

Mathematics for CS II (CS202: Logic)

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What is logic?

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- *logic* puzzles...

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- *logic* circuits...
- program *logic*...
- logic in conversation: tere baat mein koi *logic* nahin hai...

ponder...

What is this course about?

Mathematics?

What is this course about?

Mathematics + **Applications**

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Mathematics + **Applications**

Mathematical Logic + **Computational Logic**

Course Logistics

Course Component	Percentage
Assignments	30%
Quizzes	$2 \times 10\%$
End-Sem Exam	50%

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- *Start assignments early*: they might look easy but will take some doing. No deadline extensions.
- *Attend classes and keep in sync with the lectures*: logic is an easy course, but can get difficult if you don't give it enough time.
- *Seek help from me and TAs*: we are there to help you.
- *No unfair means*: CSE policy on unfair means is quite strict; the same will be followed in this course.

Course Logistics

Course webpage:

https://web.cse.iitk.ac.in/users/subhajit/courses/CS335_Jul2018/CS202.php

(link from my homepage)

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(link from my homepage)

Assugnment submission through: moodle.cse.iitk.ac.in

Books

- Michael Huth and Mark Ryan. Logic in Computer Science: Modelling and Reasoning about Systems. 2nd Edition.
- Mordechai Ben-Ari. Mathematical Logic for Computer Science. 3rd ed. 2012 Edition. [Acknowledgement: some figures are taken from the teaching material provided]
- Herbert B. Enderton. A Mathematical Introduction to Logic. 2nd Edition.
- Madhavan Mukund and S P Suresh. Introduction to Logic.

Logic: The language of mathematics

“The aim of logic in computer science is to develop languages to model the situations we encounter as computer science professionals, in such a way that we can reason about them formally.”

- Huth and Ryan

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Formal Reasoning

Construct arguments that can be defended rigorously or checked by a machine.

Why this formalism?

- Premise: *Some cars* rattle.
- Premise: My car is *some car*.
- Conclusion: My car rattles.

– Smullyan (1978, p. 183)

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Informal natural reasoning can be flawed!

Propositional Logic

If the train arrives late and there are no taxis at the station, then John is late for his meeting. John is not late for his meeting. The train did arrive late. Therefore, there were taxis at the station.

Propositional Logic

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Is the above argument correct?

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If it is raining and Jane does not have her umbrella with her, then she will get wet. Jane is not wet. It is raining. Therefore, Jane has her umbrella with her.

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Compare the above two statements.

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Same structure!

If p and not q , then r . Not r . p . Therefore, q .

Symbolic Logic

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Propositions

- **p** \equiv *the train arrives late*
- **q** \equiv *there are taxis at the station*
- **r** \equiv *John is late for his meeting*

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We can *compose* these operators to create arguments of arbitrary complexity!

Understanding the language formally

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A set of strings.

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Syntax + Semantics

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Defines the **structure** of strings in the language

Semantics

Defines the **meaning** of strings in the language

Propositional Logic: Syntax

Countably infinite set of atomic propositions, $\mathcal{P} = \{p_0, p_1, \dots\}$ and three logical connectives \neg, \vee, \wedge

Propositional Formulas

The set Φ of formulas of propositional logic is the smallest set satisfying the following conditions:

- Every atomic proposition $p \in \Phi$
- If $\alpha \in \Phi$, then $(\neg\alpha) \in \Phi$
- If $\alpha, \beta \in \Phi$, then $\alpha \vee \beta \in \Phi$
- If $\alpha, \beta \in \Phi$, then $\alpha \wedge \beta \in \Phi$

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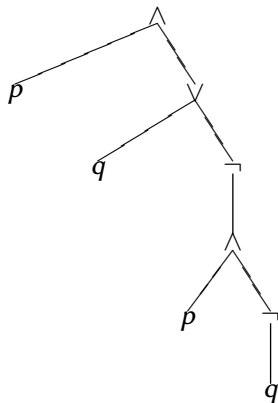
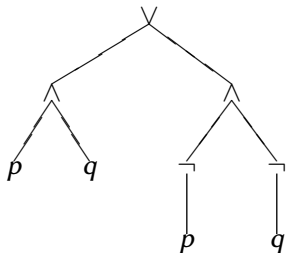
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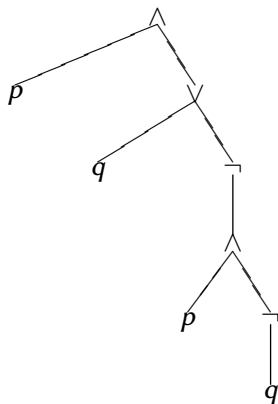
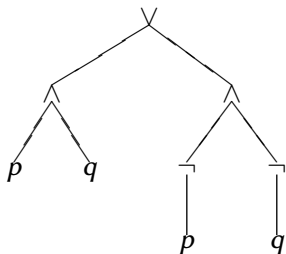
Backus–Naur (BNF) form

$$\Phi ::= p \mid \neg\Phi \mid \Phi \wedge \Phi \mid \Phi \vee \Phi$$

(Abstract) Syntax Trees



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What are the formula (strings) corresponding to the two trees?

Selecting ASTs

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Associativity: How do the operators associate?

Additional Symbols

- Implication (\rightarrow)
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Excercise: Modify the syntax to include these additional symbols

Formal Semantics

Interpretation

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Interpretation for set of formulas

An **interpretation** can be lifted to a set of formulas $S = \{A_1, \dots\}$ over propositions $\mathcal{P}_S = \bigcup_i \mathcal{P}_{A_i}$ as a function $\mathcal{I}_A \mapsto \{T, F\}$

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Valuation

The **valuation** of $A \in \mathcal{F}$ is defined as a total function $v_{\mathcal{I}_A} \mapsto \{T, F\}$ that assigns a truth value to A under \mathcal{I}_A . Note that $v_{\mathcal{I}_A}$ can be defined inductively on the structure of A .

