1) / 2)$, **left-child(i):** $2 * i + 1$, **right-child(i):** $2 * i + 2$



Complete Binary Tree



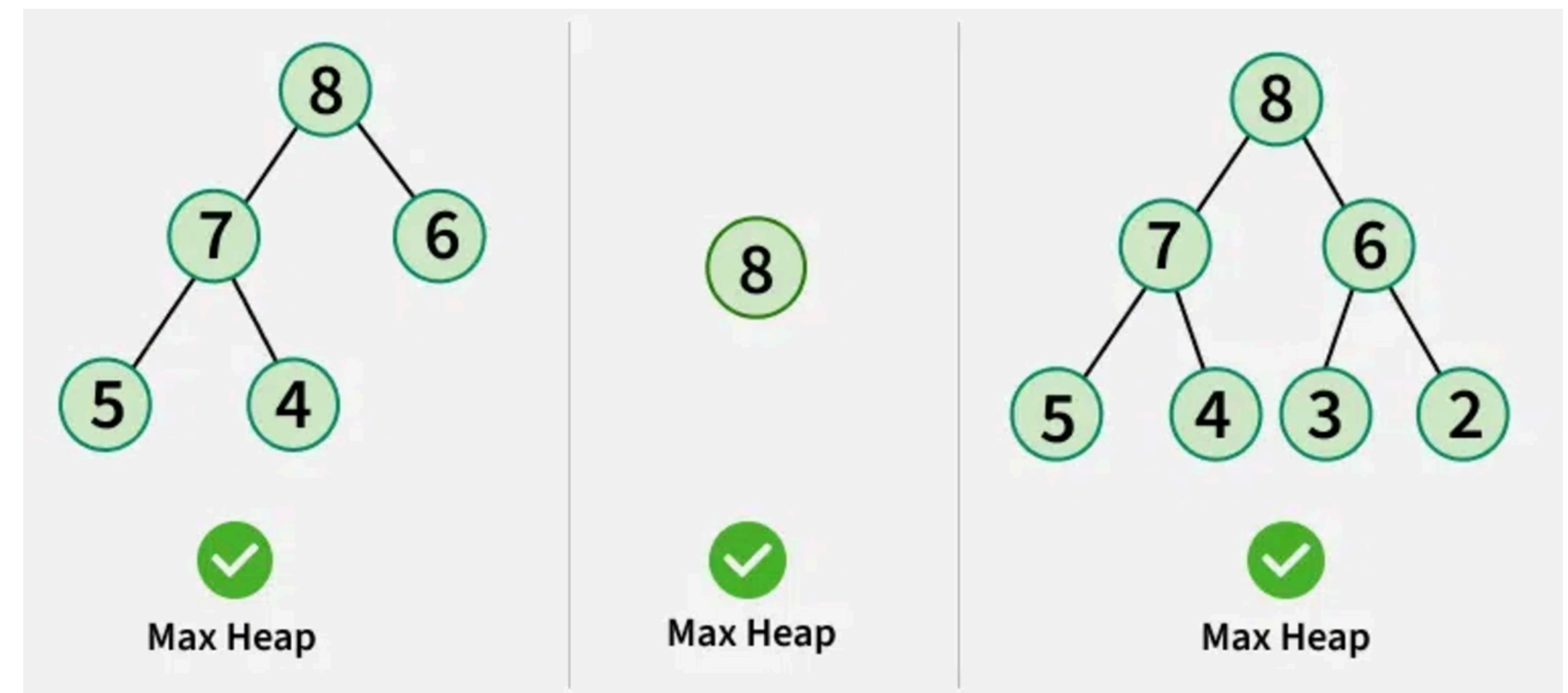
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0	1	2	3	4	5	6	7	8	9
16	14	10	8	7	9	3	2	4	1

Heap

Definition

- **Max-Heap**
 - For every node i other than the root, $A[\text{parent}(i)] \geq A[i]$
 - The largest element at the root $A[0]$



Heap

Definition

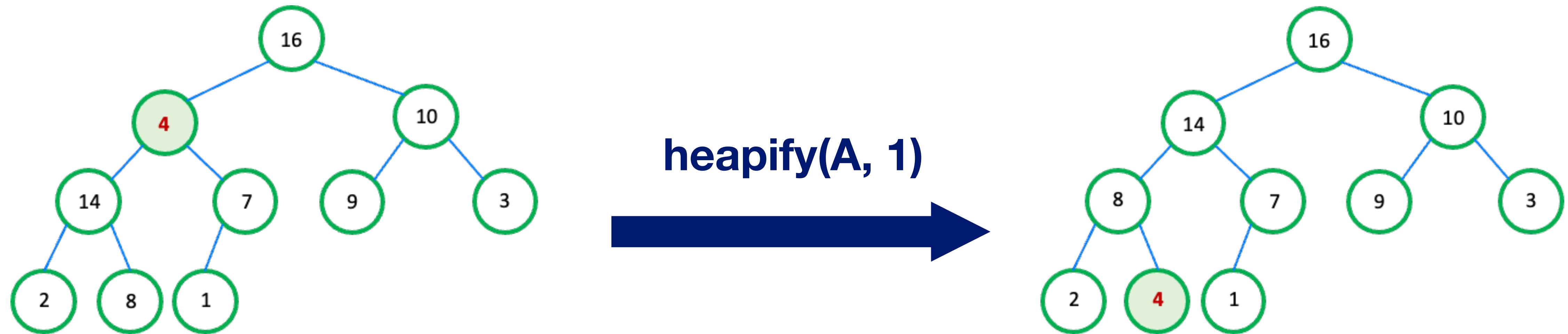
- **Min-Heap**
 - For every node i other than the root, $A[\text{parent}(i)] \leq A[i]$
 - The smallest element at the root $A[0]$



