## SBML Level 3 Package Specification

# SBML Level 3 Package: Flux Balance Constraints ('fbc')

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This is a draft specification for the package 'fbc' and not a normative document. Please send feedback to the Package Working Group mailing list at sbml-flux@lists.sourceforge.net

The latest release, past releases, and other materials related to this specification are available at <a href="http://sbml.org/Documents/Specifications/SBML\_Level\_3/Packages/Flux\_Balance\_Constraints\_(flux)">http://sbml.org/Documents/Specifications/SBML\_Level\_3/Packages/Flux\_Balance\_Constraints\_(flux)</a>

This release of the specification is available at http://sbml.org/Documents/Specifications/Fbc\_Level\_1\_Version\_1



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## 1 Introduction and motivation

Constraint based modelling is a widely used methodology used to analyze and study biological networks on both a small and whole organism (genome) scale. Typically these models are underdetermined and constraint based methods (e.g. linear, quadratic optimization) are used to optimize specific model properties. This is assumed to occur under a defined set of constraints (e.g. stoichiometric, metabolic) and bounds (e.g. thermodynamic, experimental and environmental) on the values that the solution fluxes can obtain.

Perhaps the most well known (and widely used) analysis method is Flux Balance Analysis (FBA; Orth et al., 2010) which is performed on Genome Scale Reconstructions (GSR's; Oberhardt et al., 2009). Using FBA a target flux is optimized (e.g. maximizing a flux to biomass or minimizing ATP production) while other fluxes can be bounded to simulate a selected growth environment or specific metabolic state.

As constraint based models are generally underdetermined, i.e. few or none of the kinetic rate equations and related parameters are known, it is crucial that a model definition includes the ability to define optimization parameters such as objective functions, flux bounds and constraints ... currently this is not possible in the Systems Biology Markup Language (SBML) Level 2 or Level 3 core specification (Hucka et al., 2011, 2003).

The question of how to encode constraint based (a.k.a. 'FBA') models in SBML is not new. However, advances in the methods used to construct GSR scale models and the wider adoption of constraint based modelling in biotechnological/medical applications have led to a rapid increase in both the number of models being constructed and the tools used to analyze them.

Faced with such growth, both in number and diversity, the need for a standardized data format for the definition, exchange and annotation of constraint based models has become critical. As the core model components (e.g. species, reactions, stoichiometry) can already be efficiently described in SBML (with its significant community, software and tool support) the Flux Balance Constraints Package aims to extend SBML core by adding the elements necessary to describe current and future constraint based models.

## 1.1 Proposal corresponding to this package specification

This specification for Flux Balance Constraints in SBML Level 3 Version 1 is based on the proposal by the same authors, located at the following URL:

```
http://sbml.org/Community/Wiki/SBML_Level_3_Proposals/Flux_Balance_Constraints_Proposal_(2012)
```

The tracking number in the SBML issue tracking system (SBML Team, 2010) for Flux Balance Constraints package activities is 3154219. The version of the proposal used as the starting point for this specification is the version of March 2012. Previous versions of the current proposal are:

Version 3 (March 2012)

http://sbml.org/Community/Wiki/SBML\_Level\_3\_Proposals/Flux\_Balance\_Constraints\_Proposal\_(2012)

Version 2 (March 2011)

http://sbml.org/Community/Wiki/SBML\_Level\_3\_Proposals/Flux\_Constraints\_Proposal

Version 1 (February 2010)

http://precedings.nature.com/documents/4236/version/1

Details of earlier independent proposals are provided in Section 2.

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## 1.2 Tracking number

As initially listed in the SBML issue tracking system under: http://sourceforge.net/tracker/?func=detail&aid=3154219&group\_id=71971&atid=894711.

## 1.3 Package dependecies

The Flux Balance Constraints package has no dependencies on other SBML Level 3 packages. It is also designed with the goal of being able to work seamlessly with other SBML Level 3 packages. For example any objects are entirely encapsulated and any extensions to existing SBML classes are defined as optional.

## 1.4 Document conventions

Following the precedent set by the SBML Level 3 Core specification document, we use UML 1.0 (Unified Modeling Language; Eriksson and Penker 1998; Oestereich 1999) class diagram notation to define the constructs provided by this package. We also use color in the diagrams to carry additional information for the benefit of those viewing the document on media that can display color. The following are the colors we use and what they represent:

- *Black*: Items colored black in the UML diagrams are components taken unchanged from their definition in the SBML Level 3 Core specification document.
- *Green*: Items colored green are components that exist in SBML Level 3 Core, but are extended by this package. Class boxes are also drawn with dashed lines to further distinguish them.
- *Blue*: Items colored blue are new components introduced in this package specification. They have no equivalent in the SBML Level 3 Core specification.

