



My Experience Using SQL Plan Baselines

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September 2014

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love your data

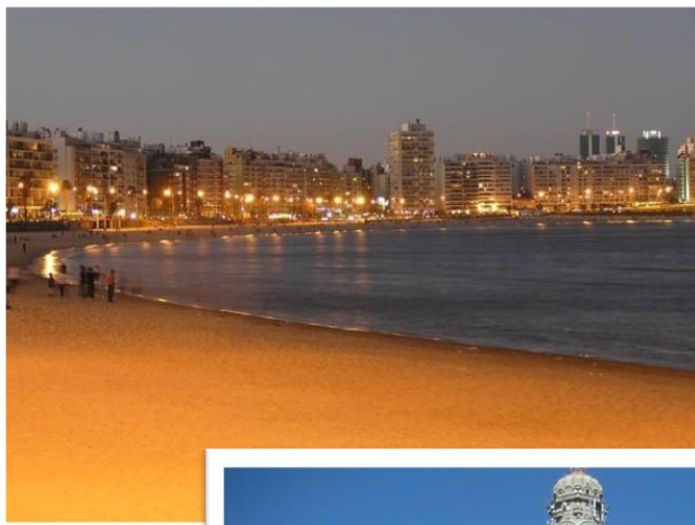
About me

- Database Consultant at Pythian
- Computer Engineer
- Oracle Certified Professional DBA 10g/11g
- Oracle ACE
- Working with Oracle tools and Linux environments since 1996
- DBA Oracle (since 2001) & MySQL (since 2005)
- Oracle University Instructor
- Co-founder and President of the Oracle user Group of Uruguay
- LAOUC Director of events
- Blogger and frequent speaker: Oracle Open World, Collaborate, OTN Tour, JIAP, MySQL/NoSQL conferences



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Pythian overview

- 17 Years of data infrastructure management consulting
- 170+ Top brands
- 10000+ Systems under management
- Over 200 DBAs in 28 countries
- Top 5% of DBA work force, 9 Oracle ACEs, 4 Microsoft MVPs
- Oracle, Microsoft, MySQL, Netezza, Hadoop, MongoDB, Oracle Apps, Enterprise Infrastructure



Why SQL Plan Management?

- Oracle has several functionalities to help troubleshoot performance of SQL
- There is a lot of information online, official and by the community
 - <https://blogs.oracle.com/optimizer/> is the Optimizer Team!
 - OTN Virtual Technology summit lab – Create and evolve a Baseline: <http://www.oracle.com/technetwork/articles/database/create-sql-plan-baseline-2237506.html>



Share my experience using it on production environments

- RAC and single instance
- Closed source applications (Black Box)
- 1000+ users, 40+ concurrent

Today's topics

- What is SQL Plan Management?
- Simple example
 - SQL matching
 - Plan matching
 - New plan generation
 - Helper scripts
- Evolution on 11g / 12c
- Challenges
- Troubleshooting
- Daily management
 - 11g suggested approach

Introduction to SQL Plan Management

What

- SQL Plan Management is a new feature of Oracle 11.1 with no extra cost, available on Enterprise edition, enabled by default.

Why

- The Oracle Optimizer is able to use only well-known execution plans, avoiding the usage of others plans we know inferior performance (regression).

How

Introduction to SQL Plan Management

Changes in SQL plan execution can lead to worse execution times, and can impact system performance. It can be caused from a variety of reasons:

- Optimizer version, statistics, and parameters
- Structural objects changes (datatype, indexes, partitions)
- System settings
- System growth (skewed data, concurrency)
- And many more. See additional reason here:
<http://jonathanlewis.wordpress.com/2013/12/23/plan-changes/>

Oracle Database already has several ways to control plan execution that works at different stages in the cost based Optimizer:

- Stored Outlines (deprecated in 11.1)
- SQL Profiles: adds statistics to the plan evaluation phase of the Optimizer (DBMS_SQLTUNE)
- SQL Hints: forces the optimizer to follow a specific path/action

Introduction to SQL Plan Management

What

- Baselines are new objects stored on SYSAUX tablespace (SMB area)
- Each SQL statement can have many baselines
- Only **enabled** and **accepted** plans are used
- Fixed plans take precedence, and no new plans are auto added
- Stages: plan load – plan selection – plan evolution
- New evolve advisor autotask in 12c
- SQL needs to run more than once to be considered
- Baselines are global - not per schema

Why

How

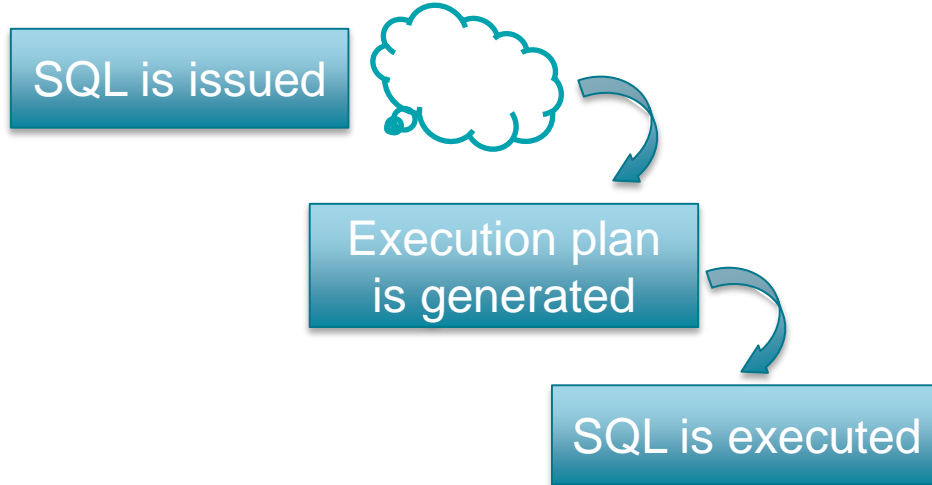
Initialization parameters

- OPTIMIZER_CAPTURE_SQL_PLAN_BASELINES (Default: FALSE)
- OPTIMIZER_USE_SQL_PLAN_BASELINES (Default: TRUE)