Heap

Definition

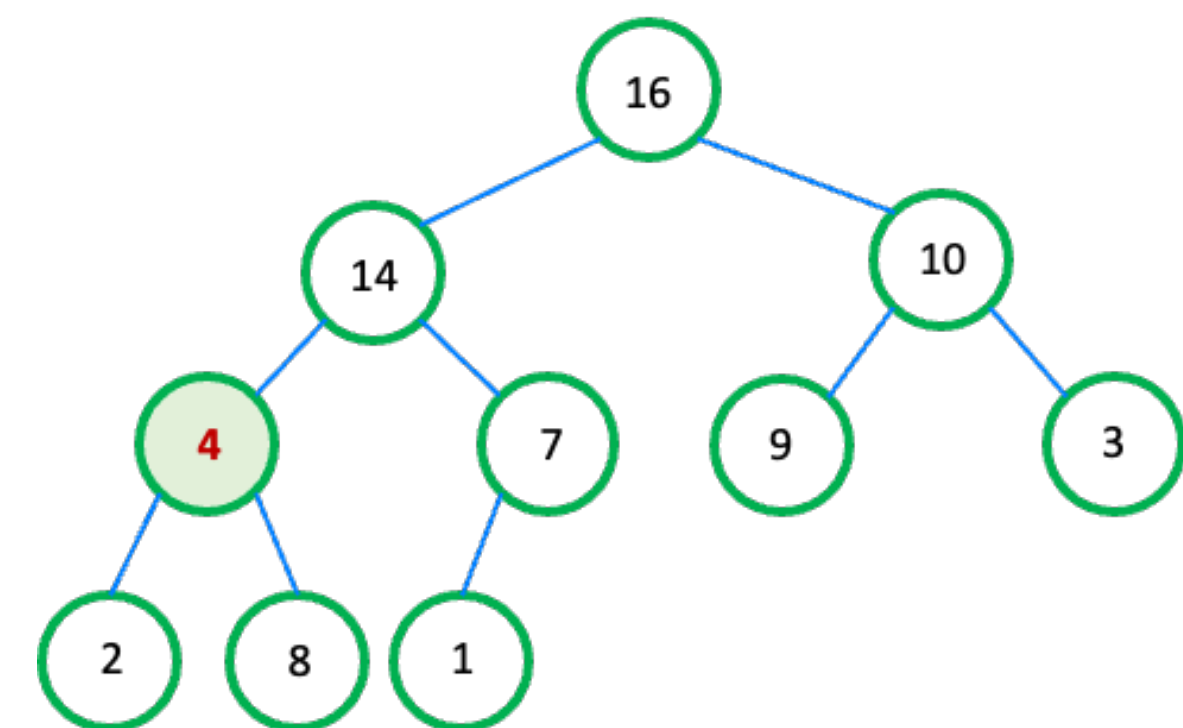
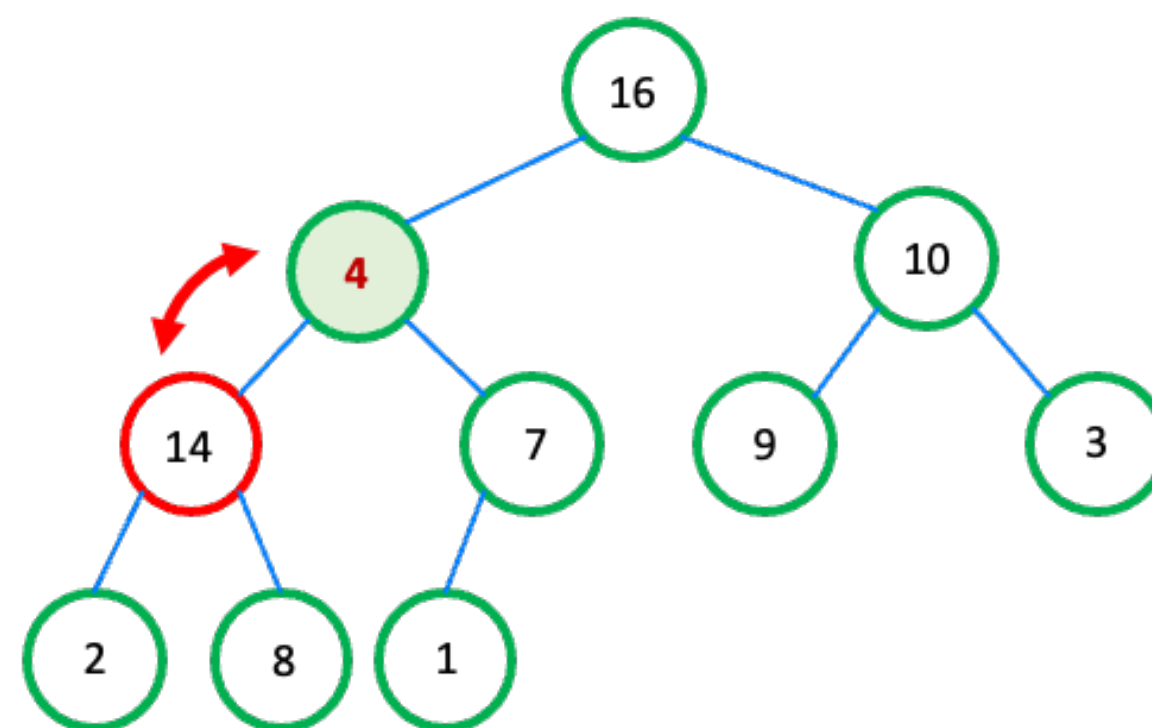
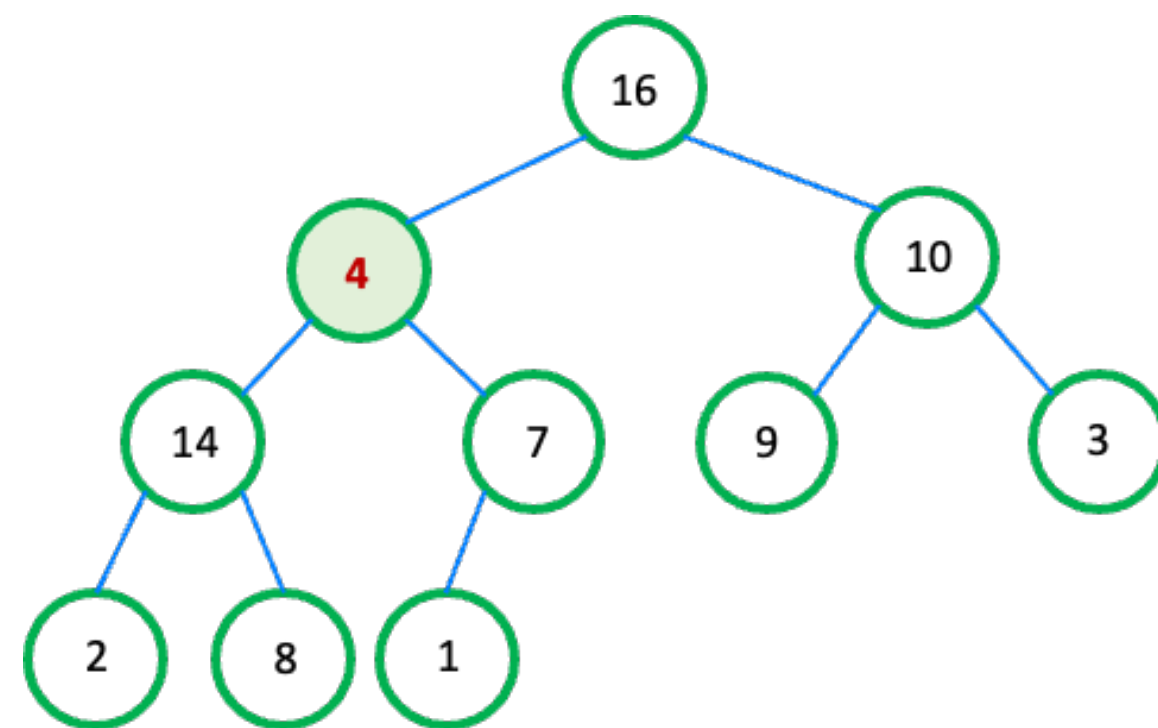
- **Max Heapify**
 - the value at $A[i]$ “**float down**” in the max-heap, so that the subtree rooted at index i obeys the **max-heap property**



