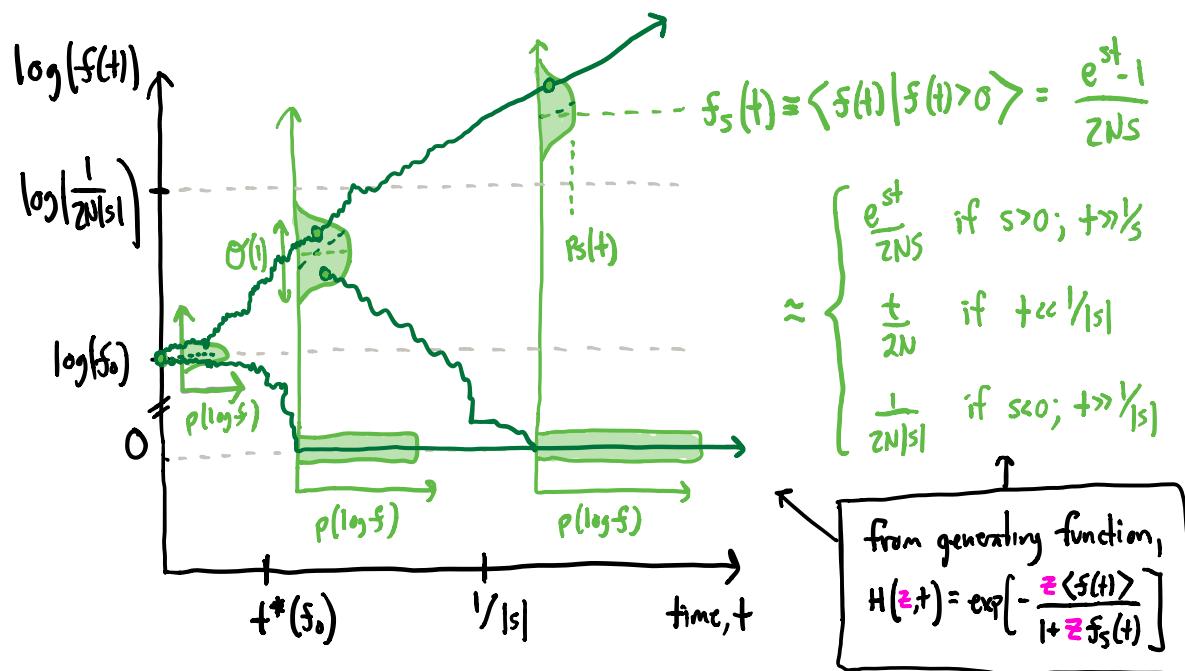
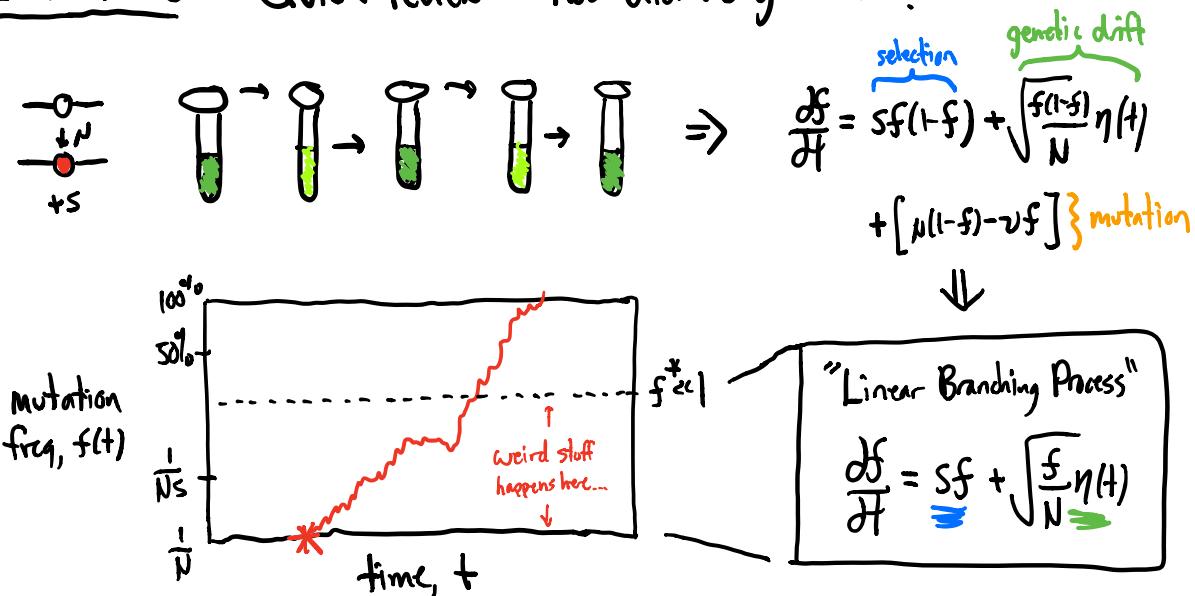


