

# Leveraging contextual representations with BiLSTM-based regressor for lexical complexity prediction

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## ABSTRACT

- Lexical Complexity Prediction (LCP) determines how complex words or phrases are in a sentence.
- LCP helps improve language translation, readability assessment, and text generation.
- Challenges include technical words, grammar complexity, polysemy (multiple meanings), and word dependencies.
- The paper proposes ITRM-LCP, a model that integrates multiple transformer models for better complexity prediction.
- Fine-tunes transformer models with text-pair data to extract diverse contextual features.
- Uses a bidirectional LSTM-based regressor to capture long-term dependencies.
- Aggregates predictions from multiple models to get a final complexity score.
- Evaluated on CWI-2018 and SemEval LCP-2021 datasets.

# INTRODUCTION

- Text Simplification makes complex sentences easier to read using simpler words.
- It helps children, non-native speakers, and people with reading disabilities.
- Also useful for text summarization, machine translation, and text generation.

## Lexical Simplification (LS)

- A part of text simplification that replaces complex words with simpler alternatives.

Follows four steps:

1. Complex Word Identification (CWI) – Detects difficult words.
2. Substitution Generation – Finds simpler alternatives.
3. Word Sense Disambiguation – Ensures correct word meaning.
4. Synonym Ranking – Ranks substitutes by simplicity.

## Lexical Complexity Prediction (LCP)

- Determines how complex a word or phrase is in a sentence.
- Helps in choosing simpler words for text simplification.

### Challenges:

- Domain-specific words.
- Complex grammar.
- Context-dependent word meanings.

### Existing Approaches in LCP

- Early systems used morphological, lexical, and semantic features, but lacked context awareness.
- Recent transformer-based models (DeepBlueAI, Rivas Rojas, Yuan et al.) improved performance by capturing better context.

### Limitations:

1. Most models only use transformer final layers without extra neural networks.
2. Pairwise relationships (word-sentence context) are not well captured.

## Proposed Model: ITRM-LCP

- Integrated Transformer Regressor Model (ITRM) for LCP using multiple fine-tuned transformers.
- BiLSTM-based Regressor added on top of transformers to capture long-term dependencies.
- Various integration strategies tested to find the most effective method.
- Experimental evaluation using benchmark datasets shows improved performance over state-of-the-art methods.

## RELATED TOPIC

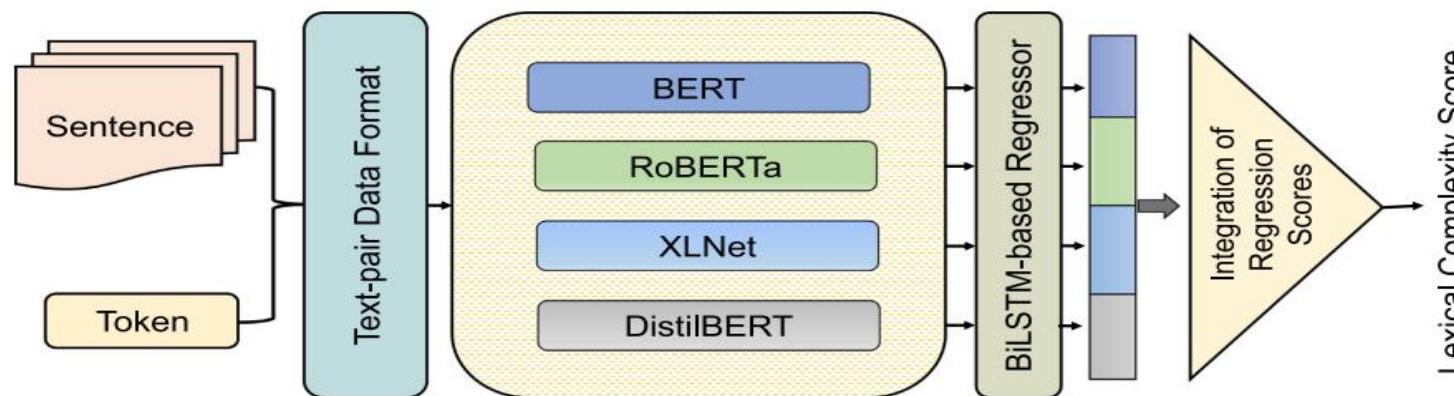
1. Complex Word Identification (CWI):
  - A crucial part of Lexical Simplification (LS).
  - Early methods relied on handcrafted features (e.g., word length, frequency).
  - Later methods used word embeddings and deep learning.
  - Recent approaches leverage transformer models for better results.
2. Handcrafted Features (HCF) & Machine Learning Approaches:
  - Used in early CWI models (e.g., SVM, decision trees).
  - Features included n-grams, word length, syntax, and semantics.
  - Hard to generalize across different tasks and domains.
3. Word Embeddings & Deep Learning Approaches:
  - Used embeddings like Word2Vec, GloVe, and ELMo.
  - Deep learning methods like CNNs and LSTMs improved performance.
  - Still struggled with capturing full contextual meaning.