Heap

Definition

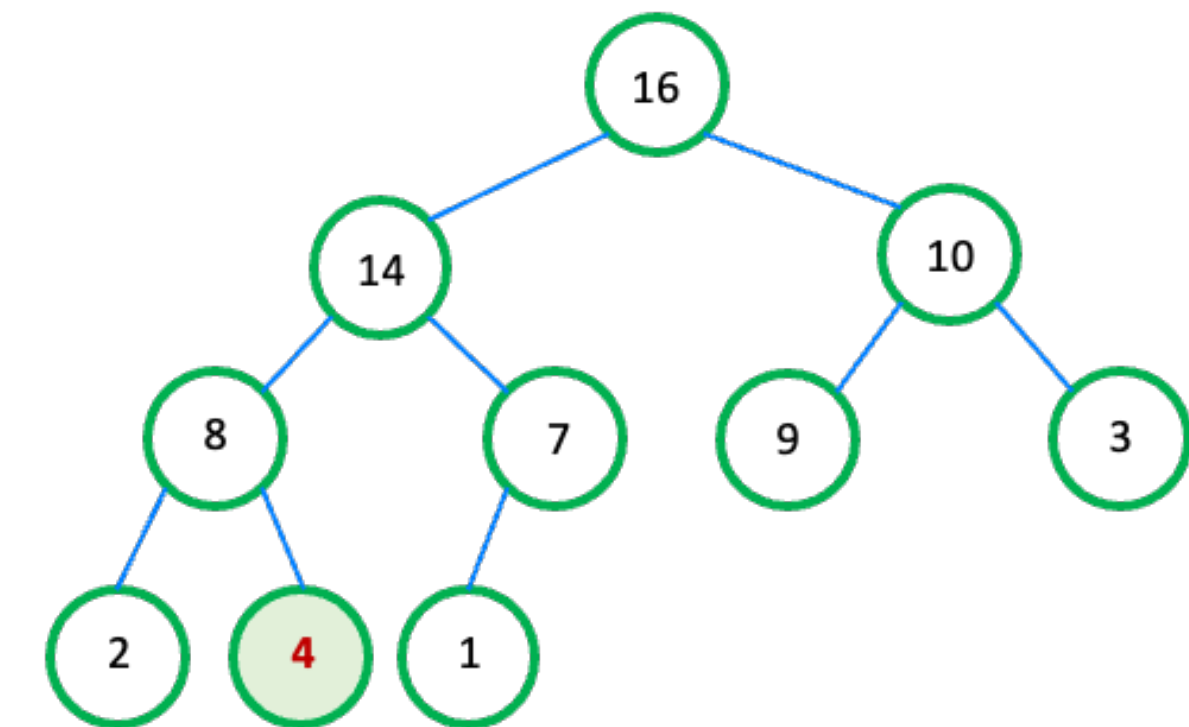
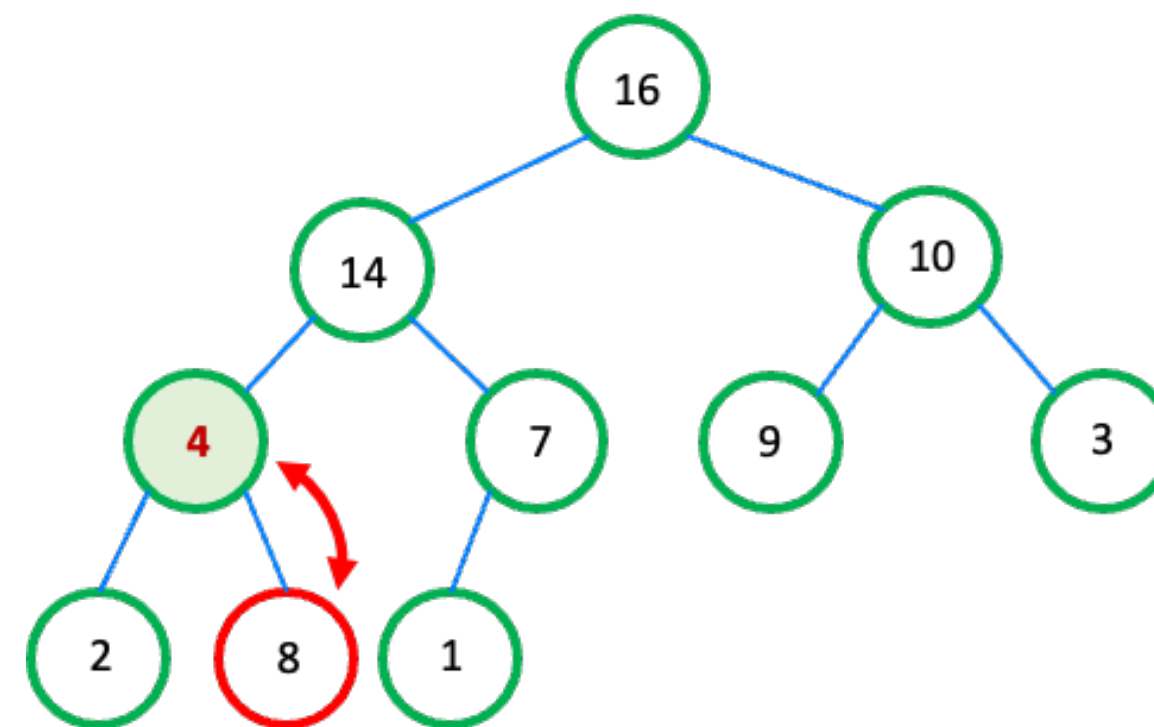
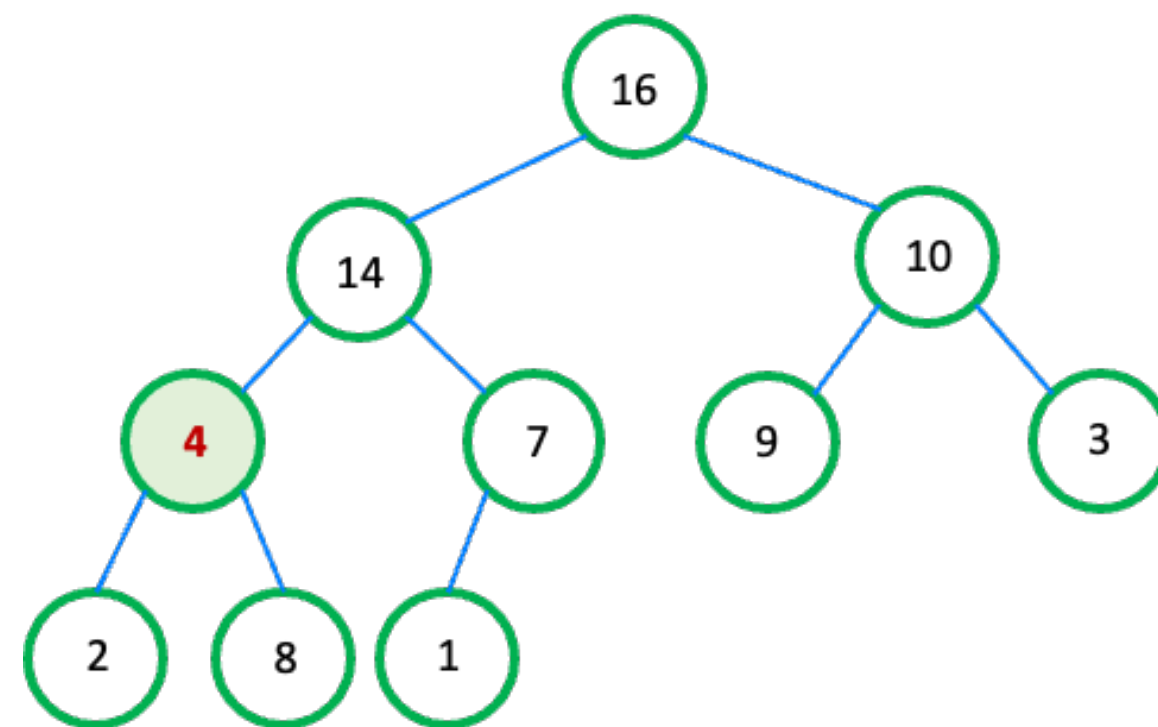
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Heap

