

3GPP TS 24.341 V16.0.0 (2019-12)

Technical Specification

3rd Generation Partnership Project; Technical Specification Group Core Network and Terminals; Support of SMS over IP networks; Stage 3 (Release 16)



Keywords

LTE, UMTS, Network, IP, SIP, SMS**3GPP**

Postal address

3GPP support office address

650 Route des Lucioles - Sophia Antipolis
Valbonne - FRANCE
Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Internet

<http://www.3gpp.org>

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© 2019, 3GPP Organizational Partners (ARIB, ATIS, CCSA, ETSI, TSDSI, TTA, TTC).
All rights reserved.

UMTS™ is a Trade Mark of ETSI registered for the benefit of its members
3GPP™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners
LTE™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners
GSM® and the GSM logo are registered and owned by the GSM Association

Contents

| | |
|---|----|
| Foreword..... | 6 |
| 1 Scope | 7 |
| 2 References | 7 |
| 3 Definitions and abbreviations..... | 8 |
| 3.1 Definitions | 8 |
| 3.2 Abbreviations..... | 9 |
| 4 Overview of SMS over IP functionality..... | 9 |
| 4.1 Introduction..... | 9 |
| 4.2 SMS over IP | 9 |
| 4.3 Application utilisation of SMS over IP..... | 10 |
| 4.4 SMS over IP and subscription without MSISDN | 10 |
| 5 SIP related procedures..... | 10 |
| 5.1 Introduction..... | 10 |
| 5.2 Functional entities..... | 10 |
| 5.2.1 User Equipment (UE)..... | 10 |
| 5.2.1.1 General | 10 |
| 5.2.1.2 Configuration..... | 10 |
| 5.2.1.3 Policy enforcement | 10 |
| 5.2.2 Application Server (AS)..... | 12 |
| 5.3 Roles | 12 |
| 5.3.1 SM-over-IP sender | 12 |
| 5.3.1.1 General | 12 |
| 5.3.1.2 Submitting a short message | 12 |
| 5.3.1.3 Receiving a status report..... | 14 |
| 5.3.1.4 SM-over-IP sender procedures for operation without MSISDN | 14 |
| 5.3.1.4.1 General..... | 14 |
| 5.3.1.4.2 Submitting a short message and subscription without MSISDN | 14 |
| 5.3.1.4.3 Receiving a status report and subscription without MSISDN | 14 |
| 5.3.2 SM-over-IP receiver | 15 |
| 5.3.2.1 General | 15 |
| 5.3.2.2 Registration..... | 15 |
| 5.3.2.3 Delivery of a short message..... | 15 |
| 5.3.2.4 Sending a delivery report..... | 15 |
| 5.3.2.5 Sending a notification about SM-over-IP receiver having memory available | 16 |
| 5.3.2.6 SM-over-IP receiver procedures for operation without MSISDN | 16 |
| 5.3.2.6.1 General..... | 16 |
| 5.3.2.6.2 Registration | 16 |
| 5.3.2.6.3 Delivery of a short message | 16 |
| 5.3.2.6.4 Sending a notification about SM-over-IP receiver having memory available | 17 |
| 5.3.3 IP-Short-Message-Gateway (IP-SM-GW) | 17 |
| 5.3.3.1 General | 17 |
| 5.3.3.2 Indication of SM-over-IP receiver availability status for delivery of short messages | 18 |
| 5.3.3.3 Answering routing information query | 18 |
| 5.3.3.4 Transport layer interworking | 19 |
| 5.3.3.4.1 Receiving a short message in a SIP MESSAGE request..... | 19 |
| 5.3.3.4.2 Delivering a short message in a SIP MESSAGE request..... | 21 |
| 5.3.3.4.3 Forwarding a submit report in a SIP MESSAGE request | 21 |
| 5.3.3.4.4 Delivering a status report in a SIP MESSAGE request..... | 21 |
| 5.3.3.5 IP-SM-GW procedures for operation without MSISDN | 22 |
| 5.3.3.5.1 General..... | 22 |
| 5.3.3.5.2 Receiving a short message in a SIP MESSAGE request from a SM-over-IP sender or SM-over-IP receiver..... | 22 |
| 5.3.3.5.3 Delivering a short message in a SIP MESSAGE request to a SM-over-IP receiver | 24 |
| 5.3.3.5.4 Delivering a delivery report in a SIP MESSAGE request..... | 25 |

| | | |
|---|---|-----------|
| 5.3.3.5.5 | Delivering a submit report in a SIP MESSAGE request | 26 |
| 5.3.3.5.6 | Procedures for receiving a SIP MESSAGE request from an IP-SM-GW | 26 |
| 5.3.3.6 | SIP failure case | 27 |
| Annex A (normative): Media feature tags defined within the current document | | 28 |
| A.1 | General | 28 |
| A.2 | Definition of media feature tag g.3gpp.smsip | 28 |
| A.3 | Definition of media feature tag g.3gpp.smsip-msisdn-less | 28 |
| Annex B (informative): Example signalling flows of SMS over IP functionality | | 30 |
| B.1 | Scope of signalling flows | 30 |
| B.2 | Introduction | 30 |
| B.2.1 | General | 30 |
| B.2.2 | Key required to interpret signalling flows | 30 |
| B.3 | Signalling flows demonstrating how IP-SM-GW indicates to HSS the availability of public user identity for delivery of short messages..... | 31 |
| B.4 | Signalling flows demonstrating how IP-SM-GW indicates to HSS the unavailability of UE for delivery of short messages | 34 |
| B.5 | Signalling flows demonstrating successful UE originated SM submit procedure over IP..... | 36 |
| B.6 | Signalling flows demonstrating successful UE terminated SM deliver procedure over IP (including delivery report) | 40 |
| B.7 | Signalling flow demonstrating successful procedures for SM over IP in case terminating side is addressed with SIP URI | 44 |
| Annex C (normative): XML schemas | | 48 |
| C.1 | Short-message-info XML schema | 48 |
| C.1.1 | General..... | 48 |
| C.1.2 | application/vnd.3gpp.sms+xml | 48 |
| C.1.3 | Data semantics | 48 |
| C.1.4 | XML schema | 49 |
| C.1.5 | IANA registration | 49 |
| C.1.5.1 | Name | 49 |
| C.1.5.2 | Email | 50 |
| C.1.5.3 | MIME media type name | 50 |
| C.1.5.4 | MIME subtype name..... | 50 |
| C.1.5.5 | Required parameters | 50 |
| C.1.5.6 | Optional parameters | 50 |
| C.1.5.7 | Encoding considerations | 50 |
| C.1.5.8 | Security considerations | 50 |
| C.1.5.9 | Interoperability considerations..... | 50 |
| C.1.5.10 | Published specification | 50 |
| C.1.5.11 | Applications which use this media..... | 50 |
| C.1.5.12 | Applications that manipulate MIME typed objects (messaging, download etc.) | 50 |
| C.1.5.13 | Additional information..... | 50 |
| C.1.5.14 | Intended usage | 51 |
| C.1.5.8.15 | Other information/general comment | 51 |
| C.1.5.8.16 | Person to contact for further information..... | 51 |

| | |
|--|-----------|
| Annex D (normative): Feature-capability indicators defined within the current document..... | 52 |
| D.1 General | 52 |
| D.2 Definition of feature-capability indicator g.3gpp.smsip-msisdn-less..... | 52 |
| Annex E (normative): IP-Connectivity Access Network specific concepts when using EPS to access IM CN subsystem..... | 53 |
| E.1 Scope | 53 |
| E.2 EPS aspects when connected to the IM CN subsystem..... | 53 |
| E.2.1 Procedures at the UE..... | 53 |
| E.2.1.1 Smart Congestion Mitigation | 53 |
| E.3 Application usage of SIP | 53 |
| E.3.1 Procedures at the UE..... | 53 |
| E.3.1.1 3GPP PS data off..... | 53 |
| E.3.1.1.1 General | 53 |
| E.3.1.1.2 Enforcement | 54 |
| E.3.2 Procedures at the AS..... | 54 |
| E.3.2.1 3GPP PS data off..... | 54 |
| Annex F (normative): IP-Connectivity Access Network specific concepts when using GPRS to access IM CN subsystem | 56 |
| F.1 Scope | 56 |
| F.2 GPRS (Iu mode only) aspects when connected to the IM CN subsystem..... | 56 |
| F.2.1 Procedures at the UE..... | 56 |
| F.2.1.1 General | 56 |
| F.2.1.2 Application specific Congestion control for Data Communication (ACDC) procedure..... | 56 |
| F.3 Application usage of SIP | 57 |
| F.3.1 Procedures at the UE..... | 57 |
| F.3.1.1 3GPP PS data off..... | 57 |
| F.3.1.1.1 General | 57 |
| F.3.1.1.2 Enforcement | 57 |
| F.3.2 Procedures at the AS..... | 57 |
| F.3.2.1 3GPP PS data off..... | 57 |
| Annex G (normative): IP-Connectivity Access Network specific concepts when using 5GS to access IM CN subsystem | 58 |
| G.1 Scope | 58 |
| G.2 5GS aspects when connected to the IM CN subsystem | 58 |
| G.2.1 Procedures at the UE..... | 58 |
| G.2.1.1 Unified Access Control | 58 |
| G.3 Application usage of SIP | 58 |
| G.3.1 Procedures at the UE..... | 58 |
| G.3.1.1 3GPP PS data off..... | 58 |
| G.3.1.1.1 General | 58 |
| G.3.1.1.2 Enforcement | 59 |
| G.3.2 Procedures at the AS..... | 59 |
| G.3.2.1 3GPP PS data off..... | 59 |
| Annex H (informative): Change history | 60 |

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

- 1 presented to TSG for information;
- 2 presented to TSG for approval;
- 3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document provides the protocol details for SMS over IP within the IP Multimedia (IM) Core Network (CN) subsystem based on the Session Initiation Protocol (SIP) and SIP Events as defined in 3GPP TS 24.229 [10].

The present document provides the protocol details for SMS over IP within the IP Multimedia (IM) Core Network (CN) subsystem based on the SMS layer as defined in 3GPP TS 24.011 [8].

Where possible the present document specifies the requirements for this protocol by reference to specifications produced by the IETF within the scope of SIP and SIP Events, either directly, or as modified by 3GPP TS 24.229 [10].

The present document is applicable to Application Servers (ASs) and User Equipment (UE) providing SMS over IP functionality.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.002: "Network Architecture".
- [3] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- [4] 3GPP TS 23.140: "Multimedia Messaging Service (MMS); Functional description; Stage 2".
- [5] 3GPP TS 23.204: "Support of SMS over generic 3GPP IP access; Stage 2".
- [6] 3GPP TS 23.218: "IP Multimedia (IM) session handling; IM call model; Stage 2".
- [7] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".
- [8] 3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
- [8A] 3GPP TS 24.167: "3GPP IMS Management Object (MO)".
- [9] 3GPP TS 24.228 Release 5: "Signalling flows for the IP multimedia call control based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".
- [10] 3GPP TS 24.229: "Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".
- [11] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".
- [12] RFC 3261 (June 2002): "SIP: Session Initiation Protocol".
- [13] RFC 3325 (November 2002): "Private Extensions to the Session Initiation Protocol (SIP) for Asserted Identity within Trusted Networks".
- [14] RFC 3428 (December 2002): "Session Initiation Protocol (SIP) Extension for Instant Messaging".
- [15] RFC 3680 (March 2004): "A Session Initiation Protocol (SIP) Event Package for Registrations".

- [16] RFC 3840 (August 2004): "Indicating User Agent Capabilities in the Session Initiation Protocol (SIP)".
- [17] RFC 3841 (August 2004): "Caller Preferences for the Session Initiation Protocol (SIP)".
- [18] 3GPP TS 31.103: "Characteristics of the IP Multimedia Services Identity Module (ISIM) Application".
- [19] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) Application".
- [20] 3GPP TS 51.011 Release 4: "Specification of the Subscriber Identity Module, Mobile Equipment (SIM - ME) interface".
- [21] 3GPP TS 31.111: "Universal Subscriber Identity Module (USIM) Application Toolkit (USAT)".
- [22] 3GPP TS 23.003: "Numbering, addressing and identification".
- [23] 3GPP TS 29.311: "Service Level Interworking (SLI) for messaging services".
- [24] RFC 6809 (November 2012): "Mechanism to Indicate Support of Features and Capabilities in the Session Initiation Protocol (SIP)".
- [25] RFC 5621 (September 2009): "Message Body Handling in the Session Initiation Protocol (SIP)".
- [26] RFC 4288 (December 2005): "Media Type Specifications and Registration Procedures".
- [27] RFC 6665 (July 2012): "SIP-Specific Event Notification".
- [28] 3GPP TS 22.011: "Service accessibility".
- [29] 3GPP TS 23.221: "Architectural requirements".
- [30] 3GPP TS 24.216: "Communication Continuity Management Object (MO)".
- [31] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

MSISDN less operation: Operation of SMS over IP for subscriber without MSISDN in the subscription profile as defined in 3GPP TS 23.204 [5] in subclause 6.0a.

SM-over-IP sender: the A party that sends an SM using a SIP MESSAGE request including a vnd.3gpp.sms payload (introduced in 3GPP TS 23.140 [4]).

SM-over-IP receiver: the B party that receives an SM encapsulated in the vnd.3gpp.sms payload of a SIP MESSAGE request.

For the purposes of the present document, the following terms and definitions given in RFC 3261 [12] apply.

Header

Header field

Method

Request

Response

(SIP) transaction

Status-code (see RFC 3261 [12], subclause 7.2)

Tag (see RFC 3261 [12], subclause 19.3)

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.002 [2], subclauses 4.1.1.1 and 4a.7 apply:

Call Session Control Function (CSCF)
Home Subscriber Server (HSS)

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.218 [6], subclause 3.1 apply:

Filter criteria
Initial filter criteria

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.228 [7], subclauses 4.3.3.1, 4.3.6 and 4.6 apply:

Interrogating-CSCF (I-CSCF)
Public Service Identity (PSI)
Proxy-CSCF (P-CSCF)
Serving-CSCF (S-CSCF)

For the purposes of the present document, the following terms and definitions given in 3GPP TS 22.011 [28] apply:

3GPP PS data off
3GPP PS data off exempt service

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.229 [10] apply:

3GPP PS data off status

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.122 [31] apply:

Equivalent Home PLMN (EHPLMN)
Home PLMN (HPLMN)
Visited PLMN (VPLMN)

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 23.040 [3] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

| | |
|----------|--------------------------|
| AS | Application Server |
| IP-SM-GW | IP-Short-Message-Gateway |
| PS | Packet Switched |

4 Overview of SMS over IP functionality

4.1 Introduction

SMS over IP functionality provides the UE with the capability of sending traditional short messages over IMS network. The architecture for SMS is specified in 3GPP TS 23.040 [3] and for SMS over IP functionality in 3GPP TS 23.204 [5].

4.2 SMS over IP

In order to guarantee SMS interoperability the SM-over-IP sender, the SM-over-IP receiver and the IP-SM-GW shall support encapsulation of RPDUs defined in 3GPP TS 24.011 [8], subclause 7.3. The SM-over-IP sender, the SM-over-IP receiver and the IP-SM-GW shall use the MIME type "application/vnd.3gpp.sms" for this purpose.

4.3 Application utilisation of SMS over IP

SMS over generic IP access can be used to support all applications and services that use SMS.

4.4 SMS over IP and subscription without MSISDN

When SMS over IP is to be delivered to a subscriber where the subscription profile does not contain an MSISDN, then there is a need for the IP-SM-GW to become aware of the IMSI during IMS registration for later correlation of short messages. In order to do so the IP-SM-GW derives the IMSI from the private user identity of the user that the UE includes in the REGISTER request at registration or if the private user identity is not available, derives the IMSI from the public user identity.

3GPP TS 23.003 [22] defines the relationship between the private user identity and the IMSI and the relationship between the public user identity and the IMSI.

5 SIP related procedures

5.1 Introduction

5.2 Functional entities

5.2.1 User Equipment (UE)

5.2.1.1 General

To be compliant with short message over IP in this document, a UE shall implement:

- a) the role of an SM-over-IP sender as specified in subclause 5.3.1.1, subclause 5.3.1.2, and subclause 5.3.1.3;
- b) the role of an SM-over-IP receiver as specified in subclause 5.3.2.1, subclause 5.3.2.2, subclause 5.3.2.3, subclause 5.3.2.4, and subclause 5.3.2.5; or
- c) the roles described in item a) and item b).

To be compliant with short message over IP in MSISDN less operation in this document, a UE shall implement:

- a) the role of an SM-over-IP sender as specified in subclause 5.3.1.4.1, subclause 5.3.1.4.2, and subclause 5.3.1.4.3;
- b) the role of an SM-over-IP receiver as specified in subclause 5.3.2.6.1, subclause 5.3.2.6.2, subclause 5.3.2.6.3, and subclause 5.3.2.6.4; or
- c) the roles described in item a) and item b).

NOTE: The capability of sending short messages over IP does not affect current limitations, thus the UE is limited to send at most one UE originated SM and to receive at most one UE terminated SM at a time.

5.2.1.2 Configuration

Parameters such as the PSI of the SC of the SM-over-IP sender can be obtained from the UICC as per 3GPP TS 31.103 [18] and 3GPP TS 31.102 [19] if used or from the SIM as per 3GPP TS 51.011 [20] if used.

