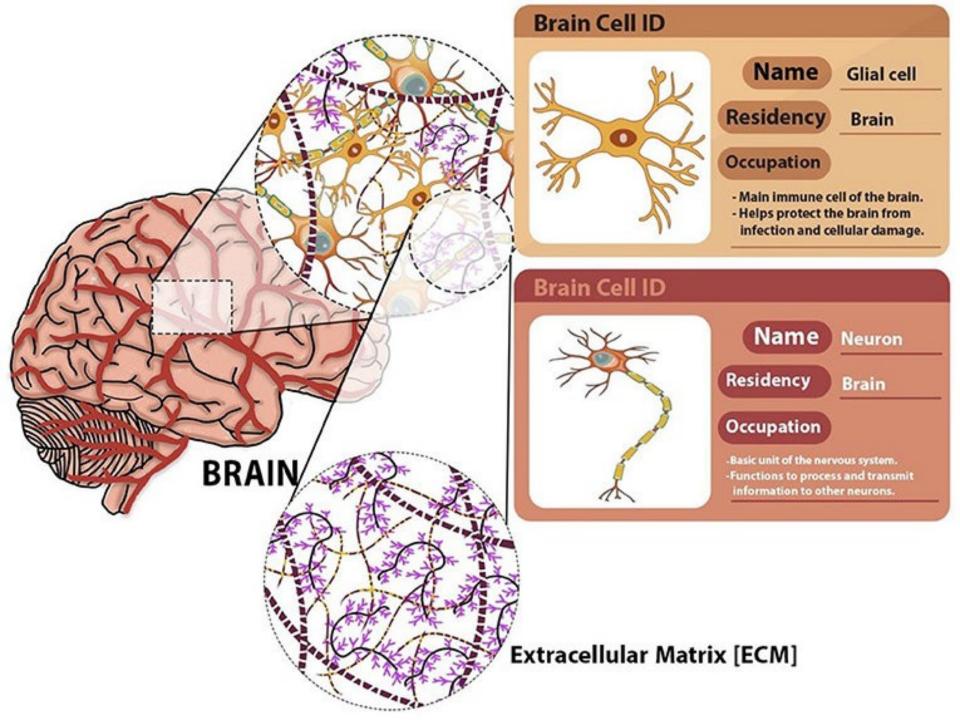
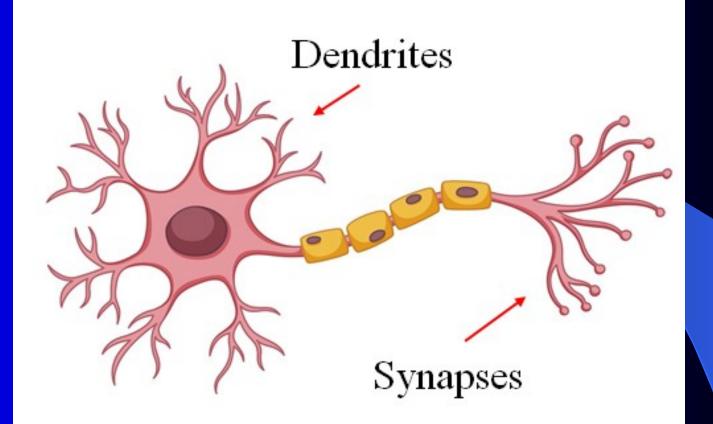
Doctrine and Covenants 88: 118

And as all have not faith, seek ye diligently and teach one another words of wisdom; yea, seek ye out of the best books words of wisdom; seek learning, even by study and also by faith.

## Some things

- Homework:
  - Due before class on the day indicated
  - Turn in pdf or jpeg make sure it is legible and easy to read
- Labs
  - Due at midnight
  - Turn in the .ipynb
- Policies



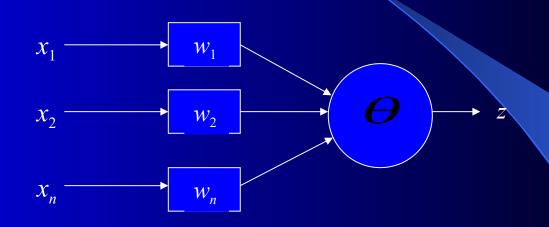


### **NEURON**

## Perceptron Learning Algorithm

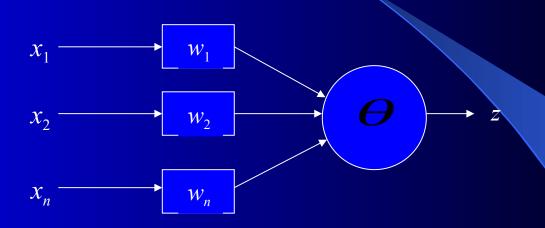
- First neural network learning model in the 1960's
  - Frank Rosenblatt
- Simple and limited (single layer model)
- Basic concepts are similar for multi-layer models so this is a good learning tool
- Still used in some current applications (large business problems, where intelligibility is needed, etc.)