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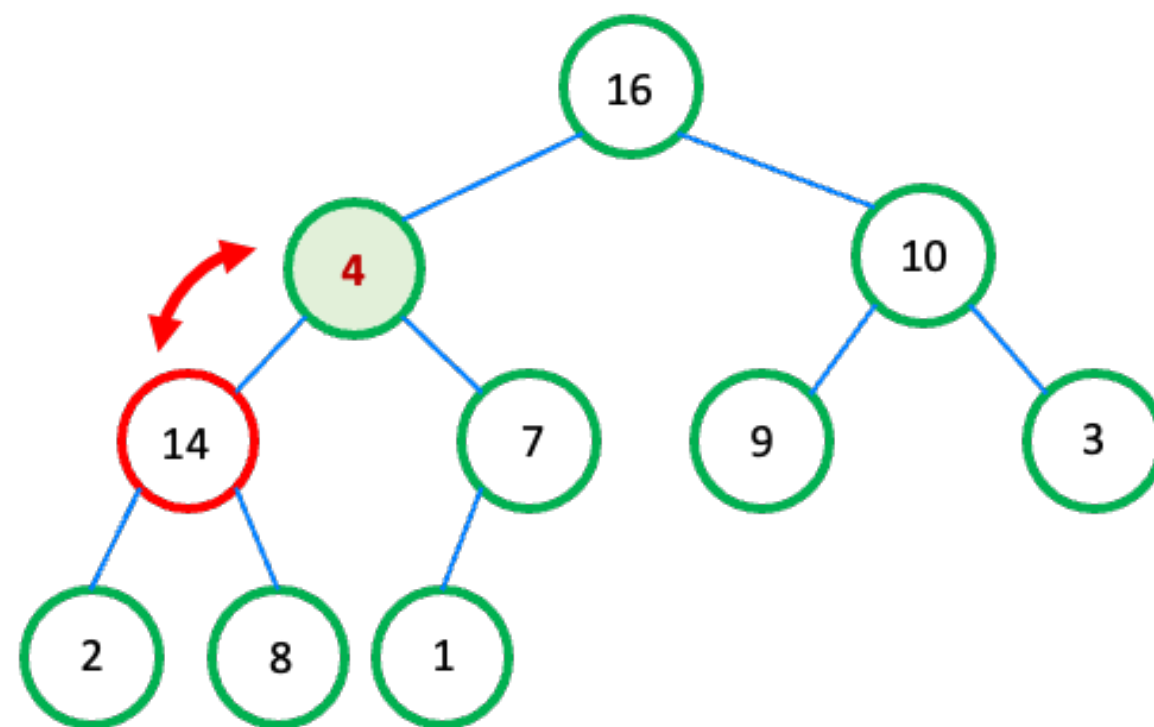
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Heap

