# CSI 873 Fall 2017 Midterm

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#### 1 Code Artificial Neural Net

I coded the Artificial Neural Net (ANN) in python following the algorithm for stochastic gradient descent in TABLE 4.2 from the text on page 98. I implemented the python using looping structures for the main parts of the algorithm and matrix mathematics using the python numpy library. My results were were consistent with the graphs shown in the text on page 110. I provided the following set of parameters that are adjustable at the command line when invoking the application.

#### \*\*Network Parameters\*\*

input: number of input units
hidden: number of hidden units
output: number of output units

#### \*\*Training Parameters\*\*

train: number of training images to use valid: number of validation images to use

test: number of test images to use

epochs: number of full training set run throughs

### \*\*Hyperparameters\*\*

lrnrate: this is the factor for learning rate

momentum: this is the momentum term added to weight update

stop: This is the stopping criteria a threshold of percent drop in validation

error per iteration

\*\*Future implementation\*\*

rate\_decay: used for annealing the learning rate using a 1/t decay using the mathematical form alpha=alpha0/a0+(a0+a0\*rate\_decay)

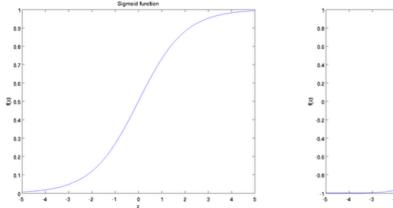
I also included a parameter that I will be adding in at a future date called ratedecay. I am hoping that this will have a limiting effect on training set overfitting after a large number of weight updates. I researched various methodologies to improve performance such as this annealing of the learning rate using a 1/T or a step methodology. The ANN algorithm is based directly from the Tom Mitchell text. Additional research for mitigating overfitting is from the Stanford Unsupervised Feature Learning Deep Learning web site (http://deeplearning.stanford.edu/wiki/index.php/UFLDL\_Tutorial)

I also researched various algorithms for the sigmoid unit and settled on the sigmoid presented in the text.

$$f(z) = \frac{1}{1 + \exp(-z)}$$

The other function that I explored was the tanh() function. This is a little tricky but easily overcome in that it has a range of [-1,1] while the sigmoid range is [0,1]. The tanh function is shown below:

$$f(z) = \tanh(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$



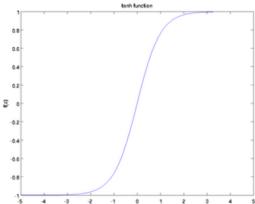


Figure 1: The Sigmoid and tanh functions

Both of these functions have easy to derive and easy to code derivatives: Sigmoid Derivative

$$f'(z) = f(z)(1 - f(z))$$

tanh Derivative

$$f'(z) = 1 - (f(z))^2$$

For the midterm implementation, I leveraged the standard sigmoid function and derivative. I also coded a more robust version to make this function a bit more robust as I would have a tendency to run into overflow errors as the exponential term reached very large negative numbers. In later iterations of the code this was not needed as I had better control on the weights. The code that corrects these issues is shown below:

```
def sigmoid(z):
    try:
        if (z < 0):
            sig = 1.0 - 1.0/(1.0 + math.exp(z))
        else:
            sig = 1.0 / (1.0 + math.exp(-z))
    except OverflowError:
        if (z > 0):
            sig = 0.0000001
        else:
            sig = 1000000
    return sig
```

The code contained in the class NeuralNet contains four major functions matching the four steps defined in the text on Table 4-2 on page 98. The driver code reads in the data files, executes the methods first for training, then for validation, and finally for running the test set through for final results.

#### 2 How to run the code

The python version is the current version of Anaconda which is Python 3.6.2. The code uses command line arguments that are optional and are passed into the program using the following flags:

An example to run trial number one is:

```
python csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -e 30 -t 4000 -v 500
    -x 890
    -1 0.1 -m 0.1 -s 0.001
```

flag description example C:/csi873/data -f path to data # hidden nodes 3 -i # epochs to run 10 -е # of training images per written number 100 -t -v # validation images per written number 50 3 # test images per written number -X -1 0.3 learning rate 0.3-m momentum stop criteria 0.0005-S

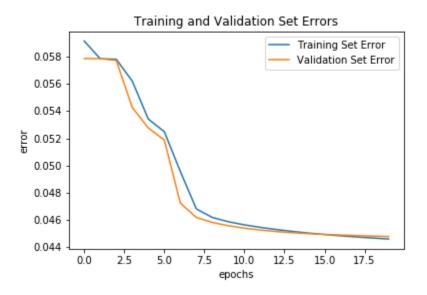
Table 1: Arguments for the program csi873bgoldfeder.py