We also use the following typographical conventions to distinguish the names of objects and data types from other entities; these conventions are identical to the conventions used in the SBML Level 3 Core specification document:

**AbstractClass:** Abstract classes are classes that are never instantiated directly, but rather serve as parents of other object classes. Their names begin with a capital letter and they are printed in a slanted, bold, sans-serif type-face. In electronic document formats, the class names defined within this document are also hyperlinked to their definitions; clicking on these items will, given appropriate software, switch the view to the section in this document containing the definition of that class. (However, for classes that are unchanged from their definitions in SBML Level 3 Core, the class names are not hyperlinked because they are not defined within this document.)

**Class**: Names of ordinary (concrete) classes begin with a capital letter and are printed in an upright, bold, sansserif typeface. In electronic document formats, the class names are also hyperlinked to their definitions in this specification document. (However, as in the previous case, class names are not hyperlinked if they are for classes that are unchanged from their definitions in the SBML Level 3 Core specification.)

SomeThing: Attributes of classes, data type names, literal XML, and generally all tokens *other* than SBML UML class names, are printed in an upright typewriter typeface. Primitive types defined by SBML begin with a capital letter; SBML also makes use of primitive types defined by XML Schema 1.0 (Biron and Malhotra, 2000; Fallside, 2000; Thompson et al., 2000), but unfortunately, XML Schema does not follow any capitalization convention and primitive types drawn from the XML Schema language may or may not start with a capital letter.

For other matters involving the use of UML and XML, we follow the conventions used in the SBML Level 3 Core specification document.

## 2 Background

## 2.1 Problems with current SBML approaches

While there is currently no official way of encoding constraint based models in SBML L2 there have been pragmatic approaches used by a variety of groups and applications. Arguably the best and most widely used format is that used by the COBRA toolbox (Becker et al., 2007) where the metabolic network is well defined using SBML Reaction and Species classes. However, flux bounds and reactions that take part in the objective function are defined as LocalParameter objects and (implicitly) rely on all tools using the same naming convention. Similarly, reaction annotations are generally stored as key-value pairs in HTML Notes objects which has routinely led to different groups and software using in-house key definitions describing the same entity. While a step in the right direction this format is not suitable for implementation in SBML Level 3.

## 2.2 Past work on this problem or similar topics

The problem of describing and annotating 'FBA' models in SBML has been raised at various times in the past few years. In this regard there are two known putative proposals one by Karthik Raman and the other by the Church Laboratory. As far as we are aware these proposals never developed beyond their initial presentation at SBML forum/hackathons. In 2009 the discussion was reopened at the SBML Forum held in Stanford and has subsequently developed into the current active package proposal and this document (see Section 1).

Brett Olivier (2009) SBML Level 3 FBA package discussion

http://sbml.org/images/4/4a/Olivier\_sbml\_forum\_2009\_09\_04.pdf

Karthik Raman (2005) Flux annotations in SBML

http://sbml.org/images/d/d9/Raman-flux-annotations.pdf

Church laboratory (pre 2005) Metabolic flux model annotations

http://sbml.org/Community/Wiki/Old\_known\_SBML\_annotations\_list

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## 3 Proposed syntax and semantics

In this section, we define the syntax and semantics of the Flux Balance Constraints package for SBML Level 3 Version 1. We expound on the various data types and constructs defined in this package, then in Section 4 on page 11, we provide complete examples of using the constructs in example SBML models.

## 3.1 Namespace URI and other declarations necessary for using this package

Every SBML Level 3 package is identified uniquely by an XML namespace URI. For an SBML document to be able to use a given SBML Level 3 package, it must declare the use of that package by referencing its URI. The following is the namespace URI for this version of the Flux Balance Constraints package for SBML Level 3 Version 1:

```
"http://www.sbml.org/sbml/level3/version1/fbc/version1"
```

In addition, SBML documents using a given package must indicate whether understanding the package is required for complete mathematical interpretation of a model, or whether the package is optional. This is done using the attribute **required** on the **<sbml>** element in the SBML document. For the Flux Balance Constraints package, the value of this attribute must be set to "true".

The following fragment illustrates the beginning of a typical SBML model using SBML Level 3 Version 1 and this version of the Flux Balance Constraints package:

```
<?xml version="1.0" encoding="UTF-8"?>
<sbml xmlns="http://www.sbml.org/sbml/level3/version1/core" level="3" version="1"
    xmlns:fbc="http://www.sbml.org/sbml/level3/version1/fbc/version1" fbc:required="true">
```

## 3.2 Primitive data types

Section 3.1 of the SBML Level 3 Version 1 Core specification defines a number of primitive data types and also uses a number of XML Schema 1.0 data types (Biron and Malhotra, 2000). More specifically we make use of integer, double, string, SIdRef and enum. In addition we make use of two new primitives FbcSId and FbcSIdRef, see Figure 1 for the interrelation between these entities.

