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## paper text:

The Efficient Computation of Field-Dependent Molecular Properties in the Frequency and Time Domains Benjamin Gilbert Peyton

**Dissertation submitted to the Faculty of the Virginia Polytechnic Institute and State University in partial fulfillment of the requirements**  
**for the degree of Doctor of Philosophy in Chemistry Daniel Crawford, Chair** Nicholas Mayhall **Diego Troya** John Morris **May** 11,  
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: Electronic structure theory, machine learning, coupled cluster, local correlation Copyright 2022, Benjamin Gilbert Peyton The Efficient Computation of Field-Dependent Molecular Properties in the Frequency and Time Domains Benjamin Gilbert Peyton (ABSTRACT) The efficient computation of dynamic (time-dependent) molecular properties is a broad field with numerous applications in aiding molecular synthesis and design, with a particular prevalence in spectroscopic predictions. Typical methods for computing the response of a molecular system to an electromagnetic field (EMF) considers a

**quantum mechanical description of the** molecule **and a classical** approximation for **the**

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EMF. Methods for describing light-matter interactions with

**high-accuracy electronic structure methods**, such as **coupled cluster (CC), are** discussed, with **a**

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focus on improving the efficiency of such methods. The CC method suffers from high-degree polynomial scaling.

**In addition to the ground-state** calculation, computing dynamic **properties** requires **the** description **of**

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sensitive excited-state effects. The cost of such methods often prohibits the accurate calculation of response properties for systems of significant importance, such as large-molecule drug candidates or chiral species present in biological systems. While the literature is ripe with reduced-scaling methods for CC ground-state calculations, considerably fewer approaches have been applied to excited-state properties, with even fewer still providing adequate results for realistic systems. This work presents three studies on the reduction of the cost of molecular property evaluations, in the hopes of closing this gap in the literature and widening the scope of current theoretical methods. There are two main ways of simulating time-dependent light-matter interactions: one may consider these effects

**in the frequency domain** , where **the response of the system**

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to an EMF is computed directly; or, the response may be considered explicitly in the time domain, where wave function (or density) parameters can be propagated in time and examined in detail. Each methodology has unique advantages and computational bottlenecks. The first two studies focus on frequency-domain calculations, and employ fragmentation and machine-learning techniques to reduce the cost of single-molecule calculations or sets of calculations across a series of geometric conformations. The third study presents a novel application of the local correlation technique to real-time CC calculations, and highlights deficiencies and possible solutions to the approach. The Efficient Computation of Field-Dependent Molecular Properties in the Frequency and Time Domains Benjamin Gilbert Peyton (GENERAL AUDIENCE ABSTRACT) Theoretical chemistry plays a key role in connecting experimental results with physical interpretation. Paramount to the success of theoretical methods is the ability to predict molecular properties without the need for costly high-throughput synthesis, aiding in the determination of molecular structure and the design of new materials. Light-matter interactions, which govern spectroscopic techniques, are particularly complicated, and sensitive to the theoretical tools employed in their prediction. Compounding the issue of accuracy is one of efficiency – accurate theoretical methods typically incur steep scaling of computational cost (memory and processor time) with respect to the size of the system. An important aspect in improving the efficiency of these methods is understanding the nature of

light-matter interactions at a quantum level. Many unanswered questions still remain, such as, “Can light-matter interactions be thought of as a sum of interactions between smaller fragments of the system?” and “Can conventional methods of accelerating ground-state calculations be expected to perform well for spectroscopic properties?” The present work seeks to answer these questions through three studies, focusing on improving the efficiency of these techniques, while simultaneously addressing their fundamental flaws and providing reasonable alternatives.

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**for their unconditional love and support**   . • My grandparents,   **for**   keeping me   **on this**   path   **and**   being there   **for**

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served as a mentor for all projects and edited these chapters. For Chapter 4, Connor Briggs contributed machine learning code, Dr. D’Cunha provided molecular geometries, and Dr. Margraf provided TATR comparison data and editing support. For Chapter 5, Zhe Wang contributed to the Python packaged used, PyCC. xx Chapter 1 Intro duction Modern synthetic organic chemistry employs a vast array of sophisticated instrumentation. Principal among these are probes of light-matter interactions, which reveal rich structural and electro-magnetic characteristics. These instruments work by measuring the absorption, scattering, or refraction of an electromagnetic field (EMF) interacting with a target sys- tem.<sup>1</sup> Experimental techniques which measure these interactions with respect to the energy or frequency of the incident EMF are known as spectroscopy. Many staple experimental ap- paratus probe these relationships, including (but certainly not limited to) ultraviolet-visible absorption (UV-Vis), nuclear magnetic resonance (NMR), electronic and vibrational circu- lar dichroism (ECD and VCD), and optical rotatory dispersion (ORD). These data can be used for characterizing synthetic products or establishing structure-property relationships which aid in the development of novel systems for applications in materials, bio-organics, and more. Interpreting or predicting the results of such experiments requires a knowledge of fundamental light-matter interactions on a quantum level. A quantum description of any molecular interaction may be viewed as the effects of a pertur- bation on a quantum-mechanical system. In the case of EMF, this perturbation may be static (fixed) or dynamic (varying in frequency or time). A fully quantum-mechanical description of the light-matter system would require quantum electrodynamics (QED); however, it is often sufficient to treat the EMF from a classical perspective, treating only the molecular re- sponse using quantum mechanics.<sup>1,2</sup> This allows us to utilize many well-established methods 1 2 Chapter 1. Introduction in the field of theoretical chemistry. These generally provide a representation of the system (a wave function or density) with which to take the expectation value of a given operator or, in some cases, predict the expectation value directly.<sup>3</sup> Methods which utilize only math- ematical techniques (that is, no experimental or phenomenological parameters) are said to be ab initio methods. These methods often (but not always) simplify or ignore the quantum effects of the nuclei and their motion, an approximation known as the Born-Oppenheimer approximation.<sup>4</sup> Wave function-based methods are a subclass of ab initio methods which center around solving the time-dependent or time-independent Schrödinger wave equation to obtain an explicit form of the wave function. Finally, methods that go beyond the mean- field or Hartree-Fock approximation, allowing electrons to interact through more than an average self-consistent field, are classified as correlated methods. It is these correlated wave function-based methods

built. As such, Chapter 2 is a primer in the theoretical underpinnings necessary to understand this work. The focus will be on fundamentals which are not explicitly presented in the publications that follow in Chapters 3-5. Chapter 2 is roughly divided into details of electronic structure theory (Section 2.1) and molecular response properties (Section 2.2). The first topic discussed is many-body perturbation theory (MBPT).<sup>5</sup> This framework allows us to separate the quantum mechanical properties of the system from the effects of some perturbing force, which is expanded in orders and truncated under the assumption that higher-order terms become negligible. This force is often taken to be electron correlation when describing the electronic ground state wave function;<sup>6</sup> however, it may also be a static or dynamic EMF. In Section (2.1.1) we present some basic characteristics of general MBPT, in preparation for its application in the chapters that follow. Second, in Section (2.1.2) we present the wave function-based method which the bulk of this work is either based upon or seeks to approximate: coupled cluster (CC) theory.<sup>7–10</sup> This method, like perturbation theory, is most commonly used for computing electron correlation (though its roots are in nuclear physics). Unlike perturbation theory, we do not perform an order-by-order expansion of the perturbation; instead, a cluster operator folds in contributions based on a physical intuition, that is the substitution of electrons in occupied orbitals into unoccupied or virtual orbitals, which gives rise to electron correlation. Truncation is then based on the number of simultaneous electron substitutions allowed – singles, doubles, etc. This method, while accurate and systematically improvable, is notoriously expensive, suffering from high-order polynomial scaling. Extensions to excited states and molecular response properties only compound this issue;<sup>2,11–13</sup> as such, Chapters 3 and 4 are focused on ways to circumvent using CC on any but a small subset of systems. It should be noted that, while Chapter 3 utilizes a different correlated method based on a density-functional theory approach, the primary goal was to ascertain the effects of fragmentation of a system through the many-body expansion on the computation of molecular properties. This is a benchmark study, whose conclusions are understood to be applicable to more expensive methods such as CC, where such benchmark studies could not be performed. Additional considerations of reduced density matrices,<sup>14–16</sup> which are used throughout the work to refer to correlated wave functions, follows in Section 2.1.3. Finally, we describe the method by which we couple the classical perturbing field to the quantum-mechanical correlated wave function. By applying time-dependent perturbation theory<sup>17</sup> we derive general expressions for tensors which describe the molecular response to an EMF. In the exact theory, these tensors are functions of the excited electronic states of the system; however, an approach to generalize these expressions to approximate theories such as truncated coupled cluster is discussed,<sup>18–21</sup> which also avoids the costly evaluation of excited-state wave function parameters. For dynamic EMF, these properties may be described as Chapter 1. Introduction a function of frequency, so the most common approach is to derive the working equations using a Fourier transform of expressions obtained using the time-dependent Schrödinger equation (more generally known as response theory); however, these expressions may also be evaluated explicitly in the time-domain.<sup>22,23</sup> In

Section 2.2 we focus on the frequency- domain formulation, while Chapter 5 explores the recently revived prospect of explicit time- propagation, as well as a technique to make this process cheaper through a well-established concept known as local correlation.<sup>24</sup>

Electron correlation is defined in the present work to mean any additional effect beyond what is included in the ground-state Hartree-Fock (HF) wave function  $|0\rangle$  . In ground-state electronic structure theory, this is typically taken to be an anti-symmetrized, single-determinant wave function, generally composed of linear combinations of atomic Gaussian basis functions. In solving the HF equations, the electronic energy is variationally minimized, under the constraint that the orbital basis functions of  $|0\rangle$  remain orthonormal. <sup>3</sup> The resulting orbitals will be referred to as the canonical molecular orbital (MO) basis. This section will briefly describe a selection of methods for recovering the correlation energy which are pertinent to this work. Correlation is usually included by considering the effects of substituted determinants in which electrons in ground-state occupied orbitals are substituted (often referred to as “excited”) into higher-energy unoccupied (or virtual) orbitals. These

reference wave function, which in turn are linear combinations of atomic orbital basis functions. However, as discussed in Chapter 5, some schemes apply a different approach – namely, localization (and potentially truncation) of the canonical MOs prior to computing the correlation energy. Therefore, this chapter will focus on general expressions, without assuming canonical or orthogonal orbital spaces except where mentioned. Einstein summation notation (implied <sup>5</sup> Chapter 2. Theoretical background summation over repeated indices) will be used throughout this chapter, except in cases where an explicit sum is instructive. 2.1.1 Many-Body Perturbation Theory Correlation energy corrections to the HF energy may be obtained through many-body perturbation theory (MBPT). We may begin with

– notation for time-dependence will be suppressed here, but the same rules apply as mentioned in Section 2.2. By partitioning the electronic

Hamiltonian  $\hat{H}$  into a zeroth-order (HF) term  $\hat{H}(0)$  and a perturbation  $\hat{H}(1)$

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), which is first-order in an undetermined coefficient  $\lambda$ ,  $\hat{H} = \hat{H}(0) + \lambda \hat{H}(1)$  (2.1) and expanding the electronic energy  $E$  and wave function  $|\Psi\rangle$  similarly in orders of the perturbation (denoted by superscript),  $|\Psi\rangle = \sum_{i=0}^{\infty} \lambda^i |\Psi(i)\rangle$  (2.2a)  $E = \sum_{i=0}^{\infty} \lambda^i E(i)$ , (2.2b)  $\sum_{i=0}^{\infty}$  contributions to the correlation energy are obtained order-by-order.  $|\Psi(0)\rangle$  is taken to be  $|0\rangle$ , and  $\lambda_0$  to be one. Implicit here is the assumption that the perturbation is small relative to the reference – i.e., the correlation energy is several orders of magnitude smaller than the HF energy. For a perturbation containing correlation operators, inserting Eqs. (

2.1) and (2.2) into the time-independent Schrödinger equation and

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truncating at the second order in  $\lambda$  yields the 2.1. Ground state electronic structure theory first non-zero contribution to the energy:  $E(2) = \langle 0 | \hat{H}(1) | \Psi(1) \rangle$ . (2.3) Second-order MBPT is equivalent to the minimization of Eq. (2.3). Using the Hylleraas partitioning of the Hamiltonian,  $\hat{H}(0) = E(0) + \hat{F}$   $\hat{H}(1) = \hat{W}$  (2.4a) (2.4b) where

$\hat{F}$  and  $\hat{W}$  are the one- and two-particle components of the electronic Hamiltonian, respectively

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, we may solve Eq. (2.3). This is achieved through minimization of a residual expression defined by the first-order wave function equation,  $\hat{W}|0\rangle + \hat{F}|\Psi(1)\rangle = 0$ . (2.5) To generate programmable expressions, we may choose to represent our first-order perturbed

wave function as a linear combination of substituted HF determinants with coefficients

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$\langle \Psi(1) | \hat{H} | \Psi(1) \rangle = \sum_{i,j,a,b} t_{ij} \langle 0 | \hat{H} | ab \rangle$  (2.6) Since the Hamiltonian does not connect  $|0\rangle$  to singly-substituted determinants due to the Brillouin condition,<sup>3</sup> it follows that only double substitutions are present in  $|\Psi(1)\rangle$ . Projection of Eq. (2.5) onto a basis of doubly substituted determinants yields programmable expressions for  $t_{ij}$ . In the limit of canonical HF orbitals, Eq. (2.3) reduces to the more

commonly known second-order Møller-Plesset perturbation theory (MP2

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)<sup>6,26</sup> expressions,  $E(2) = \frac{1}{4} \sum_{i,j,a,b} t_{ij} \langle ij || ab \rangle$  (2.7a)  $t_{ij} = -\epsilon_a + \langle \epsilon_i \epsilon_j || a \epsilon_b \rangle - \epsilon_j$  (2.7b) where  $\langle ij ||$

$ab\rangle$  is the antisymmetrized two-electron integral between occupied orbitals  $\{i, j\}$  and virtual orbitals  $\{a, b$

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$\}$ , and  $\epsilon_p$  is the  $p$ th diagonal element of the Fock matrix. This requires only one  $O(N^5)$  step (

where  $N$  is a measure of system size) in the rotation of the two

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-electron atomic orbital integrals into the MO basis followed by a one-step energy calculation. However, commonplace localizations of the occupied space<sup>27–29</sup> require instead the iterative evaluation of Eq. (2.5). Furthermore, reduced nonorthogonal virtual spaces may be employed in the projection basis (as will be explored in Chapter 5),<sup>24</sup> which necessitates the inclusion of additional overlap matrices between nonorthogonal orbital spaces in these equations. While this increases the cost by adding iterations and additional expressions to evaluate, these additional costs are heavily outweighed by the computational savings due to the reduction of  $N$  in large systems.

2.1.2 Coupled Cluster The coupled-cluster family of electronic structure methods<sup>7–10</sup> employs an exponentiated cluster operator  $\hat{T}$  to include the effects of substituted determinants,  $\hat{H}e^{\hat{T}}|0\rangle = Ee^{\hat{T}}|0\rangle$  (2.8) with  $\hat{T} = \sum_{n=1}^{\infty} \hat{T}^n$  (2.9a)

2.1. Ground state electronic structure theory  $\hat{T}^n = 1$  (2.9b)  $(n! t_{ij} \dots a^\dagger a^\dagger b \dots a_j a_i)$

where  $M$  is the number of electrons in the system, and

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ap and a†p are the standard second- quantized excitation and de-excitation operators, respectively, for a general orbital p. Rather than perturbative expansion, the cluster operator is expanded in orders of substitutions (singles, doubles, etc. in Eq. (2.9a)), then truncated to a given substitution level to produce tractable equations. Additional simplification is achieved by using

the similarity-transformed Hamiltonian  $H^- = e^{-T} \hat{H} e^T$  (2.10) which has the

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same eigenspectrum as  $\hat{H}$ , but naturally truncates at four nested commutators in a Campbell-Baker-Hausdorff expansion

$$H^- = \hat{H} + [\hat{H}, T] + \frac{1}{2} [[\hat{H}, T], T] + \frac{1}{6} [[[\hat{H}, T], T], T] + \frac{1}{24} [[[[\hat{H}, T], T], T], T]$$

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]. 1 1 1 (2.11) 2! 3! 4! Projecting Eq. (2.8) on the left by  $\langle 0|e^{-T}$  yields the coupled cluster energy expression and, as in Section 2.1.1, expressions

for the amplitudes  $t_{aijb} \dots$  are obtained by projection onto substituted determinants

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$\langle \mu | : \langle 0 | H^- | 0 \rangle = E_{CC}$  (2.12a)  $\langle \mu | H^- | 0 \rangle = 0$ . (2.12b) Here Eq. (2.12a) follows from the normalization condition of  $|0\rangle$ , and Eq. (2.12b) follows from the orthogonality condition. In the case of localized orbitals, such as those used in Chapter 5, additional terms involving the overlap of nonorthogonal orbitals will appear in the final expressions. Untruncated, Eqs. (2.12a) and (2.12b) produce exact solutions to the time-independent Schrödinger equation for the electronic Hamiltonian in a given basis set. Perhaps the most common truncation of Eq. (2.9a) is to single and double substitutions, defining the CCSD method. The computational cost of this method scales as  $O(N^6)$ . In terms of MBPT, CCSD may be viewed as correct through infinite order, but only within the space of single and double substitutions. A perturbative correction for triples produces the “gold standard” in quantum chemistry, the CCSD(T) method,<sup>30,31</sup> which scales as  $O(N^7)$ . Aside from these, additional truncation schemes exist for a variety of purposes. For example, the CCD method includes only double substitutions, but the complexity of the resulting equations is far simpler. Another method, CC2,<sup>32</sup> is tuned

for the calculation of molecular response properties, such as

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dipoles and polarizabilities. This method truncates the doubles expression at first order by considering the  $T^2$  operator as first order. The full singles expressions from CCSD are retained by considering  $T^1$  to be first order, and the one- and two-electron terms of the Hamiltonian are still considered to be zeroth and first order, respectively, as in Section 2.1.1. Solving the resulting iterative amplitude expressions for CC2 scales as  $O(N^5)$  but, unlike the similarly scaling MP2 method, includes the effects of singles, which have been shown to be crucial for computing accurate molecular properties.<sup>32,33</sup> It is important to note that while the studies in Chapters 4 and 5 utilize CCSD, many other flavors of CC may be used as drop-in replacements, both in theory and in the implementation of their codes.

### 2.1.3 Reduced Density Matrices

A general expression for the ground-state energy of an arbitrary wave function  $|\Psi\rangle$  prepared in a basis  $\{p, q, r, s\}$

can be written in terms of one- and two-particle reduced density matrices

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(1-RDM  $D$  and 2-RDM  $\Gamma$ ):<sup>15,34</sup>  $E = \langle \Psi | \hat{H} | \Psi \rangle$  (2.13) =  $D_{pq}h_{pq} + \Gamma_{pqrs}g_{pqrs}$

### 2.1. Ground state electronic structure theory where $h_{pq}$ and

$g_{pqrs}$  are the one- and two-electron integrals of the

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electronic Hamiltonian, and  $D$  and  $\Gamma$  are defined according to the form of  $|\Psi\rangle$  and the excitation operators of the second quantized Hamiltonian:  $D_{pq} = \langle \Psi | a^\dagger p a q | \Psi \rangle$  (2.14a)  $\Gamma_{pqrs} = \langle \Psi | a^\dagger p a^\dagger t a s a r | \Psi \rangle$ . (2.14b) Clearly, this requires the left-hand wave function  $\langle \Psi |$ . For the electronic Hamiltonian, which is Hermitian, the left- and right-hand wave functions are identical, as is the case for MP2. However, this Hermiticity is destroyed for standard CC methods due to the similarity transformation of the Hamiltonian in Eq. (2.10).<sup>10</sup> It is therefore necessary to solve for the CC left-hand wave function,  $\langle L | = \langle \Psi | \hat{L}^\dagger$  (2.15) where  $\hat{L}^\dagger$  is a left-hand cluster operator analogous to Eq. (2.9b), with analogous amplitudes  $\lambda_{iajb}$ ..... This additional set of coupled equations can be solved in the same manner as the right-hand amplitude expressions. We may then rewrite the coupled cluster energy expression using Eq. (2.8):  $\langle \Psi | \hat{L}^\dagger \hat{H} | \Psi \rangle = E$ . (2.16) As will be explored in Section 2.2,



right-hand wave functions and assuming the Hellmann- Feynman theorem<sup>35,36</sup> holds (and thus  $|\Psi\rangle$  carries no dependence on external perturbations), the

**derivative of the energy with respect to an arbitrary perturbation**

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operator  $\hat{\Omega}$  can be conveniently expressed  $\partial E / \partial \Omega = \langle \Psi | \partial \hat{\Omega} | \Psi \rangle = \partial \hat{H} / \partial \Omega = \sum_{pq} D_{pq} \partial \Omega = \sum_{pqrs} \Gamma_{pqrs} \partial \Omega$  without further specification of the wave function or Hamiltonian. Thus, time-independent first-order properties may be expressed without differentiation of the RDMs. This was a driving force behind the study in Chapter 3, and is important for the machine-learning methods discussed in Chapter 4. The cases of higher-order and time-dependent properties, which are the focus of Chapters 3 and 5, will be handled in the following section.