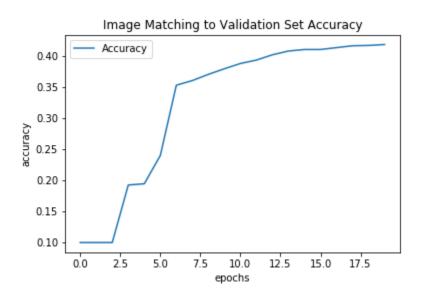
#### 3 Successful Trial Run with limited data set

A successful trial run that indicated to me the ANN was running well is shown below using the following set of input parameters:

```
784
input
                          3
hidden
output
                         10
number of training images ==>
                                       3000
number of validation images ==>
                                         2000
                                  2000
number of test images ==>
epochs
                         20
                      0.10
learn_rate ==>
momentum
                      0.10
```

Although the final accuracy rate was not the greatest, the behavior shown in both sets of output graphs validated that the ANN was iteratively reducing both the training set and the validation set sum of squared errors per epoch. The accuracy for this first trial came to 39.9% accuracy for the test set. The plots for the error and accuracy during training are shown below. Even with this small sample we are observation the positive reduction of training errors and iterative increase in accuracy of the validation set per epoch. I even noticed a slight start of overfitting in the error plot where the training error starting falling below the validation error.



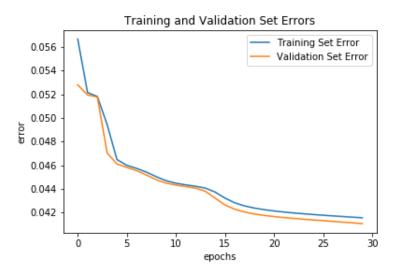


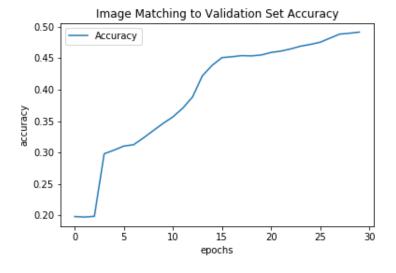
#### 4 Trial One - 3 hidden nodes

To make this and later trials, for 2 and 3 nodes respectively, I increased the training sample size to 4000, the validation set size to 500, and the test set size to 890. I increased the number of epochs to 30. In addition, the initial runs went very quickly to overfitting, to counter this I lowered the learning rate to 0.03. This is due to the fact that the number of input images increased by a factor of 10, I inferred that the impact of these parameters would need to be mitigated proportionately. The output is as follows:

hidden 3 ==> 10 output ==> number of training images ==> 40000 number of validation images ==> 5000 number of test images ==> 8900 epochs ==> 30 learn\_rate ==> 0.03 momentum 0.10

Final Test results of 4301 out of 8900 accuracy is 0.4832584269662921





This provided an improvement over the smaller data set providing 48.3% accuracy over the test set. Lowering the learning rate had the positive effect of mitigating the overfitting, but I observe that I can raise it a little or I can add epochs of training.

### 4.a 95% Confidence Interval

The result set was 4301 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

4301 correct responses out of 8900 = .4833

$$\sigma_{error_s(h)} = \sqrt{\frac{.4833(.5167)}{8900}} = .005297$$

Applying the formula

$$\mu \pm z_N \sigma$$
; 1.96 × .005297 = .01038

giving  $.4833 \pm .01038$ ; a 95% confidence interval of [.4729,.4937]

#### 5 Trial Two - Two hidden nodes

csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -i 2 -e 30 -t 4000 -v 500 -x 890 -l 0.05 -m 0.1

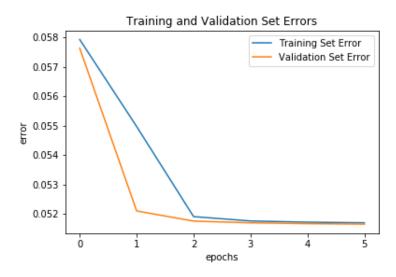
In this trial the number of hidden nodes was reduced from three to two hidden nodes. I also bumped up the learning rate from .03 to .05 to see if that accelerates the learning rate and I can observe the cross-over of the training and the validation errors indicating overfitting.