#### 3.2.1 Type FbcSId

The type FbcSId is derived from SId (SBML Level 3 Version 1 Core specification Section 3.1.7) and has identical syntax. The FbcSId type is used as the data type for the identifiers of FluxBound (Section 3.5) and Objective (Section 3.6) classes. By using a separate identifier type we differentiate them from others defined in the SBML model and thus ensuring data encapsulation. In addition the Objective class FbcSId provides an identifier to the Objective which is set as active. The equality of FbcSId values is determined by an exact character sequence match and therefore comparisons of these identifiers must be performed in a case-sensitive manner.

## 3.2.2 Type FbcSIdRef

Type FbcSIdRef is used for all attributes that refer to identifiers of type FbcSId. Derived from FbcSId it has the restriction that the value of an attribute having type FbcSIdRef must match the value of a FbcSId attribute in the current model. In the FBC package the ListOfObjectives has an attribute of this type that is used to refer to an 'active' Objective.

## 3.3 The extended Model class

The **SBML Model** class is extended with the addition of two children, i.e. a **listOfFluxBounds** and a **listOfObjectives**. 39
The **Model** may contain at most one of these lists.

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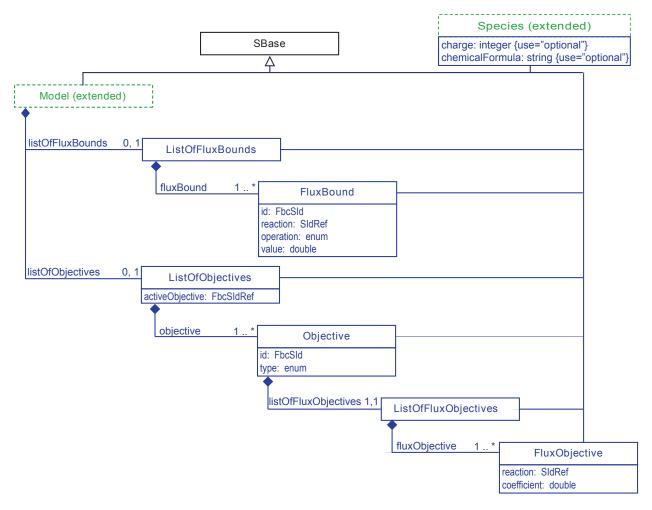


Figure 1: A UML representation of the Flux Balance Constraints package classes. See Section 1.4 for conventions related to this figure.

#### 3.3.1 The FBC listOfFluxBounds

As shown in Figure 1 the **ListOfFluxBounds** is derived from **SBase** and inherits the attributes **metaid** and **sboTerm**, as well as the subcomponents for **Annotation** and **Notes**. **ListOfFluxBounds** must contain at least one **FluxBound** (defined in Section 3.5).

## 3.3.2 The FBC listOfObjectives

As shown in Figure 1 the **ListOfObjectives** is derived from **SBase** and inherits the attributes **metaid** and **sboTerm**, as well as the subcomponents for **Annotation** and **Notes**. Unlike most other **SBML ListOf** classes, **ListOfObjectives** introduces an additional required attribute **activeObjective**. The **ListOfObjectives** must contain at least one **Objective** (defined in Section 3.6).

#### The activeObjective attribute

This attribute contains a "value" of type FbcSIdRef that can only refer to an existing Objective (FbcSId). This required attribute exists so that when multiple Objective 's are included in a single model, the model will always be well described i.e. there is a single, primary objective function which closes the solution space.

## 3.4 The extended Species class

The FBC package extends the SBML Species class with the addition of two attributes:

- an optional attribute charge which contains an integer referring to the Species objects charge (as defined in SBML Level 2)
- an optional attribute chemicalFormula containing a string that represents the Species objects elemental composition.

#### The chemicalFormula attribute

While there are many ways of referring to an elemental composition the purpose of the chemicalFormula attribute is to allow reaction balancing and validation which is particularly important in constraint based models. To this end it is recommended that the format of chemicalFormula should follow the Hill system (or notation). Here the number of carbon atoms in a molecule is indicated first, followed by the number of hydrogen atoms and then the number of all other chemical elements in alphabetical order. When the formula contains no carbon; all elements, including hydrogen, are listed alphabetically Hill (1900, 2012).

#### The extended Species

The FBC package extend the **SBML Species** of SBML Level 3 Version 1 Core by providing attributes for storing charge and chemicalFormula

```
<species metaid="meta_M_atp_c_" id="M_atp_c" name="ATP"
compartment="Cytosol" boundaryCondition="false"
fbc:charge="-4" fbc:chemicalFormula="C10H12N5013P3"
initialConcentration="0" hasOnlySubstanceUnits="false"
/>
```

#### 3.5 The FBC FluxBound class

**FluxBound** is a new FBC class derived from **SBML** *SBase* that inherits **metaid** and **sboTerm**, as well as the subcomponents for **Annotation** and **Notes**. The purpose of this class is to hold a single (in)equality that provides the maximum or minimum value that a reaction flux can obtain at steady state. It is is relatively straight forward and implements four attributes.