## 2.2 Response theory

Response theory combines adiabatic perturbation theory with

**a Fourier transform of the time-dependent equations into the frequency domain**

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.<sup>1,37</sup> The prediction of the response of molecular systems to an electromagnetic field has important applications in organic synthesis and characterization. To explore these effects, we may relate properties to a perturbative expansion of a total electric or magnetic multipole moment, from which response tensors related to a host of properties may be defined. These response tensors generally take the form of products of ground- and excited-state transition moments of the electric or magnetic dipole operator. In this chapter we will summarize the derivation of these response tensors and their relationships to field-induced molecular phenomena. We then present a method for obtaining these tensors through Fourier transform of a time-dependent quasi-energy<sup>2,20,21</sup> which is rigorously defined in the context of electronic structure theory, providing working equations for predicting molecular responses to EMF using approximate wave function-based theory.

## 2.2. Response theory

### 2.2.1 Exact Response

Under classical electrodynamics, the potential energy  $V$  of a system of charges in a static electric field may be written in a multipole expansion:<sup>1</sup>

$$V = q(\varphi)_0 - \mu\alpha(E\alpha)_0 - \frac{1}{2}\Theta\alpha\beta(E\alpha\beta)_0 + \dots \quad (2.18)$$

with the scalar potential  $\varphi$ , the Cartesian component  $\alpha$

of the electric field  $E_\alpha$ , and the electric field gradient with

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respect to two Cartesian coordinates  $E_{\alpha\beta}$ . Here we have defined the multipoles as the electric monopole or charge  $q$ , the electric dipole  $\mu_\alpha$ , and the electric quadrupole  $\Theta_{\alpha\beta}$ . The subscript 0 indicates that the potential, field, or gradient is taken at the system origin. We may also

expand the potential in a Taylor series about the potential evaluated at

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zero field:  $V[E_0] = V_0 + (E_\alpha)_0 \partial(E_\alpha)_0 V_0 + (E_\alpha)_0 (E_\beta)_0 \partial(E_\alpha)_0 \partial(E_\beta)_0 V_0 + \dots \partial^2 V_0 / \partial E_\alpha \partial E_\beta$  (2.19) From Eq. (2.18), we see that a Cartesian

component of the electric dipole may be written as the derivative of the potential with respect to the

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field,  $\mu_\alpha = -\partial(E_\alpha)_0 V_0$ . (2.20) By comparing Eqs. (2.19) and (2.20), we see that the total electric dipole may be re-written  $\mu_\alpha = \mu_0\alpha + \alpha\alpha\beta(E_\beta)_0 + \beta\alpha\beta\gamma(E_\beta)_0(E_\gamma)_0 + \dots$  (2.

21) where we have defined the response tensors for the permanent (field-independent) dipole moment

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$\mu_0\alpha$ , electric polarizability  $\alpha\alpha\beta$ , first electric hyperpolarizability  $\beta\alpha\beta\gamma$ , and higher order terms as higher-order derivatives of the potential, viz.  $\mu_0\alpha = -\partial(E_\alpha)_0 V_0$  (2.22a)  $\alpha\alpha\beta = -\partial^2 V_0 / \partial (E_\alpha)_0 \partial (E_\beta)_0$  (2.22b)  $\beta\alpha\beta\gamma = -\partial^3 V_0 / \partial (E_\alpha)_0 \partial (E_\beta)_0 \partial (E_\gamma)_0$ . (2.22c) Property tensors for magnetic and mixed electric-magnetic properties, as well as higher-order multipoles in Eq. (2.18), are defined in an analogous manner.<sup>1</sup> Quantum mechanical expressions for the property tensors may be obtained through perturbation theory. For static-field properties, this process is straightforward, and expressions similar to those found for correlation energy corrections in Eq. (2.7a) arise in the perturbative expansion of the time-independent Schrödinger equation, e.g., for the static polarizability tensor:  $\alpha\alpha\beta = -2 \sum_{j \neq 0} \langle n | \mu_\alpha | j \rangle \langle j | \mu_\beta | n \rangle / (E_n - E_j)$  (2.23) where the sum runs over excited-states  $j$ . Of more practical application is the

prediction of dynamic-field properties, such as dynamic polarizabilities, optical rotation, and circular dichroism (which are the primary targets of Chapters 3 and 5). For these and other time-dependent response properties, some description of the time evolution of the wave function is required. These properties may be solved directly from the time-dependent analogue of Eq. (2.21). To compute the now-time-dependent dipole, one must compute the dipole moment expectation value of a system in the presence of an explicitly time-dependent EMF. Fourier transformation of the dynamic dipole (and the corresponding EMF terms) into the frequency domain produces a broadband spectrum. However, explicit time propagation of the wave function is exceedingly expensive.<sup>22</sup> This is the topic of Chapter 5. For now, we will consider an alternative approach utilizing time-dependent perturbation theory. This approach avoids explicit propagation of the wave function, but limits our application to individual frequencies, rather than broadband spectra. Nevertheless, some properties (such as optical rotation) are only experimentally measured at a select few frequencies, and spectra may still be approximated by computing values at multiple frequencies. Beginning from the

time-dependent Schrödinger equation,
$$\hat{H}(t)|\Psi(t)\rangle = i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle \tag{2.24}$$
we

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will, without loss of generality, assume a time-dependent wave function expansion of the form  $|\Psi(t)\rangle = \sum_n d_n(t)|\psi_n(t)\rangle$  (2.25a)  $|\psi_n(t)\rangle = e^{-iE_n t/\hbar}|n\rangle$  (2.25b) where  $|\psi(t)\rangle$  is an approximate wave function consisting of a weighted sum of known, time-independent functions  $|n\rangle$  and an exponentiated phase. As in Eq. (2.1), we will express the Hamiltonian

as a sum of the
time-independent molecular
Hamiltonian  $\hat{H}(0)$ 
with
a
time-dependent
perturbation

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$\hat{V}(t)$  (generally taken to be an EMF) and inserting this and the wave function expansion into Eq. (2.24) yields  $(\hat{H}(0) + \hat{V}(t)) \sum_n d_n(t) e^{-iE_n t/\hbar} |n\rangle = i\hbar \frac{\partial}{\partial t} \sum_n d_n(t) e^{-iE_n t/\hbar} |n\rangle$

$$\sum_n d_n(t) e^{-iE_n t/\hbar} |n\rangle = i\hbar \frac{\partial}{\partial t} \sum_n d_n(t) e^{-iE_n t/\hbar} |n\rangle \tag{2.26}$$

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It should be noted that,

in the absence of a perturbation (time  $t = 0$ ), this reduces to the

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time-independent Schrödinger equation ( $d_n(0) = 1$ ). Using the chain rule, Eq. (2.26) becomes  $(\hat{H}(0) + \hat{V} ($

$$t) \rangle d_n(t) e^{-iE_n t / \hbar} = \partial_t d_n(t) e^{-iE_n t / \hbar} + i(E_n - E_0) d_n(t) e^{-iE_n t / \hbar} = \langle n | \hat{V} (t) | 0 \rangle e^{-iE_0 t / \hbar} d_n(t) e^{-iE_n t / \hbar} \quad (2.27)$$

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we assume we have exact eigenstates  $|n\rangle$  of the molecular Hamiltonian, i.e. exact

ground- and excited-state wave functions, then the first terms of both sides of

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Eq. (2.27) cancel. Projecting on the left by the excited-state  $\langle m|$  and rearranging, we arrive at the differential equation  $\partial_t d_m(t) = -i(E_m - E_0) d_m(t) + \langle m | \hat{V} (t) | 0 \rangle d_0(t) e^{i(E_m - E_0)t / \hbar}$  (2.28) which describes the time evolution of the wave function coefficient  $d_m(t)$ . We have also introduced the angular frequency  $\omega_{mn} = (E_m - E_n) / \hbar$  and included the explicit sum over excited states  $|n\rangle$  for clarity. Integration of Eq. (2.28) from time  $t' = 0$  to  $t' = t$  yields a recursive equation for the wave function parameter  $d_m(t)$ , due to the presence of  $d_n(t)$  on the right-hand side. However, as a consequence of our assumption

that the system begins in its unperturbed ground-state, all coefficients at  $t' = 0$  reduce to  $d_n = \delta_{n,0}$

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. To linear order in  $\hat{V}$ , Eq. (2.28) may be written  $\partial_t d_m(t) = -i(E_m - E_0) d_m(t) + \langle m | \hat{V} (t) | 0 \rangle e^{i(E_m - E_0)t / \hbar}$  (2.29) To continue, we choose our perturbation to be a simple, time-dependent field at a given frequency  $\omega$   $\hat{V} (t) = \hat{v} \omega F \omega e^{-i\omega t}$  (2.30) with field strength  $F \omega$  and arbitrary field operator  $\hat{v} \omega$ , such as the electric or magnetic dipole operator. Finally, inserting

Eq. (2.30) into Eq. (2.29) and integrating, we arrive at the final expression for the

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wave function parameters  $d_m(t) = - \langle m | \hat{v} \cdot \hat{a} \omega | 0 \rangle e^{i(\omega_m - \omega)t} F a \omega$ .  $\hbar^{-1}(\omega_m - \omega)$  (2.31) To obtain a dipole expression in the form of Eq. (2.21), we may compute the

expectation value of the electric dipole operator  $\hat{\mu}$ . After rearrangement, the

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expectation value

can be written:  $\langle \Psi(t) | \hat{\mu} | \Psi(t) \rangle = \langle 0 | \hat{\mu} | 0 \rangle$

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$\rangle = \langle m | \hat{\mu} | 0 \rangle \langle 0 | \hat{v} \cdot \hat{a} \omega | m \rangle \langle 0 | \hat{\mu} | m \rangle \langle m | \hat{v} \cdot \hat{a} \omega | 0 \rangle$  (2.32)  $\sum_{m \neq 0} [ \hbar^{-1}(\omega_m + \omega) + \hbar^{-1}(\omega_m - \omega) e^{-i\omega t} F a \omega ]$  where we have truncated at the linear response function of  $\hat{\mu}$  perturbed by  $\hat{V}(t)$ . This is known as the exact linear response function. In general, response functions can be identified by the expansion of an operator  $\hat{\Omega}$ :  $\langle$

$\Psi(t) | \hat{\Omega} | \Psi(t) \rangle = \langle 0 | \hat{\Omega} | 0 \rangle + \langle \langle \hat{\Omega} ; \hat{v} \cdot \hat{a} \omega 1 \rangle \rangle e^{-i\omega_1 t} F a \omega_1 + \langle \langle \hat{\Omega} ;$

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$; \hat{v} \cdot \hat{a} \omega_1, \hat{v} \cdot \hat{a} \omega_2 \rangle \rangle e^{-i(\omega_1 + \omega_2)t} F a \omega_1 F a \omega_2$  (2.33) + . . . where we have introduced notation for the linear  $\langle \langle \hat{\Omega} ; \hat{v} \cdot \hat{a} \omega 1 \rangle \rangle$  and quadratic

$\langle \langle \hat{\Omega} ; \hat{v} \cdot \hat{a} \omega_1, \hat{v} \cdot \hat{a} \omega_2 \rangle \rangle$  response functions. 18 2.2.2 Approximate Response Sum-over-states expressions like those in Eq. (2.32) can be solved by computing the excited-state wave functions and summing their individual contributions to the property. This poses a unique challenge in that the response tensor is not a product of only one targeted excited-state, but of all possible excited-states. While it is expected many high-energy states will have negligible contributions, the number of states required to accurately model some properties such as optical rotation makes this approach prohibitively

expensive for excited- state extensions to ground-state methods, such as equation-of-motion (EOM) CC.38 We can avoid this problem by building response equations based on approximate ground-state wave functions, such as Eq. (2.8). This eliminates the requirement of computing excited-state wave functions and allows us to replace the sum-over-states expression with a set of coupled linear equations which are far more computationally tractable.2,21 Beginning with a time-dependent wave function  $|\Psi(t)\rangle$ , we may separate it into two time- dependent pieces - the exponential of a phase factor  $\varphi(t)$ , and a phase

-isolated wave function  $|\psi^-(t)\rangle$  :  $|\Psi(t)\rangle = e^{-i\varphi(t)}|\psi^-(t)\rangle$  . (2

1

.34) We may require

that the phase of the projection of  $|\psi^-(t)\rangle$  onto the ground-state wave function be zero

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- in other words,

in the limit of zero time-dependent perturbation ,  $|\psi^-(t)\rangle$  reduces to the

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ground-state wave function. This is analogous to our approach in Eq. (2.25), where our focus has now shifted from the wave function parameters to the phase factor. Inserting these definitions into the time-dependent Schrödinger equation, we arrive at Eq. (2.35):  $(\hat{H} - i\hbar \frac{\partial}{\partial t})|\psi^-(t)\rangle = Q(t)|\psi^-(t)\rangle$

$|\psi^-(t)\rangle = Q(t)|\psi^-(t)\rangle$  ∂ (2.35) ∂t where we have defined the time-dependent quasi-energy

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as the reduced Planck constant times the derivative of the phase factor,  $Q(t) = \hbar \frac{\partial}{\partial t} \varphi(t)$

t) . (2.36) It is important to note that , just as the

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phase-isolated wave function reduces to the ground- state wave function

in the absence of a perturbation, the quasi-energy also reduces to the ground-state energy

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in this case. It can be shown that the quasi-energy is manifestly real, and both a time-dependent variational principle and Hellmann-Feynman theorem apply.<sup>21</sup> To obtain a time-independent quantity to perturbatively expand, the quasi-energy is integrated over time to form the time-averaged quasi-energy  $\bar{Q}$ . The variational and Hellmann-Feynman theorems can then be written  $\partial \bar{Q} = 0$  (2.37) and  $d\bar{Q} =$

$$\frac{1}{T} \int_0^T dt \langle \psi^-(t) | \partial \hat{H} | \psi^-(t) \rangle$$

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,  $\partial \hat{\Omega}$  (2.38) respectively, for an arbitrary perturbation  $\hat{\Omega}$  (usually taken to be an electromagnetic field). Thus, the quasi-energy is a well-defined dynamic analogue to the electronic energy. In the preceding derivation, at no point was it assumed that we have exact eigenfunctions of the time-independent Hamiltonian. Thus, the above equations are valid for approximate ground-state theories, such as CC. We may now define our approximate response functions as we did in Eq. (2.22) as derivatives of this time-averaged quasi-energy,  $\langle \langle \hat{\Omega}; \hat{v}^{\alpha\omega_1} \rangle \rangle = d\bar{Q}/d\hat{v}^{\alpha\omega_1}$  (2.39a)  $\langle \langle \hat{\Omega}; \hat{v}^{\alpha\omega_1}, \hat{v}^{\beta\omega_2} \rangle \rangle = d^2\bar{Q}/d\hat{v}^{\alpha\omega_1}d\hat{v}^{\beta\omega_2}$  (2.39b) and working equations

may be derived by inserting the specific approximate wave function ansatz. The response functions of

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interest to the present work are the linear response functions between the electric dipole and an electric field  $\langle \langle \hat{\mu}; \hat{\mu} \rangle \rangle$ , and the magnetic dipole in an electric field  $\langle \langle \hat{m}; \hat{\mu} \rangle \rangle$ . These are responsible for the dynamic electric polarizability and chiroptical response (optical rotation and circular dichroism)

respectively.<sup>2</sup> The latter constitutes a long-standing challenge for response theory,<sup>13,39</sup> requiring mixed electric- and magnetic-field derivatives and leaving minimal room for error, but is also a prime candidate for comparisons to experiment. Some limitations of and alternatives to response theory will be explored in Chapters 3 and 5. Chapter 3 Basis Set Superposition Errors in the Many-Body Expansion of Molecular Properties Reprinted with permission from Peyton, B. G.; Crawford, T. D. J. Phys. Chem. A 2019, 123, 4500–4511. Copyright 2019 American Chemical Society. 3.1 Introduction The accurate simulation of solvent effects on molecular optical response is exceptionally challenging because of the numerous dynamical factors required for a physically faithful model.<sup>40–48</sup> Whereas many properties may be viewed as intrinsic to the solute subjected to a perturbation by the solvent environment (perhaps as represented by a dielectric continuum), others – particularly mixed electric-/magnetic-field responses<sup>1,12,39,49–51</sup> – are, in fact, correctly viewed as inherent to the combined solute/solvent system. Schemes based on implicit solvent<sup>44,52–54</sup> and frozen density embedding (FDE)<sup>55–59</sup> have been used, but with limited success. Concomitant non-additivity effects, dynamic configurational sampling, and molecule-specific interactions serve to exacerbate the computational and theoretical demands on robust simulations.<sup>45,46</sup> Thus, reducing the cost of these calculations for explicitly 21 Chapter 3.