Semantics (as truth table)

A	$v(A_1)$	$v(A_2)$	$v(A)$
$\neg A_1$	T		F
$\neg A_1$	F		T
$A_1 \vee A_2$	F	F	F
$A_1 \vee A_2$	otherwise		T
$A_1 \wedge A_2$	T	T	T
$A_1 \wedge A_2$	otherwise		F

Satisfiability, Validity

Satisfiability

$A \in \mathcal{F}$ is satisfiable iff $v_{\mathcal{I}_A}(A) = T$ for *some* interpretation of \mathcal{I}
A satisfying interpretation is called a **model** for A

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$A \in \mathcal{F}$ is valid (denoted $\models A$) iff $v_{\mathcal{I}}(A) = T$ for all interpretations of \mathcal{I}

A valid propositional formula is called a **tautology**

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Unsatisfiable

$A \in \mathcal{F}$ is unsatisfiable iff $v_{\mathcal{I}}(A) = F$ for *all* interpretations of \mathcal{I}
Also referred to as a **contradiction**
[*not satisfiable*]

Satisfiability, Validity

Falsifiable

$A \in \mathcal{F}$ is falsifiable (denoted $\not\models A$) iff $v_{\mathcal{I}}(A) = F$ for *some* interpretations of \mathcal{I}

[*not valid*]

Satisfiability, Validity

Falsifiable

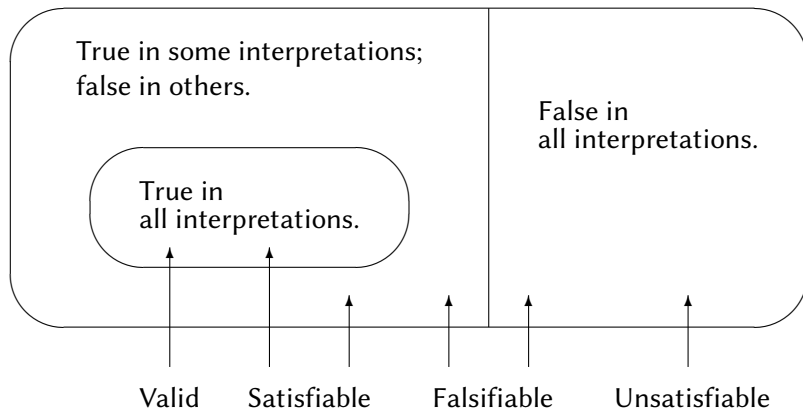
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All these concepts can be lifted to a set of formulas $A_i \in \mathcal{F}$ instead of a single formula.

For example, a set of formulas $A_i \in \mathcal{F}$ is satisfiable iff $v_{\mathcal{I}}(A_i) = T$ on *all* A_i for *some* interpretation of \mathcal{I}

Satisfiability, Validity



Solving computer science problems using SAT solving

Graph Coloring

Given a graph G and (atmost) k colors, assign colors to the nodes such that no two neighbours share the same color.

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Considered a hard problem!

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Encoding in SAT

- Variables:

e_{ij} : an edge exists between the vertices i and j

a_{ij} : the i^{th} vertex is assigned the j^{th} color

- Constraints (for k colors):

- Each node v is assigned a color: $\forall_v. (a_{v1} \vee a_{v2} \vee \dots \vee a_{vk})$
- Each node v is given exactly one color: $\forall_v \neg (a_{vi} \wedge a_{vj})$
- Two neighboring nodes don't have same color: $\forall_{v,w} \neg (e_{vw} \wedge a_{vi} \wedge a_{wi})$

Conjunctive Normal Form (CNF)

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Negation Normal Form (NNF)

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the negation operator (\neg) is applied only to variables

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Not a canonical form

Converting to Conjunctive Normal Form

Conversion

- 1 Convert to NNF: push negations into the formula (De Morgan's Law):
 $\neg(p \vee q)$ to $(\neg p) \wedge (\neg q)$
 $\neg(p \wedge q)$ to $(\neg p) \vee (\neg q)$
- 2 Repeatedly apply the distributive law where a disjunction occurs over a conjunction:
 $p \vee (q \wedge r)$ to $(p \vee q) \wedge (p \vee r)$

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What can be the blowup in the worst case?

SAT solvers

- Given a formula ϕ , a SAT solver checks the satisfiability of the formula. It provides either:
 - SAT: a model
 - UNSAT: proof of unsatisfiability

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 - SAT: a model
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 - How to check validity?
 - It accepts the formula as a CNF formula in the DIMACS representation:
-

```
c
c  start with comments
c
c
p  cnf  5  3
1  -5  4  0
-1  5  3  4  0
-3  -4  0
```

Assignment 1: Sudoku

Write an encoding for:

- Sudoku solving, and
 - Additional constraint: The numbers in the main diagonals are also unique
- Sudoku generation
 - Use the above encoding as a black box

Implement it using the minisat solver.

Logical Equivalence \equiv

Logical Equivalence

$A_1 \equiv A_2$ if and only if $v_{\mathcal{I}}(A_1) = v_{\mathcal{I}}(A_2)$ for all interpretations \mathcal{I} .

Mathematical Induction

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- Assume an **induction hypothesis**
- Prove the **base case**
- Prove the **inductive step** for $k+1$, *assuming induction hypothesis on k*

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Example: Prove that the sum of n numbers is $\frac{n(n+1)}{2}$

Structural Induction

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To show that some property ϕ holds for all logical formula ψ

Base case: Show that ϕ holds for all propositions p

Induction Hypothesis: Assume that the formula holds for formula A_1 and A_2

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prove larger formula by induction on its subformulas

Structural Induction

Theorem

For every well-formed propositional logic formula, the number of left brackets is equal to the number of right brackets.

$$\Phi ::= p \mid (\neg\Phi) \mid (\Phi \wedge \Phi) \mid (\Phi \vee \Phi)$$

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$$\Phi ::= p \mid (\neg\Phi) \mid (\Phi \wedge \Phi) \mid (\Phi \vee \Phi)$$

Proof: Base Case: For the case of propositions p , $\#left(p)=\#right(p)=0$

Inductive Step: Consider the case for $(\Phi_1 \wedge \Phi_2)$, $\#left(\Phi_i)=\#right(\Phi_i)=n$, $i=1,2$ (induction hypothesis)

$$\text{So, } \#left(\Phi_1 \wedge \Phi_2) = \#left(\Phi_1) + \#left(\Phi_2) + 1 = n_1 + n_2 + 1$$

$$\text{And, } \#right(\Phi_1 \wedge \Phi_2) = \#right(\Phi_1) + \#right(\Phi_2) + 1 = n_1 + n_2 + 1$$

So, proved for all conjunctions. Similarly prove for negation and disjunction.