## Perceptron Node – Threshold Logic Unit



$$z = \begin{cases} 1 & \text{if } \sum_{i=1}^{n} x_i w_i \ge \theta \\ 0 & \text{if } \sum_{i=1}^{n} x_i w_i < \theta \end{cases}$$

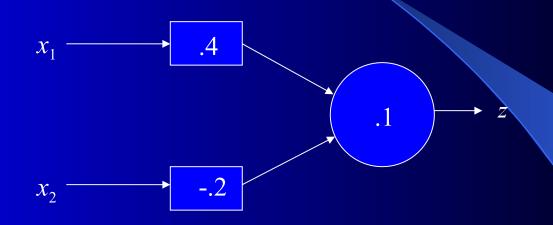
## Perceptron Node – Threshold Logic Unit



- Learn weights such that an objective function is maximized.
- What objective function should we use?
- What learning algorithm should we use?

$$z = \begin{cases} 1 & \text{if } \sum_{i=1}^{n} x_i w_i \ge \theta \\ 0 & \text{if } \sum_{i=1}^{n} x_i w_i < \theta \end{cases}$$

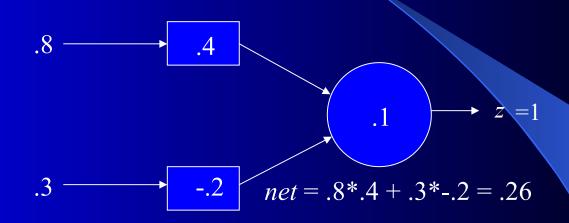
## Perceptron Learning Algorithm



$$\begin{array}{c|cccc} x_1 & x_2 & t \\ \hline .8 & .3 & 1 \\ .4 & .1 & 0 \\ \end{array}$$

$$z = \begin{cases} 1 & \text{if } \sum_{i=1}^{n} x_i w_i \ge \theta \\ 0 & \text{if } \sum_{i=1}^{n} x_i w_i < \theta \end{cases}$$

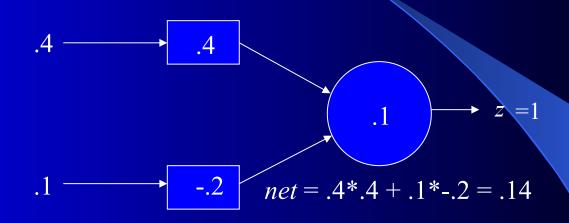
# First Training Instance



$$\begin{array}{c|cccc} x_1 & x_2 & t \\ \hline .8 & .3 & 1 \\ .4 & .1 & 0 \\ \end{array}$$

$$z = \begin{cases} 1 & \text{if } \sum_{i=1}^{n} x_i w_i \ge \theta \\ 0 & \text{if } \sum_{i=1}^{n} x_i w_i < \theta \end{cases}$$

### Second Training Instance



$$\begin{array}{c|cccc} x_1 & x_2 & t \\ \hline .8 & .3 & 1 \\ .4 & .1 & 0 \\ \end{array}$$

$$z = \begin{cases} 1 & \text{if } \sum_{i=1}^{n} x_i w_i \ge \theta \\ 0 & \text{if } \sum_{i=1}^{n} x_i w_i < \theta \end{cases}$$

Need to make a correction

# Perceptron Rule Learning

$$\Delta w_i = c(t-z) x_i$$

- Where  $w_i$  is the weight from input i to the perceptron node,
  - c is the learning rate,
  - t is the target for the current instance,
  - z is the current output, and
  - $-x_i$  is  $i^{th}$  input
- Least perturbation principle
  - Only change weights if there is an error
  - small c rather than changing weights sufficient to make current pattern correct
  - Scale by  $x_i$

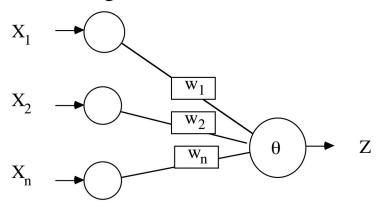
$$w_{ij} \leftarrow w_{ij} - \eta(y_j - t_j) \cdot x_i$$

