

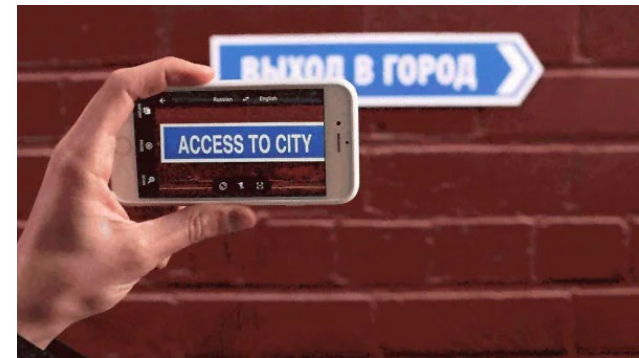
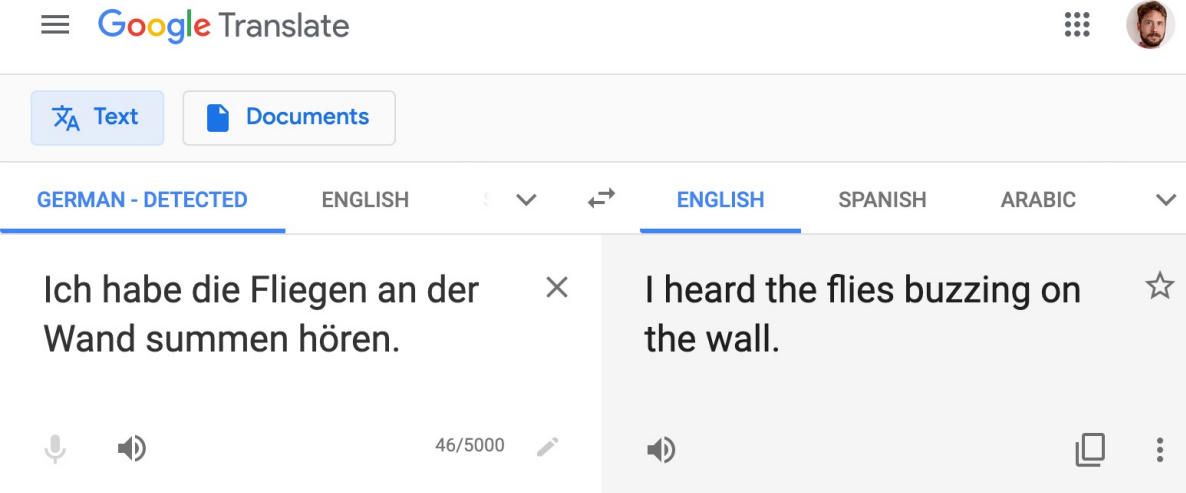
# Machine Translation

CS-585

Natural Language Processing

Derrick Higgins

# Machine Translation



# Machine Translation

- For a given sentence in the **source language**, predict the most likely sentence in the **target language**

$$\hat{W}_t = \operatorname{argmax}_{W_t} \Psi(W_s, W_t)$$

Source sentence      Target sentence

The diagram illustrates the machine translation process. It features the equation  $\hat{W}_t = \operatorname{argmax}_{W_t} \Psi(W_s, W_t)$ . Below the equation, the text 'Source sentence' is connected to  $W_s$  by a curved line, and 'Target sentence' is connected to  $W_t$  by a curved line. The  $W_t$  in the denominator of the argmax is also labeled with a curved line.

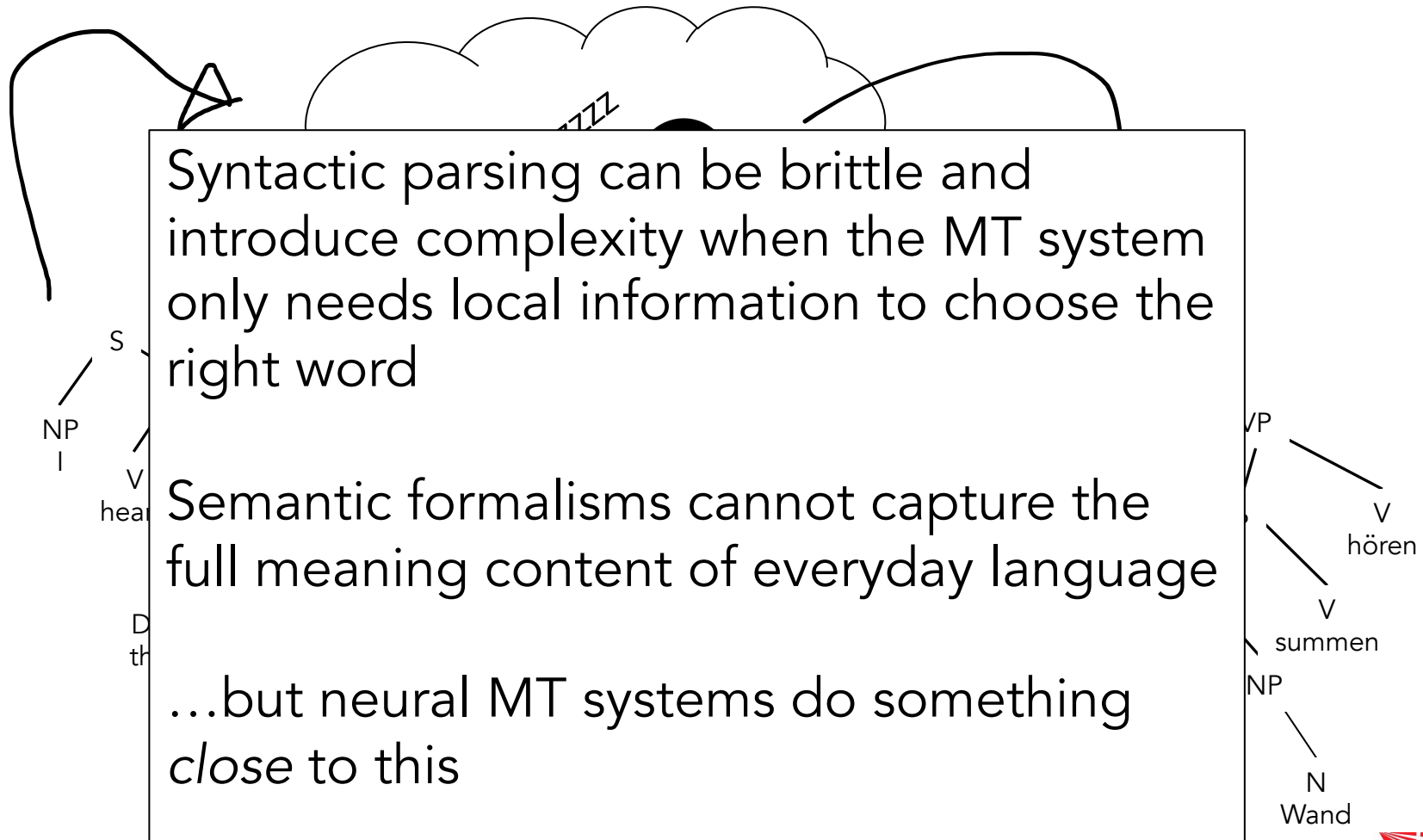
- Large search space: all sequences of words in the target language
- Hard to leverage locality assumptions

# Resources for Machine Translation

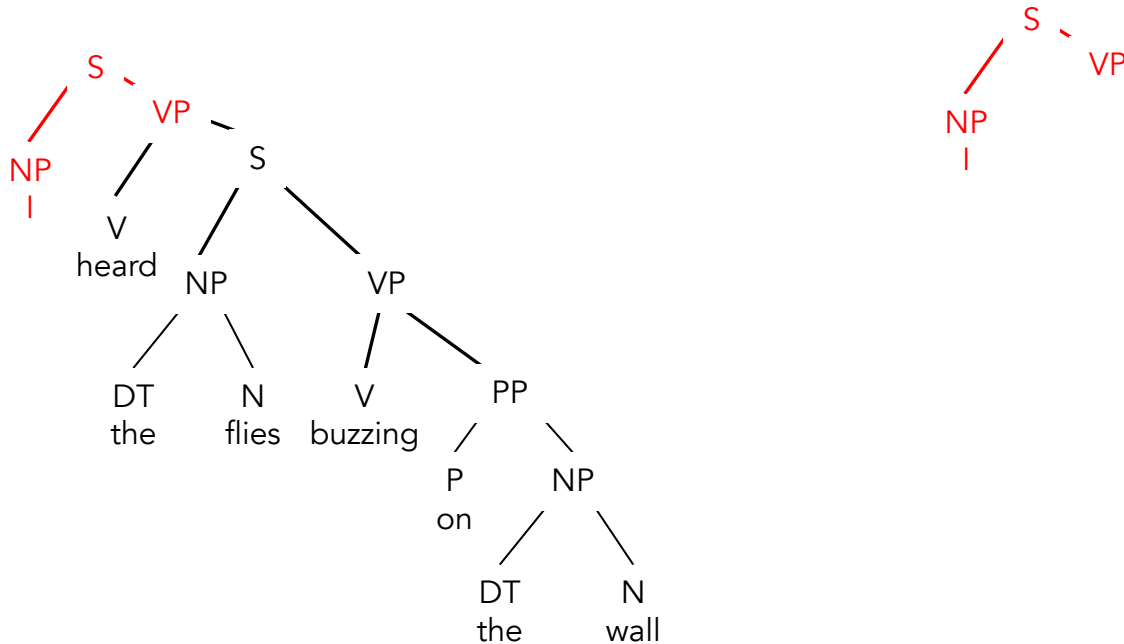
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- Typically, we have access to **parallel corpora**: data sets pairing source language sentences with their translations to the target language
  - Corpora available for high-resource language pairs
    - Hansards French-English
    - Europarl for many European language pairs
  - Lesser-resourced languages can be related to other languages by “pivoting”
- Also less-reliable **comparable corpora** -- e.g., news reports in multiple languages about the same event
- For evaluation, we may have multiple reference translations for each sentence

