# Benchmarking Current RNA Folding Software and Improvements to the Current Regime

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#### Abstract

Finding the secondary structure of RNA is important for understanding how RNA will interact in a cell. Frequently computational algorithms are used to determine structure due to difficulties extracting good in vivo data of RNA structures. Many of the algorithms for RNA folding are computationally complex. In this paper we establish benchmarks for two commonly used RNA folding packages, mfold, and RNAfold Vienna, and compare them to an improved Four-Russians folding algorithm. We show RNAfold Vienna is superior to mfold when evaluating RNA strands of 900 nucleobases and less but mfold is superior to RNAfold Vienna for evaluating circular RNA of 1000 nucleobases. We also show that these software packages would benefit greatly from using new improved RNA folding algorithms especially for strands of RNA greater than 1000 nucleobases. These results will help software maintainers to understand the benefit of updating their algorithms. The results will also help guide users when choosing RNA folding software when looking for the most computationally optimal package.

## 1 Introduction

RNA is an essential macromolecule used in protein formation and performs other essential functions within the body(4). RNA does not stay in single stranded form and instead folds on itself to create the lowest energy conformation possible to ensure thermodynamic stability(6). When folding, RNA forms a 2D secondary structure(5) with A matching to U and G to C (figure 1). Using this data we can find a 3D tertiary structure(5).

Our paper focuses on benchmarking 2D secondary structure prediction software based off the Nussinov dynamic programming algorithm(3) for RNA folding. This algorithm is  $O(n^3)$  time complexity. There have been multiple attempts to parallelize the Nussinov algorithm(15; 16) which have resulted in large speed increases, however, CPU intensive algorithms only slightly lowered the bound up until 2010(12; 13). In 2010 the Nussinov bound that was significantly improved by the Frid-Gusfield Four Russians method which established you could perform the DP method in  $O(\frac{n^3}{\log(n)})$  time(1). Later a parallel method

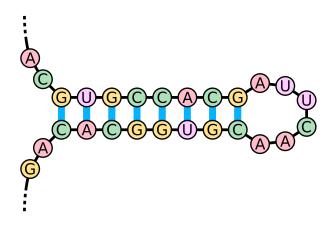


Figure 1: Example of an RNA molecule folding

of the Four Russians algorithm presented proof that you can lower this bound to  $O(\frac{n^2}{\log(n)})$  inside an NVIDIA CUDA environment(2).

There are two major RNA folding software packages, mfold(8; 9), and the Vienna RNA Package(7). These both utilize the Nussimov method to return results of the RNA secondary structure by finding the lowest possible thermodynamic conformations of the RNA(9; 7). In order to tell which was faster we performed application level benchmarks(14) to see which of these two applications could more quickly fold arbitrary length RNA of the circular and linear variety. The way mfold is written allows linear RNA to be treated as exceptional variants of circular ones(17). RNAfold Vienna was initially optimized to only handle linear RNA(17) but later improvements enabled it to speed the folding of circular ones(17). As a result we wished to determine what kind of speed difference still exists between mfold and Vienna when performing analysis on circular RNA. To see how these folding applications could benefit from replacing their use of the Nussinov method with newer ones we then performed micro-benchmarks(10) of the Nussinov, the serial Frid-Gusfield method, and the parallel Four Russians method.

Our main finding when performing application benchmarks on mfold and Vienna was that Vienna is significantly faster than mfold for linear and cicular RNA strands of 900 nucleobases. For micro-benchmarks we found that the Frid Gusfield method returned results much faster than the Nussinov method especially for larger strands of RNA. However the parallel Four Russians CUDA algorithm returned results significantly quicker than the other serial methods. The results of the micro-benchmarks show that both mfold and RNAfold Vienna could experience significant speed increases if they implemented the Frid-Gusfield method. Furthermore the authors of this paper would recommend both software packages to support GPU hardware to achieve even greater speed gains when inside a parallel capable environment.

