

CSI 873 Fall 2017 Midterm

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1 Code Artificial Neural Net

I coded the Artificial Neural Net (ANN) in python following the algorithm for stochastic gradient descent in TABLE 4.2 from the text on page 98. I implemented the python using looping structures for the main parts of the algorithm and matrix mathematics using the python numpy library. My results were consistent with the graphs shown in the text on page 110. I provided the following set of parameters that are adjustable at the command line when invoking the application.

```
**Network Parameters**
```

```
input: number of input units
```

```
hidden: number of hidden units
```

```
output: number of output units
```

```
**Training Parameters**
```

```
train: number of training images to use
```

```
valid: number of validation images to use
```

```
test: number of test images to use
```

```
epochs: number of full training set run throughs
```

```
**Hyperparameters**
```

```
lrrate: this is the factor for learning rate
```

```
momentum: this is the momentum term added to weight update
```

```
stop: This is the stopping criteria a threshold of percent drop in validation  
error per iteration
```

****Future implementation****

rate_decay: used for annealing the learning rate using a 1/t decay using the mathematical form $\alpha = \alpha_0 / a_0 + (a_0 + a_0 * \text{rate_decay})$

I also included a parameter that I will be adding in at a future date called ratedecay. I am hoping that this will have a limiting effect on training set overfitting after a large number of weight updates. I researched various methodologies to improve performance such as this annealing of the learning rate using a 1/T or a step methodology. The ANN algorithm is based directly from the Tom Mitchell text. Additional research for mitigating overfitting is from the Stanford Unsupervised Feature Learning Deep Learning web site (http://deeplearning.stanford.edu/wiki/index.php/UFLDL_Tutorial)

I also researched various algorithms for the sigmoid unit and settled on the sigmoid presented in the text.

$$f(z) = \frac{1}{1 + \exp(-z)}$$

The other function that I explored was the tanh() function. This is a little tricky but easily overcome in that it has a range of [-1,1] while the sigmoid range is [0,1]. The tanh function is shown below:

$$f(z) = \tanh(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$

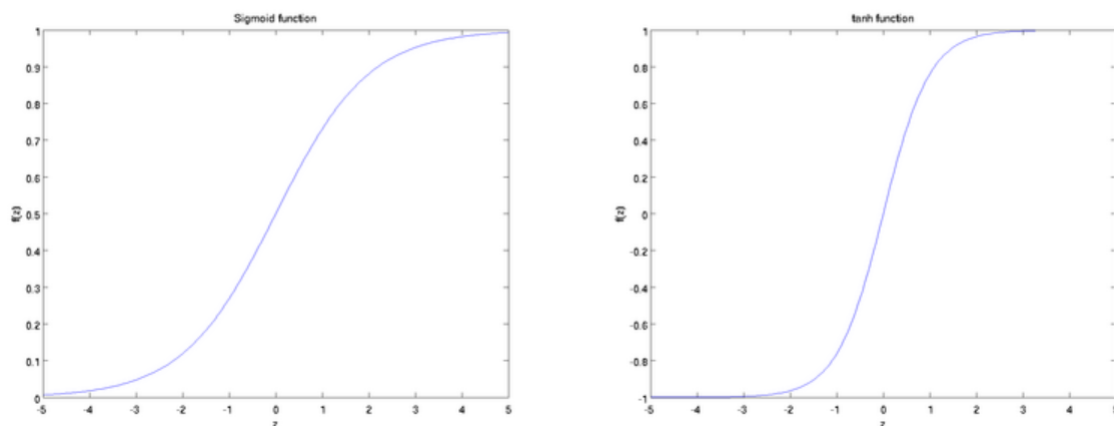


Figure 1: The Sigmoid and tanh functions

Both of these functions have easy to derive and easy to code derivatives:

Sigmoid Derivative

$$f'(z) = f(z)(1 - f(z))$$

tanh Derivative

$$f'(z) = 1 - (f(z))^2$$

For the midterm implementation, I leveraged the standard sigmoid function and derivative. I also coded a more robust version to make this function a bit more robust as I would have a tendency to run into overflow errors as the exponential term reached very large negative numbers. In later iterations of the code this was not needed as I had better control on the weights. The code that corrects these issues is shown below:

```
def sigmoid(z):
    try:
        if (z < 0):
            sig = 1.0 - 1.0/(1.0 + math.exp(z))
        else:
            sig = 1.0 / (1.0 + math.exp(-z))
    except OverflowError:
        if (z > 0):
            sig = 0.0000001
        else:
            sig = 1000000
    return sig
```

The code contained in the class NeuralNet contains four major functions matching the four steps defined in the text on Table 4-2 on page 98. The driver code reads in the data files, executes the methods first for training, then for validation, and finally for running the test set through for final results.

