Normalization

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Lossless Decomposition

Dependency Preservation

Guidelines followed in Designing Good Database

# Database Management System 16 Normalization

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#### **Normalization**

Normalization is the process of decomposing or breaking a relation schema R into fragments (i.e. smaller schemas)  $R_1$ ,  $R_2$ , ...  $R_n$  such that the following conditions hold:

- Lossless decomposition: The fragments should contain the same information as the original relation
- Dependency preservation: All the functional dependencies should be preserved within each fragment R<sub>i</sub>
- Good form: Each fragment R<sub>i</sub> should be free from any type of redundancy

In other words, normalization is the process of refining the relational data model. It is used because of the following reasons:

- It improves database design
- It ensures minimum redundancy of data
- It removes anomalies for database activities

### Lossless Decomposition

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## **Lossless Decomposition**

The decomposition of a base relation is said to be lossless if the original relation can be recovered back by joining the fragment relations

Let R be the base relation, which is decomposed into  $R_1$ ,  $R_2$ , ...  $R_n$ . This decomposition is lossless iff  $R=R_1\bowtie R_2\bowtie...\bowtie R_n$ . In other words, the consecutive fragments are interrelated by the primary key and foreign key relationships

 $R \begin{bmatrix} A & B \\ a & 1 \\ a & 2 \\ b & 1 \\ b & 2 \end{bmatrix}$   $R_{1} \quad R_{2} \begin{bmatrix} A & B \\ a & 1 \\ a & 2 \\ \hline A & B \\ a & 1 \\ b & 2 \end{bmatrix}$   $R_{1} \bowtie R_{2} \begin{bmatrix} A & B \\ a & 1 \\ a & 2 \\ b & 1 \end{bmatrix}$ 

As  $R_1 \bowtie R_2 = R$ , the decomposition is lossless

## **Lossless Decomposition...**

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## Lossless Decomposition Dependency Preservation

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	Α	В
R	а	1
٠.	а	2
	b	1

$$R_1$$
  $\begin{bmatrix} A \\ a \\ b \end{bmatrix}$ 

$$R_2$$
 $\begin{bmatrix} B \\ 1 \\ 2 \end{bmatrix}$ 

$$R_1 \bowtie R_2$$

$$\begin{vmatrix} A & B \\ a & 1 \\ a & 2 \\ b & 1 \\ b & 2 \end{vmatrix}$$

As  $R_1 \bowtie R_2 \neq R$ , the decomposition is lossy

#### **Lossless Decomposition...**

When the base relation schema is decomposed into the fragmented relation schemas, the consecutive relations should be related by primary key - foreign key pair on the common column; so that natural join be possible on the common column. Thus, we have to check whether the common column is the key of any relation or not:

- If the common column is the key, the decomposition is lossless
- If the common column is not the key, the decomposition is lossy

Ex: R(A, B, C, D) with F={A $\rightarrow$ B, B $\rightarrow$ C, C $\rightarrow$ D} is decomposed to  $R_1$ (A, B, C) and  $R_2$ (C, D) with  $F_1$ ={A $\rightarrow$ B, B $\rightarrow$ C} and  $F_2$ ={C $\rightarrow$ D} respectively

Ex: R(A, B, C, D) with F={A $\rightarrow$ B, B $\rightarrow$ C, D $\rightarrow$ C} is decomposed to  $R_1$ (A, B, C) and  $R_2$ (C, D) with  $F_1$ ={A $\rightarrow$ B, B $\rightarrow$ C} and  $F_2$ ={D $\rightarrow$ C} respectively

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## **Dependency Preservation**

The decomposition of a relation schema R with FDs F is a set of fragment relations  $R_i$  with FDs  $F_i$ , where  $F_i$  is the subset of dependencies in  $F^+$  that include only attributes in  $R_i$ . The decomposition is dependency preserving if and only if

$$(\cup_i F_i)^+ = F^+$$

$$R = (A, B, C) \text{ and } F = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$$

	Α	В	C
	1	2	3
R	2	2	3
	2	2	3
	4	3	4

$$R_1 = (A, B) \text{ and } F = \{A \rightarrow B\}$$

$$\begin{vmatrix}
A & B \\
1 & 2 \\
2 & 2 \\
3 & 2 \\
4 & 3
\end{vmatrix}$$

$$R_2 = (A, C) \text{ and } F = \{A \rightarrow C\}$$

$$\begin{vmatrix} A & C \\ 1 & 3 \\ 2 & 3 \\ 3 & 4 & 4 \end{vmatrix}$$

The decomposition is lossless because  $R_1 \bowtie R_2 = R$ 

But, the decomposition is not dependency preserving because  $(F_1 \cup F_2)^+ = \{A \rightarrow B, A \rightarrow C\}$ 

Thus,  $(F_1 \cup F_2)^+ \neq F^+$  as we lost the FD B $\rightarrow$ C

$$R_1 = (A, B) \text{ and } F = \{A \rightarrow B\}$$

$$\begin{bmatrix}
A & B \\
1 & 2 \\
2 & 2 \\
3 & 2 \\
4 & 3
\end{bmatrix}$$

The decomposition is lossless because  $R_1 \bowtie R_2 = R$ 

Similarly, the decomposition is dependency preserving because  $(F_1 \cup F_2)^+ = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$ 

Thus, 
$$(F_1 \cup F_2)^+ = F^+$$

## **Guidelines followed in Designing Good Database**

#### **Guideline 1**

Design a relation schema so that it is easy to explain its meaning. Do not combine attributes from multiple entity sets and relationship sets into a single relation

If a relation schema corresponds to one entity set or one relationship set, then the meaning tends to be simple and clear. Otherwise, the relation corresponds to a mixture of multiple entities and relationships and hence becomes complex and unclear

Only foreign keys should be used to refer to other entities. Entity and relationship attributes should be kept apart as much as possible

Bottom Line: design the schemas that can be explained easily relation by relation. In such cases, the semantics of attributes should be easy to interpret

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#### **Guideline 2**

Design the base relation schemas in such a way that the anomalies such as insertion, deletion, or updation anomalies are removed from the relations

If any anomalies are present, note them clearly and make sure that the programs that modify (update) the database will operate correctly

#### **Guideline 3**

Avoid placing attributes in a base relation whose values may frequently be NULL

If NULLs are unavoidable, make sure that they apply in exceptional cases only and do not apply to a majority of tuples in the relation

Attributes that are NULL frequently could be placed in separate relations (with the primary key)

## **Guidelines followed in Designing Good Database...**

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#### **Guideline 4**

Design the relation schemas so that they can be joined in a such a way that no spurious tuples are generated

Avoid relations that contain matching attributes that are not (foreign key and primary key) combinations, because joining on such attributes may produce spurious tuples