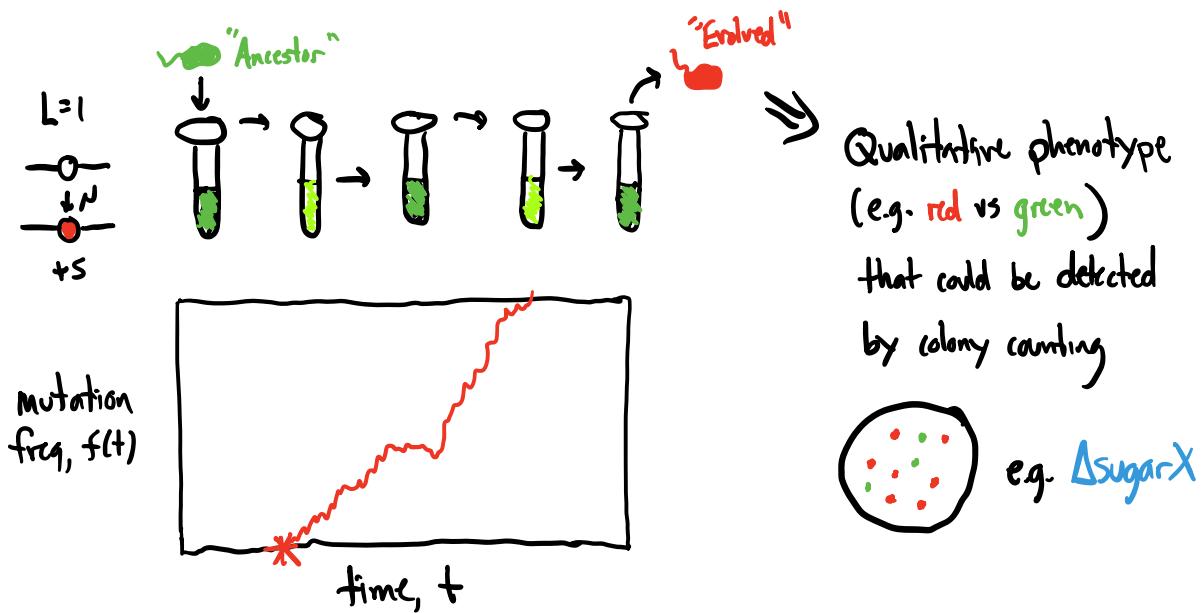


# **Chapter 8**

## **DNA sequencing & genomics**

# DNA Sequencing & Genomics

So far....

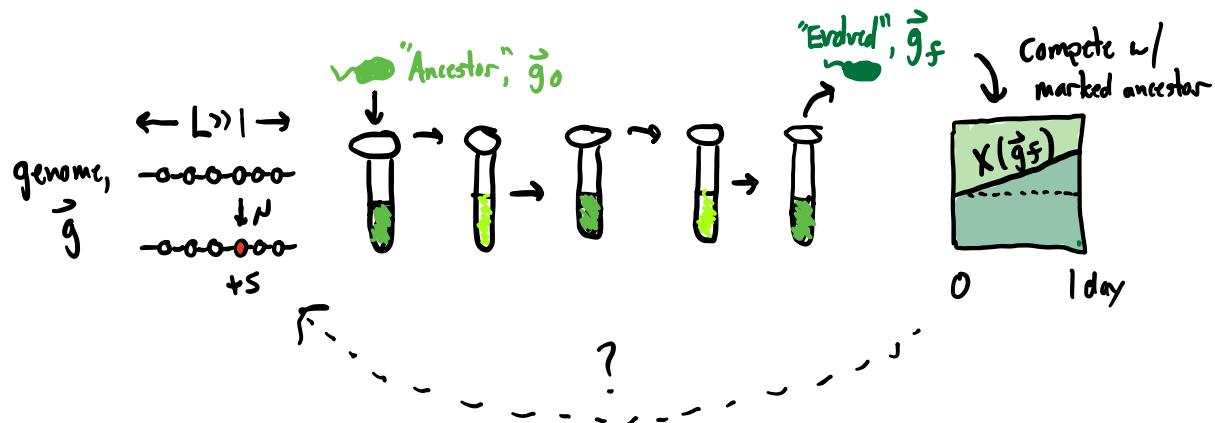


⇒ In practice, genomes contain many sites

⇒ don't know what phenotypes mutations  
@ these sites produce or how to  
measure them w/ colony counting assay...

$$\left( \begin{array}{l} L \sim 10^4 - 10^5 \text{ for viruses} \\ L \sim 10^6 - 10^7 \text{ for bacteria} \\ L \sim 10^9 \text{ for humans} \end{array} \right)$$

Historically, experimental evolution relied on competitive fitness

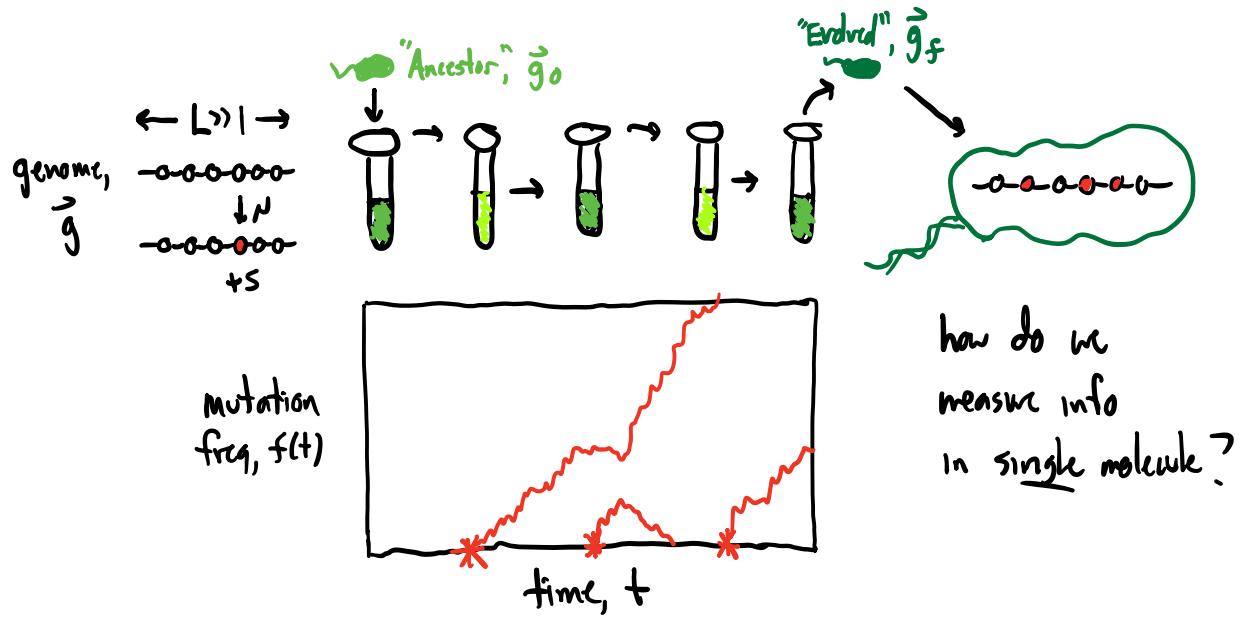


$\Rightarrow$  statistics of  $X(\vec{g}_f)$  w/in & between populations  
tell us something about evolutionary dynamics of  $\vec{g}$

$\Rightarrow$  downside: indirect! many different dynamics of  $\vec{g}$   
consistent w/ same dynamics of  $X(\vec{g})$ ...

+ mapping from  $\vec{g} \rightarrow X(\vec{g})$  poorly understood...

