



Module 30: Window Functions

This module introduces **window functions** in SQL that let you perform calculations across rows while keeping individual row details. These are powerful for advanced analytics like ranking, running totals, comparisons, etc.



Reference Tables Used in This Module:



Table 1: Sales

```
select * from Sales;
```

100 %

Results Messages

	SalesID	SalesDate	Amount
1	1	2024-01-01	100
2	2	2024-01-02	200
3	3	2024-01-03	150
4	4	2024-01-04	300
5	5	2024-01-05	250



Table 2: Employees


```
select * from Employees;
```

100 %

Results Messages

	EmployeeID	EmployeeName	Department	Salary
1	1	John	HR	50000.00
2	2	Jane	HR	55000.00
3	3	JayY	HR	50000.00
4	4	Bob	IT	70000.00
5	5	Alice	IT	75000.00
6	6	Charlie	IT	72000.00
7	7	Raghav	IT	72000.00
8	8	Dave	Sales	60000.00
9	9	Eve	Sales	53000.00
10	10	Frank	Sales	62000.00
11	11	Grace	HR	65000.00
12	12	Heidi	IT	68000.00

1. What are Window Functions




 **Window functions** perform calculations across a group of rows (a "window") that are related to the current row.

They **do not collapse** the rows like `GROUP BY`. Instead, they **return values for every row**.

Syntax:

```
FUNCTION_NAME(column)
OVER (
  PARTITION BY column_name
  ORDER BY column_name
  ROWS BETWEEN ... -- optional
)
```

Components:

-  **PARTITION BY** – Divides the result into groups (like departments).
-  **ORDER BY** – Sorts rows inside each group (e.g., by salary).
-  **ROWS or RANGE** – Controls which rows are included in the window (optional, advanced use).

2. **ROW_NUMBER()**

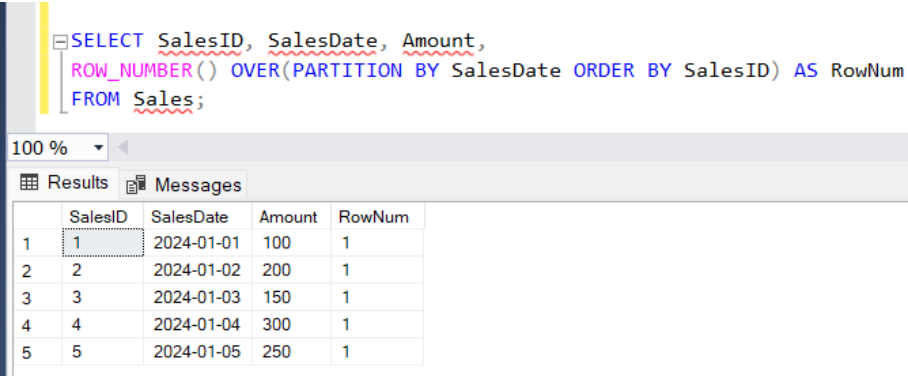
 Gives a unique serial number to each row within a partition.

Syntax:

```
ROW_NUMBER() OVER(PARTITION BY column ORDER BY column)
```

 Example:

```
SELECT SalesID, SalesDate, Amount,
ROW_NUMBER() OVER(PARTITION BY SalesDate ORDER BY SalesID) AS RowNum
FROM Sales;
```



The screenshot shows a SQL query editor with the following query:

```
SELECT SalesID, SalesDate, Amount,
ROW_NUMBER() OVER(PARTITION BY SalesDate ORDER BY SalesID) AS RowNum
FROM Sales;
```

Below the query, the 'Results' tab is active, displaying a table with 5 rows and 5 columns: SalesID, SalesDate, Amount, and RowNum. The data is as follows:

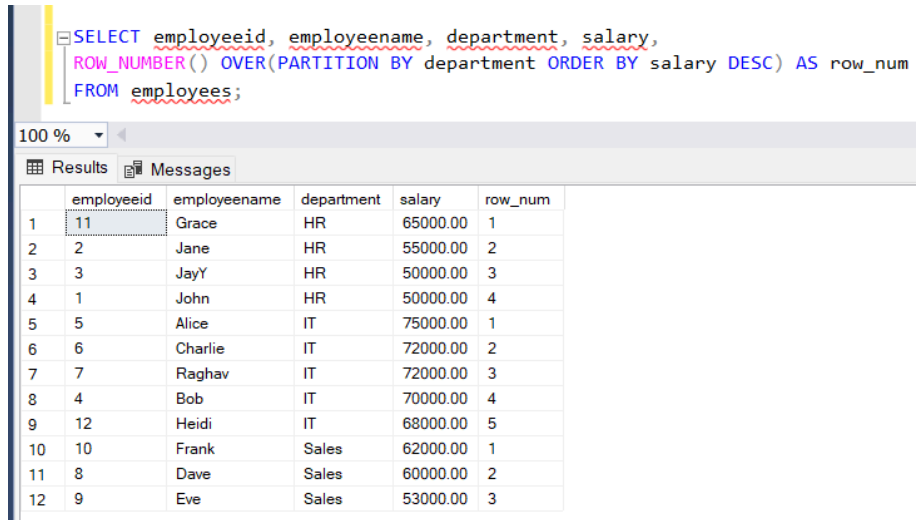
	SalesID	SalesDate	Amount	RowNum
1	1	2024-01-01	100	1
2	2	2024-01-02	200	1
3	3	2024-01-03	150	1
4	4	2024-01-04	300	1
5	5	2024-01-05	250	1

👑 3. 🎲 Row Number Implementation (Use Case)

Use this to get top earners in each department.

📌 Example 1:

```
SELECT employeeid, employeeename, department, salary,  
ROW_NUMBER() OVER(PARTITION BY department ORDER BY salary DESC) AS row_num  
FROM employees;
```



The screenshot shows a SQL query window with the following query:

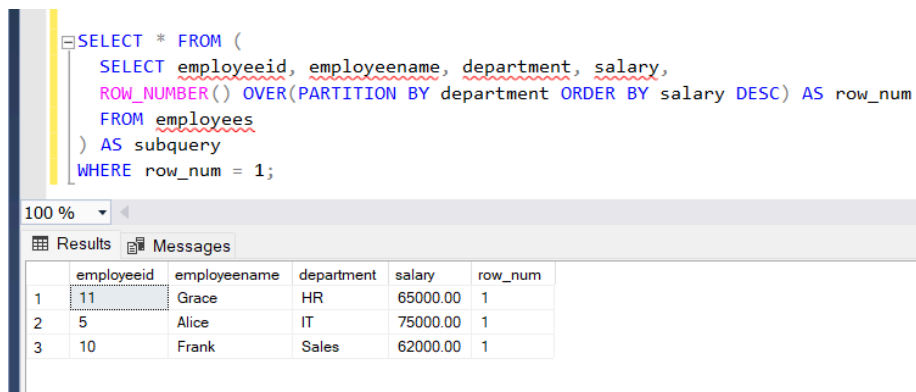
```
SELECT employeeid, employeeename, department, salary,  
ROW_NUMBER() OVER(PARTITION BY department ORDER BY salary DESC) AS row_num  
FROM employees;
```

Below the query window, the 'Results' tab is active, displaying a table with 12 rows and 6 columns: employeeid, employeeename, department, salary, and row_num. The rows are ordered by department and then by salary in descending order within each department.

	employeeid	employeeename	department	salary	row_num
1	11	Grace	HR	65000.00	1
2	2	Jane	HR	55000.00	2
3	3	JayY	HR	50000.00	3
4	1	John	HR	50000.00	4
5	5	Alice	IT	75000.00	1
6	6	Charlie	IT	72000.00	2
7	7	Raghav	IT	72000.00	3
8	4	Bob	IT	70000.00	4
9	12	Heidi	IT	68000.00	5
10	10	Frank	Sales	62000.00	1
11	8	Dave	Sales	60000.00	2
12	9	Eve	Sales	53000.00	3