solvated systems has become a major concern.<sup>54,60–62</sup> The many-body expansion (MBE) formalism has seen widespread success in predicting the energies of large molecular systems at a reduced cost, though recently the limits of these successes have been tested with clusters of increasing size and complexity.<sup>63–66</sup> These limits are bounded by well-explored challenges like loss of precision<sup>64,66,67</sup> and basis set superposition error (BSSE).<sup>63,66–75</sup> Electrostatic embedding (EE) has been applied to MBE treatments of water clusters alongside BSSE corrections to improve convergence<sup>73</sup>, as well as the N-body:Many-body QM:QM technique<sup>76</sup> to reduce the cost of including higher-order effects. The latter has also been applied to small water clusters for predicting vibrational frequencies.<sup>77</sup> The fundamental concept behind the MBE is the decomposition of the energy (or other properties) into a sum of smaller contributions from sub-components of a complex, interacting system.<sup>78,79</sup> In the MBE, the energy (or energy derivative) of  $n$  interacting fragments may be expanded in orders of interaction energies:  $E_{1,2,\dots,n} = E_i + \epsilon_{ij} + \epsilon_{ijk} + \dots$ , (3.1a)  $i \in N_1, j \in N_2, k \in N_3$  where  $N_1, N_2, N_3$ , etc., denote the sets of unique monomers, dimers, trimers, etc. The interaction energies are defined as, for example, for dimers,  $\epsilon_{ij} = E_{ij} - E_i - E_j$ , (3.1b) and for trimers,  $\epsilon_{ijk} =$



of sub-components is necessary to avoid overcounting of 3.1. Introduction energy contributions. While the untruncated MBE scheme is formally exact, large computational savings result from the truncation of Eq. (3.1a) to k-body terms where  $k < n$ . Truncation to two- or three-body terms only has proved sufficient for interaction energies in many examples. However, limiting cases exist for increasingly complex systems.<sup>63–66</sup> While interaction energies are the popular target of MBE applications, properties computed using the MBE have received comparatively little attention. Though some work has been carried out concerning induced electronic properties in linear species,<sup>80–84</sup> solvated systems such as those studied in Ref. 85 are of particular interest for chiroptical property prediction. A study by Mach and Crawford<sup>86</sup> showed that the MBE for a number of solvated chiral systems exhibited oscillatory convergence in specific rotation with respect to n-body truncation, while interaction energies, dipole moments, and dynamic polarizabilities still converged well by the three-body approximation as is typical for interaction energies with the MBE for systems with fewer than 12 monomers<sup>63,66</sup>. The successive sign-flips of k-body terms, such as  $E_i$  changing from positive to negative in Eqs. (3.1b) and (3.1c), have been suggested to be the cause of oscillations in the MBE for large clusters,<sup>63</sup> and BSSE has been identified as a major contributing factor to these oscillating errors. BSSE is a result of an imbalance of basis functions and thus will be present in any electronic structure calculation using a finite number of functions. The BSSE of a dimer interaction term in Eq. (3.1b) is relatively straightforward to correct using the Boys and Bernardi Counterpoise Method (BBCP):<sup>68</sup>  $\epsilon_{ij} = E_{ij} - E_i(ij) - E_j(ij)$ , (3.2) where the superscript denotes the basis set used, and any terms without a superscript are calculated in their own basis. When  $E_i$  and  $E_j$  are calculated in just their own basis sets, each monomer does not benefit from the nearby basis functions placed on the partner monomer. However, when calculating  $E_{ij}$ , the basis functions are shared between the monomers, which increases flexibility in the wavefunction and changes the energy despite having little bearing on the interaction between monomers  $i$  and  $j$ , only their (incomplete) basis sets. By using the same basis (that of the dimer  $ij$ ) for all three calculations, there is no imbalance in the wavefunction, hence the term “counterpoise (CP) correction”. The BBCP method was originally intended for correcting dimer interaction energies, but two fundamentally different generalizations of the BBCP correction scheme for an n-body interaction term are currently in use: the site-site function counterpoise (SSFC) and Valiron-Mayer Function Counterpoise (VMFC) methods.<sup>69,70</sup> The former, also referred to as the “full cluster basis,” simply uses the basis functions of the full n-body cluster for each fragment calculation,  $E_{1,2,\dots,n} = E_i(n) + \epsilon(ijn) + \epsilon(ijnk) + \dots$  (3.3)  $i \in N_1, j \in N_2, k \in N_3$  with similar generalizations for Eq. (3.1b) and (3.1c). While often prohibitively expensive, it has been shown to be effective in eliminating oscillations in the MBE.<sup>63,66</sup> The VMFC method is based on correcting for BSSE at each k-body level such that the k-mer basis is used for each k-body interaction term,  $E_{1,2,\dots,n} \approx E_i(i) + \epsilon(iij) + \epsilon(ijjk) + \dots$  (3.4)  $i \in N_1, j \in N_2, k \in N_3$  By correcting at each k-body term, the VMFC method prevents spurious “ghost dipoles” from appearing in the k-body approximations, which can occur with the SSFC method: asymmetrically distributed basis functions placed around a real fragment can cause electron density to move to those locations, causing net dipoles (and possibly other properties) which are solely dependent on the placement of ghost functions, though such effects should only 3.2. Computational Details appear significantly for close-packed

fragments. The VMFC method requires that each calculation be performed in multiple basis sets, resulting in many more calculations (an additional binomial coefficient, in fact) than the classic MBE. The exact nature of the MBE at n-body is also lost, e.g. the monomer energies in Eq. (3.1a) no longer cancel exactly with the monomer energies in Eq. (3.1b), due to the difference in basis sets. The resulting energy is a “counterpoise corrected” energy, which makes benchmarking relative to complete cluster calculations difficult. In practice, results from the VMFC method vary only slightly relative to those from the SSFC method and other correction schemes<sup>66,71,73</sup>. The

goal of the present study is to understand the cause of the oscillations in

the MBE for specific rotation observed in the earlier work by Mach and Crawford<sup>86</sup> and to determine whether or not they can be removed using a BSSE correction scheme. We will focus on the SSFC method due to its convergence to the correct n-body limit. We have chosen to exclude the VMFC method at present because of its high computational cost and ambiguity in benchmarking calculations. We further extend the previous work by employing larger solvation clusters and testing additional configurations of explicitly solvated systems.

### 3.2 Computational Details

The dynamic polarizability at an external field frequency,  $\omega$ , is computed as the isotropic average of the dynamic polarizability property tensor:<sup>1,12</sup>

$$\alpha\beta(\omega) = \hbar^{-2} \sum_{j \neq n} \frac{\omega_j}{\omega_j^2 - \omega^2} \text{Re}(\langle n | \mu_\alpha | j \rangle \langle j | \mu_\beta | n \rangle), \quad (3.5)$$

where  $n$  is the electronic ground state,  $j$  is an electronic excited state with excitation frequency  $\omega_j$ , and  $\mu$  is the electric dipole operator. Similarly, the

specific rotation (in 26 Properties deg dm<sup>−1</sup> (g/mL)<sup>−1</sup>) is related to the isotropic average of

the electric-dipole/magnetic-dipole property tensor (also called the Rosenfeld tensor):<sup>87</sup>

$$G\alpha'\beta(\omega) = -\hbar^{-2} \sum_{j \neq n} \frac{\omega_j}{\omega_j^2 - \omega^2} \text{Im}(\langle n | \mu_\alpha | j \rangle \langle j | m_\beta | n \rangle). \quad (3.6)$$

The  $\alpha$  and  $G'$  property tensors were computed in this work using the linear response formalism<sup>21</sup>, the latter using gauge-including atomic orbitals (GIAOs)<sup>88</sup>. Dynamic response properties such as polarizabilities or specific rotations may be formulated in terms of the time-averaged quasi-energy,  $Q = \frac{1}{T} \langle \Psi^- | \hat{H} - i \partial_t | \Psi^- \rangle$ , (3.7) where  $\Psi^-$  is the regular part of the phase-isolated wave function<sup>89</sup> and  $\hat{H}$  includes the time-dependent external field. For periodic potentials, the time-averaged quasi-energy is uniquely defined, and both variational and Hellmann-Feynman theorems apply.<sup>90</sup> Indeed,

in the limit of a time-independent Hamiltonian, the quasi-energy reduces to the

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energy of the stationary state. Thus, just as the many-body expansion applies to the time-independent energy, it also applies to the time-averaged quasi-energy. Furthermore, since response functions are computed as derivatives of  $Q$  with respect to the perturbation coefficients (e.g., the polarizability is the negative of the

second derivative of the time-averaged quasi-energy with respect to the strength parameter of the external electric field

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), response functions should also be subject to the many-body expansion, just as in the time-independent case. This was the driving force behind the Mach paper, which also showed that this was correct for one linear response property, the polarizability<sup>86</sup>. In the following, it will be shown that while this expansion is valid, its effective truncation depends highly on the additivity of the subjected property. CP corrections should remove BSSE errors which do not cancel in the MBE equations, and 3.2. Computational Details other potential issues will be explored for the non-convergent specific rotation. Geometries for (S)-methyloxirane in a cage of seven water molecules, (S)-methylthiirane surrounded by six water molecules, and (M)-dimethylallene with seven water molecules were re-used from the previous study<sup>86</sup> for consistency. These geometries were generated by Gromacs<sup>91</sup> simulations of each solute in water, and snapshots with 5.5 Å solvent shells were extracted from the resulting trajectories. Additional geometries for (S)-methyloxirane in seven- and 13-water-molecule cages and (S)-methylthiirane in a six water-molecule cage were generated to test the effects of geometry and solvent shell size on MBE convergence and BSSE. All geometries are available in the Supporting Information. Interaction energies, dipole moments, and specific rotations were calculated using the B3LYP<sup>92,93</sup> functional in the aug-cc-pVDZ (aDZ) basis<sup>94,95</sup> as

in the previous study. Additionally, the aug-cc-pVTZ (aTZ) basis set

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was explored in selected examples to determine the effects of basis set size on the MBE convergence and the BSSE. The CAM-B3LYP<sup>96</sup> functional was also employed to explore the effects of long-range interactions on the  $G'$  tensor. Dipole polarizabilities for all systems (and all properties for the 13-water/S-

methyloxirane system) were computed using only CAM-B3LYP/aug-cc-pVDZ. Specific rotations and dynamic polarizabilities

were computed at four common wavelengths: 355, 436, 589, and 633 nm

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. All calculations were performed using Gaussian 0997 using inputs generated by a Psi498 plugin, which also carried out the subsequent data collection and analysis. This plugin gathers the data from formatted checkpoint files generated by Gaussian 09 to address precision issues brought about by propagation of error as noted in recent studies.<sup>64,66,67</sup> Default SCF and CPHF convergence criteria ( $10^{-7}$  and  $10^{-10}$ , respectively) were used with standard pruned integration grids, which include 75 and 35 radial shells, and 302 and 110 angular points per shell for SCF and CPHF, respectively. When compared to tighter convergence ( $10^{-12}$ ) and “fine” grids (pruned 75 shell, 302 nodes) for both SCF and CPHF, no appreciable difference in Properties was found for the (S)-methyloxirane system in a seven-water solvent shell (compare Figs. 7 and 13 of the Supporting Information). Additionally, unpruned “fine” grids were also tested with the same system; similarly, this had little effect on the convergence of the expansion (compare Figs. 7 and 14 of the Supporting Information). We will use both graphical evaluations and standard deviations of the error relative to the converged results to assess the oscillations present in the MBE. We calculated standard deviations only for the two-body and higher approximations, due to the much larger, non-representative errors associated with the one-body approximation. We report standard deviations and percent errors for dynamic polarizabilities and specific rotations at 633 nm unless otherwise noted. Plots of data not discussed, such as the interaction energies and electric dipole moments of smaller solvent cages, are available in the Supporting Information.

### 3.3 Results and Discussion 3.3.1 Interaction Energies and

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Electric Dipole Moments Interaction energies and electric dipole moments computed with the MBE were reported in the previous study<sup>86</sup> and extensive reviews of the general behavior of the MBE for such properties are available elsewhere (e.g. Ref. 66 and references therein). We found that the SSFC correction was insignificant for all cases (see the Supporting Information), except for methyloxirane in a 13-water solvent shell, Fig. 3.1. As noted in previous studies of  $\sim$ ten-body expansions<sup>63,66</sup>, oscillating errors in the convergence of the interaction energy in the milli-Hartree range were observed for the methyloxirane system considered. While these oscillations are not as significant as those seen for other properties, the improvement in convergence by the SSFC correction as evidenced by Fig. 3.1a is still noteworthy. Note

3.3.1 MBE and SSFC of (a) interaction energies and (b) dipole moments for (S)-methyloxirane in a 13-water solvent shell. that, by definition, the MBE and SSFC interaction energies are different due to the different basis sets used for the monomer terms, so the absolute errors relative to the MBE or SSFC interaction energy are reported. The dipole moment in Fig. 3.1b also exhibits oscillations uncharacteristic of the smaller solvent shells (nearly 0.5% error even at six-body), and again the SSFC correction dampens the oscillations dramatically. This suggests that the BSSE inherent in the MBE for interaction energies – and its characteristic increase relative to system size – is present for other properties as well. If the oscillations observed for higher-order properties in the previous study are indeed indicative of BSSE, then CP corrections should play a major role in damping them.

3.3.2 Dynamic Dipole Polarizabilities Dipole polarizabilities calculated with the MBE were reported for solvated (S)-methyloxirane, (M)-dimethylallene, and (S)-methylthiirane in the previous study<sup>86</sup> using the B3LYP functional. Their oscillatory behavior has been reproduced here with the CAM-B3LYP functional and CP-corrected with the SSFC method, as shown in Fig. 3.2. For all three systems, the (a) (S)-methyloxirane (c) (M)-dimethylallene Properties (b) (S)-methylthiirane Figure 3.2: MBE and SSFC of dynamic polarizabilities for (a) (S)-methyloxirane in a seven-water solvent shell, (b) (S)-methylthiirane in a six-water solvent shell, and (c) (M)-dimethylallene in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. relatively large oscillations of the MBE for one- to four-body contributions were almost completely removed by SSFC, replacing the convergence trend with a nearly monotonic decaying function. Analysis of a second snapshot taken from a molecular dynamics trajectory for (S)-methyloxirane and (S)-methylthiirane produce similar results (see the Supporting Information), with only that of (S)-methyloxirane exhibiting a slightly negative three-body term before reaching convergence. In all three systems, the SSFC correction yields substantial improvement in the convergence of the MBE as compared to the uncorrected results, e.g. the standard deviation for (S)-methyloxirane decreases from 1.08 to only 0.22. Dynamic polarizabilities were also calculated for the larger 13-water (S)-methyloxirane system, as shown in Fig. 3.3. Oscillations to a higher error (up to 6%) resulted in a higher standard deviation in this case than for the smaller solvent systems considered for the MBE. However, the SSFC still dampened these oscillations significantly, with some small oscillations remaining. As with the electric dipole moment data considered earlier, BSSE is shown to be present and perhaps more important for properties than for interaction energies of the same system, with SSFC being a consistent method of reducing oscillations and speeding up convergence regardless of system size.

3.3.3 Specific Rotations As stated previously, the properties considered thus far can be thought of as intrinsic to the solute being perturbed by the solvent. MBEs for such properties, even beyond the energy, still converge, and oscillations in the convergence for large clusters are mostly due to BSSE and therefore can be corrected with CP-corrections such as SSFC or truncated schemes (such as VMFC(n)<sup>72</sup> or MBCP(n)<sup>73</sup>). It is shown that BSSE is perhaps more important in response

property calculations; however, CP corrections still recover convergence within Properties Figure 3.3: MBE and SSFC of dynamic polarizabilities for (S)-methyloxirane in a 13-water solvent shell. Computed with CAM-B3LYP/aDZ. a three body approximation for even the largest solvent cluster considered. Next, the oscillatory convergence of the highly non-additive specific rotation of these clusters will be investigated, with the goal of deciding whether an MBE is an appropriate approximation even with a CP correction. The earlier work by Mach and Crawford<sup>86</sup> identified specific rotations as a particular challenge for the MBE and its failure to converge with even small solvent shells suggested that BSSE was a potentially significant source of error. However, a number of other potential parameters exist that could also cause or exacerbate the observed erratic behavior for this property. As Ouyang et al. pointed out<sup>63</sup>, oscillations in the MBE can occur due to successive sign-flips of terms with large errors; as a result, oscillations could potentially occur due to any large bias in the subsystem calculations. To that end, we selected (S)-methyloxirane and (S)-methylthiirane as representative cases for investigating various strategies for addressing these oscillations, though we also report results for (M)-dimethylallene at the B3LYP/aDZ and CAM-B3LYP/aDZ levels of theory. One possible source of convergence problems for the MBE is noise due to the precision of the collected  $G'$  property tensor elements. Recent publications<sup>64,66,67</sup> have taken notice of the effects of subsystem calculation convergence criteria and precision on higher-order terms in the MBE. Richard, Lao, and Herbert demonstrated in Ref. 64 that precision errors in the energy rise rapidly for four- and five-body calculations as the total system size increases. We accounted for this by using Gaussian's formatted checkpoint files for the data collection to ensure that we maintain full machine precision until the final result. Nevertheless, significant oscillations in the MBE results for specific rotations remained with little to no difference from the previous results<sup>86</sup>, as shown in Fig. 3.4. (NB the significant difference in the vertical scale for the three test cases.) Indeed, the specific rotation of (S)-methylthiirane approaches 500% error at the three-body contributions as compared to the converged results for the (a) (S)-methyloxirane (c) (M)-dimethylallene Properties (b) (S)-methylthiirane Figure 3.4: MBE and SSFC of specific rotation for (a) (S)-methyloxirane in a seven-water solvent shell, (b) (S)-methylthiirane in a six-water solvent shell, and (c) (M)-dimethylallene in a seven-water solvent shell. Computed with B3LYP/aDZ. three longer wavelengths considered, in agreement with Table 8 of Ref. 86, and thus the precision of the individual terms is ruled out as a contributor to this behavior. A second potential source of MBE convergence error is the use of the relatively small aDZ basis set. Although this basis set has been found to be adequate for many applications in studies of optical activity, for some systems (notably, methylthiirane), larger basis sets are needed. <sup>54,99</sup> To investigate this issue, we carried out B3LYP/aTZ specific rotation calculations for both (S)-methyloxirane and (S)-methylthiirane, the results of which are presented in Fig. 3.5. For (S)-methyloxirane, the four- and five-body errors with the aDZ basis (Fig. 3.4a) exhibit a significant oscillation from ~7% error to ~-3% error; the aTZ basis (Fig. 3.5a) reduces this somewhat to ~5% to -0.7% error, and the two- to n-body standard deviation slightly decreases from 8.1 to 6.8% error. Comparing Figs. 3.4b and 3.5b for (S)-methylthiirane, increasing the basis set from aDZ to aTZ similarly offers no significant qualitative improvement, as errors vary wildly and are greater than 15% for all wavelengths even at five-body contributions (and worse than the aDZ basis in some cases). The standard deviation at 633 nm does decrease from 694 to 159% error with the improved basis set, though this is still well beyond acceptable limits and convergence

with respect to n-body truncation remains strongly dependent on wavelength. What about the choice of density functional? While most studies to date have utilized the popular B3LYP functional, CAM-B3LYP has been proven useful to reduce errors in the near- resonance regions 47,100 and to produce more consistent results with respect to wavelength, as shown in Fig. 3.6b. While CAM-B3LYP for (S )-methyloxirane does not yield significant differences in the MBE (cf. Fig. 3.4a with Fig. 7 of the Supporting Information), the change in functional makes a considerable difference for (S )-methylthiirane (Fig. 3.6a), as convergence trends were more consistent across all wavelengths tested. Nevertheless, the MBE still never converges to below 5% error until the full expansion is reached, and a standard deviation Properties (a) (S )-methyloxirane (b) (S )-methylthiirane Figure 3.5: MBE and SSFC of specific rotation for (a) (S )-methyloxirane in a seven- water solvent shell and (b) (S )-methylthiirane in a six-water solvent shell. Computed with B3LYP/aTZ. (a) (S )-methylthiirane (b) (M )-dimethylallene Figure 3.6: MBE and SSFC of specific rotation for (a) (S )-methylthiirane in a six-water solvent shell and (b) (M )-dimethylallene in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. of 461% error was still observed (primarily due to the two-body error which is over 1000% error). (M )-dimethylallene also exhibits more consistent convergence trends with CAM- B3LYP, with only a slightly increased standard deviation (18% to 21% error). The final non-BSSE issue considered is the choice of snapshot geometry. Calculations of solvent-phase specific rotation based on MD trajectories typically employ a large number of such snapshots, each with a distinct geometry that may be far from an energetic local minimum. Thus, we considered an additional snapshot for both (S )-methyloxirane and (S )-methylthiirane in their seven- and six-water solvation shells respectively, using CAM- B3LYP/aDZ (Fig. 3.7). For (S )-methyloxirane, the MBE performs somewhat worse for the new snapshot, with errors approaching from far below zero and changing sign at the six- (a) (S )-methyloxirane Properties (b) (S )-methylthiirane Figure 3.7: MBE and SSFC of specific rotation for additional snapshots of (a) (S )- methyloxirane in a seven-water solvent shell and (b) (S )-methylthiirane in a six-water solvent shell. Computed with CAM- B3LYP/aDZ. body level with errors of nearly 10% still remaining, and a considerably larger 22% error standard deviation, though the same qualitative trends appear. The new snapshot for (S )- methylthiirane, on the other hand, yields somewhat better results, but again with the same qualitative trends. The large errors near (and over) 100% seen previously are not present for this snapshot, though oscillations are still clearly visible with respect to n-body truncation, as evidenced by the 4.9% error standard deviation. Additionally, the original 7-water (S )- methyloxirane snapshot was edited to contain two short O...H bonds to test the effects of hydrogen bonding distance on the convergence (compare Figs. 7 and 12 of the Supporting Information). As with the second snapshot, the same qualitative trends were observed, with very little difference in percent errors. Next,

in order to focus on the impact of BSSE on the MBE, we employed the

SSFC CP- correction scheme in each of the test cases discussed above. In all cases, the corrections reduce — but do not eliminate — the oscillations in the relative errors of the MBE, as evidenced by the lower frame of every sub-figure of Figs. 3.4-3.7. Standard deviations also generally agree with this trend, with exceptions being Fig. 3.5a and 3.7b. In those two cases, the slightly higher two-body error in the SSFC case inflates the standard deviation, but from three-body onwards the oscillations are reduced. For the smaller solvation shells of (S)-methyloxirane, (M)-dimethylallene, and (S)-methylthiirane, the convergence was also accelerated: by three- or four-body contributions, errors reasonable enough for predicting the sign of the optical rotation (<5%) were achieved for most wavelengths with CAM-B3LYP/aDZ, though (S)- methylthiirane remains a challenge (16% error at the four-body truncation for 355 nm), as well as 355 nm and 436 nm for the second methyloxirane snapshot (-8% and -6% at four- body). However, despite the relative improvements compared to the uncorrected MBE, these results do not imply that rapid convergence of even a CP-corrected MBE should be expected for highly non-additive properties such as specific rotation. Furthermore, a qualitative description of the specific rotation will not suffice: proper simulations of the property should be averaged over possibly hundreds of snapshots from an MD trajectory, and for complex systems with multiple chiral centers even a small error in the specific rotation can predict the wrong stereoisomer<sup>101</sup>. The fact that these trends also hold for (M)-dimethylallene speaks to the generality of the conclusions made thus far. Chirality in this compound is induced by a stereogenic axis, rather than a stereogenic center such as that in methyloxirane. In addition, the lowest energy excited states for dimethylallene are  $\pi \rightarrow \pi^*$  valence transitions localized near the double bonds, as opposed to methyloxirane whose lowest excitation energies are Rydberg states<sup>102</sup>. The 13-water solvated (S)-methyloxirane in Fig. 3.8, however, presents an even larger challenge, despite including the SSFC correction. Encouragingly, errors slightly above 5% were found at the four-body truncation, but then the error continues to oscillate without converging until the 12-body truncation. While the SSFC greatly outperforms the uncorrected MBE in the five- to seven-body range (the MBE presents errors between 50 and 100%, while SSFC presents <10% in this range), it is actually worse from the nine-body truncation onwards. The eight-, nine-, and ten-body truncations increase substantially to roughly +/- 20% error, while the MBE converged to <10% by nine-body. This is one of the largest solvated property calculations performed with the MBE to date, and the results undermine its usefulness. This, combined with the substantial cost of the SSFC correction, greatly hinders the feasibility of the approach for production-level calculations of specific rotation for such large clusters, which may be necessary to properly model the effects of the solvent. In cases of achiral solvents, such as water, one way to reduce the expense of the MBE for larger solvent shells is to include only contributions due to the solute and solute-solvent interactions. This is equivalent to restricting the sums in Eq. (3.1a) to only include fragments that contain the chiral solute. In principle, this should be advantageous for specific rotation calculations, as a pure achiral solvent (such as water) should give an optical rotation of zero upon averaging over a large number of snapshots and/or sufficiently large solvation clusters. Thus, neglecting solvent-solvent contributions should be of little consequence in the context of a sufficient MD trajectory while perhaps reducing the cost of the MBE through the removal of roughly half of the calculations needed for the full expansion of a solvated system (and much more for truncated expansions).