Now: DNA sequencing allows us to measure genomes directly\*

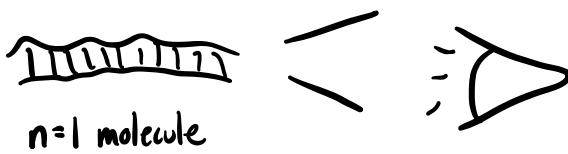


Recall: genome =  $\begin{matrix} \text{A} & \text{T} & \text{C} & \text{G} & \text{T} & \text{A} \\ \text{T} & \text{A} & \cdots & \cdots & \cdots & \end{matrix}$    
 complementary strands

On the right, values for  $L$  are given:

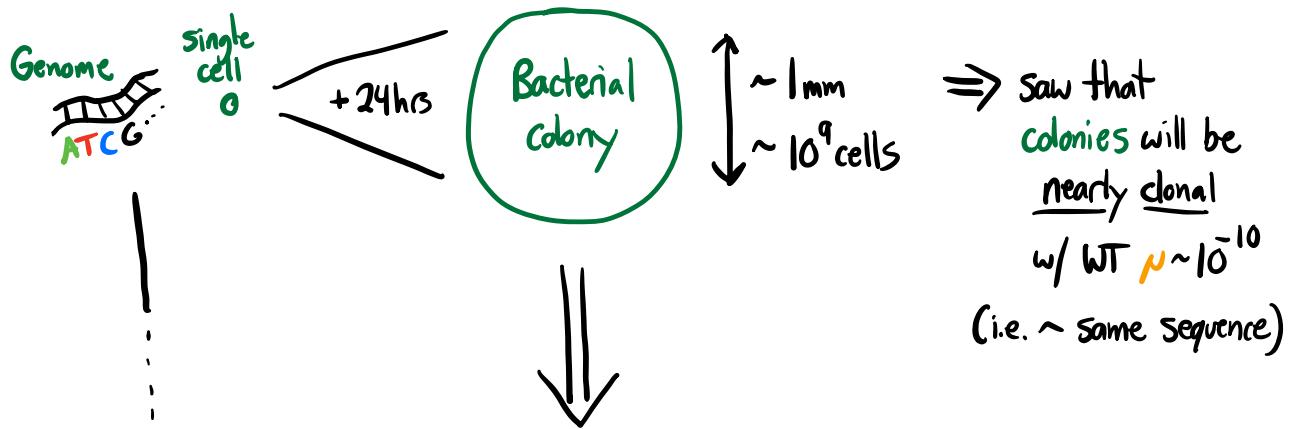
- $L \sim 10^{4-5}$  viruses
- $L \sim 10^6$  bacteria
- $L \sim 10^9$  humans

$\Rightarrow$  Step 1 for reading genomes: amplification!

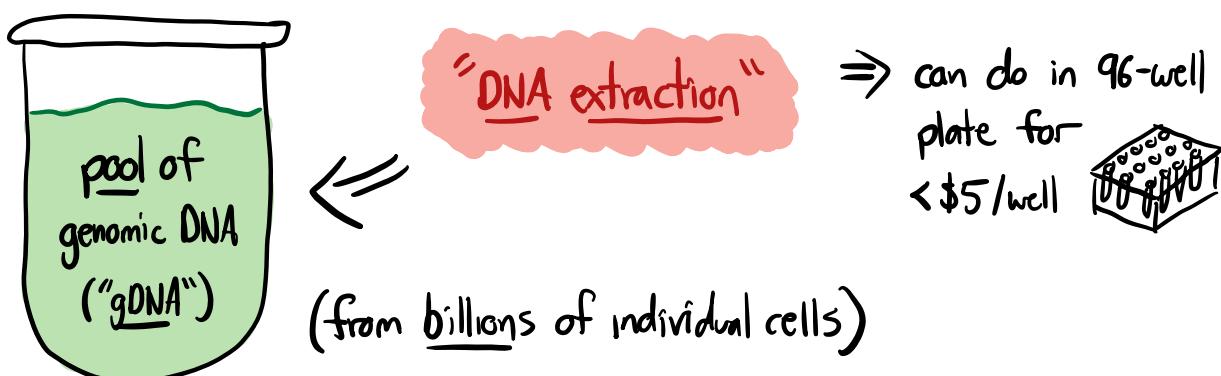


need macroscopic quantities  
of our DNA molecule  
(w/ same DNA sequence)  
to work with...

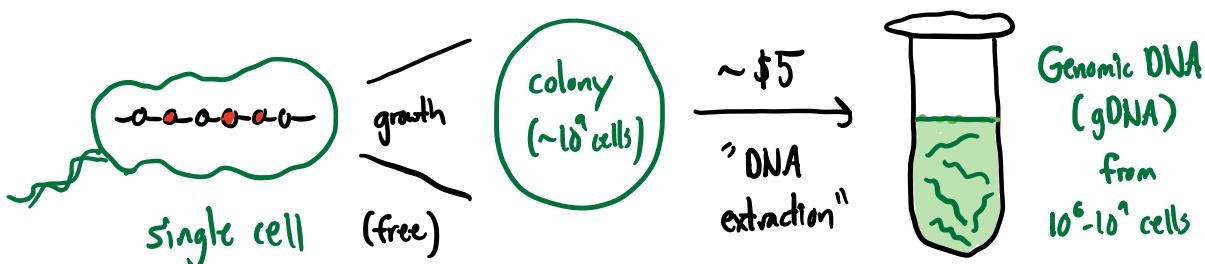
⇒ easy for lab bacteria! use built-in ability to grow exponentially:



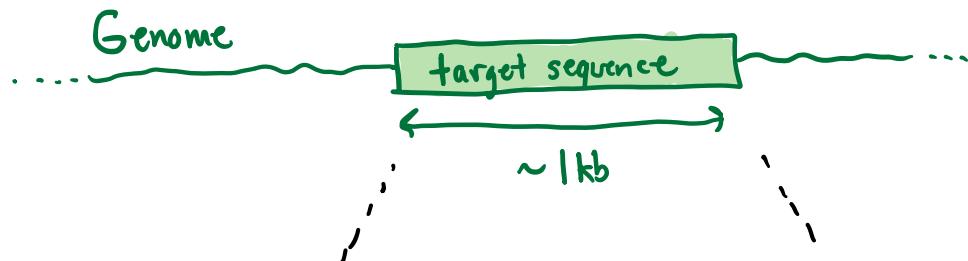
∃ techniques for breaking apart cells ("lysis")  
& extracting just the DNA molecules...



Recap: Step 1: Amplification ("get a macroscopic amount of them")



Problem:  $L = 10^6$  sized genomes too difficult to measure directly  
⇒ most sequencing methods work w/ short sequences ( $\leq 10^3$  bp)



How to get a macroscopic amount of just this region?

Answer: PCR ("polymerase chain reaction")

⇒ cell-free chemical reaction that's like  
but just for part of genome.



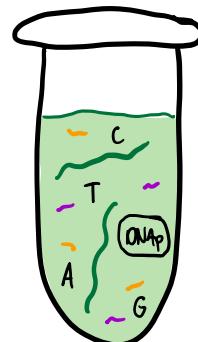
⇒ takes advantage of DNA replication machinery invented by bacteria

\* But requires us to know some of the sequence near target

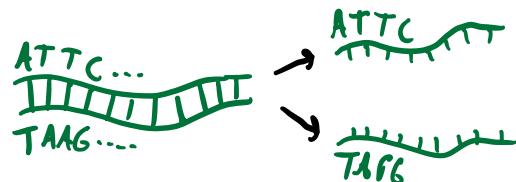


① can have company synthesize "primers" (short sequences of ~20bp) that correspond to P1 + P2. (~\$0.30/bp for ~10<sup>3</sup> reactions)

② mix w/ DNA polymerase,  
dNTP (free A's, C's, T's, G's)  
+ your genomic DNA.



③ Heat sample so that DNA strands "melt" (~90°C)



④ Now cool sample (~60°C) so that primers "anneal" to input DNA



⇒ melting + annealing is physics problem :  $\frac{p(\text{bound})}{p(\text{unbound})} \sim e^{-\frac{\Delta E}{kT}}$

w/  $\Delta E \approx \# \text{ matched bp}$   
(very roughly...)

$\Rightarrow$  want primers to bind to known region, but not anywhere else!