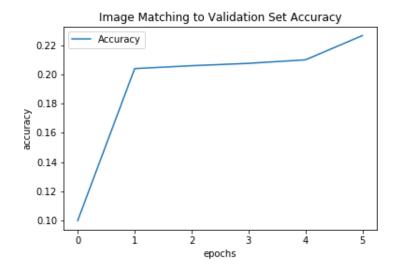
The parameters used are shown below:

```
input
                        784
hidden
                          2
            ==>
                         10
output
            ==>
number of training images ==>
                                      40000
number of validation images ==>
                                         5000
number of test images ==>
                                  8900
epochs
                         30
learn_rate ==>
                      0.05
```

```
momentum ==> 0.10
stopping criteria ==> 0.00050
```

By limiting the number of hidden units down to two, the output seemed to become limited due to the lack of expression ability from just the two hidden units. This trial ended very early by hitting the stopping criteria on the 5th iteration. Understandably the accuracy of 22.49% was about half of the prior trial using three hidden units. The graphs are shown below:





#### 5.a 95% Confidence Interval

The result set was 2002 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

2002 correct responses out of 8900 = .2249

$$\sigma_{error_s(h)} = \sqrt{\frac{..2249(.7751)}{8900}} = .004426$$

Applying the formula

$$\mu \pm z_N \sigma$$
; 1.96 × .004426 = .008675

giving  $.2249 \pm .008675$ ; a 95% confidence interval of [.2163,.2336]

#### 6 Trial 3: Four Hidden Units

csi873bgoldfeder.py -f C:/csi873/csi873midterm/data -i 4 -e 30 -t 4000 -v 500 -x 890 -l 0.05 -m 0.1

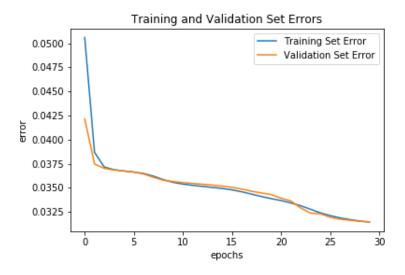
For this last trial the number of hidden units is increased to four. I left all other parameters the same to include the stopping criteria of .05% drop in Validation Error rate (this is the default setting).

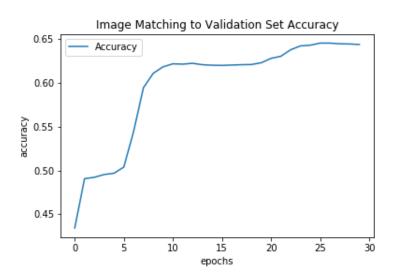
The following parameters were used:

```
input
                       784
           ==>
hidden
                          4
                        10
output
           ==>
number of training images ==>
                                     40000
number of validation images ==>
                                         5000
number of test images ==>
                                  8900
epochs
                        30
                      0.05
learn_rate ==>
momentum
                      0.10
                          0.00050
stopping criteria ==>
```

The addition of two additional hidden nodes from the previous trial nearly tripled the test accuracy. An interesting observation is that the stopping criteria did not get triggered using the threshold percentage

drop of .05% decrease in validation error. A higher learning rate could impact this or an increase in the number of epochs. From the graphs below it appears that additional accuracy could have been gained with either of these approaches. The momentum appears to have popped the validation set accuracy in two locations on the graph where major leaps in accuracy occurred leading to the final more gradual climb at the end. The graphs are shown below:





# 6.a 95% Confidence Interval

The result set was 5689 correct responses out of 8900 images tested. The 95% confidence interval can be calculated using the formulas from chapter 5:

$$\sigma_{error_s(h)} = \sqrt{\frac{p(1-p)}{n}}$$

5689 correct responses out of 8900 = .6392

$$\sigma_{error_s(h)} = \sqrt{\frac{.6392(.7751)}{8900}} = .005090$$

Applying the formula

$$\mu \pm z_N \sigma$$
; 1.96 × .005090 = .009976

giving  $.6392 \pm .009976$ ; a 95% confidence interval of [.6292, .6492]

```
1
  # -*- coding: utf-8 -*-
 2
 3
   Created on Wed Oct 18 18:29:16 2017
 4
 5
   @author: Bruce Goldfeder
 6
               CSI 873
               Fall 2017
 7
 8
               Midterm
   0.000
9
10
11
   import os,sys
12
   import math
13
   import numpy as np
14
   import matplotlib.pyplot as plt
15
16
   def sigmoid2(z):
17
       try:
           if (z < 0):
18
               sig = 1.0 - 1.0/(1.0 + math.exp(z))
19
20
21
               sig = 1.0 / (1.0 + math.exp(-z))
22
       except OverflowError:
23
           if (z > 0):
24
               sig = 0.01
25
           else:
26
               sig = 100
27
       return sig
28
29
   def sigmoid(z):
30
       sig = 1.0 / (1.0 + math.exp(-z))
31
       return sig
32
   def ReadInFiles(path,trnORtst):
33
       # This reads in all the files from a directory filtering on what the file
34
       # starts with
35
36
       fullData = []
37
       fnames = os.listdir(path)
38
       for fname in fnames:
           if fname.startswith(trnORtst):
39
40
               print (fname)
               data = np.loadtxt(path + "\\" + fname)
41
               fullData.append(data)
42
43
       #numFiles = len (fullData)
44
       #print(numFiles)
45
46
       return fullData
47
```

```
48
   def ReadInOneList(fullData,maxRows):
       # This function combines all of the data into one array for ease of use
49
50
       # It contains a capping ability to configure how many results to use
       allData = []
51
52
       numFiles = len (fullData)
       for j in range (numFiles):
53
           # allows for smaller data set sizes
54
           numRows = len (fullData[j])
55
           #print('numrows,maxrows ',numRows,maxRows)
56
           if (maxRows < numRows):</pre>
57
               numRows = maxRows
58
59
60
           for k in range(numRows):
61
               allData.append(fullData[j][k])
       return np.asarray(allData)
62
63
   def ReadInValidList(fullData,start,end):
64
       # This function combines all of the data into one array for ease of use
65
       # It contains a capping ability to configure how many results to use
66
67
       allData = []
       numFiles = len (fullData)
68
       for j in range (numFiles):
69
70
           # allows for smaller data set sizes
           numRows = len (fullData[j])
71
72
           if numRows < start + end :</pre>
73
               print('Starting at ' + str(start) + ' there are not ' + str(end) + \
74
                     ' images in the data set. Please retry')
               sys.exit()
75
76
           for k in range(start, end):
77
               allData.append(fullData[j][k])
78
       return np.asarray(allData)
79
80
   def HeatMap(numberIn):
81
82
       #heat map to show numbers
83
       plt.matshow(numberIn.reshape(28,28))
       plt.colorbar()
84
85
       plt.show()
86
   class NeuralNet(object):
87
88
89
       def __init__(self, input, hidden, output, inNum, valNum, tstNum, epochs, lrn_rate,
           momentum, stop):
90
           **Network Parameters**
91
92
           input: number of input units
           hidden: number of hidden units
93
```

```
94
            output: number of output units
95
96
            **Training Parameters**
97
            inNum: number of images to run
            epochs: number of full training set run throughs
98
99
100
            **Hyperparameters**
101
            lrn_rate: this is the factor for learning rate
102
            momentum: this is the momentum term added to weight update
            rate_decay: used for annealing the learning rate using a 1/t decay using
103
                       the mathematical form alpha=alpha0/a0+(a0+a0*rate_decay)
104
105
            ....
106
107
            # Training Parameters
            self.inNum = inNum
108
109
            self.valNum = valNum
110
            self.tstNum = tstNum
            self.epochs = epochs
111
112
113
            # Hyperparameters
114
            self.lrn_rate = lrn_rate
            self.momentum = momentum
115
116
117
            # initialize arrays
118
            self.input = input # add 1 for bias node
119
            self.hidden = hidden # This bias node add causes trouble
120
            self.output = output
121
122
            # set up arrays for the outputs of the nodes
123
            self.ai = np.ones(self.input) # removes the threshold input
124
            #print('ai shape ',self.ai.shape)
125
            self.ah = np.ones(self.hidden) # removes the threshold input
            self.ao = np.ones(self.output)
126
127
128
            # create random weights between -0.05 and 0.05 as per text on pg. 98
129
            # plus one for the bias/threshold unit
            self.wHidThresh = np.random.uniform(-0.05, 0.05, size = (self.hidden))
130
131
            self.wOutThresh = np.random.uniform(-0.05, 0.05, size = (self.output))
132
            self.wi = np.random.uniform(-0.05, 0.05, size = (self.input, self.hidden))
133
            self.wo = np.random.normal(-0.05, 0.05, size = (self.hidden, self.output))
