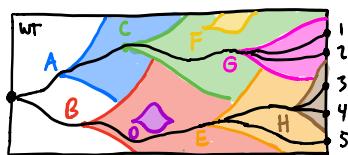


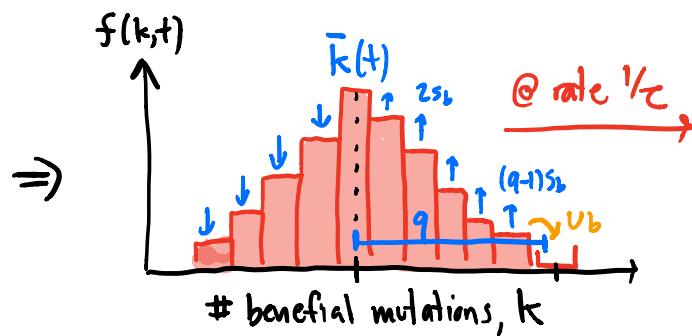
Announcements: will post PS4 solutions today (corrections DUE 3/23)

Last time: clonal interference

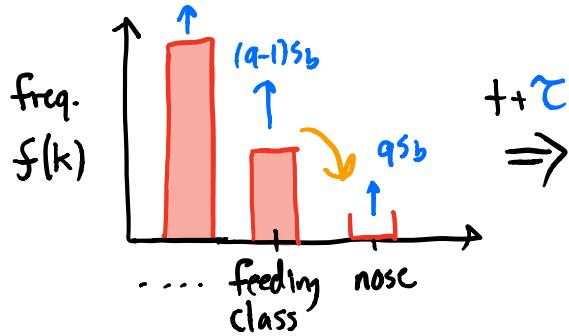


"Staircase" model

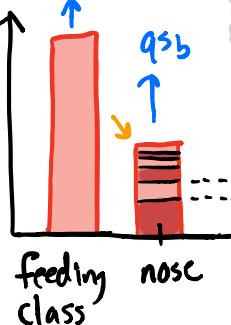
← Genome,  $L \gg 1 \rightarrow$   
  
 ↴ selected mut'n s, +  $s_b$   
 total rate  $U_b \equiv L s_b N$



key behavior occurs @ "nose":



$$+ \tau$$



Multiple mutations contribute to nose!

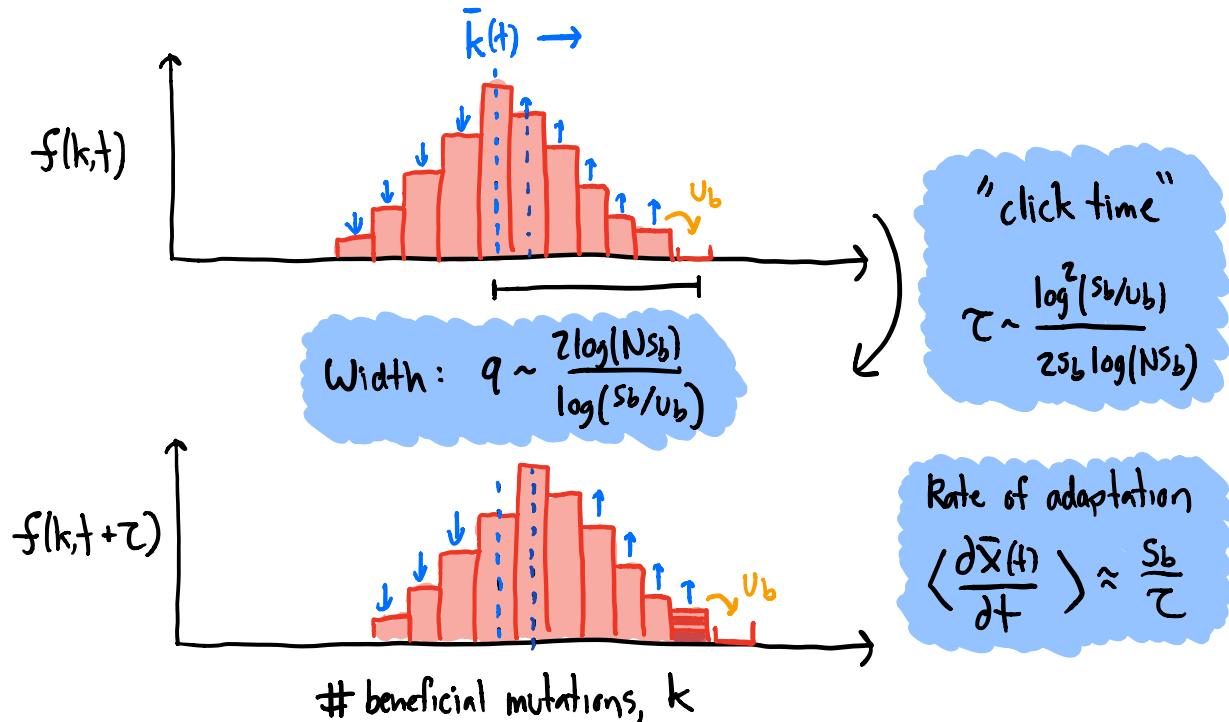
$$f_k(t) \sim \frac{1}{N q s} e^{q s (t - \tau_k)}$$

$$\tau_k \sim \frac{1}{(q-1)s_b} \log\left(\frac{s_b}{U_b}\right)$$

Total contribution:

$$f_{\text{nose}}(t) \equiv \sum_{k=1}^{\infty} f_k(t) \equiv \frac{1}{N q s} e^{q s (t - \tau)} \Rightarrow \tau \sim \frac{1}{(q-1)s_b} \log\left(\frac{s_b}{U_b}\right)$$