2 How to run the code

The python version is the current version of Anaconda which is Python 3.6.2. The code uses command line arguments that are optional and are passed into the program using the following flags:

An example to run trial number one is:

```
python csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -e 30 -t 4000 -v 500
-x 890
-l 0.1 -m 0.1 -s 0.001
```

Table 1: Arguments for the program csi873bgoldfeder.py

flag	description	example
-f	path to data	C:/csi873/data
-i	# hidden nodes	3
-e	# epochs to run	10
-t	# of training images per written number	100
-v	# validation images per written number	50
-x	# test images per written number	3
-l	learning rate	0.3
-m	momentum	0.3
-s	stop criteria	0.0005

3 Successful Trial Run with limited data set

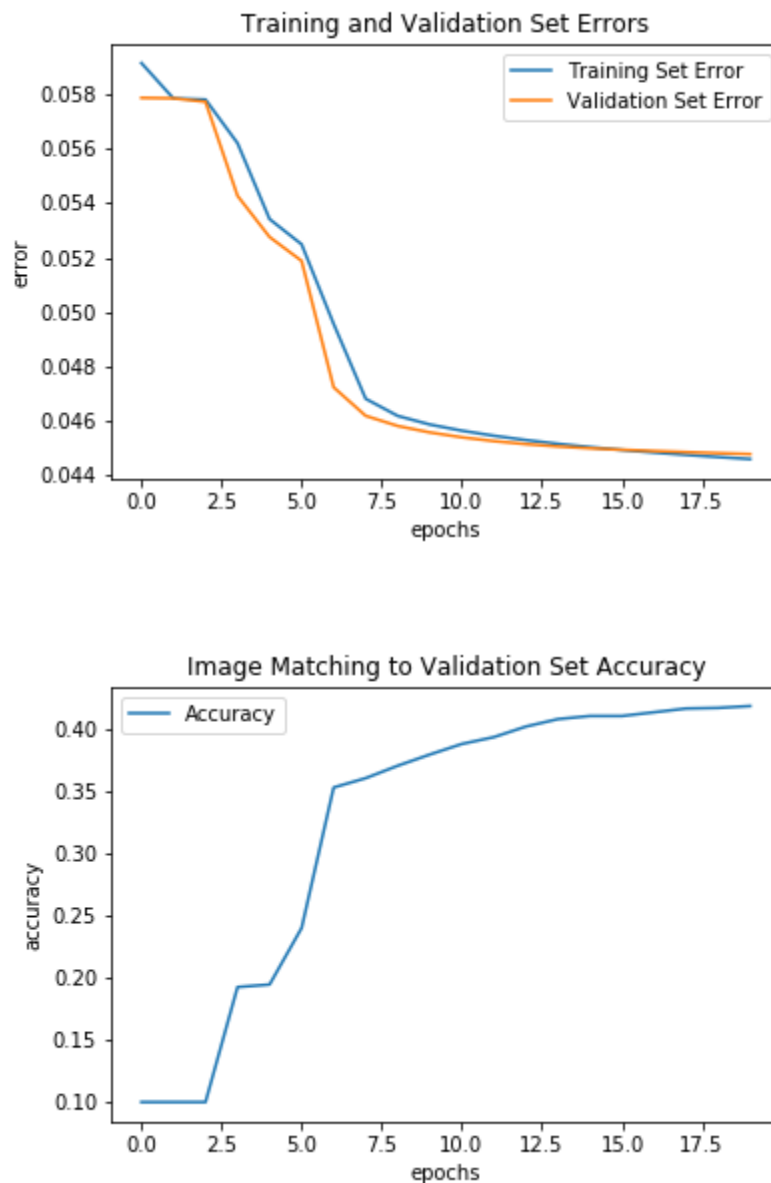
A successful trial run that indicated to me the ANN was running well is shown below using the following set of input parameters:

```

input      ==>      784
hidden     ==>       3
output     ==>      10
number of training images ==>      3000
number of validation images ==>      2000
number of test images ==>      2000
epochs     ==>       20
learn_rate ==>      0.10
momentum   ==>      0.10

```

Although the final accuracy rate was not the greatest, the behavior shown in both sets of output graphs validated that the ANN was iteratively reducing both the training set and the validation set sum of squared errors per epoch. The accuracy for this first trial came to 39.9% accuracy for the test set. The plots for the error and accuracy during training are shown below. Even with this small sample we are observation the positive reduction of training errors and iterative increase in accuracy of the validation set per epoch. I even noticed a slight start of overfitting in the error plot where the training error starting falling below the validation error.

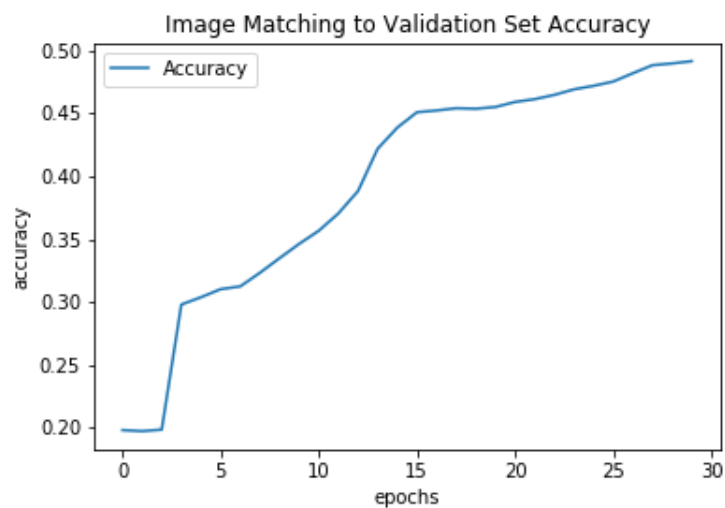
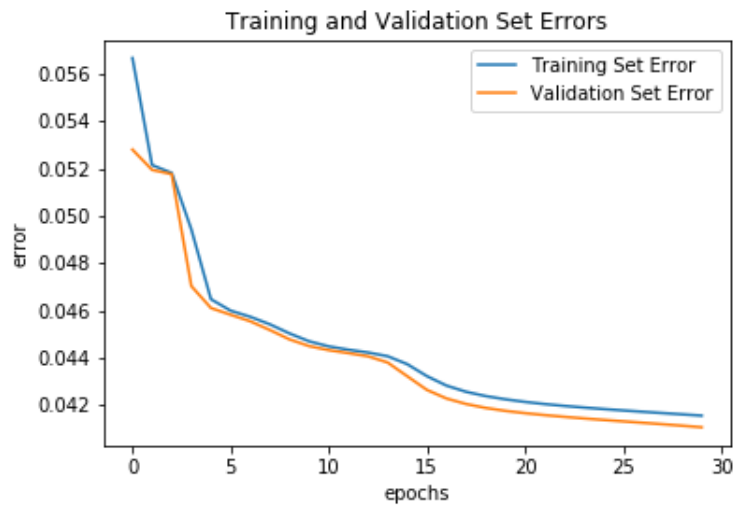


