# CS772: Deep Learning for Natural Language Processing (DL-NLP)

**NMT**, Cross Attention

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Week 10 of 11mar24

## **Neural Machine Translation**

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Guide: Prof. Pushpak Bhattacharyya

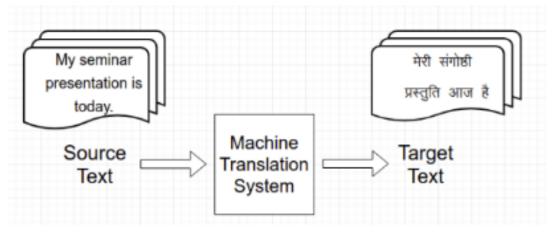
#### Topics To Be Discussed

- Introduction
- NMT: Encoder-Decoder Architecture
- Evaluation
- Data Filtering
- Data Augmentation
- Advanced NMT Approaches
- Demo

# Introduction

# What is Machine Translation (MT)?

- Automatic conversion of text from one language to another
  - Preserve the meaning
  - Fluent output text



# History of MT

- 1954: First public demo of MT by IBM
  - Georgetown IBM experiment
- 1956: First MT conference
- 1972: Logos MT system
  - Translating military manuals into Vietnamese
  - Rule based approach
- 1993: Statistical MT
  - IBM models
- 2013: Neural Machine Translation

# Why MT is hard?

Language Divergence

# Language divergence

- Languages express meaning in divergent ways
- Syntactic divergence
  - Arises because of the difference in structure
- Lexical semantic divergence
  - Arises because of semantic properties of languages

# Different kinds of syntactic divergence

Constituent order divergence (Word order)

English: He is waiting for him. Hindi: वह उसके लिए इंतजार कर रहा है।

Subject	He	e वह	
Verb	waiting	इंतजार कर रहा है	
Object	him	उसके	

Adjunction divergence

English: Delhi, the capital of India, has many historical buildings.

Hindi: भारत की राजधानी दिल्ली में बहुत सी एतिहासिक इमारतें हैं

Null subject divergence

English: I am going.

Hindi: जा रहा हूँ।।

# Different kinds of lexical semantic divergence

Conflational divergence

English: He stabbed him. Hindi: उसने उसे छुरे से मारा

Categorial divergence (Lexical category change)

English: They are competing.

Hindi: वे प्रतिस्पर्धा कर रहे हैं

 Head-swapping divergence (Promotion or demotion of logical modifier)
 English: The play is on.

Hindi: खेल चल रहा है

# The Vauquois Triangle

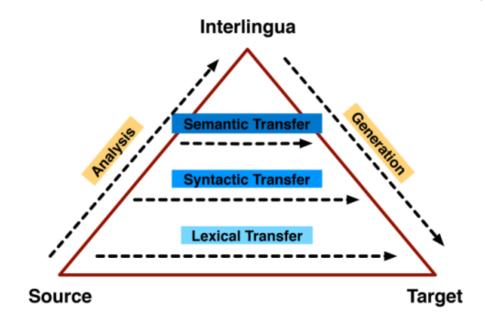
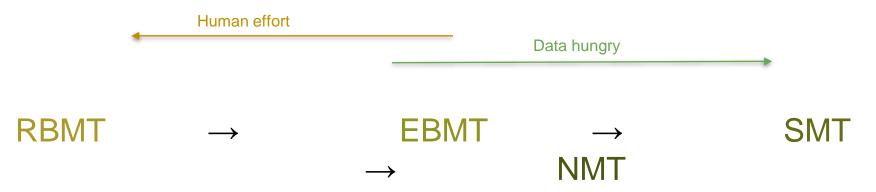


Image source: http://www.cs.umd.edu/class/fall2017/cmsc723/slides/slides15.pdf

#### Paradigms of Machine Translation



(Rule based machine translation) (Example based machine translation) (Statistical machine translation) (Neural machine translation)

Rules handmade by human expert → Rules by learning from data

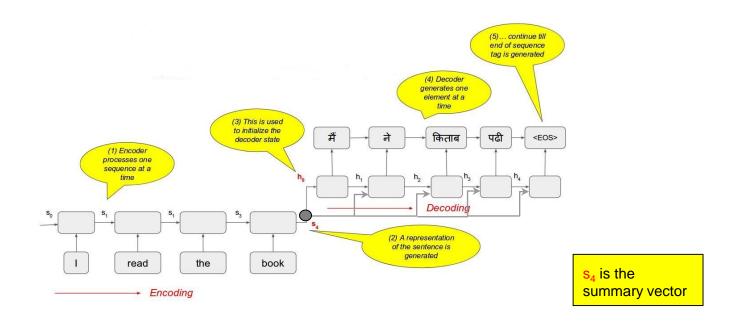
#### What is NMT?

- The task of MT is a sequence-to-sequence problem.
- It uses an encoder-decoder NN architecture with attention mechanism.
- NMT requires large parallel corpus.
- Here, we will discuss RNN-based and Transformer-based encoder-decoder architectures.

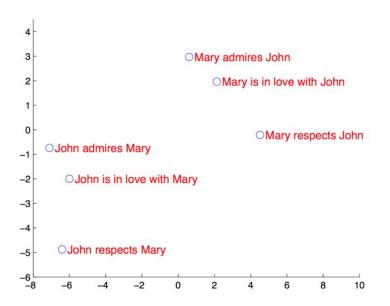
#### Why do we need NMT?

- In RBMT, EBMT, and SMT, there is no notion of similarity or relationship between symbolic representation of individual words.
- Ability to translate I go to school does not make these models capable of translating I went to college.
- However, Neural Network techniques work with distributed representations.
- NMT evaluates a single formula that explains all rules of the translation task. (Generalisation)

#### Simple RNN-based Encoder-Decoder Architecture



#### **Summary Vector Representation**



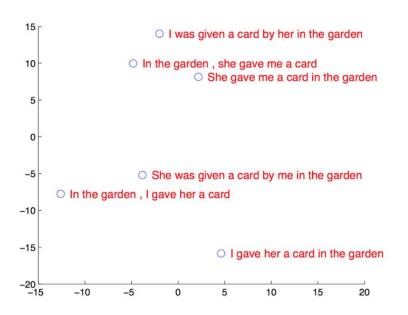


Image source- [9]

#### Problems with Simple Encode-Decode Paradigm (1/2)

#### What happens in enc-dec architecture?

- Encoding transforms the entire sentence into a single vector.
- 2. Decoding process uses this sentence representation for predicting the output.

#### **Problems:**

- Quality of prediction depends upon the quality of sentence embeddings.
- After few time-step, summary vector may lose information of initial words of input sentence.

#### Problems with Simple Encode-Decode Paradigm (2/2)

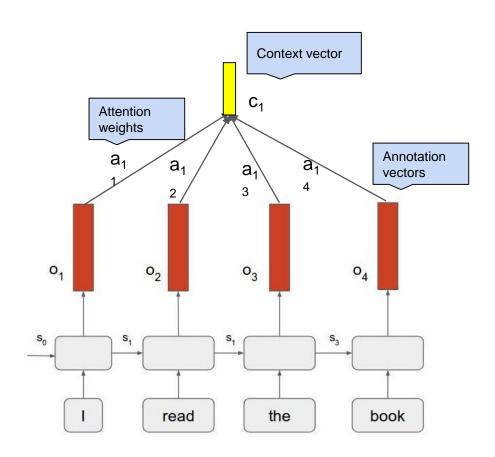
#### Possible Solution:

 For prediction at each time step, present the representation of the relevant part of the source sentence only.