- An id attribute that contains an FbcSId,
- a reaction attribute that takes an SIdRef and can take SBML Reaction SId as a value,
- an operation attribute of type enum that can take a limited set of boolean operators (see text for details),
- value an attribute that takes a double value representing the bound. This may include  $\pm \infty$  encoded as the value "INF"

## The operation attribute

The operation attribute represents a mathematical (in)equality of the form < reaction > < operator > < value > e.g.

```
\begin{split} R_5 &>= 0 \\ R_5 &< \infty \\ R_7 &= 1.0 \end{split}
```

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An enumerated type that can take one of the following values:

```
<= → "lessEqual"
>= → "greaterEqual"

< → "less"
> → "greater"
= → "equal"

undefined → "unknown"
```

#### Encoding the FluxBound

As described in Section 3.5 the flux bound represents a mathematical (in)equality of the form < reaction > < operator > < value >. In SBML Level 3 Version 1 with FBC this is encoded as:

```
<fbc:listOfFluxBounds>
cfbc:fluxBound fbc:id="R1b" fbc:reaction="R1" fbc:operation="greaterEqual" fbc:value="1.2"/>
cfbc:fluxBound fbc:id="R2b" fbc:reaction="R2" fbc:operation="lessEqual" fbc:value="-1.2"/>
cfbc:fluxBound fbc:id="R3b" fbc:reaction="R3" fbc:operation="greaterEqual" fbc:value="-1NF"/>
cfbc:fluxBound fbc:id="R4b" fbc:reaction="R4" fbc:operation="lessEqual" fbc:value="INF"/>
cfbc:fluxBound fbc:id="R5b" fbc:reaction="R5" fbc:operation="equal" fbc:value="1"/>
cfbc:fluxBound fbc:id="R6b" fbc:reaction="R6" fbc:operation="greater" fbc:value="-1"/>
cfbc:fluxBound fbc:id="R7b" fbc:reaction="R7" fbc:operation="less" fbc:value="1"/>
cfbc:listOfFluxBounds>
```

This example illustrates two things: the encoding of  $\infty$  and that care should be used when selecting inequalities such as "less" or "greater". While mathematically there is a difference, this difference is only practically relevant when working with rational arithmetic (solvers).

## 3.6 The FBC Objective class

As shown in Figure 1 the FBC **Objective** class is derived from **SBML** *SBase* and inherits metaid and sboTerm, as well as the subcomponents for **Annotation** and **Notes**. An integral component in a complete description of a steady-state model is the so-called 'objective function' which generally consist of a linear combination of model variables (fluxes) and a sense (direction). In the FBC package this concept is succinctly captured in the **Objective** class containing two required attributes:

- id an attribute that can only contain an FbcSId,
- type an enum (see below),

and one required element  ${\tt listOfFluxObjectives}$  which contains a  ${\tt ListOfFluxes}$  .

## The type attribute

The **type** attribute contains an **enum** which represents the sense of the optimality constraint and can take one of three values:

```
maximize \mapsto "maximize"
minimize \mapsto "minimize"
undefined \mapsto "unknown"
```

### The listOfFluxObjectives element

The **ListOfFluxes** is derived from and functions like a typical **SBML ListOf** class with the proviso that it cannot be empty and must contain one or more **fluxObjective** elements of type **FluxObjective** (see Section 3.7).

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## 3.7 The FBC FluxObjective class

As shown in Figure 1 the FBC FluxObjective class is derived from SBML *SBase* and inherits metaid and sboTerm, as well as the subcomponents for **Annotation** and **Notes**.

The FluxObjective class is a relatively simple container for a model variable weighted by a signed linear coefficient:

- reaction an attribute that contains an SId that is restricted to refer only to an SBML Reaction,
- coefficient a double.

#### **Encoding the Objective**

The FBC allows for the definition of multiple 'objective functions' with one being designated as active (see Section 3.6) the following example illustrates this:

Note how both Objective instances differ in type and each contains different set of FluxObjectives.

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# 4 Examples

This section contains a variety of examples of SBML Level 3 Version 1 documents employing the Flux Balance Constraints package.

# 4.1 FBC syntax examples

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## 5 Best practices

In this section, we recommend a number of practices for using and interpreting various constructs in the Flux Balance Constraints package. These recommendations are non-normative, but we advocate them strongly; ignoring them will not render a model invalid, but may reduce interoperability between software and models.