Announcements: PSET 3 Posted (DUE 2/23/21)

Last time: Quick review - how did we get here?



Today:

- ① Did we need all this math? ("Heuristics")
- ② Incorporating mutations  $\xrightarrow[\text{(Thurs)}]{}$  ③ sequencing!

## Heuristic approach:

$\Rightarrow$  may seem sloppy/arbitrary @ first ...

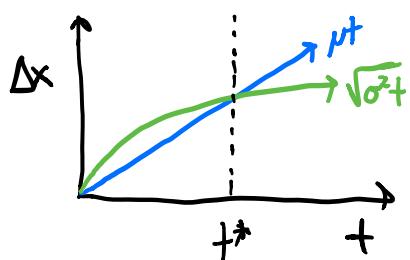
$\Rightarrow$  but w/ practice, can keep track of approx's in controlled manner while highlighting key physical intuition

$\Rightarrow$  enables progress in more complicated settings (e.g. in PSET 3)  
where exact results for  $H(z, t)$  not available

$\Rightarrow$  start by revisiting simple Gaussian random walk

$$\frac{dx}{dt} = \mu + \sqrt{\sigma^2} \eta(t) \quad \rightarrow \text{solution } x(t) = \mu t + \sqrt{\sigma^2} z \sim N(0, 1)$$

$\Rightarrow$  Q: when are stochastic vs deterministic effects dominant?



- $\Rightarrow$  stochastic term always dominant @ short times
- $\Rightarrow$  deterministic term always dominant @ long times ( $t \gg t^*$ )

$\Rightarrow$  crossover @  $t^*$  where

$$\mu t^* = \sqrt{\sigma^2 t^*} \Rightarrow t^* = \frac{\sigma^2}{\mu^2}$$

mostly deterministic ( $x \approx \mu t + \epsilon$ ) when  $t \ll t^*$

mostly stochastic ( $x \sim \sqrt{\sigma^2 t} + \epsilon$ ) when  $t \gg t^*$

Now return to evolution problem:

$$\frac{df}{dt} = sf + \sqrt{\frac{f}{N}} \eta(t) \Leftrightarrow f(t+\delta t) = f(t) + \underbrace{sf(t)\delta t}_{\delta f_{\text{sel}}} + \underbrace{\sqrt{\frac{f(t)\delta t}{N}} Z_t}_{\delta f_{\text{drift}}}$$

$\Rightarrow$  can't apply same approach because deterministic & stochastic terms both depend on  $f(t)$ , which depends on det & stoch terms, etc, etc  
 $\Rightarrow$  needed to "integrate" SDE (moment eqs,  $H(z, t)$ , etc)  $\Rightarrow$  HARD!!

$\Rightarrow$  Heuristics  $\approx$  way to do this approximately  $\approx$  "poor man's integration"

Basic idea: if interested in logarithmic precision  
 "order-of-magnitude"

$$\begin{aligned} \log f(t) &\pm O(1) \\ \log t &\pm O(1) \end{aligned}$$

$\Rightarrow$  then short time approx  $\left[ f(\Delta t) = f(0) + \underbrace{sf(0)\Delta t}_{\Delta f_{\text{sel}}} + \underbrace{\sqrt{\frac{f(0)\Delta t}{N}} Z_0}_{\Delta f_{\text{drift}}} \right]$   
 works pretty well until

$$\log f(\Delta t) \approx \log(f(0)) \pm O(1)$$

$\Rightarrow$  call this time  $\Delta t_{\text{reset}}$ . occurs when  $\log\left(\frac{f(\Delta t)}{f(0)}\right) \approx \pm O(1)$   $\left["\Delta f \sim f"\right]$

