

# UMA205: Introduction to Algebraic Structures

Naman Mishra

January 2024

## Contents

**Lecture**  
**04:** Wed  
10 Jan  
'24

**Definition 0.1** (Cartesian product). Let  $A$  and  $B$  be sets. Then  $A \times B = \{(a, b) \mid a \in A, b \in B\}$  is called the *Cartesian product* of  $A$  and  $B$ .

This exists by virtue of the axiom of powers (0.1).

**Definition 0.2** (Relation). Let  $A$  and  $B$  be sets. Then a subset  $R$  of  $A \times B$  is called a (binary) *relation* from  $A$  to  $B$ . If  $B = A$ , we say that  $R$  is a relation on  $A$ .

We define some properties of relations.

**Definition 0.3.** Let  $R$  be a relation on a set  $A$ . We say that  $R$  is

- (i) **reflexive** if  $(a, a) \in R$  for all  $a \in A$ ,
- (ii) **symmetric** if  $(a, b) \in R \implies (b, a) \in R$ ,
- (iii) **antisymmetric** if  $(a, b) \in R \wedge (b, a) \in R \implies a = b$ ,
- (iv) **transitive** if  $(a, b) \in R \wedge (b, c) \in R \implies (a, c) \in R$ .

If  $R$  satisfies (i), (ii) and (iv), it is said to be an *equivalence relation*. We write  $a \sim_R b$  for  $(a, b) \in R$ .

If  $R$  satisfies (i), (iii) and (iv), it is a partial order. We write  $a \leq_R b$  or  $a \geq_R b$  for  $(a, b) \in R$ .

**Definition 0.4** (Equivalence class). Let  $X$  be a set and  $\sim_R$  an equivalence relation on  $X$ . The equivalence class associated with  $x \in X$  is

$$[x] = \{y \in X \mid y \sim_R x\}.$$

**Definition 0.5** (Partition). A (set) *partition* of a set  $X$  is a family  $\{X_\alpha \mid \alpha \in I\}$ , where  $I$  is some indexing set, such that,

- (i)  $X_\alpha \cap X_\beta = \emptyset$  for all  $\alpha \neq \beta \in I$ ,
- (ii)  $\bigcup_{\alpha \in I} X_\alpha = X$ .

This is also written as simply

$$\bigsqcup_{\alpha \in I} X_\alpha = X.$$

**Proposition 0.6** (Fundamental theorem of equivalence relations). Let  $X$  be a set and  $\sim_R$  an equivalence relation on  $X$ . Then the family of equivalence classes  $\{[x] \mid x \in X\}$  forms a partition of  $X$ . Conversely, every partition arises from an equivalence relation.

*Proof.* Exercise. □

**Definition 0.7.** Let  $X$  be a set and  $\sim_R$  an equivalence relation on  $X$ . Then the set  $X/\sim_R = \{[x] \mid x \in X\}$  is called the *quotient set* of  $X$  by  $R$ .

*Examples.*

- Consider  $\mathbb{N}$  with the relation  $a \sim_R b \iff a \equiv b \pmod{3}$ . The quotient set  $\mathbb{N}/R$  is  $\{[0], [1], [2]\}$ , which is morally the same as  $\{0, 1, 2\}$ .
- For any set  $A$  with the equality relation  $=$ , the quotient set  $A/=$  is the (morally) the same as  $A$ .
- Consider  $\mathbb{R}^2$  with  $(x, y) \sim (z, w)$  if  $x^2 + y^2 = z^2 + w^2$ . Then  $\mathbb{R}^2/\sim = \{[(r, 0)] \mid r \in \mathbb{R}\}$  which is morally just the set of non-negative reals.

**Definition 0.8** (Function). Let  $A$  and  $B$  be sets. A relation  $f$  from  $A$  to  $B$  is said to be a *function* if for all  $a \in A$ , there exists a unique  $b \in B$  such that  $(a, b) \in f$ .

$A$  is said to be the *domain*,  $B$  is said to be the *range* or *codomain* of  $f$ . For a subset  $C \subseteq A$ , the image of  $C$  under  $f$  is  $f(C) = \{f(a) \mid a \in C\}$ .

For a subset  $D \subseteq B$ , the *preimage* or *inverse image* of  $D$  under  $f$  is  $f^{-1}(D) = \{a \in A \mid f(a) \in D\}$ .

Note that  $f(C)$  exists by the axiom of replacement.

*Examples.*

- $A = B = \mathbb{N}$ ,  $f(a) = a_{++}$ . Then  $f(\mathbb{N}) = \mathbb{N} \setminus \{0\}$ .

$$f^{-1}(\{a\}) = \begin{cases} \{a-1\} & \text{if } a > 0 \\ \emptyset & \text{if } a = 0 \end{cases}$$

**Definition 0.9.** Two functions  $f$  and  $g$  with the same domain  $X$  and range  $Y$  are equal if  $f(x) = g(x)$  for all  $x \in X$ .