Introduction to SQL Plan Management - How

Old history

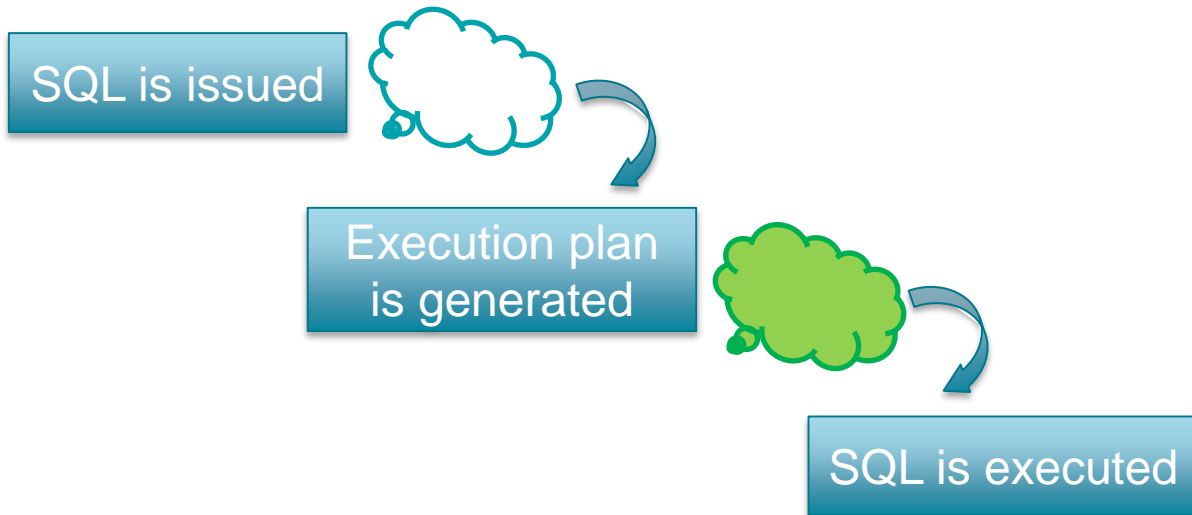
Oracle Cost Based
Optimizer (CBO)
Before 11g



Introduction to SQL Plan Management - How

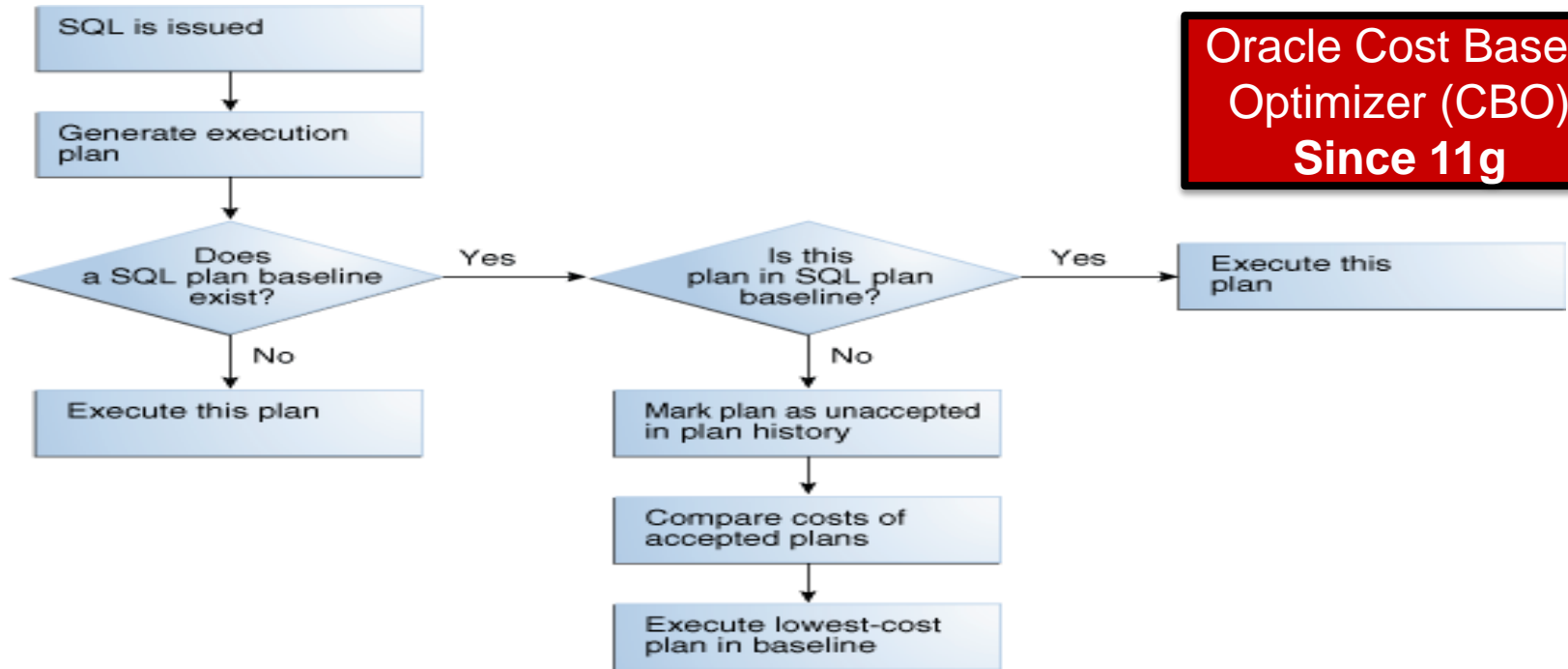
Baselines are the last step evaluated by the Oracle Optimizer: if an **enabled** and **accepted** plan for the statement exists, it will be used, maybe discarding the already generated plan.

Oracle Cost Based
Optimizer (CBO)
Since 11g



Introduction to SQL Plan Management - How

**Oracle Cost Based
Optimizer (CBO)
Since 11g**



http://docs.oracle.com/database/121/TGSQL/tgsql_spm.htm#TGSQL626

SPM example – setup

SQL*Plus: Release 11.2.0.3.0 Production on Thu Aug 7 23:46:48 2014

Copyright (c) 1982, 2011, Oracle. All rights reserved.

Connected to:

Oracle Database 11g Enterprise Edition Release 11.2.0.3.0 - 64bit Production
With the Partitioning, OLAP, Data Mining and Real Application Testing options

```
SQL> create table pp(n number, c varchar2(100));
```

Table created.

```
SQL> insert into pp select rownum, object_id from dba_objects where rownum < 100;
```

99 rows created.

```
SQL> create index pp_idx on pp(n);
```

Index created.

```
SQL> exec dbms_stats.gather_table_stats(user, 'PP');
```

PL/SQL procedure successfully completed.

SPM example – capture SQL execution

```
SQL> alter session set optimizer_capture_sql_plan_baselines = TRUE;  
Session altered.
```

```
SQL> var n number;  
SQL> exec :n := 1;  
PL/SQL procedure successfully completed.
```

```
SQL> select * from pp where n=:n;  
      N C
```

```
-----  
      1 20
```

```
SQL> /  
      N C
```

```
-----  
      1 20
```

```
SQL> alter session set optimizer_capture_sql_plan_baselines = FALSE;  
Session altered.
```

SPM example – SQL and baseline matching

```
select signature, sql_handle, plan_name, enabled, accepted, fixed, sql_text
from dba_sql_plan_baselines;
```

SIGNATURE	SQL_HANDLE	PLAN_NAME	ENA	ACC	FIX	SQL_TEXT
1245871306398155660	SQL_114a395a2db6c38c	SQL_PLAN_12kjt8qvdhwc8a71e415	YES	YES	NO	select * from pp

```
select sql_id, exact_matching_signature, sql_text
from v$sql
where sql_text like 'select * from pp %';
```

