



ĐẠI HỌC ĐÀ NẴNG

TRƯỜNG ĐẠI HỌC CÔNG NGHỆ THÔNG TIN VÀ TRUYỀN THÔNG VIỆT - HÀN
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Nhân bản – Phụng sự – Khai phóng

Heaps

Data Structures & Algorithms

- Introduction
- Basic Operations
- Heap Sort

- **Introduction**
- Basic Operations
- Heap Sort

- **Heap**

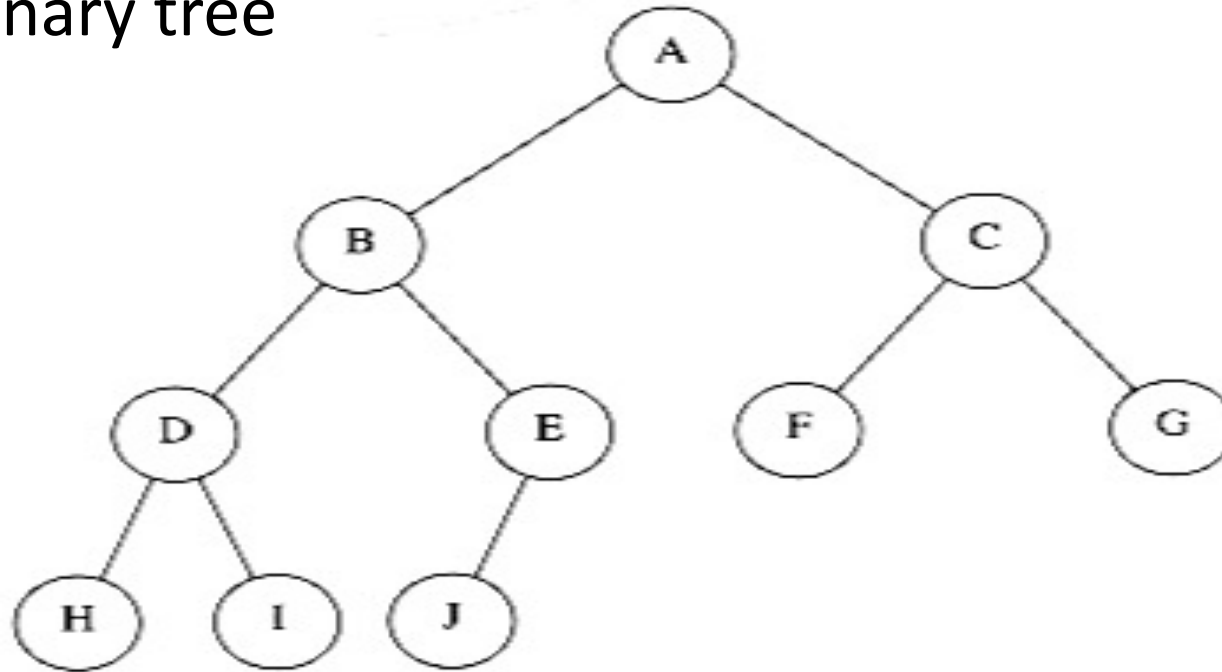
- is an application of complete binary tree (also called **priority queue**)

- **Definition**

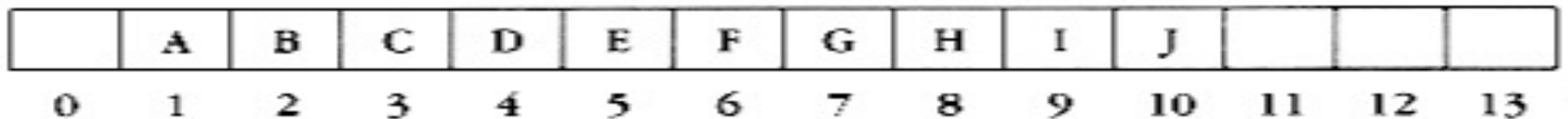
- max/min tree
 - a tree in which the key value in each node is no smaller/greater than the key values in its children (if any)
 - max/min heap
 - a max/min complete binary tree
 - Parent $A[i]$ (for array $A[1..n]$, $A[1]$ is the root)
 - Left child: $A[2i]$
 - Right child: $A[2i + 1]$