5.2.1.3 Policy enforcement

The network operator's preference for selection of the domain to be used for short message service originated by the UE indicates the domain for originating short messages.

The network operator's preference for selection of the domain to be used for short message service originated by the UE can be set to one of the following values:

- a) the SMS service is not to be invoked over the IP networks; and
- b) the SMS service is preferred to be invoked over the IP networks.

The UE shall support the network operator's preference for selection of the domain to be used for short message service originated by the UE.

The UE may support being configured with the network operator's preference for selection of the domain to be used for short message service originated by the UE in the SMS_Over_IP_Networks_Indication of 3GPP TS 24.167 [8A].

Editor's note [CR#0086, IOC_UE_conf]: Handling of any configuration on UICC related to the network operator's preference for selection of the domain to be used for short message service originated by the UE is FFS.

If the network operator's preference for selection of the domain to be used for short message service originated by the UE is set to "the SMS service is not to be invoked over the IP networks", the UE shall not perform the procedures in subclause 5.3.1.

The policy on usage of SMS over IP indicates when the UE is allowed to use SMS over IP.

The policy on usage of SMS over IP can be set to one of the following values:

- a) SMS over IP is used only if voice over PS is available and only on the IP-CAN bearer that is used for the transport of SIP signalling associated with voice over PS;
- b) SMS over IP is used only if voice over PS is available and on any IP-CAN bearer; and
- c) SMS over IP is used irrespective of whether voice over PS is available and on any IP-CAN bearer.

The UE may support the policy on usage of SMS over IP.

If the UE supports the policy on usage of SMS over IP and the network operator's preference for selection of the domain to be used for short message service originated by the UE is set to "the SMS service is preferred to be invoked over the IP networks":

- a) the UE may support being configured with SMSoIP usage policy using one or more of the following methods:
 - 1) the SMSoIP usage policy leaf of the EF_{IMSCfgData} file described in 3GPP TS 31.102 [19];
 - 2) the SMSoIP usage policy leaf of the EF_{IMSCfgData} file described in 3GPP TS 31.103 [18]; and
 - 3) the SMSoIP usage policy leaf of 3GPP TS 24.167 [8A].

If the UE is configured with both the SMSoIP usage policy leaf of 3GPP TS 24.167 [8A] and the SMSoIP usage policy leaf of the EF_{IMSCfgData} file described in 3GPP TS 31.102 [19] or the SMSoIP usage policy leaf of the EF_{IMSCfgData} file described in 3GPP TS 31.103 [18], then the SMSoIP usage policy leaf of the EF_{IMSCfgData} file shall take precedence.

NOTE 1: Precedence for files configured on both the USIM and ISIM is defined in 3GPP TS 31.103 [18].

- b) if the policy on usage of SMS over IP is set to "SMS over IP is used only if voice over PS is available and only on the IP-CAN bearer that is used for the transport of SIP signalling associated with voice over PS":
 - 1) if the domain selection for originating voice calls specified in 3GPP TS 23.221 [29] determines that the UE does not use the IMS to originate voice call then the UE shall not perform the procedures in subclause 5.3.1 and subclause 5.3.2 and determines that SMS over IP is restricted (see 3GPP TS 24.229 [10]); and
 - 2) if:
 - A) the domain selection for originating voice calls specified in 3GPP TS 23.221 [29] determines that the UE uses the IMS to originate voice calls;
 - B) the UE supports multiple registrations as specified in 3GPP TS 24.229 [10];
 - C) the UE registered several registration flows; and

D) at least one of the registration flow was registered via an IP-CAN different than the remaining registration flows;

then the UE shall not perform the procedures in subclause 5.3.1 and subclause 5.3.2 and determines that SMS over IP is restricted (see 3GPP TS 24.229 [10]) over access technology where the audio is restricted or not preferred according to 3GPP TS 24.216 [30]; and

- c) if the policy on usage of SMS over IP is set to "SMS over IP is used only if voice over PS is available and on any IP-CAN bearer" and the domain selection for originating voice calls specified in 3GPP TS 23.221 [29] determines that the UE does not use the IMS to originate voice call then the UE shall not perform the procedures in subclause 5.3.1 and subclause 5.3.2 and determines that SMS over IP is restricted (see 3GPP TS 24.229 [10]).

NOTE 2: If the network operator's preference for selection of the domain to be used for short message service originated by the UE is set to "the SMS service is not to be invoked over the IP networks", the policy on usage of SMS over IP has no effect.

NOTE 3: If the policy on usage of SMS over IP is set to "SMS over IP is used irrespective of whether voice over PS is available and on any IP-CAN bearer", there is no restriction regarding how SMS over IP is used.

5.2.2 Application Server (AS)

To be compliant with short message over IP in this document, an AS shall implement the role of an IP-SM-GW as specified in subclause 5.3.3.1, subclause 5.3.3.2, subclause 5.3.3.3, and subclause 5.3.3.4.

To be compliant with short message over IP in MSISDN less operation in this document, an AS shall implement the role of an IP-SM-GW as specified in subclause 5.3.3.1, subclause 5.3.3.2, subclause 5.3.3.3, and subclause 5.3.3.5.

5.3 Roles

5.3.1 SM-over-IP sender

5.3.1.1 General

In addition to the procedures specified in subclause 5.3.1, the SM-over-IP sender shall support the procedures specified in 3GPP TS 24.229 [10] appropriate to the functional entity in which the SM-over-IP sender is implemented. The SM-over-IP sender shall build and populate RP-DATA message, containing all the information that a mobile station submitting an SM according to 3GPP TS 24.011 [8] would place, for successful delivery. The SM-over-IP sender shall parse and interpret RP-DATA, RP-ACK and RP-ERROR messages, containing all the information that a mobile station receiving an SM according to 3GPP TS 24.011 [8] would see, in a SM submission or status report.

NOTE 1: If the SM-over-IP sender uses SMR entity timers as specified in 3GPP TS 24.011 [8], then TR1M is set to a value greater than timer F (see 3GPP TS 24.229 [10]).

NOTE 2: If the SM-over-IP sender expects to receive a SM submit report will include the "+g.3gpp.smsip" parameter in the Contact header field when sending a REGISTER request.

5.3.1.2 Submitting a short message

When an SM-over-IP sender wants to submit an SM over IP, the SM-over-IP sender shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain the PSI of the SC of the SM-over-IP sender;

NOTE 1: The PSI of the SC can be SIP URI or tel URI based on operator policy. The PSI of the SC can be obtained using one of the following methods in the priority order listed below:

- 1) provided by the user;
- 2) if UICC is used, then:

- if an ISIM is present, then the PSI of the SC is obtained from the EF_{PSISMSC} in DF_TELECOM as per 3GPP TS 31.103 [18];
 - if an ISIM is not present, then the PSI of the SC is obtained from the EF_{PSISMSC} in DF_TELECOM as per 3GPP TS 31.102 [19]; or
 - if the PSI of the SC is not available in EF_{PSISMSC} in DF_TELECOM, then the PSI of the SC contains the TS-Service-Centre-Address stored in the EF_{SMSP} in DF_TELECOM as per 3GPP TS 31.102 [19]. If the PSI of the SC is based on the E.164 number from the TS-Service-Centre-Address stored in the EF_{SMSP} in DF_TELECOM then the URI constructed can be either a tel URI or a SIP URI (using the "user=phone" SIP URI parameter format).
- 3) if SIM is used instead of UICC, then the PSI of the SC contains the TS-Service Centre Address stored in the EF_{SMSP} in DF_TELECOM as per 3GPP TS 51.011 [20]. If the PSI of the SC is based on the E.164 number from the TS-Service-Centre-Address stored in the EF_{SMSP} in DF_TELECOM then the URI constructed can be either a tel URI or a SIP URI (using the "user=phone" SIP URI parameter format); or
- 4) if neither the UICC nor SIM is used, then how the PSI of the SC is configured and obtained is through means outside the scope of this specification.
- b) the From header, which shall contain a public user identity of the SM-over-IP sender;
- NOTE 2: The IP-SM-GW will have to use an address of the SM-over-IP sender that the SC can process (i.e. an E.164 number). This address will come from a tel URI in a P-Asserted-Identity header (as defined in RFC 3325 [13]) placed in the SIP MESSAGE request by the P-CSCF or S-CSCF.
- NOTE 3: The SM-over-IP sender has to store the Call-ID of the SIP MESSAGE request, so it can associate the appropriate SIP MESSAGE request including a submit report with it.
- c) the To header, which shall contain the PSI of the SC of the SM-over-IP sender;
- d) the Content-Type header, which shall contain "application/vnd.3gpp.sms"; and
- e) the body of the request shall contain an RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3].

NOTE 4: The address of the SC is included in the RP-DATA message content. The address of the SC included in the RP-DATA message content is stored in the EF_{SMSP} in DF_TELECOM of the (U)SIM of the SM-over-IP sender.

NOTE 5: The SM-over-IP sender will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

NOTE 6: Both the address of the SC and the PSI of the SC can be configured in the EF_{PSISMSC} in DF_TELECOM of the USIM and ISIM respectively using the USAT as per 3GPP TS 31.111 [21].

The SM-over-IP sender may request the SC to return the status of the submitted message. The support of status report capabilities is optional for the SC.

When a SIP MESSAGE request including a submit report in the "vnd.3gpp.sms" payload is received, the SM-over-IP sender shall:

- if SM-over-IP sender supports In-Reply-To header usage and the In-Reply-To header indicates that the request corresponds to a short message submitted by the SM-over-IP sender, generate a 200 (OK) SIP response according to RFC 3428 [14].
- if SM-over-IP sender supports In-Reply-To header usage and the In-Reply-To header indicates that the request does not correspond to a short message submitted by the SM-over-IP sender, a 488 (Not Acceptable here) SIP response according to RFC 3428 [14].
- if SM-over-IP sender does not support In-Reply-To header usage, generate a 200 (OK) SIP response according to RFC 3428 [14]; and extract the payload encoded according to 3GPP TS 24.011 [8] for RP-ACK or RP-ERROR.

5.3.1.3 Receiving a status report

When a SIP MESSAGE request including a status report in the "vnd.3gpp.sms" payload is delivered, the SM-over-IP sender shall:

- generate a SIP response according to RFC 3428 [14];
- extract the payload encoded according to 3GPP TS 24.011 [8] for RP-DATA; and
- create a delivery report for the status report as described in subclause 5.3.2.4. The content of the delivery report is defined in 3GPP TS 24.011 [8].

5.3.1.4 SM-over-IP sender procedures for operation without MSISDN

5.3.1.4.1 General

This subclause specifies the procedures for the SM-over-IP sender to support the MSISDN less.

An SM-over-IP sender supporting the procedures in this subclause shall support:

- a) procedures specified in subclause 5.3.1.1; and
- b) the In-Reply-To header field.

5.3.1.4.2 Submitting a short message and subscription without MSISDN

When an SM-over-IP sender wants to submit an SM over IP and the destination side is addressed with a SIP URI, then the SM-over-IP sender shall perform the actions as specified in subclause 5.3.1.2 with the following additions and modifications:

- a) the Content-Type header field, which shall contain "multipart/mixed";
- b) an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <To> element which contains the URI of the receiver of the short message; and
- c) an application/vnd.3gpp.sms MIME body containing the RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] with a TP-DA field set to the dummy MSISDN value as defined in 3GPP TS 23.003 [22].

The SM-over-IP sender can request the IP-SM-GW to return the status of submitted messages.

When a SIP MESSAGE request including a submit report in the "vnd.3gpp.sms" payload is received, the SM-over-IP sender shall:

- 1) if the In-Reply-To header field indicates that the request corresponds to a short message submitted by the SM-over-IP sender, generate a 200 (OK) SIP response according to RFC 3428 [14]; or
- 2) if the In-Reply-To header field indicates that the request does not correspond to a short message submitted by the SM-over-IP sender, generate a 488 (Not Acceptable here) SIP response according to RFC 3428 [14].

5.3.1.4.3 Receiving a status report and subscription without MSISDN

When a SIP MESSAGE request including a "vnd.3gpp.sms" payload is received in response to a short message sent according to the procedures in subclause 5.3.1.4.2 then the SM-over-IP sender shall:

- generate a SIP response according to RFC 3428 [14];
- extract the payload encoded according to 3GPP TS 24.011 [8] for RP-DATA; and
- create a delivery report for the received SMS-STATUS-REPORT. In order to do so send a SIP MESSAGE as follows:
 - a) the Request-URI, which shall contain the address of the IP-SM-GW;

NOTE 1: The address of the IP-SM-GW is received in the P-Asserted-Identity header field in the received SIP MESSAGE request.

- b) the From header field which shall contain a public user identity of the SM-over-IP sender.
- c) the To header field which shall contain the address of the IP-SM-GW;
- d) the In-Reply-To header field which shall contain the Call-Id of the received SIP MESSAGE request;
- e) the Content-Type header field shall contain "application/vnd.3gpp.sms"; and
- f) the body of the request shall contain the RP-ACK or RP-ERROR message for the SM delivery report, as defined in 3GPP TS 24.011 [8].

NOTE 2: The SM-over-IP sender will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

5.3.2 SM-over-IP receiver

5.3.2.1 General

In addition to the procedures specified in subclause 5.3.2, the SM-over-IP receiver shall support the procedures specified in 3GPP TS 24.229 [10] appropriate to the functional entity in which the SM-over-IP receiver is implemented. The SM-over-IP receiver shall build and populate RP-SMMA, RP-ACK, and RP-ERROR messages, containing all the information that a mobile station according to 3GPP TS 24.011 [8] would place, for a notification for availability of memory and a delivery report. The SM-over-IP receiver shall parse and interpret RP- DATA message, containing all the information that a mobile station receiving an SM according to 3GPP TS 24.011 [8] would see, in a SM delivery.

5.3.2.2 Registration

On sending a REGISTER request, the SM-over-IP receiver shall indicate its capability to receive traditional short messages over IMS network by including a "+g.3gpp.smsip" parameter into the Contact header according to RFC 3840 [16].

5.3.2.3 Delivery of a short message

When a SIP MESSAGE request including a short message in the "vnd.3gpp.sms" payload is delivered, the SM-over-IP receiver shall:

- generate a SIP response according to RFC 3428 [14];
- extract the payload encoded according to 3GPP TS 24.011 [8] for RP-DATA; and
- create a delivery report as described in subclause 5.3.2.4. The content of the report is defined in 3GPP TS 24.011 [8].

5.3.2.4 Sending a delivery report

When an SM-over-IP receiver wants to send an SM delivery report over IP, the SM-over-IP receiver shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain the IP-SM-GW;

NOTE 1: The address of the IP-SM-GW is received in the P-Asserted-Identity header in the SIP MESSAGE request including the delivered short message.

- b) the From header, which shall contain a public user identity of the SM-over-IP receiver.
- c) the To header, which shall contain the IP-SM-GW;
- d) the In-Reply-To header which shall contain the Call-Id of the SIP MESSAGE request that was received in the received short message;

- e) the Content-Type header shall contain "application/vnd.3gpp.sms"; and
- f) the body of the request shall contain the RP-ACK or RP-ERROR message for the SM delivery report, as defined in 3GPP TS 24.011 [8].

NOTE 2: The SM-over-IP sender will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

5.3.2.5 Sending a notification about SM-over-IP receiver having memory available

When an SM-over-IP receiver wants to send a notification about UE having memory available, the SM-over-IP receiver shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain the IP-SM-GW address, if available, and shall contain PSI of the SC otherwise;

NOTE 1: The address of the IP-SM-GW is received in the P-Asserted-Identity in the SIP MESSAGE request that included the short message the UE could not store.

- b) the From header, which shall contain a public user identity of the SM-over-IP receiver;
- c) the To header, which shall contain the IP-SM-GW address, if available, and shall contain PSI of the SC, otherwise;
- d) the Content-Type header shall contain "application/vnd.3gpp.sms"; and

- e) the body of the request shall contain an RP-SMMA message, see 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3].

NOTE 2: The SM-over-IP receiver will use content transfer encoding of type "binary" for the encoding of the SMS in the body of the SIP MESSAGE request.

NOTE 3: According to 3GPP TS 23.204 [5], the IP-SM-GW routes SIP MESSAGE requests containing a notification of UE having memory available (containing RP-SMMA as the body) towards the HSS and routes other SIP MESSAGE requests (containing RP-DATA, RP-ACK or RP-ERROR as the body) towards SMS-IWMS.

5.3.2.6 SM-over-IP receiver procedures for operation without MSISDN

5.3.2.6.1 General

This subclause specifies the procedures for the SM-over-IP receiver to support the MSISDN less operation.

An SM-over-IP receiver supporting the procedures in this subclause shall support:

- a) procedures specified in subclause 5.3.2.1; and
- b) the In-Reply-To header field.

5.3.2.6.2 Registration

On sending a REGISTER request, a SM-over-IP receiver that supports the MSISDN less operation shall include a "+g.3gpp.smsip-msisdnless" media feature tag into the Contact header field according to RFC 3840 [16].

NOTE: When in MSISDN less operation the SM-over-IP receiver gets the address of the originating side from the received XML document that is included in the body of the SIP MESSAGE request.