Proof System

Proof System (Deductive System)

- a set (possibly infinite) set of formulas, called *axioms*
- a set of *inference rules*

used to deduce **tautologies**

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Proofs

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- A proof can be denoted:
 - As a proof tree, where each node is an axiom (leaf node) or inference rule (non-leaf node)
 - As a sequence of valid sequents $\gamma_1, \gamma_2, \dots, \gamma_i, \dots, \gamma_n$. For a sequent γ_i to be valid, its premise(s) is either some ϕ_i , an axiom, or a conclusion proven in some preceeding $\gamma_j, j < i$

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To Prove: $p \wedge q, r \vdash q \wedge r$

$$\frac{\frac{p \wedge q}{q} \wedge e_2 \quad r}{q \wedge r} \wedge i$$

proof tree

① $p \wedge q$ (premise)

② r (premise)

③ q ($\wedge e_2$ 1)

④ $q \wedge r$ ($\wedge i$ 3,2)

flattened proof

Natural Deduction

Natural Deduction (ND) is one of the proof systems for propositional logic

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flattened proof

How to check a proof:

- *Check that each line corresponds to a valid rule, axiom on a set of premises which have already been established*

Natural Deduction

Conjunction

$$\frac{\phi \quad \psi}{\phi \wedge \psi} \wedge i \qquad \frac{\phi \wedge \psi}{\phi} \wedge e_1 \qquad \frac{\phi \wedge \psi}{\psi} \wedge e_2$$

Natural Deduction

Conjunction

$$\frac{\phi \quad \psi}{\phi \wedge \psi} \wedge i \qquad \frac{\phi \wedge \psi}{\phi} \wedge e_1 \qquad \frac{\phi \wedge \psi}{\psi} \wedge e_2$$

Procedural Interpretation:

- ❶ $\wedge i$: first prove ϕ and ψ , and use them for $\phi \wedge \psi$
- ❷ $\wedge e$: to prove ϕ , prove $\phi \wedge \psi$ (strengthen proof); it is useful when $\phi \wedge \psi$ is already lying around

Natural Deduction

Disjunction

$$\frac{\phi}{\phi \vee \psi} \vee i_1 \quad \frac{\psi}{\phi \vee \psi} \vee i_2 \quad \frac{\phi \vee \psi \quad \boxed{\begin{array}{c} \phi \\ \dots \\ \gamma \end{array}} \quad \boxed{\begin{array}{c} \psi \\ \dots \\ \gamma \end{array}}}{\gamma} \vee e$$

Natural Deduction

Disjunction

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Procedural Interpretation:

- 1 $\vee i$: to prove $\phi \vee \psi$, try proving ϕ (or ψ)
- 2 $\vee e$: to prove γ from $\phi \vee \psi$ try proving it from both ϕ and ψ

Natural Deduction

Disjunction

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Note the *scope* (shown by the box): the scope contains *local assumptions*, and hence the derived formulas are not valid outside the proof

Natural Deduction

Negation

$$\frac{\boxed{\begin{array}{c} \phi \\ \dots \\ \perp \end{array}}}{\neg\phi} \neg i \qquad \frac{\phi \quad \neg\phi}{\perp} \neg e \qquad \frac{\neg\neg\phi}{\phi} \neg\neg$$

Natural Deduction

Negation

$$\frac{\boxed{\begin{array}{c} \phi \\ \dots \\ \perp \end{array}}}{\neg\phi} \neg i \qquad \frac{\phi \quad \neg\phi}{\perp} \neg e \qquad \frac{\neg\neg\phi}{\phi} \neg\neg$$

Procedural Interpretation:

- 1 $\neg\phi$: to prove $\neg\phi$, assume ϕ and try to derive a contradiction (proof by contradiction)

Natural Deduction

\perp

\perp (no intro rule) $\frac{\perp}{\phi} \perp$

Natural Deduction

\perp

\perp (no intro rule) $\frac{\perp}{\phi} \perp$

Procedural Interpretation:

- 1 \perp : anything goes in a mad world (a world with contradictions)

Natural Deduction

→

$$\frac{\boxed{\begin{array}{c} \phi \\ \dots \\ \psi \end{array}}}{\phi \rightarrow \psi} \rightarrow i_1 \quad \frac{\phi \quad \phi \rightarrow \psi}{\psi} \rightarrow e^a \quad \frac{\phi \rightarrow \psi \quad \neg \psi}{\neg \phi} \rightarrow e^b$$

^amodus ponens

^bmodus tollens

Natural Deduction

→

$$\begin{array}{c}
 \boxed{\begin{array}{c} \phi \\ \dots \\ \psi \end{array}} \\
 \hline
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 \end{array}
 \quad
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Procedural Interpretation:

① → *i*: to prove $\phi \rightarrow \psi$, assume ϕ and prove ψ

Natural Deduction

Bi-implication

$$\frac{\begin{array}{|c|} \hline \phi \\ \dots \\ \psi \\ \hline \end{array} \quad \begin{array}{|c|} \hline \psi \\ \dots \\ \phi \\ \hline \end{array}}{\phi \leftrightarrow \psi} \rightarrow i_1 \quad \frac{\phi \quad \phi \leftrightarrow \psi}{\psi} \rightarrow e1 \quad \frac{\psi \quad \phi \leftrightarrow \psi}{\phi} \rightarrow e2$$

ND Proofs

To Prove: $(q \rightarrow r) \vdash ((\neg q \rightarrow \neg p) \rightarrow (p \rightarrow r))$

1. $q \rightarrow r$ (premise)

$((\neg q \rightarrow \neg p) \rightarrow (p \rightarrow r))$

Proofs

- | | |
|--|-------------------------|
| 1. $q \rightarrow r$ | (premise) |
| 2. $\neg q \rightarrow \neg p$ | (assumption) |
| 3. p | (assumption) |
| 7. r | (\rightarrow e 1, 6) |
| 8. $p \rightarrow r$ | (\rightarrow i 3-7) |
| 9. $(\neg q \rightarrow \neg p) \rightarrow (p \rightarrow r)$ | (\rightarrow i 2-8) |

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How to go about a proof

- 1 This “structure” of the proof can be deduced from the formula to be proved.
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Proofs

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2.	$\neg q \rightarrow \neg p$	(assumption)
3.	p	(assumption)
4.	$\neg \neg p$	($\neg\neg$ i 3)
5.	$\neg \neg q$	(MT 2, 4)
6.	q	($\neg\neg$ e 5)
7.	r	(\rightarrow e 1, 6)
8.	$p \rightarrow r$	(\rightarrow i 3-7)
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- 1 This “structure” of the proof can be deduced from the formula to be proved.
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Premises versus Assumptions

- The proof is subject to the provided premises; they are globally valid and can be assumed everywhere.
- The assumptions are made during the proof (eg. to do case-split for \forall); lemmas proved with assumptions are valid only within the respective scope.