SQL_ID	EXACT_MATCHING_SIGNATURE	SQL_TEXT
0a14b3yhux040	1245871306398155660	select * from pp where n=:n

SPM example – SQL and baseline matching

Our Baseline:

```
select signature, plan_name from dba_sql_plan_baselines;
```

SIGNATURE	PLAN_NAME
-----------	-----------

1245871306398155660	SQL_114a395a2db6c38c
---------------------	----------------------

```
select * from pp where n=:n;
```

```
select * from pp where n=2;
```

```
select * from pp where n=1;
```

```
select sql_id, exact_matching_signature, force_matching_signature, sql_text
from v$sql
where sql_text like 'select * from pp %';
```

SQL_ID	EXACT_MATCHING_SIGNATURE	FORCE_MATCHING_SIGNATURE	SQL_TEXT
D387kpdvh4anb	11466572521187337874	15110712337079575277	select * from pp where n=2
0a14b3yhux040	1245871306398155660	1245871306398155660	select * from pp where n=:n
86svuf72xqg	14183734311806369169	15110712337079575277	select * from pp where n=1

SPM example – plan from last run in SGA

```
SQL> select * from table(dbms_xplan.display_cursor);
```

```
SQL_ID 0a14b3yhux040, child number 1
```

```
-----
```

```
select * from pp where n=:n
```

```
Plan hash value: 2547524127
```

```
-----
```

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0	SELECT STATEMENT				2 (100)	
1	TABLE ACCESS BY INDEX ROWID	PP	1	6	2 (0)	00:00:01
* 2	INDEX RANGE SCAN	PP_IDX	1		1 (0)	00:00:01

```
-----
```

```
Predicate Information (identified by operation id):
```

```
-----
```

```
2 - access("N"=:N)
```

Note

- SQL plan baseline **SQL_PLAN_12kjt8qvdhwcdeb317bf** used for this statement

SPM example – hints/plan used by Baseline

```
SQL> select * from table(dbms_xplan.display_sql_plan_baseline(
    plan_name => 'SQL_PLAN_12kjt8qvdhwcdeb317bf', format => 'OUTLINE'));
```

```
-----
SQL handle: SQL_114a395a2db6c38c
```

```
SQL text: select * from pp where n=:n
```

```
-----
Plan name: SQL_PLAN_12kjt8qvdhwcdeb317bf    Plan id: 3736278975
```

```
Enabled: YES      Fixed: NO  Accepted: YES      Origin: AUTO-CAPTURE
```

```
-----
Outline Data from SMB:
```

```
/*+
  BEGIN_OUTLINE_DATA
  INDEX_RS_ASC(@"SEL$1" "PP"@"SEL$1" ("PP"."N"))
  OUTLINE_LEAF(@"SEL$1")
  ALL_ROWS
  DB_VERSION('11.2.0.3')
  OPTIMIZER_FEATURES_ENABLE('11.2.0.3')
  IGNORE_OPTIM_EMBEDDED_HINTS
  END_OUTLINE_DATA
*/
```

Where is the
Plan hash value?
2547524127

SPM example – view definition

```
select text from dba_views where view_name='DBA_SQL_PLAN_BASELINES';

SELECT /*+ dynamic_sampling(3) */
      so.signature,
      st.sql_handle,
...
      DECODE(BITAND(so.flags, 1), 1, 'YES', 'NO'),           -- enabled
      DECODE(BITAND(so.flags, 2), 2, 'YES', 'NO'),           -- accepted
...
FROM
      sqlobj$          so,
      sqlobj$auxdata ad,
      sql$text         st
WHERE
      so.signature = st.signature AND
      ad.signature = st.signature AND
      so.signature = ad.signature AND
      so.plan_id = ad.plan_id AND
      so.obj_type = 2 AND
      ad.obj_type = 2
```

SPM example – similar now including PHV2

```
SELECT /*+ dynamic_sampling(3) */ so.signature, so.name plan_name,  
      DECODE (BITAND(so.flags, 1), 1, 'YES', 'NO') enabled,  
      DECODE (BITAND(so.flags, 2), 2, 'YES', 'NO') accepted,
```

```
      so.plan_id phv2
```

```
FROM
```

```
      sqlobj$      so,
```

```
      sqlobj$auxdata ad,
```

```
      sql$text      st
```

```
WHERE
```

```
      so.signature = st.signature AND
```

```
      ad.signature = st.signature AND
```

```
      so.signature = ad.signature AND
```

```
      so.plan_id = ad.plan_id AND
```

```
      so.obj_type = 2 AND
```

```
      ad.obj_type = 2;
```

SIGNATURE	PLAN_NAME	ENA	ACC	PHV2
1245871306398155660	SQL_PLAN_12kjt8qvdhwc8a71e415	YES	YES	2322719765

SPM example – SQL matching plan number

```
col phv2 for 99999999999
SELECT  p.sql_id, p.plan_hash_value, p.child_number, x.phv2
FROM    v$sql_plan p
        ,xmltable('for $i in /other_xml/info where $i/@type eq "plan_hash_2" return $i'
                  passing xmltype(p.other_xml) columns phv2 number path '/') x
WHERE   p.sql_id = '0a14b3yhux040'
        and p.other_xml is not null;
```

SQL_ID	PLAN_HASH_VALUE	CHILD_NUMBER	PHV2
0a14b3yhux040	2932947496	0	2322719765

Our previous result from the almost similar to DBA_SQL_PLAN_BASELINES:

SIGNATURE	PLAN_NAME	ENA	ACC	PHV2
1245871306398155660	SQL_PLAN_12kjt8qvdhwc8a71e415	YES	YES	2322719765

Introduction to SQL Plan Management - How

- Important attributes of a baseline (DBA_SQL_PLAN_BASELINES):
 - ENABLED – this can be used if accepted
 - ACCEPTED – this can be used if enabled
 - FIXED – use this as preferred– no evolution
 - PARSING_SCHEMA_NAME
 - ADAPTIVE – new in 12c
- Purging policy – weekly autotask to delete unused plans.
Parameters:
 - SPACE_BUDGET_PERCENT - % used - default 10 - alert.log
 - PLAN_RETENTION_WEEKS – to delete non used plans - default 53
 - DBA_SQL_MANAGEMENT_CONFIG view
 - Can be changed using DBMS_SPM.CONFIGURE

SQL Plan Management objects

SPM included in the SQL Management Base (SMB).

SMB also has:

- Statement log – SQL\$
- Baselines plan history (12c) - SQLOBJ\$PLAN
- SQL plan baselines
 - DBA_SQL_PLAN_BASELINES view
 - DBMS_SPM package
- SQL profiles
 - DBA_SQL_PROFILES view
 - DBMS_SQLTUNE / DBMS_AUTO_SQLTUNE package

When to use SPM

- Just another tool for performance management
- Database upgrade: known plans can be captured/exported/imported
- Third party applications: can include exported baselines to guarantee known behaviour

What is automated by advisors?