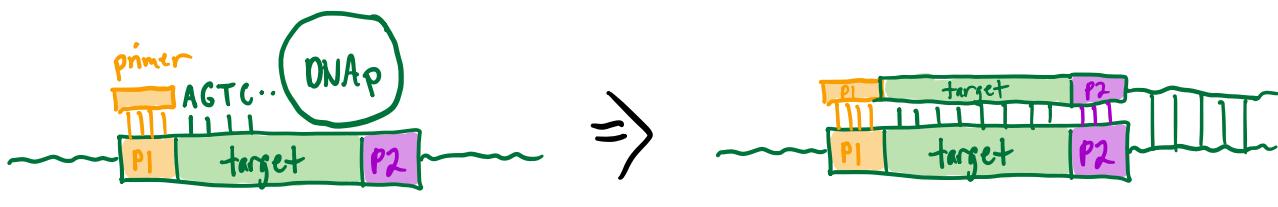
\* we saw in PSET 1 that most of E.coli genome is uniquely identifiable w/  $l \approx 20\text{bp}$  sequence  $\Rightarrow \sim 20\text{bp}$  primers sounds ok \*

( more generally  $\Rightarrow$  "information theory argument":

$$\# \text{unique locations } (L) \lesssim \# \text{unique sub-sequences } (4^l)$$

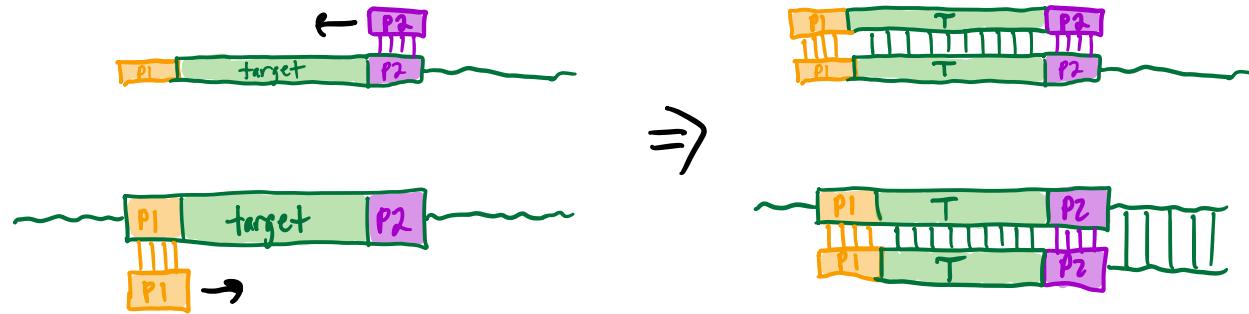
$$\Rightarrow l^* \gtrsim \log_4(L) \begin{cases} \sim 10 \text{ bp (E.coli)} \\ \sim 15 \text{ bp (Humans)} \end{cases}$$

⑤ After primers are bound, DNA polymerase will start incorporating dNTPs onto **primer** to create complementary strand...



("extension phase")

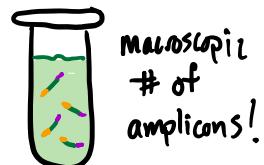
⑥ Melt, anneal, & extend again:



⑦ Repeat for  $k \sim 20-30$  cycles...

$\Rightarrow$  exponential amplification of sequence!  
("amplicon")

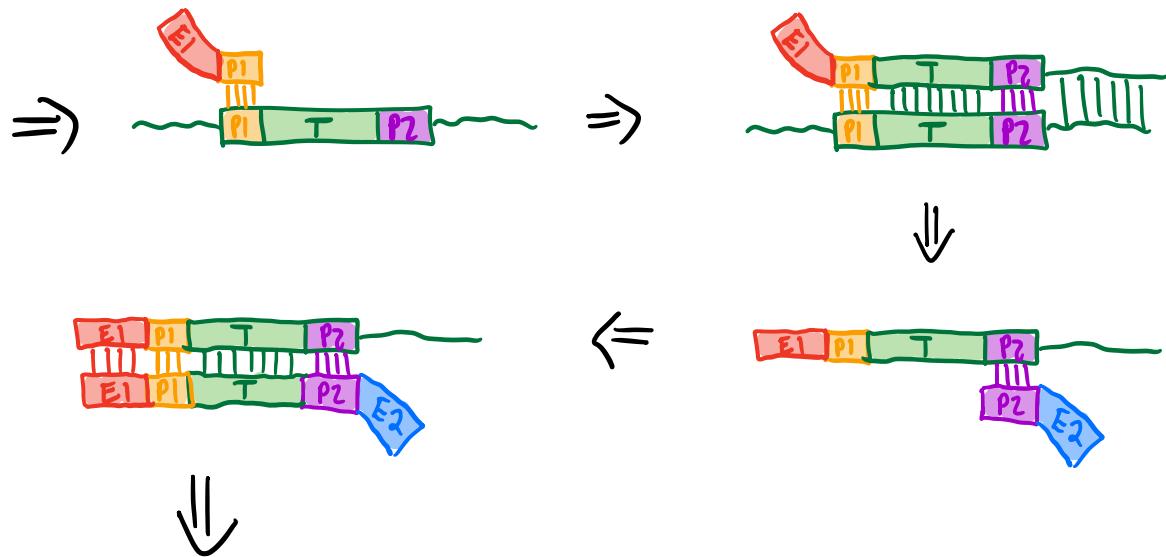
⑧ "clean up" to remove leftover primers, etc.  $\Rightarrow$



$\Rightarrow$  Note: can also use PCR to add extra bit of DNA sequence to your target region...

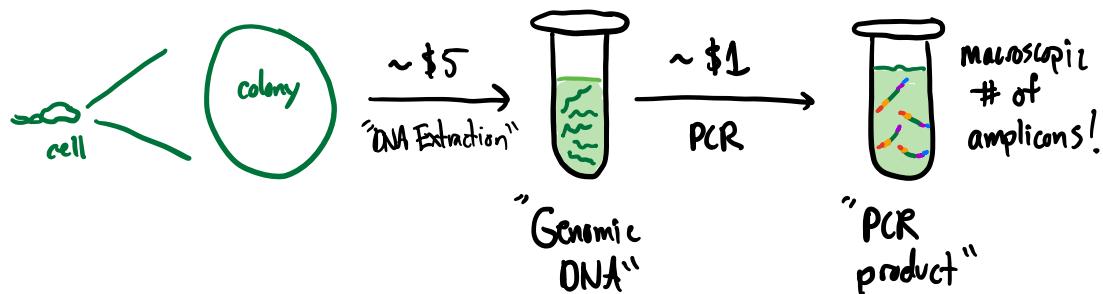
$\Rightarrow$  order modified primers:

EXTRA SEQ1 P1  
P2 EXTRA SEQ2  $\leq O(100\text{bp})$



Amplified sequence:

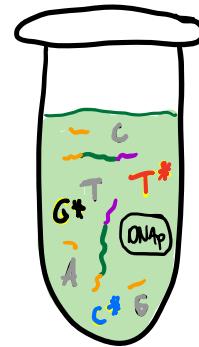
Recap:



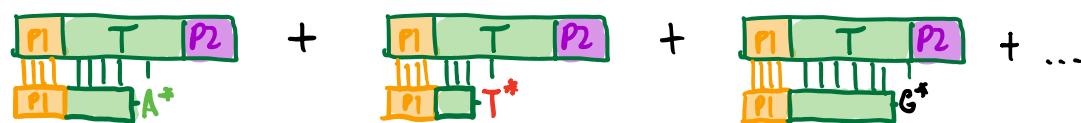
⇒ How do we read out information from PCR products?

Traditional approach: Sanger sequencing  
(like 1 extra round of PCR...)

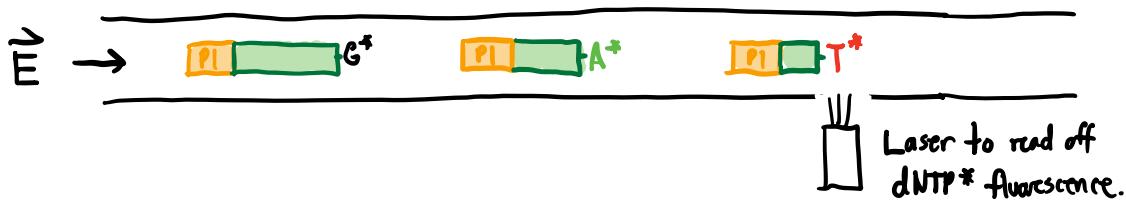
Idea: mix PCR product w/ **P1**, DNAP, dNTPs,  
+ special fluorescent dNTPs that block DNAP  
(**A\***, **G\***, **C\***, **T\***)



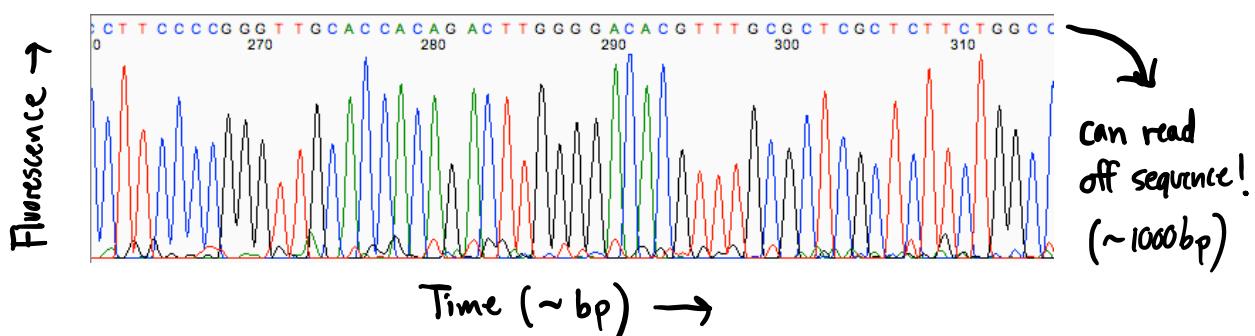
⇒ After 1 round of extension, random mixture of