ND Proofs

General heuristic:

- 1 Start with applying the introduction rules, backward from the conclusion. Ex., to prove $A \rightarrow B$, add A as an assumption to get to B . To prove $A \wedge B$, use $\wedge i$ to prove A , and then prove B . (often dictated by the structure of the formula to be proved)
- 2 When you run out, use elimination rules to go forward. Ex. If you have $A \rightarrow B$ and A , derive B . If you have $A \vee B$, split on cases, considering A in one case and B in the other.

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Structure of the proof

- 1 The structure of the proof can be formed from the syntactic structure of the formula to be proved
- 2 The “gaps” in this structure needs to be deduced in a clever way

Practice on certain proofs

- ① $\neg q \rightarrow \neg p \vdash p \rightarrow \neg\neg q$
- ② $p \rightarrow (q \rightarrow r) \vdash p \wedge q \rightarrow r$
- ③ $p \rightarrow q \vdash p \wedge r \rightarrow q \wedge r$
- ④ $(p \vee q) \vee r \vdash p \vee (q \vee r)$
- ⑤ $p \wedge (q \vee r) \vdash (p \wedge q) \vee (p \wedge r)$
- ⑥ $p \rightarrow q, p \rightarrow \neg q \vdash \neg p$
- ⑦ $p \rightarrow \neg p \vdash \neg p$

Semantics: Logical Consequence

- For a set of formulas U and a formula A , A is *logical consequence* of U (denoted $U \models A$) iff every model of U is a model of A .
 - A is true in all interpretations that U is true (A may or may not be true on interpretations that do not satisfy U)
 - Example: $\{p, \neg q\} \models A$

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- Note the difference between \vdash and \models
 - $p_1, \dots, p_n \vdash q$: you can derive a formula of syntactic structure q from formulas p_1, \dots, p_n (derives, syntactic)
 - $p_1, \dots, p_n \models q$: the formula q evaluates true on all satisfying interpretations (models) of p_1, \dots, p_n (models, semantic)

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- Note the difference between \models and \rightarrow (also \equiv and \leftrightarrow)
 - \rightarrow and \leftrightarrow are symbols in the language of propositional logic
 - \models and \equiv are symbols in the metalanguage—the language used to describe properties of the language of propositional language

Theories

Closure under \models

A set of formulas \mathcal{T} is closed under logical consequence iff for all formulas A , if $\mathcal{T} \models A$.

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Theories are constructed by selecting *axioms* (A) and deducing their logical consequence.

Axiomatization

Axiomatizable

A theory \mathcal{T} is *axiomatizable* iff there exist axioms U such that $\mathcal{T} = \{\text{formulas } A \text{ such that } U \models A\}$. If U is finite, \mathcal{T} is *finitely* axiomatizable.

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- Tautologies are not interesting. It is more interesting to construct theories (logical consequences under a given set of axioms).
- Arithmetic is axiomatizable with *Peano's axioms*. However, it is *not finitely axiomatizable* as the induction axiom is not a single axiom but a axiom schema (includes one axiom per predicate definable in the first-order language of Peano arithmetic).

Decision Procedures in Propositional Logic

Decision Procedures

An *algorithm* is a decision procedure for a given set of formulas $\mathcal{U} \subseteq \mathcal{F}$ if, given an arbitrary formula $A \in \mathcal{F}$ it *always terminates*, and returns answer **yes** if $A \in \mathcal{U}$ and **no** if $A \notin \mathcal{U}$.

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- Truth table construction is an (inefficient) decision procedure.

Truth Tables as Decision Procedure

p	q	$p \rightarrow q$	$\neg p$	$\neg q$	$\neg q \rightarrow \neg p$	$(p \rightarrow q) \leftrightarrow (\neg q \rightarrow \neg p)$
T	T	T	F	F	T	T
T	F	F	F	T	F	T
F	T	T	T	F	T	T
F	F	T	T	T	T	T

Truth Tables as Decision Procedure

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T	T	T	F	F	T	T
T	F	F	F	T	F	T
F	T	T	T	F	T	T
F	F	T	T	T	T	T

It can also be tabulated as follows:

$(p \rightarrow q)$	\leftrightarrow	$(\neg q \rightarrow \neg p)$
T	F	F
T	F	T
T	F	F
T	F	F
T	F	F
T	F	F
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Truth Tables as Decision Procedure

a compact tabulation

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T	F	T	F	F	T	T	F	F	F	T
F	T	F	T	T	T	F	T	T	T	F
F	F	F	T	F	T	T	F	T	T	F

Is $p \vee q \equiv q \vee p$?

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p	q	$p \vee q$	$\neg p$	$\neg q$	$(p \vee q) \wedge \neg p \wedge \neg q$
T	T	T	F	F	F
T	F	T	F	T	F
F	T	T	T	F	F
F	F	F	T	T	F

Is $p \vee q \equiv q \vee p$?

p	q	$p \vee q$	$\neg p$	$\neg q$	$(p \vee q) \wedge \neg p \wedge \neg q$
T	T	T	F	F	F
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F	F	F	T	T	F

Don't be fooled by the simplicity of truth tables; it is incredibly powerful tool!