4 Trial One - 3 hidden nodes

To make this and later trials, for 2 and 3 nodes respectively, I increased the training sample size to 4000, the validation set size to 500, and the test set size to 890. I increased the number of epochs to 30. In addition, the initial runs went very quickly to overfitting, to counter this I lowered the learning rate to 0.03. This is due to the fact that the number of input images increased by a factor of 10, I inferred that the impact of these parameters would need to be mitigated proportionately. The output is as follows:

input ==> 784

hidden ==> 3
output ==> 10
number of training images ==> 40000
number of validation images ==> 5000
number of test images ==> 8900
epochs ==> 30
learn_rate ==> 0.03
momentum ==> 0.10
Final Test results of 4301 out of 8900 accuracy is 0.4832584269662921



This provided an improvement over the smaller data set providing 48.3% accuracy over the test set. Lowering the learning rate had the positive effect of mitigating the overfitting, but I observe that I can raise it a little or I can add epochs of training.

4.a 95% Confidence Interval

The result set was 4301 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

4301 correct responses out of 8900 = .4833

$$\sigma_{error_s(h)} = \sqrt{\frac{.4833(.5167)}{8900}} = .005297$$

Applying the formula

$$\mu \pm z_N \sigma; 1.96 \times .005297 = .01038$$

giving $.4833 \pm .01038$; a 95% confidence interval of [.4729,.4937]

5 Trial Two - Two hidden nodes

```
csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -i 2 -e 30 -t 4000 -v 500 -x 890
-l 0.05 -m 0.1
```

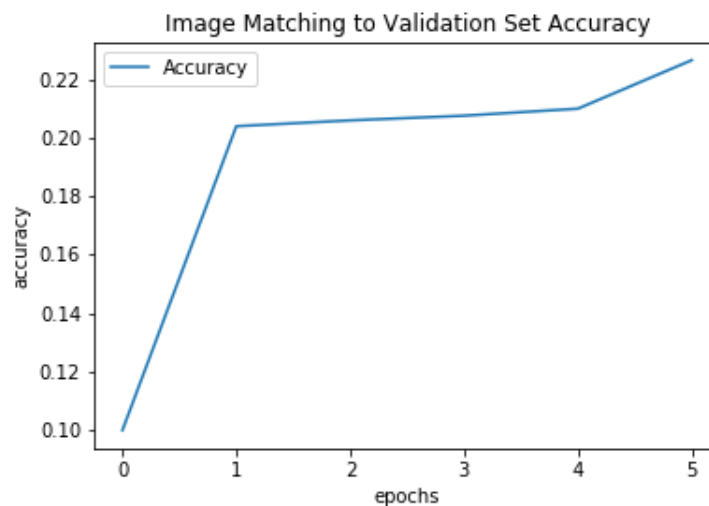
In this trial the number of hidden nodes was reduced from three to two hidden nodes. I also bumped up the learning rate from .03 to .05 to see if that accelerates the learning rate and I can observe the cross-over of the training and the validation errors indicating overfitting.

The parameters used are shown below:

```
input      ==>      784
hidden     ==>       2
output     ==>     10
number of training images ==> 40000
number of validation images ==> 5000
number of test images ==> 8900
epochs     ==>      30
learn_rate ==>     0.05
```

```
momentum ==> 0.10  
stopping criteria ==> 0.00050
```

By limiting the number of hidden units down to two, the output seemed to become limited due to the lack of expression ability from just the two hidden units. This trial ended very early by hitting the stopping criteria on the 5th iteration. Understandably the accuracy of 22.49% was about half of the prior trial using three hidden units. The graphs are shown below:



5.a 95% Confidence Interval

The result set was 2002 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

2002 correct responses out of 8900 = .2249

$$\sigma_{error_s(h)} = \sqrt{\frac{.2249(.7751)}{8900}} = .004426$$

Applying the formula

$$\mu \pm z_N \sigma; 1.96 \times .004426 = .008675$$

giving $.2249 \pm .008675$; a 95% confidence interval of [.2163,.2336]

6 Trial 3: Four Hidden Units

```
csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -i 4 -e 30 -t 4000 -v 500 -x 890
-l 0.05 -m 0.1
```