#### 2 Methods

#### 2.1 Standardizing the Testing Environment

Benchmarking is renown as a difficult thing to perform effectively (10; 14). There are many processes that can be executing on a computer at any one moment that it is possible that a benchmark can give inaccurate information due to conflicting processes running in the background (10). As a result we used a machine solely dedicated for benchmarking and no other tasks. We also standardized on the following specifications for our runs (11):

Architecture	Operating	Compiler	
	$\mathbf{System}$		
8 core Intel i7 CPU 4.00	Linux 4.2.5-201	GCC	
GHz 16G RAM GeForce	Fedora 22	5.1.1 - 4.fc22	
GTX 960			
"	"	gcc-gfortran	
		5.1.1 - 4.fc 22	
"	"	NVIDIA CUDA	
		version 5.5	

We used the following applications with corresponding versions and requirements in our test

Application	Version	Requirements
mfold	3.6	GCC, Fortran
RNAfold Vienna	2.1.9	GCC
Frid-Gusfield Four Russians	N/A	GCC
Parallel Four Russians	N/A	GCC, NVIDIA CUDA

Our testing architecture was laid out where we would SSH into the benchmarking machine and then execute tests. Test results would then be reported back to the user's central machine where they could be stored in a database for later analysis (figure 2). Our testing required no internet connectivity besides the ssh access required to initiate our testing so all calculations were performed locally. Also there were no IO operations except for post processing of mfold and Vienna results.

# 2.2 Data Inputs

For input data we give inputs of RNA as strings in a file. An example of this would be the 10 character RNA string AUGCCAUGGA. This same RNA sequence can be treated as circular by providing parameters to the mfold and RNAfold Vienna programs that tell it the RNA is circular(18; 19).

### 2.3 Application Benchmarks

The first type of benchmark we perform is the application level benchmark. An application benchmark is designed to measure the performance of an entire application and the resources

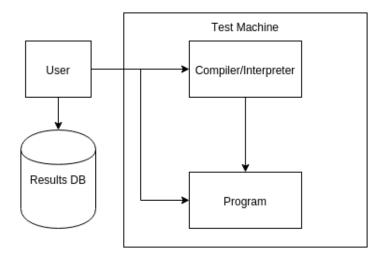


Figure 2: Test Architecture

it consumes on an individual machine (20). In our case we wish to evaluate the amount of time that mfold and RNA fold Vienna take to return RNA secondary structures given different length RNA strands varying on linear and circular variety. Since a single run of an application may vary in time even for identical inputs. Because of this we evaluate each input of RNA 30 times and report the mean  $\mu$ , standard deviation,  $\sigma^2$ , of the runs corresponding to each sequence length.

#### 2.4 Micro-benchmarks

The most basic type of benchmark to perform is the micro-benchmark. The micro-benchmark is a single piece of code executed many times in serial so that we can get a profile of its run characteristics (14; 10). Once these characteristics are observed we can then make inferences about its performance and ways that it can be improved. Micro-benchmarks have the down-side of losing generality of performance across the entire application (14; 10). A good example of this is if an IO heavy function made many consecutive calls to the read function on the OS while the rest of the application made no calls to read whatsoever. If we tried to generalize this one function to the rest of the application we would misguidedly attempt to optimize disk IO across our entire system.

We avoid this trap in our paper by benchmarking only parts of the code that execute the Nussinov algorithm in mfold and the Vienna package. We then report these results back to our test results database for later analysis. After this we compare these results to runs of the serial Frid-Gusfield algorithm and parallel Frid-Gusfield algorithm.

### 2.5 Frid-Gusfield Four-Russians Algorithm

The Four-Russians Algorithm (1) is an algorithm to improve the above-mentioned Nussinov  $O(n^3)$  Algorithm by Four-Russian method. The Frid and Gusfield is an  $O(\frac{n^3}{\log n})$  algorithm. The Four Russian algorithm achieves this speed up by understanding that we can make certain optimizations to the matrix of matching base pairs required by the Nussinov algorithm.

Particularly, the values along a column from bottom to top and along a row from left to right are monotonically non-decreasing. Consecutive cells differ at most by 1(1). As a result we can perform pre-processing of specific operations that the Nussinov algorithm must compute manually.

#### 2.6 CUDA Parallel Implementation for F-G Method

Compute Unified Device Architecture (CUDA) is a parallel computing platform created by NVIDIA. By using CUDA API, Venkatachalam presented an  $O(\frac{n^2}{logn})$  algorithm for RNA folding is presented (2). The CUDA implementation parallelizes the two-vector method so that achieve an enhancement of another factor of O(n).