Semantic tableaux

An efficient decision procedure (for satisfiability)

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Basic Algorithm

- Recursively *decompose* the formula down to literals

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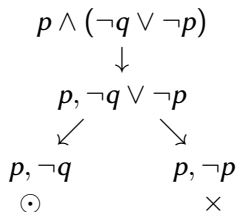
Basic Algorithm

- Recursively *decompose* the formula down to literals
- Check for *contradiction*: existence of positive and negative literal on the same node
- Formula is satisfiable if for atleast one leaf node:
 - there does *not exist a pending* subformula (pending subformula is one which has never been picked for decomposition)
 - there does *not exist a contradiction* on the leaf

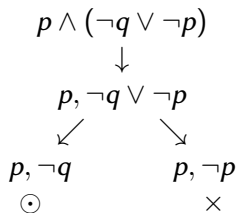
Formula Decomposition

- Formulas classified into α and β formulas
 - α *formulas* require both the components to be satisfied; both components from the decomposition get added to the current list of subformulas
 - β *formulas* require any one of components to be satisfied; leads to splitting of the current path, each path inheriting one of the components from the decomposition

Semantic tableau for $p \wedge (\neg q \vee \neg p)$



Semantic tableau for $p \wedge (\neg q \vee \neg p)$



Model: $p, \neg q$

Semantic tableaux $(p \vee q) \wedge (\neg p \wedge \neg q)$

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$$p \vee q, \neg p \wedge \neg q$$

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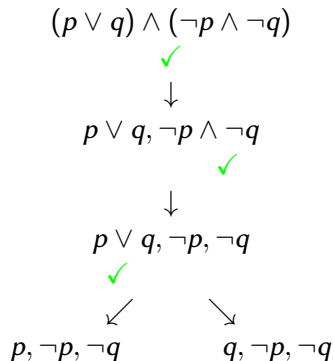
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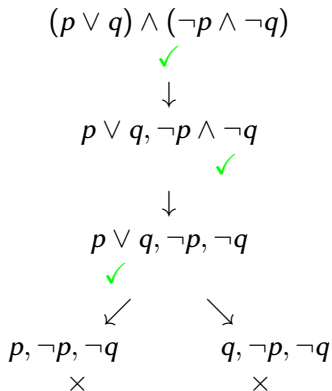
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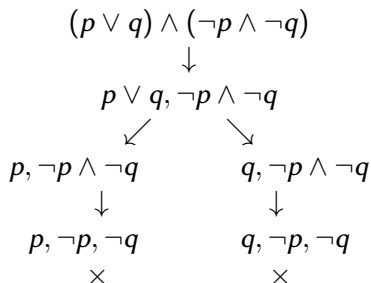
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Semantic tableaux may **not** be unique!

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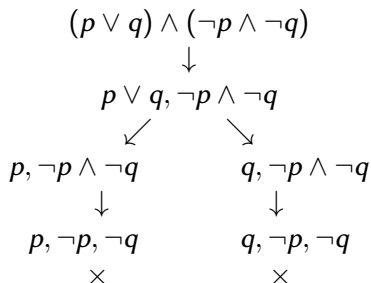
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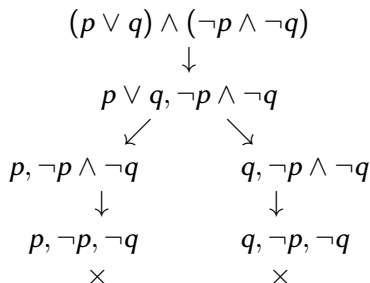


Which one is better?

Semantic tableaux $(p \vee q) \wedge (\neg p \wedge \neg q)$

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Another tableaux for $(p \vee q) \wedge (\neg p \wedge \neg q)$



Which one is better?

Heuristic: *Process conjunctions before disjunctions*

α -formulas

α	α_1	α_2
$\neg\neg A_1$	A_1	
$A_1 \wedge A_2$	A_1	A_2
$\neg(A_1 \vee A_2)$	$\neg A_1$	$\neg A_2$
$\neg(A_1 \rightarrow A_2)$	A_1	$\neg A_2$
$A_1 \leftrightarrow A_2$	$A_1 \rightarrow A_2$	$A_2 \rightarrow A_1$

β -formulas

β	β_1	β_2
$\neg (B_1 \wedge B_2)$	$\neg B_1$	$\neg B_2$
$B_1 \vee B_2$	B_1	B_2
$B_1 \rightarrow B_2$	$\neg B_1$	B_2
$\neg (B_1 \leftrightarrow B_2)$	$\neg (B_1 \rightarrow B_2)$	$\neg (B_2 \rightarrow B_1)$

Termination of Tableau Construction

Completed Tableau

- A tableau whose construction has terminated is a *completed tableau*.
- A completed tableau is *closed* if all leaves are closed;
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Theorem

The construction of a tableau for any formula ψ terminates. When the construction terminates, all the leaves are marked \times or \odot .

Termination of Tableau Construction

How to do proofs of termination?

Termination of Tableau Construction

How to do proofs of termination?

Ranking Function

Come up with a *ranking function* such it:

- The function has a *lower bound* (say it is always non-negative)
- The function *decreases* with each step of the algorithm

Termination of Tableau Construction

Proof

- For the set of (sub)formulas in the pending list, let
 - $b(l)$: total number of binary operators
 - $n(l)$: total number of negations
- Let us use a ranking function over the subformulas in list l in a node:
$$W(l) = 3 \cdot b(l) + n(l)$$
- For each α -formula, argue that $W(l)$ decreases
- For each β -formula, argue that each child has a lower $W(l)$ value than the parent

Analytic tableaux (Smullyan, 1968)

Two alternations for efficiency:

- Subformulas not copied from parent to child
- Subformulas for decomposition selected from all nodes from root to the current node (not only from the parent node)
- Check for contradiction on the path (not only on the node)

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$$p \vee q, \neg p \wedge \neg q$$



Analytic tableaux (Smullyan, 1968)

Two alternations for efficiency:

- Subformulas not copied from parent to child
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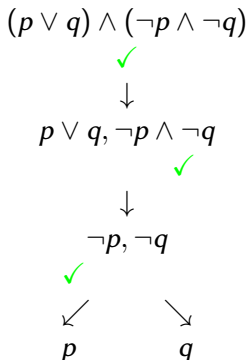
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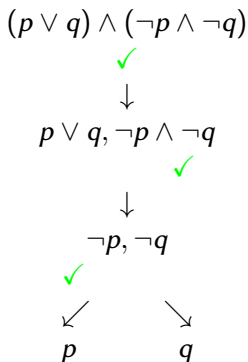
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We need to connect the *syntactic transformations* by the algorithm to *semantic meaning (models)* of the formula

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A completed tableau, \mathcal{T} , for a formula A is *unsatisfiable* if and only if \mathcal{T} is *closed*.