⇒ Flow in electric field (shorter fragments move faster)



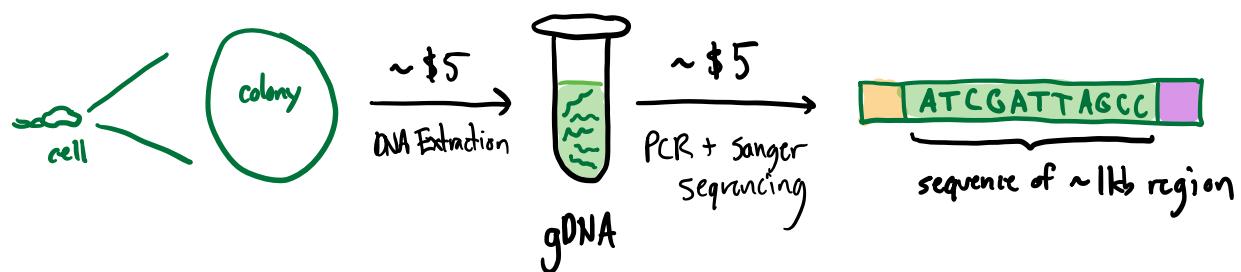
⇓ "chromato-gram"



$\Rightarrow$  costs  $\sim \$5$  (send away overnight: )



$\Rightarrow$  so have seen how to go from:



$\Rightarrow$  in Problem 1 of PSET 2, Lang & Murray used sanger seq to sequence URA3 genes in  $\sim 300$  yeast colonies

$\Rightarrow$  \$1500 total

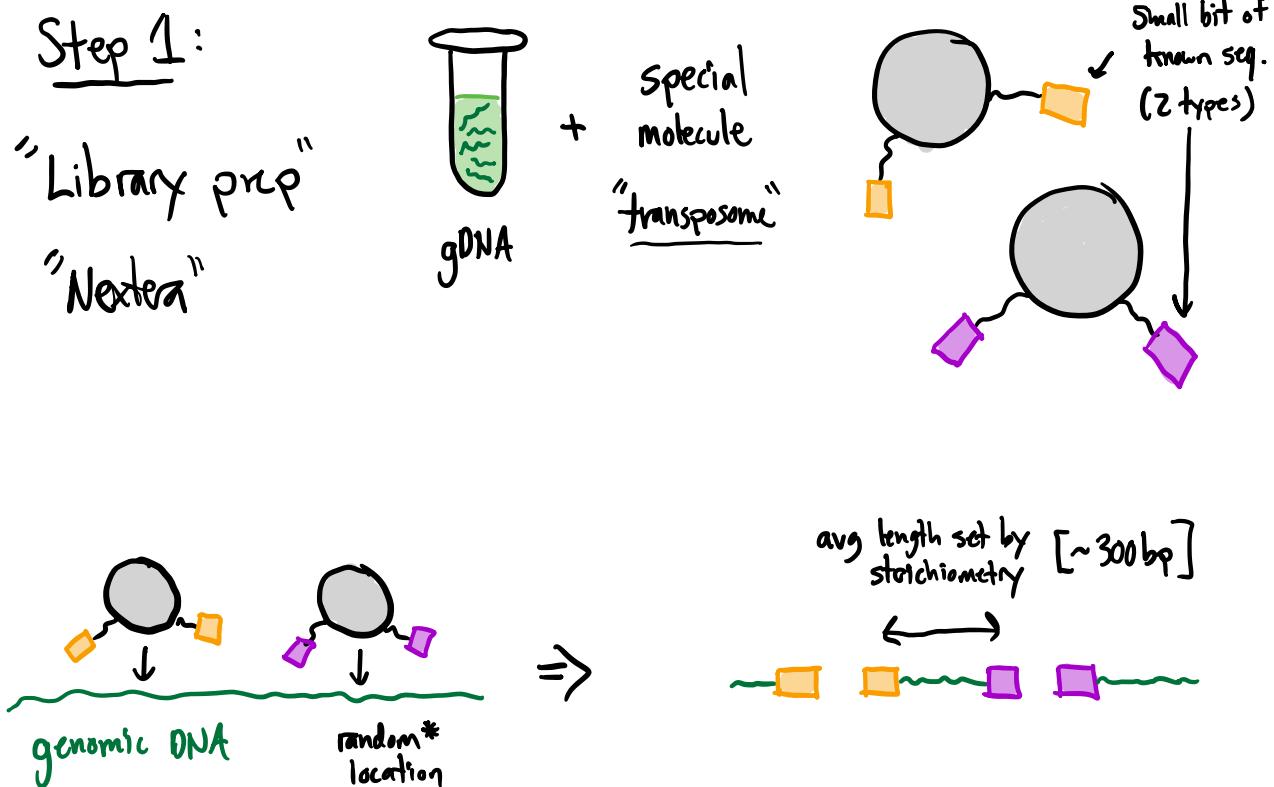
$\Rightarrow$  But expensive to sequence whole genomes!

e.g. E. coli: 1 clone =  $10^6$  bp  $\Rightarrow 10^3$  Sanger seq runs  $\rightarrow \$5k$

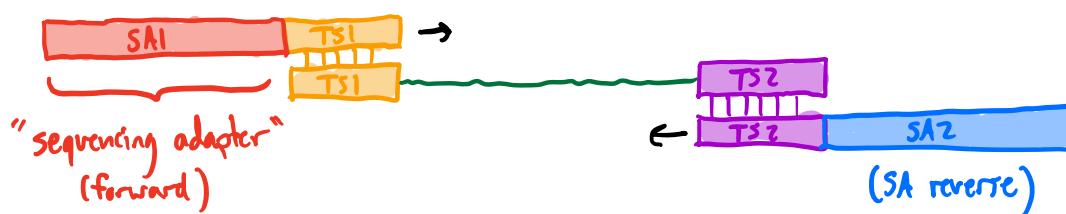
e.g. Humans: 1 person =  $10^9$  bp  $\Rightarrow 10^6$  Sanger seq runs  $\rightarrow \$5M$

⇒ Now things are much cheaper w/ Next-gen sequencing  
("Illumina sequencing")

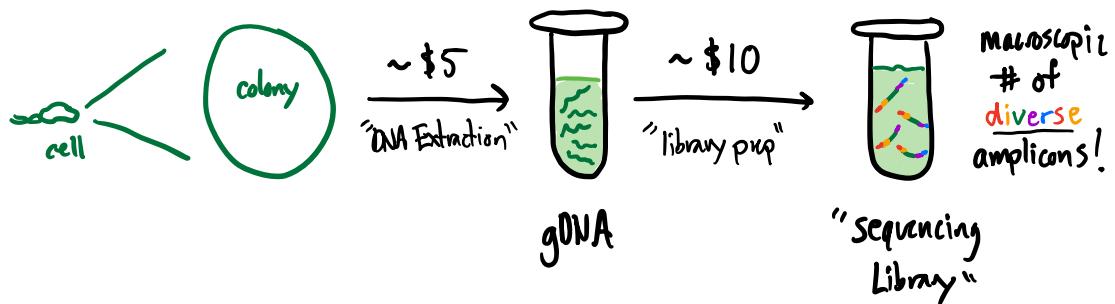
⇒ same idea, but higher throughput!