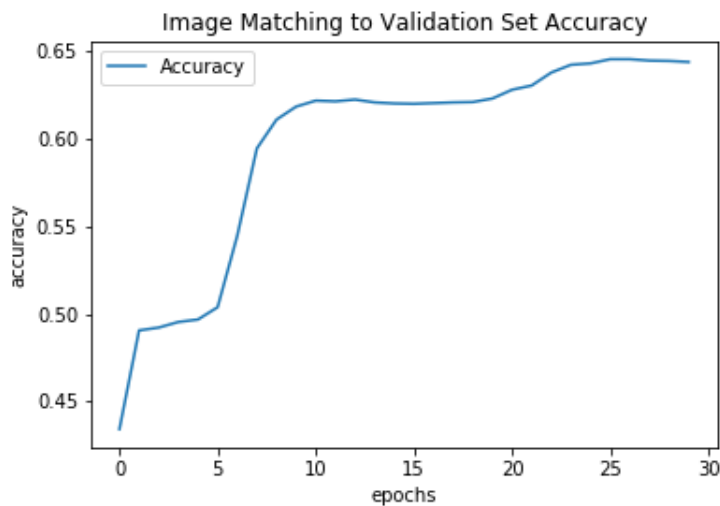
For this last trial the number of hidden units is increased to four. I left all other parameters the same to include the stopping criteria of .05% drop in Validation Error rate (this is the default setting).

The following parameters were used:

```
input      ==>      784
hidden     ==>       4
output     ==>     10
number of training images ==> 40000
number of validation images ==> 5000
number of test images ==> 8900
epochs     ==>      30
learn_rate ==>     0.05
momentum   ==>     0.10
stopping criteria ==> 0.00050
```

The addition of two additional hidden nodes from the previous trial nearly tripled the test accuracy. An interesting observation is that the stopping criteria did not get triggered using the threshold percentage

drop of .05% decrease in validation error. A higher learning rate could impact this or an increase in the number of epochs. From the graphs below it appears that additional accuracy could have been gained with either of these approaches. The momentum appears to have popped the validation set accuracy in two locations on the graph where major leaps in accuracy occurred leading to the final more gradual climb at the end. The graphs are shown below:



6.a 95% Confidence Interval

The result set was 5689 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

5689 correct responses out of 8900 = .6392

$$\sigma_{error_s(h)} = \sqrt{\frac{.6392(.7751)}{8900}} = .005090$$

Applying the formula

$$\mu \pm z_N \sigma; 1.96 \times .005090 = .009976$$

giving $.6392 \pm .009976$; a 95% confidence interval of [.6292,.6492]

```
1 # -*- coding: utf-8 -*-
2 """
3 Created on Wed Oct 18 18:29:16 2017
4
5 @author: Bruce Goldfeder
6         CSI 873
7         Fall 2017
8         Midterm
9 """
10
11 import os,sys
12 import math
13 import numpy as np
14 import matplotlib.pyplot as plt
15
16 def sigmoid2(z):
17     try:
18         if (z < 0):
19             sig = 1.0 - 1.0/(1.0 + math.exp(z))
20         else:
21             sig = 1.0 / (1.0 + math.exp(-z))
22     except OverflowError:
23         if (z > 0):
24             sig = 0.01
25         else:
26             sig = 100
27     return sig
28
29 def sigmoid(z):
30     sig = 1.0 / (1.0 + math.exp(-z))
31     return sig
32
33 def ReadInFiles(path,trnORtst):
34     # This reads in all the files from a directory filtering on what the file
35     # starts with
36     fullData = []
37     fnames = os.listdir(path)
38     for fname in fnames:
39         if fname.startswith(trnORtst):
40             print (fname)
41             data = np.loadtxt(path + "\\" + fname)
42             fullData.append(data)
43     #numFiles = len (fullData)
44     #print(numFiles)
45
46     return fullData
47
```

```

48 def ReadInOneList(fullData,maxRows):
49     # This function combines all of the data into one array for ease of use
50     # It contains a capping ability to configure how many results to use
51     allData = []
52     numFiles = len (fullData)
53     for j in range (numFiles):
54         # allows for smaller data set sizes
55         numRows = len (fullData[j])
56         #print('numrows,maxrows ',numRows,maxRows)
57         if (maxRows < numRows):
58             numRows = maxRows
59
60         for k in range(numRows):
61             allData.append(fullData[j][k])
62     return np.asarray(allData)
63
64 def ReadInValidList(fullData,start,end):
65     # This function combines all of the data into one array for ease of use
66     # It contains a capping ability to configure how many results to use
67     allData = []
68     numFiles = len (fullData)
69     for j in range (numFiles):
70         # allows for smaller data set sizes
71         numRows = len (fullData[j])
72         if numRows < start + end :
73             print('Starting at ' + str(start) + ' there are not ' + str(end) + \
74                 ' images in the data set. Please retry')
75             sys.exit()
76         for k in range(start,end):
77             allData.append(fullData[j][k])
78     return np.asarray(allData)
79
80
81 def HeatMap(numberIn):
82     #heat map to show numbers
83     plt.matshow(numberIn.reshape(28,28))
84     plt.colorbar()
85     plt.show()
86
87 class NeuralNet(object):
88
89     def __init__(self, input, hidden, output, inNum, valNum, tstNum, epochs, lrn_rate,
90                 momentum,stop):
91         """
92         **Network Parameters**
93         input: number of input units
94         hidden: number of hidden units