5.3.2.6.3 Delivery of a short message

When a SIP MESSAGE request including a "vnd.3gpp.sms" MIME body is received then the SM-over-IP receiver shall:

- 1) generate a SIP response according to RFC 3428 [14];
- 2) extract the payload encoded according to 3GPP TS 24.011 [8] for RP-DATA;

- 3) check if the received short message contains a TP-OA field is set to the dummy MSISDN value as defined in 3GPP TS 23.003 [22]. If the TP-OA does not contain the dummy MSISDN value, then continue with the procedures in subclause 5.3.2.4. If the TP-OA contains the dummy MSISDN value, then continue with the next steps;
- 4) check if the received SIP MESSAGE contains a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter. If it is present then continue with the following steps, otherwise discard the received SIP MESSAGE and skip the following steps; and
- 5) create a delivery report for the received short message. In order to do so send a SIP MESSAGE as follows:
 - a) the Request-URI, which shall contain the address of the IP-SM-GW;

NOTE 1: The address of the IP-SM-GW is received in the P-Asserted-Identity header field in the received SIP MESSAGE request.

- b) the From header field, which shall contain a public user identity of the SM-over-IP receiver;
- c) the To header field, which shall contain the address of IP-SM-GW;
- d) the In-Reply-To header field which shall contain the Call-Id of the received SIP MESSAGE;
- e) the Content-Type header, which shall contain "multipart/mixed";
- f) application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <To> element which contains the URI of the receiver of the short message delivery report; and

NOTE 2: The address of the receiver of the delivery report is taken from the <From> element of the xml document that has been received in the related SIP MESSAGE request.

- g) application/vnd.3gpp.sms MIME body containing the RP-ACK or RP-ERROR message for the SM delivery report as defined in 3GPP TS 24.011 [8].

NOTE 3: The SM-over-IP sender will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

5.3.2.6.4 Sending a notification about SM-over-IP receiver having memory available

When an SM-over-IP receiver wants to send a notification about UE having memory available, then the SM-over-IP receiver shall implement the procedures of subclause 5.3.2.5.

5.3.3 IP-Short-Message-Gateway (IP-SM-GW)

5.3.3.1 General

An IP-SM-GW for transport layer interworking provides the protocol interworking for the submission of short messages from the SM-over-IP sender to the SC, for the delivery of short messages from the SC to the SM-over-IP receiver, and for the SMS-Status Reports from the SC to the SM-over-IP sender.

An IP-SM-GW in MSISDN less operation provides for SIP MESSAGE based submission of short messages from the SM-over-IP sender to the SM-over-IP receiver, of SMS Submit Reports to the SM-over-IP sender and SMS-Status Reports to the SM-over-IP sender.

In addition to the procedures specified in subclause 5.3.3, the IP-SM-GW shall support the procedures specified in subclause 5.7 in 3GPP TS 24.229 [10].

5.3.3.2 Indication of SM-over-IP receiver availability status for delivery of short messages

NOTE 1: If operator policy does not require the indication the availability status of public user identity for receiving SMS over IP messages, then IP-SM-GW will not receive third-party REGISTER request.

Upon receipt of a third-party REGISTER request, the IP-SM-GW shall:

- send a 200 (OK) response for the REGISTER request;
- if an MSISDN is received in the message body of the third party REGISTER request within the <service-info> XML element, store the MSISDN sent in the message body of the REGISTER request within the <service-info> XML element.
- if no MSISDN is received,
 - a) if an Authorization header field was contained in the REGISTER request received from the UE that is contained in a "message/sip" MIME body of the third party REGISTER request, derive the IMSI from the private user identity obtained from the "username" header field parameter of the Authorization header field in the REGISTER request received from the UE that is contained in a "message/sip" MIME body of the third party REGISTER request; or
 - b) if no Authorization header field was contained in the REGISTER request received from the UE that is contained in a "message/sip" MIME body of the third party REGISTER request derive the IMSI from the public user identity obtained from the "To" header field in the REGISTER request received from the UE that is contained in a "message/sip" MIME body of the third party REGISTER request; and

NOTE 2: The actual format of the <service-info> element is transparent to the S-CSCF.

NOTE 3: The relation between private user identity and the IMSI is defined in 3GPP TS 23.003 [22].

NOTE 4: 3GPP TS 24.229 [10] specifies how the REGISTER request from the UE can be included in the third party REGISTER request.

- subscribe to the reg event package for the public user identity registered at the user's registrar (S-CSCF) as described in RFC 3680 [15] and RFC 6665 [27].

Upon receipt of a NOTIFY request the IP-SM-GW shall check the availability status for receiving SMS over IP messages, i.e. if the public user identity has a contact registered with the ability to receive SMS over IP messages. If the availability status of the public user identity for receiving SMS over IP messages has changed, the IP-SM-GW shall start a data update procedure to the HSS as specified in 3GPP TS 29.002 [11] to indicate that either the MSISDN or IMSI registered with it is available/unavailable for delivery of SMS.

5.3.3.3 Answering routing information query

If a routing information query is received from the HSS/HLR, the IP-SM-GW shall extract the MSISDN of the SM-over-IP receiver (destination UE) from the received message. If the IP-SM-GW has information about a public user identity associated with the MSISDN, the IP-SM-GW shall return its own address to the SMS-GMSC that originated the routing information query.

If the IP-SM-GW has no information related to the MSISDN of the SM-over-IP receiver (destination UE), the IP-SM-GW shall query the HSS/HLR for routing information. If the query results in an error response, the IP-SM-GW shall return the error response to the SMS-GMSC; otherwise the IP-SM-GW shall return its own address to the SMS-GMSC that originated the routing information query.

NOTE: The address of the SMS-GMSC is available in the received routing information query.

5.3.3.4 Transport layer interworking

5.3.3.4.1 Receiving a short message in a SIP MESSAGE request

NOTE 1: The SIP MESSAGE received from the SM-over-IP sender/receiver will include a P-Asserted-Identity header (as defined in RFC 3325 [13]) containing a tel URI of the SM-over-IP sender/receiver and will contain either a short message (RP-DATA), or a notification for availability of memory (RP-SMMA), or a delivery report (RP-ACK or RP-ERROR).

If a SIP MESSAGE request including "vnd.3gpp.sms" payload is received from the SM-over-IP sender/receiver and the IP-SM-GW does not support the In-Reply-To header usage, the IP-SM-GW shall:

- 1) respond with a 202 (Accepted) response;

- 2) extract and validate the format of the SC address from the received payload as defined in 3GPP TS 24.011 [8] and 3GPP TS 23.040 [3];
- 3) extract the RPDU type (see 3GPP TS 24.011 [8]) from the received payload;
- 4) add the MSISDN of the SM-over-IP receiver to the RP International Mobile Subscriber Identity field if the received payload is a notification for availability of memory. If the MSISDN of the SM-over-IP receiver is not available then insert the tel URI received in a P-Asserted-Identity header (as defined in RFC 3325 [13]) placed in the SIP MESSAGE request by the P-CSCF or S-CSCF; and

NOTE 2: The MSISDN is not available if the registration is not required according to the operator policy.

- 5) include the RPDU type in the appropriate message to
 - the SC via SMS-IWMSM in case of a short message;
 - the SC via SMS-GMSC in case of a delivery report; or
 - the HSS in case of a notification for availability of memory.

If step 2) or 3) above fails for message that contains RPDU with RP-DATA or RP-SMMA content, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, containing the sending user's URI;
- b) the Content-Type header, set to "application/vnd.3gpp.sms"; and
- c) the body of the request containing an RP-ERROR message including an appropriate error code as defined in 3GPP TS 24.011 [8].

NOTE 3: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

If a SIP MESSAGE request including "vnd.3gpp.sms" payload is received from the sender/receiver, and the IP-SM-GW supports the In-Reply-To header, the IP-SM-GW shall:

- 1) if the SIP MESSAGE does not include the In-Reply-To header:
 - a) respond with a 202 (Accepted) response;
 - b) extract and validate the format of the SC address from the received payload as defined in 3GPP TS 24.011 [8] and 3GPP TS 23.040 [3];
 - c) extract the RPDU type (see 3GPP TS 24.011 [8]) from the received payload;
 - d) the MSISDN of the SM-over-IP receiver to the RP International Mobile Subscriber Identity field if the received payload is a notification for availability of memory. If the MSISDN of the SM-over-IP receiver is not available then insert the tel URI received in a P-Asserted-Identity header (as defined in RFC 3325 [13]) placed in the SIP MESSAGE request by the P-CSCF or S-CSCF; and

NOTE 4: The MSISDN is not available if the registration is not required according to the operator policy.

- e) include the RPDU type in the appropriate message to
 - the SC via SMS-IWMSM in case of a short message;
 - the SC via SMS-GMSC in case of a delivery report; or
 - the HSS in case of a notification for availability of memory.

If step b) or c) above fails for message that contains RPDU with RP-DATA or RP-SMMA content, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- the Request-URI, containing the sending user's URI;
- the Content-Type header, set to "application/vnd.3gpp.sms"; and

- the body of the request containing an RP-ERROR message including an appropriate error code as defined in 3GPP TS 24.011 [8].

NOTE 5: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

1) if the SIP MESSAGE includes the In-Reply-To header:

- a) if the In-Reply-To header indicates that the request does not correspond to a short message submitted by the IP-SM-GW, send a 488 (Not Acceptable here) SIP response according to RFC 3428 [14];
- b) if the In-Reply-To header indicates that the request corresponds to a short message submitted by the IP-SM-GW:
 - generate a 202 (Accepted) SIP response according to RFC 3428 [14];
 - extract and validate the format of the SC address from the received payload as defined in 3GPP TS 24.011 [8] and 3GPP TS 23.040 [3];
 - extract the RPDU type (see 3GPP TS 24.011 [8]) from the received payload;
 - add the MSISDN of the SM-over-IP receiver to the RP International Mobile Subscriber Identity field if the received payload is a notification for availability of memory. If the MSISDN of the SM-over-IP receiver is not available then insert the tel URI received in a P-Asserted-Identity header (as defined in RFC 3325 [13]) placed in the SIP MESSAGE request by the P-CSCF or S-CSCF; and

NOTE 6: The MSISDN is not available if the registration is not required according to the operator policy.

- include the RPDU type in the appropriate message within the same MAP dialog delivering the short message to
 - the SC via SMS-GMSC in case of a delivery report; or
 - the HSS in case of a notification for availability of memory.

NOTE 7: The IP-SM-GW finding the MAP dialog using the SIP session identified by the Call-ID contained in the In-Reply-To header.

if step 2) or 3) above fails for message that contains RPDU with RP-SMMA content, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- the Request-URI, containing the sending user's URI;
- the Content-Type header, set to "application/vnd.3gpp.sms"; and
- the body of the request containing an RP-ERROR message including an appropriate error code as defined in 3GPP TS 24.011 [8].

NOTE 8: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

5.3.3.4.2 Delivering a short message in a SIP MESSAGE request

If a short message is received from the SMS-GMSC, the IP-SM-GW shall extract the IMSI of the SM-over-IP receiver from the received message. Then the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain a public user identity of the SM-over-IP receiver associated with the received IMSI;
- b) the Accept-Contact header, which shall contain a "+g.3gpp.smsip" parameter and the "explicit" and "require" tags according to RFC 3841 [17];
- c) the Request-Disposition header which shall contain the "no-fork" directive;
- d) the P-Asserted-Identity header which shall contain the SIP URI of the IP-SM-GW;

- e) the Content-Type header which shall contain "application/vnd.3gpp.sms"; and
- f) the body of the request which shall contain an RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3].

NOTE 1: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

If the IP-SM-GW cannot deliver the short message successfully in a SIP MESSAGE request and cannot deliver the short message via SGSN or MSC, then the IP-SM-GW will apply the procedures defined in 3GPP TS 29.311 [23] subclause 6.1.4.4.1 to send a delivery report to SC via SMS-GMSC.

NOTE 2: If routing information is available (SGSN or MSC address or both), the IP-SM-GW can also attempt the delivery of a short message via SGSN or MSC or both before sending a delivery report to SC via SMS-GMSC. The priority order of these attempts (i.e., SMS over IP, SMS over CS, SMS over PS) is subject to operator policy. **However, if no MSISDN is present in the short message then no routing information is obtainable by the IP-SM-GW, and attempting delivery of the short message via other domains (e.g. MSC, SGSN) by the IP-SM-GW is not possible.**

5.3.3.4.3 Forwarding a submit report in a SIP MESSAGE request

If an SM submit report is received from the SMS-IW MSC, the IP-SM-GW shall retrieve the IMSI of the SM-over-IP sender from the existing MAP context. Then the IP-SM-GW shall obtain a corresponding public user identity and send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain a public user identity of the SM-over-IP sender;
- b) the Accept-Contact header, which shall contain a "+g.3gpp.smsip" parameter and the "explicit" and "require" tags according to RFC 3841 [17];
- c) the Request-Disposition header which shall contain the "fork" and optionally the "parallel" directives;
- d) the In-Reply-To header which shall contain the Call-Id of the SIP MESSAGE request that included the submitted short message;
- e) the P-Asserted-Identity header which shall contain the SIP URI of the IP-SM-GW;
- f) the Content-Type header which shall contain "application/vnd.3gpp.sms"; and
- g) the body of the request which shall contain an RP-ACK or RP-ERROR message as defined in 3GPP TS 24.011 [8].

NOTE: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

5.3.3.4.4 Delivering a status report in a SIP MESSAGE request

If a status report is received from the SMS-GMSC, the IP-SM-GW shall extract the IMSI of the SM-over-IP sender from the received message. Then the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- a) the Request-URI, which shall contain a public user identity of the SM-over-IP sender associated with the received IMSI;
- b) the Accept-Contact header, which shall contain a "+g.3gpp.smsip" parameter and the "explicit" and "require" tags according to RFC 3841 [17];
- c) the Request-Disposition header which shall contain the "no-fork" directive;

NOTE 1: The status report is always sent to the SMS capable UE that registered with the highest q value.

- d) the Content-Type header which shall contain "application/vnd.3gpp.sms"; and
- e) the body of the request which shall contain an RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3].

NOTE 2: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

If the IP-SM-GW cannot deliver the status report successfully in a SIP MESSAGE request and cannot deliver the short message via SGSN or MSC, then the IP-SM-GW will apply the procedures defined in 3GPP TS 29.311 [23] subclause 6.1.4.4.1 to send a delivery report to SC via SMS-GMSC.

NOTE 3: If routing information is available (SGSN or MSC address or both), the IP-SM-GW can also attempt the delivery of a short message via SGSN or MSC or both before sending a delivery report to SC via SMS-GMSC. The priority order of these attempts (i.e., SMS over IP, SMS over CS, SMS over PS) is subject to operator policy.

NOTE 4: The SM-over-IP sender will acknowledge the status report with a delivery report.

5.3.3.5 IP-SM-GW procedures for operation without MSISDN

5.3.3.5.1 General

This subclause specifies the procedures for the IP-SM-GW to support the MSISDN less operation.

An IP-SM-GW supporting the procedures in this subclause shall support the In-Reply-To header field.

5.3.3.5.2 Receiving a short message in a SIP MESSAGE request from a SM-over-IP sender or SM-over-IP receiver

If a SIP MESSAGE request is received from the sender/receiver including a "vnd.3gpp.sms" MIME body; then the IP-SM-GW shall:

- 1) if the SIP MESSAGE request does not include the In-Reply-To header field:
 - a) respond with a 202 (Accepted) response according to RFC 3428 [14];
 - b) extract and validate the format of the SC address from the received payload as defined in 3GPP TS 24.011 [8] and 3GPP TS 23.040 [3];
 - c) extract the RPDU type (see 3GPP TS 24.011 [8]) from the received payload. If the received payload is a notification for availability of memory then include the RPDU in the appropriate message to the HSS and skip the following steps; and
 - d) extract the TP-DA field and check if the TP-DA field is set to the dummy MSISDN as defined in 3GPP TS 23.003 [22]. If the value is not set to the dummy MSISDN then continue with the procedures specified in subclause 5.3.3.4.1. Otherwise the IP-SM-GW shall based on local policy determine whether the procedures in this subclause are to be employed and shall:

NOTE 1: Local policy can e.g. include subscriber specific authorization and can include information support in the terminating side network.

- A) construct a SMS-DELIVER from the received SMS-SUBMIT. The TP-OA field shall be set to the dummy MSISDN value as defined in 3GPP TS 23.003 [22];
- B) set the Request-URI for the MESSAGE to be sent to what has been received in the <To> element in the received XML document;
- C) set the To header field to the same value as contained in the Request-URI;
- D) set the P-Asserted-Identity header field to its own SIP URI;
- E) set the From header field to its own SIP URI;
- G) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- H) set the Content-Type header, which shall contain "multipart/mixed";
- I) include an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The

XML document shall contain a single <From> element which contains the URI of the sender of the short message. The URI of the sender is taken from the P-Asserted-Identity header field of the related received SIP MESSAGE request;

- J) include an application/vnd.3gpp.sms MIME body containing the RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] with a TP-DA field set to the dummy MSISDN value as defined in 3GPP TS 23.003 [22]; and

- K) send the so created SIP MESSAGE request towards the terminating side IP-SM-GW.

If step b) or c) above fails for message that contains RPDU with RP-DATA content, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- A) the Request-URI, containing the sending user's URI;
- B) the Content-Type header, which shall contain "multipart/mixed";
- C) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- D) include an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <From> element which contains the URI of the sender of the short message; and
- E) include an application/vnd.3gpp.sms MIME body containing the RP-ERROR message including an appropriate error code as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3].

