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## Practice Problems: Hashing

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**Problem 3-1.** [CLRS 11.4-4] Suppose that we use double hashing to resolve collisions—that is, the hash function is defined as  $h(k, i) = (h_1(k) + i \cdot h_2(k)) \bmod m$ . Show that if  $m$  and  $h_2(k)$  have a greatest common divisor  $d \geq 1$  for some key  $k$ , then an unsuccessful search for key  $k$  examines  $(1/d)$ th of the hash table before returning to slot  $h_1(k)$ .

**Problem 3-2.** [CLRS 11-4] Let  $\mathcal{H}$  be a class of hash functions in which each hash function  $h \in \mathcal{H}$  maps the universe  $U$  of keys to  $\{0, 1, \dots, m-1\}$ . We say that  $\mathcal{H}$  is  $t$ -universal if, for any given sequence of  $t$  distinct keys,  $k_1, k_2, \dots, k_t$ , and for any  $h$  chosen uniformly at random from  $\mathcal{H}$ , the sequence  $h(k_1), h(k_2), \dots, h(k_t)$  is equally likely to be any one of the  $m^t$  sequences of length  $t$  drawn from  $\{0, 1, \dots, m-1\}$ .

- a. Show that if  $\mathcal{H}$  is a 2-universal family, then,  $\mathcal{H}$  is universal.
- b. Construct a specific family  $\mathcal{H}$  that is universal, but not 2-universal, and justify your answer. Write down the family as a table, with one column per key, and one row per function. Try to make  $m$ ,  $\mathcal{H}$ , and  $U$  as small as possible.
- c. Suppose that the universe  $U$  is the set of  $n$ -tuples of values drawn from  $\mathbb{Z}_p = \{0, 1, \dots, p-1\}$ , where,  $p$  is prime. Consider an element  $x = (x_0, x_1, \dots, x_{n-1}) \in U$ . For any  $n$ -tuple  $a = (a_0, a_1, \dots, a_{n-1}) \in U$ , define the hash function  $h_a$  by

$$h_a(x) = \left( \sum_{j=0}^{n-1} a_j x_j \right) \bmod p .$$

Let  $\mathcal{H} = \{h_a\}$ . Show that  $\mathcal{H}$  is universal but not two universal. (*Hint:* Find a key for which all hash functions in  $\mathcal{H}$  produce the same value.)

- d. Suppose that we modify  $\mathcal{H}$  slightly from part (b): for any  $a \in U$  and for any  $b \in \mathbb{Z}_p$ , define

$$h_{a,b}(x) = \left( \sum_{j=0}^{n-1} a_j x_j + b \right) \bmod p .$$

Let  $\mathcal{H} = \{h_{a,b} \mid a \in U, b \in \mathbb{Z}_p\}$ . Show that  $\mathcal{H}$  is 2-universal.

- e. *Application to authentication.* Suppose that *Ranbir* and *Katrina* secretly agree on a hash function  $h$  from a 2-universal family  $\mathcal{H}$  of hash functions, where, each  $h \in \mathcal{H}$  maps the universe of keys  $U$  to  $\mathbb{Z}_p$ , where,  $p$  is prime. Now *Ranbir* sends a message  $m$  over the internet to *Katrina* and *authenticates* this message by also sending a tag  $t = h(m)$ . *Katrina* receives the pair

$(m, t)$  and verifies that indeed  $h(m) = t$ . If the verification succeeds, then she accepts the message, and otherwise discards it. However, there could be many a snooping *Mr. Mediaman* on the internet who can intercept the message  $(m, t)$  and replace it with  $(m', t')$  and deliver it to *Katrina*. Suppose that the snooping *Mediaman* knows the hash family  $\mathcal{H}$  (but not the choice  $h$  agreed upon by *Ranbir* and *Katrina*). Show that the probability with which *Mr. Mediaman* may succeed in fooling *Katrina* is at most  $1/p$ , irrespective of how much computing power the *Mediaman* has.

**Problem 3-3. [Rolling Hash Functions for Pattern Matching]** You are given a large piece of text in the string of characters  $T[1 \dots n]$ . Given a (significantly shorter) pattern  $P[1 \dots m]$ , the problem is to determine whether  $P$  occurs in  $T$ , that is, if there exists some shift position  $0 \leq s \leq n - m + 1$  such that  $P[j] = T[s + j]$ , for each  $j = 1, \dots, m$ . Assume that each character is drawn from an alphabet of 64 characters (to allow upper-case and lower case characters and digits). We can represent any  $m$ -character string  $C[0, \dots, m - 1]$  uniquely as a (large) integer  $N(C[0 \dots m - 1])$  as follows:

$$N(C[0 \dots m - 1]) = C[0] + C[1] \cdot 2^6 + C[2] \cdot 2^{12} + \dots + C[m - 1] \cdot 2^{6(m-1)}$$

- a. Let  $h$  be a hash function that maps  $m$  character strings to  $\mathbb{Z}_p$  (where  $p$  is a large prime). Further suppose that  $h$  is perfect, that is, for  $x \neq y$ ,  $h(x) \neq h(y)$ . Assume that you can calculate the hash value of  $m$ -character strings in time  $O(m)$ . Design an algorithm that given the text string  $T[1 \dots n]$  and the pattern string  $P[1 \dots m]$ , returns all positions in  $T$  where  $P$  occurs, in worst-case time  $O(mn)$ .
- b. Suppose  $h$  is not necessarily perfect. Extend the previous algorithm to return all positions in  $T$  where  $P$  occurs, in worst-case time  $O(mn)$ .
- c. Fix a prime  $p$  and define the hash function

$$h_p(x) = x \mod p .$$

This hash function can be used to hash any  $m$ -character string  $A[i \dots i + m - 1]$  by first converting it into an equivalent large number  $N(A[i \dots i + m - 1])$  (as shown above) and then calculating

$$N(A[i \dots i + m - 1]) \mod p .$$

Show how to calculate the hash value of the string  $A[(i + 1) \dots (i + m)]$  in  $O(1)$  time if the hash value corresponding to the string  $A[i \dots (i + m - 1)]$  has already been computed and its value is known.

- d. Let  $p$  be a prime in the range  $[2, cn^d]$  for some positive constant  $c$ . Let  $h_p(x) = x \mod p$  and let  $\mathcal{H}$  be the family of hash functions  $\mathcal{H} = \{h_p \mid p \text{ is prime and } 2 \leq p \leq cn^{2d}\}$ . Let  $P$  be the given pattern string of  $m$  characters and let  $T[i \dots i + m - 1]$  be any  $m$ -length substring of  $T$ . Suppose  $n > m$ . Show that

$$\Pr_p [h_p(N(P)) = h_p(N(T[i \dots i + m - 1]))] \leq O\left(\frac{\log(cn^d)}{cn^d}\right)$$

holds for an appropriate choice of  $c$ . **Hint:** You could use the following two number theoretic facts: (1) an integer  $x$  has at most  $\log x$  prime factors, and, (2) the *Prime Number Theorem*: there are  $\Theta(x/\log(x))$  prime numbers in the range  $[2, x]$ .