# Perceptron Rule Learning

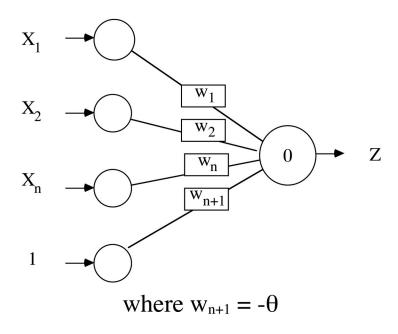
- Create a perceptron node with n inputs
- Iteratively select a pattern from the training set
- Calculate the output value z
- Apply the perceptron rule to adjust weights

- Each iteration through the training set is an epoch
- Continue training until total training set error ceases to improve
- Perceptron Convergence Theorem: Guaranteed to find a solution in finite time if a solution exists

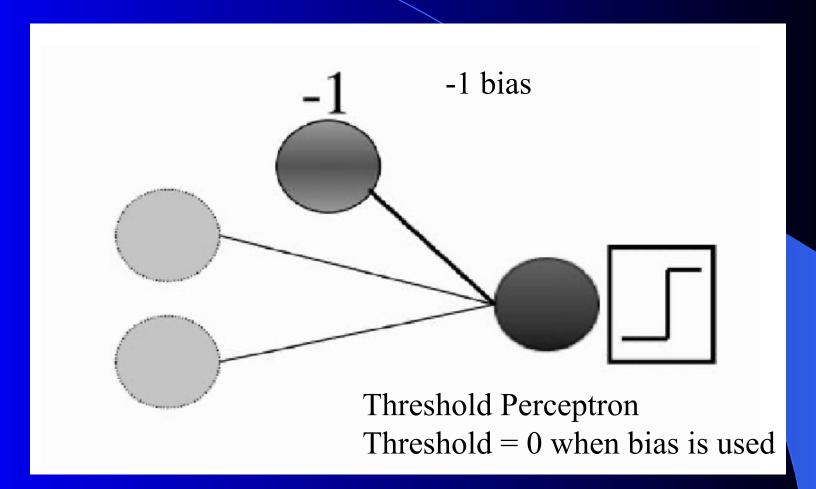
### Weight Versus Threshold



Do you need to adjust Theta? Yes, in most cases



### From the textbook



## Augmented Pattern Vectors

```
1 0 1 -> 0
1 0 0 -> 1
Augmented Version
1 0 1 1 -> 0
1 0 0 1 -> 1
```

- Treat threshold like any other weight. No special case. Call it a *bias* since it biases the output up or down.
- Since we start with random weights anyways, can ignore the  $-\theta$  notion, and just think of the bias as an extra available weight. (note the author uses a -1 input)
- Always use a bias weight

# Perceptron Rule Example

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

```
Training set 0 0 1 -> 0
1 1 1 -> 1
1 0 1 -> 1
0 1 1 -> 0
```

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output $(z)$ $\Delta W$
0.01.1	0	0 0 0 0		

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output (z	$\Delta W$
0 0 1 1	0	0 0 0 0	0	0	0 0 0 0
1111	1	0.0.0.0			

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output (z	$\Delta W$
0 0 1 1	0	0 0 0 0	0	0	0 0 0 0
1111	1	0000	0	0	1 1 1 1
1011	1	1111			

### \*\*Challenge Question\*\* - Perceptron

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$
- Training set 0 0 1 -> 0
  1 1 1 -> 1
  1 0 1 -> 1
  0 1 1 -> 0

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output (2	z) $\Delta W$		
0 0 1 1	0	0 0 0 0	0	0	0 0	0	0
1111	1	0 0 0 0	0	0	1 1	1	1
1011	1	1111					

- Once it converges the final weight vector will be
  - A. 1111
  - В. -1010
  - C. 0000
  - D. 1000
  - E. None of the above

slido



# Once it converges, the final weight vector will be

(i) Start presenting to display the poll results on this slide.

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output (2	z) <u>ΔW</u>
0 0 1 1	0	0 0 0 0	0	0	0 0 0 0
1111	1	0 0 0 0	0	0	1 1 1 1
1011	1	1111	3	1	0 0 0 0
0111	0	1111			

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

<u>Pattern</u>	Target (t)	Weight Vector $(w_i)$	<u>Net</u>	Output (z)	$\Delta W$
0 0 1 1	0	0 0 0 0	0	0	0 0 0 0
1111	1	0 0 0 0	0	0	1 1 1 1
1011	1	1111	3	1	0 0 0 0
<u>0 1 1 1</u>	<u>0</u>	<u>1111</u>	<u>3</u>	1	<u>0 -1 -1 -1</u>
0 0 1 1	0	1000			