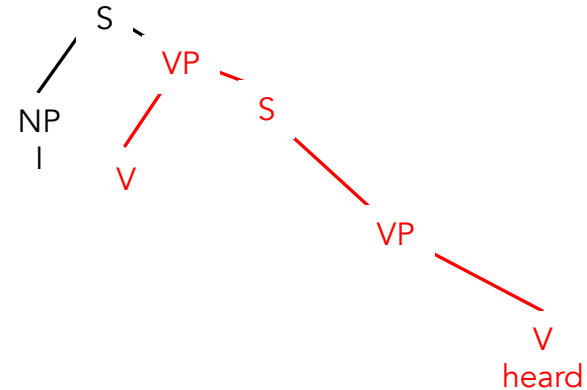
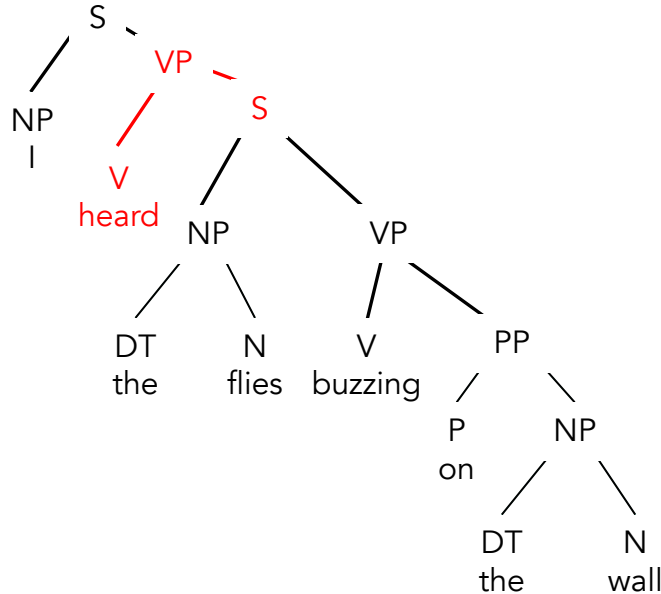
# How Machine Translation does NOT work



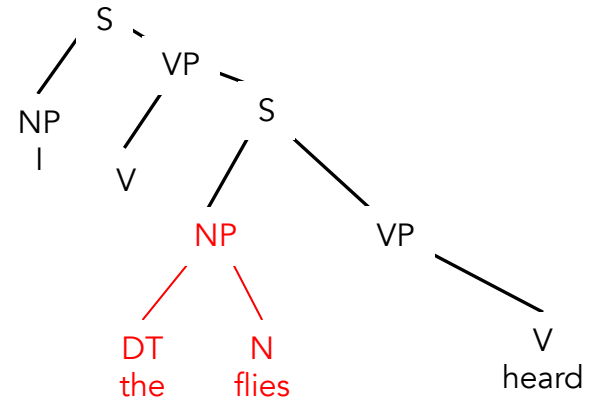
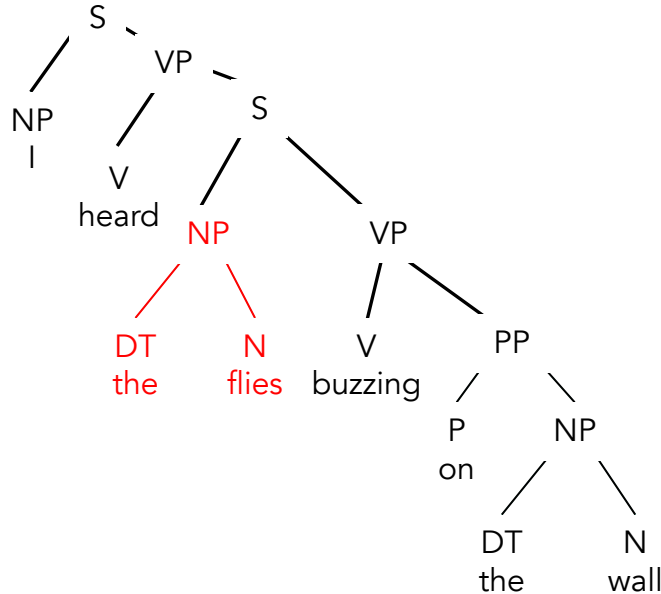
# How Machine Translation does NOT work



# How Machine Translation does NOT work

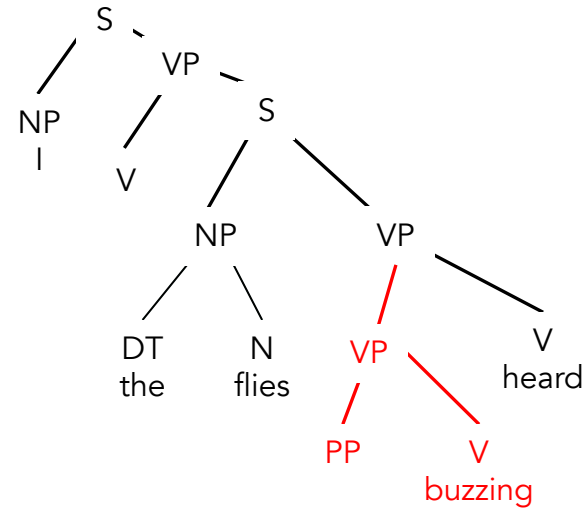
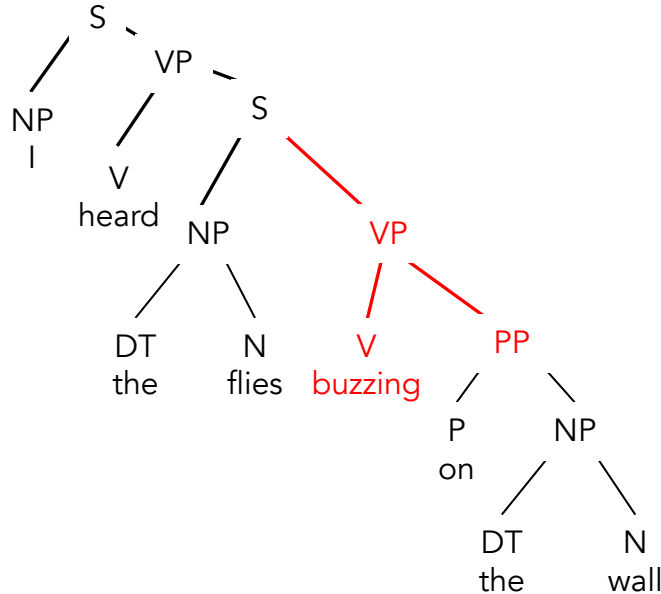


# How Machine Translation does NOT work

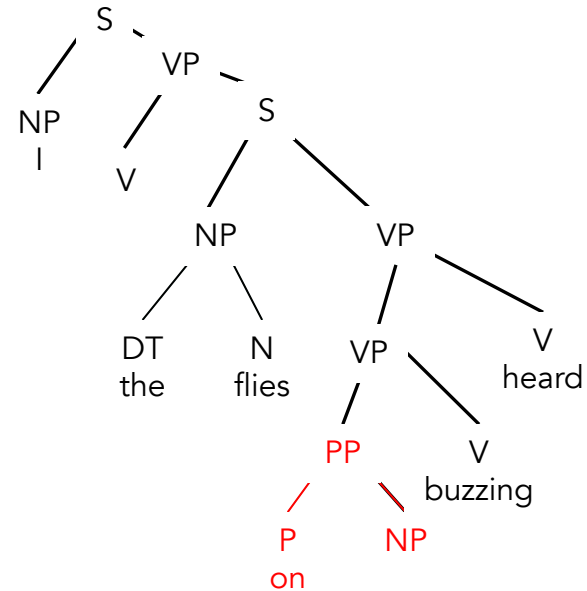
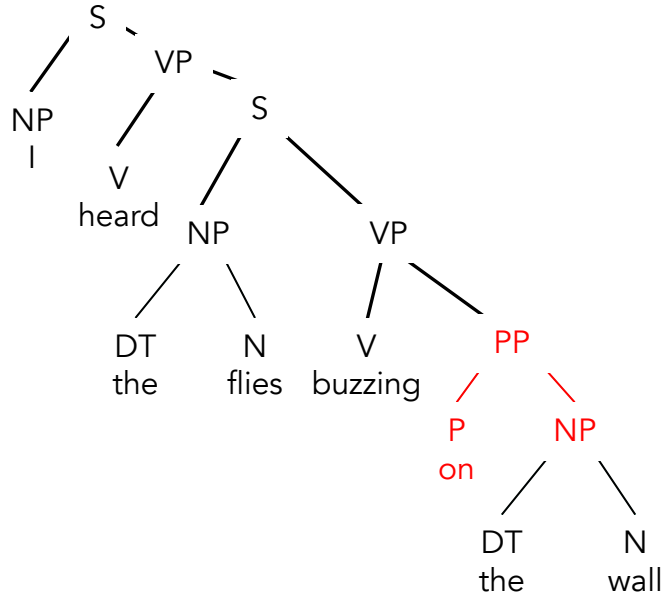




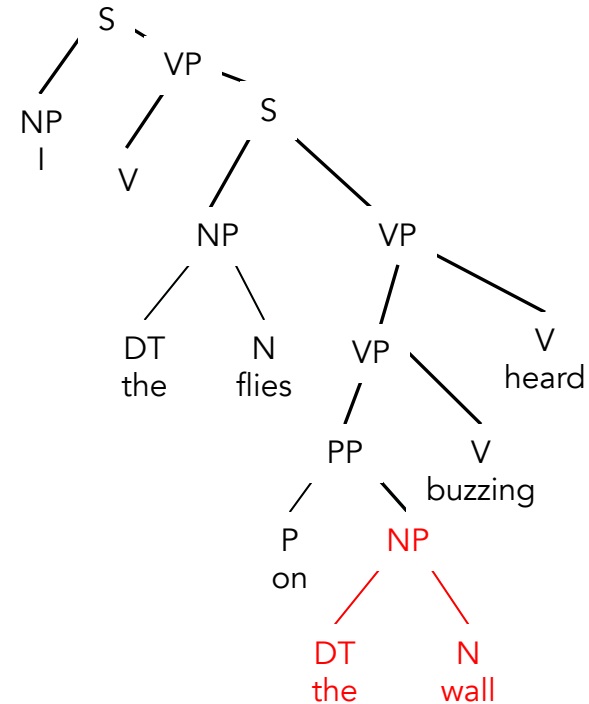
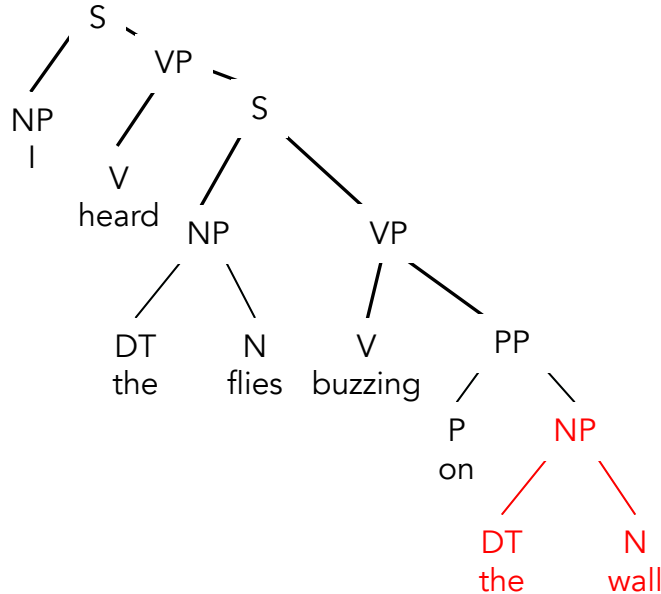
# How Machine Translation does NOT work