## 5.1 Examples contrasting the current SBML L2 encoding with L3 and FBC

These examples contrast some elements of an existing model, iJR904 from the BiGG Database encoded in the COBRA format Becker et al. (2007); Reed et al. (2003); Schellenberger et al. (2010) that have been translated into SBML Level 3 Version 1 using the CBMPy implementation of the FBC package Olivier (2012); Olivier et al. (2005) and libSBML experimental ver. 5.6.0 Bornstein et al. (2008).

## Objective function definition

#### Old style SBML Level 2 objective

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#### New SBML Level 3 style objective

```
cfbc:list0f0bjectives fbc:active0bjective="obj1">
cfbc:objective fbc:id="obj1" fbc:type="maximize">
cfbc:list0fFluxes>
cfbc:flux0bjective fbc:reaction="R_BiomassEcoli" fbc:coefficient="1"/>
c/fbc:list0fFluxes>
c/fbc:objective>
c/fbc:list0f0bjectives>
```

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#### Species definition

It is particularly useful to contrast the differences in **Species** definition as used in constraint based, genome scale models.

#### Old SBML Level 2 style species

To begin with we let's examine the **SBML** Level 2 Version 1 species definition used by the BiGG database and COBRA Becker et al. (2007); Schellenberger et al. (2010). Note how the **name** attribute is overloaded with the chemical formula.

```
<species id="M_atp_c" name="ATP_C10H12N5013P3"
compartment="Cytosol" charge="-4" />
```

#### An alternate SBML Level 2 style annotation

A newer variation of the above, probably necessitated by the discontinuation of the **charge** attribute in **SBML** and libSBML

#### New SBML Level 3 style species

Hopefully, with the adoption of SBML FBC these species properties can be unified into a common format.

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#### Reaction definition and flux bounds

#### Old SBML Level 2 style reaction

```
<reaction id="R_GTHS" name="glutathione_synthetase" reversible="false">
   <html:p>Abbreviation: R_GTHS</html:p>
   <html:p>Synonyms: _0</html:p>
   <html:p>EC Number: 6.3.2.3</html:p>
   <html:p>SUBSYSTEM: Cofactor and Prosthetic Group Biosynthesis</html:p>
   <html:p>Equation: [c] : atp + glucys + gly --&gt; adp + gthrd + h + pi</html:p>
   <html:p>Confidence Level: 0</html:p>
   <html:p>NCD</html:p>
   <html:p>genes:</html:p>
                                                                                                           13
   <html:p>LOCUS:b2947#ABBREVIATION:gshB#ECNUMBERS:6.3.2.3#</html:p>
                                                                                                           14
11
   <html:p>proteins:</html:p>
                                                                                                           15
   <html:p>NAME:glutathione synthase#ABBREVIATION:GshB#</html:p>
                                                                                                           16
   <html:p>GENE ASSOCIATION: (b2947)</html:p>
   </notes>
   <listOfReactants>
                                                                                                           19
16
   <speciesReference species="M_atp_c" stoichiometry="1"/>
17
   <speciesReference species="M_glucys_c" stoichiometry="1"/>
   <speciesReference species="M_gly_c" stoichiometry="1"/>
   </listOfReactants>
   tofProducts>
21
                                                                                                           24
   <speciesReference species="M_adp_c" stoichiometry="1"/>
                                                                                                           25
22
   <speciesReference species="M_gthrd_c" stoichiometry="1"/>
   <speciesReference species="M_h_c" stoichiometry="1"/>
                                                                                                           27
   <speciesReference species="M_pi_c" stoichiometry="1"/>
   </listOfProducts>
   <kineticLaw>
27
   <math xmlns="http://www.w3.org/1998/Math/MathML">
28
   <ci>FLUX_VALUE</ci>
                                                                                                           32
   33
   <listOfParameters>
31
   35
   <parameter id="UPPER_BOUND" value="999999" units="mmol_per_gDW_per_hr"/>
                                                                                                           36
   <parameter id="OBJECTIVE_COEFFICIENT" value="0" />
   <parameter id="FLUX_VALUE" value="0" units="mmol_per_gDW_per_hr"/>
                                                                                                           38
   </list0fParameters>
                                                                                                           39
   </kineticLaw>
   </reaction>
                                                                                                           41
```

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#### New SBML Level 3 style reaction and flux bound