```

```

94     output: number of output units
95
96     **Training Parameters**
97     inNum: number of images to run
98     epochs: number of full training set run throughs
99
100    **Hyperparameters**
101    lrn_rate: this is the factor for learning rate
102    momentum: this is the momentum term added to weight update
103    rate_decay: used for annealing the learning rate using a 1/t decay using
104                the mathematical form  $\alpha = \alpha_0 / (a_0 + a_0 * \text{rate\_decay})$ 
105
106    """
107    # Training Parameters
108    self.inNum = inNum
109    self.valNum = valNum
110    self.tstNum = tstNum
111    self.epochs = epochs
112
113    # Hyperparameters
114    self.lrn_rate = lrn_rate
115    self.momentum = momentum
116
117    # initialize arrays
118    self.input = input # add 1 for bias node
119    self.hidden = hidden # This bias node add causes trouble
120    self.output = output
121
122    # set up arrays for the outputs of the nodes
123    self.ai = np.ones(self.input) # removes the threshold input
124    #print('ai shape ',self.ai.shape)
125    self.ah = np.ones(self.hidden) # removes the threshold input
126    self.ao = np.ones(self.output)
127
128    # create random weights between -0.05 and 0.05 as per text on pg. 98
129    # plus one for the bias/threshold unit
130    self.wHidThresh = np.random.uniform(-0.05, 0.05, size = (self.hidden))
131    self.wOutThresh = np.random.uniform(-0.05, 0.05, size = (self.output))
132
133    self.wi = np.random.uniform(-0.05, 0.05, size = (self.input, self.hidden))
134    self.wo = np.random.normal(-0.05, 0.05, size = (self.hidden, self.output))
135
136    # temporary arrays to hold the numbers to be updated each iteration
137    self.ci = np.zeros((self.input, self.hidden))
138    self.co = np.zeros((self.hidden, self.output))
139
140    # Error array to capture the output array for each training output unit

```

```

141     self.outUnitErr = np.zeros((self.inNum,self.output))
142
143     # Error array to capture the output array for each training output unit
144     self.outValErr = np.zeros((self.valNum,self.output))
145
146     # Error array to capture the output array for each training output unit
147     self.outTstErr = np.zeros((self.tstNum,self.output))
148
149     # String to append to files to identify experiment parameters
150     self.expName = 'in' + str(self.input) + 'hi' + str(self.hidden) + \
151         'out' + str(self.output) + 'lr' + str(self.lrn_rate) + \
152         'mo' + str(self.momentum) + 'trN' + str(self.inNum) + \
153         'vN' + str(self.valNum) + \
154         'tsN' + str(self.tstNum) + 'ep' + str(self.epochs)
155
156     # Set the stopping criteria which is the percent drop in Validation
157     # from the current iteration to the previous
158     self.stop = stop
159
160     print("name " + self.expName)
161
162     def print_params(self):
163
164         print ('%-10s ==> %10d' % ('input', self.input))
165         print ('%-10s ==> %10d' % ('hidden', self.hidden))
166         print ('%-10s ==> %10d' % ('output', self.output))
167         print ('%-10s ==> %10d' % ('number of training images', self.inNum))
168         print ('%-10s ==> %10d' % ('number of validation images', self.valNum))
169         print ('%-10s ==> %10d' % ('number of test images', self.tstNum))
170         print ('%-10s ==> %10d' % ('epochs', self.epochs))
171         print ('%-10s ==> %10.2f' % ('learn_rate', self.lrn_rate))
172         print ('%-10s ==> %10.2f' % ('momentum', self.momentum))
173         print ('%-10s ==> %10.5f' % ('stopping criteria', self.stop))
174
175     def makeTargetArray(self,answer):
176         tk = int(answer)
177         #print('tk is ', tk)
178         # Make the trained output 0.1 for 0 and 0.9 for 1 as per the text
179         # from the first paragraph on the top of page 115
180         tkArray = np.add(np.zeros(10),0.1)
181         tkArray[tk]= 0.9
182         #print("answer array is ",tkArray)
183
184         return tkArray
185
186     def feedForward(self,image,answer):
187

```

```

188     #print (input,len(inputs))
189     """
190     The feedforward algorithm propogates the input forward through the network
191     It calculates the net by summing from one to n the weights (including bias
192     w0) times the value sigma term for each node in the hidden and output
193     layers. This is then used as the negative exponent value in the sigmoid
194     function
195         net = Sigma[i=0,n]wi*xi
196         ah = sigmoid(net) = 1/(1 + e**(-net))
197     """
198     #self.ai[0] = 1.0 # This is the threshold for every hidden unit
199     self.ai = image # remember to account for actual value in 0th index
200
201     # hidden activations
202
203     for j in range(self.hidden):
204         sum = self.wHidThresh[j]
205         for i in range(self.input):
206             prodAW = self.ai[i] * self.wi[i][j]
207             sum += prodAW
208         #print ('sum is ',sum)
209         self.ah[j] = sigmoid(sum) #what about using tanh here?
210
211     # output activations
212     for k in range(self.output):
213         sum = self.wOutThresh[k]
214         for j in range(self.hidden):
215             prodAW0 = self.ah[j] * self.wo[j][k]
216             sum += prodAW0
217         self.ao[k] = sigmoid(sum)
218
219     def calcDeltaKO(self,answer):
220         """
221         Calculates the delta_k for each output unit using the formula from the text
222         delta_k = ouput_k * (1-ouput_k)*(target_k - output_k)
223         """
224         tkArray= self.makeTargetArray(answer)
225
226         delta_k = np.zeros(self.output)
227
228         # Use the derivative of sigmoid times actual - observed
229         for y in range(self.output):
230             delta_k[y] = self.ao[y] * (1-self.ao[y]) * (tkArray[y] - self.ao[y])
231             #print ('tkarray[y] ',tkArray[y], ' ao[y] ',self.ao[y], ' sub ',tkArray[y] - self.
232                 ao[y])
233