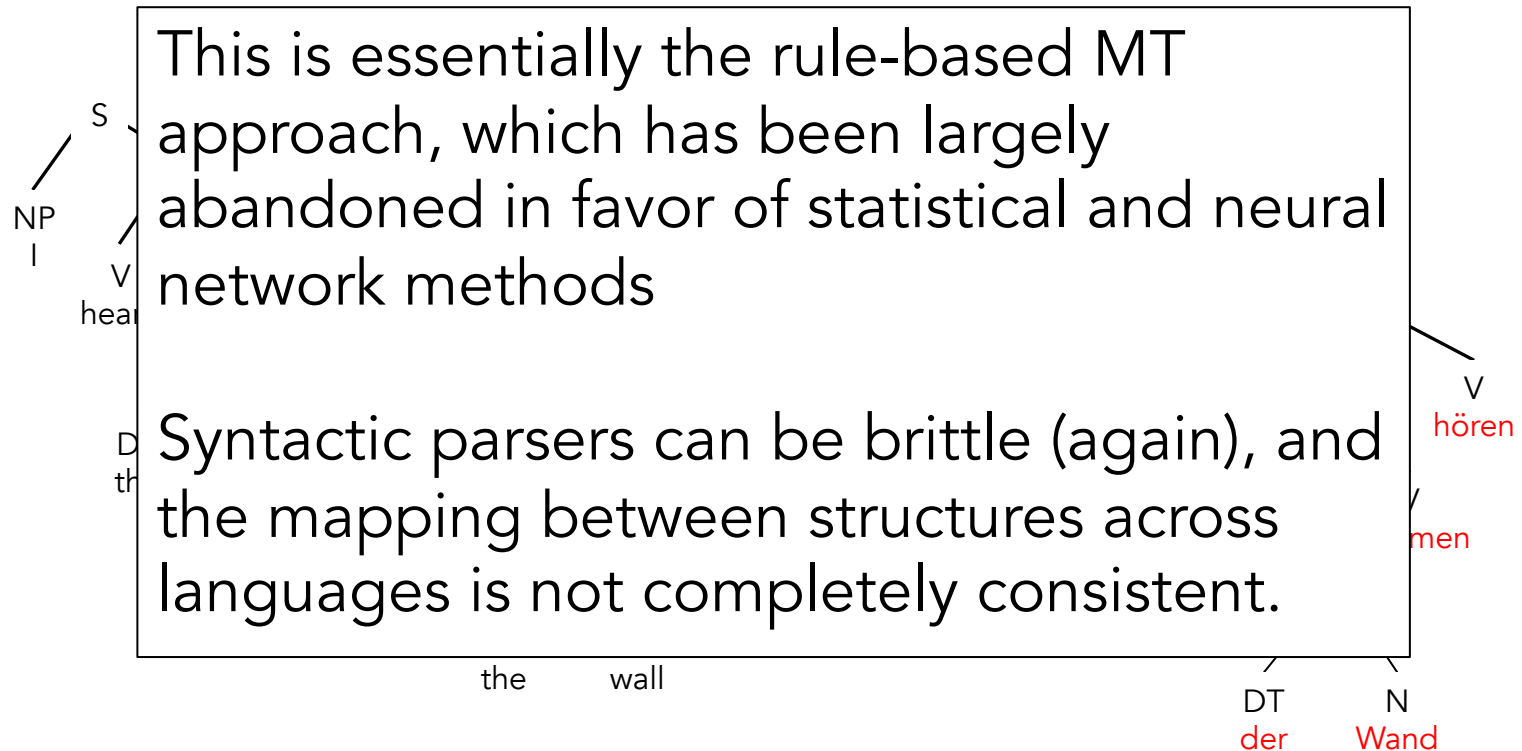
# How Machine Translation does NOT work



# How Machine Translation does NOT work



# How Machine Translation does NOT work



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# STATISTICAL MT

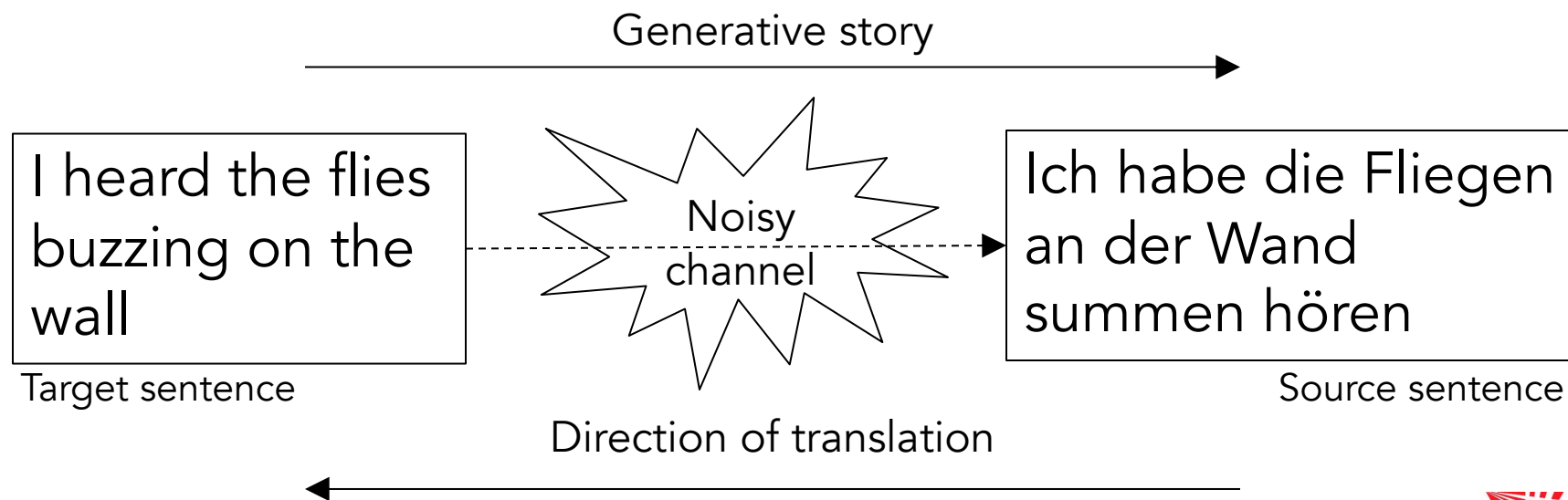
# The Noisy Channel Model

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- Statistical machine translation is based on the *noisy channel model*
  - Also used in data compression, speech recognition
- When we observe some sequence of symbols, we hypothesize that it actually came from a noisy encoding process
  - We started with a different symbol sequence
  - We passed it through a “noisy channel” that obscured the original message

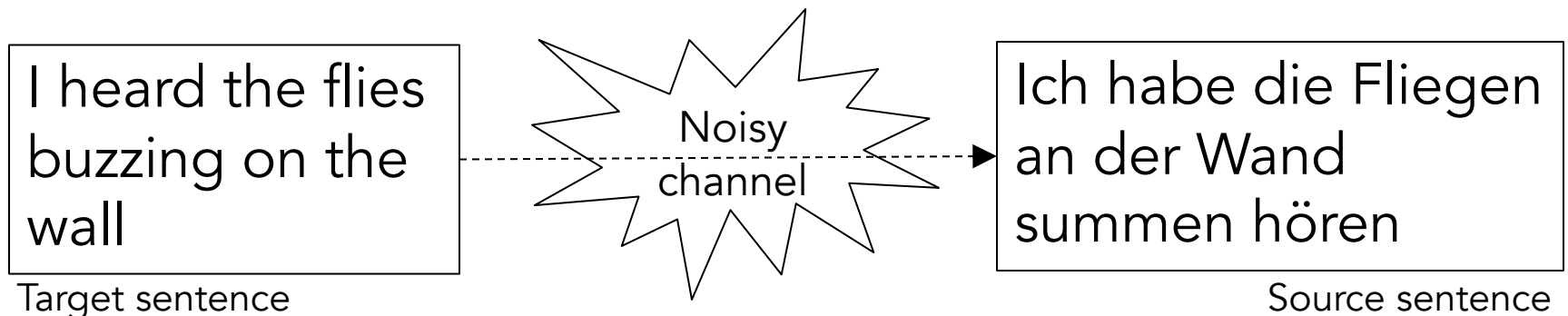
# The Noisy Channel Model

- In speech recognition, we observe a sequence of auditory signals, and hypothesize that they came from an underlying sequence of words
- In MT, we observe a sequence of German words and hypothesize that they came from an underlying sequence of English words



# The Noisy Channel Model

- The noisy channel model for statistical MT is a generative model, similar to HMMs in which we hypothesize that words are generated from a sequence of latent states (tags)



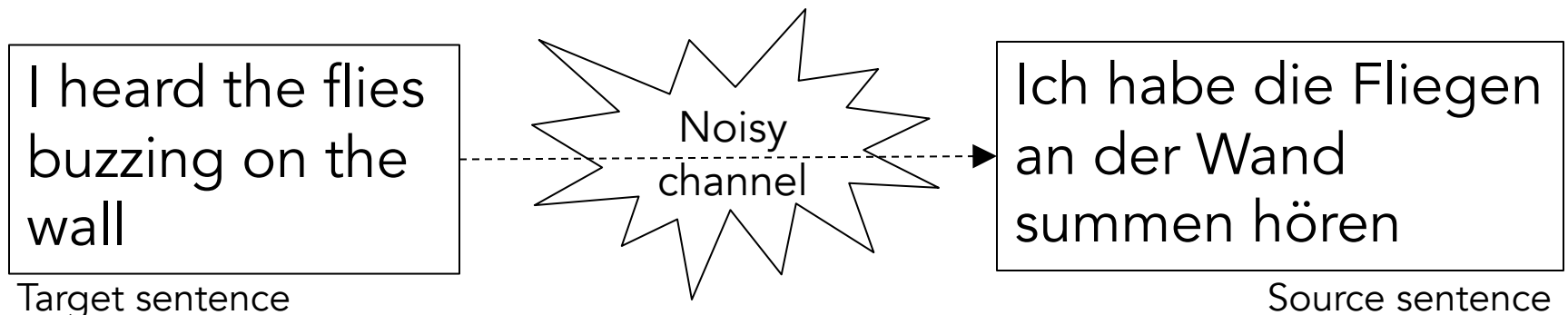


# The Noisy Channel Model

- What we care about is  $P(W_t|W_s)$ , the probability of the target sentence given the source sentence that we observe. By Bayes' rule:

$$P(W_t|W_s) = \frac{P(W_t)P(W_s|W_t)}{P(W_s)}$$

$$\operatorname{argmax}_{W_t} P(W_t|W_s) = \operatorname{argmax}_{W_t} (P(W_t)P(W_s|W_t))$$



