

RISC-V S-mode Physical Memory Protection (SPMP)

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Preamble



This document is in the Development state

Assume everything can change. This draft specification will change before being accepted as standard, so implementations made to this draft specification will likely not conform to the future standard.

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Chapter 1. Introduction

This document describes RISC-V S-mode Physical Memory Protection (SPMP) proposal to provide isolation when MMU is unavailable or disabled. RISC-V based processors recently stimulated great interest in the emerging internet of things (IoT) and automotive devices. However, page-based virtual memory (MMU) is usually undesired in order to meet resource and latency constraints. It is hard to isolate the S-mode OSes (e.g., RTOS) and user-mode applications for such devices. To support secure processing and isolate faults of U-mode software, the SPMP is desirable to enable S-mode OS to limit the physical addresses accessible by U-mode software on a hart.

Chapter 2. S-mode Physical Memory Protection (SPMP)

An optional RISC-V S-mode Physical Memory Protection (SPMP) provides per-hart supervisor-mode control registers to allow physical memory access privileges (read, write, execute) to be specified for each physical memory region.

If PMP/ePMP is implemented, accesses succeed only if both PMP/ePMP and SPMP permission checks pass. The implementation can perform SPMP checks in parallel with PMA and PMP. The SPMP exception reports have higher priority than PMP or PMA exceptions (i.e., if the access violates both SPMP and PMP/PMA, the SPMP exception will be reported).

SPMP checks will be applied to all accesses whose effective privilege mode is S or U, including instruction fetches and data accesses in S and U mode, and data accesses in M-mode when the MPRV bit in mstatus is set and the MPP field in mstatus contains S or U.

SPMP registers can always be modified by M-mode and S-mode software.

SPMP can grant permissions to U-mode, which has none by default. SPMP can also revoke permissions from S-mode.

2.1. Requirements

- 1. S mode should be implemented
- 2. The sstatus. SUM (permit Supervisor User Memory access) bit must be writeable.

The Privileged Architecture specification states the following



SUM has no effect when page-based virtual memory is not in effect, nor when executing in U-mode. SUM is read-only 0 if satp.MODE is read-only 0.

— Supervisor-Level ISA, Version 1.13 >> "Memory Privilege in `sstatus` Register"

In SPMP, this bit modifies the privilege with which S-mode loads and stores access to physical memory, hence the need to make it writeable.

3. The sstatus.MXR (Make eXecutable Readable) bit must be writeable.

The Privileged Architecture specification states that



MXR has no effect when page-based virtual memory is not in effect.

— Machine-Level ISA, Version 1.13 >> "Memory Privilege in `mstatus` Register"

In SPMP, the MXR bit modifies the privilege with which loads access physical memory. Its semantics are consistent with those of the Machine-Level ISA.

In SPMP, this bit is made writeable to support M-mode emulation handlers where instructions are read with MXR=1 and MPRV=1.

2.2. Supervisor Security Configuration (sseccfg) CSR

Supervisor Security Configuration (sseccfg) is a new Supervisor mode CSR used for configuring SPMP features. All sseccfg fields defined on this proposal are WARL, and the remaining bits are reserved for future standard use and should always read zero. This CSR has two fields:

- Bit 0. sseccfg. SMWP (Supervisor Memory Access Whitelist Policy): When set, this bit changes the default SPMP policy for S-Mode when accessing memory regions that don't have a matching rule to denied instead of ignored. This bit must reset to 0.
- Bit 1. sseccfg.SMAL (SPMP Match-Any Logic): When set, this bit changes the entry matching logic for SPMP from the default priority-based matching, where the lowest-numbered SPMP entry that matches any byte of the access determines the permissions for that access, to a match-any logic where the final permissions for the access are the union of the permissions of any entry that matches any byte of the access. This bit must reset to 0. If match-any logic is not implemented this bit should always read as zero.

For RV64 sseccfg is 64 bits wide, while for RV32 sseccfg is divided into sseccfg (lower 32 bits) and sseccfgh (upper 32 bits).

The sseccfg register must be cleared on reset.

2.3. S-mode Physical Memory Protection CSRs

Like PMP, SPMP entries are described by an 8-bit configuration register and one XLEN-bit address register. Some SPMP settings additionally use the address register associated with the preceding SPMP entry.

