

# Assignment 2

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**Problem 2.1.** Let  $F$  and  $G$  be ordered fields with the LUB property. In Lecture 04, we defined  $h: F \rightarrow G$  as

$$h(z) = \sup_G \{w \in \mathbb{Q} : w \leq z\}.$$

Show that  $h$  is a field isomorphism, *i.e.*,

- (1)  $h$  is a bijection between  $F$  and  $G$ ,
- (2)  $h(x + y) = h(x) + h(y)$  for all  $x, y \in F$ ,
- (3)  $h(x \cdot y) = h(x) \cdot h(y)$  for all  $x, y \in F$ .

*Proof.* Lecture 4. □

**Problem 2.2.** In this problem, you may assume the well-definedness, commutativity and associativity of addition of Dedekind cuts (as defined in Lecture 04). Let  $O = \{z \in \mathbb{Q} : z < 0\}$ . Verify that  $O$  is a Dedekind cut, and  $A + O = A$  for all Dedekind cuts  $A$ . Let  $A$  be a Dedekind cut. Define a Dedekind cut  $B$  such that  $A + B = O$ . You must justify your answer.

*Proof.* Lecture 4. □

**Problem 2.3.** Let  $a = (a_n)_{n \in \mathbb{N}}$  and  $b = (b_n)_{n \in \mathbb{N}}$  be sequences of rational numbers such that  $b_n \neq 0$  for all  $n \in \mathbb{N}$ . Suppose

$$\lim_{n \rightarrow \infty} \frac{a_n}{b_n} = 1.$$

- (i) Are  $a$  and  $b$  equivalent?
- (ii) Are  $a$  and  $b$  equivalent if  $a$  is a  $\mathbb{Q}$ -bounded sequence?

*Solution.*

(i) No.  $a_n = n + 1$  and  $b_n = n$  gives a counterexample.

(ii) Yes.

Let  $a$  be bounded by  $M$ . Let  $n_0$  be such that for all  $n \geq n_0$ ,  $\frac{1}{2} < \frac{a_n}{b_n}$ . Then, for all  $n \geq n_0$ ,  $|b_n| < 2|a_n| \leq 2M$ . Thus  $b$  is bounded.

Let  $\varepsilon > 0$ . Let  $N$  be such that for all  $n \geq N$ ,

$$\left| \frac{a_n}{b_n} - 1 \right| < \frac{\varepsilon}{2M}.$$

Then for all  $n \geq N$ ,

$$\begin{aligned} |a_n - b_n| &= |b_n| \left| \frac{a_n}{b_n} - 1 \right| \\ &< 2M \frac{\varepsilon}{2M} \\ &= \varepsilon. \end{aligned}$$

■

**Problem 2.4.** You cannot use the existence (or the LUB property) of the ordered field of real numbers in this problem, so you must work “within”  $\mathbb{Q}$ .

- (1) Show that every monotone  $\mathbb{Q}$ -bounded monotone sequence of rational numbers is  $\mathbb{Q}$ -Cauchy.
- (2) Consider the following sequence:

$$x_n = \begin{cases} 2, & \text{if } n = 0, \\ x_{n-1} - \frac{x_{n-1}^2 - 2}{2x_{n-1}} & \text{if } n \geq 1. \end{cases}$$

Confirm that  $(x_n)_{n \in \mathbb{N}}$  is well-defined, *i.e.*,  $x_n \neq 0$  for all  $n \in \mathbb{N}$ . Show that  $(x_n)_{n \in \mathbb{N}}$  is  $\mathbb{Q}$ -Cauchy, but not convergent in  $\mathbb{Q}$ .

*Solution.* (1) Let  $(x_n)_{n \in \mathbb{N}}$  be a monotone  $\mathbb{Q}$ -bounded sequence. WLOG assume that it is increasing.

Let  $\varepsilon > 0$ . Let

$$N = \inf\{n \in \mathbb{Z} \mid n\varepsilon \text{ is an upper bound of } (x_n)_n\}.$$

Why is the set non-empty? There is an upper bound  $b$  for  $\{x_n\}_n$ . Archimedeanly, there is an  $n_0 : n_0\varepsilon > b$ . Then  $n_0$  belongs to the set.

Why is it bounded below? Archimedeanly, there is an  $n_1 : n_1\varepsilon < x_0$ . Then  $n_1$  is a lower bound of the set.

Thus  $N\varepsilon$  is an upper bound, but  $(N-1)\varepsilon$  is not. So there exists some  $M$  such that  $x_M > (N-1)\varepsilon$ . Then for all  $n \geq M$ ,  $(N-1)\varepsilon < x_n \leq N\varepsilon$ . So for any  $n \geq m \geq M$ ,

$$|x_n - x_m| = x_n - x_m < N\varepsilon - (N-1)\varepsilon = \varepsilon.$$

(2)

$$\begin{aligned} x_n &= \frac{x_{n-1}^2 + 2}{2x_{n-1}} & (*) \\ \implies x_n^2 - 2 &= \frac{x_{n-1}^4 + 4x_{n-1}^2 + 4 - 8x_{n-1}^2}{4x_{n-1}^2} \\ &= \frac{(x_{n-1}^2 - 2)^2}{4x_{n-1}^2} \end{aligned}$$

This shows that  $x_n^2 > 2$  for all  $n \in \mathbb{N}$ . From  $(*)$ ,  $x_{n-1} > 0$  implies  $x_n > 0$ , so  $(x_n)_n > 0$ . Thus

$$x_n - x_{n-1} = -\frac{x_{n-1}^2 - 2}{2x_{n-1}} < 0$$

and so  $(x_n)_n$  is decreasing.