# The Noisy Channel Model

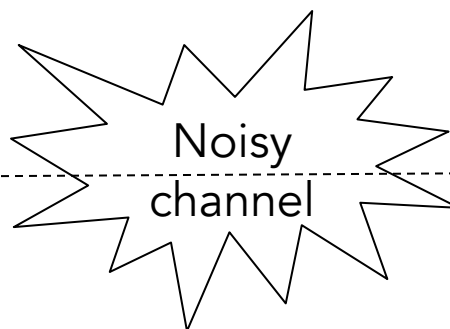
$$\operatorname{argmax}_{W_t} P(W_t|W_s) = \operatorname{argmax}_{W_t} \left( \underbrace{P(W_t)}_{\text{Language model}} \underbrace{P(W_s|W_t)}_{\text{Translation model}} \right)$$

Language model: how likely is a given sequence of words in the target language (English)

Translation model: how likely is a given sequence of words in the source language (German) given a sentence in the target language (English)

I heard the flies  
buzzing on the  
wall

Target sentence



Ich habe die Fliegen  
an der Wand  
summen hören

Source sentence

# Three Problems in Statistical MT

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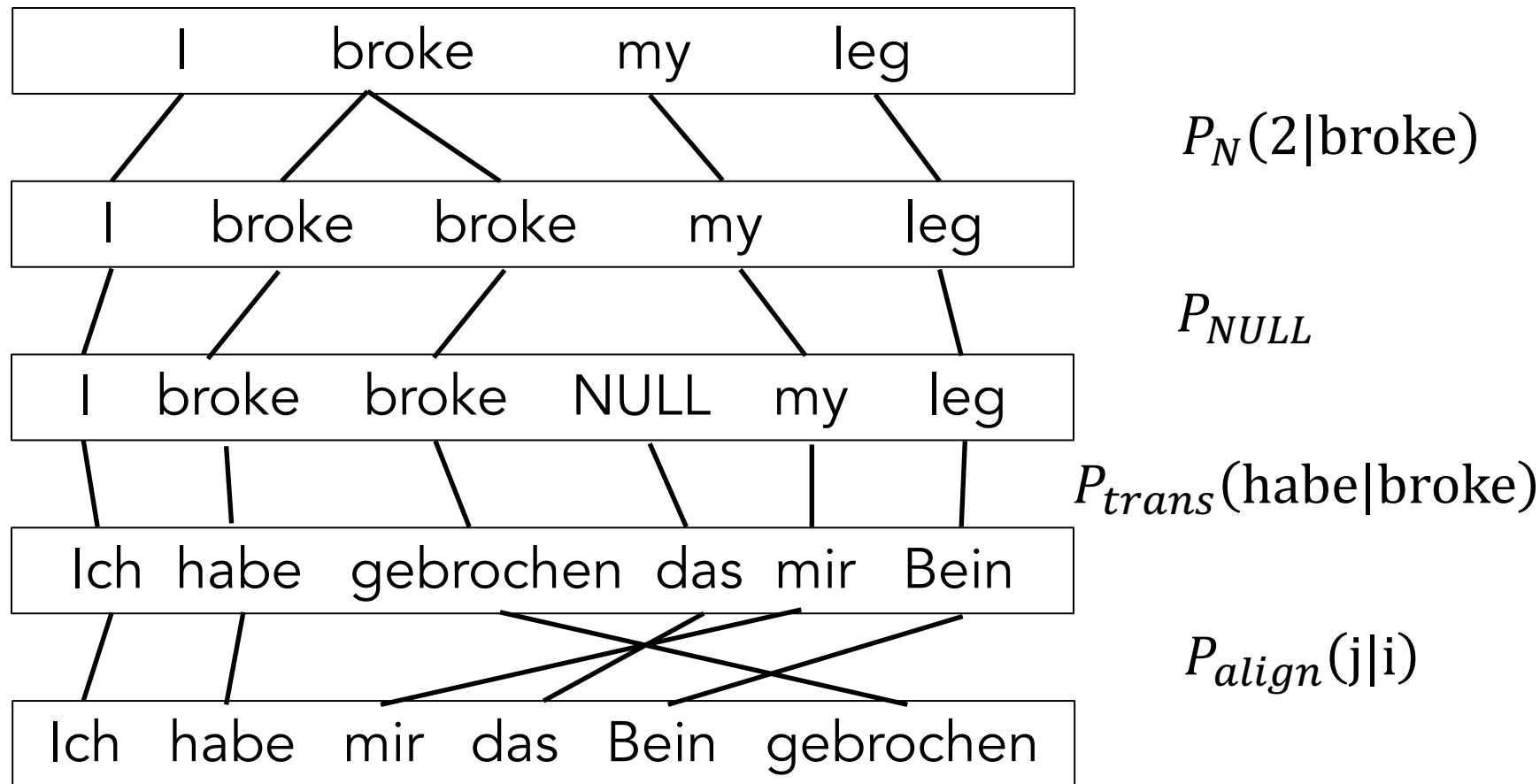
- Language model  $P(W_t)$ 
  - Assign high probabilities to well-formed sequences (English sentences) and low probabilities to random word sequences
  - We know how to do this!
- Translation model  $P(W_s|W_t)$ 
  - Assign high probabilities to sentences that look like translations of one another, and low probabilities to random sentence pairs
- Decoding algorithm
  - Given a language model, a translation model, and a new sentence  $W_s$  ... find translation  $W_t$  maximizing  $P(W_t)P(W_s|W_t)$

# Language model

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- Check.
- N-gram models
- Count smoothing
- Backoff and interpolation
- ...

# Translation model: generative story

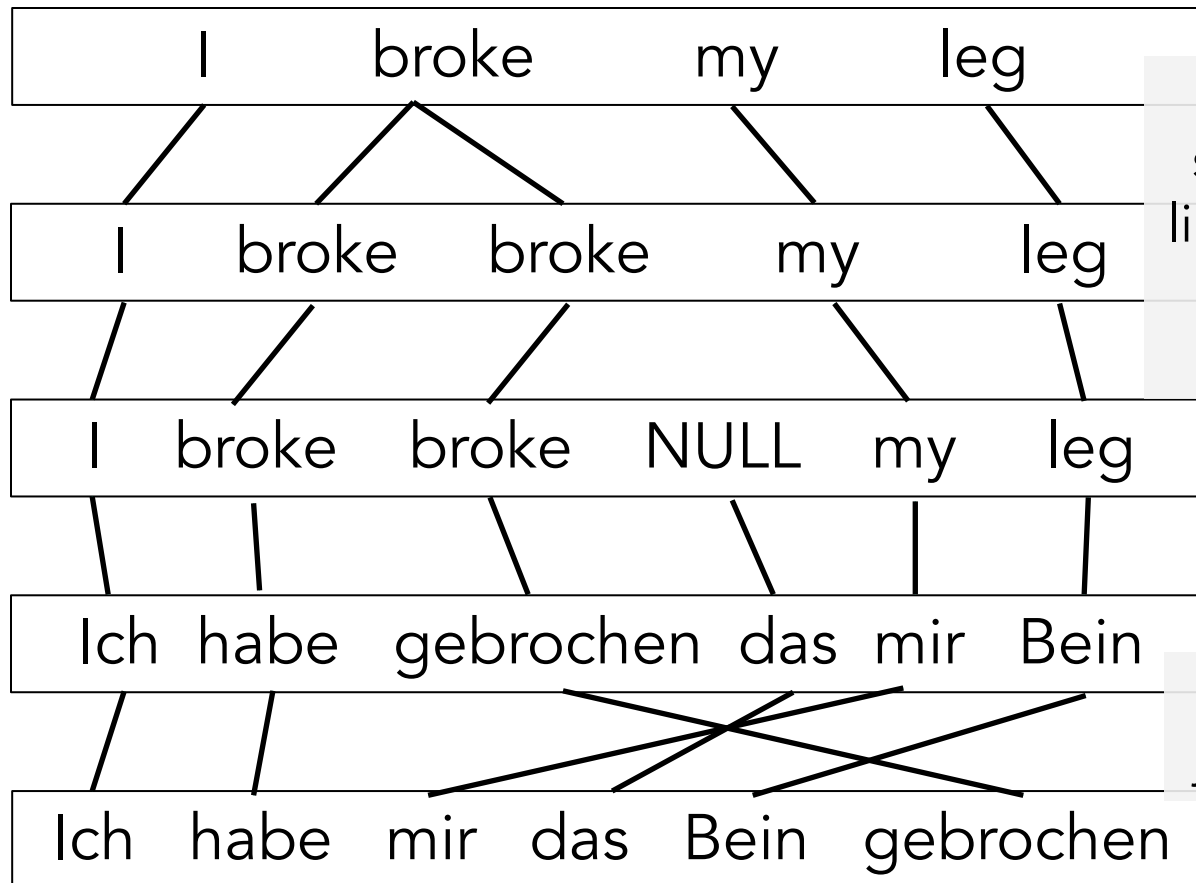


# Translation model: estimation

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- We need to estimate
  - Fecundity:  $P_N(n|w)$  – how often each word in the target language corresponds to multiple words in the source language
  - Null probabilities:  $P_{NULL}$  – the likelihood of a null insertion
  - Translation probabilities:  $P_{trans}(w_s|w_t)$  – the probability of each source word being generated by a given target word
  - Alignment probabilities:  $P_{align}(j|i)$  = the likelihood of a word at position  $i$  aligning with a word at position  $j$

# Translation model: estimation



If we had a bunch of sentences annotated like this, we could just use maximum-likelihood estimation

Can we do it with just sentence pairs?

# Translation model: estimation

---

- So, if we knew the word alignments, we could estimate all the necessary parameters of our model
- And if we had the model parameters, we could figure out the most likely alignments of words between sentences
- So, we're stuck.
- Or are we?



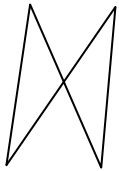
# Remember EM?