$\Rightarrow$  Complete picture of dynamics of fitness dist'n:



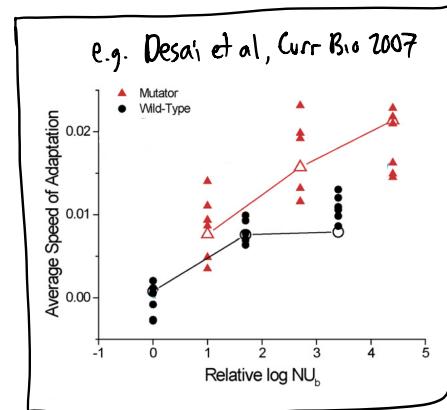
$\Rightarrow$  early tests for clonal interference  
in lab evolution experiments:

Successive mutations:

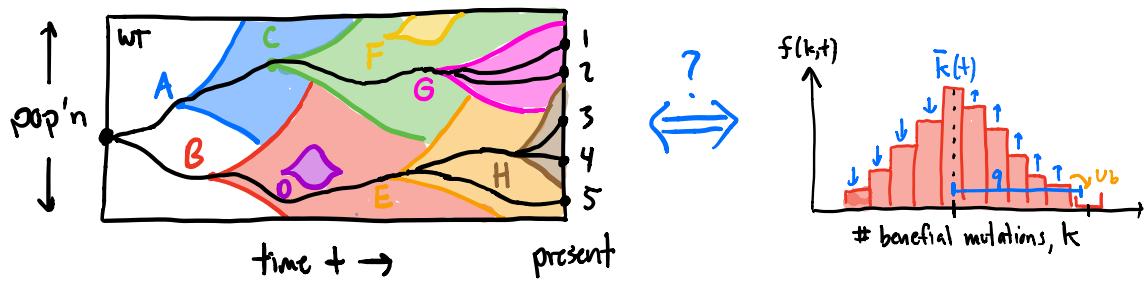
$$\langle \frac{d\bar{x}}{dt} \rangle \sim s_b^2 \cdot N U_b$$

clonal interference:

$$\langle \frac{d\bar{x}}{dt} \rangle \sim s_b^2 \cdot \frac{\log(Ns_b)}{\log^2(s_b/U_b)}$$

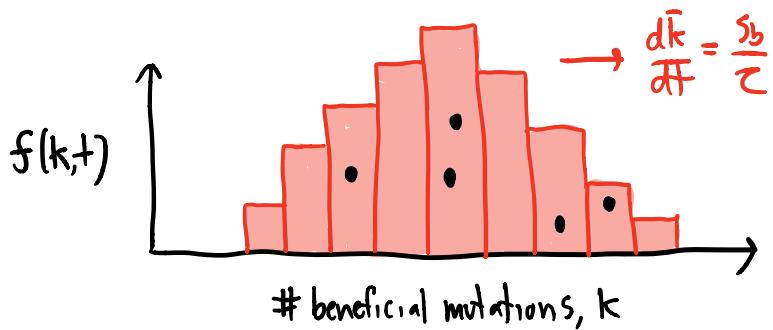


Today: Can we use this picture to understand  
genetic diversity backwards in time?

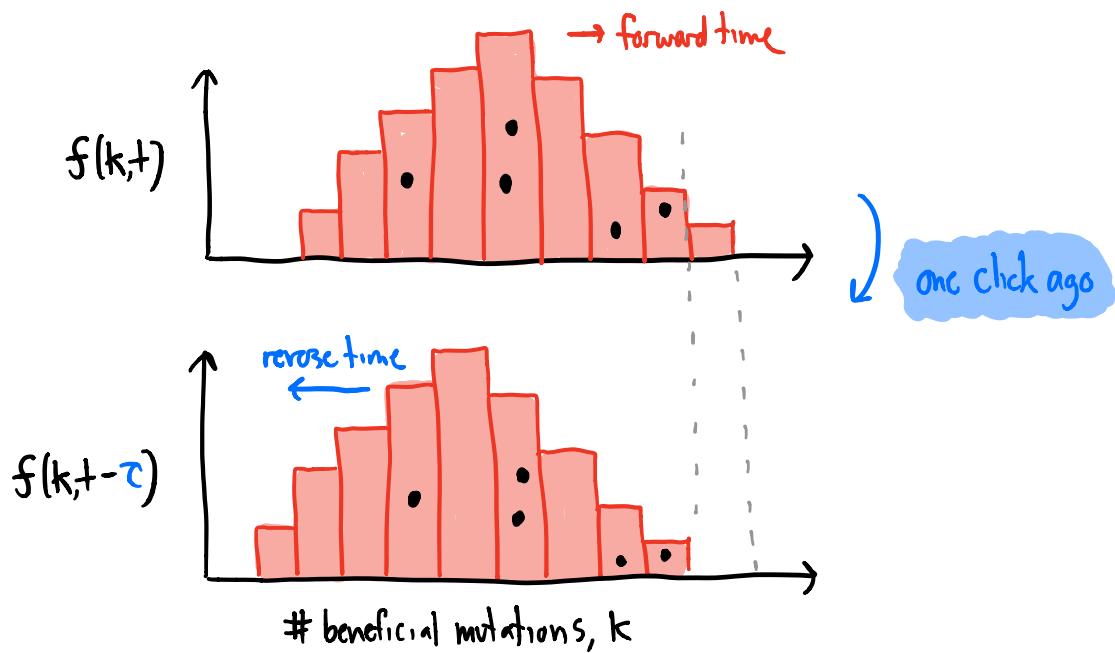


Answer: Yes we can! Let's start w/ some cartoons..