📌 Example 2 (Only Top Earners):

```
SELECT * FROM (  
    SELECT employeeid, employeeename, department, salary,  
    ROW_NUMBER() OVER(PARTITION BY department ORDER BY salary DESC) AS row_num  
    FROM employees  
    ) AS subquery  
WHERE row_num = 1;
```



The screenshot shows a SQL query window with the following query:

```
SELECT * FROM (  
    SELECT employeeid, employeeename, department, salary,  
    ROW_NUMBER() OVER(PARTITION BY department ORDER BY salary DESC) AS row_num  
    FROM employees  
    ) AS subquery  
WHERE row_num = 1;
```

Below the query window, the 'Results' tab is active, displaying a table with 3 rows and 6 columns: employeeid, employeeename, department, salary, and row_num. The rows represent the top earner in each department.

	employeeid	employeeename	department	salary	row_num
1	11	Grace	HR	65000.00	1
2	5	Alice	IT	75000.00	1
3	10	Frank	Sales	62000.00	1

4. 🏆 **RANK()** vs **DENSE_RANK()**

📦 Used to rank rows within a partition.

🔧 Syntax:

RANK() OVER(PARTITION BY column ORDER BY column)

DENSE_RANK() OVER(PARTITION BY column ORDER BY column)

📌 Examples:

SELECT *, **RANK()** OVER(PARTITION BY department ORDER BY salary DESC) AS rank
FROM employees;

```
SELECT *, RANK() OVER(PARTITION BY department ORDER BY salary DESC) AS rank  
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	rank
1	11	Grace	HR	65000.00	1
2	2	Jane	HR	55000.00	2
3	3	JayY	HR	50000.00	3
4	1	John	HR	50000.00	3
5	5	Alice	IT	75000.00	1
6	6	Charlie	IT	72000.00	2
7	7	Raghav	IT	72000.00	2
8	4	Bob	IT	70000.00	4
9	12	Heidi	IT	68000.00	5
10	10	Frank	Sales	62000.00	1
11	8	Dave	Sales	60000.00	2
12	9	Eve	Sales	53000.00	3

SELECT *, **DENSE_RANK()** OVER(PARTITION BY department ORDER BY salary DESC) AS rank
FROM employees;

```
SELECT *, DENSE_RANK() OVER(PARTITION BY department ORDER BY salary DESC) AS rank  
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	rank
1	11	Grace	HR	65000.00	1
2	2	Jane	HR	55000.00	2
3	3	JayY	HR	50000.00	3
4	1	John	HR	50000.00	3
5	5	Alice	IT	75000.00	1
6	6	Charlie	IT	72000.00	2
7	7	Raghav	IT	72000.00	2
8	4	Bob	IT	70000.00	3
9	12	Heidi	IT	68000.00	4
10	10	Frank	Sales	62000.00	1
11	8	Dave	Sales	60000.00	2
12	9	Eve	Sales	53000.00	3

🧠 Key Difference:

Function	Handles Ties	Skips Rank
ROW_NUMBER	❌ No	❌ No
RANK	✅ Yes	✅ Yes
DENSE_RANK	✅ Yes	❌ No

employee_id	name	salary	rank	dense_rank	row_num
4	David	70000	1	1	1
2	Bob	60000	2	2	2
5	Eva	60000	2	2	3
1	Alice	50000	4	3	4
3	Charlie	50000	4	3	5

🎯 5. 🏗️ NTILE(n)