---

- We used it for unsupervised learning of HMMs and Naïve Bayes
- Iteratively learn latent structure and model parameters for a generative model
- Expectation: Calculate the expected values of latent variables (alignments) using model parameters
- Maximization: Update model parameters to maximize likelihood of data under hypothesized alignments

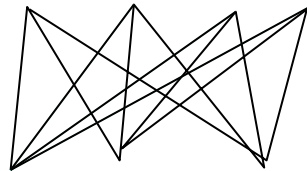
# EM for Alignment

...we eat...



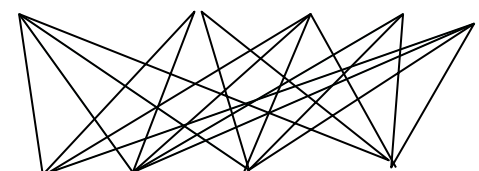
...wir essen...

...you want to eat...



...Sie wollen essen...

...because we want to eat...



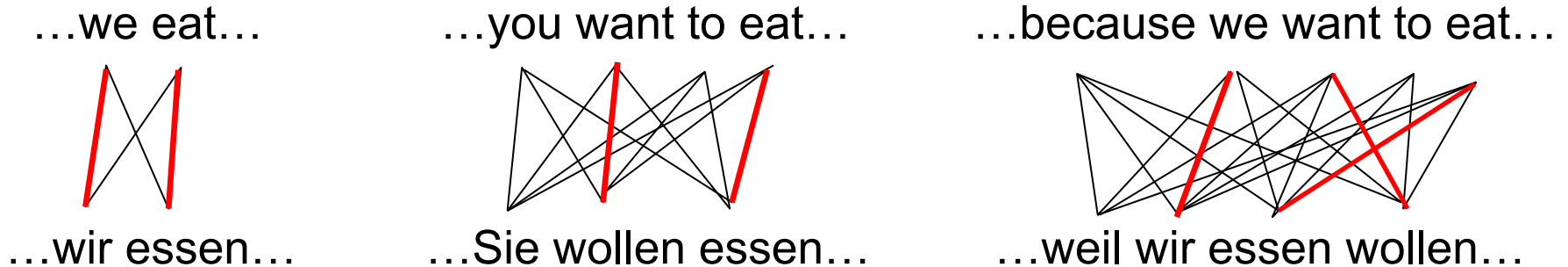
...weil wir essen wollen...

Initialization: assume all word alignments are equally probable

$$\forall w_s^1, w_s^2: P_{trans}(w_s^1 | w_t) = P_{trans}(w_s^2 | w_t)$$

A given English word is equally likely to be the translation of any German word

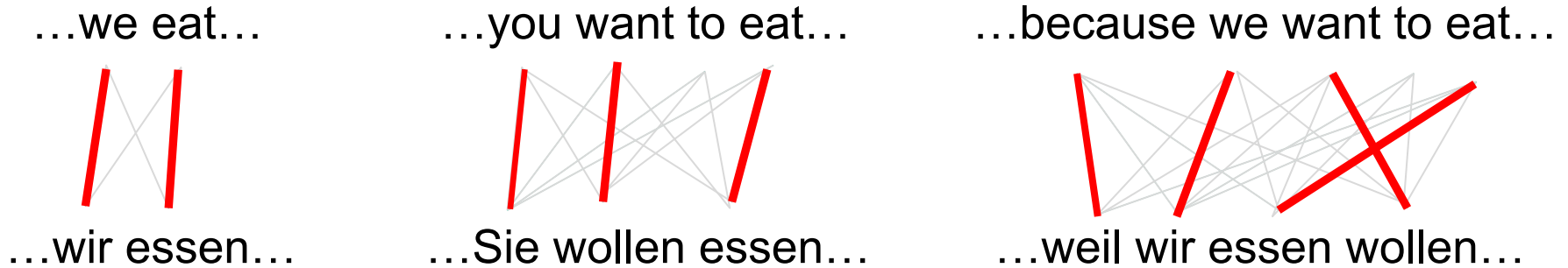
# EM for Alignment



Certain words appear together frequently as possible alignments

$P_{trans}(\text{wollen}|\text{want})$  goes up

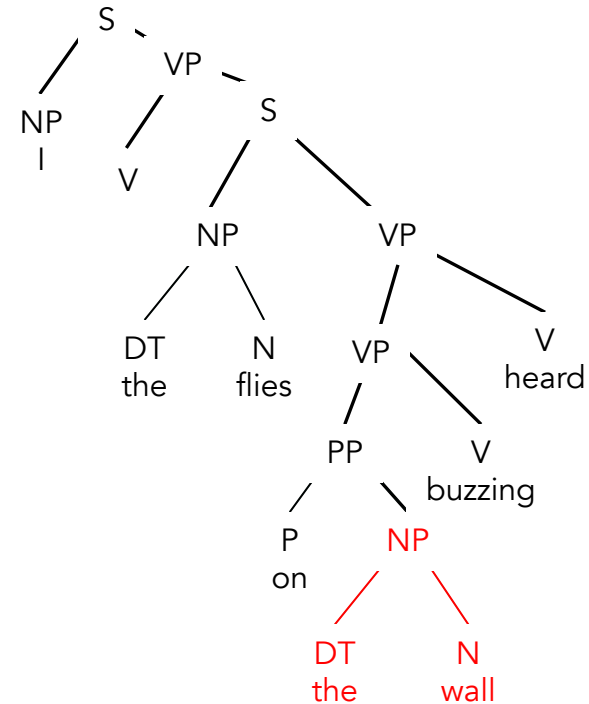
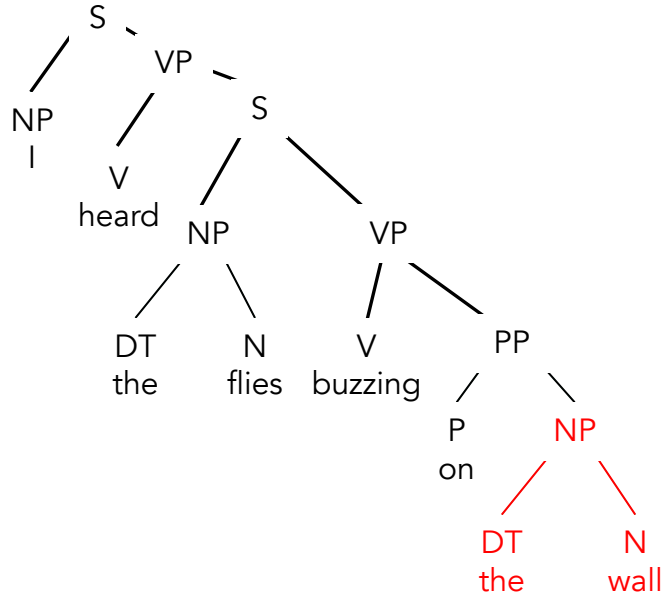
# EM for Alignment



Associations are strengthened after a few iterations

Latent alignments are uncovered by EM algorithm

# Remember: How Machine Translation does NOT work



# Remember: How Machine Translation does NOT work

---

- We discussed a naïve approach of using syntactic transformations to alter the structure from the source language into one suitable for the target language, and then swapping in the right words
- This is not how MT works, but there are similarities with the statistical approach
  - The alignment probabilities express structural differences between languages
  - The translation probabilities between words are a lot like the relexicalization step of the naïve model

# Decoding for statistical MT

---

- Decoding: find the most likely translation for a given sentence in the source language

$$\operatorname{argmax}_{W_t} (P(W_t)P(W_s|W_t))$$

- Here as well, we can use the Viterbi algorithm to decode efficiently
  - Keep track of best path resulting in a given partial analysis with specific alignment characteristics

# Phrase-based machine translation

---

- So far, we have been talking about word-based statistical MT: each source word is aligned with at most one target word
- This has some drawbacks
  - Some weird alignments: `mir` — `my`
  - Asymmetry: if we're translating German to English, we can have multiple German words aligned with an English word, but not vice-versa
  - Non-compositional meaning: "hot potato", "hard drive", "real estate"
  - Difficulty in handling widely-differing word orders (German verb-final)



# Phrase-based machine translation

---

I	heard	the flies	buzzing	on the wall	
Ich	habe	die Fliegen	an der Wand	summen	hören

- An alternative is *phrase-based* MT
- Source (foreign) input segmented in to phrases
- Each phrase is probabilistically translated into English
  - $P(\text{on the wall} | \text{an der Wand})$
  - Huge table of translation probabilities
- Phrases are then probabilistically re-ordered

# NEURAL MT

# Machine translation with neural networks

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- Primary framework: *encoder-decoder* model
- Source sentence is encoded using one part of the model to produce a meaning representation (a vector)

