

## NP Completeness

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→ **polynomial-time Algorithms:** It is an algorithm on objects of size  $n$ , worst-case running time is  $O(n^k)$  for a constant  $k$ .

- Not all the problems can be solved in polynomial time.
- Example: Turing's Halting problem.
- Some problems can't be solved by any computer no matter how much time is provided - such problems are called undecidable.
- Some problems can be solved but not in  $O(n^k)$  time.
- A decision problem is a problem where the solutions are either yes or no / 0 or 1 / true or false.
- A problem is polynomial-time solvable if there is an algorithm to solve it in time  $O(n^k)$ , for some constant  $k$ .
- The problems are categorised into:
  1. P class problem.
  2. NP class problem.
  3. NP-complete class problem.

**P class:** The set of decision problems that are solvable in polynomial time.

- Problems in P are also called tractable.
- Problems are not in P are called intractable. It can be solved in reasonable time only for small inputs.

→ **NP (Nondeterministic polynomial) class:**

The problems which are verifiable in polynomial time is called NP problem.

If we have a "certificate" of solution, we could verify that the certificate is correct in time polynomial to the size of the objects.

→ **Verification Algorithm**

A verification algorithm is an algorithm that takes two inputs:

1. An arbitrary object  $x$  (coded in binary)

2. A certificate  $y$  is with  $x$ .

and outputs 1 on correctly combining  $x$  and  $y$ .

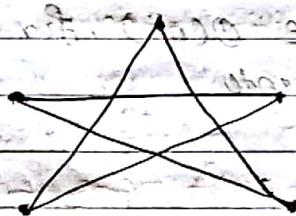
→ verification algorithm A verifies an input string  $x$   
if there exists a certificate  $y$  such that  
 $A(x, y) = 1$

→ Example: Hamiltonian Cycle

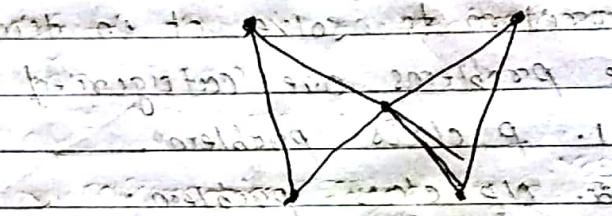
- \* In a directed graph  $G = \langle V, E \rangle$ , determine a simple cycle that contains each vertex in  $V$ . i.e. each vertex can only be visited only once except
- \* certificate: sequence:  $\langle v_1, v_2, v_3, \dots, v_{|V|} \rangle$

\* verification:

- (1)  $(v_i, v_{i+1}) \in E$  for  $i = 1, \dots, |V| - 1$
- (2)  $(v_{|V|}, v_1) \in E$



(Hamiltonian).



(Not Hamiltonian)

→ Examples of NP problems:

1. HAM-CYCLE = { $\langle G \rangle$  |  $G$  is a Hamiltonian graph}

2. CIRCUIT-SAT = { $\langle C \rangle$  |  $C$  is a satisfiable boolean circuit}

3. SAT = { $\langle \phi \rangle$  |  $\phi$  is satisfiable boolean formula}

4. CNF-SAT = { $\langle \phi \rangle$  |  $\phi$  is a satisfiable boolean formula in CNF}

5. 3-CNF-SAT = { $\langle \phi \rangle$  |  $\phi$  is a satisfiable boolean formula in CNF}

6. CLIQUE = { $\langle G, k \rangle$  |  $G$  is an undirected graph with a clique of size  $k$ }

7. VERTEX-COVER = { $\langle G, k \rangle$  | undirected graph  $G$  has a vertex cover of size  $k$ }

8. TSP = { $\langle G, c, k \rangle$  |  $G = \langle V, E \rangle$  is a complete graph}

c:  $V \times V \rightarrow \mathbb{Z}$  is a cost function,  $k \in \mathbb{Z}$  and

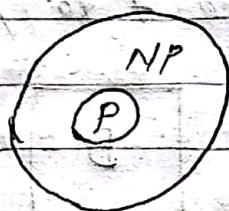
$G$  has a travelling salesman tour with cost at most  $k$

9. SUBSET-SUM = { $\langle s, t \rangle$  | there is a subset of  $s$  such that}

$\sum_{i \in S} s_i = t$

Note: A -p class problem belongs to NP

$$P \subseteq NP$$



### Deterministic Algorithm:

An algorithm is said to be deterministic if the result of every operation of an algorithm is unique.

e.g.  $(a > b)$

```
if (a > b)
    printf("a is greater");
else
    printf("b is greater");
```

### Non-Deterministic Algorithm:

An algorithm is said to be non-deterministic if the result of every operation of an algorithm is not unique.