For the smaller solvent shells of (S)-methyloxirane and (S)-methylthiirane (Fig. 3.9), the removal of solvent-solvent interactions has little impact from five-body interactions onwards. However, the four-body approximation is slightly worsened even with the SSFC correction for (S)-methylthiirane (though the standard deviation decreases). Using this approach, the total number of calculations necessary for the full expansion decreases from 255 to 128 unique electronic structure calculations for (S)-methyloxirane, and, at any truncated n-body approximation, this number would decrease even more. For large systems in which only a qualitative description of the specific rotation is necessary, these errors may be acceptable for such an improvement in the computational cost. In the case of the 13-water solvent shell for (S)-methyloxirane, excluding solvent-solvent interactions has a significant positive impact on the magnitude of oscillations in the MBE (Fig. 3.10). While the standard deviation increases for the SSFC, the convergence in the troublesome eight- to ten-body range is improved, as compared to Fig. 3.8. Furthermore, the number of unique electronic structure calculations for the full expansion reduces by roughly a factor of two – 16383 to 8192 – with massive savings possible for truncated expansions. Still, due to the significant non-BSSE oscillations in the MBE, the possibility Properties (a) (S)-methyloxirane (b) (S)-methylthiirane Figure 3.9: MBE and SSFC of solute-fragment-only specific rotation for (a) (S)-methyloxirane in a seven-water solvent shell and (b) (S)-methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. 3.4. Conclusions Figure 3.10: MBE and SSFC of solute-fragment-only specific rotation for (S)-methyloxirane in a 13-water solvent shell. Computed with CAM-B3LYP/aDZ. of false convergence, and the prohibitive cost of the SSFC correction, a CP-corrected MBE cannot be recommended as a reliable low-cost quantitative approximation to the specific rotation of the full-cluster. 3.4 Conclusions We find that the SSFC serves to reduce significantly the BSSE in MBE expansions of higher-order properties such as dipole polarizabilities and specific rotations, resulting in somewhat dampened oscillations and thus smoother convergence in most cases. Computed standard deviations decrease on average with inclusion of SSFC corrections, with the only exceptions being (S)-methylthiirane in a six-water solvent shell computed

at the CAM-B3LYP /aDZ level of theory and (S)-methyloxirane in a seven- water

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solvent shell computed

at the B3LYP /aTZ Properties level of theory due to the erratic behavior of the

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MBE at the two-body correction. Furthermore, these trends held for variations in the choice of MD snapshot, density functional, and basis set. We thus conclude that, in agreement with previous studies on interaction energies,<sup>63,66,67</sup> counterpoise corrections are essential for the MBE calculation of response properties, whose magnitude (and sign) often depend heavily on the diffuse regions of electron density most prone to BSSE. However, the convergence difficulties characteristic of properties such as specific rotations remain a challenge, exhibiting oscillations in the MBE that cannot be remedied by a counterpoise correction alone. As illustrated clearly by the example of (S)-methyloxirane in a solvent shell of 13 water molecules, significant oscillations remain even after BSSE corrections, and these are inherent to non-additive, non-perturbative properties such as mixed electric-/magnetic-field responses. Significant changes in the subsystem calculations as  $n$  increases can cause sign flips of successive terms, and small changes in the subsystem can cause large changes in the computed specific rotation contribution. In short, the rapid convergence of the MBE inherently assumes that the property in question is fundamentally additive, in this case that the property may be viewed as that of the solute with relatively small perturbations arising from the nearby solvent molecules. This requirement does not hold for specific rotations (and related chiroptical responses), leading to the conclusion that the MBE is of very limited utility for such cases. Chapter 4

Reprinted with permission from Peyton, B. G.; Briggs, C.; D’Cunha, R.; Margraf, J. T.; Crawford, T. D. J. Phys. Chem. A 2020, 124, 4861–4871. Copyright 2020 American Chemical Society. 4.1 Introduction Machine-learning (ML) has been applied to a number of chemical applications, with excellent (and current) surveys of the field published in 2019<sup>103,104</sup>. While applications in high-throughput chemical screening using computationally “free” descriptors of molecular systems (e.g. the molecular formula or graph) have been fruitful, recently much work has been focused on machine-learning quantum mechanics (MLQM). These methods generally apply machine-learning to either replace or bolster the often expensive algorithms used in quantum mechanics for solving the electronic Schrödinger equation. Schemes for ML-assisted models include learning force-fields or other parameterizations from ab initio data<sup>105,106</sup>, improved guesses for e.g. important contributions to configuration-interaction space<sup>107</sup> or amplitudes<sup>45</sup> Chapter 4.

coupled cluster (CC) theory<sup>108</sup>, and direct energy or property prediction using mean-field, correlated, or density-functional-based approaches<sup>109–113</sup>. The focus of this work will be on the bolstering of wave function-based methods, such as CC theory<sup>10</sup> and many-body perturbation theory (MBPT)<sup>114,115</sup>, for predicting energies and properties across potential energy surfaces or molecular dynamics (MD) trajectories. This is an application also covered by recent work facilitating ML for forces in ab initio MD<sup>116</sup>, fast numerical gradients for geometry optimization<sup>117</sup>, and general-purpose local potential energy surfaces (PESs)<sup>118</sup>. Note, however, that these methodologies may be extended to other applications, such as learning of the wave function itself and predicting properties across large chemical datasets as described in Refs. 119 and 120, respectively. There has also been some recent interest in alternative, wave function-based representations (as opposed to the more common representations based on the molecular structure), which in principle have several advantages. In particular, we define three desirable properties: first, representations derived from increasingly accurate electronic structure methods should provide more faithful representations of the wave function, resulting in more accurate and efficient ML. This rules out features that are not systematically improvable, such as the Hartree-Fock wave function parameters used by Miller and co.<sup>109,110</sup> Second, the representation should be of reasonable size to be stored for thousands of molecules, with the possibility of reducing their size for extremely large datasets. This rules out a

**real space representation of the electron density on a**

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grid<sup>121</sup>. Third, a solid theoretical motivation for the representation should be given, with simple mappings from representation to target preferred. This third point is critical, as we will show that the relationship between the electronic wave function (specifically, the corresponding density matrix) and properties expressed as expectation values of one- and two-electron operators suggests a clear recipe for a wave function representation which is appropriate for machine-learning any molecular response property.

4.1. Introduction One such wave function-based representation is the t-amplitude tensor representation (TATR) introduced by Margraf and Reuter<sup>122</sup>. This representation is based on CC or MP2 amplitudes, paired with the many-body tensor representation (MBTR) formalism<sup>123</sup>. Using the TATR, it was shown that the CC correlation energies of a series of diatomics can be predicted with chemical accuracy ( $\sim 1$  kcal/mol) across the full potential energy surface with only 12 training points. This representation adheres to the three criteria above: amplitudes from increasingly accurate electronic structure methods should provide increasingly accurate TATRs, these amplitudes can be cut-off and stored based solely on their magnitude (as evidenced by retaining only 150 amplitudes for the diatomic representations in Ref. 122), and the relationship between wave function amplitudes and the target function (the correlation energy) is well-defined. Indeed, the electronic wave function, in principle, gives access to any molecular property. The derivation of arbitrary properties in terms of wave function amplitudes is far from straightforward, however. In order to generalize the mapping from representation to target function (i.e. arbitrary molecular properties), we propose the density tensor

representation (DTR), an analogous extension of the MBTR using one- and two-particle reduced density matrices rather than wave function amplitudes. In the following sections we demonstrate that careful choice of the underlying raw wave function features, as laid out in section 4.2.1, results in a more compact representation with a simple theoretical motivation. A proof-of principle ML model using this representation is detailed in section 4.2.2. Results from this initial implementation for electronic energies and dipole moments of several small molecules are given in sections 4.4.1 - 4.4.3, and details of their possible extensions for molecular transferability and arbitrary property calculations given in section 4.4.4.

### Representation 4.2 Theory 4.2.1 Electronic Structure Theory

The time-independent electronic Schrödinger equation (and, therefore, the energy)

can be written generally in terms of one- and two-particle reduced density matrices

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(1-RDM  $D$  and 2-RDM  $\Gamma$ )<sup>15</sup>:  $E = \langle \Psi | \hat{H} | \Psi \rangle$  (4.1) =  $D_{pq}h_{pq} + \Gamma_{pqrs}g_{pqrs}$  where implicit sums in Einstein summation notation are included over arbitrary orbitals

$p, q, r, s$  for the one- and two-electron integrals

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of the electronic Hamiltonian,  $h$  and  $g$ .  $D$  and  $\Gamma$  are defined according to the wave function ansatz  $D_{pq} = \langle \Psi | a^\dagger p a q | \Psi \rangle$  (4.2a)  $\Gamma_{pqrs} = \langle \Psi | a^\dagger p a^\dagger r a q a s | \Psi \rangle$  (4.2b) where the excitation operators of the second-quantized electronic Hamiltonian are included in the reduced densities. As molecular properties can be expressed in terms of energy derivatives, they can also be expressed in terms of these reduced density matrices. Differentiating Eq. (4.1) with respect to an arbitrary parameter  $\Omega$ , noting that  $|\Psi\rangle$  carries no dependence on  $\Omega$  (assuming the Hellmann-Feynman theorem<sup>35,36</sup> holds),  $\partial E / \partial \Omega = \langle \Psi | \partial \hat{H} / \partial \Omega | \Psi \rangle$  (4.3) =  $D_{pq} \partial h_{pq} / \partial \Omega + \Gamma_{pqrs} \partial g_{pqrs} / \partial \Omega$  4.2. Theory thus first-order molecular properties can also be described by the RDM's without their differentiation. This can be said even for time-dependent properties, in which a time-dependent Hellmann-Feynman theorem applies.<sup>21</sup> Furthermore, one-electron properties (such as the electronic dipole

moment) can be expressed solely in terms of the 1-RDM and the

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is a matrix with as many elements as the number of basis functions

squared, and is diagonally dominant for correlated methods in the molecular orbital (MO) basis. Matrices of this size are amenable to large-scale storage, but still hold a wealth of relevant wave function information which can be improved by simply improving the correlated method used to generate them. Additionally, the additivity of densities dictates an additivity of the property contributions, e.g. for the total CC dipole moment in one direction  $\alpha$ :  $\mu_\alpha = (DpSqCF + DCpqC) \langle p|\hat{\mu}_\alpha|q \rangle + \mu_{\alpha uc}$  (4.5) where we have separated the contributions of the uncorrelated (e.g. self-consistent field (SCF)), correlated (e.g. CC theory), and the nuclear ( $\mu_{\alpha uc}$ ) components. This separability of uncorrelated, correlated, and nuclear contributions to the property is particularly desirable for machine-learning, as we minimize the contributions learned by the algorithm. In order to probe the efficacy of using RDMs as “raw features” in a machine-learning context, we will outline and employ a method used previously by Margraf and Reuter<sup>122</sup> for using wave function amplitudes as wave function features. The authors also acknowledge a related work<sup>124</sup>, published on arXiv during manuscript revision, using the eigenvalues of a localized (Hartree-Fock or density functional theory) 1-RDM as the starting point for engineering features in a neural network approach to computing the correlation energy, dubbed the Representation Deep Post-Hartree-Fock (DeePHF) method.

### 4.2.2 Machine-Learning Kernel Ridge Regression

We will focus on Kernel Ridge Regression (KRR), as a prototypical ML method.<sup>125,126</sup> In KRR, a target property,  $y$ , is approximated as:  $M y(v) = k(v^i, v) \alpha_i$ . (4.6)  $\sum_i$  Here,  $v$  is the representation of the system of interest,  $k(v^i, v)$  is a kernel function which measures the similarity between two systems (with representations  $v$  and  $v'$ ), and  $\alpha_i$  is a regression coefficient corresponding to the training point with the representation  $v^i$ . The sum runs over  $M$  training points, so that the target property can be computed as a dot-product between a kernel vector  $k$  and a coefficient vector  $\alpha$ . An advantageous feature of KRR is that the coefficients  $\alpha$  can be obtained from a closed-form linear algebra expression:  $\alpha = (K + \lambda I)^{-1} y$ . (4.7) Here, the regularization hyperparameter  $\lambda$  is used to prevent overfitting.  $K$  is the Kernel matrix with elements containing the kernel function of all pairs of training points. Herein, we use the radial basis function (or Gaussian) kernel to measure the similarity of representations:  $k(v', v) = \exp -||v' - v||^2 / (2\sigma^2)$  (4.8) where  $\sigma$  is another hyperparameter of the model which adds flexibility to how the kernel

## 4.2. Theory

51 measures system similarity. Both hyperparameters are optimized empirically to give the best performance in leave-one-out cross-validation. Overall, it should be emphasized that the KRR model bears clear similarities to the equations we wish to approximate— Eqs. (4.1) and (4.3) — which contain a density-related term multiplied by an additional, property-specific term.

### Representation

The representations used herein follow the original idea of the many-body tensor representation (MBTR) of Rupp<sup>123</sup>, a geometrical representation proposed as an extension to the Coulomb matrix<sup>127</sup>. Margraf and Reuter recently proposed a modification of the MBTR that uses wave function amplitudes from Møller-Plesset

perturbation theory (MP2) or CC theory instead of geometrical features, termed the t-Amplitude Tensor Representation (TATR)<sup>122</sup>. Simply put, these representations can be understood as discretized, broadened histograms. This is achieved via a sum of Gaussian functions (with some fixed width  $\sigma$ ), centered on the raw features  $t_i$  (i.e. wave function amplitudes). This function is evaluated for a discretized range of values  $x \in \chi$ :  $v(x) = g(t_i, \sigma, x)$  (4.9)  $\sum_i < N$  where the sum runs over the  $N$  raw features. The representation is thus an  $l$ -dimensional vector  $v$ , where  $\chi$  is discretized into  $l$  points with even step-size  $\Delta x$ . Thus the  $N$  raw features are represented in a vector form which now contains  $l$  new features. Though it could in theory be optimized, we will use  $\sigma = 0.05$  for consistency throughout this work for simplicity. (This value was empirically determined to be optimal for diatomics in Ref. 122). In Ref. 122 the highest  $N$  amplitudes (by magnitude) were used for each excitation level  $m$  to give a TATR of fixed length  $l$  times the number of excitation levels considered. This number 52 Representation of amplitudes  $N$  could be chosen in a number of ways, but Margraf and Reuter chose the highest 150 for simplicity in their paper. This proved sufficient for diatomics in a reasonable basis set, but is not a universally applicable choice for larger cases (see section 4.4.1). The discretization range  $\chi$  was also fixed as  $[-1:1]$  with  $l = 150$  and  $\Delta x = 1250$ , which we will also use for comparison. The main novelty of the current work is to use density matrix elements in place of  $t_i$ . This “density tensor representation” (DTR) has several advantages. For simplicity we will focus on the 1-RDM — while this is sufficient for one-electron properties, the information stored in the 1-RDM is also necessary (and in some cases, as discussed in section 4.3, perhaps sufficient) for describing the total wave function. The 1-RDM will thus provide much of the wave function character needed to describe the correlation energy. This is the first advantage of using a density matrix based representation: one-electron properties are exactly described by a product of the 1-RDM with property integrals, as seen in Eq. (4.4). In analogy, Eq. (4.6) describes the target function as the product of a (now density-dependent) kernel and the regression coefficients. These coefficients thus describe the property integrals and all approximations in the model (e.g. correlation beyond the level of the density matrix used to build the representation). Thus, a simple mapping from representation to target function is achieved in strict analogy to theory. The 1-RDM is diagonally dominant in the MO basis, meaning the number of significant ( $> 10^{-8}$ ) elements is scarcely

**larger than the number of basis functions. This is**

a further advantage of using 1-RDM elements instead of t-amplitudes: even considering the entire matrix, there will never be more elements than the number of basis functions squared, far fewer than the doubles amplitudes of MP2 or CC theory. These few elements are amenable to large-scale storage, and the number of elements retained could even be reduced in the case of large systems. Finally, the density matrix can be easily defined for most electronic structure methods, unlike other descriptors 4.2. Theory such as the Hartree-Fock-level descriptors (Fock, Coulomb, and exchange matrix elements) employed in Refs. 109 and 110 or wave function amplitudes which are only available for some correlated levels of theory (and depend on the

level of “excitation” considered). The DTR is thus systematically improvable, by building it from improved wave functions. Importantly, TATR and DTR based ML models will always use two levels of theory: a lower level method (e.g. MP2) to build the representation and a higher level method (e.g. CCSD) to provide the training data. Algorithm Rather than spanning chemical compound space, wave function representations span the “wave function space” — that is, the space of possible wave function parameters — of the systems we wish to describe. The space covered by a KRR model is defined by its training set, meaning that the model will be unsuitable for predicting properties of systems that are very different from the training set. Since the high-level ab initio calculations for the construction of the training set are by far the most computationally demanding part of the process, we want to ensure that the training set efficiently spans the wave function space of interest in as few points as possible. To this end, we apply the k-means clustering algorithm as implemented in the Scikit-Learn ML package<sup>128</sup> to an initial “grand set” of relevant structures. This grand set could, e.g., consist of all grid points that will be computed for a final PES of interest or to a representative MD trajectory. k-means is a multi-dimensional partitioning scheme— N initial points are partitioned into M clusters by taking the norm difference of the representations and “binning” them based on randomly selected initial cluster centroids. The cluster centers are then updated and the procedure is iterated to self-consistency. Due to the stochastic nature of choosing an initial guess, the algorithm is run repeatedly (30 times for all datasets <sup>54</sup> Representation in this manuscript) and the “best” set of clusters is chosen (as determined by minimizing the standard deviation of the distance between each point and its cluster centroid). This approach requires that representations (TATRs, DTRs, etc.) for each point in the “grand set” of N structures be calculated first (at the low level of theory). To ensure that the model is truly predictive (i.e. able to reliably predict properties of systems for which no information known during training), a test set of systems is held back from the grand dataset prior to clustering. For the M representative data points chosen in this manner (i.e., the training set), the target function (energy, dipole, etc.) is computed with the high-level method. Once this is complete, the model is trained and subsequent predictions at approximately high-level accuracy and low-level cost are possible. It should be noted here that the steps outlined in section 4.2.2 are completely general – no assumption was made about the level of theory besides that a density matrix can be formed. Furthermore, while KRR and k-means are used herein, alternative clustering schemes, hyperparameter optimization routines, and regression techniques can be substituted. The optimization of these choices will be the subject of a future study. For benchmarking purposes, wave function parameters and high-level target values were computed for all datasets. The performance of a model is generally measured

by the mean absolute error (MAE) of the predicted

test set; however, the issues of truncation, separability, and learning rate are also considered. 4.3 Computational Details Second-order MBPT using a restricted

**closed-shell Hartree Fock reference wave function** (i.e. **Møller-Plesset**

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perturbation theory, MP2)<sup>26,129</sup>, was used to generate both TATRs and DTRs 4.3. Computational Details for all systems. The TATR is generated from Eq. (4.9) using the highest 150 (by magnitude) amplitudes of double “excitations”  $t_{aijb}$ . For DTRs, the elements of the MP2 1-RDM are used. This choice is advantageous not just for comparison to Ref. 122, but also due to the special form of the MP2 reduced density matrices<sup>34</sup>:  $D_{pq} = \langle 0 | T^2 t_p t_q T^2 | 0 \rangle$   $\Gamma_{pqrs} = 2 \langle 0 | t_p t_q t_r t_s T^2 | 0 \rangle$ . (4.10a) (4.10b) where the “excitation” operators are defined as  $T^n = \frac{1}{n!} \sum_{i,j,\dots} t_{aijb} \dots a^\dagger a^\dagger t_b \dots a_j a_i$ , and we have again implied Einstein summation notation this time over occupied  $i, j, \dots$  and virtual  $a, b, \dots$  orbital spaces. The form of Eqs. (4.10a) and (4.10b) indicates that the reduced density matrices are fully defined by the doubles amplitudes and products thereof. In this light, it is reasonable to suggest that all of the information necessary to reproduce the wave function is coded into either of these and, to reduce the size of the representation, the 1-RDM should suffice for describing the MP2-level correlated wave function. Thus, any correlated one- electron property at the MP2 level can be described by this matrix.