```



```

234     return delta_k
235
236 def calcDeltaKH(self,d_k):
237     """
238     Calculates the delta_h for each hidden unit using the formula from the text
239     delta_h = output_h * (1-output_h) * Sum(weight_kh * delta_ko)
240     ah is the output of hidden units
241     w_kh is the weight of hidden units or the array in main 'wi'
242     d_k is the delta_k returned from the function 'calcDeltaK0' for output nodes
243     """
244
245     # Use derivative term for sigmoid applied to the hidden outputs
246     delta_h1 = np.zeros(self.hidden) # first part of equation
247     delta_h = np.zeros(self.hidden) # output of equation
248     # corrected - switched rows and columns
249     for hid in range(self.hidden):
250         sum = 0.0 # question should this be the threshold value?
251         delta_h1[hid] = self.ah[hid] * (1 - self.ah[hid])
252         #print('y is ',y)
253         # This calculates the second part of equation summing over this hidden
254         # node the product of the weight_kh*delta_k of the output node
255         for out in range(self.output):
256             #print('x is ',x)
257
258             # the product of the weight_kh*delta_k of the output node
259             delta_h2 = self.wo[hid,out] * d_k[out]
260             # Sum up all weight*dk for all output this hidden node touches
261             sum += delta_h2
262             #print(sum)
263
264         # Calculate the derivative times the sum of the w_kh * delta_k
265         dh = delta_h1[hid] * sum
266         #print('delta h is ',dh)
267         delta_h[hid] = dh
268
269     #print('delta_h is: ',delta_h,' shape is ',delta_h.shape)
270     return delta_h
271
272 def updateWeights(self,answer,d_ko,d_kh):
273     """
274     The weights are updated using the formula from the text
275     w_ji <-- w_ji + Delta(w_ji)
276
277     where
278     Delta(w_ji) = n*delta_j*x_ji
279     """
280     # update the weights connecting hidden to output

```

```

281     # the co array represents the n-1 or prior iteration delta value
282     for j in range(self.hidden):
283         for k in range(self.output):
284             delta = self.lrn_rate * d_ko[k] * self.ah[j] + (self.momentum * self.co[j][k]
285                 ])
286             #print('delta is ',delta)
287             self.wo[j][k] += delta
288             self.co[j][k] = delta
289
290     # update the weights connecting input to hidden
291     for i in range(self.input): # add in w0 threshold term
292         for j in range(self.hidden): # add in w0 threshold term
293             delta = self.lrn_rate * d_kh[j] * self.ai[i] + (self.momentum * self.ci[i][j]
294                 ])
295             self.wi[i][j] += delta
296             self.ci[i][j] = delta
297
298     # to save time and complexity these are 3 different functions
299     #TODO refactor to one function
300     def calculateError(self,num,answer):
301
302         tkArray = self.makeTargetArray(answer)
303         for out in range(self.output):
304             self.outUnitErr[num][out] = (tkArray[out] - self.ao[out])**2
305
306     def calculateValErr(self,num,answer):
307
308         tkArray = self.makeTargetArray(answer)
309         for out in range(self.output):
310             self.outValErr[num][out] = (tkArray[out] - self.ao[out])**2
311
312     def calculateTstErr(self,num,answer):
313
314         tkArray = self.makeTargetArray(answer)
315         for out in range(self.output):
316             self.outTstErr[num][out] = (tkArray[out] - self.ao[out])**2
317
318     def plotErrorperNum(self):
319
320         plt.imshow(self.outUnitErr[:,:])
321         plt.xlabel('iterations')
322         plt.ylabel('error')
323         plt.title('Sum of squared errors for each output unite')
324         plt.grid(True)
325         plt.savefig("test.png")
326         plt.show()