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 0:  $\Delta w_i = c(t-z) x_i$

<u>Pattern</u>	Target (t)	Weight Vector (w <sub>i</sub> )	<u>Net</u>	Output (z)	$\Delta W$
0 0 1 1	0	0 0 0 0	0	0	0 0 0 0
1111	1	0 0 0 0	0	0	1 1 1 1
1011	1	1111	3	1	0 0 0 0
<u>0 1 1 1</u>	<u>0</u>	<u>1111</u>	<u>3</u>	1	<u>0 -1 -1 -1</u>
0 0 1 1	0	1000	0	0	0 0 0 0
1111	1	1000	1	1	0 0 0 0
1011	1	1000	1	1	0 0 0 0
0 1 1 1	0	1000	0	0	0 0 0 0

### Perceptron Homework

- Assume a 3 input perceptron plus bias (it outputs 1 if net > 0, else 0)
- Assume a learning rate c of 1 and initial weights all 1:  $\Delta w_i = c(t-z) x_i$
- Show weights after each pattern for just one epoch

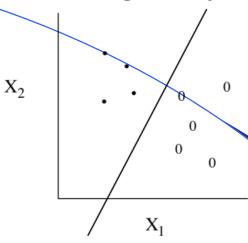
```
Pattern Target (t) Weight Vector (w_i) Net Output (z) \Delta W
```

### Training Sets and Noise

 Assume a Probability of Error at each input and output value each time a pattern is trained on

- 00101100110 -> 0110
- i.e. P(error) = .05
- Or a probability that the algorithm is applied wrong (opposite) occasionally
- Averages out over learning

#### Linear Separability



2-d case (two inputs)

$$W_1X_1 + W_2X_2 > \theta \ (Z=1)$$

$$W_1X_1 + W_2X_2 < \theta \ (Z=0)$$

So, what is decision boundary?

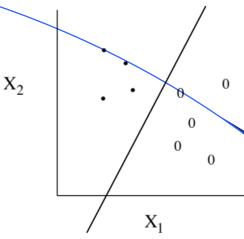
$$W_1X_1 + W_2X_2 = \theta$$

$$X_2 + W_1 X_1 / W_2 = \theta / W_2$$

$$X_2 = (-W_1/W_2)X_1 + \theta/W_2$$

$$Y = MX + B$$





2-d case (two inputs)

If no bias weight, the hyperplane must go through the origin.

Note that since 
$$\Theta$$
 = bi

Note that since  $\Theta =$  -bias, the equation with bias is:

$$X_2 = (-W_1/W_2)X_1 - bias/W_2$$

$$\mathbf{M} = -\mathbf{W}_1/\mathbf{W}_2$$

$$B = -bias/W_2$$

$$W_1X_1 + W_2X_2 > \theta \ (Z=1)$$

$$W_1X_1 + W_2X_2 < \theta \ (Z=0)$$

So, what is decision boundary?

$$W_1X_1 + W_2X_2 = \theta$$

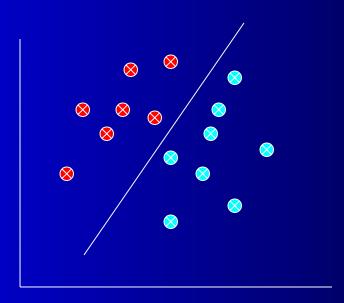
$$X_2 + W_1 X_1 / W_2 = \theta / W_2$$

$$X_2 = (-W_1/W_2)X_1 + \theta/W_2$$

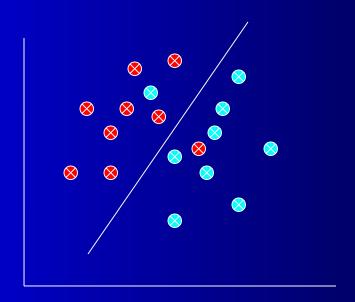
$$Y = MX + B$$

Note: bias is the weight for bias input

# Linear Separability

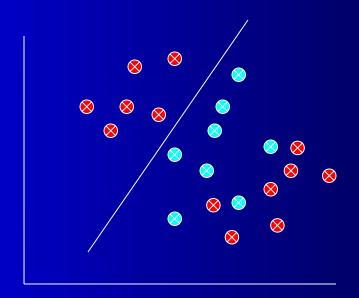


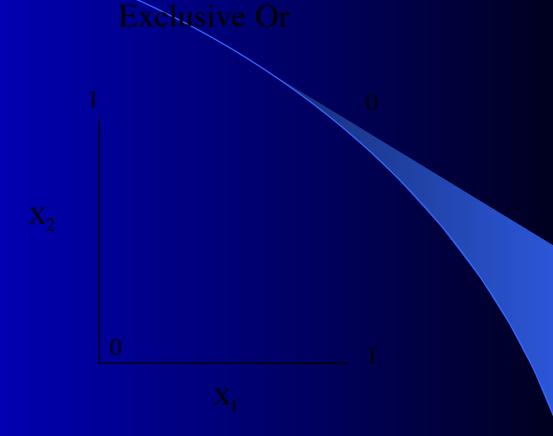
# Linear Separability and Generalization



When is data noise vs. a legitimate exception

# Limited Functionality of Hyperplane





Is there a dividing hyperplane?