**Definition 0.10** (Composition). If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$ , then the *composition*  $g \circ f$  is a function  $g \circ f : X \rightarrow Z$  given by

$$(g \circ f)(x) = g(f(x)).$$

**Definition 0.11.** A function  $f : A \rightarrow B$  is said to be

- *injective*, if  $f(x) = f(y)$  implies  $x = y$ ,
- *surjective*, if  $f(A) = B$ ,
- *bijective*, if it is both injective and surjective.
- an *involution*, if  $f(f(x)) = x$  for all  $x \in A$ .

**Exercise 0.12.** Let  $f : A \rightarrow B$  be an involution. Show that  $f$  is bijective.

*Solution.*  $f$  is surjective since everything is in the range. Injective since  $f(x) = f(y) \implies f(f(x)) = f(f(y)) \implies x = y$ .

A function is bijective iff for any  $b \in B$  there is a unique  $a \in A$  such that

**Lecture  
05:** Fri  
12 Jan  
'24

$$f(a) = b.$$

**Definition 0.13.** Let  $f: A \rightarrow B$  be bijective. The *inverse* of  $f$  is the function  $f^{-1}: B \rightarrow A$  where  $f^{-1}(b)$  is the unique  $a \in A$  such that  $f(a) = b$ .

**Axiom 0.1** (Powers). Let  $X$  and  $Y$  be sets. Then there exists a set, denoted  $Y^X$ , consisting of all functions from  $X \rightarrow Y$ .

**Exercise 0.14.** Let  $X$  be a set. Then  $\{Y \mid Y \subseteq X\}$  is also a set.

*Solution.* The property  $P(F, X_F)$  given by

$$P(F, X_F) \iff F \in 2^X \wedge X_F = \{x \in X \mid F(x) = 1\}$$

is satisfied by at most one  $X_F$  for any  $F$ . Thus applying the axiom of replacement on  $2^S$  gives the desired set.

**Axiom 0.2** (Unions). Let  $A$  be a set whose elements are also sets. Then there exists a set, denoted  $\bigcup A$ , whose elements are the elements of the elements of  $A$ . Thus  $x \in \bigcup A \iff x \in S$  for some  $S \in A$ .

*Remarks.* This axiom implies ??.

Let  $I$  be a set such that  $A_\alpha$  is a set for all  $\alpha \in I$ . Then  $\{A_\alpha \mid \alpha \in I\}$  is a set by the axiom of replacement. Thus  $\bigcup_{\alpha \in I} A_\alpha$  is a set.

**Definition 0.15.** Two sets  $X$  and  $Y$  are said to have the same *cardinality* if there exists a bijection  $f: X \rightarrow Y$ .

Let  $n \in \mathbb{N}$ . If a set  $X$  has the same cardinality as  $\{0, 1, \dots, n-1\}$ , then  $X$  is said to be *finite* and have cardinality  $n$ .

**Definition 0.16.** A set  $X$  is *countably infinite* or *countable* if it has the same cardinality as  $\mathbb{N}$ , is *at most countable* if it is finite or countable, and is *uncountable* otherwise.

**Exercise 0.17.** Let  $m < n$  be naturals. Show that there is

- (i) no surjection from  $[m]$  to  $[n]$ <sup>1</sup>.
- (ii) no injection from  $[n]$  to  $[m]$ .
- (iii) a bijection from  $[a]$  to  $[b]$  iff  $a = b$ .

**Exercise 0.18** (Properties of countable sets).

- (i) If  $X$  and  $Y$  are countable, then so is  $X \cup Y$ .
- (ii) The set  $\{(n, m) \in \mathbb{N} \times \mathbb{N} \mid 0 \leq m \leq n\}$  is countable.
- (iii)  $\mathbb{N} \times \mathbb{N}$  is countable.

**Theorem 0.19.** Let  $X$  be an arbitrary set. Then  $X$  and  $2^X$  cannot have the same cardinality.

*Proof.* Let  $f: X \rightarrow 2^X$ . Consider  $A = \{x \in X \mid x \notin f(x)\} \subseteq X$ . So  $A \in 2^X$ . Since for any  $x \in X$ ,  $x \in A \iff x \notin f(x)$ , we have  $f(x) \neq A$  for all  $x \in X$ . Thus  $f$  is not surjective.  $\square$

**News:** Quiz 1 tomorrow. Material upto and including lecture 6.

**Lecture  
06:** Mon  
15 Jan  
'24

**Definition 0.20.** Let  $I$  be a possibly infinite indexing set and for all  $\alpha \in I$  let  $X_\alpha$  be a set. Then its (possibly infinite) Cartesian product is defined as

$$\prod_{\alpha \in I} X_\alpha = \left\{ (x_\alpha)_{\alpha \in I} \in \left( \bigcup_{\beta \in I} X_\beta \right)^I \mid x_\alpha \in X_\alpha \text{ for all } \alpha \in I \right\}$$

**Exercise 0.21.** For any sets  $I$  and  $X$ ,  $\prod_{\alpha \in I} X = X^I$ .

**Axiom 0.3** (Choice). Let  $I$  be a set and for all  $\alpha \in I$  let  $X_\alpha \neq \emptyset$ . Then  $\prod_{\alpha \in I} X_\alpha$  is non-empty.