**Coupled cluster with single and double excitations (CCSD)** was used to

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generate the high- level reference data, i.e. correlated energies and electronic dipole moments, for all sys- tems. This method represents a marked improvement over MP2 in systems where corre- lation is strong, such as stretched diatomics, without incurring the additional cost of the gold-standard CCSD with perturbative triples method, CCSD(T). However, the CCSD(T) method could be used to provide higher quality targets (e.g. energies and dipoles) with no additional modifications – as noted in section 4.2.2, the algorithm as presented is completely general.

**All electronic structure calculations were performed with the Psi4<sup>98</sup> electronic structure** 56 Representation **package**

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. Molecular symmetry was used to maximize TATR performance with as few amplitudes as possible (except in section 4.4.1). All data (energies, dipoles, amplitudes, and densities) were harvested in full machine precision through either the Psi4-JSON interface or the PsiAPI infrastructure, with the exception of wave function amplitudes at the MP2 level, which were printed in the output file at ten decimal places. The def2-TZVP130 basis was employed for diatomic calculations for comparison with Ref. 122,

and the aug-cc-pVDZ (aDZ) basis<sup>94,95</sup> was used for all remaining calculations. Density

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-fitted integrals were used in the construction of MP2 densities using the default auxiliary basis (the so-called “RI” basis sets for def2-TZVP131 and aDZ132, obtained from the New Basis Set Exchange<sup>133</sup>). As outlined above, the general procedure from Margraf and Reuter<sup>122</sup> was followed for machine-learning. The hyperparameters  $\sigma$  and  $\lambda$  were optimized on a uniform grid from  $10^{-8}$  :  $10^8$  for all models unless otherwise specified, using the “negative-mean-squared” loss function. All regressions were performed using 20 training points, except in section 4.4.1 where 12 training points were used to emphasize the difference between the two strategies considered. k-means clustering was repeated 30 times to account for stochastic deviations in the algorithm. Test sets consisting of  $N/4$  points are held back before the clustering step. The radial basis function kernel (sometimes called the Gaussian kernel), Eq. (4.8), was used for all representations. Machine-learning algorithms were performed using Scikit-Learn (skl)<sup>128</sup> and the Machine-Learning Quantum Mechanics (MLQM) python package<sup>134</sup>, which generates a number of molecular representations, provides options for generating Psi4 input files and harvesting their results, and wraps some skl functions. Both of these codes are open-source and freely available on GitHub. Geometries for diatomics were constructed by taking  $N = 200$  uniform increments from 0.5-2.0 Å. All other geometries were randomly selected from MD simulations ( $N = 150$ ) carried out in the Gromacs<sup>91</sup> software package. Simulations of (S)-methyloxirane and (R)- 4.4. Results and Discussion methylthiirane surrounded by a 5Å box of water molecules were executed

using an all-atom OPLS/AA forcefield<sup>135</sup> for the solute and

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the TIP3P model for water<sup>136</sup>. Each 5 ns trajectory

was carried out in the NVT ensemble, with the solute and solvent coupled separately to a temperature bath at 300 K using a modified Berendsen thermostat and a coupling time of 0.1 ps

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. Geometries were selected from a set of 250 evenly-spaced snapshots along the trajectory. Geometries, Psi4 input and output files, and their accompanying optimized (hyper)parameter values in JSON format can be found at the Virginia Tech Data Repository<sup>137</sup> to permit reproducibility of our results. Scripts for harvesting and manipulating data using MLQM are also included in the form of Jupyter Notebooks.

#### 4.4 Results and Discussion

##### 4.4.1 Truncation considerations

As stated previously, the “raw” wave function features used to build machine-learning representations must be carefully selected. To illustrate this point, the TATR was used to model a carbon monoxide potential energy curve using the highest 150 amplitudes (by magnitude) and  $M = 12$  training points as in Ref. 122. Figure 4.1a shows that, when molecular symmetry is considered ( $C_{2v}$ , the largest Abelian subgroup of the full  $C_{\infty v}$  point group), excellent regression results are produced using only 150 amplitudes. However, to model the effect of decreased sparsity in the amplitudes on the representation, molecular symmetry was then dropped to  $C_1$ . When molecular symmetry is ignored, the number of “significant” ( $> 10^{-8}$ ) amplitudes greatly increases, as shown in Figure 4.1b. In this case, taking only the highest 150 amplitudes no longer creates an effective representation of the wave function, resulting in poorer performance across the curve, also shown in (a) Representation (b) Figure 4.1: (a) KRR for the carbon monoxide potential energy curve with and without molecular symmetry considered and (b) their respective amplitude distributions. TATR models used  $M = 12$  training points. All amplitudes with magnitude  $< 10^{-8}$  are set to 0. Figure 4.1a. This example shows a considerable disadvantage of the TATR, namely that the  $T_2$ -amplitude tensor is in general very large (equal to the

number of occupied orbitals squared times the number of virtual orbitals squared). It is, of course, in

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principle possible to build the TATR with an arbitrary number of amplitudes, as the size of the representation is independent of the number of amplitudes. However, using all amplitudes is not advisable, as a large number of negligible amplitudes would lead to large (but chemically meaningless) TATR values around zero. Meanwhile, without extensive testing, it is in general unclear how many amplitudes to retain for an effective representation. For this reason, a large number of amplitudes must in general be stored, at least for initial testing and hyperparameter optimization. Here cutoffs based on the amplitude magnitude may be employed, but this can still lead to significant storage requirements for larger molecules and basis sets. Figure 4.1 also indicates that

the TATR will likely not be applicable for larger systems that have no symmetry. Storing the 1-RDM, on the other hand, only requires storing the number of basis functions squared floating point numbers. Furthermore, it is also known that the majority of significant elements in the MO-basis 1-RDM will be along the diagonal – with some number of off-diagonal contributions. This gives a useful rule-of-thumb for how it could be truncated in extreme cases. For the remainder of this manuscript, the TATR will be computed using the highest 150 amplitudes (by magnitude) computed in the highest symmetry available. The DTR will be computed using all 1-RDM elements.

#### 4.4.2 Energies

In the spirit of comparing to previous results, the same diatomic potential energy curves from Ref. 122 were computed using the DTR. The prediction errors on the test set are shown in the Supporting Information, along with TATR results for comparison. Overall, DTR results do not vary significantly from the C2v-symmetry TATR results for these systems; however, when molecular symmetry is not considered, a far greater number of amplitudes are required in the TATR to achieve the same accuracy. To test the applicability of the DTR and TATR models to larger systems which may not benefit from molecular symmetry, geometries for water, methanol, (S)-methyloxirane, and (R)-methylthiirane near equilibrium were sampled randomly from a set of molecular dynamics (MD) trajectories and examined using both the TATR and DTR models. Correlation energy data are presented in Figure 4.2. The prediction errors on the test set are plotted against the true CCSD correlation energy, ordered by increasing energy to evaluate how the model error varies with respect to the magnitude of the correlation energy. Each point represents the prediction error of a single geometry along the MD trajectory. As with the diatomics, most predictions lie well within the bounds of “chemical accuracy” (1.6 mEh). However, for the TATR, linear error centered around zero and which changes sign near the Representation (a) (b) (c) (d) Figure 4.2: DTR vs TATR errors in mEh for small molecule datasets: (a) H<sub>2</sub>O, (b) CH<sub>3</sub>OH, (c) (S)-methyloxirane, and (d) (R)-methylthiirane. Red lines indicate 2 mEh. mean correlation energy value suggest that this model is biased towards the mean of the training set. While this still gives a rather agreeable mean-absolute-error across this particular test set, predictions for geometries far from the energetic minimum (i.e., sufficiently different from the training set) may not perform as admirably. This issue is explored further in section 4.4.4. A summary of energy results is shown for both the TATR and DTR methods in Figure 4.3. The trained models reproduce the mean average correlation energy of the test set to within two milli-Hartree for every system considered. Furthermore, DTR errors are kept below 0.5 milli-Hartree for all systems except for CO and LiF. Inspection of their individual model performance (see the Supporting Information) reveals some difficulty in modeling the extreme ends of the curve (i.e. points far from the energetic average across the test set). These errors are also dominated by a relatively small number of outliers with increased errors. These examples also cover large ranges of correlation energies (100 and 60 milli-Hartree, respectively), which test both the regression capability and the validity of the underlying MP2 wave function used for the representation. The energy data suggests that the improvements made by the DTR method result in greater accuracy in the molecular representation, in particular for larger molecules. As shown in Ref. 122, the electronic wave function can be well approximated using this relatively simple functional form when applied to energies. If this functional form is truly representative of the total wave function, rather than simply the parts which are important to the energy, then it should also be possible to compute molecular properties with a similar approach.

#### 4.4.3 Dipole moments

Several schemes for computing dipole moments were employed

to emphasize the importance of Eq. (4.5). Full density matrices (DSCF + DMP2) were used as raw features to build DTRs for learning total ( $\mu_T = \mu_e + \mu_{nuc}$ ), electronic ( $\mu_e = \mu_{SCF} + \mu_{CC}$ ), and correlated ( $\mu_{CC}$ ) dipole moments. MAEs (in Debye) for the machine-learned dipole moments, using each of the three possible target values, are given in Table 4.1. The MAEs for the MP2-level correlated dipole (relative to the CCSD result) for the same predicted sets are given for comparison. As expected, minimizing the extrapolation necessary for the model reduces the error drastically. The error in the electronic component of the dipole moment in e.g. (S)-methyloxirane

is reduced by an order of magnitude relative to using the

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full dipole moment. Furthermore, Representation Figure 4.3: DTR vs TATR errors in mEh for all datasets. (\* = methyl) Molecule  $1.5 \times 10^{-3}$   $1.3 \times 10^{-3}$   $1.8 \times 10^{-4}$   $1.8 \times 10^{-2}$  MP2  $\mu_T$   $\mu_e$   $\mu_{CC}$  H2O CH3OH  $3.8 \times 10^{-2}$   $1.7 \times 10^{-1}$   $2.1 \times 10^{-3}$   $1.8 \times 10^{-2}$  \*thiirane \*oxirane  $1.0 \times 10^{-1}$   $5.4 \times 10^{-2}$   $4.3 \times 10^{-1}$   $6.5 \times 10^{-1}$   $5.7 \times 10^{-3}$   $7.3 \times 10^{-3}$   $3.5 \times 10^{-2}$   $2.0 \times 10^{-2}$  Table 4.1: Mean absolute errors (in Debye) relative to CCSD of four machine-learning datasets utilizing full, electronic, and correlated dipole moments as training targets. MP2 dipoles given for comparison. (\* = methyl) this

error is also an order of magnitude lower than the MAE in the

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MP2 correlated dipole moments on the same predicted set. This is consistent with Ref. 122 where extremely accurate models for the correlation energy were built. The same is clearly the case for the (correlated) dipole moment. A summary of dipole results is shown for both the TATR and DTR methods in Figure 4.4. The same datasets as for correlation energy learning were considered, except for the homonuclear diatomics. As with the energy, the trained models reproduce the correlated dipole moment of the test sets to reasonable precision. Once again, the challenging cases of CO and LiF produce the maximum errors; however, it is encouraging that even here, errors for states near equilibrium (those modeled by molecular dynamics) are of milliDebye magnitude. Indeed, it has been shown (e.g. in Ref. 120) that predicting dipole moments with ML is significantly more challenging than predicting energies. Still, our results support the notion

that, as long as the reference wave function is sound, the molecular representation will

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“correctly represent the physics of the problem”<sup>122</sup> as desired with a favorably small number of training points. While these results represent only a marginal improvement over the already sound MP2 approximation, they demonstrate that simple ML models effectively capture the characteristics of the wave function without the need for a complex functional form. They also show that this generalizes beyond the energy to molecular properties as well.

Representation Figure 4.4: DTR vs TATR errors in milliDebye for all datasets. (\* = methyl)

#### 4.4.4 Extensions

The present model can be used to solve a number of potential problems, mostly involving local PESs or dipole moment surfaces of small molecules which are amenable to MP2-level calculations (and a few CCSD training calculations). This is especially useful for generating accurate force field parameters<sup>105,106</sup> and evaluating numerical gradients<sup>117</sup>. Furthermore, the DTR representation has been shown to provide high accuracy with very few training points, resulting in a highly data-efficient method. While this covers a significant space of applications, there are some areas which cannot currently be addressed, but could be well within reach of the proposed algorithm.

#### Transferability

The DTR-based machine-learning model as described above shows that a mapping from the MP2 1-RDM to CCSD electronic properties is feasible using a simple ML model. This allows avoiding the high-cost evaluation of the CC wave function for multiple geometries of the same molecule. However, if the method is to be generalized to different molecular systems (rather than just different geometries as we’ve shown here), additional modifications must be considered. Specifically, two (related) types of transferability are desirable: on one hand, a model can be transferable between different chemical compositions (i.e. trained on water and methane, applied to methanol)<sup>109</sup>. On the other hand, a model can be transferable among different system sizes (i.e. trained on water clusters and applied to bulk water)<sup>138</sup>. The main impediment for both the TATR and the DTR to be transferable is the use of a molecular orbital basis, which is strongly system dependent. An obvious route towards more transferability would be to use the atomic orbital (AO) basis instead. In this representation, the molecular dependence of the representation would stem only from the identity of the basis functions placed on each atom. While this still precludes transferability between different elements, this is not very problematic in practice, as one can always train on the elements of interest. Regarding transferability to different sizes, this essentially boils down to the requirement that the ML model be size extensive. The lack of extensivity is a common weakness of ML models that use global representations such as the DTR or TATR. As recently discussed by Jung et al., global KRR models can be made size extensive, however, if the Kernel function is properly normalized<sup>138</sup>. While that study focused on representations of the molecular geometry, it would certainly be worthwhile exploring how extensive models based on wave function representations would perform. Finally, a theoretical consideration can also be made with respect to transferability. As mentioned above, one-electron properties can be described by the general form of Eq. (4.4). Comparing this to the KRR equations (see Eq. (4.6)), and considering that the kernel only includes information from the 1-RDM, it can be inferred that the coefficients  $\alpha$  are modelling the contributions arising from the property integrals,  $\langle p|Q|q \rangle$ . In the present model, however, the coefficients are also doing the job of mapping the low-level (MP2) representation onto the high-level (CCSD) target function. To truly separate the molecule-specific terms from the correlation contributions, the property integrals (in a transferable basis,

such as the AO basis) should thus also be included in the representation of the molecule. Modifications such as using product kernels to fold in the contribution from the integrals can be explored to correct this shortcoming. Additional properties beyond dipole moments are also accessible, but not without modifications. While first-order one-electron properties are available through Eq. (4.4), considerations of both the one- and two-electron densities is generally necessary for two-electron properties. Fortunately, we have seen that the 1-RDM suffices to predict at least one property which depends on both the 1- and 2-RDM, namely the energy. This may be related to the special formulation of 1- and 2-RDMs in MP2, as discussed above. It could also be a particular property of the ground-state energy which, according to the Hohenberg and Kohn theorem<sup>139</sup>, formally only depends on the ground state density (and thus the 1-RDM). However, this is not generally the case, for example in CC response theory. Higher-order properties in CC response depend on both the 1- and 2-RDMs. Extensions to include both density matrices could be made, as with a singles-and-doubles TATR, though considerable truncation procedures would need to be implemented in order to keep storage costs reasonable across realistic datasets. Alternatively, second-order properties which depend only on one-electron operators, such as polarizabilities and chiroptical response, could also be considered using a reformulated CC linear response (CC-LR). It can be shown that, similarly to first-order properties, second-order response properties of this type can be expressed using the 1-RDM only. This is achieved by computing the first-order perturbed left-hand wave function parameters,  $\lambda(1)$ . Using these, second-order properties are computed in CC-LR as products of property integrals with perturbed one-electron reduced density matrices<sup>13</sup>. Contributions from one operator are folded into the 1-RDM, and contributions from the other are described by the property integrals. In the context of the DTR, the density representation would now require only this perturbed 1-RDM with no additional changes. Contributions from property integrals may also be included in the representation, perhaps through the same sort of generalizations made to allow for transferability. In this fashion, many linear response properties could be made accessible. We will acknowledge one final potential oversight in property predictions done in the preceding manner: a new model must still be trained for each property. To allow for a “one-shot” model prediction of arbitrary properties, the wave function itself (or some usable representation thereof) must be learned. This has recently been explored by Schütt and coworkers<sup>119</sup>, which has proved some efficacy of this strategy. Additional work on the usability of such representations will be considered while algorithms to learn the representation itself are formulated. In practice, however, once the ground state equations (e.g. the amplitudes in CC theory) are solved, it is not necessary to recompute them for additional properties. Instead, the (linear) response function can be evaluated at this time for a multitude of properties. Because of this, we suggest that many properties are computed and stored in a database when proposing and gathering reference or training data for ML algorithms, such as those provided in QCArchive<sup>140</sup>. ML algorithm optimization

Aside from coding additional physics into the representation and returning more varied properties, the ML workflow itself may also be optimized to be more data-efficient, accurate, and precise across varied molecular representations. To further evaluate model performance and perhaps diagnose potential problems, learning curves were generated for every system considered. These curves plot the error in the test (the “validation curve”) and training (“training curve”) sets with respect to the number of training points used, with values ranging from two to one-hundred

training points. The validation curve exposes the limitations of the current model by testing whether the model can be made more accurate using more training points. The training curve, on the other hand, describes how well the model is adhering to the training set, and so reveals problems of under- or over-training. Together, the curves indicate the practical limitations of the model's accuracy and use of data, suggesting an irreducible error that can only be addressed by changing the model or the representation itself. Learning curves for all systems considered are available in the Supporting Information. The data discussed within the manuscript so far (excluding section 4.4.1) has been collected Figure 4.5: DTR and TATR validation curves for (S)-methyloxirane correlation energy. using  $M = 20$  training points, which result in the data points at that value in every validation curve. In a log-log plot, the validation curve should be linear such that an increase in the number of training points results in a commensurate decrease in error. This is well exhibited by, e.g., the validation curve for predicting the correlation energy for (S)-methyloxirane, Figure 4.5. Generally, the DTR validation curve starts lower than the TATR. The DTR model also tends to benefit more from additional training points in the two- to fifty-point range. It is important to note that the most significant outliers to this trend are the dipole moment models for (S)-methyloxirane and (R)-methylthiirane (see SI), the largest molecules considered and undoubtedly the most complex electronic environments. These systems benefit from larger training sets and are more prone to fortuitously low errors with small training sets, requiring a careful analysis of the validation curve before deciding on an optimal training set size. This is, of course, subject to the constraint that the training points are still taken from near-equilibrium values. Improving the quality of the training set by including more distinct electronic states away from equilibrium may improve performance. Representation In considering the training curves (see SI), it is revealed that the TATR suffers from increased training error as compared to the DTR, referred to as bias. Bias (sometimes referred to as inductive bias)<sup>126</sup> refers to error arising from simplifying assumptions made in the model: in this case, the small number of amplitudes retained is the most obvious source of additional bias. As this bias causes high training errors, it suggests that the TATR model is not fitting the training data as well as the DTR. This is likely the cause of the linear errors discussed in section 4.4.2, as the model is simply learning toward the mean of the training set, rather than properly reproducing each point. These errors can be reduced by improving the model, e.g. by including more amplitudes in the TATR or by the DTR modifications described above. Additional features or a reformulation of the representation may also be explored using automated model-selection routines, such as those employed in Ref. 124. These routines would, in theory, result in a more complex model accessing a higher dimensional feature space, so the challenges of extension become ensuring that this model still fits the training data (does not under-train as a result of bias) and generalizes to unseen data (does not over-train as a result of variance) when compared to a simpler approach.