i.e.  $\{0, 1\}$

```
for (i=0; i < n; i++)
    if (a[i] == k)
```

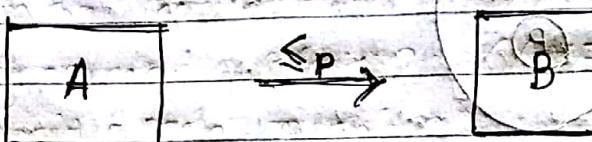
```
{     printf("Successful search");
        printf("Key element is found at position", i);
    }
```

```
else
    printf("Unsuccessful search");
```

```
return;
```

## Reduction:

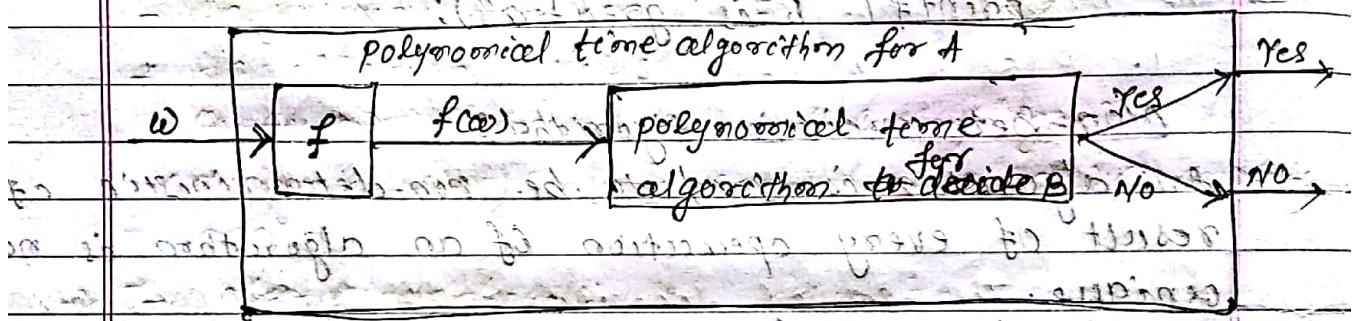
- Reduction is a tool for comparison between problems.
- If we can translate problem A to problem B



Then B is at least as hard as A.

- definition: Language A is polynomial-time reducible (i.e.  $A \leq_p B$ ) to language B if a polynomial-time computable function  $f: \Sigma^* \rightarrow \Sigma^*$  exists such that for every  $w$ ,  
 $w \in A \iff f(w) \in B$  ( $\therefore w$  is input to an algo A)

Here  $f$  is called the polynomial-time reduction of A to B.



- To solve a decision problem A in polynomial-time

1. Use a polynomial-time reduction algorithm to transform A into B.

2. Run a known polynomial-time algorithm for B.

3. Use the answer for B as the answer for A.

- Given two problems A, B, we say that A is reducible to B ( $A \leq_p B$ ) if:

1. There exist a function  $f$  that converts the inputs of A to inputs of B in polynomial time.

2.  $A(i) = \text{YES} \iff B(f(i)) = \text{YES}$

## How to Reduce

1. construct  $f$

2. show  $f$  is polynomial-time computable.

3. prove  $f$  is a reduction i.e. show

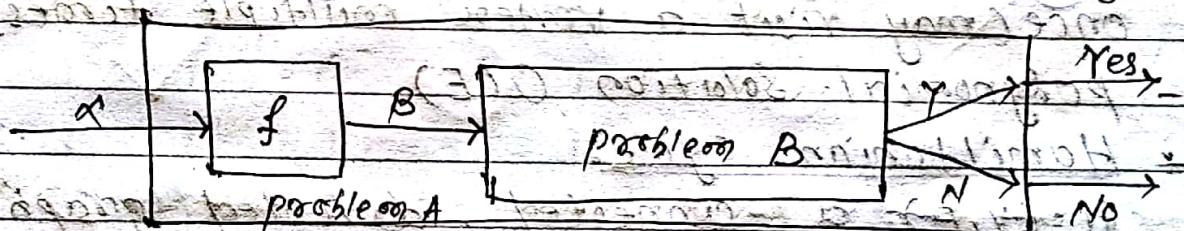
(i) If  $w \in A$  then  $f(w) \in B$

(ii) If  $f(w) \in B$  then  $w \in A$

## NP-Completeness

- A problem  $B$  is NP-complete if:
  - $B \in NP$
  - $\forall A \in NP \quad A \leq_p B$  for all  $A \in NP$
- If  $B$  satisfies only property ② we say that  $B$  is NP-hard.
- problems which can't be solved in a polynomial-time are called NP-hard problems.
- No polynomial algorithm has been discovered for an NP-complete problem.
- There is no polynomial-time algorithm exist for any NP-complete problem.

