Bateman Equations Derivation*

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The purpose of this paper is to derive the Bateman equations, which govern the isotopic composition of a material exposed to a neutron flux.

All quantities discussed can be position-dependent; this dependence is omitted from notation. Consider a point in space which has n isotopes. Let $N_i(t)$ denote the number density (units of atoms/cm³) of isotope i, λ_{ji} denote the decay constant for isotope i to isotope j (typically through β -decay, units of s⁻¹), and $\sigma_{ji}(E)$ denote the microscopic transmutation cross-section for isotope i to isotope j. Normally this is a radiative capture cross-section, often denoted σ_c or σ_γ ; in this case, isotope j is of the same element as i, but with one more neutron. Microscopic cross-sections have the units of b, or "barns", equal to 10^{-24} cm². Lastly, let $\phi(t, E)$ be the energy-dependent scalar neutron flux density, units of n/cm²s.

Note, that in all cases, two indices of a quantity are always ordered as (to, from). This is an often-used convention in neutron transport.

To derive the rate of change in N_i , we find expressions for all of the loss and the gain mechanisms of isotope i, and balance them.

There are two gain mechanisms for isotope i:

- 1. Decay from another isotope j to isotope i.
- 2. Transmutation, due to exposure to neutron flux, of another isotope j to isotope i. Similarly, there are two loss mechanisms for isotope i:
- 1. Decay of isotope i to another isotope j.
- 2. Transmutation, due to exposure to neutron flux, of isotope i to another isotope j.

All four mechanisms above can be characterized through their rate densities: the density in geometric space of the rate of each transmutation/decay occurring. This quantity has the units of events/cm³s, or simply $1/\text{cm}^3$ s. The rate of change of the number density of an isotope, dN_i/dt , has the same units.

Decay rate densities from another isotope j to isotope i is governed by:

$$\lambda_{ij}N_{j}\left(t\right).\tag{1}$$

 $\phi(t, E)$ is a density in energy, as well as in space.¹ The density in space and energy of some reaction type R rate is given by:

$$\Sigma_R(t, E) \phi(t, E), \qquad (2)$$

^{*}Adapted from http://neutron.kth.se/courses/transmutation/Bateman/Bateman.html

¹See Fundamental Definitions document for details.

in which $\Sigma_R(t, E)$ is the macroscopic cross-section of the corresponding reaction type. Integrating Eq. (2) over all energies yields the reaction rate density in space:

$$\int_{0}^{\infty} dE \, \Sigma_{R}(E) \, \phi(t, E). \tag{3}$$

Let the reaction type R above be the transmutation reaction from isotope j to isotope i. The corresponding cross-macroscopic cross-section $\Sigma_{ij}(E)$ can then be given by:

$$\Sigma_{ij}(t, E) = N_j(t) \sigma_{ij}(E). \tag{4}$$

Substituting Eq. (4) into (3) yields the transmutation rate density from isotope j to isotope i:

$$\int_{0}^{\infty} dE \, N_{j}(t) \, \sigma_{ij}(E) \, \phi(t, E) = \left[\int_{0}^{\infty} dE \, \sigma_{ij}(E) \, \phi(t, E) \right] N_{j}(t) \,. \tag{5}$$

Summing Eqs. (1) and (5) over all isotopes j other than isotope i, and factoring the common $N_j(t)$ multiplier out of the individual gain terms yields the total gain rate density of isotope i:

$$\sum_{\text{all } i \neq i} \left[\left(\lambda_{ij} + \int_0^\infty dE \, \sigma_{ij} \left(E \right) \phi \left(t, E \right) \right) N_j \left(t \right) \right]. \tag{6}$$

Loss mechanisms are quantified similarly. The decay rate density of isotope i to isotope j is, similarly to Eq. (1), given by:

$$\lambda_{ii}N_i\left(t\right). \tag{7}$$

The transmutation rate density of isotope i to isotope j is, similarly to Eq. (5), given by:

$$\left[\int_{0}^{\infty} dE \,\sigma_{ji}\left(E\right)\phi\left(t,E\right)\right] N_{i}\left(t\right). \tag{8}$$

Summing Eqs. (7) and (8) over all isotopes j other than isotope i, and factoring the commong $N_i(t)$ multiplier out of the sum entirely, yields the total loss rate density of isotope i:

$$\left[\sum_{\text{all }j\neq i} \left(\lambda_{ji} + \int_0^\infty dE \,\sigma_{ji}\left(E\right)\phi\left(t,E\right)\right)\right] N_i\left(t\right). \tag{9}$$

Subtracting Eq. (9) from Eq. (6) yields the net rate of change in isotope i density:

$$\frac{\mathrm{d}}{\mathrm{d}t}N_{i}\left(t\right) = \sum_{\mathrm{all}\ j\neq i} \left[\left(\lambda_{ij} + \int_{0}^{\infty} dE\ \sigma_{ij}\left(E\right)\phi\left(t,E\right)\right)N_{j}\left(t\right) \right] - \left[\sum_{\mathrm{all}\ j\neq i} \left(\lambda_{ji} + \int_{0}^{\infty} dE\ \sigma_{ji}\left(E\right)\phi\left(t,E\right)\right) \right]N_{i}\left(t\right). \tag{10}$$

Equation (10) is the full Bateman equation; when constructed for all n isotopes i in the system, it forms a system of n ordinary differential equations. These equations are linear,

but not constant coefficient, and so must be integrated numerically. MATLAB's ode15s command is one of the easier ways to integrate this ODE system.

In many practical cases, the time-dependence of $\phi(t, E)$ is very weak, because for a reactor operating at a steady power level, the flux must be steady as well. Assuming a time-invariant flux $\phi(t, E) = \phi(E)$ allows us to integrate the energy integrals in Eq. (10):

$$\int_{0}^{\infty} dE \,\sigma_{ij}(E) \,\phi(E) = \sigma_{ij}\phi,\tag{11a}$$

$$\int_{0}^{\infty} dE \,\sigma_{ji}(E) \,\phi(E) = \sigma_{ji}\phi,\tag{11b}$$

in which σ_{ij} , σ_{ji} and ϕ are all constants. Note, that to construct these constants, $\phi(E)$ must still be known, or assumed. They are known as one-group cross-sections/fluxes, and are usually known prior to integrating the Bateman equations.

Let $\overline{\mathbf{N}}(t)$ be the $n \times 1$ vector of isotopic number densities, and \mathbf{M} be the $n \times n$ constant coefficient matrix. M_{ij} is the row i, column j element of \mathbf{M} , given by:

$$M_{ij} = \lambda_{ij} + \sigma_{ij}\phi. \tag{12}$$

Lastly, let $\vec{\mathbf{N}}^0$ be the vector of initial isotopic number densities. With the above simplifications, we can rewrite the Bateman equations as follows:

$$\frac{\mathrm{d}}{\mathrm{d}t}\vec{\mathbf{N}}(t) = \mathbf{M}\vec{\mathbf{N}},\tag{13}$$

which has the following analytical solution:

$$\vec{\mathbf{N}}(t) = \exp(\mathbf{M}t) \vec{\mathbf{N}}^{0}, \tag{14}$$

in which the expm function is the matrix exponential. Note, that Eq. (14) can only be used to solve Eq. (13) if M is constant; the more general Eq. (10) requires numeric integration.