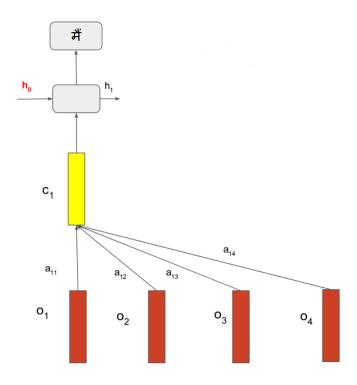
Attention-based encoder-decoder

#### **Annotation Vectors and Context Vectors**

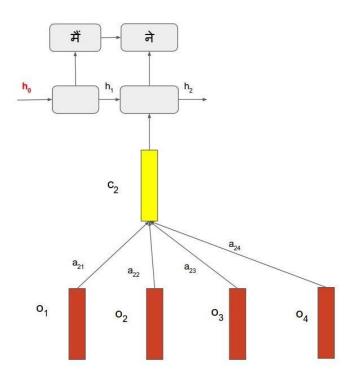


Attention weights are calculated from alignment scores which are output of another feed-forward NN which is trained jointly.

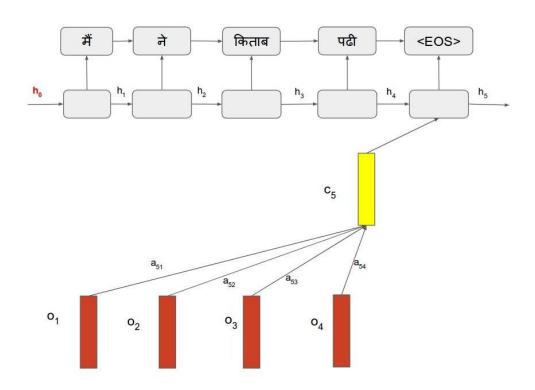
#### Attention-based Encoder-Decoder Architecture (1/3)



#### Attention-based Encoder-Decoder Architecture (2/3)

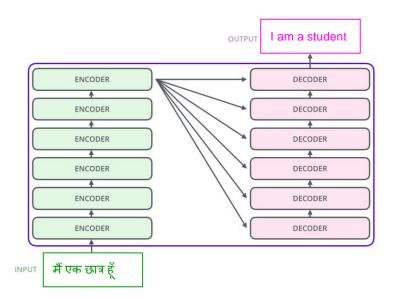


#### Attention-based Encoder-Decoder Architecture (3/3)



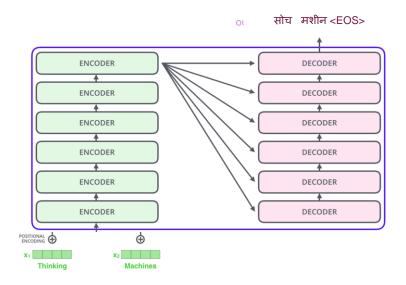
#### Transformer

- Motivations to choose Transformer over RNN:
  - Faster
  - More efficient.
- Architecture:
  - This is an encoder-decoder architecture with Transformers instead of RNNs.



#### Transformer: Embedding

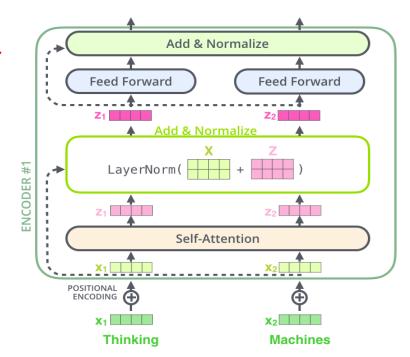
- Embedding:
  - Input of the encoder = f(word\_embedding, positional encoding)
  - To set a constant and small vector\_size of positional encoding, researchers apply a strategy using sinusoidal function for which model can translate long sentences of the training set.



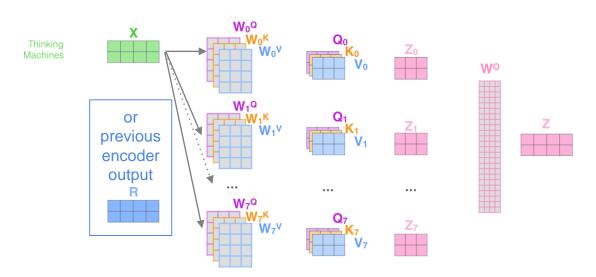
#### Transformer: Encoder

#### 1. Self attention:

- a. For each input token X, self attention mechanism generates an output vector Z of same size.
- b. Multi-head attention:
  - Input vectors are processed for multiple sets (heads) to get an output for each set.
  - ii. Outputs are combined and processed to get final encoder output *Z*.
- 2. Add and normalise: *LayerNorm(X+Z)*
- 3. Feedforward
- Add and normalise



#### Transformer: Multi-head attention



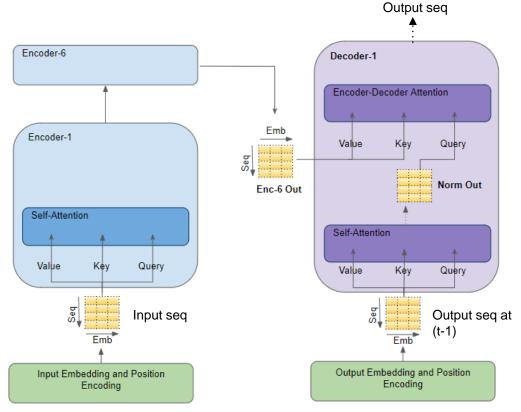
Q: Query; K: Key; V: Value.

- Multiply the input X (or output R of last encoder) with trainable W<sub>i</sub><sup>Q</sup>, W<sub>i</sub><sup>K</sup>, W<sub>i</sub><sup>V</sup> to get Q<sub>i</sub>, K<sub>i</sub>, V<sub>i</sub>, for each head i. (8 number of heads used).
- 2. Prepare  $Z_i$  of X for i-th head as  $\sum softmax((Q.K^T)/\sqrt{d})V^X$ , where d is size of Q.
- 3. Z<sub>i</sub> to Z conversion → concatenate then multiply with trainable W<sup>o</sup> to transform into a vector Z matching size of X.

#### Transformer: Enc-Dec Attention

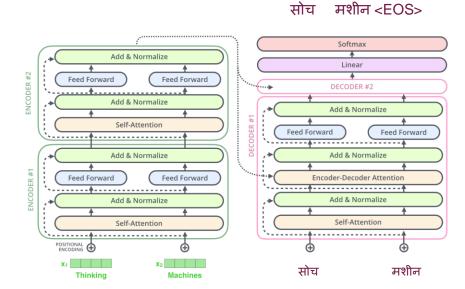
#### Same as self-attention, except:

 It takes the K and V from the output of the encoder stack and creates its Q from the layer below it.



#### Transformer: Decoder

- Self-attention: In decoder side the self-attention layer is only allowed to attend to earlier positions in the output sequence. This is done by masking future positions.
- 2. Add and normalize
- 3. Encoder-decoder attention
- 4. Add and normalize
- 5. Feedforward
- 6. Add and normalize

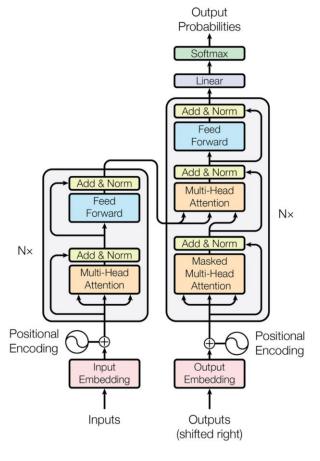


#### Transformer: Entire scenario

- Training: Similar to the training of a language model
  - Source sentence  $\mathbf{x}$ :  $(x_1, x_2, ..., x_n)$
  - Target sentence y:  $(y_1, y_2, ..., y_m)$
- Minimize the cross-entropy loss:

$$-\sum_{t=1}^n \log p_{ heta}(y_t|oldsymbol{x},oldsymbol{\hat{y}}_{< t})$$

Where,  $\hat{y}_t$  is a hypothesis, and  $\theta$  denotes the model parameters



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### Beam Search

 In greedy search, at each time step, one best hypothesis is considered, in beam search at each step b best hypothesis is considered.