- SQL Tuning Advisor (Tuning pack licence!) - [SYS_AUTO_SQL_TUNING_TASK](#)
 - Create profiles (and baseline if present) if `ACCEPT_SQL_PROFILES` parameter is TRUE
 - Manually using `DBMS_AUTO_SQLTUNE.ACCEPT_SQL_PROFILE`
- Evolve advisor on 12c - [SYS_AUTO_SPM_EVOLVE_TASK](#) (more later)
 - parameter [ACCEPT_PLANS](#) defaults TRUE

All good things without extra effort?

- Forces us to follow the evolution of SQLs who uses it
 - blindly trust is not a good idea
- Needs a good understanding to explain why an existing baseline is not used

More than just plan selection

Plan load/capture and evolution

Creating new Baselines manually:

- capture plans being in use by the instance
 - `OPTIMIZER_CAPTURE_SQL_PLAN_BASELINES=TRUE`
- load plans from cursor cache
 - `DBMS_SPM.LOAD_PLANS_FROM_CURSOR_CACHE`
- load plans from SQL tuning set - Oracle Tuning Pack license
 - `DBMS_SPM.LOAD_PLANS_FROM_SQLSET`

New baselines are generated automatically:

- for statement that already have Baselines created (when new plans are parsed by the optimizer, as **non accepted**) – **it is not capture!**
- when creating a SQL Profile on a statement that has Baseline (**as accepted**)

Non accepted plans become **accepted** because evolution or `DBMS_SPM` attribute change

New plan generation

```
SQL> alter index pp_idx invisible;
```

```
Index altered.
```

```
SQL> select * from pp where n=:n;
```

```
   N   C
```

```
-----  
1 20
```

```
SQL> select * from table(dbms_xplan.display_cursor);
```

```
SQL_ID 0a14b3yhux040, child number 1
```

```
-----  
select * from pp where n=:n
```

```
Plan hash value: 2932947496
```

```
-----  
| Id | Operation | Name | Rows | Bytes | Cost (%CPU) | Time |  
-----  
| 0 | SELECT STATEMENT | | | | 2 (100) | |  
|* 1 | TABLE ACCESS FULL | PP | 1 | 6 | 2 (0) | 00:00:01 |  
-----
```

New plan generation

```
select sql_handle, plan_name, enabled, accepted, fixed, reproduced, sql_text
from dba_sql_plan_baselines;
```

SQL_HANDLE	PLAN_NAME	ENA	ACC	FIX	REP	SQL_TEXT
SQL_114a395a2db6c38c	SQL_PLAN_12kjt8qvdhwc8a71e415	YES	NO	NO	YES	select * from pp where n=:n
SQL_114a395a2db6c38c	SQL_PLAN_12kjt8qvdhwcdeb317bf	YES	YES	NO	NO	select * from pp where n=:n

As the existing baseline could not be reproduced, a new plan was used, and automatically added as non accepted.

Which plan was the original?

```
select * from table(dbms_xplan.display_sql_plan_baseline(
    plan_name => 'SQL_PLAN_12kjt8qvdhwcdeb317bf'));
```

New plan generation – 11g shows wrong plan

SQL handle: SQL_114a395a2db6c38c

SQL text: select * from pp where n=:n

Plan name: **SQL_PLAN_12kjt8qvdhwcdeb317bf** Plan id: 3736278975

Enabled: YES Fixed: NO Accepted: YES Origin: AUTO-CAPTURE

Plan hash value: 2932947496

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0	SELECT STATEMENT		1	6	2 (0)	00:00:01
* 1	TABLE ACCESS FULL	PP	1	6	2 (0)	00:00:01

Predicate Information (identified by operation id):

1 - filter("N"=TO_NUMBER(:N))

New plan generation – 12c shows correct plan

SQL handle: SQL_114a395a2db6c38c

SQL text: select * from pp where n=:n

Plan name: **SQL_PLAN_12kjt8qvdhwcdeb317bf** Plan id: 3736278975

Enabled: YES Fixed: NO Accepted: YES Origin: AUTO-CAPTURE

Plan hash value: 452276032

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time	
0	SELECT STATEMENT				2 (100)		
1	TABLE ACCESS BY INDEX ROWID BATCHED	PP	1	6	2 (0)	00:00:01	
* 2	INDEX RANGE SCAN	PP_IDX	1		1 (0)	00:00:01	

...

No misunderstandings

Each SQL Baseline stores the original SQL execution plan?

- 11g: no, it regenerates the plan using captured data
 - SQLOBJ\$AUXDATA
 - dbms_xplan.display_sql_plan_baseline **can show a plan different from the original** captured
- 12c: stores the original execution plan
 - SQLOBJ\$PLAN

Scripts for creating new Baselines

- SQLTXPLAIN (SQLT) - MOS note 215187.1
 - Tool that helps to diagnose SQL statements performing poorly
 - `coe_load_sql_baseline.sql` – allows to add a plan generated by a modified SQL to the original
 - Also useful when loading a plan from AWR (must go through SQL tuning set)

Using SQLT to bind a modified plan

SQL_ID	EXACT_MATCHING_SIGNATURE	PLAN_HASH_VALUE	CHILD SQL_TEXT
fxdvlcmv8cksa	6873770436048238943	2932947496	0 select /*+ FULL(pp) */ * from pp where n =:n
0a14b3yhux040	1245871306398155660	452276032	0 select * from pp where n=:n

```
SQL> select * from dba_sql_plan_baselines;  
no rows selected
```

```
SQL> sta coe_load_sql_baseline 0a14b3yhux040 fxdvlcmv8cksa 2932947496
```

```
...  
Plans Loaded: 1  
sys_sql_handle: "SQL_114a395a2db6c38c"  
sys_plan_name: "SQL_PLAN_12kjt8qvdhwc8a71e415"
```

```
SQL> select * from pp where n=:n;
```

SQL_ID	EXACT_MATCHING_SIGNATURE	PLAN_HASH_VALUE	CHILD SQL_TEXT
fxdvlcmv8cksa	6873770436048238943	2932947496	0 select /*+ FULL(pp) */ * from pp where n =:n
0a14b3yhux040	1245871306398155660	452276032	0 select * from pp where n=:n
0a14b3yhux040	1245871306398155660	2932947496	1 select * from pp where n=:n

How SQL Plan Management interact with ...?

- Stored Outlines (desupport announced on 11.1)
- SQL Profiles – since 10g
- Adaptive Cursor Sharing (ACS) – new 11g
- Adaptive Query Optimization (12c)
 - Adaptive Plans (joins and parallel distribution methods)
 - Adaptive Statistics (at compile time and at runtime))

How SQL Plan Management interact with ...?