Step 1: draw sample of individuals from pop'n (present day)

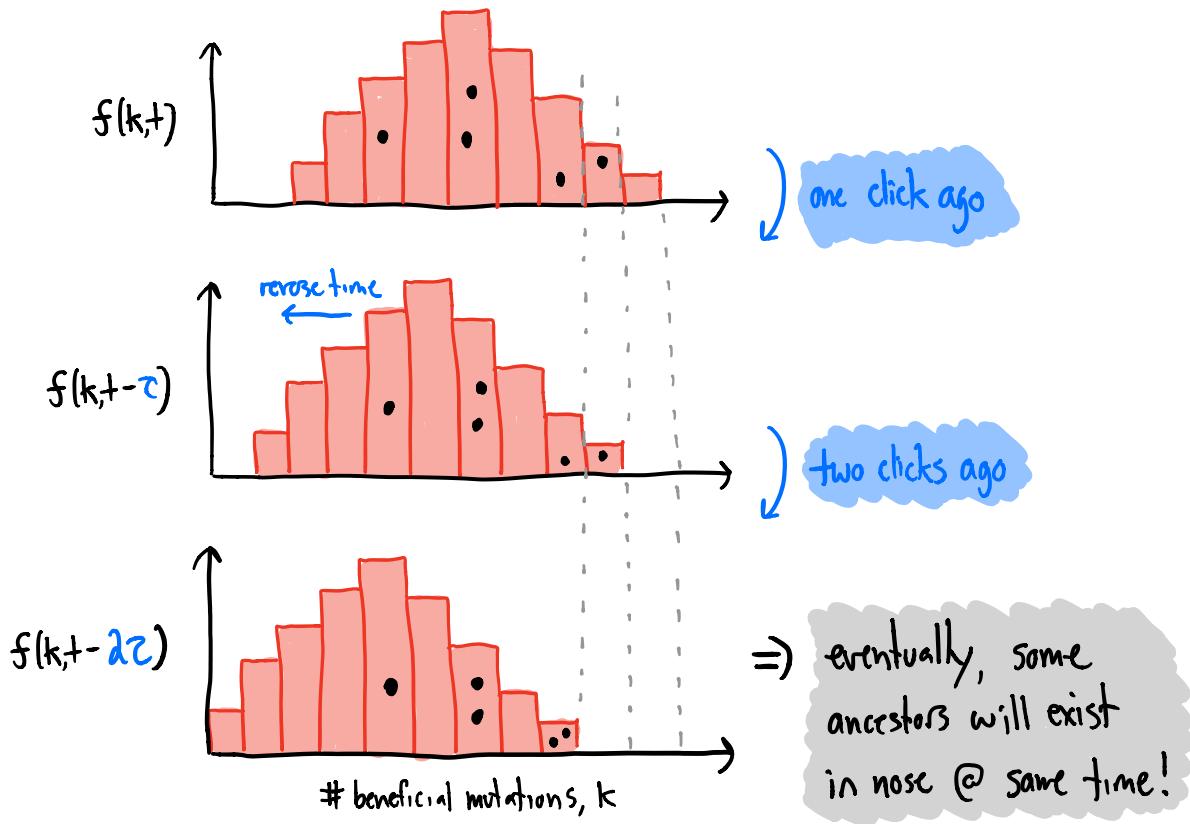


Step 2: where was everyone one click ago?



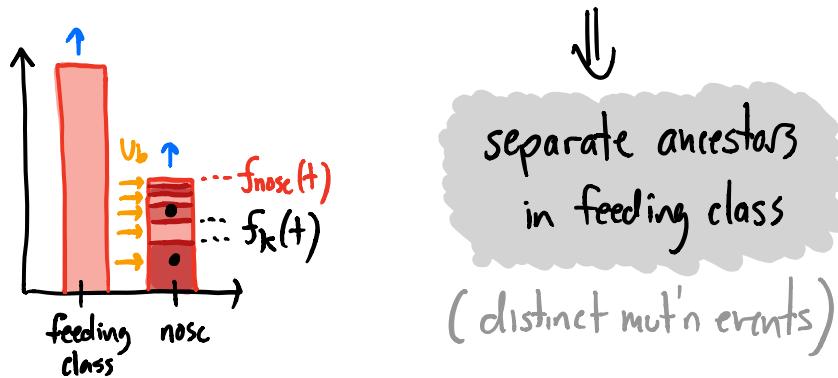
① can only coalesce if in same fitness class

② But little chance of coalescing in "bulk" of dist'n  
(since  $\tau \ll Nf_{q-1}(\tau), Nf_{q-2}(\tau)$ , etc.)