#### 3 Results

#### 3.1 Application Benchmarks

In this part, we performed the benchmark for two packages using the Dynamic Programing (DP) paradigm with both linear and circular RNA. We report the number of RNA bases or size, the number of times the folding program was run with our specific input or N runs, the mean timing of the runs as  $\mu$ , and the standard deviation of the runs denoted as  $\sigma^2$ . For the benchmarking of both linear and circular types of RNA, we select the RNA of the size, ranging from 200 nucleobases to 1000 nucleobases. The time to fold these structures are compared in the following Table 1.

Timing of <i>m-fold</i> package for linear RNA (sec.)									
size	200	300	400	500	600	700	800	900	1000
N runs	30	30	30	30	30	30	30	30	30
$\mu$	2.523	6.635	6.313	8.336	10.492	9.384	12.212	14.319	14.402
$\sigma^2$	0.343	0.251	0.180	0.547	0.144	0.094	0.176	0.118	0.211
Timing of Vienna for linear RNA (sec.)									
size	200	300	400	500	600	700	800	900	1000
N runs	30	30	30	30	30	30	30	30	30
$\mu$	0.029	0.072	0.112	0.163	0.508	0.491	1.934	11.723	8.296
$\sigma^2$	0.006	0.001	0.001	0.001	0.002	0.002	0.006	0.336	0.011

Table 1: The time taken by two packages to predict secondary structures of linear RNA of different length.

In a more vivid way, the plot of the bechmark time for linear RNA is shown in the Fig 3.

We can clearly see that m-fold takes the most time to complete. However as mentioned before, Vienna is optimized to handle linear RNA so these results are not necessarily surprising. We benchmark circular RNA. The time in seconds to determine their secondary structures are listed in the following Table 2.

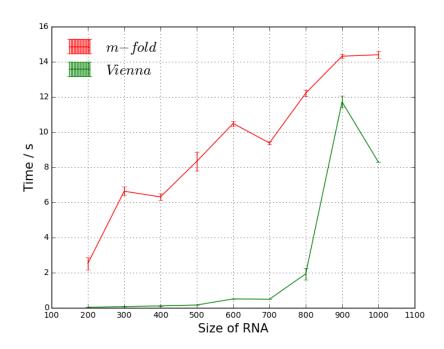


Figure 3: Benchmark of *Vienna* and *m-fold* packages with linear RNA of different sizes.

Timing of $m$ -fold package for circular RNA (sec.)										
Size	200	300	400	500	600	700	800	900	1000	
N runs	30	30	30	30	30	30	30	30	30	
average	2.409	6.633	6.269	8.889	11.274	9.074	11.358	14.678	16.712	
std $(\sigma^2)$	0.060	0.354	0.362	0.159	0.1176	0.161	0.364	0.1999	0.285	
	Timing of <i>Vienna</i> for circular RNA (sec.)									
Size	200	300	400	500	600	700	800	900	1000	
N runs	30	30	30	30	30	30	30	30	30	
average	0.027	0.084	0.129	0.153	0.664	0.351	1.943	7.915	50.15	
std $(\sigma^2)$	0.002	0.001	0.001	0.002	0.002	0.002	0.006	0.036	0.126	

Table 2: The time taken by two packages to predict secondary structures of circular RNA of different length.

The following Fig 4 is plotted to better visualize how the two packages compete with each other.

As we can see Vienna initially outperforms m-fold but as RNA size hits 1000 bases the time to complete the folding increases dramatically. So it appears the optimizations made to Vienna by Hofacker and Stadler(17) break down around 1000 nucleobases.

#### 3.2 Micro-benchmarks

The timing for Nussinov, Frid-Gusfield (FG), and CUDA Four-Russian algorithms are listed below with different sizes of RNA sequences 500 to 6000. The data is presented in Table 3.

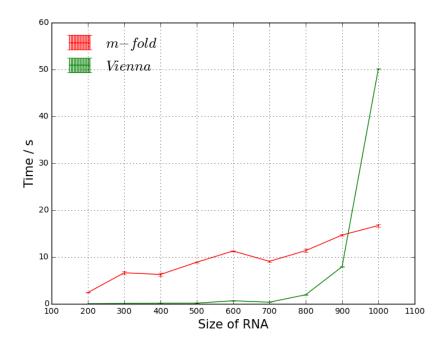


Figure 4: Benchmark of *Vienna* and *m-fold* with circular RNA of different sizes.