Corollaries

- A is satisfiable if and only if \mathcal{T} is open.
- A is valid if and only if the tableau for $\neg A$ closes.
- The method of semantic tableaux is a decision procedure for validity in propositional logic.
 - Semantic tableau for $\neg A$ terminates and closes

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if \mathcal{T}_n , the subtree rooted at node n of \mathcal{T} , closes then the set of formulas $U(n)$ labeling n is unsatisfiable.

Proof

$$n : \{A_1 \wedge A_2\} \cup U_0$$

|

$$n' : \{A_1, A_2\} \cup U_0$$

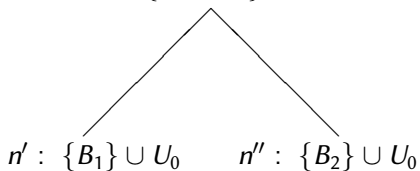
Proof

$$n : \{A_1 \wedge A_2\} \cup U_0$$



$$n' : \{A_1, A_2\} \cup U_0$$

$$n : \{B_1 \vee B_2\} \cup U_0$$

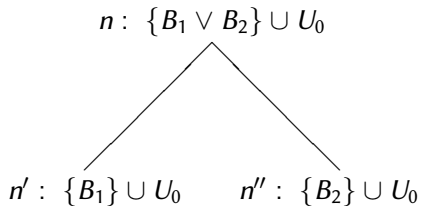


Proof

- Proof by induction on h_n , height of node n in \mathcal{I}_n
- Base case:
 - $h_n = 0$ and \mathcal{I}_n closes
 - so \mathcal{I}_n must have only literals (it is a leaf), and at least one pair of contradictory literals (it closes)

Inductive step: Case I (Node n has an α -formula)


- We only show for $A_1 \wedge A_2$
- $\mathcal{T}_{n'}$ is unsatisfiable (by induction hypothesis)
- Two possibilities of why an arbitrary interpretation I is false on n' (child):
 - U_0 is unsatisfiable: $v_I(A_0) = F$, but the same U_0 is carried to the parent, so it must be unsatisfiable as well
 - One of A_1 or A_2 is unsatisfiable in n' : by semantics of \wedge , if any of A_1 or A_2 is unsat, $A_1 \wedge A_2$ is unsat



Inductive step: Case II (Node n has an β -formula)

- We only show for $A_1 \vee A_2$
- $\mathcal{T}_{n'}$ and $\mathcal{T}_{n''}$ are both unsatisfiable (by induction hypothesis)
- Two possibilities of why an arbitrary interpretation I if false on n' and n'' (children):
 - U_0 is unsatisfiable: $v_I(B_0) = F$, but the same U_0 is carried to the parent, so it must be unsatisfiable as well
 - Both B_1 and B_2 is unsatisfiable in n' and n'' : by semantics of \vee , if both B_1 and B_2 is unsat, $B_1 \vee B_2$ is unsat

$$n : \{A_1 \wedge A_2\} \cup U_0$$


$$n' : \{A_1, A_2\} \cup U_0$$

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Completeness (contrapositive)

If some tableau for A is open, then A is satisfiable.

Gentzen System \mathcal{G}

α	α_1	α_2
$\neg\neg A$	A	
$\neg(A_1 \wedge A_2)$	$\neg A_1$	$\neg A_2$
$A_1 \vee A_2$	A_1	A_2
$A_1 \rightarrow A_2$	$\neg A_1$	A_2
$\neg(A_1 \leftrightarrow A_2)$	$\neg(A_1 \rightarrow A_2)$	$\neg(A_2 \rightarrow A_1)$

β	β_1	β_2
$B_1 \wedge B_2$	B_1	B_2
$\neg(B_1 \vee B_2)$	$\neg B_1$	$\neg B_2$
$\neg(B_1 \rightarrow B_2)$	B_1	$\neg B_2$
$B_1 \leftrightarrow B_2$	$B_1 \rightarrow B_2$	$B_2 \rightarrow B_1$

$$\frac{}{\{q, p_1, p_2, \dots, p_n, \neg q\}}$$

$$\frac{\vdash U'_1 \cup \{\alpha_1, \alpha_2\}}{\vdash U'_1 \cup \{\alpha\}}$$

$$\frac{\vdash U'_1 \cup \{\beta_1\} \quad \vdash U'_2 \cup \{\beta_2\}}{\vdash U'_1 \cup U'_2 \cup \{\beta\}}$$

Proofs in \mathcal{G} and the semantic tableau

$$\begin{array}{ccc} \neg p, q, p & & \neg q, q, p \\ & \searrow \quad \swarrow & \\ & \neg(p \vee q), q, p & \\ & \downarrow & \\ & \neg(p \vee q), (q \vee p) & \\ & \downarrow & \\ & (p \vee q) \rightarrow (q \vee p) & \end{array}$$

Proofs in \mathcal{G} and the semantic tableau

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$$\begin{array}{c} \neg[(p \vee q) \rightarrow (q \vee p)] \\ \downarrow \\ p \vee q, \neg(q \vee p) \\ \downarrow \\ p \vee q, \neg q, \neg p \\ \swarrow \quad \searrow \\ p, \neg q, \neg p \quad q, \neg q, \neg p \\ \times \quad \quad \times \end{array}$$

Hilbert System \mathcal{H}

Axiom 1 $\vdash (A \rightarrow (B \rightarrow A)),$

Axiom 2 $\vdash (A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)),$

Axiom 3 $\vdash (\neg B \rightarrow \neg A) \rightarrow (A \rightarrow B).$

Modus ponens
$$\frac{\vdash A \qquad \vdash A \rightarrow B}{\vdash B}$$

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$$\frac{\vdash A \qquad \vdash A \rightarrow B}{\vdash B}$$

$$A \wedge B \equiv \neg(A \rightarrow \neg B)$$

$$A \vee B \equiv \neg A \rightarrow B$$

Tricks to argue on

- Semantics: argue on an arbitrary interpretation \mathcal{I} .
- Proofs: argue on an arbitrary proof or arbitrary path on the proof tree.
- Semantic tableaux: argue on an arbitrary path in a completed tableaux.