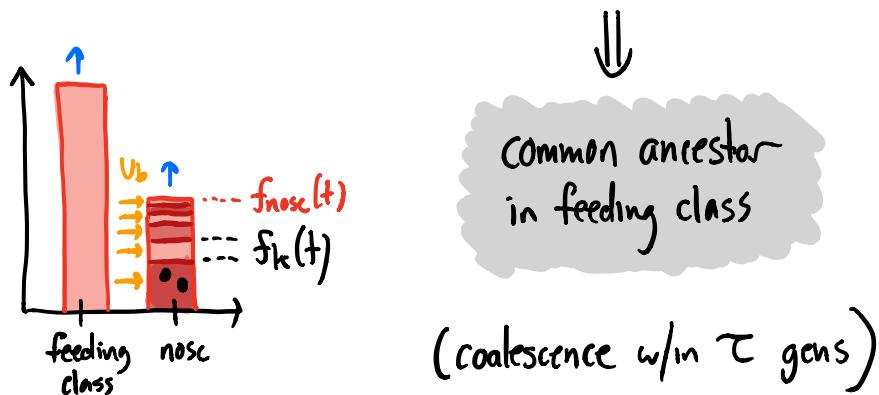


Two possible scenarios:

- ① Individuals are from separate lineages in the nose



② Individuals from same lineage in nose



$$\Rightarrow \text{Probability: } p_c(\alpha) = \sum_{k=1}^{\infty} \left( \frac{f_k(t)}{f_{\text{nose}}(t)} \right)^2 = \sum_{k=1}^{\infty} \left[ \frac{\frac{1}{Nq_s} e^{q_s(t - \tau_k)}}{\frac{1}{Nq_s} e^{q_s(t - \tau)}} \right]^2$$

$$= \sum_{k=1}^{\infty} e^{-2q_s(\tau_k - \tau)}$$



only depends on establishment times  $\tau_k$ !

$\Rightarrow$  if we plug-in typical values of  $\tau_k$  &  $\tau$  from heuristics:

$$\tau_k \sim \frac{1}{(q-1)s_b} \log\left(\frac{s_b q k}{u_b}\right); \quad \tau \sim \frac{1}{(q-1)s_b} \log\left(\frac{s_b}{u_b}\right)$$

$$\Rightarrow p_c(z) = \sum_{k=1}^{\infty} e^{-2qs_b(\tau_k - z)} = \sum_{k=1}^{\infty} (qk)^{-\frac{2q}{q-1}} \approx \frac{1}{q^2}$$

$\Rightarrow$  suggests coalescence after  $\sim q^2$  clicks ( $T_{MRU} \sim q^2 \tau$ )

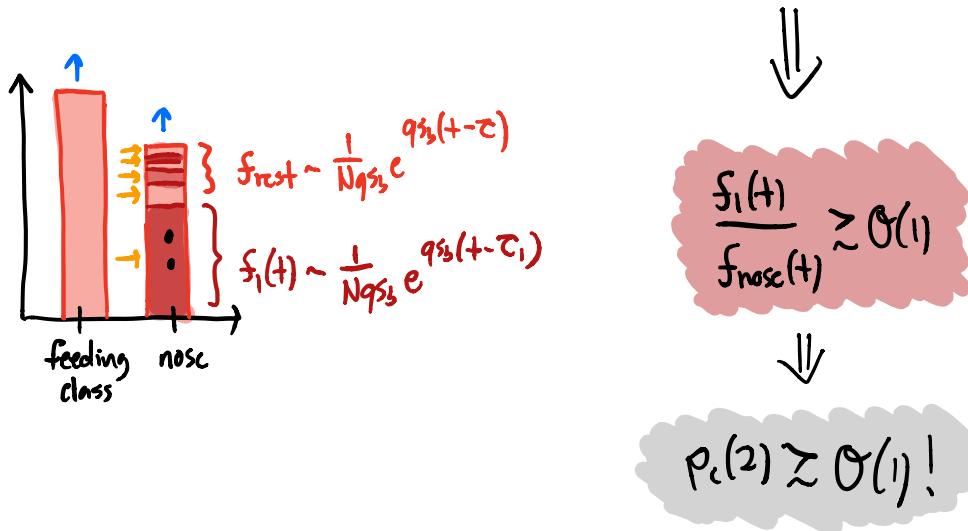
$\Rightarrow$  missing key part of puzzle: fluctuations

$\Rightarrow$  coalescence rare for typical lineage sizes,

but small chance of having anomalously early mutant

where coalescence is much more likely!

e.g. if first successful mutation occurs when  $\tau_1 \leq \tau$ :



$\Rightarrow$  not a huge shift: typically,  $\tau_1 - \tau \sim \frac{\log(q)}{qS_b} \ll \frac{1}{S_b} \ll \tau$

$\Rightarrow$  occurs w/ total probability:

$$P_{\text{jackpot}} \sim \int_0^\tau d\tau_1 N U_b f_{q-1}(\tau) \cdot q S_b$$

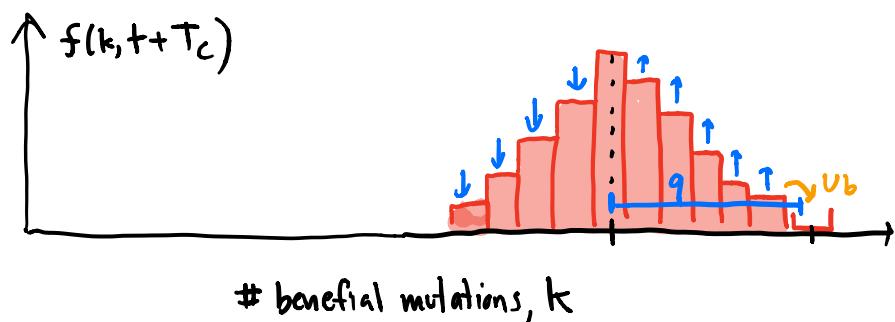
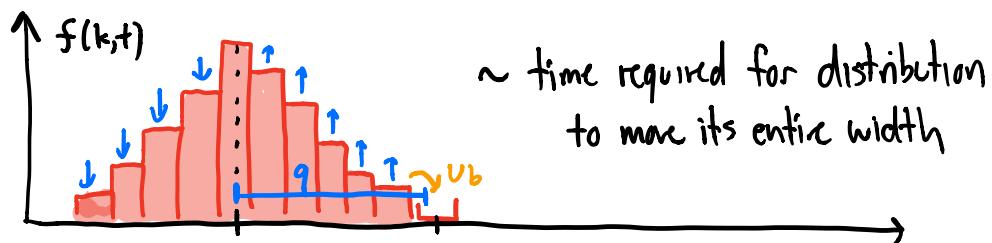
$$\sim \int_0^\tau d\tau_1 N U_b \cdot \frac{e^{(q-1)S_b \tau}}{NqS_b} \cdot \cancel{f_{q-1}} \sim \frac{U_b}{(q-1)S_b} e^{(q-1)S_b \tau}$$

$$\sim \frac{1}{q-1} = \frac{1}{q}$$

$$\Rightarrow P_{\text{jackpot}} \sim \frac{1}{q} \quad (\gg \frac{1}{q^2})$$

$\Rightarrow$  typical coalescence after  $\frac{1}{P_{\text{jackpot}}} \sim q$  clicks

$$\Rightarrow \text{coalescent timescale } T_c \equiv q\tau \sim \frac{1}{S_b} \log\left(\frac{S_b}{U_b}\right)$$

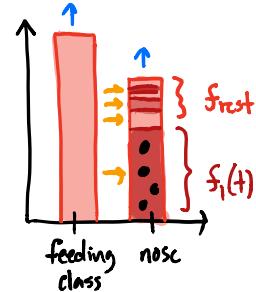


$\Rightarrow$  fluctuations were crucial for determining  $T_c$ !

$\Rightarrow$  coalescence is "bursty":

e.g. in larger sample size  $n$ :

$$P_c(n \rightarrow 1) \sim \left( \frac{f_i(t)}{f_i(t) + f_{rest}} \right)^n$$



$$\Rightarrow O(1) \text{ if } f_{rest}(t) / f_i(t) \lesssim 1/n$$

$$\Rightarrow P_{\text{jackpot}}(n) = \int_0^{T - \log(n)/q s_b} d\tau, N V_b f_{q-1}(t) \cdot q s_b \sim 1/q n$$

$\Rightarrow$  i.e. multiple mergers likely!



Another interesting feature of genealogies + travelling wave:

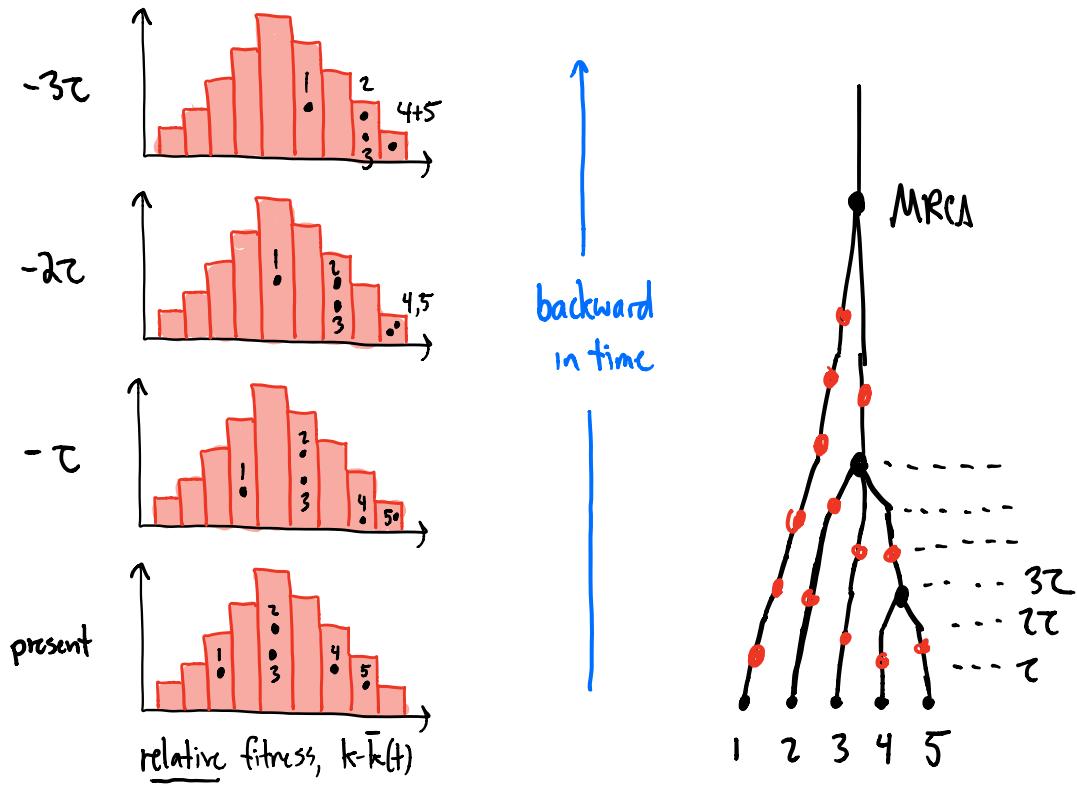
⇒ consider same example:



⇒ which individual's descendants are more likely to take over pop'n in future?