Definition

- **Max Heapify**
 - the value at $A[i]$ “**float down**” in the max-heap, so that the subtree rooted at index i obeys the **max-heap property**



MAX-HEAPIFY(A, i)

```

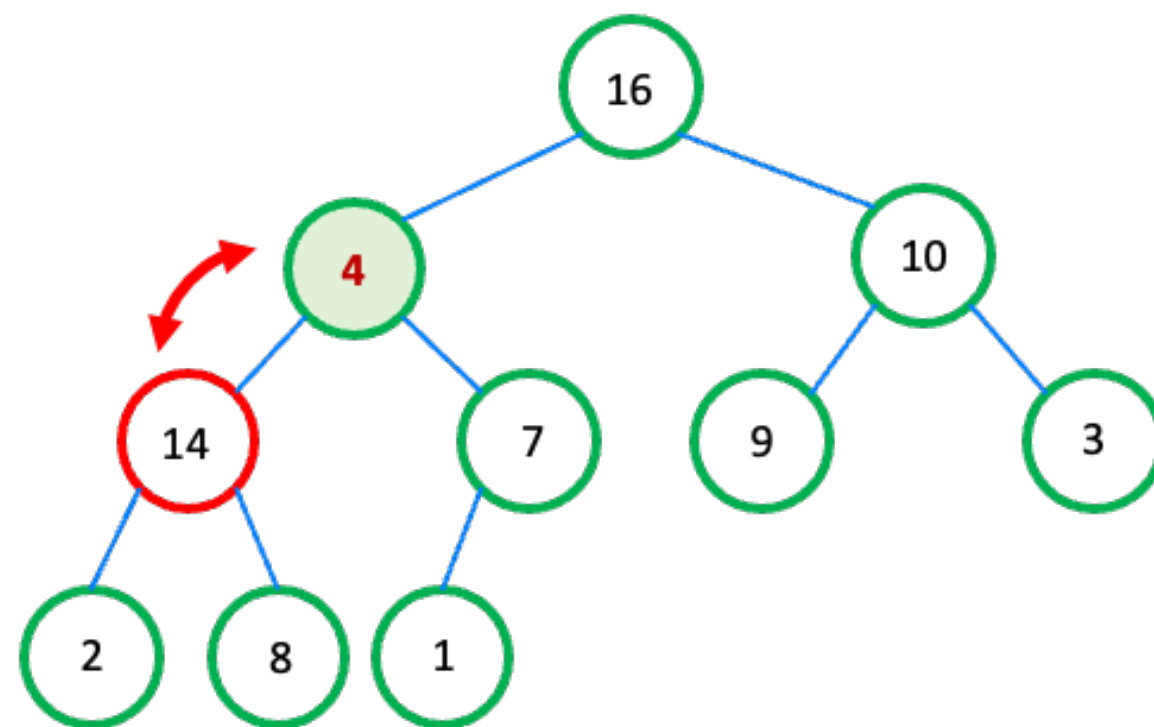
1   $l = \text{LEFT}(i)$ 
2   $r = \text{RIGHT}(i)$ 
3  if  $l \leq A.\text{heap-size}$  and  $A[l] > A[i]$ 
4       $\text{largest} = l$ 
5  else  $\text{largest} = i$ 
6  if  $r \leq A.\text{heap-size}$  and  $A[r] > A[\text{largest}]$ 
7       $\text{largest} = r$ 
8  if  $\text{largest} \neq i$ 
9      exchange  $A[i]$  with  $A[\text{largest}]$ 
10     MAX-HEAPIFY( $A, \text{largest}$ )

```

Heap

Definition

- **Max Heapify**
 - the value at $A[i]$ “**float down**” in the max-heap, so that the subtree rooted at index i obeys the **max-heap property**



MAX-HEAPIFY(A, i)