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We have looked at a proof system (natural deduction), a decision procedure (semantic tableaux) and propositional semantics (as truth tables).

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Soundness of ND (w.r.t. propositional semantics)

Let $\phi_1, \phi_2, \dots, \phi_n$ and ψ be propositional logic formulas. If $\phi_1, \phi_2, \dots, \phi_n \vdash \psi$ is valid, then $\phi_1, \phi_2, \dots, \phi_n \models \psi$ holds.

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Let $\phi_1, \phi_2, \dots, \phi_n$ and ψ be propositional logic formulas. If the tableaux for $\phi_1, \phi_2, \dots, \phi_n \rightarrow \neg\psi$ closes, then $\phi_1, \phi_2, \dots, \phi_n \models \psi$ holds (ψ is valid).

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Proof by induction on length of proof.

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Proof by induction on height of semantic tableaux.

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Four steps:

- Define a sets of formulas S (Hintikka's set)
- Connect this set S to the semantic tableaux (show that the union of formulas along an open path in a completed tableaux forms a Hintikka's set)
- Prove a property ϕ for this defined set S (prove that all Hintikka's sets

Setp 1: Defining a special set

Hintikka's set

Let U be a set of formulae s.t.

- 1 if $p \in \mathcal{P}$, either $p \in U$ or $p \notin U$ (contradictions not allowed)
- 2 if $A \in U$ is an α -formula, both $\{A_1, A_2\} \in U$
- 3 If $B \in U$ is a β -formula, one of $B_1 \in U$ or $B_2 \in U$

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- ③ If $B \in U$ is a β -formula, one of $B_1 \in U$ or $B_2 \in U$

Hintikka's sets are **downward saturated**: if $F \in U$ and if U can be extended to some U' by using the α/β rules on F as given above, then $U = U'$.

Step 2: Connect special set to semantic tableaux

Set of formulas on an open path in ST has a Hintikka's set

We pick an arbitrary open path:

- 1 No rule to decompose literals p or $\neg p$ (simply get copied); open node \implies no complementary set can appear in interpretation, so either $p \in U$ or $\neg p \in U$
- 2 $A_1 \wedge A_2$ (α -formula): Tableau is completed, so $A_1, A_2 \in U$
- 3 $B_1 \vee B_2$ (β -formula): Tableau is completed, so one of $B_1, B_2 \in U$

Step 3: Special set has a special property

Hintikka's sets are SAT

- Induction on size of formulae: Hintikka's sets with formulae less than size k are satisfiable (Hintikka's sets with larger formulae can be constructed by joining elements from this set)
- Let us “design” an interpretation:
 - $\mathcal{I}(p) = T$ if $p \in U$
 - $\mathcal{I}(p) = F$ if $p \notin U$
 - $\mathcal{I}(p) = T$ if $p, \neg p \notin U$
- For any element $F \in U$
 - F is a literal: trivially satisfied by the construction of the set
 - Inductive step: for an arbitrary interpretation \mathcal{I}
 - F is α -formula: $v(A_1) = v(A_2) = T$ by structural induction; $v(A_1 \wedge A_2) = T$ (by definition of semantics on this \mathcal{I})
 - F is β -formula: $v(B_1) = T$ or $v(B_2) = T$ by structural induction; $v(B_1 \vee B_2) = T$ (by definition of semantics on this \mathcal{I})

Step 4: Final Inference

Root formula is SAT

- Root formula is in U
- If \mathcal{I} is a model for a set of formula U , it is a model for all formulas $F \in U$ (by definition of satisfiability over set of formulae).
- So \mathcal{I} is a model of root formula.

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Let $\phi_1, \phi_2, \dots, \phi_n$ and ψ be propositional logic formulas. If $\phi_1, \phi_2, \dots, \phi_n \models \psi$ is valid, then $\phi_1, \phi_2, \dots, \phi_n \vdash \psi$ holds.

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Steps:

- We prove $\models \psi \implies \vdash \psi$ (if ψ is a tautology, then ψ is a theorem)
- We prove $\phi_1, \phi_2, \dots, \phi_n \models \psi \implies \phi_1, \phi_2, \dots, \phi_n \vdash \psi$

if ψ is a tautology, then ψ is a theorem

To prove: whenever valuation over all interpretations of ψ is true, there exists some (at least one) proof for ψ

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To prove: whenever valuation over all interpretations of ψ is true, there exists some (at least one) proof for ψ

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Our strategy

- Encode each row in the truth table into a sequent
- Construct proofs of these 2^n sequents
- Combine these proofs into a proof of ψ

Encode each row in the truth table into a sequent

Lemma

For a formula ψ with propositions $p_1, p_2 \dots p_n$. For a line l in the truth table of ψ :

- Let $\hat{p}_i = \begin{cases} p_i & p_i(l) = T \\ \neg p_i & p_i(l) = F \end{cases}$

Then,

- 1 $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \psi$ has a proof if $\psi(l) = T$
- 2 $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \neg\psi$ has a proof if $\psi(l) = F$

Construct proofs of these 2^n sequents

Proof by structural induction of ψ

Construct proofs of these 2^n sequents

Proof by structural induction of ψ

- ① If ψ is a proposition: $p \vdash p$ and $\neg p \vdash \neg p$ are one liners
- ② If $\psi = \neg\phi$; cases:
 - ① $\psi(l)$ is T; then $\phi(l) = F$
 - ① using induction hypothesis, we have $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \neg\phi$
 - ② same as $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \psi$
 - ② $\psi(l)$ is F; then $\phi(l) = T$
 - ① using induction hypothesis, we have $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \phi$
 - ② Using $\neg\neg i$, $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \neg\neg\phi$
 - ③ same as $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \neg\psi$

Construct proofs of these 2^n sequents

Note: $\frac{\Gamma_1 \vdash \phi}{\Gamma_1, \Gamma_2 \vdash \phi}$ (can always strengthen premises)