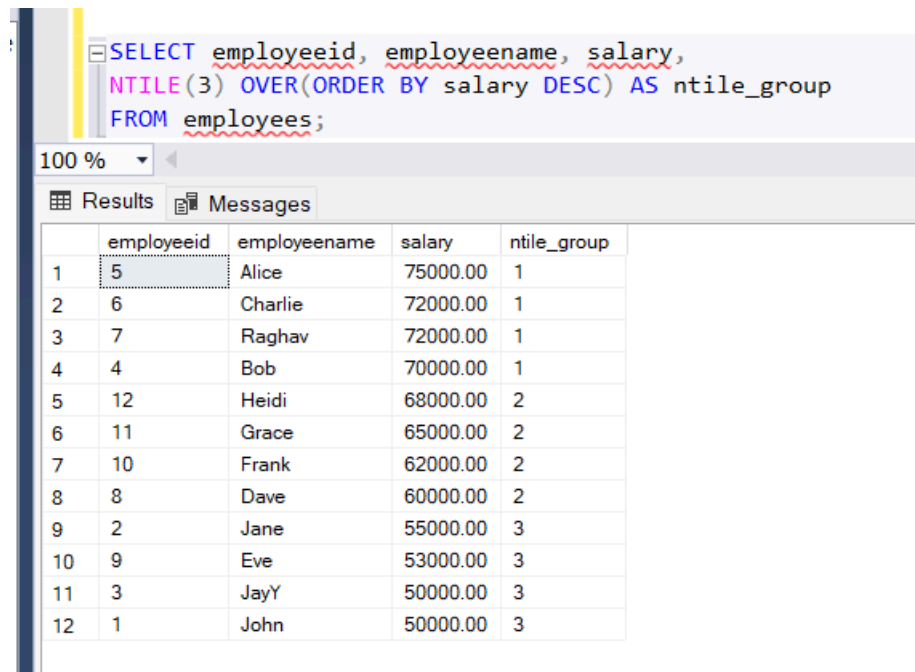
🇩🇪 Divides data into equal parts or buckets (for percentile-based grouping).

🎵 Syntax:

NTILE(n) OVER(ORDER BY column)

📌 Example:

```
SELECT employeeid, employeeename, salary,  
NTILE(3) OVER(ORDER BY salary DESC) AS ntile_group  
FROM employees;
```




The screenshot shows a SQL query window with the following query:

```
SELECT employeeid, employeeename, salary,  
NTILE(3) OVER(ORDER BY salary DESC) AS ntile_group  
FROM employees;
```

Below the query, the 'Results' tab is active, displaying a table with 12 rows. The columns are employeeid, employeeename, salary, and ntile_group. The results are ordered by salary in descending order.

	employeeid	employeeename	salary	ntile_group
1	5	Alice	75000.00	1
2	6	Charlie	72000.00	1
3	7	Raghav	72000.00	1
4	4	Bob	70000.00	1
5	12	Heidi	68000.00	2
6	11	Grace	65000.00	2
7	10	Frank	62000.00	2
8	8	Dave	60000.00	2
9	2	Jane	55000.00	3
10	9	Eve	53000.00	3
11	3	JayY	50000.00	3
12	1	John	50000.00	3

6. **AVG()** (Window Average)

 Calculates average for a group but keeps the row.

Syntax:

AVG(column) OVER(PARTITION BY column)

Examples:

```
SELECT *, AVG(salary) OVER(PARTITION BY department) AS avg_salary
FROM employees;
```

```
SELECT *, AVG(salary) OVER(PARTITION BY department) AS avg_salary
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	avg_salary
1	1	John	HR	50000.00	55000.000000
2	2	Jane	HR	55000.00	55000.000000
3	3	JayY	HR	50000.00	55000.000000
4	11	Grace	HR	65000.00	55000.000000
5	12	Heidi	IT	68000.00	71400.000000
6	4	Bob	IT	70000.00	71400.000000
7	5	Alice	IT	75000.00	71400.000000
8	6	Charlie	IT	72000.00	71400.000000
9	7	Raghav	IT	72000.00	71400.000000
10	8	Dave	Sales	60000.00	58333.333333
11	9	Eve	Sales	53000.00	58333.333333
12	10	Frank	Sales	62000.00	58333.333333

-- Rounded version

```
SELECT *, FLOOR(AVG(salary) OVER(PARTITION BY department)) AS avg_salary
FROM employees;
```