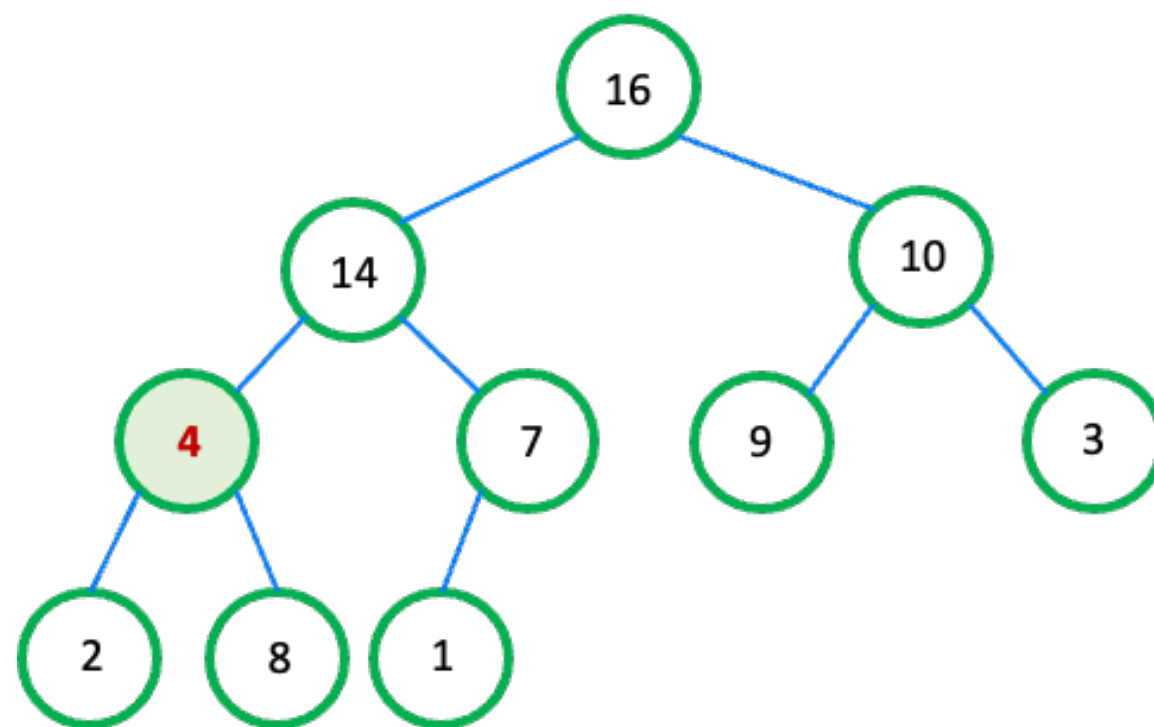
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Heap

Definition

- **Max Heapify**
 - the value at $A[i]$ “**float down**” in the max-heap, so that the subtree rooted at index i obeys the **max-heap property**



MAX-HEAPIFY(A, i)

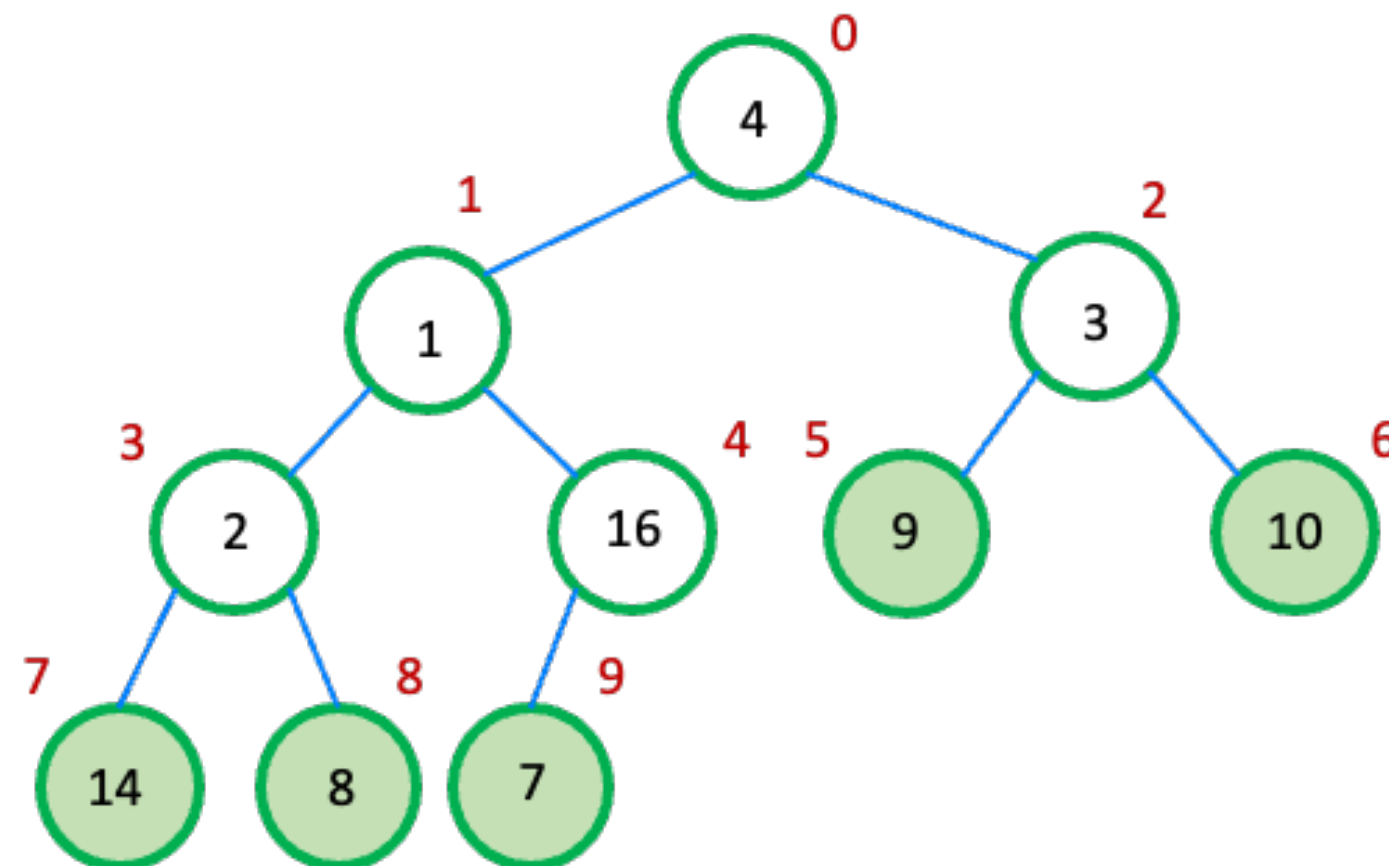
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9      exchange  $A[i]$  with  $A[\text{largest}]$ 
10     MAX-HEAPIFY( $A, \text{largest}$ )
  
```

Heap

Definition

- **Building Max-Heap**
 - by calling `max_heapify` in a bottom-up manner



BUILD-MAX-HEAP(A, n)