- Stored Outlines (desupport announced on 11.1)
- SQL Profiles – since 10g
- Adaptive Cursor Sharing (ACS) – new 11g
- Adaptive Query Optimization (12c)
 - Adaptive Plans (joins and parallel distribution methods)
 - Adaptive Statistics (at compile time and at runtime))

➡ **Affects plan selection/creation, but SPM is the last step!**

Some bugs in the past with profiles+baselines - 12980183

ACS and SPM demo: <https://blogs.oracle.com/optimizer/resource/acs-spm-figures/acs-spm-script.sql>

Management of new plans (evolution)

Only better performing plans are accepted considering elapsed time, logical IO and CPU time

- Plan reproducibility plays here (ACS/Binds)

11g: manually using DBMS_SPM.EVOLVE_SQL_PLAN_BASELINE

12c: new Evolve Advisor configured as a daily autotask

- SYS_AUTO_SPM_EVOLVE_TASK
- Result report using DBMS_SPM.REPORT_AUTO_EVOLVE_TASK
- Automatically accepts new baselines performing better
 - parameter ACCEPT_PLANS defaults TRUE
- Manually too with DBMS_SPM.CREATE_EVOLVE_TASK
- DBMS_SPM.EVOLVE_SQL_PLAN_BASELINE **deprecated**

Plan evolution in 11g

```
set long 100000
var e clob;
exec :e := DBMS_SPM.EVOLVE_SQL_PLAN_BASELINE(
            SQL_HANDLE=>'SQL_114a395a2db6c38c',
            COMMIT => 'NO');
print;
```

Evolve SQL Plan Baseline Report

Inputs:

```
SQL_HANDLE = SQL_114a395a2db6c38c
PLAN_NAME  =
TIME_LIMIT = DBMS_SPM.AUTO_LIMIT
VERIFY     = YES
COMMIT     = NO
```

Plan: SQL_PLAN_12kjt8qvdhwc8a71e415

Plan was verified: Time used .06 seconds.

Plan failed performance criterion: 1.02 times better than baseline plan.

	Baseline Plan	Test Plan	Stats Ratio
	-----	-----	-----
Execution Status:	COMPLETE	COMPLETE	
Rows Processed:	1	1	
Elapsed Time(ms):	.494	.101	4.89
CPU Time(ms):	.444	.111	4
Buffer Gets:	2	2	1
Physical Read Requests:	0	0	
Physical Write Requests:	0	0	
Physical Read Bytes:	0	0	
Physical Write Bytes:	0	0	
Executions:	1	1	

Report Summary

Number of plans verified: 1
Number of plans accepted: 0

Plan evolution in 11g

Continuing with our example:

- invisible index

```
set long 100000
var e clob;
exec :e :=
DBMS_SPM.EVOLVE_SQL_PLAN_BASELINE (
  SQL_HANDLE=>'SQL_114a395a2db6c38c',
  COMMIT => 'NO');
print;
```

```
-----
                        Evolve SQL Plan Baseline Report
-----

Inputs:
-----
SQL_HANDLE = SQL_114a395a2db6c38c
PLAN_NAME  =
TIME_LIMIT = DBMS_SPM.AUTO_LIMIT
VERIFY     = YES
COMMIT     = NO

Plan: SQL_PLAN_12kjt8qvdhwc8a71e415
-----

Plan was not verified.
Using cost-based plan as could not reproduce any
accepted and enabled baseline plan.

-----
                        Report Summary
-----

Number of plans verified: 0
Number of plans accepted: 0
```

Plan evolution in 11g – results examples

Plan: SQL_PLAN_12kjt8qvdhwc8a71e415

Plan was verified: Time used 7,932 seconds.

Plan failed performance criterion: performance equal to baseline plan.

Plan was verified: Time used 152,247 seconds.

Plan failed performance criterion: 1,20 times worse than baseline plan.

Plan was verified: Time used 37,411 seconds.

Plan failed performance criterion: 17,5 times worse than baseline plan.

Plan was verified: Time used 314,085 seconds.

Plan verification timed out.

Plan was verified: Time used 32,618 seconds.

Error encountered during plan verification (ORA-16960).

ORA-16960: SQL Analyze no ha podido reproducir el plan deseado.

Plan was verified: Time used 313,330 seconds.

Plan passed performance criterion.

Plan evolution on 12c

11g evolution deprecated but runs

```
set long 100000
var e clob;
exec :e :=
DBMS_SPM.EVOLVE_SQL_PLAN_BASELINE (
  SQL_HANDLE=>'SQL_114a395a2db6c38c',
  COMMIT => 'NO');
print;
```

GENERAL INFORMATION SECTION

Task Information:

Task Name	: TASK_1604
Task Owner	: SYS
Execution Name	: EXEC_1681
Execution Type	: SPM_EVOLVE
Scope	: COMPREHENSIVE
Status	: COMPLETED
Started	: 08/09/2014 21:07:53
Finished	: 08/09/2014 21:07:53
Last Updated	: 08/09/2014 21:07:53
Global Time Limit	: 2147483646
Per-Plan Time Limit	: UNUSED
Number of Errors	: 0

SUMMARY SECTION

Number of plans processed	: 1
Number of findings	: 1
Number of recommendations	: 0
Number of errors	: 0

...

DETAILS SECTION

```

Object ID      : 2
Test Plan Name : SQL_PLAN_12kjt8qvdhwc8a71e415
Base Plan Name : SQL_PLAN_12kjt8qvdhwca9f022c1
SQL Handle     : SQL_114a395a2db6c38c
Parsing Schema : SYS
Test Plan Creator : SYS
SQL Text       : select * from pp where n=:n

```

Bind Variables:

```

1 - (NUMBER): 99

```

Execution Statistics:

	Base Plan	Test Plan
Elapsed Time (s):	.000003	.000003
CPU Time (s):	0	0
Buffer Gets:	0	0
Optimizer Cost:	2	2
Disk Reads:	0	0
Direct Writes:	0	0
Rows Processed:	0	0
Executions:	10	10

FINDINGS SECTION

Findings (1):

1. The plan was verified in 0.02000 seconds. It failed the benefit criterion because its verified performance was 0.66667 times worse than that of the baseline plan.

EXPLAIN PLANS SECTION

Baseline Plan

```

Plan Id      : 2201
Plan Hash Value : 2851087041

```

Id	Operation	Name	Rows	Bytes	Cost	Time
0	SELECT STATEMENT		1	6	2	00:00:01
1	TABLE ACCESS BY INDEX ROWID BATCHED	PP	1	6	2	00:00:01
* 2	INDEX RANGE SCAN	PP_IDX	1		1	00:00:01

Predicate Information (identified by operation id):

```

* 2 - access("N"=:N)

```

Test Plan

```

Plan Id      : 2202
Plan Hash Value : 2322719765

```

Id	Operation	Name	Rows	Bytes	Cost	Time
0	SELECT STATEMENT		1	6	2	00:00:01
* 1	TABLE ACCESS FULL	PP	1	6	2	00:00:01

Plan evolution on 12c - automatic

```
SELECT owner, description, advisor_name, created, last_modified, status, recommendation_count rc
FROM dba_advisor_tasks
WHERE task_name = 'SYS_AUTO_SPM_EVOLVE_TASK';
```

