

# MA 231: Topology

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# The course

[Course website](#)

## Timings

**Lectures:** MWF 3–4 pm

**Tutorial:** Tue 5–6 pm

## Grading

- Quizzes:
- Midterm:
- Final:

**Lecture 1.**  
Friday  
August 02

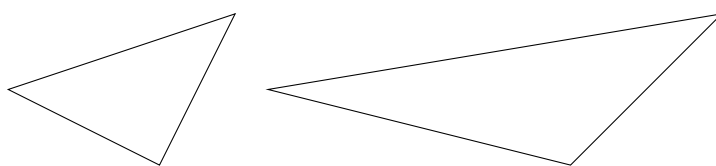
# Chapter 1

## Introduction

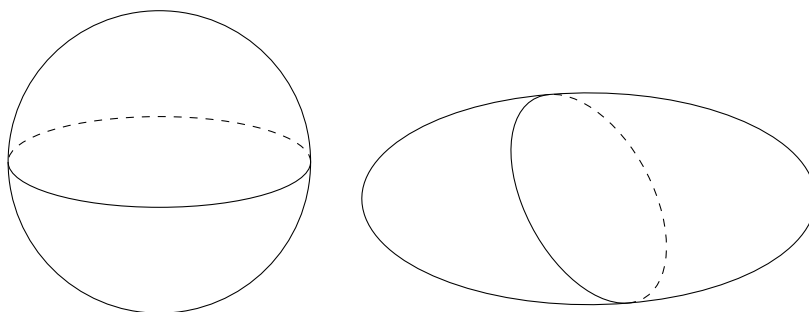
Many objects in mathematics can be described as either *numbers* (arithmetic, algebra) or *shapes* (geometry).

*Topology* is geometry made “flexible”. How so? Topology allows for deformations, that retain a notion of “proximity” between points.

The following two triangles are identical for the topologist.

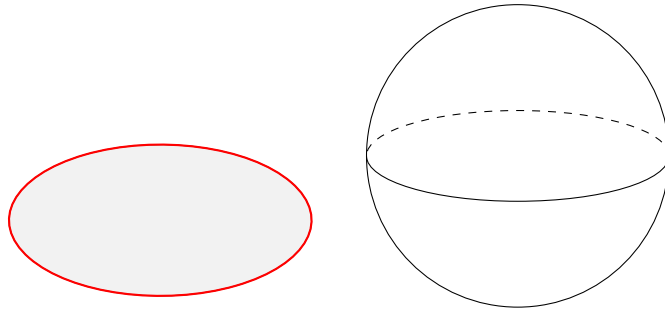


So are a sphere and an ellipsoid.



Why is this useful?

Often, we only need to understand shapes qualitatively. For example, a disk and a sphere look somewhat similar. However, a qualitative difference is that the disk has a boundary, while the sphere does not.



## Dynamical systems

Shapes arise while modelling physical systems. A robotic arm may be described by some angles, and so might the solar system. Two friends playing hide-and-seek might be described by their positions.

Such parameters often lend themselves to a simple geometric description in a *phase space* or *configuration space*.

Poincaré discussed the stability of the solar system around 1890 by studying the topology of the phase space.

## Knot theory

Whether a knot can be untied without cutting is a topological question. A qualitative understanding of the knot is sufficient.

## Graphs

### 1.1 What can you expect?

The course will cover point-set topology for more than half the semester. Problems will bring out logical thinking, and sometimes your visual imagination will be of great use.

Later, we will cover algebraic invariants, which form a bridge between numbers and shapes.

# Chapter 2

## Set theory

**Definition 2.1** (Maps). A *map* or a *function* between two sets  $A$  and  $B$  assigns to every element of  $A$  a unique element of  $B$ .

$$\begin{aligned} f: A &\rightarrow B \\ a &\mapsto f(a) \end{aligned}$$

An *injective* or *one-to-one* map is one where distinct elements of  $A$  are mapped to distinct elements of  $B$ .

$$f(x) = f(y) \implies x = y$$

A *surjective* or *onto* map is one where for every  $b \in B$  there exists an  $a \in A$  that maps to  $b$ .

$$f(A) = B$$

A *bijective* map is one that is both injective and surjective.