• d = # of dimensions (i.e. inputs)

- d = # of dimensions
- $P = 2^d = \#$  of Patterns

```
• d = \# of dimensions
• P = 2^d = \# of Patterns
• 2^P = 2^{2^d} = \# of Functions
• Total Functions
• Linearly Separable Functions
• 2
• 2
• 4
• 4
```

16

14

```
• d = \# of dimensions
P = 2^d = \# of Patterns
2^P = 2^{2^d} = \# of Functions
              Total Functions Linearly Separable Functions
0
              4
              16
                                             14
              256
                                             104
              65536
                                             1882
5
              4.3 \times 10^9
                                             94572
              1.8 \times 10^{19}
                                             1.5 \times 10^{7}
              3.4 \times 10^{38}
                                             8.4 \times 10^9
```

### Linearly Separable Functions

$$LS(P,d) = 2 \sum_{i=0}^{d} \frac{(P-1)!}{(P-1-i)!i!}$$
 for  $P > d$ 

$$= 2P \text{ for } P \leq d$$

(All patterns for d=P)
i.e. all 8 ways of dividing 3 vertices of a cube for d=P=3

Where *P* is the # of patterns for training and *d* is the # of inputs

$$\lim_{d \to \infty} (\# \text{ of LS functions}) = \infty$$

# Linear Models which are Non-Linear in the Input Space

So far we have used

$$f(\mathbf{x}, \mathbf{w}) = sign(\sum_{i=1}^{n} w_i x_i)$$

• We could preprocess the inputs in a non-linear way and do

$$f(\mathbf{x}, \mathbf{w}) = sign(\sum_{i=1}^{m} w_i \phi_i(\mathbf{x}))$$

- To the perceptron algorithm it is the same but with more/different inputs. It still uses the same learning algorithm.
- For example, for a problem with two inputs x and y (plus the bias), we could also add the inputs  $x^2$ ,  $y^2$ , and  $x \cdot y$
- The perceptron would just think it is a 5-dimensional task, and it is linear (5-d hyperplane) in those 5 dimensions
  - But what kind of decision surfaces would it allow for the original 2-d input space?

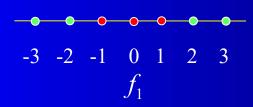
# Quadric Machine

- All quadratic surfaces (2<sup>nd</sup> order)
  - ellipsoid
  - parabola
  - etc.
- That significantly increases the number of problems that can be solved
- Can we solve XOR with this setup?

### Quadric Machine

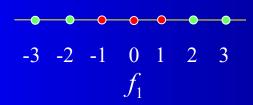
- All quadratic surfaces (2<sup>nd</sup> order)
  - ellipsoid
  - parabola
  - etc.
- That significantly increases the number of problems that can be solved
- But still many problem which are not quadrically separable
- Could go to 3<sup>rd</sup> and higher order features, but number of possible features grows exponentially
- Multi-layer neural networks will allow us to discover highorder features automatically from the input space

# Simple Quadric Example



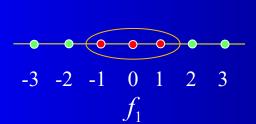
- What is the decision surface for a 1-d (1 input) problem?
- Perceptron with just feature  $f_1$  cannot separate the data
- Could we add a transformed feature to our perceptron?

