



Multilingual Word Embeddings for Unravelling the Rule of Law in European Countries

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Introduction

Introduction

This work in progress analyses the salience of different conceptualisations of the \sim rule of law in the parliamentary debates of 26 European countries from 2015 to 2022 using ParlaMint 4.0 dataset (\sim 912M words).

We are testing a number of applications of multilingual embeddings (MWEs) to deal with the range of corpora languages (*i.e.*, North and West Germanic, Eastern South and West Slavic, Finnic, Western Romance, among others) and be able to evaluate differences and similarities across countries.

At this stage, we are presenting some bilingual models (BWEs) trained using machine-translated corpora.

Different Approaches to the Rule of

Law

☐ Unravelling the Rule of Law Project



To what extent should the rule of law exclusively refer to the quality of the legal system (thin conceptualisation) and to what extent should it also include human rights and other adjacent concepts (thick conceptualisation)?

In a nutshell, this project applies ML to:

- Demonstrate how the meaning of the rule of law has changed over time
- Understanding of the rule of law concept differs across jurisdictions
- Provide novel rule of law indicators

Rule of Law, Rechtsstaat and Etat de Droit

The European Commission for Democracy Through Law (Venice Commission) distinguishes the rule of law, Rechtsstaat and Etat de droit as different historical traditions.

The rule of law emerges in parallel to the supremacy of the Parliament as a principle of the British unwritten constitution. The rule of law concept, following Dicey's definition, focuses on three core aspects: equality, certainty and non-retrospectively.

However, the concept has evolved including procedural and substantive aspects.

Rule of Law, Etat de Droit and Rechtsstaat

Rechtsstaat focused more on the nature of the state and was defined in opposition to absolutism. Therefore, protection had to be provided by the legislative rather than the judiciary.

The French **Etat de droit** focused on the nature of the state as the guarantor of fundamental rights enshrined in the Constitution. Together with **Rechtstaat**, they focused more on the role of the constitution and impulse constitutional review.

Since the end of World War II, there has been a trend to keep some substantive components of the different conceptualisations of the rule of law.

Multilingual Word Embeddings

Parliamentary Debates Data

We use ParlaMint 4.0, which offers data on parliamentary debates of 26 European countries and three Spanish autonomous regions from about 2015 to mid-2022 (some countries even from the 1990s). The dataset offers not only the original speeches but also corpora linguistically annotated in the original languages and machine-translated versions of the texts.



ParlaMint Corpora \sim 1.1B words



This implies that \sim 7.5M utterances were delivered in Parliament in the case of unicameral systems or some of the relevant chambers in bicameral systems.

Subsetting Corpora \sim 912M words



Austria, Bosnia and Herzegovina, #= Norway, and **=** Serbia have had coverage since the 1990s. Therefore. to balance better corpora for cross-national comparison, we sliced the data and focused on the 2015-2022 period.

Coverage and Machine-Translated Accuracy

- The filtered machine-translated corpora cover \sim 6M of utterances and \sim 912M words.
- The upper chamber is not included in some of unicameral systems, namely Austria, Belgium, Czech Republic and France. In Italy, the *Camera* is not included.
- **9** Low machine-translated quality (BLEU \leq 40) for \blacksquare Slovenian, \blacksquare Norwegian and \blacksquare Bosnian.
- ✓ In general, the quality of machine-translation is high and fluent ($50 \le BLEU < 60$), with several languages standing out with quality that is probably better than an average human's ($BLEU \ge 60$). *E.g.*, □ Danish, □ Italian.

^{*} BLEU - Bilingual Evaluation Understudy score

Bilingual Word Embedding Pivot Models

■ Pre-processing

Tokenization *

Remove punctuation and symbols

Remove numbers and spaces

Use lowercase

Multiword collocations **

- * English Punkt tokenizer trained on ${\sim}469\mathrm{M}$ tokens of Wall Street Journal
- ** Specific list of 40 n-grams: rule_of_law,
 open_government, procedural_rights,
 justice_access, fundamental_rights,
 individual_rights, human_rights, among others.

■ Models

Naïve models per country Procrustes aligned models

</> Parameters

Skim-gram with negative sampling
Training window size of 10
Vectors of 300 dimensions
Five epochs and bootstrapping of 30
Pruning low freq. and noisy words ***
Cython and 12-cores

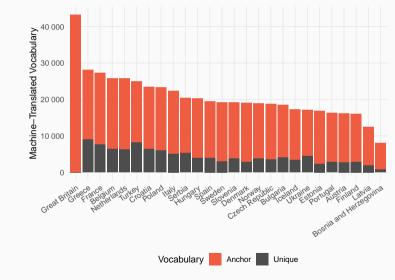
*** Threshold of 10

Procedural Substantive Rules **Judiciary** clarity, stability judicial_impartiality Rights judicial_independence open_government civil liberties generality, certainty judicial_protection human_rights predictability judicial_accountability Democracy fundamental_rights prospective iudicial_review democracy property_rights arbitrariness constitutional review democratic constitutional_rights publicity judicial_power minority_rights transparency iudicial_activism inalienable_rights congruence, consistency courts_independence coherence prosecutors_independence etc. etc.