134
135
            # temporary arrays to hold the numbers to be updated each iteration
136
137
            self.ci = np.zeros((self.input, self.hidden))
138
            self.co = np.zeros((self.hidden, self.output))
139
140
            # Error array to capture the output array for each training output unit
```

```
141
           self.outUnitErr = np.zeros((self.inNum, self.output))
142
143
           # Error array to capture the output array for each training output unit
           self.outValErr = np.zeros((self.valNum, self.output))
144
145
146
           # Error array to capture the output array for each training output unit
           self.outTstErr = np.zeros((self.tstNum,self.output))
147
148
149
           # String to append to files to identify experiment parameters
           self.expName = 'in' + str(self.input) + 'hi' + str(self.hidden) + \
150
                     'out' + str(self.output) + 'lr' + str(self.lrn_rate) + \
151
152
                     'mo' + str(self.momentum) + 'trN' + str(self.inNum) + \
153
                     'vN' + str(self.valNum) + \
                     'tsN' + str(self.tstNum) + 'ep' + str(self.epochs)
154
155
156
           # Set the stopping criteria which is the percent drop in Validation
157
           # from the current iteration to the previous
158
           self.stop = stop
159
160
           print("name " + self.expName)
161
162
        def print_params(self):
163
164
           print ('%-10s ==> %10d' % ('input', self.input))
           print ('%-10s ==> %10d' % ('hidden', self.hidden))
165
166
           print ('%-10s ==> %10d' % ('output', self.output))
167
           print ('%-10s ==> %10d' % ('number of training images', self.inNum))
           print ('%-10s ==> %10d' % ('number of validation images', self.valNum))
168
169
           print ('%-10s ==> %10d' % ('number of test images', self.tstNum))
           print ('%-10s ==> %10d' % ('epochs', self.epochs))
170
           print ('%-10s ==> %10.2f' % ('learn_rate', self.lrn_rate))
171
           print ('%-10s ==> %10.2f' % ('momentum', self.momentum))
172
           print ('%-10s ==> %10.5f' % ('stopping criteria', self.stop))
173
174
175
        def makeTargetArray(self,answer):
176
           tk = int(answer)
           #print('tk is ', tk)
177
           \# Make the trained output 0.1 for 0 and 0.9 for 1 as per the text
178
179
           # from the first paragraph on the top of page 115
           tkArray = np.add(np.zeros(10), 0.1)
180
           tkArray[tk] = 0.9
181
182
           #print("answer array is ",tkArray)
183
184
           return tkArray
185
186
        def feedForward(self,image,answer):
187
```

```
188
           #print (input,len(inputs))
189
190
           The feedforward algorithm propogates the input forward through the network
           It calculates the net by summing from one to n the weights (including bias
191
           w0) times the value sigma term for each node in the hidden and output
192
193
           layers. This is then used as the negative exponent value in the sigmoid
194
           function
195
               net = Sigma[i=0,n]wi*xi
196
               ah = sigmoid(net) = 1/(1 + e**-net)
197
198
           #self.ai[0] = 1.0 # This is the threshold for every hidden unit
           self.ai = image # remember to account for actual value in 0th index
199
200
201
           # hidden activations
202
203
           for j in range(self.hidden):
204
               sum = self.wHidThresh[j]
205
               for i in range(self.input):
206
                   prodAW = self.ai[i] * self.wi[i][j]
                   sum += prodAW
207
208
               #print ('sum is ',sum)
               self.ah[j] = sigmoid(sum) #what about using tanh here?
209
210
211
           # output activations
           for k in range(self.output):
212
213
               sum = self.wOutThresh[k]
               for j in range(self.hidden):
214
                   prodAWO = self.ah[j] * self.wo[j][k]
215
216
                   sum += prodAWO
               self.ao[k] = sigmoid(sum)
217
218
219
        def calcDeltaKO(self,answer):
220
221
           Calculates the delta_k for each output unit using the formula from the text
222
           delta_k = ouput_k * (1-ouput_k)*(target_k - output_k)
           0.00
223
224
           tkArray= self.makeTargetArray(answer)
225
226
           delta_k = np.zeros(self.output)
227
228
           # Use the derivative of sigmoid times actual - observed
229
           for y in range(self.output):
               delta_k[y] = self.ao[y] * (1-self.ao[y]) * (tkArray[y] - self.ao[y])
230
231
               #print ('tkarray[y] ',tkArray[y],' ao[y] ',self.ao[y],' sub ',tkArray[y] - self.
                   ao[y])
232
233
```

```
234
            return delta_k
235
236
        def calcDeltaKH(self,d_k):
237
            Calculates the delta_h for each hidden unit using the forumula from the text
238
239
            delta_h = output_h * (1-ouput_h) * Sum(weight_kh * delta_ko)
            ah is the output of hidden units
240
241
            w_kh is the weight of hidden units or the array in main 'wi'
            d_k is the delta_k returned from the function 'calcDeltaKO' for output nodes
242
243
244
            # Use derivative term for sigmoid applied to the hidden outputs