⇒ e.g.  $5 \rightarrow 4 \rightarrow 2, 3 \rightarrow 1$

⇒ now let's try to "simulate" genealogy...



$\Rightarrow$  time (+burstiness) of coalescence in past

$\Rightarrow$  info about fitness in present

$\Rightarrow$  forecasts about who takes over in future!

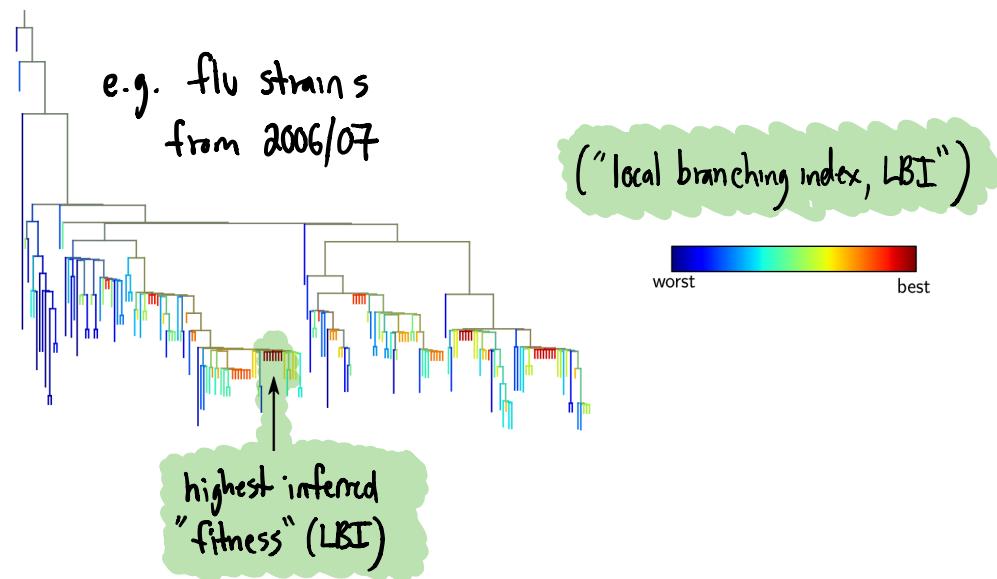


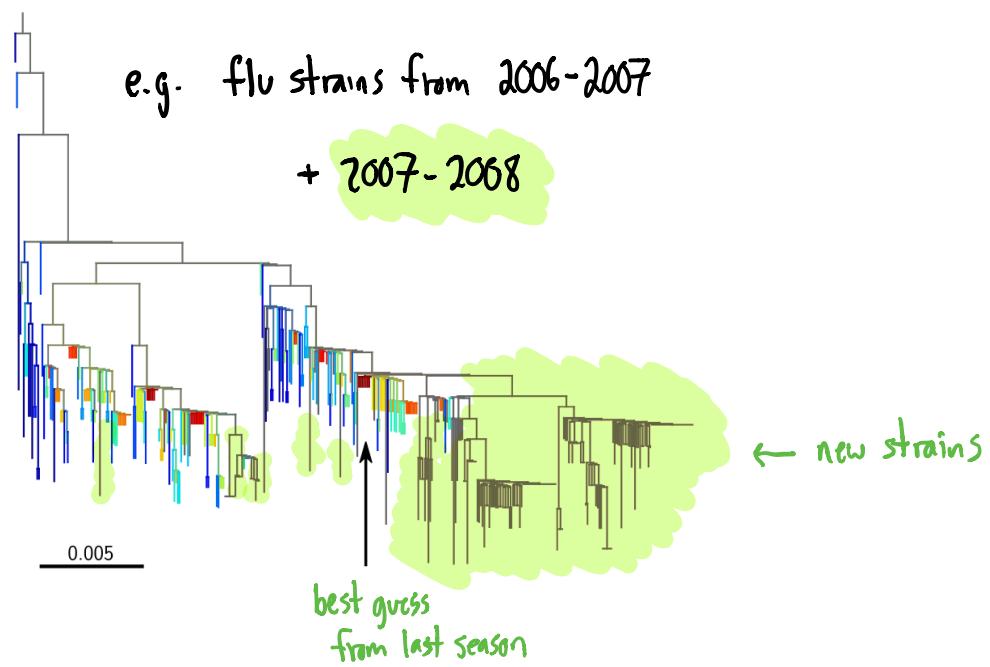
## Predicting evolution from the shape of genealogical trees

Richard A Neher<sup>1\*</sup>, Colin A Russell<sup>2</sup>, Boris I Shraiman<sup>3\*</sup>

<sup>1</sup>Evolutionary Dynamics and Biophysics, Max Planck Institute for Developmental Biology, Tübingen, Germany; <sup>2</sup>Department of Veterinary Medicine, University of Cambridge, Cambridge, United Kingdom; <sup>3</sup>Kavli Institute for Theoretical Physics, University of California, Santa Barbara, Santa Barbara, United States