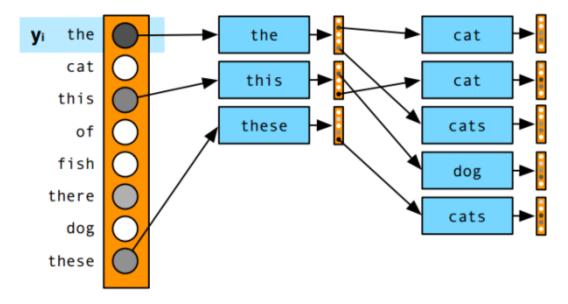


Image source: Philipp Koehn. Neural machine translation. CoRR, abs/1709.07809, 2017.

# **NMT** Evaluation

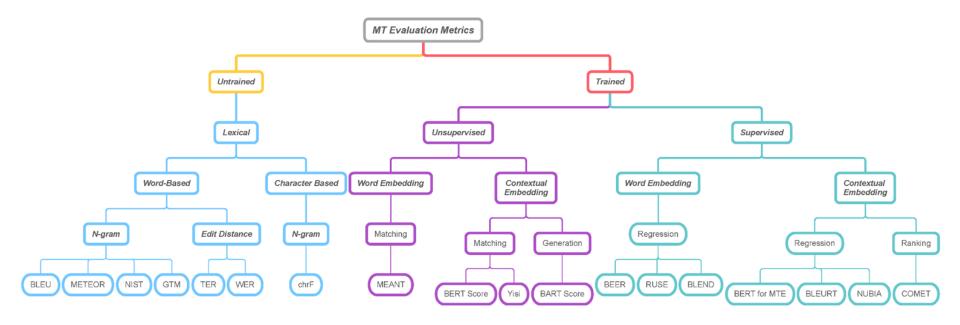
# NMT Evaluation

- How do we judge a good translation?
- Can a machine do this?
- Why should a machine do this?
  - Because human evaluation is time-consuming and expensive!
  - Not suitable for rapid iteration of feature improvements

### **Human Evaluation**

- Types: Blind/Open
- Evaluation with respect to:
  - Adequacy: How good the output is in terms of preserving content of the source text.
    - Source: I am attending a lecture; Target: मैं एक व्याख्यान बैठा हूँ
  - Fluency: How good the output is as a well-formed target language entity.
    - Source: I am attending a lecture; Target: मैं व्याख्यान हूँ
- Direct Assessment: Combined score in the range of 0-100.

# **Automatic Evaluation**



# Some BLEU scores for Indian Language NMT

Language pair (src\tgt)	Hi	Pa	Bn	Gu	Mr
Hi	1	60.77	28.75	52.17	31.66
Pa	64.67	-	25.32	44.74	27.78
Bn	31.79	26.96	-	24.82	16.61
Gu	55.02	46.48	25.33	-	25.62
Mr	42.97	37.08	21.82	33.29	-

# **Data Filtering**

#### Introduction

Techniques to extract good quality parallel data from a comparable/pseudo-parallel corpus.

#### **Motivation**

- Neural Machine Translation (NMT) models are "data hungry".
- The comparable corpora have increased tremendously on the World Wide Web, making it an important source for MT task.
- The mined sentence pairs are high in quantity but their quality varies a lot.
   This affects the quality of the MT systems.
- Hence, there is a need to come up with a preprocessing step to extract only the good quality sentence pairs from the comparable and parallel corpora before passing them to the MT model.

# Filtering Techniques

- Techniques:
  - LaBSE-based
  - Distilled PML-based

## LaBSE (1/2)

- Language agnostic BERT sentence embedding model is based on a multilingual BERT model.
- Supports 109 languages including some Indic-languages.

## LaBSE (2/2)

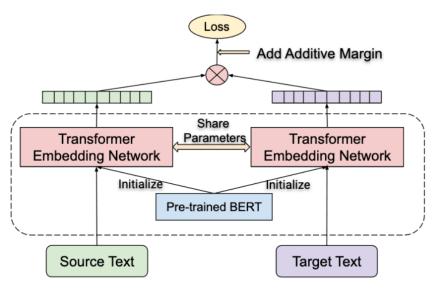
- What is Multilingual Embedding Model?
  - that maps text from multiple languages to a shared vector space.
  - Means similar words will be closer and unrelated words will be distant in the vector space as shown in fig:



Multilingual Embedding Space via Google Al Blog

#### Model Architecture

 The model architecture is based on Bi-Directional dual encoder with an additive margin loss.



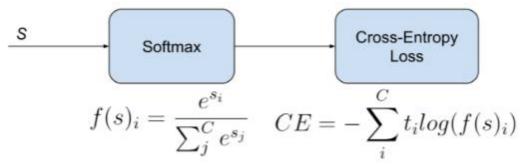
Bidirectional Dual Encoder with Additive Margin Softmax and Shared Parameters via LaBSE Paper

## LaBSE Training Pipeline

- Firstly multilingual BERT model is trained on 109 languages for MLM (Masked Language Modelling) task.
- The obtained BERT encoders is used in parallel at source and target for fine-tuning the Translation Ranking Task.

#### What is Softmax Loss?

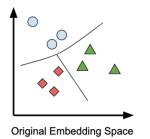
- Confusion? Softmax activation and Softmax loss are different?
- It is a softmax activation followed a Cross-Entropy loss
- It is used for multiclass classification.
- Also known as Categorical Cross-Entropy loss.

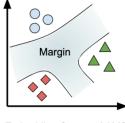


#### Additive Margin Softmax loss

#### Motivation :

- In a classification task, we face a problem when output lies near the decision boundary in the vector space.
- AM-Softmax aims to solve this by adding a margin to the decision boundary in order to increase the separability of the classes and also making the intra-class distance more compact.





Embedding Space w/ AMS

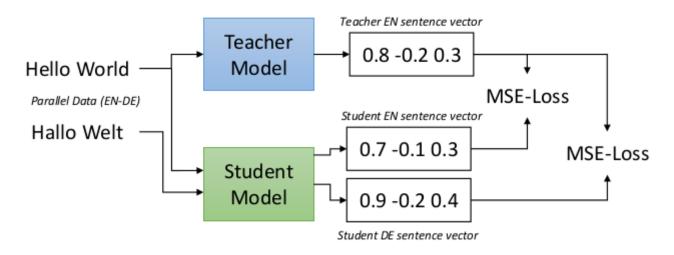
## Filtering Techniques

- Techniques:
  - LaBSE
  - Distilled PML

#### Distilled PML

- Distilled Paraphrase Multilingual Model is a Sentence BERT
   (SBERT) model extended to multiple languages using multilingual knowledge distillation.
- Knowledge Distillation: Compressing a model by teaching a smaller network exactly what to do at each step using an already bigger trained model.
- A Teacher-Student Model Architecture is use to train Distilled PML model.

#### Model Architecture



Given parallel data (e.g. English and German), train the student model such that the produced vectors for the English and German sentences are close to the teacher English sentence vector.

### **Model Training**

- The English SBERT model is chosen as a teacher model.
- XLM-RoBERTa (XLM-R) model is chosen as a student model.
- So in short student model is trained using XLM-R and further fined tuned on English NLI (Natural language Inference) and STS (Semantic Text Similarity) task using English SBERT model.

## Dataset Used (1/3)

- Samanantar Corpus
  - It is the biggest parallel corpus publically available for Indic languages. In our experiments we used Hindi-Marathi Samantar corpus

Dataset	# of Parallel Sentences
Samanantar Corpus	19L

## Dataset Used (2/3)

#### Combined Corpus:

Dataset	# of Parallel Sentences
PIB	1,08,063
РМІ	29,973
Tatoeba	46,277
ILCI	4,62,777
Total Combined Corpus	6,07,832

# Dataset Used (3/3)

#### Test Datasets:

Corpus Name	# of Test Sentences
WAT21	2390
ILCI	2000

#### Approach

- LaBSE model is used to generate the sentence embeddings of the Hindi-Marathi Samanantar Corpus.
- These embeddings are used to compute the cosine similarity between the Hindi-Marathi sentence pairs.
- Based on these similarity scores we extract the good quality sentence pairs using a threshold similarity score.
- Then we use these good quality sentence pairs to train the Hindi-Marathi MT systems.