```

```
326 def plotErrList(self, errTrn, errTst):
327     plt.figure()
328     plt.ylabel('error')
329     plt.xlabel('epochs')
330     ax = plt.subplot(111)
331     ax.plot(errTrn, label='Training Set Error')
332     ax.plot(errTst, label='Validation Set Error')
333
334     plt.title('Training and Validation Set Errors')
335     ax.legend()
336     plt.savefig('pics/errPlots_' + self.expName + '.png')
337     plt.show()
338
339 def plotAccList(self, accList):
340     plt.figure()
341     plt.ylabel('accuracy')
342     plt.xlabel('epochs')
343     ax = plt.subplot(111)
344     ax.plot(accList, label='Accuracy')
345
346     plt.title('Image Matching to Validation Set Accuracy')
347     ax.legend()
348     plt.savefig('pics/accPlot_' + self.expName + '.png')
349     plt.show()
350
351 def driver(dpath, inNodes, outNodes, hidNodes, epochs, trnNum, valNum, tstNum, lrnRate, momentum, stop
):
352
353     # Read in the Training data first
354     dataset = ReadInFiles(dpath, 'train')
355     my_data = ReadInOneList(dataset, trnNum)
356
357     # Convert the 0-255 to 0 through 1 values in data
358     my_data[:, 1:] /= 255.0
359     #HeatMap(my_data[40, 1:])
360
361     # randomize the rows for better training
362     np.random.shuffle(my_data)
363     inNum, cols = my_data.shape
364     just_img_data = my_data[:, 1:]
365     answer = my_data[:, 0]
366
367     # Create the Validation data
368     my_valid = ReadInValidList(dataset, tstNum, tstNum+valNum)
369     my_valid[:, 1:] /= 255.0
370     valNum, valCols = my_valid.shape
371     #print('val num is ', valNum)
```

```
372 just_valid_data = my_valid[:,1:]
373 answerValImg = my_valid[:,0]
374 #print('array of answerws to follow')
375 #print(answerValImg)
376
377 # Read in the test data
378 #dpath2 = os.getcwd()+'\data3'
379 dataset2 = ReadInFiles(dpath,'test')
380 my_test = ReadInOneList(dataset2,tstNum)
381
382 tstNum,cols = my_test.shape
383 #print('num rows ',tstNum)
384
385 # Convert the 0-255 to 0 through 1 values in data
386 my_test[:,1:] /= 255.0
387
388 just_test_data = my_test[:,1:]
389 answerImg = my_test[:,0]
390
391 myNet = NeuralNet(inNodes, hidNodes, outNodes, inNum, valNum, tstNum, epochs,lrnRate,
    momentum,stop)
392 myNet.print_params()
393
394 trnErrorList = []
395 trnValErrList = []
396 tstErrList = []
397 accList = []
398
399 # Iterate over the number of epochs of data to run
400 for eps in range(myNet.epochs):
401     print("Training epoch ",eps)
402     # Iterate over the range of total images for training
403     for imgNum in range(inNum):
404         myNet.feedForward(just_img_data[imgNum:],answer[imgNum])
405
406         # Calculate the error term deltaKO for each output unit
407         deltaKO = myNet.calcDeltaKO(answer[imgNum])
408         #print('deltaKO is: ',deltaKO,' shape is ',deltaKO.shape)
409
410         # Calculate the error term deltaKH for each hidden unit
411         deltaKH = myNet.calcDeltaKH(deltaKO)
412         #print ('delta h is ',deltaKH)
413
414         # Update the weights for output and hidden weight sets
415         myNet.updateWeights(answer[imgNum],deltaKO,deltaKH)
416
417         # Calculate the error per image per output unit
```

```

418         myNet.calculateError(imgNum,answer[imgNum])
419
420     # Output the training set error
421     errPerEpoch = np.sum(myNet.outUnitErr,dtype='float')
422     trnErrorList.append(errPerEpoch/(inNum*10.0))
423     print("Total Training Error for epoch ",eps," is ",errPerEpoch/(inNum*10.0))
424
425     accuracyList = []
426
427     # Run this epochs trained model against the Validation Set of data
428     for imgNum in range(valNum):
429
430         myNet.feedForward(just_valid_data[imgNum,:],answerValImg[imgNum])
431
432         valAnswer = myNet.ao.argmax(axis=0)
433         #print('Val Answer is ',valAnswer, ' image answer is ',answerValImg[imgNum])
434         if (valAnswer - answerValImg[imgNum] == 0):
435             accuracyList.append(1)
436         else:
437             accuracyList.append(0)
438
439         # Calculate the error for the validation images per output unit
440         myNet.calculateValErr(imgNum,answerValImg[imgNum])
441
442     # Output the Validation set error
443     errValEpoch = np.sum(myNet.outValErr,dtype='float')
444     trnValErrList.append(errValEpoch/(valNum*10.0)) # for the ten digits
445     print("Total Validation Error for epoch ",eps," is ",errValEpoch/(valNum*10.0))
446
447     # Output the Validation set accuracy
448     right = sum(accuracyList)
449     total = len(accuracyList)
450     print('Results of ',right,' out of ',total,' accuracy is ',right/total)
451     accList.append(right/total)
452
453     # for every epoch iteration need to save off weights
454     weights = [myNet.wHidThresh,myNet.wOutThresh,myNet.wi,myNet.wo]
455     np.savez('output/weightEpoch_' + str(eps) + '_' + myNet.expName + '.npz', \
456             wHidThresh=weights[0], \
457             wOutThresh=weights[1], \
458             wi=weights[2], \
459             wo=weights[3])
460
461     # Check for the stopping criteria on Validation Test Set
462     criteriaMet = False
463     if len(trnValErrList) > 1:
464         currErr = trnValErrList[-1]