#### 4.5 Conclusions

Here we introduce the density tensor representation (DTR) for machine-learning quantum mechanics applications. The representation is based on the previous t-amplitude tensor representation (TATR), with improvements made through strictly theoretical considerations of three categories: systematic improvement, storage, and simplified representation-target mapping. Investigating the limits of these categories on small test sets show a number of favorable properties. The DTR can be easily defined for any electronic structure method in which a density can be defined. When compared to the TATR for MP2, it achieves superior accuracy across most test cases when the

MP2 wave function is expected to produce reasonable results. This accuracy is in the sub-mEh range for correlation energies. Furthermore, applications to molecular properties are both theoretically and operationally justified for representations utilizing electronic densities as raw wave function features. Roughly milliDebye error was achieved for correlated electronic dipole moments of several small molecules near equilibrium. Extensions to include additional properties and molecular transferability are also considered, with the data-efficient DTR model providing a vital stepping stone to these generalizing improvements.

## Chapter 5 Locally Correlated Real-Time Coupled Cluster Theory

### 5.1 Introduction

Dynamic molecular properties induced by the absorption, scattering, or refraction of an electromagnetic field (EMF) give rise to a number of experimental techniques for the detailed investigation and characterization of molecular light-matter interactions and structure.<sup>1</sup> Among these properties are absorbance, circular dichroism (CD), birefringence, Raman scattering, and many more. These techniques are essential for modern synthetic chemistry in both research and industrial settings. Theoretical chemistry has become a “full partner with experiment”<sup>141</sup> in this regard, providing high-quality benchmark calculations for affirming or even predicting many molecular properties, saving time, and increasing certainty in spectral assignments and molecular structure determination. Computing dynamic properties with current ab initio methods generally involves frequency-domain perturbation theory, referred to as response theory, to directly calculate the quantity of interest.<sup>2,12,21</sup> Coupled cluster (CC) response theory<sup>18,19</sup> has emerged as a robust solution to frequency-domain property calculations, when its cost is not prohibitive.<sup>13,51</sup> Many techniques for circumventing the high-degree polynomial scaling of coupled cluster methods exist, with extensions of these to property calculations and <sup>72</sup> 5.1. Introduction response theory providing promising results.<sup>61,62,142,143</sup> However, there are several drawbacks to the response formalism.<sup>17,22,23</sup> First and foremost, the perturbations must be “small” relative to the intramolecular forces present in the system. This immediately precludes the possibility of simulating high-energy experiments such as X-ray spectroscopy, which have numerous applications in materials science and beyond. Second, only broadband excitations can be modeled straightforwardly: response theory typically assumes a single, uniform “kick” perturbation across all frequencies. Experimental apparatus, on the other hand, can make use of complex, multi-phase procedures involving tuned laser pulses, pump-probe analysis, etc.<sup>144</sup> Finally, temporally controlled multi-photon events such as high harmonic generation (HHG)<sup>145,146</sup> lie outside the realm of the response formalism. Together, these drawbacks mean a wide variety of experiments cannot be predicted or supplemented with response theory calculations. To overcome this, we must move to non-perturbative, time-domain electronic structure theory,<sup>13,22,23</sup> where there are fewer limitations on the form of the perturbing EMF. The alternative of real-time CC (RTCC) methods has been discussed nearly as far back as the origins of CC itself in the realm of nuclear physics.<sup>11,147,148</sup> More recently, a renewed interest in real-time coupled cluster has developed for the reasons discussed above. In the past 10 years, several implementations have been reported,<sup>149–153</sup> with new insights into the aspects of numerical integration<sup>152,154</sup> and interpretation<sup>37,152</sup> as well as applications for a number of spectral properties.<sup>151,153,155–157</sup> Orbital adaptive<sup>150</sup> and orbital optimized<sup>158</sup> variants have also explored the limitations of unrelaxed canonical Hartree Fock orbitals, and the effects of alternative reference orbitals on the propagation of



unphysical imaginary components to energetics and electric dipole moments. Notably absent are studies on the ability to reduce the cost of real-time coupled cluster methods.

**Real-time time-dependent density functional theory (RT-TDDFT)** calculations, **a**

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cheaper Chapter 5. Locally Correlated Real-Time Coupled Cluster Theory alternative introduced in the 1990s (then called the time-dependent local-density approximation),<sup>159–162</sup> have become routine.<sup>22,23,163–168</sup> Efforts to reduce the cost of RT-TDDFT have largely focused on reducing simulation time, utilizing techniques such as

**Padé approximants to accelerate** the **convergence of the Fourier transform**

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,<sup>167</sup> and fitting schemes to avoid the Fourier transform all together, eliminating the problem of short trajectories resulting in low-resolution spectra.<sup>169</sup> Repisky et al. introduced the concept of dipole pair contributions,<sup>170,171</sup> which are typically less complicated than the total electric dipole, and so these may be individually approximated efficiently using the techniques mentioned above.<sup>167</sup> However, the problems of frequency-domain DFT carry over directly to the time domain, such as the underestimation of excited state energies<sup>100</sup> and difficulties arising from the adiabatic approximation.<sup>167,172,173</sup> We refer the reader to a recent, comprehensive review article<sup>23</sup> and citations therein for a more complete discussion of these challenges. Regardless, the success of RT-TDDFT under most conditions combined with its drastically reduced computational cost make it the only viable method for large molecules at present. Borrowing from the vast literature of reduced-scaling ground-state or frequency-domain CC, there are numerous potential candidates for reducing the cost of RTCC, besides adapting the successful approaches implemented for RT-TDDFT. First, the standard non-perturbative truncated approaches used for properties such as CC<sup>232</sup> and CC<sup>333</sup> are immediately possible, as are property-optimized basis sets.<sup>82,142,174–180</sup> Further, details of implementation such as choice of intermediates, the effects of single- or mixed-precision, or the use of graphical processing units have only just begun to be explored.<sup>181</sup> An alternative formulation developed by DePrince and Bartlett, dubbed the time-dependent equation-of-motion CC (TD-EOM-CC)<sup>151,153,155–157</sup> method, reduces the cost by targeting the difficulty of numerical integration of multiple “stiff” coupled differential equations. By selecting a given moment function to propagate in time, the coupled sets of  $t$ - and  $\lambda$ -amplitude expressions do not have to be 5.2. Theoretical Background 75 propagated, reducing both the number and difficulty of numerical integrations required. Absent from this list is the family of local correlation

methods,<sup>24</sup> which have been wildly successful for reduced-scaling approaches to ground state energies for correlated methods and selected properties.<sup>13,143,180,182</sup> These methods seek to build a reduced virtual orbital space based on lower-cost criteria, such as (pair) energies from low-order perturbation theory or atomic orbital charge analysis. While still only routine for ground-state calculations, these methods and variants thereof have shown promise in the calculation of selected response properties.

In this work, we report the first application of local correlation to

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RTCC. This is achieved through a simulation approach,<sup>183</sup> which forgoes computational savings in favor of algorithmic simplicity, for the purposes of rapid exploration and development. The effects of occupied and virtual space localization are considered for the simulations of small hydrogen clusters in the presence of electric field perturbations. Absorption cross sections as well as electronic CD (ECD) spectra are computed using successively smaller fractions of the canonical orbital space using the popular projected atomic orbital (PAO)<sup>184–187</sup> and pair natural orbital (PNO)<sup>188,189</sup> schemes. The results are analyzed with respect to full-space simulations. Finally, wave function amplitude dynamics are investigated in order to determine the extent to which these schemes suppress or cause large amplitude deviations, which cause instabilities in numerical integration and spurious oscillations in the dipole trajectory.

### 5.2 Theoretical Background

#### 5.2.1 Real-Time Coupled Cluster Theory

Conventional RTCC implementations begin by computing the ground-state right- and left- hand CC wave function amplitudes  $\tau_\mu$  and  $\lambda_\mu$  via the residual expressions  $\langle \mu | H^- | \Phi \rangle = 0$   $\langle \Phi | (1 + \Lambda^{\dagger}) [H^-, \tau_\mu]$

$|\Phi\rangle = 0$  (5. 1a ) (5. 1b) where  $|\Phi\rangle$  is the Hartree-Fock ground state

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determinant and  $|\mu\rangle$  are substituted determinants (singles, doubles, etc.) obtained by the action of the second-quantized excitation and de-excitation operators  $\tau_\mu$ ,

and  $H^-$  is the similarity transformed electronic Hamiltonian  $H^- = e^{-T^{\dagger}} \hat{H} e^T$

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$\hat{H} = \hat{T} + \hat{V}$  (5.2) with right-hand cluster operators and left-hand cluster operators  $N \hat{T} = \hat{T} \sum_{\mu} \hat{T}_{\mu} = \tau_{\mu} \tau_{\mu} \sum_{\mu} N \hat{\Lambda} = \hat{\Lambda} \sum_{\mu} \hat{\Lambda}_{\mu} = \tau_{\mu} \tau_{\mu} \sum_{\mu} \hat{\Lambda}_{\mu}$ . (5.3a) (5.3b) (5.4a) (5.4b) The

time evolution of the
amplitudes
is governed by the
nonlinear
differential

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equations obtained through the

time-dependent Schrödinger equation (in atomic units)
 $i \partial_t \mu = \langle \mu | H^-(t) | \Phi \rangle$  (5.5a)
 $\partial_t \mu$ 

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–

$i \partial_t \mu(t) = \langle \Phi | (1 + \hat{\Lambda}(t)) [H^-(t), \tau_{\mu}] | \Phi \rangle$ 

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$\rangle$ . (5.5b) The

right-hand sides of Eqs. (5.5a) and (5.5b)

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) are simply the residual expressions, where we have replaced the Hamiltonian with a time-dependent Hamiltonian. By including a field perturbation as a time-dependent addition to the Fock operator, the right-hand sides may be computed by updating the Hamiltonian from time  $t$  to time  $t' = t + h$  and recomputing the residual expressions. This is achieved using a numerical integrator, which produces solutions to equations of the form  $dy(t)/dt = f(y, t)$ . (5.6) Here,  $y$  is the amplitude tensor, and the function  $f$  is the residual expression. Multiple integration schemes are possible; for simplicity, we adopt the popular fourth-order Runge- Kutta integrator,<sup>190</sup> defined by

$$k_1 = f(y, t) \quad k_2 = f(y + hk_1, t + h)$$

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$$k_3 = f(y + hk_2, t + h) \quad k_4 = f(y + hk_3, t + h)$$

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, and the propagated tensor is computed as  $y(t + h) = y(t) + h(k_1 + 2k_2 + 2k_3 + k_4)$ .  
 1 6 (5.7) (5.8) 5.2.2 Properties To within the dipole approximation, the complex time-domain response tensors  $\alpha$  and  $G'$  can be defined by low-order expansions of the time-dependent electric and magnetic dipole moment expectation values in an electric field  $E$  with frequency  $\omega$ , viz.  $\langle \mu \rangle_i = \mu_0 + \alpha_{ij} E_j$   $\langle m \rangle_i = -\omega^{-1} \partial \langle E_j \rangle / \partial t G'_{ij}$  (5.9a) (5.9b)

where  $i$  and  $j$  are Cartesian coordinates, and we have suppressed the

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time dependence for clarity. The

dipole strength function  $S$  is related to the imaginary component of

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$\alpha$  by  $S(t) \propto \text{Tr}[\text{Im}(\alpha(t))]$ . (5.10) Fourier transformation of  $S$  from the time to frequency domain yields the broadband absorption spectrum. The differential molar extinction coefficient is proportional to the imaginary part of the Rosenfeld  $G'$  tensor<sup>191</sup> by  $\eta_i(t) \propto -\text{Tr}[\text{Im}(G'(t))]$ . (5.11) The Fourier transform of Eq. (5.11) yields the ECD spectrum. We note here two important points. First, we could just as easily define both  $\alpha$  and  $G'$  with respect to the electric dipole expectation value; however, by expanding both moments in an electric field, we may recover both properties by computing expectation values of both the electric and magnetic dipole operators along the same electric field-perturbed trajectory. In principle, we may compute any electric-field-perturbed expectation value from a single propagation – this is in contrast to the RT-EOM-CC method, which propagates a single moment function. Additional

expectation values would require additional moment function propagations. Second, we note that the low-order expansions in Eq. (5.9) are an approximation. The total dipole moments will contain many higher-order terms; however, at the field strengths used in this work, these effects are expected to be negligible. These terms can be separated and have been examined in the context of real-time simulations of X-ray absorption spectroscopy (XAS).<sup>157</sup> While very important to the advantages of the RTCC method, these effects are beyond the scope of the current work.

### 5.2.3 Local Correlation Projected Atomic Orbitals

In the PAO method, the virtual space is localized using a linear combination of  $N$  atom-centered atomic orbital (AO) basis functions. For every occupied orbital  $i$ , the contribution to the Mulliken charge  $q$  from every atom  $j$  is computed as:  $q_j = \sum_{\mu} \lambda C_{\lambda i} C_{\mu j}$  (5.12)  $\sum_{\mu \in j} \sum_{\lambda}$  where  $\mu$  is the set of atomic orbitals centered on atom  $j$ , and  $\lambda$  runs over all AOs.  $S$  and  $C$  are the AO overlap matrix and the Hartree-Fock molecular orbital (MO) coefficients, respectively. Atoms (and their AO

basis functions) are added to the domain of orbital  $i$

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one at a time based on decreasing charge contribution. Each time the domain is altered, new coefficients  $C'$  are computed by solving  $\sum_{\nu} C_{\nu} v_i = \sum_{\mu} \lambda C_{\lambda i}$  (5.13)  $\sum_{\nu \in i} \sum_{\lambda}$  where  $\mu$  and  $\nu$  are atomic orbitals belonging to domain  $i$ , and  $\lambda$  runs over all AOs. The Boughton-Pulay completeness value  $b_i$   $b_i = 1 - C_{\mu} i \sum_{\mu} \lambda C_{\lambda i}$  (5.14)  $\sum_{\mu} \sum_{\lambda}$  is then compared to a chosen cutoff,  $\delta_{PAO}$ . If  $b_i$  is above the cutoff, the next atom is

added to the domain of  $i$ , and the process is repeated until the

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cutoff value is met. Orbitals with negligible norms less than another chosen parameter  $\delta_{norm}$  (usually corresponding to contributions from core orbitals prone to linear dependencies) are also removed from each domain. Once the domains are assigned, occupied pairs  $ij$  are then assigned pair domains, based on the union of the domains of the two occupied orbitals. An occupied-space projector  $C^{-1}$  is computed  $C^{-1} = 1 - DS$  (5.15)

where  $D$  is (half of) the closed-shell Hartree-Fock one-particle density matrix.  $C$

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$\tilde{C}^{\dagger}$  serves to remove contributions from the occupied orbital space, creating the redundant PAO space. Linear dependencies are removed by diagonalizing the projected overlap matrix  $\tilde{S}^{\dagger}ij = \tilde{C}^{\dagger}i Tj SC^{\dagger}ij$  (5.16) where  $\tilde{C}^{\dagger}ij$  contains only the columns for atomic orbitals belonging to the domain of pair  $ij$ . PAOs which correspond to eigenvalues of  $\tilde{S}^{\dagger}ij$  below one final parameter,  $\delta_{lin}$ , are then removed. The orbitals are normalized to yield the non-redundant PAO basis for a given pair,  $\tilde{C}^{\dagger}ij$ . Two matrices are required to transform MO-basis quantities into the PAO basis. The first, which rotates from the MO to the redundant PAO basis for a given pair, is computed as  $QPijAO = C^{\dagger}ij SC^{\dagger}ij$ . (5.17) To facilitate the use of the usual orbital energy denominator terms during the update of the amplitude equations at every iteration, a semi-canonical virtual basis for pair  $ij$ ,  $LPijAO = \chi_{ij}C^{\dagger}$ , is found by diagonalizing the Fock matrix in the space of non-redundant PAOs  $\tilde{F}^{\dagger}$ :  $\tilde{F}^{\dagger}\chi_{ij} = \epsilon_{ij}\chi_{ij}$  (5.18) where  $\epsilon_{ij}$  are the semi-canonical orbital energies for the virtual space of occupied pair  $ij$ . Pair Natural Orbitals PNOs are obtained by diagonalizing a pair density built in a space of localized occupied orbital pairs. The MP2-level pair density is defined as  $Dij = 2 T_{ij}$

$$\tilde{T}^{\dagger}t_{ij} + T^{\dagger}t_{ij} \tilde{T}^{\dagger}ij = 1 + \delta_{ij} \quad (5.19) \text{ with } \tilde{T}^{\dagger}ij = 2T_{ij} - T^{\dagger}t_{ij}$$