• Examples

- A complete binary tree



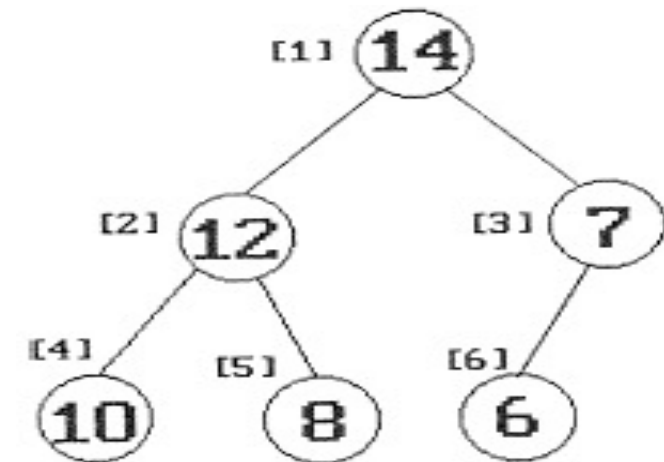
- Array implementation of the tree



• Heap Representation

- Since heaps are complete trees, we may use an array representation

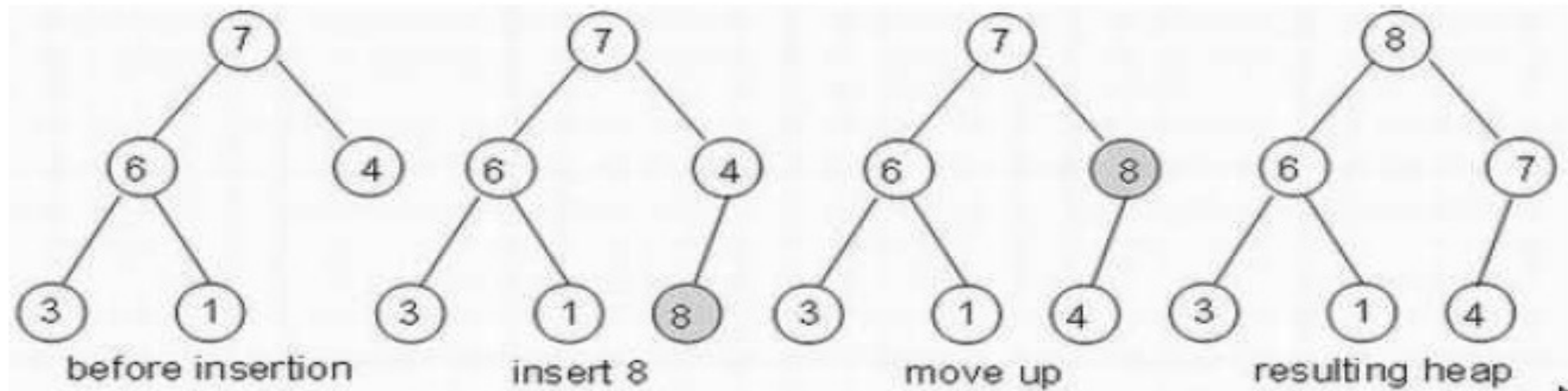
```
#define MAX_ELEMENTS 100
typedef struct {
    int key;
    /* other fields */
} element;
element heap[MAX_ELEMENTS];
int n = 0;
```



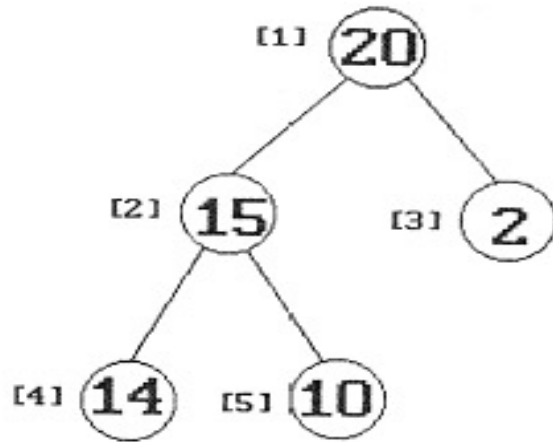
- Introduction
- **Basic Operations**
- Heap Sort