NOTE 2: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

If the IP-SM-GW decides to not forward the received SIP MESSAGE request, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- A) the Request-URI, containing the sending user's URI;
- B) the Content-Type header, which shall contain "multipart/mixed";
- C) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- D) include an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <From> element which contains the URI of the sender of the short message; and
- E) include an application/vnd.3gpp.sms MIME body containing the RP-ERROR message including an appropriate error code as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3]; or

NOTE 3: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

2) if the SIP MESSAGE request includes the In-Reply-To header field:

- a) if the In-Reply-To header field indicates that the request does not correspond to a short message submitted by the IP-SM-GW, send a 488 (Not Acceptable here) SIP response according to RFC 3428 [14];
- b) if the In-Reply-To header field indicates that the request corresponds to a short message submitted by the IP-SM-GW and the IP-SM-GW has used subclause 5.3.3.5.3 procedures for delivery of the short message:
 - A) generate a 202 (Accepted) SIP response according to RFC 3428 [14];
 - B) extract and validate the format of the SC address from the received payload as defined in 3GPP TS 24.011 [8] and 3GPP TS 23.040 [3];

- C) extract the RPDU type (see 3GPP TS 24.011 [8]) from the received payload;
- D) construct a new new payload based on the received payload;
- E) set the R-URI of the SIP MESSAGE request to the address of the entity that has sent the related SIP MESSAGE request identified via the context that relates to the In-Reply-To header field;
- F) set the To header field to the same value as contained in the Request-URI;
- G) set the P-Asserted-Identity header field to its own SIP URI;
- H) set the From header field to its own SIP URI;
- I) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- I) set the Content-Type header, which shall contain "multipart/mixed";
- J) include an application/vnd.3gpp.sms MIME body containing the the RPDU type in the SIP MESSAGE request ;

NOTE 4: The RPDU contains a delivery report.

- K) include an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <From> element which contains the URI of the sender of the short message. The URI of the sender is taken from the P-Asserted-Identity header field of the related received SIP MESSAGE request; and
- L) send the so created SIP MESSAGE request.

5.3.3.5.3 Delivering a short message in a SIP MESSAGE request to a SM-over-IP receiver

If a short message contained in a SIP MESSAGE request is received that has been sent by the originating side IP-SM-GW as specified in subclause 5.3.3.5.2, the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- 1) the Request-URI, which shall contain a public user identity as received from the originating side;
- 2) the Accept-Contact header field, which shall contain a "+g.3gpp.smsip-msisdn-less" parameter and the "explicit" and "require" tags according to RFC 3841 [17];
- 3) the Request-Disposition header field which shall contain the "no-fork" directive;
- 4) the From header field which shall contain the SIP URI of the IP-SM-GW;
- 5) the P-Asserted-Identity header field which shall contain the SIP URI of the IP-SM-GW;
- 6) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- 7) set the Content-Type header, which shall contain "multipart/mixed";
- 8) include an application/vnd.3gpp.sms MIME body containing the RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] as received in the incoming SIP MESSAGE request; and

NOTE 1: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

- 9) include an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <From> element which contains the URI of the sender of the short message.

When the IP-SM-GW receives any 4xx, 5xx, or 6xx response to a SIP MESSAGE, the IP-SM-GW shall send a SIP MESSAGE with the following information:

- 1) a Request-URI, which shall contain the identity as received in the P-Asserted-Identity in the related SIP MESSAGE request from the originating side;
- 2) a From header field which shall contain the SIP URI of the IP-SM-GW;
- 3) a P-Asserted-Identity header field which shall contain the SIP URI of the IP-SM-GW;
- 4) a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- 5) a Content-Type header as specified in RFC 5621 [25], which shall contain "multipart/mixed";
- 6) an application/vnd.3gpp.sms MIME body containing the RP-ERROR message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] as received in the incoming SIP MESSAGE request; and

NOTE 3: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

- 7) an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <Correlation-ID> element and a single <Delivery-Outcome> element set to "SMDeliveryFailure" as defined in annex C.1.3.

5.3.3.5.4 Delivering a delivery report in a SIP MESSAGE request

If a deliver report contained in a SIP MESSAGE request is received that has been sent by the terminating side IP-SM-GW as specified in subclause 5.3.3.5.2 and the IP-SM-GW decides to send a status report to the SM-over-IP-sender as specified in 3GPP TS 23.040 [3], the IP-SM-GW shall send a SIP MESSAGE request with the following information:

- 1) the Request-URI, which shall contain a public user identity as received in the related SIP MESSAGE request;
- 2) the Accept-Contact header field, which shall contain a "+g.3gpp.smsip-msisdn-less" media feature tag and the "explicit" and "require" tags according to RFC 3841 [17];
- 3) the Request-Disposition header field which shall contain the "no-fork" directive;
- 4) the From header field which shall contain the content of the P-Asserted-Identity header field
- 5) the P-Asserted-Identity header field which shall contain the SIP URI of the IP-SM-GW;
- 6) the Content-Type header, which shall contain "multipart/mixed";
- 7) include a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- 8) construct an SMS-STATUS-REPORT based on the received SMS-DELIVER-REPORT;
- 9) an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <From> element which contains the URI of the sender of the short message; and
- 10) include an application/vnd.3gpp.sms MIME body containing the RP-DATA message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] as received in the related SIP MESSAGE request.

NOTE 1: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

If a delivery report indicating no success contained in a SIP MESSAGE request is received that has been sent by the terminating side IP-SM-GW, the IP-SM-GW shall:

- 1) extract the received payload from the "vnd.3gpp.sms" payload; and
- 2) extract the received payload from the "vnd.3gpp.sms+xml" payload;

The IP-SM-GW will update the SC with the appropriate message as defined in 3GPP TS 29.002 [11]. The IP-SM-GW shall treat an unknown value in the <Delivery-Outcome> element as it treats the value "SMDeliveryFailure".

NOTE 2: The IP-SM-GW will send a MO_FORWARD_SM to the SC.

5.3.3.5.5 Delivering a submit report in a SIP MESSAGE request

The IP-SM-GW may support sending of SM submit report. When the IP-SM-GW sends a SM submit report, the IP-SM-GW shall support the procedures as specified in subclause 5.3.3.4.3 starting with item a.

5.3.3.5.6 Procedures for receiving a SIP MESSAGE request from an IP-SM-GW

If a SIP MESSAGE request is received from another IP-SM-GW containing a "vnd.3gpp.sms" MIME body; then the IP-SM-GW shall:

NOTE 1: It is configuration specific how the IP-SM-GW finds out that a SIP MESSAGE request is received from another IP-SM-GW

- 1) send a SIP 202 (Accepted) response according to RFC 3428 [14];
- 2) save the SIP URI of the sending IP-SM-GW, contained in the P-Asserted-Identity header field of the received SIP MESSAGE request; and
- 3) deliver the received content to the SM-over-IP-receiver as described in subclause 5.3.3.5.3 or SM-over-IP-sender as described in subclause 5.3.3.5.4

If the IP-SM-GW cannot deliver the short message in a SIP MESSAGE request then the IP-SM-GW shall send a delivery report encapsulated in a SIP MESSAGE request to the originating side with the following information:

- 1) a Request-URI, which shall contain the identity as received in the P-Asserted-Identity in the related SIP MESSAGE request from the originating side;
- 2) a From header field which shall contain the SIP URI of the IP-SM-GW;
- 3) a P-Asserted-Identity header field which shall contain the SIP URI of the IP-SM-GW;
- 4) a Feature-Caps header field with a "+g.3gpp.smsip-msisdn-less" header field parameter;
- 5) a Content-Type header as specified in RFC 5621 [25], which shall contain "multipart/mixed";
- 6) an application/vnd.3gpp.sms MIME body containing the RP-ERROR message as defined in 3GPP TS 24.011 [8], including the SMS headers and the SMS user information encoded as specified in 3GPP TS 23.040 [3] as received in the incoming SIP MESSAGE request; and

NOTE 2: The IP-SM-GW will use content transfer encoding of type "binary" for the encoding of the SM in the body of the SIP MESSAGE request.

- 7) an application/vnd.3gpp.sms+xml MIME body as described in subclause D.1 with a Content-Disposition header field set to "render" and with "handling" header field parameter set to "optional". The XML document shall contain a single <Correlation-ID> element and a single <Delivery-Outcome> element populated as defined in annex C.1.3.

5.3.3.6 SIP failure case

When initiating a SIP failure response to any received SIP request, depending on operator policy, the IP-SM-GW may insert a SIP Response-Source header field in accordance with the procedures in subclause 5.7.1.0 of 3GPP TS 24.229 [10], where the "role" header field parameter is set to "ip-sm-gw".

Annex A (normative): Media feature tags defined within the current document

A.1 General

This subclause describes the media feature tag definitions that are applicable for the 3GPP IM CN Subsystem for the realisation of SMS over IP.

A.2 Definition of media feature tag g.3gpp.smsip

Media feature tag name: g.3gpp.smsip

ASN.1 Identifier: 1.3.6.1.8.2.3

Summary of the media feature indicated by this tag: This feature-tag indicates that the device is capable of accepting SMS messages via SIP.

Values appropriate for use with this media feature tag: Boolean.

The media feature tag is intended primarily for use in the following applications, protocols, services, or negotiation mechanisms: This media feature tag is most useful within SIP for noting the SMS capabilities of a device, such as a phone or PDA.

Examples of typical use: Indicating that a mobile phone can receive short message encapsulated in a SIP MESSAGE request.

Related standards or documents: 3GPP TS 24.341: "Support of SMS over IP networks, stage 3"

Security Considerations: Security considerations for this media feature tag are discussed in subclause 11.1 of RFC 3840 [16].

A.3 Definition of media feature tag g.3gpp.smsip-msisdn-less

Media feature-tag name: g.3gpp.smsip-msisdn-less

ASN.1 Identifier: 1.3.6.1.8.2.25

Summary of the media feature indicated by this tag: This media feature-tag when used in a Contact header field of a SIP request or a SIP response indicates that the functional entity sending the SIP message supports MSISDN less operation of SMS via SIP MESSAGE request.

Values appropriate for use with this feature-tag: Boolean

The feature-tag is intended primarily for use in the following applications, protocols, services, or negotiation mechanisms: This feature-tag is most useful in a communications application, for describing the capabilities of a device, such as a phone or PDA.

Examples of typical use: Indicating that a user equipment supports MSISDN less operation of SMS via SIP MESSAGE request..

Related standards or documents: 3GPP TS 24.341: "Support of SMS over IP networks, stage 3", Release 12, available via <http://www.3gpp.org/specs/numbering.htm>

Security Considerations: Security considerations for this media feature-tag are discussed in subclause 12.1 of IETF RFC 3840 [53].

Annex B (informative): Example signalling flows of SMS over IP functionality

B.1 Scope of signalling flows

This annex gives examples of signalling flows for the SMS over IP within the IP Multimedia (IM) Core Network (CN) subsystem based on the Session Initiation Protocol (SIP) and SIP Events.

These signalling flows provide detailed signalling flows, which expand on the overview information flows provided in 3GPP TS 23.204 [5].

B.2 Introduction

B.2.1 General

The signalling flows provided in this annex follow the methodology developed in 3GPP TS 24.228 [9]. The following additional considerations apply:

- a) 3GPP TS 24.228 [9] shows separate signalling flows with no configuration hiding between networks, and with configuration hiding between networks. There is no SMS over IP specific functionality associated with this hiding, and therefore such separate signalling flows are not shown in the present document; and
- b) 3GPP TS 24.228 [9] does not show the functionality between the S-CSCF and the AS. As the SMS over IP functionality depends on the functionality provided by an AS, the signalling flows between S-CSCF and AS are shown in the present document.

B.2.2 Key required to interpret signalling flows

The key to interpret signalling flows specified in 3GPP TS 24.228 [9] subclauses 4.1 and 4.2 applies with the additions specified below.

- ipsmgw.home1.net, ipsmgw.home2.net: IP-SM-GW in the home network of the SM-over-IP sender/receiver;
- sc.home1.net: PSI of the SC of the SM-over-IP sender
- user1_public1@home1.net: SM-over-IP sender; and
- user2_public2@home2.net: SM-over-IP receiver.

As in 3GPP TS 24.228 [9], in order to differentiate between SIP methods and other protocol messages, the message name is preceded with the associated protocol for all non-SIP messages.

Each signalling flow table contains descriptions for headers where the content of the header is new to that signalling flow, as is already performed in 3GPP TS 24.228 [9].

However, 3GPP TS 24.228 [9] includes extensive descriptions for the contents of various headers following each of the tables representing the contents of the signalling flows. Where the operation of the header is identical to that shown in 3GPP TS 24.228 [9], then such text is not reproduced in the present document.

Additional text may also be found on the contents of headers within 3GPP TS 24.228 [9] in addition to the material shown in the present document.

B.3 Signalling flows demonstrating how IP-SM-GW indicates to HSS the availability of public user identity for delivery of short messages

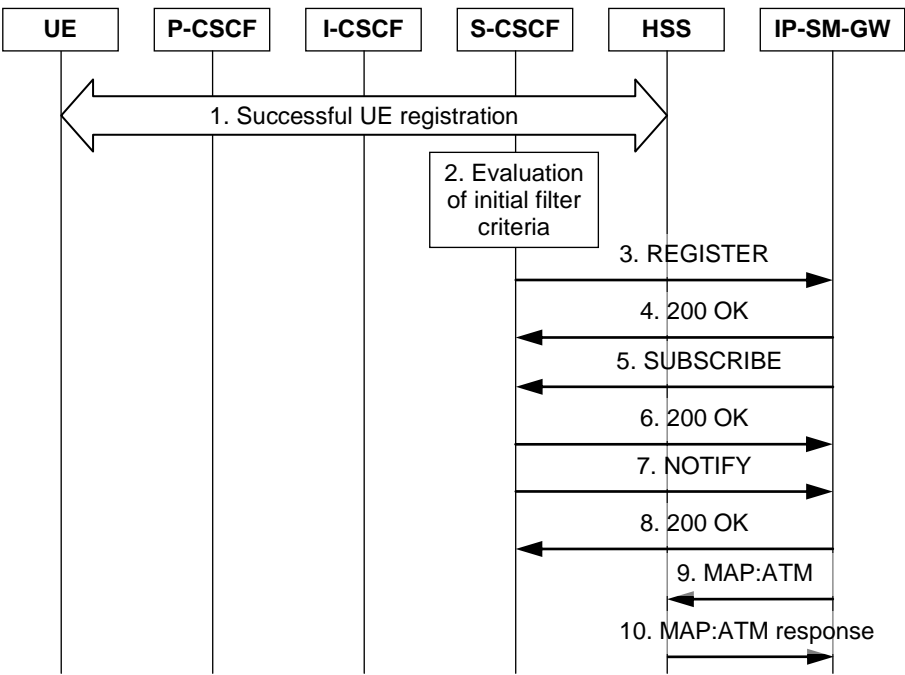


Figure B.3-1: IP-SM-GW registration signalling

Figure B.3-1 shows the registration signalling flow for the scenario when IP-SM-GW registers to HSS. The details of the signalling flows are as follows:

- 1. See 3GPP TS 24.228 [9], subclause 6.2 steps 1 through 22

NOTE 1: 3GPP TS 24.228 [9] contains Rel-5 registration; additional parameters might appear in Rel-7 registration.

- 2. **Initial filter criteria**

The S-CSCF analyses the incoming request against the initial filter criteria and decides to send a third-party REGISTER request to the IP-SM-GW. Initial Filter Criteria for IP-SM-GW includes a Service Information that contains the MSISDN belonging to "sip:user1_public1@home1.net".

- 3. **REGISTER request (S-CSCF to IP-SM-GW) - see example in table B.3-1**

This signalling flow forwards the REGISTER request from the S-CSCF to the IP-SM-GW.

Table B.3-1: REGISTER request (S-CSCF to IP-SM-GW)

```
REGISTER sip:ipsmgw.home1.net SIP/2.0
Via: SIP/2.0/UDP sip:scscf1.home1.net
Max-Forwards: 70
P-Access-Network-Info:
P-Visited-Network-ID:
P-Charging-Vector:
P-Charging-Function-Addresses:
From: <sip:scscf1.home1.net>;tag=14142
To: <sip:user1_public1@home1.net>
Contact: <sip:scscf1.home1.net>
Expires: 600000
Call-ID: apb03a0s09dkjdfg1kj49112
```

```

CSeq: 43 REGISTER
Content-Type: application/3gpp-ims+xml
Content-Length: (...)

<?xml version="1.0" encoding="UTF-8"?>
<ims-3gpp version="1">
  <service-info>11111111</service-info>
</ims-3gpp>

```

4. 200 OK response (IP-SM-GW to S-CSCF) - see example in table B.3-2

The IP-SM-GW sends a 200 (OK) response to the S-CSCF indicating that registration was successful.

Table B.3-2: 200 OK response (IP-SM-GW to S-CSCF)

```

SIP/2.0 200 OK
Via: SIP/2.0/UDP sip:scscf1.home1.net
From:
To:
Call-ID:
Contact: <sip:scscf1.home1.net>;expires=600000
CSeq:
Date: Wed, 11 July 2001 08:49:37 GMT
Content-Length:

```

5. SUBSCRIBE request (IP-SM-GW to S-CSCF) – see example in table B.3-3

The IP-SM-GW subscribes to the S-CSCF for the registration status of the registered subscriber.