OWNER	DESCRIPTION	ADVISOR_NAME	CREATED	LAST_MODI	STATUS	RC
SYS	Automatic SPM Evolve Task	SPM Evolve Advisor	24-MAY-13	09-AUG-14	COMPLETED	0

```
SELECT count(*) FROM DBA_ADVISOR_PARAMETERS
WHERE TASK_NAME = 'SYS_AUTO_SPM_EVOLVE_TASK';
```

```
COUNT(*)
-----
29
```

Viewing result report (same output as before):

```
select dbms_spm.report_auto_evolve_task from dual;
```

Plan evolution on 12c - manually

```
var r varchar2(30);
var t varchar2(20);
exec :t := 'EVOTASK';
```

```
-- with no parameter will consider all non ACCEPTED baselines
exec :r := dbms_spm.create_evolve_task(task_name=>t, description=>t,
                                       plan_name=>'SQL_PLAN_12kjt8qvdhwc8a71e415');
```

```
exec :r := dbms_spm.execute_evolve_task(:t, 'run1', 'first evolve run');
```

```
SELECT advisor_name, created, last_modified, status, how_created, recommendation_count rc
FROM dba_advisor_tasks
WHERE task_name = :t;
```

ADVISOR_NAME	CREATED	LAST_MODI	STATUS	HOW_CREATED	RC
SPM Evolve Advisor	09-AUG-14	09-AUG-14	COMPLETED	CMD	0

```
var e clob;
exec :e := dbms_spm.report_evolve_task(:t);
col e for 150
print e
```

← Same report as before

Plan evolution on 12c - manually

Recommendation section: provides command to execute

Findings (1):

1. None of the accepted plans were reproducible.

Recommendation:

Consider accepting the plan. Execute
`dbms_spm.accept_sql_plan_baseline(task_name => 'EVOTASK',
object_id => 2, task_owner => 'SYS');`

Implementing automatic evolution on 11g

```
declare
cursor c_nuevos_baselines is
  select sql_handle, PLAN_NAME, (select count(1) from DBA_SQL_PLAN_BASELINES b2
                                where b.sql_handle=b2.sql_handle and b2.accepted='YES') aceptados
  from DBA_SQL_PLAN_BASELINES b
  where enabled='YES' and accepted='NO' and created > trunc(sysdate)-7
  order by sql_handle, PLAN_NAME, created;
begin
  dbms_output.put_line ('var r long;');
  dbms_output.put_line ('set long 100000');
  dbms_output.put_line ('spool evolve-results-||to_char(sysdate,'yyyymmdd')||'.txt');
  for r_bl in c_nuevos_baselines loop
    dbms_output.put_line ('#####');
    if r_bl.aceptados > 0 then
      dbms_output.put_line ('exec :r:=dbms_spm.evolve_sql_plan_baseline(sql_handle => '||r_bl.sql_handle||
                                                                    ', plan_name=>'||r_bl.plan_name||'', commit => 'NO')););
      dbms_output.put_line ('print;');
    end if;
    dbms_output.put_line ('select * from table(dbms_xplan.display_sql_plan_baseline('||
                                                                    'sql_handle=>'||r_bl.sql_handle||'', plan_name=>'||r_bl.plan_name||'')));');
  end loop;
  dbms_output.put_line ('spool off');
end;
/
```

Implementing automatic evolution on 11g

Sample run:

```
set serveroutput on
spool toevolve.sql
@autoevollg
spool off
@toevolve

-- to evolve.sql generated:
var r long;
set long 100000
spool evolve-results-20140810.txt
#####
exec :r:=dbms_spm.evolve_sql_plan_baseline(sql_handle => 'SQL_114a395a2db6c38c',
plan_name=>'SQL_PLAN_12kjt8qvdhwcdeb317bf', commit => 'NO');
print;
select * from table(dbms_xplan.display_sql_plan_baseline(sql_handle=>'SQL_114a395a2db6c38c',
plan_name=>'SQL_PLAN_12kjt8qvdhwcdeb317bf'));
spool off

PL/SQL procedure successfully completed.
```

Usually the result is a long report. This case is the same as seen today

Challenges?

- SQL without using bind variables
 - Different statements (signature/sql_id), different baselines
 - Change app code. Last resource CURSOR_SHARING=FORCE
- SQL with too many bind variables
 - Maybe cannot reproduce plan – needs a trace to confirm
 - Maybe errors when evolving new ones – (Bug 19326647 on 11.2.0.3)
- SQL already using hints
 - Test original with *alter session set _optimizer_ignore_hints*
- SQL already using SQL Profiles
 - Test original with *alter session set sqltune_category='NNN';*

Challenges

Why my SQL has baselines and none is being used?

- 1) SPM disabled by parameter
- 2) Stored outline created – use it and ignore baselines
- 3) Similar objects on different schemas
- 4) Baseline cannot be reproduced
- 5) Bugs

Challenge - similar objects on different schemas

Common on database consolidation scenarios

- Baselines are global for the database (not per schema)
- Same table names, different schemas, same SQL
 - Look at object definition/indexes on each schema

Column `PARSING_SCHEMA_NAME` in `DBA_SQL_PLAN_BASELINES` helps

- `CREATOR` and `ORIGIN` can lead too

Challenge - baseline cannot be reproduced

- Object changes: if tables used by SQL baseline changes, it can stop being used
 - Remember 11g does not store captured plans when investigating
- Same PLAN_HASH_VALUE2?
 - minor bugs played here
- Trace to the rescue:
 - It can be reproduced? => session level
 - 10053 / spm_tracing / SQL_Plan_Management
 - Statement level to capture global activity -- new connections only
 - `alter system set events 'trace[rdbms.SQL_Optimizer.*][sql:0a14b3yhux040]'`
 - If using connection pools
 - `dbms_sqldiag.dump_trace(...p_component=>'Compiler')`

Troubleshooting SPM – trace alternatives

Global statement trace for already existing and new connections:

```
dbms_sqldiag.dump_trace(p_sql_id=>'0a14b3yhux040', p_child_number=>0,  
                        p_component=>'Compiler', p_file_id=>'trace_0a14b3yhux040');
```

SPM Trace at session level:

```
ALTER SESSION SET EVENTS 'trace[RDBMS.SQL_Plan_Management.*]';
```

Internal SPM trace generation (more detailed):

```
EXEC dbms_spm.configure('spm_tracing',1);  
-- validate current settings:  
SELECT * FROM sys.smb$config WHERE parameter_name='SPM_TRACING';
```

Classic optimizer trace, which includes SPM standard trace:

```
ALTER SESSION SET EVENTS 'trace[sql_optimizer.*]';  
-- same but for in older Oracle versions  
ALTER SESSION SET EVENTS='10053 trace name context forever, level 1';
```

Troubleshooting SPM – trace results

When a Plan Baseline is found and used:

SPM: statement found in SMB

SPM: cost-based plan found in the plan baseline, planId = 3736278975

SPM: cost-based plan successfully matched, planId = 3736278975

When no matching Plan Baseline found, adding new one as non-accepted:

SPM: statement found in SMB

SPM: setup to add new plan to existing plan baseline, sig = 1245871306398155660, planId = 2322719765

SPM: planId's of plan baseline are: 3736278975

SPM: using qksan to reproduce, cost and select accepted plan, sig = 1245871306398155660

SPM: **failed to reproduce the plan** using the following info:

SPM: generated non-matching plan:

SPM: **couldn't reproduce any enabled+accepted** plan so using the cost-based plan, planId = 2322719765

SPM: add new plan: sig = 1245871306398155660, planId = 2322719765

SPM: new plan added to existing plan baseline, sig = 1245871306398155660, planId = 2322719765

SPM: REPRODUCED status changed to NO: sig = 1245871306398155660, planName =

SQL_PLAN_12kjt8qvdhwcdeb317bf

Usage decision

- `optimizer_capture_sql_plan_baselines = TRUE`

OR

- created when needed by each SQL?