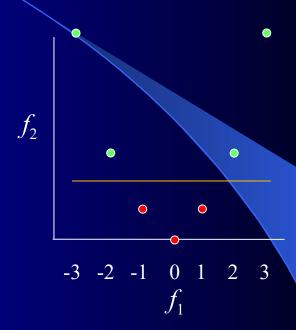
# Simple Quadric Example



- Perceptron with just feature  $f_1$  cannot separate the data
- Could we add a transformed feature to our perceptron?
- $f_2 = f_1^2$

# Simple Quadric Example





- Perceptron with just feature  $f_1$  cannot separate the data
- Could we add another feature to our perceptron  $f_2 = f_1^2$
- Note could also think of this as just using feature  $f_1$  but now allowing a quadric surface to divide the data
  - Note that  $f_1$  not actually needed in this case

#### Quadric Machine Homework

- Assume a 2-input perceptron expanded to be a quadric (2<sup>nd</sup> order) perceptron, with 5 input weights  $(x, y, x \cdot y, x^2, y^2)$  and the bias weight
  - Assume it outputs 1 if net > 0, else 0
- Assume a learning rate c of .5 and initial weights all 0
  - $\Delta w_i = c(t-z) x_i$
- Show all weights after each pattern for one epoch with the following training set

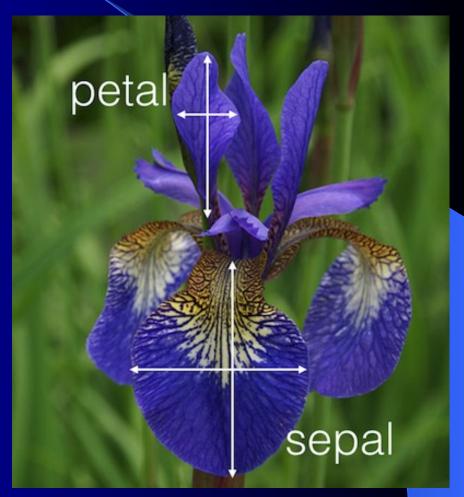
X	У	Target
0	.4	0
1	1.2	1
.5	.8	0

# How to Handle Multi-Class Output

- This is an issue with learning models which only support binary classification (perceptron, SVM, etc.)
- Create 1 perceptron for each output class, where the training set considers all other classes to be negative examples (one vs the rest)
  - Run all perceptrons on novel data and set the output to the class of the perceptron which outputs high
  - If there is a tie, choose the perceptron with the highest net value
- Another approach: Create 1 perceptron for each pair of output classes, where the training set only contains examples from the 2 classes (one vs one)
  - Run all perceptrons on novel data and set the output to be the class with the most wins (votes) from the perceptrons
  - In case of a tie, use the net values to decide
  - Number of models grows by the square of the output classes

# UC Irvine Machine Learning Data Base Iris Data Set

4.8,3.0,1.4,0.3,	Iris-setosa
5.1,3.8,1.6,0.2,	Iris-setosa
4.6,3.2,1.4,0.2,	Iris-setosa
5.3,3.7,1.5,0.2,	Iris-setosa
5.0,3.3,1.4,0.2,	Iris-setosa
7.0,3.2,4.7,1.4,	Iris-versicolor
6.4,3.2,4.5,1.5,	Iris-versicolor
6.9,3.1,4.9,1.5,	Iris-versicolor
5.5,2.3,4.0,1.3,	Iris-versicolor
6.5,2.8,4.6,1.5,	Iris-versicolor
6.0,2.2,5.0,1.5,	Iris-viginica
6.9,3.2,5.7,2.3,	Iris-viginica
5.6,2.8,4.9,2.0,	Iris-viginica
7.7,2.8,6.7,2.0,	Iris-viginica
6.3,2.7,4.9,1.8,	Iris-viginica



# Quiz

Password is - perceptron

# **Determining Model Performance**

# Objective Functions: Accuracy

- How do we judge the quality of a particular model (e.g. Perceptron with a particular setting of weights)
- Consider how accurate the model is on the data set
  - Classification accuracy = # Correct/Total instances
  - Classification error = # Misclassified/Total instances (= 1 acc)

# Objective Functions: Error

- Usually minimize a Loss function (aka cost, error)
- For real valued outputs and/or targets
  - Pattern error = Target output: Errors could cancel each other
    - $\Sigma |t_j z_j|$  (L1 loss), where j indexes all outputs in the pattern
    - Common approach is Squared Error =  $\sum (t_i z_j)^2$  (L2 loss)
- For nominal data, pattern error is typically 1 for a mismatch and 0 for a match
  - For nominal (including binary) output and targets, L1, L2, and classification error are equivalent

### Mean Squared Error

- Mean Squared Error (MSE) = SSE/n where n is the number of instances in the data set
  - This can be nice because it normalizes the error for data sets of different sizes
  - MSE is the average squared error per pattern
- Root Mean Squared Error (RMSE) is the square root of the MSE
  - This puts the error value back into the same units as the features and can thus be more intuitive
    - Since we squared the error on the SSE
  - RMSE is the average distance (error) of targets from the outputs in the same scale as the features
  - Note RMSE is the root of the total data set MSE, and NOT the sum of the root of each individual pattern MSE

# \*\*Challenge Question\*\* - Error

Given the following data set, what is the L1  $(\Sigma | t_i - z_i|)$ , SSE  $(L2) (\Sigma (t_i - z_i)^2)$ , MSE, and RMSE error for the entire data set?