```

1   $A.heap-size = n$ 
2  for  $i = \lfloor n/2 \rfloor$  downto 1
3      MAX-HEAPIFY( $A, i$ )
  
```

Priority Queue

Definition

- Queue
 - First In First Out (FIFO)



Priority Queue

Definition

- **Priority Queue with Heaps**
 - Each element with an associated value called a key (priority)
 - Queue order defined by **key values**, not FIFO
 - Max-heap: higher key value = higher priority

Priority Queue

Definition

- **Priority Queue with Heaps**
 - ENQUEUE: insert to queue
 - DEQUEUE: extract from queue
 - INCREASE-KEY: modify priority

Priority Queue

Definition

- **Priority Queue with Heaps**
 - ENQUEUE: insert to queue
 - DEQUEUE: extract from queue
 - **INCREASE-KEY**: modify priority

“Move up”
swap($A[i]$, $A[\text{parent}(i)]$)

```
MAX-HEAP-INCREASE-KEY( $A, x, k$ )
1  if  $k < x.key$ 
2      error “new key is smaller than current key”
3   $x.key = k$ 
4  find the index  $i$  in array  $A$  where object  $x$  occurs
5  while  $i > 1$  and  $A[\text{PARENT}(i)].key < A[i].key$ 
6      exchange  $A[i]$  with  $A[\text{PARENT}(i)]$ , updating the information that maps
           priority queue objects to array indices
7       $i = \text{PARENT}(i)$ 
```

Priority Queue

Definition

- **Priority Queue with Heaps**
 - ENQUEUE: insert to queue
 - **DEQUEUE**: extract from queue
 - INCREASE-KEY: modify priority

MAX-HEAP-EXTRACT-MAX(A)

```
1   $max = \text{MAX-HEAP-MAXIMUM}(A)$   
2   $A[1] = A[A.heap-size]$   
3   $A.heap-size = A.heap-size - 1$   
4  MAX-HEAPIFY( $A, 1$ )  
5  return  $max$ 
```

Priority Queue

Definition

- **Priority Queue with Heaps**
 - **ENQUEUE**: insert to queue
 - **DEQUEUE**: extract from queue
 - **INCREASE-KEY**: modify priority

```
MAX-HEAP-INSERT( $A, x, n$ )
1  if  $A.heap-size == n$ 
2      error “heap overflow”
3   $A.heap-size = A.heap-size + 1$ 
4   $k = x.key$ 
5   $x.key = -\infty$ 
6   $A[A.heap-size] = x$ 
7  map  $x$  to index  $heap-size$  in the array
8  MAX-HEAP-INCREASE-KEY( $A, x, k$ )
```


Priority Queue

Example

- `#include <queue>`
 - `priority_queue<pair<int, int>> pq;`
 - **ENQUEUE:** `pq.push`
 - **DEQUEUE:** `pq.pop`

Meeting Room Allocation Problem

meeting.cpp

- Given a list of intervals representing meeting times, find the minimum number of meeting rooms required.
 - Key Idea: Manage room allocation efficiently with a priority queue of end times.

```
intervals = {{0, 30}, {5, 10}, {15, 20}}
```

```
Minimum number of meeting rooms required: 2
```