### **Implementation**

#### Experiments:

- Baseline
- Without LaBSE Filtering
- LaBSE

#### Baseline

- We use only the combined corpus to train the Hindi-Marathi Baseline models.
- The combined corpus consists of 6L sentences. The train and tune split given below

Corpus	#Train	#Tune
Combined Corpus + Tatoeba	6,07,832	14,390
(ILCI + PMI + PIB +Bible +Tatoeba)		

### Without LaBSE Filtering

- In this experiment we trained another Hindi-Marathi MT model using the Combined Corpus and whole Samanantar Corpus
- The train and tune split is shown below:

Corpus	# Train	# Tune
Combined Corpus + Tatoeba	6,07,832	14,390
(ILCI + PMI + PIB +Bible +Tatoeba)		
Samanantar	19,72,689	-
Total	25,80,677	14,390

### LaBSE based Filtering

- Hindi-Marathi MT model is trained using the Combined Corpus and LaBSE filtered Samanantar Corpus.
- We use the LaBSE model provided by the huggingface to generate the LaBSE scores for the whole Samanantar Corpus.
- We also computed the LaBSE scores on the PMI corpus, which is a good quality Hindi-Marathi parallel corpus.
- We computed the average LaBSE score which turned out to be 0.89.
   So we chose 0.9 as the threshold LaBSE score.

LaBSE model provided by the huggingface : https://huggingface.co/sentence-transformers/LaB**5**\mathbb{E}

## Samanantar LaBSE Data Analysis

LaBSE score Range	No. of Parallel Sentences
>=0.9	3,54,315
>=0.91	2,89,802
>=0.92	2,32,187
>=0.93	1,80,776
>=0.94	1,36,200
>=0.95	97,860
>=0.96	65,167
>=0.97	38,699
>=0.98	17,796
>=0.99	4,103

## LaBSE based Filtering

- We extracted 3.5L sentences from Samanantar Corpus that had a LaBSE score of 0.9 and above.
- The train, tune split for this model is given below

Corpus	#Train	#Tune + Test
Combined Corpus + Tatoeba	6,07,832	14,390
(ILCI + PMI + PIB +Bible +Tatoeba)		
Samanantar_labse (labse>=0.9)	3,54,314	-
Total	9,62,146	14,390

## Implementation (1/2)

- Training
  - We have used transformer architecture for all our models.
  - We trained the NMT model with the help of OpenNMT-py library

# Implementation (2/2)

#### Training

#### The parameters for the transformer model are shown below

Encoder Type	Transformer
Decoder Type	Transformer
Number of layers in encoder/decoder	6
Number of attention heads	8
Size of encoder embedding dimensions	512
Dropout	0.1

## Results (1/5)

#### Hindi-Marathi MT model

Models	BLEU Score	
	WAT21	ILCI
Baseline	13.8	33.2
Without LaBSE filtering	16.9	33.0
LaBSE filtering	17.8	33.2

We used sacrebleu python library to calculate the BLEU scores.

https://github.com/mjpost/sacrebleu

### Results (2/5)

- Hindi-Marathi MT Model
  - We see an increment of 4 BLEU score points in LaBSE filtered model as compared to Baseline on WAT21 test data.
  - Increment of 1 BLEU score points as compared to the "without LaBSE filtered model" on WAT21 test data.
  - We also see that the BLEU score on ILCI dataset remains the same for Baseline and LaBSE filtered model, while it decreases by 0.2 points for "without LaBSE filtered model".

# Results (3/5)

#### Marathi-Hindi MT model

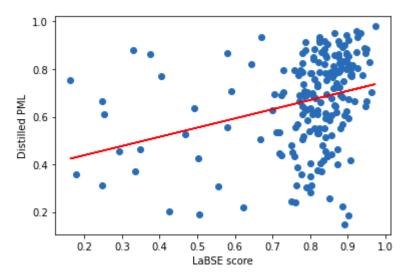
Models	BLEU Score	
	WAT21	ILCI
Baseline	22.1	37.4
Without LaBSE filtering	21.6	33.6
LaBSE filtering	25.1	37.9

### Results (4/5)

- Marathi-Hindi MT Model
  - Increment of 3 BLEU score points in LaBSE filtered model as compared to Baseline on WAT21 test data.
  - Increment of 4 BLEU score points as compared to "without LaBSE filtered model" on WAT21 test data.
  - We also see that the BLEU score on ILCI dataset, increments by 0.5 for LaBSE filtered model as compared to Baseline, while it decreases by 4 points for "without LaBSE filtered model".
  - This is because the Samanantar corpus doesn't consist of the indomain data of ILCI dataset.

## Results (5/5)

- We also computed the Spearman's rank correlation coefficient between LaBSE and Distilled PML scores.
- These scores were computed on a set of 5000 Hindi-Marathi parallel sentences.
- The correlation coefficient turned out to be 0.38



Scatter plot of LaBSE and Distilled PML scores

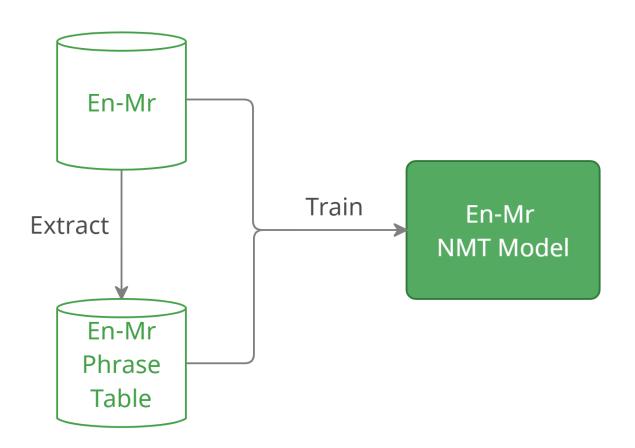
# Data Augmentation

#### Phrase Table Injection (1/3)

 In this technique, phrase table is extracted from the Source-Target parallel corpus.

 Finally the Source-Target NMT model is trained using the Source-Target Parallel Corpus and Source-Target Phrases.

## Phrase Table Injection (2/3)



# Phrase Table Injection (3/3)

Dataset

Dalasel	Train	Test (WAT 2021)
Number of Sentences	250,347	2390

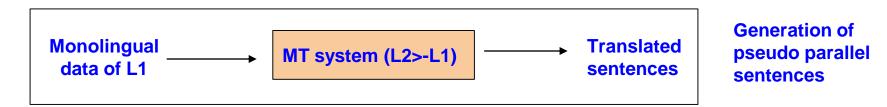
		BLEU Score
•	Basetines UITS	16.26
	Phrase Table Injection	17.15

[12]

# Advanced NMT Approaches

#### **Back-Translation**

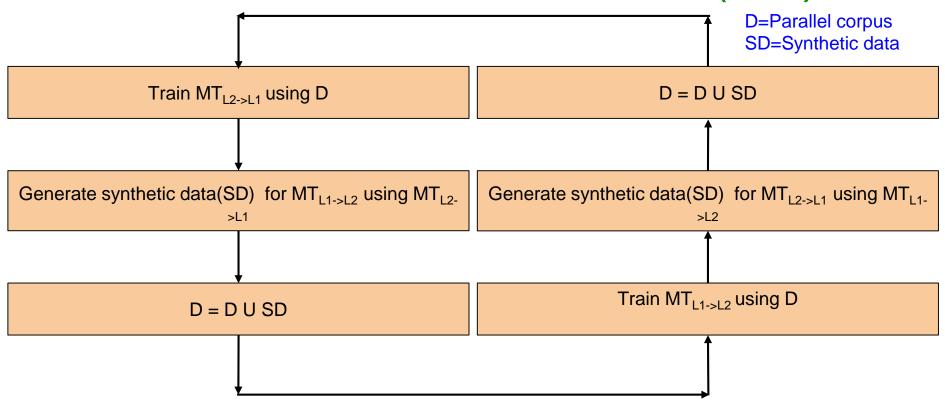
- Utilize monolingual data of target language
- Generate pseudo parallel data using MT system in opposite direction (target->source)



 Train MT system (L1->L2) using a combination of parallel and generated synthetic data both

Sennrich, Rico, Barry Haddow, and Alexandra Birch. "Improving Neural Machine Translation Models with Monolingual Data." In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pp. 86-96. 2016.