**Definition 0.22.** A *choice function* on  $X$  is a function  $f: 2^X \setminus \emptyset \rightarrow X$  such that for all non-empty  $S \subseteq X$ ,  $f(S) \in S$ .

---

<sup>1</sup> $[n] = \{1, \dots, n\}$

**Fact 0.23.** The existence of a choice function for every  $X$  is equivalent to the axiom of choice.

*Remarks.* A variant of AoC is the *axiom of countable choice*, which requires  $I$  to be at most countable.

**Lemma 0.24.** Let  $E$  be a bounded above non-empty subset of  $\mathbb{R}$ . Then there exists a sequence  $(a_n)_{n \in \mathbb{N}}$  such that  $a_n \in E$  for all  $n$  and  $\lim_{n \rightarrow \infty} a_n = \sup E$ .

*Proof.* Let  $X_n = \{x \in E \mid \sup E - \frac{1}{n} \leq x \leq \sup E\}$ . Each  $X_n$  is non-empty. By AoC, there exists a sequence  $(a_n)_{n \in \mathbb{N}}$  such that for all  $n$ ,  $a_n \in X_n$ . Thus  $a_n \in E$  for all  $n$  and  $\lim_{n \rightarrow \infty} a_n = \sup E$ .  $\square$

**Definition 0.25.** Let  $(P, \leq)$  be a poset. A subset  $Y \subseteq P$  is called a *chain* or *totally ordered* if for any  $y, y' \in Y$ , either  $y \leq y'$  or  $y' \leq y$ .

**Definition 0.26.** Let  $(P, \leq)$  be a poset and  $Y \subseteq P$ . We say that  $y$  is a *minimal* (resp. *maximal*) element of  $Y$  if there is no  $y' \in Y$  such that  $y' < y$  (resp.  $y' > y$ ).

**Definition 0.27.** Let  $(P, \leq)$  be a poset and  $Y \subseteq P$  be a chain. We say that  $Y$  is *well-ordered* if every non-empty subset of  $Y$  has a minimal element.

**Axiom 0.3** (Well-ordering principle). Given any set  $X$ , there exists a well-ordering on  $X$ .

**Axiom 0.3** (Zorn's lemma). Let  $(X, \leq)$  be a non-empty poset such that every chain  $Y$  of  $X$  has an upper bound (there exists an  $x \in X$  such that  $y \leq x$  for all  $y \in Y$ ). Then  $X$  has a maximal element.

**Fact 0.28.** The axiom of choice, well-ordering principle, and Zorn's lemma are equivalent.

*Proof.* **Zorn  $\implies$  AoC.** Let  $X \neq \emptyset$  and let  $P$  be the set of ordered pairs  $(Y, f)$  where  $Y \subseteq X$  and  $f$  is a choice function on  $Y$ . Define

$(Y, f) \leq (Y', f')$  if  $Y \subseteq Y'$  and  $f'|_Y = f$ .  $P$  is non-empty because  $\{x\} \subseteq X$  has a choice function for all  $x \in X$ .

Let  $C$  be a chain in  $P$ . Then let  $\bar{Y} = \bigcup_{(Y, f) \in C} Y$  and define  $\bar{f}$  by setting  $\bar{f}(S) = f(S)$  for any  $f$  for which  $f(S)$  is defined. Then  $(\bar{Y}, \bar{f})$  is an upper bound for  $C$ .

By Zorn's lemma, there exists a maximal element of  $P$ , say  $(Y, f)$ . If  $x \in X \setminus Y$ , we can extend  $f$  to  $Y \cup \{x\}$  by defining  $f(S) = x$  for any  $S$  containing  $x$ . This contradicts the maximality of  $(Y, f)$ . Thus  $X \setminus Y$  must be empty, and so  $f$  is a choice function on  $X$ .

**AoC  $\implies$  Zorn.** Let  $P$  be a poset whose every chain has an upper bound. Suppose  $P$  has no maximal element. Pick  $x_0 \in P$  using a choice function. Since  $x_0$  is not maximal, there exists an  $x_1$  larger than  $x_0$ , and  $x_2$  larger than  $x_1$ , and so on. This gives a chain  $x_0 < x_1 < x_2 < \dots$ . But then  $x_\omega$  is an upper bound for this chain. This gives another chain  $x_\omega < x_{\omega+1} < \dots$ . But then  $x_{2\omega}$  is an upper bound for this chain.

Continuing in this way, we get a chain which is "larger" than  $P$  itself, a contradiction.

□