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. Diagonalizing  $Dij$  yields the transformation matrix from the MO to the PNO basis  $QPNO$ :  $DijQPijNO = Dij_{nij}$  (5.20) with occupation numbers  $n_{ij}$ . Truncation of the space is done by removing PNOs which correspond to occupation numbers below a cutoff,  $\delta_{PNO}$ . As before, the transformation matrix from the PNO to a semi-canonical PNO basis is found by diagonalizing the Fock matrix in the space of PNOs:  $\tilde{F}^{\dagger}LPijNO = \epsilon_{ij}LPijNO$ . (5.21) 5.3 Computational Details The CC wave function for a helical H2 tetramer was propagated for 1000 a.u.,

with a time step of 0.02 a.u., in the

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presence of an explicit electric field. A short pulse approximating a Dirac delta pulse was applied to generate all possible excited states. Atomic coordinates are found in the supplementary information (SI). Nuclear coordinates were frozen throughout these simulations. To approximate a Dirac delta pulse, we apply a narrow time-dependent Gaussian field of the form  $E(t) = Fe^{-2\sigma^2(t-v)^2}$  (5.22) with field strength  $F$ , center  $v$ , and standard deviation  $\sigma$ . The field is propagated in the  $y$ -direction, which is along the helical axis of the system. All calculations in this work use a field defined by  $F = 1 \times 10^{-3}$ ,  $v = 0.05$ , and  $\sigma = 0.01$ , all in atomic units. Electric and magnetic dipole moments were damped using a damping function of the form  $e^{-t\tau}$ , with  $\tau = 150$ . The reference simulation was performed in the MO space following a

procedure.<sup>192</sup> All PNO and PAO spaces were also 5.3. Computational Details built following the same occupied orbital localization. These simulations were then repeated in both the PNO and PAO virtual spaces, with cutoffs corresponding to average virtual orbital domains containing roughly 20%, 40%, 60%, 80%, and 90% of the untruncated MO virtual space, as well as untruncated PNO- and PAO-basis simulations to ascertain the effects of the virtual space localization

on the amplitude dynamics of the wave function. The effect of

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local correlation was computed using a simulation approach,<sup>183</sup> in which all tensor contractions are done in the MO basis. In every CC iteration, before the energy denominator is applied when computing an update to the amplitude tensors, the residuals are transformed into the local basis using Q and into the semi-canonical basis using L. These matrices are computed from either Eqs. (5.20) and (5.21), respectively, for PNOs, or Eqs. (5.17) and (5.18), respectively, for PAOs. The residuals in the localized basis  $\tilde{r}_\mu$  are computed by  $\tilde{r}_i = L T_{ii} Q T_{ii} r_i$  (5.23a)  $\tilde{r}_{ij} = L T_{ij} Q T_{in} r_{ij} Q_{in} L_{ij}$  (5.23b) where  $r_\mu$  are the residuals from Eqs. (5.1a) or (5.1b). Once the energy denominator is applied, the resulting amplitude step is back-transformed into the MO basis, effectively filtering out non-local components. The residual is also filtered in this way, without the application of the energy denominator, in every step of the time propagation. The cc-pVDZ basis set augmented with diffuse functions<sup>94,95</sup> was used throughout. For absorption spectra, the imaginary component

of the Fourier transform of the induced electric dipole  $\tilde{\mu} = (\langle \mu \rangle - \mu_0$

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) following an electric-field kick may be directly divided by the Fourier transform of the field strength to yield the spectrum. In the case of circular dichroism spectra, however, it is advantageous to first analyze the Fourier transform of the derivative of the field. For a Dirac delta pulse  $E\delta(t) = \kappa\delta(t)$ , the Fourier transform of the derivative yields  $\text{FFT}[E\delta] = i\omega\kappa$ . (5.24) Therefore, for such a field, the CD

transform of the induced magnetic dipole. In practice, the assumption of a Dirac delta pulse is sufficient, provided a thin Gaussian or Lorentzian pulse is used. Discrete Fourier transformation was done using a wrapper to the `fft` submodule of the SciPy python library.<sup>193</sup> All methods were implemented in the Python-based coupled cluster package, PyCC<sup>194</sup>, a NumPy-based<sup>195</sup> open-source code developed in the Crawford group for the testing and implementation of novel coupled cluster methods. The code utilizes the Psi4 electronic structure package<sup>196</sup> for integral generation and computing reference wave functions. The RTCC code makes use of the `opt_einsum` package<sup>197</sup> for tensor contractions, and time propagation is performed using an in-house suite of integrators. The integrator used throughout this work was the fourth-order Runge-Kutta method.<sup>190</sup>

## 5.4 Results and Discussion

Here we present results from the first applications of local correlation to RTCC. Results are examined by the convergence of absorption and ECD spectra to the reference results in Section 5.4.1, followed by an analysis of the amplitude dynamics in Section 5.4.2. Also explored is the effect of localization and truncation on the orbital extent, in an attempt to explain the deficiencies of the PNO space, in light of variations in the time-dependent deviations in the amplitudes from the ground-state.

### 5.4. Results and Discussion

#### Figure 5.1: Reference and PNO absorption spectra of (H<sub>2</sub>)<sub>4</sub> for five cutoffs: [ $1 \times 10^{-10}$ , $1 \times 10^{-9}$ , $1 \times 10^{-8}$ , $1 \times 10^{-7}$ , $2 \times 10^{-6}$ ] corresponding to [93%, 82%, 63%, 44%, 24%] of the MO virtual space, respectively.

#### 5.4.1 Absorption and ECD Spectra

**Absorption** Absorption spectra are obtained from the Fourier transform of Eq. (5.10). Figure 5.1 shows the normalized absorption spectrum obtained from a reference propagation along with five PNO cutoffs. The average truncated virtual orbital spaces are from roughly 20% to 90% of the MO virtual space (see caption). Overall, truncated PNO virtual spaces approximate the position of the base peak well, with the smallest space predicting a base peak within 1.5 eV of the reference, and the two largest spaces predict this peak to within 0.2 eV of the reference. Convergence to the reference base peak occurs from the right, indicating a lowering of excited state energies as the size of the virtual space increases. This trend can also be seen for the smaller peak near 10 eV. However, convergence of the shoulder peaks on either side of the base peak, indicated by the inset of Figure 5.1, is less predictable. Even the largest spaces

#### Figure 5.2: Reference and PAO absorption spectra of (H<sub>2</sub>)<sub>4</sub> for five cutoffs: [ $1 \times 10^{-4}$ , $1 \times 10^{-3}$ , $1 \times 10^{-2}$ , $5 \times 10^{-2}$ , $1 \times 10^{-1}$ ] corresponding to [95%, 86%, 63%, 46%, 23%] of the MO virtual space, respectively.

considered do not correctly predict the excitation energy, with no clear advantage to having 93% of the virtual space as compared to just 83% for predicting these peaks. This trend continues into the higher-energy range of the spectrum, with the performance of each cutoff being nearly indistinguishable. Performance of the PAO space is shown in Figure 5.2. The largest truncated PAO virtual space, on average 95% of the MO space, accurately predicts the excitation energies for each major peak below 17 eV. Particularly around 10 eV, this is noticeably improved performance relative to the largest PNO space tested, with only a 2% difference in the average size of the virtual space. However, accuracy



rapidly declines even at 86% of the virtual space, where the base peak position is already worse than what was predicted with a PNO space of just 63% of the MO space. Performance continues to degrade as energy increases and the average size of the PAO space decreases. For the final two cutoffs, at averages of 46% and 23% of the MO space, the base peaks are 3 eV or more away from the reference, and no peak is exhibited near 25 eV. These spaces also fail to predict the second largest peak, the excitation just below 10 eV. ECD Overall, neither scheme produced adequate results upon truncation of the virtual space. This result is not entirely surprising – in studies of local correlation applied to response theory by Crawford et al.,<sup>13,61,62,143</sup> traditional schemes proved inaccurate for another electric dipole–electric dipole property, the electric polarizability. In terms of response theory, the polarizability (and the refractive index)

is related to the real part of the electric dipole – electric dipole

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linear response tensor ( $\alpha_{ij}$  in Eq. (5.9a)), while absorption is related to the imaginary part. Indeed, all linear absorptive properties such as absorption and CD are related to the imaginary component of a linear response tensor, while dispersive properties such as refractive index and circular birefringence (also known as optical rotation) are related to the real component.<sup>1,21</sup> To continue, we will look at another absorptive property which

is related to the mixed electric dipole–magnetic dipole linear response tensor

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– ECD. The ECD spectrum is obtained from the Fourier transform of Eq. (5.11). Being a bisignate, mixed-response property, ECD is a considerable computational challenge, similar to its dispersive counterpart circular birefringence. Figure 5.3 shows the results for an ECD spectrum in the same PNO orbital spaces used in the previous section. The dynamic

response of the magnetic dipole to the electric field in this frequency range is

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considerably more complicated than that of the electric dipole. Below 60% of the MO space, virtually all distinguishing characteristics of the reference spectrum are unidentifiable. Further, at 82%, the base peak appears to be a pair of peaks, more resembling the pair of peaks appearing just above 15 eV in

the reference spectrum, with the major peak just below 15 eV being the second strongest. At an average of 93%, the overall shape of the spectrum in the 10 eV to 20 eV range more closely resembles that of the reference; however, the excitation energies are, in some cases, even less accurate than those of smaller PNO spaces. The trend of lowering excited state energies with increased virtual space seen in Section 5.4.1 is no longer discernible. As in the case of absorption, the PAO basis is not noticeably more efficient at approximating the full MO space than the PNO space. Figure 5.4 shows the results using the same truncated PAO spaces as in Section 5.4.1. The performance of the PAO basis near the base peak varies wildly with truncation, as in the PNO case. In the low-frequency region, the PAO results are considerably worse – see the two negative peaks between 10 eV and 15 eV. Curiously, the largest PAO spaces considered predict significant peaks above 25 eV that are not present in the reference, any of the PNO spaces tested, or the smaller PAO spaces. This suggests a strong

**sensitivity of the response of the wave function** to **the**

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completeness threshold used for determining the occupied domains. Figure 5.4: Reference and PAO ECD spectra of (H<sub>2</sub>)<sub>4</sub> for five cutoffs: [ $1 \times 10^{-4}$ ,  $1 \times 10^{-3}$ ,  $1 \times 10^{-2}$ ,  $5 \times 10^{-2}$ ,  $1 \times 10^{-1}$ ] corresponding to [95%,86%,63%,46%,23%] of the MO virtual space, respectively.

### 5.4.2 Amplitude Dynamics

As evidenced by the preceding data, the truncated PNO and PAO virtual spaces do not efficiently model the wave function in the presence of a perturbing EMF. As noted in Section 5.4.1, these shortcomings are well-documented in the case of response theory. However, a real-time formalism offers the opportunity to analyze the wave function in great detail over time, perhaps shedding light on where and how the locally correlated wave functions are deficient. The following section will scrutinize the  $t\mu$  and  $\lambda\mu$  amplitudes of Eqs. (5.3b) and (5.4b), respectively, in hopes of determining the important fluctuations in the wave function and whether these spaces sufficiently capture these changes. Response to external perturbations by the CC amplitudes give rise to dynamic energetics and properties. In the past, distributions of perturbed amplitudes (relative to their ground-state counterparts) have been used to justify the difficulty in computing response functions with local correlation methods in the frequency domain.<sup>13,61,143</sup> However, initial findings Figure 5.5: Time-dependent change in the norm of the amplitude tensors relative to the ground-state amplitudes. (Field and step parameters remain unchanged, and the amplitude norm is taken at every 1 a.u.) show that in RTCC, the relative distribution of amplitudes by magnitude is not significantly impacted.<sup>13</sup> Despite this, typical means of exploiting amplitude sparsity have been shown to be inefficient by the preceding sections. First, to understand the response of the amplitudes to the external perturbation, we plot the change in the norm of the amplitude tensors relative to the ground-state amplitudes as a function of time in Figure 5.5. Results for the untruncated PNO and PAO spaces are identical to those for the MO space, as the unitary transformations resulting from

untruncated localized virtual spaces in Eqs. (5.23) preserves the tensor norm. Amplitude norms from propagations carried out in truncated PNO and PAO spaces are nearly indistinguishable (see SI). Figure 5.5 shows that the magnitude of the response by the wave function is predominantly within the singles amplitudes  $t_1$  and  $\lambda_1$ . This is consistent with the notion that singles are paramount for the computation of response properties.<sup>32,33</sup> However, the form of Eq. (5.19) does not include any contributions by singles, due to being built from MP2-level amplitudes where singles do not contribute until at least the second

order in the wave function and fourth order in the energy

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. This suggests that even in schemes which seek to include the (a) (b) (c) Figure 5.6: MO-basis  $t_1$  amplitude deviations from  $t = 0$  after (a) 1 a.u., (b) 50 a.u., and (c) 100 a.u. of time propagation. Each row contains the same four occupied orbital indices and a subset of virtual indices as indicated by the x-axis labels. EMF perturbation in the construction of the reduced virtual space, such as PNO++,<sup>143</sup> response of the singles should be considered. Aside from the matrix norm, we can also inspect the individual amplitudes to track their evolution in time. The heat maps in Figure 5.6 show the difference in  $t_1$  amplitudes, relative to the ground state, for three time steps selected from the first 100 a.u. of the simulation. The amplitudes are ordered by the orbital energies of the associated MOs. The amplitudes which experience significant oscillations vary throughout the simulation, though there are several discernible trends. First, most large amplitude deviations are associated with all occupied orbitals simultaneously. This

is due to the relatively small size of the

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system, with only four occupied orbitals, all of which are likely important

in the description of the ground- and excited-state wave functions

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. Secondly, at any given time during the propagation, a large number of amplitudes have not significantly deviated from their ground state values. This supports the notion that relative sparsity is maintained within the amplitudes throughout the simulation, but this sparsity is distributed differently

throughout the amplitude tensors as the wave function is propagated. A third trend is that amplitudes which respond strongly tend to be associated with low-energy virtual orbitals. Chemical intuition would suggest that energetically low-lying molecular orbitals will be the most involved in electronic excitations. However, while amplitude responses are indeed larger for lower-energy virtual orbitals, smaller amplitude deviations in Figure 5.6 extend far into the virtual space. This explains the difficulty of simply truncating with respect to orbital energy: the high-energy MOs are still important

to the time-evolution of the wave function in the

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presence of an EMF. Figure 5.7 shows the  $t_1$  amplitudes for the same simulation, rotated into the untruncated PNO basis using  $Q_{ii}$  as defined in Eq. (5.20). (It should be noted that, due to redundancy in the AO-based virtual spaces for each pair, PAO-basis amplitudes cannot be compared directly in this manner.) It can be immediately seen that the amplitude deviations are less sparse in the PNO basis after the application of the EMF. Many more amplitudes exhibit perceivable differences, and strong deviations (magnitudes approaching  $1 \times 10^{-5}$ ) are no longer present. This is a clear demonstration of the issue with truncating orbital spaces based on the present criterion — rather than exploiting sparsity, the amplitude tensors have become less sparse. Virtual orbital extent has been used previously<sup>62,143</sup> to estimate the ability of locally correlated spaces to describe the diffuse regions of electron density which are important for response properties. Figure 5.8 shows the virtual MO energy  $\epsilon_a$  and the PNO occupation (a) (b) (c) Figure 5.7: PNO-basis  $t_1$  amplitude deviations from  $t = 0$  after (a) 1 a.u., (b) 50 a.u., and (c) 100 a.u. of time propagation. Each row contains the same four occupied orbital indices and a subset of virtual indices as indicated by the x-axis labels. Figure 5.8: Virtual MO energy  $\epsilon_a$  and the occupation number  $n_a$  (plotted on a log scale) for unique PNO spaces  $i_1$  and  $i_2$  versus orbital extent. Virtual MOs 7 and 15 are denoted by a solid + and  $\times$ , respectively. The horizontal line denotes a PNO cutoff of  $1 \times 10^{-7}$ . number  $n_a$  plotted against the orbital extent  $\langle r^2 \rangle$  in a.u. In the PNO basis, a unique virtual space is prepared for every occupied pair, resulting in 16 unique spaces for the four occupied spatial orbitals  $i$ . However, for transforming a single orbital index, we only require the diagonal rotation matrices, i.e.,  $Q_{ii}$ . There are four such spaces; however, by symmetry, only two are unique. Both are included in Figure 5.8. Truncation of the PNO space begins from the bottom of Figure 5.8. At an occupation number cutoff of  $1 \times 10^{-7}$  (indicated by a horizontal line), all orbitals below this line are neglected in the PNO space. Roughly 66% of the virtual space lies in this region. From these data, it is clear that even modest truncation of the virtual space neglects the diffuse regions of the wave function, which are important for excited-state properties in systems with significantly delocalized characteristics, such as systems containing Rydberg-type excitations. Spatial extent alone may not be a suitable criterion for truncation — this would have a negative impact on the accuracy of the correlation energy, which is inherently local in nature. 5.5. Conclusions Additionally, contracted orbitals may very well contribute

significantly to the response of the wave function. Figure 5.8 highlights virtual MOs 7 (+) and 15 (×). These orbitals correspond to the strongest deviations in Figures 5.6b and 5.6c, respectively. These deviations represent a strong

**response of the wave function to the** perturbing **field** , implying they **are**

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of particular importance when computing dynamic properties. Examination of the spatial extents of these orbitals in particular may shed light on the nature of the spatial distribution of orbitals necessary to describe the wave function response. Virtual MO 7 appears at 66 a.u., while MO 15 is nearly half that at 37 a.u. That these orbitals are of such varying extent demonstrates that both diffuse and contracted orbitals play a role in the wave function dynamics. 5.5 Conclusions Here we present the first application of local correlation to RTCC simulations. The popular PNO and PAO virtual space localization schemes are applied

**to the** calculation **of** dynamic **electric and magnetic dipole** moments in **the** presence **of**

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an explicit electric field, providing absorption and ECD spectra, respectively. For a helical H2 tetramer, truncation of the localized virtual space to successively larger fractions of the canonical virtual space resulted in convergence to the canonical result; however, this convergence is slow, and errors in excitation energies and intensity are present even in some of the largest spaces tested, especially for ECD. This corroborates the results of recent studies applying locally correlated methods to the prediction of dynamic properties in the frequency domain using response theory. Examining the amplitude dynamics during the propagation, it is shown that the  $t_1$  and  $\lambda_1$  amplitudes respond most strongly to the field – a large increase in the norm of these matrices is observed upon application of the field, followed by a steady oscillation. The  $t_2$  and  $\lambda_2$  tensors, by comparison, remain relatively static throughout. These oscillations are largely, but not completely, localized to a selection of only a few orbitals, as evidenced by consideration of time-dependent deviations in the  $t_1$  amplitudes from the ground-state. In the localized virtual spaces tested, these oscillations are delocalized throughout the  $t_1$  and  $\lambda_1$  matrices. Orbital extent alone cannot explain the shortcomings of the PNO space; however, its effect is significant. These results provide an insight into the importance of singly-substituted determinants in the time-dependent wave function in the presence of an electric field, as well as a potential metric to gauge the performance of new localization schemes for frequency- or time-domain calculations of dynamic response properties. In order to attain a balanced description of wave function components important for both energy and property calculations, the combination of appropriately

determined spaces such as the combined PNO++ approach has been fruitful. 143 Still neglected in this approach are the singles amplitudes, which are absent in the MP2 wave functions used to approximate the occupied pair domains. Schemes to include these effects, such as approximate CC2-level t1 guess amplitudes, may further improve the space and allow greater flexibility for truncation. The prospect of utilizing these methodologies within the current framework is promising, and work is underway to explore their efficiency. Chapter 6 Conclusions The time- or frequency-dependent response of a system to electromagnetic fields gives rise to many different dynamic properties which can be experimentally probed by various forms of spectroscopy. Theoretical models of electronic structure can provide assistance in the design and characterization of novel materials through ab initio computation of the light-matter interactions which govern this response. The accurate computation of these properties on modern computing hardware with correlated methods, such as coupled cluster theory, is often prohibitively expensive for all but the smallest systems. These computations typically employ a semi-classical approach, which models the response of a system, typically treated as a quantum-mechanical wave function or density, to an external electromagnetic field, represented as a classical potential. The current work seeks to improve the efficiency of these methods through considerations of both frequency- and time-domain formulations. After discussing the basics of correlated electronic structure treatments and the foundations of semi-classical approaches to property prediction, three studies were presented. First, we examine the effects of

**basis set superposition** error on **the many-body expansion of molecular properties** , computed using **the**

frequency-domain response theory approach. Previous efforts to apply this divide-and-conquer approach to dynamic molecular properties proved difficult – specifically, slow, oscillatory convergence to the analytic result was observed, especially in the case of optical rotation calculations. Literature precedent suggests that the correction of basis set superposition errors, along with careful considerations of other methodological 97 98 Chapter 6. Conclusions parameters such as numerical precision, alleviate oscillatory convergence issues commonly encountered when calculating binding energies of large water clusters through a many-body approach. While these corrections proved to dampen the oscillations, the troublesome case of optical rotation for systems in modest solvent shells could still not be reproduced with computationally tractable expansions. Thus, fragmentation-type approaches such as the many-body expansion are of limited utility in such cases. Moving beyond simple fragmentation of the system, a condensed wave function representation of the entire system could allow for the rapid evaluation of target properties. The second study utilized a machine-learning approach. The input is a representation of molecular systems, developed using wave function-based descriptors. This representation, dubbed the density tensor representation, was derivative of the recently published t-amplitude tensor representation, but unique in its construction by exploiting the naturally dense representation of the wave function by the reduced density matrix. A simple machine-learning method, kernel ridge regression, was applied to compute the ground-state

energies and electric dipole moments of several small organic molecules across molecular dynamics trajectories. MP2- level densities were used to build representations, and the training set consisted of CCSD- level ground-state correlated energies and electric dipoles. Utilizing new implementations of both t-amplitude and density tensor representations in an open-source, python-based code proved the density tensor representation to be the more efficient representation of the two, requiring less than a dozen training calculations to provide results within chemical accuracy across short trajectories for the systems considered. Proposed extensions of the procedure to dynamic properties were explored, and the implementation of these methods is ongoing. Using frequency-domain response theory to compute training set values results in certain limitations. This perturbative approach excludes the possibility of strong electromagnetic fields, such as those used in ultrafast spectroscopy. These properties may be computed using 99 a non-perturbative, explicitly time-dependent approach, referred to as real-time electronic structure theory. These methods require the expensive (and possibly unstable) propagation of the wave function in time. For real-time coupled cluster, this means repeatedly evaluating the high-degree polynomial-scaling residual expressions for long periods of time. To alleviate the cost, the third and final study focused on the application of the local correlation family of reduced-scaling methods to real-time coupled cluster. An open-source coupled cluster development code based on the Psi4 electronic structure package was developed which can simulate the effects of various local correlation schemes, namely PAO and PNO, with minimal modifications of the underlying expressions. The code is capable of modeling explicit electric fields, and simulations of both absorption and electronic circular dichroism spectra are used to test the efficiency of the PAO and PNO approaches. These tests revealed deficiencies in the virtual orbital spaces produced by truncating the wave function in these locally correlated bases, which are corroborated by recent results of their application in frequency-domain response theory. The explicitly time- dependent nature of the wave function allowed for the detailed investigation of separate wave function elements as they evolved in time, revealing a strong dependence on single substitutions, particularly in diffuse orbital spaces. These substitutions are not considered in the typical construction of PAOs or PNOs. These bases are also intentionally spatially localized, since they are designed to capture the correlation energy, which is inherently lo- cal for insulators and other weakly-correlated systems. Alternative approaches, such as the recently-proposed PNO++ method, may address these problems, allowing for more aggres- sive truncation of the virtual orbital space, leading to far cheaper evaluation of the expensive residual expressions required for time propagation. The work presented here comprises several different methods for improving the efficiency of accurate, systematically improvable computations of field-dependent molecular properties.