# Iterative Back-Translation (1/2)



# Iterative Back-Translation (2/2)

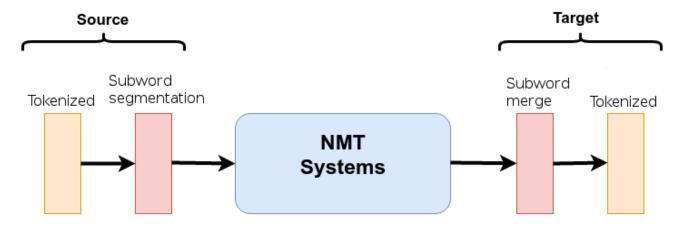
Setting	French-English		English-French		Farsi-English	English-Farsi
	100K	1 <b>M</b>	100K	1 <b>M</b>	100K	100K
NMT baseline	16.7	24.7	18.0	25.6	21.7	16.4
back-translation	22.1	27.8	21.5	27.0	22.1	16.7
back-translation iterative+1	22.5	-	22.7	-	22.7	17.1
back-translation iterative+2	22.6	-	22.6	-	22.6	17.2

Beneficial for Low resource languages too

Image source: Hoang, Vu Cong Duy, Philipp Koehn, Gholamreza Haffari, and Trevor Cohn. "Iterative back-translation for neural machine translation." In *Proceedings of the 2nd Workshop on Neural Machine Translation and Generation*, pp. 18-24. 2018.

## Subword NMT

- Compound words, words with morphological variation (need for morphological segmentation), named entities are very common
- We can utilise this phenomena, if we look into subword level.

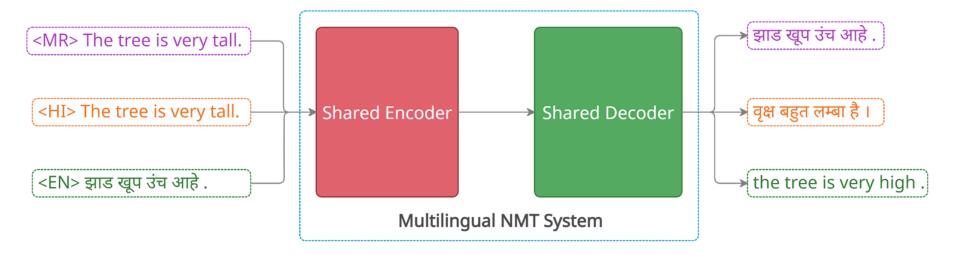


# Multilingual NMT

#### Motivation

- Translation between N languages to N languages will require O(N^2) models.
- A single N-to-N multilingual model can translate between all O(N^2) language directions.
- Multilingual Models share knowledge between all languages improving performance for low resource language pairs.

# Multilingual NMT



- Parameter sharing: Shared encoder and decoder
- Need to find the right amount of shared parameters

Johnson, Melvin, Mike Schuster, Quoc V. Le, Maxim Krikun, Yonghui Wu, Zhifeng Chen, Nikhil Thorat et al. "Google's multilingual neural machine translation system: Enabling zero-shot translation." *Transactions of the Association for Computational Linguistics* 5 (2017): 339-351.

# Google's MNMT System (Johnson et al., 2017)

Table 1: Many to One: BLEU scores on for single language pair and multilingual models. \*: no oversampling

Model	Single	Multi	Diff
WMT De→En	30.43	30.59	+0.16
WMT Fr→En	35.50	35.73	+0.23
WMT De→En*	30.43	30.54	+0.11
WMT Fr→En*	35.50	36.77	+1.27
Prod Ja→En	23.41	23.87	+0.46
Prod Ko→En	25.42	25.47	+0.05
Prod Es→En	38.00	38.73	+0.73
Prod Pt→En	44.40	45.19	+0.79

Table 2: One to Many: BLEU scores for single language pair and multilingual models. \*: no oversampling

Model	Single	Multi	Diff
WMT En→De	24.67	24.97	+0.30
WMT En→Fr	38.95	36.84	-2.11
WMT En→De*	24.67	22.61	-2.06
WMT En→Fr*	38.95	38.16	-0.79
Prod En→Ja	23.66	23.73	+0.07
Prod En→Ko	19.75	19.58	-0.17
Prod En→Es	34.50	35.40	+0.90
Prod En→Pt	38.40	38.63	+0.23

A single multilingual model

# No Language Left Behind (NLLB)

#### Trained Multilingual model on 200 languages.

	eng_Latn-xx					xx-eng_Latn				
	(a)	(b)	(c)	(d)	NLLB-200	(a)	(b)	(c)	(d)	NLLB-200
asm	-/ 6.9/-	-/-/-	-/-/-	-/13.6/-	7.9/11.7/35.9	23.3/-/-	-/-/-	-/-/-	24.9/-/-	<b>33.9</b> /-/57.8
ben	-/20.3/-	17.3/-/-	-/ <b>23.7</b> /-	-/22.9/-	19.4/22.1/50.0	32.2/-/-	30.7/-/-	33.6/-/-	31.2/-/-	<b>38.7</b> /-/62.2
guj	-/22.6/-	22.6/-/-	-/26.6/-	-/27.7/-	25.0/25.2/53.3	34.3/-/-	33.6/-/-	39.5/-/-	35.4/-/-	<b>44.6</b> /-/66.6
hin	-/34.5/-	31.3/-/-	-/38.8/-	-/31.8/-	34.6/36.7/57.3	37.9/-/-	36.0/-/-	42.7/-/-	36.9/-/-	44.4/-/66.5
kan	-/18.9/-	16.7/-/-	-/ <b>23.6</b> /-	-/22.0/-	21.3/22.1/53.4	28.8/-/-	27.4/-/-	31.7/-/-	30.5/-/-	<b>36.9</b> /-/61.0
mal	-/16.3/-	14.2/-/-	-/ <b>21.6</b> /-	-/21.1/-	17.1/18.3/51.6	31.7/-/-	30.4/-/-	33.4/-/-	34.1/-/-	<b>39.1</b> /-/62.9
mar	-/16.1/-	14.7/-/-	-/ <b>20.1</b> /-	-/18.3/-	17.6/17.9/48.0	30.8/-/-	30.0/-/-	35.5/-/-	32.7/-/-	<b>40.3</b> /-/63.8
ory	-/13.9/-	10.1/-/-	-/22.7/-	-/20.9/-	15.1/16.9/45.7	30.1/-/-	28.6/-/-	30.3/-/-	31.0/-/-	<b>41.6</b> /-/64.4
pan	-/26.9/-	21.9/-/-	-/ <b>29.2</b> /-	-/28.5/-	24.5/27.7/49.0	35.8/-/-	34.2/-/-	37.8/-/-	35.1/-/-	44.8/-/66.3
tam	-/16.3/-	14.9/-/-	-/ <b>20.6</b> /-	-/20.0/-	19.8/19.8/53.7	28.6/-/-	27.7/-/-	31.2/-/-	29.8/-/-	<b>36.8</b> /-/60.8
tel	-/22.0/-	20.4/-/-	-/ <b>26.3</b> /-	-/30.5/-	24.8/25.3/55.9	33.5/-/-	32.7/-/-	38.3/-/-	37.3/-/-	<b>43.6</b> /-/65.5

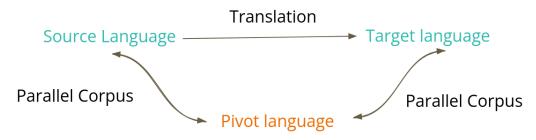
Table 32: Comparison on FLORES-101 devtest on Indian Languages. We report BLEU (with default 13a Moses tokenizer)/BLEU (with IndicNLP tokenizer)/chrF++ where available, and bold the best score. (a) IndicTrans (Ramesh et al., 2022), (b) IndicBART (Dabre et al., 2021), (c) Google Translate, (d) Microsoft Translate. Numbers for (d) are taken from (Ramesh et al., 2022). NLLB-200 outperforms other translation systems on all the xx-eng\_Latn directions. On eng\_Latn-xx, NLLB-200 outperforms (a) and (b), but performs worse compared to (c) and (d).