Step 2: Now in position to do PCR & add extra known sequence:

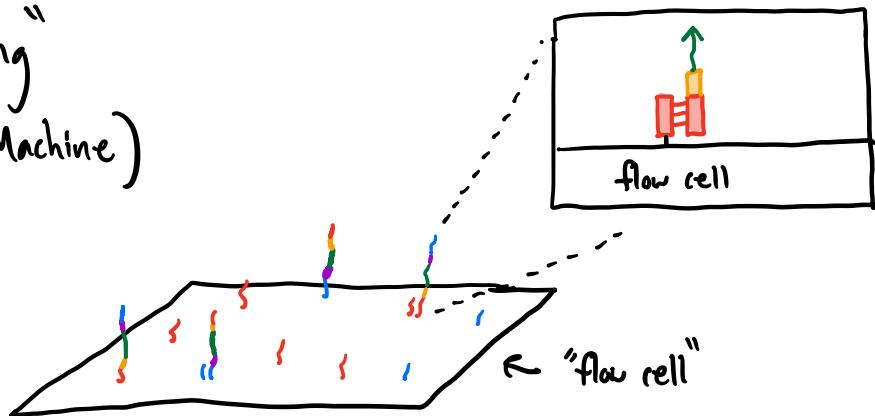


⇒ This gives us:



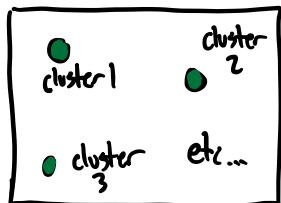
Step 3: "Sequencing"  
(Illumina Machine)

Flow library onto chip  
w/ probes that  
bind sequencing adapter



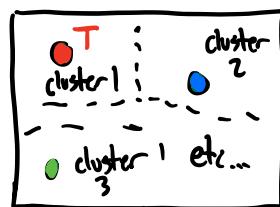
Step 4: do more PCR on bound fragments to turn each molecule into macroscopic cluster of identical\* molecules

top down view:



Step 5: flow primer + fluorescent dNTPs that incorporate once (then stop) (SA1)

⇒ if take picture of flow cell:



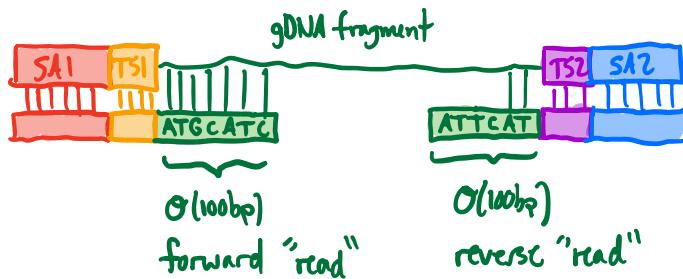
⇒ different colors = nucleic acid @ first position

Step 6: remove fluorescent part (+ block), ⇒ 2nd position.  
+ repeat w/ new round of dNTPs

Step 7: can repeat for  $\Theta(100)$  cycles [until pictures ≈ desynchronized]

Step 8: can also repeat for reverse direction (SA2)

Net result:

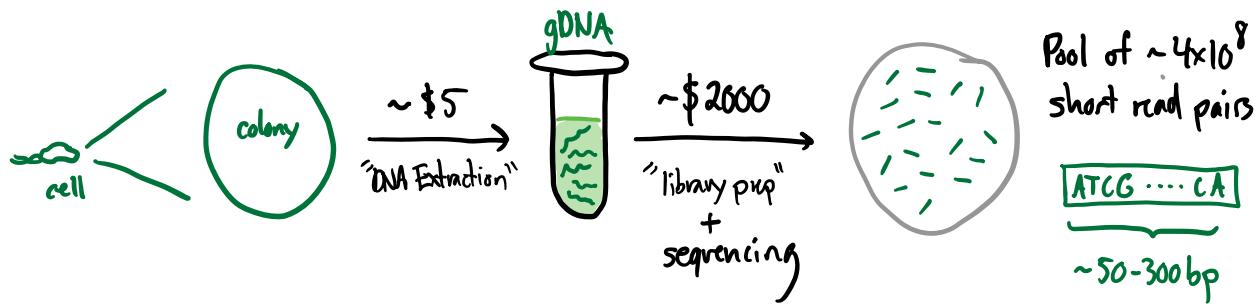


⇒ get  $\Theta(100\text{ bp})$  read out from each end of single DNA fragment

$\Rightarrow$  w/ modern Illumina machines, process is very high throughput!

$\Rightarrow$   $\sim 4 \times 10^8$  read pairs in ~few days for  $\sim \$2000$   
(catch: can't do smaller batches)

Recap: Now have method for going from:

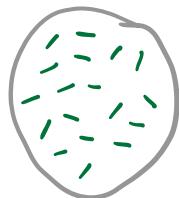


Next: What can we do with this kind of data?

$\Rightarrow$  need to put puzzle back together... 2 main methods

# ① "De novo Genome Assembly"

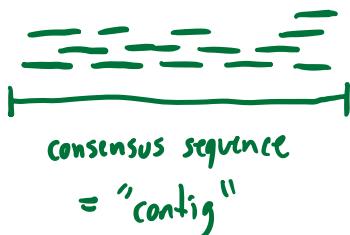
(common programs: spades, Velvet, ...)



Pool of  $\sim 4 \times 10^8$   
short read pairs  
  
 $\sim 50\text{-}300\text{ bp}$

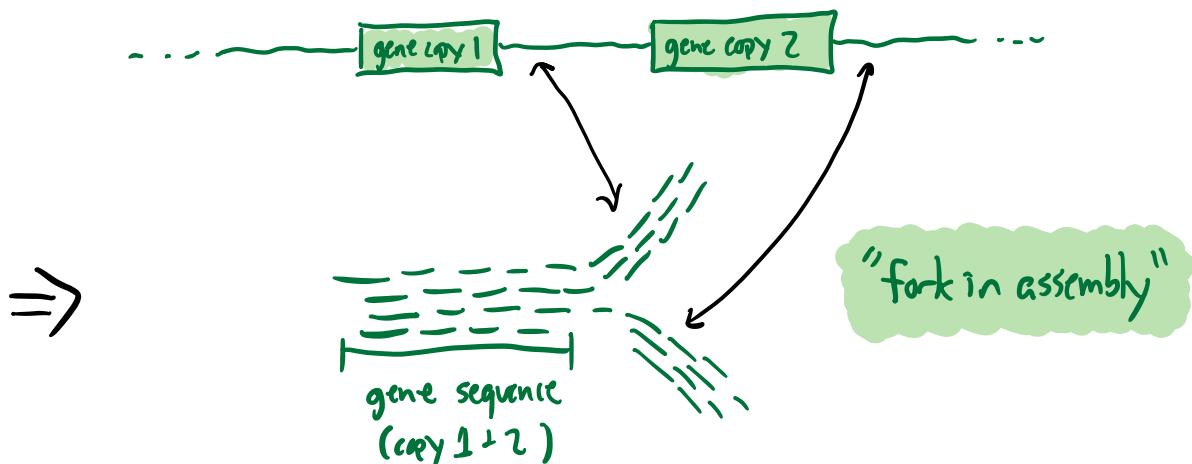
Idea: look for reads that partially overlap

(e.g.  $\geq 20\text{ bp}$ )



$\Rightarrow$  simple in principle, but lots of corner cases ...

$\Rightarrow$  e.g. what if 2 regions of genome are identical  
for  $\geq 100\text{ bp}$  (or length of read?)

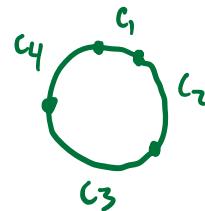


$\Rightarrow$  for these + other reasons, assembly typically yields collection of discrete contigs,

each  $\sim 10^3 - 10^5$  bp long : 

$\Rightarrow$  much harder (+ manual effort)

to "finish" into complete genome



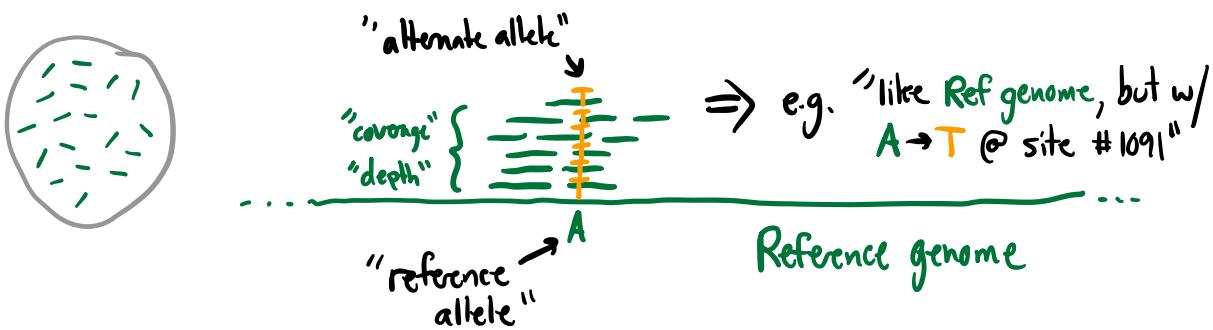
+ HUGE memory requirements ( $\sim 32\text{Gb} - 1\text{Tb}$  depending on L)  
(since need to compare all\* pairs of reads to each other...)