$\Rightarrow$  @ this point, set  $f(s) = f(\Delta t_{\text{reset}})$  & repeat ...  
 $\Rightarrow$  iterative method for building up  $f(t)$  for  $t \gg \Delta t_{\text{reset}}$

\* Question then becomes: are deterministic forces (selection)  
 or stochastic forces (drift)  
 dominant on timescales  $\sim \Delta t_{\text{reset}}$ ?

$$\Delta f = \underbrace{\Delta f_{\text{sel}}}_{\text{deterministic}} + \underbrace{\sqrt{\frac{f \Delta t}{N}} \xi}_{\text{stochastic}}$$

Approach: guess & check (self consistency)

① if deterministic forces dominant ( $|\Delta f_{\text{sel}}| \gg |\Delta f_{\text{drift}}|$ )

$\Rightarrow$  then  $\Delta t_{\text{reset}}$  set by  $|\Delta f| \sim f$

$$\Rightarrow f \sim |\Delta f| \sim |\Delta f_{\text{sel}}| \sim |s/f| \Delta t_{\text{reset}}$$

$$\Rightarrow \boxed{\Delta t_{\text{reset}} \sim T_{\text{sel}} \equiv \frac{1}{|s|}} \quad \left[ \begin{array}{l} \text{really saying is} \\ \Delta t_{\text{reset}} \sim \frac{c_1}{|s|} \sim O(1 \text{ const}) \end{array} \right]$$

$\Rightarrow$  on this timescale, contribution from drift is

$$\Delta f_{\text{drift}} = \sqrt{\frac{f \Delta t_{\text{reset}}}{N}} = \sqrt{\frac{f}{N|s|}} \ll \Delta f_{\text{sel}} \sim f$$

$\Rightarrow$  good approx when  $f \gg \frac{1}{N|s|}$  (selection dominant)

$\Rightarrow$  after 1 reset we have:  $\log f(t+\Delta t) = \log f + \underbrace{\mathcal{O}(1)}_{c_2}$

$\Rightarrow$  after  $k$  resets we have:

$$\log f(t) = \log f(0) + c_2 k = \log f(0) + c_3 \cdot s \cdot t + \mathcal{O}(1) \text{ const.}$$

$\Rightarrow f(t)$  grows exponentially @ rate  $\mathcal{O}(s)$   $\left[ \frac{df}{dt} = sf \right]$

② if stochastic forces dominant ( $|\Delta f_{\text{drift}}| \gg |\Delta f_{\text{sel}}|$ )

$$\Rightarrow f \sim |\Delta f| \sim |\Delta f_{\text{drift}}| \sim \sqrt{\frac{f \Delta t_{\text{reset}}}{N}}$$

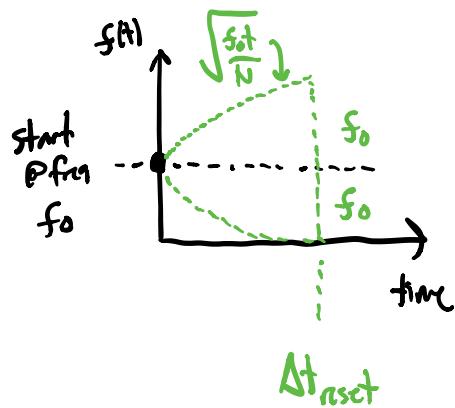
$$\Rightarrow \boxed{\Delta t_{\text{reset}} \sim T_{\text{drift}} \equiv Nf}$$

$\Rightarrow$  contribution from selection is  $|\Delta f_{\text{sel}}| \sim |s| N f \cdot f \ll |\Delta f_{\text{drift}}| \sim f$

$\Rightarrow$  good approximation when  $f \ll \frac{1}{N|s|}$  (diffusion dominates)

$\Rightarrow$  not simple random walk because  $\sigma_{\text{eff}}^2 = \frac{f(t)}{N}$