• Insertion

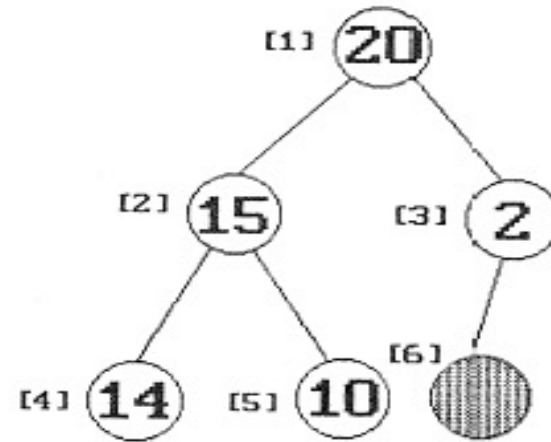
- Find a proper place for the new element in the array implementation
- The parent of node i is located at $i/2$
 - Step 1: Put the new element at the last entry of the array
 - Step 2: Exchange the new element with its parent, if the new element is greater
 - Step 3: Repeat Step 2 until no more exchange is necessary



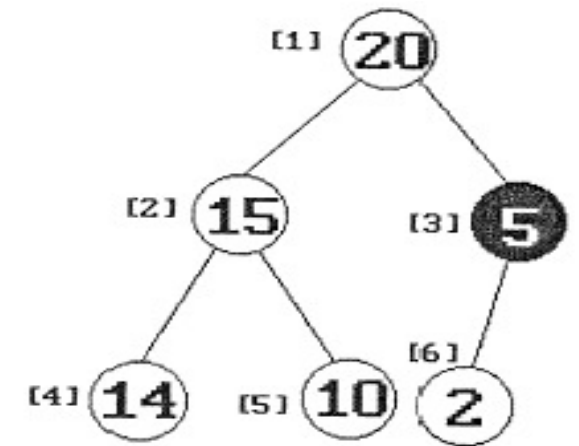
• Insertion - Example



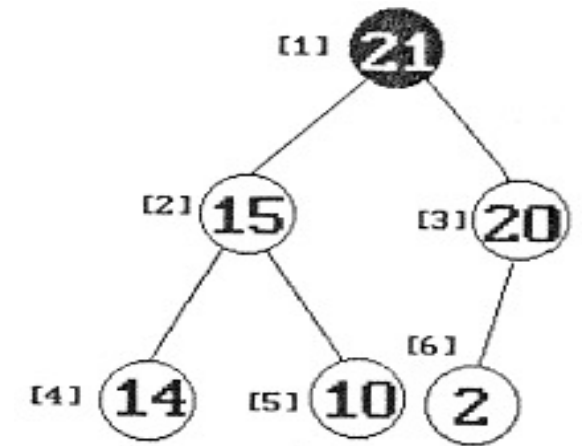
(a) heap before insertion



(b) initial location of new node



(c) insert 5 into heap (a)



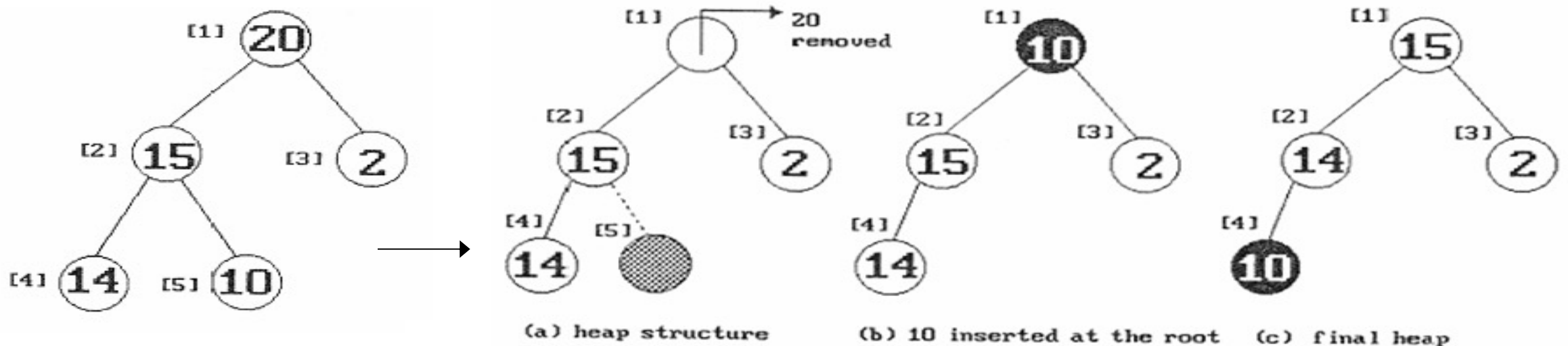
(d) insert 21 into heap (a)