## ② Alignment of reads to Reference Genome

⇒ if already have assembled genome from related strain...

can align reads to best-matching place & look for changes

(common programs: BLAST, Bowtie2, BWA-MEM, + samtools)



\* Major wrinkle: sequencing errors! (A→T by chance; "shot noise")

⇒ where could these errors come from?

① initial grow up: colony ⇒ but happen w/ low rate ( $\mu - 10^{-10} / \text{bp/gm}$ )

② library prep & PCR:



$\Rightarrow$  higher rates  $\sim 10^{-6}$  / bp/cycle  $\rightarrow 10^{-4}$  / bp total.

③ Errors on sequencing machine  $\Rightarrow$  estimated  $\sim 10^{-3}$  / bp

(e.g. PCR errors during cluster formation,  
wrong fluorescent base, etc...)

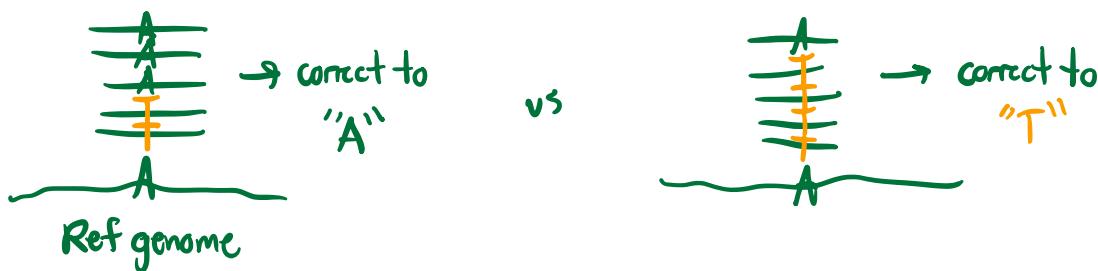
\* but varies from site-to-site  
(as high as  $\sim 10^{-2}$  @ some sites...)

$\Rightarrow$  often dominant source of noise  $\Rightarrow P_{err} \sim 10^{-3}$  (<sup>up to  $10^{-2}$  in special cases...</sup>)

$\Rightarrow$  Upshot: low rate per site, but # sites  $L \gg 1$ !

$\Rightarrow$  # errors per genome =  $L \times P_{err}$   $\sim 10^3$  errors / E.coli genome  
 $\sim 10^6$  errors / human genome

$\Rightarrow$  fortunately, can correct errors w/ consensus sequences!



$\Rightarrow$  higher coverage is helpful... how much do we need?

## Fermi problem :

$$\Pr_{\text{error}}(\text{consensus}) = \sum_{A=0/2}^{\infty} \frac{(\text{Perr} \times \bar{D})^A}{A!} e^{-\text{Perr} \cdot \bar{D}} \approx \frac{(\text{Perr} \times \bar{D})^{\bar{D}/2}}{(\bar{D}/2)!} e^{-\text{Perr} \cdot \bar{D}}$$

" errors in >50% of reads      (Poisson distribution)      ↓ dominated by 1st term

$$\Rightarrow \# \text{consensus errors in genome} = L \times \Pr(\text{consensus error})$$

$$\approx \exp\left[\log L - \bar{D}/2 \log\left(\frac{1}{2e \cdot \text{Perr}}\right)\right] \Rightarrow \text{want } \lesssim \Theta(1)$$

$$\Rightarrow \text{solve for } \bar{D} \Rightarrow \bar{D} \sim \frac{2 \log L}{\log\left(\frac{1}{2e \cdot \text{Perr}}\right)} \approx \begin{cases} 6 & \text{if } L \sim 10^6, \text{Perr} \sim 10^{-3} \\ 8 & \text{if } L \sim 10^9 \end{cases}$$

$\Rightarrow$  Upshot: need  $\gtrsim 10x$  coverage to detect single mutations...  
(e.g. Problem 2 of HW 3)

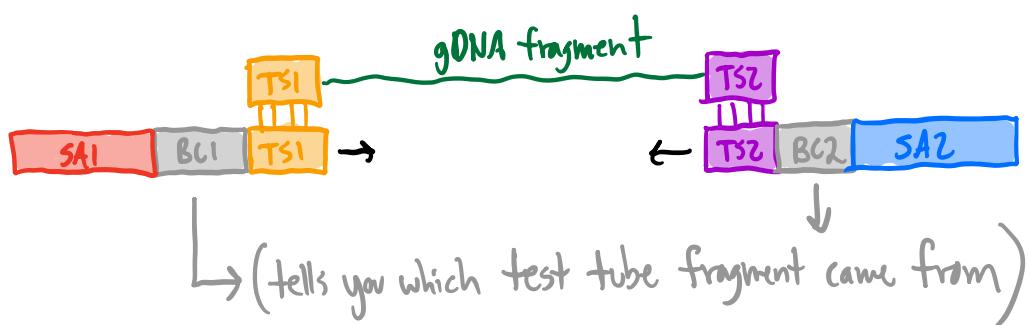
$\Rightarrow$  How many reads is this?

$$\text{E.coli: } 10 \frac{\text{reads}}{\text{site}} \times 10^6 \text{ bp} \Rightarrow 10^7 \text{ bp} \Rightarrow 10^5 \text{ reads}$$

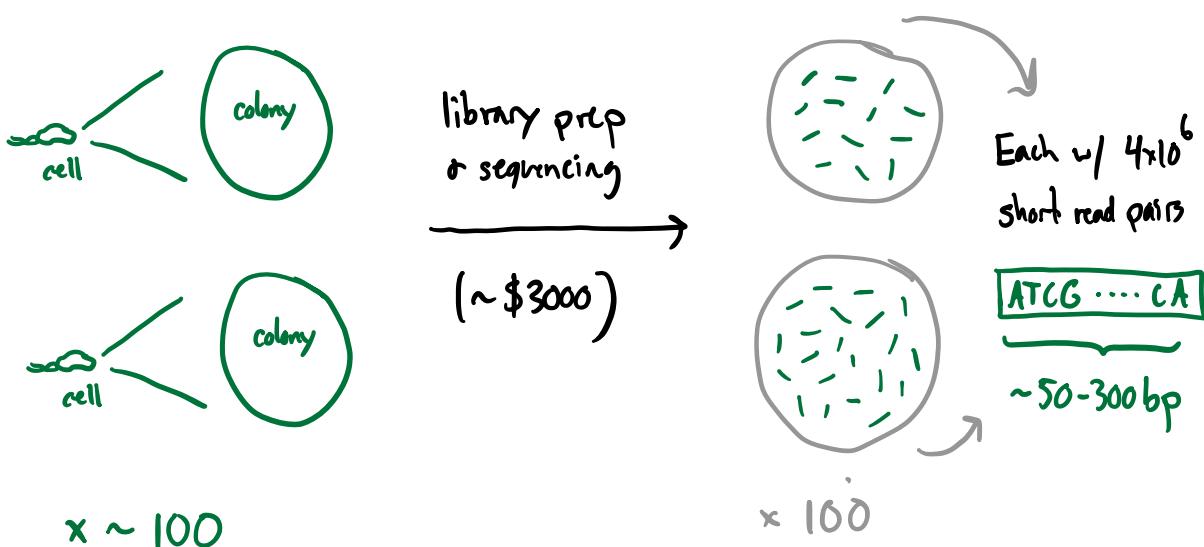
$\Rightarrow$  but a single run of Illumina seq produces  $4 \times 10^8$  reads...

$\Rightarrow$  overkill! (wastes money...)