#### 4. Transformer Models for LCP:

- BERT and its variants (RoBERTa, ALBERT, DistilBERT, XLNet) performed well.
- These models capture contextual meaning and long-term dependencies.
- Some systems used data augmentation and ensemble learning for better accuracy.

#### 5. Limitations & Motivation for Current Work:

- Many models focus on either single-word or multi-word tokens but not both.
- Pre-trained transformers alone may not fully capture pairwise relationships.
- Effective ensemble strategies can improve contextual learning for better LCP results.



**Fig. 1.** Schematic diagram of our proposed ITRM-LCP system. Transformer models are tuned on pairwise settings of sentences and tokens to generate the contextualized vectors. A BiLSTM-regressor module is plugged on the top of each transformer to enhance the feature learning representations. Finally, regression scores of each module are fused to get the final prediction.

# PROPOSED METHOD

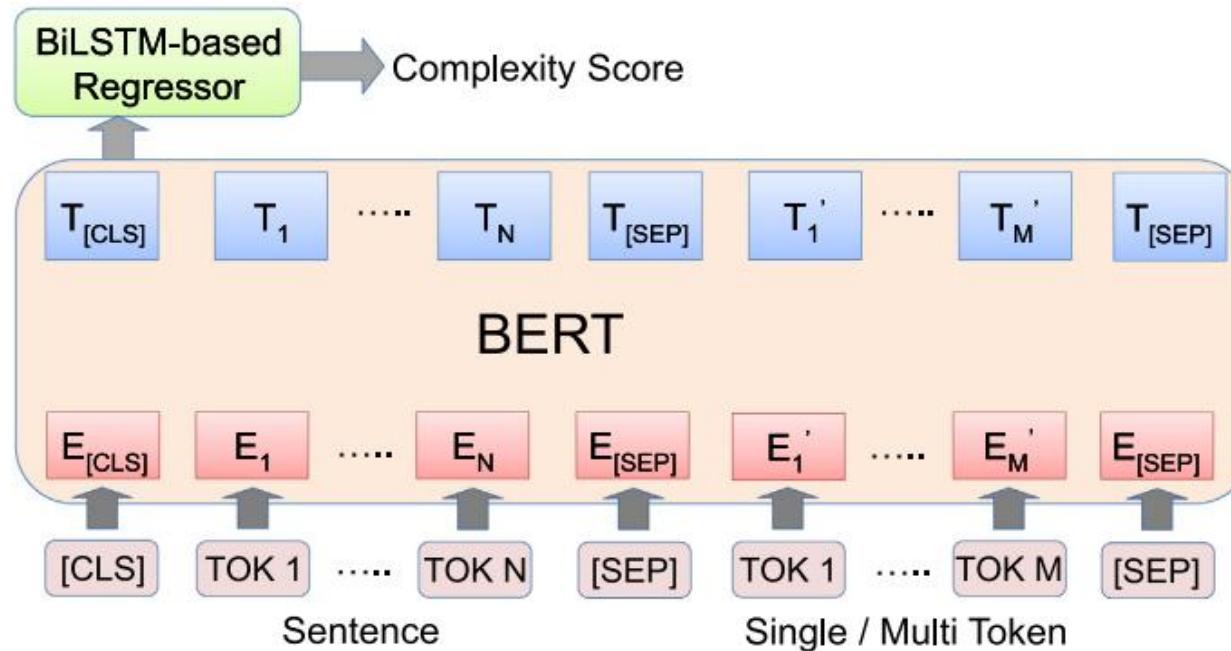


Fig. 2. To employ pairwise settings of an input representation, the tokenizer packed the sentence and token as a single sequence. Then, this input sequence ([CLS] Sentence [SEP] Token [SEP]) feeds into the BERT model. The last layer's hidden states vector output of the BERT is passed to the BiLSTM-regressor and finally predicts the complexity score.

## 1. Text-Pair Regression Task

- Input: Target word and sentence as a single packed sequence
- Output: Continuous lexical complexity score.

