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HELP PREPARING FOR THE FAIS EXAM - FAIS Regulatory Exam ...

FAIS regulatory examinations are in no way unique to South Africa. In addition, the regulatory examinations also test the financial advisors' knowledge and understanding of the Financial Advisory and Intermediary Services Act (FAIS Act) under which they carry out their business. The FAIS Act came into effect on 30 September 2004. The objective

FAIS Regulatory Examinations - fscaconsumered.co.za

The self test questions featured in the INSETA learning material are provided to assist the learner with the revision of the material. They are in no way intended to be variations of the FAIS RE Examination questions. The FSB has not made past question papers available and will not be doing so.

Inseta : FAIS Regulatory Examination Learning Material

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Applicable for Examinations as from 1 February 2019 ...

The Financial Planning Institute (FPI), following the recent directive by The Financial Services Conduct Authority (FSCA) to discontinue the delivery of the Regulatory Examination (RE) [through its examination body division], will cease to deliver regulatory exams with immediate effect.

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This regulatory examination focuses on your role and responsibilities as a representative, and what you need to know about the FAIS Act in order to remain compliant. The content of this examination focuses on the regulatory framework, i.e. FAIS, FICA, Code of Conduct, etc, as prescribed by the FSB. This examination consists of 50 questions.

FAIS Key Individual workshop RE1 ... - lets-study.co.za

Regulatory Exam FAQs What are FAIS Regulatory Examinations? They are multiple-choice questions testing financial advisors' and brokers' knowledge and understanding regarding: The laws in the Financial Advisory and Intermediary Services Act (FAIS) that oversee conduct and the general Code of Conduct. The giving of financial advice and services which apply to specific financial products.

Regulatory Exam FAQs - BRC Compliance Training

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Exam preparation including a simulated test. The new Fit and Proper Requirements have introduced regulatory examinations as part of the competence requirements. Background on the regulatory examinations In October 2008 the new FAIS Fit and Proper requirements were published in Gazette No. 21514.

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