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① If ψ is $\phi_1 \wedge \phi_2$:

① Case 1: $\phi_1(l) = T$ and $\phi_2(l) = T$

① using IH, we have $\hat{q}_1, \hat{q}_2, \dots, \hat{q}_{n_1} \vdash \phi_1$ and $\hat{r}_1, \hat{r}_2, \dots, \hat{r}_{n_2} \vdash \phi_2$

② same as $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \phi_1$ and $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \phi_2$, where
 $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n = \{q_1, \hat{q}_2, \dots, \hat{q}_{n_1}\} \cup \{\hat{r}_1, \hat{r}_2, \dots, \hat{r}_{n_2}\}$ (using the above rule)

③ So, $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \phi_1 \wedge \phi_2 (\wedge i)$

② Case 2: $\phi_1(l) = F$ and $\phi_2(l) = T$

① using IH, we have $\hat{q}_1, \hat{q}_2, \dots, \hat{q}_{n_1} \vdash \neg \phi_1$ and $\hat{r}_1, \hat{r}_2, \dots, \hat{r}_{n_2} \vdash \phi_2$

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③ So, $\hat{p}_1, \hat{p}_2, \dots, \hat{p}_n \vdash \neg \phi_1 \wedge \phi_2 (\wedge i)$

④ To prove: $\neg \phi_1 \wedge \phi_2 \vdash \neg(\phi_1 \wedge \phi_2)$

③ Case 3: $\phi_1(l) = T$ and $\phi_2(l) = F$

④ Case 4: $\phi_1(l) = F$ and $\phi_2(l) = F$

② If ψ is $\phi_1 \vee \phi_2$: ...

③ If ψ is $\phi_1 \rightarrow \phi_2$: ...

Mini Lemma

① To prove: $\neg\phi_1 \wedge \phi_2 \vdash \neg(\phi_1 \wedge \phi_2)$

① $\neg\phi_1 \wedge \phi_1$

② $\neg\phi_1$

③

① $\phi_1 \wedge \phi_2$ (assumption)

② ϕ_1 ($\wedge e$)

③ \perp

② $\neg(\phi_1 \wedge \phi_2)$

Combine the sequent proofs

(law of excluded middle): $\overline{\phi \vee \neg \phi}$

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Illustration for an example: $p \wedge q \rightarrow p$

- 1 Proof the sequents for each line of the truth table (using theorem above)

- 1 $p, q \vdash p \wedge q \rightarrow p$
- 2 $\neg p, q \vdash p \wedge q \rightarrow p$
- 3 $p, \neg q \vdash p \wedge q \rightarrow p$
- 4 $\neg p, \neg q \vdash p \wedge q \rightarrow p$

Combine the sequent proofs

1	$p \vee \neg p$	LEM
2	p	ass
3	$q \vee \neg q$	LEM
4	q	ass
5	\vdots	
6		
7	$p \wedge q \rightarrow p$	
8	$p \wedge q \rightarrow p$	$\vee e$
9	$p \wedge q \rightarrow p$	$\vee e$

(credits: Huth and Ryan. Modelling and Reasoning about Systems)

Wrapping up the general case...

Prove $\phi_1, \phi_2, \dots, \phi_n \models \psi \implies \phi_1, \phi_2, \dots, \phi_n \vdash \psi$

- 1 Prove: $\phi_1, \phi_2, \dots, \phi_n \models \psi$ has a logical consequence $\models (\phi_1 \rightarrow (\phi_2 \rightarrow \dots \rightarrow (\phi_n \rightarrow \psi)))$ (argue over semantics)
- 2 Prove: $\models (\phi_1 \rightarrow (\phi_2 \rightarrow \dots \rightarrow (\phi_n \rightarrow \psi)))$ implies $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow \dots \rightarrow (\phi_n \rightarrow \psi)))$ (directly from the above proof $\models \gamma \implies \vdash \gamma$)
- 3 Prove: $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow \dots \rightarrow (\phi_n \rightarrow \psi)))$ can prove $\phi_1, \phi_2, \dots, \phi_n \vdash \psi$ (argue over proof system)

Compactness

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Let S be a countably infinite set of formulas; suppose that every finite subset of S is satisfiable. Then S is satisfiable.

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Compactness (corollary)

Let S be a countably infinite set of formulas. If S is unsatisfiable, there must be at least one finite subset of S that is unsatisfiable.

Compactness (Proof)

Semantic tableaux for infinite formulae

- Let $S = A_1, A_2, \dots$
- Set the label of the root to $\{A_1\}$.
- Whenever a rule is applied to a leaf of depth n , A_{n+1} will be added to the label(s) of its child(ren) in addition to the α_i or β_i .

Compactness (Proof)

Semantic tableaux for infinite formulae

- Let $S = A_1, A_2, \dots$
 - Set the label of the root to $\{A_1\}$.
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-
- Proof by contradiction: assume that every finite subset is satisfiable but the formula is unsatisfiable
 - formula unsatisfiable, implies, semantic tableaux is closed
 - Then there must be a finite number of formula labelling each leaf node, each providing a finite subset of formula in S that is unsatisfiable
 - contradicts the assumption that every finite subset is satisfiable

What cannot be done in propositional logic

“All students registered in CS202 absolutely love it!”

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How to encode on propositional logic:

- p_A : A is a student
- $q_{A,B}$: A is registered in course B
- $r_{A,B}$: A loves course B

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$$(p_{Aniket} \wedge q_{Aniket,CS202} \rightarrow r_{Aniket,CS202}) \wedge (p_{Shashwat} \wedge q_{Shashwat,CS202} \rightarrow r_{Shashwat,CS202}) \wedge \dots \wedge p_{Ayush} \wedge q_{Ayush,CS202} \rightarrow r_{Ayush,CS202}$$

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Need for more powerful logics:

- Support for predicates (eg. Loves(Student, Course))
- Support for quantifiers

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Need for more powerful logics:

- Support for predicates (eg. $\text{Loves}(\text{Student}, \text{Course})$)
- Support for quantifiers

$$\forall X, Y. \text{Student}(X) \wedge \text{Registered}(X, \text{CS202}) \rightarrow \text{Loves}(X, \text{CS202})$$