```

```

465     prevErr = trnValErrList[-2]
466     diffErrRatio = (prevErr - currErr) / prevErr
467     print("{0:.4f}%".format(100.0 * diffErrRatio))
468     if (diffErrRatio < myNet.stop):
469         print("Stopping criteria of ",str(stop)," is more than ",str(diffErrRatio))
470         criteriaMet = True
471
472     if criteriaMet:
473         break
474
475     # Need to run the Test set of data
476     # First find the optimal set of weights from Validation
477     # Find the epoch with the lowest validation set error and then
478     # set the weights in the ANN to those weights for testing
479     # This should only not be the last set if there are very large stopping
480     # criteria which would make the error start to go back up due to
481     # overtraining for example
482     npValErr = np.asarray(trnValErrList)
483     minEpoch = npValErr.argmin(axis=0)
484     print("The epoch with lowest validation error is ",str(minEpoch))
485     optWt = np.load('output/weightEpoch_' + str(minEpoch) + '_' + myNet.expName + '.npz')
486     myNet.wHidThresh = optWt['wHidThresh']
487     myNet.wOutThresh = optWt['wOutThresh']
488     myNet.wi = optWt['wi']
489     myNet.wo = optWt['wo']
490     optWt.close()
491     #print('Weight arrays wHidThresh', myNet.wHidThresh, '\n' \
492     #      'wOutThresh ',myNet.wOutThresh, '\n' \
493     #      'shape of wi ',myNet.wi.shape, '\n' \
494     #      'shape of wo ',myNet.wo.shape)
495
496     # Then run the test images through using the optimal weights
497     tstAccList = []
498     for imgNum in range(tstNum):
499
500         myNet.feedForward(just_test_data[imgNum,:],answerImg[imgNum])
501
502         tstAnswer = myNet.ao.argmax(axis=0)
503         #print('Val Answer is ',valAnswer, ' image answer is ',answerValImg[imgNum])
504         if (tstAnswer - answerImg[imgNum] == 0):
505             tstAccList.append(1)
506         else:
507             tstAccList.append(0)
508
509     # Output the Test set error
510     errTstEpoch = np.sum(myNet.outTstErr,dtype='float')
511     tstErrList.append(errTstEpoch/(tstNum*10.0)) # for the ten digits

```

```

512     print("Final Testing Error is ",errTstEpoch/(tstNum*10.0))
513
514     # Output the Validation set accuracy
515     right = sum(tstAccList)
516     total = len(tstAccList)
517     testAccuracy = right/total
518     print('Final Test results of ',right,' out of ',total,' accuracy is ',testAccuracy)
519
520
521     # Plot output and save plot data to file
522     myNet.plotErrList(trnErrorList,trnValErrList)
523     myNet.plotAccList(accList)
524
525     np.savez('output/plotData_' + myNet.expName + '.npz', \
526             trnErrorList=trnErrorList, \
527             trnValErrList=trnValErrList, \
528             accList=accList, \
529             testAccuracy=testAccuracy)
530
531 if __name__ == "__main__":
532
533     # Parse commjand line options filename, epsilon, and maximum iterations
534     from optparse import OptionParser
535
536     parser = OptionParser()
537     parser.add_option("-f", "--file", dest="filepath", help="Folder path for data")
538     parser.add_option("-i", "--hid", dest="hidNodes", help="Number of Hidden Nodes")
539     parser.add_option("-e", "--epochs", dest="epochs", help="Number of Epochs")
540     parser.add_option("-t", "--train", dest="trnNum", help="Number of Training Images per
        Number")
541     parser.add_option("-v", "--valid", dest="valNum", help="Number of Validation Images per
        Number")
542     parser.add_option("-x", "--test", dest="tstNum", help="Number of Test Images per Number
        ")
543     parser.add_option("-l", "--learn", dest="lrnRate", help="Number of Test Images per
        Number")
544     parser.add_option("-m", "--momentum", dest="momentum", help="Number of Test Images per
        Number")
545     parser.add_option("-s", "--stop", dest="stop", help="Validation Stopping Criteria
        Percentage")
546
547
548     options, args = parser.parse_args()
549
550     if not options.filepath :
551         print("Used default of data" )
552         filepath = os.getcwd()+'\data'

```

```
553     else: filepath = options.filepath
554
555     if not options.hidNodes :
556         print("Used default hidden nodes of 3" )
557         hidNodes = 3
558     else: hidNodes = int(options.hidNodes)
559
560     if not options.epochs :
561         print("Used default epochs = 30" )
562         epochs = 30
563     else: epochs = int(options.epochs)
564
565     if not options.trnNum :
566         print("Used default trnNum = 1000" )
567         trnNum = 4500
568     else: trnNum = int(options.trnNum)
569
570     if not options.valNum :
571         print("Used default valNum = 500" )
572         valNum = 500
573     else: valNum = int(options.valNum)
574
575     if not options.tstNum :
576         print("Used default tstNum = 500" )
577         tstNum = 890
578     else: tstNum = int(options.tstNum)
579
580     if not options.lrnRate :
581         print("Used default lrnRate = 0.5" )
582         lrnRate = 0.5
583     else: lrnRate = float(options.lrnRate)
584
585     if not options.momentum :
586         print("Used default momentum = 0.5" )
587         momentum = 0.5
588     else: momentum = float(options.momentum)
589
590     if not options.stop :
591         print("Used default stop = 0.05%" )
592         stop = 0.0005
593     else: stop = float(options.stop)
594
595     inNodes = 784
596     outNodes = 10
597
598     driver(filepath,inNodes,outNodes,hidNodes,epochs,trnNum,valNum,tstNum,lrnRate,momentum,
           stop)
```