**Proposition 2.2.** *There is a bijection between  $\mathbb{R}$  and  $\mathbb{R}^2$ .*

*Proof.* We will use theorem 2.3.  $f = x \mapsto (x, 0)$  is an injective map from  $\mathbb{R}$  to  $\mathbb{R}^2$ . For finding an injection,  $g: \mathbb{R}^2 \rightarrow \mathbb{R}$ , we will go via the map  $\hat{g}: (0, 1)^2 \rightarrow (0, 1)$ .

This is sufficient because arctan provides a nice bijection between  $(0, 1)$  and  $\mathbb{R}$  (and hence  $(0, 1)^2$  and  $\mathbb{R}^2$ ).

Given  $(x, y) \in (0, 1)^2$ , let  $0.x_1x_2x_3\dots$  and  $0.y_1y_2y_3\dots$  be the binary expansions of  $x$  and  $y$  respectively. Interleave the bits to get  $0.x_1y_1x_2y_2x_3y_3\dots$ . (If we declare the expansions to be non-terminating, they are unique.) This is a bijection. ■

**Theorem 2.3** (Schröder-Bernstein). *If  $A$  and  $B$  are sets such that there is an injective map  $f: A \rightarrow B$  and a surjective map  $g: A \rightarrow B$ , then there is a bijection  $h: A \leftrightarrow B$ .*

Following McMullen's notes. WLOG assume  $A$  and  $B$  are disjoint. Let  $F = f \cup g: A \cup B \rightarrow B \cup A$  be the union of the two maps (as sets). That is,

$$F(x) = \begin{cases} f(x) & \text{if } x \in A, \\ g(x) & \text{if } x \in B. \end{cases}$$

For any  $x$ , we say that  $x$  is the *parent* of  $F(x)$ , which is the *child*.

Now note the following.

- (i) Each element of  $A \cup B$  is a parent and has a single child.
- (ii) There may be elements in  $A \cup B$  that are not children. Call them *godfathers*.
- (iii) Each child has a unique parent.

Consider any element  $x \in A \cup B$ . We have two possibilities.

- (i)  $\cdots \mapsto x'' \mapsto x' \mapsto x$ .
- (ii)  $y \mapsto \cdots \mapsto x' \mapsto x$ , where  $y$  is a godfather.

We divide  $A$  into three mutually disjoint sets,  $A_0$ ,  $A_A$  and  $A_B$ .

$$\begin{aligned} A_0 &= \{x \in A \mid \text{possibility (i) happens}\}, \\ A_A &= \{x \in A \mid \text{possibility (ii) happens and } y \in A\}, \\ A_B &= \{x \in A \mid \text{possibility (ii) happens and } y \in B\}. \end{aligned}$$

Similarly, define  $B_0$ ,  $B_A$  and  $B_B$ .

Now  $F|_{A_0}: A_0 \rightarrow B_0$  is a bijection,  $F|_{A_A}: A_A \rightarrow B_A$  is a bijection, and  $F|_{B_B}: B_B \rightarrow A_B$  is a bijection. Flipping the last bijection gives a bijection  $F|_{B_B}^{-1}: A_B \rightarrow B_B$ .

Now we can define a bijection  $h: A \rightarrow B$  as  $h = F|_{A_0} \cup F|_{A_A} \cup F|_{B_B}^{-1}$ . ■

We saw that  $|\mathbb{R}| = |\mathbb{R}^2|$ , even though both behave very differently in a lot of ways. We need a notion of “proximity”.

**Lecture 2.**  
Monday  
August 05

**Definition 2.4** (Topology). Let  $X$  be a set. A *topology* on  $X$  is a collection  $\mathcal{T} \subseteq 2^X$  that

- (T1) contains  $\emptyset$  and  $X$ ,
- (T2) is closed under finite intersections, and
- (T3) is closed under arbitrary unions.

$(X, \mathcal{T})$  is called a *topological space*. Elements of  $\mathcal{T}$  are called *open sets*.

*Remark.* A collection  $\{U_\alpha\}_{\alpha \in J}$  is an *indexed* collection of sets. We will sometimes not mention the index set  $J$ .