### Reduction and NP-completeness



→ Suppose we know:

- \* No polynomial-time algorithm exist for problem  $A$ .
- \* we have a polynomial reduction  $f$  from  $A$  to  $B$
- No polynomial-time algorithm exists from  $B$ .

proof of NP-completeness:

Theorem: If  $A$  is NP-complete and  $A \leq_p B$   
 $\Rightarrow B$  is NP-hard

In addition, if  $B \in NP$

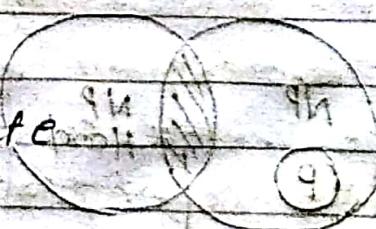
$\Rightarrow B$  is NP-complete

proof:

Assume that  $B \notin P$

since  $A \leq_p B \Rightarrow A \in P$  (contradiction!)

$\Rightarrow B$  is NP-hard



## P and NP-Complete Problems

### ① \* shortest-path problem:

- Given a graph  $G = (V, E)$  find the shortest path from a source to all other vertices
- polynomial solution:  $O(V^2)$

### \* Longest-path problem:

- Given a graph  $G = (V, E)$  find a longest path from a source to all other vertices
- NP-Complete

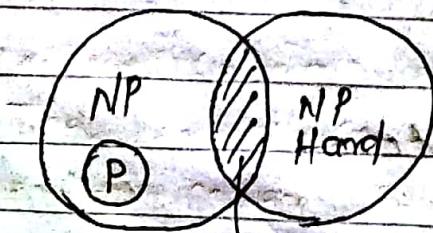
### ② \* Euler Tour:

- $(G = (V, E))$  a connected, directed graph find a cycle that traverses each edge of  $G$  exactly once (may visit a vertex multiple times)
- polynomial solution  $O(E)$

### \* Hamiltonian cycle:

- $(G = (V, E))$  a connected, directed graph find a cycle that visits each vertex of  $G$  exactly once
- NP-Complete

## Relationships Between P, NP and NP-complete



NP complete - A very simple

(computation)

## Satisfiability (SAT)

A boolean formula is satisfiable if there is a way to assign truth values (0 or 1) to the variables such that the final result is 1.

Example:  $f(x, y, z) = (x \wedge (y \vee \bar{z})) \vee (\bar{y} \wedge z \wedge \bar{x})$

x	y	z	$x \wedge (y \vee \bar{z})$	$(\bar{y} \wedge z \wedge \bar{x})$	$f(x, y, z)$
0	0	0	0	0	0
0	0	1	0	0	0
0	1	0	0	0	0
0	1	1	0	0	0
1	0	0	1	0	1
1	0	1	0	0	0
1	1	0	1	0	1
1	1	1	1	0	1

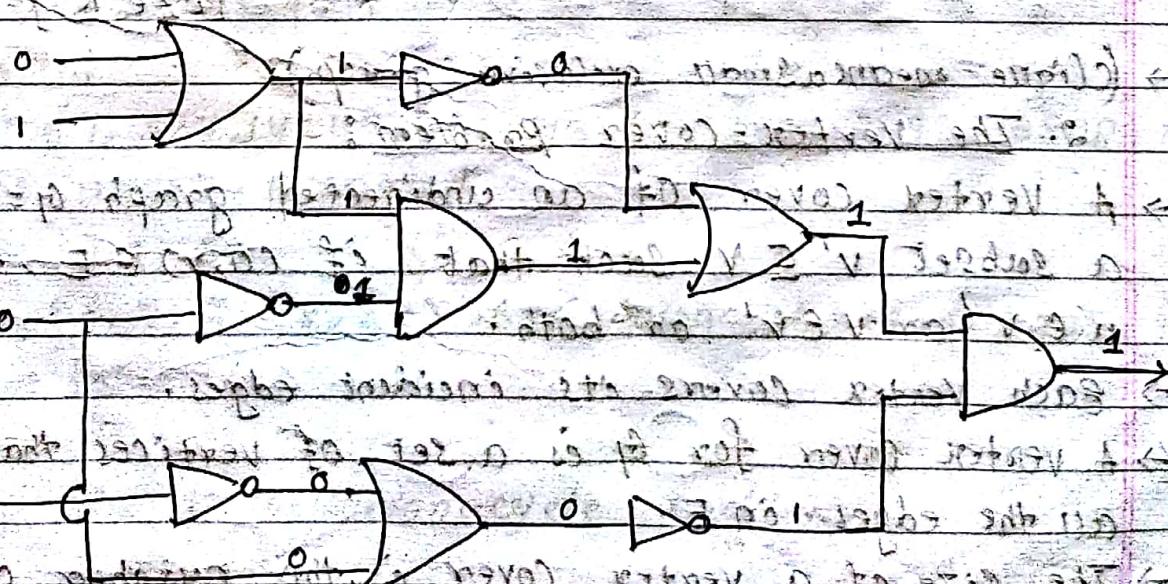
$x = (0, 1)$  feasible

$x = (1, 0)$  feasible

The assignment  $x=1, y=1, z=0$  makes  $f(x, y, z)$  true.  
Hence it is satisfiable.