⇒ implemented this idea for HA gene in influenza  
(data from Problem #1 in Hw1)

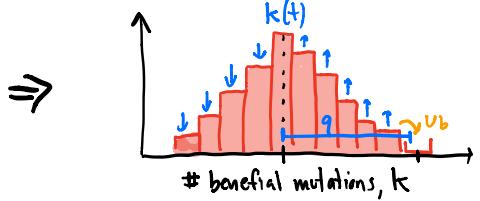




## What about recombination?

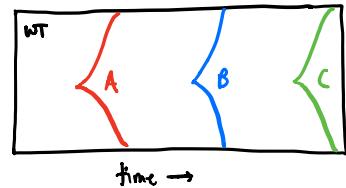
← Genome,  $L \gg 1 \rightarrow$   
 recombination rate  $r$       selected mut'ns,  $+s_b$   
 total rate  $U_b = L s_b N$

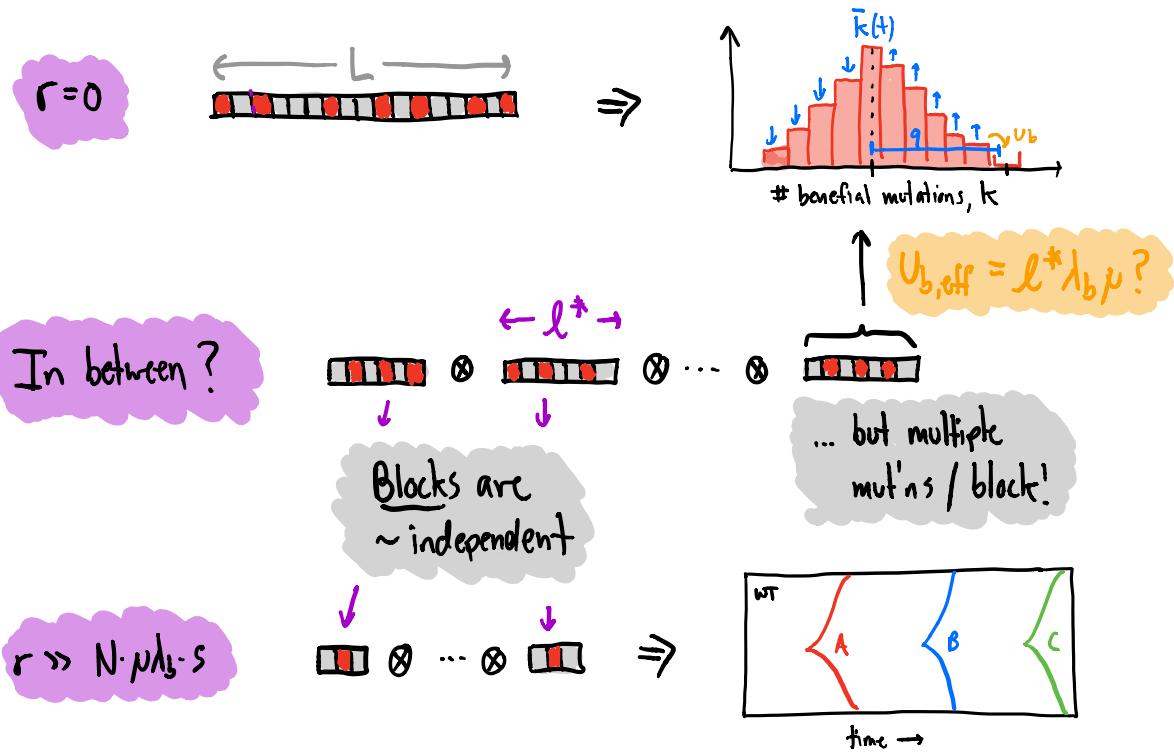
$r=0$



$r \gg N \cdot \mu s_b \cdot s$

$\square \text{---} \square \otimes \dots \otimes \square \text{---} \square \Rightarrow$





If true, need:

① w/in blocks, recombination should be rare! ( $r \approx 0$ )

$$\Rightarrow r l^* \cdot T_c(N, s_b, U_{\text{eff}}(l^*)) \ll 1$$

② between blocks, recombination should be frequent!

$$\Rightarrow r l^* \cdot T_c \gg 1$$

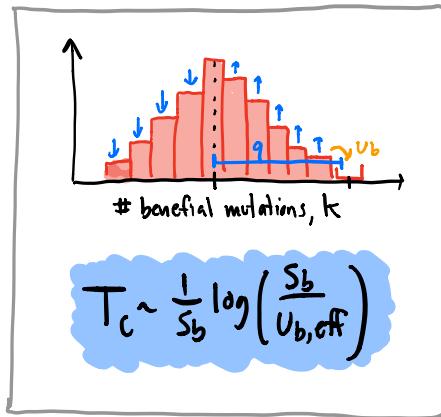
$\Rightarrow$  can we (almost) satisfy both w/  $r l^* \cdot T_c \sim O(1)$ ?