From the first part,  $(x_n)_n$  is  $\mathbb{Q}$ -Cauchy. But suppose it had a limit  $x \in \mathbb{Q}$ . Note that  $x \neq 0$ , since  $x_n^2 > 2$ . Then

$$x = x - \frac{x^2 - 2}{2x} \implies x^2 = 2,$$

which is not possible. ■

**Problem 2.5.** A *digit* is any element of the set  $S = \{0, 1, \dots, 9\}$ . An *admissible sequence of digits* is a sequence  $(a_n)_{n \in \mathbb{N}^*} \subseteq S$  satisfying the property: there is no  $N \geq 1$  such that  $a_n = 9$  for all  $n \geq N$ . Given  $x \in [0, 1)$ , we say that an admissible sequence of digits  $(d_n)_{n \in \mathbb{N}^*}$  is a *decimal representation* of  $x$  if

$$\sup \left\{ D_n := \sum_{j=1}^n \frac{d_j}{10^j} \mid n \in \mathbb{N} \right\} = x.$$

Show that every admissible sequence of digits is the decimal representation of a number  $x \in [0, 1)$ , and conversely, every  $x \in [0, 1)$  admits a unique decimal representation defined as above.

**Note:** In this problem, you may freely use the standard properties of real numbers.

*Solution.* Let  $(d_n)_n$  be an admissible sequence of digits. Note that the given set is non-empty, and bounded above by  $\sum_{j=1}^n \frac{9}{10^j} = 1$ . Thus the supremum exists. It is obviously non-negative.

Why is it less than 1? Let  $i$  be the first index where  $d_i \neq 9$ . Then for each  $n \geq i$ ,

$$\begin{aligned} \sum_{j=1}^n \frac{d_j}{10^j} &= \sum_{j=1}^{i-1} \frac{d_j}{10^j} + \frac{d_i}{10^i} + \sum_{j=i+1}^n \frac{d_j}{10^j} \\ &\leq \sum_{j=1}^{i-1} \frac{d_j}{10^j} + \frac{d_i}{10^i} + \sum_{j=i+1}^n \frac{9}{10^j} \\ &= \sum_{j=1}^{i-1} \frac{d_j}{10^j} + \frac{d_i + 1}{10^i} \\ &\leq \sum_{j=1}^{i-1} \frac{d_j}{10^j} + \frac{9}{10^i} < 1. \end{aligned}$$

Of course, for each  $n < i$ , the inequality still holds. Thus  $\sum_{j=1}^i \frac{d_j}{10^j} + \frac{1}{10^i}$  is an upper bound less than 1.

For the converse, let  $d_0 = 0$ . For each  $n \in \mathbb{N}^*$ , let

$$d_n = \max \left\{ d \in S \mid D_{n-1} + \frac{d}{10^n} \leq x \right\},$$

where  $D_{n-1} = \sum_{j=1}^{n-1} \frac{d_j}{10^j}$ . This ensures that  $D_n \leq x < D_n + 10^{-n}$  for each  $n$ . How? Holds trivially for  $n = 0$ . If it holds for  $n - 1$ , then  $d_n$  is chosen such that

$$D_n \leq x < D_n + \frac{1}{10^n},$$

if  $d_n \neq 9$ . But if  $d_n = 9$ , then  $D_n \leq x < D_{n-1} + 10^{-n+1} = D_n + 10^{-n}$ . Thus it holds for all  $n$ .

Then  $x$  is an upper bound for  $(D_n)_n$ . Suppose there is a lesser upper bound  $s$ . Then there exists  $n$  such that  $10^{-n} < x - s$ . But then  $x < D_n + 10^{-n} < D_n + x - s$  so  $s < D_n$ . Thus  $\sup_n D_n = x$ .

For uniqueness, let  $(d_n)_n$  and  $(d'_n)_n$  be two distinct admissible sequences of digits. Suppose they first differ at the index  $j$ , with  $d_j < d'_j$ , and that

$d_k \neq 9$  with  $k \geq j$ . Then for  $n \geq k$ ,

$$\begin{aligned}
D_n &= D'_{j-1} + \frac{d_j}{10^j} + \sum_{i=j+1}^n \frac{d_i}{10^i} \\
&\leq D'_{j-1} + \frac{d'_j - 1}{10^j} + \sum_{i=j+1}^n \frac{d_i}{10^i} \\
&\leq D'_{j-1} + \frac{d'_j - 1}{10^j} + \sum_{i=j+1}^n \frac{9}{10^i} - \frac{1}{10^k} \\
&= D'_{j-1} + \frac{d'_j}{10^j} - \frac{1}{10^k} - \frac{1}{10^n} \\
&< D'_j - \frac{1}{10^k}.
\end{aligned}$$

Thus  $\{D_n\}$  is bounded above by  $\sup_n D'_n - 10^{-k}$ . So  $\sup_n D_n < \sup_n D'_n$ . ■