Timing of Algorithms for RNA Folding (sec.)									
size	500 1000 2000 3000 4000 5000 6000								
Nussinov	0.2790	2.0751	16.7033	57.8146	145.2998	301.4874	519.6531		
F-G	0.0903	0.6092	5.5868	19.6117	49.3309	95.6461	162.9072		
CUDA	0.0088	0.1988	0.4690	1.1943	2.5817	4.8506	8.2735		

Table 3: The time take by Nussinov, F-G and CUDA to construct the secondary structures of RNA of different size.

By plotting Figure 5, we observe the F-G method has a vast advantage over Nussinov when the size of RNA sequence is larger than 3000, and the CUDA method becomes much more useful around this point as well.

### 4 Conclusion and Discussion

In this paper, we performed application benchmarks for m-fold and RNAfold Vienna and micro-benchmarks for the Nussinov, Frid-Gusfield, and parallel Four Russians algorithms. For the application benchmark, RNAfold Vienna and m-fold have been applied to predict the secondary structure of both linear and circular RNA. In both linear and circular cases, the Vienna package is clearly optimal for chains of RNA smaller than 900 bases. While m-fold is time optimal in the prediction of circular RNA structures of 1000 nucleobases and

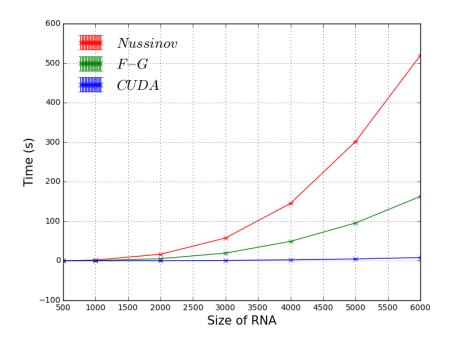


Figure 5: Timing of Algorithms for RNA Folding (sec.)

likely for circular RNA strands of greater than 1000 nucleobases, although more testing is necessary to establish this conclusively.

When we come to the micro-benchmarks, we found that the Nussinov DP method becomes appreciably slower, particularly to the human user, in the cases that the number of nucleotides in the RNA sequence is greater than 1000. This is intrinsically caused by the fact the algorithm is of  $O(log(n^3))$  time complexity. Furthermore, while the Frid-Gusfield method (1) has enhanced the performance by a factor of log(n), in cases where RNA strands are greater than 2000 nucleobases speed is still not satisfactory. With the introduction of CUDA using the two-vector method invented by Venkatachalam(2), we achieve a much closer to linearly increasing time complexity for different sizes of RNA sequences. Thus when dealing with super long RNA sequences of greater than 2000 nucleobases investing in the use of parallel algorithms and CUDA becomes much more cost effective especially if we consider that we would be waiting 519 seconds just to run an RNA sequence through the Nussinov method.

For future work we wish to benchmark RNAfold Vienna and mfold for RNA sequences greater than 1000 nucleobases. We have some evidence now that m-fold is superior to Vienna when predicting secondary structures of RNA greater than 1000 nucleobases however we do not know if this will continue to hold. Furthermore we'd also like to know with better exactitude the length of nucleobases at which the performance between the two applications differs. Another excellent experiement would be to understand which types of RNA sequences do the respective applications deal with more effectively. For our experiment we only used a single RNA sequence for each length but future experiments can involve multiple random RNA sequences of the same length. This way we can obtain a more interesting standard

deviation of timing compared to what we have found in this paper. We can apply the same methodology to our micro-benchmarks as well. Although results may not vary as significantly because the thermodynamic rules that *Vienna* and *m-fold* employ are not present.

We believe that future work on integrating the Frid-Gusfield and parallel CUDA methods into *m-fold* and *Vienna* will be very important. RNA packages like *RNAfold Vienna* and *m-fold* must also take into account complex thermodynamic rules in order to find both optimal and suboptimal RNA structures. These caluculations all use the Nussinov method and as a result slow execution time down even more in an application setting. As a result implementation of an improved folding algorithm like Frid-Gusfield or the parallel Four Russians introduced by Venkatachalam is imperative especially as we discover more, and longer chains of RNA that are biologically significant.

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