$\Rightarrow$  but can "glue together" several ordinary random walks

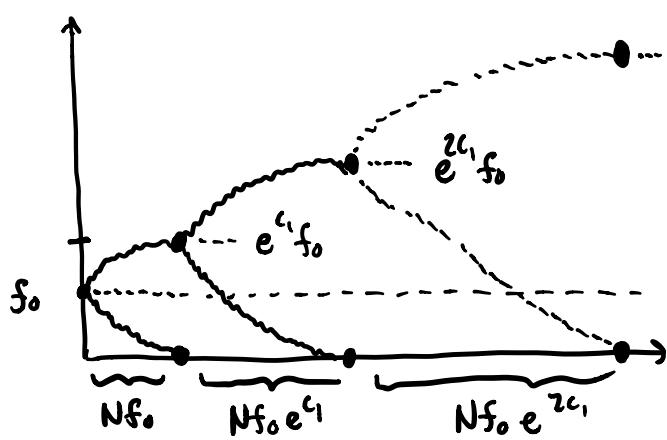


After  $\Delta t_{\text{reset}}$ ,  $f(t) \approx f_0 \pm f_0$   
(decent chance of going extinct)

$$\text{if prob} = e^{-c_1} \sim O(1) \text{ factor} \approx [\text{e.g. } \frac{1}{2}]$$

mutation is not extinct &  
must have size  $f \approx \frac{f_0}{e^{-c_1}} = e^{c_1} f_0$

then repeat starting from  $f(0) = e^{c_1} f_0$



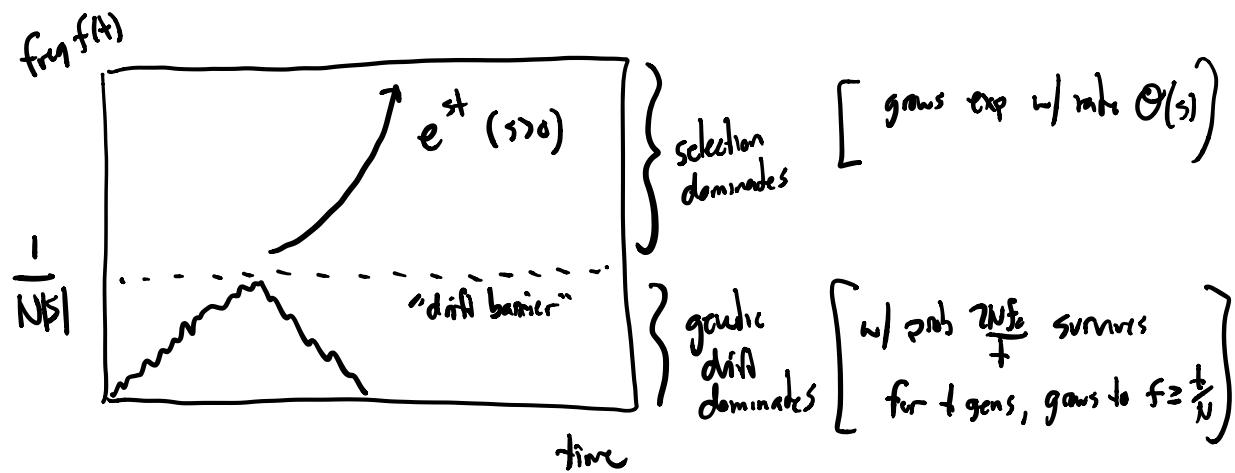
After  $k$  iterations  
\* prob of survival is  $p_s \approx e^{-kc_1}$   
\* typical size is  $f(t) \approx f_0 e^{ck}$   
\* total time elapsed is  
 $+ \approx Nf_0 + Nf_0 e^{c_1} + \dots + Nf_0 e^{(k-1)c_1}$   
 $\rightarrow Nf_0 e^{c_1 k}$

Rewriting in terms of time  $t$ : prob of survival is  $\sim \frac{Nf_0}{t}$

typical size is  $f(t) \sim \frac{t}{N}$

or in terms of final frequency  $f(t) \equiv f$ :

w/ prob  $\sim \frac{f_0}{f}$ , drifts to size  $\sim f$  on timescale  $t \sim Nf$



$\Rightarrow$  Heuristic approach assumes that boundary is sharp ("patching")

① For beneficial mutations: drifts to size  $\sim \frac{1}{Ns}$

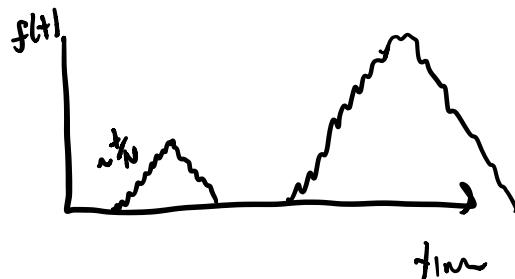
with probability  $\sim \chi_N / \chi_{Ns} \sim s$