245
246
            delta_h1 = np.zeros(self.hidden) # first part of equation
247
            delta_h = np.zeros(self.hidden) # output of equation
            # corrected - switched rows and columns
248
249
            for hid in range(self.hidden):
250
               sum = 0.0 # question should this be the threshold value?
               delta_h1[hid] = self.ah[hid] * (1 - self.ah[hid])
251
252
               #print('y is ',y)
253
               # This calculates the second part of equation summing over this hidden
254
               # node the product of the weight_kh*delta_k of the output node
               for out in range(self.output):
255
                   #print('x is ',x)
256
257
                   # the product of the weight_kh*delta_k of the output node
258
259
                   delta_h2 = self.wo[hid,out] * d_k[out]
260
                   # Sum up all weight*dk for all output this hidden node touches
                   sum += delta_h2
261
262
                   #print(sum)
263
264
               # Calculate the derivative times the sum of the w_kh * delta_k
265
               dh = delta_h1[hid] * sum
266
               #print('delta h is ',dh)
267
               delta_h[hid] = dh
268
269
            #print('delta_h is: ',delta_h,' shape is ',delta_h.shape)
            return delta_h
270
271
272
        def updateWeights(self,answer,d_ko,d_kh):
273
274
            The weights are updated using the forumula from the text
275
            w_ji <-- w_ji + Delta(w_ji)</pre>
276
277
            where
278
            Delta(w_ji) = n*delta_j*x_ji
279
280
            # update the weights connecting hidden to output
```

```
281
           # the co array represents the n-1 or prior iteration delta value
           for j in range(self.hidden):
282
283
               for k in range(self.output):
                   delta = self.lrn_rate * d_ko[k] * self.ah[j] + (self.momentum * self.co[j][k
284
                       1)
                   #print('delta is ',delta)
285
                   self.wo[j][k] += delta
286
                   self.co[j][k] = delta
287
288
           # update the weights connecting input to hidden
289
290
           for i in range(self.input):
                                           # add in w0 threshold term
291
               for j in range(self.hidden): # add in w0 threshold term
292
                   delta = self.lrn_rate * d_kh[j] * self.ai[i] + (self.momentum * self.ci[i][j
                       1)
293
                   self.wi[i][j] += delta
294
                   self.ci[i][j] = delta
295
296
        # to save time and complexity these are 3 different functions
297
        #TODO refactor to one function
298
        def calculateError(self,num,answer):
299
300
           tkArray = self.makeTargetArray(answer)
301
           for out in range(self.output):
               self.outUnitErr[num][out] = (tkArray[out] - self.ao[out])**2
302
303
304
        def calculateValErr(self,num,answer):
305
           tkArray = self.makeTargetArray(answer)
306
307
           for out in range(self.output):
               self.outValErr[num][out] = (tkArray[out] - self.ao[out])**2
308
309
310
        def calculateTstErr(self,num,answer):
311
312
           tkArray = self.makeTargetArray(answer)
313
           for out in range(self.output):
314
               self.outTstErr[num][out] = (tkArray[out] - self.ao[out])**2
315
316
        def plotErrorperNum(self):
317
           plt.imshow(self.outUnitErr[:,:])
318
           plt.xlabel('iterations')
319
320
           plt.ylabel('error')
           plt.title('Sum of squared errors for each output unite')
321
322
           plt.grid(True)
323
           plt.savefig("test.png")
324
           plt.show()
325
```

```
326
        def plotErrList(self,errTrn,errTst):
327
            plt.figure()
328
            plt.ylabel('error')
329
            plt.xlabel('epochs')
            ax = plt.subplot(111)
330
331
            ax.plot(errTrn,label='Training Set Error')
            ax.plot(errTst,label='Validation Set Error')
332
333
334
            plt.title('Training and Validation Set Errors')
335
            ax.legend()
336
            plt.savefig('pics/errPlots_' + self.expName + '.png')
337
            plt.show()
338
339
        def plotAccList(self,accList):
            plt.figure()
340
341
            plt.ylabel('accuracy')
            plt.xlabel('epochs')
342
            ax = plt.subplot(111)
343
344
            ax.plot(accList,label='Accuracy')
345
346
            plt.title('Image Matching to Validation Set Accuracy')
            ax.legend()
347
            plt.savefig('pics/accPlot_' + self.expName + '.png')
348
349
            plt.show()
350
351
    def driver(dpath,inNodes,outNodes,hidNodes,epochs,trnNum,valNum,tstNum,lrnRate,momentum,stop
352
353
        # Read in the Training data first
        dataset = ReadInFiles(dpath, 'train')
354
        my_data = ReadInOneList(dataset,trnNum)
355
356
357
        # Convert the 0-255 to 0 through 1 values in data
358
        my_data[:,1:] /= 255.0
359
        #HeatMap(my_data[40,1:])
360
361
        # randomize the rows for better training
362
        np.random.shuffle(my_data)
363
        inNum, cols = my_data.shape
        just_img_data = my_data[:,1:]
364
365
        answer = my_data[:,0]
366