# Pivot-based Neural Machine Translation

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Computer Science and Engineering Department
IIT Bombay
11th March 2024

#### Introduction to pivot-based Machine Translation

- Pivot-based Machine Translation is a technique applied in low-resource scenarios that leverages a third language having a parallel corpus with both the source and target languages.
- This intermediary language, known as the **pivot language**, facilitates improved translations from the source to the target language.
- The quality of translation depends heavily on the pivot language. Ideally, the pivot language should be a high-resource language, linguistically similar to the source or target language.



A basic framework for pivot-based MT

#### Basic idea of pivot based translation

#### Parallel corpus for English-Marathi:

Source Language (English)	Target Language (Marathi)
I like English language.	मला इंग्रजी भाषा आवडते.
I like Hindi language.	मला हिंदी भाषा आवडते.

#### Parallel corpus for English-Hindi and Hindi-Marathi:

Source Language (English)	Pivot Language (Hindi)
I like English language.	मुझे अंग्रेजी भाषा पसंद है।
I like Hindi language.	मुझे हिंदी भाषा पसंद है।

Pivot Language (Hindi)	Target Language (Marathi)		
मुझे अंग्रेजी भाषा पसंद है।	मला इंग्रजी भाषा आवडते.		
मुझे हिंदी भाषा पसंद है।	मला हिंदी भाषा आवडते.		

#### Intuition for using pivoting

- Training the model to grasp linguistic nuances in a low-resource language is difficult.
- The abundance of data enables the model to effectively learn linguistic phenomena in high-resource pivot languages.
- This can lead to better translation performance for low-resource language by exploiting linguistic similarity.

Piping hot tea -> खुप गरम चहा (More difficult to learn)
Piping hot tea -> गर्मागरम चाय (Easier to learn due to abundance of data)
गर्मागरम चाय -> गरमगरम चहा (Easier to learn due to linguistic similarity)

An example of how pivoting can help low-resource translation

#### Approaches for pivot-based translation

- Cascading approaches
- Phrase translation approach (Utiyama and Isahara, 2007)
- Sentence translation approach (Utiyama and Isahara, 2007)
- Multiple-pivot approach (Dabre et al., 2015)
- Cascading NMT models (Kim et al., 2016)
- Transfer learning-based approaches
- Transfer learning (Zoph et al., 2016)
- Transfer learning with pivoting (Kim et al., 2019)
- Step-wise pre-training (Kim et al., 2019)
- Cross-lingual encoder approach (Kim et al., 2019)
- ConsisTL: a modified transfer learning approach (Kim et al., 2019)

#### Pivot-based approaches with cascading

#### Phrase translation approach:

- A phrase table from source to target is created using phrase tables of sourcepivot and pivot-target languages.
- For this, translation probabilities and lexical probabilities are generated using source-to-pivot and pivot-to-target corpora.

#### **Sentence translation approach:**

- A source language sentence was translated to 'n' pivot language sentences and these pivot language sentences were separately translated to target language sentences.
- The highest-scoring target sentence was chosen as the output translation. The scoring is done using various features of the output sentence.

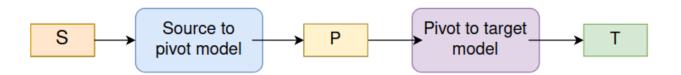
#### Pivot-based approaches with cascading

#### **Multiple-pivot approach:**

- A multilingual corpus was leveraged to generate translations using multiple pivots.
- The translation was generated by using a weighted average of translation probabilities with all pivots.

#### Cascading NMT models (Kim et al., 2016)

- A source-to-pivot model and a pivot-to-target model are trained using sourcepivot and pivot-target parallel data.
- The output produced by the source-to-pivot model is fed into the pivot-to-target model to produce the translated target sentence.



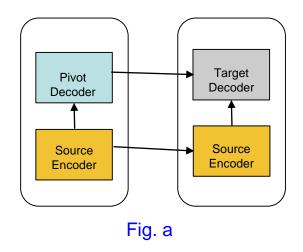
The figure shows the framework of cascading NMT models. 'S' is the source sentence, 'P' is the pivot sentence and 'T' is the sentence in the target language.

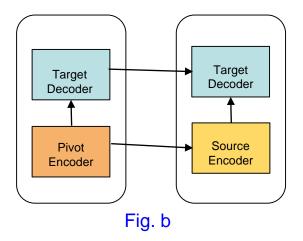
#### Transfer learning based pivoting approaches

- Transfer learning is a method that focuses on storing knowledge gained while solving one problem and applying it to a different but related problem.
- The transfer learning based pivoting approaches are as follows:
  - Transfer learning (Zoph et al., 2016)
  - Transfer learning with pivoting (Kim et al., 2019)
  - Step-wise pre-training (Kim et al., 2019)
  - Pivot adapter approach (Kim et al., 2019)
  - Cross-lingual encoder approach (Kim et al., 2019)
  - ConsisTL: a modified transfer learning approach (Li et al., 2022)

#### 1. Transfer learning (Zoph et al., 2016)

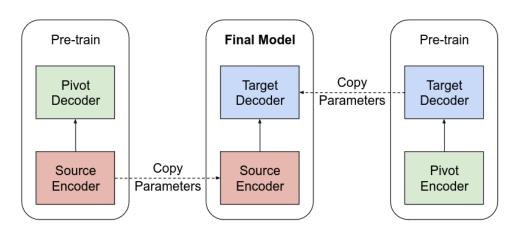
- A source-to-pivot model is pre-trained and used to initialize the source-to-target model if the pivot is closer to the low-resource target language (Fig. a).
- A pivot-to-target model is pre-trained and used to initialize the source-to-target model if the pivot is closer to the low-resource source language (Fig. b).





#### 2. Transfer learning with pivoting (Kim et al., 2019)

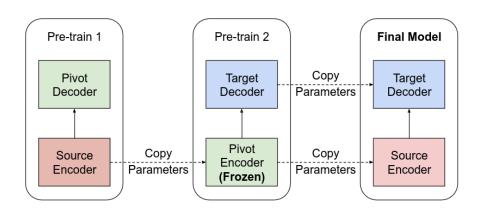
- A source-to-pivot and pivot-to-target model is pre-trained.
- The encoder of the source-to-pivot model and decoder of the pivot-to-target model is used to initialize the source-to-target model.



Transfer learning with pivoting

#### 3. Step-wise pretraining approach (Kim et al., 2019)

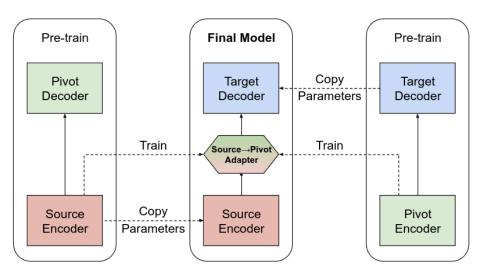
- A source-to-pivot model is pre-trained and used to initialize the pivot-to-target model.
- The encoder parameters are frozen while training the pivot-to-target model.
- This pre-trained model is fine-tuned on source-target parallel data to develop a source-to-target model.