## CIRCUIT SATISFIABILITY

A combinational circuit is called circuit-satisfiable if for set of inputs, output of this circuit should always be one.



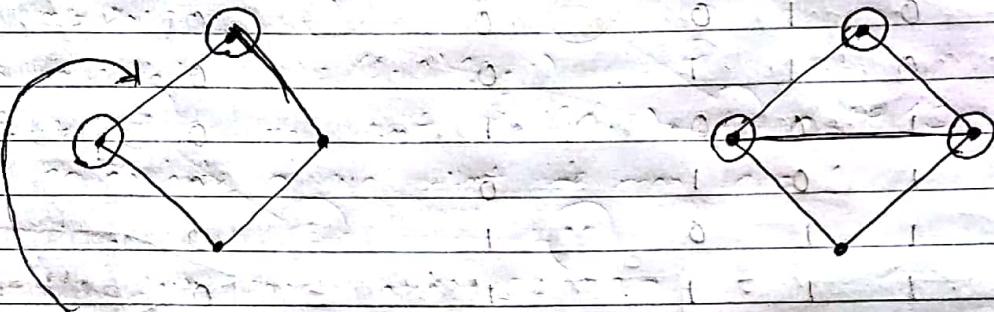
## NP-Complete Problems

at 1. The clique problem: A clique is an undirected graph  $G = (V, E)$  is a subset  $V' \subseteq V$  of vertices, each pair of which is connected by an edge in  $E$ .

✓ → A clique is a complete subgraph of  $G$ .

→ clique is a subset of vertices in  $V$  all connected to each other by edges in  $E$  (i.e. forming a complete graph).

✓ → The size of a clique is the number of vertices it contains.



$$\text{clique}(G, 2) = \text{Yes}$$

$$\text{clique}(G, 3) = \text{Yes}$$

$$\text{clique}(G, 4) = \text{No}$$

→ The clique problem is the optimization problem of finding a clique of maximum size in a graph.

→ CLIQUE =  $\{ \langle G, k \rangle : G \text{ is a graph with a clique of size } k \}$

→ (clique means a small exclusive group.)

### 2. The vertex-cover problem:

→ A vertex cover of an undirected graph  $G = (V, E)$  is a subset  $V' \subseteq V$  such that if  $(u, v) \in E$ , then  $u \in V'$  or  $v \in V'$  or both.

→ Each vertex covers its incident edges.

→ A vertex cover for  $G$  is a set of vertices that covers all the edges in  $E$ .

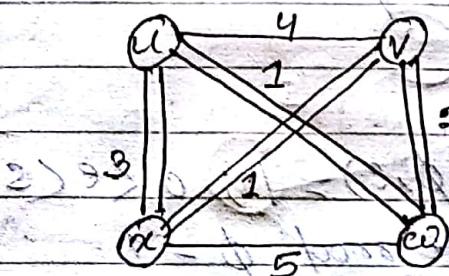
→ The size of a vertex cover is the number of vertices in it.

✓ → The vertex-cover problem is to find a vertex cover of minimum size in a given graph.

→ VERTEX-COVER =  $\{ \langle G, k \rangle : \text{graph } G \text{ has a vertex cover of size } k \}$

### 3. The Traveling-Salesman Problem:

- In traveling salesman problem, a salesman wishes to make a tour in cities or hamiltonian cycle means visiting each city exactly once and finishing at the city he starts from.
- There is an integer cost  $c(i, j)$  to travel from city  $i$  to city  $j$  and the salesman wishes to make the tour whose total cost is minimum, where the total cost is the sum of the individual costs along the edges of the tour.



$TSP = \{ (G, c, k) : G = (V, E) \text{ is a complete graph, } c \text{ is a function from } V \times V \rightarrow \mathbb{Z} \text{ and } k \in \mathbb{Z} \text{ and }$

$G$  has a travelling-salesman tour with cost at most  $k \}$

### 4. The subset-sum problem:

→ In the subset-sum problem, a finite set  $S \subseteq \mathbb{N}$  and a target  $t \in \mathbb{N}$ .

→ Here we find whether there is a subset  $S'$  of  $S$  whose elements sum to  $t$  giving  $S'$  by algorithm  $\Rightarrow$  LDI

→ Example:

$$S = \{1, 2, 7, 14, 49, 98, 343, 686, 2409, 2793, 16808, 17206, 117705, 117993\}$$

$$t = 138457$$

$$S' = \{1, 2, 7, 98, 343, 686, 2409, 17206, 117705\}$$

is a solution.

### 5. The Hamiltonian-cycle problem.