Table B.3-3 SUBSCRIBE request (IP-SM-GW to S-CSCF)

```

SUBSCRIBE sip:user1_public1@home1.net SIP/2.0
Max-Forwards: 70
Route: <sip:scscf1.home1.net;lr>
P-Asserted-Identity: <sip:ipsmgw.home1.net>
P-Charging-Vector: icid-value="gwr65hy35gw5hfrD46=583735358"; orig-ioi="type-3home1.net"
P-Charging-Function-Addresses: ccf=[5555:c88:d77::c66]; ecf=[5555:c88:d77::e67]
From: <sip:ipsmgw.home1.net>;tag=31415286
To: <sip:user1_public1@home1.net>
Call-ID: 56uher6y5hrwy5wseg5y4w
CSeq: 111 SUBSCRIBE
Event: reg
Expires: 600000
Accept: application/reginfo+xml
Contact: <sip:ipsmgw.home1.net>
Content-Length: 0

```

Request-URI: Public user identity whose registration status event the IP-SM-GW subscribes to.

6. 200 (OK) response (S-CSCF to IP-SM-GW) - see example in table B.3-4

The S-CSCF sends a 200 (OK) response to the IP-SM-GW.

Table B.3-4: 200 (OK) response (S-CSCF to IP-SM-GW)

```

SIP/2.0 200 OK
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK240tfe2
P-Asserted-Identity:
From: <sip:ipsmgw.home1.net>;tag=31415286
To: <sip:scscf1.home1.net>;tag=14142
Call-ID:
CSeq:
Contact:
Expires:
Content-Length:

```

7. NOTIFY request (S-CSCF to IP-SM-GW) - see example in table B.3-5

The S-CSCF sends a first NOTIFY request to the IP-SM-GW. The notification indicates that the monitored public user identity registered using an SMS capable UE.

Table B.3-5: NOTIFY request (S-CSCF to IP-SM-GW)

```
NOTIFY sip:ipsmgw.home1.net SIP/2.0
Max-Forwards: 70
From: <sip: user1_public1@home1.net>;tag=14142
To: <sip:ipsmgw.home1.net>;tag=31415286
Call-ID: 56uher6y5hrwy5wseg5y4w
CSeq: 222 NOTIFY
Subscription-State: active;expires=600000
Event: reg
Content-Type: application/reginfo+xml
Contact: <sip:scscf1.home1.net>
Content-Length: (...)

<?xml version="1.0"?>
<reginfo xmlns="urn:ietf:params:xml:ns:reginfo" version="1" state="full">
  <registration aor="sip:user1_public1@home1.net" id="a7" state="active">
    <contact id="76" state="active" event="registered">
      <uri>sip:[5555::aaa:bbb:ccc:ddd]</uri>
      <unknown-param name="+g.3gpp.smsip"/>
    </contact>
  </registration>
</reginfo>
```

8. 200 (OK) response (IP-SM-GW to S-CSCF) - see example in table B.3-6

IP-SM-GW sends a 200 (OK) response to the S-CSCF.

Table B.3-6: 200 (OK) response (IP-SM-GW to S-CSCF)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK240tfe2
From:
To:
Call-ID:
CSeq:
Content-Type:
Content-Length: 0
```

9. MAP: AnyTimeModification

The IP-SM-GW sends the request to inform the HSS/HLR that the user with MSISDN "11111111" is ready to receive short messages for delivery via IP via the sender of the request.

For detailed message flows and coding see 3GPP TS 29.002 [11].

Table B.3-7 provides the parameters in the AnyTimeModification request, which are sent to the HSS/HLR.

Table B.3-7: Data update procedure (IP-SM-GW to HSS/HLR)

| Message source and destination | MAP Information element name | Information source | Description |
|--------------------------------|---|--------------------------------|---|
| IP-SM-GW to HSS/HLR | SubscriberIdentity | MSISDN in SIP REGISTER request | This information element indicates the MSISDN of the subscriber |
| IP-SM-GW to HSS/HLR | gsmSCF-Address | (static) IP-SM-GW | HSS/HLR should forward messages related to SM delivery to this address |
| IP-SM-GW to HSS/HLR | modifyRegistrationStatus of the modificationRequestFor-SM-GW-Data | (static) IP-SM-GW | This information element indicates the registration status (activate) towards HSS/HLR |

10. MAP: AnyTimeModification response

The HSS/HLR acknowledges the request.

NOTE 2: The positive ATM response (Result message) does not contain any result code, negative response (Error message) contains an error code.

B.4 Signalling flows demonstrating how IP-SM-GW indicates to HSS the unavailability of UE for delivery of short messages

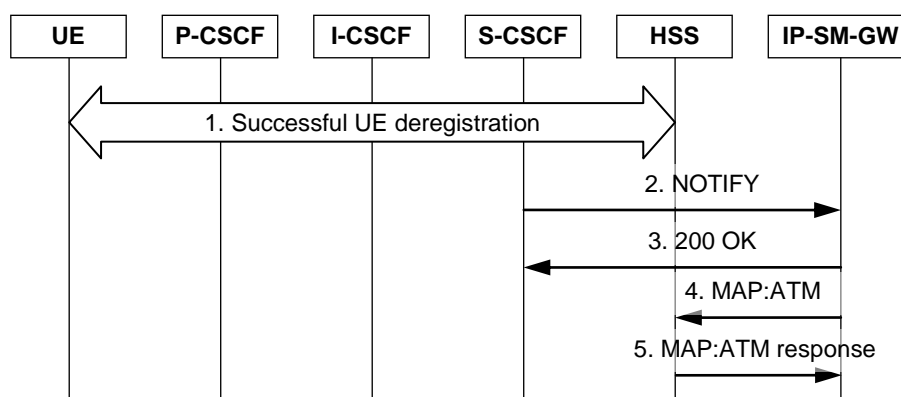


Figure B.4-1: IP-SM-GW deregistration signalling

Figure B.4-1 shows the registration signalling flow for the scenario when IP-SM-GW deregisters to HSS. The details of the signalling flows are as follows:

1. See 3GPP TS 24.228 [9], subclause 6.2 steps 1 through 22

Expires header set to zero. Public user identity deregisters its last SMS capable contact.

NOTE 1: A flow for deregistration is not provided in 3GPP TS 24.228 [9]. However, deregistration is similar to a registration with the Expires header set to zero. Compared to a Rel-5 deregistration additional parameters might appear in a later release.

2. NOTIFY request (S-CSCF to IP-SM-GW) - see example in table B.4-1

The S-CSCF sends a first NOTIFY request to the IP-SM-GW. The notification indicates that the monitored public user identity is not registered any more with an SMS capable UE.

Table B.4-1: NOTIFY request (S-CSCF to IP-SM-GW)

```

NOTIFY sip:ipsmgw.home1.net SIP/2.0
Max-Forwards: 70
From: <sip: user1_public1@home1.net>;tag=14142
To: <sip:ipsmgw.home1.net>;tag=31415286
Call-ID: 56uher6y5hrwy5wseg5y4w
CSeq: 222 NOTIFY
Subscription-State: active;expires=234546
Event: reg
Content-Type: application/reginfo+xml
Contact: <sip:scscf1.home1.net>
Content-Length: (...)

<?xml version="1.0"?>
<reginfo xmlns="urn:ietf:params:xml:ns:reginfo" version="1" state="full">
  <registration aor="sip:user1_public1@home1.net" id="a7" state="terminated">
    <contact id="77" state="terminated" event="unregistered">
      <uri>sip:[5555::aaa:bbb:ccc:ddd]</uri>
    </contact>
  </registration>
  <registration aor="sip:user1_public2@home1.net" id="a8" state="active">
    <contact id="77" state="active" event="registered">
      <uri>sip:[5555::aaa:bbb:ccc:eee]</uri>
    </contact>
  </registration>
</reginfo>

```

3. 200 (OK) response (IP-SM-GW to S-CSCF) - see example in table B.4-2

IP-SM-GW sends a 200 (OK) response to the S-CSCF.

Table B.4-2: 200 (OK) response (IP-SM-GW to S-CSCF)

```

SIP/2.0 200 OK
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK240tfe2
From:
To:
Call-ID:
CSeq:
Content-Type:
Content-Length: 0

```

4. MAP: AnyTimeModification

The IP-SM-GW sends the request to inform the HSS/HLR that the user with MSISDN "11111111" is not available to receive short messages for delivery via IP via the sender of the request.

For detailed message flows and coding see 3GPP TS 29.002 [11].

Table B.4-3 provides the parameters in the AnyTimeModification request, which are sent to the HSS/HLR.

Table B.4-3: MAP: AnyTimeModification request (IP-SM-GW to HSS/HLR)

| Message source and destination | MAP Information element name | Information source | Description |
|--------------------------------|---|--------------------------------|--|
| IP-SM-GW to HSS/HLR | SubscriberIdentity | MSISDN in SIP REGISTER request | This information element indicates the MSISDN of the subscriber |
| IP-SM-GW to HSS/HLR | gsmSCF-Address | (static) IP-SM-GW | HSS/HLR should forward messages related to SM delivery to this address |
| IP-SM-GW to HSS/HLR | modifyRegistrationStatus of the modificationRequestFor-SM-GW-Data | (static) IP-SM-GW | This information element indicates the registration status (deactivate) towards HSS/HLR. |

5. MAP: AnyTimeModification response

The HSS/HLR acknowledges the request.

NOTE 2: The positive ATM response (Result message) does not contain any result code; negative response (Error message) contains an error code.

B.5 Signalling flows demonstrating successful UE originated SM submit procedure over IP

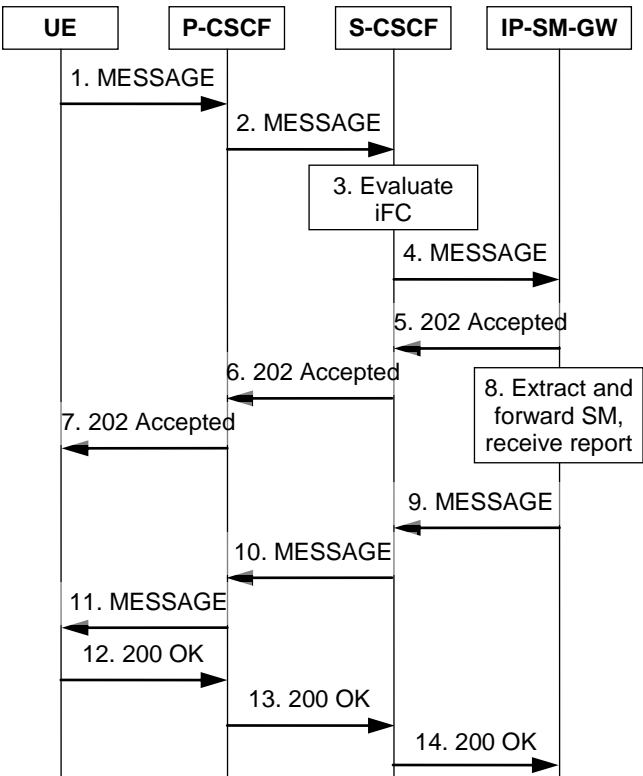


Figure B.5-1: UE originated SM submit procedure over IP signalling

Figure B.5-1 shows a successful UE originated SM over IP submission. For simplicity it is assumed that IP-SM-GW has direct access to SC. The details of the signalling flows are as follows:

1. MESSAGE request (UE to P-CSCF) - see example in table B.5-1

This request includes a vnd.3gpp.sms payload that includes the short message and routing information for the IP-SM-GW to forward the short message.

Table B.5-1: MESSAGE request (UE to P-CSCF)

```
MESSAGE sip:sc.home1.net SIP/2.0
Via: SIP/2.0/UDP [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 70
Route: <sip:pcscf1.visited1.net:7531;lr;comp=sigcomp>, <sip:orig@scscf1.home1.net;lr>
P-Preferred-Identity: "John Doe" <sip:user1_public1@home1.net>
From: <sip:user1_public1@home1.net>; tag=171828
To: <sip:sc.home1.net.net>
Call-ID: cb03a0s09a2sdfg1kj490333
Cseq: 666 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

Request-URI: PSI of the SC of user1_public1@home1.net.

The payload includes an RP-DATA message (see 3GPP TS 24.011 [8]). It includes:

- Address of the originating UE: this field includes the length indicator only;
- Address of the destination SC, which is configured in the UE; and
- RP-User-Data (see 3GPP TS 24.011 [8]), which includes SMS-SUBMIT (see 3GPP TS 23.040 [3]) as type indicator.

2. MESSAGE request (P-CSCF to S-CSCF) - see example in table B.5-2

Table B.5-2: MESSAGE request (P-CSCF to S-CSCF)

```
MESSAGE sip:sc.home1.net SIP/2.0
Via: SIP/2.0/UDP pcscf1.visited1.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 69
Route: <sip:orig@scscf1.home1.net;lr>
P-Asserted-Identity: "John Doe" <sip:user1_public1@home1.net>
From: <sip:user1_public1@home1.net>; tag=171828
To: <sip:sc.home1.net>
Call-ID: cb03a0s09a2sdfg1kj490333
Cseq: 666 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

3. Initial filter criteria

The S-CSCF analyses the incoming request against the initial filter criteria and decides to send the SIP MESSAGE request to the IP-SM-GW.

4. MESSAGE request (S-CSCF to IP-SM-GW) - see example in table B.5-3

Table B.5-3: MESSAGE request (S-CSCF to IP-SM-GW)

```
MESSAGE sip:sc.home1.net SIP/2.0
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP
    pcscf1.visited1.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 68
Route: <sip:ipsmgw.home1.net;lr>, <sip:cb03a0s09a2sdfg1kj490333@scscf1.home1.net;lr>
P-Asserted-Identity: "John Doe" <sip:user1_public1@home1.net>
P-Asserted-Identity: tel:+12125551111
From: <sip:user1_public1@home1.net>; tag=171828
To: <sip:sc.home1.net>
Call-ID: cb03a0s09a2sdfg1kj490333
Cseq: 666 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

5. 202 (Accepted) response (IP-SM-GW to S-CSCF) - see example in table B.5-4

Table B.5-4: 202 (Accepted) response (IP-SM-GW to S-CSCF)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP
    pcscf1.visited1.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

6. 202 (Accepted) response (S-CSCF to P-CSCF) - see example in table B.5-5

Table B.5-5: 202 (Accepted) response (S-CSCF to P-CSCF)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP pcscf1.visited1.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

7. 202 (Accepted) response (P-CSCF to UE) - see example in table B.5-6

Table B.5-6: 202 (Accepted) response (P-CSCF to UE)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

8. Extracting and forwarding the short message, waiting and processing report

The IP-SM-GW forwards the short message TPDU (SMS-SUBMIT) to the SC. The SC returns a submit report which includes SMS-SUBMIT-REPORT as type indicator.

9. MESSAGE request (IP-SM-GW to S-CSCF) - see example in table B.5-7

This request includes a vnd.3gpp.sms payload that includes the short message submission report and routing information for the IP-SM-GW to forward the submission report.