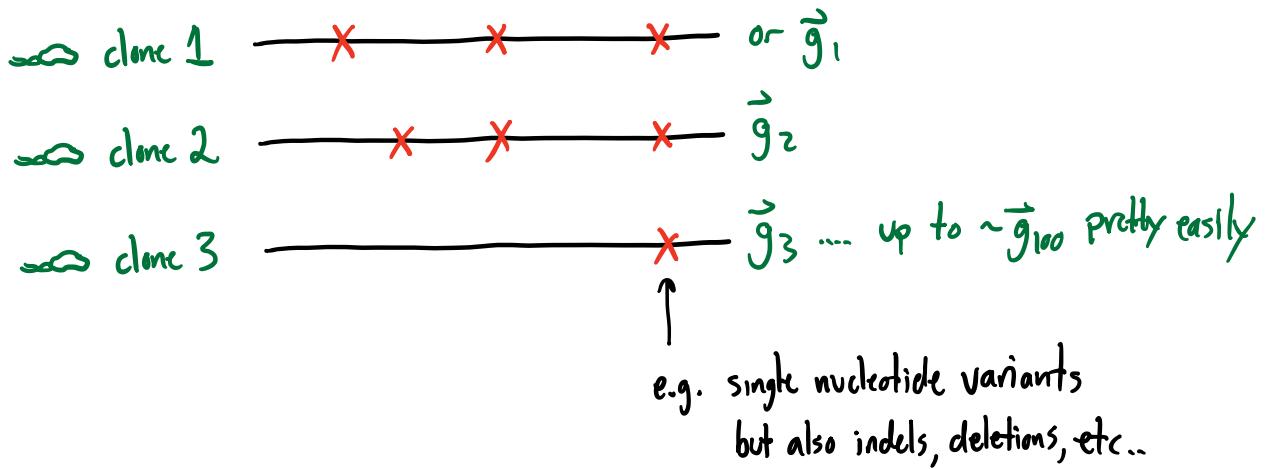
Solution: "multiplex": Add sample specific "barcode" sequence during library prep step



Upshot: can sequence ~100 E.coli libraries on one flow cell  
+ get 300-fold coverage of E.coli genome



$\Rightarrow$  After aligning reads & detecting "true" mutations,  
get sequences of genomes:



### Question:

How are sampled genomes related to dist'n of genomes in pop'n?

$\Rightarrow$  let  $n_{\vec{g}} \equiv \#$  sampled clones w/ genome  $\vec{g}$  (random from sampling)

$f(\vec{g}) \equiv$  frequency of genome  $\vec{g}$  in population (random from evolution)

$\Rightarrow$  then sampling process  $\sim$  multinomial distribution

$$\Pr[\{n_{\vec{g}}\} | n, \{f(\vec{g})\}] \propto \prod_{\vec{g}} \frac{f(\vec{g})^{n_{\vec{g}}}}{n_{\vec{g}}!}$$

↑  
total # clones sampled

$\Rightarrow$  Genotype space is huge! (e.g.  $\vec{g} \in \{0,1\}^L$ )

$\Rightarrow$  often coarse-grain using summary statistics:

Eg 1:  $n_e \equiv \# \text{individuals w/ mut'n @ site } e \equiv \sum_{\vec{g}} g_e n_{\vec{g}}$

$\Rightarrow$  can show  $\Pr[n_e | n, \{f(\vec{g})\}] = \text{Binomial}(n, f_e)$

where  $f_e \equiv \sum_{\vec{g}} g_e f(\vec{g}) = \frac{\text{frequency of mut'n @ site } e}{\sum_{\vec{g}} g_e}$

$\begin{cases} 1 & \text{if mut'@ site } e \\ 0 & \text{else.} \end{cases}$

Eg 2: total # of mutations separating 2 genomes

$\Rightarrow$  since depends on genome length, often normalized by  $L$ :

$$\frac{\# \text{ mutations between 2 random clones}}{L} = \begin{cases} \text{"heterozygosity"} (\pi) & \text{if from same pop'n} \\ \text{"divergence"} (d) & \text{if from diff "species" (or isolated sub-pop's)} \end{cases}$$

e.g. heterozygosity ( $\pi$ ) in humans is  $\sim 10^{-3}$

divergence ( $d$ ) between humans + chimps is  $\sim 10^{-2}$

heterozygosity ( $\pi$ ) between E-coli in different humans is  $\sim 10^{-2}$

Can we relate  $\pi$  to the genotype distribution,  $f(\vec{g})$ ?

$\Rightarrow$  Note that for specific pair of genomes,  $\vec{g}_1, \vec{g}_2$ :

$$\pi(\vec{g}_1, \vec{g}_2) = \frac{1}{L} \sum_{e=1}^L \left[ \underbrace{g_{1e} \cdot (1-g_{2e}) + (1-g_{1e}) \cdot g_{2e}}_{\vec{g}_1 \text{ has mutn } @ e \text{ & } \vec{g}_2 \text{ doesn't}} \right]$$

$\Rightarrow$  Average over sampling process  $(\vec{g}_1, \vec{g}_2 | \{f(\vec{g})\})$ :

$$\langle \pi | \{f(\vec{g})\} \rangle_{\substack{\text{sampling} \\ \vec{g}_1, \vec{g}_2}} = \frac{1}{L} \sum_{e=1}^L \left[ \underbrace{\langle g_{1e}(1-g_{2e}) \rangle}_{f_e(1-f_e)} + \underbrace{\langle (1-g_{1e})g_{2e} \rangle}_{(1-f_e)f_e} \right]$$

$$= \frac{1}{L} \sum_{e=1}^L 2f_e(1-f_e) \quad \begin{array}{l} \text{Remember:} \\ \rightarrow f_e \equiv \sum_{\vec{g}} g_e f(\vec{g}) \end{array}$$

$\Rightarrow$  Average over evolution ( $f_e$ ):

$$\langle \pi \rangle = \frac{1}{L} \sum_{e=1}^L \langle 2f_e(1-f_e) \rangle = \frac{1}{L} \sum_{e=1}^L \int 2f_e(1-f_e) \rho(f_e) df_e$$

What to choose for  $p(f_e)$ ?

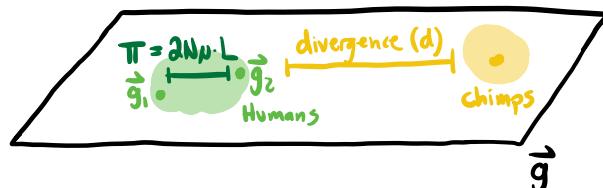
$\Rightarrow$  e.g. if genome is collection of neutral sites ( $s=0$ )

$$\Rightarrow p(f_e) \approx \frac{2N_e N}{f_e} \quad (\text{"quasi-stationary" dist'n from last lecture})$$

$$\Rightarrow \langle \pi \rangle = \int_0^1 2f(1-f) \cdot \frac{2N_e N}{f} \cdot df = 2N_e N \cdot \int_0^1 2(1-f) df$$

$\Rightarrow$  Neutral heterozygosity:  $\langle \pi \rangle = 2N_e N$  \*\*\*

population can only spread out so far in genotype space!



$\Rightarrow$  Note: doesn't agree w/ human data if  $N=10^9$ ...

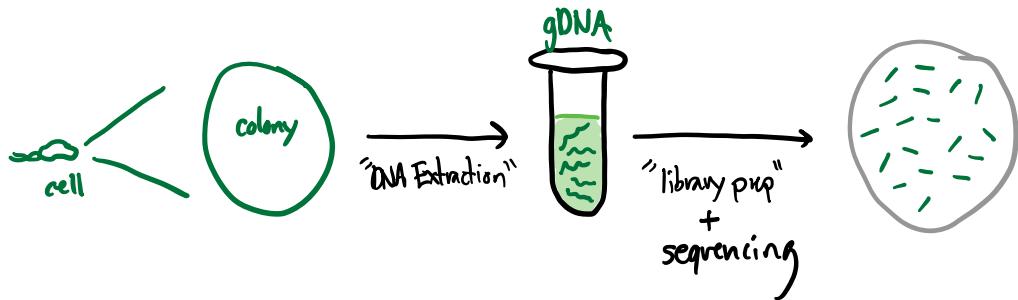
$$\Rightarrow \text{often used to "fit"} N_e = \frac{\langle \pi \rangle}{2\mu} \xrightarrow{\text{humans}} \frac{10^{-3}}{2 \times 10^{-8}}$$

(\* beware! \*)

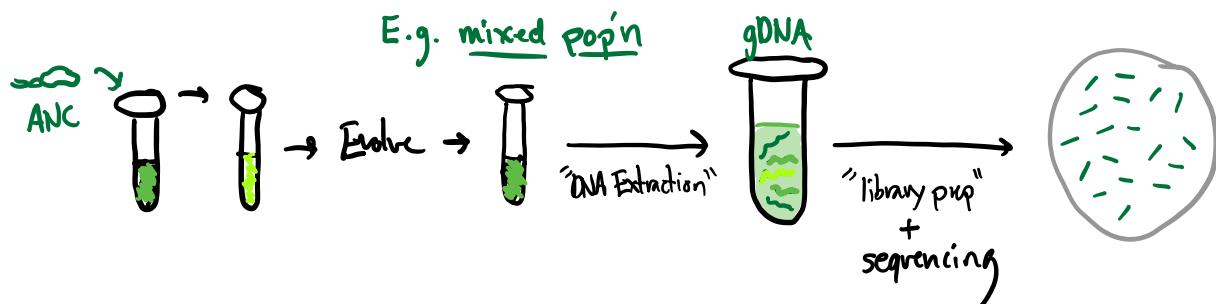
$\Rightarrow$  Variance (or dist'n) of  $\pi$  is much more complicated!