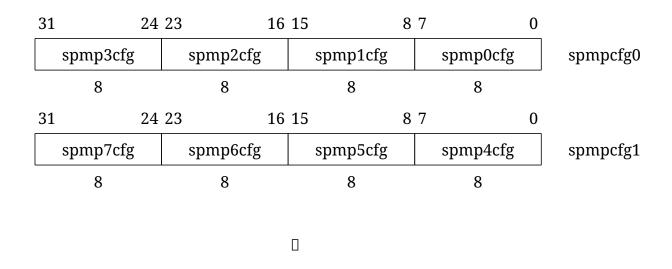
The SPMP configuration registers are packed into CSRs the same way as PMP. For RV32, 16 CSRs, spmpcfg0-spmpcfg15, hold the configurations spmp0cfg-spmp63cfg for the 64 SPMP entries. For RV64, even numbered CSRs (i.e., spmpcfg0, spmpcfg2, ..., spmpcfg14) hold the configurations for the 64 SPMP entries; odd numbered CSRs (e.g., spmpcfg1) are illegal. Figure 1 and Figure 2 demonstrate the first 16 entries of SPMP. The layout of the rest entries is similar.

The terms, entry and rule, are similar to ePMP.



The implementation should decode all SPMP CSRs, and it can modify the number of **writable SPMP entries** while the remaining SPMP CSRs are read-only zero.

The lowest-numbered SPMP entries must be implemented first.



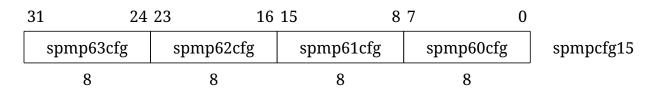


Figure 1. RV32 SPMP configuration CSR layout.

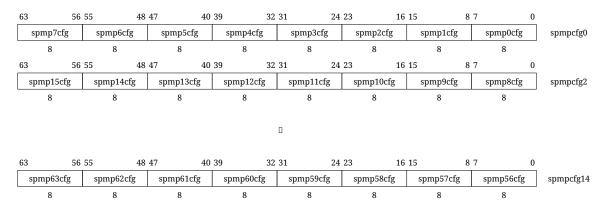


Figure 2. RV64 SPMP configuration CSR layout.

The SPMP address registers are CSRs named spmpaddr0-spmpaddr63. Each SPMP address register encodes bits 33-2 of 34-bit physical address for RV32, as shown in Figure 3. For RV64, each SPMP address encodes bits 55-2 of a 56-bit physical address, as shown in Figure 4. Fewer address bits may be implemented for specific reasons, e.g., systems with smaller physical address space. The number of address bits should be the same for all **writable SPMP entries**. Implemented address bits must extend to the LSB format, except as otherwise permitted by granularity rules. See the Privileged Architecture specification, Section 3.7: Physical Memory Protection, Address Matching.



Figure 3. SPMP address register format, RV32.

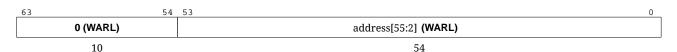


Figure 4. SPMP address register format, RV64.

The layout of SPMP configuration registers is the same as PMP configuration registers, as shown in Figure 5. The register is WARL. The rules and encodings for permission are explained in section 2.4, which resembles the encoding of ePMP (except SPMP does not use locked rules).

- 1. The S bit marks a rule as S-mode-only when set and U-mode-only when unset.
- 2. Bit 5 and 6 are reserved for future use.
- 3. The A field will be described in the following sections (2.3).
- 4. The R/W/X bits control read, write, and instruction execution permissions.

7	6	5	4	3	2	1	0
S (WARL)	Reserved		A	(WARL)	X (WARL)	W (WARL)	R (WARL)
1	2	•		2	1	1	1

Figure 5. SPMP configuration register format.

2.4. Address Matching

The A field in an SPMP entry's configuration register encodes the address-matching mode of the associated SPMP address register. It is the same as PMP/ePMP.

Please refer to the "Address Matching" subsection of PMP in the riscv-privileged spec for detailed information.



Software may determine the SPMP granularity by writing zero to spmp0cfg, then writing all ones to spmpaddr0, then reading back spmpaddr0. If G is the index of the least-significant bit set, the SPMP granularity is 2^{G+2}

2.5. Encoding of Permissions

SPMP has three kinds of rules: S-mode-only, U-mode-only and Shared-Region rules.