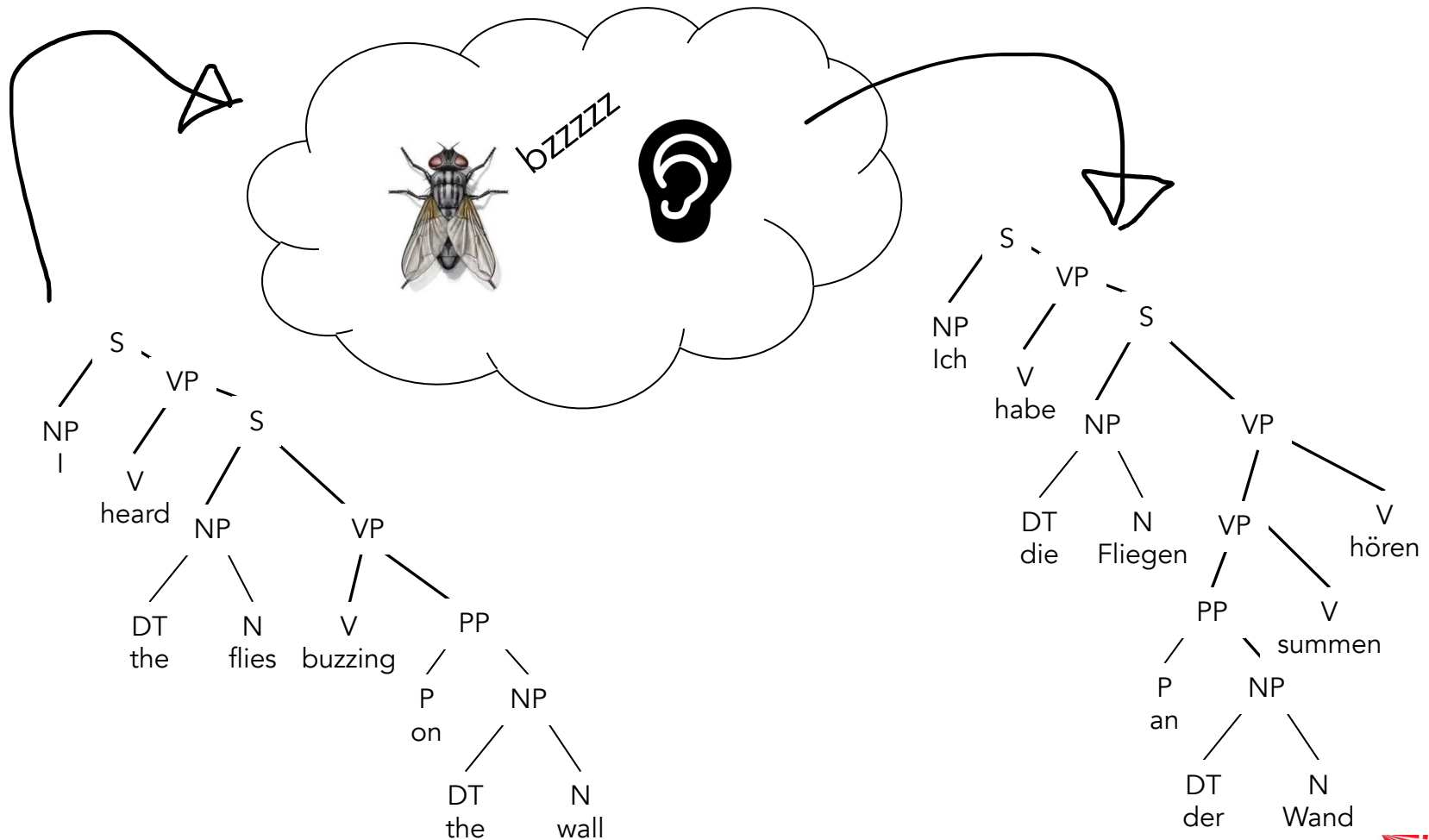
$$z = \text{Encode}(W_s)$$

- That vector is fed to a decoder model that predicts each word of the target sentence in turn (or an **END** token)

$$W_t = \text{Decode}(z), \text{ or}$$

$$W_t = \text{Decode}(z, W_s)$$

# Remember: How Machine Translation does NOT work



# Remember: How Machine Translation does NOT work

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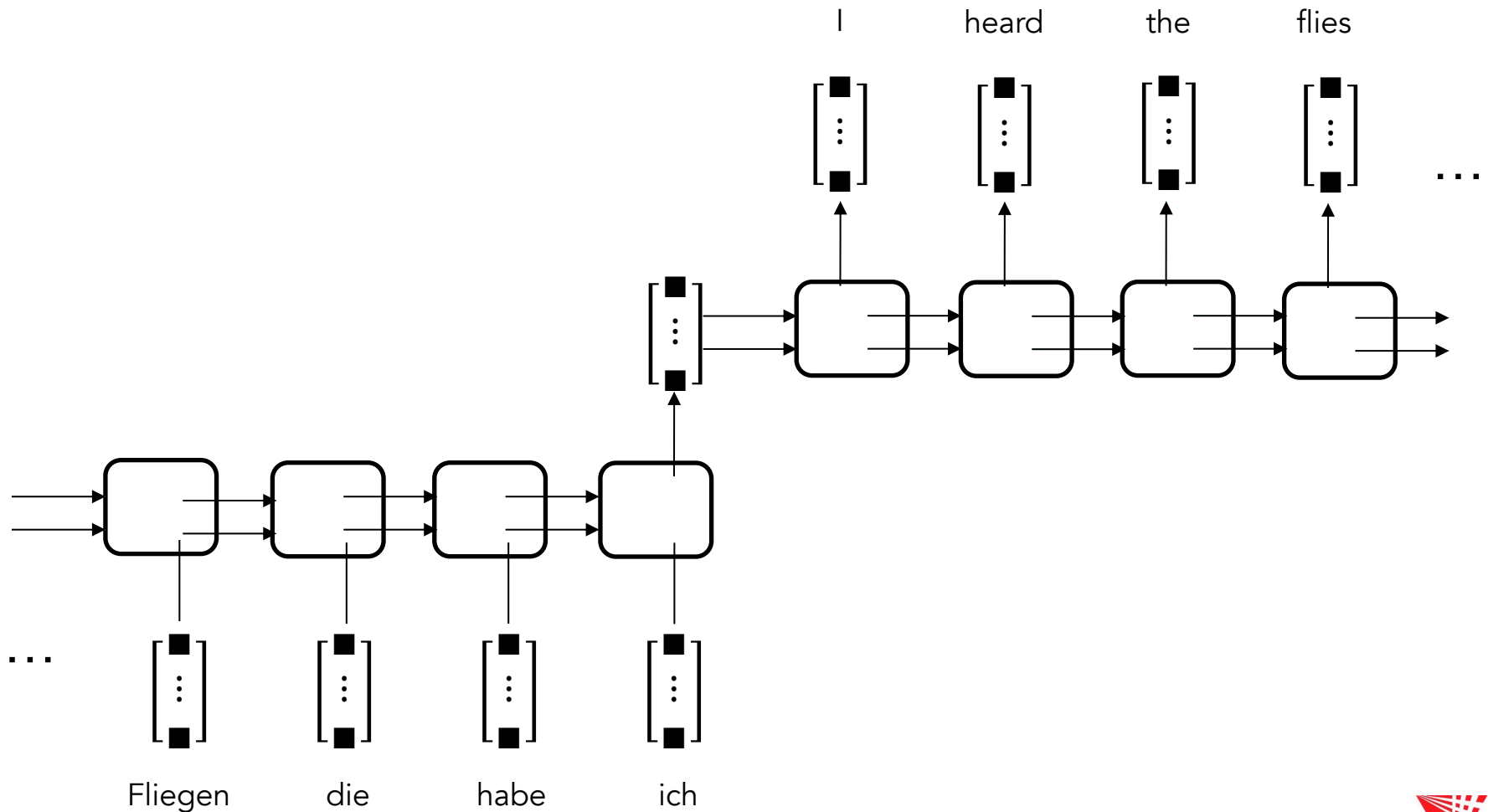
- We discussed a naïve approach of constructing a semantic representation for the meaning of the source sentence and then using this to generate an appropriate target sentence with appropriate syntactic structure and lexical choices
- Actually kind of similar to encoder-decoder model
  - But semantic representation is learned and not amenable to inspection
  - Syntactic generation rules are latent in structure of neural network

# seq2seq

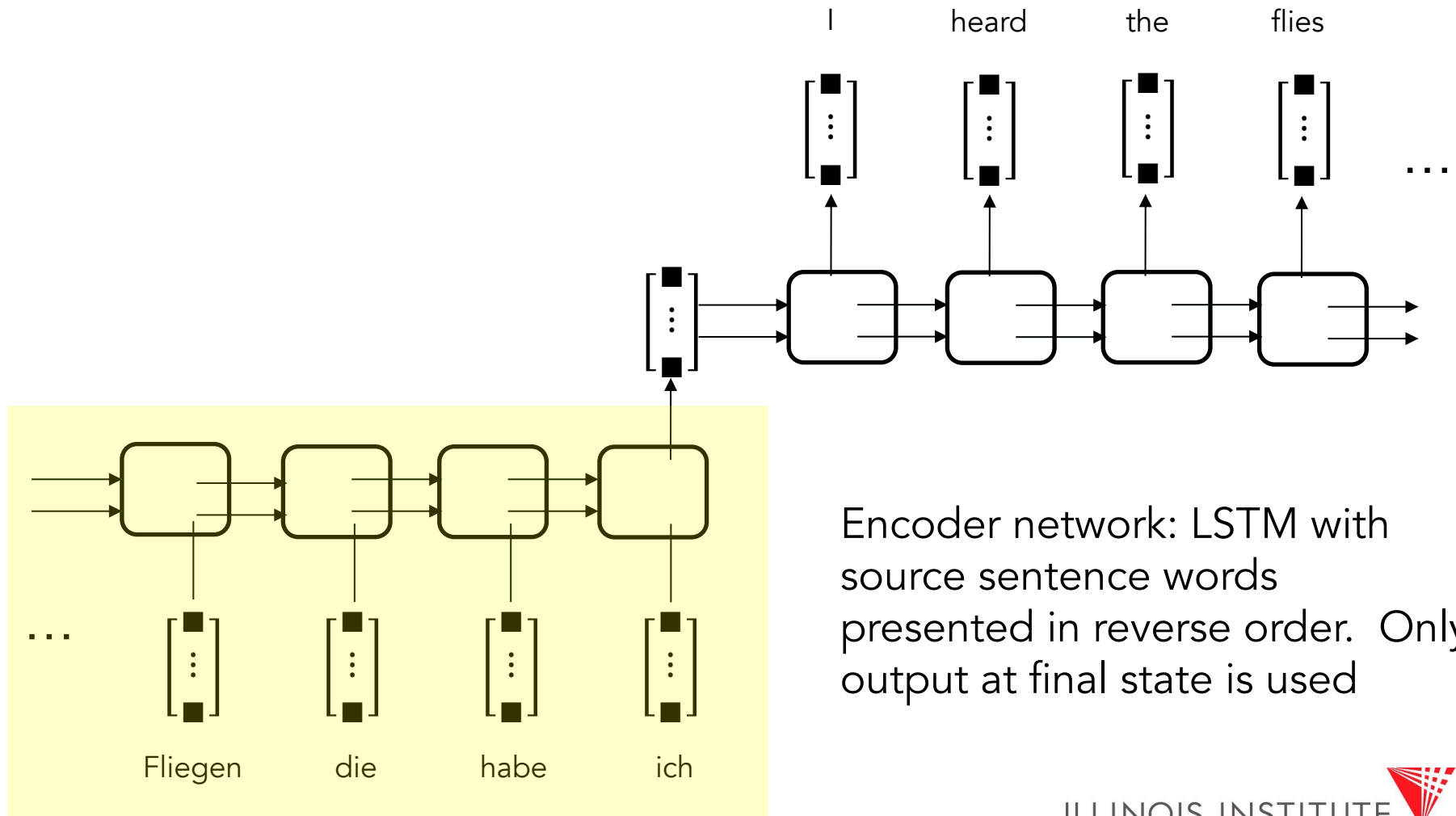
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- Different encoder-decoder models may have slightly different architectures
  - Encoder and decoder may be recurrent, convolutional, or transformers
  - Decoder may have inputs other than the encoder output  $z$
- The sequence-to-sequence (**seq2seq**) model is a relatively simple version of an encoder-decoder approach
  - Encoder and decoder are LSTMs
  - Decoder operates directly on the encoded representation  $z$ , with no other inputs