$\Rightarrow$  takes  $\sim \frac{1}{s}$  gens to do so  $\Rightarrow$  then grows exp @ rate  $O(s)$

② For deleterious mutations: drifts to  $\sim \frac{1}{N}|s|$  w/ prob  $\sim |s|$

$$\Rightarrow \text{prob of survival is } p_s(t) \sim |s| e^{-c_s t} \rightarrow 0$$

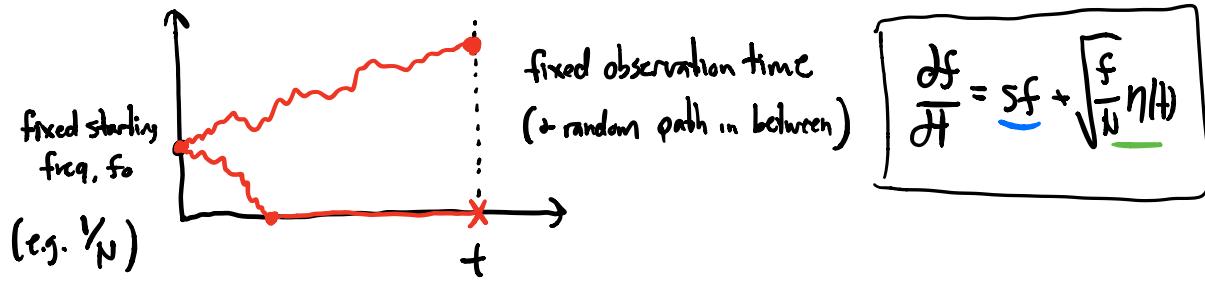
③ Neutral mutations



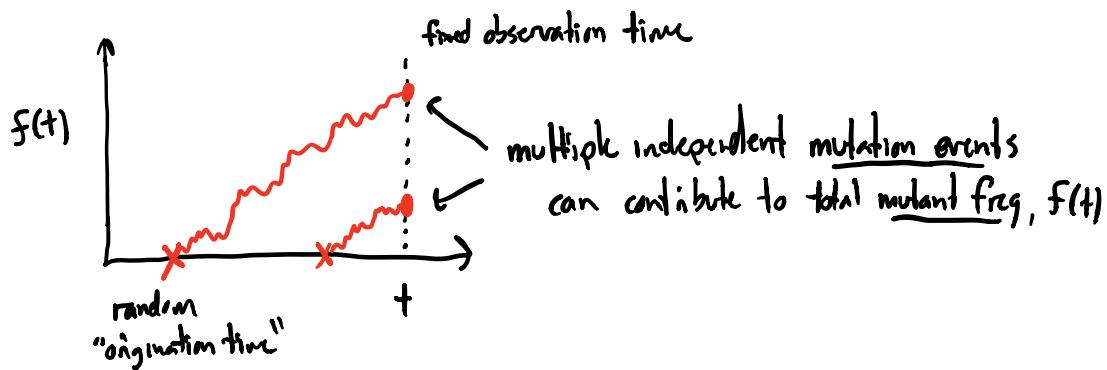
$\Rightarrow$  "triangles" w/ height  $\pm 1/N$ , width  $t$ , prob  $\sim 1/t^2$

## Incorporating spontaneous mutations

⇒ so far, have focused on scenarios of the form:



⇒ in practice, often more interested in scenarios like:



LBP w/ mutation:

$$\frac{df}{dt} = n + sf + \sqrt{\frac{f}{N}}\eta(t)$$

- or -

$$f(t) = \int_0^t dt_i \sum_{i=0}^n \tilde{\theta}(t_i) \underbrace{f_i(t) f(t_i)}_{\sim \text{Poisson}(N\mu)} = \frac{1}{N}$$

$$\frac{df_i}{dt} = sf_i + \sqrt{\frac{f_i}{N}}\eta(t)$$

⇒ can solve w/ method of characteristics ( $H(z, t)$ ) p. 3 of notes

$\Rightarrow$  solution  $f(t)$  is Gamma distribution w/ shape  $\alpha = 2N\bar{N}$

$$f_{\max} \equiv f_S(t) = \frac{e^{st-1}}{2N\bar{N}}$$

$$p(f, t) df \propto \left(\frac{f}{f_{\max}}\right)^{2N\bar{N}-1} e^{-\frac{f}{f_{\max}}}$$