No silver bullet, choose the better one for your environment

Use case:

- some queries from a specific program would benefit from `parameter=value`
 - instead of changing the parameter and avoid this functionality to be used on other cases which would be beneficial, SQL baselines are created just for those programs
 - It is more manual work to have a functionality available for the other users

Daily management overhead

Evaluate autotasks recommendations:

- Trusting automatic creation of SQL Profiles/Baselines?
- SQL Tuning Advisor (SQLTA) / SPM Evolve Advisor (12c)
- Several views and reports to explore their results using SQL
 - `dba_advisor_tasks` / `dba_advisor_rationale`
- IF SQLTA recommends a SQL profile for a SQL that has no baselines
 - create the new SQL profile
 - move it to a SQL baseline
 - Disable SQL profile
- On 11g: evaluate daily new baselines evolving them
 - How to deal with heavy activity and lots of new plans? AWR helps

Daily management example approach

- Better performing evolved plans should be testing with a variety of binds on test environment before accepting them
- Disable evaluated and confirmed non good plans

```
exec :r :=DBMS_SPM.ALTER_SQL_PLAN_BASELINE (sql_handle => 'SQL_114a395a2db6c38c',
                                             plan_name=>'SQL_PLAN_12kjt8qvdhwca9f022c1',
                                             attribute_name =>'enabled', attribute_value =>'NO');
```

```
select count(*), count(distinct sql_handle) sqls, accepted, enabled
from dba_sql_plan_baselines
group by accepted, enabled;
```

COUNT(*)	SQLS	ACC	ENA
13792	13788	YES	YES
20	16	YES	NO
5588	3063	NO	YES
210	25	NO	NO

← are in use by optimizer

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```

```
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group by accepted, enabled;
```

```
COUNT(*)  SQLS ACC ENA  
-----  -  
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20    16 YES NO  
5588 3063 NO YES  
210   25 NO NO
```

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← **new plans that needs evaluation**

← already evaluated new plans not performing good

Will you read a report with 5588 evolutions? Need an improved approach

Choosing what to evolve on 11g using stats

Which statements are now running in more than 5s and have non accepted baselines?

```
select * from (
select s.inst_id, s.sql_id
      ,min(s.first_load_time)                                min_load_time
      ,sum(s.executions)                                     eje
      ,round(sum(s.elapsed_time)/1000000/greatest(sum(s.executions),1),2) avg_sec
      ,round(sum(s.disk_reads)/greatest(sum(s.executions),1),2)      avg_reads
      ,round(sum(s.buffer_gets)/greatest(sum(s.executions),1),2)      avg_gets
      ,dense_rank() over (order by sum(s.elapsed_time)/greatest(sum(s.executions),1) desc) rank
from gv$sql s, DBA_SQL_PLAN_BASELINES b
where s.FORCE_MATCHING_SIGNATURE=b.signature
      and b.enabled='YES' and b.accepted='YES'
      and exists (select 1 from DBA_SQL_PLAN_BASELINES b2
                  where b.sql_handle=b2.sql_handle and b2.enabled='YES' and b2.accepted='NO')
group by s.inst_id, s.sql_id
having sum(s.elapsed_time)/greatest(sum(s.executions),1)/1000000 > 5)
where rank < 50
order by rank desc;
```

Choosing what to evolve on 11g using stats

Output example:

INST_ID	SQL_ID	MIN_LOAD_TIME	EJE	AVG_SEC	AVG_READS	AVG_GETS	RANK
1	4baj8ju7nqpvt	2014-06-22/24:30:20	2	4,34	4872	23787	15
2	7n8hbzpruj4nu	2014-06-22/07:03:04	327	4,42	2007,47	74787,87	14
2	t0jxh8b7b4at2	2014-20-22/04:02:48	2	4,44	223	2427	13
3	7n8hbzpruj4nu	2014-06-22/07:47:28	569	7,28	2342,87	78480,22	12
1	t22bnbazvj87x	2014-06-22/20:03:42	38	8,23	2348,8	3444,2	11
3	t2qx4bv4y383b	2014-06-22/23:38:32	28	8,38	4207,32	47883,72	10
2	arpa78rrkhp80	2014-06-22/08:27:28	240	8,42	2242,8	4747,24	9
2	t7qntjn4aaaj8k	2014-06-22/22:48:27	22	8,47	2787,33	4780,83	8
2	04h4pq4tpn238	2014-06-22/08:32:08	32	8,78	2207,83	20427,44	7
3	bqtx4q2jas08u	2014-06-22/02:43:22	34	20,33	2737,28	3444,2	6
3	t2qx4bv4y383b	2014-06-22/22:24:02	22	20,88	4780,4	47282,78	5
2	7qbkbt7h00wtw	2014-06-22/07:30:37	404	23,42	2228,87	73488,07	4
2	0s2b777vta78b	2014-06-22/07:28:02	283	24,8	2377,83	273342,87	3
1	7qbkbt7h00wtw	2014-06-08/04:04:27	647	27,43	2788,34	80848,38	2
2	2rnttn3xb4r	2014-06-22/23:48:28	22	32,77	7273,77	42244,87	1

15 rows selected.

Needs another join to show baseline plan_name. That idea next with AWR

Choosing what to evolve on 11g using AWR

Non accepted baselines of statements with executions times max/min variations of 5 times

- AWR has historical data, needs Diagnostic Pack license

Output example:

SQL_HANDLE	SQL_ID	MAXE	MINE	PLANS	#accept	PLAN_NAME	CREATED	ACC	FIX
SQL_x1c4f31970638583	y283zg7xww7am	4,23	,31	7	1	SQL_PLAN_s7554kd1hzk11258e3b6f	08/07/14 14:41:23	NO	NO
		4,23	,31	7	1	SQL_PLAN_s7554kd1hzk116e35c426	06/07/14 11:48:34	NO	NO
		4,23	,31	7	1	SQL_PLAN_s7554kd1hzk118d733646	21/07/14 14:06:03	NO	NO
		4,23	,31	7	1	SQL_PLAN_s7554kd1hzk11900895ab	21/07/14 17:04:24	NO	NO
SQL_d8ee9cd8489c1506	d70w2c9n04bky	11,02	,7	5	2	SQL_PLAN_djvnwv149s50p0de525df	17/06/14 17:50:45	NO	NO
SQL_50563b1a5e7c3515	cdxqhv810sguq	142,12	4,51	12	4	SQL_PLAN_62t9v39g7sdj6e14b0faa	17/06/14 09:37:12	NO	NO
SQL_a69a285b67c3ab33	7jr0hhnrg5qpd	5,12	,54	4	0	SQL_PLAN_5sw7au49da15rb55d4723	18/06/14 14:09:54	NO	NO
		5,12	,54	4	0	SQL_PLAN_5sw7au49da15r1224e506	06/06/14 13:21:12	NO	NO
		5,12	,54	4	0	SQL_PLAN_5sw7au49da15r92eca281	12/06/14 11:50:16	NO	NO