# seq2seq model

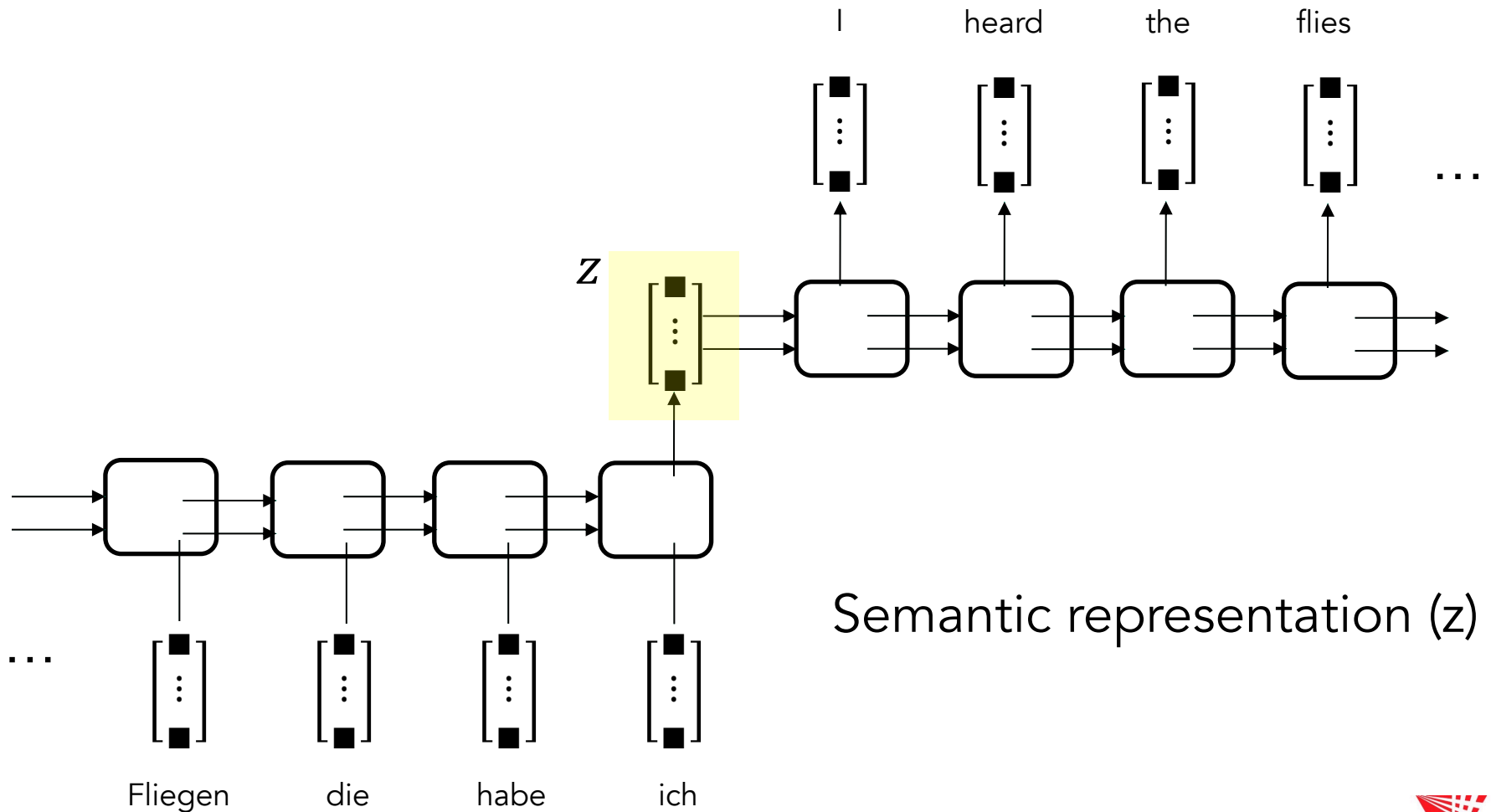


# seq2seq model



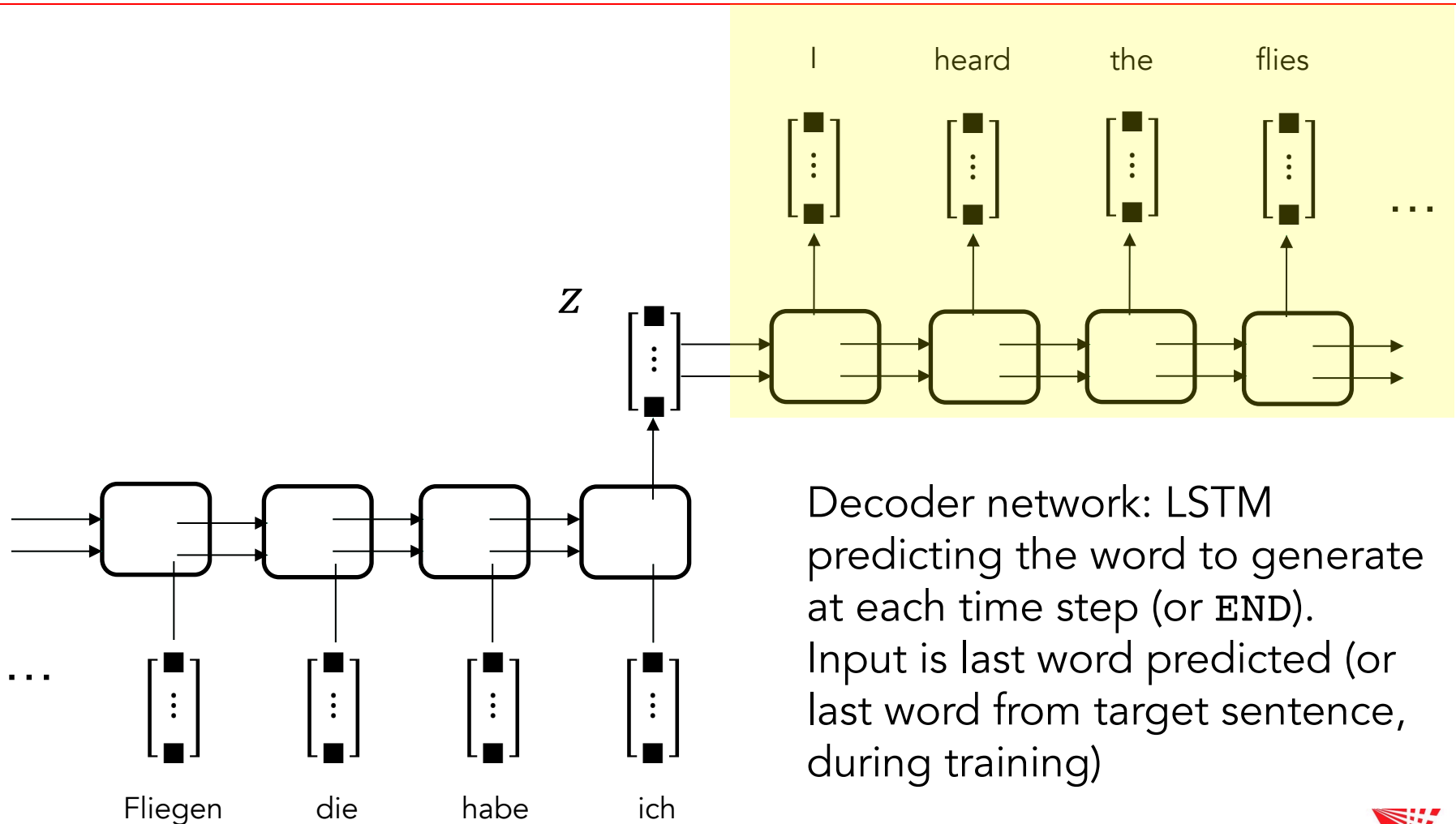


# seq2seq model



Semantic representation ( $z$ )

# seq2seq model



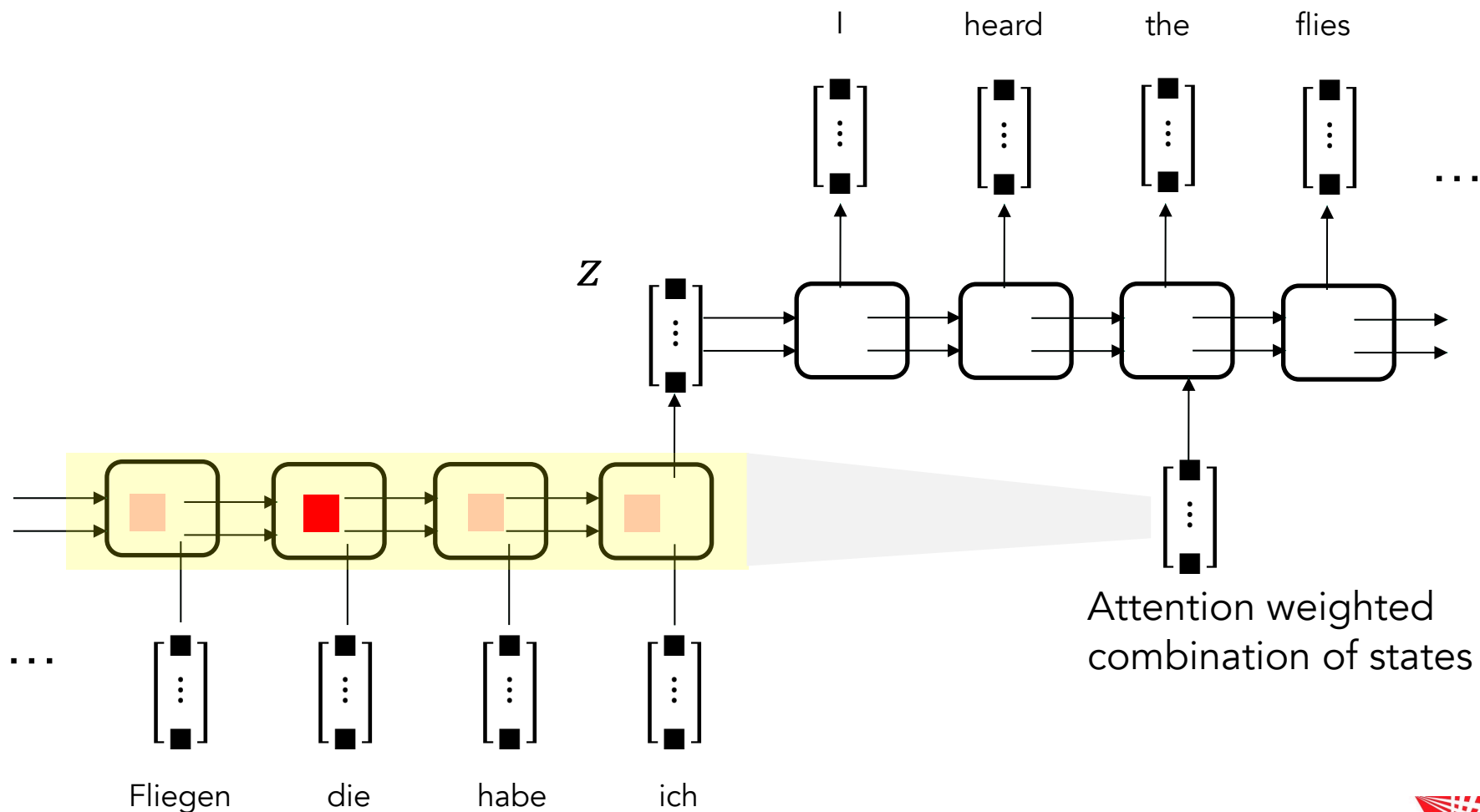
Decoder network: LSTM  
predicting the word to generate  
at each time step (or **END**).  
Input is last word predicted (or  
last word from target sentence,  
during training)