$\hookrightarrow *$  dynamic version of mutation-selection-drift balance \*

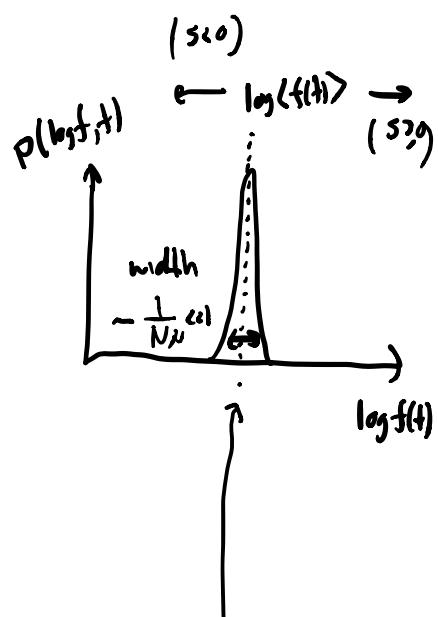
what does this look like?

$$\Rightarrow \text{from Wikipedia: } \langle f(t) \rangle = \alpha f_{\max} = \frac{\bar{N}}{S} (e^{st} - 1)$$

$$\text{Var}(f(t)) = \alpha f_{\max}^2 = \frac{1}{2N\bar{N}} \langle f(t) \rangle^2$$

$$\Rightarrow C_V^2(t) = \frac{\text{Var}(f)}{\langle f \rangle^2} = \frac{1}{2N\bar{N}}$$

case 1 when  $N\bar{N} \gg 1$ , dist'n is strongly peaked around  $\langle f(t) \rangle$



e.g. for deleterious mutations ( $s < 0$ )

$$\Rightarrow \langle f(t) \rangle = \frac{N}{|S|} (e^{st} - 1) = \frac{N}{|S|} (1 - e^{-|S|t}) \rightarrow \frac{N}{|S|} \equiv \bar{f}$$

deterministic  
mut-sel balance.

Can understand from:

$$f(t) \approx \int_0^t dt_0 \underbrace{\Theta(t_0)}_{\approx N} \times \left[ \underbrace{\frac{1}{\Theta(t_0)} \sum_{i=1}^{\Theta(t_0)} f_i(t) | f_i(t_0) = \frac{1}{N}}_{f_i(t) \approx \bar{f}} \right]$$

law of large #s:

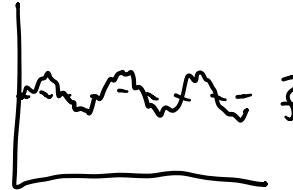
$$\approx NN \times \approx \langle f_i(t) \rangle = \frac{1}{N} e^{s(t-t_0)}$$

$\Rightarrow$  can calculate spread w/ perturbative approach:

Let  $f(t) = \bar{f} + \delta f(t) \Rightarrow$  plug into SDE:

$$\frac{d(\bar{f} + \delta f(t))}{dt} = \mu - |S|(\bar{f} + \delta f(t)) + \sqrt{\frac{(\bar{f} + \delta f)}{N}} \eta(t)$$

$\Rightarrow$  Taylor expand in  $\delta f(t) \ll \bar{f}$ :

$$\Rightarrow \frac{d\delta f}{dt} = -|s|\delta f + \sqrt{\frac{s}{N}} \eta(t)$$


$\Rightarrow$  same as classic "Brownian particle in quadratic potential"

$$w/ \bar{x}=0, r=|s|, D=\bar{f}/N$$

$$\Rightarrow \text{Var}(\delta f) \approx \sqrt{\frac{D}{r}} \sim \frac{\bar{f}^2}{NN} \quad \checkmark.$$

Case 2 when  $N \gg 1 \Rightarrow$  dist'n of is very broad

$$p(f,t) \approx e^{-2Nf - f/f_{\max}} \approx Nf^{-1} e^{-f/f_{\max}}$$