Step-wise pretraining approach

#### 4. Pivot adapter approach

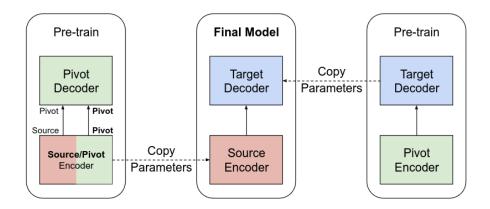
- Involves pre-training two models on source-pivot and pivot-target data.
- A source-pivot adapter is used to familiarize source encoder of source-pivot with target decoder of pivot-target.



Pivot adapter approach

#### 5. Cross-lingual encoder approach

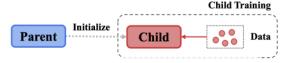
 Involves pre-training the encoder-decoder for source-pivot and pivot-target data while using multilingual encoder on source-pivot side.



Cross-lingual encoder approach

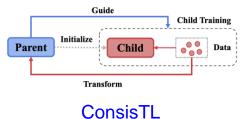
# 6. ConsisTL: a modified approach for transfer learning (1/2) (Li et al., 2022)

- A pivot-to-target model pre-trained using pivot-target parallel data.
- This model is used to initialize the source-to-target model. The pivot-to-target model is also used while fine-tuning the source-to-target model.
- Traditional transfer learning:



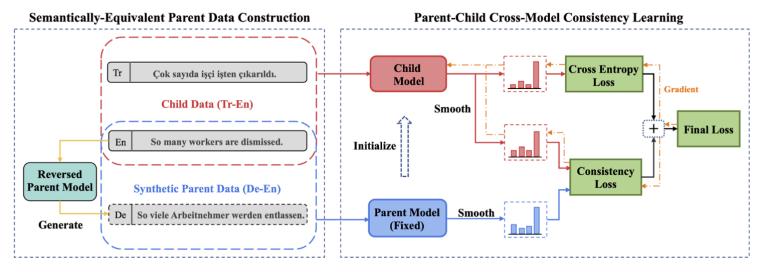
Transfer learning

The proposed method of transfer learning:



# 4. ConsisTL: a modified approach for transfer learning (2/2) (Li et al., 2022)

#### The architecture for the ConsisTL approach is as follows:



Tr-Turkish is low resource source language

De- German is pivot language

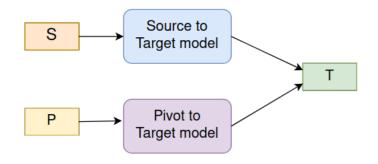
En-English is target language

#### Limitations of pivot-based approaches

- In the cascade-based pivoting techniques, the source sentence remains underutilized as it passes through a source-to-pivot model to produce a pivot sentence, which is then translated into the target language using a separate pivot-to-target model.
- The cascade-based pivoting techniques are also prone to error propagation.
- The transfer learning based techniques only partially leverage the pivot, as it's only used for pre-training, with subsequent fine-tuning on source-target data.
- The transfer learning based techniques struggle with the issue of catastrophic forgetting.
- We can say that the previous pivoting techniques fail to completely utilize the knowledge embedded in source and pivot.

#### Multi-source pivoting with ensembling

- In this approach, we leverage the source-pivot corpus to train a robust sourceto-pivot translation model.
- We utilize this model to generate the pivot sentence at inference time.
- We train the source-to-target model and pivot-to-target model and ensemble them.
- The following figure shows how this approach works:



Multi-source pivoting with ensembling

S: Source sentence
P: Pivot sentence
T: Target sentence

# Thank you!

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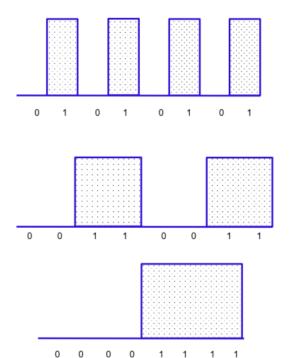
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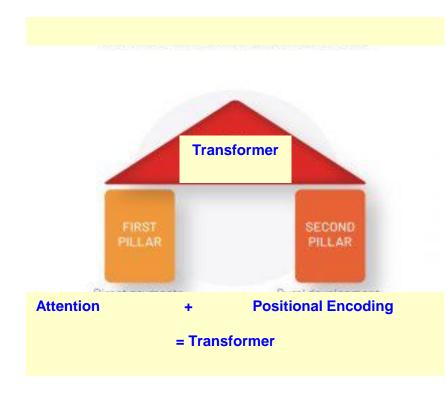
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# 1-slide recap

[nesu] "I carry"
[ponese] "He will carry"
[nese] "He carries"
[nesou] "They carry"
[yedu] "I drive"
[plavou] "They swim"





# Bahadanu, 2015

"In order to address this issue (long distance dependency), we introduce an extension to the encoder-decoder model which learns to align and translate jointly. Each time the proposed model generates a word in a translation, it (soft-) searches for a set of positions in a source sentence where the most relevant information is concentrated. The model then predicts a target word based on the context vectors associated with these source positions and all the previous generated target words."

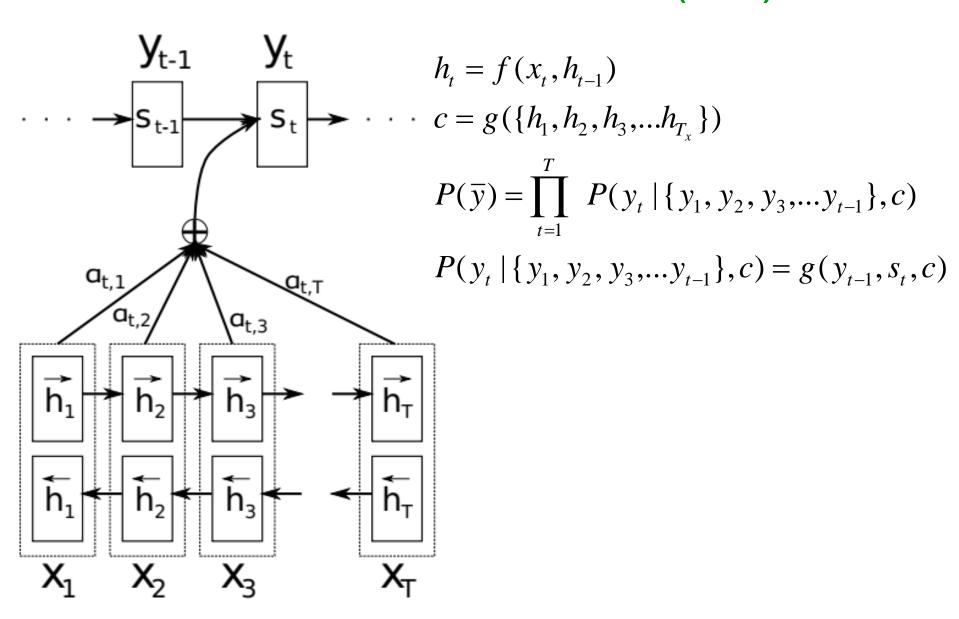
## Bahadanu 2015- contribution

"proposed approach of jointly learning to align and translate achieves significantly improved translation performance over the basic encoderdecoder approach. The improvement is more apparent with longer sentences. On the task of English-to-French translation, the proposed approach achieves performance comparable to phrase-based system. Furthermore, qualitative analysis reveals that the proposed model finds a linguistically plausible (soft-)alignment between a source sentence and the corresponding target sentence"

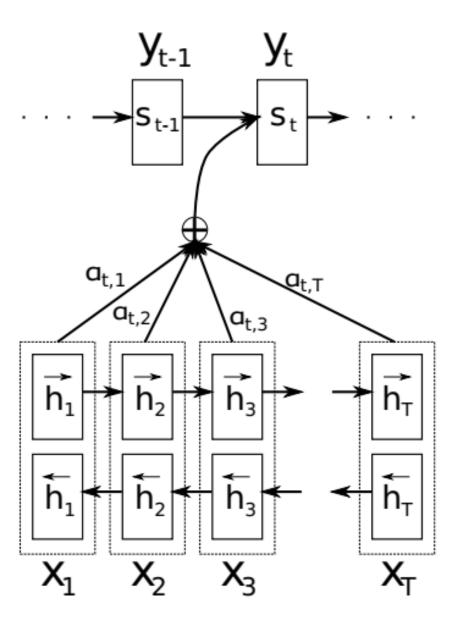
# Bahadanu 2015 again- motivation

"It encodes the input sentence into a sequence of vectors and chooses a subset of these vectors adaptively while decoding the translation. This frees a neural translation model from having to squash all the information of a source sentence, regardless of its length, into a fixed-length vector. We show this allows a model to cope better with long sentences."