## 2. Transformer-Transformer Models for Contextual Features:

- Uses BERT, RoBERTa, XLNet, and DistilBERT for contextual embeddings.
- Fine-tuned on domain-specific datasets

## 3. BiLSTM-Based Regressor

- Applied on top of transformers to capture sequential dependencies.
- Uses forward and backward LSTMs for context-aware predictions.
- Includes max-pooling, dropout, and AdamW optimizer to enhance performance.

## 4. Integration of Model Predictions:

- Mean-based Fusion: Computes the arithmetic mean of predicted scores.
- Blending Integration: Uses base models' predictions as features for a meta-model (e.g., decision tree, linear regression, SVR, Bayesian ridge, etc.) to refine final scores.

## 5. Training Process:

- BERT's text-pair training approach is used.
- Loss function: Mean Squared Error (MSE).
- Dropout prevents overfitting.
- AdamW optimizer improves weight updates.

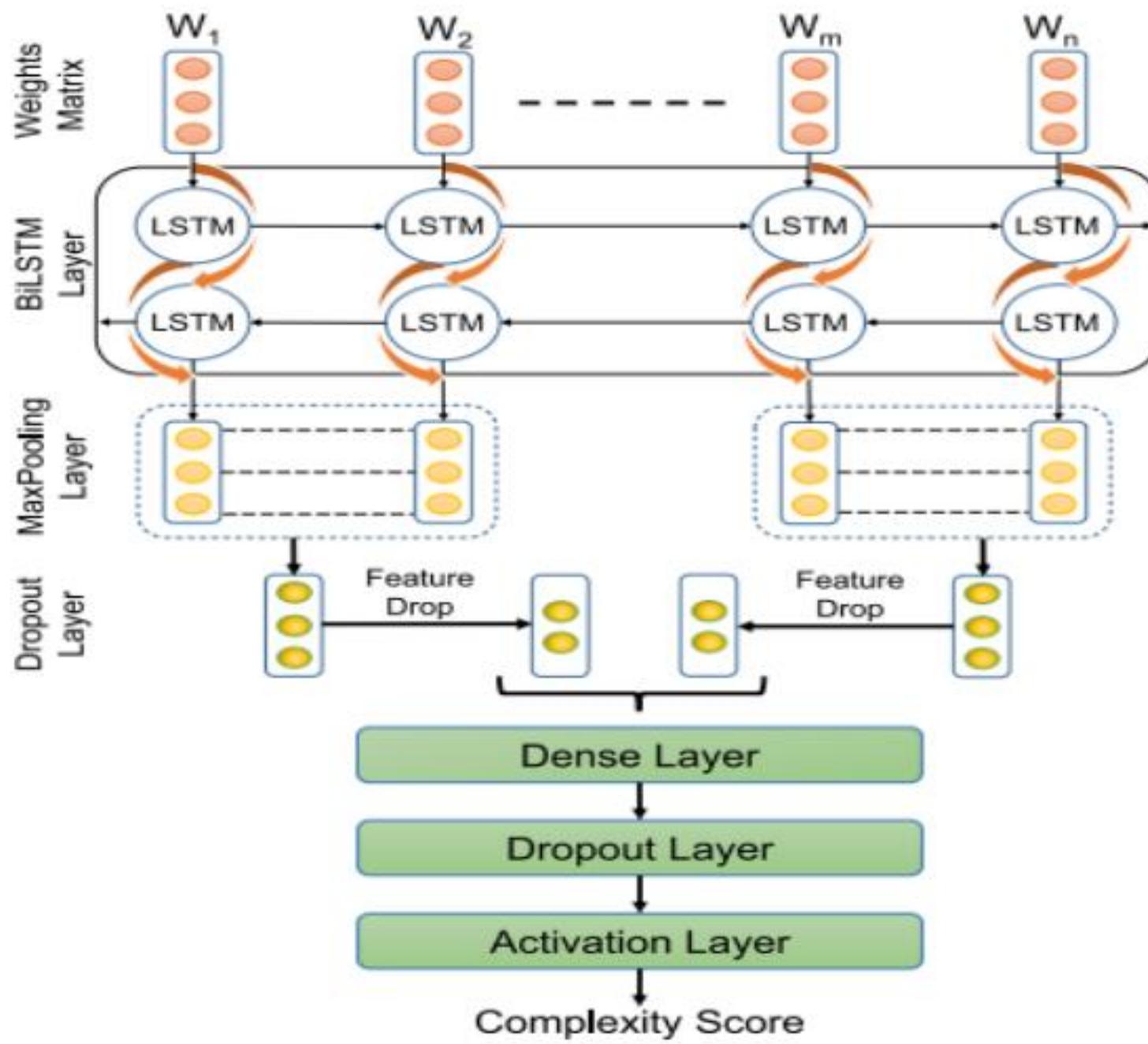


Fig. 3. Regressor architecture of our ITRM-LCP model. The features vector of the transformer passes to the BiLSTM layer as an input to learn context-based semantic association information. The MaxPooling layer filters the top features from the features vector. Later, two Dropout layers and a Linear layer uses for better feature selection and learning.