X	У	Output	Target	Data Set
2	-3	1	1	
0	1	0	1	
.5	.6	.8	.2	
L1				?
SSE				?
MSE				?
RMSE				?

- A. .4 1 1 1
- B. 1.6 2.36 1 1
- C. .4 .64 .21 0.453
- D. 1.6 1.36 .67 .82
- E. None of the above

slido



# What are the errors for the data?

① Start presenting to display the poll results on this slide.

# \*\*Challenge Question\*\* - Error

Given the following data set, what is the L1  $(\Sigma | t_i - z_i|)$ , SSE  $(L2) (\Sigma (t_i - z_i)^2)$ , MSE, and RMSE error for the entire data set?

X	У	Output	Target	Data Set	
2	-3	1	1		
0	1	0	1		
.5	.6	.8	.2		
L1				1.6	
SSE				1.36	
MSE				1.36/3 = .453	
RMSE				.45^.5 = .67	

- A. .4 1 1 1
- B. 1.6 2.36 1 1
- C. .4 .64 .21 0.453
- D. 1.6 1.36 .67 .82
- E. None of the above

#### Error Values Homework

- Given the following data set, what is the L1, SSE (L2), MSE, and RMSE error of Output1, Output2, and the entire data set? Fill in cells that have a ?.
  - Notes: For instance 1 the L1 pattern error is 1 + .4 = 1.4 and the SSE pattern error is 1 + .16 = 1.16. The Data Set L1 and SSE errors will just be the sum of each of the pattern errors.

Instance	X	у	Output1	Target1	Output2	Target 2	Data Set
1	-1	-1	0	1	.6	1.0	
2	-1	1	1	1	3	0	
3	1	-1	1	0	1.2	.5	
4	1	1	0	0	0	2	
L1			?		?		?
SSE			?		?		?
MSE			?		?		?
RMSE			?		?		?

#### **Error Surface**

Error is a function of the weights

$$- E = \sum (t_i - z_i)^2 = \sum (t_i - \sum x_j w_{ij})^2$$

If we could search this space, we could find the minimum

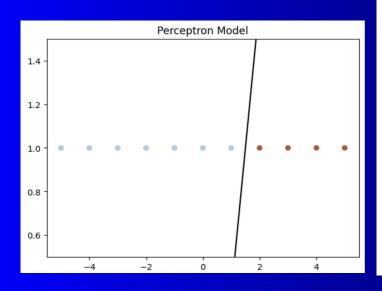
Total SSE: Sum Squared Error  $\sum (t-z)^2$ 

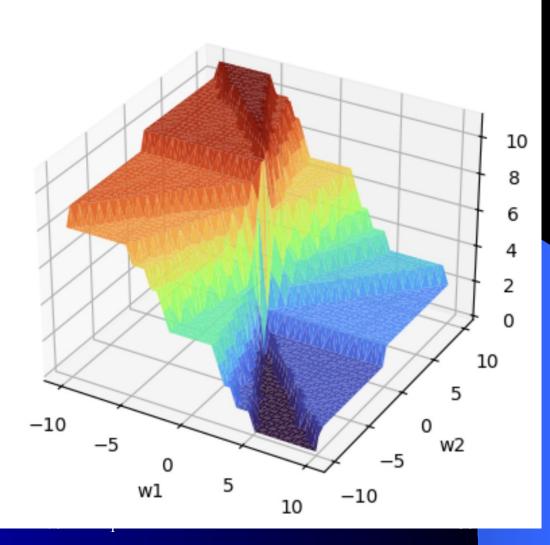
0



# Error Surface

Single perceptron –1d input with bias





# Gradient Descent Learning: Minimize (Maximize) the Objective Function

- Gradient descent algorithm
  - Find a starting location set of weights
  - Loop
    - Calculate output values
    - Use the gradient to adjust your weight values
- Adjusting the weights
  - Derivative of the error function w.r.t the weights slope or gradient

CS 270 - Perceptron

$$w_i\!\leftarrow\!w_i\!+\!\Delta w_i \ \Delta w_i\!=\!-\eta rac{\partial E}{\partial w_i}$$