- 1. An **S-mode-only** rule is **enforced** on Supervisor mode and **denied** on User mode.
- 2. A **U-mode-only** rule is **enforced** on User modes and **denied/enforced** on Supervisor mode depending on the value of sstatus.SUM bit:
 - If sstatus.SUM is set, a U-mode-only rule is enforced without code execution permission on Supervisor mode to ensure supervisor mode execution protection.
 - If sstatus. SUM is unset, a U-mode-only rule is denied on Supervisor mode.
- 3. A **Shared-Region** rule is enforced on both Supervisor and User modes, with restrictions depending on the spmpcfg.S and spmpcfg.X bits:
 - If spmpcfg.S is not set, the region can be used for sharing data between S-mode and U-mode, yet not executable. S-mode has RW permission to that region, and U-mode has read-only permission if spmpcfg.X is not set or RW permission if spmpcfg.X is set.
 - If spmpcfg.S is set, the region can be used for sharing code between S-mode and U-mode, yet not writeable. S-mode and U-mode have execute permission to the region, and S-mode may also have read permission if spmpcfg.X is set.

- The encoding spmpcfg. SRWX=1111 is used for backward compatibility, where both S-mode and U-mode have RWX permissions.
- 4. The encoding spmpcfg. SRWX=1000 is reserved for future standard use.

The encoding and results are shown in the Figure 6:

		spmpcfg.S=0			spmpcfg.S=1	
spmpcfg	S mode Access	S mode Access	U mode Access	S mode Access	S mode Access	U mode Access
RWX	sstatus.SUM=0	sstatus.SUM=1	sstatus.SUM=x	sstatus.SUM=0	sstatus.SUM=1	sstatus.SUM=x
R	Deny	EnforceNoX	Enforce	Enforce	Enforce	Deny
R - X	Deny	EnforceNoX	Enforce	Enforce	Enforce	Deny
X	Deny	EnforceNoX	Enforce	Enforce	Enforce	Deny
	Deny	EnforceNoX	Enforce	RSVD		
RW -	Deny	EnforceNoX	Enforce	Enforce	Enforce	Deny
RWX	Deny	EnforceNoX	Enforce	Enforce	Enforce	Deny
- WX		SHR RW		SHF	RX	SHR X
- W -	SHR	RW	SHR RO	SHR X		

Figure 6. SPMP Encoding Table

Deny: Access fails.

Enforce: The R/W/X permissions are enforced on accesses.

EnforceNoX: The R/W permissions are enforced on accesses, while the X bit is forced to be zero.

SHR: It is shared between S/U modes with X, RX, RW, or ReadOnly privileges.

RSVD: It is reserved for future use.

SUM bit: The SPMP uses the **sstatus.SUM** (permit Supervisor User Memory access) bit to modify the privilege with which S-mode loads and stores access to physical memory. The semantics of **sstatus.SUM** in SPMP are consistent with those of the Machine-Level ISA (see the "Memory Privilege in mstatus Register" subsection).

2.6. Matching Logic

By default, when sseccfg. SMAL is clear:

- SPMP entries are statically prioritized, similar to PMP entries
- The lowest-numbered SPMP entry that matches any byte of access (indicated by an address and the accessed length) determines whether that access is allowed or denied
- The SPMP entry must match all bytes of access, or the access fails
- This matching is done irrespective of the S, R, W, and X bits

When sseccfg. SMAL is set:

• The union of permissions of any entries matching the access determines whether the access is

allowed or denied

- All SPMP entries must match all bytes of the access, or the access fails
- This matching is done irrespective of the S, R, W, and X bits

On some implementations, misaligned loads, stores, and instruction fetches may also be decomposed into multiple accesses, some of which may succeed before an exception occurs. In particular, a portion of a misaligned store that passes the SPMP check may become visible, even if another portion fails the SPMP check. The same behavior may manifest for stores wider than XLEN bits (e.g., the FSD instruction in RV32D), even when the store address is naturally aligned.

- 1. If the effective privilege mode of the access is M, the access is allowed;
- 2. If the effective privilege mode of the access is S and no SPMP entry matches, if sseccfg.SMWP is clear the access is allowed, otherwise if sseccfg.SMWP is set, the access is denied;
- 3. If the effective privilege mode of the access is U and no SPMP entry matches, but at least one SPMP entry is implemented, the access is denied;
- 4. Otherwise, the access is checked according to the permission bits in the matching SPMP entry. It is allowed if it satisfies the permission checking with the SRWX encoding corresponding to the access type.

2.7. SPMP and Paging

The table below shows which mechanism to use. (Assume both paged virtual memory and SPMP are implemented.)

satp	Isolation mechanism
satp.mode == Bare	SPMP only
satp.mode != Bare	Paged Virtual Memory only

SPMP and paged virtual memory cannot be active simultaneously for two reasons:

- 1. An additional permission check layer would be introduced for each memory access.
- 2. Sufficient protection is provided by paged virtual memory.