## Chapter 6. Conclusions

All solutions have been implemented as open-source software, which can be freely accessed, used, and extended. Continued development on the methods presented in Chapters 4 and 5, in particular, are encouraging. In the near term, these and other improvements will continue to close the gap between experimental and theoretical understandings of light- matter interactions. In the far term, as this gap is closed and sufficient computational resources become more widely available, the theoretical prediction of field-induced properties will become an indispensable tool for future synthetic chemists, whose interpretations of spectroscopic results will be improved by a fundamental understanding of these interactions at a quantum level.

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optischen Aktivitaet von Fluessigkeiten und Gasen. Z. Phys. 1929, 52, 161–174. [192] Pipek, J.; Mezey, P. G. A fast intrinsic localization procedure applicable for ab initio and semiempirical linear combination of atomic orbital wave functions. J. Chem. Phys. 1989, 90, 4916–4926. [193] Virtanen, P. et al. SciPy 1.0: fundamental algorithms for scientific computing in Python. Nat. Methods 2020, 17, 261–272. [194] Crawford, T.; Peyton, B. G.; Wang, Z. PyCC. <https://github.com/CrawfordGroup/pycc>. [195] Harris, C. R. et al. Array programming with NumPy. Nature 2020, 585, 357–362. [196] Smith, D. G. et al. P SI4 1.4: Open-source software for high-throughput quantum chemistry. J. Chem. Phys. 2020, 152. [197] G. A. Smith, D.; Gray, J. opt\\_einsum - A Python package for optimizing contraction order for einsum-like expressions. J. Open Source Softw. 2018, 3, 753. App endices 125 App endix A Supp orting Information for Basis Set Sup erp osition Errors in the Many-Bo dy Expansion of Molecular Prop erties A.1 Additional Data A.1.1 Methyloxirane 126 A.1. Additional Data Figure A.1: MBE and SSFC of interaction energy for (S )-methyloxirane in a seven-water solvent shell. Computed with B3LYP/aDZ. Figure A.2: MBE and SSFC of dipole moment for (S )-methyloxirane in a seven-water solvent shell. Computed with B3LYP/aDZ. Appendix A. Supporting Information for

**Basis Set Superposition Errors in the Many-Body Expansion of Molecular Properties** Figure A

1

.3: MBE and SSFC of interaction energy for (S )-methyloxirane in a seven-water solvent shell. Computed with B3LYP/aTZ. Figure A.4: MBE and SSFC of dipole moment for (S )-methyloxirane in a seven-water solvent shell. Computed with B3LYP/aTZ. Figure A.5: MBE and SSFC of interaction energy for (S )-methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.6: MBE and SSFC of dipole moment for (S )-methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Many-Body Expansion of Molecular Properties Figure A.7: MBE and SSFC of specific rotation for (S )-methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.8: MBE and SSFC of interaction energy for snapshot #2 of (S )-methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.9: MBE and SSFC of dipole moment for snapshot #2 of (S )-methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.10: (S )-methyloxirane Figure A.11: MBE and SSFC of dynamic polarizabilities for snapshot #2 of (S )- methyloxirane in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Many-Body Expansion of Molecular Properties Figure A.12: MBE and SSFC of

**specific rotation of (S )-methyloxirane in a seven- water solvent shell and**

1



two manually reduced H-bonds. Computed with CAM-B3LYP/aDZ. Figure A.13: MBE and SSFC of specific rotation of (S)-methyloxirane in a seven-water solvent shell using “tight” convergence criteria and “fine” grids. Computed with CAM- B3LYP/aDZ. Many-Body Expansion of Molecular Properties Figure A.14: MBE and SSFC of

specific rotation of (S)-methyloxirane in a seven- water solvent shell and

1

unpruned “fine” grids. Computed with CAM-B3LYP/aDZ. Figure A.15: MBE and SSFC of interaction energy for (S)-methylthiirane in a six-water solvent shell. Computed with B3LYP/aDZ. Figure A.16: MBE and SSFC of dipole moment for (S)-methylthiirane in a six-water solvent shell. Computed with B3LYP/aDZ. A.1.2 Methylthiirane Many-Body Expansion of Molecular Properties Figure A.17: MBE and SSFC of interaction energy for (S)-methylthiirane in a six-water solvent shell. Computed with B3LYP/aTZ. Figure A.18: MBE and SSFC of dipole moment for (S)-methylthiirane in a six-water solvent shell. Computed with B3LYP/aTZ. Figure A.19: MBE and SSFC of interaction energy for (S)-methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.20: MBE and SSFC of dipole moment for (S)-methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. Many-Body Expansion of Molecular Properties Figure A.21: MBE and SSFC of interaction energy for snapshot #2 of (S)-methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.22: MBE and SSFC of dipole moment for snapshot #2 of (S)-methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.23: MBE and SSFC of dynamic polarizabilities for snapshot #2 of (S)- methylthiirane in a six-water solvent shell. Computed with CAM-B3LYP/aDZ. Many-Body Expansion of Molecular Properties Figure A.24: MBE and SSFC of interaction energy for (M)-dimethylallene in a seven-water solvent shell. Computed with B3LYP/aDZ. Figure A.25: MBE and SSFC of dipole moment for (M)-dimethylallene in a seven-water solvent shell. Computed with B3LYP/aDZ. A.1.3 Dimethylallene Figure A.26: MBE and SSFC of interaction energy for (M)-dimethylallene in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Figure A.27: MBE and SSFC of dipole moment for (M)-dimethylallene in a seven-water solvent shell. Computed with CAM-B3LYP/aDZ. Many-Body Expansion of Molecular Properties A.2 Atomic Coordinates Table A.1: Atomic coordinates of (S)-methyloxirane in a seven-water solvent shell (Ångstroms) Atomic Symbol

X	Y	Z	C 14	.600000	14	.530000	15	.130000	O 14	.600000	14	.530000	16	.530000	C 15	.860000	14
.530000	15	.850000	C 14	.520000	15	.710000	14	.300000	H 13	.580000	15	.710000	13	.750000	H 14		
.580000	16	.600000	14	.920000	H 15	.350000	15	.710000	13	.590000	H 14	.090000	13	.640000	14	.770000	

2



.356686 16.138508 H 18.531491 14.536686 15.668508 O 19.411491 15.276686 16.648508 H 17.480000 16.470000 17.830000 H 17.700000 15.930000 17.070000 O 17.110000 15.850000 18.460000 H 11.805218 17.248086 15.788585 H 12.505218 16.638086 15.568585 O 11.875218 17.378086 16.738585 H 16.860000 14.160000 11.360000 H 16.530000 13.440000 10.810000 O 16.400000 14.040000 12.200000 H 12.960000 16.070000 16.940000 H 13.330000 15.780000 17.770000 O 13.710000 16.190000 16.360000 H 16.770000 14.240000 19.190000 H 17.490000 13.620000 19.050000 16.010000 13.820000 18.780000 Table A.4: Atomic coordinates of (S )-methyloxirane in a 13-water solvent shell (Ångstroms) Atomic Symbol C O C C



X 14.600000 14.600000 15.860000 14.520000 13.580000 14.580000 15.350000 14.090000 16.430000 16.430000 15.600000 16.160000 15.980000 11.050000 11.070000 11.780000 19.150000 18.590000 19.280000 10.790000 10.100000 11.430000 14.750000 14.910000 15.410000 18.580000 18.510000 19.390000 13.370000 13.860000 12.470000 17.480000 17.700000 17.110000 11.290000 11.990000 11.360000 16.860000 16.530000 16.400000 15.050000 15.510000 15.000000 12.960000 13.330000 13.710000 Y 14.530000 14.530000 14.530000 15.710000 15.710000 16.600000 15.710000 13.640000 13.640000 15.420000 19.160000 19.800000 19.070000 14.880000 14.670000 15.490000 17.890000 17.110000 18.130000 14.420000 15.050000 14.510000 19.290000 18.510000 19.920000 15.280000 14.460000 15.200000 14.030000 13.220000 13.750000 16.470000 15.930000 15.850000 17.480000 16.870000 17.610000 14.160000 13.440000 14.040000 12.180000 11.370000 12.160000 16.070000 15.780000 16.190000 Z 15.130000 16.530000 15.850000 14.300000 13.750000 14.920000 13.590000 14.770000 15.590000 15.590000 17.660000 17.220000 18.540000 13.420000 14.350000 13.290000 13.810000 13.850000 14.730000 16.000000 16.210000 16.710000 13.750000 14.270000 14.040000 15.690000 15.220000 16.200000 19.010000 18.870000 19.180000 17.830000 17.070000 18.460000 15.180000 14.960000 16.130000 11.360000 10.810000 12.200000 18.300000 18.530000 17.340000 16.940000 17.770000 16.360000 O H H Many-Body Expansion of Molecular Properties 16.770000 17.490000 16.010000 14.240000 13.620000 13.820000 19.190000 19.050000 18.780000 Table A.5: Atomic coordinates of (S )-methylthiirane in a six-water solvent shell (Ångstroms) Atomic Symbol X Y Z C 14.840000 14.890000 15.500000 S 13.220000 14.190000 14.950000 C 13.960000 15.880000 14.850000 C 16.110000 14.410000 14.820000 H 16.400000 13.420000 15.190000 H 15.960000 14.340000 13.740000 H 16.930000 15.110000 15.020000 H 14.910000 14.960000 16.590000 H 13.470000 16.630000 15.460000 H 14.210000 16.200000 13.840000

O 11	.050000	14	.880000	13	.420000	H 11	.070000	14	.670000	14	.350000	H 11	.780000	15	.490000	13
.290000	O 18	.580000	15	.280000	15	.690000	H 18	.510000	14	.460000	15	.220000	H 19	.390000	15	2
.200000	16															

200000 O 17.480000 16.470000 17.830000 H 17.700000 15.930000 17.070000 H 17.110000 15.850000 18.460000 O 11.290000 17.480000 15.180000 H 11.990000 16.870000 14.960000 H 11.360000 17.610000 16.130000 O 16.860000 14.160000 11.360000 H 16.530000 13.440000 10.810000 H 16.400000 14.040000 12.200000 O 16.770000 14.240000 19.190000 H 17.490000 13.620000 19.050000 H 16.010000 13.820000 18.780000 Many-Body

Expansion of Molecular Properties Table A.6: Atomic coordinates of (S )-methylthiirane in a six-water solvent shell (Snapshot #2) (Ångstroms) Atomic Symbol X Y Z C 26.350002 26.090002 -26.730001 S 28.010002 26.670000 -26.280001 C 26.410000 27.530001 -26.220001 C 25.530003 25.180000 -25.830002 H 24.570000 25.030001 -26.330002 H 26.040001 24.220001 -25.820000 H 25.470001 25.540001 -24.799999 H 26.360001 25.760002 -27.770002 H 26.350002 28.360003 -26.920002 H 25.990002 27.750000 -25.240002 O 25.600002 27.190001 -29.500000 H 24.640003 26.960001 -29.380001 H 26.160002 26.620003 -28.890001 O 23.630001 27.710001 -24.590000 H 22.740002 27.680000 -25.030001 H 24.300001 28.140001 -25.200003 O 24.650002 26.170002 -22.590000 H 24.300001 26.840000 -23.250000 H 25.450001 26.550003 -22.130001 O 24.870003 23.340000 -28.710001 H 24.490002 23.500002 -29.620001 H 25.840000 23.600002 -28.710001 O 28.270000 23.770000 -28.900002 H 28.500002 23.220001 -28.100002 H 28.570002 24.710003 -28.760002 O 29.250002 24.520000 -24.370001 H 30.040001 24.410002 -24.970001 H 28.660002 23.710001 -24.450001 Table A.7: Atomic coordinates of (M )-dimethylallene in a seven-water solvent shell (Ångstroms) Atomic Symbol X Y Z C 12.620000 11.350000 11.820000 H 12.140000 10.750000 12.590000 H 12.940000 10.700000 11.010000 H 11.960000 12.140000 11.460000 C 13.830000 12.070000 12.410000 H 14.840000 11.920000 12.030000 C 13.560000 12.880000 13.400000 C 13.310000 13.690000 14.390000 H 13.320000 13.360000 15.430000 C 13.000000 15.130000 14.000000 H 13.040000 15.230000 12.920000 H 13.730000 15.800000 14.460000 H 12.000000 15.320000 14.380000 O 11.270000 13.410000 16.900000 H 11.740000 13.410000 17.780000 H 10.790000 12.540000 16.790000 O 14.660000 14.170000 9.530000 H 14.070000 14.230000 10.330000 H 14.510000 13.290000 9.070000 O 10.640000 13.470000 13.400000 H 9.840000 13.240000 13.950000 H 11.470000 13.210000 13.890000 O 16.380000 10.990000 10.790000 H 16.430000 11.800000 11.380000 H 15.520000 11.010000 10.280000 O 12.520000 17.310000 11.280000 H 13.360000 17.360000 10.740000 H 12.290000 16.350000 11.460000 O 14.500000 12.200000 16.330000 H 14.410000 12.100000 17.320000 H 15.460000 12.130000 16.070000 O 17.050000 14.870000 11.040000 H 16.120000 14.620000 10.770000 H 17.310000 14.370000 11.860000 App endix B Supp orting Information for

(b) (c) (d) (e) Figure B.1: DTR vs TATR errors in mEh for diatomic datasets: (a) H<sub>2</sub>, (b) HF, (c) CO, (d) LiF, and (e) N<sub>2</sub>. Red lines indicate 2 mEh. Appendix B. Supporting Information for

(b) (c) Figure B.2: DTR vs TATR errors in Debye for diatomic datasets: (a) HF, (b) CO, and (c) LiF. Red lines indicate 2 milliDebye. (a) (b) (c) (d) Figure B.3: DTR vs TATR errors in Debye for small molecule datasets: (a) H<sub>2</sub>O, (b) CH<sub>3</sub>OH, (c) (S)-methyloxirane, and (d) (R)-methylthiirane. Red lines indicate 2 milliDebye. 154 through a Density Tensor Representation Figure B.4: DTR and TATR learning curves for H<sub>2</sub> correlation energy. Figure B.5: DTR and TATR learning curves for HF correlation energy. 156 through a Density Tensor Representation Figure B.6: DTR and TATR learning curves for CO correlation energy. Figure B.7: DTR and TATR learning curves for LiF correlation energy. 158 through a Density Tensor Representation Figure B.8: DTR and TATR learning curves for N<sub>2</sub> correlation energy. Figure B.9: DTR and TATR learning curves for H<sub>2</sub>O correlation energy. 160 through a Density Tensor Representation Figure B.10: DTR and TATR learning curves for CH<sub>3</sub>OH correlation energy. Figure B.11: DTR and TATR learning curves for (S)-methyloxirane correlation energy. 162 through a Density Tensor Representation Figure B.12: DTR and TATR learning curves for (R)-methylthiirane correlation energy. Figure B.13: DTR and TATR learning curves for HF correlated dipole. 164 through a Density Tensor Representation Figure B.14: DTR and TATR learning curves for CO correlated dipole. Figure B.15: DTR and TATR learning curves for LiF correlated dipole. 166 through a Density Tensor Representation Figure B.16: DTR and TATR learning curves for H<sub>2</sub>O correlated dipole. Figure B.17: DTR and TATR learning curves for CH<sub>3</sub>OH correlated dipole. 168 through a Density Tensor Representation Figure B.18: DTR and TATR learning curves for (S)-methyloxirane correlated dipole. Figure B.19: DTR and TATR learning curves for (R)-methylthiirane correlated dipole. 170 through a Density Tensor Representation Figure B.20: DTR and TATR validation curves for H<sub>2</sub> correlation energy. Figure B.21: DTR and TATR validation curves for HF correlation energy. 172 through a Density Tensor Representation Figure B.22: DTR and TATR validation curves for CO correlation energy. Figure B.23: DTR and TATR validation curves for LiF correlation energy. 174 through a Density Tensor Representation Figure B.24: DTR and TATR validation curves for N<sub>2</sub> correlation energy. Figure B.25: DTR and TATR validation curves for H<sub>2</sub>O correlation energy. 176 through a Density Tensor Representation Figure B.26: DTR and TATR validation curves for CH<sub>3</sub>OH correlation energy. Figure B.27: DTR and

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Ångstroms)	Atomic Symbol	X	Y	Z	H	0.000000	0.000000	0.000000	H	0.750000	0.000000	0.000000	H	0.000000	1.500000	0.000000	H	0.375000	1.500000	-0.649520	H	0.000000	3.000000	0.000000	H	-0.375000	3.000000	-0.649520	H	0.000000	4.500000	-0.000000	H	-0.750000	4.500000	-0.000000
Figure C. 1		: Time-dependent change in																																		1

the norm of the amplitude tensors relative to the ground-state amplitudes, using a PNO cut-off of  $1 \times 10^{-9}$ . (Amplitudes have been back- transformed to the MO space for comparison to MO-basis amplitude norms. Field and step parameters remain unchanged, and the amplitude norm is taken at every 1 a.u.) Appendix C. Supporting Information for Locally Correlated Real-Time Coupled 188 Cluster Theory Figure C.2: Time-dependent change in the norm of the amplitude tensors relative to the ground-state amplitudes, using a PAO cut-off of  $1 \times 10^{-3}$ . (Amplitudes have been back- transformed to the MO space for comparison to MO-basis amplitude norms. Field and step parameters remain unchanged, and the amplitude norm is taken at every 1 a.u.) 4 6 8 10 12 14 16 20 28 30 32 34 36 38 40 42 44 46 48 50 58 60 62 64 68 70 74 76 78 80 82 84 86 88 90 92 94 96 100 102 104 128 130 132 134 136 138 140 142 144 146 148 7 Chapter 2. Theoretical background

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Chapter 4.

## **Machine-Learning Coupled Cluster Properties through a Density Tensor**

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Chapter 4.

## **Machine-Learning Coupled Cluster Properties through a Density Tensor**

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## **Machine-Learning Coupled Cluster Properties through a Density Tensor**

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## **Machine-Learning Coupled Cluster Properties through a Density Tensor**

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