Choosing what to evolve on 11g using AWR

```
with sqlh as (  
    select FORCE_MATCHING_SIGNATURE, sql_id, count(1) plans, max(elap_xeje) maxe, min(elap_xeje) mine  
    from (select h.FORCE_MATCHING_SIGNATURE, sql_id, plan_hash_value plan_hv  
           ,round(sum(h.elapsed_time_delta)/sum(h.executions_delta)/1000000,2) elap_xeje  
    from DBA_HIST_SQLSTAT h  
    where snap_id >=(select min(snap_id) from dba_hist_snapshot where begin_interval_time>=trunc(sysdate)-30)  
      and dbid=(select dbid from v$database) and instance_number in (1,2,3)  
      and h.executions_delta > 0  
      and h.FORCE_MATCHING_SIGNATURE in (  
          select b.signature from DBA_SQL_PLAN_BASELINES b  
          where b.enabled='YES' and b.accepted='NO')  
    group by FORCE_MATCHING_SIGNATURE, sql_id, plan_hash_value)  
group by FORCE_MATCHING_SIGNATURE, sql_id  
having max(elap_xeje)/(case min(elap_xeje) when 0 then 1 else min(elap_xeje) end) > 5)  
select sql_handle, signature, sqlh.sql_id, sqlh.maxe, sqlh.mine, sqlh.plans,  
       (select count(1) from DBA_SQL_PLAN_BASELINES b2  
        where b.sql_handle=b2.sql_handle and b2.accepted='YES' and b2.enabled='YES') "#accept"  
       ,PLAN_NAME, created, accepted, fixed  
from DBA_SQL_PLAN_BASELINES b, sqlh  
where b.enabled='YES' and b.accepted='NO' and b.created > trunc(sysdate)-30  
      and b.signature = sqlh.FORCE_MATCHING_SIGNATURE  
order by sql_handle, sql_id, maxe;
```

Example of bug found – 11.2.0.2

```
SQL_ID c2408ff99yj8u, child number 0
```

```
-----  
SELECT DATA, COUNT(*) FROM BIG_TABLE WHERE (((((((DATA LIKE :1 ) OR (DATA LIKE :2 )) OR  
(DATA LIKE :3 )) OR (DATA LIKE :4 )) OR (DATA LIKE :5 )) OR (DATA LIKE :6 )) OR (DATA LIKE :7 )) OR  
(DATA LIKE :8 )) AND (STATE IN (:9 , :10 , :11 , :12 , :13 , :14 , :15 , :16 , :17 , :18 , :19 , :20 , :21  
, :22 , :23 , :24 , :25 , :26 , :27 , :28 , :29 , :30 , :31 , :32 ))) GROUP BY DATA
```

```
Plan hash value: 1854823252
```

```
-----  
| Id | Operation | Name | Rows | Bytes | Cost (%CPU)| Time |  
-----  
| 0 | SELECT STATEMENT | | | | 7504 (100)| |  
| 1 | HASH GROUP BY | | 16 | 1536 | | |  
| 2 | CONCATENATION | | | | | |  
|* 3 | INDEX RANGE SCAN| BIG_TABLE_CIDN | 1668 | 156K| 938 (1)| 00:00:12 |  
..  
|* 10 | INDEX RANGE SCAN| BIG_TABLE_CIDN | 1165 | 109K| 938 (1)| 00:00:12 |  
-----
```

```
...
```

Note

- SQL plan baseline **SQL_PLAN_8vdc1f1da6d7sa0mtur14** used for this statement

Example of bug found – 11.2.0.2

```
-- Plan baselines for this statement:
```

```
col created for a25
```

```
select PLAN_NAME, ENABLED, accepted, fixed, created, executions, optimizer_cost
from DBA_SQL_PLAN_BASELINES
where sql_handle='SQL_114a395a2db6c38c' and enabled='YES'
order by created;
```

PLAN_NAME	ENA	ACC	FIX	CREATED	EXECUTIONS	OPTIMIZER_COST
SQL_PLAN_8vdc1flda6d7sa0mtur14	YES	YES	NO	05/08/14 16:11:26,000000	1	7504
SQL_PLAN_0salmuctuur8ve7e49070	YES	NO	NO	05/08/14 17:29:45,000000	0	2039

```
2 rows selected.
```

```
-- Want to evaluate the new plan found, not accepted yet
```

Example of bug found – 11.2.0.2

```
-- evolving the new plan:
var r clob;
set long 10000
exec :r := dbms_spm.evolve_sql_plan_baseline(sql_handle => 'SQL_114a395a2db6c38c', \
                                             plan_name=>'SQL_PLAN_0salmuctuur8ve7e49070', commit => 'NO');
print;
-----
                        Evolve SQL Plan Baseline Report
-----
Inputs:
-----
SQL_HANDLE = SQL_114a395a2db6c38c
PLAN_NAME  = SQL_PLAN_0salmuctuur8ve7e49070
TIME_LIMIT = DBMS_SPM.AUTO_LIMIT
VERIFY     = YES
COMMIT     = NO

Plan: SQL_PLAN_0salmuctuur8ve7e49070
-----
Plan was verified: Time used ,507 seconds.
Error encountered during plan verification (ORA-1008).
ORA-01008: no todas las variables han sido enlazadas
```

Bug 14007103 discarded
Bug 19326647 opened

Summary review

- SPM enabled by default, but not capture
- No extra license, available only on Enterprise edition
- Baseline need to be **accepted** AND **enabled** to be used
- SQL needs to run more than once to be considered
- Baselines are global - not per schema
- Evolve advisor (autotask) in 12c, manual evolution in 11g.
- Don't trust evolution results, test it!
- SQL Trace when in doubt (statement level!)
- Needs a good understanding to troubleshoot

Questions?



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References

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- Oracle 12c Tuning Guide - Managing SQL Plan Baselines:
http://docs.oracle.com/database/121/TGSQL/tgsql_spm.htm#TGSQL94621
- Oracle 12c Tuning Guide - Query Optimizer:
http://docs.oracle.com/database/121/TGSQL/tgsql_optcncpt.htm#TGSQL192
- Oracle 11c Tuning Guide - Query Optimizer:
http://docs.oracle.com/cd/B28359_01/server.111/b28274/optimops.htm
- Oracle Optimizer development team Blog: <https://blogs.oracle.com/optimizer>