That means SPMP is enabled when satp.mode==Bare and SPMP is implemented.

Please refer to Table "Encoding of satp MODE field" in the riscv-privileged spec for detailed information on the satp.MODE field.



If page-based virtual memory is implemented, an SFENCE.VMA instruction with rs1=x0 and rs2=x0 is needed after writing the SPMP CSRs. If page-based virtual memory is not implemented, memory accesses check the SPMP settings synchronously, so no fence is needed. Please refer to hypervisor extension for additional synchronization requirements when hypervisor is implemented.

2.8. Exceptions

When an access fails, SPMP generates an exception based on the access type (i.e., load accesses, store/AMO accesses, and instruction fetches). Each exception has a different code.

The SPMP reuses page fault exception codes for SPMP faults since page faults are typically delegated to S-mode. S-mode software (i.e., OS) can distinguish between SPMP and page faults by checking satp.mode, since SPMP and paged virtual memory cannot be active simultaneously (as described in Section 2.7). SPMP proposes to rename page fault to SPMP/page fault for clarity.

Note that a single instruction may generate multiple accesses, which may not be mutually atomic.

Table of renamed exception codes:

Interrupt	Exception Code	Description
0	12	Instruction SPMP/page fault
0	13	Load SPMP/page fault
0	15	Store/AMO SPMP/page fault



Please refer to Table "Supervisor cause register (scause) values after trap" in the riscv-privileged spec for detailed information on exception codes.

Delegation: Unlike PMP, which uses access faults for violations, SPMP uses SPMP/page faults for violations. The benefit of using SPMP/page faults is that the violations caused by SPMP can be delegated to S-mode, while the access violations caused by PMP can still be handled by machine mode.

2.9. Context Switching Optimization

Context switching with SPMP requires storing 64 address and 8 configuration registers (RV64), creating significant overhead. To optimize this:

- In RV32: two XLEN-bit read/write CSRs called spmpswitch0 and spmpswitch1 are added, as depicted in Figure 7.
- In RV64: one XLEN-bit read/write CSR called spmpswitch0 is added, as depicted in Figure 8.

Each bit controls the activation of its corresponding SPMP entry. An entry is active only when both its spmpswitch[i] bit and spmp[i]cfg.A field are set, i.e., spmpswitch[i] & spmp[i]cfg.A!=0.



Figure 7. SPMP domain switch registers (spmpswitch0 and spmpswitch1), RV32.

63	
	WARL

Figure 8. SPMP domain switch register (spmpswitch0), RV64.



When spmpswitch is implemented and spmpcfg[i].A == TOR, an entry matches any

address y where:

- spmpaddr[i-1] ≤ y < spmpaddr[i]
- This matching occurs regardless of spmpcfg[i-1] and spmpswitch[i-1] values

The spmpswitch registers must be cleared on reset.

2.10. Access Methods of SPMP CSRs

How SPMP CSRs are accessed depends on whether the Sscsrind extension is implemented or not.

Indirect CSR access: The SPMP supports indirect CSR access if the Sscsrind extension is implemented. The Sscsrind defines 1 select CSR (siselect) and 6 alias CSRs (sireg[i]). Each combination of siselect and sireg[i] represents an access to the corresponding SPMP CSR.

siselect number	indirect CSR access of sireg[i]
siselect#1	sireg[1-6] → spmpcfg[0-5]
siselect#2	sireg[1-6] → spmpcfg[6-11]
siselect#3	sireg[1-4] → spmpcfg[12-15]
siselect#4	sireg[1-6] → spmpaddr[0-5]
siselect#5	sireg[1-6] → spmpaddr[6-11]
siselect#6	sireg[1-6] → spmpaddr[12-17]
siselect#7	sireg[1-6] → spmpaddr[18-23]
siselect#8	sireg[1-6] → spmpaddr[24-29]
siselect#9	sireg[1-6] → spmpaddr[30-35]
siselect#10	sireg[1-6] → spmpaddr[36-41]
siselect#11	sireg[1-6] → spmpaddr[42-47]
siselect#12	sireg[1-6] → spmpaddr[48-53]
siselect#13	sireg[1-6] → spmpaddr[54-59]
siselect#14	sireg[1-4] → spmpaddr[60-63]
siselect#15	sireg[1-2] → spmpswitch[0-1]

Direct CSR access: SPMP CSRs can be accessed directly with corresponding CSR numbers if the Sscsrind extension is not implemented.



The specific value of siselect#1-15 will be allocated after review by the Arch Review Committee.