# Attention for Neural MT

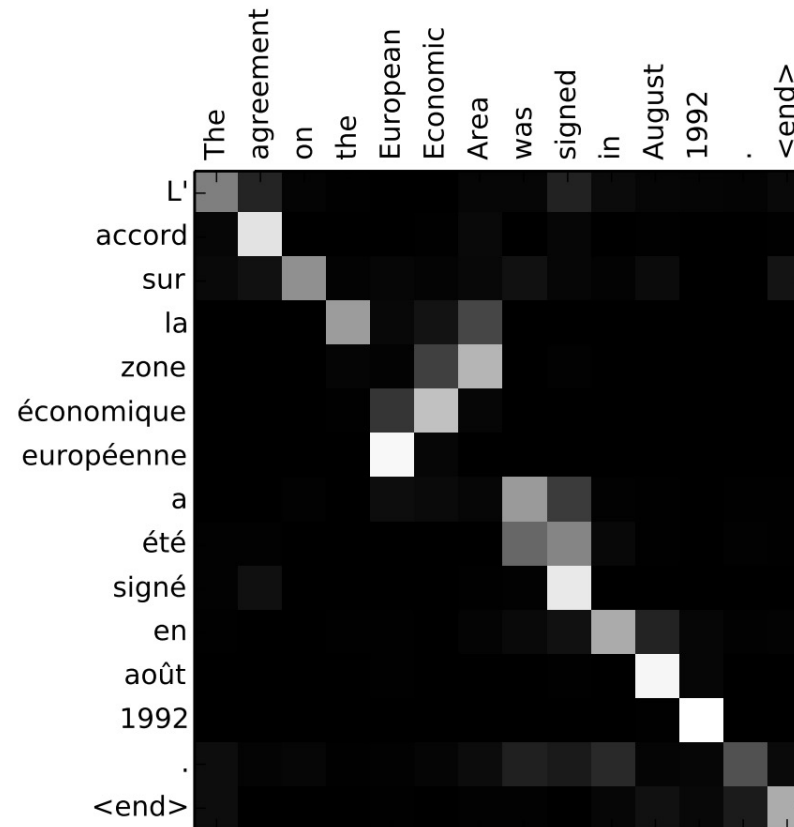
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- More complex neural translation models also use information from the source word sequence in the decoder phase
- An attention function is used to weight the representations at each word index from the source sentence
- Resulting attention weights are analogous to alignment links in statistical MT

# Attention



# Attention



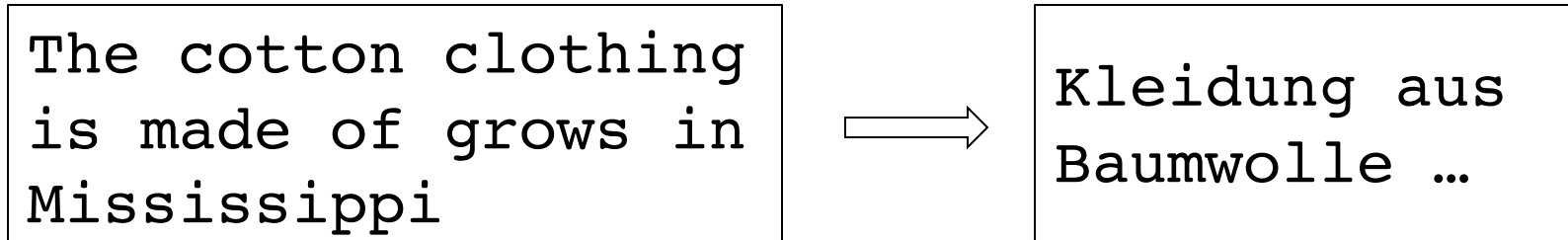
# Decoding for Neural MT

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- We can't use dynamic programming to find the translation with the highest overall score/probability in an encoder/decoder model
  - Since the score of a translation is potentially a function of all of the decisions made at every time step (expressed as the state of the decoder model), there is no equivalence class we can use to discard low-scoring partial analyses
- We could just choose the highest-probability word from the decoder as we go along...

# Decoding for Neural MT

- Choosing the highest-probability word from the decoder as we go is *greedy search*
- Could run into problems with garden-path structures, where a translation starts off looking promising, but runs into a dead end



- Alternatively, use *beam search* to retain the  $k$  (beam width) best hypotheses at each decoding step

---

# OUT OF VOCABULARY



# Out of vocabulary items

---

- Often, tokens in the source sentence will not occur in our vocabulary
  - No estimates of  $P_{trans}(w_s|w_t)$  for word-based statistical MT
  - No corresponding element of output vector in neural MT framework
- For example
  - Names: *Frank, Xu, Bucktown, Tottenham, 3M*
  - Morphologically complex terms: *chlorobenzene, hypokinesia, Bundesausbildungsförderungsgesetz*

# Out of vocabulary items

---

- Solution 1: carry over untranslated
  - Identify names that should be carried over
  - In statistical MT, set  $P_{trans}(w_s = \text{Frank} | w_t = \text{Frank}) = 1$
  - In neural MT, use special sentinel value to predict location of name
- Solution 2: subword units
  - Translate based on word pieces, byte pair encoded units, etc. instead of whitespace-delimited words

---

# MT EVALUATION

# The MT evaluation problem

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- We have
  - a source language sentence paired with a gold translation in the target language, produced by a human translator
    - Maybe more than one
  - a translation for that source language sentence produced by our MT system
- We want to know
  - How good is our translation?
  - Is it better than translations produced by other systems?

# Approach 1: exact match

Die einzige überstehende  
deutsche Mannschaft in  
der Achtelfinale  
Dortmund.

source

Dortmund was the only  
remaining German team in

Doesn't match a reference translation,  
therefore no credit.

Our translation is bad, but is it that  
bad?

The only out-  
German team in the  
second round was  
Dortmund.

predicted target translation

Dortmund was the sole  
German representative in  
the round of 16.

reference translations

# Approach 2: qualitative review

Die einzige überstehende deutsche Mannschaft in der Achtelfinale war Dortmund.

source

The only outstanding German team in the second round was Dortmund.

predicted target translation

Adequacy: 4/10  
Fluency: 9/10



Anthea Bell, German-English translator

# Approach 3: approximate metrics

Die einzige überstehende deutsche Mannschaft in der Achtelfinale war Dortmund.

source

The only outstanding German team in the second round was Dortmund.

predicted target translation

Dortmund was the only remaining German team in the round of 16.

Of the German sides, only Dortmund advanced to the round of 16.

Dortmund was the sole German representative in the round of 16.

reference translations

BLEU: 0.279

# BLEU Score

---

- The idea of the BLEU score is that better translations will have more word sequences that overlap with reference translations than bad translations do
- We can get a finer grained evaluation than exact match, but without the need to consult human translators for every prediction
- Related metrics are word error rate, ROUGE, and HyTER

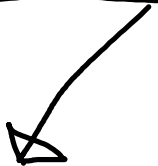


# BLEU Score: Details

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- Concretely, BLEU calculates an average of n-gram precision across different n-gram orders
  - N-gram: sequence of n adjacent words
  - N-gram orders: unigrams, bigrams, trigrams, etc.
  - How many of the words (or bigrams, or trigrams, etc.) in the predicted translation are found in the reference translations?

# BLEU Score: Details

$$\text{BLEU-4}(\hat{W}_t, W_t^{ref_1} \dots W_t^{ref_n})$$
$$= \left( \prod_{i=1}^4 \frac{\text{ModifiedPrecision}_i(\hat{W}_t, W_t^{ref_1} \dots W_t^{ref_n})}{\dots} \right)^{\frac{1}{4}}$$


Precision of words/n-grams in translation relative to reference

- Modified to prevent credit being given for including a word more often than it shows up in reference

BLEU tends to favor shorter translations, so a "brevity penalty" is also applied

# BLEU Example

Unigram precision:

9/11

Dortmund was the only remaining German team in the round of 16.

Of the German sides, only Dortmund advanced to the round of 16.

Dortmund was the sole German representative in the round of 16.

The only outstanding German team in the second round was Dortmund.

# BLEU Example

Bigram precision:  
4/10

The only outstanding  
German team in the  
second round was  
Dortmund.

the only  
only outstanding  
outstanding German  
German team  
team in  
in the  
the second  
second round  
round was  
was Dortmund

Dortmund was the only  
remaining German team in  
the round of 16.

Of the German sides, only  
Dortmund advanced to the  
round of 16.

Dortmund was the sole  
German representative in  
the round of 16.

# BLEU Example

Trigram precision:  
2/9

The only outstanding  
German team in the  
second round was  
Dortmund.

the only outstanding  
only outstanding German  
outstanding German team  
German team in  
team in the  
in the second  
the second round  
second round was  
round was Dortmund

Dortmund was the only  
remaining German team in  
the round of 16.

Of the German sides, only  
Dortmund advanced to the  
round of 16.

Dortmund was the sole  
German representative in  
the round of 16.

# BLEU Example

4-gram precision:  
1/8

The only outstanding  
German team in the  
second round was  
Dortmund.

the only outstanding German  
only outstanding German team  
outstanding German team in  
German team in the  
team in the second  
in the second round  
the second round was  
second round was Dortmund

Dortmund was the only  
remaining German team in  
the round of 16.

Of the German sides, only  
Dortmund advanced to the  
round of 16.

Dortmund was the sole  
German representative in  
the round of 16.