        # Create the Validation data
367
        my_valid = ReadInValidList(dataset,tstNum,tstNum+valNum)
368
369
        my_valid[:,1:] /= 255.0
370
        valNum, valCols = my_valid.shape
371
        #print('val num is ',valNum)
```

```
372
        just_valid_data = my_valid[:,1:]
        answerValImg = my_valid[:,0]
373
374
        #print('array of answerws to follow')
        #print(answerValImg)
375
376
377
        # Read in the test data
        #dpath2 = os.getcwd()+'\data3'
378
379
        dataset2 = ReadInFiles(dpath, 'test')
380
        my_test = ReadInOneList(dataset2,tstNum)
381
382
        tstNum,cols = my_test.shape
383
        #print('num rows ',tstNum)
384
385
        # Convert the 0-255 to 0 through 1 values in data
386
        my_test[:,1:] /= 255.0
387
388
        just_test_data = my_test[:,1:]
        answerImg = my_test[:,0]
389
390
391
        myNet = NeuralNet(inNodes, hidNodes, outNodes, inNum, valNum, tstNum, epochs, lrnRate,
            momentum, stop)
392
        myNet.print_params()
393
394
        trnErrorList = []
395
        trnValErrList = []
396
        tstErrList = []
397
        accList = []
398
399
        # Iterate over the number of epochs of data to run
        for eps in range(myNet.epochs):
400
401
            print("Training epoch ",eps)
            # Iterate over the range of total images for training
402
            for imgNum in range(inNum):
403
404
               myNet.feedForward(just_img_data[imgNum,:],answer[imgNum])
405
406
               # Calculate the error term deltaKO for each output unit
               deltaKO = myNet.calcDeltaKO(answer[imgNum])
407
408
                #print('deltaKO is: ',deltaKO,' shape is ',deltaKO.shape)
409
                # Calculate the error term deltaKH for each hidden unit
410
                deltaKH = myNet.calcDeltaKH(deltaKO)
411
412
                #print ('delta h is ',deltaKH)
413
               # Update the weights for output and hidden weight sets
414
               myNet.updateWeights(answer[imgNum],deltaKO,deltaKH)
415
416
417
                # Calculate the error per image per output unit
```

```
418
               myNet.calculateError(imgNum,answer[imgNum])
419
420
           # Output the training set error
421
           errPerEpoch = np.sum(myNet.outUnitErr,dtype='float')
           trnErrorList.append(errPerEpoch/(inNum*10.0))
422
423
           print("Total Training Error for epoch ",eps," is ",errPerEpoch/(inNum*10.0))
424
425
           accuracyList = []
426
427
           # Run this epochs trained model against the Validation Set of data
428
           for imgNum in range(valNum):
429
430
               myNet.feedForward(just_valid_data[imgNum,:],answerValImg[imgNum])
431
432
               valAnswer = myNet.ao.argmax(axis=0)
               #print('Val Answer is ',valAnswer, ' image answer is ',answerValImg[imgNum])
433
               if (valAnswer - answerValImg[imgNum] == 0):
434
                   accuracyList.append(1)
435
               else:
436
                   accuracyList.append(0)
437
438
               # Calculate the error for the validation images per output unit
439
               myNet.calculateValErr(imgNum,answerValImg[imgNum])
440
441
442
           # Output the Validation set error
           errValEpoch = np.sum(myNet.outValErr,dtype='float')
443
444
           trnValErrList.append(errValEpoch/(valNum*10.0)) # for the ten digits
           print("Total Validation Error for epoch ",eps," is ",errValEpoch/(valNum*10.0))
445
446
           # Output the Validation set accuracy
447
           right = sum(accuracyList)
448
           total = len(accuracyList)
449
           print('Results of ',right,' out of ',total,' accuracy is ',right/total)
450
451
           accList.append(right/total)
452
453
           # for every epoch iteration need to save off weights
           weights = [myNet.wHidThresh,myNet.wOutThresh,myNet.wi,myNet.wo]
454
           np.savez('output/weightEpoch_' + str(eps) + '_' + myNet.expName + '.npz', \
455
                                                wHidThresh=weights[0], \
456
                                                wOutThresh=weights[1], \
457
458
                                                wi=weights[2], \
459
                                                wo=weights[3])
460
461
           # Check for the stopping criteria on Validation Test Set
           criteriaMet = False
462
           if len(trnValErrList) > 1:
463
464
               currErr = trnValErrList[-1]
```

```
465
               prevErr = trnValErrList[-2]
               diffErrRatio = (prevErr - currErr) / prevErr
466
467
               print("{0:.4f}%".format(100.0 * diffErrRatio))
               if (diffErrRatio < myNet.stop):</pre>
468
                   print("Stopping criteria of ",str(stop)," is more than ",str(diffErrRatio))
469
                   criteriaMet = True
470
471
472
            if criteriaMet:
473
               break
474
475
        # Need to run the Test set of data
476
        # First find the optimal set of weights from Validation
477
        # Find the epoch with the lowest validation set error and then
478
        # set the weights in the ANN to those weights for testing
        # This should only not be the last set if there are very large stopping
479
480