```
SELECT *, FLOOR(AVG(salary) OVER(PARTITION BY department)) AS avg_salary
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	avg_salary
1	1	John	HR	50000.00	55000
2	2	Jane	HR	55000.00	55000
3	3	JayY	HR	50000.00	55000
4	11	Grace	HR	65000.00	55000
5	12	Heidi	IT	68000.00	71400
6	4	Bob	IT	70000.00	71400
7	5	Alice	IT	75000.00	71400
8	6	Charlie	IT	72000.00	71400
9	7	Raghav	IT	72000.00	71400
10	8	Dave	Sales	60000.00	58333
11	9	Eve	Sales	53000.00	58333
12	10	Frank	Sales	62000.00	58333

7. COUNT() (Window Count)

Counts how many rows there are in the partition.

Syntax:

COUNT(column) OVER(PARTITION BY column)

Example:

```
SELECT *, COUNT(EmployeeID) OVER(PARTITION BY department) AS employee_count
FROM employees;
```

```
SELECT *, COUNT(EmployeeID) OVER(PARTITION BY department) AS employee_count
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	employee_count
1	1	John	HR	50000.00	4
2	2	Jane	HR	55000.00	4
3	3	JayY	HR	50000.00	4
4	11	Grace	HR	65000.00	4
5	12	Heidi	IT	68000.00	5
6	4	Bob	IT	70000.00	5
7	5	Alice	IT	75000.00	5
8	6	Charlie	IT	72000.00	5
9	7	Raghav	IT	72000.00	5
10	8	Dave	Sales	60000.00	3
11	9	Eve	Sales	53000.00	3
12	10	Frank	Sales	62000.00	3

8. SUM() (Window Total)

Gives total sum of values in a group.

Syntax:

SUM(column) OVER(PARTITION BY column)

Example:

```
SELECT *, SUM(Salary) OVER(PARTITION BY department) AS total_salary
FROM employees;
```

```
SELECT *, SUM(Salary) OVER(PARTITION BY department) AS total_salary
FROM employees;
```


	EmployeeID	EmployeeName	Department	Salary	total_salary
1	1	John	HR	50000.00	220000.00
2	2	Jane	HR	55000.00	220000.00
3	3	JayY	HR	50000.00	220000.00
4	11	Grace	HR	65000.00	220000.00
5	12	Heidi	IT	68000.00	357000.00
6	4	Bob	IT	70000.00	357000.00
7	5	Alice	IT	75000.00	357000.00
8	6	Charlie	IT	72000.00	357000.00
9	7	Raghav	IT	72000.00	357000.00
10	8	Dave	Sales	60000.00	175000.00
11	9	Eve	Sales	53000.00	175000.00
12	10	Frank	Sales	62000.00	175000.00

9. Running Total

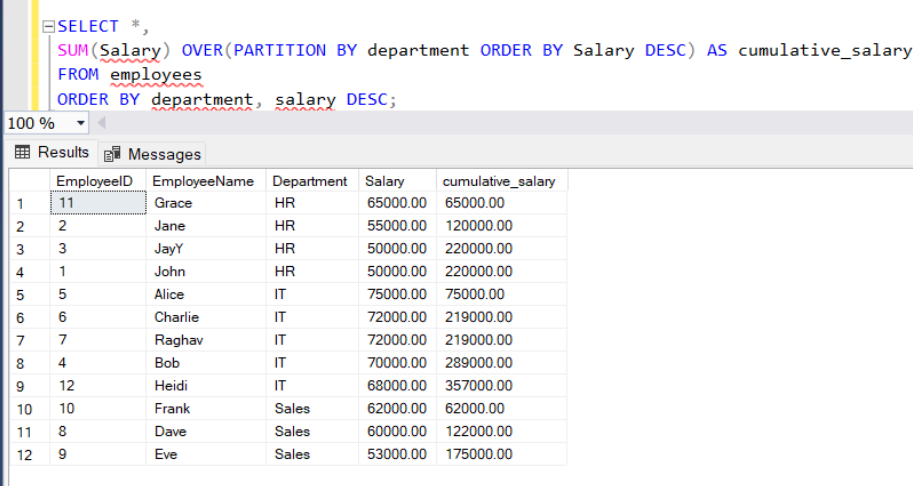
 Running total is a **cumulative sum** row by row.

Syntax:

SUM(column) OVER(PARTITION BY column ORDER BY column)

 Example:

```
SELECT *,  
SUM(Salary) OVER(PARTITION BY department ORDER BY Salary DESC) AS cumulative_salary  
FROM employees  
ORDER BY department, salary DESC;
```



The screenshot shows a SQL query window with the following query:


```
SELECT *,  
SUM(Salary) OVER(PARTITION BY department ORDER BY Salary DESC) AS cumulative_salary  
FROM employees  
ORDER BY department, salary DESC;
```

The results are displayed in a table with the following columns: EmployeeID, EmployeeName, Department, Salary, and cumulative_salary. The data is ordered by department and then by salary in descending order.

	EmployeeID	EmployeeName	Department	Salary	cumulative_salary
1	11	Grace	HR	65000.00	65000.00
2	2	Jane	HR	55000.00	120000.00
3	3	JayY	HR	50000.00	220000.00
4	1	John	HR	50000.00	220000.00
5	5	Alice	IT	75000.00	75000.00
6	6	Charlie	IT	72000.00	219000.00
7	7	Raghav	IT	72000.00	219000.00
8	4	Bob	IT	70000.00	289000.00
9	12	Heidi	IT	68000.00	357000.00
10	10	Frank	Sales	62000.00	62000.00
11	8	Dave	Sales	60000.00	122000.00
12	9	Eve	Sales	53000.00	175000.00

10. LAG() and LEAD()


 LAG() → Gets value from previous row

 LEAD() → Gets value from next row

Syntax:

LAG(column) OVER(PARTITION BY col ORDER BY col)

LEAD(column) OVER(PARTITION BY col ORDER BY col)

 Example:

```
SELECT *,  
LAG(Salary) OVER(PARTITION BY department ORDER BY salary DESC) AS previous_salary,  
LEAD(Salary) OVER(PARTITION BY department ORDER BY salary DESC) AS next_salary  
FROM employees;
```



```
SELECT *,
LAG(Salary) OVER(PARTITION BY department ORDER BY salary DESC) AS previous_salary,
LEAD(Salary) OVER(PARTITION BY department ORDER BY salary DESC) AS next_salary
FROM employees;
```

	EmployeeID	EmployeeName	Department	Salary	previous_salary	next_salary
1	11	Grace	HR	65000.00	NULL	55000.00
2	2	Jane	HR	55000.00	65000.00	50000.00
3	3	JayY	HR	50000.00	55000.00	50000.00
4	1	John	HR	50000.00	50000.00	NULL
5	5	Alice	IT	75000.00	NULL	72000.00
6	6	Charlie	IT	72000.00	75000.00	72000.00
7	7	Raghav	IT	72000.00	72000.00	70000.00
8	4	Bob	IT	70000.00	72000.00	68000.00
9	12	Heidi	IT	68000.00	70000.00	NULL
10	10	Frank	Sales	62000.00	NULL	60000.00
11	8	Dave	Sales	60000.00	62000.00	53000.00
12	9	Eve	Sales	53000.00	60000.00	NULL

🧠 Key Points to Remember

- ✓ Window functions **do not group** data like `GROUP BY`.
- ✓ You can use `PARTITION BY` to group, but rows are still individual.
- ✓ Always use `ORDER BY` for meaningful results in ranking, cumulative total, etc.
- ✓ LAG/LEAD are useful for **comparing** rows side by side.
- ✓ ROWS BETWEEN can be used for **moving average, running max, min** (advanced).

🧠 Additional Tips

- ◆ You can use **WHERE row_num = 1** trick with ROW_NUMBER to filter top entries per group
- ◆ Can also be used in **CTEs** (Common Table Expressions)
- ◆ Great for **reporting, pagination, and analytical dashboards**