Please note that in order to maintain all the annotation encoded in the **SBML** L2 reaction **notes** an additional (tool specific) annotation is introduced i.e. 'KeyValueData'. This should be considered a transitional step until a generally accepted annotation system is adopted by the constraint based modelling community. Nevertheless, where (unambiguously) possible, the L2 annotation has been converted into a MIRIAM compliant form e.g. the 'EC number'.

```
<reaction metaid="meta_R_GTHS" id="R_GTHS" name="glutathione_synthetase" reversible="false">
      <listOfKeyValueData xmlns="http://pysces.sourceforge.net/KeyValueData">
       <data id="subsystem" type="string" value="Cofactor_and_Prosthetic_Group_Biosynthesis"/>
       <data id="name" type="string" value="glutathione_synthase#ABBREVIATION:GshB#"/>
      <data id="gene_association" type="string" value="(b2947)"/>
<data id="equation" type="string" value="[c]_:_atp_+_glucys_+_gly_--&gt;_adp_+_gthrd_+_h_+_pi"/>
       <data id="genes" type="string"/>
       <data id="proteins" type="string"/>
       <data id="locus" type="string" value="b2947#ABBREVIATION:gshB#ECNUMBERS:6.3.2.3#"/>
10
       <data id="abbreviation" type="string" value="R_GTHS"/>
11
       <data id="synonyms" type="string" value="_0"/>
12
      <data id="confidence_level" type="string" value="0"/>
      </listOfKeyValueData>
14
     <rdf:RDF xmlns:rdf="http://www.w3.org/1999/02/22-rdf-syntax-ns#"
15
            xmlns:dc="http://purl.org/dc/elements/1.1/" xmlns:dcterms="http://purl.org/dc/terms/"
            xmlns:vCard="http://www.w3.org/2001/vcard-rdf/3.0#"
17
            xmlns:bqbiol="http://biomodels.net/biology-qualifiers/"
18
            xmlns:bqmodel="http://biomodels.net/model-qualifiers/">
19
       <rdf:Description rdf:about="#meta_R_GTHS">
20
21
        <bqbiol:is>
         <rdf:Bag>
22
          <rdf:li rdf:resource="http://identifiers.org/ec-code/6.3.2.3"/>
23
         </rdf:Bag>
24
       </babiol:is>
25
       </rdf:Description>
26
     </rdf:RDF>
    </annotation>
28
   </reaction>
```

```
<fbc:listOfFluxBounds>
<fbc:fluxBound fbc:id="R_GTHS_lower_bnd" fbc:reaction="R_GTHS" fbc:operation="greaterEqual"
fbc:value="0"/>
<fbc:fluxBound fbc:id="R_GTHS_upper_bnd" fbc:reaction="R_GTHS" fbc:operation="lessEqual"
fbc:value="999999"/>
</fbc:listOfFluxBounds>
```

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## A Validation of SBML documents

## A.1 Validation and consistency rules

This section summarizes all the conditions that must (or in some cases, at least *should*) be true of an SBML Level 3 Version 1 model that uses the Flux Balance Constraints package. We use the same conventions as are used in the SBML Level 3 Version 1 Core specification document. In particular, there are different degrees of rule strictness. Formally, the differences are expressed in the statement of a rule: either a rule states that a condition *must* be true, or a rule states that it *should* be true. Rules of the former kind are strict SBML validation rules—a model encoded in SBML must conform to all of them in order to be considered valid. Rules of the latter kind are consistency rules. To help highlight these differences, we use the following three symbols next to the rule numbers:

- ✓ A checked box indicates a *requirement* for SBML conformance. If a model does not follow this rule, it does not conform to the Flux Balance Constraints specification. (Mnemonic intention behind the choice of symbol: "This must be checked.")
- A triangle indicates a *recommendation* for model consistency. If a model does not follow this rule, it is not considered strictly invalid as far as the Flux Balance Constraints specification is concerned; however, it indicates that the model contains a physical or conceptual inconsistency. (Mnemonic intention behind the choice of symbol: "This is a cause for warning.")
- ★ A star indicates a strong recommendation for good modeling practice. This rule is not strictly a matter of SBML encoding, but the recommendation comes from logical reasoning. As in the previous case, if a model does not follow this rule, it is not strictly considered an invalid SBML encoding. (Mnemonic intention behind the choice of symbol: "You're a star if you heed this.")

The validation rules listed in the following subsections are all stated or implied in the rest of this specification document. They are enumerated here for convenience. Unless explicitly stated, all validation rules concern objects and attributes specifically defined in the Flux Balance Constraints package.

For convenience and brievity, we use the shorthand "fbc:x" to stand for an attribute or element name x in the namespace for the Flux Balance Constraints package, using the namespace prefix fbc. In reality, the prefix string may be different from the literal "fbc" used here (and indeed, it can be any valid XML namespace prefix that the modeler or software chooses). We use "fbc:x" because it is shorter than to write a full explanation everywhere we refer to an attribute or element in the Flux Balance Constraints package namespace.

## General rules about this package

- To conform to the Flux Balance Constraints package specification for SBML Level 3 Version 1, an SBML document must declare the use of the following XML Namespace:

  [-25] "http://www.sbml.org/sbml/level3/version1/fbc/version1". (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.1 on page 6.)
- Wherever they appear in an SBML document, elements and attributes from the Flux Balance Constraints package must be declared either implicitly or explicitly to be in the XML namespace "http://www.sbml.org/sbml/level3/version1/fbc/version1". (References: SBML Level 3 Package Specification for Flux Balance Constraints , Version 1, Section 3.1 on page 6.)