$\Rightarrow$  correlations between  $g_e + g_{e'}$   $\Rightarrow$  will see more later!

So far, have focused on clones



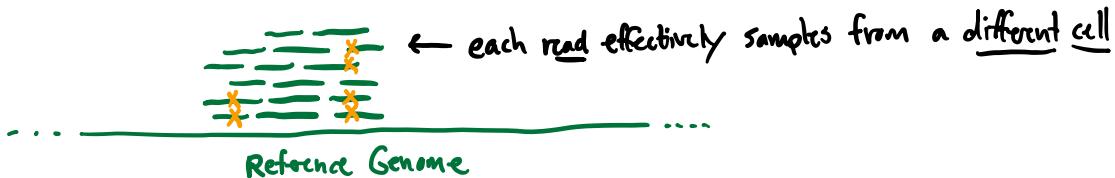
⇒ lots of other things we could put in our library prep...



⇒ known as "pooled sequencing" / "metagenomic sequencing"

⇒ in this case, assembly much harder!

⇒ Payoff comes from reference mapping:



e.g. if  $A_e \equiv$  # reads w/ mutations @ site  $\ell$

$$\Rightarrow \Pr[A_e | D_e, \{f(\vec{g})\}] = \text{Binomial}(D_e, f_e)$$

↑  
total coverage  
@ site  $\ell$

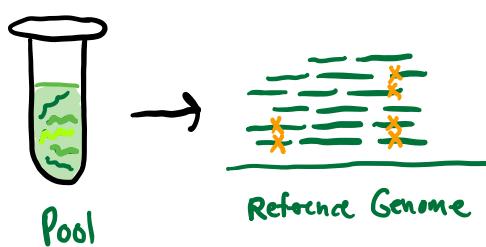
+ sequencing/PCR errors

$\Rightarrow$  since we can sequence ~100 E. coli genomes  
@ >100x coverage in 1 run of Illumina sequencing

$\Rightarrow$  can effectively sample ~100 clones  
~100x more cheaply by sequencing pools!

(much cheaper way to track freqs of individual mut's)

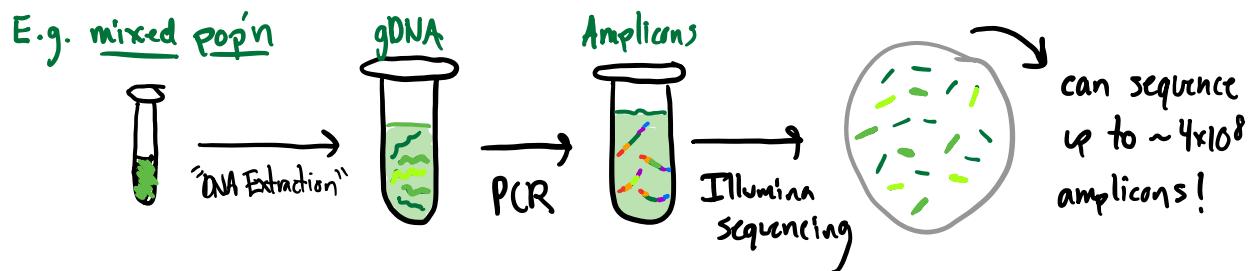
### Downsides:



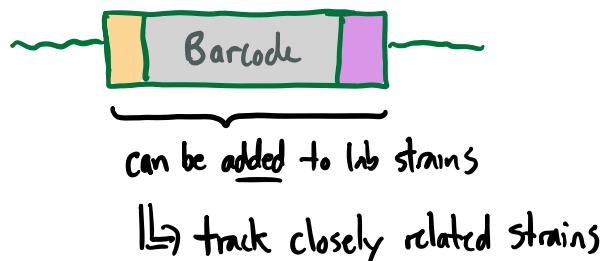
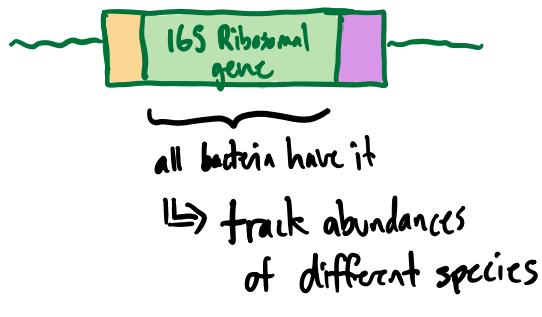
- ① sequencing errors!
- ② which mutations are in same cells? ("linkage information")

e.g.           vs

Can also sequence pools of amplicons:

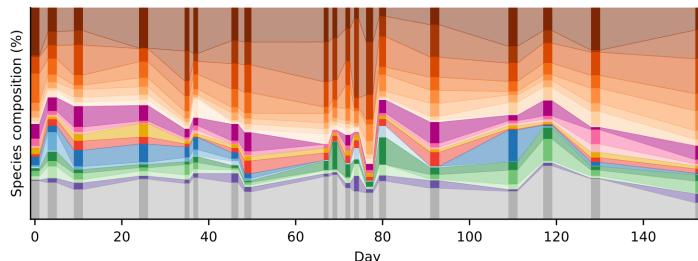


Two common targets:



↓

E.g. 1 person's microbiome over-time



- *Bacteroides vulgatus*
- *Bacteroides coprocota*
- *Bacteroides uniformis*
- *Bacteroides cellulosilyticus*
- *Bacteroides eggerthii*
- *Bacteroides faecis*
- *Bacteroides massiliensis*
- *Bacteroides caccae*
- *Alistipes sp*
- *Alistipes onderdonkii*
- *Alistipes finegoldii*
- *Parabacteroides distasonis*
- *Paraprevotella clara*
- *Butyrivibrio crosstotus*
- *Coprococcus sp*
- *Coprococcus comes*
- *Eubacterium rectale*
- *Eubacterium siraeum*
- *Eubacterium eligens*
- *Phascolarctobacterium sp*
- Other

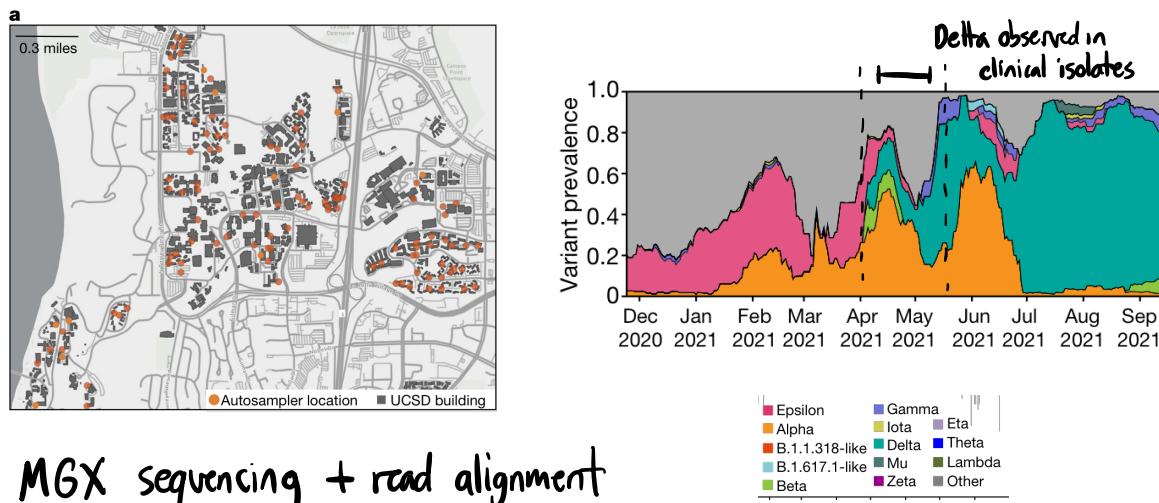
↓

E.g. Homework Problem

Article

# Wastewater sequencing reveals early cryptic SARS-CoV-2 variant transmission

Published online: 7 July 2022



MGX sequencing + read alignment