*Examples.*

- $\mathcal{T} = \{\emptyset, X\}$  is called the trivial or *indiscrete* topology.
- $\mathcal{T} = 2^X$  is called the *discrete* topology.
- $X = \{a, b\}$  has topologies
  - $\mathcal{T} = \{\emptyset, \{a, b\}\}$ ,
  - $\mathcal{T} = \{\emptyset, \{a\}, \{a, b\}\}$  and  $\mathcal{T} = \{\emptyset, \{b\}, \{a, b\}\}$ ,
  - $\mathcal{T} = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$ .
- $X = \{a, b, c\}$  has topologies
  - $\{\emptyset, \{a, b, c\}\}$ ,
  - $\{\emptyset, \{a, b\}, \{a, b, c\}\}$ ,  $\{\emptyset, \{b, c\}, \{a, b, c\}\}$ ,  $\{\emptyset, \{c, a\}, \{a, b, c\}\}$ ,
  - $\{\emptyset, \{a\}, \{a, b, c\}\}$ ,  $\{\emptyset, \{b\}, \{a, b, c\}\}$ ,  $\{\emptyset, \{c\}, \{a, b, c\}\}$ ,
  - $\{\emptyset, \}$

- For any  $X$ , the set

$$\mathcal{T} = \{A \in 2^X \mid X \setminus A \text{ is finite}\} \cup \{\emptyset\}$$

is the *cofinite* topology. This is a topology because

- (T1)  $\emptyset$  is by definition, and the complement of  $X$  is finite,
- (T2) Let  $U, V \in \mathcal{T}$ . If either is  $\emptyset$ , then  $U \cap V = \emptyset$ . Otherwise,  $X \setminus (U \cap V) = (X \setminus U) \cup (X \setminus V)$  is finite.
- (T3) Let  $\{U_\alpha\} \subseteq \mathcal{T}$ . Then  $X \setminus (\bigcup_\alpha U_\alpha) = \bigcap_\alpha (X \setminus U_\alpha)$  is finite.

- The *cocountable* topology on  $X$  is what you would expect.
- Theorem [2.7](#).

**Definition 2.5** (Metric). A *metric* on a set  $X$  is a map  $d: X \times X \rightarrow \mathbb{R}$  satisfying

- (M1) (positive definiteness)  $d(x, y) \geq 0$  for all  $x, y \in X$ , and  $d(x, y) = 0$  iff  $x = y$ ,
  - (M2) (symmetry)  $d(x, y) = d(y, x)$  for all  $x, y$ ,
  - (M3) (triangle inequality)  $d(x, y) + d(y, z) \geq d(x, z)$  for all  $x, y, z$ .
- $(X, d)$  is called a *metric space*.



*Examples.*

- $(\mathbb{R}, |\cdot|)$ .
- $(\mathbb{R}^n, \|\cdot\|_2)$  and in general  $(\mathbb{R}^n, \|\cdot\|_p)$ .
- $d(x, y) = \mathbf{1}_{x \neq y}$  on any set  $X$ .

**Definition 2.6** (Open sets). An *open ball* in a metric space  $(X, d)$  is a subset

$$B(x_0, r) = \{x \in X \mid d(x, x_0) < r\}$$

where  $x_0 \in X$  and  $r > 0$ .

An *open set* is a subset  $U \subseteq X$  if for any  $x \in U$ , there is an open ball  $B(x, r) \subseteq U$ .

**Theorem 2.7.** Let  $(X, d)$  be a metric space. The collection of open sets

$$\mathcal{T} = \{U \subseteq X \mid U \text{ is open}\}$$

is a topology on  $X$ .

*Proof.*  $\emptyset$  is vacuously open,  $X$  is trivially so.

A ball here and a ball there, the smaller one is everywhere.

Going to a party, without your friends?

That attitude's not good.