Table B.5-7: MESSAGE request (IP-SM-GW to S-CSCF)

```
MESSAGE sip:user1_public1@home1.net SIP/2.0
Via: SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
Max-Forwards: 70
Route: <sip:scscf1.home1.net;lr>
From: <sip:ipsmgw.home1.net>; tag=583558
To: <sip:user1_public1@home1.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Asserted-Identity: <sip:ipsmgw.home1.net>
In-Reply-to: cb03a0s09a2sdfg1kj490333
Request-Disposition: fork, parallel
Accept-Contact: *,+g.3gpp.smsip;require;explicit
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

Request-URI: Public user identity receiving the submission report.

The payload includes an RP-ACK message (see 3GPP TS 24.011 [8]). It includes RP-User-Data (see 3GPP TS 24.011 [8]), which includes SMS-SUBMIT-REPORT (see 3GPP TS 23.040 [3]) as type indicator.

10. MESSAGE request (S-CSCF to P-CSCF) - see example in table B.5-8

Table B.5-8: MESSAGE request (S-CSCF to P-CSCF)

```
MESSAGE sip:[5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp SIP/2.0
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home1.net;
    branch=z9hG4bK876ffa3
Max-Forwards: 69
Route: <sip:pcscf1.visited1.net:7531;lr;comp=sigcomp>
From: <sip:ipsmgw.home1.net>; tag=583558
To: <sip:user1_public1@home1.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
```

```
P-Asserted-Identity: <sip:ipsmgw.home1.net>
P-Called-Party-ID: <sip:user1_public1@home1.net>
In-Reply-to: cb03a0s09a2sdfg1kj490333
Request-Disposition: fork, parallel
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

11. MESSAGE request (P-CSCF to UE) - see example in table B.5-9

Table B.5-9: MESSAGE request (P-CSCF to UE)

```
MESSAGE sip:[5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp SIP/2.0
Via: SIP/2.0/UDP pcscf1.visited1.net;branch=z9hG4bK2524fd2, SIP/2.0/UDP
      scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
Max-Forwards: 68
From: <sip:ipsmgw.home1.net>; tag=583558
To: <sip:user1_public1@home1.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Called-Party-ID: <sip:user1_public1@home1.net>
In-Reply-to: cb03a0s09a2sdfg1kj490333
Request-Disposition: fork, parallel
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

12. 200 (OK) response (UE to P-CSCF) - see example in table B.5-10

Table B.5-10: 200 (OK) response (UE to P-CSCF)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP pcscf1.visited1.net;branch=z9hG4bK2524fd2, SIP/2.0/UDP
      scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```

13. 200 (OK) response (P-CSCF to S-CSCF) - see example in table B.5-11

Table B.5-11: 200 (OK) response (P-CSCF to S-CSCF)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP scscf1.home1.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home1.net;
      branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```

14. 200 (OK) response (S-CSCF to IP-SM-GW) - see example in table B.5-12

Table B.5-12: 200 (OK) response (S-CSCF to IP-SM-GW)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```

B.6 Signalling flows demonstrating successful UE terminated SM deliver procedure over IP (including delivery report)

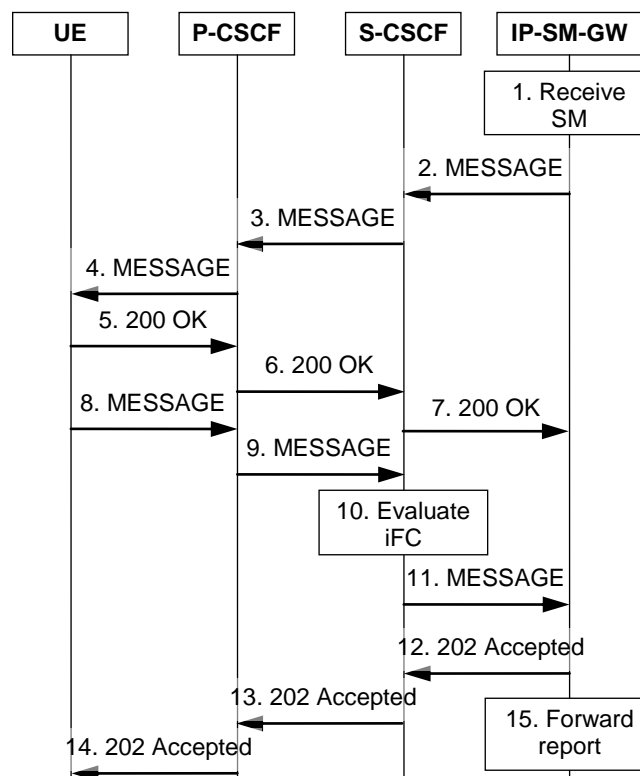


Figure B.6-1: UE originated SM deliver procedure over IP signalling

It is assumed that "sip:user2_public2@home2.net" associated with MSISDN=11111111 is registered at ipsmgw.home2.net using an SMS capable UE.

Figure B.6-1 shows a successful UE terminated SM over IP delivery. The details of the signalling flows are as follows:

1. Receiving SM from SC

The IP-SM-GW receives a short message from SC (sc.home1.net) which includes SMS-DELIVER as type indicator and MSISDN=11111111 as destination UE.

2. MESSAGE request (IP-SM-GW to S-CSCF) - see example in table B.6-1

This request includes a vnd.3gpp.sms payload that includes the short message and routing information for the S-CSCF to forward the short message.

Table B.6-1: MESSAGE request (IP-SM-GW to S-CSCF)

```

MESSAGE sip:user2_public2@home2.net SIP/2.0
Via: SIP/2.0/UDP ipsmgw.home2.net; branch=z9hG4bK876ffa3
Max-Forwards: 70
Route: <sip:scscf1.home2.net;lr>
From: <sip:ipsmgw.home2.net>; tag=583558
To: <sip:user2_public2@home2.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Asserted-Identity: sip:ipsmgw.home2.net
Request-Disposition: no-fork
Accept-Contact: *,+g.3gpp.smsip;require;explicit
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
  
```

Request-URI: Public user identity receiving the delivery report.

The payload includes the RP-DATA message (see 3GPP TS 24.011 [8]). Its RP-User-Data information element includes a TPDU of type SMS-DELIVER.

3. MESSAGE request (S-CSCF to P-CSCF) - see example in table B.6-2

S-CSCF performs the caller preferences to callee capabilities matching and builds the Request-URI with the selected contact.

Table B.6-2: MESSAGE request (S-CSCF to P-CSCF)

```
MESSAGE sip:[5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp SIP/2.0
Via: SIP/2.0/UDP scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home2.net;
    branch=z9hG4bK876ffa3
Max-Forwards: 69
Route: <sip:pcscf2.visited2.net:7531;lr;comp=sigcomp>
From: <sip:ipsmgw.home2.net>; tag=583558
To: <sip:user2_public2@home2.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Asserted-Identity: sip:ipsmgw.home2.net
P-Called-Party-ID: <sip:user2_public2@home2.net>
Request-Disposition: no-fork
Accept-Contact: *,+g.3gpp.smsip;require;explicit
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

Request-URI: SMS capable contact of the public user identity.

4. MESSAGE request (P-CSCF to UE) - see example in table B.6-3

Table B.6-3: MESSAGE request (P-CSCF to UE)

```
MESSAGE sip:[5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp SIP/2.0
Via: SIP/2.0/UDP pcscf2.visited2.net;branch=z9hG4bK2524fd2, SIP/2.0/UDP
    scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home2.net; branch=z9hG4bK876ffa3
Max-Forwards: 68
From: <sip:ipsmgw.home2.net>; tag=583558
To: <sip:user2_public2@home2.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Called-Party-ID: <sip:user2_public2@home2.net>
Request-Disposition: no-fork
Accept-Contact: *,+g.3gpp.smsip;require;explicit
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

5. 200 (OK) response (UE to P-CSCF) - see example in table B.6-4

Table B.6-4: 200 (OK) response (UE to P-S-CSCF)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP pcscf2.visited2.net;branch=z9hG4bK2524fd2, SIP/2.0/UDP
    scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home2.net; branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```


6. 200 (OK) response (P-CSCF to S-CSCF) - see example in table B.6-5

Table B.6-5: 200 (OK) response (P-CSCF to S-CSCF)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP ipsmgw.home2.net;
    branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```

7. 200 (OK) response (S-CSCF to IP-SM-GW) - see example in table B.6-6

Table B.6-6: 200 (OK) response (S-CSCF to IP-SM-GW)

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP ipsmgw.home2.net; branch=z9hG4bK876ffa3
From:
To:
Call-ID:
Cseq:
```

8. MESSAGE request (UE to P-CSCF) - see example in table B.6-7

This request includes a vnd.3gpp.sms payload that includes the SMS-DELIVER-REPORT and routing information for the IP-SM-GW to forward the delivery report.

Table B.6-7: MESSAGE request (UE to P-CSCF)

```
MESSAGE sip:ipsmgw.home2.net SIP/2.0
Via: SIP/2.0/UDP [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 70
Route: <sip:pcscf2.visited2.net:7531;lr;comp=sigcomp>, <sip:orig@scscf2.home2.net;lr>
P-Preferred-Identity: "John Doe" <sip:user2_public2@home2.net>
From: <sip:user2_public2@home2.net>; tag=171828
To: <sip:ipsmgw.home2.net>
Call-ID: cb03a0s09a2sdfg1kj490333
In-Reply-to: fy365h43g3f36f3f6fth74g3
Cseq: 999 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

Request-URI: The IP-SM-GW that sent the SIP MESSAGE request including the delivered short message to the SM-over-IP receiver.

The payload includes an RP-ACK message (see 3GPP TS 24.011 [8]). Its RP-User-Data information element includes a TPDU of type SMS-DELIVER-REPORT.

9. MESSAGE request (P-CSCF to S-CSCF) - see example in table B.6-8

Table B.6-8: MESSAGE request (P-CSCF to S-CSCF)

```
MESSAGE sip:ipsmgw.home2.net SIP/2.0
Via: SIP/2.0/UDP pcscf2.visited2.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 69
Route: <sip:orig@scscf2.home2.net;lr>
P-Asserted-Identity: "John Doe" <sip:user2_public2@home1.net>
From: <sip:user2_public2@home2.net>; tag=171828
To: <sip:ipsmgw.home2.net>
Call-ID: cb03a0s09a2sdfg1kj490333
In-Reply-to: fy365h43g3f36f3f6fth74g3
Cseq: 999 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

10. Initial filter criteria

The S-CSCF analyses the incoming request against the initial filter criteria and decides to send the SIP MESSAGE request to the IP-SM-GW.

11. MESSAGE request (S-CSCF to IP-SM-GW) - see example in table B.6-9

Table B.6-9: MESSAGE request (S-CSCF to IP-SM-GW)

```
MESSAGE sip:ipsmgw.home2.net SIP/2.0
Via: SIP/2.0/UDP scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP
    pcscf2.visited2.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
Max-Forwards: 68
Route: <sip:ipsmgw.home2.net;lr>, <sip:cb03a0s09a2sdfg1kj490333@scscf2.home2.net;lr>
P-Asserted-Identity: "John Doe" <sip:user2_public2@home2.net>
P-Asserted-Identity: tel:+12125552222
From: <sip:user2_public2@home2.net>; tag=171828
To: <sip:ipsmgw.home2.net>
Call-ID: cb03a0s09a2sdfg1kj490333
In-Reply-to: fy365h43g3f36f3f6fth74g3
Cseq: 666 MESSAGE
Content-Type: application/vnd.3gpp.sms
Content-Length: (...)
```

12. 202 (Accepted) response (IP-SM-GW to S-CSCF) - see example in table B.6-10

Table B.6-10: 202 (Accepted) response (IP-SM-GW to S-CSCF)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP scscf2.home2.net;branch=z9hG4bK344a651, SIP/2.0/UDP
    pcscf2.visited2.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

13. 202 (Accepted) response (S-CSCF to P-CSCF) - see example in table B.6-11

Table B.6-11: 202 (Accepted) response (S-CSCF to P-CSCF)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP pcscf2.visited2.net;branch=z9hG4bK240f341, SIP/2.0/UDP
    [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

14. 202 (Accepted) response (P-CSCF to UE) - see example in table B.6-12

Table B.6-12: 202 (Accepted) response (P-CSCF to UE)

```
SIP/2.0 202 Accepted
Via: SIP/2.0/UDP [5555::aaa:bbb:ccc:ddd]:1357;comp=sigcomp; branch=z9hG4bKnashds7
From:
To:
Call-ID:
Cseq:
```

15. Extracting and forwarding the delivery report

The IP-SM-GW forwards the short message TPDU (SMS-DELIVER-REPORT) to the SC.

B.7 Signalling flow demonstrating successful procedures for SM over IP in case terminating side is addressed with SIP URI

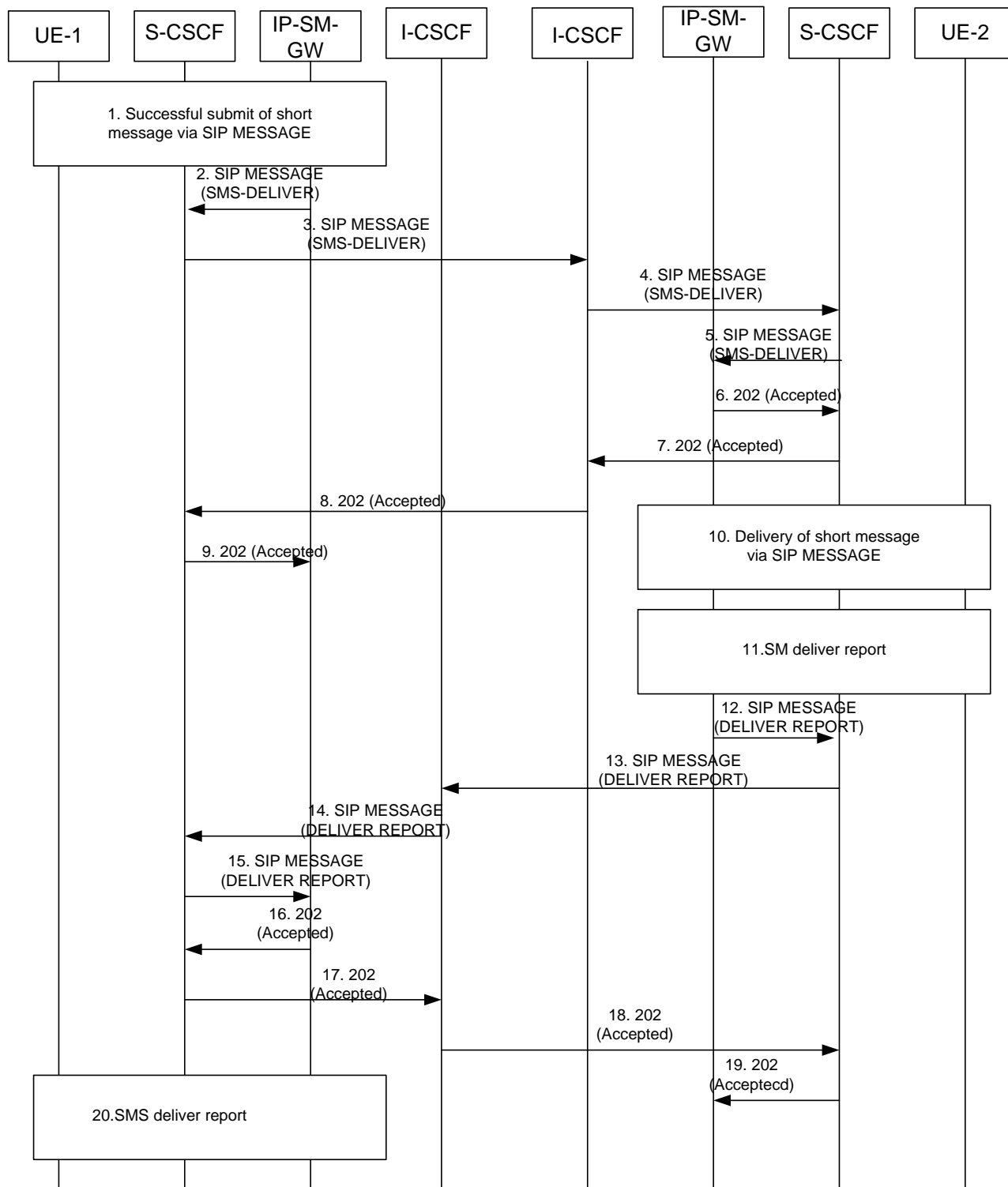


Figure B.7-1: SM over IP procedures when terminating UE is addressed with SIP URI

It is assumed that "sip:user1_public1@home1.net" is registered at ipsmgw.home1.net using an SMS capable UE and addresses UE 2 with [sip:user2_public2@home2.net](#). "sip:user2_public2@home2.net" is registered at ipsmgw.home2.net using an SMS capable UE.

1. Receiving SM from UE

The IP-SM-GW receives a SIP MESSAGE request containing a short message from UE-1 which includes SMS-SUBMIT as type indicator and TP-DA field set to the dummy MSISDN.

The destination address of the short message is include in a XML body include in the received SIP MESSAGE request. The IP-SM-GW will include this URI in the Request-URI of the outgoing SIP MESSAGE request

- The IP-SM-GW analysis of the destination address and local policy indicates that the terminating side can be addressed with SIP URI for delivery of SM.
- The IP-SM-GW constructs a RP DATA with SMS-DELIVER as type indicator. The TP-OA field is set to the dummy MSISDN value as defined in 3GPP TS 23.003 [22]
- The IP-SM-GW will include the SIP URI of user1 received in the P-Asserted-Identity header field in the short-message-info XML body that is included in the outgoing SIP MESSAGE request.

2. MESSAGE request (IP-SM-GW to S-CSCF) - see example in table B.7-1

This request includes a vnd.3gpp.sms payload that includes the short message and routing information for the S-CSCF to forward the short message.

Table B.7-1: MESSAGE request (IP-SM-GW to S-CSCF)

```
MESSAGE sip:user2_public2@home2.net SIP/2.0
Via: SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
Feature-Caps: *,+g.3gpp.smsip-msisdn-less
Max-Forwards: 70
Route: <sip:scscf1.home1.net;lr>
From: <sip:ipsmgw.home1.net>; tag=583558
To: <sip:user2_public2@home2.net>
Call-ID: cb03a0s09a2sdfglkj490333
Cseq: 888 MESSAGE
P-Asserted-Identity: sip:ipsmgw.home1.net
Request-Disposition: no-fork
Content-Type: multipart/mixed; boundary=outer
Content-Length: (...)

--outer
Content-Type: application/vnd.3gpp.sms

--outer
Content-Type: application/vnd.3gpp.sms+xml

<?xml version="1.0" encoding="UTF-8"?>
<short-message-info>
  <From>sip:user1_public1@home1.net</From>
</short-message-info>

--outer--
```

Request-URI: Public user identity of the receiving UE.

P-Asserted-ID: value of the originating side IP-SM-GW.

Feature-Caps: g.3gpp.smsip-msisdn-less indicator indicating that an IP-SM-GW supporting MISDSN less operation is in the signalling chain for the SIP MESSAGE.

3. MESSAGE request (S-CSCF to terminating side)

- The S-CSCF serving ipsmgw.home1.net forwards the SIP MESSAGE request based on the R-URI.

4. MESSAGE request (I-CSCF to S-CSCF)

- The I-CSCF forwards the SIP MESSAGE request to the S-CSCF that hosts the subscriber that is indicated in the R-URI as per normal IMS procedures.

5. MESSAGE request (S-CSCF to IP-SM-GW)

- The S-CSCF serving ipsmgw.home1.net forwards the SIP MESSAGE request based on iFC to the IP-SM-GW hosting the service for the subscriber.