# EXPERIMENTS AND EVALUATION

## 4.1. Dataset

- CWIG3G2 Dataset: Used for the NAACL-HLT-2018 CWI task. It includes texts from News, Wikinews, and Wikipedia, annotated by native and non-native English speakers. The dataset contains single-word (SWIs) and multi-word expressions (MWEs).
- CompLex Dataset: Used for the SemEval-2021 LCP task. It includes texts from three domains: Bible, Biomed, and Europarl. The task involves predicting the complexity of SWIs and MWEs (limited to two words).

## 4.2. Model Configuration

- ITRM-LCP Model: Uses four pre-trained transformer models (BERT, RoBERTa, XLNet, DistilBERT) fine-tuned for the task. A BiLSTM-based regressor is added to each transformer to capture long-term dependencies and reduce overfitting.
- Training: Done on Google Colab using GPU. Hyperparameters like batch size, learning rate, and epochs were fine-tuned using grid search.

### 4.3. Evaluation Metrics

- Metrics used: Pearson correlation (R), Spearman correlation (Rho), Mean Absolute Error (MAE), Mean Squared Error (MSE), and R-squared ( $R^2$ ).
- Primary metrics: Pearson correlation for LCP-2021 and MAE for CWI-2018.

### 4.4. Experimental Results

- Integration Strategies (RQ1): Arithmetic mean integration performed better than blending, improving performance by ~1% on the LCP-2021 MWEs dataset.
- Baseline Systems (RQ2):
  - HCF-based baseline (handcrafted features) achieved Pearson scores of 0.7363 (SWIs) and 0.7861 (MWEs).
  - Transformer-based baseline (BERT) achieved 0.7525 (SWIs) and 0.8361 (MWEs).
  - ITRM-LCP outperformed both baselines, achieving 8.7% and 11% improvement over HCF, and 6.4% and 4.4% over the transformer baseline.

- Overall Performance (RQ2):
  - CWI-2018: Pearson correlation of 0.8273, MAE of 0.0520.
  - LCP-2021: Pearson correlation of 0.8365, MAE of 0.0599.
- Comparative Analysis (RQ2): ITRM-LCP outperformed state-of-the-art methods on both CWI-2018 and LCP-2021 datasets.
- Impact of Individual Transformers (RQ3): ITRM-LCP performed better than individual transformer models (BERT, RoBERTa, XLNet, DistilBERT) by 2.05% to 5.54% on SWIs and 2.54% to 4.35% on MWEs.
- Impact of BiLSTM (RQ4): Adding a BiLSTM-based regressor improved performance by 2.75% to 5.36% across transformer models.
- Genre-Based Comparison (RQ5): ITRM-LCP performed best on Biomed and Bible genres but struggled with Europarl due to domain-specific challenges like abbreviations (e.g., EU).

## 4.5. Discussion

- Scatter Plot Analysis: ITRM-LCP predictions closely matched true values, with a high  $R^2$  value of 0.7617.
- Computation Time: Training took 23.23 minutes, and prediction time was 0.11 seconds per instance after loading models.
- Feature Analysis: Transformer-based features contributed more to performance than handcrafted features like word frequency or syllables.
- Error Analysis: ITRM-LCP performed well on short sentences and domain-specific terms, though challenges remained with abbreviations and highly specialized vocabulary.

# CONCLUSION

## 1. Proposed Model:

- Integrated BERT, RoBERTa, XLNet, and DistilBERT for Lexical Complexity Prediction (LCP).
- Used pairwise learning to exploit sentence–word contextual relations.

## 2. BiLSTM-Based Regressor:

- Added on top of each transformer model to enhance feature learning.

## 3. Integration Strategy:

- Applied mean-based fusion of transformer predictions, improving performance.

## 4. Experimental Results:

- ITRM-LCP outperformed state-of-the-art LCP models.
- Analyzed model impact from multiple perspectives (BiLSTM, integration, genre-based performance).

## 5. Key Findings:

- BiLSTM + DNN on transformers provides better representations.
- Extracting general features is crucial for LCP tasks.

## 6. Future Work:

- Task-adaptive pre-training with genre-based sentences to enhance efficiency.
- Graph Neural Networks (GNNs) to capture global linguistic information.
- Expanding ITRM-LCP for lexical simplification, translation, and text generation.