Preliminary Results

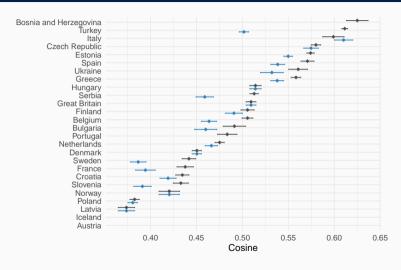
Vocabulary of Naïve and Aligned Models

The vocabulary of the naïve models is ~ 500 K words. Procrustes alignment helps to deal with spatial noncomparability. however, the vocabulary decreases to \sim 428K.



English as 🕹

Rule of Law and Procedural Cluster - Judiciary



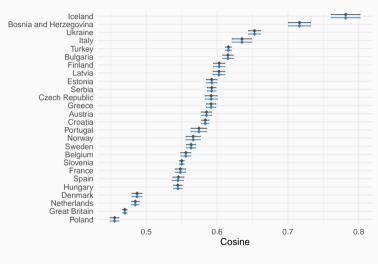
Models → Aligned → Naïve

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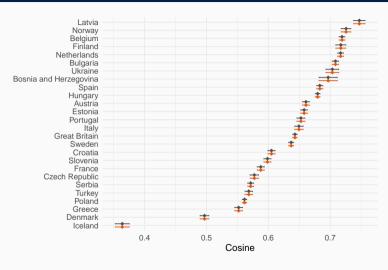
Rule of Law and Procedural Cluster - Rules



Models → Aligned → Naïve

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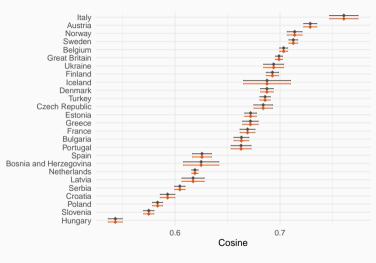
Rule of Law and Substantive Cluster - Democracy



Models → Aligned → Naïve

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Rule of Law and Substantive Cluster - Rights



Models → Aligned → Naïve

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Main Patterns of the Rule of Law

Judiciary < Rules < Rights < Democracy (n = 10)

💶 Belgium 🖃 Bulgaria 🛎 Croatia 🎛 Finland 💳 Latvia 🗖 Netherlands 🏪 Norway

🛏 Slovenia 🔲 Spain 💳 Ukraine

Judiciary < Rules < Democracy < Rights (n = 8)

💳 Austria 💳 Denmark 💳 Estonia 💶 France 💵 Italy 🛏 Poland 💷 Portugal 🏣

Sweden

Judiciary < Democracy < Rules < Rights (n = 4)

🛌 Czech Republic 🔄 Greece 🗺 Serbia 🔼 Turkey

Isolated Country-Cases (n = 4)

Bosnia and Herz. (Rules < Democracy < Judiciary < Rights) Great Britain (Rules < Judiciary < Democracy <

Rights) Hungary (Judiciary < Rights < Rules < Democracy) Iceland (Judiciary < Democracy < Rights < Rules)

Take Aways and Future Work

Take Aways and Future Work

- ✓ Most countries tend to exhibit patterns associating the rule of law with more substantive aspects such as democracy and rights.
- ✓ We hope to explore frequency-based approaches to tune our clusters. The judiciary terms seem to have greater cross-national heterogeneity, which is noticeable in the alignment and in the differences in this cluster (courts vs. judicial independence).
- ✓ We want to progress in incorporating texts in the original languages into our models and analyses.
- ➤ In this sense, a potential caveat is that using English as an ♣ anchor in BWEs could generate underlying bias towards the British definition of the rule of law.

Take Aways and Future Work

- We want to explore developing a tailored gold standard to evaluate our models and compare their similarities with human coders' opinions on specific concepts.
- ▶ For the moment, using WordSim-353, the models show average performance except for \blacksquare Bosnia and Herzegovina, \blacksquare Denmark, and \blacksquare Spain (\sim 0.4). The Bosnian case could be associated with low-quality machine-translation.
- ✓ We hope to explore the use of transformers and domain transfer with LLMs. Also, validate with indicators such as the Rule of Law Dashboard.

Thank you very much!

Do you have any questions?

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