6. 202 (Accepted) response (IP-SM-GW to S-CSCF)**7. 202 (Accepted) response (S-CSCF to I-CSCF)****8. 202 (Accepted) response (I-CSCF to S-CSCF)****9. 202 (Accepted) response (S-CSCF to IP-SM-GW)****10. Delivery of Short Message via SIP MESSAGE**

- The IP-SM-GW delivers the SIP MESSAGE to the user that is indicted in the R-URI of the incoming SIP MESSAGE.

11. Short Message Deliver Report

- The IP-SM-GW receives a SIP MESSAGE request containing a DELIVER REPORT to a previously sent short message.

12. MESSAGE request (IP-SM-GW to S-CSCF) - see example in table B.7-2

The SIP MESSAGE request includes a vnd.3gpp.sms payload that includes the DELIVER REPORT and routing information for the S-CSCF to forward the short message.

- The destination address for the delivery report is included in a XML body include in the received SIP MESSAGE request. The IP-SM-GW will include this URI in the Request-URI of the outgoing SIP MESSAGE request
- The IP-SM-GW will include the SIP URI of user2 received in the P-Asserted-Identity header field in the short-message-info XML body that is included in the outgoing SIP MESSAGE request.

Table B.7-2: MESSAGE request (IP-SM-GW to S-CSCF)

```
MESSAGE sip:user1_public1@home1.net SIP/2.0
Via: SIP/2.0/UDP ipsmgw.home1.net; branch=z9hG4bK876ffa3
Feature-Caps: *;+g.3gpp.msip-msisdn-less
Max-Forwards: 70
Route: <sip:scscf2.home2.net;lr>
From: <sip:ipsmgw.home2.net >; tag=583558
To: <sip:user1_public1@home1.net>
Call-ID: fy365h43g3f36f3f6fth74g3
Cseq: 888 MESSAGE
P-Asserted-Identity: sip:ipsmgw.home2.net
In-Reply-to: cb03a0s09a2sdfg1kj490333
Content-Type: multipart/mixed; boundary=outer
Content-Length: (...)

--outer
Content-Type: application/vnd.3gpp.sms

--outer
Content-Type: application/vnd.3gpp.sms+xml

<?xml version="1.0" encoding="UTF-8"?>
<short-message-info>
  <From>sip:user2_public1@home2.net</From>
</short-message-info>

--outer-
```

Request-URI: Public user identity of the receiving UE.

P-Asserted-ID: value of the terminating side IP-SM-GW.

Feature-Caps: g. 3gpp.smsip-msisdn-less indicator indicating that an IP-SM-GW supporting MISDSN less operation is in the signalling chain for the SIP MESSAGE.

13. MESSAGE request (S-CSCF to terminating side)

- The S-CSCF serving ipsmgw.home1.net.net forwards the SIP MESSAGE request based on the R-URI.

14. MESSAGE request (I-CSCF to S-CSCF)

- The I-CSCF forwards the SIP MESSAGE request to the S-CSCF that hosts the subscriber that is indicated in the R-URI as per normal IMS procedures.

15. MESSAGE request (S-CSCF to IP-SM-GW)

- The S-CSCF serving user1_public1@home1.net forwards the SIP MESSAGE request based on iFC to the IP-SM-GW hosting the service for the subscriber.

16. 202 (Accepted) response (IP-SM-GW to S-CSCF)

17. 202 (Accepted) response (S-CSCF to I-CSCF)

18. 202 (Accepted) response (I-CSCF to S-CSCF)

19. 202 (Accepted) response (S-CSCF to IP-SM-GW)

12. Short Message Deliver Report

- The IP-SM-GW sends a SIP MESSAGE containing the DELIVER REPORT to the user indicated in the Request-URI received in message 15.

Annex C (normative): XML schemas

C.1 Short-message-info XML schema

C.1.1 General

This subclause defines XML schema and MIME type related to SMS over IP MSISDN less operation.

C.1.2 application/vnd.3gpp.sms+xml

The MIME type is used to carry information related to the MSISDN less operation for SMS over IP. It is coded as an XML document and contains one or more of the following information:

- To
- From
- Correlation-ID
- Delivery-Outcome

NOTE: The information elements cannot be present twice in the XML body.

C.1.3 Data semantics

The <short-message-info> element is the root element of the XML document and contains one or more of the following elements:

- 1) a <To> element which contains the identity of the receiver of the short message, it is coded as a SIP URI;
- 2) a <From> element which contains the identity of the sender of the short message, it is coded as a SIP URI;
- 3) a <Correlation-ID> element which contains the Correlation Identifier to be used for interworking with the short message service center. The <Correlation-ID> consists of:
 - a) a <Sender> element containing the SIP URI of the sender of the short message that needs to be delivered via the short message centre, it is coded as SIP URI;
 - b) a <Receiver> element containing the SIP URI of the receiver of the short message that needs to be delivered via the short message centre, it is coded as SIP URI; and
 - c) a <HLR-ID> element containing the identifier of the HLR to be used for short message delivery reattempts. It is coded as string;
- 4) a <Delivery-Outcome> element which indicates the status for short message transfer, it is coded as a string. In the present document, it can only have the values specified in table C.1.3-1 for Delivery-Outcome-Values. The usage and semantics of the error indications is described in 3GPP TS 23.040 [3]

Table C.1.3-1: ABNF syntax of values of the <state-info> element

| |
|--|
| Delivery-Outcome-Values = SuccessfullTransfer-value / AbsentSubscriber-value / UserBusyForMTSMS-value / IllegalUser-value / IllegalEquipment-value -value / SMDeliveryFailure-value / ServiceBarred-value / TeleserviceNotProvisioned-value SuccessfullTransfer-value = %x53.75.63.63.65.73.73.66.75.6c.6c.54.72.61.6e.73.66.65.72 ; "SuccessfullTransfer" AbsentSubscriber-value = %x41.62.73.65.6e.74.53.75.62.73.63.72.69.62.65.72 ; "AbsentSubscriber" UserBusyForMTSMS-value = %x55.73.65.72.42.75.73.79.46.6f.72.4d.54.53.4d.53 ; "UserBusyForMTSMS" |
|--|

```

IllegalUser-value = %x49.6c.6c.65.67.61.6c.55.73.65.72; "IllegalUser"
IllegalEquipment-value = %x49.6c.6c.65.67.61.6c.45.71.75.69.70.6d.65.6e.74 ; "IllegalEquipment"
SMDeliveryFailure = %x53.4d.44.65.6c.69.76.65.72.79.46.61.69.6c.75.72.65 ; "SMDeliveryFailure"
ServiceBarred-value = %x53.65.72.76.69.63.65.42.61.72.72.65.64 ; "ServiceBarred"
TeleserviceNotProvisioned-value
= %x54.65.6c.65.73.65.72.76.69.63.65.4e.6f.74.50.72.6f.76.69.73.69.6f.6e.65.64 ;
"TeleserviceNotProvisioned"

```

<anyExt> element contains optional elements defined by future version of this document.

An entity receiving the XML body ignores any unknown XML element and any unknown XML attribute.

C.1.4 XML schema

Implementations in compliance with the present document shall implement the XML schema defined below.

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified"
  attributeFormDefault="unqualified">
  <xs:annotation>
    <xs:documentation>
      Info for MSISDN less short message service
    </xs:documentation>
  </xs:annotation>

  <xs:element name="short-message-info" type="Tshort-message-info"/>

  <xs:complexType name="Tshort-message-info">
    <xs:sequence>
      <xs:element name="To" type="xs:anyURI" minOccurs="0" maxOccurs="1"/>
      <xs:element name="From" type="xs:anyURI" minOccurs="0" maxOccurs="1"/>
      <xs:element name="Correlation-ID" type="Tcorrelation-id" minOccurs="0" maxOccurs="1"/>
      <xs:element name="Delivery-Outcome" type="xs:string" minOccurs="0" maxOccurs="1"/>
      <xs:element name="anyExt" type="anyExtType" minOccurs="0" />
      <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:anyAttribute namespace="##any" processContents="lax"/>
  </xs:complexType>

  <xs:complexType name="Tcorrelation-id">
    <xs:sequence>
      <xs:element name="Sender" type="xs:anyURI" minOccurs="1" maxOccurs="1"/>
      <xs:element name="Receiver" type="xs:anyURI" minOccurs="1" maxOccurs="1"/>
      <xs:element name="HLR-ID" type="xs:string" minOccurs="1" maxOccurs="1"/>
      <xs:element name="anyExt" type="anyExtType" minOccurs="0" />
      <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
    <xs:anyAttribute namespace="##any" processContents="lax"/>
  </xs:complexType>

  <xs:complexType name="anyExtType">
    <xs:sequence>
      <xs:any namespace="##any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>