## Attention Schematic (1/2)



# Attention Schematic (2/2)



$$p(y_{i} | y_{1}, y_{2},...y_{i-1}) = g(y_{i-1}, s_{i}, c_{i})$$

$$s_{i} = f(s_{i-1}, y_{i-1}, c_{i})$$

$$c_{i} = \sum_{j=1}^{T_{x}} \alpha_{ij} h_{j}$$

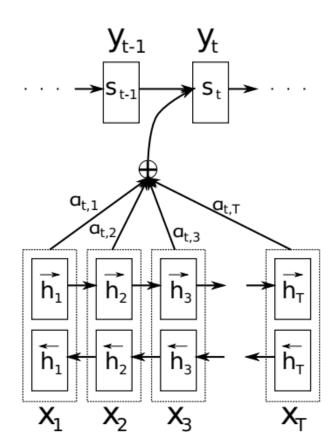
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_{x}} \exp(e_{ik})}$$

 $e_{ii} = a(s_{i-1}, h_i)$ 

#### **BIRNN**

 Consists of forward and backward RNN's; The forward RNN reads the input sequence from x<sub>1</sub> to x<sub>Tx</sub>

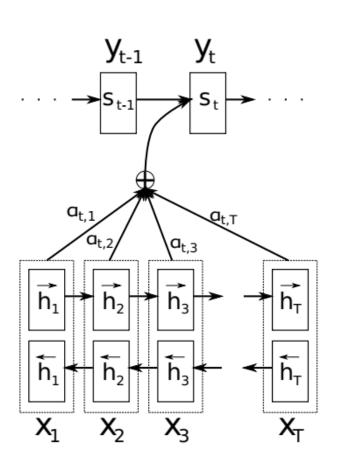
 The backward RNN: backward hidden states



#### **Annotation**

 Annotation h<sub>j</sub> consists of concatenation of forward and backward hidden states

 Contains the summaries of both the preceding words and the following words



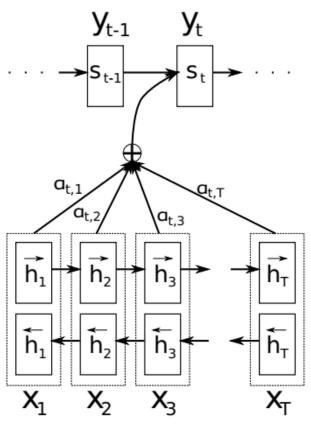
#### Context vector

Sequence of annotations used by the decoder and the alignment model to compute the context vector

$$c_{i} = \sum_{j=1}^{T_{x}} \alpha_{ij} h_{j}$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_{x}} \exp(e_{ik})}$$

$$e_{ij} = a(s_{i-1}, h_{j})$$



# Experiment

English-to-French translation

 Use the bilingual, parallel corpora provided by ACL WMT '14

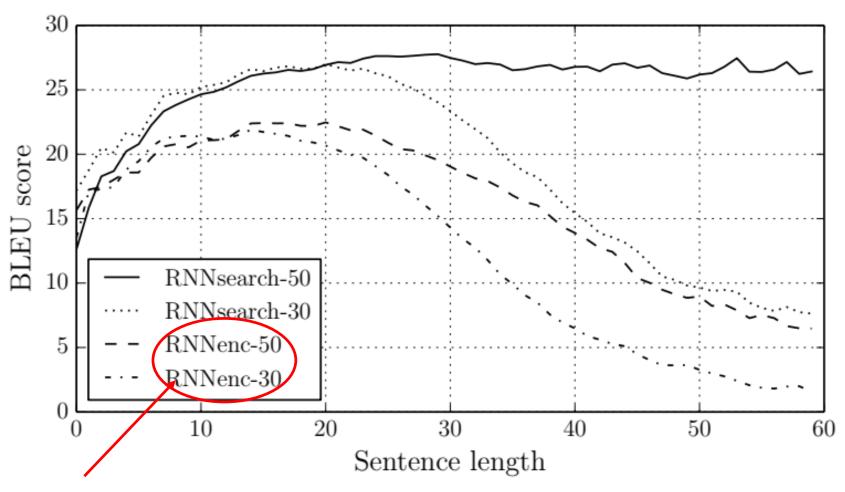
 Baseline: RNN Encoder–Decoder (Cho et al. 2014).

#### **Dataset**

- WMT '14
  - Europarl (61M words),
  - News commentary (5.5M)
  - UN (421M) and
  - Two crawled corpora of 90M and 272.5M

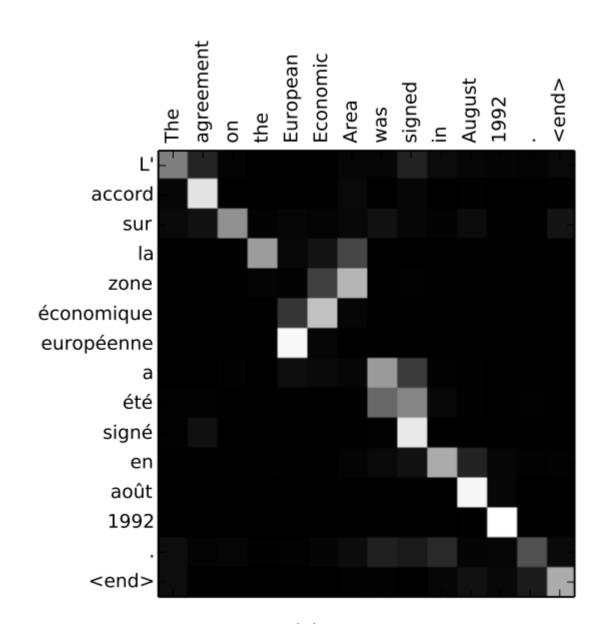
Total 850M words.

### Performance



**Baseline** 

## Discovered Alignment example



### **BLEU** scores

Model	All
RNNencdec-30	13.93
RNNsearch-30	21.50
RNNencdec-50	17.82
RNNsearch-50	26.75
RNNsearch-50*	28.45
Moses	33.30

### Qualitative (1/2)

As an example, consider this source sentence from the test set:

An admitting privilege is the right of a doctor to admit a patient to a hospital or a medical centre to carry out a diagnosis or a procedure, based on his status as a health care worker at a hospital.

The RNNencdec-50 translated this sentence into:

Un privilège d'admission est le droit d'un médecin de reconnaître un patient à l'hôpital ou un centre médical <u>d'un diagnostic ou de prendre un diagnostic en fonction de son état de santé.</u>

An admitting privilege is the right of a physician to recognize a patient ashospital or medical center for a diagnosis or to take a diagnosis independing on his state of health.

# Qualitative (2/2)

On the other hand, the RNNsearch-50 generated the following correct translation, preserving the whole meaning of the input sentence without omitting any details:

Un privilège d'admission est le droit d'un médecin d'admettre un patient à un hôpital ou un centre médical pour effectuer un diagnostic ou une procédure, selon son statut de travailleur des soins de santé à l'hôpital.

An admitting privilege is the right of a physician to admit a patient to anhospital or medical center to perform a diagnosis or procedure, according tohis status as a health care worker at the hospital.