## Linkage block ansatz



$$\ell^* \sim 1/r T_c$$

$$U_{b,\text{eff}} = \ell^* \lambda_b \mu$$



$$T_c \sim \frac{1}{S_b} \log \left( \frac{S_b}{U_{b,\text{eff}}} \right)$$

$$\Rightarrow \text{Self consistency: } T_c \sim \frac{1}{S_b} \log \left( \frac{S_b}{\mu \lambda_b} \cdot r T_c \right)$$

$$\Rightarrow \text{solution: } T_c \sim \frac{1}{S_b} \log \left( \frac{r}{\mu \lambda_b} \right)$$

$$\Rightarrow \lambda^* \sim \frac{S_b}{r} \log^{-1} \left( \frac{r}{\mu \lambda_b} \right)$$

$\Rightarrow$  self consistent if  $N U_{b,\text{eff}} \log(N S_b) \gg 1$  &  $U_{\text{eff},b} \ll S_b$

$$\Rightarrow N \cdot N \lambda_b \cdot S_b \gg r \gg \mu \lambda_b$$

## Supplement: formal analysis of coalescence in the nose

Recall: main result for stochastic size of nose:

$$f_{\text{nose}}(t) = \frac{v}{2Ns_b} e^{qs_b t} \Rightarrow H_v(z) \approx e^{-\frac{v}{s_b} z^{1-\frac{1}{q}}}$$

(supplement of lecture 19)

Let's fine-grain this further:

$\Rightarrow$  let  $f_l(t) =$  freq of lineage in nose founded by beneficial mutation @ site  $l$

$$\Rightarrow \text{then } H_{v_l}(z) \approx e^{-\frac{v}{s_b} z^{1-\frac{1}{q}}}$$

$\Rightarrow$  Probability that 2 individuals coalesce = probability that they came from same lineage:

$$\Rightarrow P_c(z) = \left\langle \sum_{l=1}^{L_b} \left( \frac{f_l(t)}{\sum_{e'} f_{e'}(t)} \right)^z \right\rangle = \left\langle \sum_{l=1}^{L_b} \left( \frac{v_l}{\sum_{e'} v_{e'}} \right)^z \right\rangle$$

$\Rightarrow$  Trick: using  $\int_0^\infty \frac{\lambda^x}{\Gamma(x)} z^{x-1} e^{-\lambda z} dz = 1$ , can write as

$$P_c(z) = \left\langle \sum_l \left( \frac{v_l}{\sum_{e'} v_{e'}} \right)^z \right\rangle = \left\langle \sum_l v_l^z \int_0^\infty dz z^{-\sum_{e'} v_{e'}} e^{-z \sum_{e'} v_{e'}} \right\rangle$$

$$= \sum_l \left\langle \int_0^\infty dz z \cdot \left( v_l^z e^{-z v_l} \right) \cdot \prod_{l' \neq l} e^{-v_{l'} z} \right\rangle$$

$$= \sum_l \int_0^\infty dz z \cdot \frac{d^z H_{v_l}(z)}{dz^z} \cdot \prod_{l' \neq l} H_{v_{l'}}(z)$$

$\Rightarrow$  using results above for  $H_{v_l}(z)$  and  $H_v(z)$ ,

$$\Rightarrow \frac{d H_{v_l}}{dz} = -\frac{v_l}{S_b} (1 - \frac{1}{q}) z^{-\frac{1}{q}} H_{v_l}(z)$$

$$\Rightarrow \frac{\partial^2 H_{V_L}}{\partial z^2} = \frac{1}{q} \frac{N_L}{S_b} \left(1 - \frac{1}{q}\right) z^{-\frac{1}{q}} H_{V_L}(z) + O(N_L^2)$$

so that:

$$\begin{aligned} P_C(z) &= \sum_l \int_0^\infty dz \cdot z \cdot \frac{\partial^2 H_{V_L}(z)}{\partial z^2} \cdot \prod_{l' \neq l} H_{V_{L'}}(z) \\ &= \frac{1}{q} \sum_l \frac{N_L}{S_b} \int_0^\infty dz \frac{N_L}{S_b} \left(1 - \frac{1}{q}\right) z^{-\frac{1}{q}} H_V(z) = \prod_l H_{V_L}(z) \\ &= \frac{1}{q} \int_0^\infty -\frac{\partial H_V(z)}{\partial z} = \frac{1}{q} [H_V(0) - H_V(\infty)] \\ &= \frac{1}{q} \quad \text{as desired} \end{aligned}$$

Can do same thing for larger samples:

$$P_C(n) = \left\langle \sum_l \left( \frac{N_L}{\sum L_L} \right)^n \right\rangle = \sum_l \int_0^\infty dz \cdot \frac{(-1)^n}{\Gamma(n)} \frac{\partial^n H_{V_L}(z)}{\partial z^n} \prod_{l' \neq l} H_{V_{L'}}(z)$$

$$\Rightarrow \frac{\partial^n H_{V_e}(z)}{\partial z^n} = \frac{N_e}{S_b} \frac{(-1)^n}{q} \left(1 - \frac{1}{q}\right) \prod_{k=1}^{n-2} \left(k + \frac{1}{q}\right) z^{-n+1-\frac{1}{q}} H_{V_e}(z) + O(N_e^2)$$

$$\approx \frac{N_e}{S_b} \frac{(-1)^n (n-2)!}{q} z^{-n+1-\frac{1}{q}} H_{V_e}(z)$$

$$\Rightarrow \rho_c(n) = \frac{1}{q} \frac{\Gamma(n-1)}{\Gamma(n)} \cdot \sum_e \frac{N_e}{U_b} \cdot \int_0^\infty dz \cdot -\frac{\partial H_V(z)}{\partial z}$$

$$\approx \frac{1}{q(n-1)}$$