- Insertion

```
void insertMaxHeap(element item, int *n){  
    int i;  
    if (HEAP_FULL(*n))  
        fprintf(stderr, "the heap is full.\n"); exit(1);  
    i = ++(*n);  
    while ((i!=1) && (item.key>heap[i/2].key))  
        heap[i] = heap[i/2]; i /= 2;  
    heap[i] = item;  
}
```

The height of n node heap = $\log_2(n+1)$
Time complexity = $O(\text{height}) = O(\log_2 n)$

- **Delete** - Delete the max (root) from a max heap
 - Step 1: Remove the root
 - Step 2: Replace the last element to the root
 - Step 3: Reestablish the heap (go down from root to leaf, exchange 2 elements as necessary)



- **Delete** - Delete the max (root) from a max heap

```

element deleteMaxHeap(int *n){
    int parent, child; element item, temp;
    if (HEAP_EMPTY(*n)) {
        fprintf(stderr, "The heap is empty\n");      exit(1);
    }
    item = heap[1];                                /* save value of the element with the highest key */
    temp = heap[(--*n)];                            /* use last element in heap to adjust heap */
    parent = 1; child = 2;
    while (child <= *n) {                            /* find the larger child of the current parent */
        if ((child < *n) && (heap[child].key < heap[child+1].key))      child++;
        if (temp.key >= heap[child].key)      break;
        heap[parent] = heap[child];          /* move to the next lower level */
        parent = child; child *= 2;
    }
    heap[parent] = temp;
    return item;
}
    
```

- Introduction
- Basic Operations
- **Heap Sort**

- **Heap Sort**

- Given n elements (in an array $A[1..n]$) to be sorted
- Recall: max heap
 - An array is represented by a complete binary tree, in which the key value in each node is no smaller than the key values in its children (if any)
 - $A[1]$ is the root (suppose the first element of the array is $A[1]$)
 - $A[i]$ is parent, so $A[2i]$ is the left child and $A[2i+1]$ is the right child (if $A[0]$ is the root, so $A[2i+1]$ and $A[2i+2]$) respectively
- $O(n \log n)$ time

(1). Build a max heap

- Use function *adjust*(A, i, n)
 - both the left and the right sub-trees of A[i] are already max heaps
 - the element A[i] will be moved to one of its descendant so that the sub-tree rooted at A[i] becomes a max heap
- Function *adjust*() is invoked for the sub-trees rooted at A[n/2], A[n/2-1], ..., A[1] in that order (i.e. all the non-leaf nodes)

(2). Sort by using the heap

- (a). A[1..n] is a heap, exchange A[1] & A[n] -> A[n] is rightly position
- (b). Rebuild a max heap for A[1..n-1]. Repeat steps (a) & (b) until array has only one element

- Example

6 5 3 1 8 7 2 4

- **(1).Build a max heap - Use function *adjust*(A, i, n)**

- both the left and the right sub-trees of A[i] are already max heaps
- the element A[i] will be moved to one of its descendant so that the sub-tree rooted at A[i] becomes a max heap

```
void adjust(int list[], int root, int n) {
    int child, rootkey; int temp;
    temp = list[root]; rootkey = list[root].key; child = 2*root;
    while (child <= n) {
        if ((child<n) && (list[child].key<list[child+1].key))    child++;
        if (rootkey > list[child].key)    break;
        else {    list[child/2] = list[child];        child *= 2; }
    }
    list[child/2] = temp;
}
```

- (2).Sort by using heap

```
void heap_sort(int list[], int n) {  
    /* Initially data is in list[1.. n] */  
    int i, j;  
    /* build a max heap */  
    for (i = n/2; i > 0; i--) adjust(list, i, n);  
    /* at this point we have a max heap */  
    for (i = n-1; i > 0; i--) {  
        SWAP(list[1], list[i+1]); /* swap the root & element at pos. i+1*/  
        adjust(list, 1, i);      /* rebuild list from element 1 to i */  
    }  
}
```

- How to sort the list in descending order?

- Introduction
- Basic Operations
- Heap Sort



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Enjoy the Course...!