Please refer to the Sscsrind extension specification for details on indirect CSR accesses: github.com/riscv/riscv-indirect-csr-access

Chapter 3. Extension of Resource Sharing

Given that PMP and SPMP have similar layout of address/config registers and the same address matching logic. Reusing registers and comparators between PMP and SPMP may be benefitial (in some cases) to save hardware resources. This section introduces the resource sharing extension that can support dynamic reallocation of hardware resource between PMP and SPMP. Notably, **this extension is not mandatory** and a specific implementation can still statically implement the numbers of regions in PMP and SPMP.

Implementations can consider PMP/SPMP entries as a resource pool (called PMP_Resource). Specifically, each PMP_Resource consists of an address CSR, a configuration CSR, and associated micro-architecture state. A new M-mode CSR called mpmpdeleg is introduced to control the sharing of PMP_Resource between PMP and SPMP.

In the following description, we will refer to the PMP/SPMP from the hardware perspective as PMP_Resource, and the PMP/SPMP from the software perspective as entry.

The 16-bit CSR shown in Figure 9 has one pmpnum field:

- 1. pmpnum is 7-bit, allowing a value of 0—64 to specify the number of PMP entries.
- 2. Any PMP_Resource greater than or equal to the pmpnum is delegated to S-mode (SPMP). The lower numbered PMP_Resource are left for M-mode (PMP). Delegating higher indexed PMP_Resource to SPMP enables implementations with a single priority tree.
- 3. M-mode could set pmpnum>=64 (the number of implemented PMP_Resource), to reserve all resources for PMP.
- 4. M-mode could set pmpnum=0 to delegate all PMP_Resource to SPMP.
- 5. The reset value of pmpnum is 0b100_0000.

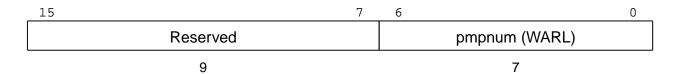


Figure 9. mpmpdeleg CSR format.

Constraints:

- 1. With RV32, the values of pmpnum, can only be a multiple of 4; with RV64, it can only be a multiple of 8. This design avoids sharing the same configuration CSR between S-mode and M-mode.
- 2. The pmpnum is a WARL field. Illegal writes (e.g., values that are not multiples of 4 (RV32) or 8 (RV64)) should be ignored.
- 3. If the SPMP entry with lowest CSR number is configured with TOR address-matching mode, zero is used for the lower bound.

Addressing:

Both PMP and SPMP entries will be supported contiguously. The PMP entries begin with the lowest CSR number, while the SPMP entries begin with mpmpdeleg.pmpnum. For instance, given an

implementation with a total of 64 PMP Resource entries, if mpmpdeleg.pmpnum is set to 16 during runtime, PMPResource[0] to PMPResource[15] would map to PMP[0] to PMP[15]. The remaining entries, PMPResource[16] to PMPResource[63], would be mapped as SPMP[0] to SPMP[47].

From a software perspective, the SPMP entries start from SPMP[0]. The available number of SPMP entries can be discovered by writing to and reading from the SPMP CSRs. Illegal writes to SPMP CSRs will be ignored.

Re-configuration:

M-mode software can re-configure the entries for PMP and SPMP by modifying the mpmpdeleg CSR. A re-configuration involves locked PMP entry will be ignored.

Chapter 4. Summary of Hardware Changes

Item	Changes
CSR for SPMP control	1 new CSR
CSRs for SPMP address	64 new CSRs
CSRs for SPMP configuration	16 new CSRs for RV32 and 8 for RV64
CSR for domain switch	2 new CSRs for RV32 and 1 for RV64
CSR for resource sharing	1 new CSR
Renamed exception code	Instruction page fault renamed to Instruction SPMP/page fault Load page fault renamed to Load SPMP/page fault Store/AMO page fault renamed to Store/AMO SPMP/page fault

Chapter 5. Interaction with other proposals

This section discusses how SPMP interacts with other proposals.

J-extension pointer masking proposal: When both PM and SPMP are used, SPMP checking should be performed using the actual addresses generated by PM (pointer masking).

Hypervisor extension: There are separate extensions (vspmp and hpmp) in development to support SPMP in guest OS and hypervisors.

Smstateen extension: SPMP adds readable and writable states in S-mode, which can be abused as a covert channel if the OS/hypervisor is not aware of SPMP (thus the states won't be context-switched). It is desired that SPMP occupies a bit in mstateen register of Smstateen extension, which can control supervisor access to SPMP states.