# Deriving a Gradient Descent Learning Algorithm

- Goal is to decrease overall error (or other loss function) each time a weight is changed
- Sum Squared error one possible loss function  $E = \sum (t-z)^2$ 
  - Actually use  $E = \sum (t z)^2$
- Other reasons to use SSE
  - All errors are positive
  - Amplifies the effect of larger errors
  - Transforms the error surface smooth and differentiable
- Partial derivative of the error function w.r.t the weights gives us a weight update function

$$\begin{split} \frac{\partial E}{\partial w_i} &= \frac{\partial}{\partial w_i} \frac{1}{2} \sum_{d \in D} (t_d - o_d)^2 \\ &= \frac{1}{2} \sum_{d \in D} \frac{\partial}{\partial w_i} (t_d - o_d)^2 \\ &= \frac{1}{2} \sum_{d \in D} 2(t_d - o_d) \frac{\partial}{\partial w_i} (t_d - o_d) \\ &= \frac{1}{2} \sum_{d \in D} 2(t_d - o_d) \frac{\partial}{\partial w_i} (t_d - \vec{w}.\vec{x}) \end{split}$$

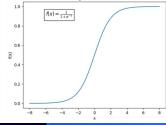
$$\Delta w_i = \eta \sum\nolimits_{d \in D} (t_d - o_d) x_{id}$$

## Delta rule algorithm

- Simple perceptron rule has a problem for gradient descent
  - Threshold output makes the error function non-differentiable
- Delta rule uses (target net) before the net value goes through the threshold in the learning rule to decide weight update

$$\Delta w_i = c(t - net)x_i$$

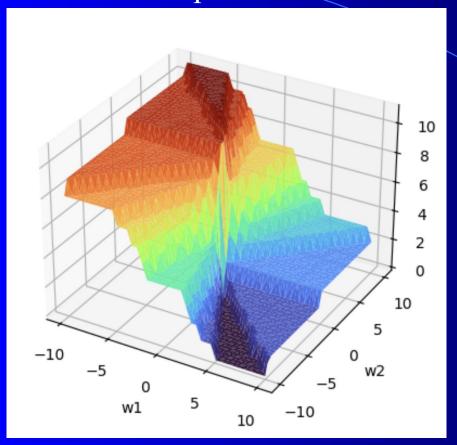
Use sigmoid(net) if you want 0/1 output

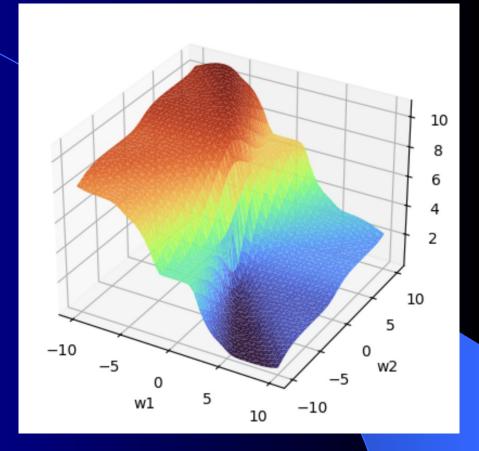


- Weights are updated even when the output would be correct
- Because this model is single layer and because of the SSE objective function, the error surface is guaranteed to be parabolic with only one minima
- Learning rate
  - If learning rate is too large can jump around global minimum
  - If too small, will get to minimum, but will take a longer time
  - Can decrease learning rate over time to give higher speed and still attain the global minimum (although exact minimum is still just for training set and thus...)

#### Perceptron Rule

#### Delta Rule + Sigmoid(net)





Changing to the Delta Rule and using Sigmoid(net) for output changes the decision surface to smooth and differentiable

### Batch vs Stochastic Update

- To get the true gradient, we need to sum errors over the entire training set and only update weights at the end of each epoch
- Batch (gradient) vs stochastic (on-line, incremental)
  - SGD (Stochastic Gradient Descent)
  - With the stochastic gradient descent algorithm, you update after every pattern, just like with the perceptron algorithm (even though that means each change may not be along the true gradient)
  - Stochastic is more efficient and best to use in almost all cases, though not all have figured it out yet
  - We'll talk about this in more detail when we get to Backpropagation

### Perceptron rule vs Delta rule

- Perceptron rule (target thresholded output) guaranteed to converge to a separating hyperplane if the problem is linearly separable. Otherwise may not converge could get in a cycle
- Singe layer Delta rule guaranteed to have only one global minimum. Thus, it will converge to the best SSE solution whether the problem is linearly separable or not.
  - Could have a higher misclassification rate than with the perceptron rule and a less intuitive decision surface – we will discuss this later with regression where Delta rules is more appropriate
- Stopping Criteria For these models we stop when no longer making progress
  - When you have gone a few epochs with no significant improvement/change between epochs (including oscillations)

# Quiz

- Password is:
  - gradient