</xs:schema>

```

C.1.5 IANA registration

NOTE: RFC 4288 [26], subclause 9, states the process that applies in case of changes to the registry of media types. Any changes to the format or to subclause 5.1.3.5 after the registration with IANA would invoke this procedure.

C.1.5.1 Name

C.1.5.2 Email**C.1.5.3 MIME media type name**

Application

C.1.5.4 MIME subtype name

Vendor Tree – vnd.3gpp.sms+xml

C.1.5.5 Required parameters

None

C.1.5.6 Optional parameters

None

C.1.5.7 Encoding considerations

Binary.

C.1.5.8 Security considerations

Same as general security considerations for application/xml as specified in section 10 of IETF RFC 3023 [21]. In addition, this content type provides a format for exchanging information in SIP, so the security considerations from IETF RFC 3261 [19] apply.

The information transported in this MIME media type does not include active or executable content.

Mechanisms for privacy and integrity protection of protocol parameters exist. Those mechanisms as well as authentication and further security mechanisms are described in 3GPP TS24.229 [10].

C.1.5.9 Interoperability considerations

The MIME type allows interoperability of short message information between mobile networks and other systems.

C.1.5.10 Published specification

3GPP TS 24.341

(<http://www.3gpp.org/ftp/Specs/html-info/24341.htm>)

C.1.5.11 Applications which use this media

n/a

C.1.5.12 Applications that manipulate MIME typed objects (messaging, download etc.)

n/a

C.1.5.13 Additional information

1. Magic number(s): n/a

2. File extension(s): n/a

3. Macintosh file type code: n/a

4. Object Identifiers: n/a

C.1.5.14 **Intended usage**

Common.

Short Message Service is supported in mobile networks. The registration of the associated MIME type allows MSISDN less operation of the Short Message Service.

C.1.5.8.15 **Other information/general comment**

n/a

C.1.5.8.16 **Person to contact for further information**

1. Name: TBD

2. Email: TBD

3. Author/Change controller: TBD

Annex D (normative): Feature-capability indicators defined within the current document

D.1 General

This subclause describes the feature-capability indicators definitions, according to RFC 6809 [xxx], that are applicable for the realisation of SMS over IP.

D.2 Definition of feature-capability indicator g.3gpp.smsip-msisdn-less

Editor's note: [WID SMSMI-CT, CR#0052] this feature tag is to be registered with IANA after the freezing of Rel-12.

Feature-capability indicator name: g.3gpp.smsip-msisdn-less.

Summary of the feature indicated by this feature-capability indicator:

This feature-capability indicator, when included in a Feature-Caps header field as specified in RFC 6809 [24] in a SIP MESSAGE request, indicates the support of the MSISDN-less operation for SMS over IP.

Feature-capability indicator specification reference: 3GPP TS 24.341: "Support of SMS over IP networks, stage 3"

Values appropriate for use with this feature-capability indicator:

None.

The feature-capability indicator is intended primarily for use in the following applications, protocols, services, or negotiation mechanisms: This feature-capability indicator is used to indicate support of the MSISDN less operation of SMS over IP and that the MESSAGE request has traversed an IP-SM-GW.

Examples of typical use: Indicating capability to support MSISDN less operation for a SIP MESSAGE request including a "vnd.3gpp.sms" payload.

Security Considerations: Security considerations for this feature-capability indicator are discussed in clause 9 of RFC 6809 [24].

Annex E (normative): IP-Connectivity Access Network specific concepts when using EPS to access IM CN subsystem

E.1 Scope

The present annex defines IP-CAN specific requirements for SMS services in the IP Multimedia (IM) Core Network (CN) subsystem, where the IP-CAN is Evolved Packet System (EPS).

E.2 EPS aspects when connected to the IM CN subsystem

E.2.1 Procedures at the UE

E.2.1.1 Smart Congestion Mitigation

The following information is provided to the non-access stratum layer:

- MO-SMSoIP-attempt-started; and
- MO-SMSoIP-attempt-ended.

Upon request from the user to submit an originating SM over IP as described in subclause 5.3.1 and no other originating SM over IP as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-started indication to the non-access stratum and continue with SM over IP submission as described in subclause 5.3.1.

When an originating SM over IP submission is completed and no other originating SM over IP as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-ended indication to the non-access stratum.

NOTE: If the UE supports other 3GPP specific mechanisms for communicating with the non-access stratum protocol implementation, e.g. DHCP discovery via PCO, then the UE is expected to support the transfer of information elements needed for the smart congestion mitigation enforcement.

E.3 Application usage of SIP

E.3.1 Procedures at the UE

E.3.1.1 3GPP PS data off

E.3.1.1.1 General

The UE may support the 3GPP PS data off.

If the UE supports the 3GPP PS data off:

- a) the UE can be configured with up to two indications whether SMS over IP is a 3GPP PS data off exempt service, one indication is valid for the UE camping in the HPLMN or the EHPLMN, and the other indication is valid for any VPLMN the UE is roaming in; and

- b) the UE may support being configured with the indication whether SMS over IP is a 3GPP PS data off exempt service using one or more of the following methods:

- 1) the EF_{3GPPPSDATAOFF} file described in 3GPP TS 31.102 [19];
- 2) the SMSoIP_exempt node of 3GPP TS 24.167 [8A], if the UE is in the HPLMN or the EHPLMN; and
- 3) the SMSoIP_roaming_exempt node of 3GPP TS 24.167 [8A], if the UE is in the VPLMN.

If the UE is configured with both the SMSoIP_exempt node of 3GPP TS 24.167 [8A] and the EF_{3GPPPSDATAOFF} file described in 3GPP TS 31.102 [19], then the EF_{3GPPPSDATAOFF} file described in 3GPP TS 31.102 [19] shall take precedence.

If the UE is configured with both the SMSoIP_roaming_exempt node of 3GPP TS 24.167 [8A] and the EF_{3GPPPSDATAOFF} file described in 3GPP TS 31.102 [19], then the EF_{3GPPPSDATAOFF} file described in 3GPP TS 31.102 [19] shall take precedence.

When the UE is only configured with the indication valid for the UE camping in the HPLMN or the EHPLMN, the UE shall use this indication also when the UE is in the VPLMN.

E.3.1.1.2 Enforcement

If the 3GPP PS data off status is "active" and the UE is not configured with indication that SMS over IP is a 3GPP PS data off exempt service:

- a) the UE shall not invoke the procedures in subclause 5.3.1 or subclause 5.3.2 with a UE's contact address containing an IP address associated with an EPS IP-CAN bearer; and

NOTE 1: If the UE doesn't invoke the procedures in subclause 5.3.1 or subclause 5.3.2 due to conditions as described in bullet a, the UE can attempt a request for an equivalent service via the CS domain – if supported and available – and, otherwise, the UE can attempt the service according to 3GPP TS 24.229 [13] and as described in subclause 5.3.1 or subclause 5.3.2 via non-3GPP access.

- b) if the UE registered a binding with IM CN subsystem, such that:

- 1) the contact address of the binding contains an IP address associated with an EPS IP-CAN bearer; and
- 2) the Contact header field of the binding contains the g.3gpp.smsip media feature tag, the g.3gpp.smsip-msisdn-less media feature tag or both;

then:

- 1) the UE shall de-register the binding of a UE's contact address containing an IP address associated with an EPS IP-CAN bearer from IM CN subsystem according to 3GPP TS 24.229 [10]; or
- 2) the UE shall re-register the binding of a UE's contact address containing an IP address associated with an EPS IP-CAN bearer with IM CN subsystem with a Contact header field not including the g.3gpp.smsip media feature tag and the g.3gpp.smsip-msisdn-less media feature tag according to 3GPP TS 24.229 [10].

NOTE 2: Which of the bullets 1), and 2) the UE performs is influenced by other 3GPP PS data off exempt services.

E.3.2 Procedures at the AS

E.3.2.1 3GPP PS data off

An AS supporting the 3GPP PS data off can be configured with up to two indications whether SMS over IP is a 3GPP PS Data Off exempt service, one indication is valid for non-roaming users, and the other indication is valid for users roaming in the various VPLMNs with whom roaming agreements exist.

When the AS is only configured with the indication whether SMS over IP is a 3GPP PS Data Off exempt service for the UE camping in the HPLMN or the EHPLMN, the AS shall use this indication also when the UE is in the VPLMN.

If the AS supports the 3GPP PS data off, the AS shall support obtaining registration state information from a received third-party SIP REGISTER request including information contained in the body of the third-party SIP REGISTER request as specified in 3GPP TS 24.229 [10], of the served UE.

If a received registration state information of the served UE indicates a Contact header field with the g.3gpp.ps-data-off media feature tag with the "active" value, and the AS is not configured with indication that SMS over IP is a 3GPP PS data off exempt service for the served UE then the AS shall not invoke the procedures in subclause 5.3.3 which send a SIP request towards a contact address (or via a registration flow) of the served UE such that the contact address (or the registration flow) was registered or re-registered by a SIP REGISTER request with a P-Access-Network-Info header field with "3GPP-GERAN", "3GPP-UTRAN", "3GPP-E-UTRAN" or "3GPP-NR" access class and with the "network-provided" header field parameter.

Annex F (normative): IP-Connectivity Access Network specific concepts when using GPRS to access IM CN subsystem

F.1 Scope

The UE may support the present annex.

The present annex defines IP-CAN specific requirements for SMS services in the IP Multimedia (IM) Core Network (CN) subsystem, where the IP-CAN is General Packet Radio Service (GPRS) .

F.2 GPRS (lu mode only) aspects when connected to the IM CN subsystem

F.2.1 Procedures at the UE

F.2.1.1 General

The UE may support any of the procedures of subclause F.2.1.

F.2.1.2 Application specific Congestion control for Data Communication (ACDC) procedure

The following information is provided to the non-access stratum layer:

- MO-SMSoIP-attempt-started; and
- MO-SMSoIP-attempt-ended.

Upon request from the user to submit an originating SM over IP as described in subclause 5.3.1 and no other originating SM over IP as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-started indication to the non-access stratum and continue with SM over IP submission as described in subclause 5.3.1.

When an originating SM over IP submission is completed and no other originating SM over IP as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-ended indication to the non-access stratum.

NOTE: If the UE supports other 3GPP specific mechanisms for communicating with the non-access stratum protocol implementation, e.g. DHCP discovery via PCO, then the UE is expected to support the transfer of information elements needed for the application specific congestion control for data communication enforcement.

F.3 Application usage of SIP

F.3.1 Procedures at the UE

F.3.1.1 3GPP PS data off

F.3.1.1.1 General

The requirements in subclause E.3.1.1.1 apply.

F.3.1.1.2 Enforcement

If the 3GPP PS data off status is "active" and the UE is not configured with indication that SMS over IP is a 3GPP PS data off exempt service:

- a) the UE shall not invoke the procedures in subclause 5.3.1 or subclause 5.3.2 with a UE's contact address containing an IP address associated with a GPRS IP-CAN bearer; and

NOTE 1: If the UE doesn't invoke the procedures in subclause 5.3.1 or subclause 5.3.2 due to conditions as described in bullet a, the UE can attempt a request for an equivalent service via the CS domain – if supported and available – and, otherwise, the UE can attempt the service according to 3GPP TS 24.229 [13] and as described in subclause 5.3.1 or subclause 5.3.2 via non-3GPP access.

- b) if the UE registered a binding with IM CN subsystem, such that:

- 1) the contact address of the binding contains an IP address associated with a GPRS IP-CAN bearer; and
- 2) the Contact header field of the binding contains the g.3gpp.smsip media feature tag, the g.3gpp.smsip-msisdn-less media feature tag or both;

then:

- 1) the UE shall de-register the binding of a UE's contact address containing an IP address associated with a GPRS IP-CAN bearer from IM CN subsystem according to 3GPP TS 24.229 [10]; or
- 2) the UE shall re-register the binding of a UE's contact address containing an IP address associated with a GPRS IP-CAN bearer with IM CN subsystem with a Contact header field not including the g.3gpp.smsip media feature tag and the g.3gpp.smsip-msisdn-less media feature tag according to 3GPP TS 24.229 [10].

NOTE 2: Which of the bullets 1), and 2) the UE performs is influenced by other 3GPP PS data off exempt services.

F.3.2 Procedures at the AS

F.3.2.1 3GPP PS data off

The requirements in subclause E.3.2.1 apply.

Annex G (normative): IP-Connectivity Access Network specific concepts when using 5GS to access IM CN subsystem

G.1 Scope

The present annex defines IP-CAN specific requirements for SMS services in the IP Multimedia (IM) Core Network (CN) subsystem, where the IP-CAN is 5G System (5GS).

G.2 5GS aspects when connected to the IM CN subsystem

G.2.1 Procedures at the UE

G.2.1.1 Unified Access Control

The following information is provided to the non-access stratum:

- MO-SMSoIP-attempt-started;
- MO-SMSoIP-attempt-ended; and
- handover of ongoing SMSoIP from non-3GPP access.

Upon request from the user to submit an originating SM over IP as described in subclause 5.3.1 and no other originating SM over IP as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-started indication to the non-access stratum. The UE shall:

- a) if the barring result is "not-barred", continue with SM over IP submission as described in subclause 5.3.1; and
- b) if the barring result is "barred", reject the SM over IP submission.

When an originating SM over IP submission is completed and no other originating SM over IP submission as described in subclause 5.3.1 exists, the UE sends the MO-SMSoIP-attempt-ended indication to the non-access stratum.

When an ongoing SMS service over IP network is handed over from non-3GPP access to 3GPP access, the UE sends the MO-SMSoIP-attempt-started indication and the handover of ongoing SMSoIP from non-3GPP access indication to the non-access stratum.

G.3 Application usage of SIP

G.3.1 Procedures at the UE

G.3.1.1 3GPP PS data off

G.3.1.1.1 General

The requirements in subclause E.3.1.1.1 apply.

G.3.1.1.2 Enforcement

If the 3GPP PS data off status is "active" and the UE is not configured with indication that SMS over IP is a 3GPP PS data off exempt service:

- a) the UE shall not invoke the procedures in subclause 5.3.1 or subclause 5.3.2 with a UE's contact address containing an IP address associated with an 5GS QoS flow using NG-RAN; and

NOTE 1: If the UE doesn't invoke the procedures in subclause 5.3.1 or subclause 5.3.2 due to conditions as described in bullet a, the UE can attempt a request for an equivalent service via the CS domain – if supported and available – and, otherwise, the UE can attempt the service according to 3GPP TS 24.229 [13] and as described in subclause 5.3.1 or subclause 5.3.2 via non-3GPP access.

- b) if the UE registered a binding with IM CN subsystem, such that:

- 1) the contact address of the binding contains an IP address associated with an 5GS QoS flow using NG-RAN; and
- 2) the Contact header field of the binding contains the g.3gpp.smsip media feature tag, the g.3gpp.smsip-msisdn-less media feature tag or both;

then:

- 1) the UE shall de-register the binding of a UE's contact address containing an IP address associated with an 5GS QoS flow using NG-RAN from IM CN subsystem according to 3GPP TS 24.229 [10]; or
- 2) the UE shall re-register the binding of a UE's contact address containing an IP address associated with an 5GS QoS flow using NG-RAN with IM CN subsystem with a Contact header field not including the g.3gpp.smsip media feature tag and the g.3gpp.smsip-msisdn-less media feature tag according to 3GPP TS 24.229 [10].

NOTE 2: Which of the bullets 1), and 2) the UE performs is influenced by other 3GPP PS data off exempt services.

G.3.2 Procedures at the AS

G.3.2.1 3GPP PS data off

The requirements in subclause E.3.2.1 apply.

Annex H (informative): Change history

| Change history | | | | | | | |
|----------------|-------|-----------|------|-----|--|--------|--------|
| Date | TSG # | TSG Doc. | CR | Rev | Subject/Comment | Old | New |
| 2006-05 | | | | | Initial version | | |
| 2006-05 | | | | | Version 0.1.0 incorporating results of CT1 discussions at CT1 #42. Agreed documents: C1-061049 (revised TS skeleton), C1-061050, C1-061052, C1-061053, and C1-061067. | | |
| 2006-07 | | | | | Version 0.2.0 incorporating results of CT1 discussions at CT1 #42bis. Agreed documents: C1-061140, C1-061329, C1-061330, C1-061333, C1-061334, C1-061335, C1-061353, C1-061354, C1-061359, and C1-061360. | | |
| 2006-09 | | | | | Version 0.3.0 incorporating results of CT1 discussions at CT1 #43. Agreed documents: C1-061429, C1-061431, C1-061546, C1-061567, C1-061635, C1-061787, C1-061788, C1-061789, C1-061790, and C1-061791. | 0.2.0 | 0.3.0 |
| 2006-11 | | | | | Version 0.4.0 incorporating results of CT1 discussions at CT1 #44. Agreed documents: C1-062055, C1-062182, C1-062218, C1-062224, C1-062384, C1-062385, C1-062386, C1-062387, C1-062388, C1-062389, C1-062391, and C1-062432. | 0.3.0 | 0.4.0 |
| 2006-11 | | | | | Version 1.0.0 created by MCC for presentation of the TS to TSG | 0.4.0 | 1.0.0 |
| 2007-02 | | | | | Version 1.1.0 incorporating results of CT1 discussions at CT1 #45. Agreed documents: C1-070331, C1-070334, C1-070335, C1-070338, C1-070543, C1-070545, C1-070546, and C1-070637. | 1.0.0 | 1.1.0 |
| 2007-02 | | | | | Version 2.0.0 created by MCC | 1.1.0 | 2.0.0 |
| 2007-03 | | | | | Version 7.0.0 created by MCC | 2.0.0 | 7.0.0 |
| 2007-06 | CT#36 | CP-070384 | 0017 | 1 | Adding status report procedure, forking related corrections plus editorial changes | 7.0.0 | 7.1.0 |
| 2007-06 | CT#36 | CP-070384 | 0015 | 1 | P-Asserted-Identity corrections | 7.0.0 | 7.1.0 |
| 2007-06 | CT#36 | CP-070384 | 0010 | 1 | IP-SM-GW as Request-URI for delivery report | 7.0.0 | 7.1.0 |
| 2007-06 | CT#36 | CP-070384 | 0008 | 1 | SC as Request-URI for short message submit | 7.0.0 | 7.1.0 |
| 2007-06 | CT#36 | CP-070384 | 0005 | - | Correction of text on media feature tag g.3gpp.smsip | 7.0.0 | 7.1.0 |
| 2007-06 | CT#36 | CP-070384 | 0002 | 1 | Corrections to example flows | 7.0.0 | 7.1.0 |
| 2007-12 | CT#38 | CP-070801 | 0018 | | Feature tag for SMSIP registered by IANA | 7.1.0 | 7.2.0 |
| 2007-12 | CT#38 | CP-070801 | 0019 | 1 | Miscellaneous corrections | 7.1.0 | 7.2.0 |
| 2008-12 | CT#42 | | | | Upgrade to Rel-8 | 7.2.0 | 8.0.0 |
| 2009-03 | CT#43 | CP-090122 | 0022 | 1 | SRI4SM handling in IP-SM-GW | 8.0.0 | 8.1.0 |
| 2009-09 | CT#45 | CP-090655 | 0024 | 2 | Support of operator preference for domain selection of SMS | 8.1.0 | 8.2.0 |
| 2009-12 | CT#46 | CP-090897 | 0028 | 1 | Correction to "Submitting a short message" for a SM-over-IP sender | 8.2.0 | 8.3.0 |
| 2009-12 | CT#46 | CP-090923 | 0026 | 1 | SM termination and deregistration corrections | 8.3.0 | 9.0.0 |
| 2010-06 | CT#48 | CP-100338 | 0032 | 2 | Resolving EN | 9.0.0 | 9.1.0 |
| 2010-12 | CT#50 | CP-100741 | 0034 | 8 | Obtaining the PSI of the SC | 9.1.0 | 9.2.0 |
| 2011-03 | CT#51 | | | | Upgrade to Rel-10 | 9.2.0 | 10.0.0 |
| 2011-09 | CT#53 | CP-110694 | 0035 | 1 | Correcting errors in the B.5 example | 10.0.0 | 11.0.0 |
| 2012-06 | CT#56 | CP-120307 | 0041 | | IP-SM-GW registration/de-registration | 11.0.0 | 11.1.0 |
| 2012-06 | CT#56 | CP-120307 | 0042 | 2 | Correlation of SIP MESSAGE in UE terminated SM deliver procedure over IP | 11.0.0 | 11.1.0 |
| 2012-12 | CT#58 | CP-120803 | 0043 | 3 | Terminating SMS to MSISDNless UE | 11.1.0 | 11.2.0 |
| 2012-12 | CT#58 | CP-120793 | 0044 | 1 | User error parameter in delivery report to SC | 11.1.0 | 11.2.0 |
| 2013-03 | CT#59 | CP-130130 | 0045 | 1 | Corrections to references to the location of DF_TELECOM in TS 24.341 | 11.2.0 | 12.0.0 |
| 2013-06 | CT#60 | CP-130259 | 0046 | 2 | Signalling flow MSISDN less operation | 12.0.0 | 12.1.0 |
| 2013-06 | CT#60 | CP-130259 | 0047 | 2 | IP-SM-sender, MSISDN less procedures | 12.0.0 | 12.1.0 |
| 2013-06 | CT#60 | CP-130259 | 0048 | 3 | IP-SM-receiver, MSISDN less procedures | 12.0.0 | 12.1.0 |
| 2013-06 | CT#60 | CP-130259 | 0049 | 1 | New Feature tag for MSISDN less procedure | 12.0.0 | 12.1.0 |
| 2013-06 | CT#60 | CP-130259 | 0050 | 2 | IP-SM-GW, MSISDN less procedures | 12.0.0 | 12.1.0 |
| 2013-09 | CT#61 | CP-130506 | 0051 | 1 | SM Submit Report for MSISDN less operation | 12.1.0 | 12.2.0 |
| 2013-09 | CT#61 | CP-130506 | 0052 | 1 | New feature-caps header field | 12.1.0 | 12.2.0 |
| 2013-09 | CT#61 | CP-130506 | 0053 | 1 | Procedures for Feature-Caps header field | 12.1.0 | 12.2.0 |
| 2013-09 | CT#61 | CP-130506 | 0054 | 1 | Correlation ID in XML | 12.1.0 | 12.2.0 |
| 2013-09 | CT#61 | CP-130506 | 0055 | 1 | Procedure for Correlation ID | 12.1.0 | 12.2.0 |
| 2013-09 | CT#61 | CP-130506 | 0056 | | Editorial correction IANA section | 12.1.0 | 12.2.0 |
| 2013-12 | CT#62 | CP-130770 | 0057 | 3 | Update to RFC 6665 | 12.2.0 | 12.3.0 |
| 2013-12 | CT#62 | CP-130757 | 0058 | 1 | Compliance Statement for UE and AS | 12.2.0 | 12.3.0 |
| 2013-12 | CT#62 | CP-130757 | 0059 | 1 | Tidyup of sender and receiver procedures | 12.2.0 | 12.3.0 |

| | | | | | | | |
|---------|-------|-----------|------|---|---|--------|--------|
| 2013-12 | CT#62 | CP-130757 | 0060 | 1 | Short Message Memory Available indication | 12.2.0 | 12.3.0 |
| 2013-12 | CT#62 | CP-130757 | 0061 | 2 | Error procedure in IP-SM-GW | 12.2.0 | 12.3.0 |
| 2014-06 | CT#64 | CP-140293 | 0070 | 1 | Addressing the notification message when memory becomes available | 12.3.0 | 12.4.0 |
| 2014-06 | CT#64 | CP-140322 | 0072 | | Correction of signalling flow for SMS over IP | 12.3.0 | 12.4.0 |
| 2014-09 | CT#65 | CP-140662 | 0073 | 2 | SMS over IP indications for SCM | 12.4.0 | 12.5.0 |
| 2014-12 | CT#66 | CP-140837 | 0076 | 3 | SMS over IP retransmission timer | 12.5.0 | 12.6.0 |
| 2014-12 | CT#66 | CP-140837 | 0077 | 1 | Message flows correction for UE originated SM submit procedure | 12.5.0 | 12.6.0 |
| 2015-12 | CT#67 | | | | Upgrade to Rel-13 | 12.6.0 | 13.0.0 |
| 2016-06 | CT#72 | CP-160303 | 0080 | 1 | IANA registration for g.3gpp.smsip-msisdn-less feature tag | 13.0.0 | 13.1.0 |
| 2016-06 | CT#72 | CP-160303 | 0082 | 1 | IANA registration for SMS+XML MIME type | 13.0.0 | 13.1.0 |
| 2016-06 | CT#72 | CP-160307 | 0083 | 3 | Correction of SMS over IP indications for ACDC | 13.0.0 | 13.1.0 |

| Change history | | | | | | | |
|----------------|---------|-----------|------|-----|-----|--|-------------|
| Date | Meeting | TDoc | CR | Rev | Cat | Subject/Comment | New version |
| 2017-03 | CT#75 | CP-170130 | 0085 | 7 | B | 3GPP PS Data Off and SMS over IP | 14.0.0 |
| 2017-03 | CT#75 | CP-170124 | 0086 | 3 | B | SMS over IP usage policy | 14.0.0 |
| 2017-12 | CT#78 | CP-173080 | 0087 | 4 | B | Response-Source header field handling completion | 15.0.0 |
| 2018-03 | CT#79 | CP-180090 | 0088 | 2 | B | SMS over IP submit report support Accept-Contact header | 15.1.0 |
| 2018-06 | CT#80 | CP-181060 | 0089 | 2 | B | PS Data Off for 5GS | 15.2.0 |
| 2018-06 | CT#80 | CP-181074 | 0090 | 2 | B | 3GPP PS Data Off2 and SMS over IP | 15.2.0 |
| 2018-09 | CT#81 | CP-182145 | 0091 | 2 | B | UAC for SMS over IP | 15.3.0 |
| 2018-12 | CT#82 | CP-183044 | 0092 | 1 | F | Correct PS Data Off requirements for other accesses | 15.4.0 |
| 2019-12 | CT#86 | CP-193092 | 0094 | 1 | F | Provide handover of ongoing SMS over IP network from non-3GPP access indication to NAS | 16.0.0 |