QED, leaving no dead ends,

Take along your entire neighbourhood. ■

**Theorem 2.8.** The co-finite topology on an infinite set is not metrizable.

*Proof.* A metric space is a [Hausdorff space](#). But in the co-finite topology, any two (non-empty) open sets intersect. ■

**Question:** When is a topology metrizable?

**Definition 2.9** (Refinement). A topology  $\mathcal{T}'$  on a set  $X$  is said to be *finer* than another topology  $\mathcal{T}$  on the same set if  $\mathcal{T}' \supseteq \mathcal{T}$ . It is said to be *strictly finer* if  $\mathcal{T}' \supsetneq \mathcal{T}$ .

**Definition 2.10** (Basis). A *basis* for a topology  $\mathcal{T}$  on a set  $X$  is a collection of subsets  $\mathcal{B} \subseteq \mathcal{T}$  such that

(B1) for any  $x \in U \in \mathcal{T}$ , there exists a  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ ;

(B2) for any  $B_1, B_2 \in \mathcal{B}$  and any  $x \in B_1 \cap B_2$ , there exists a  $B_\cap \in \mathcal{B}$  such that  $x \in B_\cap \subseteq B_1 \cap B_2$ .

**Lecture 3.**  
Wednesday  
August 07

**Proposition 2.11.** *A topology  $\mathcal{T}'$  generated by  $\mathcal{B}'$  is finer than  $\mathcal{T}$  generated by  $\mathcal{B}$  iff for any  $x \in B \in \mathcal{B}$ , there exists a  $B' \in \mathcal{B}'$  such that  $x \in B' \subseteq B$ .*

**Lemma 2.12.** *The standard topology on  $\mathbb{R}$  has a countable basis.*

**Lecture 4.**

Friday

August 09

*Proof.*

$$\mathcal{B} := \{(p, q) \in \mathbb{Q}^2 \mid p < q\}.$$

For any interval  $(a, b) \subseteq \mathbb{R}$ , with  $a < b$ , there exists a decreasing sequence  $(p_n)_n \subseteq \mathbb{Q}$  and an increasing sequence  $(q_n)_n \subseteq \mathbb{Q}$  such that  $p_n \downarrow a$  and  $q_n \uparrow b$ . Then

$$(a, b) = \bigcup_{n \in \mathbb{N}} (p_n, q_n). \quad \blacksquare$$

**Lemma 2.13.** *Any basis of  $\mathcal{T}'$  is uncountable.*

*Proof.* For each  $x \in \mathbb{R}$  consider the open set  $[x, x+1) \in \mathcal{T}'$ . Since  $\mathcal{B}'$  is a basis, there is a  $B_x \in \mathcal{B}'$  such that  $x \in B_x \subseteq [x, x+1)$ . Note that  $\inf B_x = x$ . Thus  $x \mapsto B_x$  is injective ( $B_x$  and  $B_y$  have different infimums for  $x \neq y$ ). This shows that  $|\mathcal{B}'| \geq |\mathbb{R}|$ .  $\blacksquare$

**Corollary 2.14.**  *$\mathcal{T}'$  is strictly finer than  $\mathcal{T}$ .*

We will soon see that  $\mathcal{T}'$  is not even metrizable.

**Definition 2.15** (Hausdorff). A topological space  $(X, \mathcal{T})$  is called *Hausdorff* if for any distinct  $x, y \in X$ , there exist disjoint open sets  $U, V \in \mathcal{T}$  such that  $U \ni x$  and  $V \ni y$ .

**Lemma 2.16.** *Every metric space is Hausdorff.*

*Proof.* Separate two points by open balls of radius half the distance between them.  $\blacksquare$

**Definition 2.17** (closed). A subset  $A \subseteq X$  is called *closed* if its complement  $X \setminus A$  is open.

*Examples.*

- $\mathbb{R} \setminus (0, 1) = (-\infty, 0] \cup [1, \infty)$  is closed.
- For any topological space  $(X, \mathcal{T})$ ,  $\emptyset$  and  $X$  are clopen.
- For the discrete topology, every subset is clopen.

**Corollary 2.18.** *Closed sets are closed under arbitrary intersections and finite unions.*

*Proof.* De Morgan on theorem 2.4.  $\blacksquare$

**Definition 2.19.** Let  $A \subseteq (X, \mathcal{T})$ .

- The *interior* of  $A$ , denoted  $A^\circ$  is the union of all open sets contained in  $A$ .
- The *closure* of  $A$ , denoted  $\bar{A}$ , is the intersection of all closed sets containing  $A$ .