#### General rules about identifiers

(Extends validation rule #10301 in the SBML Level 3 Version 1 Core specification.) Within a Model the values of the attributes id and fbc:id on every instance of the following classes of objects must be unique across the set of all id and fbc:id attribute values of all such objects in a model: the Model itself, plus all contained FunctionDefinition, Compartment, Species, Reaction, SpeciesReference, ModifierSpeciesReference, Event, and Parameter objects, plus

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Rules for the ex	xtended SBML class	4
fbc-20101 ✓	In all SBML documents using the Flux Balance Constraints package, the SBML object must	5
	include a value for the attribute fbc:required attribute. (References: SBML Level 3 Version 1	6
	Core, Section 4.1.2.)	7
fbc-20102 ☑	The value of attribute <b>fbc:required</b> on the <b>SBML</b> object must be of the data type <b>boolean</b> . (References: SBML Level 3 Version 1 Core, Section 4.1.2.)	8
fbc-20103	The value of attribute fbc:required on the SBML object must be set to "false". (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.1 on page 6.)	10 11 12
Rules for exten	ded Model object	13
fbc-20201   ✓	There may be at most one instance of each of the following kinds of objects within a <b>Model</b>	14
	object using Flux Balance Constraints: <b>ListOfFluxBounds</b> and <b>ListOfObjectives</b> . (References:	15
	SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.3 on	16
	page 6.)	17
fbc-20202	The various ${f ListOf}$ subobjects with an ${f Model}$ object are optional, but if present, these con-	18
	tainer object must not be empty. Specifically, if any of the following classes of objects are	19
	present on the <b>Model</b> , it must not be empty: <b>ListOfFluxBounds</b> and <b>ListOfObjectives</b> . (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Sec-	20
	tion 3.3 on page 6.)	22
fbc-20203   ✓	Apart from the general notes and annotation subobjects permitted on all SBML objects, a	23
	<b>ListOfFluxBounds</b> container object may only contain <b>FluxBound</b> objects. (References: SBML	24
	Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.3 on page 6.)	25
fbc-20204   ✓	Apart from the general notes and annotation subobjects permitted on all SBML objects, a	26
	<b>ListOfObjectives</b> container object may only contain <b>Objective</b> objects. (References: SBML	27
	Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.3 on page 6.)	28
fbc-20205 🗹	A ListOfFluxBounds object may have the optional metaid and sboTerm defined by SBML	29
	Level 3 Core. No other attributes from the SBML Level 3 Core namespace or the Flux Balance	30
	Constraints namespace are permitted on a <b>ListOfFluxBounds</b> object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.3 on page 6.)	31
	Level 3 Fackage specification for Plux balance Constraints, version 1, Section 3.3 on page 6.)	32
fbc-20206 <b>☑</b>	A ListOfObjectives object may have the optional attributes metaid and sboTerm defined by	33
	SBML Level 3 Core. Additionally the <b>ListOfObjectives</b> must contain the attribute <b>activeObject</b> No other attributes from the SBML Level 3 Core namespace or the Flux Balance Constraints	
	namespace are permitted on a <b>ListOfObjectives</b> object. (References: SBML Level 3 Package	35 36
	Specification for Flux Balance Constraints, Version 1, Section 3.3 on page 6.)	37
fbc-20207 ✓	The value of attribute fbc:activeObjective on the ListOfObjectives object must be of the	38
.50 20201	data type <b>FbcSIdRef</b> . (References: SBML Level 3 Package Specification for Flux Balance Con-	39
	straints, Version 1, Section 3.2.2 on page 6).	40
fbc-20208	The value of attribute fbc:activeObjective on the ListOfObjectives object must be set to	41
	the <b>FbcSId</b> of an existing <b>Objective</b> . (References: SBML Level 3 Package Specification for Flux	42
	Balance Constraints, Version 1, Section 3.2.2 on page 6.)	43

the <code>FluxBound</code> , <code>Objective</code> and <code>FluxObjective</code> objects defined by the Flux Balance Constraints package. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Ver-

sion 1, Section 3.2 on page 6.)

## Rules for extended Species object

- A SBML Species object may have the optional attributes fbc: charge and fbc: chemicalFormula. 
  No other attributes from the Flux Balance Constraints namespaces are permitted on a Species.

  (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.4 on page 8)

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- The value of attribute fbc:charge on the SBML Species object must be of the data type integer. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.4 on page 8).
- The value of attribute fbc:chemicalFormula on the SBML Species object must be set to the string. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.4 on page 8.)