        # criteria which would make the error start to go back up due to
481
        # overtraining for example
        npValErr = np.asarray(trnValErrList)
482
        minEpoch = npValErr.argmin(axis=0)
483
484
        print("The epoch with lowest validation error is ",str(minEpoch))
        optWt = np.load('output/weightEpoch_' + str(minEpoch) + '_' + myNet.expName + '.npz')
485
        myNet.wHidThresh = optWt['wHidThresh']
486
487
        myNet.wOutThresh = optWt['wOutThresh']
        myNet.wi = optWt['wi']
488
        myNet.wo = optWt['wo']
489
490
        optWt.close()
        #print('Weight arrays wHidThresh', myNet.wHidThresh,'\n' \
491
               'wOutThresh ',myNet.wOutThresh, '\n' \
492
493
        #
               'shape of wi ',myNet.wi.shape, '\n' \
               'shape of wo ',myNet.wo.shape)
494
495
496
        # Then run the test images through using the optimal weights
        tstAccList = []
497
        for imgNum in range(tstNum):
498
499
500
            myNet.feedForward(just_test_data[imgNum,:],answerImg[imgNum])
501
502
            tstAnswer = myNet.ao.argmax(axis=0)
            #print('Val Answer is ',valAnswer, ' image answer is ',answerValImg[imgNum])
503
            if (tstAnswer - answerImg[imgNum] == 0):
504
               tstAccList.append(1)
505
506
            else:
               tstAccList.append(0)
507
508
509
        # Output the Test set error
510
        errTstEpoch = np.sum(myNet.outTstErr,dtype='float')
        tstErrList.append(errTstEpoch/(tstNum*10.0)) # for the ten digits
511
```

```
512
        print("Final Testing Error is ",errTstEpoch/(tstNum*10.0))
513
514
        # Output the Validation set accuracy
        right = sum(tstAccList)
515
        total = len(tstAccList)
516
        testAccuracy = right/total
517
        print('Final Test results of ',right,' out of ',total,' accuracy is ',testAccuracy)
518
519
520
        # Plot output and save plot data to file
521
        myNet.plotErrList(trnErrorList,trnValErrList)
522
523
        myNet.plotAccList(accList)
524
525
        np.savez('output/plotData_' + myNet.expName + '.npz', \
                               trnErrorList=trnErrorList, \
526
527
                               trnValErrList=trnValErrList, \
528
                               accList=accList, \
                               testAccuracy=testAccuracy)
529
530
    if __name__ == "__main__":
531
532
        # Parse commjand line options filename, epsilon, and maximum iterations
533
        from optparse import OptionParser
534
535
        parser = OptionParser()
536
        parser.add_option("-f", "--file", dest="filepath", help="Folder path for data")
537
        parser.add_option("-i", "--hid", dest="hidNodes", help="Number of Hidden Nodes")
538
        parser.add_option("-e", "--epochs", dest="epochs", help="Number of Epochs")
539
        parser.add_option("-t", "--train", dest="trnNum", help="Number of Training Images per
540
           Number")
        parser.add_option("-v", "--valid", dest="valNum", help="Number of Validation Images per
541
           Number")
        parser.add_option("-x", "--test", dest="tstNum", help="Number of Test Images per Number
542
        parser.add_option("-1", "--learn", dest="lrnRate", help="Number of Test Images per
543
           Number")
        parser.add_option("-m", "--momentum", dest="momentum", help="Number of Test Images per
544
           Number")
        parser.add_option("-s", "--stop", dest="stop", help="Validation Stopping Criteria
545
           Percentage")
546
547
548
        options, args = parser.parse_args()
549
550
        if not options.filepath :
           print("Used default of data" )
551
           filepath = os.getcwd()+'\data'
552
```

```
553
        else: filepath = options.filepath
554
555
        if not options.hidNodes :
            print("Used default hidden nodes of 3" )
556
557
            hidNodes = 3
        else: hidNodes = int(options.hidNodes)
558
559
560
        if not options.epochs :
            print("Used default epochs = 30" )
561
562
            epochs = 30
        else: epochs = int(options.epochs)
563
564
565
        if not options.trnNum :
            print("Used default trnNum = 1000" )
566
            trnNum = 4500
567
        else: trnNum = int(options.trnNum)
568
569
        if not options.valNum :
570
            print("Used default valNum = 500" )
571
572
            valNum = 500
        else: valNum = int(options.valNum)
573
574
575
        if not options.tstNum :
            print("Used default tstNum = 500" )
576
            tstNum = 890
577
        else: tstNum = int(options.tstNum)
578
579
580
        if not options.lrnRate :
581
            print("Used default lrnRate = 0.5" )
582
            lrnRate = 0.5
583
        else: lrnRate = float(options.lrnRate)
584
        if not options.momentum :
585
            print("Used default momentum = 0.5" )
586
587
            momentum = 0.5
588
        else: momentum = float(options.momentum)
589
590
        if not options.stop :
591
            print("Used default stop = 0.05%" )
592
            stop = 0.0005
        else: stop = float(options.stop)
593
594
595
        inNodes = 784
596
        outNodes = 10
597
598
        driver(filepath,inNodes,outNodes,hidNodes,epochs,trnNum,valNum,tstNum,lrnRate,momentum,
```