*Remarks.*

- $A^\circ \subseteq A \subseteq \bar{A}$ .
- $A^\circ$  is open and  $\bar{A}$  is closed.
- If  $A$  is open,  $A^\circ = A$ . If  $A$  is closed,  $\bar{A} = A$ .

**Lemma 2.20.**  $x \in \bar{A}$  iff any open set containing  $x$  intersects  $A$ .

*Proof.*

$$\begin{aligned} x \in \bar{A} &\iff \forall U \in \mathcal{T} (A \subseteq X \setminus U \rightarrow x \in X \setminus U) \\ &\iff \forall U \in \mathcal{T} (x \in U \rightarrow A \cap U \neq \emptyset) \end{aligned}$$

More verbosely,

$$\begin{aligned} x \in \bar{A} &\iff \forall V \in 2^X (V \text{ is closed} \wedge A \subseteq V \rightarrow x \in V) \\ &\iff \forall V \in 2^X (X \setminus V \text{ is open} \wedge X \setminus V \subseteq X \setminus A \rightarrow x \notin (X \setminus V)) \\ &\iff \forall U \in \mathcal{T} (U \subseteq X \setminus A \rightarrow x \notin U) \\ &\iff \forall U \in \mathcal{T} (U \cap A = \emptyset \rightarrow x \notin U) \\ &\iff \forall U \in \mathcal{T} (x \in U \rightarrow U \cap A \neq \emptyset) \end{aligned} \quad \blacksquare$$

**Definition 2.21.** A point  $x \in X$  is a *limit point* of  $A$  if any open set containing  $x$  intersects  $A \setminus \{x\}$ .

**Lemma 2.22.**  $\bar{A} = A \cup A'$ , where  $A'$  is the set of all limit points of  $A$ .

*Proof.*

$$\begin{aligned} x \in \bar{A} &\iff \forall U \in \mathcal{T} (x \in U \rightarrow U \cap A \neq \emptyset) \\ &\iff \forall U \in \mathcal{T} (x \in U \rightarrow (x \in A \vee U \cap (A \setminus \{x\}) \neq \emptyset)) \end{aligned}$$

■

**Lecture 5.**  
Monday  
August 12

### 2.0.1 Topological proof of the infinitude of primes

**Lemma 2.23.** *Arithmetic progressions form a basis of a topology on  $\mathbb{Z}$ .*

*Proof.* Let  $S(a, b) = \{an + b \mid n \in \mathbb{Z}\}$ .

$S(1, 0) = \mathbb{Z}$ . Suppose  $x \in S(a_1, x) \cap (a_2, x)$ . Then  $x \in S(a_1a_2, x) \subseteq S(a_1, x) \cap S(a_2, x)$ . ■

**Theorem 2.24.** *There are infinitely many primes.*

*Proof.* Notice that  $\mathbb{Z} \setminus S(a, b) = \bigcup_{i=1}^{a-1} S(a, b+i)$ . Thus  $S(a, b)$  is clopen.

Now notice that  $\bigcup_{p \text{ prime}} S(p, 0) = \mathbb{Z} \setminus \{\pm 1\}$ .

The union of finitely many closed sets is closed, so if there were a finite number of primes, then  $\mathbb{Z} \setminus \{\pm 1\}$  would be closed. Thus  $\{\pm 1\}$  would be open, which is impossible, since each basis is infinite. ■

From theorem 2.22, we can say that a set is closed iff it contains all its limit points.

*Example.* In  $\mathbb{R}_L$ , the lower-limit topology on  $\mathbb{R}$ ,  $\overline{\mathbb{Q}} = \mathbb{R}$ . Let  $x \in \mathbb{R} \setminus \mathbb{Q}$ . We need to show that any basis element containing  $x$  intersects  $\mathbb{Q}$ . But basis elements are of the form  $[a, b)$ , so every basis element intersects  $\mathbb{Q}$ . Thus  $x \in \overline{\mathbb{Q}}$ .