### Rules for FluxBound object

- fbc-20401 ✓ A FluxBound object may have the optional SBML Level 3 Core attributes metaid and sboTerm. No other attributes from the SBML Level 3 Core namespaces are permitted on a FluxBound. (References: SBML Level 3 Version 1 Core, Section 3.2.)
- **fbc-20402** ✓ A **FluxBound** object may have the optional SBML Level 3 Core subobjects for notes and annotations. No other elements from the SBML Level 3 Core namespaces are permitted on a **FluxBound**. (References: SBML Level 3 Version 1 Core, Section 3.2.)
- fbc-20403 ✓ A FluxBound object must have the required attributes fbc:reaction, fbc:operation and fbc:value, and may have the optional attributes fbc:id and fbc:name. No other attributes from the SBML Level 3 Flux Balance Constraints namespace are permitted on a FluxBound object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, ?? on page ??.)
- The attribute fbc:reaction in FluxBound must be of the data type SIdRef. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)
- fbc-20405 

  ✓ The attribute fbc:name in FluxBound must be of the data type string. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)
- **fbc-20406** ✓ The attribute **fbc:operation** in **FluxBound** must be of the data type **enum**. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)
- **fbc-20407** ✓ The attribute **fbc:value** in **FluxBound** must be of the data type **double**. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)
- The value of the attribute **fbc:reaction** in a **FluxBound** object must be the identifier of an existing **Reaction** object defined in the enclosing **Model** object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)
- The value of the attribute fbc: operation in a FluxBound object must be one of "lessEqual", "greaterEqual", "less", "greater" or "equal". (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.5 on page 8.)

## Rules for Objective object

fbc-20501 ✓ A Objective object may have the optional SBML Level 3 Core attributes metaid and sboTerm. No other attributes from the SBML Level 3 Core namespaces are permitted on a Objective . (References: SBML Level 3 Version 1 Core, Section 3.2.)

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A Objective object may have the optional SBML Level 3 Core subobjects for notes and annotations. No other elements from the SBML Level 3 Core namespaces are permitted on a Objective . (References: SBML Level 3 Version 1 Core, Section 3.2.) A Objective object may have the optional attributes fbc:id and fbc:name and the required attribyte fbc:type. No other attributes from the SBML Level 3 Flux Balance Constraints namespace are permitted on a **Objective** object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) fbc-20504 V The attribute fbc:name in Objective must be of the data type string. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) fbc-20505 V The attribute **fbc:type** in **Objective** must be of the data type **enum**. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) fbc-20406 **☑** The value of the attribute fbc:type in a Objective object must be one of "minimize" or "maximize". (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) fbc-20507 V A **Objective** must have one and only one instance of the **ListOfFluxes** object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) The ListOfFluxes subobject with a Objective object must not be empty. (References: SBML 18 Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) 19 fbc-20509 V Apart from the general notes and annotation subobjects permitted on all SBML objects, a ListOfFluxes container object may only contain Objective objects. (References: SBML Level 3 21 Package Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) 22 fbc-20510 **☑** A ListOfFluxes object may have the optional metaid and sboTerm defined by SBML Level 3 Core. No other attributes from the SBML Level 3 Core namespace or the Flux Balance Con-24 straints namespace are permitted on a ListOfFluxes object. (References: SBML Level 3 Pack-25 age Specification for Flux Balance Constraints, Version 1, Section 3.6 on page 9.) 26 Rules for FluxObjective object fbc-20601 V A FluxObjective object may have the optional SBML Level 3 Core attributes metaid and 28 sboTerm. No other attributes from the SBML Level 3 Core namespaces are permitted on a FluxObjective . (References: SBML Level 3 Version 1 Core, Section 3.2.) 30 fbc-20602 V A FluxObjective object may have the optional SBML Level 3 Core subobjects for notes and annotations. No other elements from the SBML Level 3 Core namespaces are permitted on a 32 FluxObjective . (References: SBML Level 3 Version 1 Core, Section 3.2.) 33 A FluxObjective object must have the required attributes fbc:reaction and fbc:coefficient, and may have the optional attributes fbc:id and fbc:name. No other attributes from the SBML Level 3 Flux Balance Constraints namespace are permitted on a FluxObjective object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Sec-37 tion 3.7 on page 10.) fbc-20604 V The attribute **fbc:name** in **FluxObjective** must be of the data type **string**. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.7 on page 10.) The value of the attribute **fbc:reaction** of a **FluxObjective** object must be the identifier of an existing **Reaction** object defined in the enclosing **Model** object. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.7 on page 10.)

fbc-20606 

The value of the attribute fbc:coefficient of a FluxObjective object must conform to the syntax of the SBML data type double. (References: SBML Level 3 Package Specification for Flux Balance Constraints, Version 1, Section 3.7 on page 10.)

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